

Federated Machine Learning

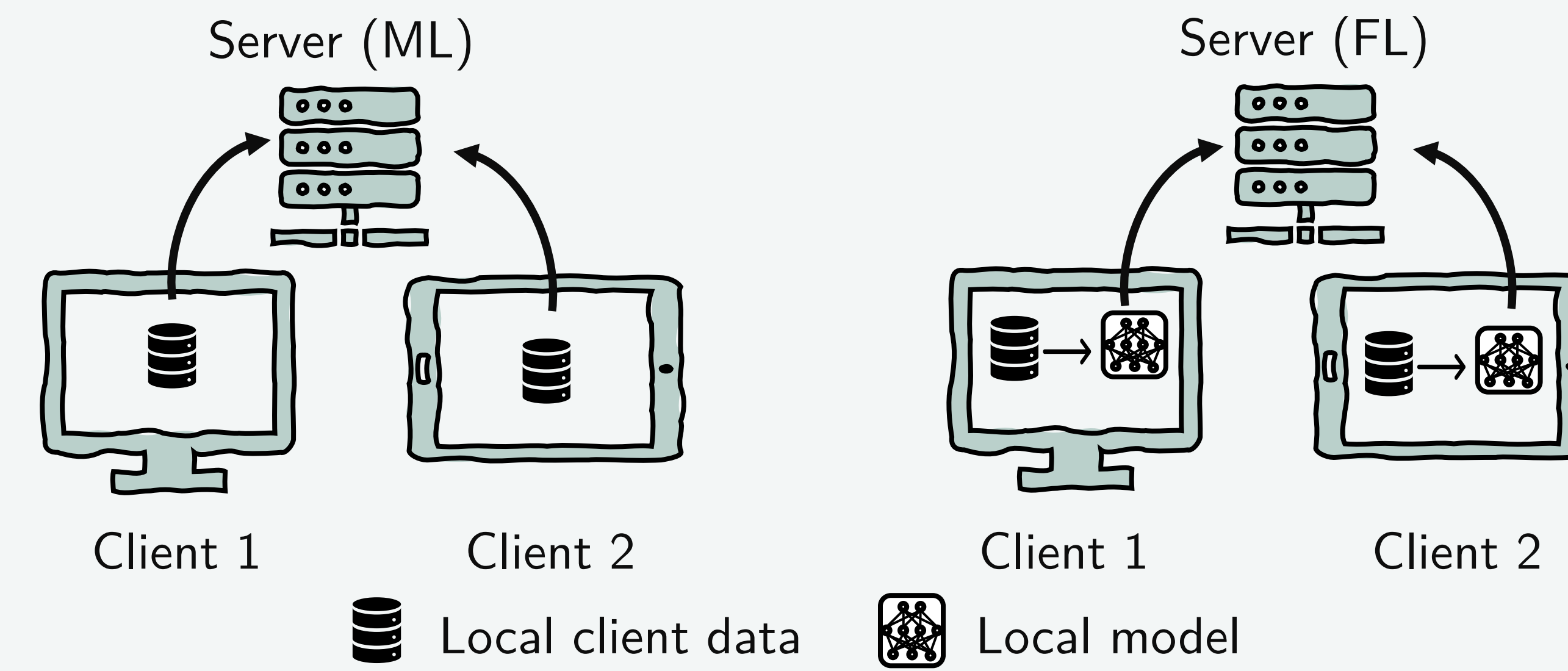
System Design and Practical Architecture

Chandra Gummaluru, Erick Mejia Uzeda,
Maggie Ding, Cynthia Liao

Supervisor: Ashish Khisti
Administrator: Phil Anderson

Traditional Machine Learning (ML)

Requires collecting a large quantity of potentially private data in a central location



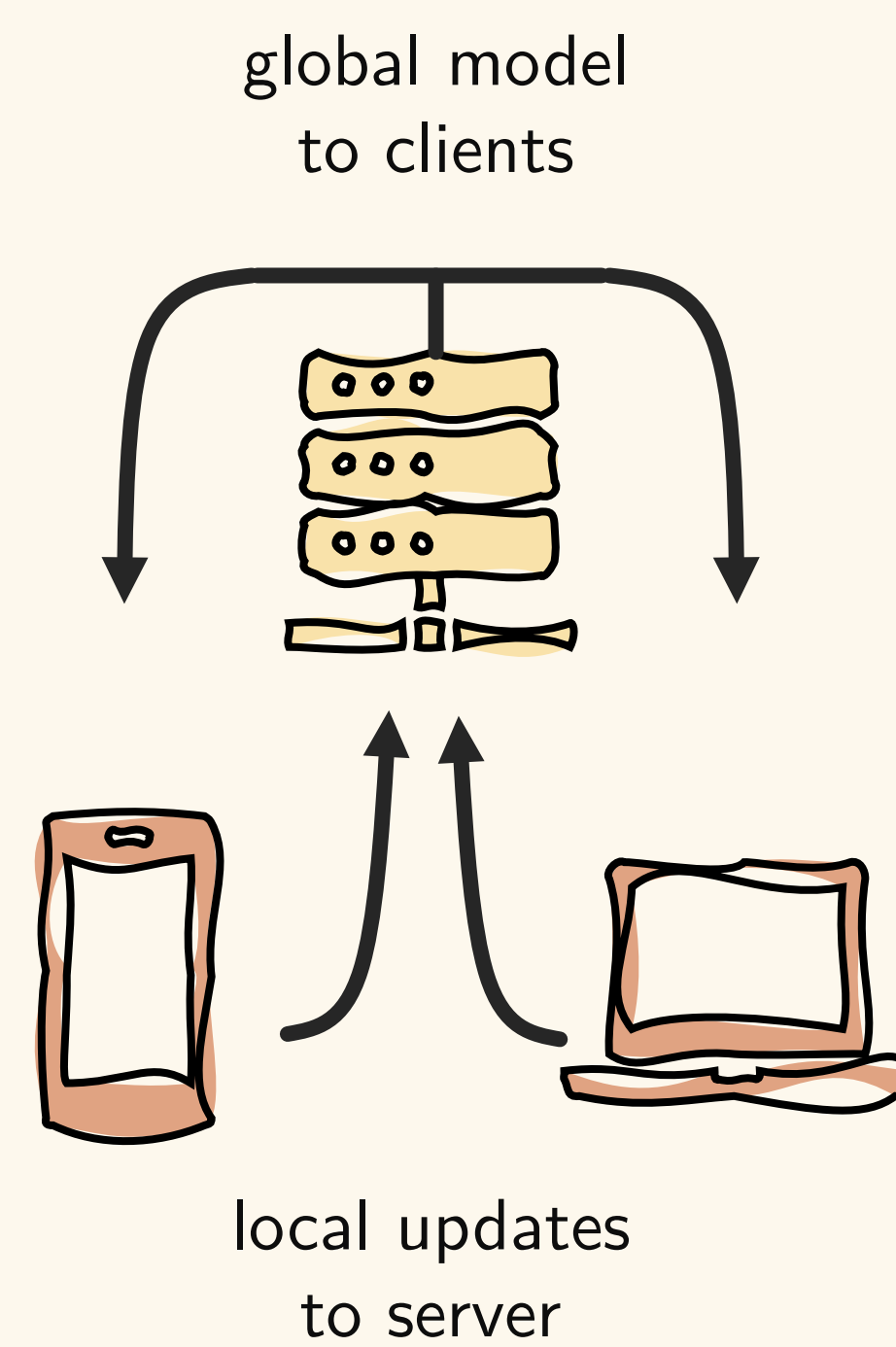
Federated Learning (FL)

Allows for decentralized collaborative learning without explicitly sharing client data

Goal: Address 3 Core Federated Learning Issues

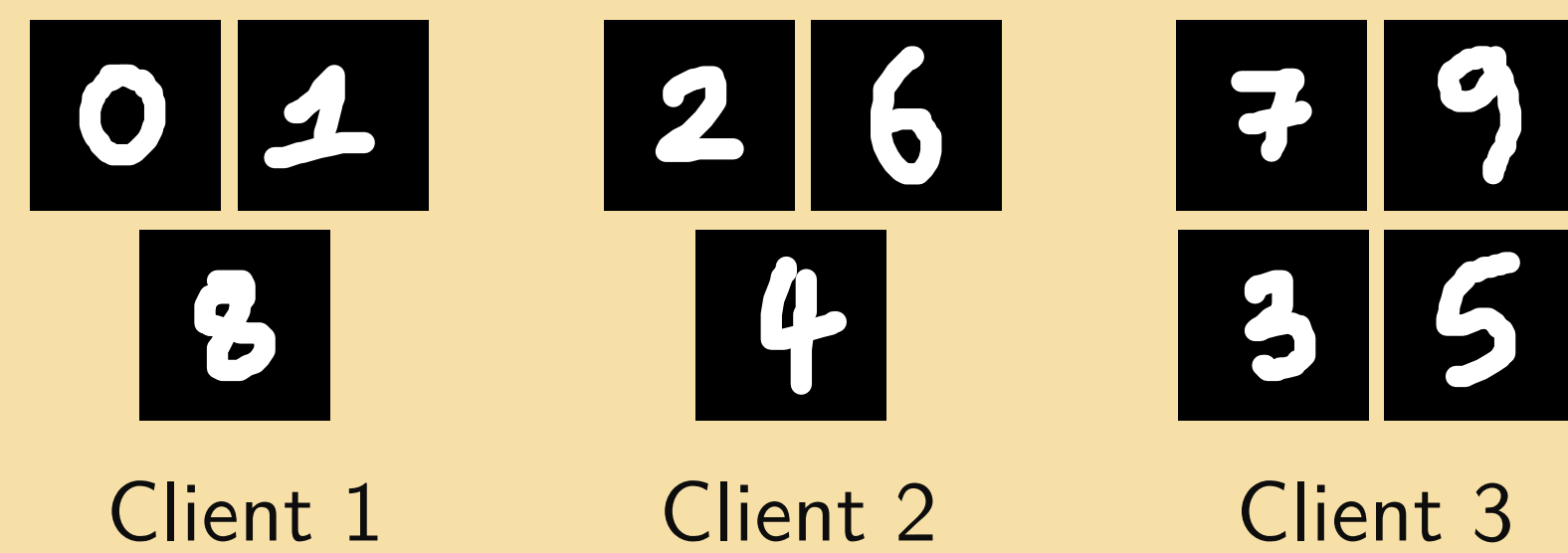
Naïve Federated Learning

- 1 Server sends global model to clients
- 2 Clients train model on local data
- 3 Clients send local updates to server
- 4 Server computes global update



Testing Specification

Train a digit classification model using 3 clients, where each one only has a subset of the digits



Results

Server learns about all digits with high accuracy and clients slowly do too

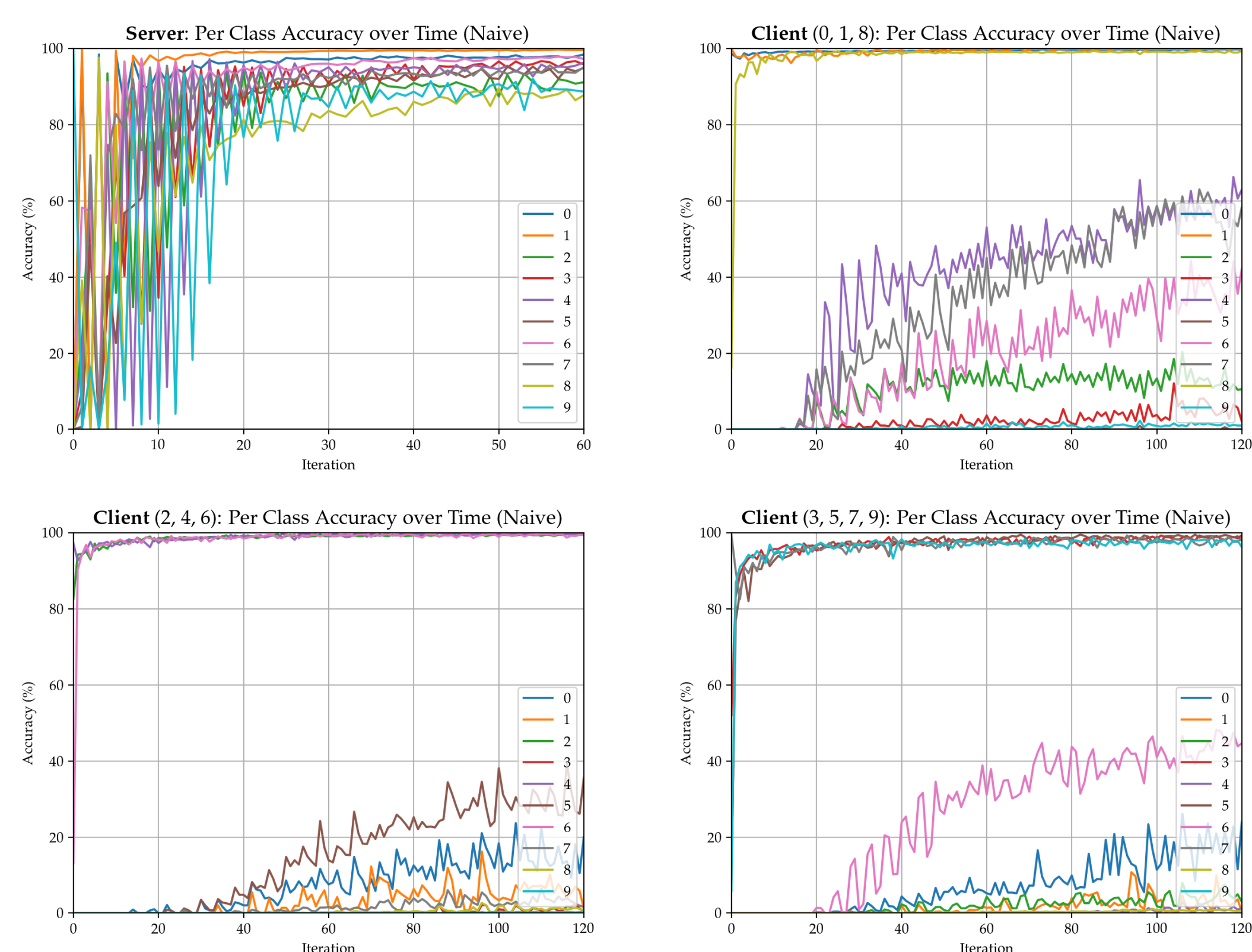


Figure 1: Server (top-left) and Clients (other) Testing Curves for Naïve FL

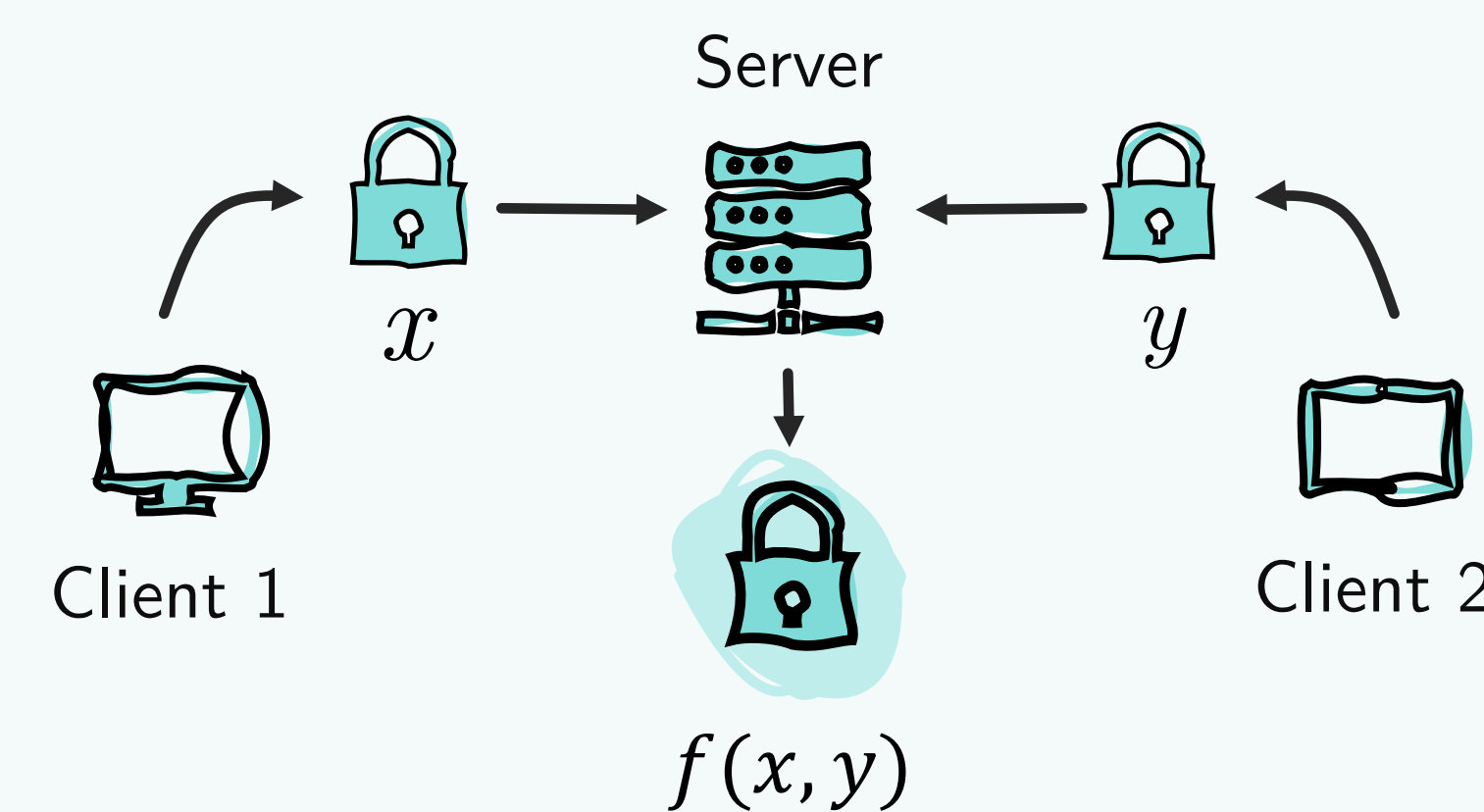
1 Data Privacy

Keep client data private while performing arithmetic on it

Solution

Multi-party Homomorphic Encryption

$$\text{enc}(x + y) = \text{enc } x \oplus \text{enc } y \text{ \& \text{enc}(x \times y) = \text{enc } x \otimes \text{enc } y}$$



Results

No impact on the model's performance despite rounding errors introduced by the scheme

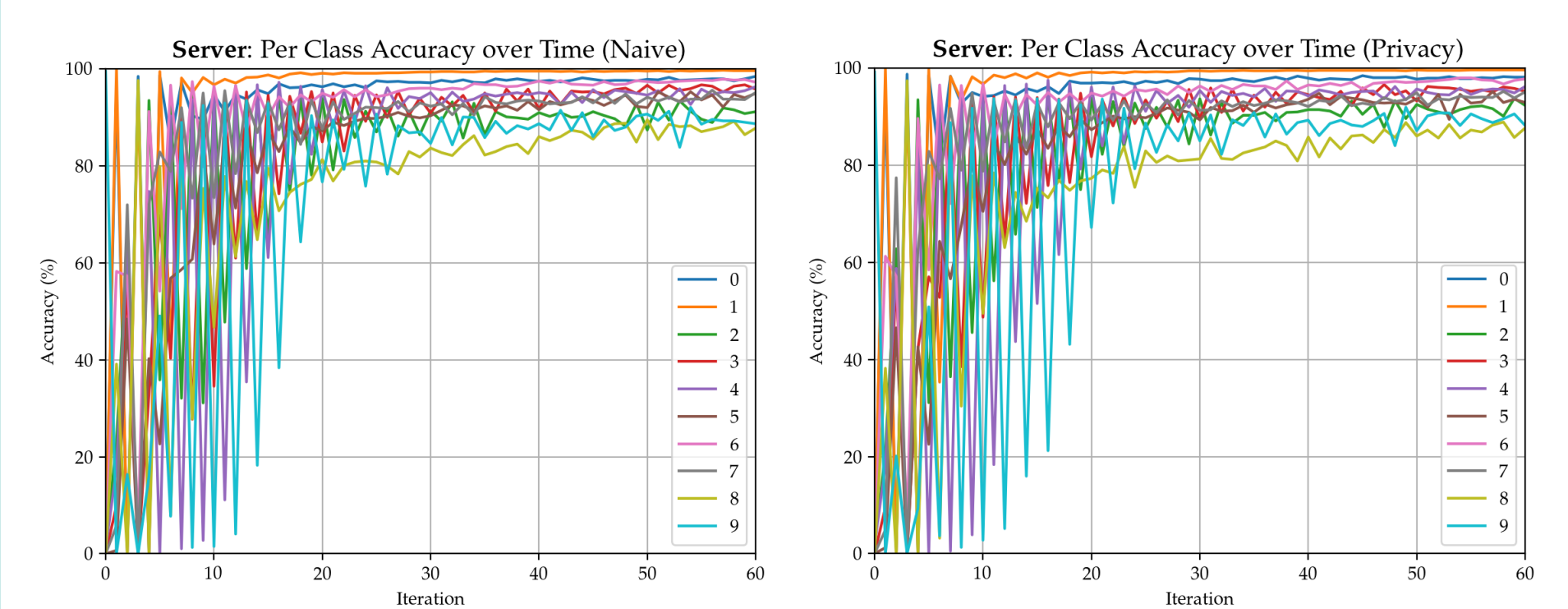


Figure 2: Naïve (left) and Multi-party Homomorphic Encryption (right) Testing Curves

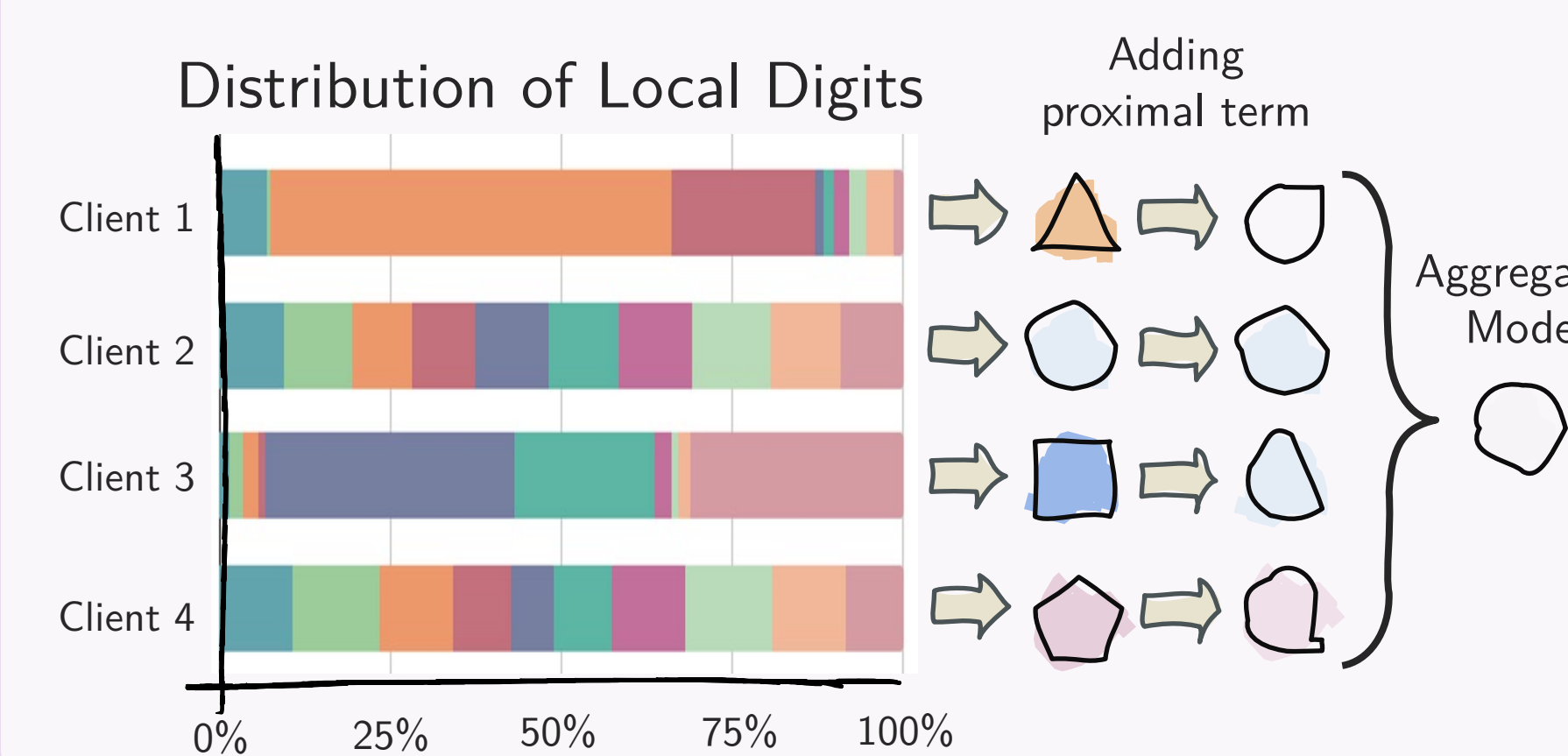
2 Non-IID Data

Each client's local dataset is very different, making server-side aggregation less accurate

Solution

FedProx: add proximal term to objective

$$F_k(w) + \frac{\mu}{2} \|w - w^t\|^2$$



Results

Smoothed out the oscillations in the testing curves with minor degradations in accuracy

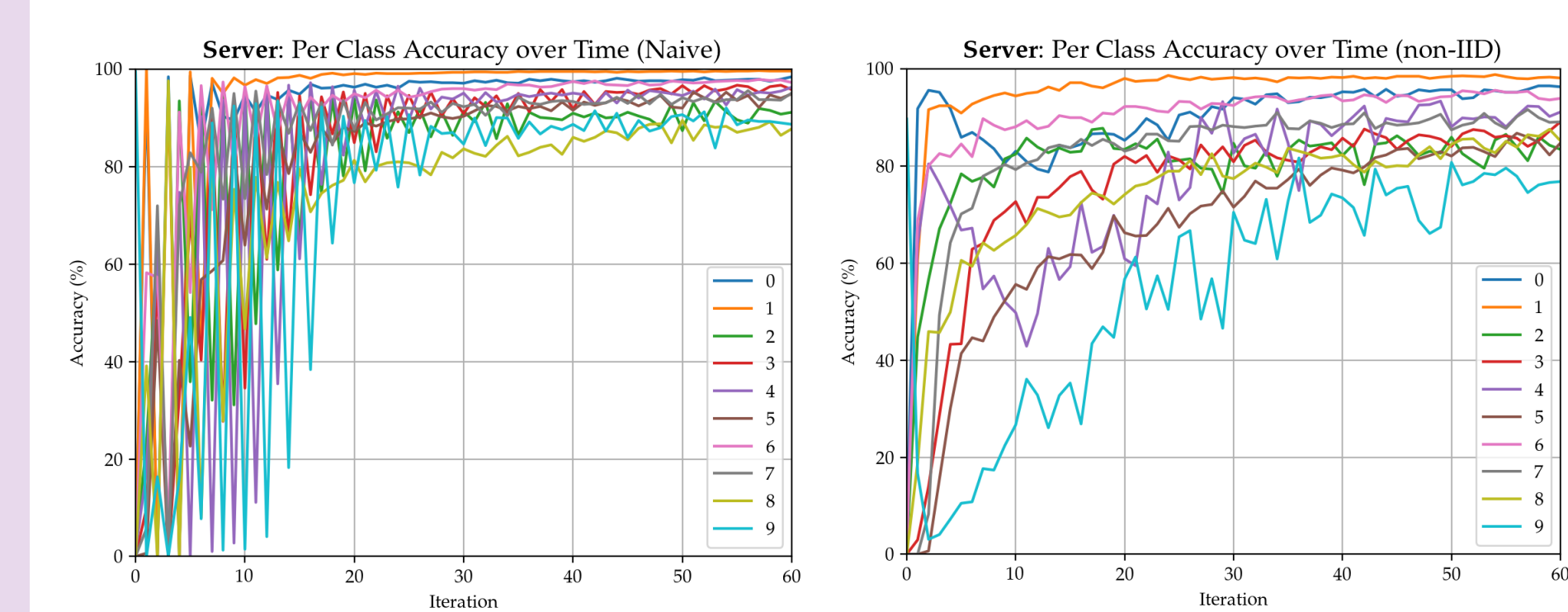


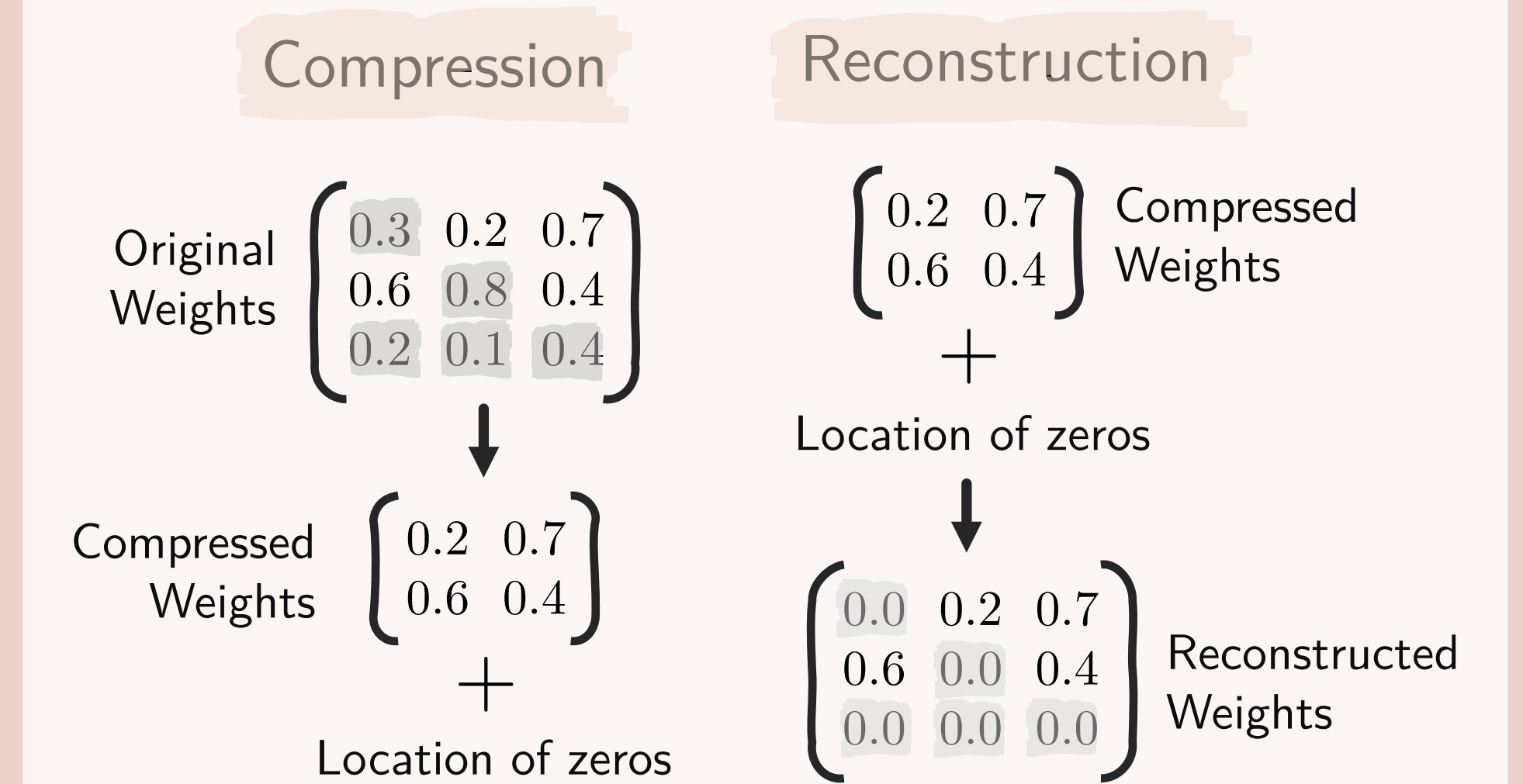
Figure 3: Naïve (left) and FedProx (right) Testing Curves

3 Communication Efficiency

Reduce the data transfer size to increase communication efficiency

Solution

Federated Dropout: zero out some terms



Results

Communication cost is reduced by 1% with minimal impact on overall performance

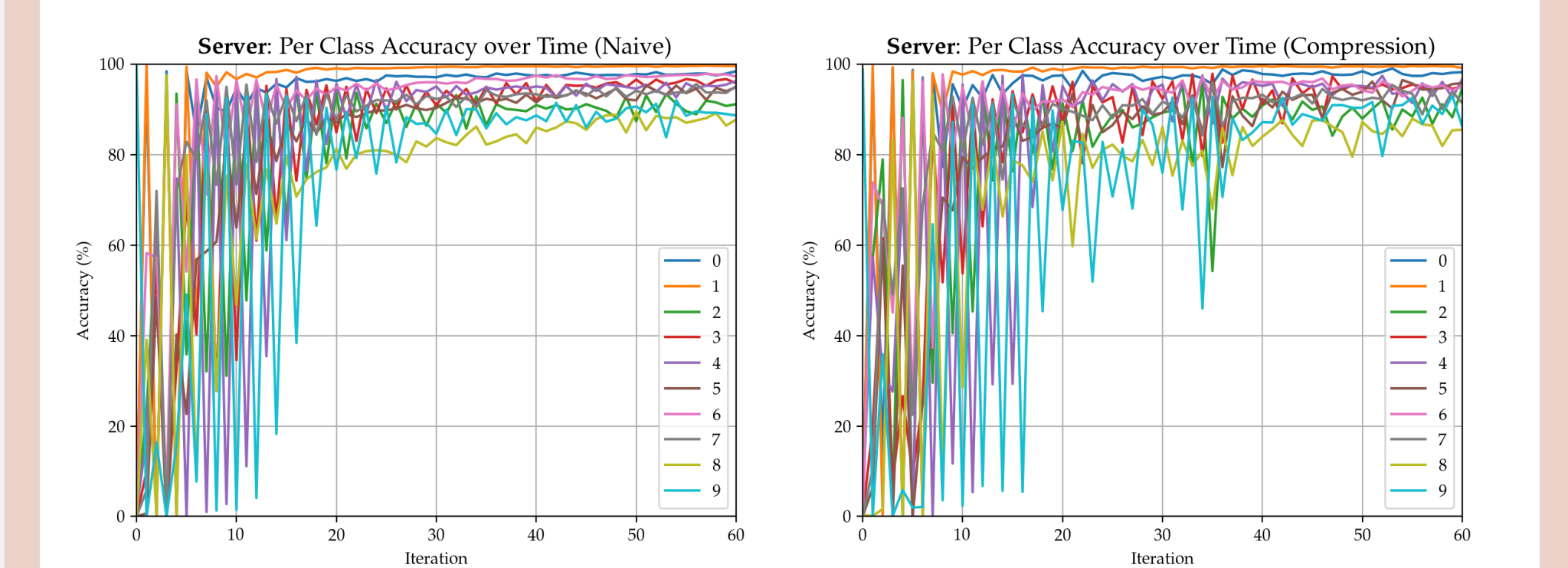
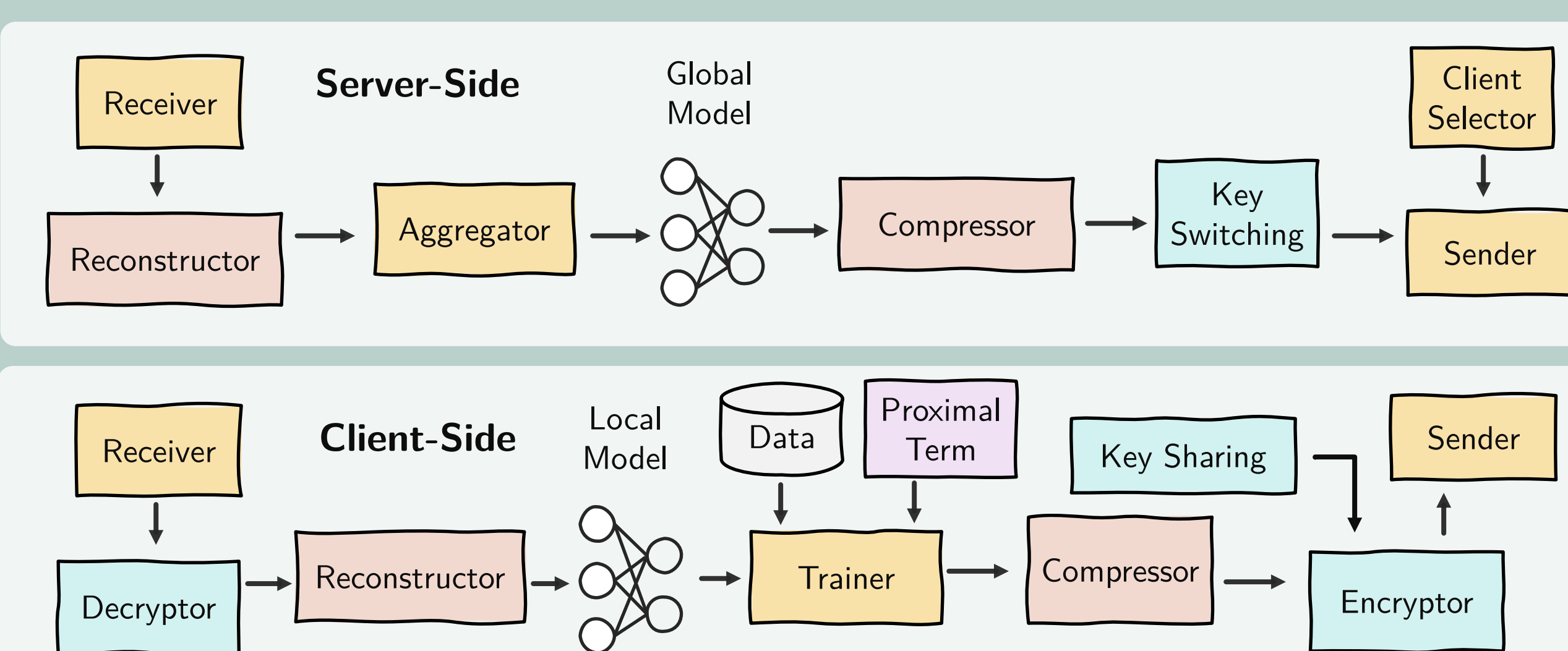


Figure 4: Naïve (left) and Federated Dropout (right) Testing Curves

Full System Pipeline



Overall Results

Slower but more stable convergence

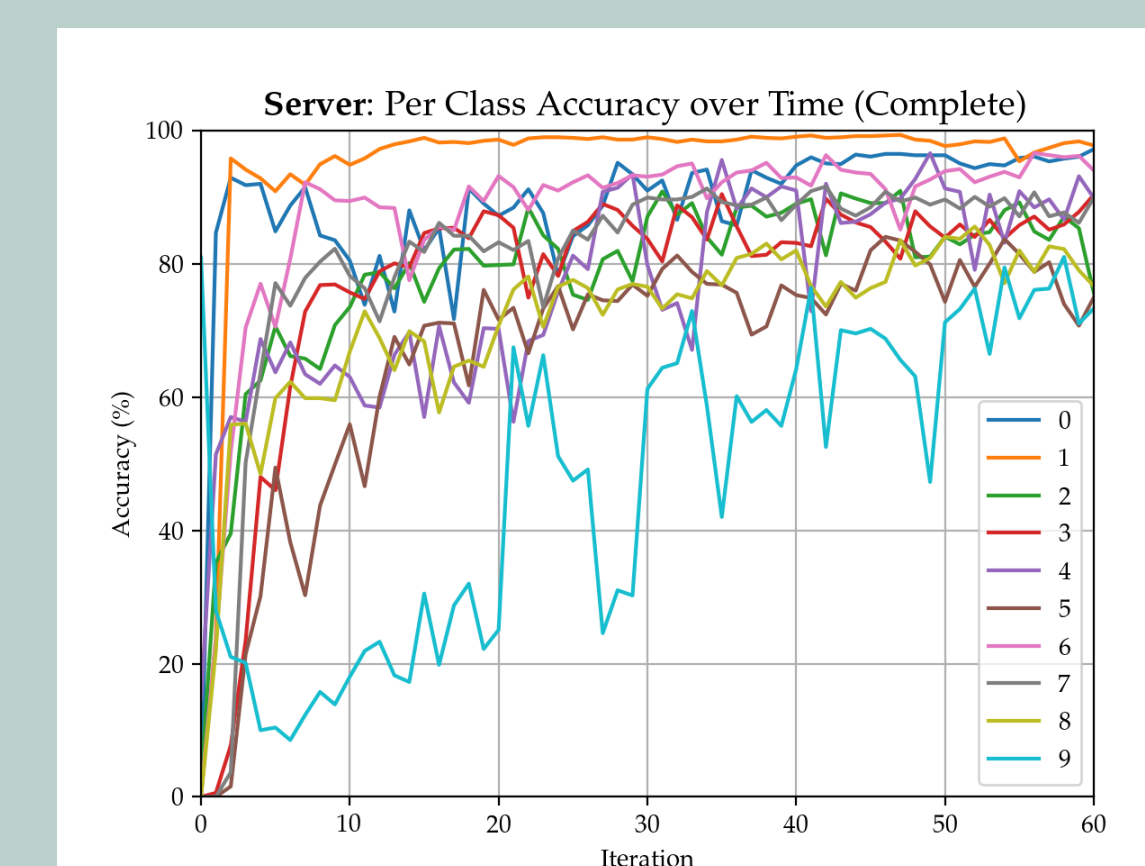


Figure 5: Testing Curves for the Overall System

Conclusion

Demonstrated the feasibility of creating a Federated Learning system that addresses the practical issues of privacy, non-IID data, and communication efficiency.

Future Work

- Benchmarking
- Unsupervised Learning
- Addressing Fairness