

CSci 2041

Advanced Programming Principles

L3.2: Recursive computations over  
recursive data

Programs as data, expressions

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# Recall

Recall a binary tree disjoint union.

```
type int_bin_tree =  
  | Leaf of int  
  | Node of int_bin_tree * int_bin_tree
```

Algebraic data types naturally represent these recursive structures.

# Programs as data

Disjoint unions also naturally represent more interesting kinds of data, namely

- ▶ arithmetic expressions,
- ▶ computer programs,
- ▶ proofs,
- ▶ logical systems, etc.

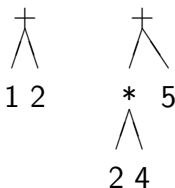
This representation often simplifies defining computations (as functions) over this data.

# Simple arithmetic expressions

- ▶ Let's consider some simple arithmetic expressions, over integers with only addition and multiplication.
- ▶ Expressions for a very simple calculator, for example
  - ▶ 1
  - ▶ 2
  - ▶  $3+4$
  - ▶  $4*2+3$
  - ▶  $3*(8+2)$
  - ▶  $4+0$

# Expressions as trees

- ▶ Instead of textually, we can represent expressions as trees.  
For example,

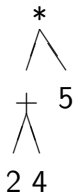
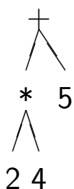


- ▶ Easy to evaluate these to integer values.

# Operator precedence and associativity

- ▶ Operator precedence and associativity matter when translating from a **linear textual representation** to a **tree-based, hierarchical representation**.
- ▶ A tree representation **encodes** the precedence and associativity of the operators.
- ▶ So we don't need a constructor in our disjoint union for parenthesis.

- ▶ Consider the expression trees:



- ▶ We've just swapped the operator nodes.
- ▶ But the intention is clear, even without any representation of parenthesis in the tree.

# Expressions as disjoint unions

What do we need to design an disjoint union for our simple expressions?

- ▶ A name for the type
- ▶ The value constructors  
So, what are the different varieties of expressions?
- ▶ a type for the `of` part of our value constructors



# What about eval?

We need two new clauses for `Sub` and `Div`.

```
let rec eval e =  
  match e with  
  | Add (l,r) -> eval l + eval r  
  | Mul (l,r) -> eval l * eval r  
  | Const v -> v  
  | Sub (l,r) -> eval l - eval r  
  | Div (l,r) -> eval l / eval r
```

# Let expressions

- ▶ Consider adding let expressions to our expression language.
- ▶ We may add a value constructor like the following:  
| `Let of string * expr * expr`
- ▶ We thus need a way to refer to these identifiers  
| `Var of string`  
(We might consider calling these “identifiers” instead of “variables” since their values never change.)
- ▶ We can then define expressions such as
  - ▶ `Let ("x", Const 5, Add (Const 4, Var "x"))`
- ▶ What happens to `eval`?

- ▶ How can we evaluate `Let ("x", Const 5, Add (Const 4, Var "x"))`?
- ▶ How must `eval` change?
- ▶ We need to evaluate expressions given a certain context.
- ▶ This context is the “environment” which maps variable names to values to be used in evaluation.
- ▶ Let's consider some example expressions and environments, in picture form.
- ▶ What is the type of the environment?
- ▶ What functions are needed for it?

- ▶ How can we evaluate `Add (Const 4, Mul( Const 3, Var "x"))`?
- ▶ We can't, it has an unbound variable.

- ▶ Our extension to `eval` is in `expr_let.ml` in the code examples directory.
- ▶ It makes use of an additional argument to provide the appropriate environment when evaluating an expression.
- ▶ This is the same pattern that we saw in the `show_btree` function. (In `btree.ml` in the code examples.)

# Scope in let-expressions

Consider the following:

```
let nested = Let("x", Const 3,  
                Add(Mul (Const 2, Var "x"),  
                    Let("x", Const 4,  
                        Add(Const 5, Var "x")))))
```

- ▶ How do we distinguish between the two "x" identifiers?
- ▶ What is the **scope** of each declaration of x?
- ▶ Note how the simple list and process of searching from the beginning solves this problem in this simple language.

## Adding relational and logical operators

- ▶ What do we need to do to add relational operators so that expressions such as  $1 + 3 < 5$  can be represented?
- ▶ What about logical operators?
- ▶ How is `eval` extended?
- ▶ How can we ensure that only type-correct expressions are evaluated?

Expressions such as  $3 + (4 < 5)$  should be detected as ill-typed or not representable in our disjoint unions.

This last question is the interesting one.

# One approach

Encode the well-formedness restriction in the disjoint union so that ill-formed expressions cannot be created.

- ▶ Recognize that logical expressions produce Boolean values from Boolean values.
- ▶ And that relational operations result in Boolean values, but operate on integer values.
- ▶ And that arithmetic operations consume and produce integer values.
- ▶ We can make this distinction in the OCaml types.
- ▶ Start over with two types: `int_expr` and `bool_expr`.



```
type int_expr =  
  | Add of int_expr * int_expr  
  | Mul of int_expr * int_expr  
  | Const of int  
  | Sub of int_expr * int_expr  
  | Div of int_expr * int_expr  
and bool_expr =  
  | Lt of int_expr * int_expr  
  | Eq of int_expr * int_expr  
  | And of bool_expr * bool_expr  
  | Or of bool_expr * bool_expr  
  | Not of bool_expr
```

Note the use of “[and](#)” for mutually recursive types.

- ▶ How does our `eval` function need to change?
- ▶ We need two functions, one for `int_expr` and one for `bool_expr`.
- ▶ `int_expr` returns an `int`
- ▶ `bool_expr` returns an `bool`

## A problem with this approach

- ▶ So, we encoded the type (int or Bool) of the expression in the type (`int_expr` or `bool_expr`) of the the expressions representation.
- ▶ What happens if we add let-expressions and variables?
- ▶ `let x = 3 + 4 in x + 5`  
or  
`let b = 3 < 5 in b && true`
- ▶ How can we represent these?
- ▶ `| Var of string`,  
but is this an `int_expr` or a `bool_expr`?
- ▶ `| Let of string * int_expr * int_expr` or  
`| Let of string * bool_expr * int_expr` or  
`| Let of string * bool_expr * bool_expr`  
or all? And what is its type?

## A “second” approach

- ▶ Variables can be of any type and we can't easily determine this when the tree is constructed.
- ▶ Determining types is usually an analysis phase **on the already constructed tree representation** of the expression.
- ▶ Thus we fall back to one kind of expression, **expr**, but then construct trees that may have type errors in them, but we detect this in an analysis phase.

So, with just one type.

```
type expr =  
  | Add of expr * expr  
  | Mul of expr * expr  
  | Const of int  
  | Sub of expr * expr  
  | Div of expr * expr  
  | Lt of expr * expr  
  | Eq of expr * expr  
  | And of expr * expr  
  | Or of expr * expr  
  | Not of expr  
  | Let of string * expr * expr  
  | Var of string
```

# How can expression evaluation go wrong?

Before writing a new version of `eval`, how can things go wrong?

- ▶ undeclared names
- ▶ type errors
- ▶ division by zero

Can we detect any of these problems statically? That is, without trying to evaluate the expression.

# Name analysis

- ▶ The process of determining if there are any unbound variables in the expressions.
- ▶ What should the result of name analysis be? Perhaps a list of undeclared names.
- ▶ What should name analysis produce for each of the following?
  - ▶ `Let ("x", Const 5, Add (Const 4, Var "x"))?`
  - ▶ `Add (Const 4, Mul( Const 3, Var "x"))?`

# Type checking

- ▶ Our “language” so far is quite simple.
- ▶ Simple enough that we can infer types quite easily.
- ▶ Let's consider some examples, pictorially.