

OCR-free Document Understanding Transformer (Donut)

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- A pencil is positioned diagonally across the slide, pointing towards a row of answer bubbles on a test sheet. The test sheet contains 49 numbered questions, each with five options (A-E) in a circle. The pencil is located near the bottom right of the sheet.
2. A B C D E
 3. A B C D E
 4. A B C D E
 5. A B C D E
 6. A B C D E
 7. A B C D E
 8. A B C D E
 9. A B C D E
 10. A B C D E
 11. A B C D E
 12. A B C D E
 13. A B C D E
 14. A B C D E
 15. A B C D E
 16. A B C D E
 17. A B C D E
 18. A B C D E
 19. A B C D E
 20. A B C D E
 21. A B C D E
 22. A B C D E
 23. A B C D E
 24. A B C D E
 25. A B C D E
 26. A B C D E
 27. A B C D E
 28. A B C D E
 29. A B C D E
 30. A B C D E
 31. A B C D E
 32. A B C D E
 33. A B C D E
 34. A B C D E
 35. A B C D E
 36. A B C D E
 37. A B C D E
 38. A B C D E
 39. A B C D E
 40. A B C D E
 41. A B C D E
 42. A B C D E
 43. A B C D E
 44. A B C D E
 45. A B C D E
 46. A B C D E
 47. A B C D E
 48. A B C D E
 49. A B C D E



Reason to select

- It introduces a new methodology for document AI — removing OCR and training a fully generative Transformer model for structured document understanding.
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Background



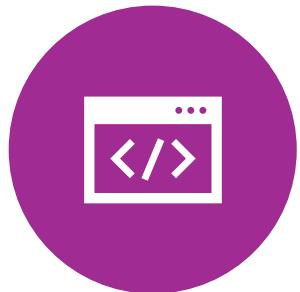
Domain: Visual Document Understanding (VDU) – extracting structured data from document images (receipts, forms, invoices).



Traditional approach: OCR → Text Parsing → Information Extraction.



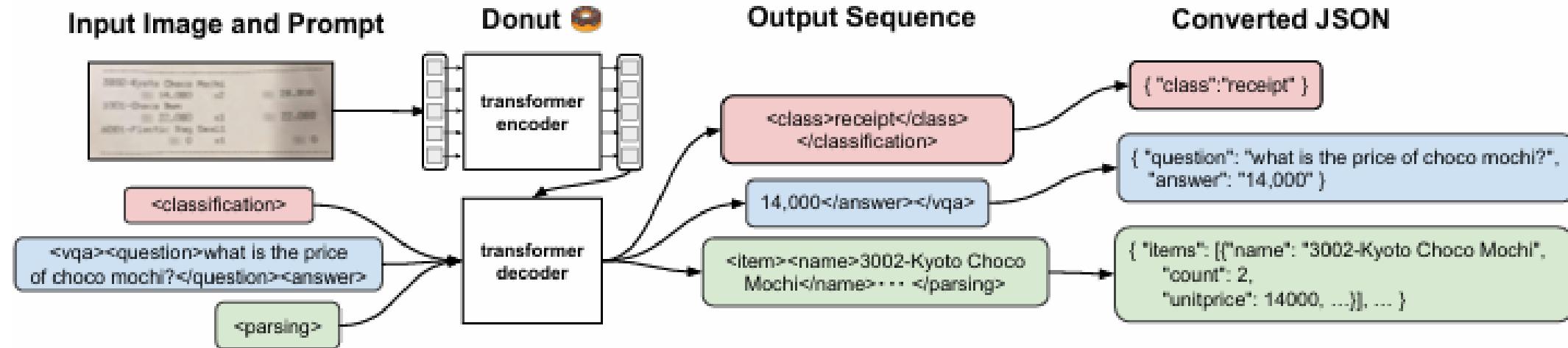
Problem: OCR pipelines = slow, language-specific, error-propagating, expensive.



Need: A unified end-to-end model that learns directly from pixels to text.

Summary

- Proposes **Donut**, the first OCR-free Transformer for VDU.
- End-to-end training on raw document images → structured JSON outputs.
- Introduces **SynthDoG**, a synthetic document generator for multi-lingual pre-training.
- Achieves **state-of-the-art** accuracy and 2× speed vs OCR-dependent models.
- Open-sourced model & data for reproducibility.

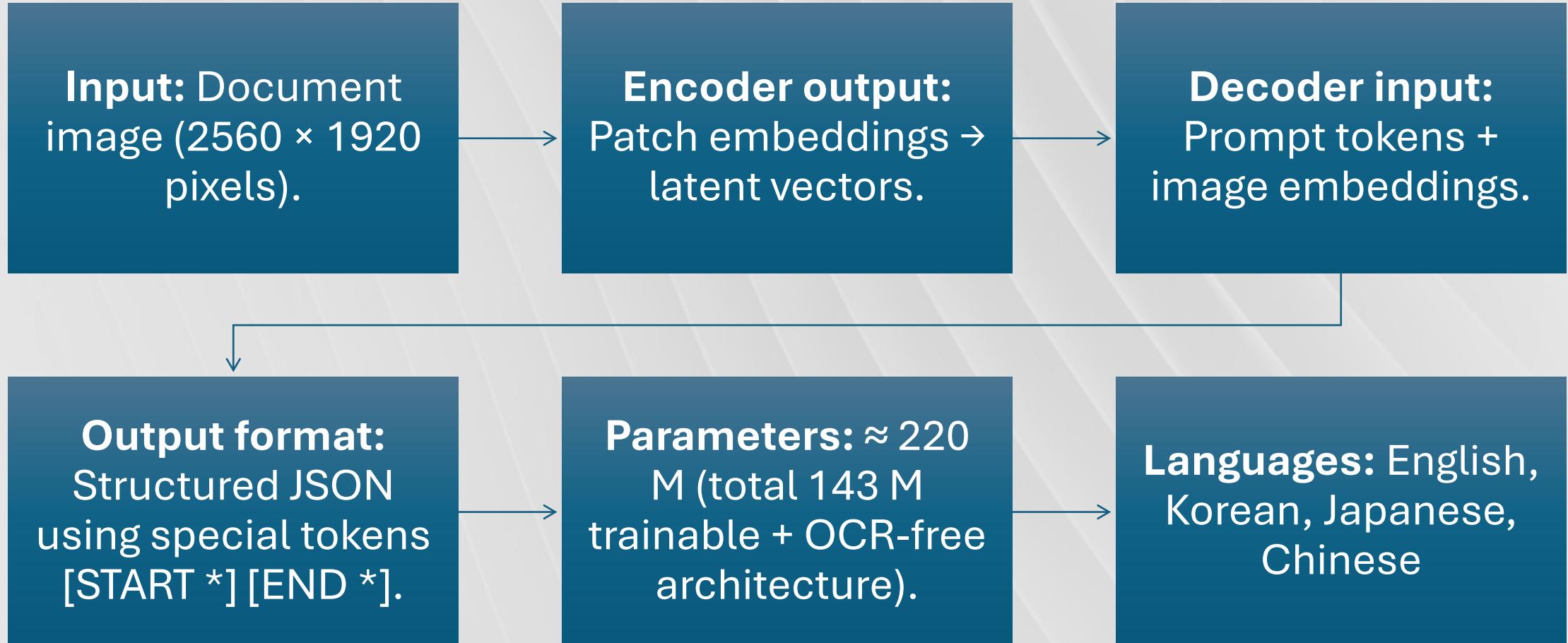


Methodology Overview

Vision-Language Encoder-Decoder Transformer

- **Encoder:** Swin Transformer extracts visual patch features.
- **Decoder:** BART-style Transformer generates token sequence (JSON/text).
- **Training objective:** Cross-entropy next-token prediction.
- **Task prompting:** JSON fields or question-answer tokens (e.g., <s_cord-v2>).

Model Details



Setup

Benchmarks: RVL-CDIP (document classification), CORD (receipts), Ticket (Chinese train tickets), Business Cards (Japanese), Receipts (Korean), DocVQA.

Metrics: Accuracy, F1 score, Tree Edit Distance (TED), ANLS for QA.

Baselines: BERT, LayoutLM, LayoutLMv2, BROS, WYVERN, SPADE.

Hardware: Trained on $64 \times$ A100 GPUs (200 K steps).

Results

Task	Model	Accuracy / F1	Speed (s/img)
Classification (RVL-CDIP)	Donut 95.3%	0.75	Faster & better than LayoutLMv2 (95.25%)
CORD IE	Donut 84.1 F1 / 90.9 Acc	1.2	+12% vs BERT baseline
Ticket IE	Donut 94.1 F1	0.6	High accuracy Chinese domain
DocVQA	Donut ANLS 67.5	0.78	Comparable without OCR

Output

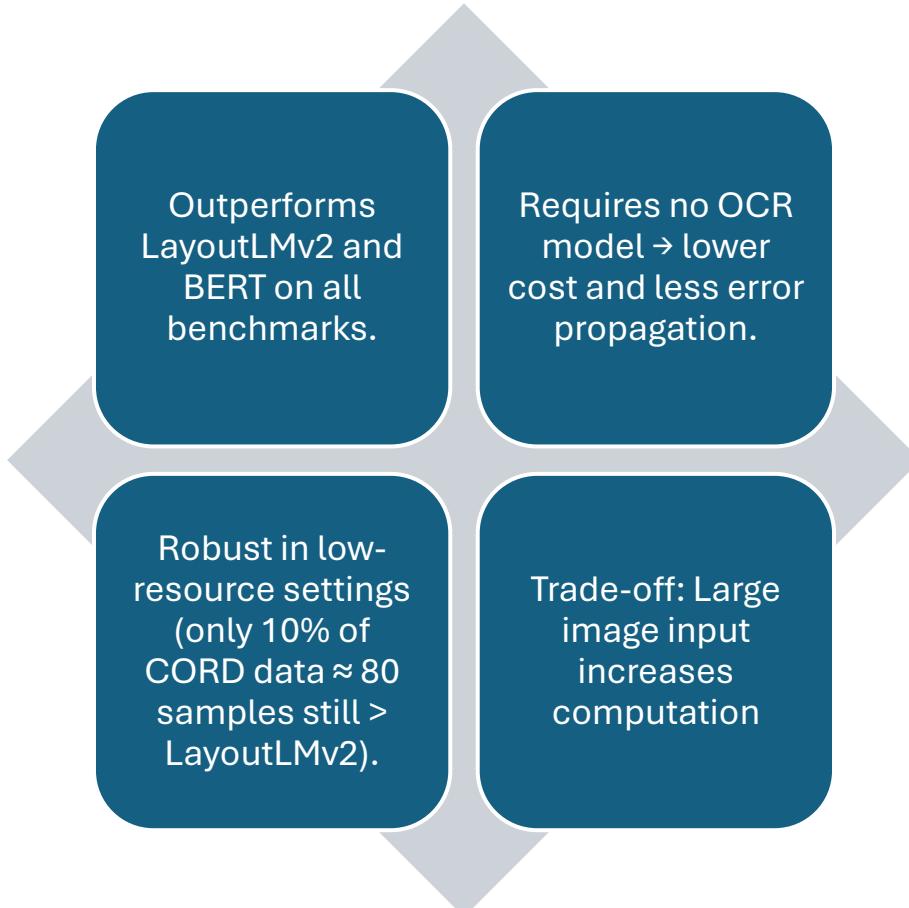
Donut generates structured JSON outputs like:

```
{"store":"Starbucks","items":[{"name":"Latte","price":"$4.50"}],"total":"$7.25"}
```

Visual attention maps show decoder focuses on text regions without OCR guidance.

Handles handwritten and multi-lingual inputs robustly

Comparison



Strengths & Limitations

Strengths

End-to-end OCR-free pipeline (simpler & faster).

Multi-lingual pre-training via SynthDoG.

Reproducible (open code + data).

Limitations

Performance drops on tiny texts / high-resolution docs.

High GPU cost for large input sizes.

JSON format rigidity – fails if token structure breaks.

Scope



Applications: Automated receipt parsing, invoice processing, KYC ID validation, and accessibility tools.



Impact: Reduces OCR cost and language bias in document AI.



Follow-ups: Donut v2 (Synthetic data expansion), DocFormer, LayoutLMv3, VisionLLMs (e.g., Pix2Struct).



Trend: Shift toward OCR-free, multimodal generative AI systems.

Takeaways

01

DONUT PROVES
OCR-FREE
TRANSFORMERS
CAN OUTPERFORM
OCR-BASED
SYSTEMS.

02

SYNTHDOG
ENABLES MULTI-
LINGUAL AND COST-
EFFECTIVE PRE-
TRAINING.

03

ARCHITECTURE
SIMPLICITY →
INDUSTRIAL
DEPLOYABILITY.

04

POINTS TO FUTURE
MULTI-MODAL LLMS
FOR DOCUMENT
UNDERSTANDING.