

# CS155 Set 6

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# 1 Problem 1

## 1.1 Problem A

### 1.1.1 Part i

$$p(x|y = c) = p\left((x_1|y = c), (x_2|y = c), (x_3|y = c) \dots (x_j|y = c)\right)$$

$$p(x|y = c) = \prod_{j=1}^D \theta_{x_j c}$$

This requires  $O(DC)$  parameters to be stored.

### 1.1.2 Part ii

We would need to store  $O(D2^D C)$  parameters because we have to store one parameter for every combination of other parameters and then multiply this by the number of values of C. This is drastically more parameters to store.

## 1.2 Problem B

The Naive Bayes model has fewer parameters, and for a small training set it will be less prone to overfitting. The Naive Bayes will have lower test set error.

## 1.3 Problem C

If the sample size N is very large, then the full model will have lower test set error. The larger number of parameters will be able to better capture the large sample size, and it will not have the same overfitting problem if the training set is large enough.

## 1.4 Problem D

Making a prediction with Naive Bayes requires multiplying D parameters together and there are C classes, making this a  $O(DC)$  operation. In the complete case, we must multiply D parameters together, making it a  $O(D)$  operation.

## 2 Problem 2

### 2.1 Problem A

```
#####
Running Code For Question 2A
#####

File #0:
Emission Sequence      Max Probability State Sequence
#####
25421                  31033
01232367534           22222100310
5452674261527433      103100310322222
7226213164512267255   1310331000033100310
0247120602352051010255241 2222222222222222222103

File #1:
Emission Sequence      Max Probability State Sequence
#####
77550                  22222
7224523677            2222221000
505767442426747       222100003310031
72134131645536112267  10310310000310333100
4733667771450051060253041 22210000032222310322223

File #2:
Emission Sequence      Max Probability State Sequence
#####
60622                  11111
4687981156             2100202111
815833657775062        021011111111111
21310222515963505015   0202011111111111021
6503199452571274006320025 111020211111102021110211

File #3:
Emission Sequence      Max Probability State Sequence
#####
13661                  00021
2102213421             3131310213
166066262165133        133333133133100
53164662112162634156   20000021313131002133
1523541005123230226306256 1310021333133133313133

File #4:
Emission Sequence      Max Probability State Sequence
#####
23664                  01124
3630535602             0111201112
350201162150142        011244012441112
00214005402015146362   11201112412444011112
2111266524665143562534450 2012012424124011112411124

File #5:
Emission Sequence      Max Probability State Sequence
#####
68535                  10111
4546566636             1111111111
638436858181213        110111010000011
13240338308444514688   00010000000111111100
0111664434441382533632626 2111111111111100111110101
```

Figure 1: Output for viterbi algorithm.

## 2.2 Problem B

### 2.2.1 Part i

```

#####
Running Code For Question 2Bi
#####

File #0:
Emission Sequence      Probability of Emitting Sequence
#####
25421                  4.537e-05
01232367534           1.620e-11
5452674261527433      4.348e-15
7226213164512267255   4.739e-18
0247120602352051010255241 9.365e-24

File #1:
Emission Sequence      Probability of Emitting Sequence
#####
77550                  1.181e-04
7224523677            2.033e-09
505767442426747       2.477e-13
72134131645536112267  8.871e-20
4733667771450051060253041 3.740e-24

File #2:
Emission Sequence      Probability of Emitting Sequence
#####
60622                  2.088e-05
4687981156            5.181e-11
815833657775062       3.315e-15
21310222515963505015  5.126e-20
6503199452571274006320025 1.297e-25

File #3:
Emission Sequence      Probability of Emitting Sequence
#####
13661                  1.732e-04
2102213421            8.285e-09
166066262165133       1.642e-12
53164662112162634156  1.063e-16
1523541005123230226306256 4.535e-22

File #4:
Emission Sequence      Probability of Emitting Sequence
#####
23664                  1.141e-04
3630535602            4.326e-09
350201162150142       9.793e-14
00214005402015146362  4.740e-18
2111266524665143562534450 5.618e-22

File #5:
Emission Sequence      Probability of Emitting Sequence
#####
68535                  1.322e-05
4546566636            2.867e-09
638436858181213       4.323e-14
13240338308444514688  4.629e-18
0111664434441382533632626 1.440e-22

```

Figure 2: Probabilities calculated from forwards algorithm.

## 2.2.2 Part ii

```

#####
Running Code For Question 2Bii
#####

File #0:
Emission Sequence      Probability of Emitting Sequence
#####
25421                  4.537e-05
01232367534           1.620e-11
5452674261527433      4.348e-15
7226213164512267255   4.739e-18
0247120602352051010255241 9.365e-24

File #1:
Emission Sequence      Probability of Emitting Sequence
#####
77550                  1.181e-04
7224523677            2.033e-09
505767442426747       2.477e-13
72134131645536112267  8.871e-20
4733667771450051060253041 3.740e-24

File #2:
Emission Sequence      Probability of Emitting Sequence
#####
60622                  2.088e-05
4687981156             5.181e-11
815833657775062       3.315e-15
21310222515963505015  5.126e-20
6503199452571274006320025 1.297e-25

File #3:
Emission Sequence      Probability of Emitting Sequence
#####
13661                  1.732e-04
2102213421            8.285e-09
166066262165133       1.642e-12
53164662112162634156  1.063e-16
1523541005123230226306256 4.535e-22

File #4:
Emission Sequence      Probability of Emitting Sequence
#####
23664                  1.141e-04
3630535602            4.326e-09
350201162150142       9.793e-14
00214005402015146362  4.740e-18
2111266524665143562534450 5.618e-22

File #5:
Emission Sequence      Probability of Emitting Sequence
#####
68535                  1.322e-05
4546566636            2.867e-09
638436858181213       4.323e-14
13240338308444514688  4.629e-18
0111664434441382533632626 1.440e-22

```

Figure 3: Probabilities calculated from backwards algorithm.

## 2.3 Problem C

Transition matrix:

```
#####
                        Running Code For Question 2C
#####

Transition Matrix:
#####
2.833e-01  4.714e-01  1.310e-01  1.143e-01
2.321e-01  3.810e-01  2.940e-01  9.284e-02
1.040e-01  9.760e-02  3.696e-01  4.288e-01
1.883e-01  9.903e-02  3.052e-01  4.075e-01
```

Figure 4: Transition matrix.

Observation matrix:

```
Observation Matrix:
#####
1.486e-01  2.288e-01  1.533e-01  1.179e-01  4.717e-02  5.189e-02  2.830e-02  1.297e-01  9.198e-02  2.358e-03
1.062e-01  9.653e-03  1.931e-02  3.089e-02  1.699e-01  4.633e-02  1.409e-01  2.394e-01  1.371e-01  1.004e-01
1.194e-01  4.299e-02  6.529e-02  9.076e-02  1.768e-01  2.022e-01  4.618e-02  5.096e-02  7.803e-02  1.274e-01
1.694e-01  3.871e-02  1.468e-01  1.823e-01  4.839e-02  6.290e-02  9.032e-02  2.581e-02  2.161e-01  1.935e-02
```

Figure 5: Observation matrix.

## 2.4 Problem D

Transition matrix:

```
Transition Matrix:
#####
4.511e-01    2.475e-01    3.013e-01    1.103e-04
3.584e-01    2.123e-01    3.123e-01    1.170e-01
4.402e-09    9.756e-01    2.435e-02    1.640e-12
2.673e-07    2.993e-04    6.030e-01    3.967e-01
```

Figure 6: Learned transition matrix from unsupervised learning.

Observation matrix:

```
Observation Matrix:
#####
4.836e-03    2.849e-20    1.700e-02    2.280e-01    1.056e-01    1.326e-01    1.548e-01    2.587e-01    3.493e-02    6.344e-02
1.575e-01    8.094e-02    1.780e-01    2.047e-18    7.979e-02    1.139e-01    6.531e-02    9.053e-02    1.682e-01    6.590e-02
2.133e-01    1.466e-01    5.351e-02    1.219e-05    1.763e-01    5.630e-02    4.274e-02    1.614e-07    2.197e-01    9.155e-02
2.166e-01    2.359e-46    4.571e-02    6.014e-01    1.156e-01    2.066e-02    6.573e-47    1.024e-10    5.827e-27    2.985e-47
```

Figure 7: Learned observation matrix from unsupervised learning.

## 2.5 Problem E

The supervised model is likely more accurate because it's trained on both the values and the labels. The supervised model is guaranteed to have the correct states while the unsupervised model is guessing at how many states there are. The unsupervised transition matrix sometimes pushes the transition probability between two states to near zero, which the supervised matrix does not. The two transition matrices have little resemblance. Similarly, the observation matrix for unsupervised has some values very close to zero, which the transition matrix does not. The supervised matrix is likely a better representation because it's trained on labeled data. One way to improve the unsupervised model is to either feed it even more data or to somehow include regularization.

## 2.6 Problem F

```
#####
                        Running Code For Question 2F
#####

File #0:
Generated Emission
#####
71461365272215676242
32750646202047231007
20765604155267256676
71577411722225320775
70521724244256227673

File #1:
Generated Emission
#####
71504125477241004402
74074524464205042425
57754715447534627667
51505156113657757515
77505447550167252745

File #2:
Generated Emission
#####
88536374713670001316
25933914327162187651
67915872296229567231
03955770776070501019
77161306786436569018

File #3:
Generated Emission
#####
02203350002021520021
32061223300050015061
56232623665446214130
20666664426231142114
61416541163266160460

File #4:
Generated Emission
#####
55346223100623565006
33462466026453263163
65336442643615252350
40022506465531631352
64541016460603326303

File #5:
Generated Emission
#####
14061644864604461156
04442306444333356125
54864485446544642434
53255414186864145811
34348848628331833635
```

Figure 8: Emitted sequences.





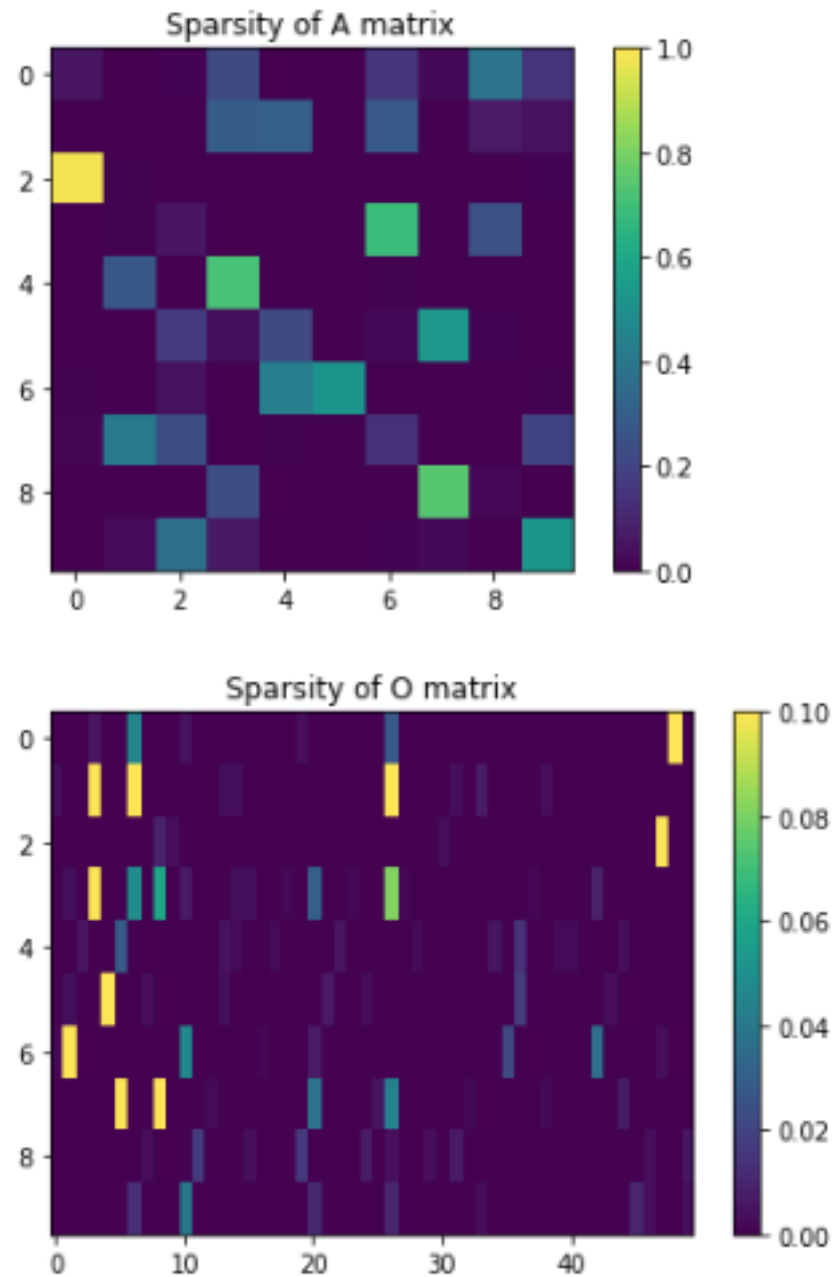


Figure 10: Sparsity of matrices.

Both the trained  $A$  and  $O$  matrices are fairly sparse. A large portion of both matrices are 0. This means that there are many possible state transitions that never happen, or there are some states that cannot emit certain observations. This makes sense, as there are some states - such as verb to verb - that may be unlikely.

## 2.8 Problem H

Sample Sentence:

=====

And of their shall disorderly having to trial in except shall being which person any page be impeachment be writ the house shall interlined records...

Figure 11: Emission with 1 state.

Sample Sentence:

=====

Of power may state such shall as as shall which houses respective inferior be manner for from the of such be not prop ose be according...

Figure 12: Emission with 2 states.

Sample Sentence:

=====

Of power may state such shall as as shall which houses respective inferior be manner for from the of such be not prop ose be according...

Figure 13: Emission with 4 states.

```
print('Sample Sentence:\n=====')
print(sample_sentence(hmm8, obs_map, n_words=25))
```

Sample Sentence:

=====

Years and direct shall day expedient facto be for by publish a to be as ministers to approved majority which from the united of the...

Figure 14: Emission with 10 states.

Sample Sentence:

=====

Be secure the trial but present necessary and insure deem appoint power shall than not this entered of the thing impa iring before not of the...

Figure 15: Emission with 16 states.

The sample emissions become somewhat more meaningful as the number of states changes. In the special case of only one state, the sentence makes no grammatical sense. Adding more hidden states will likely improve performance but only to a certain point. If there are too many hidden states, then the HMM may try to extract meaning that does not exist.

## 2.9 Problem I

State 4 seems to represent descriptors of the legislative branch. The words “congress,” “house,” and “senate” all appear in the word cloud. This states differs from some others like state 8, which has no dominant words. The words in state 4 all appear to be describing something similar, rather than being a part of speech.