

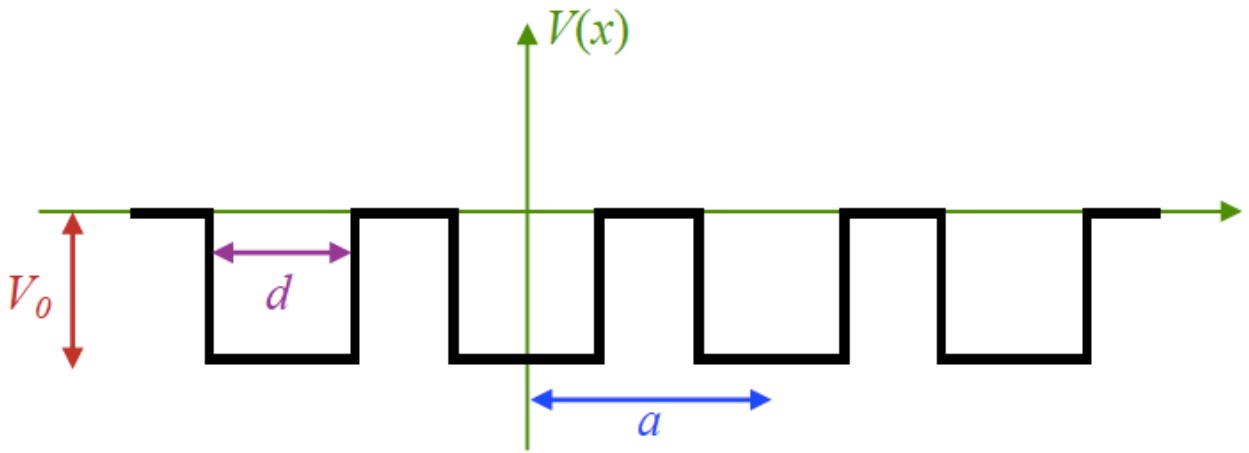
## 1D Kronig-Penney model

Consider a 1D Kronig-Penney model with lattice parameter  $a$  and potential wells with depth  $V_0$  and size  $d$ , as shown in the graph. The periodic potential  $V(x)$  in the region of  $-a/2 < x < a/2$  is written as

$$V(x) = \begin{cases} -V_0, & -d/2 < x < d/2 \\ 0, & -a/2 < x < d/2 \quad \text{or} \quad d/2 < x < a/2. \end{cases}$$

Here we solve the Schrödinger equation using the plane-wave basis set:

$$u_{nk}(x) = \sum_{l'=-N}^N c_{l'}^{(nk)} \frac{1}{\sqrt{a}} e^{i \frac{2\pi l'}{a} x}$$



- For each  $k$ , the Hamiltonian  $H_k = T_k + V(x)$ , where  $T_k = \frac{\hbar^2}{2m_e} \left( \frac{1}{i} \frac{d}{dx} + k \right)^2$ . Using the plane wave basis set, write down the analytical forms of matrices  $[T_k]_{l,l'}$  and  $[V]_{l,l'}$ .
- Consider  $a = 2.8 \text{ \AA}$ ,  $V_0 = 3.0 \text{ eV}$ , and  $d = 1.4 \text{ \AA}$ . Plot the energy dispersion  $E_n(k)$  of the lowest two bands. Find out the band width  $W$  and the energy minimum  $E_0$  of the lowest band, and the band gap  $E_g$ . Notice that the first thing you need to do is to determine what  $N$  would give you convergent results.
- Plot the electron density  $|\psi_{n,k}(x)|^2$  of the lowest two states at the zone edge ( $k = \pi/a$ ). Discuss how the electron density of these two states relate to their energy.
- Consider  $a = 3.2 \text{ \AA}$ ,  $V_0 = 3.0 \text{ eV}$ , and  $d = 1.4 \text{ \AA}$ . Plot the energy dispersion  $E_n(k)$  of the lowest two bands. Find out the band width  $W$  and the energy minimum  $E_0$  of the lowest

band, and the band gap  $E_g$ . Again, you need to determine an appropriate  $N$  first. Compare your results in (b) and (d), how does the band width ( $W$ ) vary with the lattice parameter ( $a$ )? Explain why.