

Deep Learning Techniques for EHR Analysis

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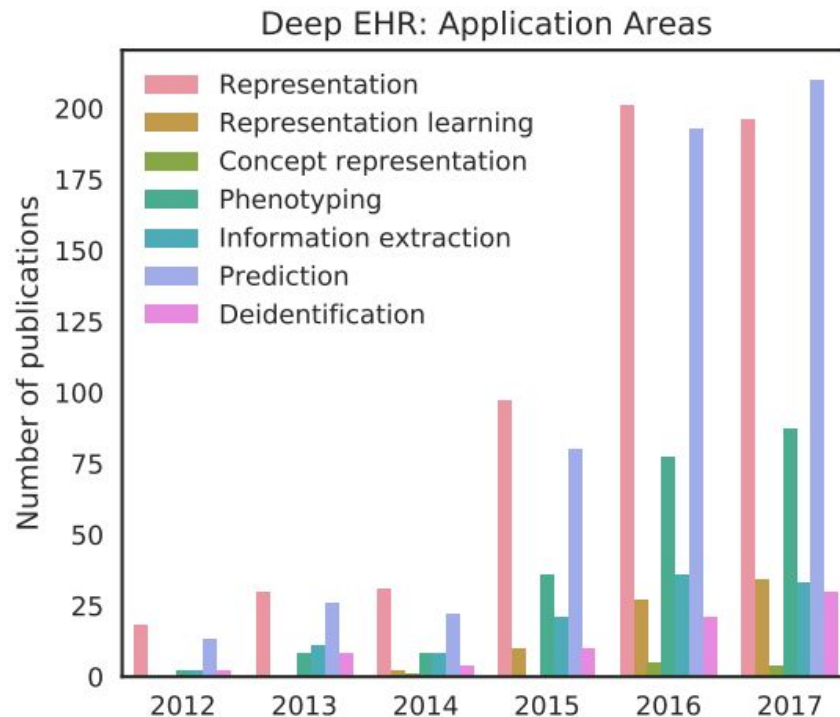
Outline of the Talk

1. Introduction
2. Motivation
3. The problem
4. Solution Approach
5. Results
6. Discussion
7. Future direction

Introduction

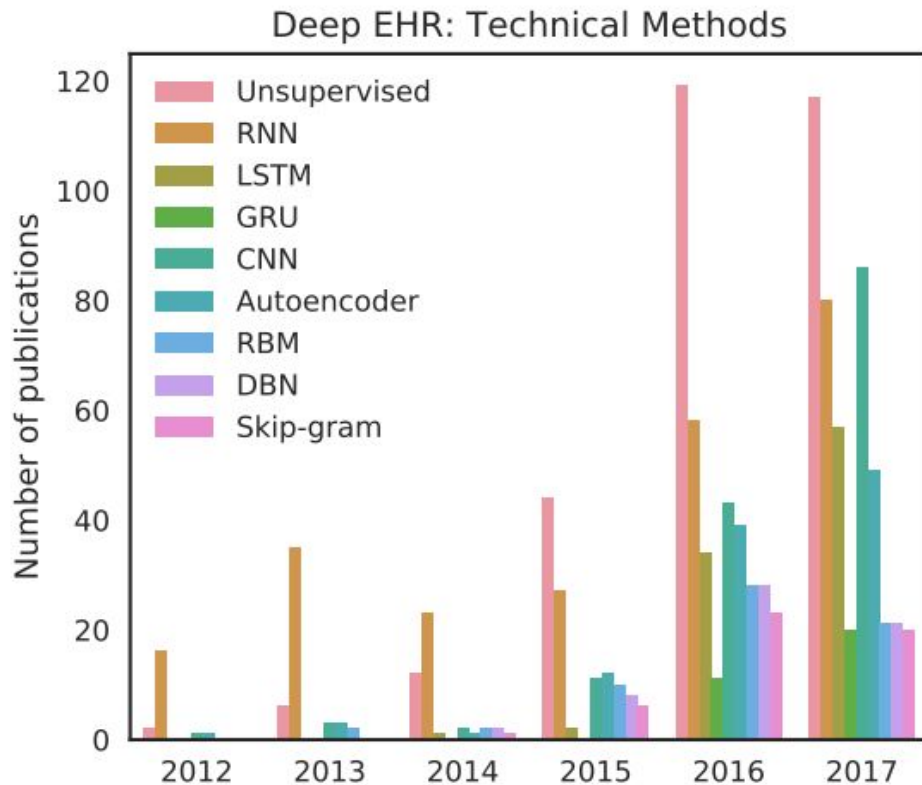
- Maintaining digital record of patient's history is crucial for diagnosing the problem.
- Traditional way: carry hard copies of reports and prescription such as x-rays, CT scan and related reports
- **The Problem: losing reports, forgetting to carry it is quite common.**
- The Solution: Past years have seen rapid growth in the volume of the digital health record also called Electronic Health Record (EHR).
- Previously, it was used mostly for administrative tasks such as billing, insurance etc.
- However, researchers have now realized that EHR has more potential than just billing etc.

Tasks on EHR data



Shickel, B., Tighe, P. J., Bihorac, A., & Rashidi, P. (2017). Deep EHR: a survey of recent advances in deep learning techniques for electronic health record (EHR) analysis. *IEEE journal of biomedical and health informatics*, 22(5), 1589-1604.

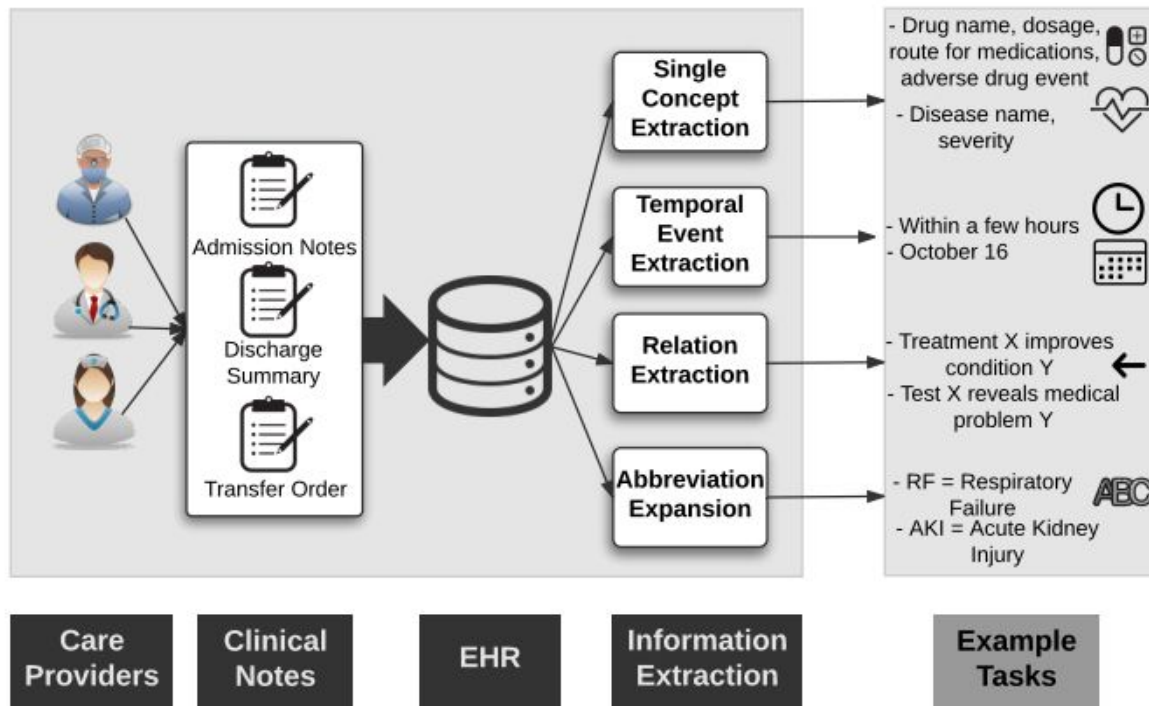
Tasks on EHR data



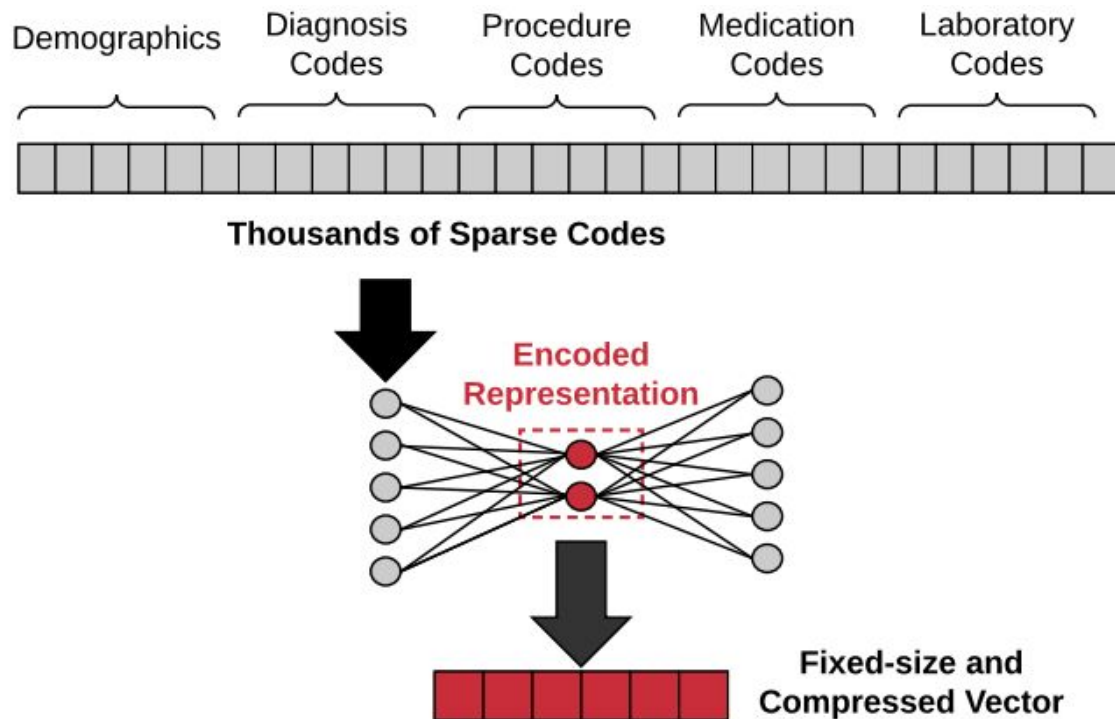
Tasks on EHR data

| Task | Subtasks | Input Data | Models |
|-------------------------|---|----------------|--|
| Information Extraction | (1) Single Concept Extraction (2) Temporal Event Extraction (3) Relation Extraction (4) Abbreviation Expansion | Clinical Notes | LSTM, Bi-LSTM, GRU, CNN RNN + Word Embedding AE Custom Word Embedding |
| Representation Learning | (1) Concept Representation (2) Patient Representation | Medical Codes | RBM, Skip-gram, AE, LSTM RBM, Skip-gram, GRU, CNN, AE |
| Outcome Prediction | (1) Static Prediction (2) Temporal Prediction | Mixed | AE, LSTM, RBM, DBN LSTM |
| Phenotyping | (1) New Phenotype Discovery (2) Improving Existing Definitions | Mixed | AE, LSTM, RBM, DBN LSTM |
| De-identification | Clinical text de-identification | Clinical Notes | Bi-LSTM, RNN + Word Embedding |

Clinical Information Extraction



Representation learning



Patient Outcome Prediction

| Outcome Type | Outcome | Model |
|--------------|-------------------------------|----------------|
| Static | Heart Failure | MLP [18] |
| | Hypertension | CNN [41] |
| | Infections | RBM [42] |
| | Osteoporosis | DBN [43] |
| | Suicide risk stratification | RBM [23] |
| Temporal | Cardiovascular, Pulmonary | CNN [44] |
| | Diabetes, Mental Health | LSTM [20] |
| | Re-admission | TCNN [19] |
| | Heart Failure | GRU [21], [38] |
| | Renal | RNN [47] |
| | Postoperative Outcomes | LSTM [46] |
| | Multi-outcome (78 ICD codes) | AE [14] |
| | Multi-outcome (128 ICD codes) | LSTM [45] |

Radiology Report Generation [2]

- Radiology report generation is automatic generation of report through the use of machine learning techniques.
- This offers potential to accelerate the report generation process which is time-consuming, repetitive, and error-prone.
- Current techniques suffer from incomplete and inconsistent report generation problem.

Medical Images



Reference Report

As compared to the previous radiograph, no relevant change is seen of the sternal wiring. Monitoring and support devices are constant in appearance. Constant low lung volumes with bilateral small pleural effusions and subsequent areas of atelectasis. Moderate cardiomegaly. No new parenchymal opacities.

Image
Encoder

Text
Decoder

completeness
+ consistency

Generated Report

As compared to prior chest radiograph from ____, there has been interval removal of the left chest tube. There is a small right pleural effusion. There is persistent atelectasis at the left lung base. There is no pneumothorax. Mild pulmonary edema is unchanged. The cardio-mediastinal silhouette is unchanged. Median sternotomy wires are intact.

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It is incomplete since it neglects a critical observation of **right pleural effusion** for **bilateral pleural effusions**

Medical Images



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It is also inconsistent since **atelectasis** is seen in **left lung** base along with **right pleural effusion**.

Medical Images



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it includes **pulmonary edema** which is not present in the image.

Highlights of the Paper

- The author present two new metrics for image-to-text radiology report generation, which focus on evaluating the factual completeness and consistency of generated reports, and a weak supervision-based approach for training a radiology-domain NLI model that realizes the metrics.
- They present a new radiology report generation model that directly optimizes the two new metrics with RL, and show its improved performance against existing models on two publicly available datasets.

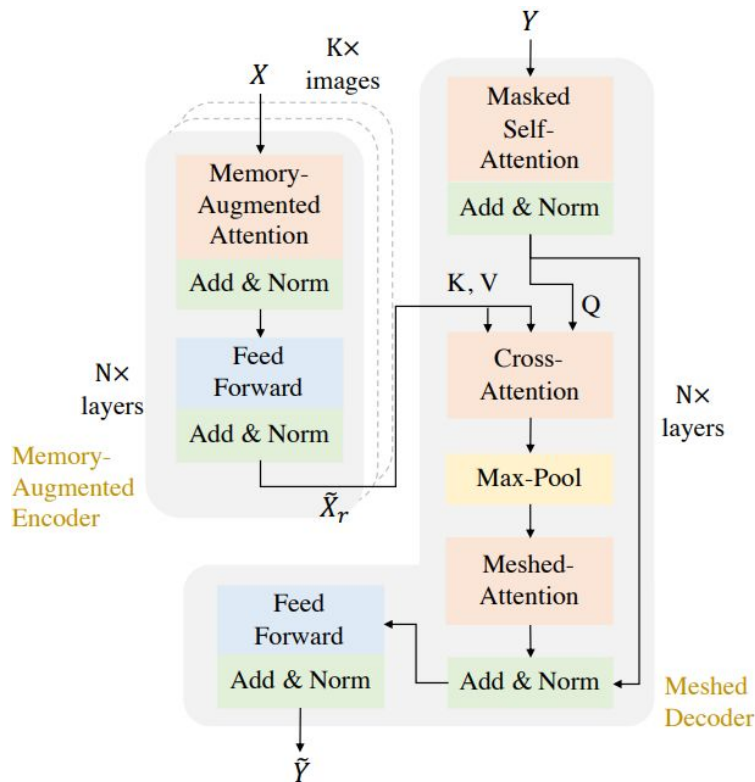
Image-to-Text Radiology Report Generation with Meshed-Memory Transformer

- Given K individual images $x_1 \dots x_K$ of a patient, our task involves generating a sequence of words to form a textual report \hat{y} , which describes the clinical observations in the images.

Image-to-Text Radiology Report Generation with Meshed-Memory Transformer

- Given K individual images $x_1 \dots x_K$ of a patient, the task involves generating a sequence of words to form a textual report \hat{y} , which describes the clinical observations in the images.
- This task has close resemblance to the image captioning task, with the difference that the input involves multiple images and the generated sequences are usually longer in the task.
-

Image-to-Text Radiology Report Generation with Meshed-Memory Transformer



Meshed Memory Transformer

- Given an image x , image regions are first extracted with a CNN as $X = \text{CNN}(x)$.
- X is then encoded with a memory-augmented attention process $\mathcal{M}_{\text{mem}}(X)$ as

$$\mathcal{M}_{\text{mem}}(\mathbf{X}) = \text{Att}(\mathbf{W}_q \mathbf{X}, \mathbf{K}, \mathbf{V})$$

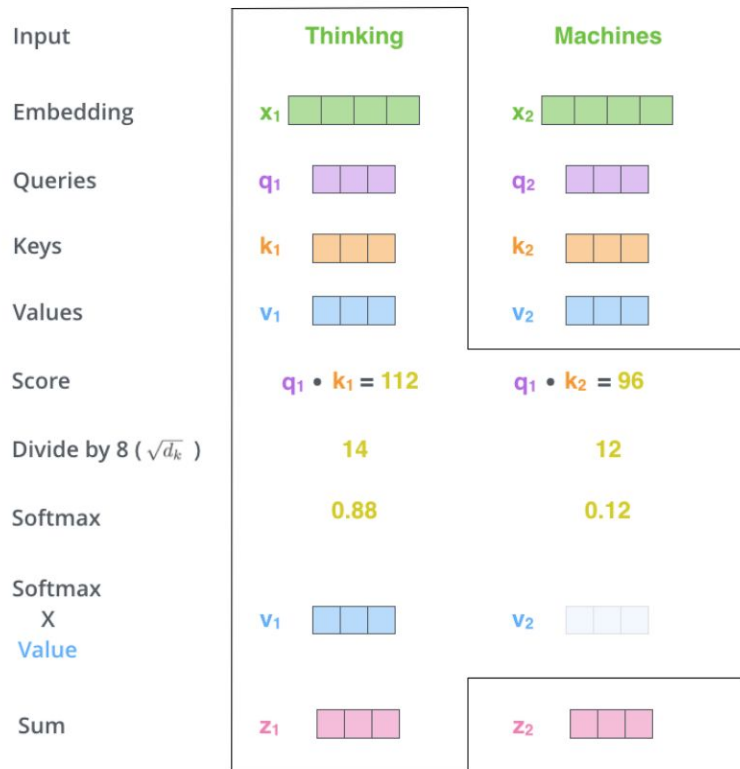
$$\text{Att}(\mathbf{Q}, \mathbf{K}, \mathbf{V}) = \text{softmax} \left(\frac{\mathbf{Q} \mathbf{K}^T}{\sqrt{d}} \right) \mathbf{V}$$

$$\mathbf{K} = [\mathbf{W}_k \mathbf{X}; \mathbf{M}_k]$$

$$\mathbf{V} = [\mathbf{W}_v \mathbf{X}; \mathbf{M}_v]$$

where $\mathbf{W}_q, \mathbf{W}_k, \mathbf{W}_v$ are weights, $\mathbf{M}_k, \mathbf{M}_v$ are memory matrices, d is a scaling factor, and $[\cdot; \cdot]$ is concatenation operation.

Attention in Transformer



Source: <http://jalammar.github.io/illustrated-transformer/>

Attention in Transformer- Matrix way

$$\begin{matrix} \text{X} \\ \begin{array}{|c|c|c|c|} \hline & & & \\ \hline & & & \\ \hline \end{array} \end{matrix} \times \begin{matrix} \text{W}^{\text{Q}} \\ \begin{array}{|c|c|c|c|} \hline & & & \\ \hline & & & \\ \hline & & & \\ \hline \end{array} \end{matrix} = \begin{matrix} \text{Q} \\ \begin{array}{|c|c|c|} \hline & & \\ \hline & & \\ \hline \end{array} \end{matrix}$$

$$\begin{matrix} \text{X} \\ \begin{array}{|c|c|c|c|} \hline & & & \\ \hline & & & \\ \hline \end{array} \end{matrix} \times \begin{matrix} \text{W}^{\text{K}} \\ \begin{array}{|c|c|c|c|} \hline & & & \\ \hline & & & \\ \hline & & & \\ \hline \end{array} \end{matrix} = \begin{matrix} \text{K} \\ \begin{array}{|c|c|c|} \hline & & \\ \hline & & \\ \hline \end{array} \end{matrix}$$

$$\begin{matrix} \text{X} \\ \begin{array}{|c|c|c|c|} \hline & & & \\ \hline & & & \\ \hline \end{array} \end{matrix} \times \begin{matrix} \text{W}^{\text{V}} \\ \begin{array}{|c|c|c|c|} \hline & & & \\ \hline & & & \\ \hline & & & \\ \hline \end{array} \end{matrix} = \begin{matrix} \text{V} \\ \begin{array}{|c|c|c|} \hline & & \\ \hline & & \\ \hline \end{array} \end{matrix}$$

Attention in Transformer- Matrix way

$$\text{softmax} \left(\frac{\begin{matrix} \textcolor{violet}{Q} \\ \begin{array}{|c|c|c|} \hline & & \\ \hline & & \\ \hline \end{array} \end{matrix} \times \begin{matrix} \textcolor{brown}{K}^T \\ \begin{array}{|c|c|} \hline & \\ \hline & \\ \hline & \\ \hline \end{array} \end{matrix} \right) \begin{matrix} \textcolor{blue}{V} \\ \begin{array}{|c|c|c|} \hline & & \\ \hline & & \\ \hline \end{array} \end{matrix}$$

=

$\textcolor{pink}{Z}$

$\begin{array}{|c|c|c|} \hline & & \\ \hline & & \\ \hline \end{array}$

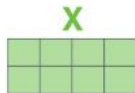
The self-attention calculation in matrix form

Attention in Transformer- Matrix way

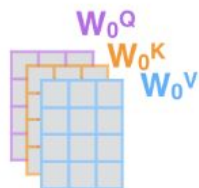
1) This is our input sentence*

Thinking
Machines

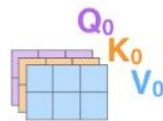
2) We embed each word*



3) Split into 8 heads.
We multiply X or R with weight matrices



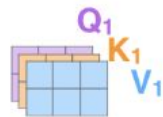
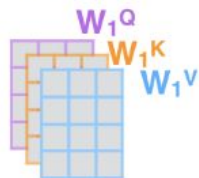
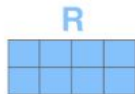
4) Calculate attention using the resulting $Q/K/V$ matrices



5) Concatenate the resulting Z matrices, then multiply with weight matrix W^O to produce the output of the layer



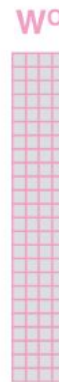
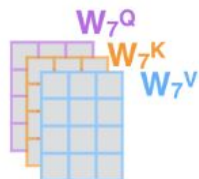
* In all encoders other than #0, we don't need embedding. We start directly with the output of the encoder right below this one



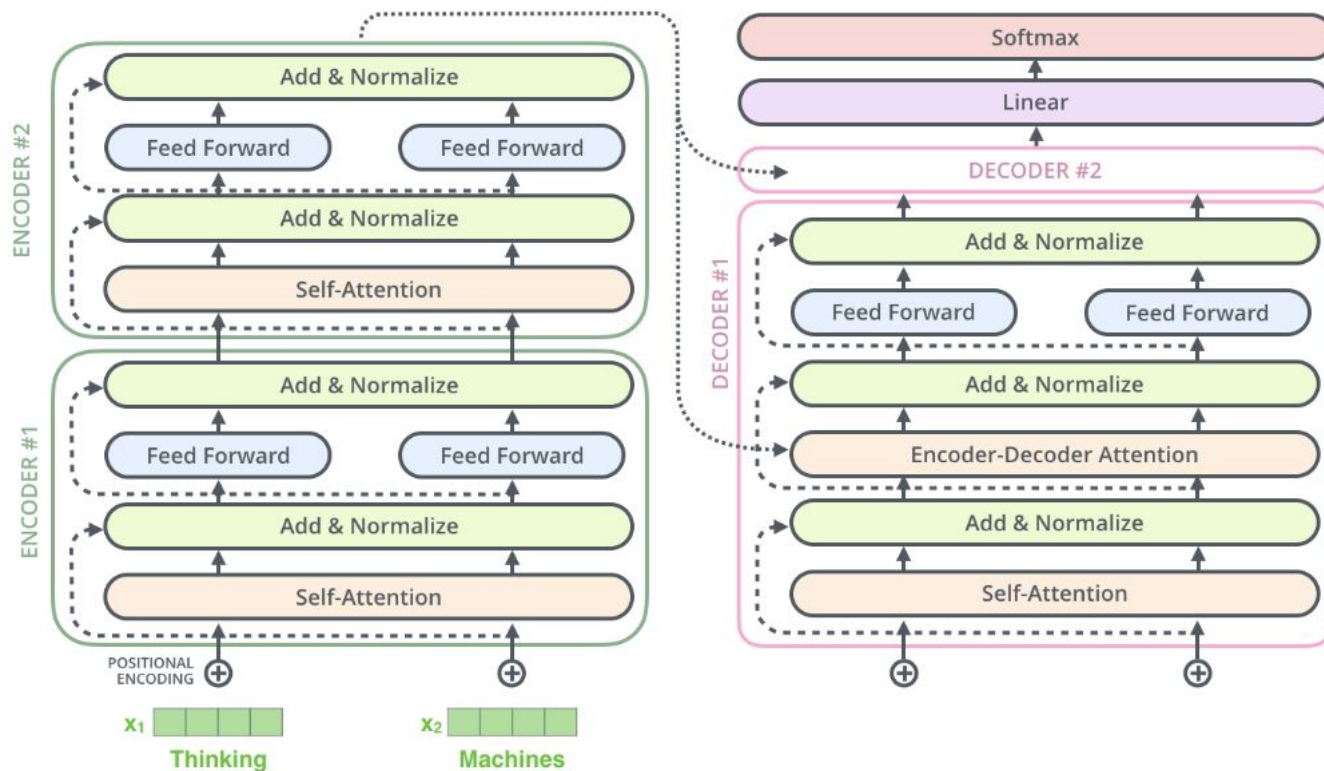
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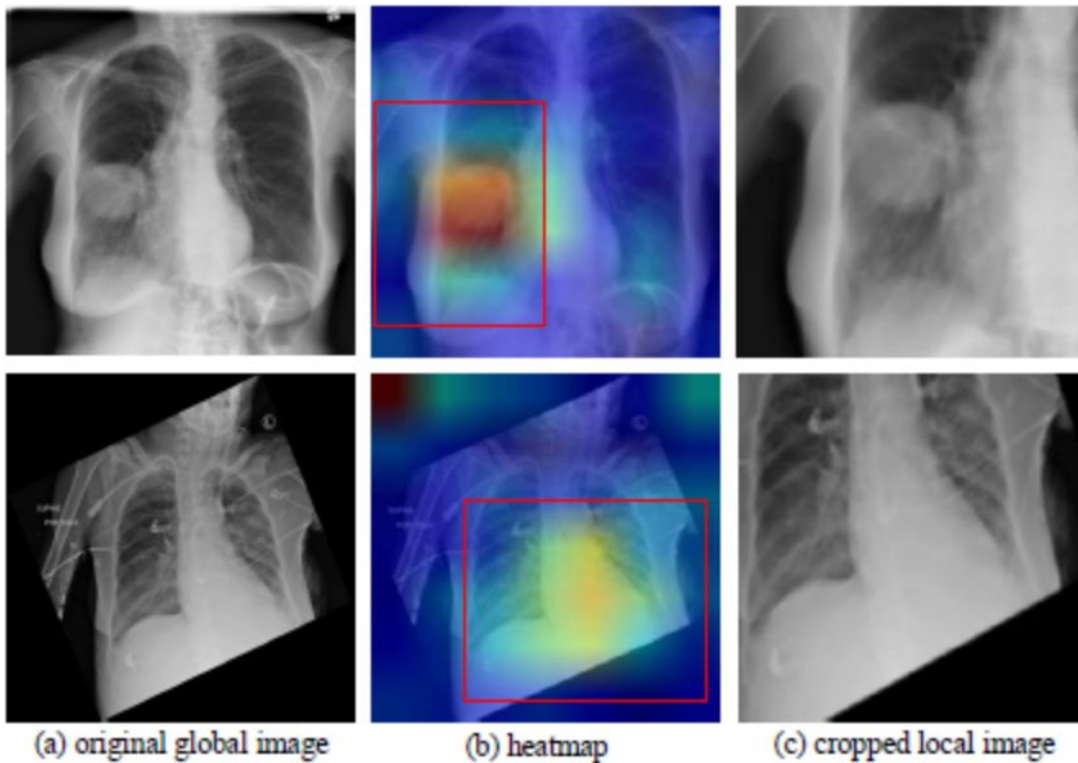
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The Transformer

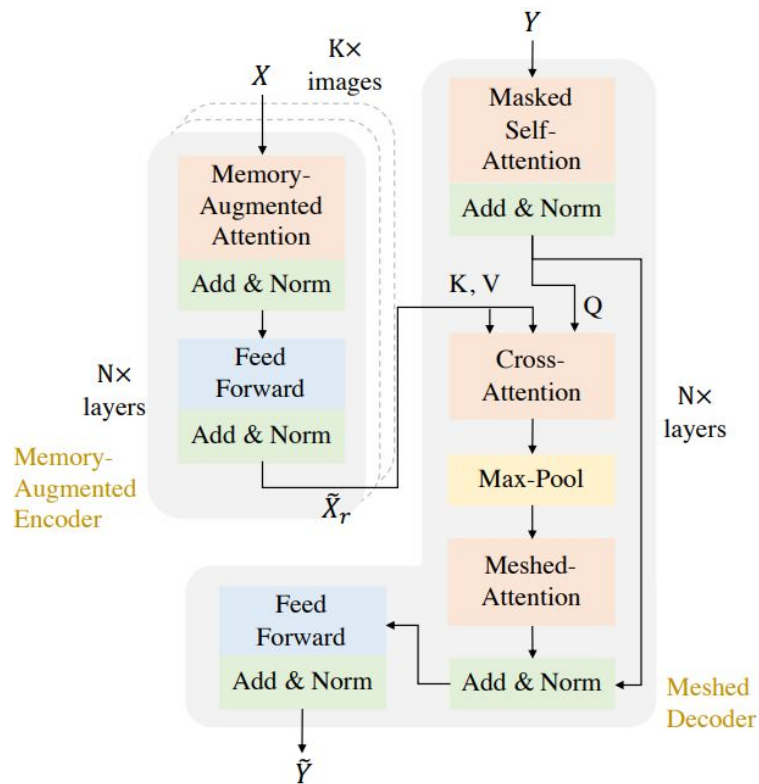


Why Attention?



Source: see ref [3]

Back to Meshed-Memory Transformer



$$\mathcal{M}_{\text{mesh}}(\tilde{X}_{N,K}, \ddot{Y}) = \sum_n \alpha_n \odot \mathcal{C}(\tilde{X}_{n,K}, \ddot{Y})$$

$$\mathcal{C}(\tilde{X}_{n,K}, \ddot{Y}) = \max_K (\text{Att}(W_q \ddot{Y}, W_k \tilde{X}_{n,K}, W_v \tilde{X}_{n,K}))$$

$$\alpha_n = \sigma \left(W_n[Y; \mathcal{C}(\tilde{X}_{n,K}, \ddot{Y})] + b_n \right)$$

Where \odot is element-wise multiplication, \max_K is maxpooling over K images, σ is sigmoid function, W_n is a weight, and b_n is a bias. The weighted summation in $\mathcal{M}_{\text{mesh}}(\tilde{X}_{N,K}, \ddot{Y})$ exploits both low-level and high-level information from the N stacked encoder.

Optimization with Factual Completeness and Consistency

Exact Entity Match Score : designed to measure factual completeness. A named entity recognizer is applied against \hat{y} and the corresponding reference report y . Given entities E_{gen} and E_{ref} recognized from y_{gen} and y_{ref} respectively, precision (pr) and recall (rc) of entity match are calculated as

$$\begin{aligned} \text{pr}_{\text{ENT}} &= \frac{\sum_{e \in E_{gen}} \delta(e, E_{ref})}{|E_{gen}|} \\ \text{rc}_{\text{ENT}} &= \frac{\sum_{e \in E_{ref}} \delta(e, E_{gen})}{|E_{ref}|} \\ \delta(e, E) &= \begin{cases} 1, & \text{for } e \in E \\ 0, & \text{otherwise} \end{cases} \end{aligned}$$

The harmonic mean of precision and recall is taken as fact_{ENT}

Contd...

fact_{ENTNLI} Entailing Entity Match Score: an F-score style metric that expands factENT with NLI to evaluate factual consistency. δ is expanded to

$$\phi(e, E) = \begin{cases} 1, & \text{for } e \in E \wedge \text{NLI}_e(\mathbf{P}, h) \neq \text{contradiction} \\ 1, & \text{for } \text{NLI}_e(\mathbf{P}, h) = \text{entailment} \\ 0, & \text{otherwise} \end{cases}$$

$$\text{NLI}_e(\mathbf{P}, h) = \text{NLI}_s(p, h) \text{ where } \arg \max_{p \in \mathbf{P}} \text{sim}(h, p)$$

The Loss function

A loss L is minimized as the negative expectation of the reward r and its gradient is estimated with a single Monte Carlo sample as




$$\nabla_{\theta} \mathcal{L}(\theta) = -\nabla_{\theta} \log P_{\theta}(y|x_{1...K}) (r(\hat{y}_{sp}) - r(\hat{y}_{gd}))$$

where \hat{y}_{sp} is a sampled text and \hat{y}_{gd} is a greedy decoded text. They combine a factual metric loss with a language model loss and an NLG loss as

$$\mathcal{L} = \lambda_1 \mathcal{L}_{\text{NLL}} + \lambda_2 \mathcal{L}_{\text{NLG}} + \lambda_3 \mathcal{L}_{\text{FACT}}$$

Results

Dataset: MIMIC-CXR dataset

| | Images | Reference | NLL + BERTScore | NLL + BERTScore + fact _{ENTNLI} |
|-----------|---|---|---|---|
| Example 1 |  | As compared to the previous radiograph, no relevant change is seen of the sternal wiring. Monitoring and support devices are constant in appearance. Constant low lung volumes with <u>bilateral small pleural effusions and sub-segmental areas of atelectasis</u> . Moderate cardiomegaly. No new parenchymal opacities. | As compared to prior chest radiograph from ---, there has been interval removal of the left chest tube. There is a <u>small right pleural effusion</u> . There is <u>persistent atelectasis at the left lung base</u> . There is no pneumothorax. <u>Mild pulmonary edema</u> is unchanged. The cardiome-diastinal silhouette is unchanged. Median sternotomy wires are intact. | The cardiome-diastinal and hilar contours are stable. The lung volumes are lower compared to the prior chest radiograph. <u>Small bilateral pleural effusions</u> are present. There is <u>bibasilar atelectasis</u> . <u>Mild pulmonary edema</u> is unchanged. There is no pneumothorax. |
| | Images | Reference | NLL + BERTScore + fact _{ENT} | NLL + BERTScore + fact _{ENT} |
| Example 2 |  | PA and lateral views of the chest are obtained. There is <u>mild atelectasis at the left lung base</u> . The previously seen endotracheal tube and nasogastric tube are no longer present on this study. There is no evidence of pneumonia, pleural effusion or pulmonary edema. The cardiome-diastinal silhouette is unremarkable. | Heart size is normal. The mediastinal and hilar contours are normal. The lungs are clear without focal consolidation. No pleural effusion or pneumothorax is seen. There are no acute skeletal findings. | Heart size is normal. The mediastinal and hilar contours are normal. The lung volumes are low. There is <u>minimal atelectasis at the left lung base</u> . Lungs are otherwise clear without focal consolidation. No pleural effusion or pneumothorax is seen. |
| | Images | Reference | NLL + BERTScore + fact _{ENT} | NLL + BERTScore + fact _{ENTNLI} |
| Example 3 |  | There is <u>moderate pulmonary edema</u> , but no pleural effusion or pneumothorax. Heart size is top-normal, stable. Mediastinal contours are within normal limits. Osseous structures are intact. | Heart size remains mildly enlarged. The mediastinal and hilar contours are unchanged. There is <u>mild pulmonary edema</u> . <u>Minimal atelectasis</u> is noted in the <u>lung bases</u> . No focal consolidation, pleural effusion or pneumothorax is seen. Median sternotomy wires are intact. | The cardiome-diastinal and hilar contours are normal. The heart is mildly enlarged. The patient is status post median sternotomy. The lung volumes are lower compared to the prior chest radiograph. <u>Mild pulmonary edema</u> is noted. There is no focal consolidation. No pleural effusion or pneumothorax is seen. Median sternotomy wires and mediastinal clips are noted. |

References

- Shickel, B., Tighe, P. J., Bihorac, A., & Rashidi, P. (2017). Deep EHR: a survey of recent advances in deep learning techniques for electronic health record (EHR) analysis. *IEEE journal of biomedical and health informatics*, 22(5), 1589-1604.
- Miura, Y., Zhang, Y., Langlotz, C. P., & Jurafsky, D. (2020). Improving Factual Completeness and Consistency of Image-to-Text Radiology Report Generation. *arXiv preprint arXiv:2010.10042*.
- Guan, Qingji, et al. “Diagnose like a radiologist: Attention guided convolutional neural network for thorax disease classification.” *arXiv preprint arXiv:1801.09927* (2018).