



ICPSR 3689

Evaluation of Hung Juries in Bronx County, New York, Los Angeles County, California, Maricopa County, Arizona, and Washington, DC, 2000-2001

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Codebook and Data Collection Instrument

First ICPSR Version
October 2003



National Institute of Justice
Data Resources Program



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Data Collection Description

Principal Investigator(s): Paula L. Hannaford-Agor, Valerie P. Hans, Nicole L. Mott, G. Thomas Munsterman

Title: Evaluation of Hung Juries in Bronx County, New York, Los Angeles County, California, Maricopa County, Arizona, and Washington, DC, 2000-2001

ICPSR Study Number: 3689

Funding Agency: United States Department of Justice. National Institute of Justice

Grant Number: 98-IJ-CX-0048

Summary: This study was undertaken for the purpose of providing an empirical picture of hung juries. Researchers were able to secure the cooperation of four courts: (1) Bronx County Supreme Court in New York, (2) Los Angeles County Superior Court in California, (3) Maricopa County Superior Court in Arizona, and (4) District of Columbia Superior Court in Washington, DC. The four sites were responsible for distributing and collecting questionnaire packets to all courtrooms hearing non-capital felony jury cases. Each packet contained a case data form requesting information about case characteristics (Part 1) and outcomes (Part 2), as well as survey questionnaires for the judges (Part 3), attorneys (Part 4), and jurors (Part 5). The case data form requested type of charge, sentence range, jury's decision, demographic information about the defendant(s) and the victim(s), voir dire (jury selection process), trial evidence and procedures, and jury deliberations. The judge questionnaire probed for evaluation of the evidence, case complexity, attorney skill, likelihood that the jury would hang, reaction to the verdict, opinions regarding the hung jury rate in the jurisdiction, and experience on the bench. The attorney questionnaire requested information assessing the voir dire, case complexity, attorney skill, evaluation of the evidence, reaction to the verdict, opinions regarding the hung jury rate in the jurisdiction, and experience in legal practice. If the jury hung, attorneys also provided their views about why the jury was unable to reach a verdict. Finally, the juror questionnaire requested responses regarding case complexity, attorney skill, evaluation of the evidence, formation of opinions, dynamics of the deliberations including the first and final votes, juror participation, conflict, reaction to the verdict, opinions about applicable law, assessment of criminal justice in the community, and demographic information.

Universe: Courtrooms in four counties (Bronx County, New York, Los Angeles County, California, Maricopa County, Arizona, and Washington, DC) hearing non-capital felony jury cases.

Data Source: A court clerk and/or judge completed the survey information used in Part 1 and collected the administrative records data used in Part 2. Self-enumerated survey questionnaires were administered to judges in Part 3, to attorneys in Part 4, and to jurors in Part 5.

Sample:	The sites were selected through convenience sampling. Site selection was based on several criteria. First, each site had to have a sufficiently high volume of felony jury trials to permit data collection within a reasonable period of time. Second, court personnel had to be willing to cooperate with data collection, including the court's agreement to adhere to privacy and confidentiality protocols. Finally, institutional characteristics were considered. Two sites were included because of reported concerns about hung jury rates (Los Angeles and Washington, DC). Arizona was chosen to examine the potential impact of an innovative procedure that permits judges to allow further evidence and arguments when a jury reports that it is deadlocked. Researchers approached the New York State Office of Court Administration for suggestions on high-volume courts in New York City, and they were helpful in securing the cooperation of the Bronx County Supreme Court.
Date of Collection:	Data were collected in Los Angeles from June 2000 through October 2000. Maricopa County began data collection in November of 2000 and ended in October 2001. Data from Bronx County were collected from February through August 2001, and data from Washington, DC, were collected from April through August 2001.
Response Rates:	The response rate for Parts 1 and 2 was 89 percent. For Part 3, the response rate was 91 percent. The response rate for Part 4 was 69 percent for defense attorneys and 72 percent for prosecuting attorneys. The response rate for Part 5 was 80 percent.
Presence of Common Scales:	Several Likert-type scales were used.
Unit of Observation:	court cases
Geographic Unit:	none
Data Collection Notes:	The user guide, codebook, and data collection instruments are provided by ICPSR as Portable Document Format (PDF) files. The PDF file format was developed by Adobe Systems Incorporated and can be accessed using PDF reader software, such as the Adobe Acrobat Reader. Information on how to obtain a copy of the Acrobat Reader is provided on the ICPSR Web site.
Extent of Collection:	5 data files + machine-readable documentation (PDF) + SAS data definition statements + SPSS data definition statements
Extent of Processing:	Missing data codes were standardized by the principal investigator and ICPSR. ICPSR checked for undocumented codes, produced a codebook, generated SAS and SPSS data definition statements, converted the hardcopy documentation to a PDF file, and reformatted the data and documentation.
Data Format:	Logical Record Length with SAS and SPSS data definition statements

File Specifications

<i>Part No.</i>	<i>Part Name</i>	<i>File Structure</i>	<i>Case Count</i>	<i>Variable Count</i>	<i>LRECL</i>	<i>Records Per Case</i>
1	Case Data	rectangular	351	68	129	1
2	Count Sheet Data	rectangular	379	20	43	1
3	Judge Survey Data	rectangular	358	27	54	1
4	Attorney Survey Data	rectangular	569	23	47	1
5	Juror Survey Data	rectangular	3,497	83	169	1

Related Publications

Hannaford, Paula L., Valerie P. Hans, and G. Thomas Munsterman. "How Much Justice Hangs in the Balance? A New Look at Hung Jury Rates." JUDICATURE 83,2 (September-October 1999), 59-67.

Hannaford-Agor, Paula L., and Valerie P. Hans. "Nullification at Work? A Glimpse From the National Center for State Courts Study of Hung Juries." CHICAGO-KENT LAW REVIEW 78,3 (2003) 1249-1277.

Hannaford-Agor, Paula L., Valerie P. Hans, Nicole L. Mott, and G. Thomas Munsterman. "Are Hung Juries a Problem?" NCJ 201096. Washington, DC: United States Department of Justice. National Institute of Justice, September, 2002.

Hannaford-Agor, Paula L., Valerie P. Hans, Nicole L. Mott, and G. Thomas Munsterman. "Are Hung Juries a Problem?" (Executive Summary). NCJ 199372. Washington, DC: United States Department of Justice. National Institute of Justice, September, 2002.

Hans, Valerie P., Paula L. Hannaford-Agor, Nicole L. Mott, and G. Thomas Munsterman. "The Hung Jury: The American Jury's Insights and Contemporary Understanding." CRIMINAL LAW BULLETIN 39,1 (January-February 2003), 33-50.

TABLE OF CONTENTS

	Page
Codebook Notes	1
Codebook for Part 1	3
Codebook for Part 2	13
Codebook for Part 3	17
Codebook for Part 4	23
Codebook for Part 5	29
Data Collection Instruments Supplied by the Principal Investigators	47

ICPSR CODEBOOK NOTES

1. ICPSR recoded system missing values to a 9-series and labeled them "Missing" for Parts 1, 2, and 5. All other missing value designations in Parts 1, 2, and 5 were assigned by the principal investigator. Parts 3 and 4 do not contain any system missing values. The missing value designations in these two parts was assigned by the principal investigator.
2. A unique case identifier may be obtained by running a crosstabulation of the site variable (SITE) and the case number (CASENO) for Parts 1-5.
3. ICPSR was unable to verify value labels for some variables in Part 5. For categorical variables, undocumented values are labeled "Undoc code."

CODEBOOK FOR ICPSR 3689

EVALUATION OF HUNG JURIES IN BRONX COUNTY, NEW YORK, LOS ANGELES COUNTY,
CALIFORNIA, MARICOPA COUNTY, ARIZONA, AND WASHINGTON, DC, 2000-2001

PART 1: CASE DATA

PLEASE NOTE: The "M" between the code and the code label indicates
the code has been designated as a missing value.

NAME	VARIABLE LABEL	BEG COL	END COL	FMT
SITE	SITE	1	1	F1
	1 Los Angeles			
	2 Maricopa			
	4 Bronx			
	5 DC			
CASENO	ID NUMBER	2	4	F3
NUMDEFTS	# OF DEFENDANTS	5	6	F2
	-9 M Unknown			
DEFTGEND	1ST DEFENDANT'S GENDER	7	8	F2
	-9 M Unknown			
	1 Male			
	2 Female			
DEFTRACE	1ST DEFENDANT'S RACE	9	10	F2
	-9 M Unknown			
	1 White - not Hispanic			
	2 White - Hispanic			
	3 Black - not Hispanic			
	4 Black - Hispanic			
	5 Asian			
	6 Other (please specify):			
DEFTREPR	1ST DEFENDANT'S REPRESENTATION	11	12	F2
	-9 M Unknown			
	1 Private			
	2 Court appointed - private			
	3 Court appointed - public defender			
	4 Pro Se/Pro Per			
NUMVICTS	# OF VICTIMS	13	14	F2
	99 M Missing			

NAME		VARIABLE LABEL		BEG COL	END COL	FMT
VICTGEND	1ST VICTIM'S GENDER			15	16	F2
	-9 M	Unknown				
	1	Male				
	2	Female				
VICTRACE	1ST VICTIM'S RACE			17	18	F2
	-9 M	Unknown				
	1	White - not Hispanic				
	2	White - Hispanic				
	3	Black - not Hispanic				
	4	Black - Hispanic				
	5	Asian				
	6	Other (please specify):				
VICTRELA	1ST VICTIM'S RELATIONSHIP TO DEFENDANT			19	20	F2
	-9 M	Unknown				
	1	Spouse / domestic partner				
	2	Other family				
	3	Employee/employer				
	4	Other acquaintance				
	5	None				
	6 M	Relationship not known				
PANELSIZ	PANEL SIZE FROM WHICH JURY WAS SELECTED			21	23	F3
	-9 M	Unknown				
VOIRDIRE	LENGTH OF VOIR DIRE PROCES (HOURS)			24	25	F2
	-9 M	Unknown				
CONDUCTD	WHO CONDUCTED VOIR DIRE			26	27	F2
	-9 M	Unknown				
	1	Questioning by judge with little or no partici				
	2	Quest. by judge with questions submitted by la				
	3	Quest. by judge and lawyers				
	4	Questioning by lawyers with little or no parti				
QUESTION	JURY QUESTIONNAIRE USED IN VOIR DIRE			28	28	F1
	0	No				

-----		BEG	END	
NAME	VARIABLE LABEL	COL	COL	FMT

QUESTION (cont.)				
	1 Yes			
STRCAUSE	# JURORS STRUCK FOR CAUSE	29	30	F2
	-9 M Unknown			
STRPEREM	# OF JURORS STRUCK BY PROSECUTION	31	32	F2
	-9 M Unknown			
STRDEFEN	# OF JURORS STRUCK BY DEFENDANT	33	34	F2
	-9 M Unknown			
BATSON	WERE BATSON OBJECTIONS RAISED	35	35	F1
	0 No			
	1 Yes			
ANONYM	WAS THIS AN ANONYMOUS JURY	36	36	F1
	0 No			
	1 Yes			
DAYS	LENGTH OF TRIAL (DAYS)	37	38	F2
	-9 M Unknown			
PWITNESS	# OF PROSECUTION WITNESSES	39	40	F2
	-9 M Unknown			
PEXPWITN	# OF PROSECUTION EXPERT WITNESSES	41	42	F2
	-9 M Unknown			
PEXHIBIT	# OF PROSECUTION EXHIBITS	43	45	F3
	-9 M Unknown			
DWITNESS	# OF DEFENSE WITNESSES	46	47	F2
	-9 M Unknown			
DEXPWITN	# OF DEFENSE EXPERT WITNESSES	48	49	F2
	-9 M Unknown			

NAME		VARIABLE LABEL		BEG COL	END COL	FMT
DEXHIBIT	# OF DEFENSE EXHIBITS			50	51	F2
	-9 M	Unknown				
	99 M	Missing				
NOTETAKE	JUROR NOTETAKING PERMITTED			52	52	F1
	0	Not employed at trial				
	1	Juror notetaking permitted				
NOTEBOOK	JUROR NOTEBOOKS PROVIDED			53	53	F1
	0	Not employed at trial				
	1	Juror notebooks provided				
WRITINST	WRITTEN COPY-JURY INSTRUCTIONS PROVIDED			54	54	F1
	0	Not employed at trial				
	1	Written copies of jury instructions provided t				
SUBWIT	JURORS SUBMIT QUESTIONS TO WITNESSES			55	55	F1
	0	Not employed at trial				
	1	Juror permitted to submit questions to witness				
TOTQUEST	# JURY QUESTIONS SUBMITTED TO WITNESSES			56	58	F3
	-9 M	Unknown				
	-8 M	Not applicable (no known arrests/convictions)				
ANSWERED	JUROR QUESTIONS ANSWERED BY WITNESSES			59	61	F3
	-9 M	Unknown				
	-8 M	Not applicable (no known arrests/convictions)				
	999 M	Missing				
BEFEVID	WHEN JURY INSTRUCTION GIVEN-1			62	62	F1
	0	Not apply				
	1	Before evidentiary phase of trial				
BEFCLOSE	WHEN JURY INSTRUCTION GIVEN-2			63	63	F1
	0	Not apply				

-----		BEG	END	
NAME	VARIABLE LABEL	COL	COL	FMT

BEFCLOSE (cont.)				
	1 Before closing arguments			
AFTCLOSE	WHEN JURY INSTRUCTION GIVEN-3	64	64	F1
	0 Not apply			
	1 After closing arguments			
OTHER	JURY INSTRUCTIONS - OTHER TIME	65	65	F1
	0 Not apply			
	1 Other (please specify)			
CRIMHIST	JURY AWARE-DEFENDANT'S CRIMINAL HISTORY	66	67	F2
	-9 M Unknown			
	-8 M Not applicable (no known arrests/convictions)			
	0 No			
	1 Yes			
SENTPOSS	JURY AWARE-SENTENCING POSSIBILITIES	68	69	F2
	-9 M Unknown			
	0 No			
	1 Yes			
DEADLOCK	CASE RETRIAL FROM PREVIOUS JURY DEADLOCK	70	71	F2
	-9 M Unknown			
	0 No			
	1 Yes			
DELHOURS	LENGTH OF DELIBERATION (HOURS)	72	73	F2
	-9 M Unknown			
	99 M Missing			
DMINUTES	LENGTH OF DELIBERATION (MINUTES)	74	75	F2
	-9 M Unknown			
DELJUROR	# OF DELIBERATING JURORS	76	77	F2
	-9 M Unknown			
SELECTED	HOW WAS FOREPERSON SELECTED	78	79	F2
	-9 M Unknown			

NAME		VARIABLE LABEL		BEG COL	END COL	FMT

SELECTED (cont.)						
		1	Appointed by trial judge			
		2	Randomly selected by trial judge			
		3	Appointed/elected by jurors			
		4	Other selection process (please specify):			
GUIDANCE	JURY GUIDED-CONDUCT DELIBERATIONS			80	81	F2
		-9 M	Unknown			
		0	No			
		1	Yes			
SEQUEST	JURY SEQUESTERED OVERNIGHT			82	83	F2
		-9 M	Unknown			
		0	No			
		1	Yes			
QSUBMIT	# QUEST. JURY SUBMIT DUR DELIBERATIONS			84	85	F2
QANSWERD	HOW MANY QUESTIONS WERE ANSWERED			86	87	F2
		-9 M	Unknown			
		-8 M	Not applicable (no known arrests/convictions)			
		99 M	Missing			
INDICATE	TIMES JURY INDICATED HAVING DIFFICULTY			88	89	F2
		-9 M	Unknown			
RESOLVE	TIMES JUDGE SEND JURY BACK TO DELIBERATE			90	91	F2
		-9 M	Unknown			
ASSIST	JURY ASSISTANCE GIVEN-RESOLVE DIFFICULTY			92	93	F2
		-9 M	Unknown			
		-8 M	Not applicable (no known arrests/convictions)			
		1	No assistance given			
		2	Allen-type charge read to jury (please attach			
		3	Additional jury instructions provided			
		4	Case reopened for additional evidence			
		5	Case reopened for additional argument by couns			
		6	Other assistance (please specify)			
DEFTGEN2	DEFENDANT #2 GENDER			94	95	F2
		-9 M	Unknown			

			BEG	END	
NAME	VARIABLE	LABEL	COL	COL	FMT

DEFTGEN2 (cont.)					
	1	Male			
	2	Female			
DEFTRAC2	DEFENDANT	#2 RACE	96	97	F2
	-9 M	Unknown			
	1	White - not Hispanic			
	2	White - Hispanic			
	3	Black - not Hispanic			
	4	Black - Hispanic			
	5	Asian			
	6	Other (please specify):			
DEFTREP2	DEFENDANT	#2 LEGAL REPRESENTATION	98	99	F2
	-9 M	Unknown			
	1	Private			
	2	Court appointed-private			
	3	Court appointed pub def			
	4	Pro Se			
DEFTGEN3	DEFENDANT	#3 GENDER	100	101	F2
	-9 M	Unknown			
	1	Male			
	2	Female			
DEFTRAC3	DEFENDANT	#3 RACE	102	103	F2
	-9 M	Unknown			
	1	White - not Hispanic			
	2	White - Hispanic			
	3	Black - not Hispanic			
	4	Black - Hispanic			
	5	Asian			
	6	Other (please specify):			
DEFTREP3	DEFENDANT	#3 LEGAL REPRESENTATION	104	105	F2
	-9 M	Unknown			
	1	Private			
	2	Court appointed - private			
	3	Court appointed - pub def			
	4	Pro Se			

-----			BEG	END	
NAME	VARIABLE LABEL		COL	COL	FMT

DEFTGEN4	DEFENDANT #4 GENDER		106	107	F2
	-9 M Unknown				
	1 Male				
	2 Female				
DEFTRAC4	DEFENDANT #4 RACE		108	109	F2
	-9 M Unknown				
	1 White - not Hispanic				
	2 White - Hispanic				
	3 Black - not Hispanic				
	4 Black - Hispanic				
	5 Asian				
	6 Other (please specify):				
DEFTREP4	DEFENDANT #4 LEGAL REPRESENTATION		110	111	F2
	-9 M Unknown				
	1 Private				
	2 Court appointed - private				
	3 Court appointed - pub def				
	4 Pro Se				
VICTGND2	VICTIM #2 GENDER		112	113	F2
	-9 M Unknown				
	1 Male				
	2 Female				
VICTRCE2	VICTIM #2 RACE		114	115	F2
	-9 M Unknown				
	1 White - not Hispanic				
	2 White - Hispanic				
	3 Black - not Hispanic				
	4 Black - Hispanic				
	5 Asian				
	6 Other (please specify):				
VICTREL2	VICTIM #2 RELATIONSHIP TO DEFENDANT		116	117	F2
	-9 M Unknown				
	1 Spouse / domestic partner				

-----			BEG	END	
NAME	VARIABLE LABEL		COL	COL	FMT

VICTREL2 (cont.)					
	2 Other family				
	3 Employee/employer				
	4 Other acquaintance				
	5 None				
VICTGND3	VICTIM #3 GENDER		118	119	F2
	-9 M Unknown				
	1 Male				
	2 Female				
VICTRAC3	VICTIM #3 RACE		120	121	F2
	-9 M Unknown				
	1 White - not Hispanic				
	2 White - Hispanic				
	3 Black - not Hispanic				
	4 Black - Hispanic				
	5 Asian				
	6 Other (please specify):				
VICTREL3	VICTIM #3 RELATIONSHIP TO DEFENDANT		122	123	F2
	-9 M Unknown				
	1 Spouse / domestic partner				
	2 Other family				
	3 Employee/employer				
	4 Other acquaintance				
	5 None				
VICTGND4	VICTIM #4 GENDER		124	125	F2
	-9 M Unknown				
	1 Male				
	2 Female				
VICTRAC4	VICTIM #4 RACE		126	127	F2
	-9 M Unknown				
	1 White - not Hispanic				
	2 White - Hispanic				
	3 Black - not Hispanic				
	4 Black - Hispanic				
	5 Asian				

NAME	VARIABLE LABEL	BEG COL	END COL	FMT
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VICTRAC4 (cont.)

6 Other (please specify):

VICTREL4	VICTIM #4 RELATIONSHIP TO DEFENDANT	128	129	F2
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- 9 M Unknown
- 1 Spouse / domestic partner
- 2 Other family
- 3 Employee/employer
- 4 Other acquaintance
- 5 None

CODEBOOK FOR ICPSR 3689

EVALUATION OF HUNG JURIES IN BRONX COUNTY, NEW YORK, LOS ANGELES COUNTY,
CALIFORNIA, MARICOPA COUNTY, ARIZONA, AND WASHINGTON, DC, 2000-2001

PART 2: COUNT SHEET DATA

PLEASE NOTE: The "M" between the code and the code label indicates
the code has been designated as a missing value.

NAME	VARIABLE LABEL	BEG COL	END COL	FMT
SITE	SITE	1	1	F1
	1 Los Angeles			
	2 Maricopa			
	4 Bronx			
	5 DC			
CASENO	CASE NUMBER	2	6	F5.1
CASETYPE	CASE TYPE	7	9	F3
	-99 M Unknown			
	1 Murder, first degree			
	2 Murder, second degree			
	3 Manslaughter			
	4 Rape/sexual battery/sex conduct w/minor			
	5 Robbery			
	6 Assault (not sexual)			
	7 Child abuse/neglect			
	8 Burglary			
	9 Larceny/theft			
	10 Arson			
	11 Illegal drug, possession			
	12 Illegal drug, sale			
	13 Illegal drug, manufacture			
	14 DUI/DWI			
	15 Attempted murder			
	16 Weapons			
	17 Forgery			
	18 Unlawful flight/leaving scene/failure to stop/			
	20 Other			
COUNT1	COUNT 1	10	11	F2
	-9 M Unknown			
	-8 M Not considered by the jury			
	1 Conviction			
	2 Acquittal			
	3 Hung jury			
	9 M Missing			

NAME		VARIABLE LABEL		BEG COL	END COL	FMT
CT1LSSR1	COUNT 1	LESSER INCLUDED 1		12	13	F2
	-9 M	Unknown				
	-8 M	Not considered by the jury				
	1	Conviction				
	2	Acquittal				
	3	Hung jury				
	9 M	Missing				
CT1LSSR2	COUNT 1	LESSER INCLUDED 2		14	15	F2
	-9 M	Unknown				
	-8 M	Not considered by the jury				
	1	Conviction				
	2	Acquittal				
	3	Hung jury				
	9 M	Missing				
COUNT2	COUNT 2			16	17	F2
	-9 M	Unknown				
	-8 M	Not considered by the jury				
	1	Conviction				
	2	Acquittal				
	3	Hung jury				
	9 M	Missing				
CT2LSSR1	COUNT 2	LESSER INCLUDED 1		18	19	F2
	-9 M	Unknown				
	-8 M	Not considered by the jury				
	1	Conviction				
	2	Acquittal				
	3	Hung jury				
	9 M	Missing				
CT2LSSR2	COUNT 2	LESSER INCLUDED 2		20	21	F2
	-9 M	Unknown				
	-8 M	Not considered by the jury				
	1	Conviction				
	2	Acquittal				
	3	Hung jury				
	9 M	Missing				

-----			BEG	END	
NAME	VARIABLE LABEL		COL	COL	FMT

CT2LSSR3	COUNT 2 LESSER INCLUDED 3		22	23	F2
	-9 M Unknown				
	-8 M Not considered by the jury				
	1 Conviction				
	2 Acquittal				
	3 Hung jury				
	9 M Missing				
COUNT3	COUNT 3		24	25	F2
	-9 M Unknown				
	-8 M Not considered by the jury				
	1 Conviction				
	2 Acquittal				
	3 Hung jury				
	9 M Missing				
CT3LSSR1	COUNT 3 LESSER INCLUDED 1		26	27	F2
	-9 M Unknown				
	-8 M Not considered by the jury				
	1 Conviction				
	2 Acquittal				
	3 Hung jury				
	9 M Missing				
COUNT4	COUNT 4		28	29	F2
	-9 M Unknown				
	-8 M Not considered by the jury				
	1 Conviction				
	2 Acquittal				
	3 Hung jury				
	9 M Missing				
COUNT5	COUNT 5		30	31	F2
	-9 M Unknown				
	-8 M Not considered by the jury				
	1 Conviction				
	2 Acquittal				
	3 Hung jury				
	9 M Missing				

NAME	VARIABLE LABEL	BEG COL	END COL	FMT
COUNT6	COUNT 6	32	33	F2
	-9 M Unknown			
	-8 M Not considered by the jury			
	1 Conviction			
	2 Acquittal			
	3 Hung jury			
	9 M Missing			
TOTCOUNT	TOTAL COUNTS	34	35	F2
	-9 M Unknown			
	-8 M Not considered by the jury			
TOTCONV	TOTAL CONVICTIONS	36	37	F2
	-9 M Unknown			
	-8 M Not considered by the jury			
TOTACQUT	TOTAL ACQUITTALS	38	39	F2
	-9 M Unknown			
	-8 M Not considered by the jury			
TOTHNGJ	TOTAL HUNG JURIES	40	41	F2
	-9 M Unknown			
	-8 M Not considered by the jury			
SENTENC	SENTENCE	42	43	F2
	1 Less than 1 year			
	2 1 to 5 years			
	3 5 to 10 years			
	4 10 to 20 years			
	5 20 + years			
	6 Life			
	9 M Not applicable/no conviction			
	99 M Missing			

CODEBOOK FOR ICPSR 3689

EVALUATION OF HUNG JURIES IN BRONX COUNTY, NEW YORK, LOS ANGELES COUNTY,
CALIFORNIA, MARICOPA COUNTY, ARIZONA, AND WASHINGTON, DC, 2000-2001

PART 3: JUDGE SURVEY DATA

PLEASE NOTE: The "M" between the code and the code label indicates
the code has been designated as a missing value.

NAME	VARIABLE LABEL	BEG COL	END COL	FMT
SITE	SITE	1	1	F1
	1 Los Angeles			
	2 Maricopa			
	4 Bronx			
	5 DC			
CASENO	IDNUM	2	4	F3
VIDENCE	EVIDENCE PRESENTED AT TRIAL COMPLEX	5	6	F2
	-9 M Missing			
	1 Not at all complex			
	2 2			
	3 3			
	4 4			
	5 5			
	6 6			
	7 Very complex			
THELAW	HOW COMPLEX WAS THE LAW	7	8	F2
	-9 M Missing			
	1 Not at all complex			
	2 2			
	3 3			
	4 4			
	5 5			
	6 6			
	7 Very complex			
OWCLOSE	ALL THINGS CONSIDERED, WAS TRIAL CLOSE	9	10	F2
	-9 M Missing			
	1 Prosecution strongly favored by evidence			
	2 2			
	3 3			
	4 4			
	5 5			
	6 6			

NAME	VARIABLE LABEL	BEG COL	END COL	FMT
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OWCLOSE (cont.)

7 Defense strongly favored by defense

ELEVANT ATTYS PRESENT ALL RELEVANT EVIDENCE 11 12 F2

-9 M Missing
 1 Completely disagree
 2 2
 3 3
 4 4
 5 5
 6 6
 7 Completely agree

ROSATTY HOW SKILLFUL WAS PROSECUTOR DUR TRIAL 13 14 F2

-9 M Missing
 1 Not at all skillful
 2 2
 3 3
 4 4
 5 5
 6 6
 7 Very skillful

EFTATTY HOW SKILLFUL WAS DEFENSE ATTY 15 16 F2

-9 M Missing
 1 Not at all skillful
 2 2
 3 3
 4 4
 5 5
 6 6
 7 Very skillful

POLTEST HOW IMPORTANT WAS POLICE TESTIMONY 17 18 F2

-9 M Missing
 -8 M N/a
 1 Very unimportant
 2 2
 3 3
 4 4
 5 5

-----			BEG	END	
NAME	VARIABLE LABEL		COL	COL	FMT

POLTEST (cont.)					
	6	6			
	7	Very important			
NFORMNT	IMPORTANT-UNDERCOVER/INFORMANT TESTIMONY		19	20	F2
	-9 M	Missing			
	-8 M	N/a			
	1	Very unimportant			
	2	2			
	3	3			
	4	4			
	5	5			
	6	6			
	7	Very important			
ODEFEND	HOW IMPORTANT WAS CO-DEFENDANT TESTIMONY		21	22	F2
	-9 M	Missing			
	-8 M	N/a			
	1	Very unimportant			
	2	2			
	3	3			
	4	4			
	5	5			
	6	6			
	7	Very important			
YEWITNS	HOW IMPORTANT WAS EYEWITNESS TESTIMONY		23	24	F2
	-9 M	Missing			
	-8 M	N/a			
	1	Very unimportant			
	2	2			
	3	3			
	4	4			
	5	5			
	6	6			
	7	Very important			
XPWITNS	IMPORTANT-EXPERT WITNESS TESTIMONY		25	26	F2
	-9 M	Missing			
	-8 M	N/a			
	1	Very unimportant			

NAME		VARIABLE LABEL	BEG COL	END COL	FMT

XPWITNS (cont.)					
		2 2			
		3 3			
		4 4			
		5 5			
		6 6			
		7 Very important			
EALEVID	IMPORTANT-REAL/DEMONSTRATIVE EVIDENCE		27	28	F2
	-9 M	Missing			
	-8 M	N/a			
	1	Very unimportant			
	2	2			
	3	3			
	4	4			
	5	5			
	6	6			
	7	Very important			
ICTTEST	HOW IMPORTANT WAS VICTIM'S TESTIMONY		29	30	F2
	-9 M	Missing			
	-8 M	N/a			
	1	Very unimportant			
	2	2			
	3	3			
	4	4			
	5	5			
	6	6			
	7	Very important			
EFTTEST	HOW IMPORTANT WAS DEFENDANT'S TESTIMONY		31	32	F2
	-9 M	Missing			
	-8 M	N/a			
	1	Very unimportant			
	2	2			
	3	3			
	4	4			
	5	5			
	6	6			
	7	Very important			
ENDERED	BENCH TRIAL-VERDICT FOR PROSEC OR DEFENS		33	34	F2
	-9 M	Missing			

NAME		VARIABLE LABEL	BEG COL	END COL	FMT

ENDERED (cont.)					
		1 Prosecution			
		2 Defense			
		3 Split verdict			
PREDICT	VERDICT YOU PREDICT THE JURY WILL RETURN		35	36	F2
		-9 M Missing			
		1 Guilty on all charges			
		2 Guilty on some charges, not guilty on others			
		3 Not guilty on all charges			
ANGPERC	LIKELIHOOD JURY WILL HANG (PERCENTAGE)		37	38	F2
		-9 M Missing			
EFAFTER	1ST 10 QUESTIONS ANSWERED WHEN		39	40	F2
		-9 M Missing			
		1 Before jury's verdict			
		2 After jury's verdict			
URPRISE	SURPRISED WITH THE JURY'S DECISION		41	42	F2
		-9 M Missing			
		1 Not at all surprised			
		2 2			
		3 3			
		4 4			
		5 5			
		6 6			
		7 Very surprised			
ATISFIE	SATISFIED WITH THE JURY'S DECISION		43	44	F2
		-9 M Missing			
		1 Not at all satisfied			
		2 2			
		3 3			
		4 4			
		5 5			
		6 6			
		7 Very satisfied			
NDERSTD	THINK JURY UNDERSTOOD ISSUES IN THE CASE		45	46	F2
		-9 M Missing			

		BEG	END	
NAME	VARIABLE LABEL	COL	COL	FMT

NDERSTD (cont.)				
	1 Not at all			
	2 2			
	3 3			
	4 4			
	5 5			
	6 6			
	7 Very well			
GENVIEW	GENERAL VIEW OF THE JURY SYSTEM	47	48	F2
	-9 M Missing			
	1 Very negative			
	2 2			
	3 3			
	4 4			
	5 5			
	6 6			
	7 Very positive			
UNGJURY	HUNG JURIES IN YOUR JURISDICTION SERIOUS	49	50	F2
	-9 M Missing			
	1 Not at all serious			
	2 2			
	3 3			
	4 4			
	5 5			
	6 6			
	7 Very serious			
URTRIAL	# CRIMINAL JURY TRIALS PRESIDED OVER	51	52	F2
	-9 M Missing			
	1 Less than 20			
	2 21 to 50			
	3 51 to 100			
	4 Over 100			
RESIDED	HOW MANY HUNG JURIES HAVE YOU HAD	53	54	F2
	-9 M Missing			
	1 Less than 5			
	2 6 to 10			
	3 10 to 20			
	4 20 to 30			
	5 Over 30			

CODEBOOK FOR ICPSR 3689

EVALUATION OF HUNG JURIES IN BRONX COUNTY, NEW YORK, LOS ANGELES COUNTY,
CALIFORNIA, MARICOPA COUNTY, ARIZONA, AND WASHINGTON, DC, 2000-2001

PART 4: ATTORNEY SURVEY DATA

PLEASE NOTE: The "M" between the code and the code label indicates
the code has been designated as a missing value.

NAME	VARIABLE LABEL	BEG COL	END COL	FMT
SITE	SITE	1	1	F1
	1 Los Angeles			
	2 Phoenix			
	4 Bronx			
	5 DC			
IDNUM	ID NUMBER	2	5	F4
CASENO	CASE NUMBER	6	8	F3
ATTYTYPE	PROSECUTOR OR DEFENSE	9	9	F1
	1 Prosecutor			
	2 Defense			
	3 M Missing			
VOIRDIRE	HOW ADEQUATE VOIR DIRE	10	11	F2
	-9 M Missing			
	1 Very inadequate			
	2 2			
	3 3			
	4 4			
	5 5			
	6 6			
	7 Very adequate			
GOODCASE	GOOD CASE FOR YOUR SIDE	12	13	F2
	-9 M Missing			
	1 Definitely no			
	2 2			
	3 3			
	4 4			
	5 5			
	6 6			
	7 Definitely yes			

COMPLEX HOW COMPLEX WAS TRIAL

14 15 F2

-9 M Missing

		BEG	END	
NAME	VARIABLE LABEL	COL	COL	FMT
COMPLEX (cont.)				
	1 Not at all complex			
	2 2			
	3 3			
	4 4			
	5 5			
	6 6			
	7 Very complex			
RELEVANT	ALL RELEVANT INFO PROVIDED TO JURY	16	17	F2
	-9 M Missing			
	1 Completely disagree			
	2 2			
	3 3			
	4 4			
	5 5			
	6 6			
	7 Completely agree			
OPPOSING	HOW SKILLFUL WAS OPPOSING COUNSEL	18	19	F2
	-9 M Missing			
	1 Not at all skillful			
	2 2			
	3 3			
	4 4			
	5 5			
	6 6			
	7 Very skillful			
PERFORM	HOW SKILLFUL WERE YOU	20	21	F2
	-9 M Missing			
	1 Not at all skillful			
	2 2			
	3 3			
	4 4			
	5 5			
	6 6			
	7 Very skillful			
HOWCLOSE	HOW CLOSE WAS THE TRIAL	22	23	F2
	-9 M Missing			

		BEG	END	
NAME	VARIABLE LABEL	COL	COL	FMT

HOWCLOSE (cont.)				
	1 Prosecution strongly favored by evidence			
	2 2			
	3 3			
	4 4			
	5 5			
	6 6			
	7 Defense strongly favored by evidence			
BEAFTER	WHEN DID YOU COMPLETE QUESTIONS 1-9	24	24	F1
	1 Before learning jury's verdict			
	2 After learning jury's verdict			
	3 M Missing			
SURPRISE	DID THE VERDICT SURPRISE YOU	25	26	F2
	-9 M Missing			
	1 Not at all surprised			
	2 2			
	3 3			
	4 4			
	5 5			
	6 6			
	7 Very surprised			
DECISION	WERE YOU SATISFIED WITH THE DECISION	27	28	F2
	-9 M Missing			
	1 Not at all satisfied			
	2 2			
	3 3			
	4 4			
	5 5			
	6 6			
	7 Very satisfied			
UNDERSTD	JURY UNDERSTAND EVIDENTIARY/LEGAL ISSUES	29	30	F2
	-9 M Missing			
	1 Not at all			
	2 2			
	3 3			
	4 4			
	5 5			

-----		BEG	END	
NAME	VARIABLE LABEL	COL	COL	FMT

UNDERSTD (cont.)				
	6 6			
	7 Very well			
NOTAGREE	IF JURY HUNG, WHY COULDN'T JURORS AGREE	31	32	A2
	-8 M Not applicable			
	-9 M Missing			
	1 Evidence too close			
	2 Evidence too complex			
	3 Bias or prejudice on part of some jurors			
	4 Jury nullification of the law			
	5 Juror misconduct			
	6 Juror with a political agenda			
	7 Other (please specify):			
GENVIEW	GENERAL VIEW OF JURY SYSTEM	33	34	F2
	-9 M Missing			
	1 Very negative			
	2 2			
	3 3			
	4 4			
	5 5			
	6 6			
	7 Very positive			
PROBLEM	HUNG JURIES IN YOUR JURISDICTION SERIOUS	35	36	F2
	-9 M Missing			
	1 Not at all serious			
	2 2			
	3 3			
	4 4			
	5 5			
	6 6			
	7 Very serious			
PRACYEAR	# OF YEARS IN LEGAL PRACTICE	37	38	F2
	-9 M Missing			
PREVIOUS	# OF PREVIOUS CRIMINAL TRIALS	39	41	F3
	-9 M Missing			

-----			BEG	END	
NAME	VARIABLE LABEL		COL	COL	FMT

UNABLE	# OF HUNG JURIES		42	43	F2
	-9 M Missing				
AGE	AGE		44	45	F2
	-9 M Missing				
	1 Under 25				
	2 25 to 34				
	3 35 to 44				
	4 45 to 54				
	5 55 to 64				
	6 65 and over				
GENDER	GENDER		46	47	F2
	-9 M Missing				
	1 Male				
	2 Female				

CODEBOOK FOR ICPSR 3689

EVALUATION OF HUNG JURIES IN BRONX COUNTY, NEW YORK, LOS ANGELES COUNTY,
CALIFORNIA, MARICOPA COUNTY, ARIZONA, AND WASHINGTON, DC, 2000-2001

PART 5: JUROR SURVEY DATA

PLEASE NOTE: The "M" between the code and the code label indicates
the code has been designated as a missing value.

NAME	VARIABLE LABEL	BEG COL	END COL	FMT
SITE	SITE	1	1	F1
	1 Los Angeles			
	2 Maricopa			
	4 Bronx			
	5 DC			
IDNUM	ID NUMBER	2	6	F5
CASENO	CASE NUMBER	7	9	F3
JURORNO	JUROR NUMBER	10	11	F2
RELVEVID	ALL RELEVANT EVIDENCE PRESENTED	12	13	F2
	-9 M Unknown			
	1 Completely disagree			
	2 2			
	3 3			
	4 4			
	5 5			
	6 6			
	7 Completely agree			
COMPLEX	HOW COMPLEX WAS TRIAL	14	15	F2
	-9 M Unknown			
	1 Not at all complex			
	2 2			
	3 3			
	4 4			
	5 5			
	6 6			
	7 Very complex			
EVIDENCE	JURY TO UNDERSTAND EVIDENCE	16	17	F2
	-9 M Unknown			
	1 Very difficult			
	2 2			

NAME		VARIABLE LABEL		BEG COL	END COL	FMT

EVIDENCE (cont.)						
		3	3			
		4	4			
		5	5			
		6	6			
		7	Very easy			
UNDSDEXP	JURY TO UNDERSTAND EXPERTS			18	19	F2
	-9 M	Unknown				
	-8 M	Not applicable				
	1	Very difficult				
	2	2				
	3	3				
	4	4				
	5	5				
	6	6				
	7	Very easy				
UNSDSJUD	JURY TO UNDERSTAND JUDGE'S INSTRUCTIONS			20	21	F2
	-9 M	Unknown				
	1	Very difficult				
	2	2				
	3	3				
	4	4				
	5	5				
	6	6				
	7	Very easy				
KEYEVDNC	OTHER JURORS NOT UNDERSTAND KEY EVIDENCE			22	23	F2
	-9 M	Unknown				
	1	Strongly disagree				
	2	2				
	3	3				
	4	4				
	5	5				
	6	6				
	7	Strongly agree				
POLEVDNC	HOW IMPORTANT WAS POLICE TESTIMONY			24	25	F2
	-9 M	Unknown				
	-8 M	Not applicable				

		BEG	END	
NAME	VARIABLE LABEL	COL	COL	FMT

POLEV DNC (cont.)				
	1 Not at all important			
	2 2			
	3 3			
	4 4			
	5 5			
	6 6			
	7 Very important			
BELIEVBL	HOW BELIEVABLE WERE THE POLICE	26	27	F2
	-9 M Unknown			
	-8 M Not applicable			
	1 Not at all believable			
	2 2			
	3 3			
	4 4			
	5 5			
	6 6			
	7 Very believable			
VICTEVID	HOW BELIEVABLE WERE THE VICTIMS	28	29	F2
	-9 M Unknown			
	-8 M Not applicable			
	1 Not at all believable			
	2 2			
	3 3			
	4 4			
	5 5			
	6 6			
	7 Very believable			
DEFTEVID	HOW BELIEVABLE WAS THE DEFENDANT	30	31	F2
	-9 M Unknown			
	-8 M Not applicable			
	1 Not at all believable			
	2 2			
	3 3			
	4 4			
	5 5			
	6 6			
	7 Very believable			
DSYMPATH	HOW MUCH SYMPATHY FOR DEFENDANT	32	33	F2
	-9 M Unknown			

		BEG	END	
NAME	VARIABLE LABEL	COL	COL	FMT

DSYMPATH (cont.)				
	1 Very little sympathy			
	2 2			
	3 3			
	4 4			
	5 5			
	6 6			
	7 A great deal of sympathy			
VSYPATH	HOW MUCH SYMPATHY FOR VICTIM	34	35	F2
	-9 M Unknown			
	-8 M Not applicable			
	1 Not at all believable			
	2 2			
	3 3			
	4 4			
	5 5			
	6 6			
	7 Very believable			
PROSATTY	HOW SKILLFUL WAS THE PROSECUTOR	36	37	F2
	-9 M Unknown			
	1 Not at all skillful			
	2 2			
	3 3			
	4 4			
	5 5			
	6 6			
	7 Very skillful			
DEFTATTY	HOW SKILLFUL WAS THE DEFENSE ATTORNEY	38	39	F2
	-9 M Unknown			
	1 Not at all skillful			
	2 2			
	3 3			
	4 4			
	5 5			
	6 6			
	7 Very skillful			
CONDUCTD	SATISFIED W/ MANNER TRIAL WAS CONDUCTED	40	41	F2
	-9 M Unknown			

-----			BEG	END	
NAME	VARIABLE LABEL		COL	COL	FMT

CONDUCTD (cont.)					
	1	Not at all satisfied			
	2	2			
	3	3			
	4	4			
	5	5			
	6	6			
	7	Very satisfied			
PROSSTRG	HOW STRONG WAS PROSECUTION'S CASE		42	43	F2
	-9 M	Unknown			
	1	Consistently weak			
	2	2			
	3	3			
	4	4			
	5	5			
	6	6			
	7	Consistently strong			
DEFSTRNG	HOW STRONG WAS DEFENSE'S CASE		44	45	F2
	-9 M	Unknown			
	1	Consistently weak			
	2	2			
	3	3			
	4	4			
	5	5			
	6	6			
	7	Consistently strong			
HOWCLOSE	HOW CLOSE WAS THIS CASE		46	47	F2
	-9 M	Unknown			
	1	Prosecution strongly favored by evidence			
	2	2			
	3	3			
	4	4			
	5	5			
	6	6			
	7	Defense strongly favored by evidence			
LEANING	WHEN DID YOU START LEANING TOWARD A SIDE		48	49	F2
	-9 M	Unknown			

		BEG	END	
NAME	VARIABLE LABEL	COL	COL	FMT

LEANING (cont.)				
	1 During the prosecutor's opening statement			
	2 During the defense attorney's opening statemen			
	3 During the testimony of the state's witnesses			
	4 During testimony of the defense's witnesses			
	5 During the closing argument of the prosecutor			
	6 During closing argument of the defense			
	7 During the judge's final legal instructions			
	8 During jury's final deliberations			
NVCNGMD	NEVER CHANGED MIND	50	51	F2
	-9 M Unknown			
	0 No			
	1 Yes			
	9 M Missing			
POPEN	DURING PROSECUTOR'S OPENING STATEMENT	52	53	F2
	-9 M Unknown			
	0 Did not change mind			
	1 Did change mind			
DFOPN	DURING DEFENDANT'S OPENING STATEMENT	54	55	F2
	-9 M Unknown			
	0 Did not change mind			
	1 Did change mind			
	9 9:Undoc code			
TESTSTAT	DURING TESTIMONY OF THE STATE'S WITNESS	56	57	F2
	-9 M Unknown			
	0 Did not change mind			
	1 Did change mind			
	9 M Missing			
DFWITN	DURING TESTIMONTY OF DEFENSE'S WITNESS	58	59	F2
	-9 M Unknown			
	0 Did not change mind			
	1 Did change mind			
CLOSPRO	DURING CLOSING ARGUMENT OF PROSECUTOR	60	61	F2
	-9 M Unknown			

-----			BEG	END	
NAME	VARIABLE LABEL		COL	COL	FMT

CLOSPRO (cont.)					
	0 Did not change mind				
	1 Did change mind				
CLOSDF	DURING CLOSING ARGUMENT OF DEFENSE		62	63	F2
	-9 M Unknown				
	0 Did not change mind				
	1 Did change mind				
JDFINSTR	AT THE JUDGE'S FINAL INSTRUCTIONS		64	65	F2
	-9 M Unknown				
	0 Did not change mind				
	1 Did change mind				
JRDELIB	DURING JURY DELIBERATIONS		66	67	F2
	-9 M Unknown				
	0 Did not change mind				
	1 Did change mind				
FAVORWHO	SIDE YOU FAVORED BEFORE DELIBERATIONS		68	69	F2
	-9 M Unknown				
	1 Prosecution strongly favored				
	2 2				
	3 3				
	4 4				
	5 5				
	6 6				
	7 Defense strongly favored				
PERSNALY	FOR YOU PERSONALLY TO DECIDE ON VERDICT		70	71	F2
	-9 M Unknown				
	1 Very difficult				
	2 2				
	3 3				
	4 4				
	5 5				
	6 6				
	7 Very easy				
DIFJUDGE	DIFFICULT TO JUDGE B/C RELIGIOUS BELIEFS		72	73	F2
	-9 M Unknown				

		BEG	END	
NAME	VARIABLE LABEL	COL	COL	FMT
DIFJUDGE (cont.)				
	1 Strongly disagree			
	2 2			
	3 3			
	4 4			
	5 5			
	6 6			
	7 Strongly agree			
FIRSTVOT	WHEN WAS JURY'S FIRST VOTE	74	75	F2
	-9 M Unknown			
	1 Right at the beginning of deliberations			
	2 Within the first 10 minutes of the start of de			
	3 Early on during deliberations			
	4 About the middle of deliberations			
	5 Late in jury deliberations			
	6 Only at the very end of deliberations			
SECRET	WAS FIRST VOTE SECRET	76	77	F2
	-9 M Unknown			
	-8 M Don't know			
	0 No			
	1 Yes			
	2 2:Undoc code			
HOWVOTE	VOTE ON FIRST BALLOT, MOST SERIOUS CRIME	78	79	F2
	-9 M Unknown			
	1 Guilty			
	2 Not guilty			
	3 Unsure/did not vote			
CERTAIN	HOW CERTAIN WERE YOU ON THE FIRST VOTE	80	81	F2
	-9 M Unknown			
	1 Not at all certain			
	2 2			
	3 3			
	4 4			
	5 5			
	6 6			
	7 Very certain			
VOTEPROS	FIRST VOTE - # FOR CONVICTION	82	83	F2
	-9 M Unknown			

-----		BEG	END	
NAME	VARIABLE LABEL	COL	COL	FMT

VOTEPROS (cont.)				
	-8 M Not applicable			
	99 M Missing			
VOTEDEFT	FIRST VOTE - # FOR NOT GUILTY	84	85	F2
	-9 M Unknown			
	-8 Not applicable			
	99 M Missing			
VOTEUNDC	FIRST VOTE - # UNDECIDED	86	87	F2
	-9 M Unknown			
	-8 M Not applicable			
	99 M Missing			
DNTREMEM	DON'T REMEMBER VOTES ON Q28	88	89	F2
	-9 M Unknown			
	0 M 0:Undoc code			
	1 Don't remember			
	9 M Missing			
FINLVOTE	FINAL VOTE - HOW DID YOU VOTE	90	91	F2
	-9 M Unknown			
	1 Guilty			
	2 Not guilty			
	3 Unsure/did not vote			
VOTEPRO2	FINAL VOTE - # FOR CONVICTION	92	93	F2
	-9 M Unknown			
	99 M Missing			
VOTEDEF2	FINAL VOTE - # FOR NOT GUILTY	94	95	F2
	-9 M Unknown			
	99 M Missing			
VOTEUND2	FINAL VOTE - # UNDECIDED	96	97	F2
	-9 M Unknown			
DNTREME2	DON'T REMEMBER VOTES ON Q30	98	99	F2
	-9 M Unknown			

NAME		VARIABLE LABEL		BEG COL	END COL	FMT

DNTREME2 (cont.)						
	0 M	0:Undoc code				
	1	Don't remember				
	9 M	Missing				
EXPRESSD	SURPRISED BY OTHER JURORS' VOTES				100	101 F2
	-9 M	Unknown				
	1	Not at all surprised				
	2	2				
	3	3				
	4	4				
	5	5				
	6	6				
	7	Very surprised				
OPENMIND	JURY OPENMINDED TO EACH OTHER'S IDEAS				102	103 F2
	-9 M	Unknown				
	1	Not at all open				
	2	2				
	3	3				
	4	4				
	5	5				
	6	6				
	7	Very open				
PARTICIP	YOUR PARTICIPATION IN JURY DELIBERATIONS				104	105 F2
	-9 M	Unknown				
	1	Not at all				
	2	2				
	3	3				
	4	4				
	5	5				
	6	6				
	7	A great deal				
INFLUENC	INFLUENTIAL IN THE DELIBERATIONS				106	107 F2
	-9 M	Unknown				
	1	Not at all influential				
	2	2				
	3	3				
	4	4				

-----			BEG	END	
NAME	VARIABLE LABEL		COL	COL	FMT

INFLUENC (cont.)					
	5	5			
	6	6			
	7	Very influential			
DOMINATE	HOW MUCH DID ONE OR TWO JURORS DOMINATE		108	109	F2
	-9 M Unknown				
	1 Not at all				
	2 2				
	3 3				
	4 4				
	5 5				
	6 6				
	7 Very much				
UNREASON	SOME UNREASONABLE PEOPLE ON JURY		110	111	F2
	-9 M Unknown				
	1 Strongly disagree				
	2 2				
	3 3				
	4 4				
	5 5				
	6 6				
	7 Strongly agree				
REMEVID	TROUBLE JURY HAD RECALLING EVIDENCE		112	113	F2
	-9 M Unknown				
	1 None				
	2 2				
	3 3				
	4 4				
	5 5				
	6 6				
	7 A great deal				
REMINSTR	TROUBLE RECALLING JUDGE'S INSTRUCTIONS		114	115	F2
	-9 M Unknown				
	1 None				
	2 2				
	3 3				
	4 4				

-----			BEG	END	
NAME	VARIABLE LABEL		COL	COL	FMT

REMINSTR (cont.)					
	5 5				
	6 6				
	7 A great deal				
POINTVU	EACH JUROR'S VIEW THOROUGHLY CONSIDERED		116	117	F2
	-9 M Unknown				
	1 Not at all thoroughly				
	2 2				
	3 3				
	4 4				
	5 5				
	6 6				
	7 Very thoroughly				
CLOSFEND	HOW CLOSE AND FRIENDLY WERE THE JURORS		118	119	F2
	-9 M Unknown				
	1 Very distant and unfriendly				
	2 2				
	3 3				
	4 4				
	5 5				
	6 6				
	7 Very close and friendly				
CONFLICT	HOW MUCH CONFLICT ON JURY		120	121	F2
	-9 M Unknown				
	1 None				
	2 2				
	3 3				
	4 4				
	5 5				
	6 6				
	7 A great deal				
TIME	GIVEN ENOUGH TIME TO EXPRESS YOUR VIEWS		122	123	F2
	-9 M Unknown				
	1 Definitely no				
	2 2				
	3 3				
	4 4				

-----			BEG	END	
NAME	VARIABLE LABEL		COL	COL	FMT

TIME (cont.)					
	5	5			
	6	6			
	7	Definitely yes			
CONVINCE	TIME/EFFORT CONVINCING OTHERS TO AGREE		124	125	F2
	-9 M Unknown				
	1 None				
	2 2				
	3 3				
	4 4				
	5 5				
	6 6				
	7 A great deal				
EASYRCH	EASY/DIFFICULT-JURY TO REACH DECISION		126	127	F2
	-9 M Unknown				
	1 Very difficult				
	2 2				
	3 3				
	4 4				
	5 5				
	6 6				
	7 Very easy				
SATDELIB	SATISFIED WITH THE DELIBERATIONS		128	129	F2
	-9 M Unknown				
	1 Not at all satisfied				
	2 2				
	3 3				
	4 4				
	5 5				
	6 6				
	7 Very satisfied				
SATVERDT	HOW SATISFIED WERE YOU WITH THE DECISION		130	131	F2
	-9 M Unknown				
	1 Not at all satisfied				
	2 2				
	3 3				
	4 4				

-----			BEG	END	
NAME	VARIABLE LABEL		COL	COL	FMT

SATVERDT (cont.)					
	5	5			
	6	6			
	7	Very satisfied			
FAIRLAW	HOW FAIR WAS THE LAW IN THIS CASE		132	133	F2
	-9 M Unknown				
	1 Not at all fair				
	2 2				
	3 3				
	4 4				
	5 5				
	6 6				
	7 Very fair				
DIFFVERD	CONSEQUENCES TO DEFENDANT W/CONVICTION		134	135	F2
	-9 M Unknown				
	1 Not at all worried				
	2 2				
	3 3				
	4 4				
	5 5				
	6 6				
	7 A great deal worried				
FAIROUTC	HOW FAIR WAS THE LEGALLY CORRECT OUTCOME		136	137	F2
	-9 M Unknown				
	1 Very unfair				
	2 2				
	3 3				
	4 4				
	5 5				
	6 6				
	7 Very fair				
APPROPRI	LENIENT/HARSH-CONSEQUENCES OF CONVICTION		138	139	F2
	-9 M Unknown				
	1 Too lenient				
	2 2				
	3 3				
	4 4				

-----			BEG	END	
NAME	VARIABLE LABEL		COL	COL	FMT

APPROPRI (cont.)					
	5	5			
	6	6			
	7	Too harsh			
	9 M	Missing			
ONEPERSN	VERDICT IF ONLY UP TO YOU		140	141	F2
	-9 M	Unknown			
	1	Guilty			
	2	Not guilty			
	3	Undecided			
POLICE	TRUST THE POLICE IN YOUR COMMUNITY		142	143	F2
	-9 M	Unknown			
	1	None			
	2	2			
	3	3			
	4	4			
	5	5			
	6	6			
	7	A great deal			
AMCOURTS	TRUST THE COURTS IN YOUR COMMUNITY		144	145	F2
	-9 M	Unknown			
	1	None			
	2	2			
	3	3			
	4	4			
	5	5			
	6	6			
	7	A great deal			
CRMSEVRE	CRIME SERIOUS PROBLEM IN YOUR COMMUNITY		146	147	F2
	-9 M	Unknown			
	1	None			
	2	2			
	3	3			
	4	4			
	5	5			
	6	6			
	7	A great deal			

NAME		VARIABLE LABEL		BEG COL	END COL	FMT
PRESIDE		WERE YOU THE FOREMAN/PRESIDING JUROR		148	149	F2
	-9 M	Unknown				
	0	No				
	1	Yes				
PREVIOUS		BEEN A JUROR BEFORE		150	151	F2
	-9 M	Unknown				
	0	No				
	1	Yes				
CIVILCRM		IF PREVIOUSLY A JUROR, CRIMINAL OR CIVIL		152	153	F2
	-9 M	Unknown				
	-8 M	Not applicable				
	1	Civil				
	2	Criminal				
	3	Both				
GENDER		GENDER		154	155	F2
	-9 M	Unknown				
	1	Male				
	2	Female				
AGE		AGE		156	157	F2
	-9 M	Unknown				
	1	18 to 25				
	2	26 to 35				
	3	36 to 45				
	4	46 to 55				
	5	56 to 65				
	6	Over 65				
SCHOOL		HIGHEST LEVEL OF EDUCATION		158	159	F2
	-9 M	Unknown				
	1	Less than four years of high school				
	2	High school graduate				
	3	Some college				
	4	College graduate				
	5	Post-graduate work				

NAME	VARIABLE LABEL	BEG COL	END COL	FMT

RACE	RACE/ETHNICITY	160	161	F2
	-9 M Unknown			
	1 Black/African American			
	2 White/Caucasian			
	3 White/Hispanic			
	4 Nonwhite/Hispanic			
	5 Native American			
	6 Asian/Pacific Islander			
	8 Other - please specify:			
RELIGION	DESCRIPTION OF RELIGIOUS BELIEFS	162	163	F2
	-9 M Unknown			
	1 Very religious			
	2 Religious			
	3 Neither			
	4 Somewhat nonreligious			
	5 Very nonreligious			
INCOME	HOUSEHOLD INCOME	164	165	F2
	-9 M Unknown			
	1 Under \$10,000			
	2 Between \$10,000 and \$19,999			
	3 Between \$20,000 and \$29,999			
	4 Between \$30,000 and \$39,999			
	5 Between \$40,000 and \$49,999			
	6 Between \$50,000 and \$75,000			
	7 Over \$75,000			
JOBSTAT	JOB STATUS	166	167	F2
	-9 M Unknown			
	1 Employed full time			
	2 Employed part time			
	3 Self-employed			
	4 Homemaker			
	5 Retired			
	6 Unemployed, and a student			
	7 Unemployed, seeking employment			
	8 Unemployed, not seeking employment			
OCCUPAT	OCCUPATIONAL CATAGORY	168	169	F2
	-9 M Unknown			

NAME	VARIABLE LABEL	BEG COL	END COL	FMT
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OCCUPAT (cont.)

- 1 Managerial specialties
- 2 Professional
- 3 Technical and sales
- 4 Service
- 5 Agricultural
- 6 Mechanic/craftsman
- 7 Operator, laborer, transportation worker
- 8 Other - please specify:

Data Collection Instruments
Supplied by Principal Investigator

Any column locations indicated in the original documentation refer to the original data format. The data were restructured, and the new column locations are listed in the ICPSR codebook and data definition statements.

Case Data Survey

Case Data Survey

For each charge submitted to the jury, please describe the charge (e.g., first-degree murder, aggravated assault, felony auto theft) and any lesser included charges on which the jury was instructed. Then indicate the jury's decision for those charges (C = Conviction, A = Acquittal, H = Hung Jury).

If it is more convenient for you to do so, you may attach copies of the jury's completed verdict forms instead of completing the table below.

Count	Description	Jury Decision		
		C	A	H
Count 1				
Lesser Included Charge				
Lesser Included Charge				
Lesser Included Charge				
Count 2				
Lesser Included Charge				
Lesser Included Charge				
Lesser Included Charge				
Count 3				
Lesser Included Charge				
Lesser Included Charge				
Lesser Included Charge				
Count 4				
Lesser Included Charge				
Lesser Included Charge				
Lesser Included Charge				
Count 5				
Lesser Included Charge				
Lesser Included Charge				
Lesser Included Charge				
Count 6				
Lesser Included Charge				
Lesser Included Charge				
Lesser Included Charge				

Based on the jury's decision on all counts, what is the recommended sentence or range of sentences according to state sentencing guidelines, if any?

- ☐ Less than 1 year imprisonment
 - ☐ 1 to 5 years imprisonment
 - ☐ 5 to 10 years imprisonment
 - ☐ 10 to 20 years imprisonment
 - ☐ 20 or more years imprisonment
 - ☐ Life imprisonment
 - ☐ Not Applicable / No convictions

Case Data Survey

--	--	--	--	--	--	--	--	--	--

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If multiple defendants are being tried together, or testimony or evidence about multiple victims is presented at trial, please complete the Supplemental Defendant and Victim Characteristics on pages 4 and 5 of this survey.

Defendant Characteristics

Number of defendants

--	--

Defendant 1

Gender: ☐ Male ☐ Female

Race/Ethnicity

- ☐ White - not Hispanic
☐ White - Hispanic
☐ Black - not Hispanic
☐ Black - Hispanic
☐ Asian
☐ Other (please specify): _____

Defendant Legal Representation

- ☐ Private
☐ Court appointed - private
☐ Court appointed - Public Defender
☐ Pro se/pro per

Victim Characteristics

Number of victims

--	--

Victim 1

Gender: ☐ Male ☐ Female

Race/Ethnicity

- ☐ White - not Hispanic
☐ White - Hispanic
☐ Black - not Hispanic
☐ Black - Hispanic
☐ Asian
☐ Other (please specify): _____

Victim Relationship to Defendant

- ☐ Spouse / Domestic partner
☐ Other family
☐ Employee/employer
☐ Other acquaintance
☐ None
☐ Unknown

VOIR DIRE (Jury Selection)

How large was the panel from which the jury was selected?

--	--	--

 (Number of people)

Length of voir dire process?

--	--

 hours

Who conducted the voir dire?

- ☐ Questioning by judge with little or no participation by lawyers
☐ Questioning by judge with questions submitted by lawyers
☐ Questioning by judge and lawyers
☐ Questioning by lawyers with little or no participation by judge

Was a jury questionnaire used for conducting voir dire in this case? ☐ Yes ☐ No

If yes, please provide a copy of the jury questionnaire.

Number of prospective jurors struck for cause or by stipulation?

--	--

Number of prospective jurors the prosecution struck using peremptory challenges?

--	--

Number of prospective jurors the defendant struck using peremptory challenges?

--	--

Were *Batson* objections raised during voir dire? ☐ Yes ☐ No

Was this an anonymous jury? ☐ Yes ☐ No

(Please continue on back of form)

TRIAL EVIDENCE AND PROCEDURES

Trial Length

--	--	--

Days

Prosecution Evidence

of Witnesses
(including experts)

--	--

of Expert witnesses

--	--

of Exhibits

--	--

Defense Evidence

of Witnesses
(including experts)

--	--

of Expert witnesses

--	--

of Exhibits

--	--

What procedures were employed at trial? (please check all that apply)

- ☐ Juror notetaking permitted
☐ Juror notebooks provided
☐ Written copies of jury instructions provided to jurors
☐ Juror permitted to submit questions to witnesses (please attach copies of questions)

Jurors were permitted to submit questions to witnesses,
 how many did the jury submit during the trial?

--	--

How many were answered?

--	--

Jury instructions given (please check all that apply)

- ☐ Before evidentiary phase of trial
☐ Before closing arguments
☐ After closing arguments
☐ Other (please specify)

During the trial, did the jury become aware of the defendant's criminal history (if any)?

- ☐ Yes ☐ No ☐ Not applicable (no known arrests/convictions)

During trial, did the jury become aware of sentencing possibilities if the defendant was convicted?

- ☐ Yes ☐ No

Was this case a retrial from a previous trial in which the jury deadlocked?

- ☐ Yes ☐ No

JURY DELIBERATIONS

Deliberation Length

--	--

hours

--	--

minutes

of Deliberating Jurors

--	--

How was the jury foreperson/presiding juror selected?

- ☐ Appointed by trial judge
☐ Randomly selected by trial judge
☐ Appointed/elected by jurors
☐ Other selection process (please specify): _____

Was the jury given any guidance about how to conduct its deliberations (e.g., selection of
 presiding juror, timing of first vote)? ☐ Yes ☐ No

Was the jury sequestered overnight during deliberations? ☐ Yes ☐ No

How many questions did the jury submit during deliberations?

--	--

Please continue on the next page)

How many questions were answered?

--	--

Please answer the following questions if the jury indicated during the deliberations that it was having difficulty reaching a verdict.

How many times, if any, did the jury indicate that it was having difficulty reaching a verdict?

--	--

How many times, if any, did the trial judge send the jury back to deliberations to try to resolve the difficulty?

--	--

What assistance, if any, was provided to the jury to resolve the difficulty?

- ☐ No assistance given
- ☐ Allen-type charge read to jury (please attach the instructions used)
- ☐ Additional jury instructions provided
- ☐ Case reopened for additional evidence
- ☐ Case reopened for additional argument by counsel
- ☐ Other assistance (please specify) _____

SUPPLEMENTAL DEFENDANT AND VICTIM CHARACTERISTICS

For each additional defendant tried, please indicate the following:

Defendant 2

Gender: ☐ Male ☐ Female

Race/Ethnicity

- ☐ White - not Hispanic
- ☐ White - Hispanic
- ☐ Black - not Hispanic
- ☐ Black - Hispanic
- ☐ Asian
- ☐ Other (please specify):

Legal Representation

- ☐ Private
- ☐ Court appointed - private
- ☐ Court appointed - Pub Def
- ☐ Pro se

Defendant 3

Gender: ☐ Male ☐ Female

Race/Ethnicity

- ☐ White - not Hispanic
- ☐ White - Hispanic
- ☐ Black - not Hispanic
- ☐ Black - Hispanic
- ☐ Asian
- ☐ Other (please specify):

Legal Representation

- ☐ Private
- ☐ Court appointed - private
- ☐ Court appointed - Pub Def
- ☐ Pro se

Defendant 4

Gender: ☐ Male ☐ Female

Race/Ethnicity

- ☐ White - not Hispanic
- ☐ White - Hispanic
- ☐ Black - not Hispanic
- ☐ Black - Hispanic
- ☐ Asian
- ☐ Other (please specify):

Legal Representation

- ☐ Private
- ☐ Court appointed - private
- ☐ Court appointed - Pub Def
- ☐ Pro se

(Please continue on back of form)

For each additional victim that testified or about whom evidence was admitted, please indicate the following:

Victim 2

Gender: ☐ Male ☐ Female

Race/Ethnicity

- ☐ White - not Hispanic
- ☐ White - Hispanic
- ☐ Black - not Hispanic
- ☐ Black - Hispanic
- ☐ Asian
- ☐ Other (please specify):

Relationship to Defendant

- ☐ Spouse / Domestic partner
- ☐ Other family
- ☐ Employee/employer
- ☐ Other acquaintance
- ☐ None
- ☐ Unknown

Victim 3

Gender: ☐ Male ☐ Female

Race/Ethnicity

- ☐ White - not Hispanic
- ☐ White - Hispanic
- ☐ Black - not Hispanic
- ☐ Black - Hispanic
- ☐ Asian
- ☐ Other (please specify):

Relationship to Defendant

- ☐ Spouse / Domestic partner
- ☐ Other family
- ☐ Employee/employer
- ☐ Other acquaintance
- ☐ None
- ☐ Unknown

Victim 4

Gender: ☐ Male ☐ Female

Race/Ethnicity

- ☐ White - not Hispanic
- ☐ White - Hispanic
- ☐ Black - not Hispanic
- ☐ Black - Hispanic
- ☐ Asian
- ☐ Other (please specify):

Relationship to Defendant

- ☐ Spouse / Domestic partner
- ☐ Other family
- ☐ Employee/employer
- ☐ Other acquaintance
- ☐ None
- ☐ Unknown

Please make copies of this page or the preceeding one (if necessary) to describe additional defendants or victims.

THANK YOU FOR YOUR PARTICIPATION IN THIS STUDY.

Judge Questionnaire

Please complete questions 1 to 10 before the jury returns its verdict. Please darken response circles fully.

How complex was the evidence presented at trial?

1 2 3 4 5 6 7
Not at all complex ☐ ☐ ☐ ☐ ☐ ☐ ☐ Very complex

How complex was the law?

1 2 3 4 5 6 7
Not at all complex ☐ ☐ ☐ ☐ ☐ ☐ ☐ Very complex

All things considered, how close was this trial?

1 2 3 4 5 6 7
Evidence strongly favored prosecution ☐ ☐ ☐ ☐ ☐ ☐ ☐ Evidence strongly favored defense

Would you agree or disagree that the attorneys presented all the relevant evidence in this trial?

1 2 3 4 5 6 7
Completely disagree ☐ ☐ ☐ ☐ ☐ ☐ ☐ Completely agree

How skillful was (were) the prosecutor(s) during the trial?

1 2 3 4 5 6 7
Not at all skillful ☐ ☐ ☐ ☐ ☐ ☐ ☐ Very skillful

How skillful was (were) the defense attorney(s) during the trial?

1 2 3 4 5 6 7
Not at all skillful ☐ ☐ ☐ ☐ ☐ ☐ ☐ Very skillful

How important were the following types of evidence to the trial?

- | | | |
|--|---|--------------------------------------|
| a. Police testimony | 1 2 3 4 5 6 7 | n/a |
| Very unimportant <input type="radio"/> | <input type="radio"/> <input type="radio"/> <input type="radio"/> <input type="radio"/> <input type="radio"/> <input type="radio"/> <input type="radio"/> | Very important <input type="radio"/> |
| b. Undercover or informant testimony | 1 2 3 4 5 6 7 | n/a |
| Very unimportant <input type="radio"/> | <input type="radio"/> <input type="radio"/> <input type="radio"/> <input type="radio"/> <input type="radio"/> <input type="radio"/> <input type="radio"/> | Very important <input type="radio"/> |
| c. Co-defendant testimony | 1 2 3 4 5 6 7 | n/a |
| Very unimportant <input type="radio"/> | <input type="radio"/> <input type="radio"/> <input type="radio"/> <input type="radio"/> <input type="radio"/> <input type="radio"/> <input type="radio"/> | Very important <input type="radio"/> |
| d. Eyewitness testimony (not victim) | 1 2 3 4 5 6 7 | n/a |
| Very unimportant <input type="radio"/> | <input type="radio"/> <input type="radio"/> <input type="radio"/> <input type="radio"/> <input type="radio"/> <input type="radio"/> <input type="radio"/> | Very important <input type="radio"/> |
| e. Expert witnesses | 1 2 3 4 5 6 7 | n/a |
| Very unimportant <input type="radio"/> | <input type="radio"/> <input type="radio"/> <input type="radio"/> <input type="radio"/> <input type="radio"/> <input type="radio"/> <input type="radio"/> | Very important <input type="radio"/> |
| f. Real or demonstrative evidence | 1 2 3 4 5 6 7 | n/a |
| Very unimportant <input type="radio"/> | <input type="radio"/> <input type="radio"/> <input type="radio"/> <input type="radio"/> <input type="radio"/> <input type="radio"/> <input type="radio"/> | Very important <input type="radio"/> |
| g. Victim's testimony | 1 2 3 4 5 6 7 | n/a |
| Very unimportant <input type="radio"/> | <input type="radio"/> <input type="radio"/> <input type="radio"/> <input type="radio"/> <input type="radio"/> <input type="radio"/> <input type="radio"/> | Very important <input type="radio"/> |
| h. Defendant's testimony | 1 2 3 4 5 6 7 | n/a |
| Very unimportant <input type="radio"/> | <input type="radio"/> <input type="radio"/> <input type="radio"/> <input type="radio"/> <input type="radio"/> <input type="radio"/> <input type="radio"/> | Very important <input type="radio"/> |

8. If you had decided this case in a bench trial, would you have rendered a verdict for the prosecution or for the defense?

☐ Prosecution ☐ Defense

9. Based on the characteristics of this case and the evidence presented at trial, what verdict do you predict that the jury will return?

☐ Guilty on all charges ☐ Guilty on some charges, not guilty on others ☐ Not guilty on all charges

10. What is the likelihood that the jury will hang? (Please write within the boxes.) %

11. Did you answer questions 1 - 10 before or after you learned of the jury's verdict in this case?

☐ Before ☐ After

Please answer Questions 12 to 18 after the jury returns its verdict

12. How surprised were you with the jury's decision (guilty, not guilty, hung) in the case?

1 2 3 4 5 6 7

Not at all surprised ☐ ☐ ☐ ☐ ☐ ☐ ☐ Very surprised

13. How satisfied were you with the jury's decision (guilty, not guilty, hung) in the case?

1 2 3 4 5 6 7

Not at all satisfied ☐ ☐ ☐ ☐ ☐ ☐ ☐ Very satisfied

14. How well do you think that the jury understood the major evidentiary and legal issues in the case?

1 2 3 4 5 6 7

Not at all ☐ ☐ ☐ ☐ ☐ ☐ ☐ Very well

15. If the jury hung on one or more charges, please provide a brief description of the evidence or issues that you believe divided the jury.

16. What is your general view of the jury system?

1 2 3 4 5 6 7

Very negative ☐ ☐ ☐ ☐ ☐ ☐ ☐ Very positive

17. In your view, how serious is the hung jury problem in your jurisdiction?

1 2 3 4 5 6 7

Not at all serious ☐ ☐ ☐ ☐ ☐ ☐ ☐ Very serious

18. Approximately how many criminal jury trials have you presided over?

☐ Less than 20 ☐ 21 to 50 ☐ 51 to 100 ☐ Over 100

19. Of the criminal jury trials you've presided over, how many hung juries have you had?

☐ Less than 5 ☐ 6 to 10 ☐ 10 to 20 ☐ 20 to 30 ☐ Over 30

Attorney Questionnaire

Attorney Questionnaire



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Please complete questions 1 to 10 before the jury returns its verdict.

In this case, were you the prosecutor or the defense attorney?

☐ Prosecutor

☐ Defense

How adequate was the voir dire in this trial?

1 2 3 4 5 6 7

Very inadequate ☐ ☐ ☐ ☐ ☐ ☐ ☐ Very adequate

Since the vast majority of criminal cases are resolved without a trial, why did this case go to trial?

Is this a good jury case for your side?

1 2 3 4 5 6 7

Definitely no ☐ ☐ ☐ ☐ ☐ ☐ ☐ Definitely yes

How complex was this trial?

1 2 3 4 5 6 7

Not at all complex ☐ ☐ ☐ ☐ ☐ ☐ ☐ Very complex

Would you agree or disagree that the jury was presented with all the relevant evidence in this trial?

1 2 3 4 5 6 7

Completely disagree ☐ ☐ ☐ ☐ ☐ ☐ ☐ Completely agree

How skillful was your opposing counsel during the trial?

1 2 3 4 5 6 7

Not at all skillful ☐ ☐ ☐ ☐ ☐ ☐ ☐ Very skillful

How skillful were you during the trial?

1 2 3 4 5 6 7

Not at all skillful ☐ ☐ ☐ ☐ ☐ ☐ ☐ Very skillful

All things considered, how close was this trial?

1 2 3 4 5 6 7

Evidence strongly favored prosecution ☐ ☐ ☐ ☐ ☐ ☐ ☐ Evidence strongly favored defense

10. Did you answer questions 1 - 9 *before* you learned of the jury's verdict or *after* you learned of the jury's verdict?

☐ Before

☐ After

Please complete Questions 11 to 21 after the jury returns its verdict.

1. How surprised were you with the jury's decision in this case?

1 2 3 4 5 6 7

Not at all surprised ☐ ☐ ☐ ☐ ☐ ☐ ☐ Very surprised



Attorney Questionnaire

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12. How satisfied were you with the jury's decision (guilty, not guilty, hung) in this case?

1 2 3 4 5 6 7

Not at all satisfied ☐ ☐ ☐ ☐ ☐ ☐ ☐ Very satisfied

13. How well do you think that the jury understood the major evidentiary and legal issues in the

1 2 3 4 5 6 7

Not at all ☐ ☐ ☐ ☐ ☐ ☐ ☐ Very well

14. If the jury could not reach a verdict on one or more of the charges, why do you think the jurors could not agree?

- ☐ Evidence too close
- ☐ Evidence too complex
- ☐ Bias or prejudice on part of some jurors
- ☐ Jury nullification of the law

- ☐ Juror misconduct
- ☐ Juror with a political agenda
- ☐ Other (please specify):

15. What is your general view of the jury system?

1 2 3 4 5 6 7

Very negative ☐ ☐ ☐ ☐ ☐ ☐ ☐ Very positive

16. In your view, how serious is the hung jury problem in your jurisdiction?

1 2 3 4 5 6 7

Not at all serious ☐ ☐ ☐ ☐ ☐ ☐ ☐ Very serious

Attorney Information

17. Number of years in legal practice:

--	--

18. Approximately how many previous criminal jury trials have you tried before this one?

--	--	--

19. In your prior criminal jury trials, how many times has the jury hung, that is, been unable to reach a decision?

--	--

20. Your age: ☐ under 25 ☐ 25 to 34 ☐ 35 to 44 ☐ 45 to 54 ☐ 55 to 64 ☐ 65 and over

21. Gender: ☐ Male ☐ Female

Final Comments

Do you have any additional comments about the issue of hung juries?

Juror Questions and Questionnaire

Juror Questionnaire

Your participation in this study is entirely voluntary. Your responses will be kept strictly confidential. Please record your answers on the attached answer sheet

General Questions about the Trial

1. Do you agree or disagree that the attorneys presented all the relevant evidence in this trial?
2. How complex was this trial?
3. Overall, how easy or difficult was it for your jury to understand the evidence in this trial?
4. How easy or difficult was it for your jury to understand the expert testimony in this case?
5. How easy or difficult was it for the jury to understand the judge's instructions about the law in this case?
6. Do you agree or disagree with the following statement: "Some of the other jurors did not understand key evidence in this case."
7. How important was police testimony to the prosecution's case?
8. How believable was the police testimony in this case?
9. In some criminal cases, the victims of the crime testify. How believable was the testimony of the victim(s) in this case?
10. In some criminal cases, the defendant testifies. How believable was the testimony of the defendant(s) in this case?
11. Overall, how much sympathy did you feel for the defendant(s) in this case?
12. Overall, how much sympathy did you feel for the victim(s) in this case?
13. How skillful was (were) the prosecutor(s) during the trial?
14. How skillful was (were) the defense attorney(s) during the trial?
15. Overall, how satisfied were you with the manner in which the trial was conducted?
16. How strong was the prosecution's case?
17. How strong was the defense's case?
18. All things considered, how close was this case?

Your Opinions about the Case.

19. Thinking back over the trial and jury deliberations, when would you say that you started leaning toward one side or the other in this case? (please check one box only)
20. Did you find yourself changing your mind about the direction you were leaning during any of the following stages of the trial? (check all that apply)
21. Before you began deliberating with your fellow jurors at the end of the trial (after all of the evidence and the judge's instructions had been presented), which side did you favor?

22. How easy or difficult was it for you **personally** to decide what the verdict should be in this case?
23. Do you agree or disagree with the following statement: "Because of my religious beliefs, I found it difficult to judge another person."

Your Jury Deliberations.

The next set of questions asks about your experiences during the jury deliberations that took place at the end of the trial, after all the evidence and the judge's instructions were presented.

24. When was the jury's first vote?
25. Was the first vote a secret ballot?
26. On the jury's first vote, how did you vote on the most serious charge?
27. How certain were you in your first vote?
28. On the jury's first vote on the most serious charge, how many jurors voted guilty, not guilty, or were undecided?
29. On the jury's final vote, how did you vote on the most serious charge?
30. On the jury's final vote on the most serious charge, how many jurors voted guilty, not guilty, or were undecided?
31. When deliberations began at the end of the trial, how surprised were you personally at the verdict preferences expressed by the other members of the jury?
32. How open-minded were the members of the jury to the ideas of other jurors?
33. How much did you participate in the jury deliberations?
34. How influential were you in the jury deliberations?
35. How much would you say that one or two jurors dominated the deliberations?
36. Do you agree or disagree with the following statement: "There were some very unreasonable people on this jury."
37. How much trouble did the jury have recalling the trial evidence during the jury deliberations?
38. How much trouble did the jury have recalling the judge's instructions on the law during the jury deliberations?
39. How thoroughly was each juror's point of view considered in the jury's deliberations?
40. How personally close and friendly would you say the jury was?
41. How much conflict was there on the jury?
42. Do you feel that you had enough time to express your views during jury deliberations?
43. How much time and effort did jurors spend trying to convince people to agree?

44. How easy or difficult was it for the jury to reach a decision?
45. How satisfied were you with the jury's deliberations?
46. How satisfied were you with the jury's decision (guilty, not guilty, hung)?
47. How fair do you think the law was in this case?
48. To what extent were you worried about the consequences to the defendant of a conviction by this jury?
49. In some trials, a strict application of the law might not seem to produce the fairest possible outcome. In this trial, how fair would you say the legally correct outcome was?
50. In some trials, the consequences of a conviction might seem either too harsh or too lenient for the particular case and defendant. How lenient or harsh do you think the consequences of a conviction were likely to be in this case?
51. If it were entirely up to you as a one-person jury, what would your verdict have been in this case?
52. How much trust and confidence do you have in the police in your community?
53. How much trust and confidence do you have in the courts in your community?
54. To what extent do you believe that crime is a serious problem in your community?

Our final set of questions asks about you and your household, and will help us analyze the information you and other jurors have given us. Again, all of this information is completely confidential and will only be used to help us study and improve the jury system.

55. Were you the jury foreman or presiding juror?
56. Have you ever served as a juror before this trial?
57. If yes, was your jury service in a civil or criminal case?
58. Gender:
59. Age:
60. How many years of school have you completed?
61. Race/Ethnicity:
62. How would you describe your religious beliefs?
63. What was your total household income last year?
64. Job status:
65. If you are presently employed, please select the occupational category below that best fits your occupation:

**THANK YOU VERY MUCH FOR YOUR HELP WITH THIS
IMPORTANT PROJECT!**

Juror Questionnaire

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General Questions about the Trial

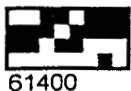
- | | 1 | 2 | 3 | 4 | 5 | 6 | 7 | |
|---|-----------------------|-----------------------|-----------------------|-----------------------|-----------------------|-----------------------|-----------------------|---|
| 1. Completely disagree | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | Completely agree |
| 2. Not at all complex | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | Very complex |
| 3. Very difficult | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | Very easy |
| 4. Very difficult | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | Very easy |
| | | | | | | | | <input type="radio"/> Does not apply.
No expert witness testified. |
| 5. Very difficult | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | Very easy |
| 6. Strongly disagree | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | Strongly agree |
| 7. Not at all important | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | Very important |
| | | | | | | | | <input type="radio"/> Does not apply.
No police testified. |
| 8. Not at all believable | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | Very believable |
| | | | | | | | | <input type="radio"/> Does not apply.
No police testified. |
| 9. Not at all believable | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | Very believable |
| | | | | | | | | <input type="radio"/> Does not apply.
No victim testified. |
| 10. Not at all believable | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | Very believable |
| | | | | | | | | <input type="radio"/> Does not apply.
The defendant did not testify. |
| 11. Very little sympathy | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | A great deal of sympathy |
| 12. Very little sympathy | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | A great deal of sympathy |
| | | | | | | | | <input type="radio"/> Does not apply.
No victim. |
| 13. Not at all skillful | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | Very skillful |
| 14. Not at all skillful | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | Very skillful |
| 15. Not at all satisfied | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | Very satisfied |
| 16. Consistently weak | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | Consistently strong |
| 17. Consistently weak | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | Consistently strong |
| 18. Evidence strongly favored prosecution | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | Evidence strongly favored defense |

Our Opinions about the Case

- | | |
|---|---|
| <input type="radio"/> During the prosecutor's opening statement | <input type="radio"/> During the closing argument of the prosecutor |
| <input type="radio"/> During the defense attorney's opening statement | <input type="radio"/> During the closing argument of the defense |
| <input type="radio"/> During the testimony of the state's witnesses | <input type="radio"/> During the judge's final legal instructions |
| <input type="radio"/> During the testimony of the defense's witnesses | <input type="radio"/> During the jury's final deliberations |
| <input type="radio"/> I never changed my mind | <input type="radio"/> During the testimony of the defense's witnesses |
| <input type="radio"/> During the prosecutor's opening statement | <input type="radio"/> During the closing argument of the prosecutor |
| <input type="radio"/> During the defense attorney's opening statement | <input type="radio"/> During the closing argument of the defense attorney |
| <input type="radio"/> During the testimony of the state's witnesses | <input type="radio"/> During the judge's final legal instructions |
| | <input type="radio"/> During the jury's final deliberations |

- | | 1 | 2 | 3 | 4 | 5 | 6 | 7 | |
|----------------------------------|-----------------------|-----------------------|-----------------------|-----------------------|-----------------------|-----------------------|-----------------------|------------------------------|
| Strongly favored the prosecution | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | Strongly favored the defense |
| Very difficult | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | Very easy |
| Strongly disagree | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | <input type="radio"/> | Strongly agree |

(Please continue on the back of form)



Juror Questionnaire

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r Jury Deliberations

- ☐ Right at the beginning of deliberations
☐ Within the first 10 minutes of the start of deliberations
☐ Early on during deliberations
☐ About the middle of deliberations
☐ Late in jury deliberations
☐ Only at the very end of deliberations
- ☐ Yes ☐ No ☐ Don't know

☐ Guilty ☐ Not guilty ☐ Unsure/did not vote

1 2 3 4 5 6 7
Not at all certain ☐ ☐ ☐ ☐ ☐ ☐ ☐ Very certain

Voted guilty? Voted not guilty? Were undecided?
(Mark here ☐ if you don't remember)

☐ Guilty ☐ Not guilty ☐ Unsure/did not vote

Voted guilty? Voted not guilty? Were undecided?
(Mark here ☐ if you don't remember)

1 2 3 4 5 6 7
Not at all surprised ☐ ☐ ☐ ☐ ☐ ☐ ☐ Very surprised

Not at all open ☐ ☐ ☐ ☐ ☐ ☐ ☐ Very open

Not at all ☐ ☐ ☐ ☐ ☐ ☐ ☐ A great deal

Not at all influential ☐ ☐ ☐ ☐ ☐ ☐ ☐ Very influential

Not at all ☐ ☐ ☐ ☐ ☐ ☐ ☐ Very much

Strongly disagree ☐ ☐ ☐ ☐ ☐ ☐ ☐ Strongly agree

None ☐ ☐ ☐ ☐ ☐ ☐ ☐ A great deal

None ☐ ☐ ☐ ☐ ☐ ☐ ☐ A great deal

Not at all thoroughly ☐ ☐ ☐ ☐ ☐ ☐ ☐ Very thoroughly

Very distant and unfriendly ☐ ☐ ☐ ☐ ☐ ☐ ☐ Very close and friendly

None ☐ ☐ ☐ ☐ ☐ ☐ ☐ A great deal

Definitely no ☐ ☐ ☐ ☐ ☐ ☐ ☐ Definitely yes

None ☐ ☐ ☐ ☐ ☐ ☐ ☐ A great deal

Very difficult ☐ ☐ ☐ ☐ ☐ ☐ ☐ Very easy

Not at all satisfied ☐ ☐ ☐ ☐ ☐ ☐ ☐ Fully satisfied

Not at all satisfied ☐ ☐ ☐ ☐ ☐ ☐ ☐ Fully satisfied

(Please continue on the next page)



61400

Juror Questionnaire

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- 1 2 3 4 5 6 7
 Not at all fair ☐ ☐ ☐ ☐ ☐ ☐ ☐ Very fair
 Not at all ☐ ☐ ☐ ☐ ☐ ☐ ☐ A great deal
 Very unfair ☐ ☐ ☐ ☐ ☐ ☐ ☐ Very fair
 Too lenient ☐ ☐ ☐ ☐ ☐ ☐ ☐ Too harsh

☐ Guilty ☐ Not guilty ☐ Undecided

- 1 2 3 4 5 6 7
 None ☐ ☐ ☐ ☐ ☐ ☐ ☐ A great deal
 None ☐ ☐ ☐ ☐ ☐ ☐ ☐ A great deal
 Not at all ☐ ☐ ☐ ☐ ☐ ☐ ☐ A great deal

General Juror Information

- ☐ Yes ☐ No 56. ☐ Yes ☐ No
☐ Civil ☐ Criminal ☐ Both 58. ☐ Male ☐ Female
☐ 18 to 25 ☐ 26 to 35 ☐ 36 to 45 ☐ 46 to 55 ☐ 56 to 65 ☐ Over 65
☐ Less than four years of high school ☐ Some college ☐ Post-graduate work
☐ High school graduate ☐ College graduate
☐ Black/African American ☐ White/Hispanic ☐ Native American ☐ Other - please specify:
☐ White/Caucasian ☐ Nonwhite/Hispanic ☐ Asian/Pacific Islander _____
☐ Very religious ☐ Religious ☐ Neither ☐ Somewhat nonreligious ☐ Very nonreligious
☐ Under \$10,000 ☐ Between \$40,000 and \$49,999
☐ Between \$10,000 and \$19,999 ☐ Between \$50,000 and \$75,000
☐ Between \$20,000 and \$29,999 ☐ Over \$75,000
☐ Between \$30,000 and \$39,999
☐ Employed full time ☐ Retired
☐ Employed part time ☐ Unemployed, and a student
☐ Self-employed ☐ Unemployed, seeking employment
☐ Homemaker ☐ Unemployed, not seeking employment
☐ Managerial specialties (financial, personnel, public relations, advertising)
☐ Professional (teacher, doctor, lawyer, writer, engineer, architect)
☐ Technical and sales (sales, clerical, secretary, computer operator, technician)
☐ Service (police, firefighter, waitress, beautician, household worker)
☐ Agricultural (farmer, operator and manager, forestry)
☐ Mechanic/craftsman _____
☐ Operator, laborer, transportation worker
☐ Other - please specify:

Thank you for completing this survey