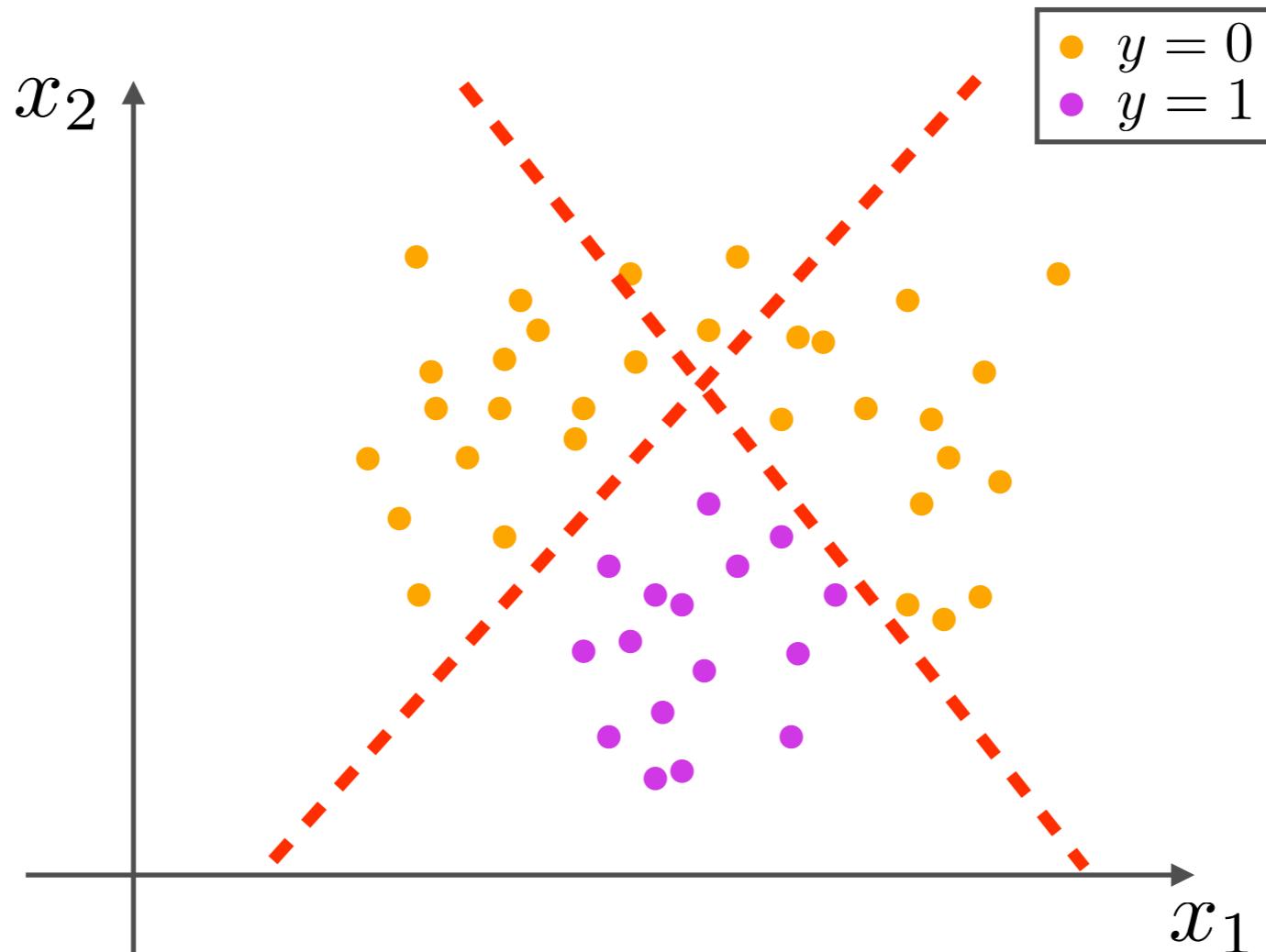


# DEEP LEARNING

PART TWO - *CONVOLUTIONAL & RECURRENT NETWORKS*

# REVIEW

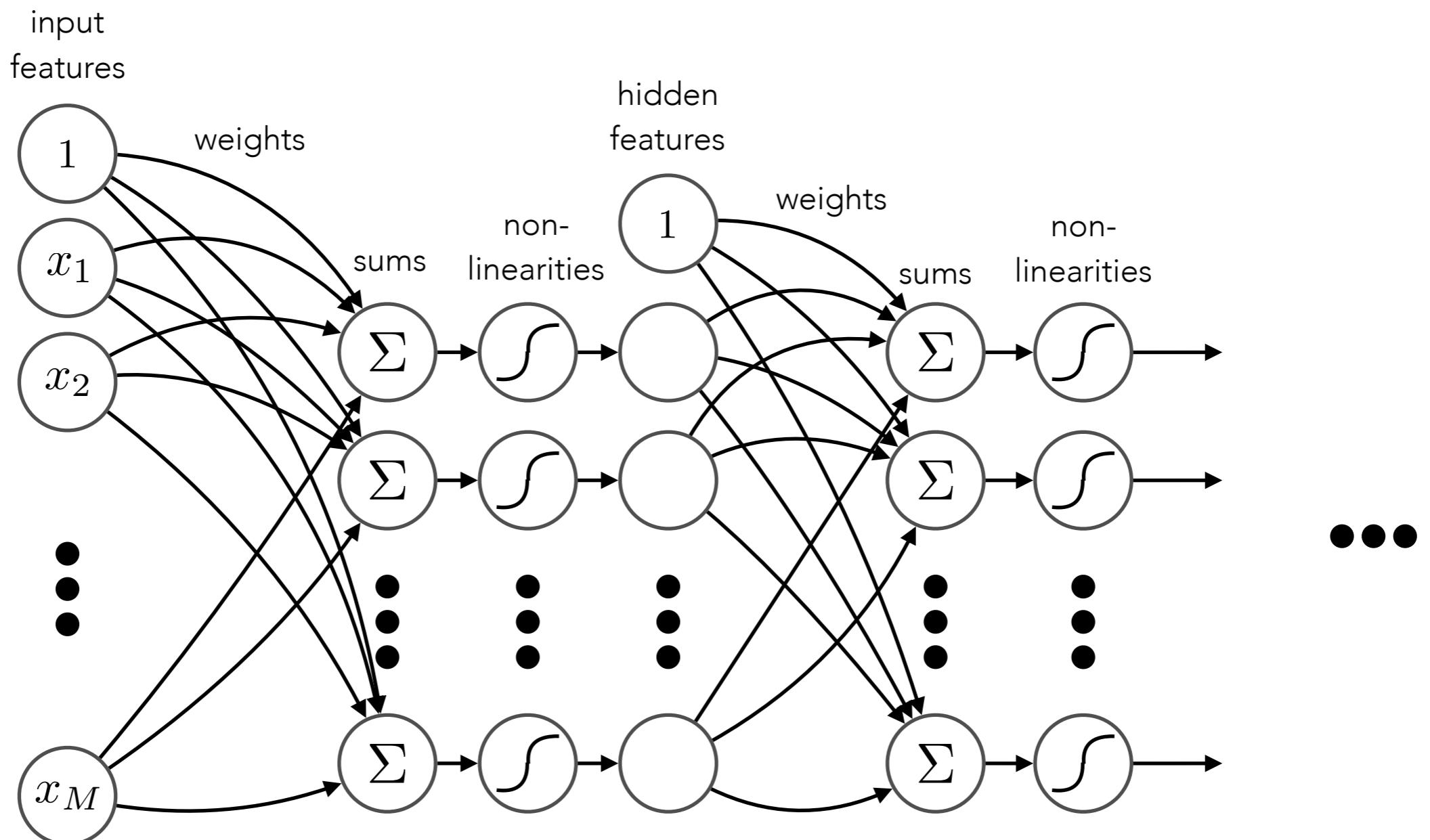
we want to learn **non-linear** decision boundaries



$$\mathbf{x} = (x_1, x_2)$$

we can do this by composing *linear* decision boundaries

neural networks formalize a method for building these composed functions



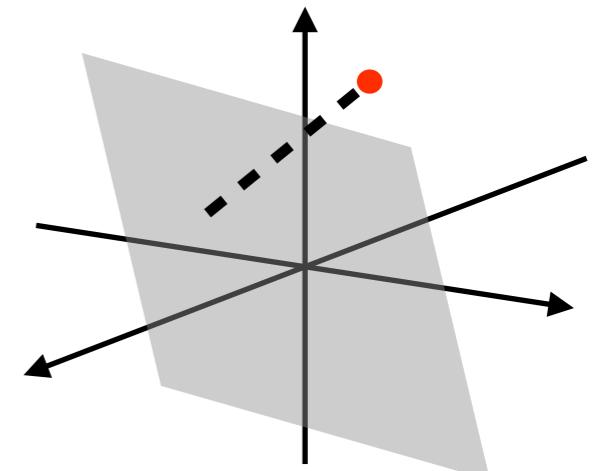
deep networks are *universal function approximators*

a geometric interpretation

the dot product is the shortest distance between a point and a plane

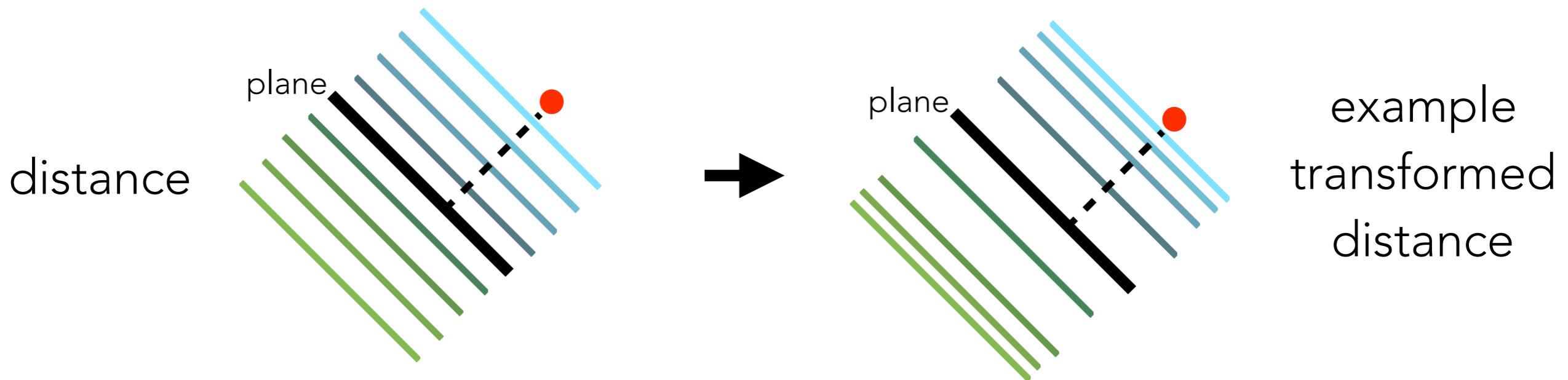
each artificial neuron defines a (hyper)plane:

$$0 = w_0 + w_1x_1 + w_2x_2 + \dots w_Mx_M$$

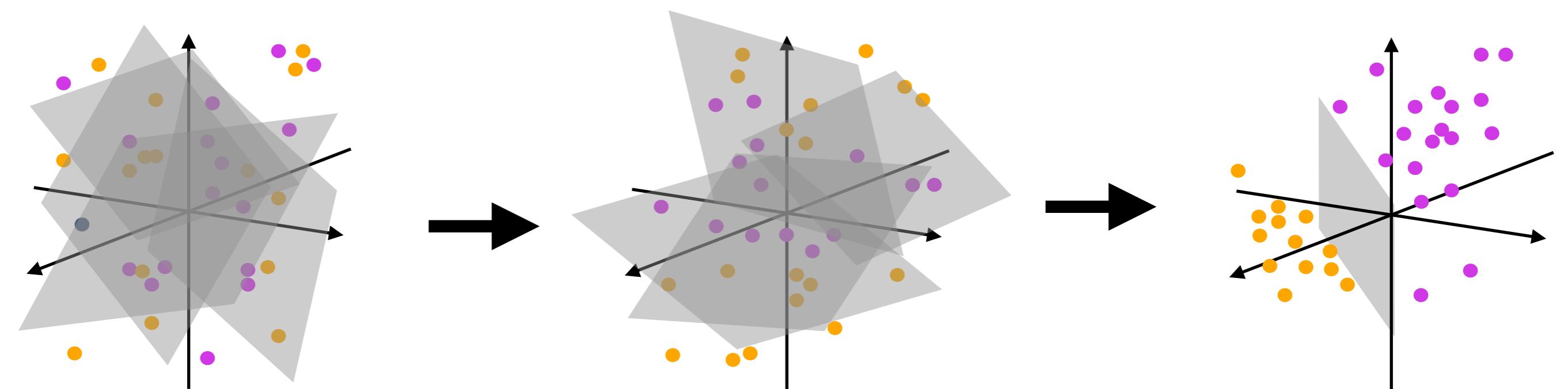


**summation**: distance from plane to input

**non-linearity**: convert distance into non-linear field



1. cut the space up with hyperplanes
2. evaluate distances of points to hyperplanes
3. non-linearly transform these distances to get new points



repeat until data have been *linearized*



images



sound & text

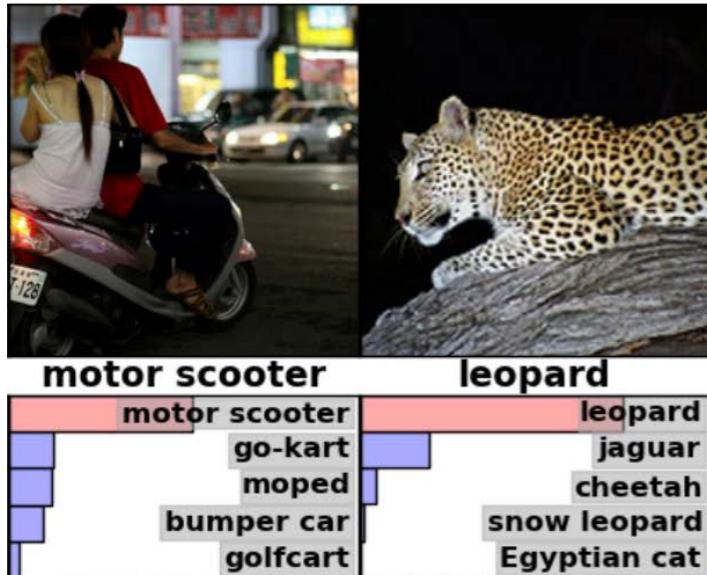


virtual/physical control tasks

to scale deep networks to these domains,  
we often need to use ***inductive biases***

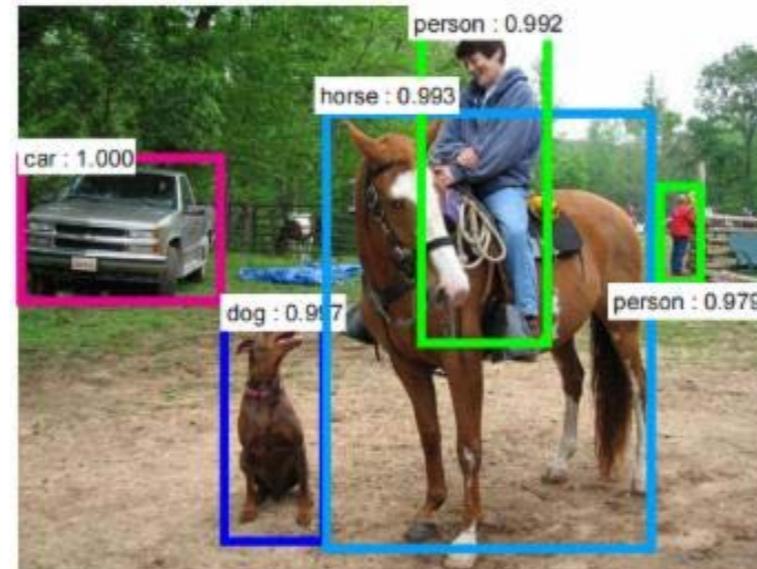
# INDUCTIVE BIASES

### object recognition



Krizhevsky et al., 2012

### object detection



Ren et al., 2016

### object segmentation



He et al., 2017

# ultimately, we care about solving tasks

### text translation

|        |  |
|--------|--|
| Source | Analysts believe the country is unlikely to slide back into full-blown conflict, but recent events have unnerved foreign investors and locals.   |
| PBMT   | Les analystes estiment que le pays a peu de chances de retomber dans un conflit total, mais les événements récents ont inquiété les investisseurs étrangers et locaux. 5.0               |
| GNMT   | Selon les analystes, il est peu probable que le pays retombe dans un conflit généralisé, mais les événements récents ont attiré des investisseurs étrangers et des habitants locaux. 2.0 |
| Human  | Les analystes pensent que le pays ne devrait pas retomber dans un conflit ouvert, mais les récents événements ont ébranlé les investisseurs étrangers et la population locale. 5.0       |

Wu et al., 2016

### text question answering

1 Mary moved to the bathroom.  
2 John went to the hallway.  
3 Where is Mary?      bathroom      1  
4 Daniel went back to the hallway.  
5 Sandra moved to the garden.  
6 Where is Daniel?      hallway 4  
7 John moved to the office.  
8 Sandra journeyed to the bathroom.  
9 Where is Daniel?      hallway 4  
10 Mary moved to the hallway.  
11 Daniel travelled to the office.  
12 Where is Daniel?      office 11  
13 John went back to the garden.  
14 John moved to the bedroom.  
15 Where is Sandra?      bathroom      8  
1 Sandra travelled to the office.  
2 Sandra went to the bathroom.  
3 Where is Sandra?      bathroom      2

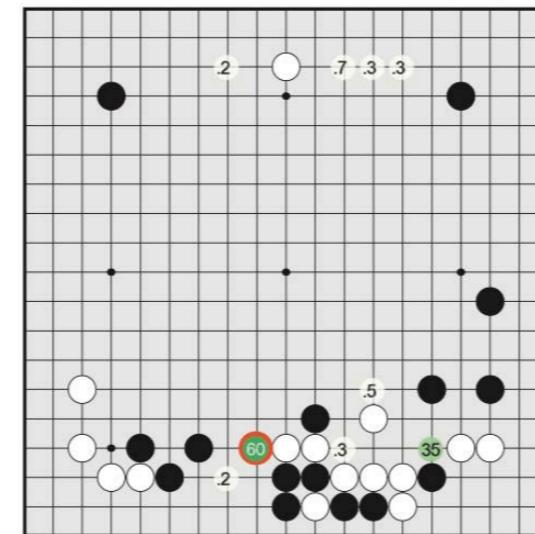
Weston et al., 2015

atari



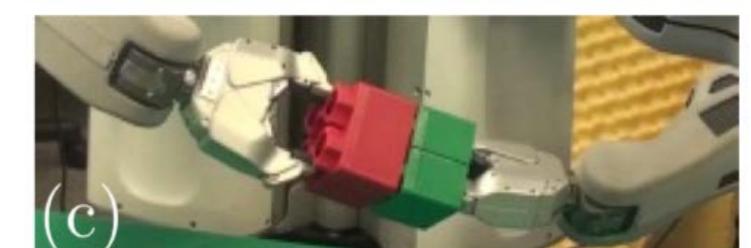
Minh et al., 2013

go



Silver, Huang et al., 2016

object manipulation



Levine, Finn, et al., 2016

ultimately, we care about *solving tasks*

survival & reproduction



e.g. teaching





performing any task involves a **bias-variance tradeoff**

two components for solving any task

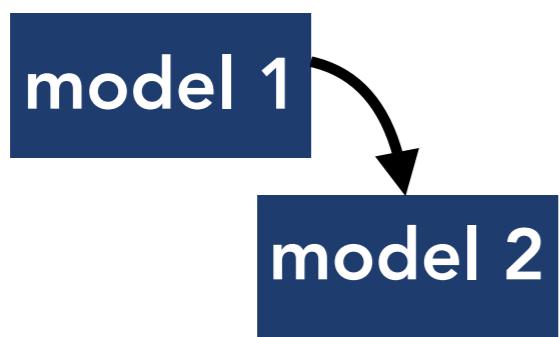
priors

(bias)

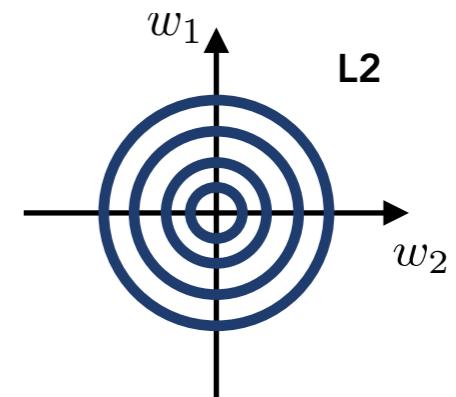
learning

(variance)

param. values



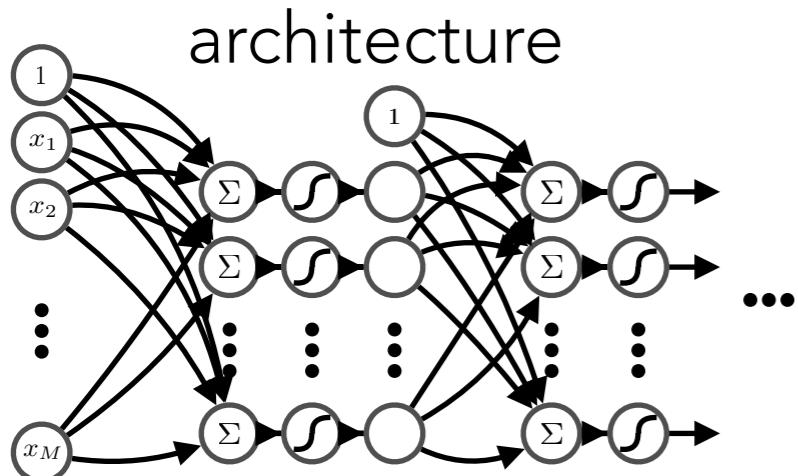
param. constraints



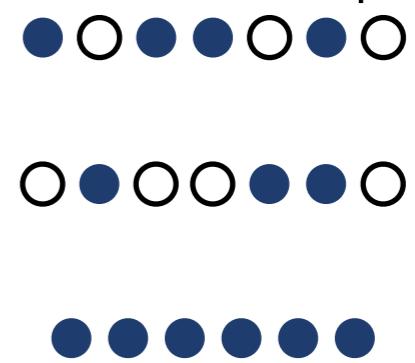
# priors

*things assumed beforehand*

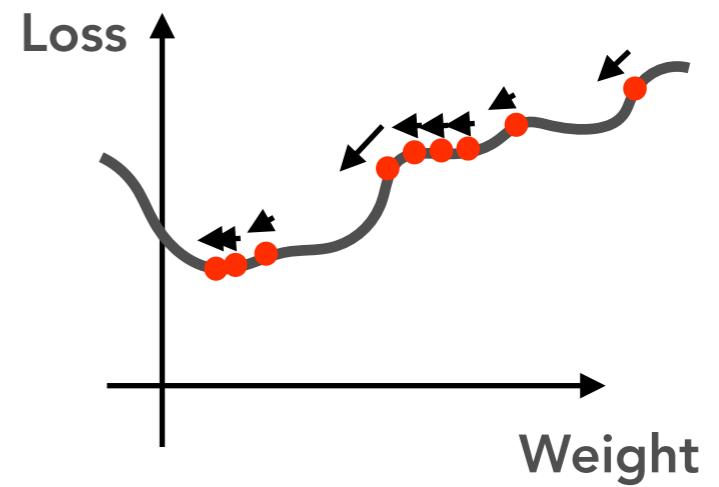
architecture



activities, outputs

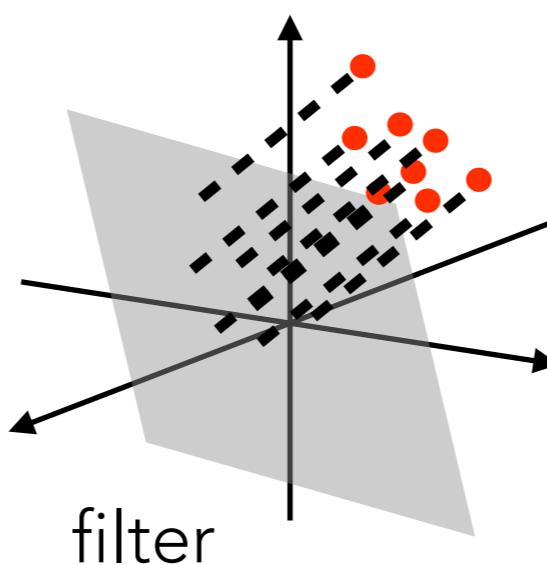


LOSS/ERROR  
↓  
GRADIENT  
↓  
IMPROVEMENT



# learning

*things extracted from data*



it's a balance!



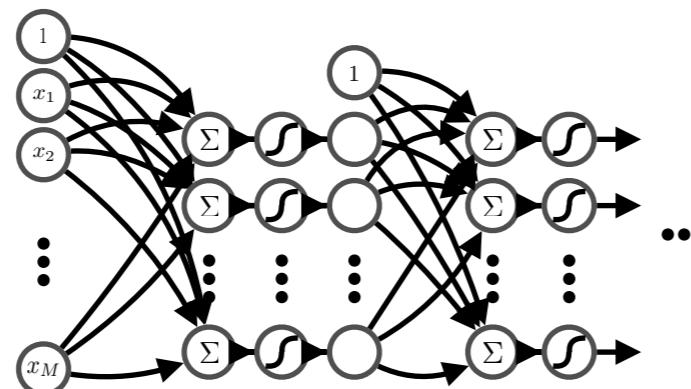
### **strong priors, minimal learning**

- fast/easy to learn and deploy
- may be too rigid, unadaptable

### **weak priors, much learning**

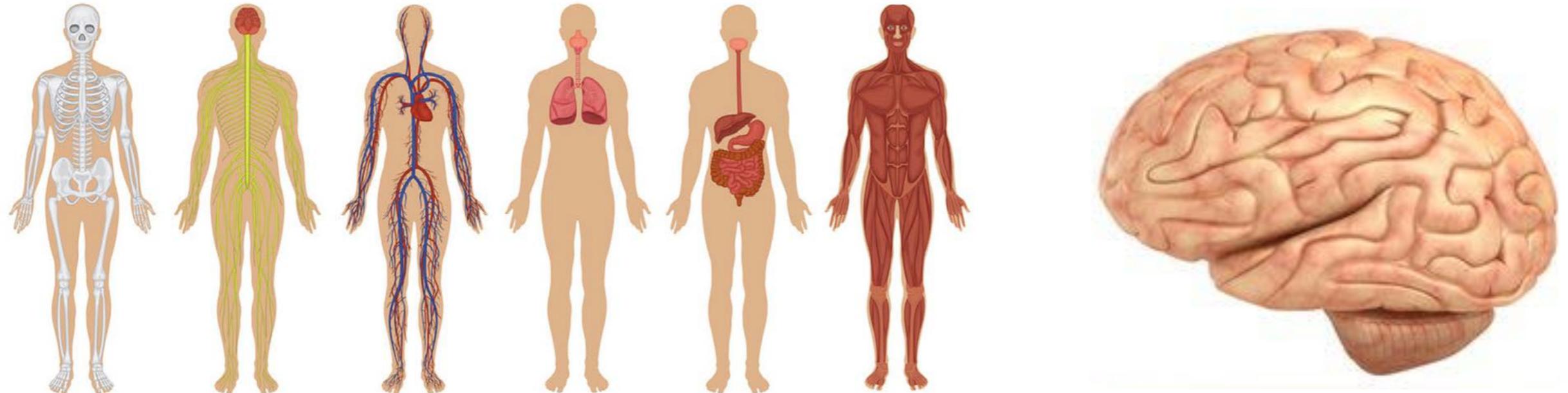
- slow/difficult to learn and deploy
- flexible, adaptable

*for a desired level of performance on a task...*



choose priors and collect data to obtain a model  
that achieves that performance in the minimal amount of time

**priors are essential** - always have to make some assumptions,  
cannot integrate over all possible models



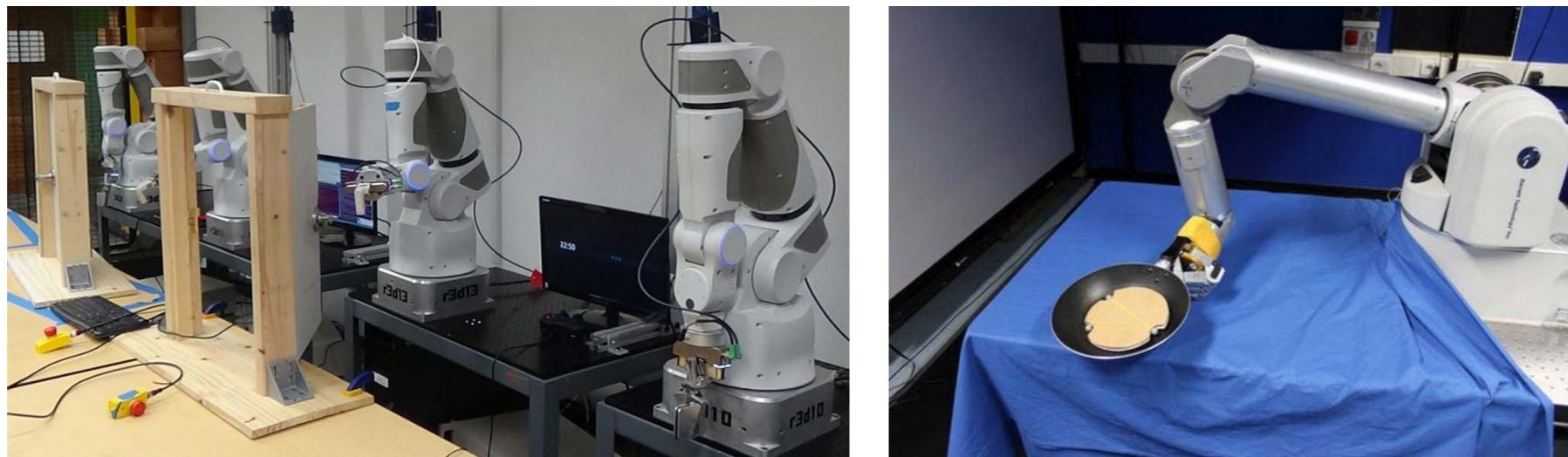
we are all initialized from *evolutionary priors*

humans seem to have a larger capacity for learning than other organisms

up until now, all of our machines have been purely based on priors



for the first time in history, **we can now create machines that also learn**

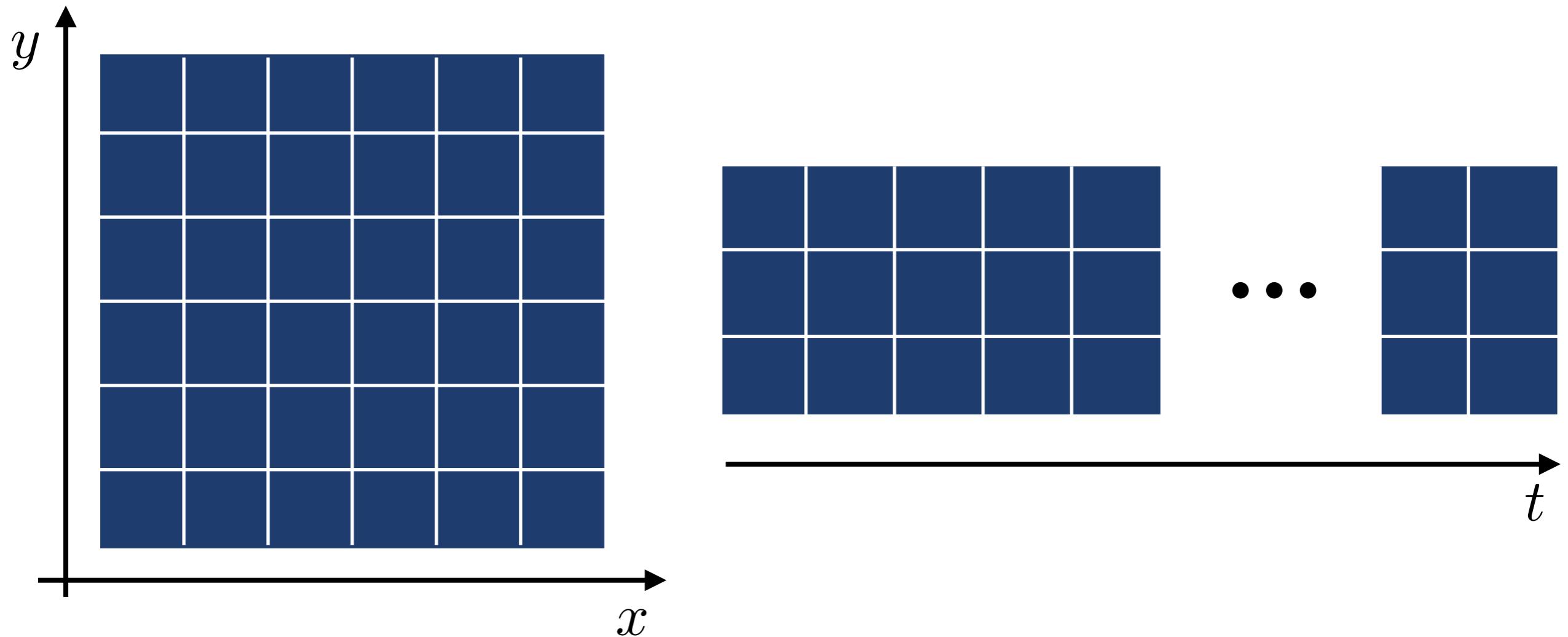


these machines can perform tasks that are impossible to hand-design

***...but they are mostly still based on priors!***

we can exploit known structure in spatial and sequential data  
to impose priors (i.e. inductive biases) on models

**inductive**: inferring general laws from examples



this allows us to learn models in complex, high-dimensional domains  
while limiting the number of parameters and data examples

# CONVOLUTIONAL NEURAL NETWORKS

**task:** *object recognition*



→ Yisong

discriminative mapping from image to object identity



images contain all of the information about the binary latent variable Yisong/Not Yisong

extract the relevant information about this latent variable to form conditional probability

**inference:**  $p(\text{Yisong} | \text{ })$



notice that images also contain other *nuisance* information, such as pose, lighting, background, etc.

want to be *invariant* to nuisance information

data, label collection

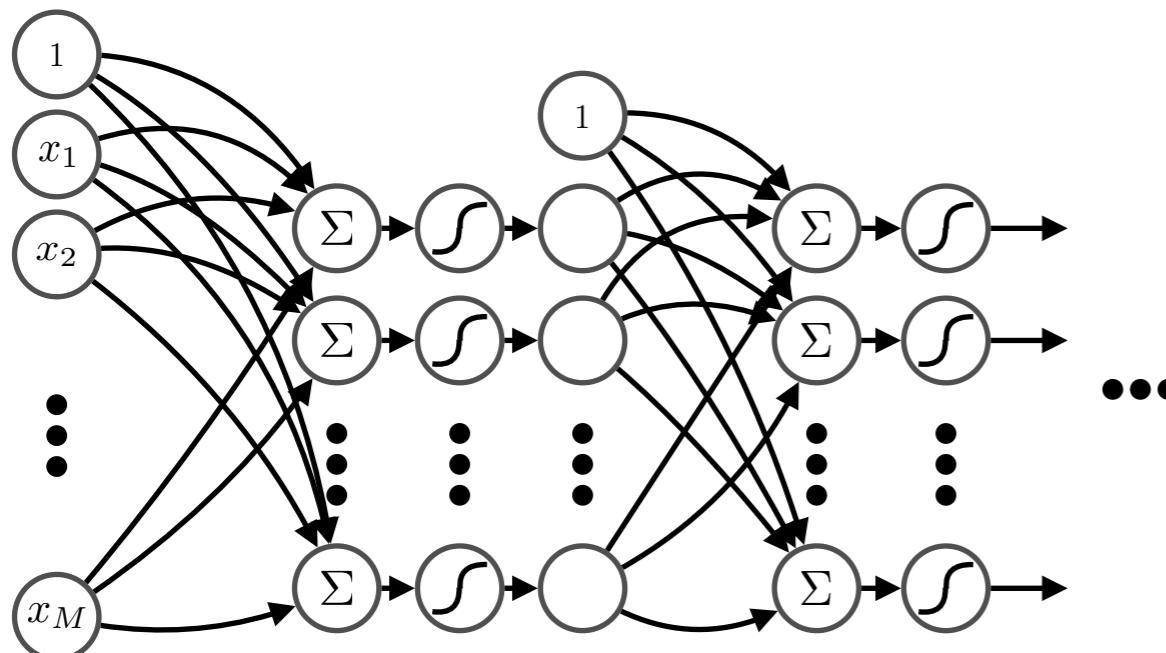
the mapping is too difficult to define by hand,  
need to learn from data



Yisong

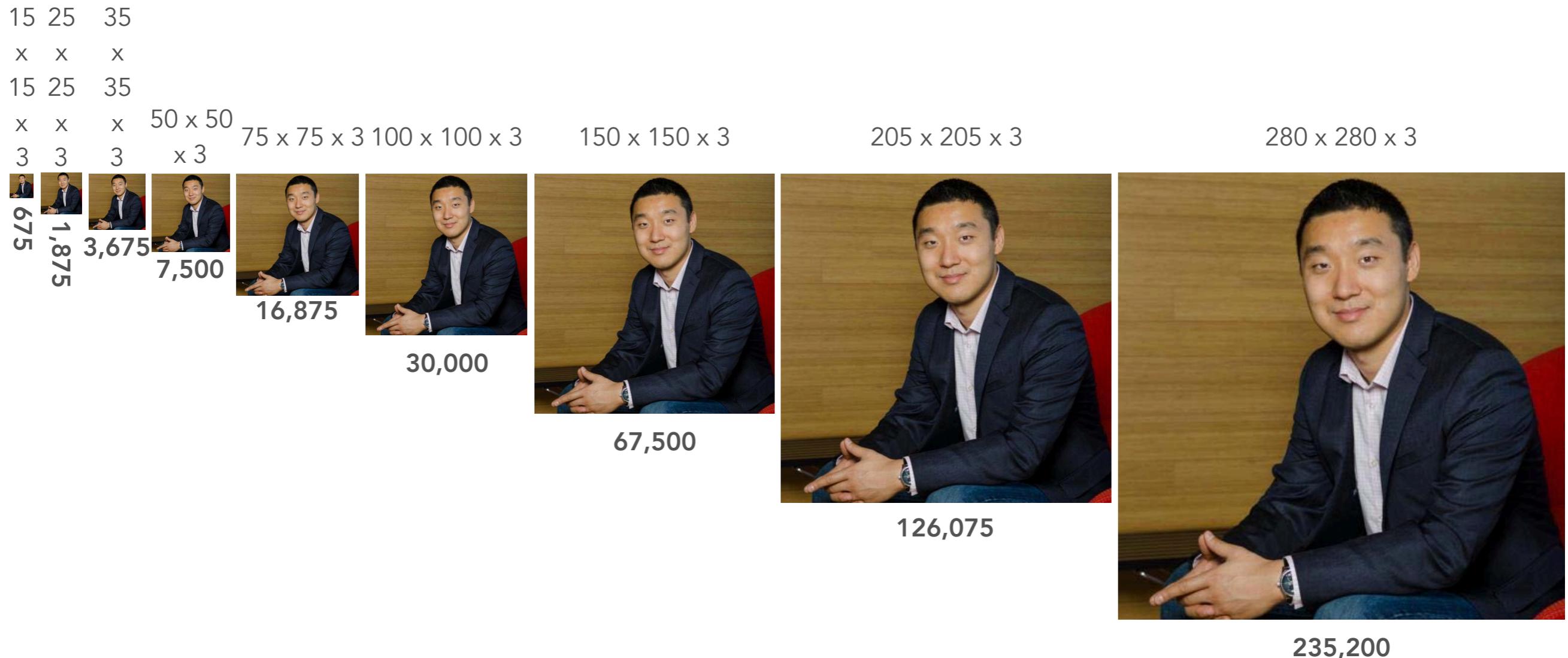


Not Yisong



then, we need to choose  
a model architecture...

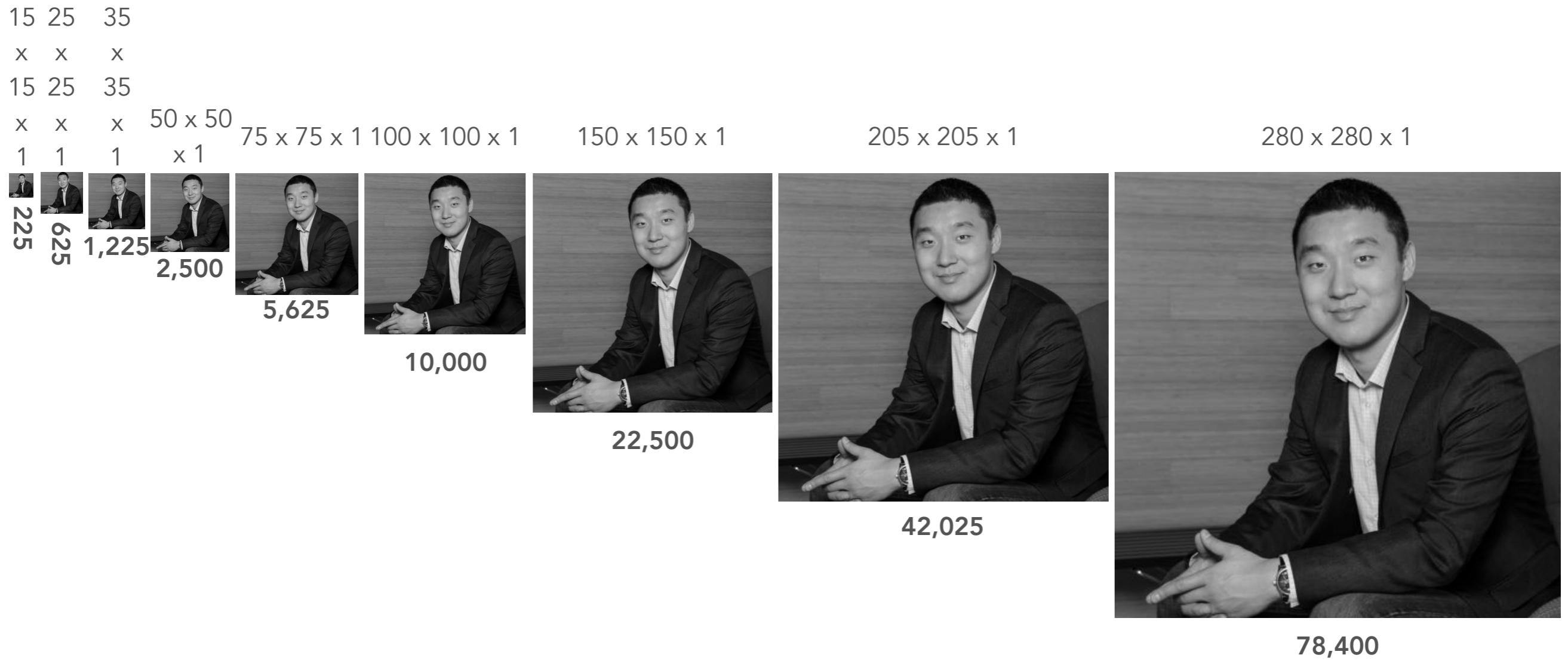
standard neural networks require a fixed input size...



fewer parameters,  
but unclear patterns

clearer patterns,  
but more parameters

convert to grayscale...



fewer parameters,  
but unclear patterns



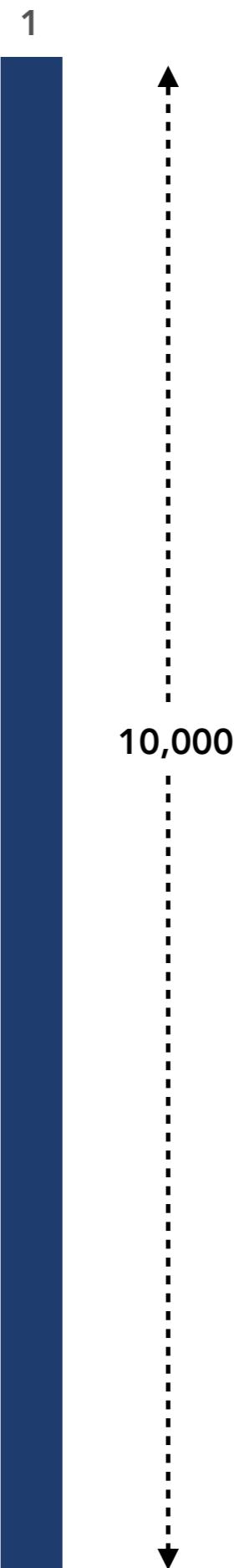
clearer patterns,  
but more parameters

$100 \times 100 \times 1$



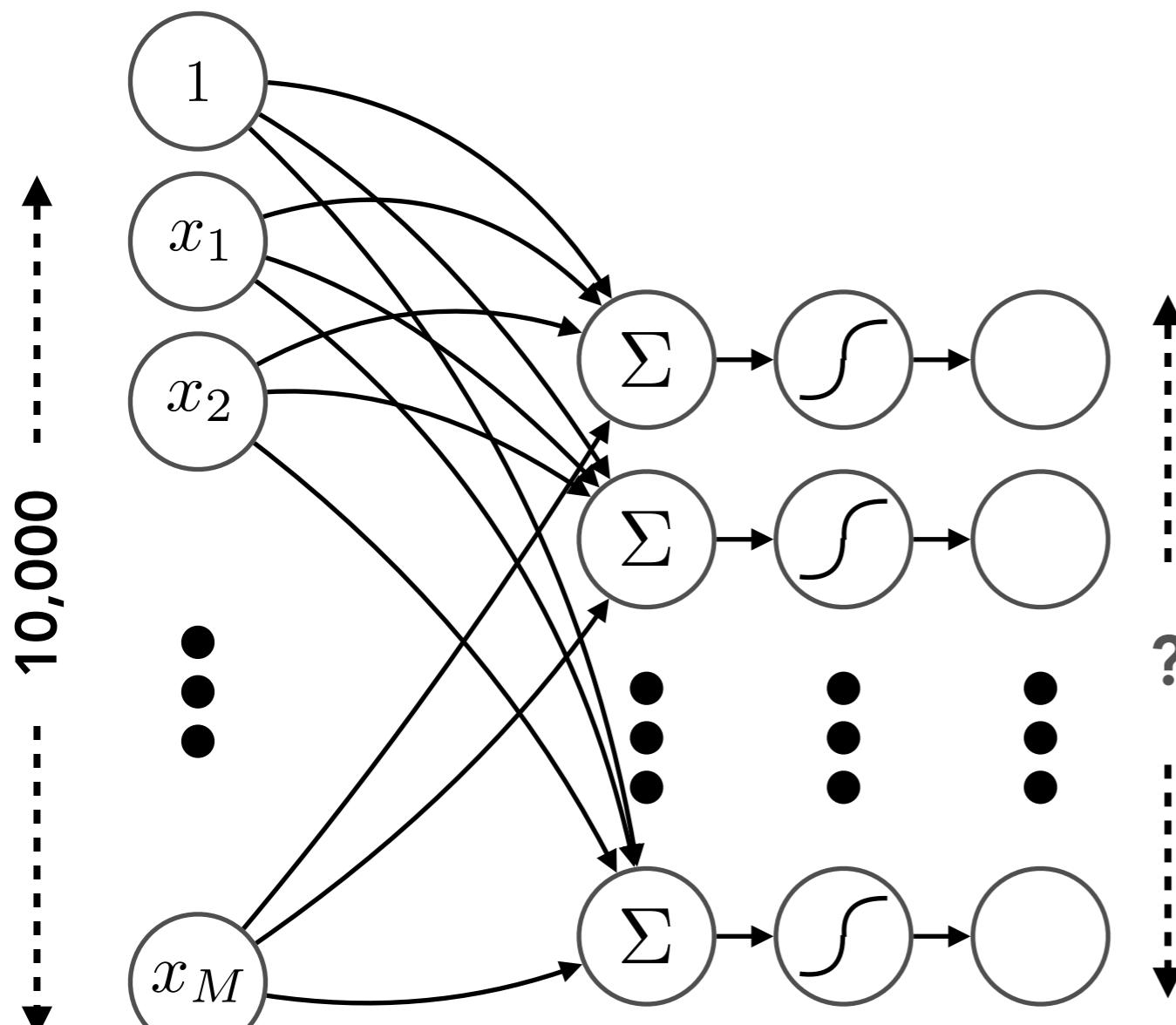
10,000

reshape



how many units do we need?

INPUT

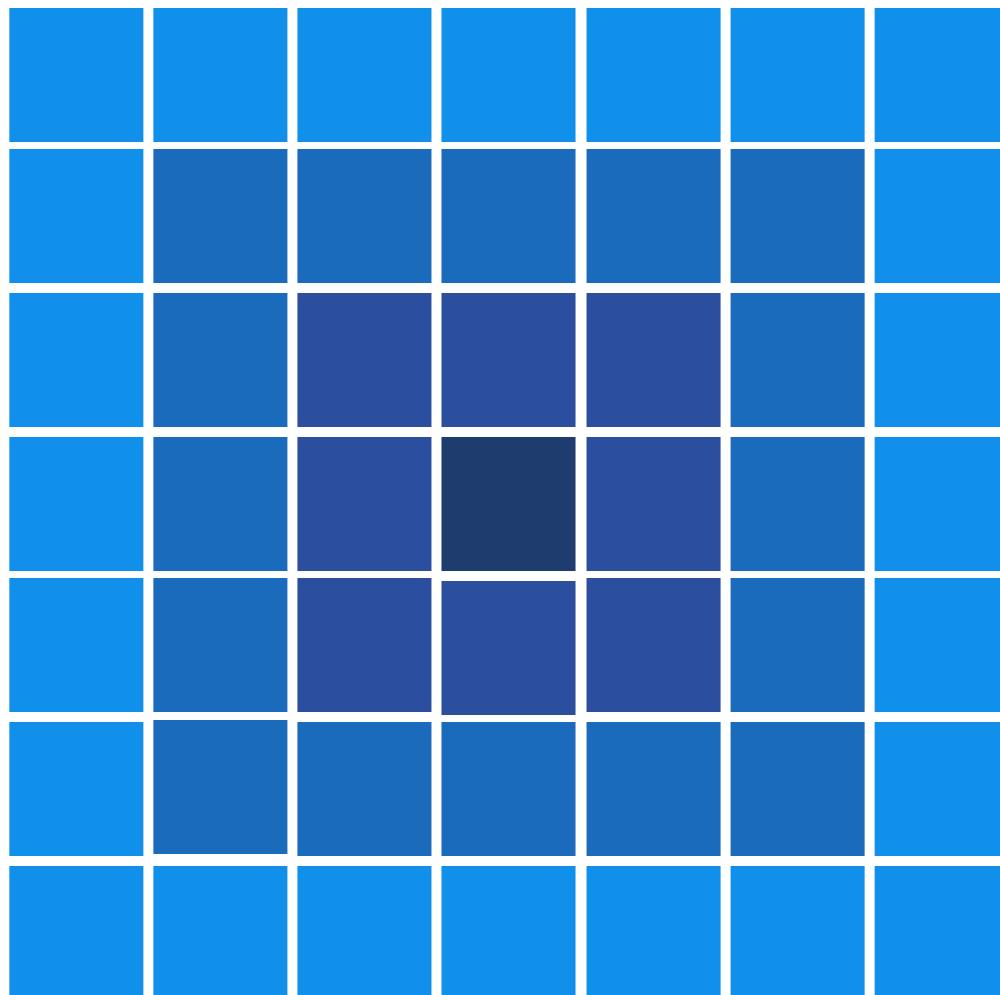


$$\# \text{ units} \times 10,000 = \# \text{ weights}$$

|           |        |                |
|-----------|--------|----------------|
| 1         | -----> | 10,000         |
| 10        | -----> | 100,000        |
| 100       | -----> | 1,000,000      |
| 1,000     | -----> | 10,000,000     |
| 10,000    | -----> | 100,000,000    |
| 100,000   | -----> | 1,000,000,000  |
| 1,000,000 | -----> | 10,000,000,000 |

*if we want to recognize even a few basic patterns at each location,  
the number of parameters will explode!*

to reduce the amount of learning,  
we can introduce *inductive biases*



exploit the ***spatial structure*** of image data

## locality

*nearby areas tend to contain stronger patterns*

*nearby **pixels** tend to be similar and vary  
in particular ways*



*nearby **patches** tend to share characteristics  
and are combined in particular ways*



*nearby **regions** tend to be found  
in particular arrangements*



## translation invariance

*relative (rather than absolute) positions are relevant*



Yisong's identity is independent of absolute location of his pixels

let's translate **locality** and **translation invariance** into *inductive biases*

## locality

nearby areas tend  
to contain stronger  
patterns

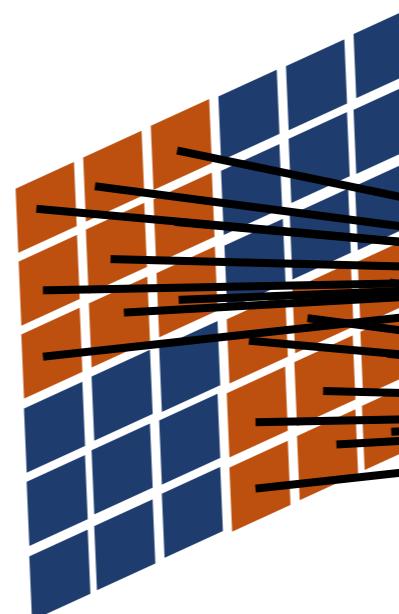


inputs can be  
restricted to regions

maintain spatial ordering

## translation invariance

relative positions  
are relevant

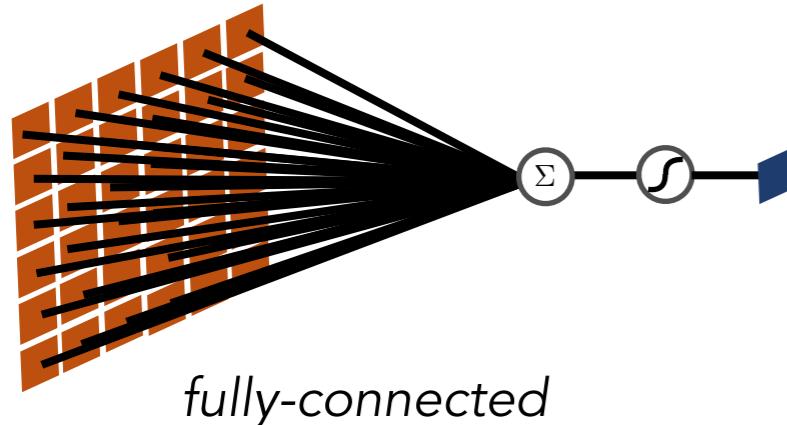


same filters can be applied  
throughout the input

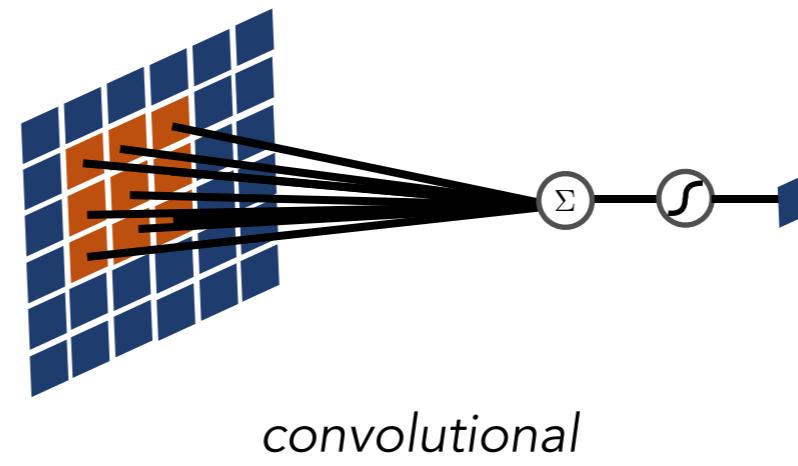
same weights

these are the inductive biases of ***convolutional neural networks***

→ special case of standard (fully-connected) neural networks

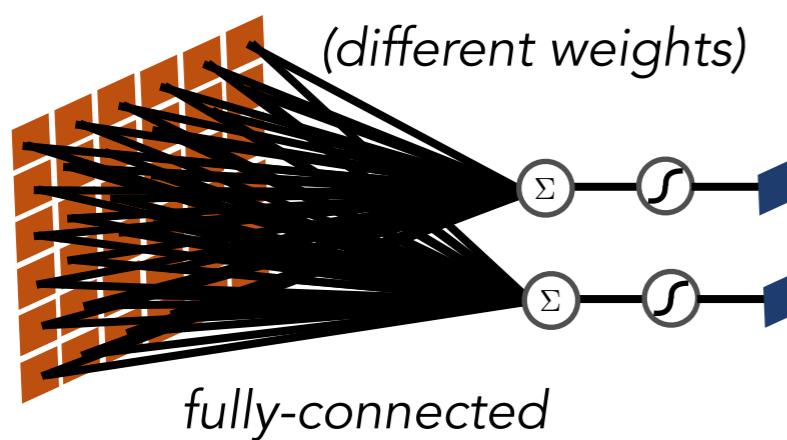


fully-connected



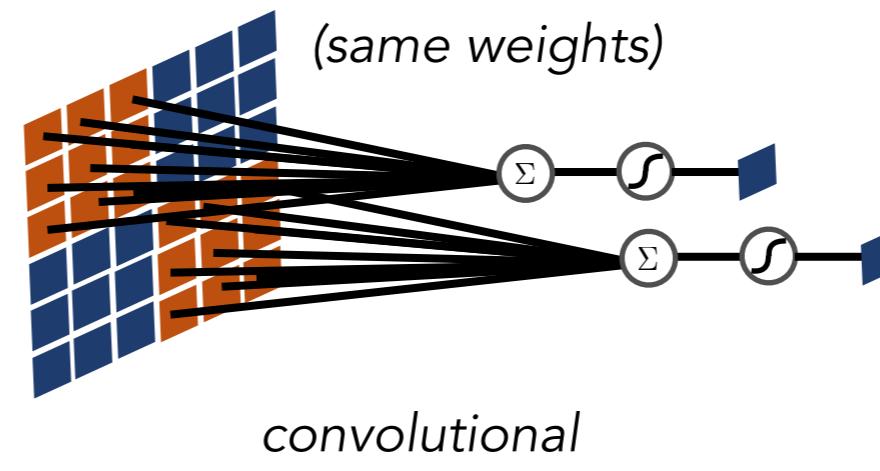
convolutional

weight savings



fully-connected

(different weights)



convolutional

weight savings

these *inductive biases* make the ***number of weights independent of the input size!***

**convolve** a set of filters with the input

filter weights:  $\begin{pmatrix} 0 & 1 & 2 \\ 2 & 2 & 0 \\ 0 & 1 & 2 \end{pmatrix}$

|                |                |                |   |   |
|----------------|----------------|----------------|---|---|
| 3 <sub>0</sub> | 3 <sub>1</sub> | 2 <sub>2</sub> | 1 | 0 |
| 0 <sub>2</sub> | 0 <sub>2</sub> | 1 <sub>0</sub> | 3 | 1 |
| 3 <sub>0</sub> | 1 <sub>1</sub> | 2 <sub>2</sub> | 2 | 3 |
| 2              | 0              | 0              | 2 | 2 |
| 2              | 0              | 0              | 0 | 1 |

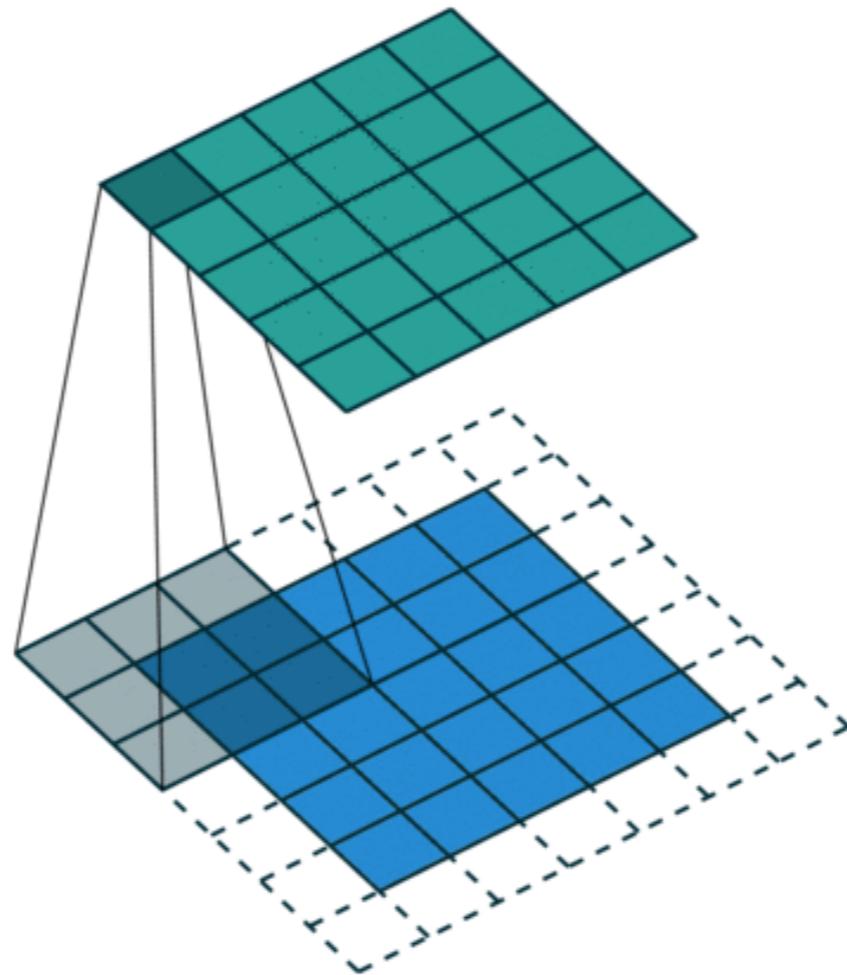
|    |    |    |
|----|----|----|
| 12 | 12 | 17 |
| 10 | 17 | 19 |
| 9  | 6  | 14 |

take inner (dot) product of filter and each input location

measures degree of filter feature at input location

→ *feature map*

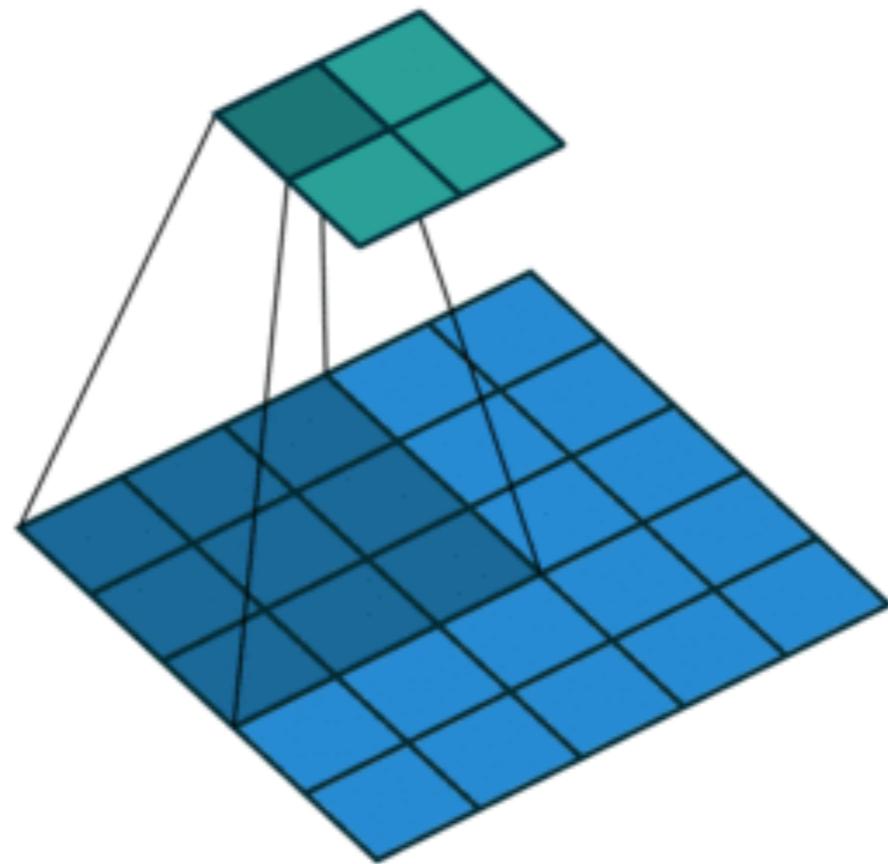
use ***padding*** to preserve spatial size



typically add zeros around the perimeter

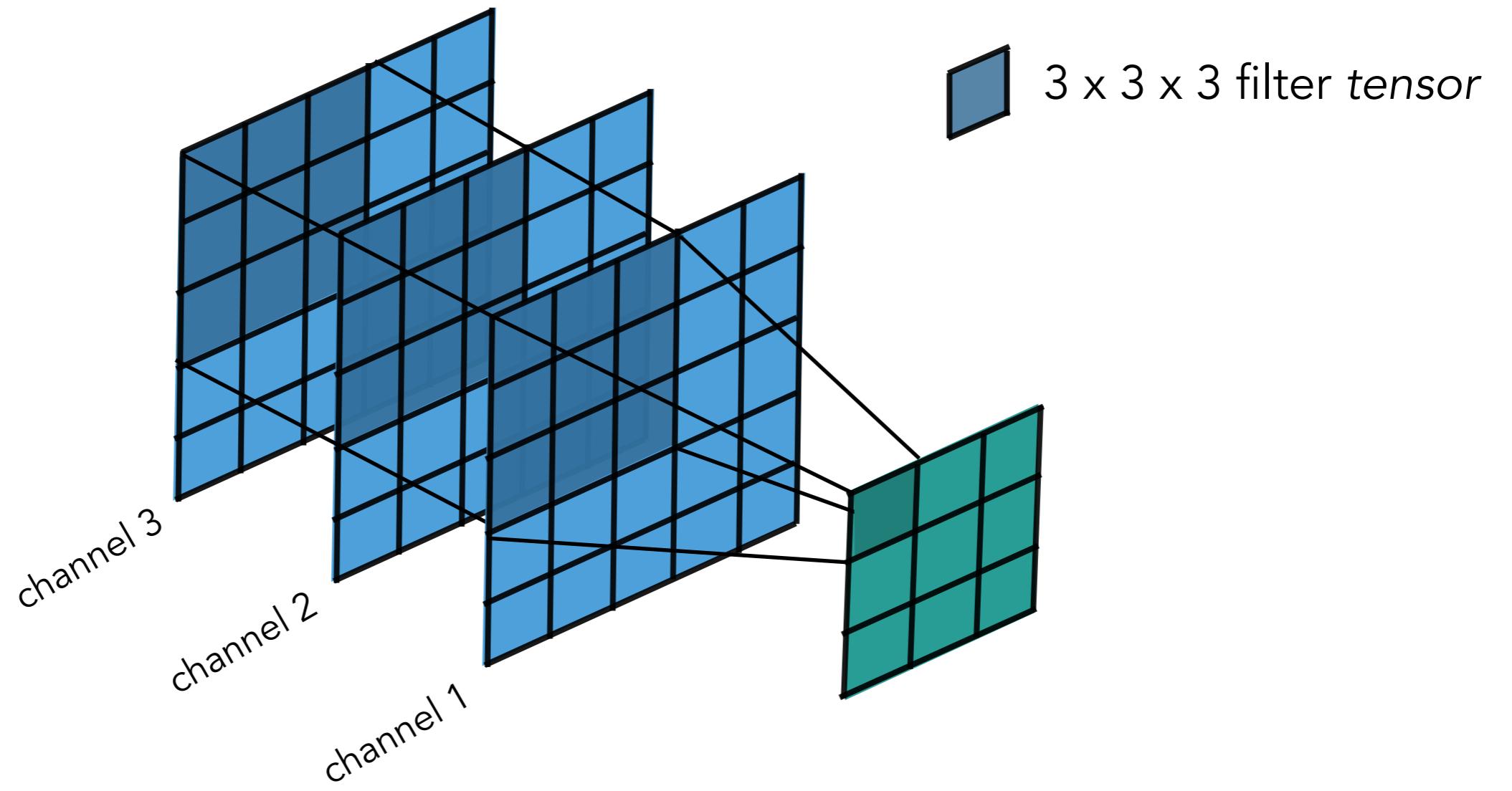
use ***stride*** to downsample the input

$\text{stride} = 2$



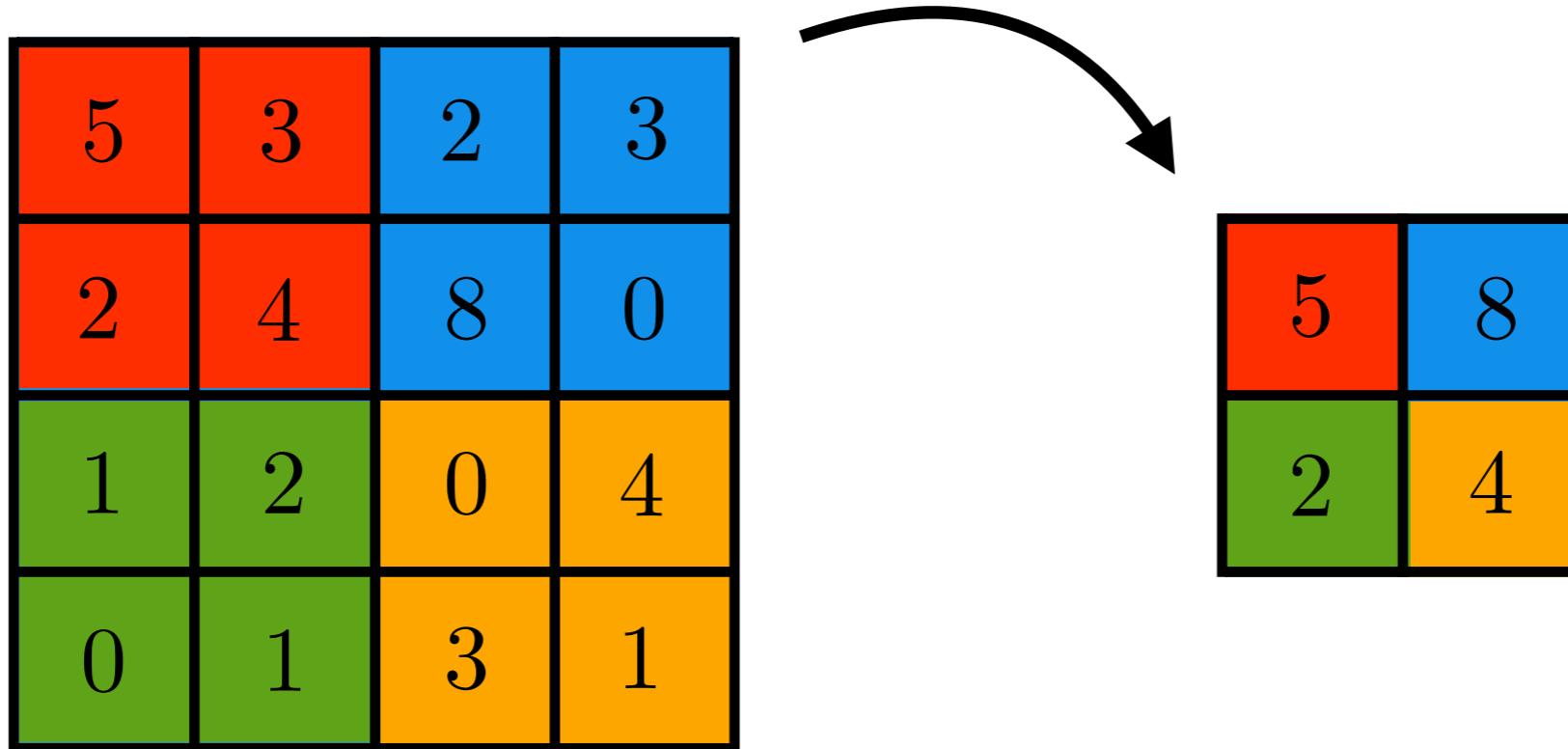
only compute output at some integer interval

filters are applied to all input channels



each filter results in a new output channel

**pooling** locally aggregates values in each feature map

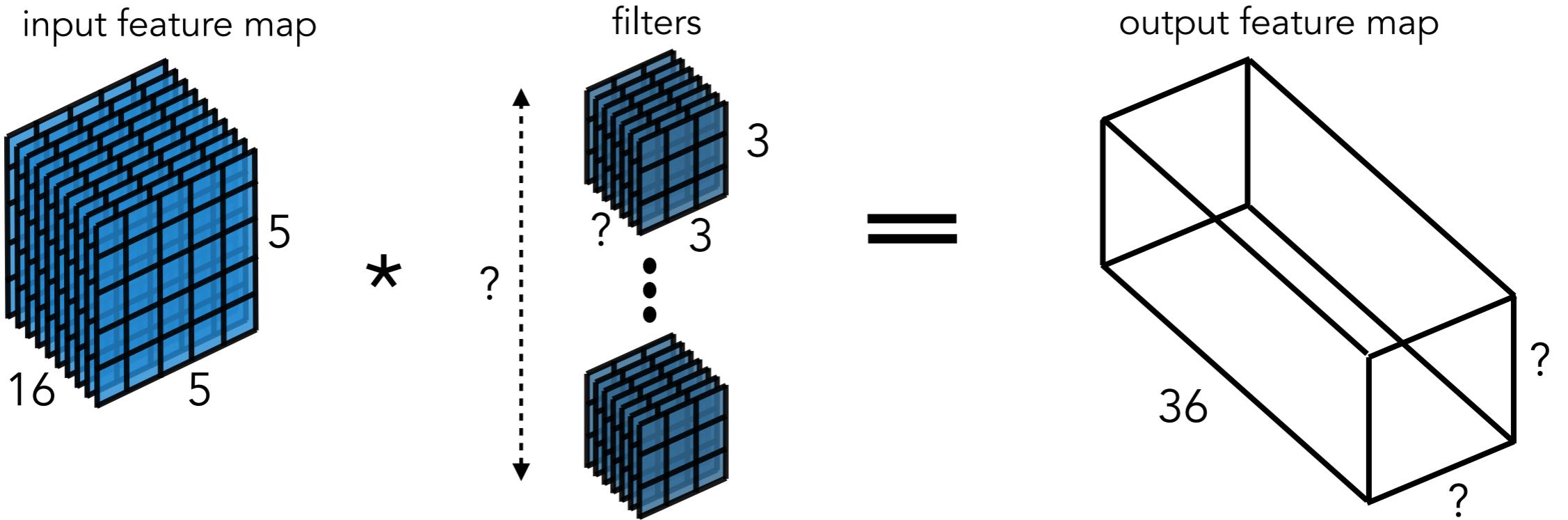


downsampling and invariance

can be applied with *padding* and *stride*

predefined operation: maximum, average, etc.

# convolutional pop-quiz



if we use unit stride and no padding then...

how many filters are there? **36**

*same as the number of output channels*

what size is each filter?  **$3 \times 3 \times 16$**

*channels match the number of input channels*

what is the output filter map size?  **$3 \times 3 \times 36$**

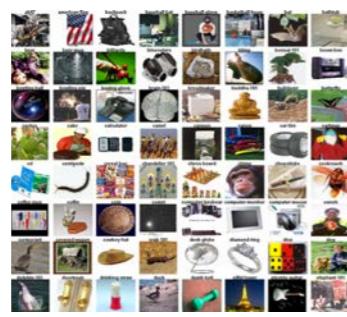
*result of only valid convolutions*

# natural image datasets



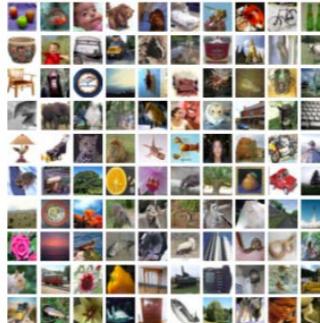
**Caltech-101**

101 classes,  
9,146 images



**Caltech-256**

256 classes,  
30,607 images



**CIFAR-10**

10 classes,  
60,000 images



**CIFAR-100**

100 classes,  
60,000 images



**ImageNet**

**Competition**

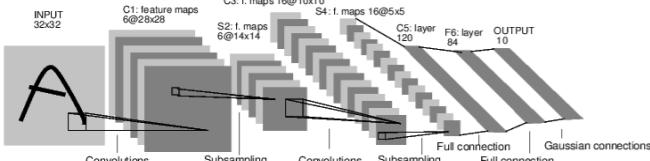
1,000 classes,  
1.2 million images

**Full**

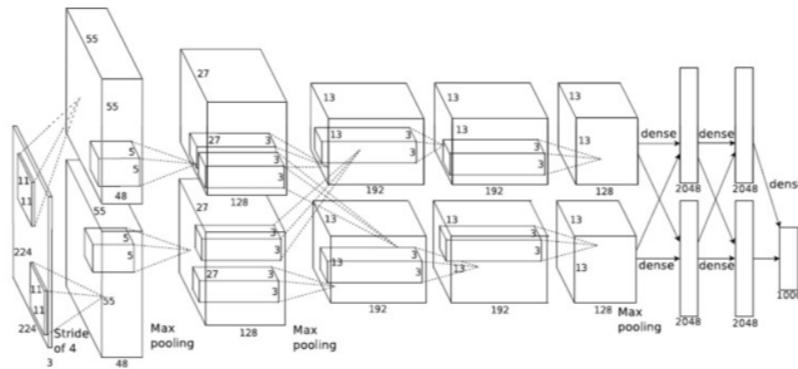
21,841 classes,  
14 million images

• • •

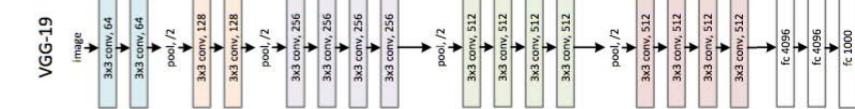
# convolutional models for classification



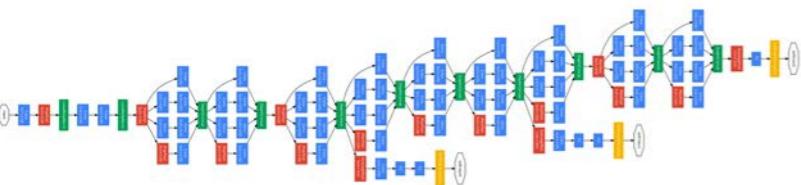
## LeNet



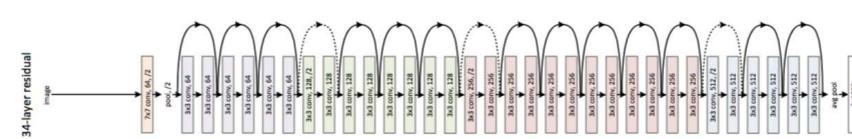
## AlexNet



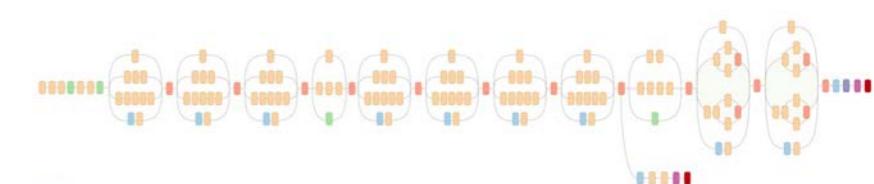
VGG



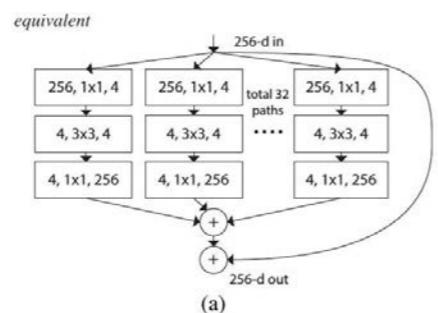
# GoogLeNet



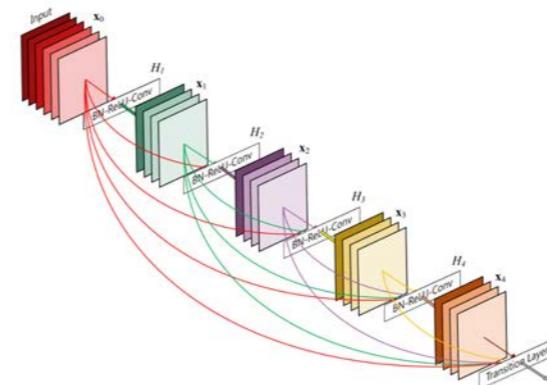
## ResNet



Inception v4

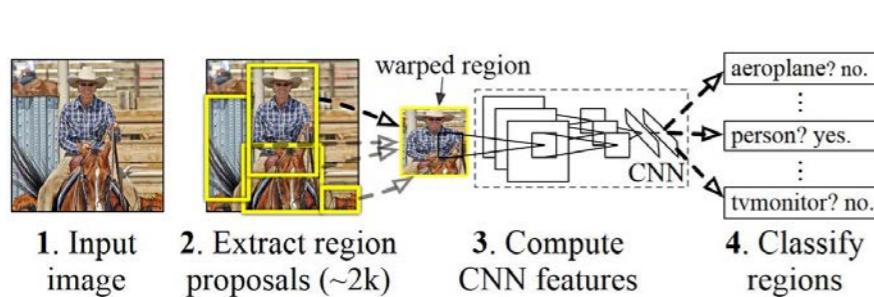


ResNeXt

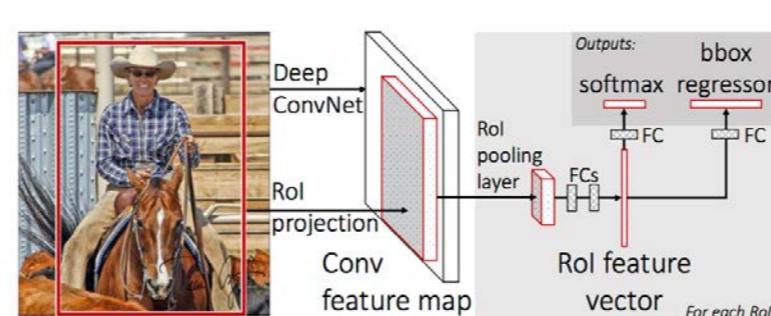


DenseNet

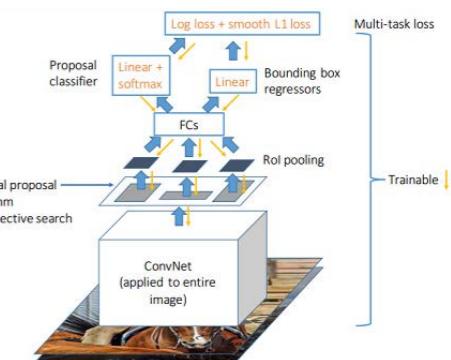
convolutional models for *detection*, *segmentation*, etc.



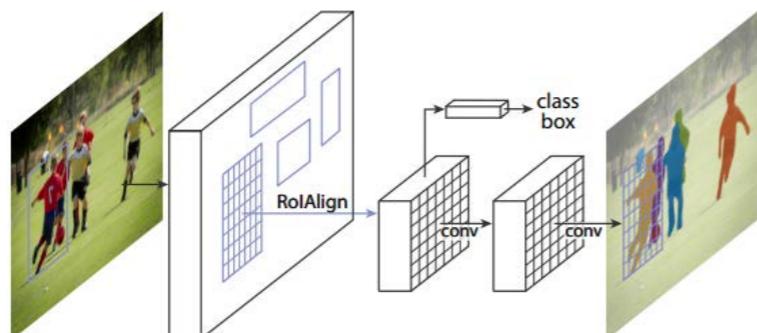
## R-CNN



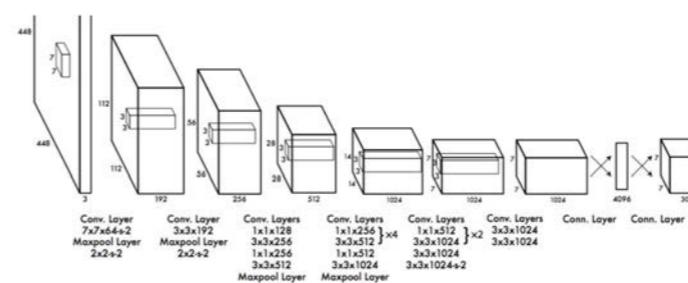
Fast R-CNN



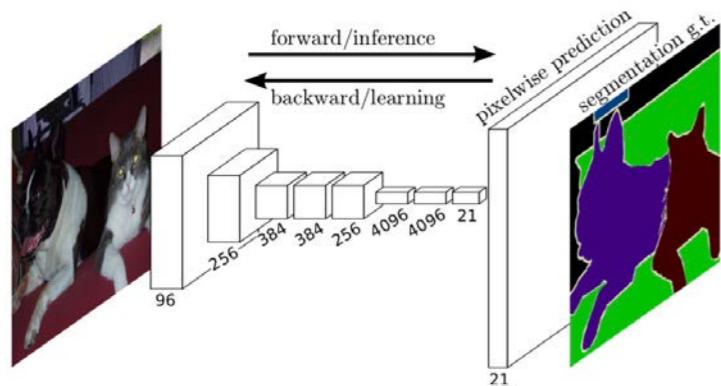
## Faster R-CNN



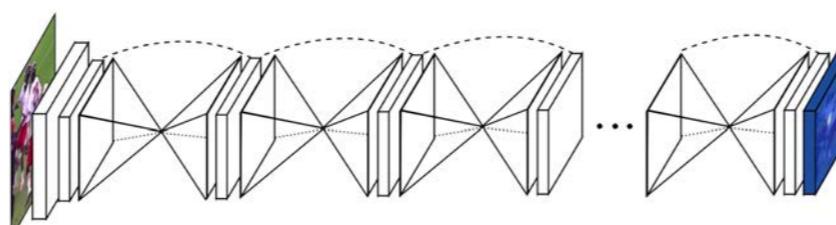
## **Mask R-CNN**



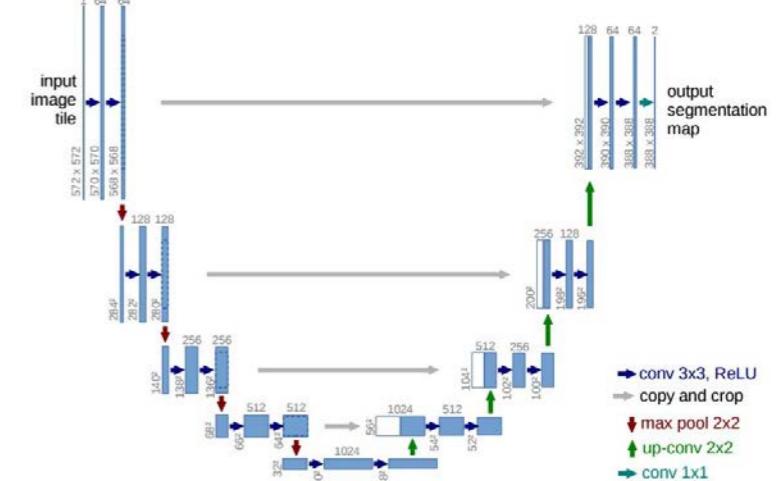
**YOLO**



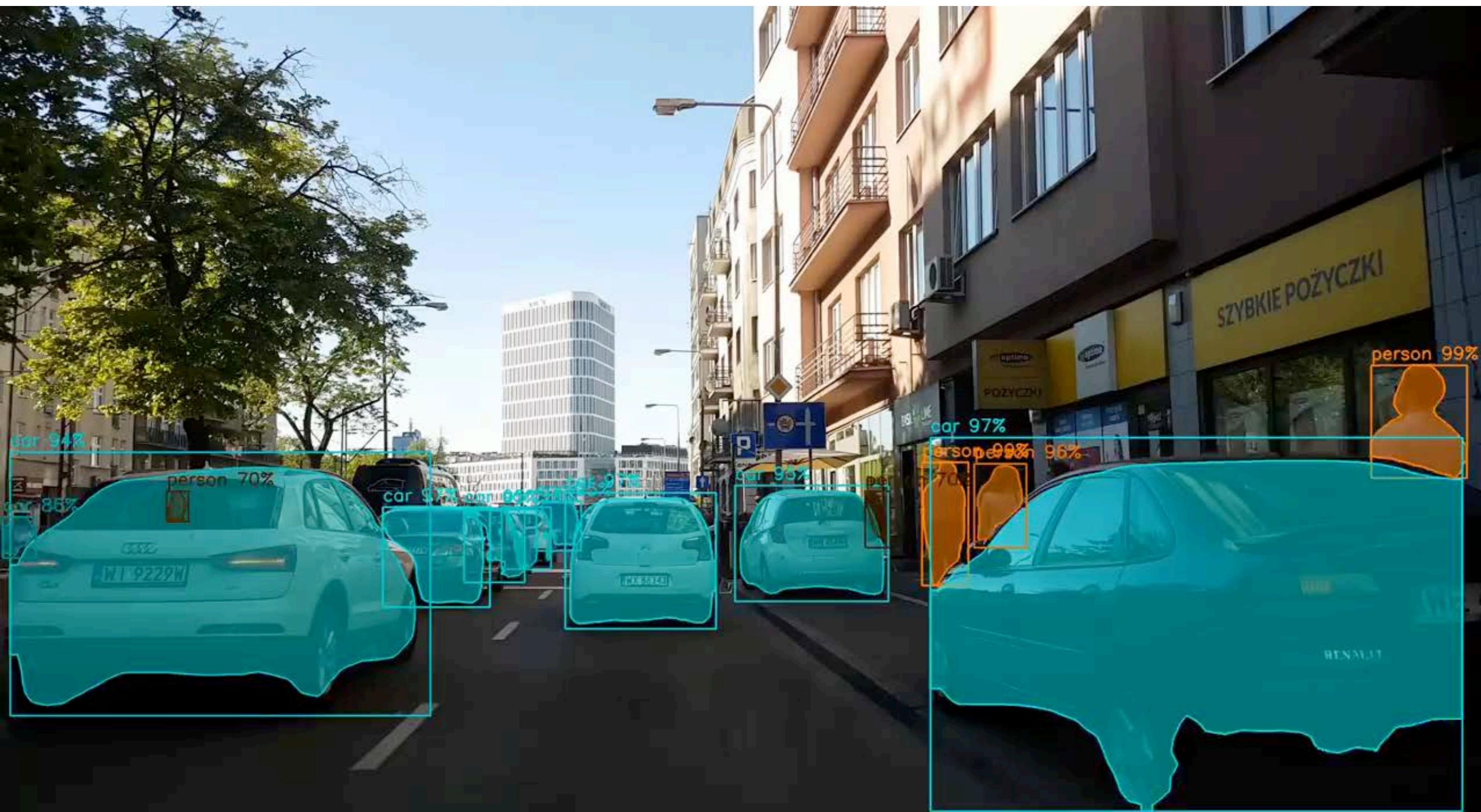
FCN



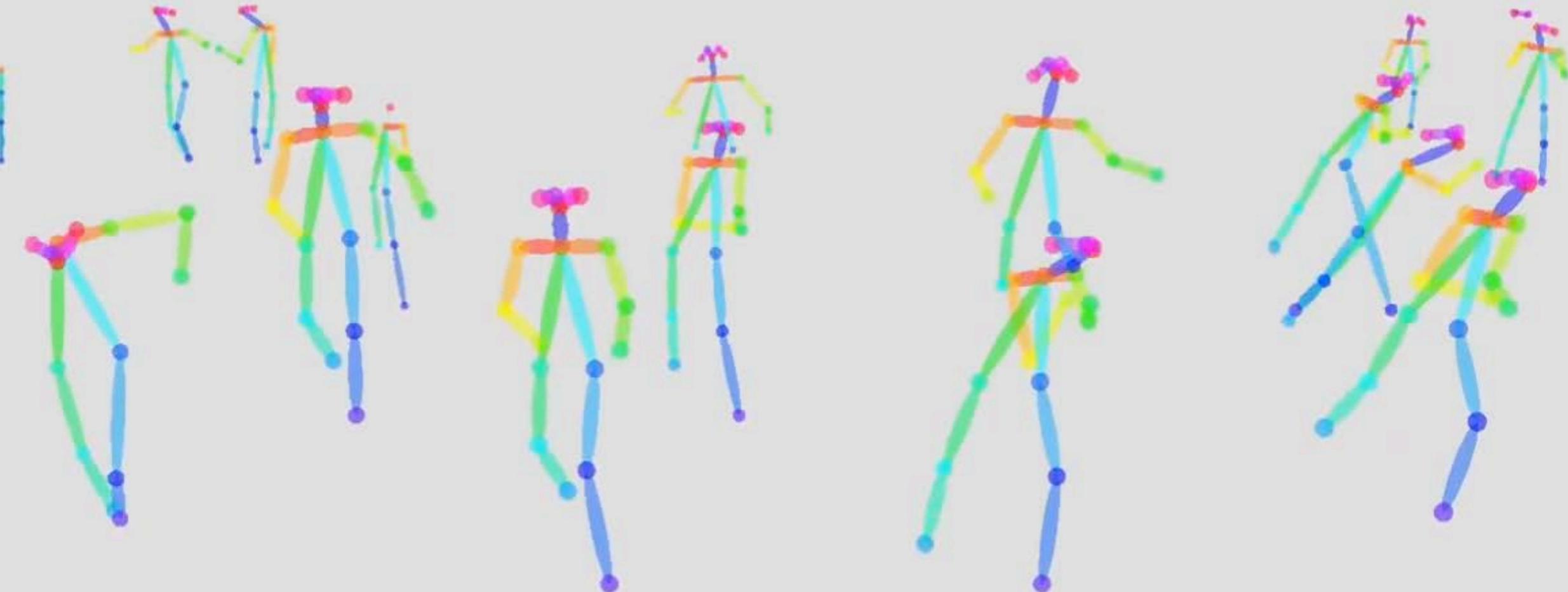
# Hourglass



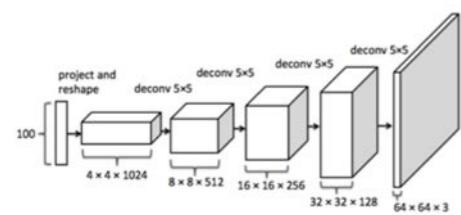
U-Net



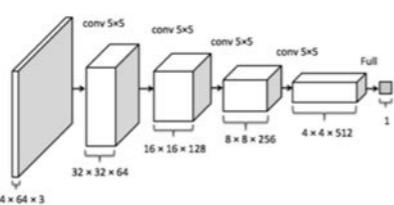
10.4 fps



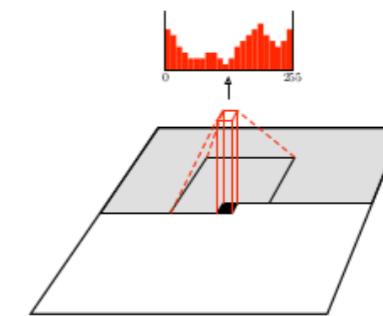
# convolutional models for *image generation*



**DC-GAN**



**convolutional VAE**



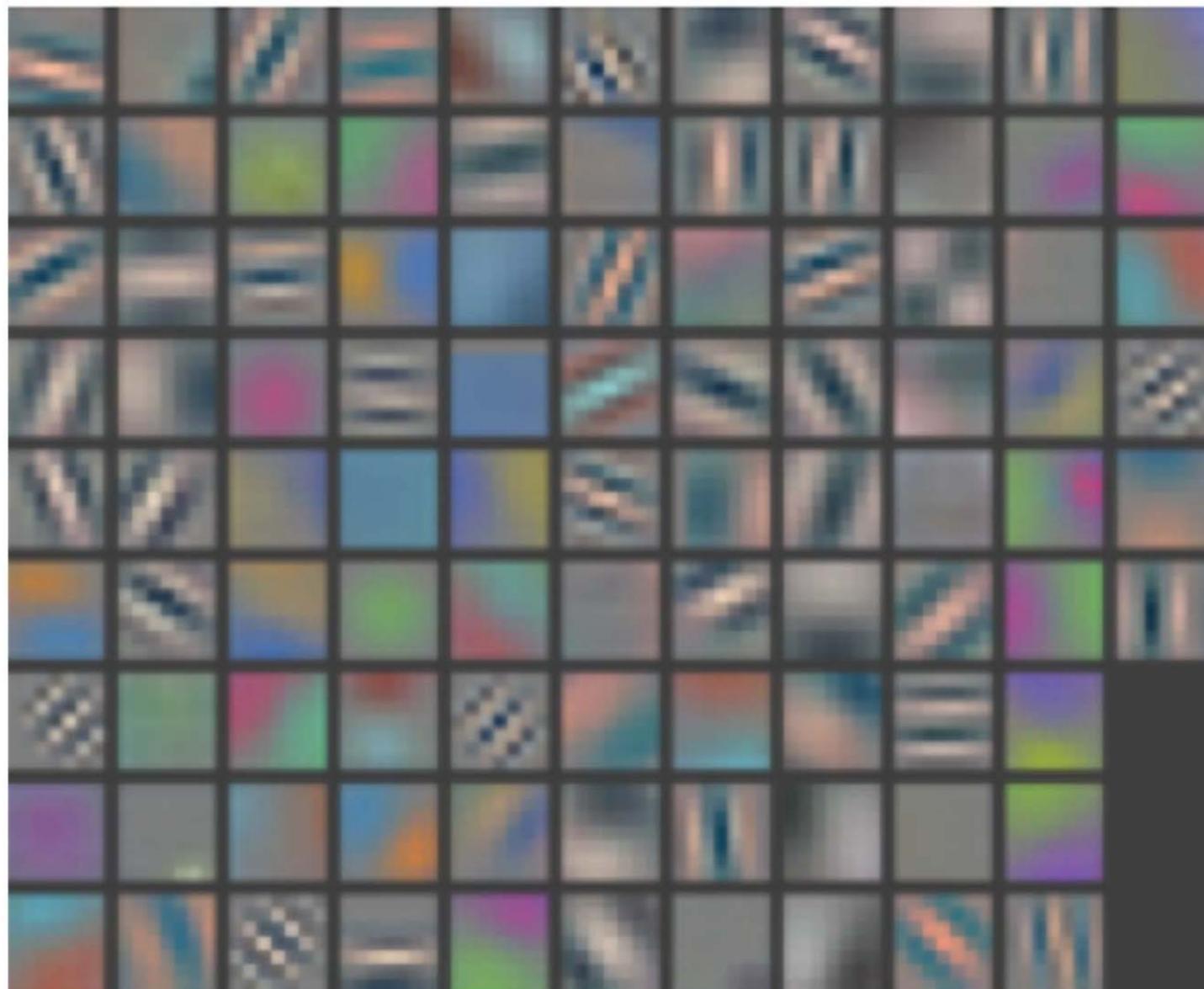
**Pixel CNN**

|   |   |   |   |   |   |
|---|---|---|---|---|---|
| 1 | 1 | 1 | 1 | 1 | 1 |
| 1 | 1 | 1 | 1 | 1 | 1 |
| 1 | 1 | 0 | 0 | 0 | 0 |
| 0 | 0 | 0 | 0 | 0 | 0 |
| 0 | 0 | 0 | 0 | 0 | 0 |

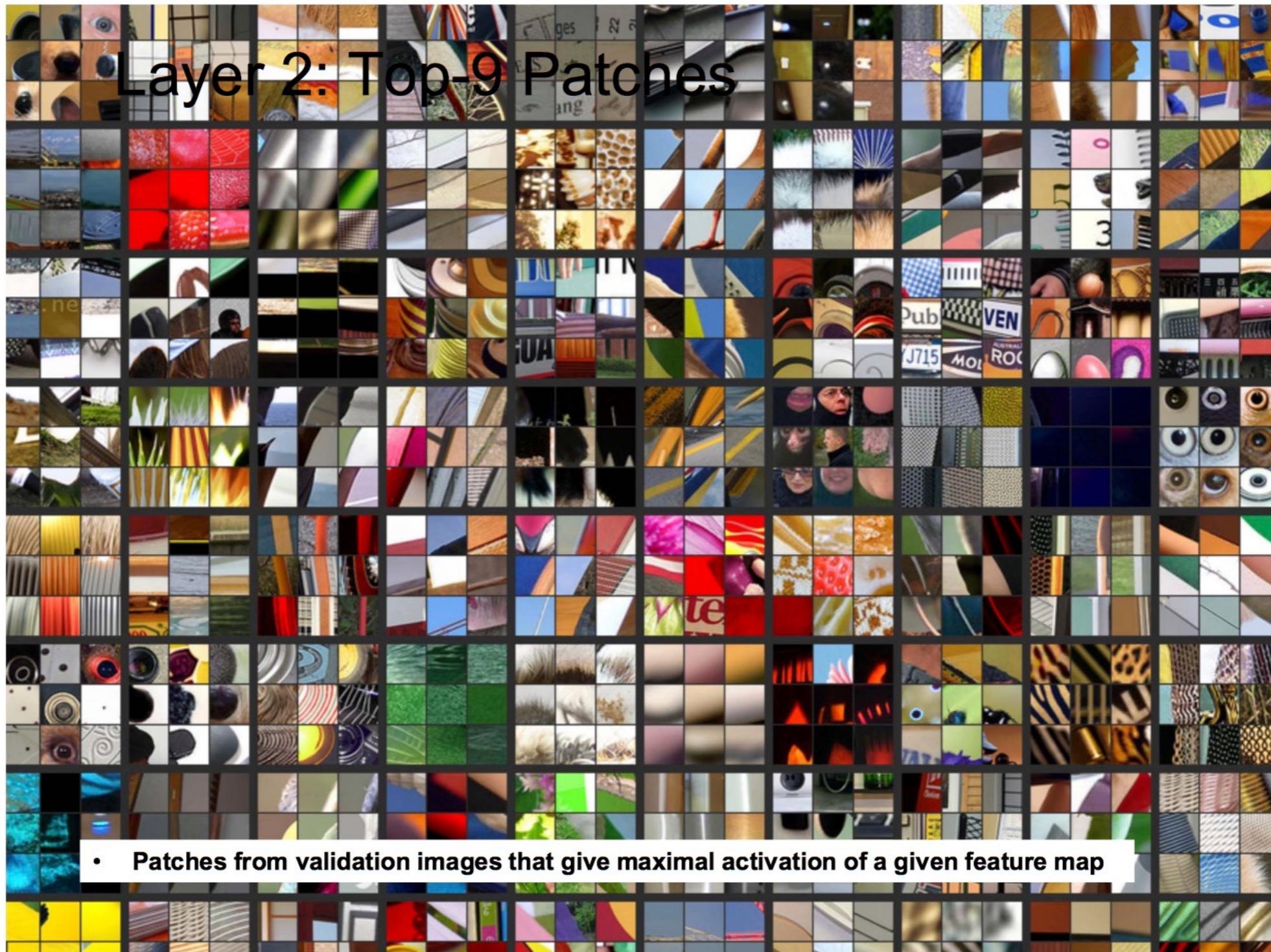
CelebA-HQ  
1024 × 1024

Progressive growing

# filter visualization



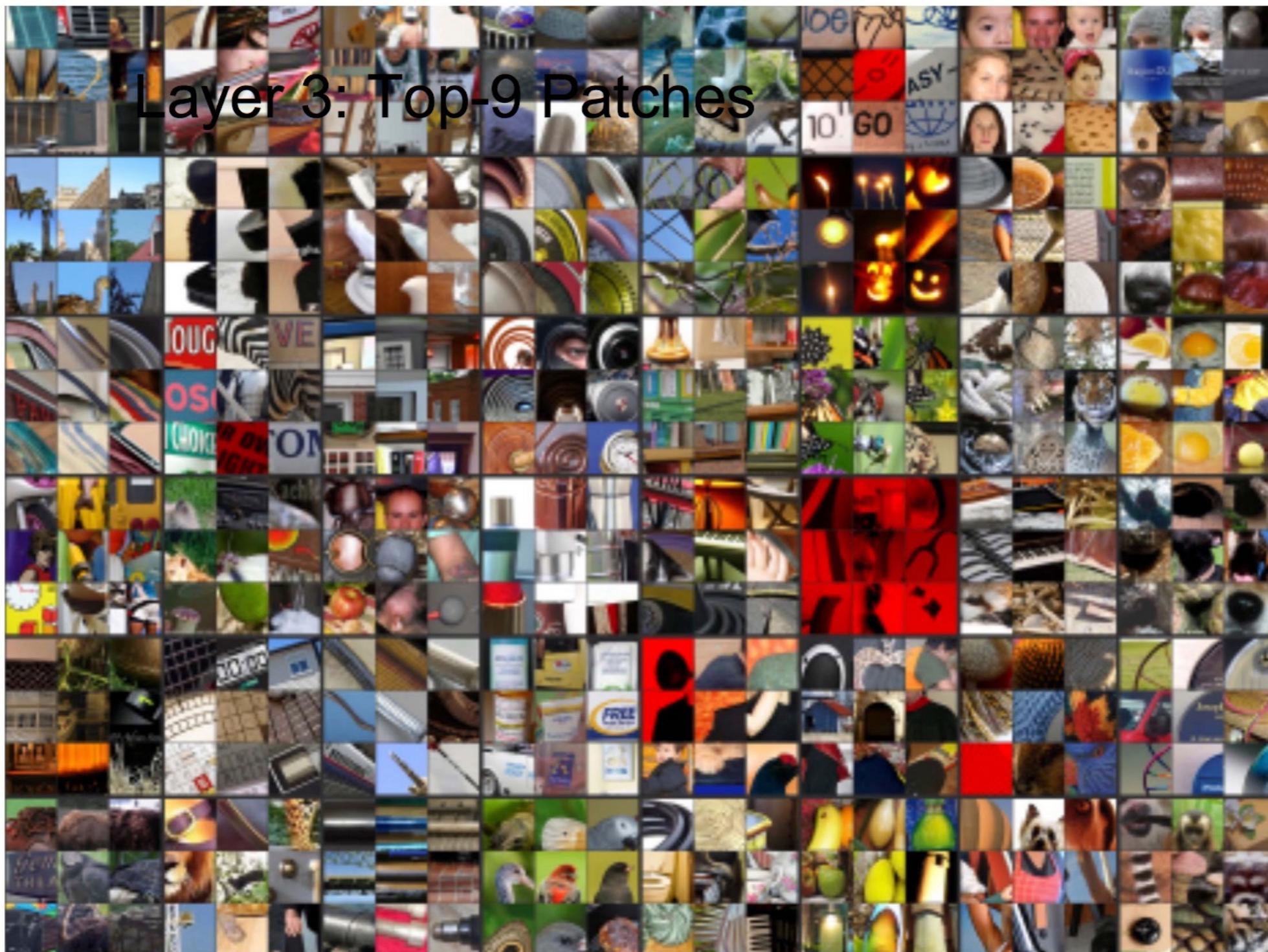
# filter visualization



# filter visualization



# filter visualization



# filter visualization



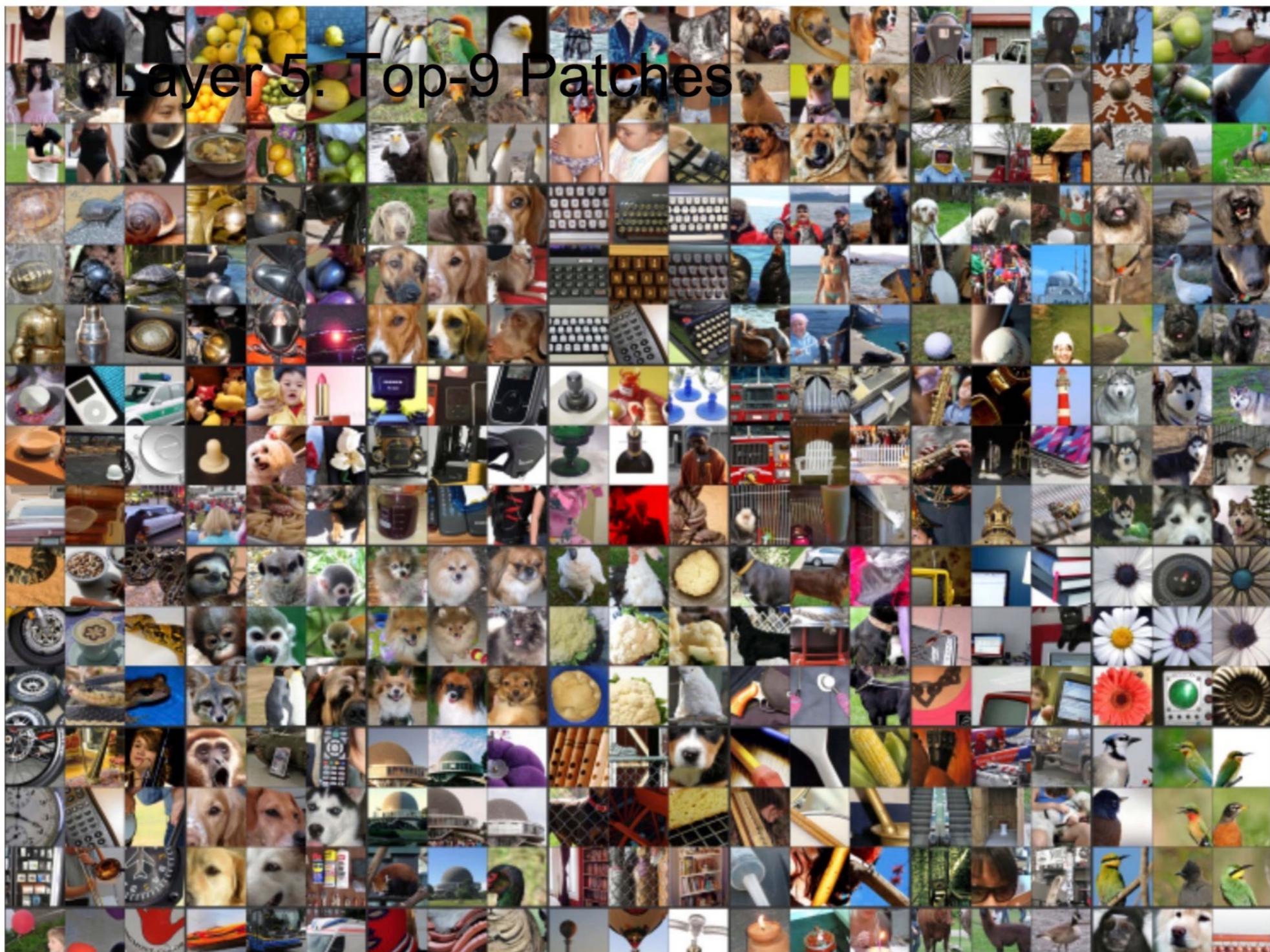
# filter visualization



# filter visualization



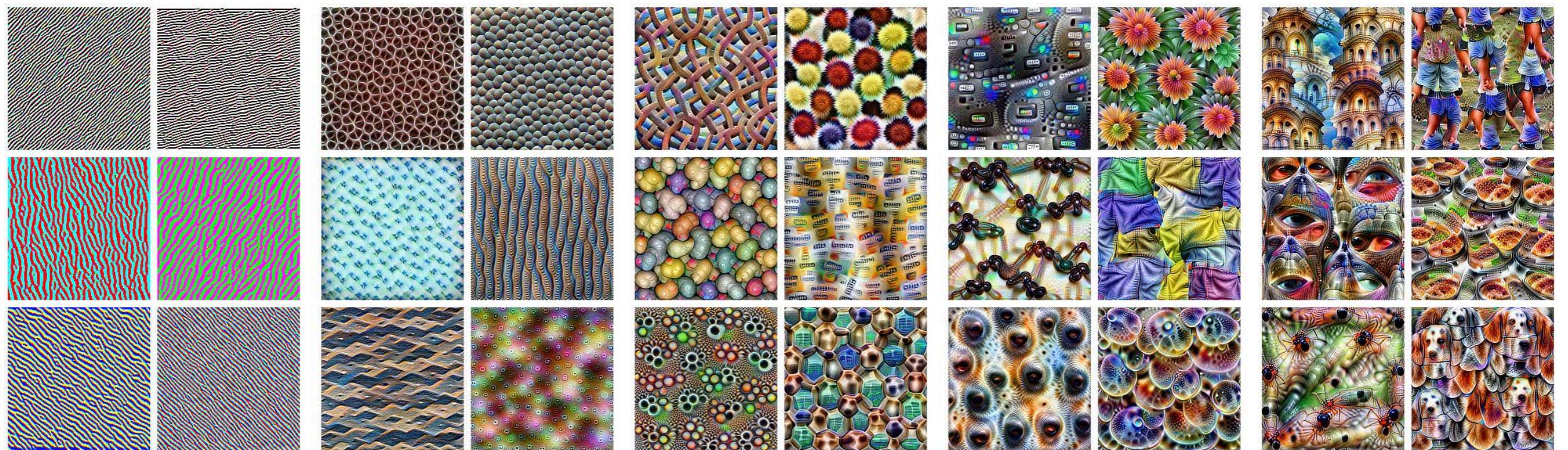
# filter visualization



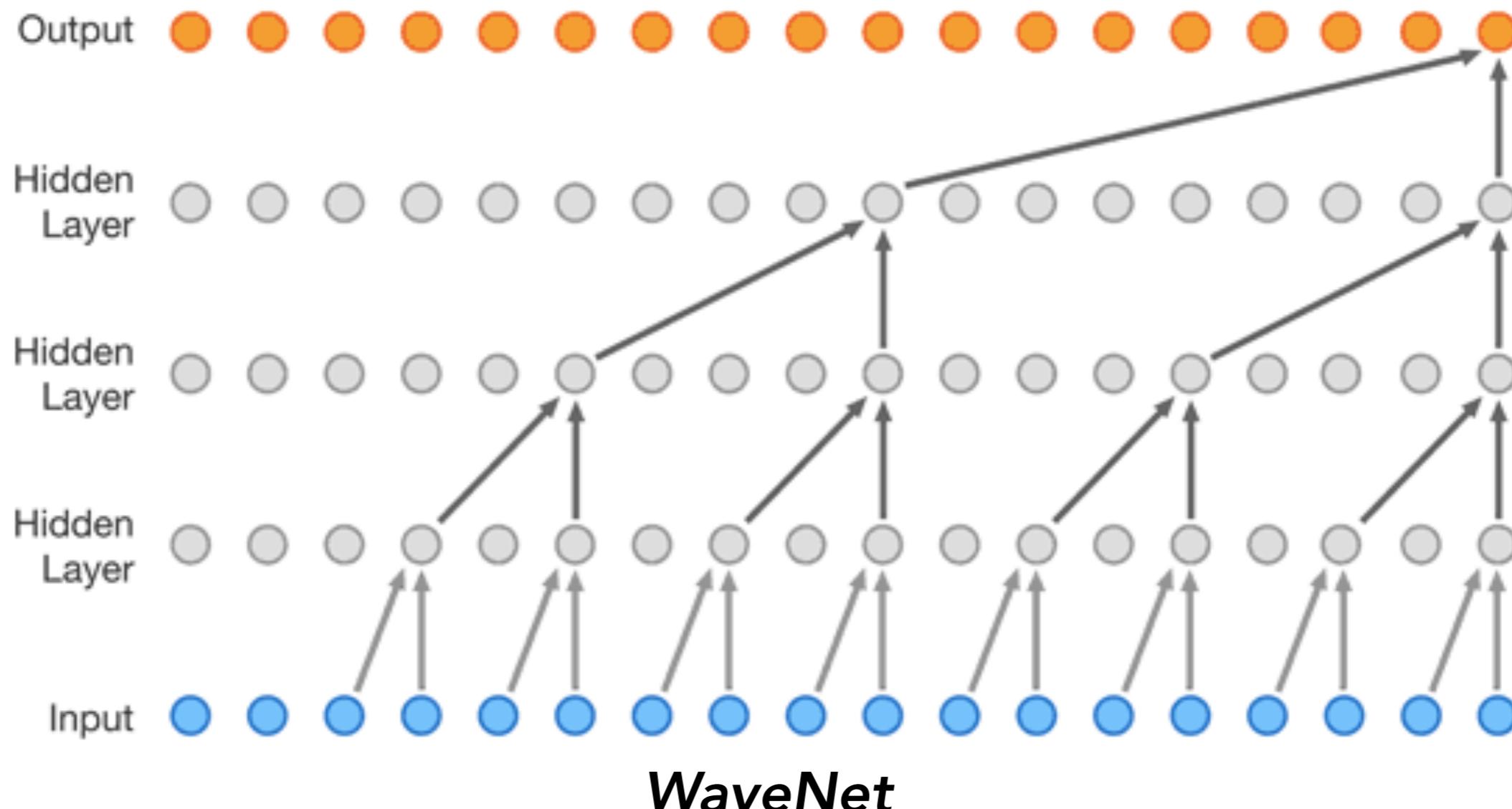
# filter visualization



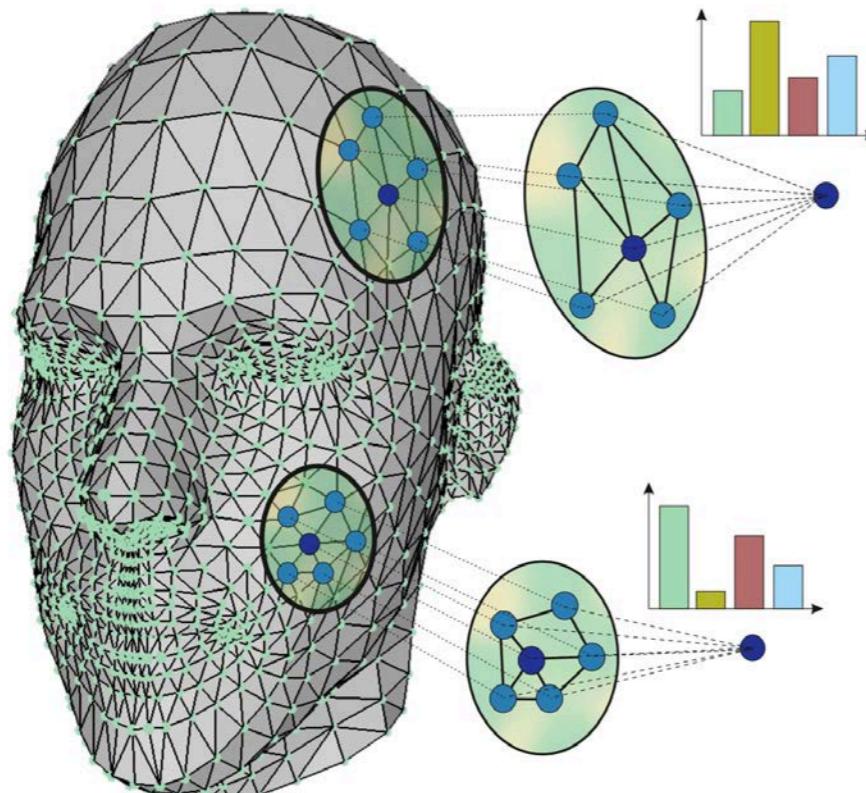
# filter visualization



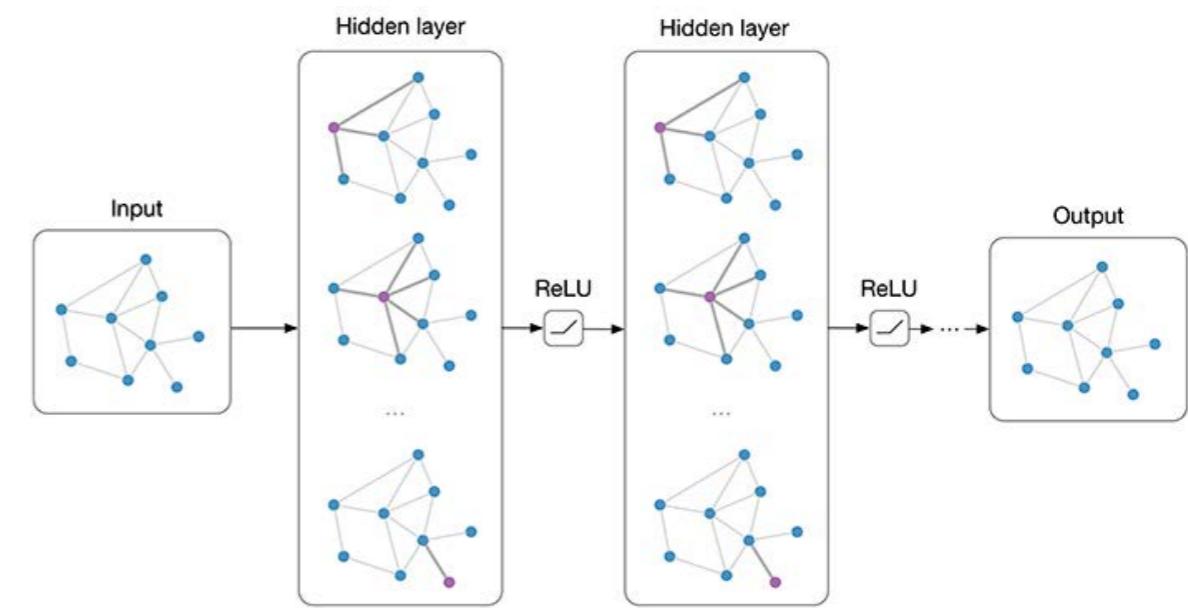
# convolutions applied to sequences



# convolutions in non-euclidean spaces



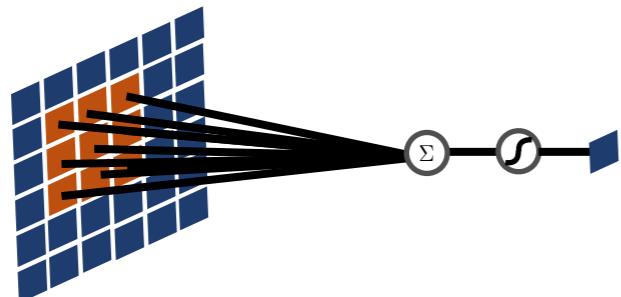
Spline CNN



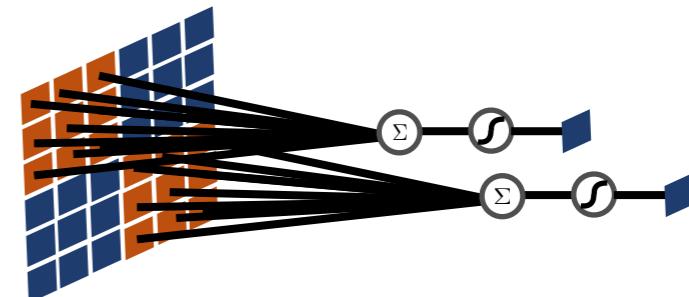
Graph Convolutional Network

# recapitulation

we can exploit spatial structure to impose inductive biases on the model



*locality*



*translation invariance*

this limits the number of parameters required,  
**reducing flexibility in reasonable ways**

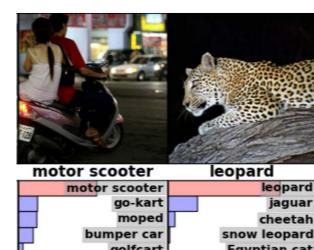
can then scale these models to complex data sets to perform difficult tasks



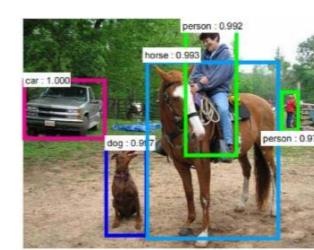
*ImageNet*



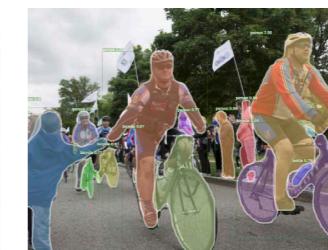
recognition



detection



segmentation

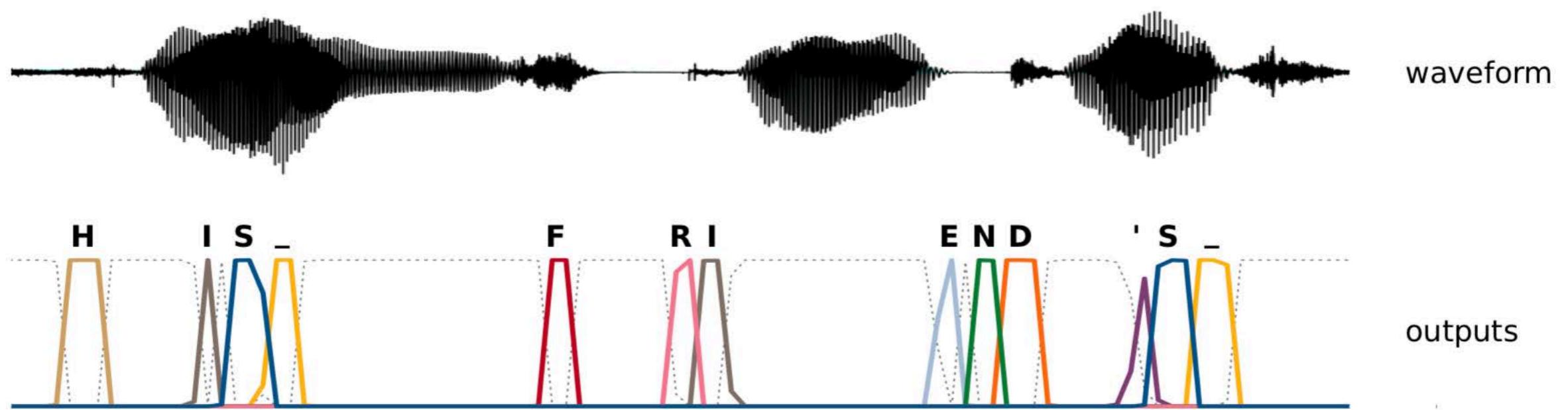


generation



# RECURRENT NEURAL NETWORKS

## task: speech recognition



Graves & Jaitly, 2014

mapping from input waveform to sequence of characters

the input waveform contains all of the information about the corresponding transcribed text



form a discriminative mapping:  $p(\text{text sequence} | \text{---})$

again, there is *nuisance information* in the waveform coming from the speaker's voice characteristics, volume, background, etc.

the mapping is too difficult to  
define by hand,  
*need to learn from data*

data, label collection



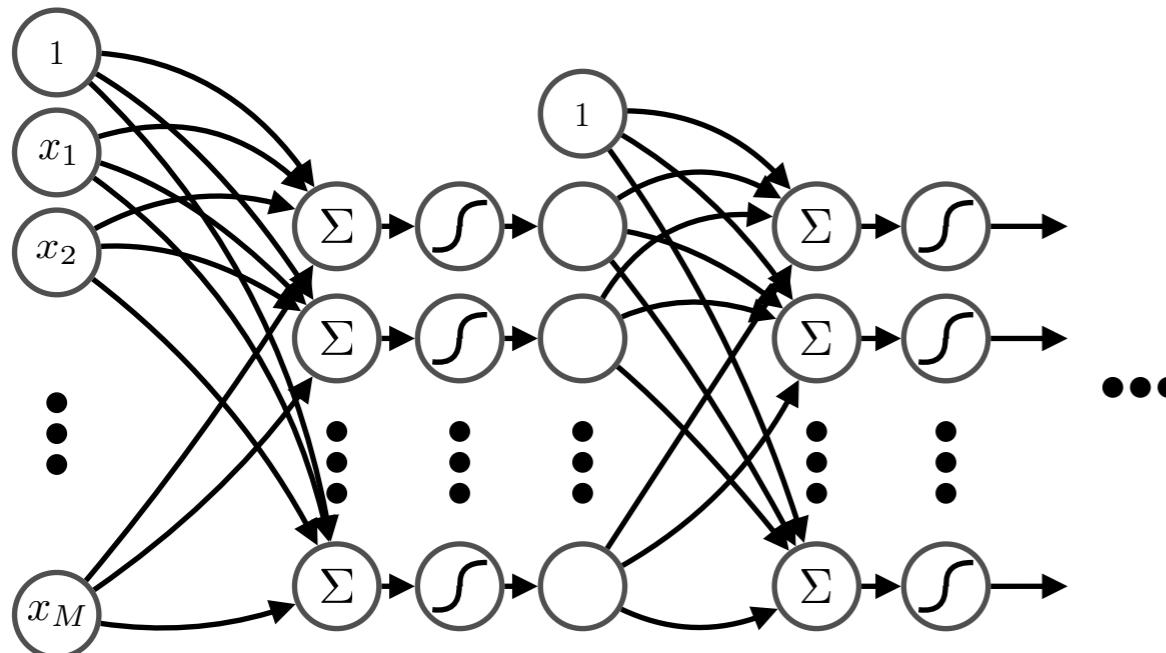
Audio

"OK Google..."

"Hey Siri..."

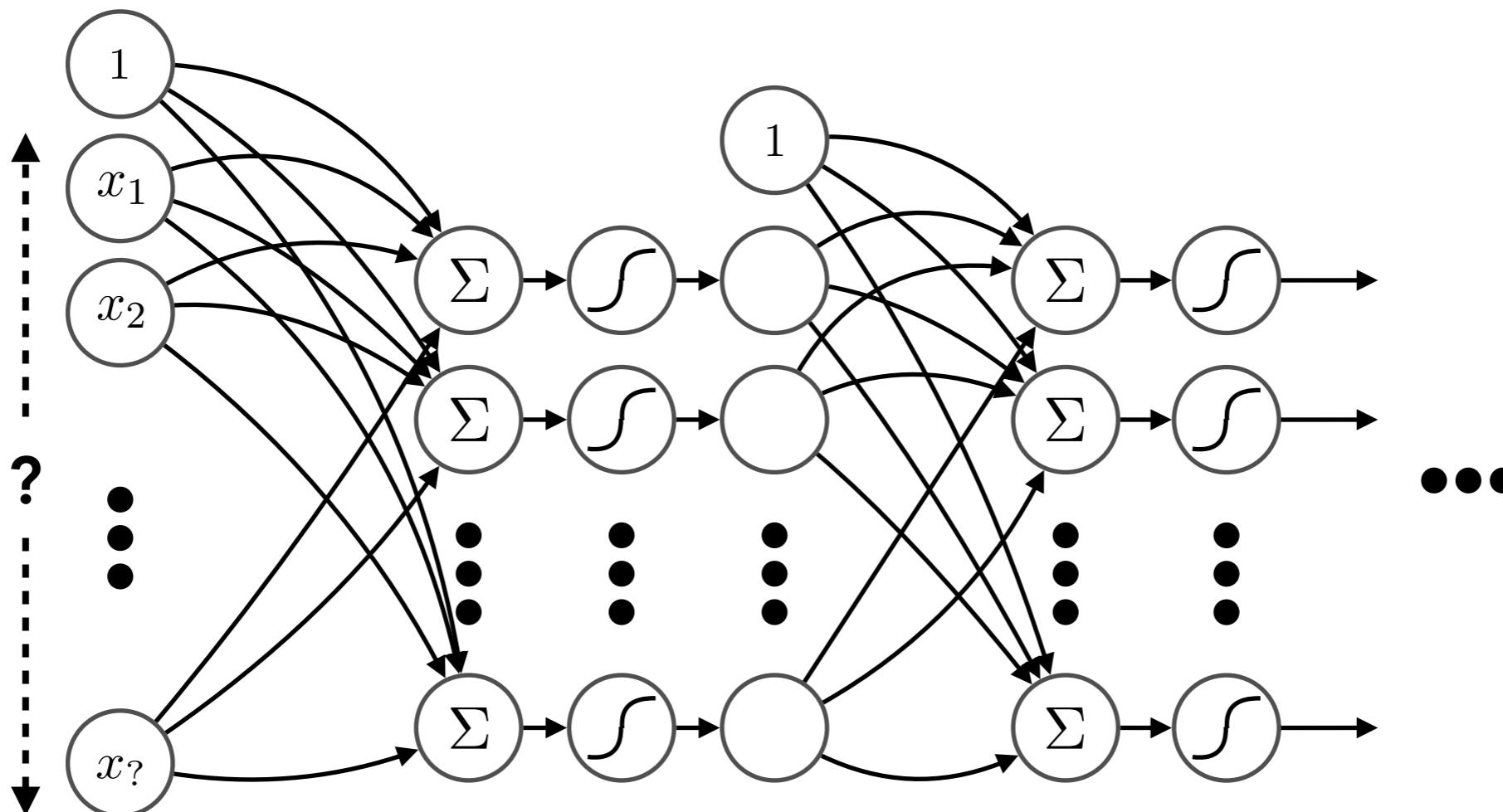
"Yo Alexa..."

Transcriptions



but how do we define  
the network architecture?

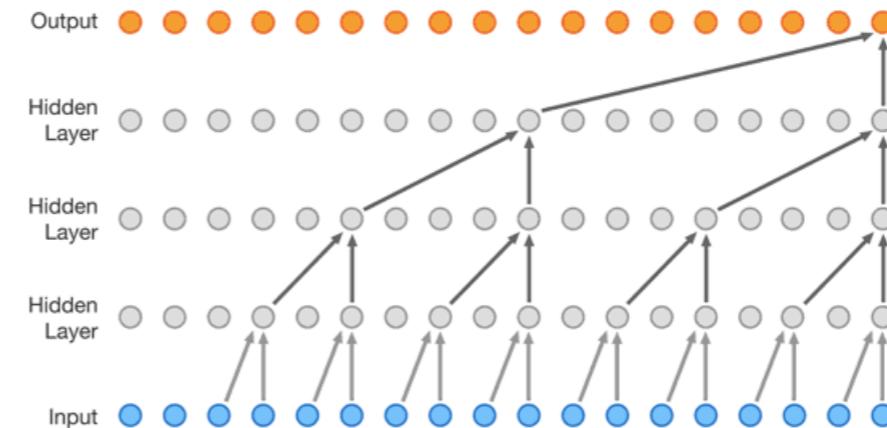
**problem:** inputs can be of variable size



standard neural networks can only handle data of a fixed input size

wait, but convolutional networks can handle variable input sizes...  
can't we just use them?

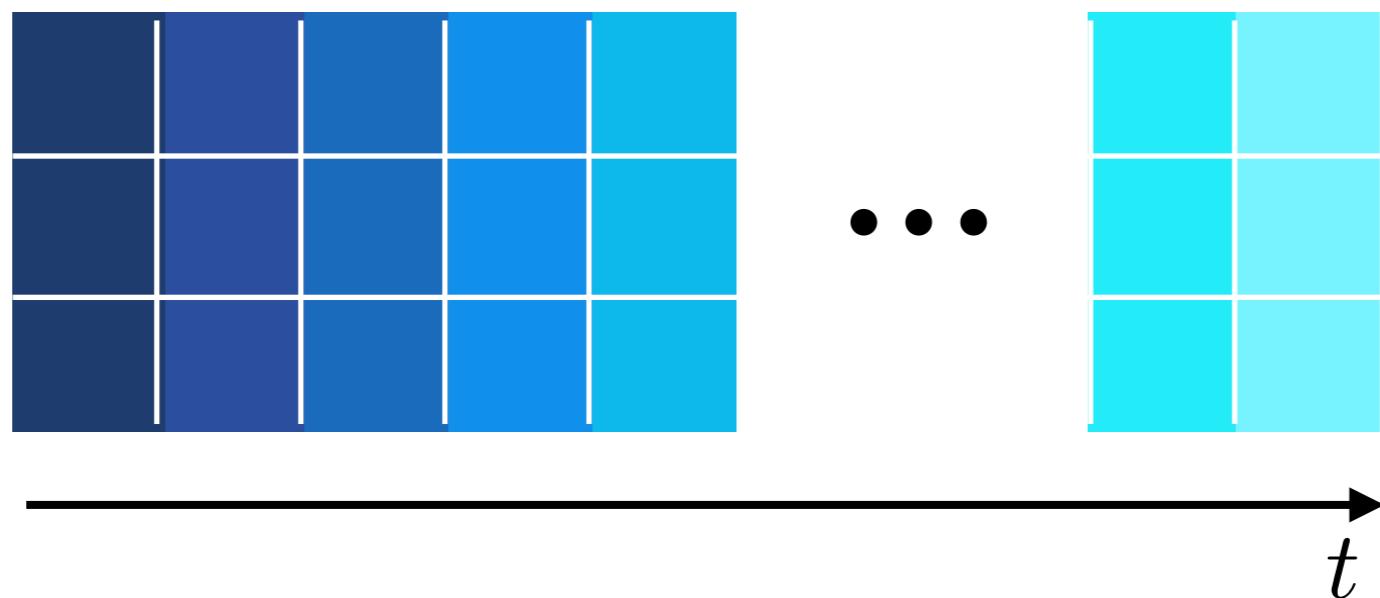
**yes, we could**



however, this relies on a fixed input window size

we may be able to exploit additional structure in sequence data  
to impose better inductive biases

## the structure of sequence data



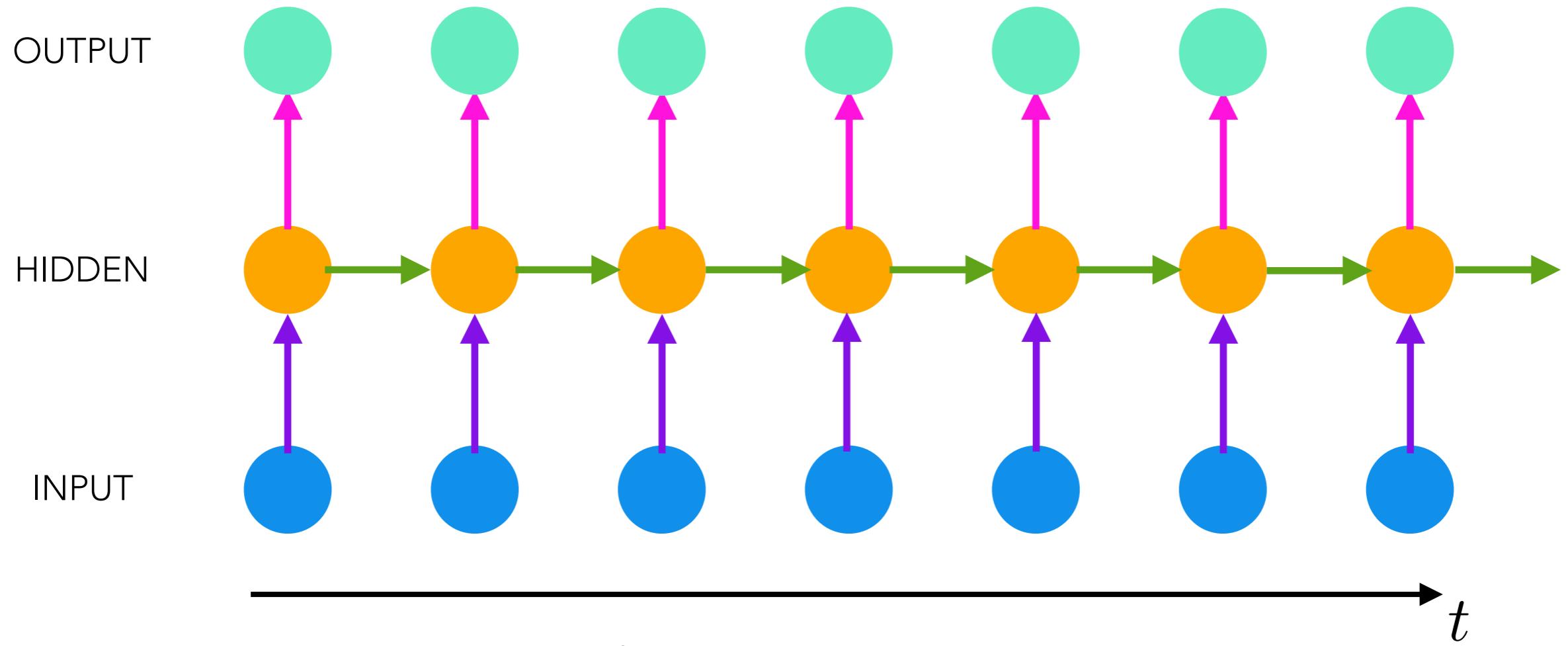
sequence data also tends to obey

**locality**: nearby regions tend to form stronger patterns

**translation invariance**: patterns are relative rather than absolute

but has a single axis on which extended patterns occur

to mirror the sequential structure of the data,  
**we can process the data sequentially**

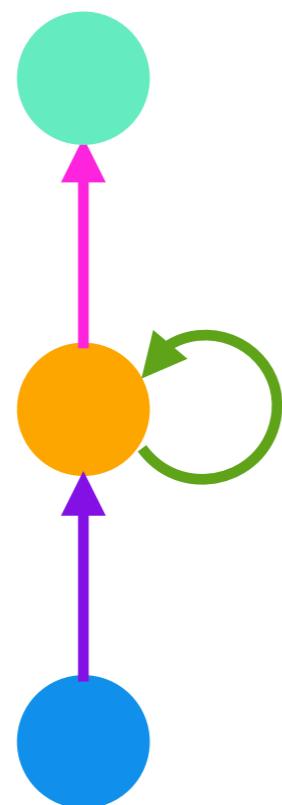


maintain an *internal representation* during processing

→ potentially *infinite* effective input window

→ fixed number of parameters

a **recurrent neural network (RNN)** can be expressed as



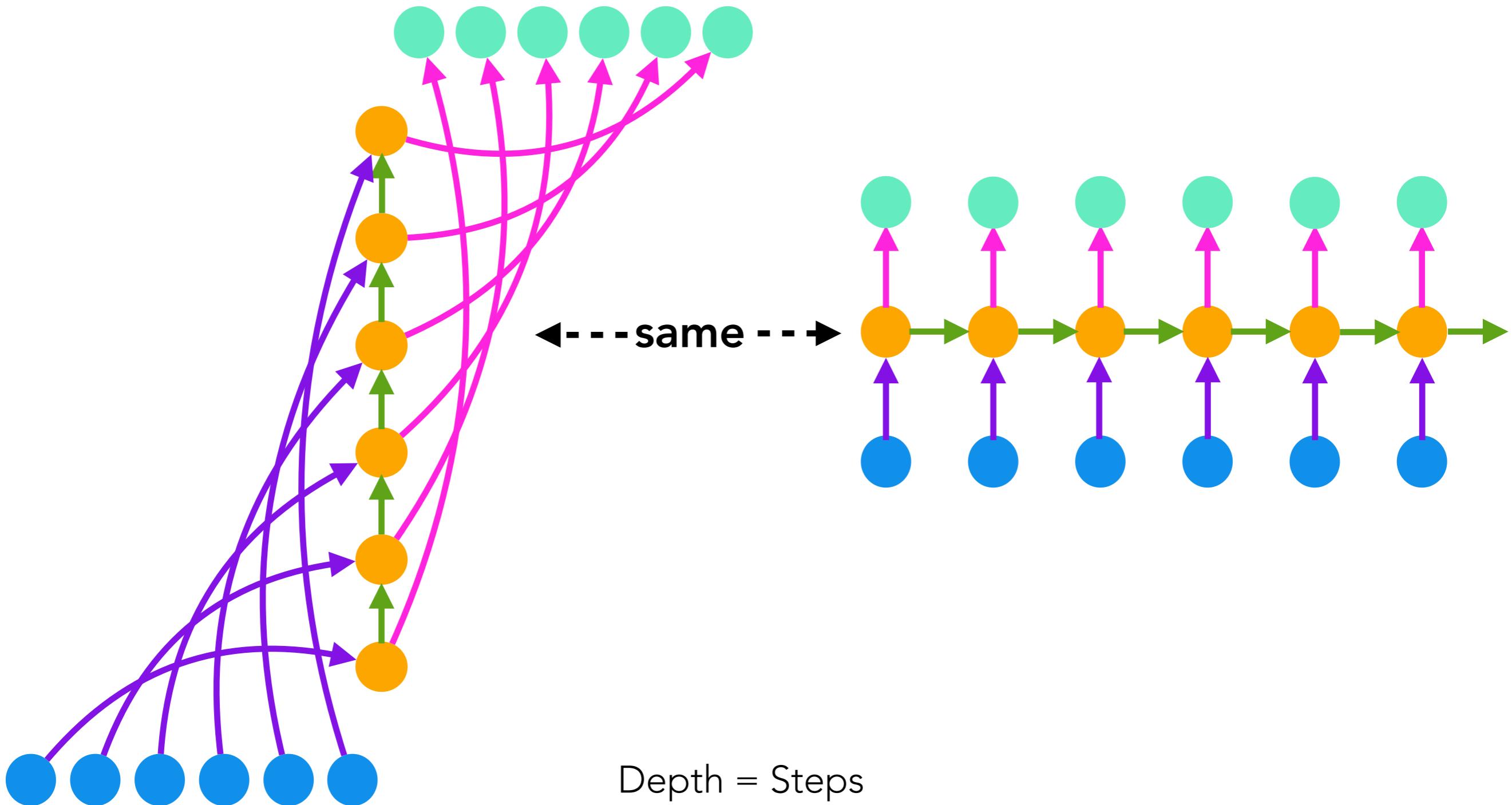
Hidden State

$$\mathbf{h}_t = \sigma(\mathbf{W}_h^\top [\mathbf{h}_{t-1}, \mathbf{x}_t])$$

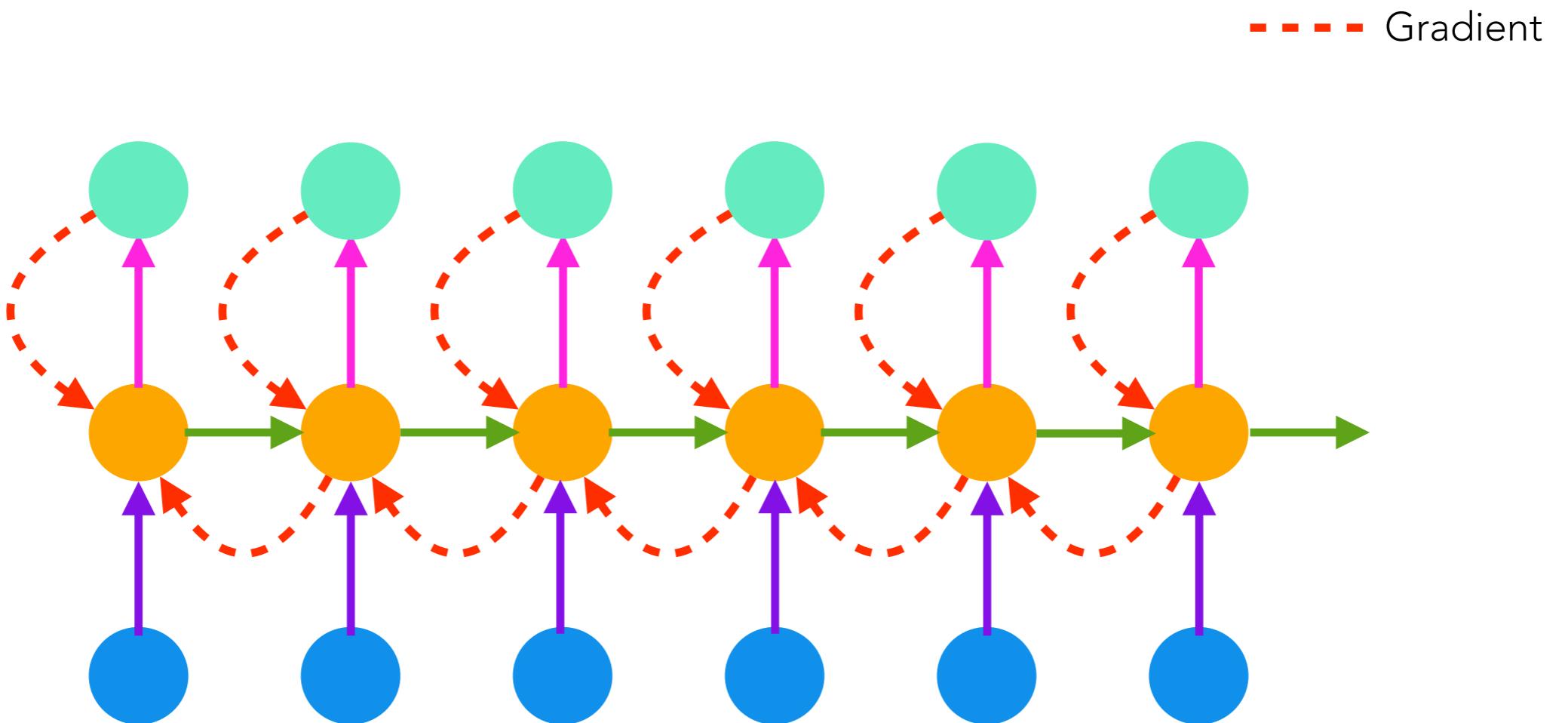
Output

$$\mathbf{y}_t = \sigma(\mathbf{W}_y^\top \mathbf{h}_t)$$

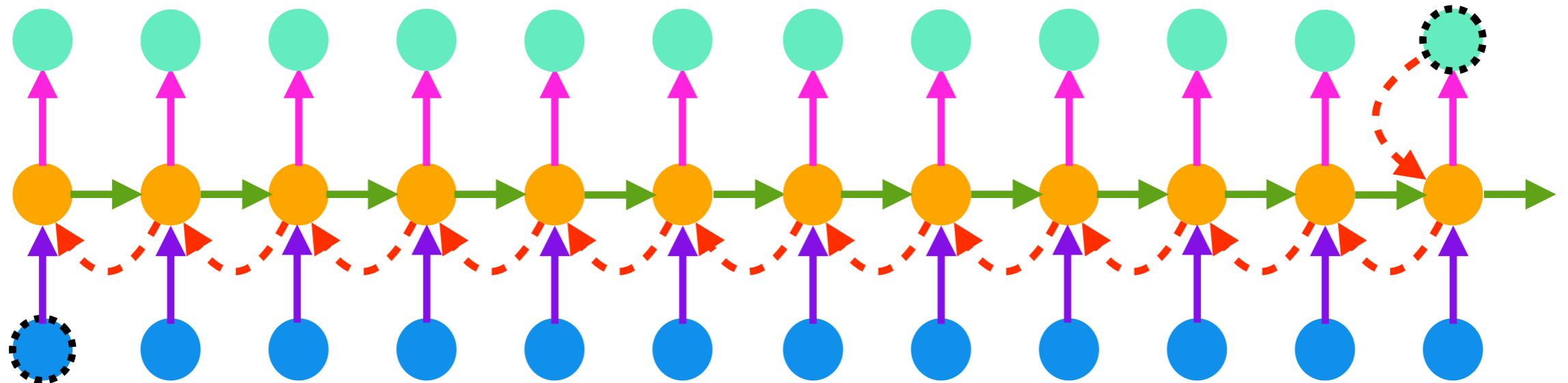
basic recurrent networks are also a **special case**  
of standard neural networks with skip connections and shared weights



therefore, we can use standard backpropagation to train,  
resulting in ***backpropagation through time (BPTT)***



primary difficulty of training RNNs involves propagating information over long horizons

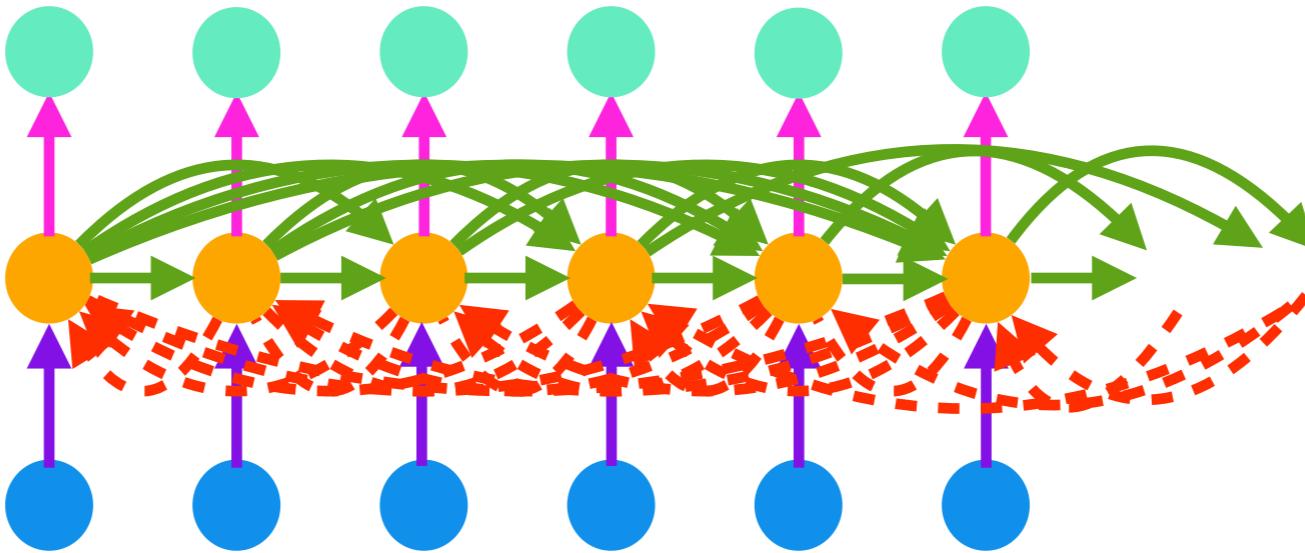


e.g. input at one step is predictive of output at much *later* step

learning extended sequential dependencies  
requires propagating gradients over long horizons

- vanishing / exploding gradients
- large memory/computational footprint

naïve attempt to fix information propagation issue



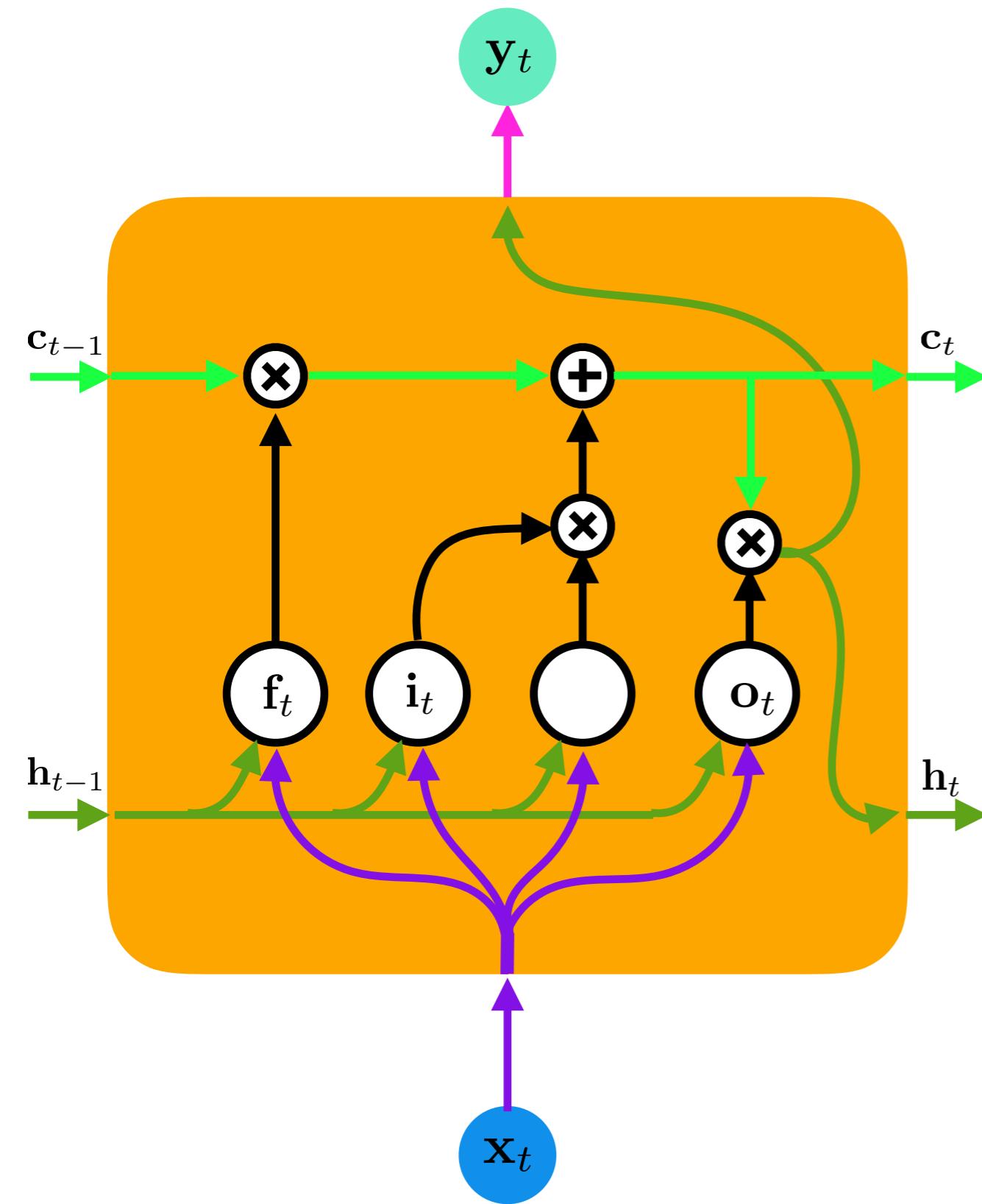
add skip connections across steps

information, gradients can propagate more easily

but...

- increases computation
- must set limit on window size

add trainable ***memory*** to the network  
read from and write to “***cell***” state



## Long Short-Term Memory (LSTM)

Forget Gate

$$f_t = \sigma(W_f^T [h_{t-1}, x_t])$$

Input Gate

$$i_t = \sigma(W_i^T [h_{t-1}, x_t])$$

Cell State

$$c_t = f_t \odot c_{t-1} + i_t \odot \tanh(W_c^T [h_{t-1}, x_t])$$

Output Gate

$$o_t = \sigma(W_o^T [h_{t-1}, x_t])$$

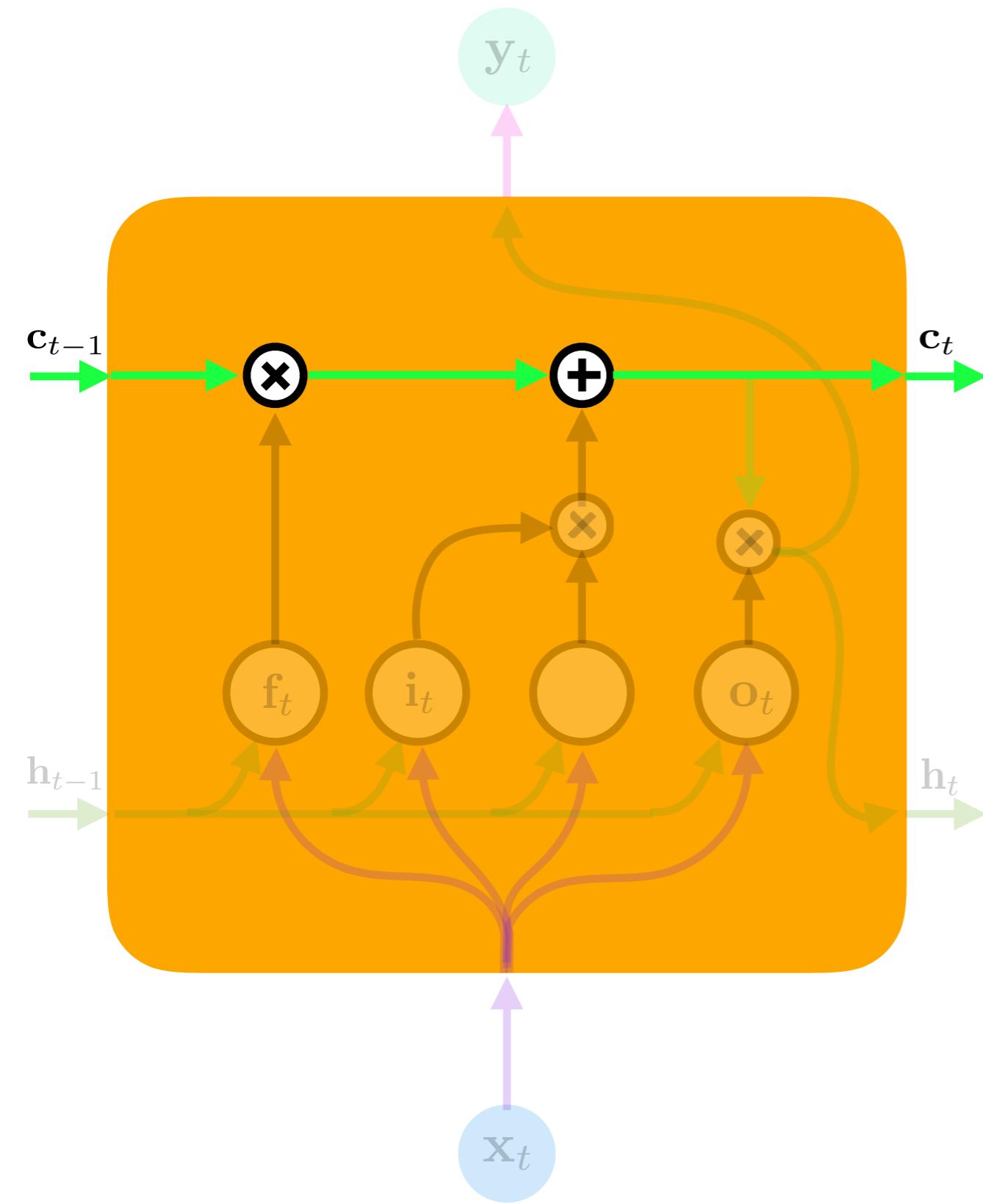
Hidden State

$$h_t = o_t \odot \tanh(c_t)$$

Output

$$y_t = \sigma(W_y^T h_t)$$

add trainable **memory** to the network  
read from and write to “**cell**” state



## Long Short-Term Memory (LSTM)

Forget Gate

$$f_t = \sigma(W_f^\top [h_{t-1}, x_t])$$

Input Gate

$$i_t = \sigma(W_i^\top [h_{t-1}, x_t])$$

**Cell State**

$$c_t = f_t \odot c_{t-1} + i_t \odot \tanh(W_c^\top [h_{t-1}, x_t])$$

Output Gate

$$o_t = \sigma(W_o^\top [h_{t-1}, x_t])$$

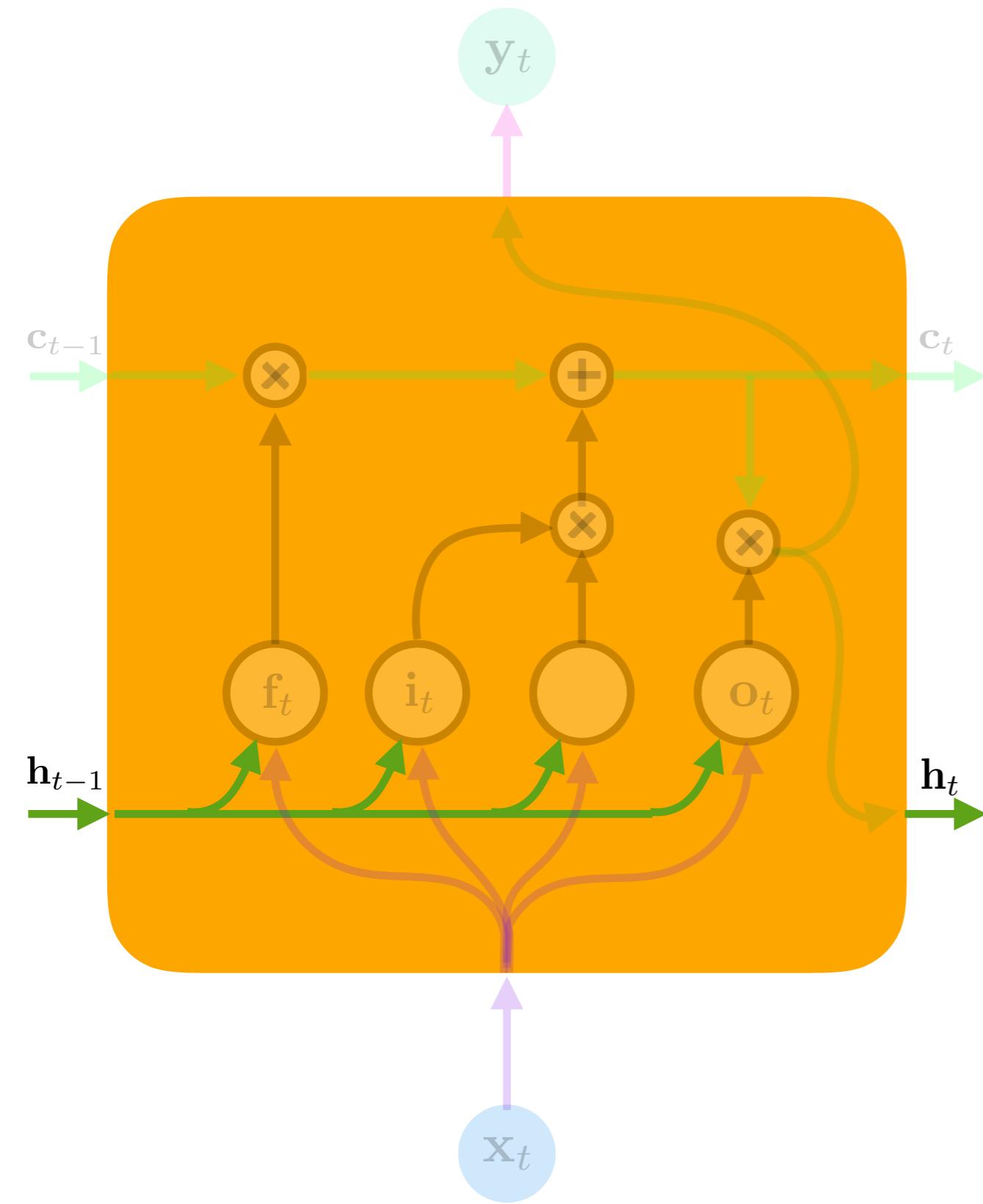
Hidden State

$$h_t = o_t \odot \tanh(c_t)$$

Output

$$y_t = \sigma(W_y^\top h_t)$$

add trainable **memory** to the network  
read from and write to “**cell**” state



## Long Short-Term Memory (LSTM)

Forget Gate

$$f_t = \sigma(W_f^T[h_{t-1}, x_t])$$

Input Gate

$$i_t = \sigma(W_i^T[h_{t-1}, x_t])$$

Cell State

$$c_t = f_t \odot c_{t-1} + i_t \odot \tanh(W_c^T[h_{t-1}, x_t])$$

Output Gate

$$o_t = \sigma(W_o^T[h_{t-1}, x_t])$$

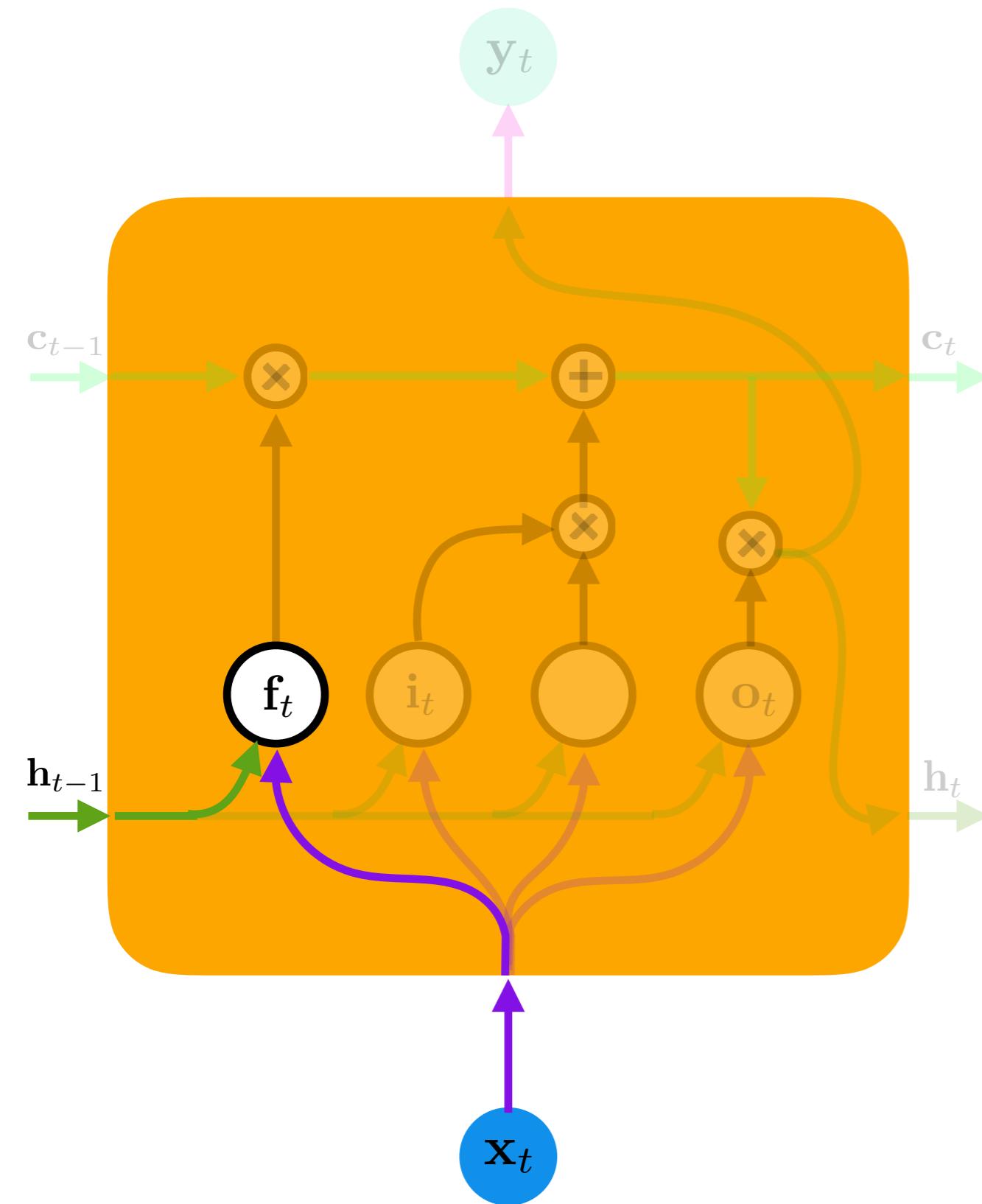
Hidden State

$$h_t = o_t \odot \tanh(c_t)$$

Output

$$y_t = \sigma(W_y^T h_t)$$

add trainable **memory** to the network  
read from and write to “**cell**” state



## Long Short-Term Memory (LSTM)

### Forget Gate

$$f_t = \sigma(W_f^\top [h_{t-1}, x_t])$$

### Input Gate

$$i_t = \sigma(W_i^\top [h_{t-1}, x_t])$$

### Cell State

$$c_t = f_t \odot c_{t-1} + i_t \odot \tanh(W_c^\top [h_{t-1}, x_t])$$

### Output Gate

$$o_t = \sigma(W_o^\top [h_{t-1}, x_t])$$

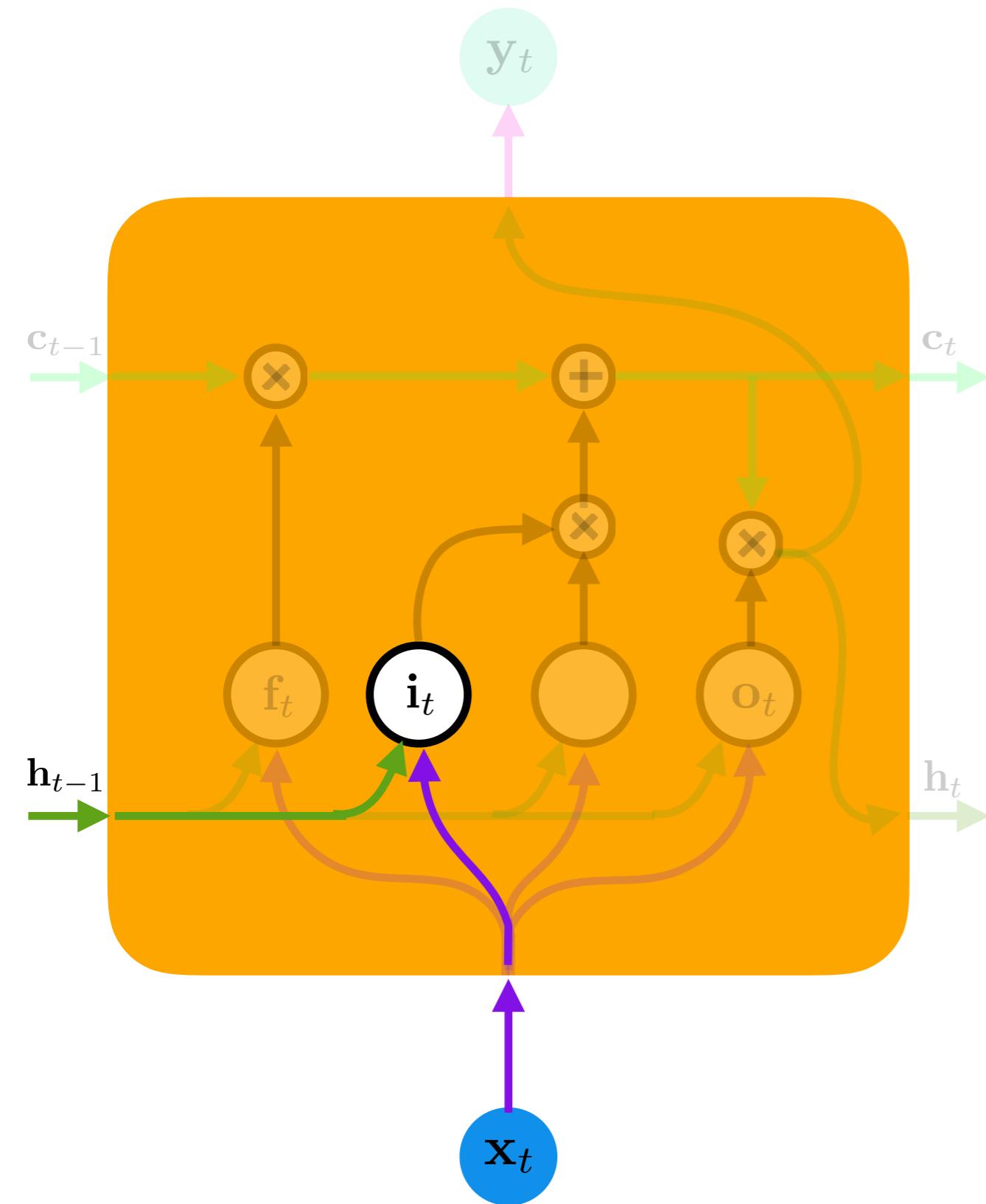
### Hidden State

$$h_t = o_t \odot \tanh(c_t)$$

### Output

$$y_t = \sigma(W_y^\top h_t)$$

add trainable ***memory*** to the network  
read from and write to “***cell***” state



## Long Short-Term Memory (LSTM)

Forget Gate

$$f_t = \sigma(W_f^\top [h_{t-1}, x_t])$$

**Input Gate**

$$i_t = \sigma(W_i^\top [h_{t-1}, x_t])$$

Cell State

$$c_t = f_t \odot c_{t-1} + i_t \odot \tanh(W_c^\top [h_{t-1}, x_t])$$

Output Gate

$$o_t = \sigma(W_o^\top [h_{t-1}, x_t])$$

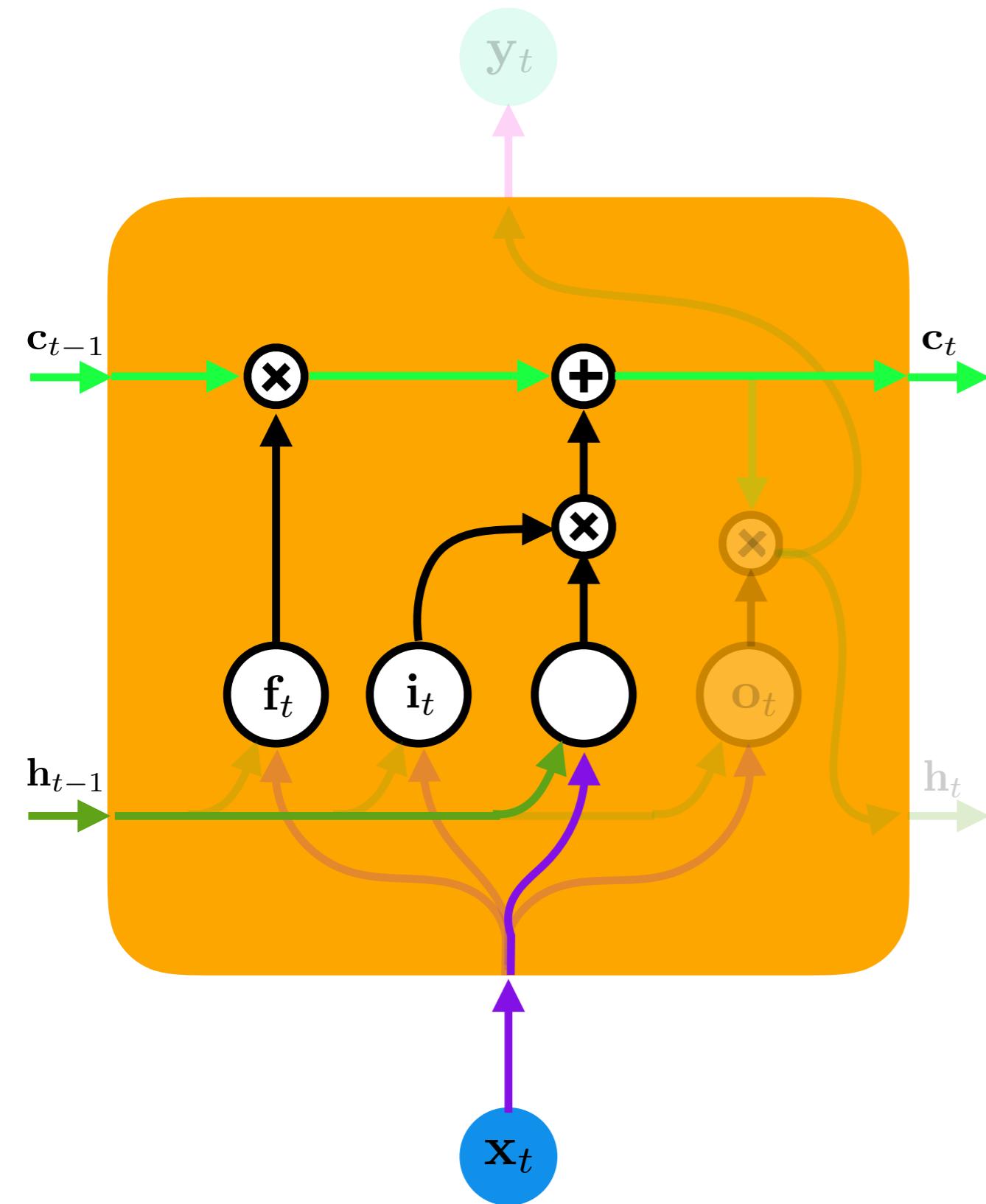
Hidden State

$$h_t = o_t \odot \tanh(c_t)$$

Output

$$y_t = \sigma(W_y^\top h_t)$$

add trainable **memory** to the network  
read from and write to “**cell**” state



## Long Short-Term Memory (LSTM)

Forget Gate

$$f_t = \sigma(W_f^\top [h_{t-1}, x_t])$$

Input Gate

$$i_t = \sigma(W_i^\top [h_{t-1}, x_t])$$

Cell State

$$c_t = f_t \odot c_{t-1} + i_t \odot \tanh(W_c^\top [h_{t-1}, x_t])$$

Output Gate

$$o_t = \sigma(W_o^\top [h_{t-1}, x_t])$$

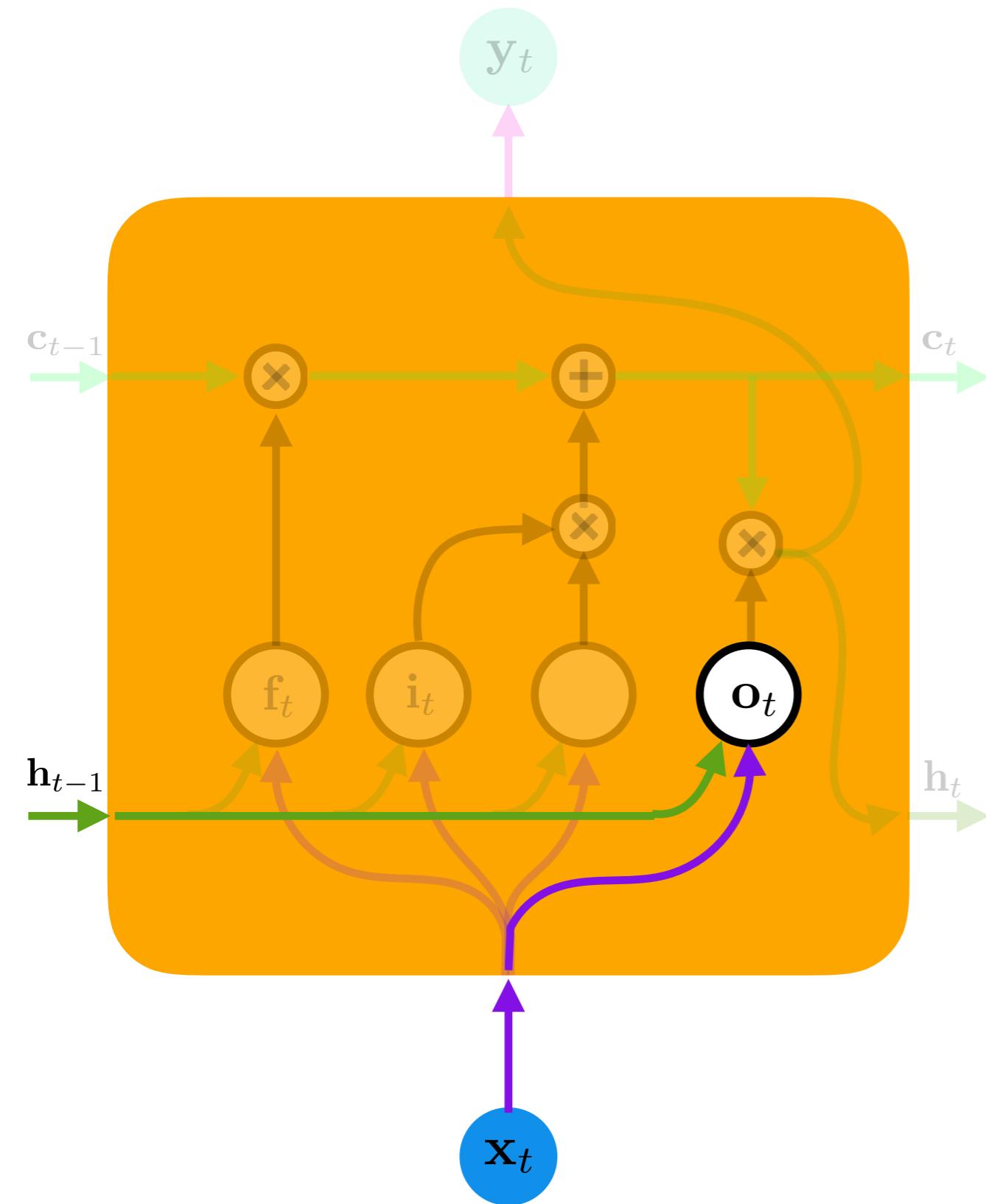
Hidden State

$$h_t = o_t \odot \tanh(c_t)$$

Output

$$y_t = \sigma(W_y^\top h_t)$$

add trainable ***memory*** to the network  
read from and write to “***cell***” state



## Long Short-Term Memory (LSTM)

Forget Gate

$$f_t = \sigma(W_f^\top [h_{t-1}, x_t])$$

Input Gate

$$i_t = \sigma(W_i^\top [h_{t-1}, x_t])$$

Cell State

$$c_t = f_t \odot c_{t-1} + i_t \odot \tanh(W_c^\top [h_{t-1}, x_t])$$

**Output Gate**

$$o_t = \sigma(W_o^\top [h_{t-1}, x_t])$$

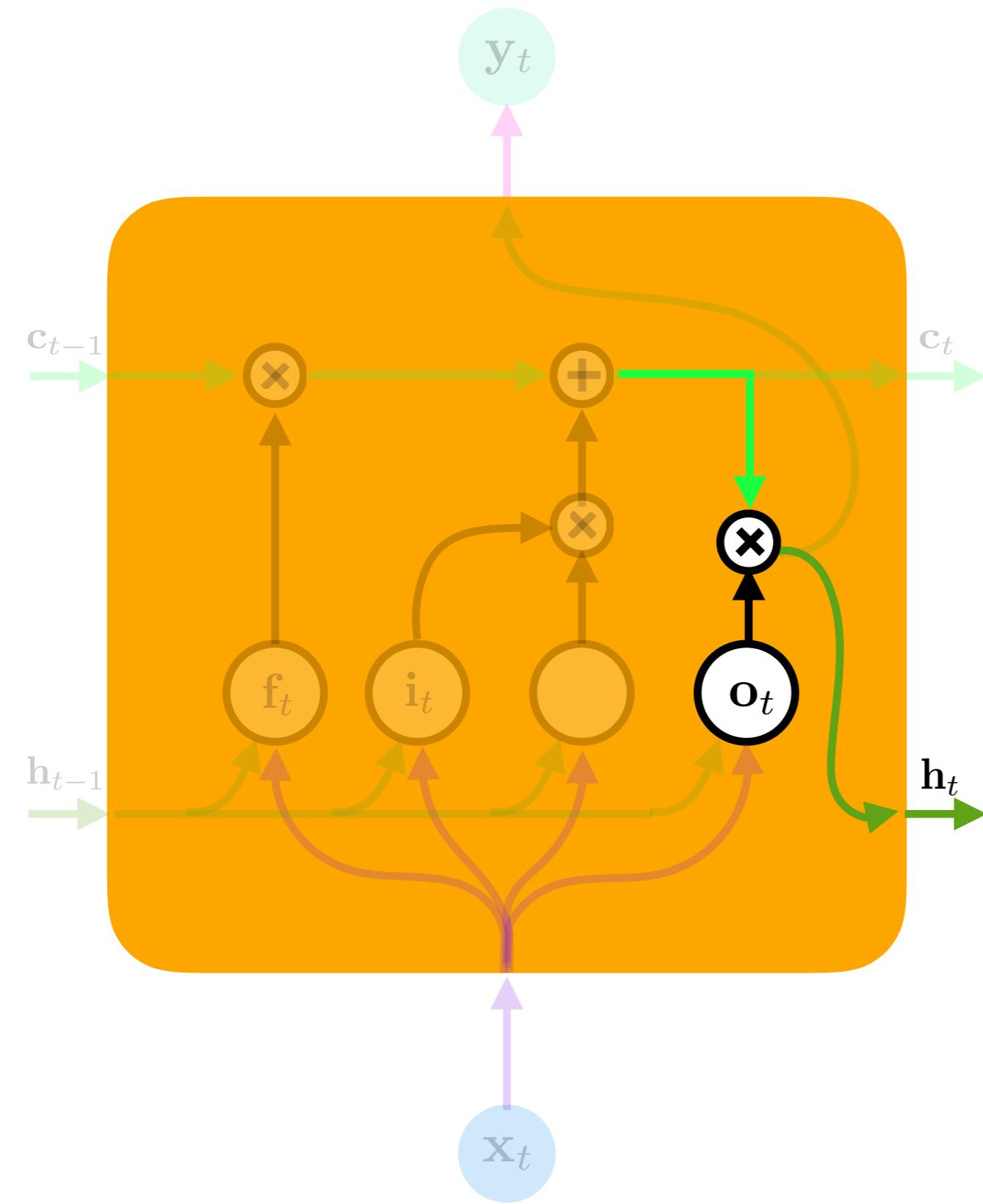
Hidden State

$$h_t = o_t \odot \tanh(c_t)$$

Output

$$y_t = \sigma(W_y^\top h_t)$$

add trainable **memory** to the network  
read from and write to “**cell**” state



## Long Short-Term Memory (LSTM)

Forget Gate

$$f_t = \sigma(W_f^\top [h_{t-1}, x_t])$$

Input Gate

$$i_t = \sigma(W_i^\top [h_{t-1}, x_t])$$

Cell State

$$c_t = f_t \odot c_{t-1} + i_t \odot \tanh(W_c^\top [h_{t-1}, x_t])$$

Output Gate

$$o_t = \sigma(W_o^\top [h_{t-1}, x_t])$$

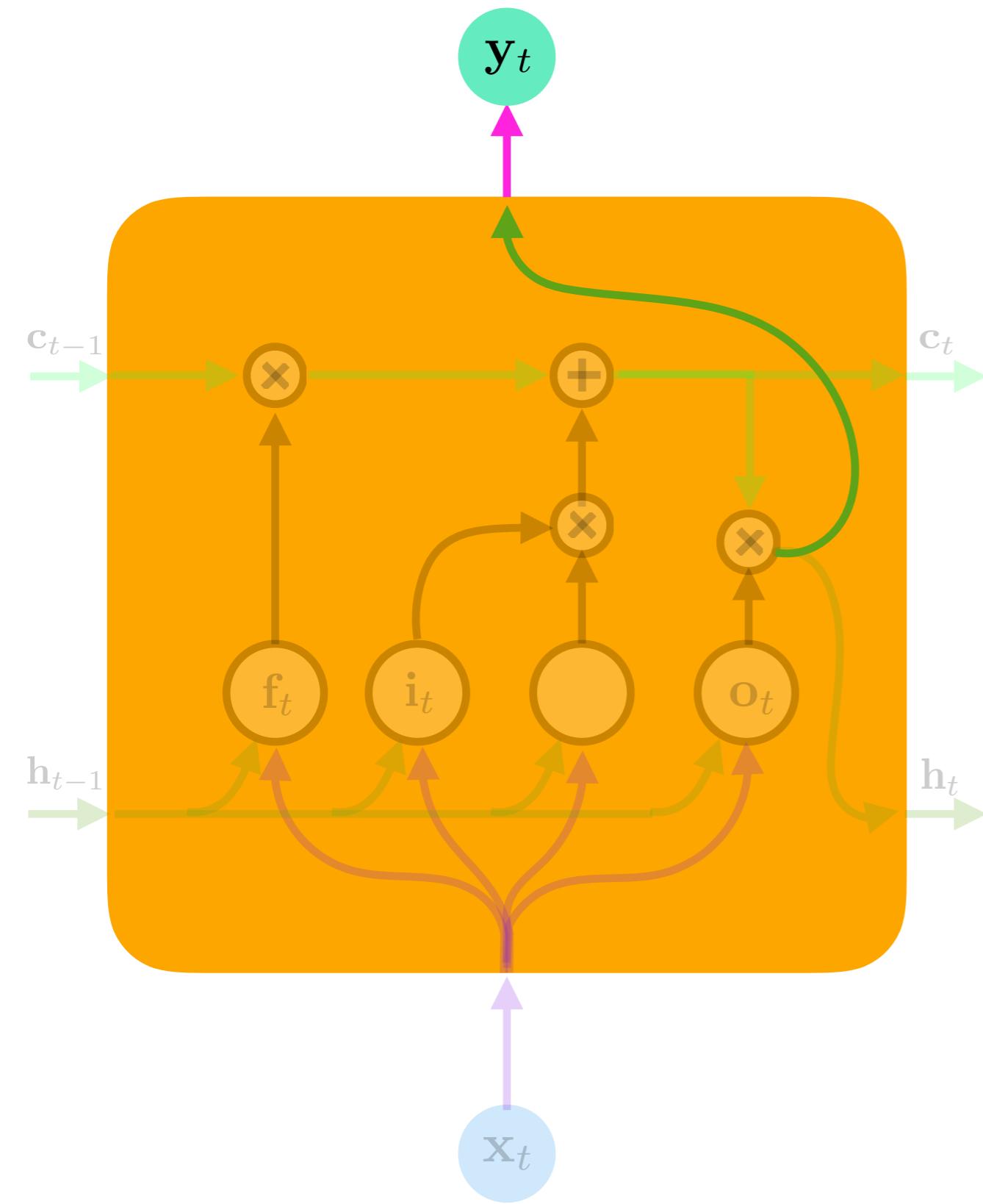
Hidden State

$$h_t = o_t \odot \tanh(c_t)$$

Output

$$y_t = \sigma(W_y^\top h_t)$$

add trainable ***memory*** to the network  
read from and write to “***cell***” state



## Long Short-Term Memory (LSTM)

Forget Gate

$$f_t = \sigma(W_f^\top [h_{t-1}, x_t])$$

Input Gate

$$i_t = \sigma(W_i^\top [h_{t-1}, x_t])$$

Cell State

$$c_t = f_t \odot c_{t-1} + i_t \odot \tanh(W_c^\top [h_{t-1}, x_t])$$

Output Gate

$$o_t = \sigma(W_o^\top [h_{t-1}, x_t])$$

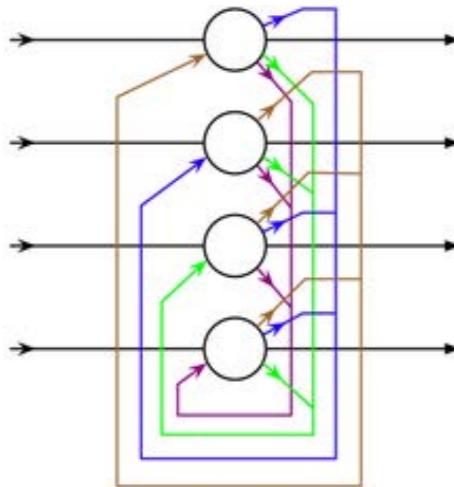
Hidden State

$$h_t = o_t \odot \tanh(c_t)$$

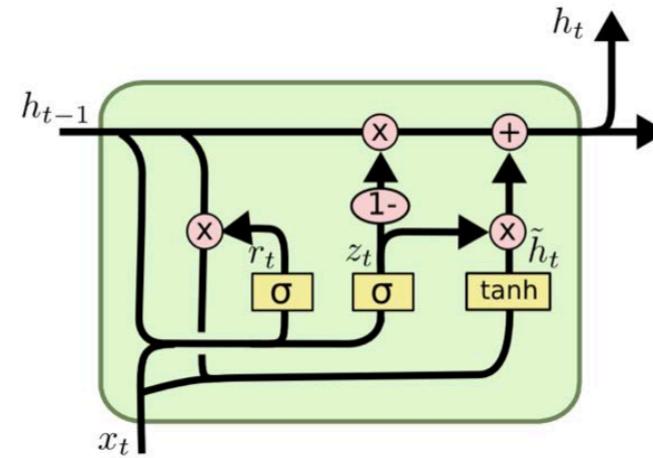
Output

$$y_t = \sigma(W_y^\top h_t)$$

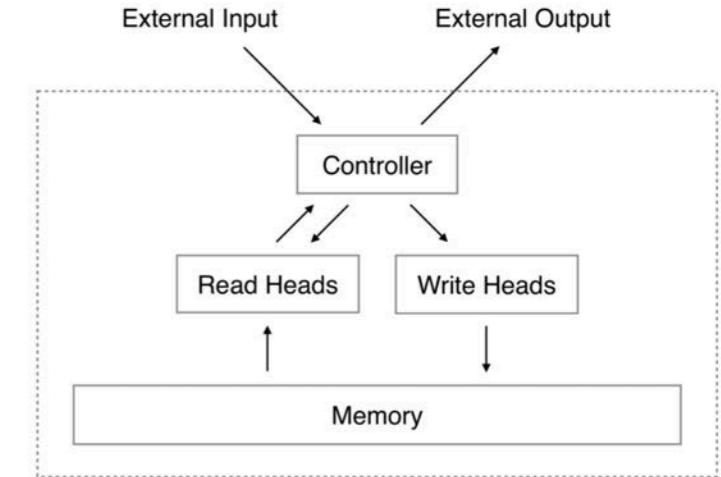
# memory networks



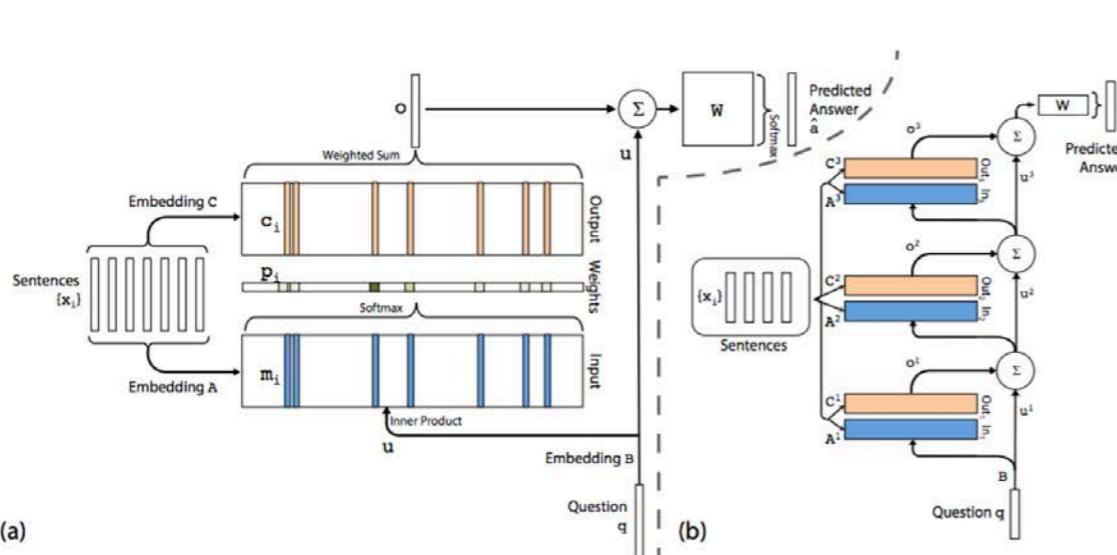
Hopfield Network  
Hopfield, 1982



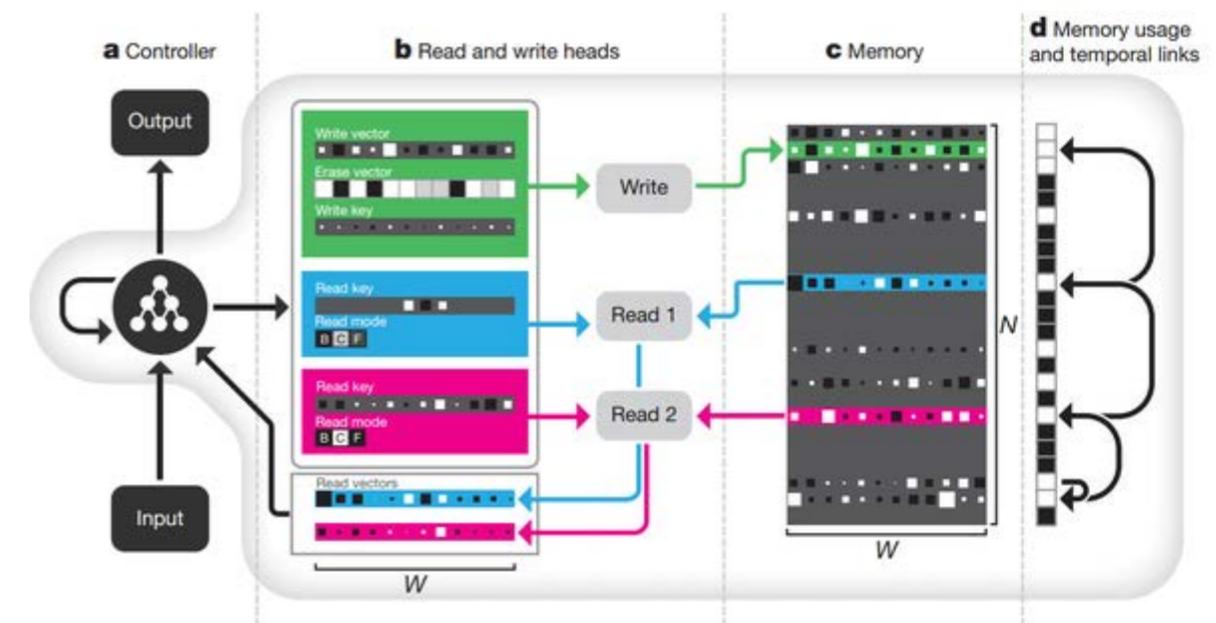
Gated Recurrent Unit (GRU)  
Cho et al., 2014



Neural Turing Machine (NTM)  
Graves et al., 2014

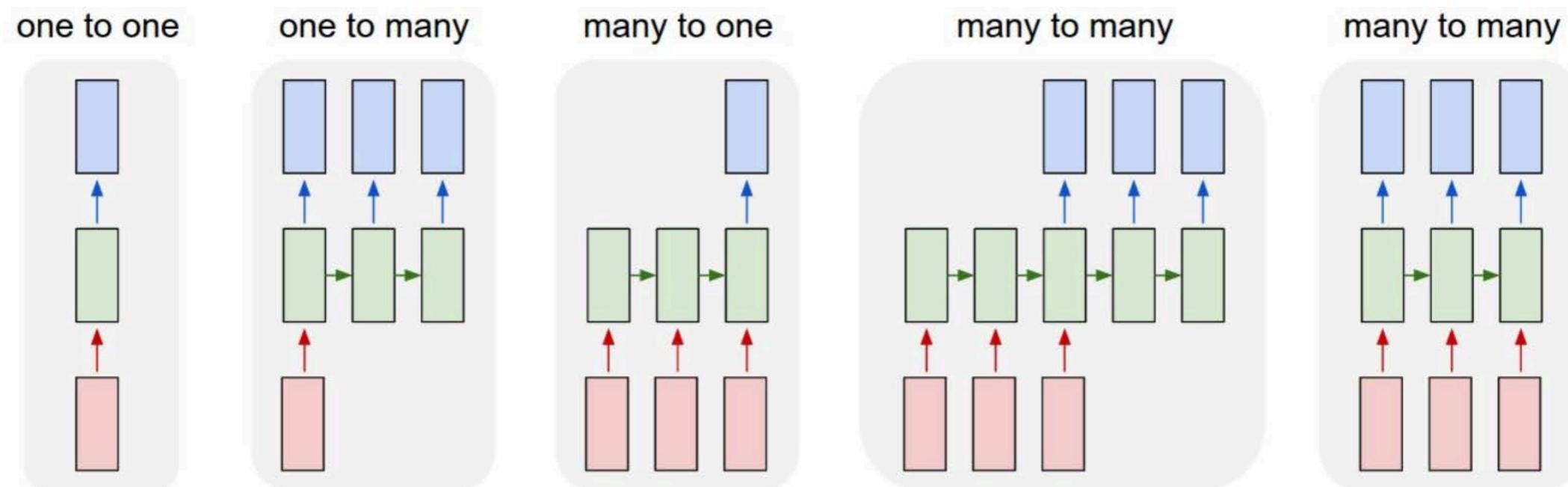


Memory Networks (MemNN)  
Weston et al., 2015

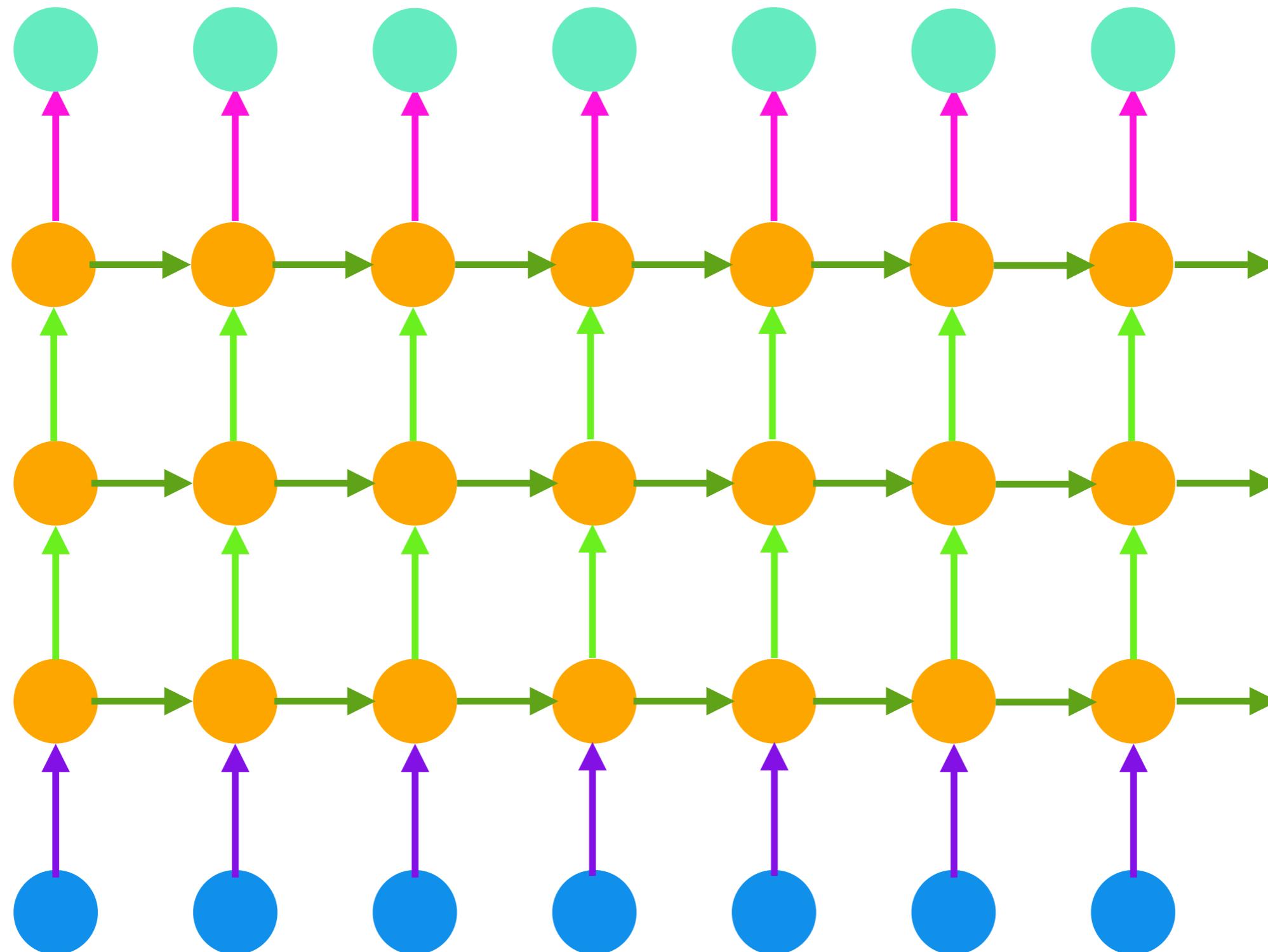


Differentiable Neural Computer (DNC)  
Graves, Wayne, et al., 2016

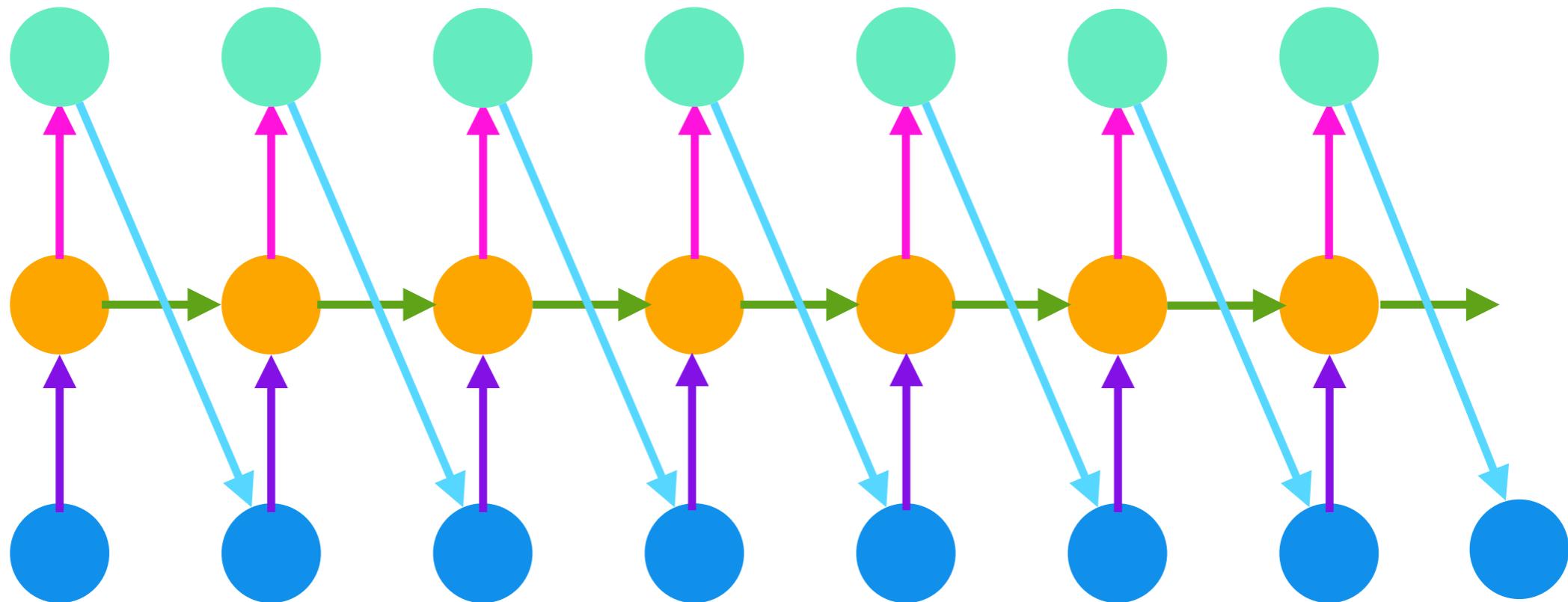
*tons of options!*



# *deep* recurrent neural networks



auto-regressive generative modeling



*output becomes next input*

# auto-regressive generative language modeling

PANDARUS:

Alas, I think he shall be come approached and the day  
When little strain would be attain'd into being never fed,  
And who is but a chain and subjects of his death,  
I should not sleep.

Second Senator:

They are away this miseries, produced upon my soul,  
Breaking and strongly should be buried, when I perish  
The earth and thoughts of many states.

DUKE VINCENTIO:

Well, your wit is in the care of side and that.

Second Lord:

They would be ruled after this chamber, and  
my fair nues begun out of the fact, to be conveyed,  
Whose noble souls I'll have the heart of the wars.

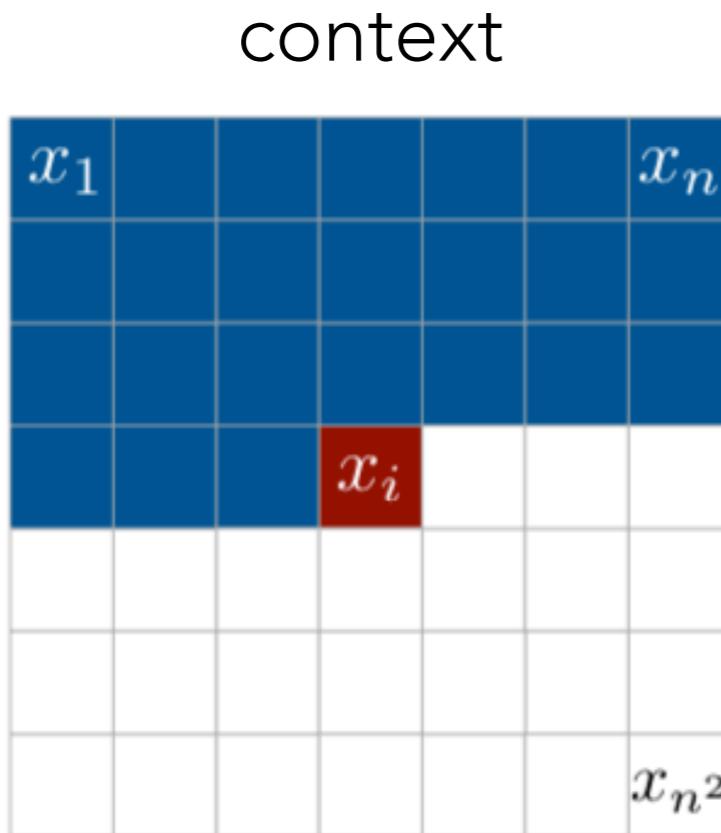
Clown:

Come, sir, I will make did behold your worship.

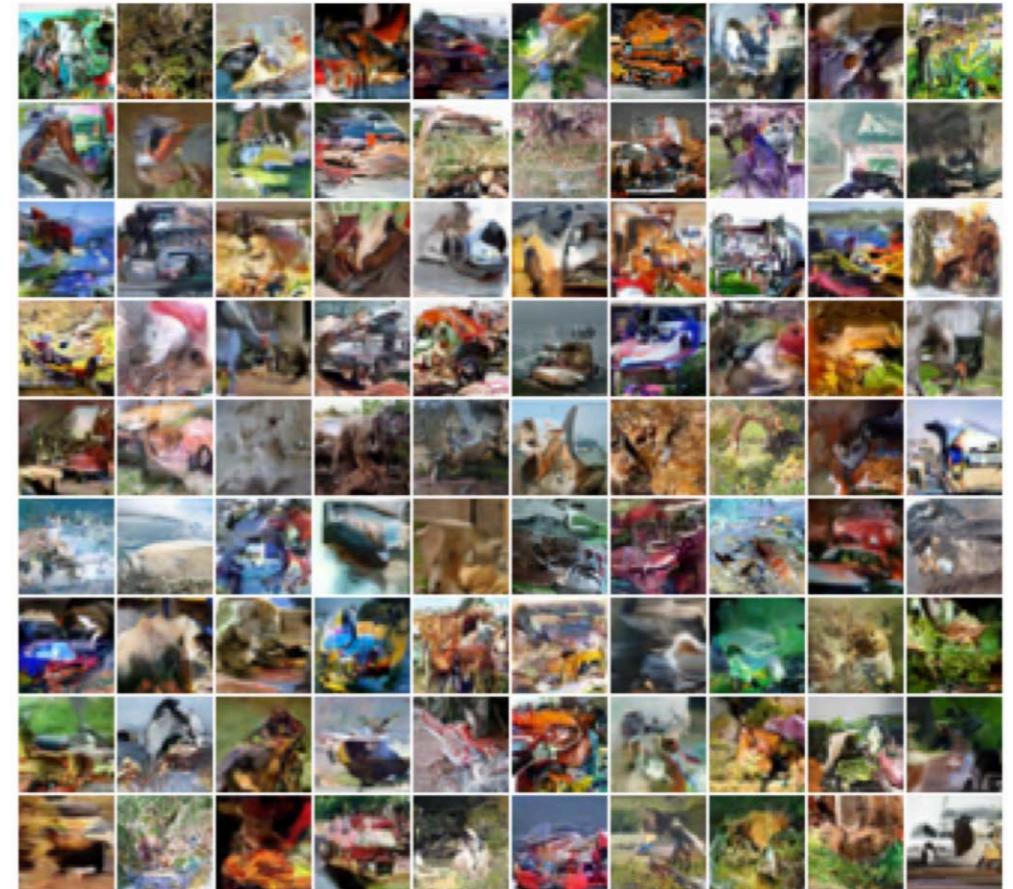
VIOLA:

I'll drink it.

**Pixel RNN** uses recurrent networks to perform  
auto-regressive image generation



generated samples



condition the generation of each pixel on a sequence of past pixels

# RECAP

## recapitulation

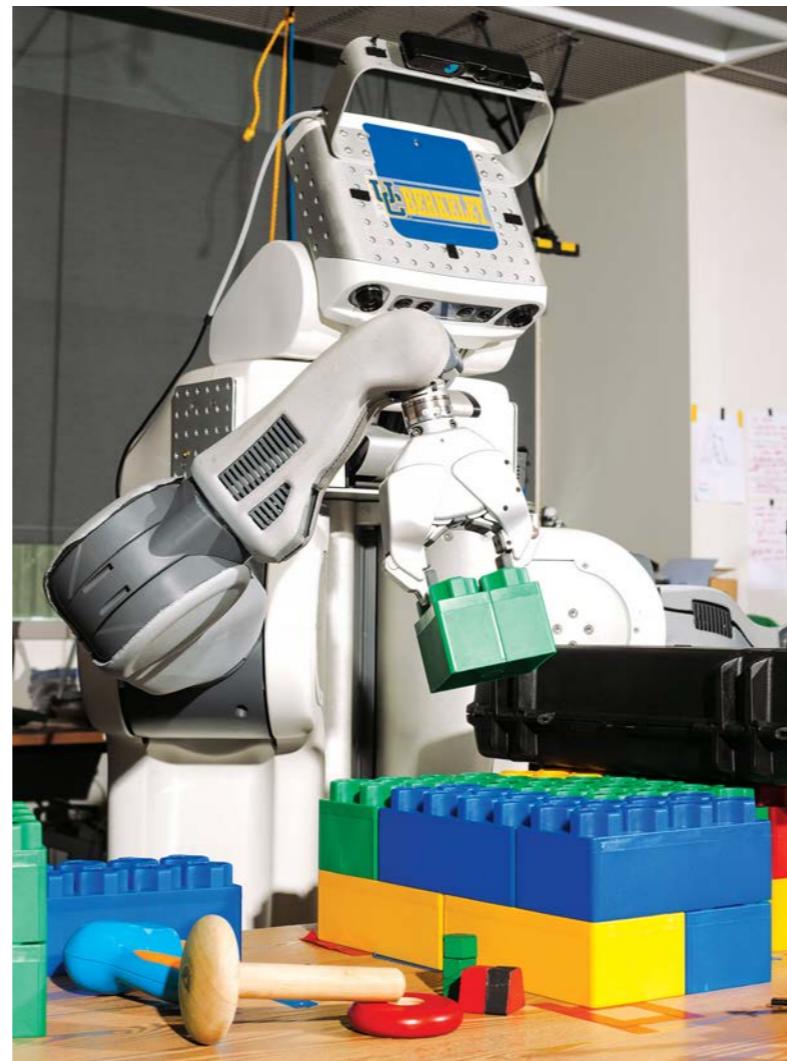
we used additional priors (inductive biases) to  
***scale deep networks up to handle spatial and sequential data***



without these priors, we would need  
more parameters and data

we live in a **spatiotemporal** world

we are constantly getting streams of spatial sensory inputs



(embodied) intelligent machines need to learn from  
spatial and temporal patterns

CNNs and RNNs are building blocks for machines that can use spatiotemporal data to solve tasks

