

# Chapter 5

## Search: Constraint Satisfaction Problems

Introduction to Artificial Intelligence

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Version W22.1



- The following is based on material developed by many individuals, including (but not limited to):
  - Sheila McIlraith
  - Fahiem Bacchus
  - Sonya Allin
  - Craig Boutilier
  - Hojjat Ghaderi
  - Rich Zemel
  - Elliot Creager

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- The approaches discussed thus far still work, but are inefficient for several reasons.
- To see why, we need to formalized the notion of a CSP.

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  - the states can be defined through a fixed set of variables,  $\mathcal{V} = \{V_1, \dots, V_{|\mathcal{V}|}\}$ .
  - the goals can be defined through a fixed set of constraints,  $\mathcal{C} = \{C_1, \dots, C_{|\mathcal{C}|}\}$ .

- Each state,  $s \in \mathcal{S}$ , is represented by assigning each variable,  $V \in \mathcal{V}$ , a unique value,  $v \in \text{dom } V$ , which we represent using a set  $\{V = v, V \in \mathcal{V}\}$ .

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- Every state must be representable as an assignment of the variables, but not every assignment needs to represent a state, i.e.,  $\mathcal{S}$  is a subset of  $\text{dom}\mathcal{V}$ .

- Each constraint,  $C \in \mathcal{C}$ , operates on a fixed subset of the variables,  $\mathcal{V}_C \subseteq \mathcal{V}$ , called its **scope**.

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- Clearly, we need not necessarily assign every variable in  $\mathcal{V}$  to check a particular constraint. Assignments where at least one variable is unassigned is called a **partial** assignment.
- As we will see later, the ability to form partial assignments is critical to developing efficient algorithms for CSPs.



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    - **row/column constraints:**  $V_i \neq V_j, \forall i \neq j$
    - **diagonal constraints:**  $|n(V_i) - n(V_j)| \neq |i - j|, \forall i \neq j$ , where  $n(V)$  denotes the alphabetic position of  $V$  (e.g.,  $n(b) = 2$ ).

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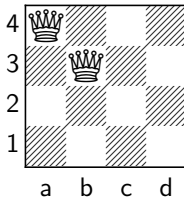
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- As stated before, this is inefficient. There are two main reasons why.

- ① We must assign all variables simultaneously before checking the constraints, but constraints are often violated much earlier.

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## **Example:** Invalid Partial Assignment in $N$ -Queens

- Suppose we place queens on a4 and b3. Then, no matter where we place the remaining queens, the resulting board configuration will be invalid.
- Still, because we must assign all variables simultaneously, we need to check all 16 board configurations that include queens on a4 and b3.

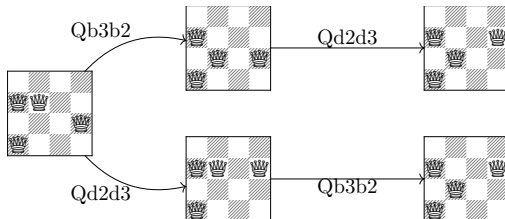


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- In the tree below, the order in which the moves  $Qb3b2$  and  $Qd2d3$  are played is irrelevant, as both result in the same board configuration.



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- **Procedure:** Backtracking Search
  - ① Start with the empty assignment,  $\{\}$ .
  - ② If all variables are assigned, a solution has been found. Otherwise, pick any unassigned variable,  $V \in \mathcal{V}$ .
  - ③ For each value,  $v \in \text{dom}V$ : If every bound constraint is satisfied, continue searching recursively. If every value in  $\text{dom}V$  has been exhausted and none satisfied the bound constraints, backtrack.

---

```
1: procedure SEARCH()
2:   if ASSIGNED( $V_1, \dots, V_{|\mathcal{V}|}$ ) then                                ▷ all variables are assigned
3:      $\mathcal{G}.$ APPEND( $([V_1], \dots, [V_{|\mathcal{V}|}])$ )
4:   else
5:      $V \leftarrow \text{SELECTUNASSIGNED}(\mathcal{V})$                                 ▷ choose an unassigned variable
6:     for  $v \in \text{dom } V$  do
7:       ASSIGN( $v, V$ )
8:        $\gamma \leftarrow \text{false}$                                           ▷ flag for if constraints are violated
9:       for  $C \in \mathcal{C} : \text{BOUND}(C)$  do                                ▷ for each bound constraint
10:        if VIOLATED( $C$ ) then
11:           $\gamma \leftarrow \text{true}$                                        ▷ the constraint is violated
12:        if  $\gamma = \text{false}$  then
13:          SEARCH()                                                    ▷ search extensions
14:        UNASSIGN( $V$ )
```

---

# Backtracking Search: Example

- In this example, we perform backtracking search on the 4-Queens puzzle.

ltr.	$V_1$	$V_2$	$V_3$	$V_4$
0				
1	a			
2	a	a		
3	a	b		
4	a	c		
5	a	c	a	
6	a	c	b	
7	a	c	c	
8	a	c	d	
9	a	d		
10	a	d	a	
11	a	d	b	

12	a	d	b	a
13	a	d	b	b
14	a	d	b	c
15	a	d	b	d
16	a	d	c	
17	a	d	d	
18	b			
19	b	a		
20	b	b		
21	b	c		
22	b	d		
23	b	d	a	
24	b	d	a	a

25	b	d	a	b
26	b	d	a	c

4				
3				
2				
1				
	a	b	c	d

## Heuristics for Variable Selection: Most Constraints

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  - Always choose the variable that is involved in the the most number of constraints.
- If a variable is involved in more constraints, it is more likely to violate one of them, and thus should be checked early.
- This heuristic is called **most constraints**.

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  - This is because the aforementioned choices would violate at least one constraint.
- After each assignment, we could iterate through each constraint,  $C$ , with exactly one unassigned variable,  $V$ , (so-called “almost bound” constraints) and prune  $\text{dom } V$  of any values that when assigned to  $V$ , violate  $C$ .

# Backtracking Search with Forward Checking: Pseudo-code

---

```
1: procedure SEARCHWITHFC()
2:   if ASSIGNED( $V_1, \dots, V_{|V|}$ ) then                                ▷ all variables are assigned
3:      $\mathcal{G}.$ APPEND( $([V_1], \dots, [V_{|V|}])$ )
4:   else
5:      $V \leftarrow \text{SELECTUNASSIGNED}(V)$                                 ▷ choose an unassigned variable
6:     for  $v \in \text{dom } V$  do
7:       ASSIGN( $v, V$ )
8:        $\gamma \leftarrow \text{false}$                                           ▷ flag for if constraints are violated
9:       for  $C \in \mathcal{C} : \text{ALMOSTBOUND}(C)$  do                            ▷ for each almost bound constraint
10:        if PRUNEWITHFC( $C$ ) = DWO then
11:           $\gamma \leftarrow \text{true}$                                        ▷ a domain wipe-out occurred
12:        if  $\gamma = \text{false}$  then
13:          SEARCHWITHFC()                                              ▷ search extensions
14:        RESTOREPRUNED()                                              ▷ restore pruned domains
15:      UNASSIGN( $V$ )
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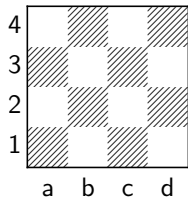
```
1: procedure PRUNEWITHFC()
2:    $V \leftarrow \text{FINDUNASSIGNED}(\mathcal{V}_C)$                                 ▷ find an unassigned variable in  $C$ 's scope
3:   for  $v \in \text{dom } V$  do
4:      $\text{ASSIGN}(v, V)$ 
5:     if  $\text{VIOLATED}(C)$  then
6:        $\text{REMOVE}(v, \text{dom } V)$ 
7:       if  $\text{dom } V = \emptyset$  then                                       ▷ domain wipe-out occurred
8:         return DWO
9:   return true
```

---

# Backtracking Search with Forward Checking: Example

- In this example, we perform backtracking search with forward-checking on the 4-Queens puzzle.

ltr.	$V_1$	$V_2$	$V_3$	$V_4$	dom $V_1$	dom $V_2$	dom $V_3$	dom $V_4$
0					$\{a, b, c, d\}$	$\{a, b, c, d\}$	$\{a, b, c, d\}$	$\{a, b, c, d\}$
1	a					$\{c, d\}$	$\{b, d\}$	$\{b, c\}$
2	a	c					$\emptyset$	
3	a	d				$\{b\}$	$\{c\}$	
4	a	d	b					$\emptyset$
5	b					$\{d\}$	$\{a, c\}$	$\{a, c, d\}$
6	b	d					$\{a\}$	$\{a, c\}$
7	b	d	a					$\{c\}$
8	b	d	a	c				



- Note that domains are restored whenever a domain wipe-out occurs.

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  - Always choose the variable with the fewest values remaining in its domain.
- If a variable only has one value left, that value is forced, so we should propagate its consequences immediately.
- This heuristic is called **fewest remaining values** (we actually employ it in the previous example).

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- Of course, in theory, we could check constraints whose scopes contain multiple unassigned variables...though this would take longer to enforce.
- Alternatively, we could observe that some partial assignments will violate an unbound constraint regardless of what we assign to the other variables in its scope.

- **Example:** Pruning Inconsistent Domains

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  - Let  $X$  and  $Y$  be two variables with  $\text{dom } X = \{1, 6, 11\}$  and  $\text{dom } Y = \{3, 8, 15\}$ .

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  - Consider the constraint,  $X > Y$ .
  - If we let  $Y = 15$ , the constraint is not satisfiable since there is no  $x \in \text{dom } X$  such that  $x > 15$ .

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  - Consider the constraint,  $X > Y$ .
  - If we let  $Y = 15$ , the constraint is not satisfiable since there is no  $x \in \text{dom } X$  such that  $x > 15$ .
  - If we let  $X = 1$ , the constraint is not satisfiable since there is no  $y \in \text{dom } Y$  such that  $1 > Y$ .

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  - If we let  $Y = 15$ , the constraint is not satisfiable since there is no  $x \in \text{dom } X$  such that  $x > 15$ .
  - If we let  $X = 1$ , the constraint is not satisfiable since there is no  $y \in \text{dom } Y$  such that  $1 > Y$ .
  - Thus, we can prune '1' from  $\text{dom } X$  and '15' from  $\text{dom } Y$ .

# Backtracking Search with Generalized Arc Consistency: Pseudo-code

---

```
1: procedure SEARCHWITHGAC()
2:   if ASSIGNED( $V_1, \dots, V_{|V|}$ ) then                                ▷ all variables are assigned
3:      $\mathcal{G}.$ APPEND( $([V_1], \dots, [V_{|V|}])$ )
4:   else
5:      $V \leftarrow$  SELECTUNASSIGNED( $\mathcal{V}$ )                                ▷ choose an unassigned variable
6:     for  $v \in \text{dom } V$  do
7:       ASSIGN( $v, V$ )
8:        $\mathcal{Q} = \emptyset$ 
9:       for  $C \in \mathcal{C} : V \in \mathcal{V}_C$  do                                ▷ for each constraint whose scope contains  $V$ 
10:         $\mathcal{Q}.$ APPEND( $C$ )
11:        if not PRUNEWITHGAC( $\mathcal{Q}$ ) = DWO then                                ▷ no domain wipe-out occurred
12:          SEARCHWITHGAC()
13:        RESTOREPRUNED()                                                ▷ restore pruned domains
14:    UNASSIGN( $V$ )
```

---

# Backtracking Search with Generalized Arc Consistency: Pseudo-code

---

```
1: procedure PRUNEWITHGAC( $\mathcal{Q}$ )
2:   while  $\mathcal{Q} \neq \emptyset$  do
3:      $C \leftarrow \mathcal{Q}.\text{NEXT}()$ 
4:     for  $V \in \mathcal{V}_C$  do
5:       for  $v \in \text{dom } V$  do
6:         if not SATISFIABLE( $C, V, v$ ) then
7:           REMOVE( $v, \text{dom } V$ )
8:           if  $\text{dom } V = \emptyset$  then
9:             return DWO
10:        else
11:          for  $C \in \mathcal{C}$  do
12:            if  $V \in \mathcal{V}_C$  and  $C \notin \mathcal{Q}$  then
13:               $\mathcal{Q}.\text{APPEND}(C)$ 
```

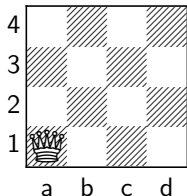
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# Backtracking Search with Generalized Arc Consistency: Example

- In this example, we perform backtracking search while enforcing arc consistency on the 4-Queens puzzle.

ltr. 1:

dom $V_1$	dom $V_2$	dom $V_3$	dom $V_4$	$Q$
$\{a, b, c, d\}$	$\{a, b, c, d\}$	$\{a, b, c, d\}$	$\{a, b, c, d\}$	$\{\}$
$\{a\}$	$\{a, b, c, d\}$	$\{a, b, c, d\}$	$\{a, b, c, d\}$	$\{C_1^2, C_1^3, C_1^4\}$
$\{a\}$	$\{c, d\}$	$\{a, b, c, d\}$	$\{a, b, c, d\}$	$\{C_1^3, C_1^4, C_2^3, C_2^4\}$
$\{a\}$	$\{c, d\}$	$\{b, d\}$	$\{a, b, c, d\}$	$\{C_1^4, C_2^3, C_2^4, C_3^4\}$
$\{a\}$	$\{c, d\}$	$\{b, d\}$	$\{b, c\}$	$\{C_2^3, C_2^4, C_3^4\}$
$\{a\}$	$\{d\}$	$\{b\}$	$\{b, c\}$	$\{C_2^4, C_3^4, C_2^1, C_2^4, C_3^1\}$
$\{a\}$	$\{d\}$	$\{b\}$	$\{c\}$	$\{C_3^4, C_2^1, C_2^4, C_3^1, C_4^1\}$
$\{a\}$	$\{d\}$	$\emptyset$	$\{c\}$	$\{C_2^1, C_2^4, C_3^1, C_4^1\}$

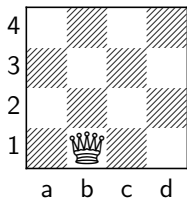


# Backtracking Search with Generalized Arc Consistency: Example

- In this example, we perform backtracking search while enforcing arc consistency on the 4-Queens puzzle.

ltr. 2:

dom $V_1$	dom $V_2$	dom $V_3$	dom $V_4$	$Q$
$\{b\}$	$\{a, b, c, d\}$	$\{a, b, c, d\}$	$\{a, b, c, d\}$	$\{C_1^2, C_1^3, C_1^4\}$
$\{b\}$	$\{d\}$	$\{a, b, c, d\}$	$\{a, b, c, d\}$	$\{C_1^3, C_1^4, C_2^3, C_2^4\}$
$\{b\}$	$\{d\}$	$\{a, c\}$	$\{a, b, c, d\}$	$\{C_1^4, C_2^3, C_2^4, C_3^4\}$
$\{b\}$	$\{d\}$	$\{a, c\}$	$\{a, c, d\}$	$\{C_2^3, C_2^4, C_3^4\}$
$\{b\}$	$\{d\}$	$\{a\}$	$\{a, c, d\}$	$\{C_2^4, C_3^4, C_1^1\}$
$\{b\}$	$\{d\}$	$\{a\}$	$\{a, c\}$	$\{C_3^4, C_2^1, C_4^1\}$
$\{b\}$	$\{d\}$	$\{a\}$	$\{c\}$	$\{C_2^1, C_4^1, C_4^2\}$
$\{b\}$	$\{d\}$	$\{a\}$	$\{c\}$	$\{C_4^1, C_4^2\}$
$\{b\}$	$\{d\}$	$\{a\}$	$\{c\}$	$\{C_4^2\}$
$\{b\}$	$\{d\}$	$\{a\}$	$\{c\}$	$\{\}$

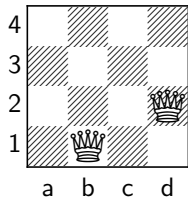


# Backtracking Search with Generalized Arc Consistency: Example

- In this example, we perform backtracking search while enforcing arc consistency on the 4-Queens puzzle.

ltr. 3:

$\text{dom } V_1$	$\text{dom } V_2$	$\text{dom } V_3$	$\text{dom } V_4$	$Q$
$\{b\}$	$\{d\}$	$\{a\}$	$\{c\}$	$\{\}$
$\{b\}$	$\{d\}$	$\{a\}$	$\{c\}$	$\{C_2^1, C_2^3, C_2^4\}$
$\{b\}$	$\{d\}$	$\{a\}$	$\{c\}$	$\{C_2^3, C_2^4\}$
$\{b\}$	$\{d\}$	$\{a\}$	$\{c\}$	$\{C_2^4\}$
$\{b\}$	$\{d\}$	$\{a\}$	$\{c\}$	$\{\}$



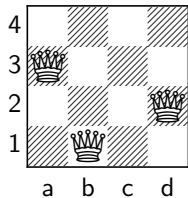


# Backtracking Search with Generalized Arc Consistency: Example

- In this example, we perform backtracking search while enforcing arc consistency on the 4-Queens puzzle.

ltr. 4:

$\text{dom } V_1$	$\text{dom } V_2$	$\text{dom } V_3$	$\text{dom } V_4$	$Q$
$\{b\}$	$\{d\}$	$\{a\}$	$\{c\}$	$\{\}$
$\{b\}$	$\{d\}$	$\{a\}$	$\{c\}$	$\{C_3^1, C_3^2, C_3^4\}$
$\{b\}$	$\{d\}$	$\{a\}$	$\{c\}$	$\{C_3^2, C_3^4\}$
$\{b\}$	$\{d\}$	$\{a\}$	$\{c\}$	$\{C_3^4\}$
$\{b\}$	$\{d\}$	$\{a\}$	$\{c\}$	$\{\}$

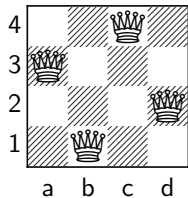


# Backtracking Search with Generalized Arc Consistency: Example

- In this example, we perform backtracking search while enforcing arc consistency on the 4-Queens puzzle.

ltr. 5:

$\text{dom } V_1$	$\text{dom } V_2$	$\text{dom } V_3$	$\text{dom } V_4$	$Q$
$\{b\}$	$\{d\}$	$\{a\}$	$\{c\}$	$\{\}$
$\{b\}$	$\{d\}$	$\{a\}$	$\{c\}$	$\{C_4^1, C_4^2, C_4^3\}$
$\{b\}$	$\{d\}$	$\{a\}$	$\{c\}$	$\{C_4^2, C_4^3\}$
$\{b\}$	$\{d\}$	$\{a\}$	$\{c\}$	$\{C_4^3\}$
$\{b\}$	$\{d\}$	$\{a\}$	$\{c\}$	$\{\}$



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- In the 4-Queens example, we observed the following:
  - Backtracking search takes many iterations.
  - Using forward checking reduced the number of iterations, but each one takes longer.
  - Enforcing arc consistency reduces the number of iterations even further, but each one takes even longer still.
- This is true in general.