

# Optimal decision-making in mammals: insights from a robot study of rodent texture discrimination

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Texture perception is studied here in a physical model of the rat whisker system consisting of a robot equipped with a biomimetic vibrissal sensor. Investigations of whisker motion in rodents have led to several explanations for texture discrimination, such as resonance or stick-slips. Meanwhile, electrophysiological studies of decision-making in monkeys have suggested a neural mechanism of evidence accumulation to threshold for competing percepts, described by a probabilistic model of Bayesian sequential analysis. For our robot whisker data, we find that variable reaction-time decision-making with sequential analysis performs better than the fixed response-time maximum-likelihood estimation. These probabilistic classifiers also use whatever available features of the whisker signals aid the discrimination, giving improved performance over a single-feature strategy, such as matching the peak power spectra of whisker vibrations. These results cast new light on how the various proposals for texture discrimination in rodents depend on the whisker contact mechanics and suggest the possibility of a common account of decision-making across mammalian species.

**Keywords:** biomimetics; perception; decision-making; Bayes' rule; whiskers; texture

## 1. INTRODUCTION

The last 15 years has seen major advancement in the understanding of human and animal perception as statistically optimal inference from noisy and ambiguous sensations. This statistical approach is based on using Bayes' rule to calculate the conditional probability distribution over possible percepts given sensory data, knowing aspects of the world such as the likelihood of sensory data for various percepts and their prior probabilities of occurring [1,2]. In neuroscience, there has been parallel progress in understanding perceptual decision-making as evidence accumulation for competing hypotheses. One notable line of experiments considers neuronal activity in parietal cortex as monkeys make perceptual judgements about the direction of motion for a group of random dots, and finds individual neurons that noisily ramp-up their firing rates until reaching a threshold when a decision is made [3,4]. Theoretically, these processes appear well described by the statistical approach of sequential analysis [5,6], which applies Bayes' rule to accumulate evidence for competing perceptual hypotheses over time series of sensory data until reaching a preset threshold [7].

This article aims to help develop a paradigm in rodents for testing this Bayesian approach to perceptual decision-making from the dual perspectives of guiding biological experimentation and testing biological hypotheses in

robots with biomimetic sensors. Our particular focus is on texture discrimination using vibrissae, which is both a well-developed approach for examining decision-making in rats [8–16] and a task for which the state-of-the-art sensors based on rat whiskers are under continued development [17–24]. Furthermore, in biological systems, there are several proposals for which features of whisker motion vary according to texture, for example the resonance hypothesis [16] and the kinetic signature hypothesis [15]. However, it is not known how these proposals relate to theories of perceptual decision-making via evidence accumulation and how they would function in practice when embodied in a biologically inspired robot.

Our overall hypothesis is that Bayesian sequential analysis can also account for texture discrimination in rats, and thus offer a common account of decision-making in different mammalian species. The first part of this hypothesis is that the brain makes perceptual decisions by applying Bayesian inference to time series of sensory data over the motion of the whisker contacts, by comparing posterior probabilities (or functions thereof) with predefined thresholds. The second part of this hypothesis is that the brain uses simplifying assumptions about the sensory data to reduce the complexity of the neural computation. In particular, the principal assumption underlying sequential analysis is that the data samples are independently distributed over time and drawn randomly from sampling distributions associated with the likelihood functions.

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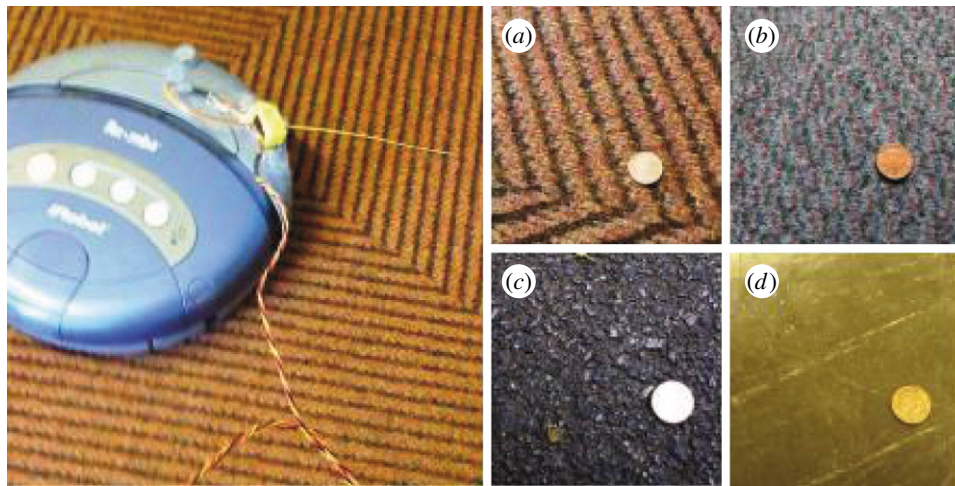


Figure 1. The robot with whisker attachment. A Roomba robot was used as a platform for the experiments. The whisker was mounted in the front of the robot, angled down to make constant contact with the floor. The panels show the various textured surfaces: (a) rough carpet; (b) smooth carpet; (c) tarmac and (d) vinyl. (Online version in colour.)

In computational neuroscience, it is commonly assumed that these sampling distributions are Gaussian [5], but we find that this assumption is too restrictive for a whiskered robot sensing texture. Instead, we assume that both the robot and animal are able to encode more general probability distributions of sensed data.

These proposals for rodent decision-making are investigated in a physical model of the rat whisker system consisting of a robot equipped with a biomimetic vibrissa sensor. Our particular platform is a Roomba robot (iRobot, Boston, MA, USA) with attached whisker module (figure 1), an ideal device for performing whisker-based experiments on surface texture because the robot can either move autonomously or be guided [25]. This investigation reveals how biomimetic principles can guide the development of new technologies and in turn provide a greater understanding of the biological systems. In particular, we conclude that decision-making with variable reaction times based on Bayesian sequential analysis out-competes the existing alternative methods, including both non-Bayesian and maximum-likelihood (fixed-response time) classifiers. A second observation is that probabilistic perception uses whatever aspects of the contact dynamics help discriminate the alternatives, so that the various proposals for how whisker motion varies according to texture could all give reliable classification depending upon the contact mechanics of the whisker and the surface.

Some partial results have been published in a conference paper on feature-based classifiers [25], and a preliminary version of the probabilistic analysis [26] based on a similar method to the maximum-likelihood classifier discussed here (but referred to as ‘naive’ Bayes).

## 2. TEXTURE SENSING WITH BIOMIMETIC WHISKERS

Touch sensors inspired by mammalian vibrissae have been in development since the 1980s [17]. Recently, biomimetic whisker sensors have been engineered to have shape and material properties similar to those of rat

whiskers, while scaled to larger sizes appropriate for autonomous robots [21,27]. Another recent innovation is that the whisker deflections are transduced into sensory signals with a miniature Hall-effect sensor mounted at the base of the artificial whisker shaft [28]. Arrays of these whiskers have been employed in robots based on the rat whisker system [27,28] and could serve a variety of functions on mobile robots [17,19,22].

The biomimetic whisker was mounted in front of the Roomba robot (figure 1) at  $45^\circ$  azimuth from the forward direction of travel with a slight downward elevation sufficient to make constant contact with the floor during movement. Outputs from the whisker sensor included two voltages,  $x$  and  $y$ , with magnitudes linearly proportional to the tangential component of the two orthogonal displacement angles of the magnet from its resting position (with the  $x$ -component parallel to the contact surface and the  $y$ -component normal to it). As the robot moved, the whiskers were swept across the floor. The deflections of the whisker were acquired at a rate of 2 kHz and sent to a computer through the BRAHMS execution framework [29] for analysis in MATLAB (Mathworks, Natick, MA, USA).

Four surfaces were chosen for classification: two carpets of different roughnesses, a tarmac surface and a vinyl surface (figure 1). These surfaces were chosen because they were appropriately generic for a real-world experiment and they provided a range of surface types that were sufficiently similar to make classification non-trivial. Two primary behavioural conditions were also chosen: where the robot moved in a stereotyped manner, rotating either anticlockwise only, or clockwise only (four trials with a motion of 16 s each) and where the robot moved autonomously using its motion guidance, consisting of externally unpredictable clockwise, anticlockwise and forward motions (four trials of  $16\text{ s}^1$ ). Data from the four floor surfaces are shown in

<sup>1</sup>Trials 13–16 of Evans *et al.* [25]. Trials 9–12 were rejected because of a systematic change in whisker baseline position during trial 12 owing to the whisker module becoming detached from the robot (see also [26], figure 7).

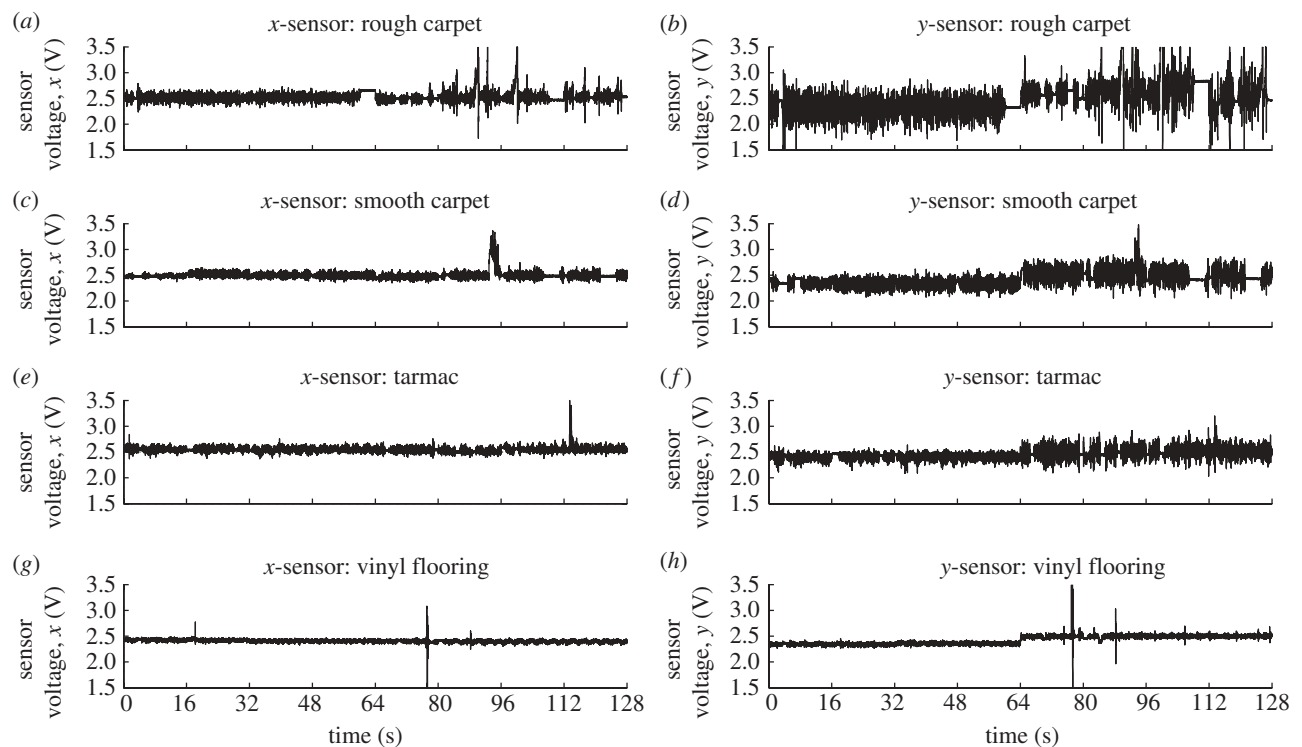


Figure 2. Stereotyped motion data. Data of the four floor surface textures were collected in eight trials each of length 16 s. The first four trials were for anticlockwise rotating motion and the last four trials were for clockwise motion.

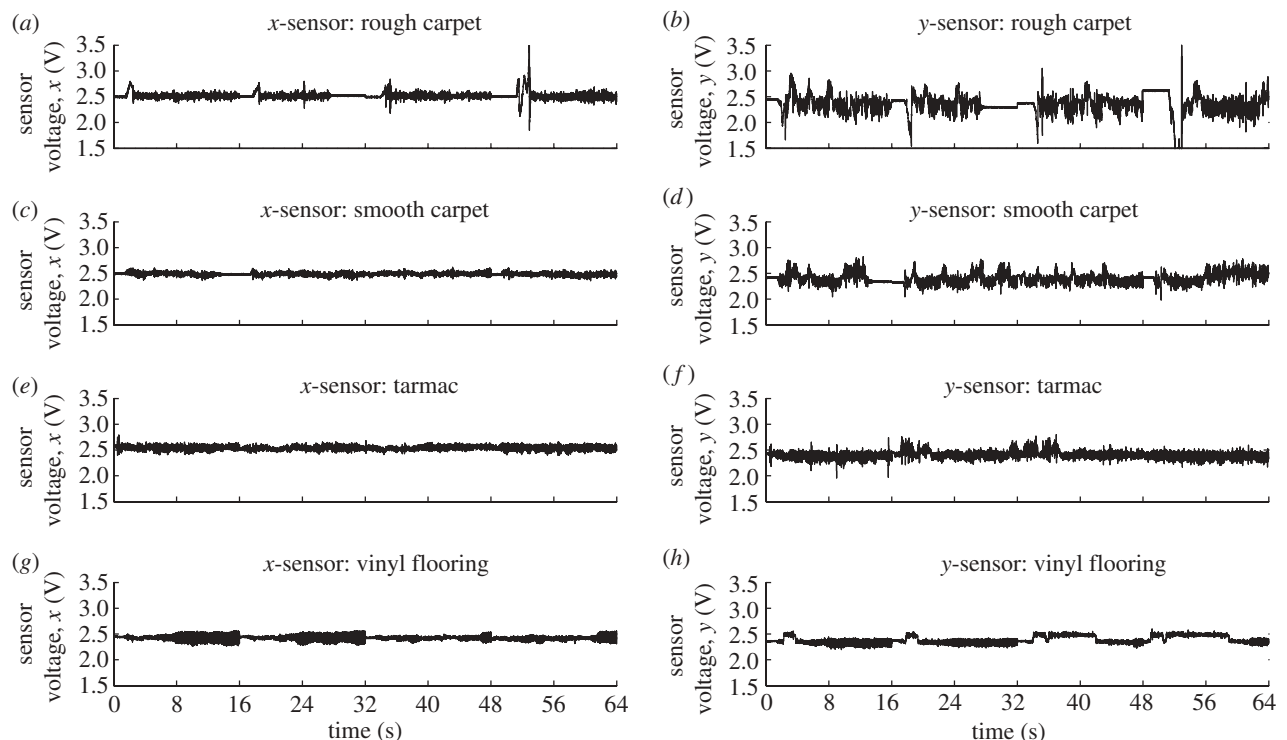


Figure 3. Autonomous motion data. Data collection as for figure 2, but with the robot moving autonomously in a series of externally unpredictable anticlockwise, clockwise and forward motions.

figure 2 for the stereotyped motion and figure 3 for the autonomous motion.

### 3. PROBABILISTIC CLASSIFICATION AND DECISION-MAKING

To introduce the relation between decision-making in neuroscience and inference over sequentially sampled

sensory data, we recall work by Gold & Shadlen [5,30] on the decision-making of two alternative forced choices. They argued that electrophysiological recordings from lateral intraparietal association cortex in awake behaving monkeys [4] match well with hypothesis testing by the sequential probability ratio test. The log-likelihood ratio (log LR), is central to this interpretation because it indicates whether alternative  $H_1$  or  $H_2$

is supported by a sample of sensory data  $s$ , as follows from using Bayes' rule  $p(H_k|s) = p(s|H_k)p(H_k)/p(s)$  to derive:

$$\left. \begin{aligned} \log \text{PR}(s) &= \log \text{LR}(s) + \log \text{HR}, \\ \log \text{PR}(s) &= \log \frac{p(H_1|s)}{p(H_2|s)}, \\ \log \text{LR}(s) &= \log \frac{p(s|H_1)}{p(s|H_2)} \\ \text{and} \quad \log \text{HR} &= \log \frac{p(H_1)}{p(H_2)}, \end{aligned} \right\} \quad (3.1)$$

where  $\log \text{PR}$  is the log posterior ratio and  $\log \text{HR}$  is the log prior ratio. For a known likelihood ratio function of the sampling distributions, the decision of whether hypothesis  $H_k$  is supported to a given (log) reliability  $\Theta_k$  is determined by threshold-crossing, such that if  $\log \text{PR}(s) \geq \Theta_k$ , then  $H_k$  is supported (corresponding to upward and downward threshold crossing, as depicted in the study of Gold & Shadlen [5], figure 2b). Considering many such independent identically distributed samples, the log LR becomes a sum of individual terms with the threshold-crossing rule determining when there is sufficient evidence to make a decision:

$$\log \text{LR}(s_1, \dots, s_n) = \sum_{i=1}^n \log \text{LR}(s_i) \geq \Theta_k - \log \text{HR}. \quad (3.2)$$

The similarity of this decision process to the observed accumulation of neural activity to threshold provides strong motivation that the relation between the log LR and the log PR gives a natural way of 'trading off sensory information, prior probability and expected value to form a perceptual decision' [30]. In particular, decision-making with the sequential probability ratio test optimizes the cost of making errors plus the cost per sample time of delaying the decision [7]. Thus, for a given accuracy, it gives the fastest reaction time [5,6].

How should this Bayesian approach to decision-making be applied to multiple competing alternatives? Denoting the training data from the four choices as  $T_1, \dots, T_4$  (for rough carpet, smooth carpet, tarmac, and vinyl flooring, respectively), the sampling distributions for single samples  $s$  are:

$$P(s|T_k) \equiv P(q(s)|T_k) = \frac{n_q(T_k)}{\sum_{q=1}^N n_q(T_k)}, \quad (3.3)$$

where  $n_q(T_k)$  is the total number of times that the binned sample value  $q(s)$  occurs over the time series and  $N$  is the number of bins. We consider pairs  $s = (x, y)$  of sampled voltage data corresponding to the two-dimensional whisker deflections, which for simplicity are assumed independent so that  $P(x, y|T_k) = P(x|T_k)P(y|T_k)$ . The likelihoods associated with these sampling distributions can then be used to define a probabilistic classifier to discriminate the four choices of textures.

Given some test data to be classified, the log posterior probability that its samples are drawn from the training data for choice  $T_k$  is found from the logarithm

of Bayes' rule:

$$\begin{aligned} \log P(T_k|s_1, \dots, s_n) &= \log P(s_1, \dots, s_n|T_k) \\ &\quad + \log P(T_k) - \log P(s_1, \dots, s_n), \end{aligned} \quad (3.4)$$

where  $P(T_k)$  is the prior probability of the data being from texture  $T_k$ . Here,  $\log P(s_1, \dots, s_n)$  is a normalization term that ensures the posteriors' sum to unity, and is found by summing the likelihoods and priors over all textures:

$$\log P(s_1, \dots, s_n) = \log \left[ \sum_{k=1}^4 P(s_1, \dots, s_n|T_k)P(T_k) \right]. \quad (3.5)$$

Considering conditionally independent and identically distributed samples for each choice of texture, an estimator for the log-likelihood is a sum of individual terms with:

$$\log P(s_1, \dots, s_n|T_k) = \frac{1}{m} \sum_{i=1}^n \log P(s_i|T_k), \quad (3.6)$$

where  $m > 0$  is a normalization (see below). The log posteriors are then found by evaluating equations (3.4)–(3.6) over  $n$  samples of training data.

Note that, in practice, we have evaluated the log posteriors from the estimated log likelihoods in discrete steps (here every 20 samples, or 10 ms). Mathematically, the likelihood to the right of equation (3.6) should be normalized by the number of combinations that could give the particular histogram of measurement values  $\{n_q\}$  in equation (3.3), otherwise the small values of the estimated likelihoods could present numerical floating point issues when calculating the posteriors (equation (3.5)). All log-likelihoods were thus accumulated with a normalization factor  $m = 20$  in equation (3.6), equivalent to using the average log likelihood as an estimator [31].

This study compares and contrasts two types of probabilistic classifiers based on the accumulated log likelihoods (3.6). Both classifiers assume that there is no biasing from prior knowledge of the occurrence frequency of the textures, so that the prior probabilities  $P(T_k) = 1/4$  are equal.

(i) *Maximum-likelihood classifier.* The first probabilistic classifier considers the number of samples  $n$  as a constant set in advance of the decision-making. Then the most probable choice of texture  $T_k$  to have produced the test data has the largest log posterior probability, corresponding to the maximal *a posteriori* estimate:

$$\begin{aligned} T &= \underset{T_k}{\operatorname{argmax}} P(T_k|s_1, \dots, s_n) \\ &= \underset{T_k}{\operatorname{argmax}} \left[ \sum_{i=1}^n \log P(s_i|T_k) \right], \end{aligned} \quad (3.7)$$

where we have used that both the normalizing term and prior are texture-independent and can be ignored in the  $\operatorname{argmax}$  operation. The probabilistic classification is then maximum-likelihood estimation over a fixed window of test data.



(ii) *Sequential Bayes' classifier*. By analogy with the sequential probability ratio test, the decision of when a choice of texture is supported to a given log reliability  $\theta_k$  is determined by when a log posterior crosses its threshold:

$$\log P(T_k | s_1, \dots, s_n) > \theta_k, \quad (3.8)$$

which determines the number of samples  $n$  used for the classification. We then take the most probable choice of texture  $T$  to have generated the test data as the above maximal *a posteriori* estimate (equation (3.7)).

For two choices, Bayesian sequential analysis (equation (3.8)) is formally equivalent to the sequential probability ratio test (equation (3.2)) with  $\Theta_k = \theta_k / (1 - \theta_k)$ , as follows by rearranging the threshold conditions. For given thresholds, it thus gives the fastest decision times [7]. For more than two choices, there exist more complicated sequential probability ratio methods that are asymptotically optimal [32], in that they optimize the speed-accuracy trade-off as the number of samples approaches infinity. In this study, we are concerned with decision-making over finite sample numbers on data that may invalidate optimality assumptions such as sample independence. Therefore, for simplicity, we confine our treatment to the fixed duration (maximum likelihood) and probability threshold crossing (sequential analysis) decision rules, and examine their comparative performance on naturally generated whisker sensor data.

#### 4. PROBABILISTIC TEXTURE DISCRIMINATION

An initial visual inspection indicates that the data look like noisy time series with means and variances that vary from texture to texture. All data show dead-zones and jumps where the whisker either became static (e.g. lost contact with the surface) or encountered an irregularity. For the rotating robot motion, there are systematic differences between clockwise and anticlockwise motion (first and last four trials in figure 2) because of the angle of the whisker to the robot body. Meanwhile, the autonomous motion consists of random transitions between these two states, interspersed with a third state of forward motion. All of these effects present challenges for classifying the textural data, but those that must be overcome if the classifier is to function robustly for an animal or autonomous robot in a natural environment.

##### 4.1. Training and sampling distributions

The initial 8 s of each trial was used for training data, leaving the final 8 s of each trial for later validation of the classification algorithms. The data were then pooled under four choices of robot motion: (i) stereotyped anticlockwise motion trials 1–4; (ii) stereotyped clockwise motion trials 5–8; (iii) either stereotyped motion trials 1–8; and (iv) autonomous motion trials 1–4.

The sampling distributions associated with the texture likelihoods of the measured  $x$ - and  $y$ -sensor voltages were found for the four textures under these four robot motions (figure 4). These probabilities were calculated from

binning the range of sensor voltages into 10 mV intervals and totalling the number of values in each bin. These totals represented the empirical frequencies of the samples, which when normalized by the sample numbers gave the probability distributions of the sensor values.

The sampling  $x$ -distributions (figure 4*a,c,e,g*) looked approximately Gaussian, whereas the  $y$ -distributions (figure 4*b,d,f,h*) were often non-Gaussian with a range of differences across the textures. The  $y$ -distributions also tended to be wider than the  $x$ -distributions, apparently owing to greater vertical motion of the whisker as it bumped along surface features compared with its horizontal motion relative to the robot.

In practice, it was necessary to smooth the sampling distributions to correct for bias in the training data. Without this smoothing, the few samples in the tails of the distributions led to errors in estimating the probabilities, which diminished the performance of the classifier. All sampled probabilities were thus convolved with a Gaussian smoother of width  $\sigma = 100$  mV (10 intervals), which improved the classification performance while smoothing on a relatively small scale when compared with the overall spread of data.

##### 4.2. Maximum-likelihood classification

Given data from an unknown texture, the sampling distributions plotted in figure 4 were first used to construct a texture classifier based on maximum-likelihood estimation over fixed temporal window duration [26,33]. For validation, the data were separated into discrete segments over which the texture was determined. The classifier then identified the maximum of the log-likelihood values accumulated over these sampling windows (equation (3.7)) as described in §3.

The proportion of correct classifications, or hits, was calculated for each of the four textures (figure 5*a–d*) under the four types of robot motion considered here, namely stereotyped clockwise, anticlockwise and either rotating motion, or autonomous motion under the robot's guidance system. In a previous study [26], using both  $x$ - and  $y$ -sensor voltage data was found to give the best classification (with no consistency for classification using only single  $x$ - or  $y$ -sensor data); hence, the present study considered only classification using both sensor directions. Then a feature common across all types of motion and all textures was that the reliability of the classification depended on the window duration, so that as more evidence was used, the decision became better. Roughly speaking, the reliability improved greatly as the window duration increased up to about 50–100 ms of data, and then modest gains occurred thereafter.

Comparing the hit rates for the individual stereotyped rotating motions (figure 5*a,b*), the maximum-likelihood classifier performed accurately over both anticlockwise or clockwise rotating motion. Mean hit rates were 91 and 85 per cent for a 200 ms window. Furthermore, when the classifier was agnostic to the direction of rotation (figure 5*c*), it still performed at a similar accuracy, achieving a mean hit rate of 89 per cent over a 200 ms window. Thus, by combining the likelihoods for the clockwise and anticlockwise motion, the classifier was able to perform well on either type of motion, even though the individual likelihoods

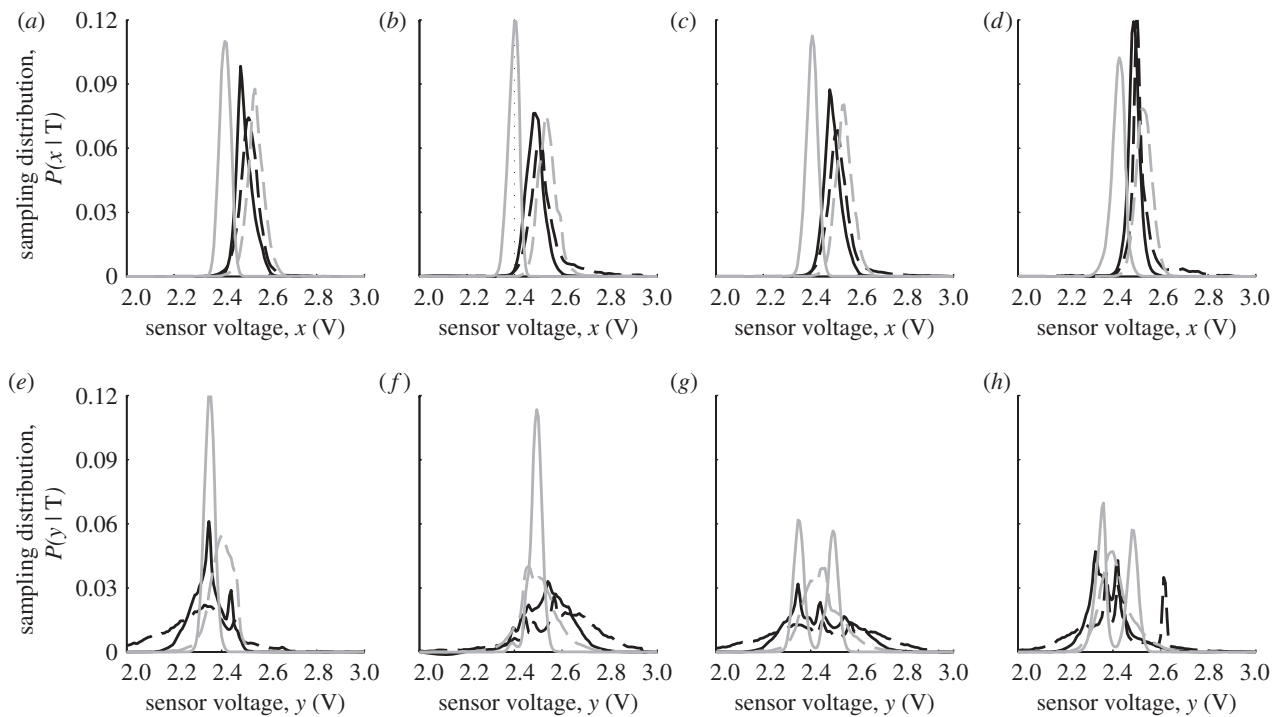


Figure 4. Texture sampling distributions. The sampling distributions associated with texture likelihoods were calculated from the empirical frequencies with which the samples occurred in training data for the four textures. (a–d) Distributions from the sensor  $x$ -component and (e–h)  $y$ -component. The horizontal groups of panels are ordered by robot motion for anticlockwise, clockwise, either rotating and autonomous motion. Black dashed line, rough carpet; black solid line, smooth carpet; grey dashed line, tarmac; grey solid line, vinyl flooring.

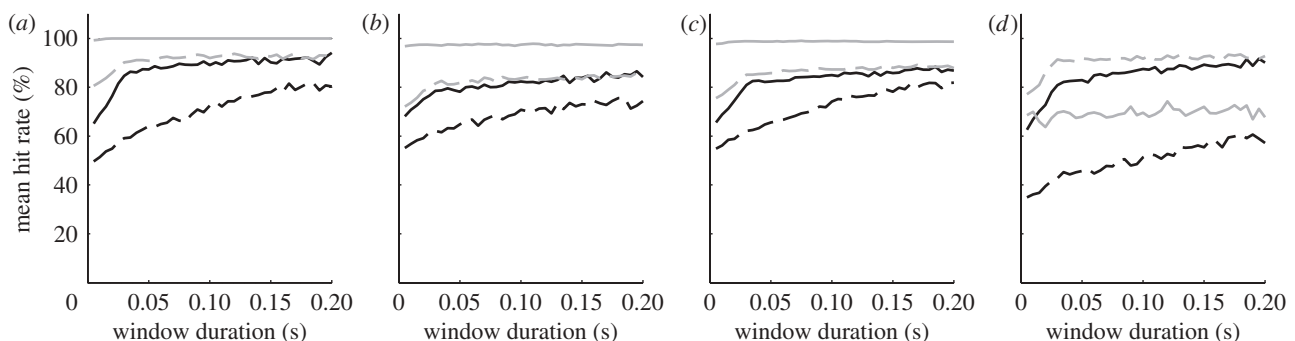


Figure 5. Hit rates for maximum-likelihood classifier. The percentages of correct classification were evaluated over validation data from the four textures for the maximum-likelihood classifier with fixed window durations. The four types of robot motion ((a) stereotyped anticlockwise, (b) clockwise, (c) either rotation, and (d) autonomous motion) were considered separately. Black dashed line, rough carpet; black solid line, smooth carpet; grey dashed line, tarmac; grey solid line, vinyl flooring.

were very different (cf. figure 4e, f). This ability to generalize by combining likelihood information was a key aspect of all probabilistic classifiers.

For the autonomous motion (figure 5d), the hit rates on two of the four textures (smooth carpet and tarmac) were comparable with the accuracy achieved for stereotyped motion. However, the hit rates on the two other textures (rough carpet and vinyl) were degraded to about around 60 per cent, which brought the overall mean hit rate on autonomous motion down to 77 per cent for a 200 ms window. Even so, given the considerable complexity of the autonomous motion, consisting of random anticlockwise, clockwise and forward motions, the maximum-likelihood classifier demonstrated that it

can successfully generalize over these motions by combining the probability distributions.

#### 4.3. Sequential Bayes' classification

A probabilistic classifier based on Bayesian sequential analysis was then considered. Log posterior probabilities for each of the four textures were evaluated over an increasing duration of test data, with the class given by the maximal value at the time of passing a preset (log) probability threshold (equation (3.8)). For validation, the sequential Bayes' classifier ran until it made a decision (example shown in figure 7), after which the posterior probabilities were reset to

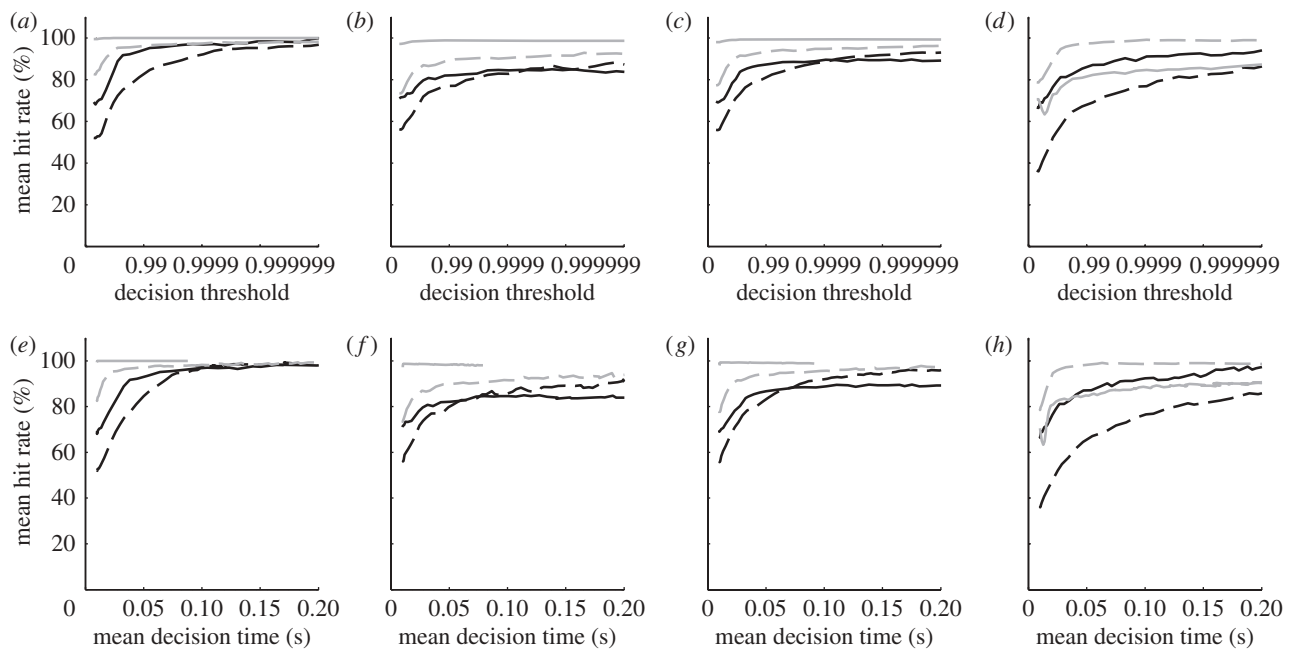


Figure 6. Hit rates for sequential Bayes' classifier. The percentages of correct classification were evaluated over validation data from the four textures for the sequential Bayes' classifier. The four types of robot motion ((a,e) stereotyped anticlockwise, (b,f) clockwise, (c,g) either rotation, and (d,h) autonomous motion) were considered separately. (a–d) Mean hit rate plotted against decision threshold and (e–h) mean hit rate against the mean decision time calculated at the same decision threshold. Black dashed line, rough carpet; black solid line, smooth carpet; grey dashed line, tarmac; grey solid line, vinyl flooring.

their flat prior values of one-quarter and the sequential classification began again. Note that this process is reminiscent of the time course of neuronal activity recorded from the parietal cortex of monkeys during a decision-making task (e.g. [5], figure 5c).

The hit rates were calculated for each of the four textures under the four types of robot motion (figure 6a–d; top row) for probability thresholds ranging from 0.5 to 0.99999999. Similar to maximum-likelihood classification, the accuracy improved by increasing the decision parameter, which here was the probability threshold (whereas maximum-likelihood classification varied the decision time directly). For each texture, the decision times had a distribution depending upon the chosen probability threshold (examples in figure 8), from which we plotted the mean decision time against the mean hit rate parametrized with the same probability threshold (figure 6e–h; bottom row). Unlike the maximum-likelihood classifier, these timing distributions also depended upon the test texture. For example, vinyl was relatively easy to discriminate and had quick decisions (figure 8d), whereas smooth carpet was more difficult and took longer (figure 8b). Note that these reaction time distributions are not unlike those found in humans and animals (e.g. [6], figure 1a).

The most striking aspect of the hit rates for the sequential Bayes' classifier was that they were substantially better than those for the maximum-likelihood classifier (cf. figures 5 and 6e–h). In particular, mean hit rates over all textures were 6–16% greater than the maximum-likelihood method for mean decision times close to 200 ms (tables 1 and 2). This is consistent because the sequential Bayes' classifier chooses the appropriate data duration to classify (increasing or reducing the sample number with ambiguity or clarity), whereas the maximum-likelihood classifier is restricted to a fixed duration.

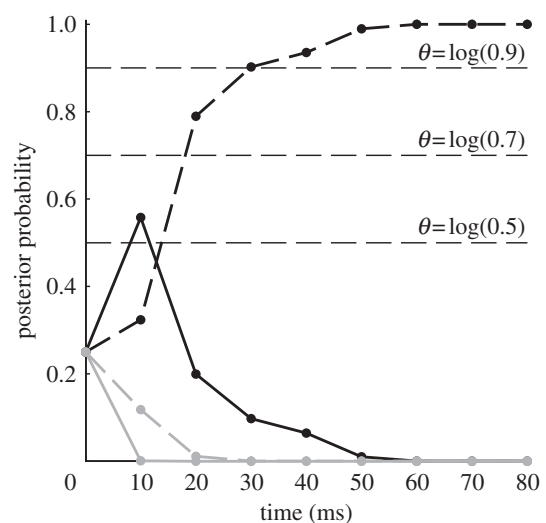


Figure 7. Example of texture discrimination for the sequential Bayes' classifier. The posterior probabilities are plotted against increasing the window duration of sampled test data (for rough carpet; texture classes denoted with the line styles from figures 4–7). Probability thresholds of 0.5, 0.7 and 0.9 are also shown, giving decision times of 10, 20 and 30 ms, respectively.

The overall accuracy of the sequential Bayes' classifier was generally as good as could be reasonably expected on noisy data with artefacts such as jumps and dead zones. For less than 200 ms of data, the average hit rates over all textures were well above 90 per cent, which is considerably better than other classification methods applied to texture data from artificial vibrissa, as discussed later. The classifier was also able to generalize over robot motions by combining likelihood information. For mean decision times of approximately 200 ms, there

Table 1. Maximum-likelihood classifier; 200 ms temporal window (400 samples).

robot motion	rough carpet (%)	smooth carpet (%)	tarmac (%)	vinyl flooring (%)	mean hit rate (%)
anticlockwise	80	94	91	100	91
clockwise	74	84	84	97	85
rotating	82	87	88	99	89
autonomous	57	90	93	68	77

Table 2. Sequential Bayes' classifier. (Decisions taking close to 200 ms (400 samples).)

robot motion	rough carpet (%)	smooth carpet (%)	tarmac (%)	vinyl flooring (%)	mean hit rate (%)
anticlockwise	99	98	99	100	99
clockwise	91	84	94	98	92
rotating	96	89	97	99	95
autonomous	85	97	99	91	93

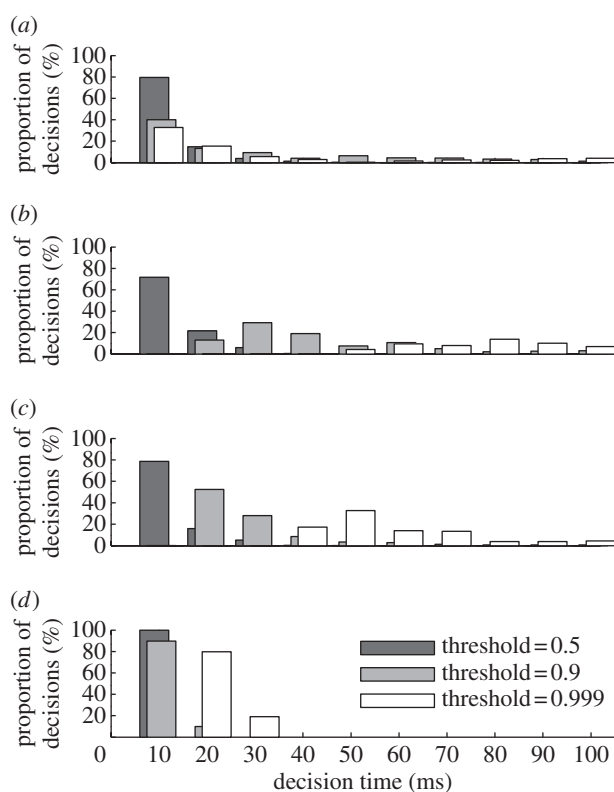


Figure 8. Example of decision times for the sequential Bayes' classifier. Histograms of the decision times are shown for each of the four textures. Data were taken from the stereotyped rotating motion, with probability thresholds 0.5, 0.9 and 0.999. (a) Rough carpet; (b) smooth carpet; (c) tarmac; (d) vinyl.

was an overall 95 per cent reliability over both anticlockwise and clockwise rotations (table 2). Meanwhile, on autonomous motion, the sequential Bayes' classifier had a 93 per cent mean hit rate (compared with 77% for the maximum-likelihood classifier).

## 5. COMPARISON WITH NON-PROBABILISTIC TEXTURE DISCRIMINATION

Previous studies of texture classification with artificial whisker sensors have used the frequency spectrum of the whisker signals [23–25,34–36]. The main idea is

that contacts with various textured surfaces will cause whiskers to vibrate at distinct frequencies and amplitudes that are characteristic of the contacted surfaces. Here, we consider two methods of classifying the surfaces from their power spectra that have been applied previously to whisker data from mobile robots: spectral template matching [24,25] and spectral pattern recognition with a neural network [23,34,36].

### 5.1. Spectral template classification

Similar to §4.1, training data were taken from the initial 8 s of each trial and validation data from the final 8 s, with the selection of training and validation data identical for all classifiers considered in this article. The data were separated into 400 ms (800 sample) segments, giving 20 training and 20 validation sets from each of the 12 trials for each of the four textures. (Note that this uses at least twice the data of the probabilistic classifiers, but not favouring the spectral methods with less data led to an overly poor resolution of the power spectrum.) These 400 ms segments were then transformed to frequency space by numerical calculation of the discrete fast-Fourier transform using the Cooley–Tukey algorithm. The power spectrum was then found from the square of the absolute value of the Fourier transform value at each frequency (figure 9).

Templates for texture classification were constructed from the mean power spectra over these textures in the training data (figure 9). These power spectra peaked in the 30–40 Hz range with amplitude characteristic of the texture. Classification was then achieved by calculating the total root-mean-square error from these mean power spectrum templates for each of the four textures, with the least error specifying the chosen class [24,25]. Discriminators included that tarmac and rough carpet had large surface features (figure 1), which led to significant low-frequency power for a whisker tip moving at a few centimetres per second over centimetre-scale features. Another discriminator was the amplitude of the resonant peak near 30 Hz, which showed a clear dependence on surface type (figure 9), such as that from the friction of the whisker tip against the surface.

Results of the spectral template method on validation data were of moderate accuracy, with mean hit



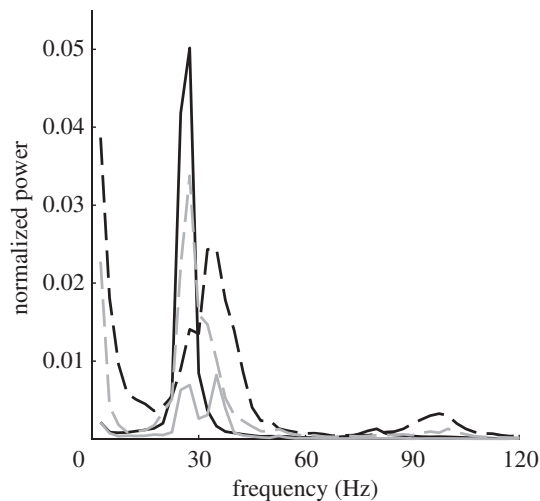


Figure 9. Power spectra of whisker signals. Whisker deflection power spectra are shown for trials 1 to 4 (anticlockwise rotating motion), which were taken over 400 ms windows and then averaged. These spectra are normalized by the mean total power for all textures. Black dashed line, rough carpet; black solid line, smooth carpet; grey dashed line, tarmac; grey solid line, vinyl flooring.

rates around 50–70% (table 3). These hit rates were about 20–40% poorer than the maximum-likelihood classifier and 30–50% poorer than the sequential Bayes' classifier.

### 5.2. Spectral pattern recognition with neural networks

Another method for classifying texture data from their frequency spectra is to employ pattern recognition techniques via multi-layer neural networks [23,34,36]. For proper comparison with the other classifiers, we used the same training and validation datasets employed elsewhere in this article (with 400 ms segments of whisker data sampled at 2 kHz, again favouring these methods over the probabilistic classifiers). The power spectrum was determined similarly to the spectral template method in §5.1. However, in order to reduce the number of inputs to the neural network, only the spectrum of up to 120 Hz was considered, as the power above this region is close to zero (figure 9).

It is well known that feed-forward neural networks can recognize patterns, for which they are commonly termed multi-layer perceptrons (MLPs). Here, we used an MLP with 120 inputs, 20 neurons in the hidden layer and four output neurons (one for each texture). Each neuron in the hidden and output layers had a log-sigmoid transfer function that generated outputs between 0 and 1. The hidden network weights were learnt using backpropagation and overall optimization achieved via scaled conjugate gradient descent with cost function of the root mean square error on the network output. The classification of new test data was then given by the highest output on the MLP outputs, and the hit rates equal to the proportion of correct classification to the overall number of test trials. In the following results, the network was trained 10 times and only used if it converged into a reliable classifier (all hit rates greater than 25%).

Results of the neural network classifier on validation data were of good accuracy, with mean hit rates on all types of motion around 70–80% (table 4; mean result over 10 training sessions). These hit rates were considerably higher than the spectral template matching method from §5.1. The better performance of the neural network method compared with the template matching is not surprising in hindsight, because MLPs are capable of capturing quite complicated relations between data. This does come at a significant expense of computational cost, with the template matching method taking only a fraction of a second to train and the neural network method taking many tens of seconds (on a standard 2 GHz PC with 4 Gb of RAM).

In comparison with the maximum likelihood and sequential Bayes' classifiers, the neural network classifier was about 7–17% and 14–24% less accurate, respectively.

## 6. DISCUSSION

We have shown that a sequential Bayes' classifier related to leading proposals for perception in animals outperforms the existing alternative accounts of texture discrimination in our physical model of the rat whisker system. In Bayesian sequential analysis, the evidence for competing hypotheses (here percepts of texture) was accumulated over time until reaching a preset threshold when a decision was made. We found that alternative, non-probabilistic classification methods considered previously [24,25,34] were generally around 20–50% poorer in accuracy than the sequential analysis, while a probabilistic method based on (fixed-response time) maximum-likelihood estimation [26,33] was about 10 per cent poorer.

The better performance of sequential analysis over maximum-likelihood estimation originated in using variable reaction times from a probability threshold for decision-making. Both of these probabilistic classifiers used an evidence accumulation framework based on log-likelihood integration assuming sampling independence over time. The maximum-likelihood method was restricted to a predefined decision time, whereas the sequential Bayes' classifier dynamically made the decision when at least one inferred posterior probability reached a preset probability threshold. Given that neuronal recordings in monkeys' making perceptual decisions suggest a mechanism of probabilistic threshold crossing [3–5] and that here we have demonstrated clear benefits for texture discrimination with artificial whiskers using a similar decision rule, we suggest that rats may also use this discrimination strategy to perceive texture.

We also found that the performance of the sequential Bayes' classifier improved dramatically as the resulting decision times increased up to 100 ms, but improved slowly thereafter. Hence, the optimal integration time in the trade-off between speed and accuracy would be around 100 ms. It is intriguing that in behaving rats, texture discrimination is achieved over a similar time frame, consisting typically of three to four contacts of about 50 ms duration each [37]. In other words, the rat may collect and analyse three to four samples of whisker vibration in order to make a fast and accurate

Table 3. Template classifier of power spectrum. (Fourier transform over 400 ms window (800 samples).)

robot motion	rough carpet (%)	smooth carpet (%)	tarmac (%)	vinyl flooring (%)	mean hit rate (%)
anticlockwise	65	64	54	100	71
clockwise	36	53	3	96	47
rotating	32	60	49	97	48
autonomous	6	43	83	79	53

Table 4. Neural network classifier of power spectrum. (Fourier transform over 400 ms window (800 samples).)

robot motion	rough carpet (%)	smooth carpet (%)	tarmac (%)	vinyl flooring (%)	mean hit rate (%)
anticlockwise	68	84	84	99	84
clockwise	48	56	72	94	68
rotating	68	81	79	97	81
autonomous	62	57	91	96	76

decision. Thus, the time scale is similar in optimizing biological and robot texture classification.

These results inform about sensory encoding in biological systems, specifically rodents, in their relation to various hypotheses about which features of whisker motion are important for texture discrimination. One idea is that the entire set of whiskers functions analogously to the cochlea in performing frequency analysis [16] owing to differences in vibrassae properties across the face [38], resulting in differential resonant frequencies. A related idea is that a single whisker could also use resonance as a texture cue, by considering the variation in oscillation amplitude [35] or mean velocity [13] from the resonant interaction of surface features with the intrinsic whisker dynamics. Instead, another hypothesis stresses the kinematic conversion of surface shape into trains of discrete motion events (stick-slips) by individual whiskers [15], such that those with high velocity (and high acceleration) can encode textures by their occurrence, number and possibly timing [9–12,39,40]. Meanwhile, another related view is that the mean speed of whisker micro-motion over the contact could also cue for texture [8,14,15].

In our robot study of the rat whisker system, the artificial whisker resonated when contacting natural surfaces, as was evident from both the fine detail of the whisker contact (figure 10) and the peak near 30 Hz in the frequency spectra (figure 9). The amplitude of this oscillation varied systematically with surface roughness to give a discriminator for the probabilistic classifiers from the variance of the sampling distributions associated with each likelihood (figure 4). Therefore, in the present robot study, the probabilistic classifiers used changes in resonance amplitude to discriminate texture. However, recent experimental evidence found that the power spectra of rat whisker movements during voluntary palpation of various sandpaper surfaces have little systematic variation with texture ([10], figure 6*c,d*). Taken on face value, this result suggests that the rat does not extract the same kinematic features as the robot with a biomimetic whisker and probabilistic classifier over the time series of whisker deflections.

However, closer examination does reveal some subtleties when directly comparing biological studies with the

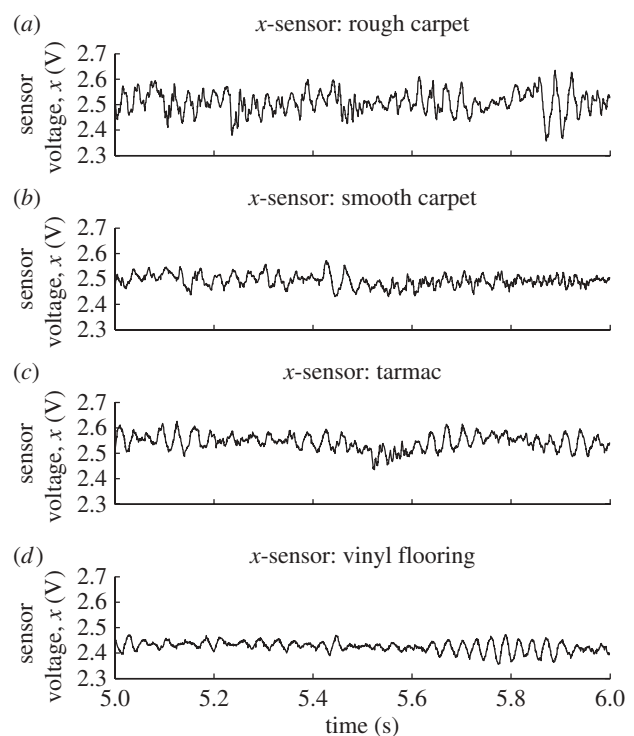


Figure 10. Whisker deflection profiles for four textures. Whisker deflection profiles are shown for trial 1 (from 5 to 6 s).

present robot treatment, which are informative about both how the biological system is configured for tactile sensing and how robots could better use artificial whisker sensors.

An argument against the resonance hypothesis was that the power of the whisker vibrations at the resonance frequency does not vary substantially across textures, and therefore cannot discriminate surfaces [10]. However, a visual inspection of the power spectra from the biomimetic whisker in the present study (figure 9) revealed only a small amount of systematic variation between several textures, and yet the surfaces can be reliably identified to about 70–80% with a neural network classifier of the power spectrum (table 4). Therefore, what may appear to be small differences in the overall shape of the power

spectra can be sufficient for reasonable classification. In our view, the most convincing way to demonstrate that the power spectra are not sufficient for reliable classification is to apply an appropriate classifier to the whisker signals, such as the neural network method considered here, which was not attempted in the original study [10].

Furthermore, the probabilistic classifiers (sequential analysis and maximum likelihood) must also use features other than the resonance amplitude to achieve better performance than classifiers based solely on the power spectra. A distinctive feature is that mean whisker positional deflection (evident in figure 4) varied systematically with surface roughness, as was also evident in the whisker deflection profiles (figure 10). Physically, as the friction between the surfaces and the whisker increased, the whisker was dragged back more strongly, which led to greater mean deflection. This signal component is not usually considered in the biological literature, even though the animal has information about whisker position at the thalamic relay to sensory cortex [41]. The contribution of this effect to the perception of texture could be investigated in behavioural experiments, for example, by checking whether rodents can discriminate surfaces of equal roughness but differing friction or by presenting demeaned artificial whisker vibrations.

In general, discrimination based on the probabilistic classifiers will use all the available evidence in the likelihood function to give the best decision. In the present robot experiment with fixed, passive biomimetic whiskers, this evidence related to the amplitude of the whisker resonance and its mean deflection, as represented in the mean and variance of the sampling distributions associated with the likelihoods. However, in rodent experiments such as those described above [10,11,40], the whiskers were actively dabbed against surfaces rather than passively brushed along them; moreover, the scale of the whiskers was such that the resonance shifted to higher frequencies (around 100 Hz rather than 30 Hz). In such circumstances, it appears from the biology that stick-slip events overtake the resonance amplitude as the dominant signal component for reliable texture discrimination [9–12,39,40].

To investigate these proposals further in a robot implementation would require a follow-up study in which the whiskers are actuated rather than mounted in a fixed position, such as that with the BIOTACT sensor which consists of a whisking head mounted on a robot arm [28]. The head of the BIOTACT sensor has multiple whiskers of differing lengths akin to rodent vibrissae, also allowing investigation of multi-whisker integration and the effect of whisker dynamics on discrimination. (For example, shortening whiskers to reduce interference between the resonance and micro-features of the surface has been reported to improve texture discrimination [23].) Direct control of the head and whiskers would also allow study of reaction-time and perception in an artificial device; for example, by manipulating the costs of making errors and delaying decisions, which are fundamental aspects of animal perception [5].

The value of this approach for understanding biological sensing is that the experimenter can design the robot to test theories motivated by the biology, whereas

biology is principally an empirical science based on systems that are given. Combining both biological and engineering approaches through biomimetics, can in principle answer questions that are not answerable by biology alone [42]. The results presented here cast light on how the various previous proposals for rodent texture discrimination are dependent on the whisker contact mechanics, and suggest a number of potential lines of enquiry for future neurobiological studies. We would also anticipate that extending our biomimetic approach to robotic systems that incorporate additional aspects of biological vibrissal sensing, such as active control of whisker movement, should help resolve these issues in tactile sensing and contribute to a common account of decision-making across mammalian species.

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