

# Lecture 5:

# Convolutional Neural Networks

Cs231n\_study\_AI\_Robotics

Jungyeon Lee

2019.07.29

# Next: Convolutional Neural Networks

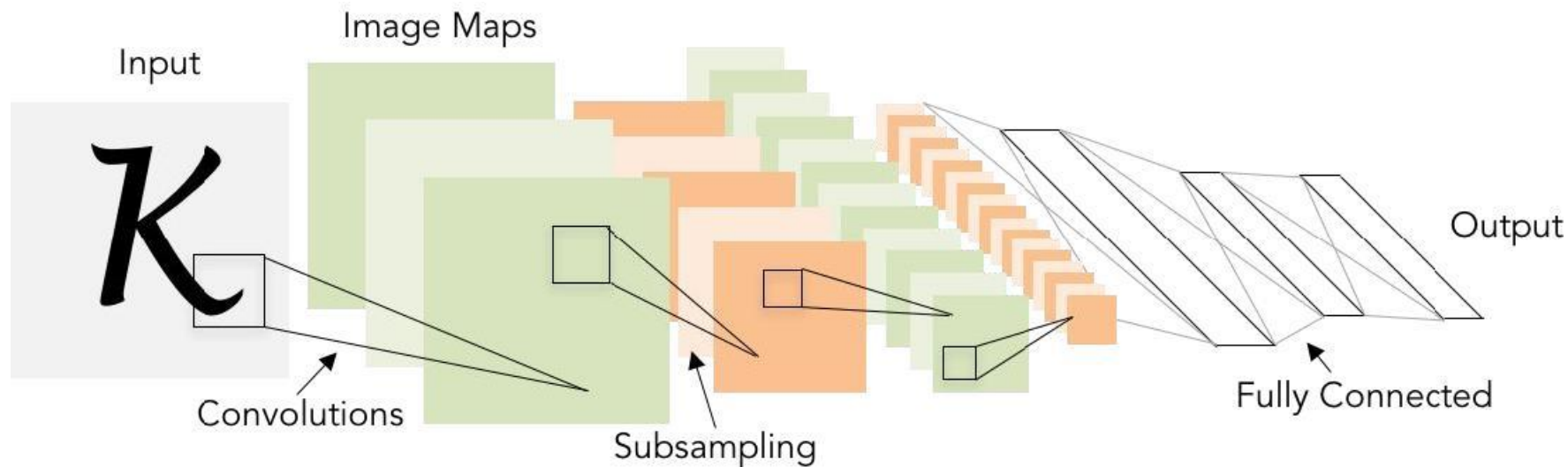


Illustration of LeCun et al. 1998 from CS231n 2017 Lecture 1

# A bit of history...

The **Mark I Perceptron** machine was the first implementation of the perceptron algorithm.

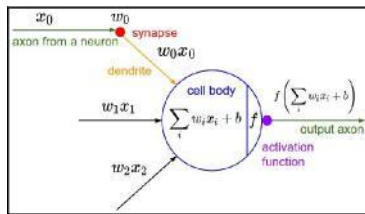
The machine was connected to a camera that used 20x20 cadmium sulfide photocells to produce a 400-pixel image.

recognized  
letters of the alphabet

update rule:

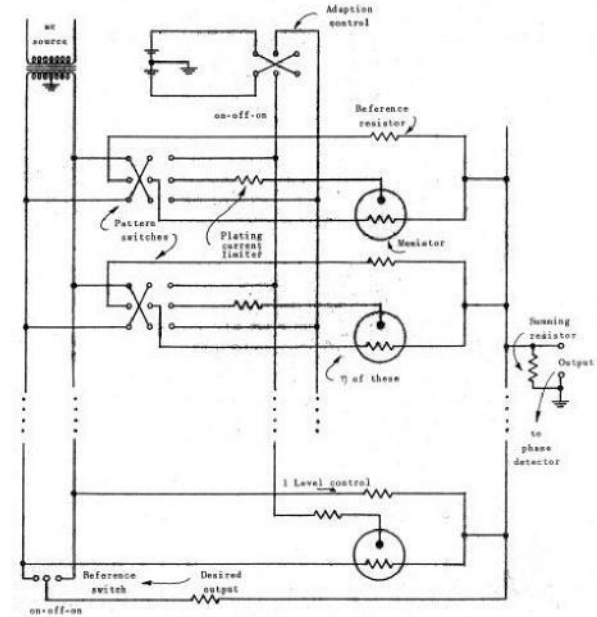
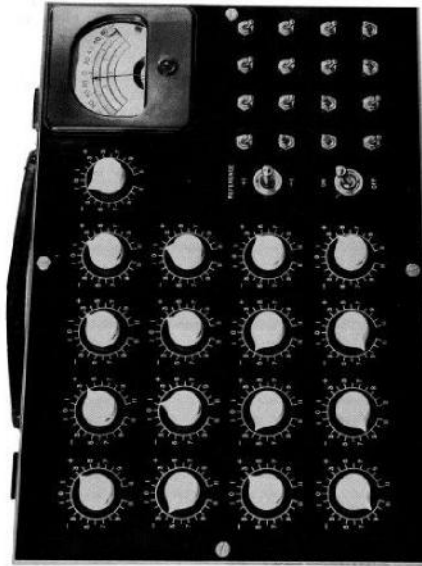
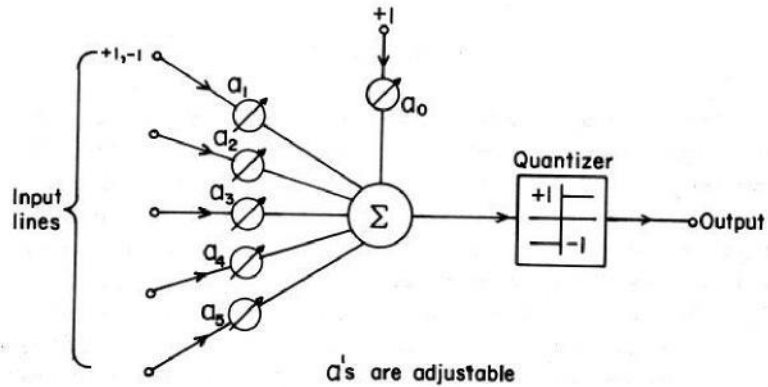
$$w_i(t+1) = w_i(t) + \alpha(d_j - y_j(t))x_{j,i}$$

$$f(x) = \begin{cases} 1 & \text{if } w \cdot x + b > 0 \\ 0 & \text{otherwise} \end{cases}$$



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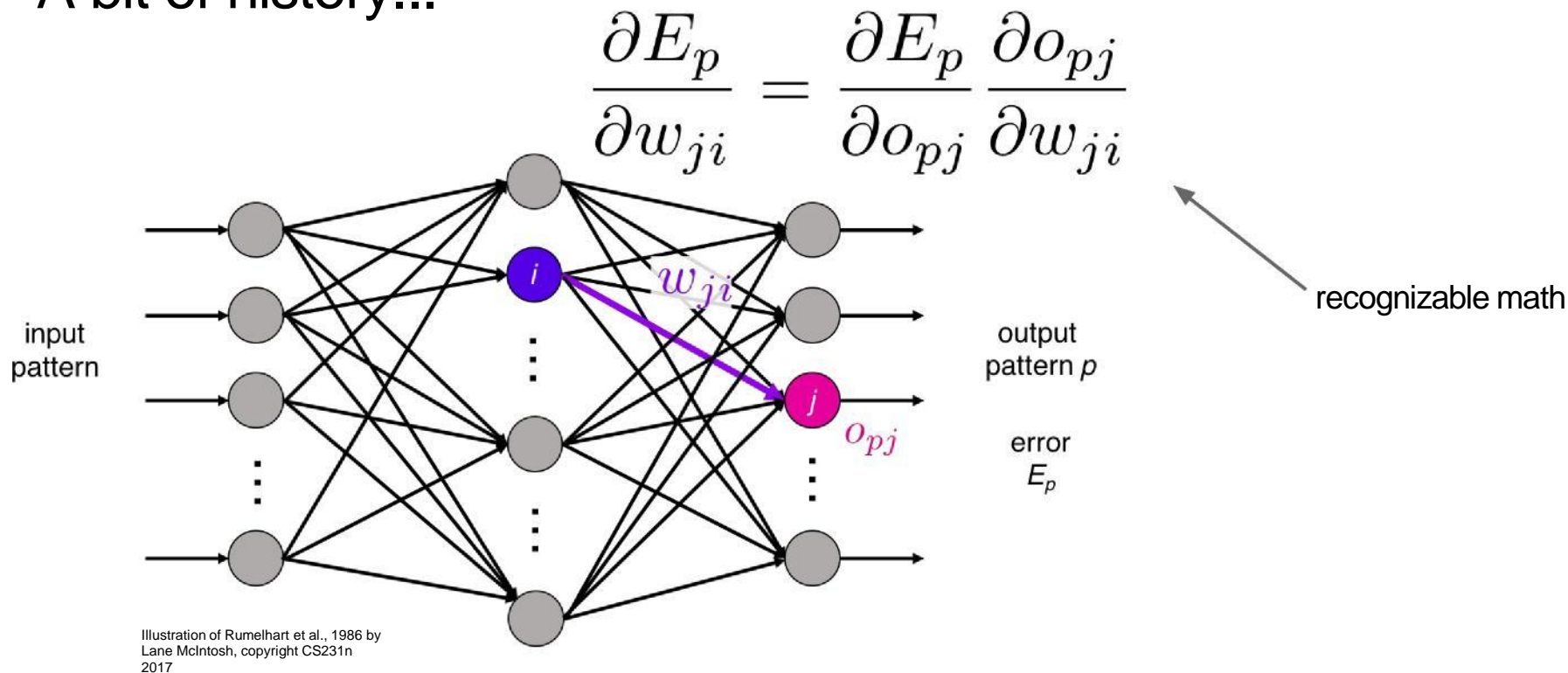
# A bit of history...



Widrow and Hoff, ~1960: Adaline/Madaline

These figures are reproduced from [Widrow 1960, Stanford Electronics Laboratories Technical Report](#) with permission from [Stanford University Special Collections](#).

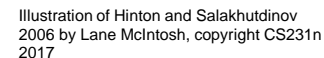
# A bit of history...



Rumelhart et al., 1986: First time back-propagation became popular

[Hinton and Salakhutdinov 2006]

# Reinvigorated research in Deep Learning



# First strong results

## ***Acoustic Modeling using Deep Belief Networks***

Abdel-rahman Mohamed, George Dahl, Geoffrey Hinton, 2010

## ***Context-Dependent Pre-trained Deep Neural Networks for Large Vocabulary Speech Recognition***

George Dahl, Dong Yu, Li Deng, Alex Acero, 2012

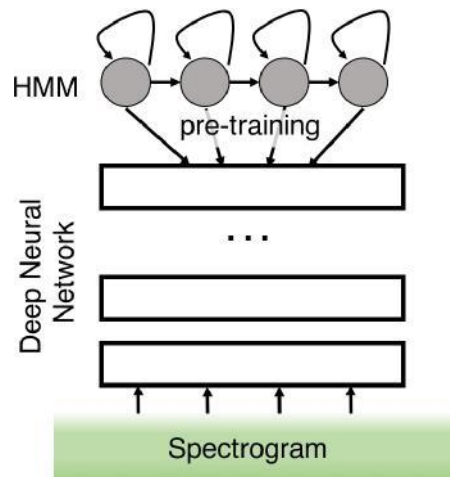
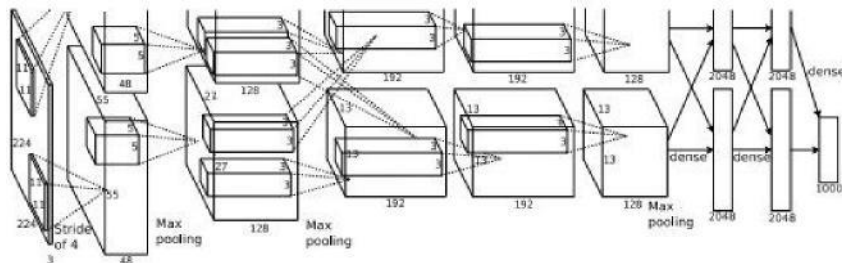


Illustration of Dahl et al. 2012 by Lane McIntosh, copyright CS231n 2017

## ***Imagenet classification with deep convolutional neural networks***

Alex Krizhevsky, Ilya Sutskever, Geoffrey E Hinton, 2012



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A bit of history :

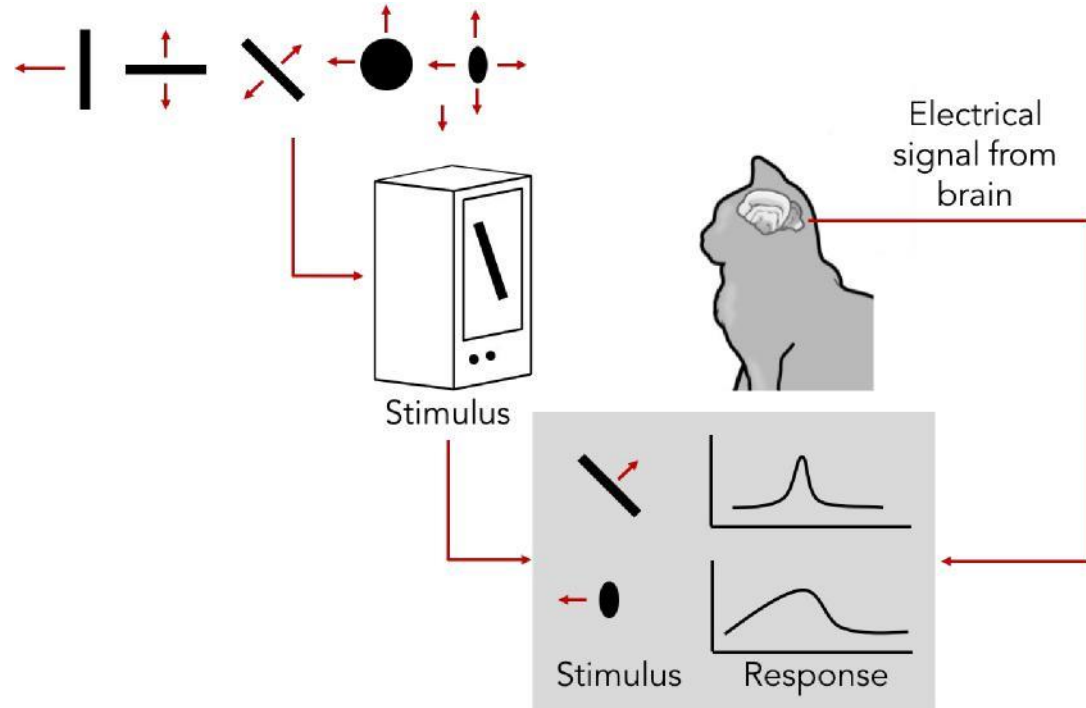
## Hubel & Wiesel , 1959

RECEPTIVE FIELDS OF SINGLE  
NEURONES IN  
THE CAT'S STRIATE CORTEX

## 1962

RECEPTIVE FIELDS, BINOCULAR  
INTERACTION  
AND FUNCTIONAL ARCHITECTURE IN  
THE CAT'S VISUAL CORTEX

## 1968...

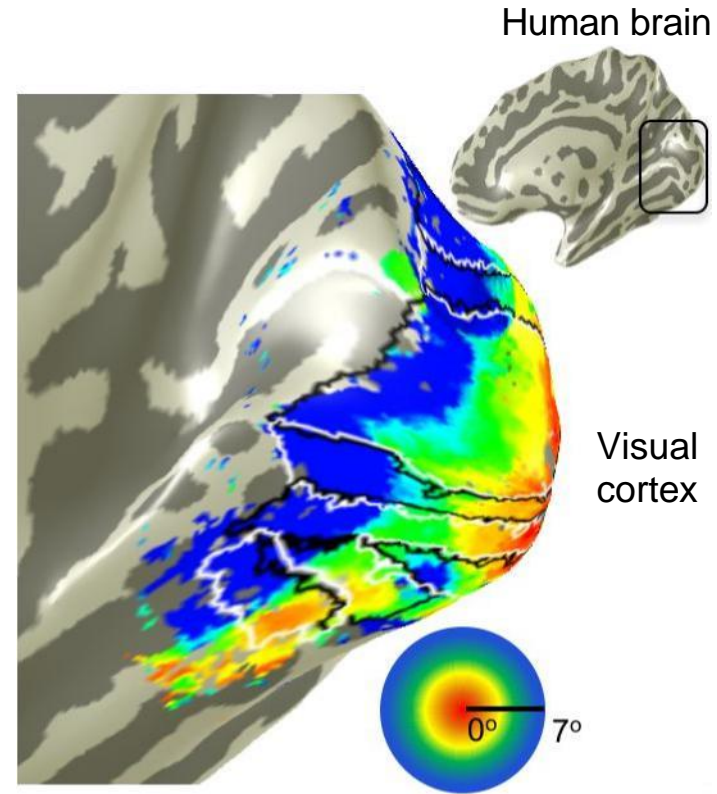
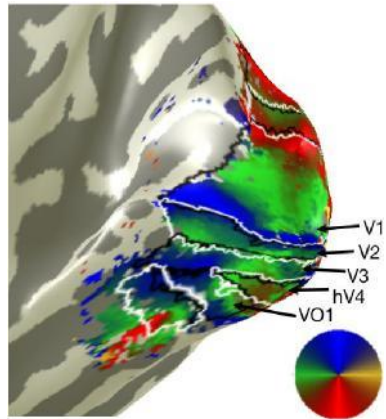


[Cat image](#) by CNX OpenStax is licensed under CC BY 4.0; changes made



# A bit of history

**Topographical mapping in the cortex:**  
nearby cells in cortex represent  
nearby regions in the visual field



Retinotopy images courtesy of Jesse Gomez in the  
Stanford Vision & Perception Neuroscience Lab.

# Hierarchical organization

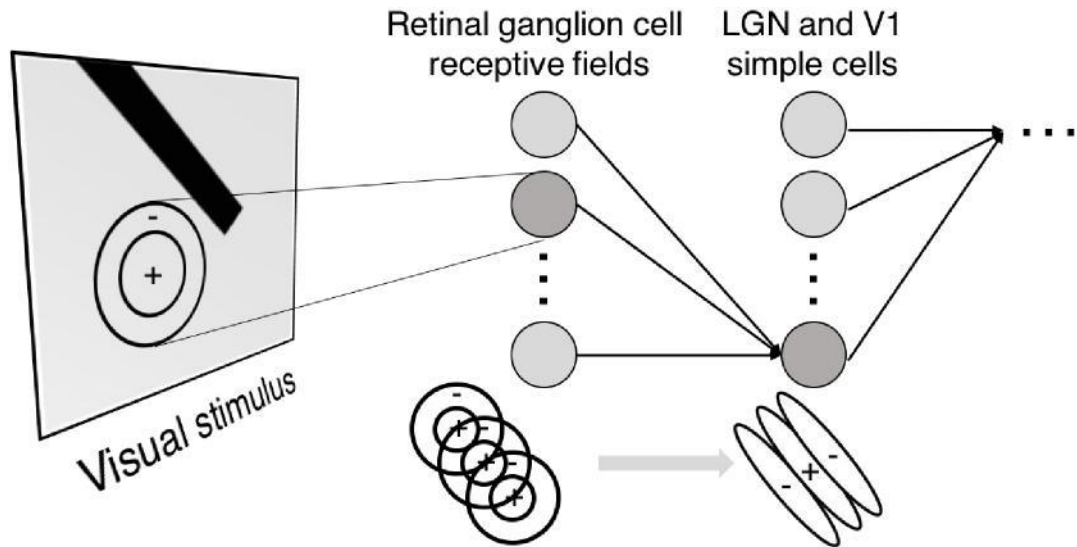


Illustration of hierarchical organization in early visual pathways by Lane McIntosh, copyright CS231n 2017

**Simple cells:**  
Response to light  
orientation

**Complex cells:**  
Response to light  
orientation and movement

**Hypercomplex cells:**  
response to movement  
with an end point



No response



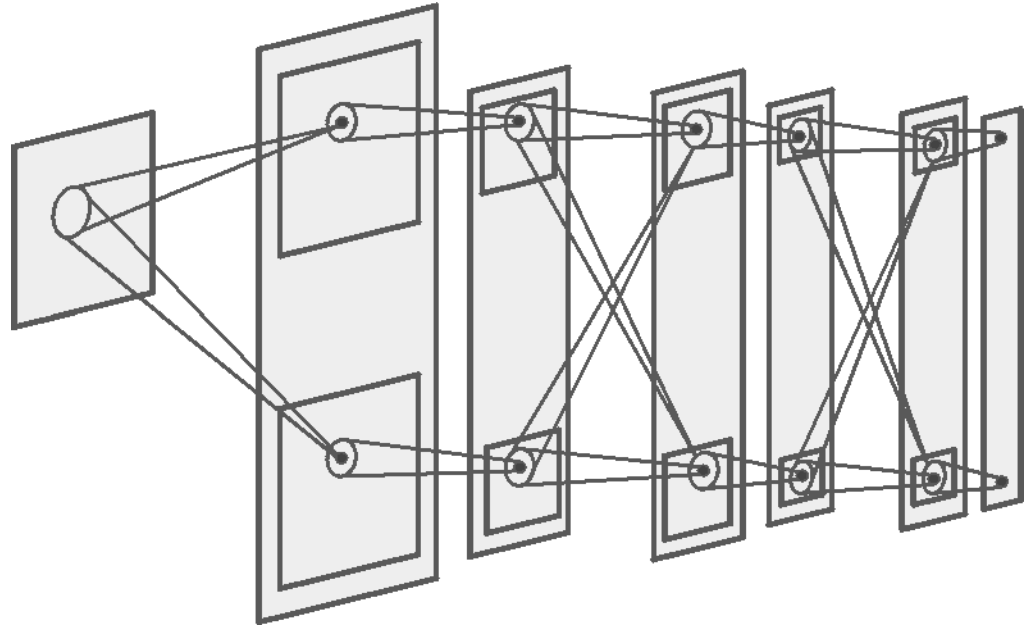
Response  
(end point)

# A bit of history:

## Neocognitron

*[Fukushima 1980]*

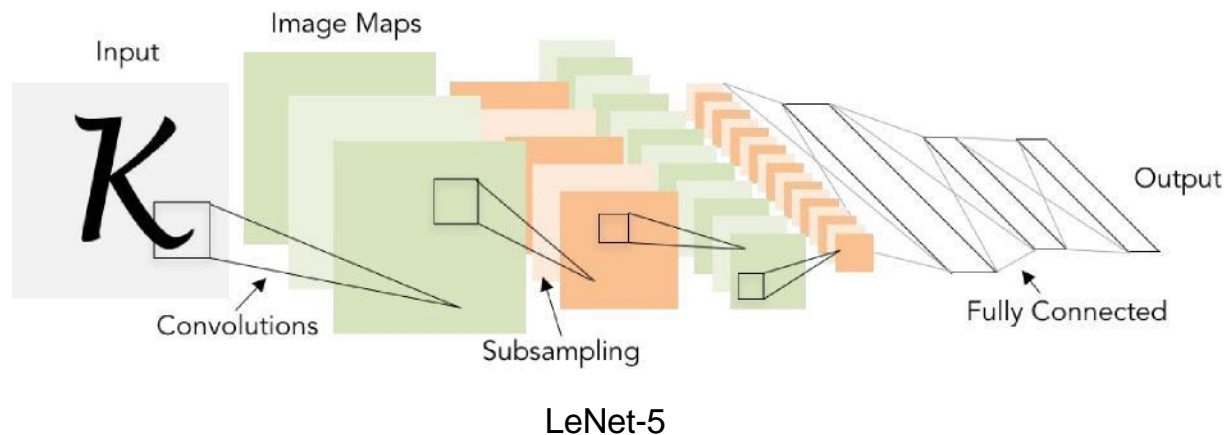
“sandwich” architecture (SCSCSC...)  
simple cells: modifiable parameters  
complex cells: perform pooling



# A bit of history:

## Gradient-based learning applied to document recognition

*[LeCun, Bottou, Bengio, Haffner 1998]*



# A bit of history:

## ImageNet Classification with Deep Convolutional Neural Networks

*[Krizhevsky, Sutskever, Hinton, 2012]*

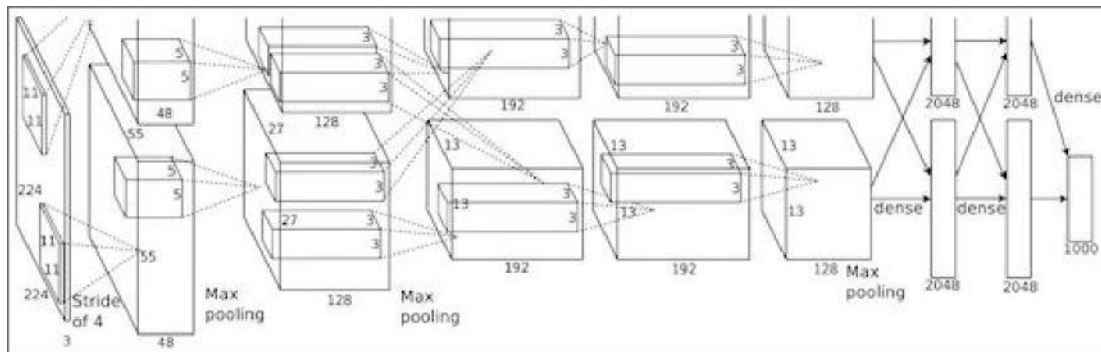


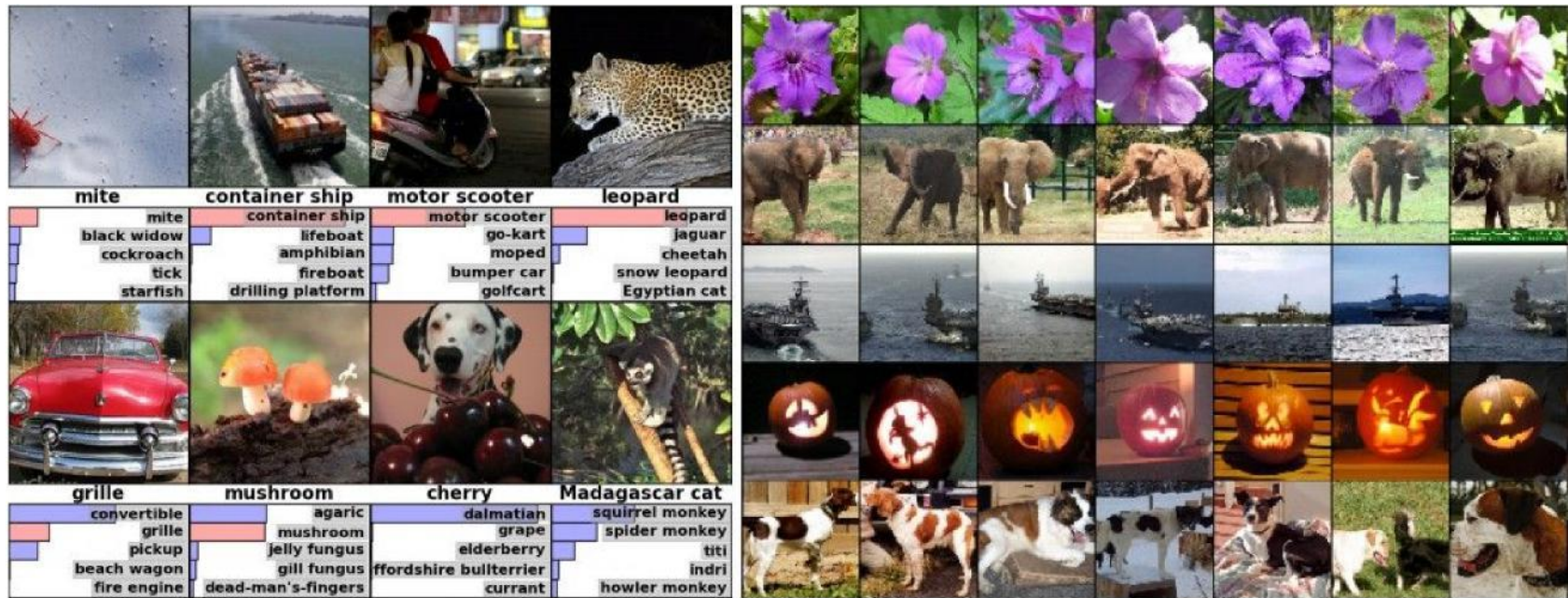
Figure copyright Alex Krizhevsky, Ilya Sutskever, and Geoffrey Hinton, 2012. Reproduced with permission.

“AlexNet”



# Fast-forward to today: ConvNets are everywhere

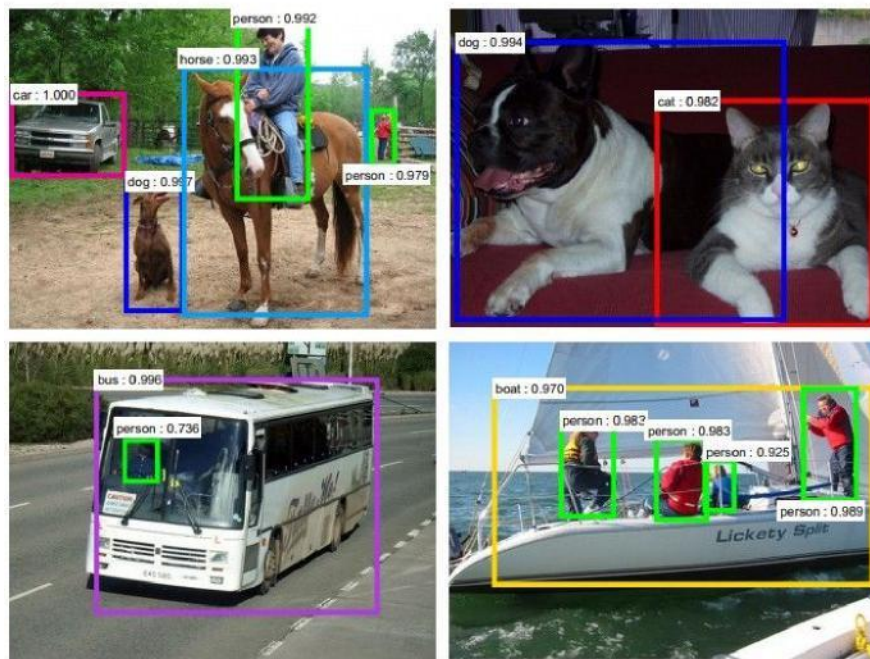
## Classification Retrieval



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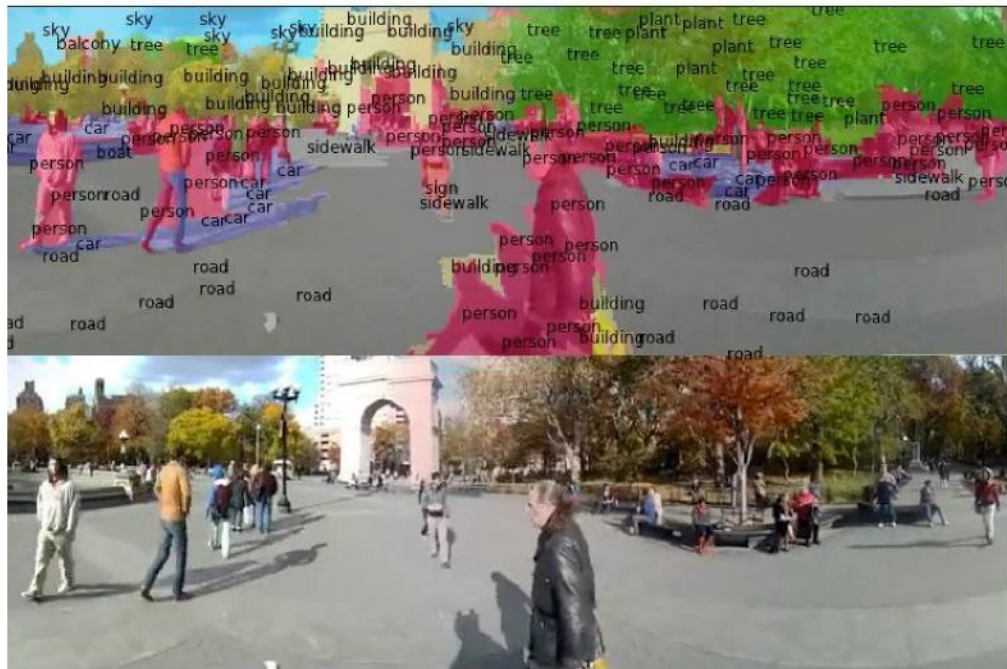
# Fast-forward to today: ConvNets are everywhere

## Detection Segmentation



Figures copyright Shaoqing Ren, Kaiming He, Ross Girshick, Jian Sun, 2015. Reproduced with permission.

[Faster R-CNN: Ren, He, Girshick, Sun 2015]



Figures copyright Clement Farabet, 2012. Reproduced with permission.

[Farabet et al., 2012]



# Fast-forward to today: ConvNets are everywhere



Photo by Lane McIntosh. Copyright CS231n 2017.

self-driving cars GPU and ARM-based CPU cores.



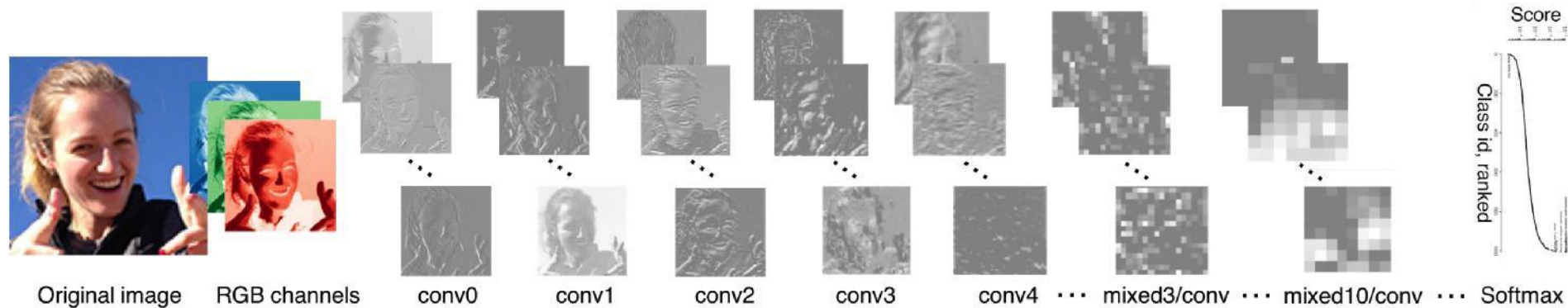
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## NVIDIA Tesla line

(these are the GPUs on [rye01.stanford.edu](http://rye01.stanford.edu))

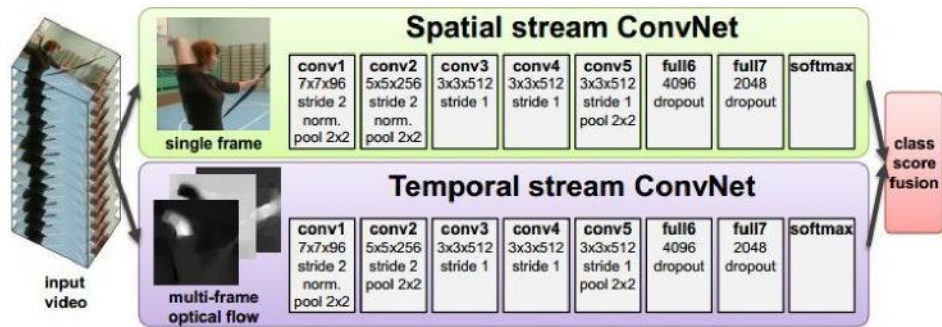
Note that for embedded systems a typical setup would involve NVIDIA Tegras, with integrated

# Fast-forward to today: ConvNets are everywhere



[Taigman et al. 2014]

Activations of [inception-v3 architecture](#) [Szegedy et al. 2015] to image of Emma Mcintosh, used with permission. Figure and architecture not from Taigman et al. 2014.



[Simonyan et al. 2014]

Figures copyright Simonyan et al., 2014.  
Reproduced with permission.

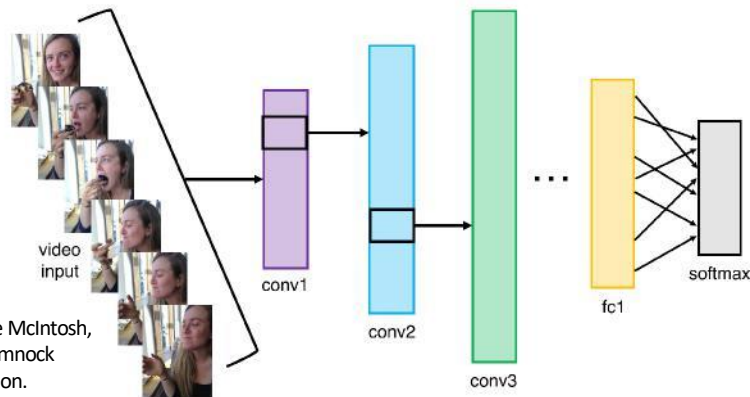


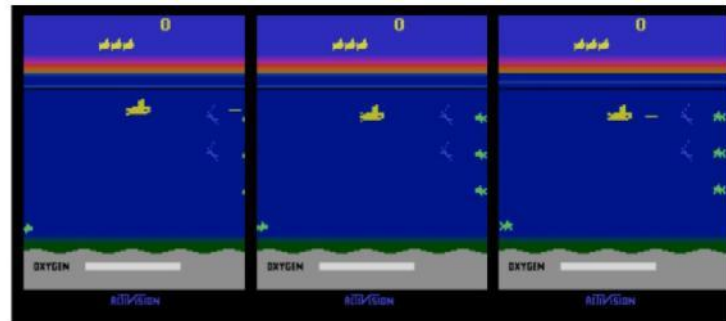
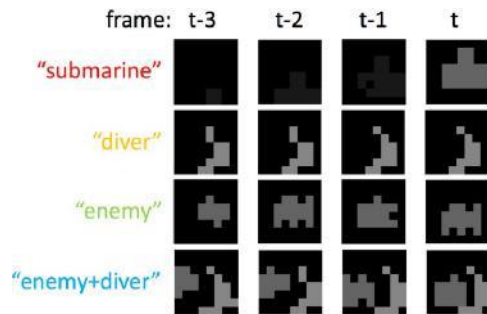
Illustration by Lane McIntosh,  
photos of Katie Cumnock  
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# Fast-forward to today: ConvNets are everywhere



Images are examples of pose estimation, not actually from Toshev & Szegedy 2014. Copyright Lane McIntosh.

[Toshev, Szegedy 2014]

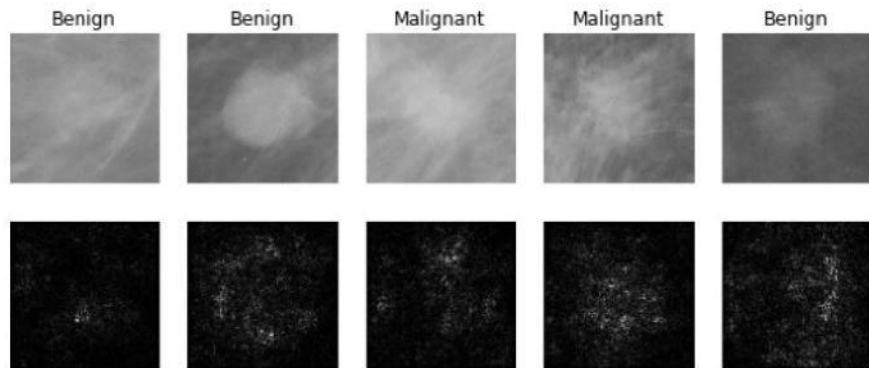


[Guo et al. 2014]

Figures copyright Xiaoxiao Guo, Satinder Singh, Honglak Lee, Richard Lewis, and Xiaoshi Wang, 2014.  
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# Fast-forward to today: ConvNets are everywhere



[Levy et al. 2016]

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[Dieleman et al. 2014]

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[Sermanet et al. 2011]  
[Ciresan et al.]

Photos by Lane McIntosh. Copyright CS231n 2017.

[This image](#) by Christin Khan is in the public domain Photo and figure by Lane McIntosh; not actual and originally came from the U.S. NOAA. example from Mnih and Hinton, 2010 paper.



*Whale recognition, Kaggle Challenge Mnih and Hinton, 2010*

No errors Minor errors Somewhat related

# Image Captioning

[Vinyals et al., 2015]  
[Karpathy and Fei-Fei, 2015]



*A white teddy bear sitting in the grass*



*A man in a baseball uniform throwing a ball*



*A woman is holding a cat in her hand*



*A man riding a wave on top of a surfboard*



*A cat sitting on a suitcase on the floor*

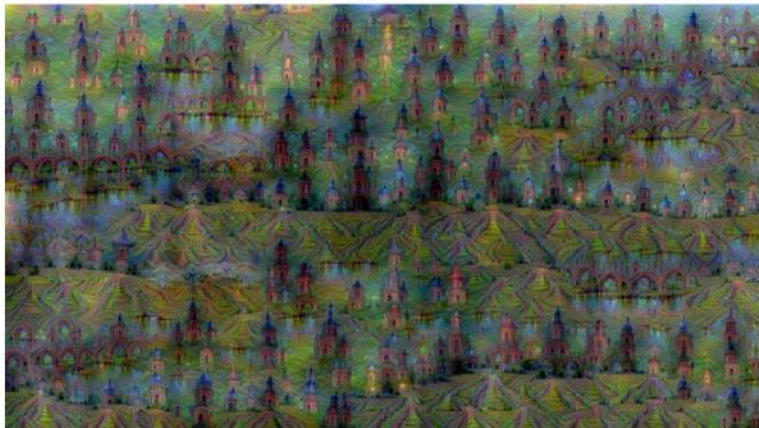
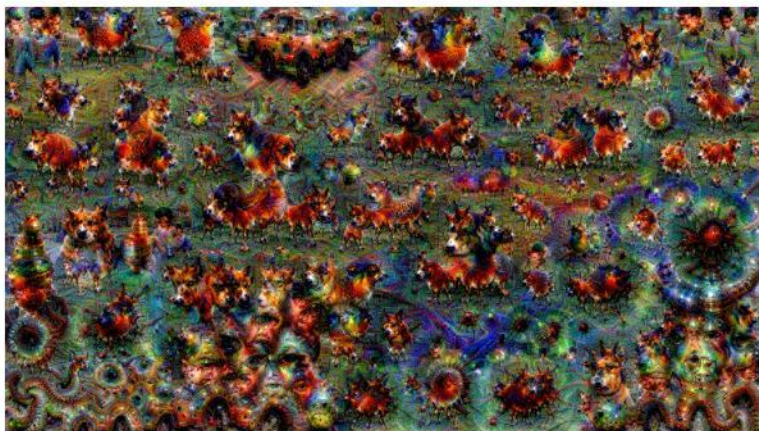


*A woman standing on a beach holding a surfboard*

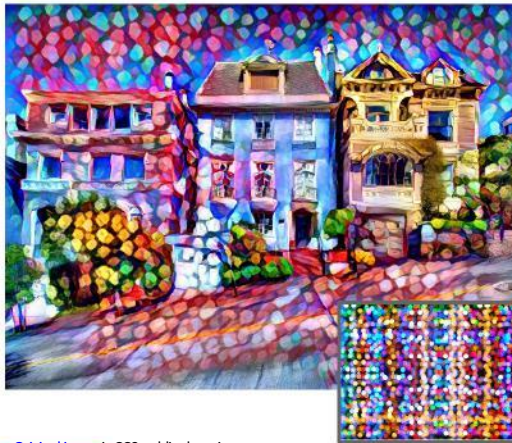
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<https://pixabay.com/en/teddy-plush-bears-cute-teddy-bear-1623436/>  
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<https://pixabay.com/en/baseball-player-shortstop-infield-1045263/>

Captions generated by Justin Johnson using [NeuralTalk2](#)





Figures copyright Justin Johnson, 2015. Reproduced with permission. Generated using the Inceptionism approach from [a blog post](#) by Google Research.



[Original images](#) CC0 public domain  
[Starry Night](#) and [Tree Roots](#) by Van Gogh are in the public domain  
[Bokeh image](#) is in the public domain  
 stylized images copyright Justin Johnson, 2017; reproduced with permission



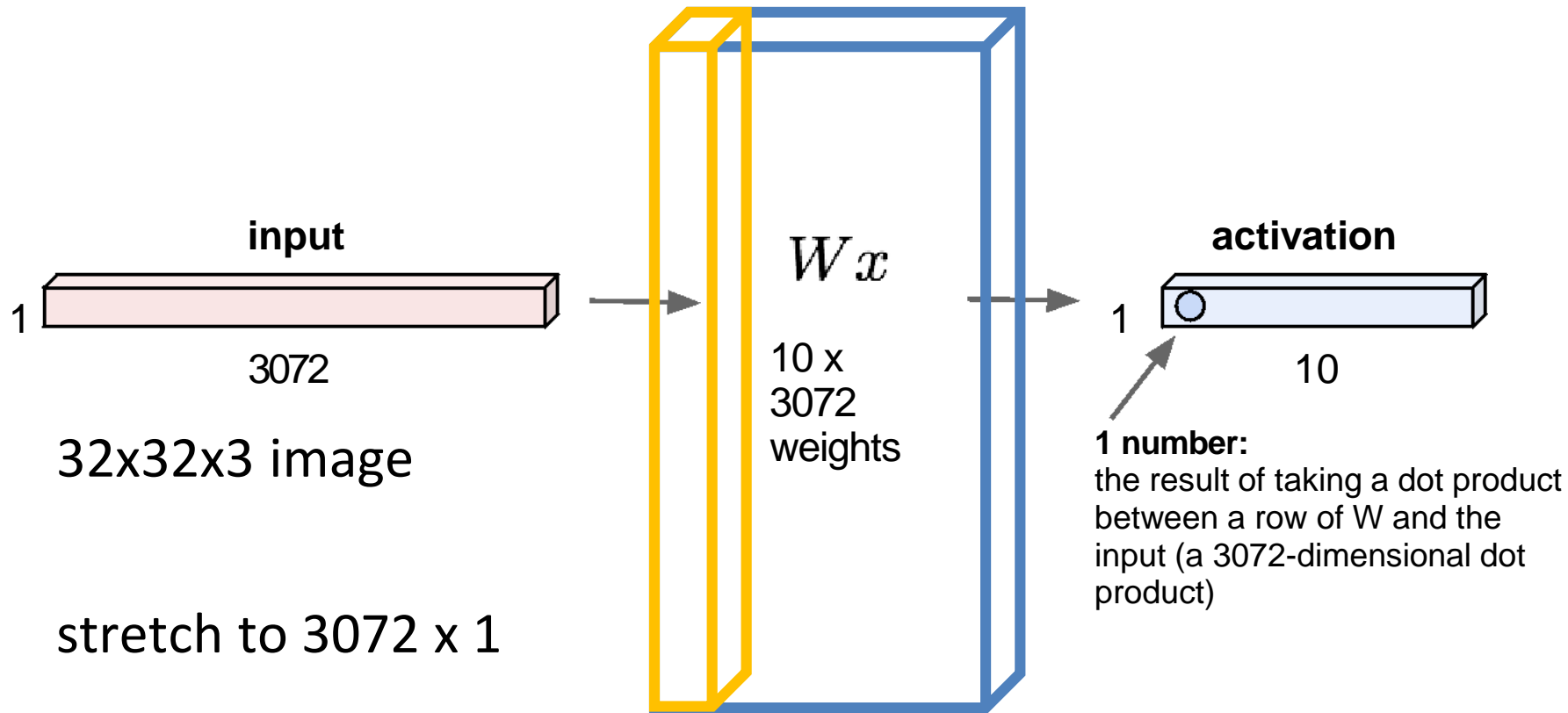
Gatys et al, "Image Style Transfer using Convolutional Neural Networks", CVPR 2016  
 Gatys et al, "Controlling Perceptual Factors in Neural Style Transfer", CVPR 2017



# Convolutional Neural Networks

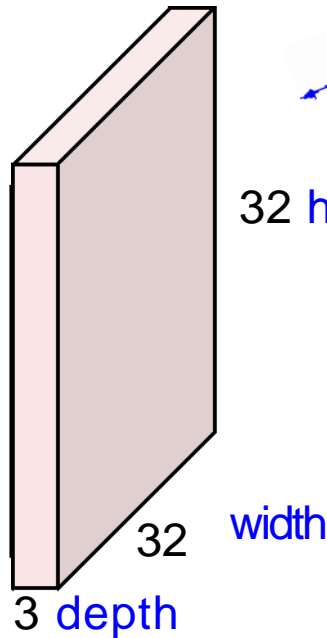
(First without the brain stuff)

# Fully Connected Layer



# Convolution Layer

32x32x3 image -> preserve spatial structure



32 height

32 width

3 depth

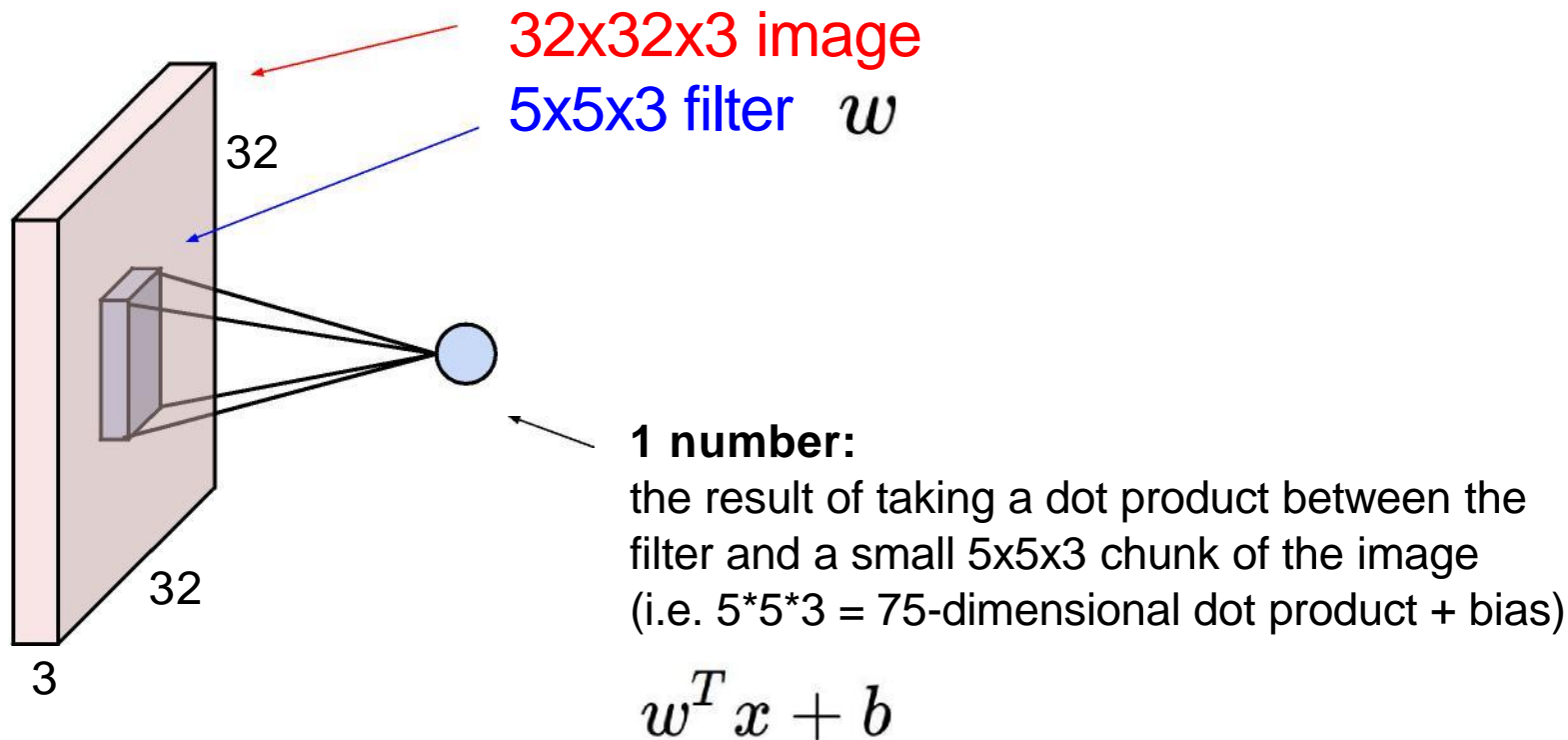
5x5x3 filter



Filters always extend the full depth of the input volume

**Convolve** the filter with the image  
i.e. “slide over the image spatially,  
computing dot products”

# Convolution Layer

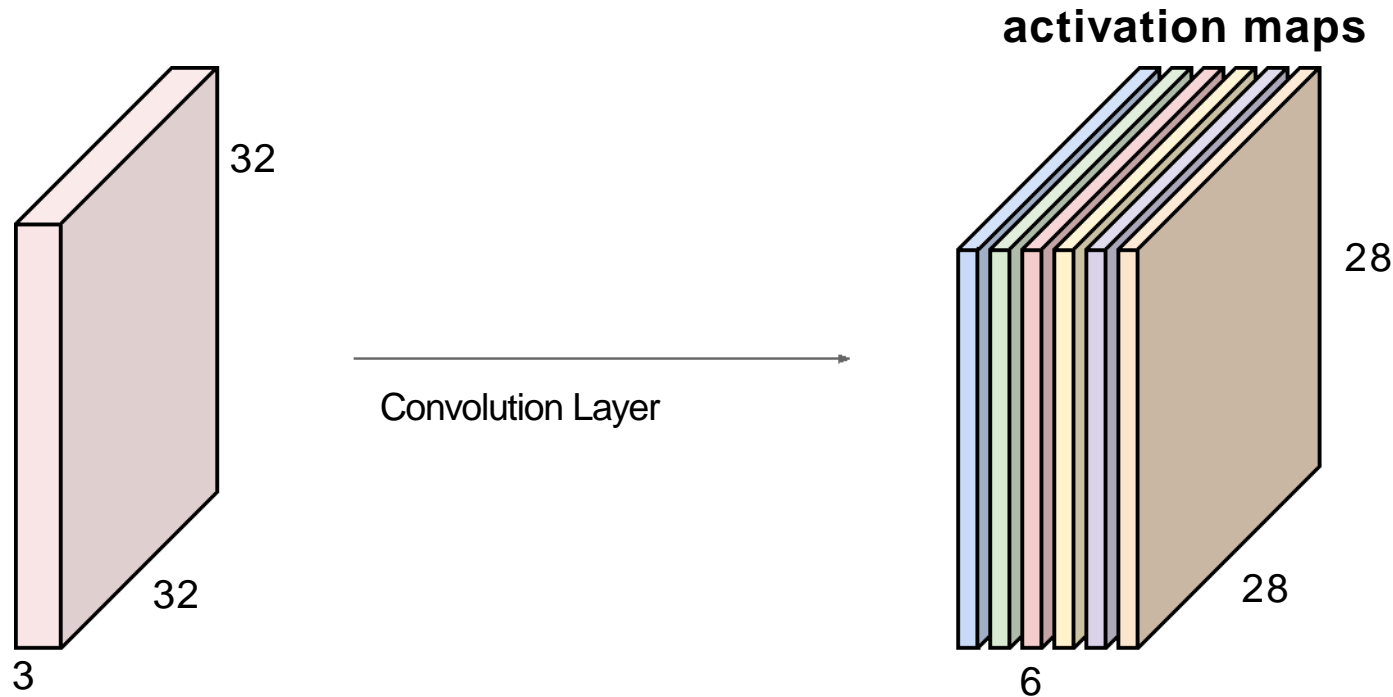


# Convolution Layer

consider a second, **green** filter

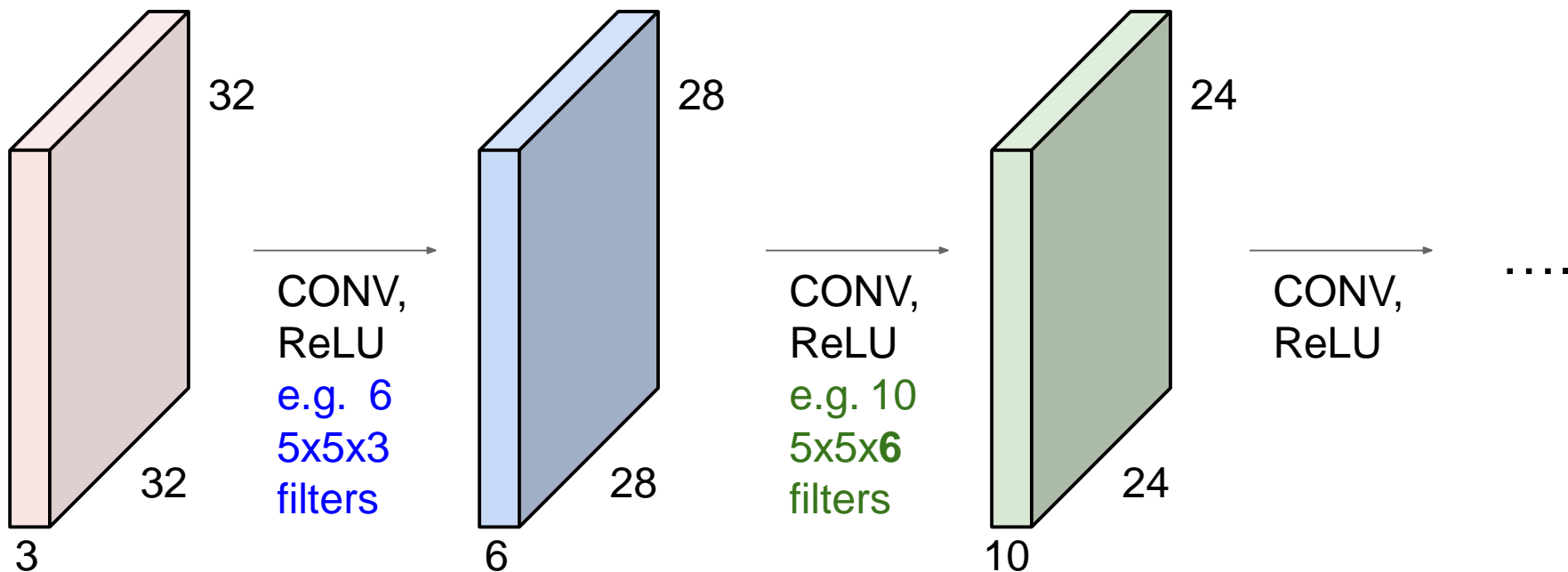


For example, if we had 6 5x5 filters, we'll get 6 separate activation maps:



We stack these up to get a “new image” of size 28x28x6!

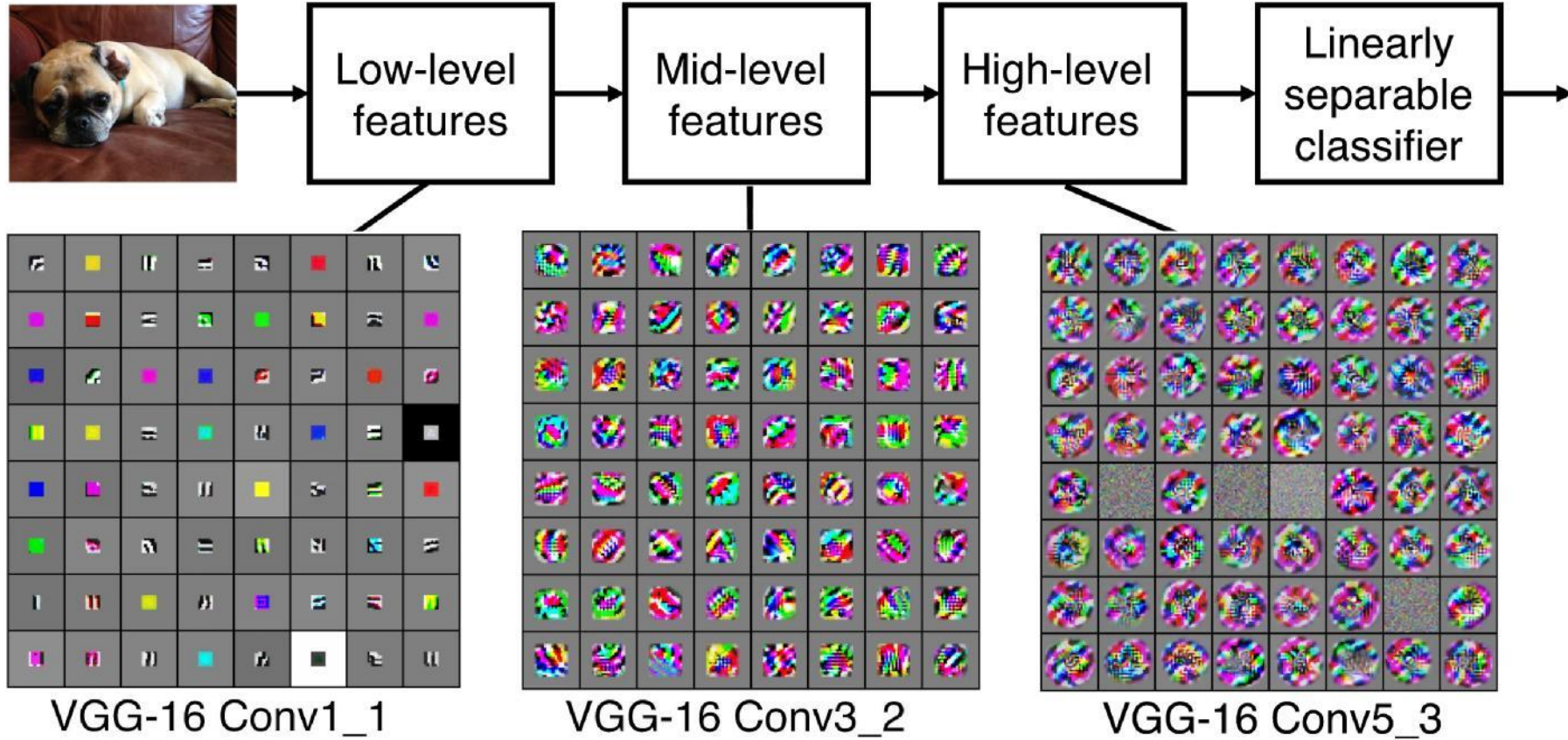
**Preview:** ConvNet is a sequence of Convolutional Layers, interspersed with activation functions



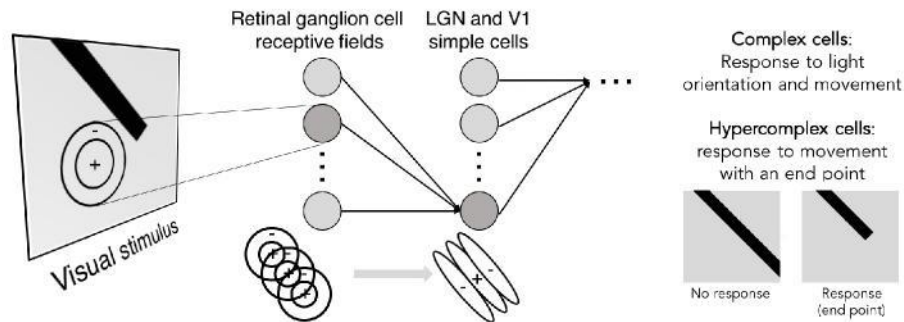
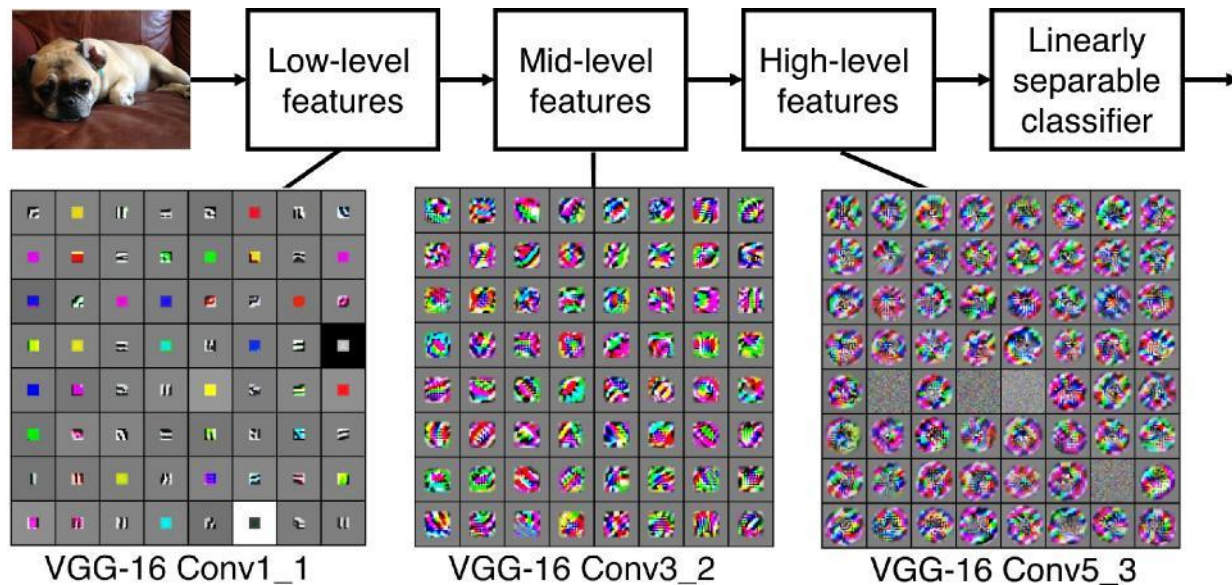


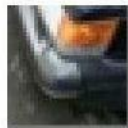
## Preview [Zeiler and Fergus 2013]

Visualization of VGG-16 by Lane McIntosh. VGG-16 architecture from [Simonyan and Zisserman 2014].



# Preview





one filter =>  
one activation map

example 5x5 filters  
(32 total)

Activations:

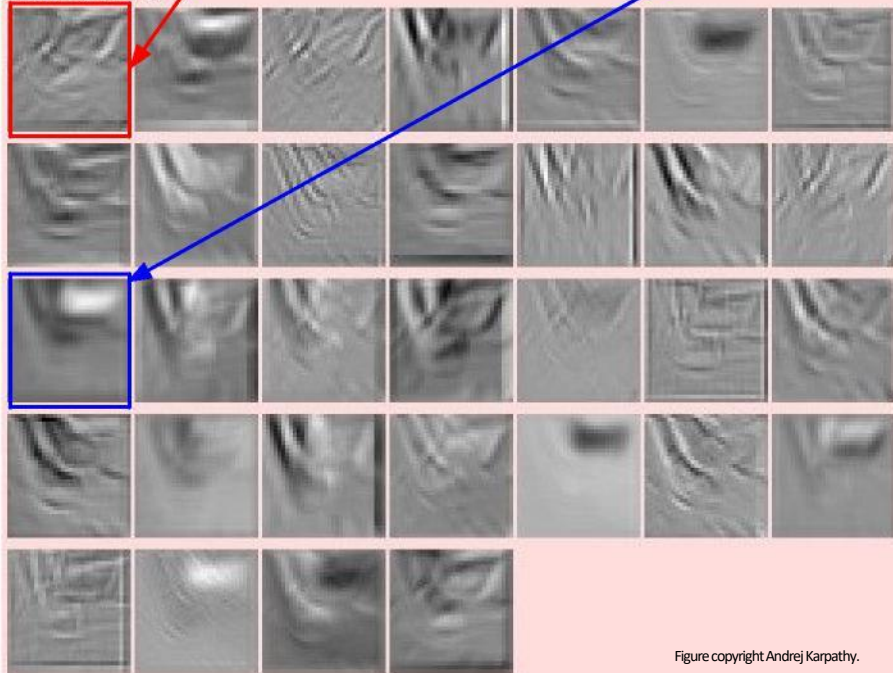


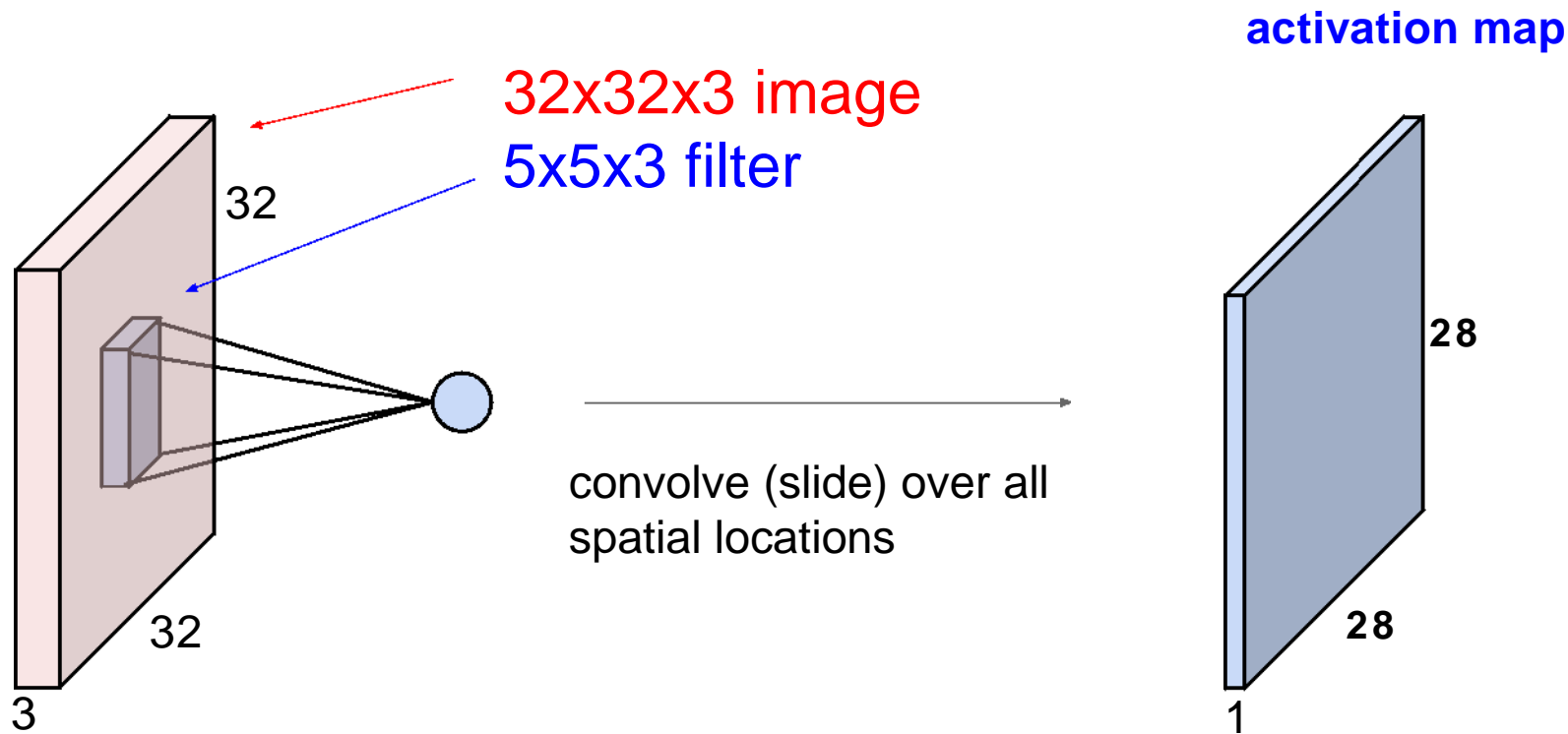
Figure copyright Andrej Karpathy.

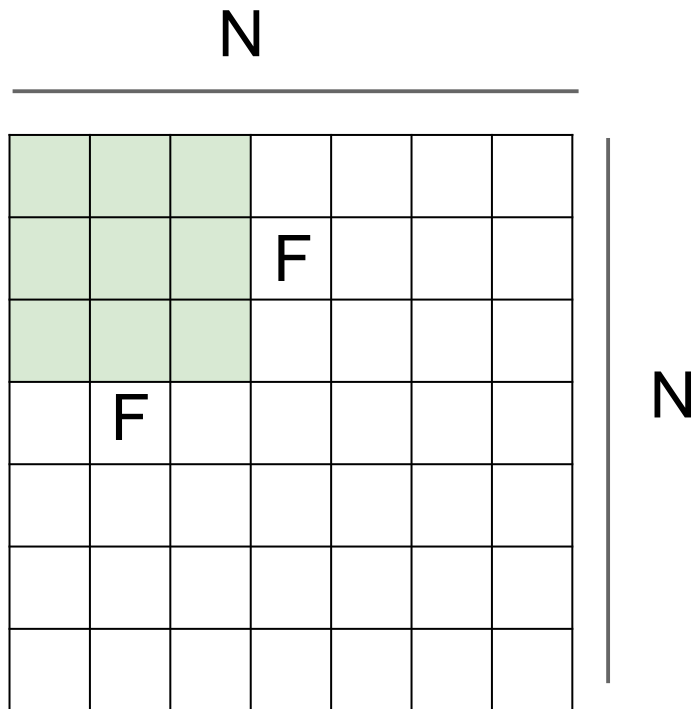
We call the layer convolutional  
because it is related to convolution of  
two signals:

$$f[x,y] * g[x,y] = \sum_{n_1=-\infty}^{\infty} \sum_{n_2=-\infty}^{\infty} f[n_1,n_2] \cdot g[x-n_1,y-n_2]$$

↑  
elementwise multiplication and sum of  
a filter and the signal (image)

## A closer look at spatial dimensions:





Output size:  
 **$(N - F) / \text{stride} + 1$**

e.g.  $N = 7, F = 3$ :

stride 1  $\Rightarrow (7 - 3) / 1 + 1 = 5$

stride 2  $\Rightarrow (7 - 3) / 2 + 1 = 3$

stride 3  $\Rightarrow (7 - 3) / 3 + 1 = 2.33 \therefore \backslash$

**doesn't fit!**

cannot apply 3x3 filter on  
 7x7 input with stride 3.

# In practice: Common to zero pad the border

0	0	0	0	0	0			
0								
0								
0								
0								

e.g. input 7x7

**3x3** filter, applied with **stride 1**

**pad with 1 pixel** border => what is the output?

**7x7 output!**

in general, common to see CONV layers with stride 1, filters of size  $F \times F$ , and zero-padding with  $(F-1)/2$ . (will preserve size spatially)

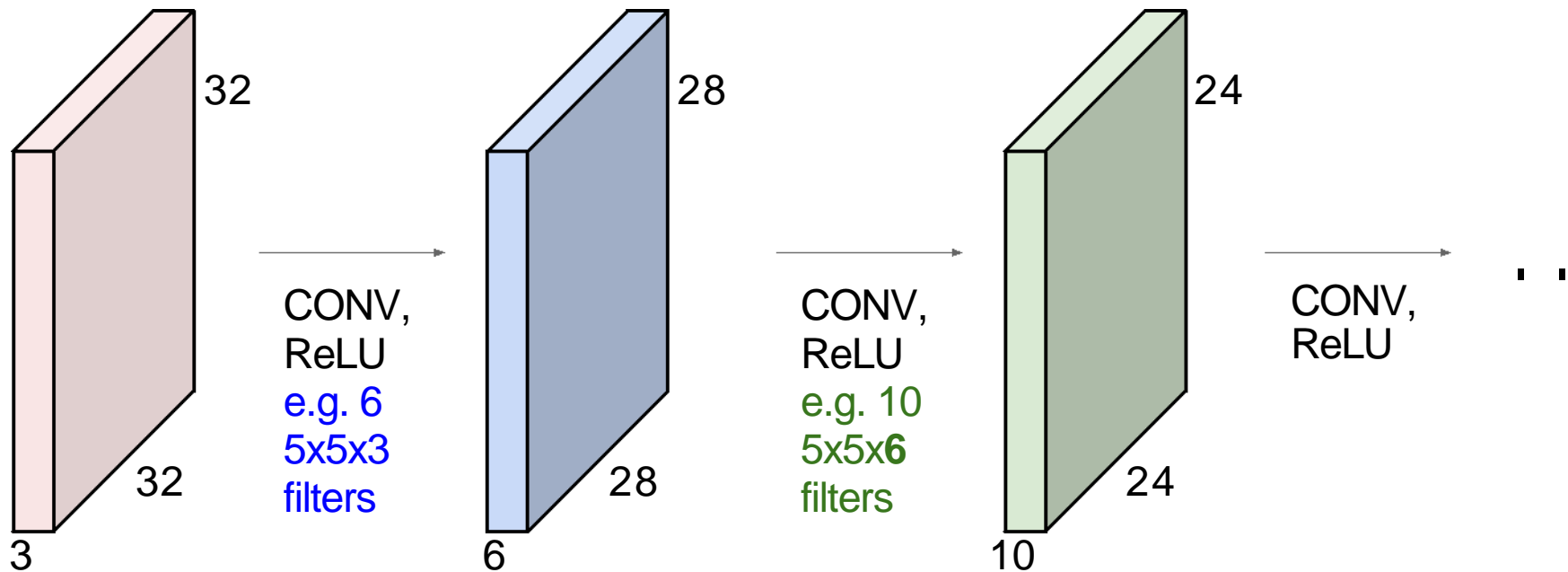
e.g.  $F = 3 \Rightarrow$  zero pad with 1

$F = 5 \Rightarrow$  zero pad with 2

$F = 7 \Rightarrow$  zero pad with 3

## Remember back to...

E.g. 32x32 input convolved repeatedly with 5x5 filters shrinks volumes spatially! (32  $\rightarrow$  28  $\rightarrow$  24 ...). Shrinking too fast is not good, doesn't work well.

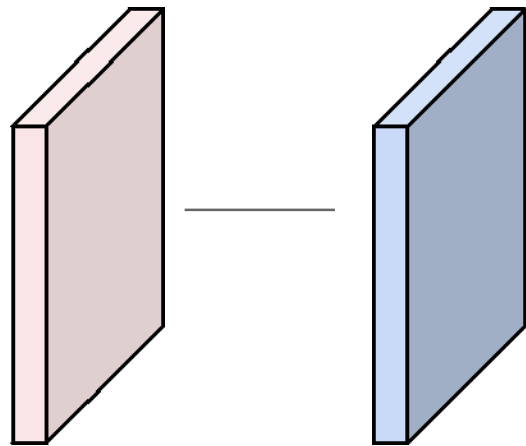




Examples time:

Input volume: **32x32x3**

**10** **5x5** filters with stride **1**, pad **2**



Output volume size:

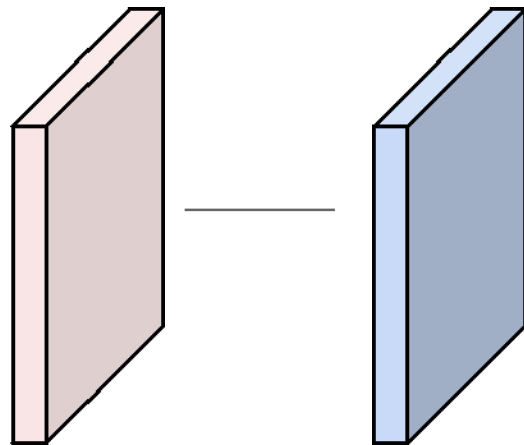
$(32+2*2-5)/1+1 = 32$  spatially, so

**32x32x10**

Examples time:

Input volume: **32x32x3**

**10** **5x5** filters with stride 1, pad 2



Number of parameters in this layer?

each filter has  $5*5*3 + 1 = 76$  params (+1 for bias) =>

$76*10 = 760$

## Common settings:

$K$  = (powers of 2, e.g. 32, 64, 128, 512)

-  $F = 3, S = 1, P = 1$

-  $F = 5, S = 1, P = 2$

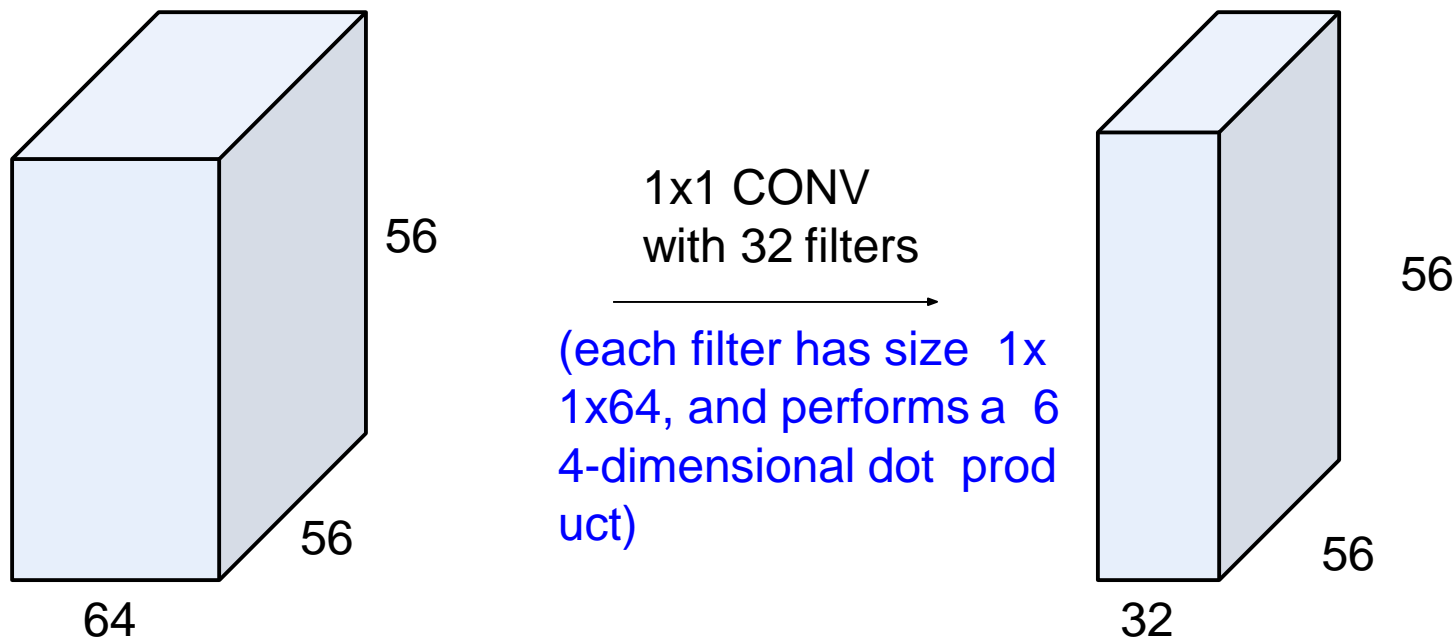
-  $F = 5, S = 2, P = ?$  (whatever fits)

-  $F = 1, S = 1, P = 0$

**Summary.** To summarize, the Conv Layer:

- Accepts a volume of size  $W_1 \times H_1 \times D_1$
- Requires four hyperparameters:
  - Number of filters  $K$ ,
  - their spatial extent  $F$ ,
  - the stride  $S$ ,
  - the amount of zero padding  $P$ .
- Produces a volume of size  $W_2 \times H_2 \times D_2$  where:
  - $W_2 = (W_1 - F + 2P)/S + 1$
  - $H_2 = (H_1 - F + 2P)/S + 1$  (i.e. width and height are computed equally by symmetry)
  - $D_2 = K$
- With parameter sharing, it introduces  $F \cdot F \cdot D_1$  weights per filter, for a total of  $(F \cdot F \cdot D_1) \cdot K$  weights and  $K$  biases.
- In the output volume, the  $d$ -th depth slice (of size  $W_2 \times H_2$ ) is the result of performing a valid convolution of the  $d$ -th filter over the input volume with a stride of  $S$ , and then offset by  $d$ -th bias.

(btw, 1x1 convolution layers make perfect sense)



# Example: CONV layer in Torch

## SpatialConvolution

```
module = nn.SpatialConvolution(nInputPlane, nOutputPlane, kW, kH, [dW], [dH], [padW], [padH])
```

Applies a 2D convolution over an input image composed of several input planes. The `input` tensor in `forward(input)` is expected to be a 3D tensor (`nInputPlane` x height x width).

The parameters are the following:

- `nInputPlane` : The number of expected input planes in the image given into `forward()`.
- `nOutputPlane` : The number of output planes the convolution layer will produce.
- `kW` : The kernel width of the convolution
- `kH` : The kernel height of the convolution
- `dW` : The step of the convolution in the width dimension. Default is `1`.
- `dH` : The step of the convolution in the height dimension. Default is `1`.
- `padW` : The additional zeros added per width to the input planes. Default is `0`, a good number is  $(kW-1)/2$ .
- `padH` : The additional zeros added per height to the input planes. Default is `padW`, a good number is  $(kH-1)/2$ .

Note that depending of the size of your kernel, several (of the last) columns or rows of the input image might be lost. It is up to the user to add proper padding in images.

If the input image is a 3D tensor `nInputPlane` x height x width, the output image size will be `nOutputPlane` x oheight x owidth where

```
owidth = floor((width + 2*padW - kW) / dW + 1)
oheight = floor((height + 2*padH - kH) / dH + 1)
```

[Torch](#) is licensed under [BSD 3-clause](#).

**Summary.** To summarize, the Conv Layer:

- Accepts a volume of size  $W_1 \times H_1 \times D_1$
- Requires four hyperparameters:
  - Number of filters  $K$ ,
  - their spatial extent  $F$ ,
  - the stride  $S$ ,
  - the amount of zero padding  $P$ .

# Example: CONV layer in Caffe

**Summary.** To summarize, the Conv Layer:

- Accepts a volume of size  $W_1 \times H_1 \times D_1$
- Requires four hyperparameters:
  - Number of filters  $K$ ,
  - their spatial extent  $F$ ,
  - the stride  $S$ ,
  - the amount of zero padding  $P$ .

```
layer {
  name: "conv1"
  type: "Convolution"
  bottom: "data"
  top: "conv1"
  # learning rate and decay multipliers for the filters
  param { lr_mult: 1 decay_mult: 1 }
  # learning rate and decay multipliers for the biases
  param { lr_mult: 2 decay_mult: 0 }
  convolution_param {
    num_output: 96      # learn 96 filters
    kernel_size: 11     # each filter is 11x11
    stride: 4           # step 4 pixels between each filter application
    weight_filler {
      type: "gaussian" # initialize the filters from a Gaussian
      std: 0.01        # distribution with stdev 0.01 (default mean: 0)
    }
    bias_filler {
      type: "constant" # initialize the biases to zero (0)
      value: 0
    }
  }
}
```

[Caffe](#) is licensed under [BSD 2-Clause](#).

# Example: CONV layer in PyTorch

## Conv2d

```
CLASS torch.nn.Conv2d(in_channels, out_channels, kernel_size, stride=1, padding=0, dilation=1, groups=1, bias=True)
```

[SOURCE]

Applies a 2D convolution over an input signal composed of several input planes.

In the simplest case, the output value of the layer with input size  $(N, C_{in}, H, W)$  and output  $(N, C_{out}, H_{out}, W_{out})$  can be precisely described as:

$$\text{out}(N_i, C_{out,j}) = \text{bias}(C_{out,j}) + \sum_{k=0}^{C_{in}-1} \text{weight}(C_{out,j}, k) \star \text{input}(N_i, k)$$

where  $\star$  is the valid 2D **cross-correlation** operator,  $N$  is a batch size,  $C$  denotes a number of channels,  $H$  is a height of input planes in pixels, and  $W$  is width in pixels.

- `stride` controls the stride for the cross-correlation, a single number or a tuple.
- `padding` controls the amount of implicit zero-paddings on both sides for `padding` number of points for each dimension.
- `dilation` controls the spacing between the kernel points; also known as the à trous algorithm. It is harder to describe, but [this link](#) has a nice visualization of what `dilation` does.
- `groups` controls the connections between inputs and outputs. `in_channels` and `out_channels` must both be divisible by `groups`. For example,
  - At `groups=1`, all inputs are convolved to all outputs.
  - At `groups=2`, the operation becomes equivalent to having two conv layers side by side, each seeing half the input channels, and producing half the output channels, and both subsequently concatenated.
  - At `groups= in_channels`, each input channel is convolved with its own set of filters, of size:  $\left\lfloor \frac{C_{out}}{C_{in}} \right\rfloor$ .

The parameters `kernel_size`, `stride`, `padding`, `dilation` can either be:

- a single `int` – in which case the same value is used for the height and width dimension
- a tuple of two ints – in which case, the first `int` is used for the height dimension, and the second `int` for the width dimension

**Summary.** To summarize, the Conv Layer:

- Accepts a volume of size  $W_1 \times H_1 \times D_1$
- Requires four hyperparameters:
  - Number of filters  $K$ ,
  - their spatial extent  $F$ ,
  - the stride  $S$ ,
  - the amount of zero padding  $P$ .

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# Example: CONV layer in Keras

**Summary.** To summarize, the Conv Layer:

- Accepts a volume of size  $W_1 \times H_1 \times D_1$
- Requires four hyperparameters:
  - Number of filters  $K$ ,
  - their spatial extent  $F$ ,
  - the stride  $S$ ,
  - the amount of zero padding  $P$ .

## Conv2D

[\[source\]](#)

```
keras.layers.Conv2D(filters, kernel_size, strides=(1, 1), padding='valid', data_format=None, d
```

2D convolution layer (e.g. spatial convolution over images).

This layer creates a convolution kernel that is convolved with the layer input to produce a tensor of outputs. If `use_bias` is True, a bias vector is created and added to the outputs. Finally, if `activation` is not `None`, it is applied to the outputs as well.

When using this layer as the first layer in a model, provide the keyword argument `input_shape` (tuple of integers, does not include the batch axis), e.g. `input_shape=(128, 128, 3)` for 128x128 RGB pictures in `data_format="channels_last"`.

### Arguments

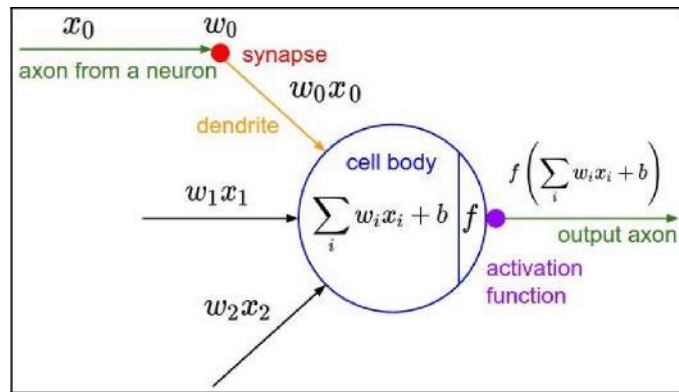
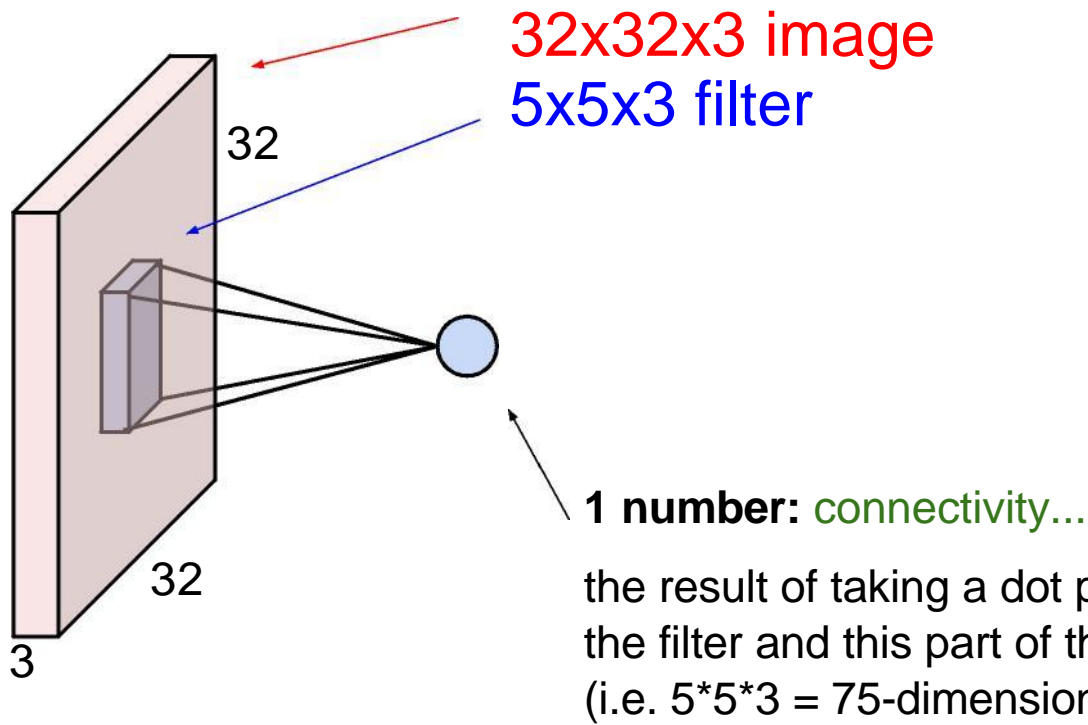
- **filters:** Integer, the dimensionality of the output space (i.e. the number of output filters in the convolution).
- **kernel\_size:** An integer or tuple/list of 2 integers, specifying the height and width of the 2D convolution window. Can be a single integer to specify the same value for all spatial dimensions.
- **strides:** An integer or tuple/list of 2 integers, specifying the strides of the convolution along the height and width. Can be a single integer to specify the same value for all spatial dimensions. Specifying any stride value  $\neq 1$  is incompatible with specifying any `dilation_rate` value  $\neq 1$ .
- **padding:** one of `"valid"` or `"same"` (case-insensitive). Note that `"same"` is slightly inconsistent across backends with `strides != 1`, as described here
- **data\_format:** A string, one of `"channels_last"` or `"channels_first"`. The ordering of the dimensions in the inputs. `"channels_last"` corresponds to inputs with shape `(batch, height, width, channels)` while `"channels_first"` corresponds to inputs with shape `(batch, channels, height, width)`. It defaults to the `image_data_format` value found in your Keras config file at `~/keras/keras.json`. If you never set it, then it will be `"channels_last"`.

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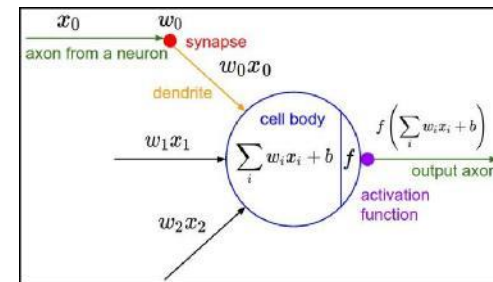
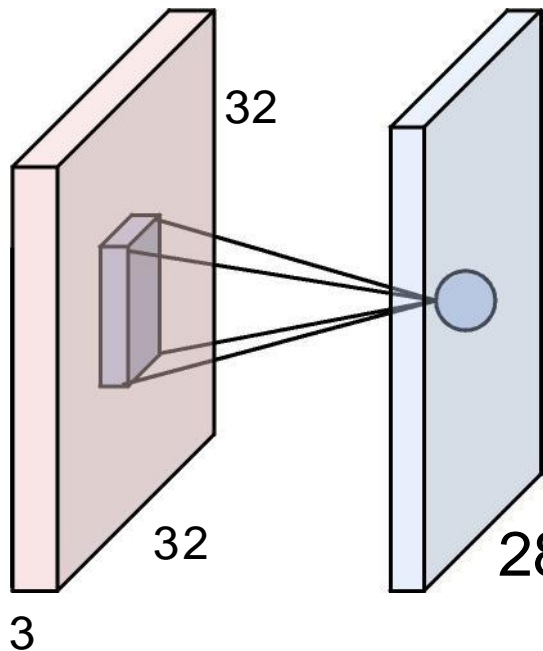
**About  
STRIDE?**

# The brain/neuron view of CONV Layer



It's just a neuron with local

# The brain/neuron view of CONV Layer

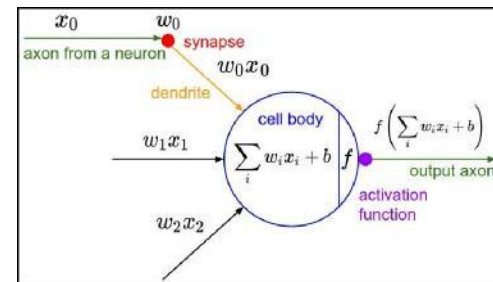
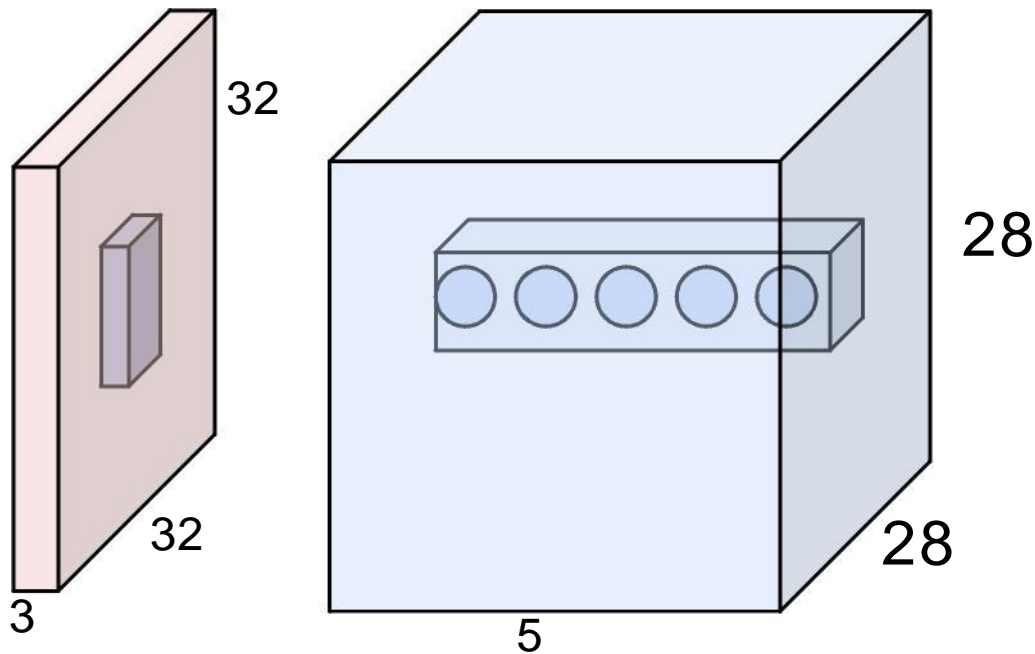


28 An activation map is a 28x28 sheet of neuron outputs:

1. Each is connected to a small region in the input
2. All of them share parameters

28 “5x5 filter” -> “5x5 receptive field for each neuron”

# The brain/neuron view of CONV Layer



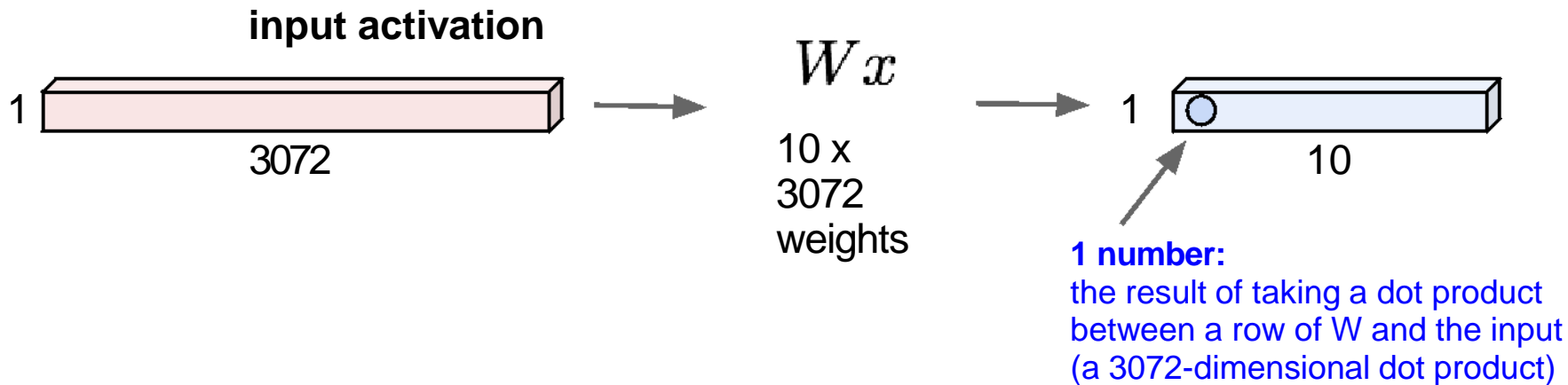
E.g. with 5 filters,  
CONV layer consists of  
neurons arranged in a 3D grid  
(28x28x5)

There will be 5 different neurons  
all looking at the same region in  
the input volume

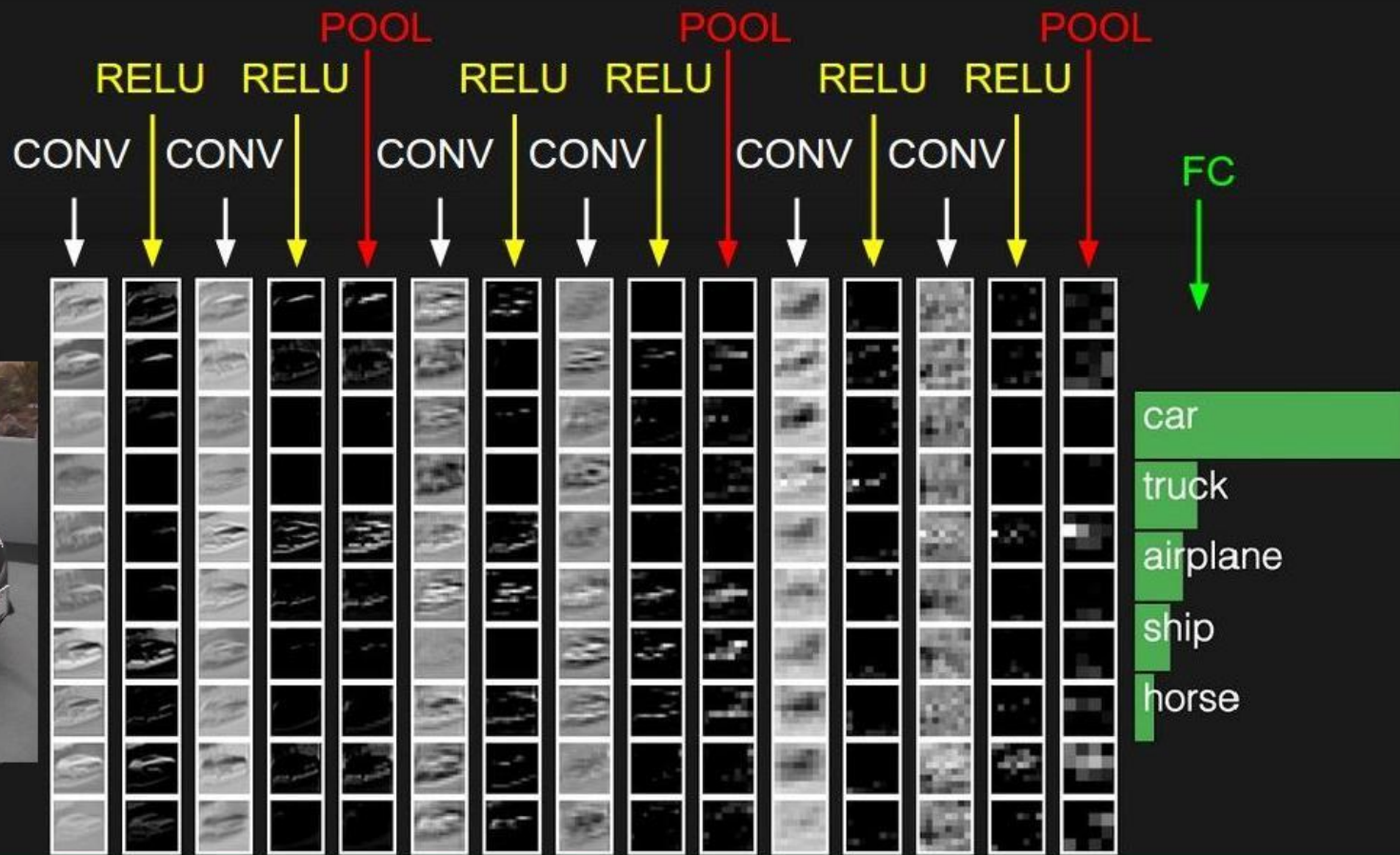
# Reminder: Fully Connected Layer

32x32x3 image  $\rightarrow$  stretch to 3072 x 1

Each neuron  
looks at the  
full  
input volume

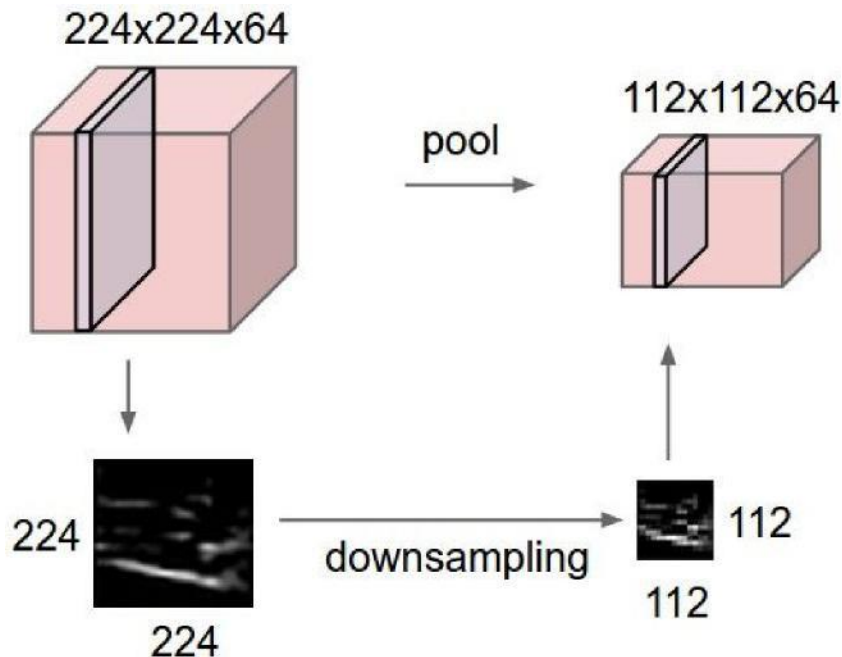


two more layers to go: POOL/FC



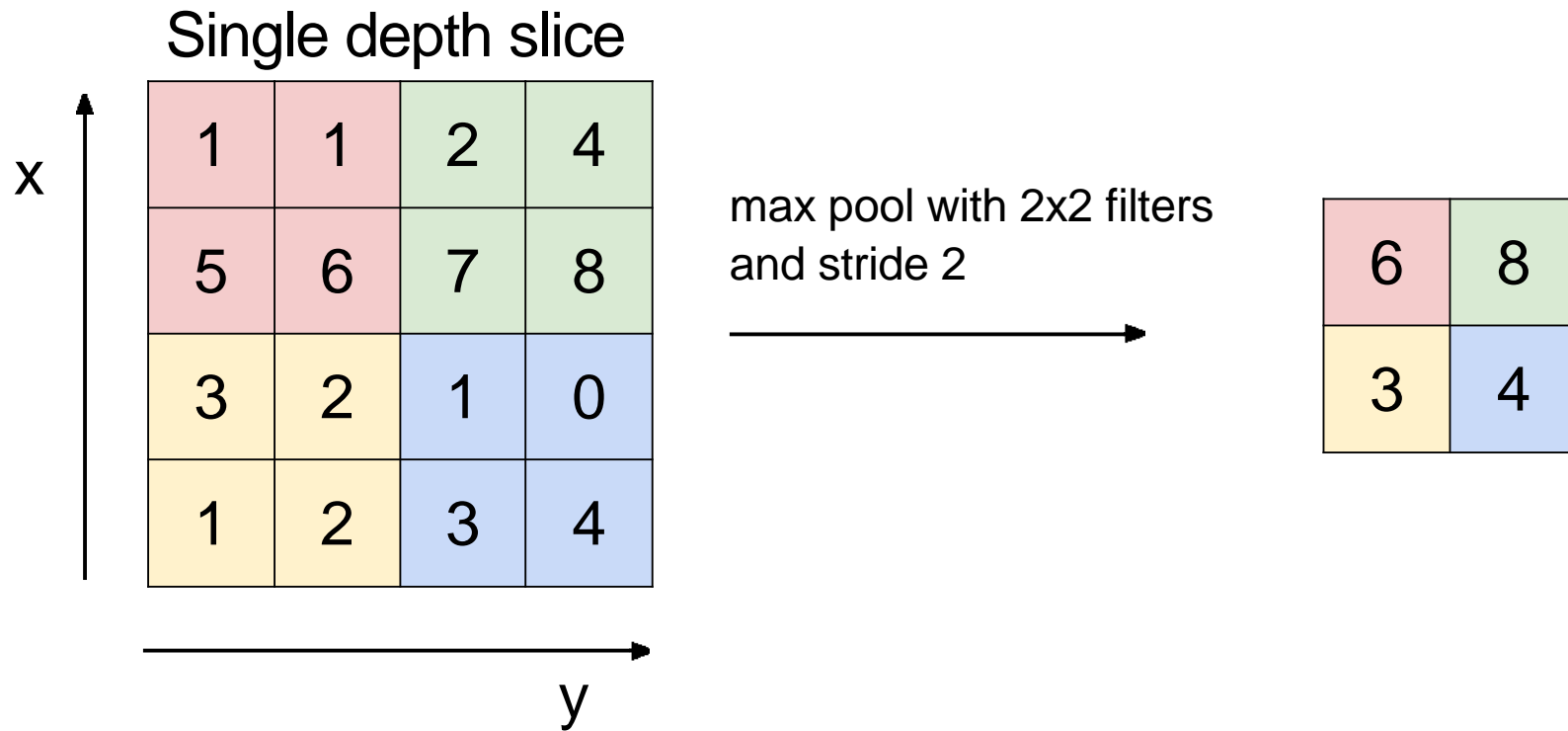
# Pooling layer

- makes the representations smaller and more manageable
- operates over each activation map independently:





# MAX POOLING



Common settings:

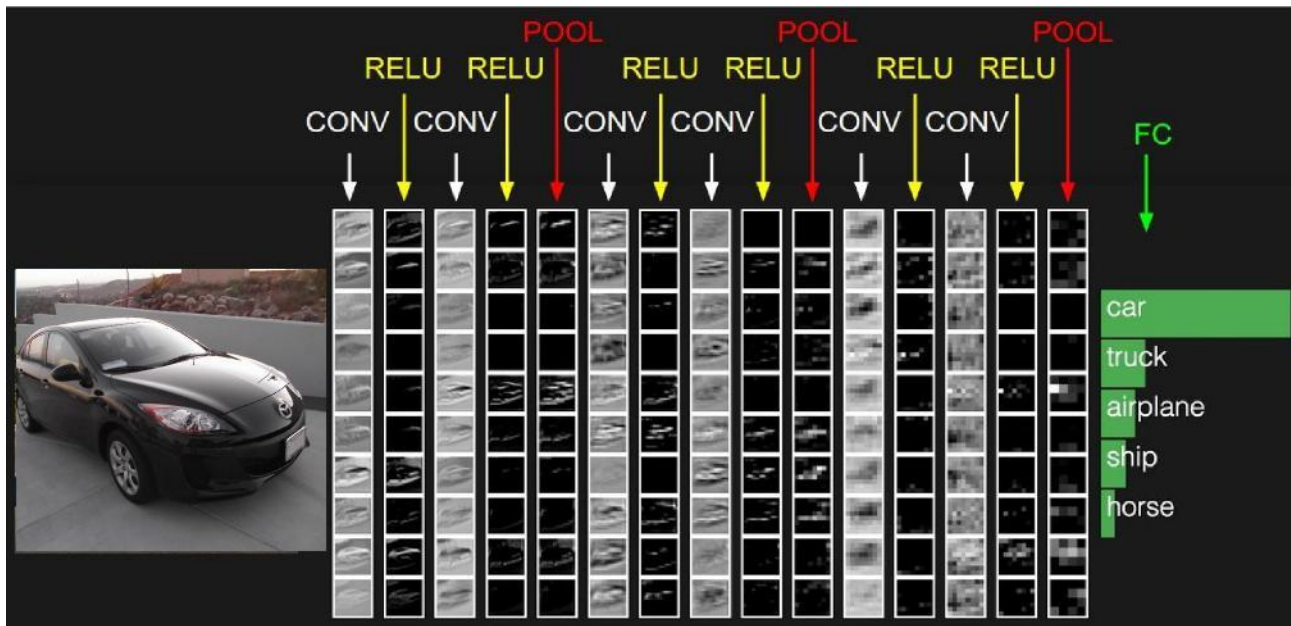
$$F = 2, S = 2$$

$$F = 3, S = 2$$

- Accepts a volume of size  $W_1 \times H_1 \times D_1$
- Requires three hyperparameters:
  - their spatial extent  $F$ ,
  - the stride  $S$ ,
- Produces a volume of size  $W_2 \times H_2 \times D_2$  where:
  - $W_2 = (W_1 - F)/S + 1$
  - $H_2 = (H_1 - F)/S + 1$
  - $D_2 = D_1$
- Introduces zero parameters since it computes a fixed function of the input
- Note that it is not common to use zero-padding for Pooling layers

# Fully Connected Layer (FC layer)

- Contains neurons that connect to the entire input volume, as in ordinary Neural Networks



# [ConvNetJS demo: training on CIFAR-10]

## ConvNetJS CIFAR-10 demo

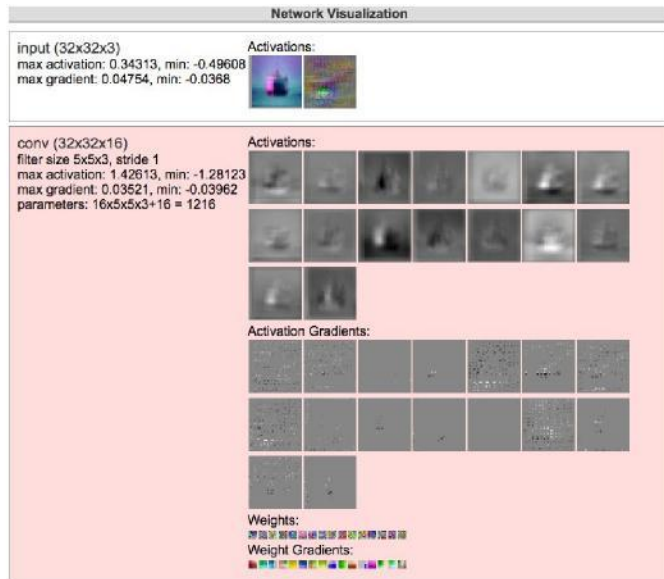
### Description

This demo trains a Convolutional Neural Network on the [CIFAR-10 dataset](#) in your browser, with nothing but Javascript. The state of the art on this dataset is about 90% accuracy and human performance is at about 94% (not perfect as the dataset can be a bit ambiguous). I used [this python script](#) to parse the [original files](#) (python version) into batches of images that can be easily loaded into page DOM with img tags.

This dataset is more difficult and it takes longer to train a network. Data augmentation includes random flipping and random image shifts by up to 2px horizontally and vertically.

By default, in this demo we're using Adadelata which is one of per-parameter adaptive step size methods, so we don't have to worry about changing learning rates or momentum over time. However, I still included the text fields for changing these if you'd like to play around with SGD+Momentum trainer.

Report questions/bugs/suggestions to [@karpathy](#).



<http://cs.stanford.edu/people/karpathy/convnetjs/demo/cifar10.html>

# Summary

- ConvNets stack CONV, POOL, FC layers
- Trend towards smaller filters and deeper architectures
- Trend towards getting rid of POOL/FC layers (just CONV)
- Typical architectures look like  
 **$[(\text{CONV-RELU})^N \text{- POOL?}]^M \text{- (FC-RELU)}^K, \text{SOFTMAX}$**   
where N is usually up to ~5, M is large,  $0 \leq K \leq 2$ .
  - but recent advances such as ResNet/GoogLeNet challenge this paradigm



# Our Q&A

# More 축소만 되는 CNN?

Deconvolution

[Deconvolution이란 무엇인가? :: Deep Play](#)

[\[논문리뷰\] CNN에서의 Deconvolution 이해하기 \[1\] - 담백한 열정의 오늘](#)

U-Net

[U-Net - Computer Vision Group, Freiburg](#)

[U-Net - 바이오메디컬랩@모두의연구소](#)

# More Capsule Network

<https://www.youtube.com/watch?v=VKoLGnq15RM>

-> 10:30

[https://github.com/HSourcell/capsule\\_networks/blob/master/Capsule%20Networks%20What%20Comes%20after%20Convolutional%20Networks%3F.ipynb](https://github.com/HSourcell/capsule_networks/blob/master/Capsule%20Networks%20What%20Comes%20after%20Convolutional%20Networks%3F.ipynb)

마무리  
수고하셨습니다:)