

Offices located at Poudre Valley Hospital

1024 South Lemay Avenue Fort Collins, CO 80524 Tel: (970) 495-8740 Fax: (970) 495-7605

R. Barner, MD C. Bee, MD J. Andersen, MD S. Alam, MD P. Haberman, MD W. Hamner, MD

N. Johnston, DO C. McLaughlin, MD A. Libby, MD D. Long, MD C. Murphy, MD C. Nerby, MD

C. Pizzi, MD M. Riley, MD C. Salisbury, MD J. Stefka, MD M. Walts, MD H. Worcester, MD

ABNORMAL

SURGICAL PATHOLOGY REPORT

ADDENDED

06/18/2020

Patient: BUNCH, LAURA N

Med Rec#: 2904575 PV: 177377940 DOB: 11/02/1980 Age: 39 Sex: F

Physician(s):

EUSSEN ANGELA PA-C POUDRE VALLEY HOSPITAL

Attn: **DEBORAH GUNDERSON, MD**

Test Requested: PVH Surgical

Result ID: VS20-02651

Date Reported:

Accession #: 12115402

Date Collected: **06/17/2020**

Date Received: 06/17/2020

Report Modified: 07/01/2020

Revision (07/01/2020)

ADDENDUM:

NEOGENOMICS HISTOLOGY ANALYSIS REPORT RECEIVED

FISH ANALYSIS HER2 Breast

Results: Positive

Interpretation: Average HER2 signals/nucleus: 4.0

Average CEN 17 signals/nucleus: 2.0

HER2/CEN 17 signal ratio: 2.0

Number of Observers: 1

Results show HER2 amplification and a HER2/CEN17 ratio of >/=2.0 with average HER2 copy number >/=4.0 signals per

cell. This is a POSITIVE result based on the 2018 ASCO/CAP guidelines.

(Please see full report for details. Report linked and attached). ly

Arlene Libby, MD Pathologist, Electronic Signature

Revision (06/20/2020)

ADDENDUM:

'VIAS' PROGNOSTIC MARKER PANEL RESULTS RECEIVED INVASIVE CARCINOMA BREAST PROGNOSTIC MARKER PANEL

BLOCK: A1

ESTROGEN RECEPTOR (SP1): NEGATIVE, LESS THAN 1% OF CELLS STAINING PROGESTERONE RECEPTOR (1E2): NEGATIVE, LESS THAN 1% OF CELLS STAINING

HER-2/NEU (4b5/IHC): EQUIVOCAL FOR OVER-EXPRESSION, 2+

Ki-67 (30-9): 80%, HIGH



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Attn: **DEBORAH GUNDERSON, MD**

POUDRE VALLEY HOSPITAL Report Modified: 07/01/2020

COMMENTS: Her-2-neu by DUAL ISH was attempted but failed. Her-2-neu by FISH is pending. There is an internal positive control for the negative ER/PR studies.

Tissue fixation is in 10% formalin and the duration of fixation is 6-72 hours. Tissue is paraffin embedded.

The testing is performed on Bench Mark ULTRA Stainer, Ventana Medical Systems, Inc., with ultra VIEW Universal DAB detection. Positive and negative controls react satisfactorily. The immunohistochemical stains are used for clinical purposes. Interpretation is performed by Summit Pathology using the Ventana Medical Systems, Inc. iScan Coreo whole slide brightfield scanner with Virtuoso software.

Estrogen receptor (ER) and progesterone receptor (PR) require 1% or greater nuclear staining to be considered positive. Intensity of ER and PR staining is based on a scale of 0 (negative) to 3 (most intense). HER-2 positivity requires greater than 10% of tumor cells showing complete membrane staining; a score of 2+ is equivocal and will be confirmed by ISH. A score of 3+ is strong positive. Only the strong positive (3+) HER-2 shows strong concordance with clinical trial results for Herceptin. HER-2 scores of 0 and 1+ (faint, incomplete staining) are considered negative. This scoring method for HER-2/neu by IHC is per the ASCO/CAP guidelines for HER-2/neu testing.

Ki-67 is a proliferation marker. Scoring is per the recommendations of the International Ki-67 in Breast Cancer Working Group. (Dowsett et al, J. Natl Cancer Inst: 103:1-9, 2011). Category Criteria: 0-10% low, 11-19% intermediate, greater than or equal to 20% high. When Ki-67 rates fall in the intermediate range on needle core biopsy, repeat testing from excisional material should be considered. Ki-67 can also be used as a surrogate marker to assist in distinguishing between luminal A and luminal B tumor types in an ER positive tumor. (Less than 14% favors luminal A; greater than or equal to 14% favors luminal B).

These tests were developed and their performance characteristics validated by Summit Pathology. This laboratory is regulated under the Clinical Laboratory Improvement Amendments of 1988 (CLIA) as qualified to perform high complexity clinical testing. Summit Pathology meets or exceeds all of the ASCO/CAP guidelines for estrogen receptor, progesterone receptor, and HER-2/NEU testing. The most recent ASCO/CAP guidelines are utilized for these assessments: (Arch Pathol Lab Med. 2018;142:1364–1382; doi: 10.5858/arpa.2018-0902-SA, Her2) and Arch Pathol Lab Med. 2020;144(5):545-563, ER/PR.

Carrie Pizzi, MD
Pathologist, Electronic Signature

Revision (06/19/2020) ADDENDUM:

Due to the GATA-3 negative status of the tumor, further staining of this neoplasm is performed. All stains are performed with appropriate controls. The tumor cells are diffusely positive for pancytokeratin and cytokeratin 7. Moderate staining is noted with cytokeratin 5 and 6 stain, consistent with a basal-type staining pattern. The tumor cells are equivocal for mammoglobin staining and negative for gross cystic disease fluid protein. The tumor cells are also negative for CDX2, TTF-1, PAX-8, and cytokeratin 20. Although the staining pattern is nonspecific, the findings are consistent with a



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POUDRE VALLEY HOSPITAL

Date Collected: **06/17/2020** 06/17/2020 Date Received: Date Reported: 06/18/2020 Report Modified: 07/01/2020

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primary breast malignancy.

Arlene Libby, MD Pathologist, Electronic Signature

FINAL DIAGNOSIS:

BREAST, LEFT, 10 O'CLOCK, 4 CM FROM NIPPLE, ULTRASOUND-GUIDED CORE NEEDLE BIOPSY FOR AN 11-MM MASS:

- 1. INVASIVE CARCINOMA, FAVOR MAMMARY PRIMARY, UP TO 14.0 MM IN GREATEST LINEAR EXTENT.
- 2. LYMPHATIC INVOLVEMENT BY TUMOR IS IDENTIFIED.
- 3. NO DEFINITIVE IN SITU COMPONENT IS IDENTIFIED.
- 4. NO DEFINITIVE MICROCALCIFICATIONS ARE SEEN.
- 5. ALTHOUGH THE DEFINITIVE TYPE AND GRADE OF TUMOR ARE BEST DETERMINED AFTER THE ENTIRE LESION CAN BE EVALUATED, THE FEATURES IN THIS BIOPSY ARE THAT OF A NO SPECIAL TYPE (DUCTAL) TUMOR WITH BASAL FEATURES OF HIGH GRADE (TUBULES 3, NUCLEAR GRADE 3, MITOTIC SCORE 2).

COMMENT:

As the tumor is GATA-3 negative, additional studies will be performed to attempt to exclude a metastatic lesion to the breast. These results will be issued as an addendum. A breast prognostic marker panel will also be performed, and the results will be issued as an addendum.

> Arlene Libby, MD Pathologist, Electronic Signature

Clinical History:

Left breast ultrasound-guided core needle biopsy. Mass with microlobulated margins, 11 mm, 10:00, 4 cm from nipple. **BIRADS 4**

Submitted Clinical ICD10 Codes: R92.8

GROSS DESCRIPTION:

Received in a formalin filled bottle/container, which has been verified to belong to patient: BUNCH, LAURA N and labeled "left breast ultrasound-guided core needle biopsy" are 4 tan-gray, firm needle core biopsies averaging 0.2 cm in diameter and ranging in length from 1.5-1.9 cm. The specimen is submitted entirely as A1.

In formalin: 0950 Out of formalin: 1822 All dates 6/17/20

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MICROSCOPIC DESCRIPTION:

Sections demonstrate an infiltrative neoplasm. A CD34 stain and a D2-40 stain highlight areas of lymphovascular invasion. A smooth muscle myosin heavy chain stain focally highlights the tumor without highlighting definitive myoepithelial cells. A p63 stain demonstrates a lack of myoepithelial cells. A GATA-3 stain is negative with appropriate controls.

NOTE: The immunoperoxidase tests utilized in this examination were developed and their performance characteristics determined by the laboratory at Summit Pathology. It has not been cleared or approved by the U.S. Food and Drug Administration. The FDA has determined that such clearance or approval is not necessary. This test is used for clinical purposes. It should not be regarded as investigational or for research. This laboratory is certified under the Clinical Laboratory Improvement Amendments of 1988 (CLIA) as qualified to perform high complexity clinical laboratory testing.

CPT Code(s): 88361 x4, 88341 x13, 88305, 88342

Specimen grossed and processed at: Summit Pathology 5802 Wright Dr., Loveland, CO, 80538 Specimen interpreted at: Poudre Valley Hosp 1024 S Lemay, Fort Collins, CO 80524



FISH Analysis
HER2 Breast

866.776.5907, option 3

Client 1707 UC Health Poudre Valley Hospital

1024 South Lemay Ave Attention: Christopher Bee, M Fort Collins, CO 80524 Phone: (970) 495-8729 Fax: (970) 495-7629





FX 4

Patient Name: Bunch, Laura Ordering Physician(s): Arlene Libby, MD

Patient DOB / Sex: 11/02/1980 / F Treating Physician(s): Deborah Gunderson, MD

Specimen Type: Paraffin Tissue Accession / CaseNo: 2770108 / FSG20-056635

Body Site: Left Breast Collection Date: 06/17/2020

Specimen ID: VS20-02651/VS20-02651-A1 Received Date: 06/24/2020 01:36:00 PM PDT

MRN: 2904575 Report Date: 06/30/2020 05:14:35 PM EST

Reason for Referral: OTHER ABNORMAL AND INCONCLUSIVE FINDINGS ON DIAGNOSTIC

IMAGING OF BREAST

Results: Positive

Interpretation:

Average HER2 signals/nucleus: 4.0 Average CEN 17 signals/nucleus: 2.0 HER2/CEN 17 signal ratio: 2.0 Number of Observers: 1

Results show HER2 amplification and a HER2/CEN17 ratio of >/=2.0 with average HER2 copy number >/=4.0 signals per cell. This is a POSITIVE result based on the 2018 ASCO/CAP guidelines.

Methodology: Along with fluorescence in situ hybridization (FISH), an H&E stained slide was reviewed by a pathologist to identify the target area containing invasive tumor. FISH analysis of 50 interphase nuclei was performed within the marked target area using a dual-probe FISH assay. Controls performed appropriately.

Reference: Wolff AC, et al. Human Epidermal Growth Factor Receptor 2 Testing in Breast Cancer; American Society of Clinical Oncology/College of American Pathologists Clinical Practice Guideline Focused Update. Arch Pathol Lab Med. 2018;142(11):1364-1382.

Reference Ranges:

HER2 Breast: Based on 2018 CAP/ASCO guidelines, a case is considered POSITIVE when the HER2/CEN17 ratio is >/=2.0 with >/= 4.0 signals/cell [Group 1] and NEGATIVE when the HER2 to CEN17 ratio is <2.0 and <4.0 HER2 signals/cell [Group 5]. If HER2/CEP17 ratio >/= 2.0 with average HER2 <4.0 [Group 2], or HER2/CEN17 ratio <2.0 with >/=6.0 signals/cell [Group 3], or HER2/CEN17 <2.0 with >/=4.0 and <6.0 signals/cell [Group 4] a definitive diagnosis will be rendered on additional work-up using the HER2 IHC staining with a concomitant workup.

[Groups 2 and 4] If the IHC result is 3+, the case is considered HER2 POSITIVE. If the IHC result is 0 or 1+, the case is considered HER2 NEGATIVE. If the IHC is 2+ the FISH is recounted for an additional 20 cells in the area of invasive cancer with IHC 2+ staining. If reviewing the additional count remains within the bounds of Group 2 or Group 4, then the case is considered NEGATIVE. If the review results in a different ISH category a total of 50 additional cells will be recounted and the result is adjudicated to that final category.

[Group 3] If the IHC result is 3+, the case is considered HER2 POSITIVE. If the IHC result is 0 or 1+, the case is considered HER2 NEGATIVE. If the IHC is 2+ the FISH is recounted for an additional 20 cells in the area of invasive cancer with IHC 2+ staining. If reviewing the additional count remains within the bounds of Group 3, then the case is considered POSITIVE. If the review results in a different ISH category a total of 50 additional cells will be recounted and the result is adjudicated to that final category.

Probe Set Detail:

HER2 Breast: nuc ish(CEN17x2.0,HER2x4.0)[50]

Patient Name: **Bunch, Laura**Patient DOB / Sex: **11/02/1980 / F**

Accession / CaseNo: 2770108 / FSG20-056635

Comments:

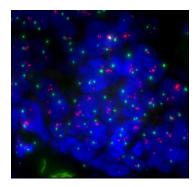
The 2018 ASCO/CAP guidelines state that if the initial HER2 test result in a core needle biopsy specimen of a primary breast cancer is negative, then a new HER2 test may be ordered on the excision specimen if one of the following is observed: (1) tumor is grade 3, (2) amount of invasive tumor in the core biopsy specimen is small, (3) resection specimen contains high-grade carcinoma that is morphologically distinct from that in the core, (4) core biopsy result is equivocal for HER2 after testing by both ISH and IHC, (5) there is doubt about the handling of the core biopsy specimen (long ischemic time, short time in fixative, different fixative), or the test is suspected by the pathologist to be negative on the basis of testing error.

Specimens for HER2 breast and gastroesophageal prognostic testing should be submitted following 2018/2016 ASCO/CAP guidelines: Incisional and excisional biopsy samples should have a cold ischemia time of no longer than 1 hour and be fixed in 10% neutral buffered formalin at least 6 hours to no more than 72 hours HER2. The fixative, fixation time and/or cold ischemic time were not provided.

The results of this assay have been determined within the limitations described and should not be used interchangeably with resulting values from other methods or kits. These results are intended to be used as an adjunct to other concurrent testing in patient care management. Therefore, the presence or absence of a malignant disease cannot be determined based solely on these results. Clinical correlation is advised.

Invasive Tumor Nuclei Scored: 50

Probe set	Scoring method	CPT Code	# of Units
HER2 Breast	Manual	88377	1



FSG20-056635 Bunch Laura HER2 001.JPG

Electronic Signature

Takako Mitsuhashi, M.D., Pathologist

All controls were within expected ranges.

The Technical Component Processing, Analysis and Professional Component of this test was completed at NeoGenomics California, 31 Columbia, Aliso Viejo, CA / 92656 / 866-776-5907 / CLIA #05D1021650 / Medical Director(s): Sally Agersborg, M.D.

The HER2 Breast Cancer FISH Test uses a two probe cocktail comprising a HER2 (ERBB2 at 17q12) probe in red and a centromere 17 (D17Z1) probe in green. This test was developed and its performance characteristics determined by the performing laboratory. It has not been cleared or approved by the U.S. Food and Drug Administration (FDA). The FDA has determined that such clearance or approval is not necessary. This test is used for clinical purposes and should not be regarded as investigational or for research. This laboratory is regulated under CLIA '88 as qualified to perform high complexity testing. Interphase FISH does not include examination of the entire chromosomal complement.

Images that may be included within this report are representative of the patient but not all testing in its entirety and should not be used to render a result.

The CPT codes provided with our test descriptions are based on AMA guidelines and are for informational purposes only. Correct CPT coding is the sole responsibility of the billing party. Please direct any questions regarding coding to the payer being billed.