## **Query Optimization 2**

Instructor: Matei Zaharia

cs245.stanford.edu

### **Recap: Data Statistics**

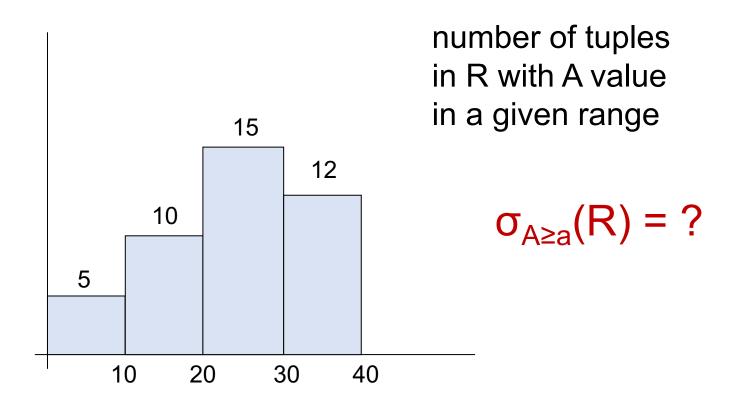
Information about tuples in a table that we can use to estimate costs

» Must be approximated for intermediate tables

We saw one way to do this for 4 statistics:

- T(R) = # of tuples in R
- > S(R) = average size of tuples in R
- » B(R) = # of blocks to hold R's tuples
- » V(R, A) = # distinct values of attribute A in R

## **Another Type of Data Stats: Histograms**



CS 245

3

#### **Outline**

What can we optimize?

Rule-based optimization

**Data statistics** 

Cost models

Cost-based plan selection

Spark SQL

#### **Outline**

What can we optimize?

Rule-based optimization

**Data statistics** 

Cost models

Cost-based plan selection

Spark SQL

#### **Cost Models**

How do we measure a query plan's cost?

#### Many possible metrics:

- » Number of disk I/Os
- » Number of compute cycles
- » Combined time metric
- » Memory usage
- » Bytes sent on network
- **>>** ...

← We'll focus on this

## Example: Index vs Table Scan

Our query:  $\sigma_p(R)$  for some predicate p

s = p's selectivity (fraction tuples passing)

#### Table scan:

block size

R has  $B(R) = T(R) \times S(R)/b$ blocks on disk

Cost: B(R) I/Os

#### Index search:

Index lookup for p takes L I/Os

We then have to read part of R; Pr[read block i]

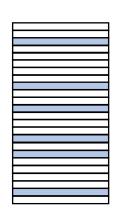
≈ 1 – Pr[no match]<sup>records in block</sup>

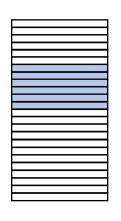
$$= 1 - (1-s)^{b/S(R)}$$

Cost: L +  $(1-(1-s)^{b/S(R)})$  B(R)

#### What If Results Were Clustered?

# Unclustered: records that match p are spread out uniformly





#### Clustered:

records that match p are close together in R's file

We'd need to change our estimate of C<sub>index</sub>:

$$C_{index} = L + s B(R)$$
Fraction of R's blocks read

Less than C<sub>index</sub> for unclustered data

## **Join Operators**

Join **orders** and **algorithms** are often the choices that affect performance the most

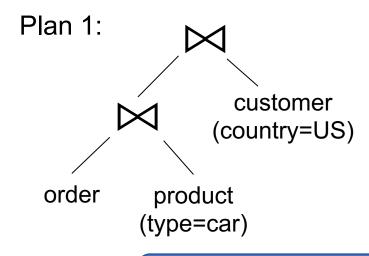
For a multi-way join R ⋈ S ⋈ T ⋈ ..., each join is selective and order matters a lot » Try to eliminate lots of records early

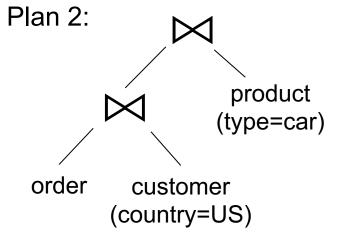
Even for one join R M S, algorithm matters

## **Example**

```
SELECT order.date, product.price, customer.name
FROM order, product, customer
WHERE order.product_id = product.product_id
AND order.cust_id = customer.cust_id
AND product.type = "car"
AND customer.country = "US"

selection predicates
```





## **Common Join Algorithms**

Iteration (nested loops) join

Merge join

Join with index

Hash join

#### **Iteration Join**

```
for each r∈R₁:
  for each s∈R₂:
   if r.C == s.C then output (r, s)
```

I/Os: one scan of  $R_1$  and  $T(R_1)$  scans of  $R_2$ , so cost =  $B(R_1) + T(R_1) B(R_2)$  reads

Improvement: read M **blocks** of  $R_1$  in RAM at a time then read  $R_2$ :  $B(R_1) + B(R_1) B(R_2) / M$ 

Note: cost of writes is always  $B(R_1 \bowtie R_2)$ 

## Merge Join

```
if R_1 and R_2 not sorted by C then sort them i, j = 1 while i \leq T(R_1) && j \leq T(R_2): if R_1[i].C = R_2[j].C then outputTuples else if R_1[i].C > R_2[j].C then j += 1 else if R_1[i].C < R_2[j].C then i += 1
```

CS 245

13

## Merge Join

```
procedure outputTuples: while R_1[i].C == R_2[j].C && i \leq T(R_1): jj = j while R_1[i].C == R_2[jj].C && jj \leq T(R_2): output (R_1[i], R_2[jj]) jj += 1 i += i+1
```

## **Example**

i	R <sub>1</sub> [i].C	$R_2[j].C$	j
1	10	5	1
2	20	20	2
3	20	20	3
4	30	30	4
5	40	30	5
		50	6
		52	7

## **Cost of Merge Join**

If R<sub>1</sub> and R<sub>2</sub> already sorted by C, then

$$cost = B(R_1) + B(R_2) reads$$

(+ write cost of B( $R_1 \bowtie R_2$ ))

## **Cost of Merge Join**

If R<sub>i</sub> is not sorted, can sort it in 4 B(R<sub>i</sub>) I/Os:

- » Read runs of tuples into memory, sort
- » Write each sorted run to disk
- » Read from all sorted runs to merge
- » Write out results

#### Join with Index

```
for each r \in R_1:
list = index_lookup(R_2, C, r.C)
for each s \in list:
output (r, s)
```

Read I/Os: 1 scan of  $R_1$ ,  $T(R_1)$  index lookups on  $R_2$ , and  $T(R_1)$  data lookups

$$cost = B(R_1) + T(R_1) (L_{index} + L_{data})$$

Can be less when R<sub>1</sub> is sorted/clustered by C!

## Hash Join (R<sub>2</sub> Fits in RAM)

```
hash = load R₂ into RAM and hash by C
for each r∈R₁:
  list = hash_lookup(hash, r.C)
  for each s∈list:
   output (r, s)
```

Read I/Os:  $B(R_1) + B(R_2)$ 

#### Hash Join on Disk

Can be done by hashing both tables to a common set of buckets on disk

» Similar to merge sort:  $4 (B(R_1) + B(R_2))$ 

Trick: hash only (key, pointer to record) pairs

» Can then sort the pointers to records that match and fetch them near-sequentially

#### **Other Concerns**

Join selectivity may affect how many records we need to fetch from each relation

» If very selective, may prefer methods that join pointers or do index lookups

## **Summary**

Join algorithms can have different performance in different situations

In general, the following are used:

- » Index join if an index exists
- » Merge join if at least one table is sorted
- » Hash join if both tables unsorted

#### **Outline**

What can we optimize?

Rule-based optimization

**Data statistics** 

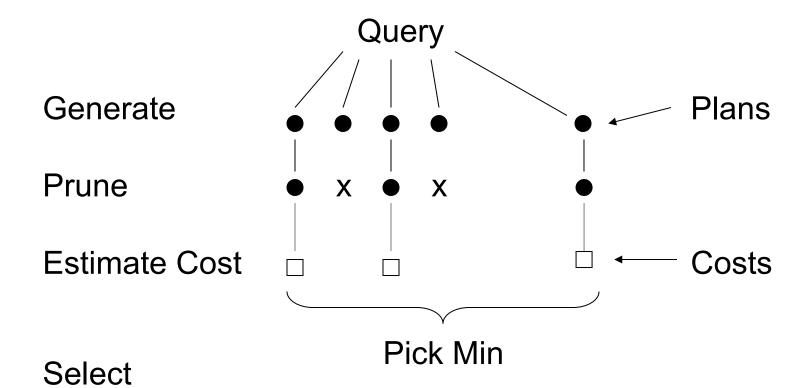
Cost models

Cost-based plan selection

Spark SQL

## **Complete CBO Process**

Generate and compare possible query plans



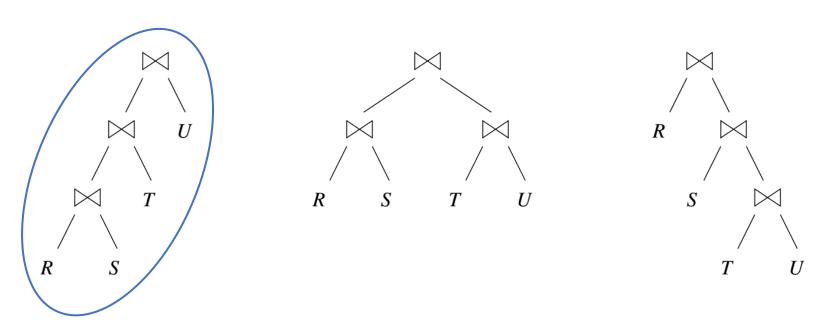
#### **How to Generate Plans?**

Simplest way: recursive search of the options for each planning choice

Access paths for table 1 × Access paths for table 2 × Algorithms for join 1 × Algorithms for join 2 × ...

#### **How to Generate Plans?**

Can limit search space: e.g. many DBMSes only consider "left-deep" joins



Often interacts well with conventions for specifying join inputs in asymmetric join algorithms (e.g. assume right argument has index)

#### **How to Generate Plans?**

Can prioritize searching through the most impactful decisions first

» E.g. join order is one of the most impactful

#### **How to Prune Plans?**

While computing the cost of a plan, throw it away if it is worse than best so far

Start with a **greedy algorithm** to find an "OK" initial plan that will allow lots of pruning

# Memoization and Dynamic Programming

During a search through plans, many subplans will appear repeatedly

Remember cost estimates and statistics (T(R), V(R, A), etc) for those: "memoization"

Can pick an order of subproblems to make it easy to reuse results (dynamic programming)

#### **Resource Cost of CBO**

It's possible for cost-based optimization itself to take longer than running the query!

Need to design optimizer to not take too long » That's why we have shortcuts in stats, etc

Luckily, a few "big" decisions drive most of the query execution time (e.g. join order)

#### **Outline**

What can we optimize?

Rule-based optimization

**Data statistics** 

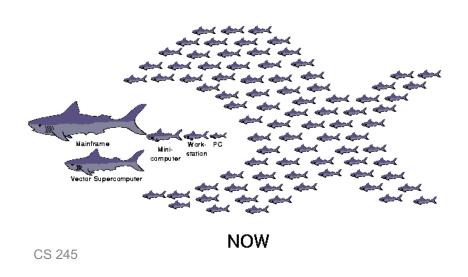
Cost models

Cost-based plan selection

Spark SQL

**2004:** MapReduce published, enables writing large scale data apps on *commodity clusters* 

- » Cheap but unreliable "consumer" machines, so system emphasizes fault tolerance
- » Focus on C++/Java programmers





- **2006:** Apache Hadoop project formed as an open source MapReduce + distributed FS
  - » Started in Nutch open source search engine
  - » Soon adopted by Yahoo & Facebook



2006: Amazon EC2 service launched as the newest attempt at "utility computing"

2007: Facebook starts Hive (later Apache Hive) for SQL on Hadoop

- » Other SQL-on-MapReduces existed too
- » First steps toward "data lake" architecture



**2006-2012:** Many other cluster programming frameworks proposed to bring MR's benefits to other apps













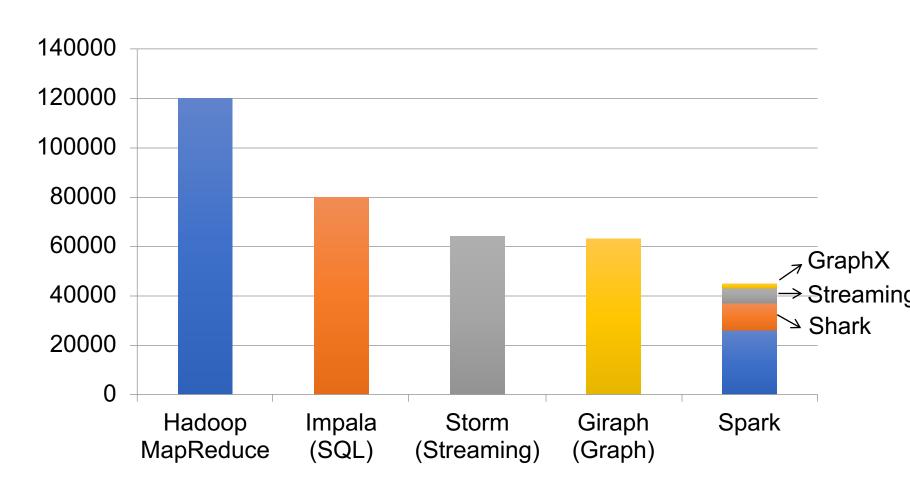


**2010:** Spark engine released, built around MapReduce + in-memory computing

» Motivation: interactive queries + iterative algorithms such as graph analytics

Spark then moves to be a general ("unified") engine, covering existing ones

## Code Size Comparison (2013)



non-test, non-example source lines

## **Background**

2012: Shark starts as a port of Hive on Spark

**2014:** Spark SQL starts as a SQL engine built directly on Spark (but interoperable w/ Hive)

» Also adds two new features: DataFrames for integrating relational ops in complex programs and extensible optimizer

CS 245

## **Original Spark API**

Resilient Distributed Datasets (RDDs)

- » Immutable collections of objects that can be stored in memory or disk across a cluster
- » Built with parallel transformations (map, filter, ...)
- » Automatically rebuilt on failure

## **Example: Log Mining**

Load error messages from a log into memory, then interactively search for various patterns

```
Cache '
                                            Base
                                                Transformed RDD
lines = spark.textFile("hdfs://...")
                                                                     Worker
                                                            results
errors = lines.filter(s => s.startswith("ERROR"))
messages = errors.map(s => s.split('\t')(2))
                                                                tasks
                                                                      Block 1
                                                       Driver
messages cache()
                                                       K
                                                       Action
messages.filter(s => s.contains("foo")).count()
messages.filter(s => s.contains("bar")).count()
                                                                         Cache 2
                                                                     Worker
                                                   Worker
Result: full-text search of Wikipedia in 1 sec
          (vs 40 s for on-disk data)
```

# Challenges with Spark's Functional API

Looks high-level, but hides many semantics of computation from engine

- » Functions passed in are arbitrary blocks of code
- » Data stored is arbitrary Java/Python objects

Users can mix APIs in suboptimal ways

## **Example Problem**

```
pairs = data.map(word => (word, 1))

groups = pairs.groupByKey()

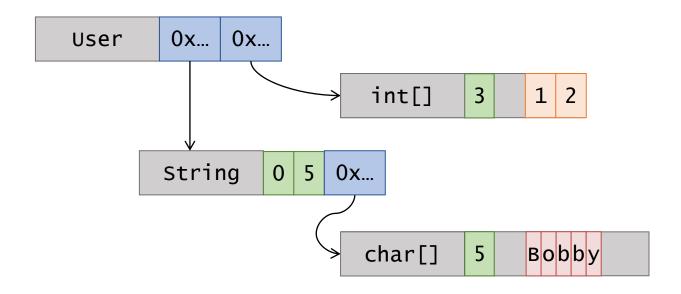
groups.map((k, vs) => (k, vs.sum))

Then promptly aggregates them
```

## Challenge: Data Representation

Java objects often many times larger than data

```
class User(name: String, friends: Array[Int])
User("Bobby", Array(1, 2))
```

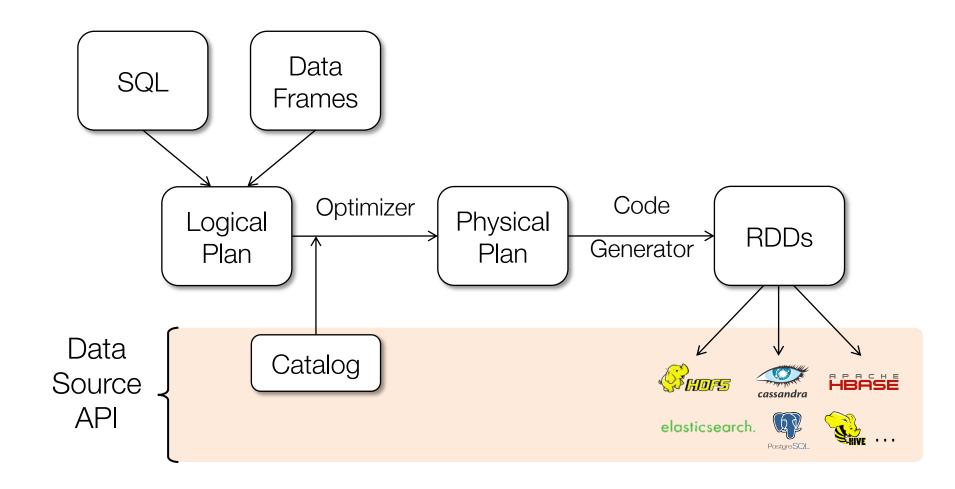


## Spark SQL & DataFrames

Efficient library for working with structured data

- » 2 interfaces: SQL for data analysts and external apps, DataFrames for complex programs
- » Optimized computation and storage underneath

## **Spark SQL Architecture**



#### **DataFrame API**

DataFrames hold rows with a known **schema** and offer **relational operations** through a DSL

```
c = HiveContext()
users = c.sql("select * from users")

ma_users = users[users.state == "MA"]

ma_users.count()

Expression AST

ma_users.groupBy("name").avg("age")

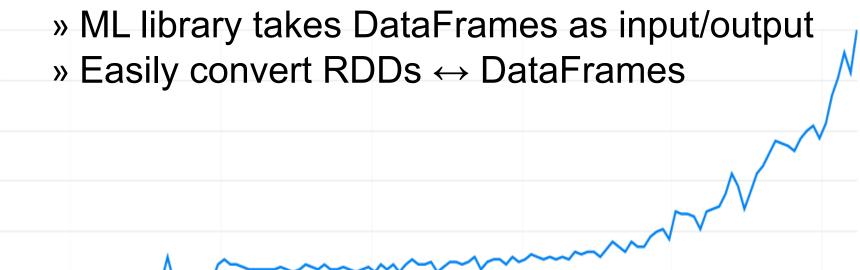
ma_users.map(lambda row: row.user.toUpper())
```

#### **API Details**

2005

Based on data frame concept in R, Python » Spark is the first to make this declarative

Integrated with the rest of Spark



Google trends for "data frame"

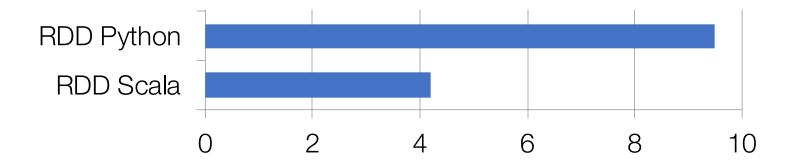
2013

2015

### What DataFrames Enable

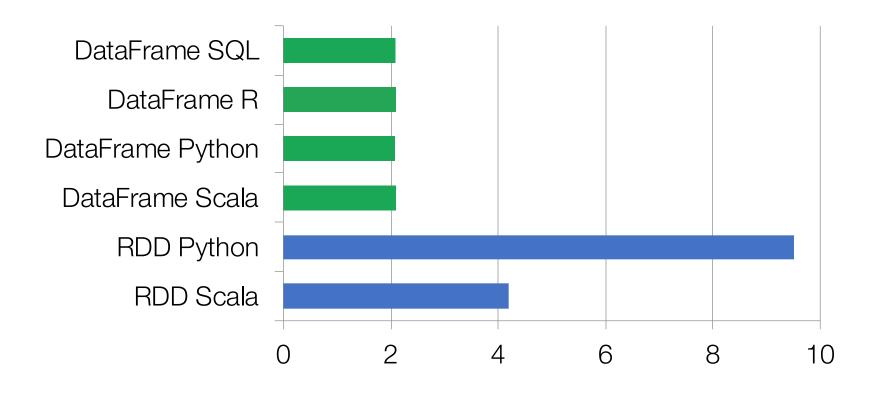
- 1. Compact binary representation
  - Columnar, compressed cache; rows for processing
- 2. Optimization across operators (join reordering, predicate pushdown, etc)
- 3. Runtime code generation

### Performance



Time for aggregation benchmark (s)

#### **Performance**

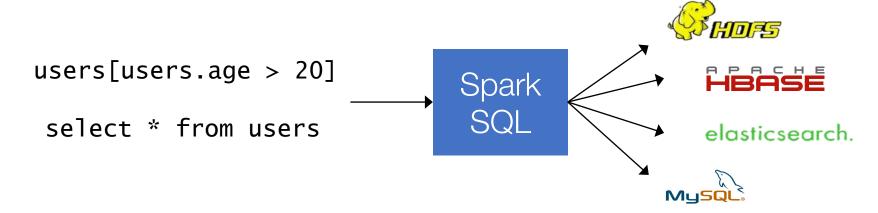


Time for aggregation benchmark (s)

#### **Data Sources**

Uniform way to access structured data

- » Apps can migrate across Hive, Cassandra, JSON, Parquet, …
- » Rich semantics allows query pushdown into data sources



## **Examples**

#### JSON:

select user.id, text from tweets

#### JDBC:

select age from users where lang = "en"

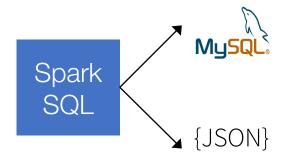
#### Together:

```
select t.text, u.age
from tweets t, users u
where t.user.id = u.id
and u.lang = "en"
```

```
{
    "text": "hi",
    "user": {
        "name": "bob",
        "id": 15 }
}
```

tweets.json

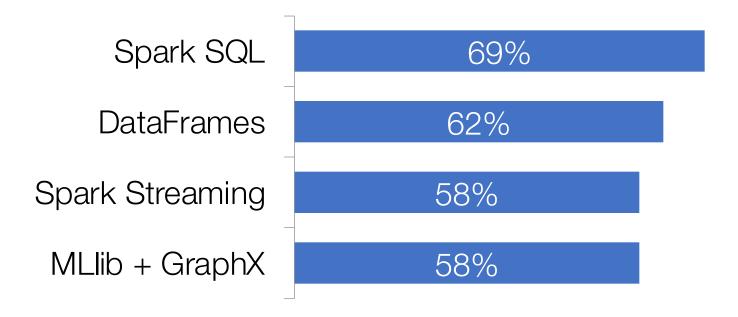
select id, age from users where lang="en"



## **Extensible Optimizer**

Uses Scala pattern matching (see demo!)

# Which Spark Components Do People Use?

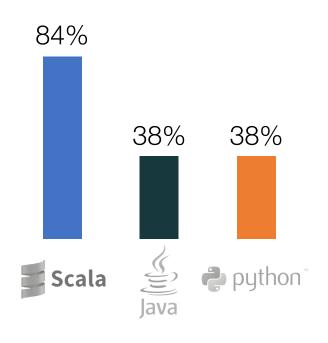


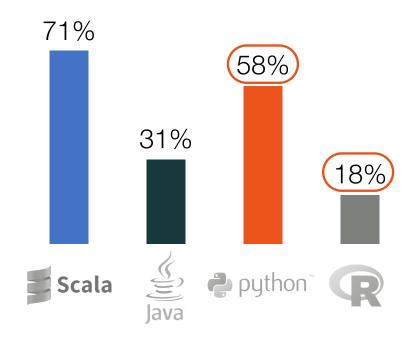
75% of users use 2 or more components

## Which Languages Are Used?

2014 Languages Used

2015 Languages Used





CS 245

## **Extensions to Spark SQL**

Tens of data sources using the pushdown API

Interval queries on genomic data

Geospatial package (Magellan)

Approximate queries & other research

CS 245