

# ***ELEC 312*** ***Systems I***

## **System Stability** **(Derived from Notes by Dr. Robert Barsanti)** **(Images from Nise, 7<sup>th</sup> Edition)**

### **Required Reading: Chapter 5,** ***Control Systems Engineering***

March 2, 2015

## **System Stability**

Stability is the most important system specification.

If a system is unstable, transient response and steady-state error analyses are useless.

An unstable system cannot be designed for a specific transient response or steady-state error requirement.

There are many definitions for stability, depending upon the kind of system or the point of view.

## **System Stability**

We can control the output of a system if the steady-state response consists of only the zero-state (forced) response.

However, the total response of a system is the sum of the zero-state (forced) and zero-input (natural) responses, or

$$y(t) = y_F(t) + y_N(t).$$

## **Stability Definitions:** **Stable vs. Unstable vs. Marginally Stable**

- An LTI system is **stable** if the zero-input (natural) response approaches zero as time approaches infinity.
- An LTI system is **unstable** if the zero-input (natural) response grows without bound as time approaches infinity.
- An LTI system is **marginally stable** if the zero-input (natural) response neither decays nor grows but remains constant or oscillates as time approaches infinity.

The definition of stability implies that only the zero-state (forced) response remains as the zero-input (natural) response approaches zero.

## Bounded-Input, Bounded-Output (BIBO) Stability Definitions: Stable vs. Unstable vs. Marginally Stable

- An LTI system is **stable** if **every** bounded input yields a bounded output.
- An LTI system is **unstable** if **any** bounded input yields an unbounded output.
- An LTI system is **marginally stable** if **some** bounded inputs yield bounded outputs, but **other** bounded inputs yield unbounded outputs.

## Bounded-Input, Bounded-Output (BIBO) Stability Definitions: Stable System Example

Consider the system with transfer function

$$G(s) = \frac{1}{s+1}.$$

Is this system stable?

Note that the system has a single pole at  $s = -1$ .

Consider an arbitrary bounded input  $x(t)$  with Laplace transform  $X(s)$ . Then the output of this system is given by

$$\begin{aligned} Y(s) &= G(s)X(s) = \frac{1}{s+1}X(s) = \frac{C_1}{s+1} + C_2X(s) \\ \implies y(t) &= C_1e^{-t} + C_2x(t). \end{aligned}$$

Note that the term  $e^{-t}$  decays to zero as time approaches infinity.

Therefore, if  $x(t)$  is bounded, then  $y(t)$  is also bounded.

Therefore, the system represented by  $G(s)$  is **stable**.

## Bounded-Input, Bounded-Output (BIBO) Stability Definitions: Unstable System Example

Consider the system with transfer function

$$G(s) = \frac{1}{s-1}.$$

Is this system stable?

Note that the system has a single pole at  $s = 1$ .

Consider an arbitrary bounded input  $x(t)$  with Laplace transform  $X(s)$ . Then the output of this system is given by

$$\begin{aligned} Y(s) &= G(s)X(s) = \frac{1}{s-1}X(s) = \frac{C_1}{s-1} + C_2X(s) \\ \implies y(t) &= C_1e^t + C_2x(t). \end{aligned}$$

Note that the term  $e^t$  grows without bound as time approaches infinity.

Therefore, even though  $x(t)$  is bounded, the output  $y(t)$  is always unbounded.

Therefore, the system represented by  $G(s)$  is **unstable**.

## Bounded-Input, Bounded-Output (BIBO) Stability Definitions: Marginally-Stable System Example

Consider the system with transfer function

$$G(s) = \frac{s}{s^2+1}.$$

Is this system stable?

Note that the system has a pair of imaginary conjugate poles at  $s = \pm j$ .

Consider a step input  $x(t) = u(t)$  (which is bounded) with Laplace transform  $X(s) = \frac{1}{s}$ . Then the output of this system is given by

$$\begin{aligned} Y(s) &= G(s)X(s) = \frac{s}{s^2+1} \cdot \frac{1}{s} = \frac{1}{s^2+1} \\ \implies y(t) &= \sin(t). \end{aligned}$$

Note that  $y(t)$  is also bounded.

## Bounded-Input, Bounded-Output (BIBO) Stability Definitions: Marginally-Stable System Example (continued)

Now consider a sinusoidal input  $x(t) = \sin(t)$  (which is bounded) with Laplace transform  $X(s) = \frac{1}{s^2+1}$ . Then the output of this system is given by

$$Y(s) = G(s)X(s) = \frac{s}{s^2+1} \cdot \frac{1}{s^2+1} = \frac{s}{(s^2+1)^2}$$

$$\Rightarrow y(t) = \frac{1}{2}t \sin(t).$$

Note that  $y(t)$  is unbounded.

Therefore, we have found that one bounded input produces a bounded output, while another bounded input produces an unbounded output.

Therefore, the system represented by  $G(s)$  is **marginally stable**.

## Conditions for Stability in Time Domain and Complex Domain

Consider a general causal LTI system given by

$$a_n \frac{d^n y(t)}{dt^n} + \dots + a_1 \frac{dy(t)}{dt} + a_0 y(t) = b_m \frac{d^m x(t)}{dt^m} + \dots + b_1 \frac{dx(t)}{dt} + b_0 x(t)$$

with a Laplace transform given by

$$Y(s) [a_n s^n + a_{n-1} s^{n-1} + \dots + a_0] = X(s) [b_m s^m + b_{m-1} s^{m-1} + \dots + b_0]$$

and transfer function given by

$$G(s) = \frac{Y(s)}{X(s)} = \frac{b_m s^m + b_{m-1} s^{m-1} + \dots + b_0}{a_n s^n + a_{n-1} s^{n-1} + \dots + a_0}.$$

For a causal system, the **region of convergence (ROC)** lies to the right of the pole(s) with the largest real part. If  $G(s)$  is reduced, then the **denominator** of  $G(s)$  is a polynomial in  $s$  and is the **characteristic polynomial** of the system represented by  $G(s)$ .

## Conditions for Stability in Time Domain and Complex Domain

If  $G(s)$  is reduced, then we can factor

$$G(s) = \frac{(s - z_1)(s - z_2) \dots (s - z_m)}{(s - p_1)(s - p_2) \dots (s - p_n)}$$

where the  $z_i$ 's are the system **zeros** ( $|G(z_i)| = 0$ ) for  $1 \leq i \leq m$ , and the  $p_i$ 's are the system **poles** ( $|G(p_i)| = \infty$ ) for  $1 \leq i \leq n$ .

Using partial-fraction expansion, we have

$$G(s) = \frac{C_1}{s - p_1} + \frac{C_2}{s - p_2} + \dots + \frac{C_n}{s - p_n},$$

and the system impulse response is given by

$$g(t) = [C_1 e^{p_1 t} + C_2 e^{p_2 t} + \dots + C_n e^{p_n t}] u(t),$$

where the  $p_i$ 's are **complex**, in general.

## Conditions for Stability in Time Domain and Complex Domain

Recall that that any output of the system

$$Y(s) = Y_N(s) + Y_F(s) = \underbrace{\frac{C_1}{s - p_1} + \frac{C_2}{s - p_2} + \dots + \frac{C_n}{s - p_n}}_{Y_N(s)} + Y_F(s)$$

$$= \underbrace{\frac{C_1}{s - p_1} + \frac{C_2}{s - p_2} + \dots + \frac{C_n}{s - p_n}}_{\text{System Terms}} + \text{Input Terms}$$

It is the nature of the system poles that determines the zero-input (natural) response of the system. The relationship between system response and system pole location is shown below:

Real:	$\frac{C}{s - p_i} \quad p_i < 0$	$\Rightarrow C e^{p_i t} u(t)$	$\Rightarrow$ Decaying exponential
	$p_i = 0$	$\Rightarrow C u(t)$	$\Rightarrow$ Constant
	$p_i > 0$	$\Rightarrow C e^{p_i t} u(t)$	$\Rightarrow$ Growing exponential
Imaginary:	$\frac{C}{s - p_i} \quad p_i = j\omega$	$\Rightarrow C e^{j\omega t} u(t)$	$\Rightarrow$ Sinusoidal
Complex:	$\frac{C}{s - p_i} \quad p_i = \sigma + j\omega, \sigma < 0$	$\Rightarrow C e^{\sigma t} e^{j\omega t} u(t)$	$\Rightarrow$ Decaying Sinusoidal
	$p_i = \sigma + j\omega, \sigma > 0$	$\Rightarrow C e^{\sigma t} e^{j\omega t} u(t)$	$\Rightarrow$ Growing Sinusoidal

## Conditions for Stability in Time Domain and Complex Domain

Therefore, the zero-input (natural) response of an LTI system will have the form

$$y_N(t) = C_1 e^{p_1 t} + C_2 e^{p_2 t} + \dots + C_n e^{p_n t}$$

where the  $p_i$ 's are the poles of  $G(s)$ . Note that

- If all poles have real parts that are negative, then all terms in  $y_N(t)$  decay. Therefore, the zero-input (natural) response approaches zero as time approaches infinity, and the LTI system is **stable**.
- If any pole  $p_i$  has a real part that is positive, then the term  $e^{p_i t}$  grows without bound. Therefore, the zero-input (natural) response grows without bound as time approaches infinity, and the LTI system is **unstable**.
- If all poles have real parts that are non-positive, but at least one pole  $p_i$  has a real part that is zero, then the term  $e^{p_i t}$  either is constant or oscillates. Therefore, the zero-input (natural) response neither decays nor grows (but remains constant or oscillates) as time approaches infinity, and the LTI system is **marginally stable**.

## Conditions for Stability in Time Domain and Complex Domain

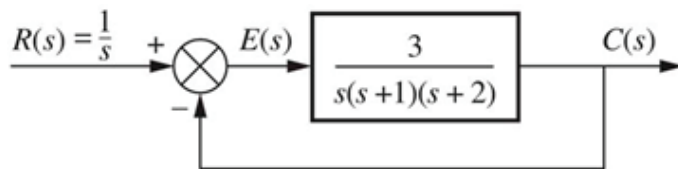
1. The **form** of the system response is determined by the system poles {roots of characteristic equation}.
2. For the above causal system to be **stable**, all poles  $\{p_i\}$  must have  $\text{Re}\{p_i\} < 0$ , i.e. HAVE NEGATIVE REAL PARTS.  
Therefore, **ALL POLES MUST LIE IN THE LEFT-HALF PLANE**.
3. Since the ROC of a causal system lies to the right of the **dominant** pole, a causal stable LTI system must have a ROC that includes the **imaginary** axis.

Note that the **dominant pole** of a causal, stable LTI system

- is the smallest magnitude  $-\text{Re}\{p_i\}$ , or  $\min[-\text{Re}\{p_i\}]$ , or the largest  $\text{Re}\{p_i\}$ .
- is dominant since its associated exponential term will decay the slowest.
- lies closest to the imaginary axis.

### Stability: Example 1

Consider the system below:



Determine the poles of the forward-path transfer function  $G(s)$  and if  $G(s)$  is stable. Are these the system poles?

### Stability: Example 1 (continued)

Using MATLAB Code:

```
G = tf(3,[1 3 2 0])
p = pole(G)
if (isstable(G))
    disp('System G(s) is Stable!')
else
    disp('System G(s) is NOT Stable!')
end
```

MATLAB Output:

G =

```
      3
-----
s^3 + 3 s^2 + 2 s
```

Continuous-time transfer function.

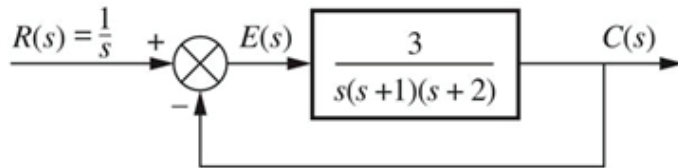
p =

```
      0
     -2
     -1
```

System G(s) is NOT Stable!

## Stability: Example 1 (continued)

Consider the system below:



Determine the closed-loop transfer function  $G_e(s) = \frac{C(s)}{R(s)}$ , the system poles, and if  $G_e(s)$  is stable.

## Stability: Example 1 (continued)

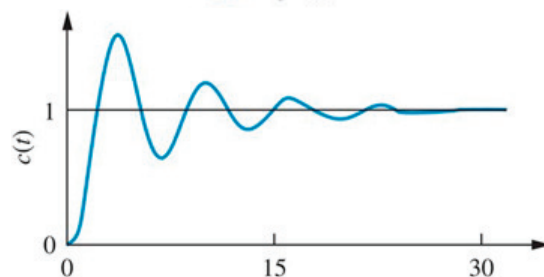
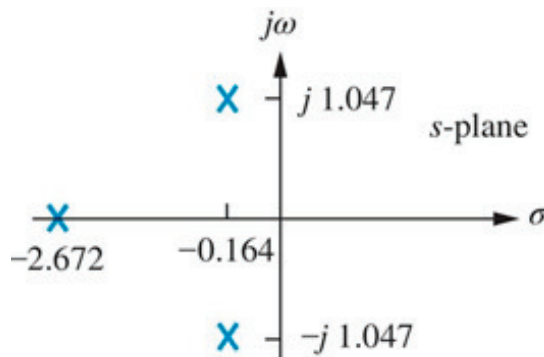
Using MATLAB Code:

```
G = tf(3,[1 3 2 0]);
Ge = feedback(G,1)
p = pole(Ge)
if (isstable(Ge))
    disp('System G_e(s) is Stable!')
else
    disp('System G_e(s) is NOT Stable!')
end
```

MATLAB Output:

<p>Ge =</p> $\frac{3}{s^3 + 3s^2 + 2s + 3}$ <p>Continuous-time transfer function.</p>	<p>p =</p> $\begin{aligned} &-2.6717 + 0.0000i \\ &-0.1642 + 1.0469i \\ &-0.1642 - 1.0469i \end{aligned}$ <p>System G_e(s) is Stable!</p>
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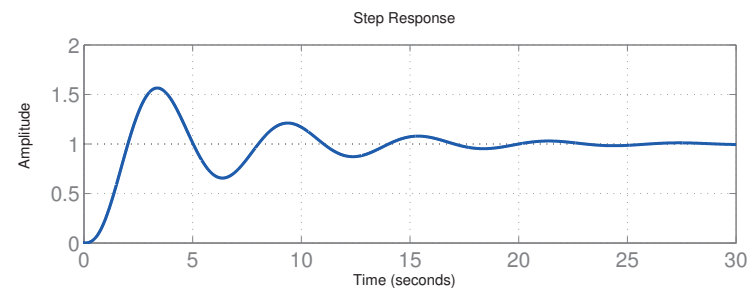
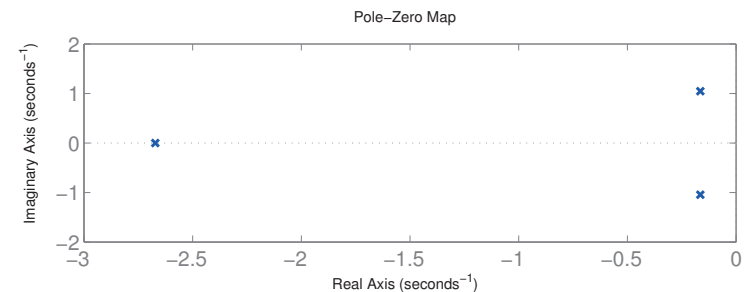
## Stability: Example 1 (continued)



Using MATLAB:

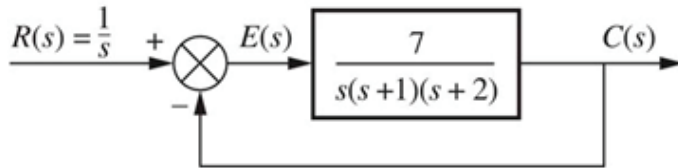
```
G = tf(3,[1 3 2 0]);
Ge = feedback(G,1);
pzmap(Ge)
step(Ge)
```

## Stability: Example 1 (continued)



## Stability: Example 2

Consider the system below:



Determine the poles of the forward-path transfer function  $G(s)$  and if  $G(s)$  is stable. Are these the system poles?

## Stability: Example 2 (continued)

Using MATLAB Code:

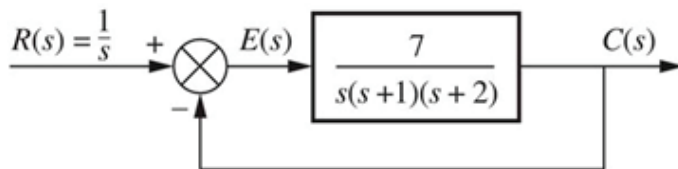
```
G = tf(7,[1 3 2 0])
p = pole(G)
if (isstable(G))
    disp('System G(s) is Stable!')
else
    disp('System G(s) is NOT Stable!')
end
```

MATLAB Output:

G =	p =
7	0
-----	-2
s^3 + 3 s^2 + 2 s	-1
Continuous-time transfer function.	System G(s) is NOT Stable!

## Stability: Example 2 (continued)

Consider the system below:



Determine the closed-loop transfer function  $G_e(s) = \frac{C(s)}{R(s)}$ , the system poles, and if  $G_e(s)$  is stable.

## Stability: Example 2 (continued)

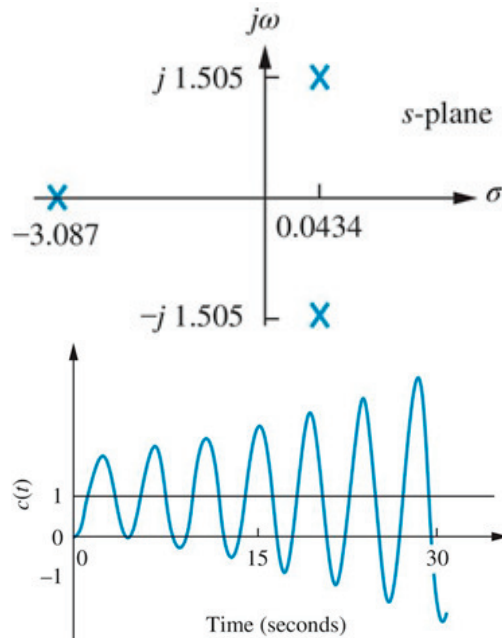
Using MATLAB Code:

```
G = tf(7,[1 3 2 0]);
Ge = feedback(G,1)
p = pole(Ge)
if (isstable(Ge))
    disp('System G_e(s) is Stable!')
else
    disp('System G_e(s) is NOT Stable!')
end
```

MATLAB Output:

Ge =	p =
7	-3.0867 + 0.0000i
-----	0.0434 + 1.5053i
s^3 + 3 s^2 + 2 s + 7	0.0434 - 1.5053i
Continuous-time transfer function.	System G_e(s) is NOT Stable!

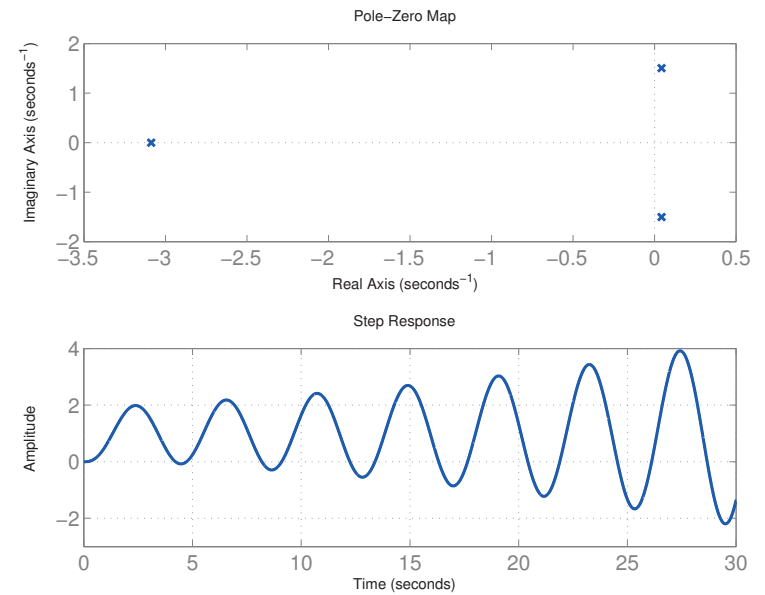
### Stability: Example 2 (continued)



Using MATLAB:

```
G = tf(7,[1 3 2 0]);
Ge = feedback(G,1);
pzmap(Ge)
step(Ge)
```

### Stability: Example 2 (continued)



### Stability: Example 3

Determine if the system specified by the LCCDE

$$y''(t) + 5y'(t) + 4y(t) = x(t)$$

is stable.

### Stability: Example 3 (continued)

Using MATLAB Code:

```
G = tf(1,[1 5 4])
p = pole(G)
if (isstable(G))
    disp('System G(s) is Stable!')
else
    disp('System G(s) is NOT Stable!')
end
```

MATLAB Output:

G =

$$\frac{1}{s^2 + 5s + 4}$$

Continuous-time transfer function.

p =

-4  
-1

System G(s) is Stable!

**Stability: Example 3 (continued)**

Given  $y(0) = 0$  and  $y'(0) = 1$  for the LCCDE

$$y''(t) + 5y'(t) + 4y(t) = x(t),$$

determine the natural response.

**Stability: Example 3 (continued)**

Using MATLAB Code:

```
syms y(t) x(t)
Dy = diff(y);
D2y = diff(y,2);
x(t) = 0;
y(t) = dsolve(D2y+5*Dy+4*y==x(t),y(0)==0,Dy(0)==1);
pretty(y(t))
```

MATLAB Output:

$$\frac{\exp(-t)}{3} - \frac{\exp(-4t)}{3}$$

**Stability: Example 3 (continued)**

Given  $y(0) = 0$  and  $y'(0) = 1$  for the LCCDE

$$y''(t) + 5y'(t) + 4y(t) = x(t),$$

use the final value theorem to determine  $\lim_{t \rightarrow \infty} y_N(t)$ .

**Stability: Example 3 (continued)**

Using MATLAB Code:

```
syms y(t) x(t)
Dy = diff(y);
D2y = diff(y,2);
x(t) = 0;
y(t) = dsolve(D2y+5*Dy+4*y==x(t),y(0)==0,Dy(0)==1);
```

```
fvYtime = double(y(Inf));
fprintf('lim y(t) as t->Inf is %5.3f\n',fvYtime)
```

```
syms Y(s)
Y(s) = laplace(y);
fvYcomplex = double(subs(s*Y(s),0));
fprintf('lim sY(s) as s->0 is %5.3f\n',fvYcomplex)
```

MATLAB Output:

```
lim y(t) as t->Inf is 0.000
lim sY(s) as s->0 is 0.000
```



### Stability: Example 4

Is the system specified by transfer function  $G(s) = \frac{s^2}{s^2+2s+2}$  stable?

### Stability: Example 4 (continued)

Using MATLAB Code:

```
G = tf([1 0 0],[1 2 2])
p = pole(G)
if (isstable(G))
    disp('System G(s) is Stable!')
else
    disp('System G(s) is NOT Stable!')
end
```

MATLAB Output:

<p>G =</p> $\frac{s^2}{s^2 + 2 s + 2}$ <p>Continuous-time transfer function.</p>	<p>p =</p> $\begin{matrix} -1.0000 + 1.0000i \\ -1.0000 - 1.0000i \end{matrix}$ <p>System G(s) is Stable!</p>
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### Stability: Example 5

Is the system specified by transfer function  $G(s) = \frac{s}{s^2-2s+1}$  stable?

### Stability: Example 5 (continued)

Using MATLAB Code:

```
G = tf([1 0],[1 -2 1])
p = pole(G)
if (isstable(G))
    disp('System G(s) is Stable!')
else
    disp('System G(s) is NOT Stable!')
end
```

MATLAB Output:

<p>G =</p> $\frac{s}{s^2 - 2 s + 1}$ <p>Continuous-time transfer function.</p>	<p>p =</p> $\begin{matrix} 1 \\ 1 \end{matrix}$ <p>System G(s) is NOT Stable!</p>
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## Stability: Example 6

Is the system specified by transfer function  $G(s) = \frac{s+7}{s^3-s^2-s-1}$  stable?

## Stability: Example 6 (continued)

Using MATLAB Code:

```
G = tf([1 0],[1 -2 1])
p = pole(G)
if (isstable(G))
    disp('System G(s) is Stable!')
else
    disp('System G(s) is NOT Stable!')
end
```

MATLAB Output:

$\frac{s + 7}{s^3 - s^2 - s - 1}$	<p>p =</p> $\begin{aligned} &1.8393 + 0.0000i \\ &-0.4196 + 0.6063i \\ &-0.4196 - 0.6063i \end{aligned}$
<p>Continuous-time transfer function.</p>	<p>System G(s) is NOT Stable!</p>

## Conditions for Stability in the Complex Domain: Observations

To be stable, the denominator (or characteristic polynomial)  $D(s)$  of the proper, irreducible transfer function  $G(s)$  must have factored form

$$D(s) = (s - p_1)(s - p_2) \cdots = (s + a)(s + b)(s + c) \cdots,$$

where  $a > 0, b > 0, c > 0, \dots$  since  $p_1 < 0, p_2 < 0, \dots$

1. The product of such terms is a polynomial with **all positive coefficients**.
2. **No term of the polynomial can be missing** since that would imply cancellation between positive and negative coefficients or imaginary axis roots in the factors.
3. Therefore, a sufficient condition for a system to be **unstable is that all signs** in  $D(s)$  are not the same.
4. If **powers of  $s$  are missing**, the system is **unstable**.

## Determining Stability Using the Routh-Hurwitz Criterion

Recall:

1. The stability of a system can be determined by analyzing the **poles** of a proper and irreducible transfer function  $G(s)$ .
2. For the system to be **stable**, all **poles** of  $G(s)$  must lie strictly in the **left-half complex plane**.
3. A **necessary condition** for a system to be stable is that **all coefficients** of the denominator polynomial  $D(s)$  of proper and irreducible transfer function  $G(s) = \frac{N(s)}{D(s)}$  be **positive** and not zero.
4. However, fact (3) above is **not sufficient** for stability.

The **Routh-Hurwitz criterion** is a method of determining stability without solving for the roots.

The idea is to form a table and use reduction/computation to assess the location of the roots of any polynomial.

## Determining Stability Using the Routh-Hurwitz Criterion: Demonstrative Example

Consider an LTI system with the characteristic polynomial

$$D(s) = 2s^5 + s^4 + 7s^3 + 3s^2 + 4s + 1.5.$$

- Form first two rows of table using every other coefficient of  $s$ . Note that the odd powers of  $s$  end up on the row next to the highest odd power of  $s$ , and the even powers of  $s$  end up on the row next to the highest even power of  $s$ ,

$s^5$	2	7	4
$s^4$	1	3	1.5
$s^3$			
$s^2$			
$s^1$			
$s^0$			

- Determine ratio of FIRST elements of row 1 and row 2. In our example, we will place this value to the left of the  $s^4$  row, so we will label it as  $k_4 = \frac{2}{1} = 2$ .

- Compute next lower row as

$$s^3 \text{ row} = s^5 \text{ row} - k_4 \cdot s^4 \text{ row}.$$

Note that first element will always be zero, so discard it.

$s^5$	2	7	4
$k_4 = 2$ $s^4$	1	3	1.5
$s^3$	7-2(3)=1	4-2(1.5)=1	
$s^2$			
$s^1$			
$s^0$			

- Determine ratio of FIRST elements of row 2 and row 3. In our example, we will place this value to the left of the  $s^3$  row, so we will label it as  $k_3 = \frac{1}{1} = 1$ .

- Compute next lower row as

$$s^2 \text{ row} = s^4 \text{ row} - k_3 \cdot s^3 \text{ row}.$$

Note that first element will always be zero, so discard it.

$s^5$	2	7	4
$k_4 = 2$ $s^4$	1	3	1.5
$k_3 = 1$ $s^3$	1	1	
$s^2$	3-1(1)=2	1.5-1(0)=1.5	
$s^1$			
$s^0$			

- Determine ratio of FIRST elements of row 3 and row 4. In our example, we will place this value to the left of the  $s^2$  row, so we will label it as  $k_2 = \frac{1}{2} = 0.5$ .

- Compute next lower row as

$$s^1 \text{ row} = s^3 \text{ row} - k_2 \cdot s^2 \text{ row}.$$

Note that first element will always be zero, so discard it.

$s^5$	2	7	4
$k_4 = 2$ $s^4$	1	3	1.5
$k_3 = 1$ $s^3$	1	1	
$k_2 = 0.5$ $s^2$	2	1.5	
$s^1$	1-0.5(1.5) = 0.25		
$s^0$			

- Determine ratio of FIRST elements of row 4 and row 5. In our example, we will place this value to the left of the  $s^1$  row, so we will label it as  $k_1 = \frac{2}{0.25} = 8$ .

9. Compute final row as

$$s^0 \text{ row} = s^2 \text{ row} - k_1 \cdot s^1 \text{ row}.$$

Note that first element will always be zero, so discard it.

	$s^5$	2	7	4
$k_4 = 2$	$s^4$	1	3	1.5
$k_3 = 1$	$s^3$	1	1	
$k_2 = 0.5$	$s^2$	2	1.5	
$k_1 = 8$	$s^1$	0.25		
	$s^0$	1.5-8(0)=1.5		

10. Look at the first column of the finished table. The number of sign changes in the first column corresponds to the number of poles (or roots of the denominator polynomial  $D(s)$ ) that are in the right half-plane.

	$s^5$	2	7	4
$k_4 = 2$	$s^4$	1	3	1.5
$k_3 = 1$	$s^3$	1	1	
$k_2 = 0.5$	$s^2$	2	1.5	
$k_1 = 8$	$s^1$	0.25		
	$s^0$	1.5		

Note that there are no sign changes in the first column above. Therefore, no roots of the characteristic polynomial lie in the right-half plane, all roots of the characteristic polynomial lie in the left-half plane, and the system is **stable**.

- If the number of sign changes in the first column is ZERO, then all roots of the characteristic polynomial lie in the left-half plane, and the system is **stable**.
- If the number of sign changes in the first column is positive, then at least one of the roots of the characteristic polynomial lies in the right-half plane, and the system is **unstable**.

### Determining Stability Using the Routh-Hurwitz Criterion: Example 1

Determine if the LTI system with characteristic polynomial

$$D(s) = 2s^4 + 2s^3 + 3s + 2$$

is stable.

### Determining Stability Using the Routh-Hurwitz Criterion: Example 1 (continued)

## Determining Stability Using the Routh-Hurwitz Criterion: Example 2

Determine if the LTI system with transfer function

$$G(s) = \frac{1}{s^4 + s^3 + s^2 + s + 1}$$

is stable.

## Determining Stability Using the Routh-Hurwitz Criterion: Example 2 (continued)

## Determining Stability Using the Routh-Hurwitz Criterion: Example 3

Determine if the LTI system with transfer function

$$G(s) = \frac{s^2 + s + 1}{2s^4 + 2s^3 + s^2 + 3s + 2}$$

is stable.

## Determining Stability Using the Routh-Hurwitz Criterion: Example 3 (continued)

## Routh-Hurwitz Criterion Special Cases

There are two special cases that may occur when implementing the Routh-Hurwitz criterion:

1. The Routh table will have a zero **only in the first column** of a row.
2. The Routh table will have an **entire row** of zeros.

## Routh-Hurwitz Criterion Special Cases: Zero Only in the First Column

Two techniques for completing the Routh table when there is a zero only in the first column:

### 1. Epsilon Method:

- If the first element of a row is zero, division by zero would be required to form the next row.
- To avoid this, we replace the zero with  $\epsilon$  in the first column.
- The value  $\epsilon$  is then allowed to approach zero from either the positive or the negative side, after which the signs of the entries in the first column can be determined.

### 2. Reverse Coefficients:

- If we create a new polynomial from the original polynomial by writing its coefficients in reverse order, we now have a polynomial that has the reciprocal roots of the original polynomial.
- The roots of the new polynomial are distributed the same (right half-plane, left half-plane, or imaginary axis) as the roots of the original polynomial.
- Therefore, it is possible that the Routh table for the new polynomial will not have a zero in the first column.

## Routh-Hurwitz Criterion Special Cases: Zero Only in the First Column – Epsilon Method Demonstrative Example

Consider an LTI system with the transfer function

$$G(s) = \frac{10}{s^5 + 2s^4 + 3s^3 + 6s^2 + 5s + 3}$$

1. Form first two rows of table using every other coefficient of  $s$ . Note that the odd powers of  $s$  end up on the row next to the highest odd power of  $s$ , and the even powers of  $s$  end up on the row next to the highest even power of  $s$ ,

$s^5$	1	3	5
$s^4$	2	6	3
$s^3$			
$s^2$			
$s^1$			
$s^0$			

2. Determine ratio of FIRST elements of row 1 and row 2. In our example, we will place this value to the left of the  $s^4$  row, so we will label it as  $k_4 = \frac{1}{2} = 0.5$ .

### 3. Compute next lower row as

$$s^3 \text{ row} = s^5 \text{ row} - k_4 \cdot s^4 \text{ row}.$$

Note that first element will always be zero, so discard it.

$k_4 = 0.5$	$s^5$	1	3	5
	$s^4$	2	6	3
	$s^3$	3-0.5(6)=0		
	$s^2$	5-0.5(3)=3.5		
	$s^1$			
	$s^0$			

4. Note that there is a zero in the first column. We replace this zero with a small positive quantity  $\epsilon > 0$ .

$k_4 = 0.5$	$s^5$	1	3	5
	$s^4$	2	6	3
	$s^3$	$\epsilon$	3.5	
	$s^2$			
	$s^1$			
	$s^0$			

5. Determine ratio of FIRST elements of row 2 and row 3. In our example, we will place this value to the left of the  $s^3$  row, so we will label it as  $k_3 = \frac{2}{\epsilon}$ .

6. Compute next lower row as

$$s^2 \text{ row} = s^4 \text{ row} - k_3 \cdot s^3 \text{ row}.$$

Note that first element will always be zero, so discard it.

	$s^5$	1	3	5
$k_4 = 0.5$	$s^4$	2	6	3
$k_3 = 2/\epsilon$	$s^3$	$\epsilon$	3.5	
	$s^2$	$6 - (\frac{2}{\epsilon})(3.5) = \frac{6\epsilon-7}{\epsilon}$	$3 - (\frac{2}{\epsilon})(0) = 3$	
	$s^1$			
	$s^0$			

Note that

$$\lim_{\epsilon \rightarrow 0^+} \frac{6\epsilon - 7}{\epsilon} = -\infty,$$

which is obviously **negative**.

7. Determine ratio of FIRST elements of row 3 and row 4. In our example, we will place this value to the left of the  $s^2$  row, so we will label it as  $k_2 = \frac{\frac{\epsilon}{6\epsilon-7}}{\frac{\epsilon}{\epsilon}} = \frac{\epsilon^2}{6\epsilon-7}$ .

8. Compute next lower row as

$$s^1 \text{ row} = s^3 \text{ row} - k_2 \cdot s^2 \text{ row}.$$

Note that first element will always be zero, so discard it.

	$s^5$	1	3	5
$k_4 = 0.5$	$s^4$	2	6	3
$k_3 = 2/\epsilon$	$s^3$	$\epsilon$	3.5	
$k_2 = \frac{\epsilon^2}{6\epsilon-7}$	$s^2$	$\frac{6\epsilon-7}{\epsilon}$	3	
	$s^1$	$3.5 - \frac{\epsilon^2}{6\epsilon-7}(3) = \frac{42\epsilon-49-6\epsilon^2}{12\epsilon-14}$		
	$s^0$			

Note that

$$\lim_{\epsilon \rightarrow 0^+} \frac{42\epsilon - 49 - 6\epsilon^2}{12\epsilon - 14} = \frac{-49}{-14} = 3.5,$$

which is obviously **positive**.

9. Determine ratio of FIRST elements of row 4 and row 5. In our example, we will place this value to the left of the  $s^1$  row, so we will label it as

$$k_1 = \frac{\frac{\epsilon^2}{42\epsilon-49-6\epsilon^2}}{\frac{2\epsilon^2}{12\epsilon-14}} = \frac{2\epsilon^2}{42\epsilon-49-6\epsilon^2}.$$

10. Compute final row as

$$s^0 \text{ row} = s^2 \text{ row} - k_1 \cdot s^1 \text{ row}.$$

Note that first element will always be zero, so discard it.

	$s^5$	1	3	5
$k_4 = 0.5$	$s^4$	2	6	3
$k_3 = 2/\epsilon$	$s^3$	$\epsilon$	3.5	
$k_2 = \frac{\epsilon^2}{6\epsilon-7}$	$s^2$	$\frac{6\epsilon-7}{\epsilon}$	3	
$k_1 = \frac{2\epsilon^2}{42\epsilon-49-6\epsilon^2}$	$s^1$	$\frac{42\epsilon-49-6\epsilon^2}{12\epsilon-14}$		
	$s^0$	$3 - \frac{2\epsilon^2}{42\epsilon-49-6\epsilon^2}(0)$		

11. Look at the first column of the finished table. The number of sign changes in the first column corresponds to the number of poles (or roots of the denominator polynomial  $D(s)$ ) that are in the right half-plane.

		$\epsilon > 0$	$\epsilon < 0$
	$s^5$	1	+
$k_4 = 0.5$	$s^4$	2	+
$k_3 = 2/\epsilon$	$s^3$	$\epsilon$	-
$k_2 = \frac{\epsilon^2}{6\epsilon-7}$	$s^2$	$\frac{6\epsilon-7}{\epsilon}$	-
$k_1 = \frac{2\epsilon^2}{42\epsilon-49-6\epsilon^2}$	$s^1$	$\frac{42\epsilon-49-6\epsilon^2}{12\epsilon-14}$	+
	$s^0$	3	+

Note that there are two sign changes in the first column above, no matter if we consider  $\epsilon$  to be positive or negative. Therefore, two roots of the characteristic polynomial lie in the right-half plane, and the system is **unstable**.

## Routh-Hurwitz Criterion Special Cases: Zero Only in the First Column – Reverse Coefficients Method Demonstrative Example

Consider an LTI system with the transfer function

$$G(s) = \frac{10}{s^5 + 2s^4 + 3s^3 + 6s^2 + 5s + 3}.$$

1. Form new denominator polynomial by reversing the coefficients of the original polynomial, or  $D(s) = 3s^5 + 5s^4 + 6s^3 + 3s^2 + 2s + 1$ .
2. Form first two rows of table using every other coefficient of  $s$ . Note that the odd powers of  $s$  end up on the row next to the highest odd power of  $s$ , and the even powers of  $s$  end up on the row next to the highest even power of  $s$ ,

$s^5$	3	6	2
$s^4$	5	3	1
$s^3$			
$s^2$			
$s^1$			
$s^0$			

3. Determine ratio of FIRST elements of row 1 and row 2. In our example, we will place this value to the left of the  $s^4$  row, so we will label it as  $k_4 = \frac{3}{5} = 0.6$ .

4. Compute next lower row as

$$s^3 \text{ row} = s^5 \text{ row} - k_4 \cdot s^4 \text{ row}.$$

Note that first element will always be zero, so discard it.

$s^5$	3	6	2
$s^4$	5	3	1
$s^3$	6-0.6(3)=4.2	2-0.6(1)=1.4	
$s^2$			
$s^1$			
$s^0$			

5. Determine ratio of FIRST elements of row 2 and row 3. In our example, we will place this value to the left of the  $s^3$  row, so we will label it as  $k_3 = \frac{5}{4.2} = 1.1905$ .

6. Compute next lower row as

$$s^2 \text{ row} = s^4 \text{ row} - k_3 \cdot s^3 \text{ row}.$$

Note that first element will always be zero, so discard it.

$s^5$	3	6	2
$s^4$	5	3	1
$s^3$	4.2	1.4	
$s^2$	3 - 1.1905(1.4) = 1.3333	1 - 1.905(0) = 1	
$s^1$			
$s^0$			

7. Determine ratio of FIRST elements of row 3 and row 4. In our example, we will place this value to the left of the  $s^2$  row, so we will label it as  $k_2 = \frac{4.2}{1.3333} = 3.15$ .

8. Compute next lower row as

$$s^1 \text{ row} = s^3 \text{ row} - k_2 \cdot s^2 \text{ row}.$$

Note that first element will always be zero, so discard it.

$s^5$	3	6	2
$s^4$	5	3	1
$s^3$	4.2	1.4	
$s^2$	1.3333	1	
$s^1$	1.4 - 3.15(1) = -1.75		
$s^0$			

9. Determine ratio of FIRST elements of row 4 and row 5. In our example, we will place this value to the left of the  $s^1$  row, so we will label it as  $k_1 = \frac{1.3333}{-1.75} = -0.7619$ .



## 10. Compute final row as

$$s^0 \text{ row} = s^2 \text{ row} - k_1 \cdot s^1 \text{ row}.$$

Note that first element will always be zero, so discard it.

$k_4 = 0.6$	$s^5$	3	6	2
$k_3 = 1.905$	$s^4$	5	3	1
$k_2 = 3.15$	$s^3$	4.2	1.4	
$k_1 = -0.7619$	$s^2$	1.3333	1	
	$s^1$	-1.75		
	$s^0$	$1 + 0.7619(0) = 1$		

11. Look at the first column of the finished table. The number of sign changes in the first column corresponds to the number of poles (or roots of the denominator polynomial  $D(s)$ ) that are in the right half-plane.

	$s^5$	3	6	2
$k_4 = 0.6$	$s^4$	5	3	1
$k_3 = 1.905$	$s^3$	4.2	1.4	
$k_2 = 3.15$	$s^2$	1.3333	1	
$k_1 = -0.7619$	$s^1$	-1.75		
	$s^0$	1		

Note that there are two sign changes in the first column above. Therefore, two roots of the characteristic polynomial lie in the right-half plane, and the system is **unstable**.

Also note that this method is usually computationally easier than the epsilon method previously described.

### Routh-Hurwitz Criterion Special Cases: Entire Row is Zero

The technique for completing the Routh table when there is a entire row of zeros is as follows:

- Once a row of zeros is encountered, write a polynomial  $P(s)$  using the row directly above the row of zeros. For example, if the row directly above the row of zeros is  $\boxed{s^4 \quad 2 \quad 3 \quad 4}$ , then  $P(s) = 2s^4 + 3s^2 + 4$ .
- Differentiate this polynomial with respect to  $s$ , or

$$\frac{dP(s)}{ds} = 8s^3 + 6s + 0.$$

- Use the coefficients of  $dP(s)/ds$  to replace the row of zeros. The row of zeros using the example above would be  $\boxed{s^3 \quad 8 \quad 3 \quad 0}$ .

### Routh-Hurwitz Criterion Special Cases: Zero Only in the First Column – Epsilon Method Demonstrative Example

Consider an LTI system with the transfer function

$$G(s) = \frac{10}{s^5 + 7s^4 + 6s^3 + 42s^2 + 8s + 56}$$

- Form first two rows of table using every other coefficient of  $s$ . Note that the odd powers of  $s$  end up on the row next to the highest odd power of  $s$ , and the even powers of  $s$  end up on the row next to the highest even power of  $s$ ,

$s^5$	1	6	8
$s^4$	7	42	56
$s^3$			
$s^2$			
$s^1$			
$s^0$			

- Determine ratio of FIRST elements of row 1 and row 2. In our example, we will place this value to the left of the  $s^4$  row, so we will label it as  $k_4 = \frac{1}{7} = 0.1429$ .

## 3. Compute next lower row as

$$s^3 \text{ row} = s^5 \text{ row} - k_4 \cdot s^4 \text{ row}.$$

Note that first element will always be zero, so discard it.

$k_4 = 0.1429$	$s^5$	1	6	8
	$s^4$	7	42	56
	$s^3$	$6 - 0.1429(42) = 0$		
	$s^2$	$8 - 0.1429(56) = 0$		
	$s^1$			
	$s^0$			

4. Note that there is an entire row of zeros in the  $s^3$  row.5. We replace this entire row of zeros with  $s^3 \ 28 \ 84 \ 0$  because

$$\frac{dP(s)}{ds} = \frac{d}{ds} [7s^4 + 42s^2 + 56] = 28s^3 + 84s + 0.$$

## 6. Now we have

$k_4 = 0.1429$	$s^5$	1	6	8
	$s^4$	7	42	56
	$s^3$	28	84	
	$s^2$			
	$s^1$			
	$s^0$			

7. Determine ratio of FIRST elements of row 2 and row 3. In our example, we will place this value to the left of the  $s^3$  row, so we will label it as  $k_3 = \frac{7}{28} = 0.25$ .

## 8. Compute next lower row as

$$s^2 \text{ row} = s^4 \text{ row} - k_3 \cdot s^3 \text{ row}.$$

Note that first element will always be zero, so discard it.

$k_4 = 0.1429$	$s^5$	1	6	8
	$s^4$	7	42	56
	$s^3$	28	84	
	$s^2$	$42 - 0.25(84) = 21$		
	$s^1$	$56 - 0.25(0) = 56$		
	$s^0$			

9. Determine ratio of FIRST elements of row 3 and row 4. In our example, we will place this value to the left of the  $s^2$  row, so we will label it as  $k_2 = \frac{28}{21} = 1.3333$ .

## 10. Compute next lower row as

$$s^1 \text{ row} = s^3 \text{ row} - k_2 \cdot s^2 \text{ row}.$$

Note that first element will always be zero, so discard it.

$k_4 = 0.1429$	$s^5$	1	6	8
	$s^4$	7	42	56
	$s^3$	28	84	
	$s^2$	21	56	
	$s^1$	$84 - 1.3333(56) = 9.3333$		
	$s^0$			

11. Determine ratio of FIRST elements of row 4 and row 5. In our example, we will place this value to the left of the  $s^1$  row, so we will label it as  $k_1 = \frac{21}{9.3333} = 2.25$ .

12. Compute final row as

$$s^0 \text{ row} = s^2 \text{ row} - k_1 \cdot s^1 \text{ row}.$$

Note that first element will always be zero, so discard it.

	$s^5$	1	6	8
$k_4 = 0.1429$	$s^4$	7	42	56
$k_3 = 0.25$	$s^3$	28	84	
$k_2 = 1.3333$	$s^2$	21	56	
$k_1 = 2.25$	$s^1$	9.3333		
	$s^0$	$56 - 2.25(0) = 56$		

13. Look at the first column of the finished table. The number of sign changes in the first column corresponds to the number of poles (or roots of the denominator polynomial  $D(s)$ ) that are in the right half-plane.

	$s^5$	1	6	8
$k_4 = 0.1429$	$s^4$	7	42	56
$k_3 = 0.25$	$s^3$	28	84	
$k_2 = 1.3333$	$s^2$	21	56	
$k_1 = 2.25$	$s^1$	9.3333		
	$s^0$	56		

Note that there are no sign changes in the first column above. Therefore, no roots of the characteristic polynomial lie in the right-half plane, and the system is **stable**.

### Routh-Hurwitz Criterion Special Cases: Example 1

Determine if the LTI system with transfer function

$$G(s) = \frac{s^3 + 7s^2 - 21s + 10}{s^6 + s^5 - 6s^4 - s^2 - s + 6}$$

is stable.

### Determining Stability Using the Routh-Hurwitz Criterion: Example 1 (continued)

## Determining Stability Using the Routh-Hurwitz Criterion: Example 1 (continued)

## Routh-Hurwitz Criterion Special Cases: Example 2

Determine how many poles of the following transfer function are in the left-half plane, the right-half plane, or on the imaginary axis:

$$G(s) = \frac{s^3 + 7s^2 - 21s + 10}{s^6 + s^5 - 6s^4 - s^2 - s + 6}$$

## Determining Stability Using the Routh-Hurwitz Criterion: Example 2 (continued)

## Determining Stability Using the Routh-Hurwitz Criterion: Example 2 (continued)