

Sorting.

The table below summarizes the number of compares for a variety of sorting algorithms, as implemented in this textbook. It includes leading constants but ignores lower-order terms.

ALGORITHM	CODE	IN PLACE	STABLE	BEST	AVERAGE	WORST	REMARKS
selection sort	Selection.java	✓		$\frac{1}{2} n^2$	$\frac{1}{2} n^2$	$\frac{1}{2} n^2$	n exchanges; quadratic in best case
insertion sort	Insertion.java	✓	✓	n	$\frac{1}{4} n^2$	$\frac{1}{2} n^2$	use for small or partially-sorted arrays
bubble sort	Bubble.java	✓	✓	n	$\frac{1}{2} n^2$	$\frac{1}{2} n^2$	rarely useful; use insertion sort instead
shellsort	Shell.java	✓		$n \log_3 n$	unknown	$c n^{3/2}$	tight code; subquadratic
mergesort	Merge.java		✓	$\frac{1}{2} n \lg n$	$n \lg n$	$n \lg n$	$n \log n$ guarantee; stable
quicksort	Quick.java	✓		$n \lg n$	$2 n \ln n$	$\frac{1}{2} n^2$	$n \log n$ probabilistic guarantee; fastest in practice
heapsort	Heap.java	✓		n^\dagger	$2 n \lg n$	$2 n \lg n$	$n \log n$ guarantee; in place

$^\dagger n \lg n$ if all keys are distinct

Priority queues.

The table below summarizes the order of growth of the running time of operations for a variety of priority queues, as implemented in this textbook. It ignores leading constants and lower-order terms. Except as noted, all running times are worst-case running times.

DATA STRUCTURE	CODE	INSERT	DEL-MIN	MIN	DEC-KEY	DELETE	MERGE
array	BruteIndexMinPQ.java	1	n	n	1	1	n
binary heap	IndexMinPQ.java	$\log n$	$\log n$	1	$\log n$	$\log n$	n
d-way heap	IndexMultiwayMinPQ.java	$\log_d n$	$d \log_d n$	1	$\log_d n$	$d \log_d n$	n
binomial heap	IndexBinomialMinPQ.java	1	$\log n$	1	$\log n$	$\log n$	$\log n$
Fibonacci heap	IndexFibonacciMinPQ.java	1	$\log n^\dagger$	1	1^\dagger	$\log n^\dagger$	1

† amortized guarantee

Symbol tables.

The table below summarizes the order of growth of the running time of operations for a variety of symbol tables, as implemented in this textbook. It ignores leading constants and lower-order terms.

DATA STRUCTURE	CODE	worst case			average case		
		SEARCH	INSERT	DELETE	SEARCH	INSERT	DELETE
sequential search (in an unordered list)	SequentialSearchST.java	n	n	n	n	n	n
binary search (in a sorted array)	BinarySearchST.java	$\log n$	n	n	$\log n$	n	n
binary search tree (unbalanced)	BST.java	n	n	n	$\log n$	$\log n$	$\text{sqrt}(n)$
red-black BST (left-leaning)	RedBlackBST.java	$\log n$	$\log n$	$\log n$	$\log n$	$\log n$	$\log n$
AVL	AVLTreeST.java	$\log n$	$\log n$	$\log n$	$\log n$	$\log n$	$\log n$
hash table (separate-chaining)	SeparateChainingHashST.java	n	n	n	1^\dagger	1^\dagger	1^\dagger
hash table (linear-probing)	LinearProbingHashST.java	n	n	n	1^\dagger	1^\dagger	1^\dagger

† uniform hashing assumption

Graph processing.

The table below summarizes the order of growth of the worst-case running time and memory usage (beyond the memory for the graph itself) for a variety of graph-processing problems, as implemented in this textbook. It ignores leading constants and lower-order terms. All running times are worst-case running times.

PROBLEM	ALGORITHM	CODE	TIME	SPACE
path	DFS	DepthFirstPaths.java	$E + V$	V
shortest path (fewest edges)	BFS	BreadthFirstPaths.java	$E + V$	V
cycle	DFS	Cycle.java	$E + V$	V
directed path	DFS	DepthFirstDirectedPaths.java	$E + V$	V
shortest directed path (fewest edges)	BFS	BreadthFirstDirectedPaths.java	$E + V$	V
directed cycle	DFS	DirectedCycle.java	$E + V$	V
topological sort	DFS	Topological.java	$E + V$	V
bipartiteness / odd cycle	DFS	Bipartite.java	$E + V$	V
connected components	DFS	CC.java	$E + V$	V
strong components	Kosaraju–Sharir	KosarajuSharirSCC.java	$E + V$	V
strong components	Tarjan	TarjanSCC.java	$E + V$	V
strong components	Gabow	GabowSCC.java	$E + V$	V
Eulerian cycle	DFS	EulerianCycle.java	$E + V$	$E + V$
directed Eulerian cycle	DFS	DirectedEulerianCycle.java	$E + V$	V
transitive closure	DFS	TransitiveClosure.java	$V (E + V)$	V^2
minimum spanning tree	Kruskal	KruskalMST.java	$E \log E$	$E + V$
minimum spanning tree	Prim	PrimMST.java	$E \log V$	V
minimum spanning tree	Boruvka	BoruvkaMST.java	$E \log V$	V
shortest paths (nonnegative weights)	Dijkstra	DijkstraSP.java	$E \log V$	V
shortest paths (no negative cycles)	Bellman–Ford	BellmanFordSP.java	$V (V + E)$	V
shortest paths (no cycles)	topological sort	AcyclicSP.java	$V + E$	V
all-pairs shortest paths	Floyd–Warshall	FloydWarshall.java	V^3	V^2
maxflow–mincut	Ford–Fulkerson	FordFulkerson.java	$E V (E + V)$	V
bipartite matching	Hopcroft–Karp	HopcroftKarp.java	$V^{1/2} (E + V)$	V
assignment problem	successive shortest paths	AssignmentProblem.java	$n^3 \log n$	n^2

Commonly encountered functions.

Here are some functions that are commonly encountered when analyzing algorithms.

FUNCTION	NOTATION	DEFINITION
floor	$\lfloor x \rfloor$	largest integer not greater than x
ceiling	$\lceil x \rceil$	smallest integer not smaller than x
binary logarithm	$\lg x$ or $\log_2 x$	y such that $2^y = x$
natural logarithm	$\ln x$ or $\log_e x$	y such that $e^y = x$
common logarithm	$\log_{10} x$	y such that $10^y = x$
iterated binary logarithm	$\lg^* x$	0 if $x \leq 1$; $\lg^*(\lg x)$ otherwise
harmonic number	H_n	$1 + 1/2 + 1/3 + \dots + 1/n$
factorial	$n!$	$1 \times 2 \times 3 \times \dots \times n$
binomial coefficient	$\binom{n}{k}$	$\frac{n!}{k! (n-k)!}$

Useful formulas and approximations.

Here are some useful formulas for approximations that are widely used in the analysis of algorithms.

- *Harmonic sum:* $1 + 1/2 + 1/3 + \dots + 1/n \sim \ln n$
- *Triangular sum:* $1 + 2 + 3 + \dots + n = n(n + 1) / 2 \sim n^2 / 2$
- *Sum of squares:* $1^2 + 2^2 + 3^2 + \dots + n^2 \sim n^3 / 3$
- *Geometric sum:* If $r \neq 1$, then $1 + r + r^2 + r^3 + \dots + r^n = (r^{n+1} - 1) / (r - 1)$
- *Geometric sum ($r = 1/2$):* $1 + 1/2 + 1/4 + 1/8 + \dots + 1/2^n \sim 2$
- *Geometric sum ($r = 2$):* $1 + 2 + 4 + 8 + 16 + \dots + n = 2n - 1 \sim 2n$, when n is a power of 2
- *Stirling's approximation:* $\lg(n!) = \lg 1 + \lg 2 + \lg 3 + \dots + \lg n \sim n \lg n$
- *Exponential:* $(1 - 1/n)^n \sim 1/e$
- *Binomial coefficients:* $\binom{n}{k} \sim n^k / k!$ when k is a small constant
- *Approximate sum by integral:* If $f(x)$ is a monotonically increasing function, then $\int_0^n f(x) \, dx \leq \sum_{i=1}^n f(i) \leq \int_1^{n+1} f(x) \, dx$

Properties of logarithms.

- *Definition:* $\log_b a = c$ means $b^c = a$. We refer to b as the *base* of the logarithm.
- *Special cases:* $\log_b b = 1$, $\log_b 1 = 0$
- *Inverse of exponential:* $b^{\log_b x} = x$
- *Product:* $\log_b (x \times y) = \log_b x + \log_b y$
- *Division:* $\log_b (x \div y) = \log_b x - \log_b y$
- *Finite product:* $\log_b (x_1 \times x_2 \times \dots \times x_n) = \log_b x_1 + \log_b x_2 + \dots + \log_b x_n$
- *Changing bases:* $\log_b x = \log_c x / \log_c b$
- *Rearranging exponents:* $x^{\log_b y} = y^{\log_b x}$
- *Exponentiation:* $\log_b (x^y) = y \log_b x$

Aymptotic notations: definitions.

NAME	NOTATION	DESCRIPTION	DEFINITION
Tilde	$f(n) \sim g(n)$	$f(n)$ is equal to $g(n)$ asymptotically (including constant factors)	$\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = 1$
Big Oh	$f(n)$ is $O(g(n))$	$f(n)$ is bounded above by $g(n)$ asymptotically (ignoring constant factors)	there exist constants $c > 0$ and $n_0 \geq 0$ such that $0 \leq f(n) \leq c \cdot g(n)$ for all $n \geq n_0$
Big Omega	$f(n)$ is $\Omega(g(n))$	$f(n)$ is bounded below by $g(n)$ asymptotically (ignoring constant factors)	$g(n)$ is $O(f(n))$
Big Theta	$f(n)$ is $\Theta(g(n))$	$f(n)$ is bounded above and below by $g(n)$ asymptotically (ignoring constant factors)	$f(n)$ is both $O(g(n))$ and $\Omega(g(n))$
Little oh	$f(n)$ is $o(g(n))$	$f(n)$ is dominated by $g(n)$ asymptotically (ignoring constant factors)	$\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = 0$
Little omega	$f(n)$ is $\omega(g(n))$	$f(n)$ dominates $g(n)$ asymptotically (ignoring constant factors)	$g(n)$ is $o(f(n))$

Common orders of growth.

NAME	NOTATION	EXAMPLE	CODE FRAGMENT
Constant	$O(1)$	array access arithmetic operation function call	<code>op();</code>

Logarithmic	$O(\log n)$	binary search in a sorted array insert in a binary heap search in a red–black tree	for (int i = 1; i <= n; i = 2*i) op();
Linear	$O(n)$	sequential search grade-school addition BFPRT median finding	for (int i = 0; i < n; i++) op();
Linearithmic	$O(n \log n)$	mergesort heapsort fast Fourier transform	for (int i = 1; i <= n; i++) for (int j = i; j <= n; j = 2*j) op();
Quadratic	$O(n^2)$	enumerate all pairs insertion sort grade-school multiplication	for (int i = 0; i < n; i++) for (int j = i+1; j < n; j++) op();
Cubic	$O(n^3)$	enumerate all triples Floyd–Warshall grade-school matrix multiplication	for (int i = 0; i < n; i++) for (int j = i+1; j < n; j++) for (int k = j+1; k < n; k++) op();
Polynomial	$O(n^c)$	ellipsoid algorithm for LP AKS primality algorithm Edmond's matching algorithm	
Exponential	$2^{O(n^c)}$	enumerating all subsets enumerating all permutations backtracing search	

Asymptotic notations: properties.

- *Reflexivity:* $f(n)$ is $O(f(n))$.
- *Constants:* If $f(n)$ is $O(g(n))$ and $c > 0$, then $c \cdot f(n)$ is $O(g(n))$.
- *Products:* If $f_1(n)$ is $O(g_1(n))$ and $f_2(n)$ is $O(g_2(n))$, then $f_1(n) \cdot f_2(n)$ is $O(g_1(n) \cdot g_2(n))$.
- *Sums:* If $f_1(n)$ is $O(g_1(n))$ and $f_2(n)$ is $O(g_2(n))$, then $f_1(n) + f_2(n)$ is $O(\max\{g_1(n), g_2(n)\})$.
- *Transitivity:* If $f(n)$ is $O(g(n))$ and $g(n)$ is $O(h(n))$, then $f(n)$ is $O(h(n))$.
- *Polynomials:* Let $f(n) = a_0 + a_1n + \dots + a_dn^d$ with $a_d > 0$. Then, $f(n)$ is $\Theta(n^d)$.
- *Logarithms and polynomials:* $\log_b n$ is $O(n^d)$ for every $b > 0$ and every $d > 0$.
- *Exponentials and polynomials:* n^d is $O(r^n)$ for every $r > 0$ and every $d > 0$.
- *Factorials:* $n!$ is $2^{\Theta(n \log n)}$.
- *Limits:* If $\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = c$ for some constant $0 < c < \infty$, then $f(n)$ is $\Theta(g(n))$.
- *Limits:* If $\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = 0$, then $f(n)$ is $O(g(n))$ but not $\Theta(g(n))$.
- *Limits:* If $\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = \infty$, then $f(n)$ is $\Omega(g(n))$ but not $O(g(n))$.

Here are some examples.

FUNCTION	$o(n^2)$	$O(n^2)$	$\Theta(n^2)$	$\Omega(n^2)$	$\omega(n^2)$	$\sim 2n^2$	$\sim 4n^2$
$\log_2 n$	✓	✓					
$10n + 45$	✓	✓					
$2n^2 + 45n + 12$		✓	✓	✓		✓	
$4n^2 - 2\sqrt{n}$		✓	✓	✓			✓
$3n^3$				✓	✓		
2^n				✓	✓		

Divide-and-conquer recurrences.

For each of the following recurrences we assume $T(1) = 0$ and that $n / 2$ means either $\lfloor n / 2 \rfloor$ or $\lceil n / 2 \rceil$.

RECURRENCE	$T(n)$	EXAMPLE
$T(n) = T(n / 2) + 1$	$\sim \lg n$	<i>binary search</i>
$T(n) = 2T(n / 2) + n$	$\sim n \lg n$	<i>mergesort</i>
$T(n) = T(n - 1) + n$	$\sim \frac{1}{2}n^2$	<i>insertion sort</i>
$T(n) = 2T(n / 2) + 1$	$\sim n$	<i>tree traversal</i>
$T(n) = 2T(n - 1) + 1$	$\sim 2^n$	<i>towers of Hanoi</i>
$T(n) = 3T(n / 2) + \Theta(n)$	$\Theta(n^{\log_2 3}) = \Theta(n^{1.58\dots})$	<i>Karatsuba multiplication</i>
$T(n) = 7T(n / 2) + \Theta(n^2)$	$\Theta(n^{\log_2 7}) = \Theta(n^{2.81\dots})$	<i>Strassen multiplication</i>
$T(n) = 2T(n / 2) + \Theta(n \log n)$	$\Theta(n \log^2 n)$	<i>closest pair</i>

Master theorem.

Let $a \geq 1$, $b \geq 2$, and $c > 0$ and suppose that $T(n)$ is a function on the non-negative integers that satisfies the divide-and-conquer recurrence

$$T(n) = a\, T(n / b) + \Theta(n^c)$$

with $T(0) = 0$ and $T(1) = \Theta(1)$, where n / b means either $\lfloor n / b \rfloor$ or either $\lceil n / b \rceil$.

- If $c < \log_b a$, then $T(n) = \Theta(n^{\log_b a})$
- If $c = \log_b a$, then $T(n) = \Theta(n^c \log n)$
- If $c > \log_b a$, then $T(n) = \Theta(n^c)$