example: producer/consumer



shared buffer (queue) of fixed size
one or more producers inserts into queue
one or more consumers removes from queue

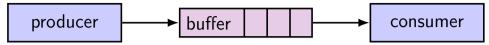
example: producer/consumer



shared buffer (queue) of fixed size
one or more producers inserts into queue
one or more consumers removes from queue

producer(s) and consumer(s) don't work in lockstep (might need to wait for each other to catch up)

example: producer/consumer



shared buffer (queue) of fixed size
one or more producers inserts into queue
one or more consumers removes from queue

producer(s) and consumer(s) don't work in lockstep (might need to wait for each other to catch up)

example: C compiler $\mathsf{preprocessor} \to \mathsf{compiler} \to \mathsf{assembler} \to \mathsf{linker}$

monitors/condition variables

locks for mutual exclusion

```
condition variables for waiting for event
  operations: wait (for event); signal/broadcast (that event happened)
```

related data structures

```
 \begin{array}{l} \textbf{monitor} = \textbf{lock} + \textbf{0} \ \textbf{or more condition variables} + \textbf{shared data} \\ \textbf{Java: every object is a monitor (has instance variables, built-in lock, cond. var)} \\ \textbf{pthreads: build your own: provides you locks} + \textbf{condition variables} \\ \end{array}
```

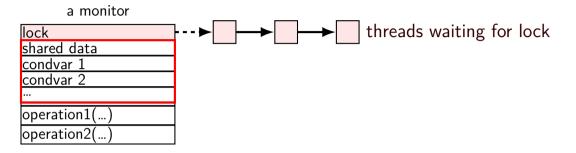
a monitor

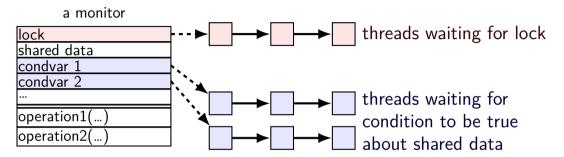
lock
shared data
condvar 1
condvar 2
operation1()
operation2()

a monitor

lock
shared data
condvar 1
condvar 2
operation1()
operation2()

lock must be acquired before accessing any part of monitor's stuff



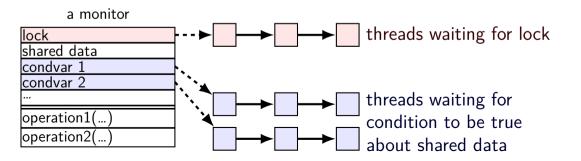


condvar operations:

Wait(cv, lock) — unlock lock, add current thread to cv queue ...and reacquire lock before returning

Broadcast(cv) — remove all from condvar queue

Signal(cv) — remove one from condvar queue

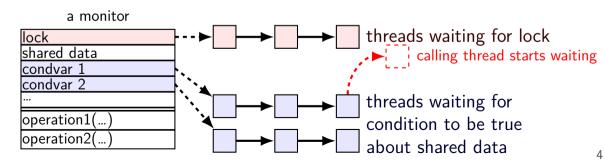


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Wait(cv, lock) — unlock lock, add current thread to cv queue ...and reacquire lock before returning

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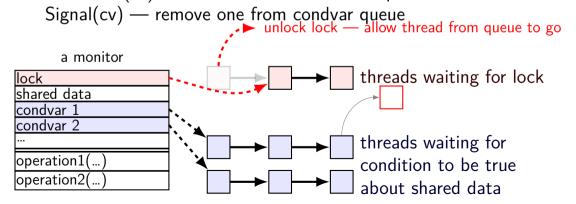


condvar operations:

Wait(cv, lock) — unlock lock, add current thread to cv queue

...and reacquire lock before returning

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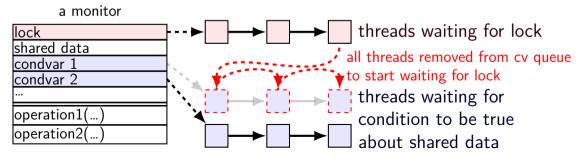


condvar operations:

Wait(cv, lock) — unlock lock, add current thread to cv queue ...and reacquire lock before returning

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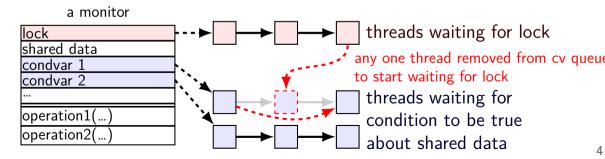
condvar operations:

Wait(cv, lock) — unlock lock, add current thread to cv queue

...and reacquire lock before returning

Broadcast(cv) — remove all from condvar queue

Signal(cv) — remove one from condvar queue



pthread_mutex_unlock(&lock);

```
// MISSING: init calls, etc.
pthread mutex t lock:
bool finished: // data, only accessed with after acquiring lock
pthread cond t finished cv: // to wait for 'finished' to be true
void WaitForFinished() {
  pthread mutex lock(&lock);
  while (!finished) {
    pthread cond wait(&finished cv, &lock);
  pthread_mutex_unlock(&lock);
void Finish() {
  pthread mutex lock(&lock);
  finished = true;
  pthread cond broadcast(&finished cv):
```

pthread mutex unlock(&lock):

```
// MISSING: init calls, etc.
pthread mutex t lock:
bool finished: // data, only accessed with after acquiring lock
pthread cond t finished cv: // to wait for 'finished' to be true
void WaitForFinished() {
  pthread mutex lock(&lock);
  while (!finished) {
    pthread cond wait(&finished cv,
                                       acquire lock before
  pthread mutex unlock(&lock):
                                       reading or writing finished
void Finish() {
  pthread mutex lock(&lock);
  finished = true;
  pthread cond broadcast(&finished cv):
```

finished = true;

pthread cond broadcast(&finished cv):

pthread_mutex_unlock(&lock);

```
// MISSING: init calls, etc.
pthread mutex t lock:
bool finished: // data, only accessed with after acquiring lock
pthread cond t finished cv: // to wait for 'finished' to be true
void WaitForFinished() {
  pthread mutex lock(&lock);
                                 check whether we need to wait at all
  while (!finished) { ←
    pthread_cond_wait(&finished_(why a loop? we'll explain later)
  pthread_mutex_unlock(&lock);
void Finish() {
  pthread mutex lock(&lock);
```

```
// MISSING: init calls, etc.
pthread mutex t lock:
bool finished: // data, only accessed with after acquiring lock
pthread cond t finished cv: // to wait for 'finished' to be true
void WaitForFinished() {
  pthread mutex lock(&lock);
  while (!finished) {
    pthread cond wait(&finished cv, &lock);
 pthread_mutex_unlock(&locknow we need to wait
                            (finished can't change while we have lock)
void Finish() {
                           so wait, releasing lock...
  pthread mutex lock(&lock
  finished = true;
  pthread cond broadcast(&finished cv):
  pthread mutex unlock(&lock):
```

```
// MISSING: init calls, etc.
pthread mutex t lock:
bool finished: // data, only accessed with after acquiring lock
pthread cond t finished cv: // to wait for 'finished' to be true
void WaitForFinished() {
  pthread mutex lock(&lock);
  while (!finished) {
    pthread cond wait(&finished cv, &lock);
  pthread mutex unlock(&lock):
                                          allow all waiters to proceed
                                          (once we unlock the lock)
void Finish() {
  pthread mutex lock(&lock);
  finished = true;
  pthread cond broadcast(&finished cv):
  pthread mutex unlock(&lock):
```

VaitForFinish timeline WaitForFinish thread	 Finish thread
mutex_lock(&lock)	
(thread has lock)	
	<pre>mutex_lock(&lock)</pre>
	(start waiting for lock)
while (!finished)	
<pre>cond_wait(&finished_cv, &lock);</pre>	
(start waiting for cv)	(done waiting for lock)
	finished = true
	<pre>cond_broadcast(&finished_cv)</pre>
(done waiting for cv)	
(start waiting for lock)	
	<pre>mutex_unlock(&lock)</pre>
(done waiting for lock)	
while (!finished)	
(finished now true, so return)	

WaitForFinish timeline 2

WaitForFinish thread	Finish thread
	<pre>mutex_lock(&lock)</pre>
	finished = true
	<pre>cond_broadcast(&finished_cv)</pre>
	<pre>mutex_unlock(&lock)</pre>
<pre>mutex_lock(&lock)</pre>	
while (!finished)	
(finished now true, so return)	
mutex_unlock(&lock)	

why the loop

```
while (!finished) {
   pthread_cond_wait(&finished_cv, &lock);
}
we only broadcast if finished is true
so why check finished afterwards?
```

why the loop

```
while (!finished) {
  pthread cond wait(&finished cv, &lock);
we only broadcast if finished is true
so why check finished afterwards?
pthread cond wait manual page:
    "Spurious wakeups ... may occur."
spurious wakeup = wait returns even though nothing happened
```

```
pthread_mutex_t lock;
pthread_cond_t data_ready;
UnboundedOueue buffer:
Produce(item) {
    pthread_mutex_lock(&lock);
    buffer.engueue(item);
    pthread cond signal(&data ready):
    pthread mutex unlock(&lock):
Consume() {
    pthread_mutex_lock(&lock);
    while (buffer.empty()) {
        pthread cond_wait(&data_ready, &lock);
    item = buffer.dequeue();
    pthread mutex unlock(&lock);
    return item:
```

```
pthread_mutex_t lock;
pthread_cond_t data_ready;
UnboundedOueue buffer:
Produce(item) {
    pthread mutex lock(&lock);
    buffer.engueue(item);
    pthread_cond_signal(&data_ready); simulatenously en/dequeue?
    pthread_mutex_unlock(&lock);
Consume()
    pthread mutex lock(&lock);
   while (buffer.empty()) {
        pthread cond wait(&data ready, &lock);
    item = buffer.dequeue();
    pthread mutex unlock(&lock);
    return item:
```

rule: never touch buffer without acquiring lock otherwise: what if two threads (both use same array/linked list entry?) (both reallocate array?)

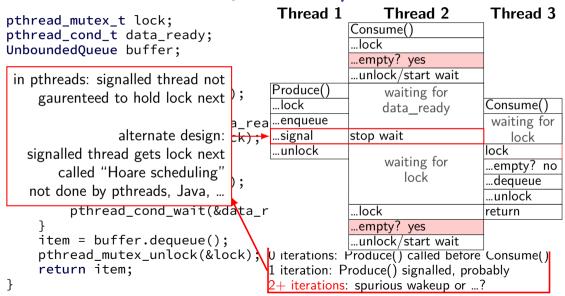
```
pthread_mutex_t lock;
pthread_cond_t data_ready;
UnboundedQueue buffer;
Produce(item) {
    pthread_mutex_lock(&lock);
    buffer.engueue(item);
    pthread cond signal(&data ready):
    pthread mutex unlock(&lock):
                                                check if empty
                                                if so, dequeue
Consume()
    pthread_mutex_lock(&lock);
    while (buffer.empty()) {
        pthread_cond_wait(&data_ready, &lock);
                                                okay because have lock
                                  other threads cannot dequeue here
    item = buffer.dequeue();
    pthread mutex unlock(&lock);
    return item:
```

```
pthread_mutex_t lock;
pthread_cond_t data_ready;
UnboundedQueue buffer;
Produce(item) {
    pthread_mutex_lock(&lock);
                                                wake one Consume thread
    buffer.engueue(item);
                                                if any are waiting
    pthread cond signal(&data ready);
    pthread mutex unlock(&lock):
Consume() {
    pthread_mutex_lock(&lock);
    while (buffer.empty()) {
        pthread cond wait(&data ready, &lock);
    item = buffer.dequeue();
    pthread mutex unlock(&lock);
    return item:
```

```
Thread 1
                                                                  Thread 2
pthread_mutex_t lock;
                                         Produce()
pthread_cond_t data_ready;
                                          ...lock
UnboundedQueue buffer:
                                         ...enqueue
                                         ...signal
Produce(item) {
                                         ...unlock
    pthread_mutex_lock(&lock);
                                                             Consume(
    buffer.engueue(item);
                                                             ...lock
    pthread cond signal(&data readv)
                                                             ...empty? no
    pthread mutex unlock(&lock):
                                                             ...dequeue
                                                             ...unlock
Consume() {
    pthread_mutex_lock(&lock);
                                                             return
    while (buffer.empty()) {
         pthread cond wait(&data ready, &lock);
    item = buffer.dequeue();
    pthread mutex unlock(&lock)
                                     O iterations: Produce() called before Consume()
                                       iteration: Produce() signalled, probably
    return item:
                                        iterations: spurious wakeup or ...?
```

```
Thread 1
                                                                   Thread 2
pthread_mutex_t lock;
                                                              Consume()
pthread_cond_t data_ready;
                                                               ...lock
UnboundedQueue buffer;
                                                               ...empty? yes
                                                               ...unlock/start wait
Produce(item) {
                                                   Produce()
    pthread_mutex_lock(&lock);
                                                                   waiting for
                                                   ...lock
    buffer.engueue(item);
                                                                   data ready
                                                   ...enqueue
    pthread cond signal(&data ready):
                                                              stop wait
    pthread mutex unlock(&lock):
                                                   ...signal
                                                   ...unlock
                                                              lock
                                                               ...empty? no
Consume() {
                                                               ...dequeue
    pthread_mutex_lock(&lock);
    while (buffer.empty()) {
                                                               ...unlock
         pthread cond wait(&data_ready, &loc
                                                              return
    item = buffer.dequeue();
    pthread mutex unlock(&lock)
                                      0 iterations: Produce() called before Consume()
                                        iteration: Produce() signalled, probably
    return item:
                                         iterations: spurious wakeup or ...?
```

```
Thread 1
                                                         Thread 2
                                                                         Thread 3
pthread_mutex_t lock;
                                                    Consume()
pthread_cond_t data_ready;
                                                    ...lock
UnboundedOueue buffer:
                                                    ...empty? yes
                                                    ...unlock/start wait
Produce(item) {
                                        Produce()
     pthread_mutex_lock(&lock);
                                                         waiting for
                                        ...lock
                                                         data ready
                                                                         Consume()
     buffer.engueue(item);
    pthread_cond_signal(&data rea ...enqueue
                                                                         waiting for
                                        ...signal
     pthread_mutex_unlock(&lock);
                                                    stop wait
                                                                            lock
                                        ...unlock
                                                                        lock
                                                         waiting for
                                                                        ...empty? no
Consume() {
                                                            lock
                                                                         ...dequeue
     pthread_mutex_lock(&lock);
    while (buffer.empty()) {
                                                                         ...unlock
         pthread cond wait(&data r
                                                    ...lock
                                                                        return
                                                    ...emptv? ves
     item = buffer.dequeue();
                                                    ...unlock/start wait
     pthread mutex unlock(&lock)
                                       U iterations: Produce() called before Consume()
                                         iteration: Produce() signalled, probably
     return item:
                                          iterations: spurious wakeup or ...?
```



Hoare versus Mesa monitors

Hoare-style monitors signal 'hands off' lock to awoken thread

Mesa-style monitors

any eligible thread gets lock next

(maybe some other idea of priority?)

every current threading library I know of does Mesa-style

```
pthread_mutex_t lock;
pthread cond t data ready; pthread cond t space ready;
BoundedQueue buffer;
Produce(item) {
    pthread_mutex_lock(&lock);
    while (buffer.full()) { pthread_cond_wait(&space_ready, &lock); }
    buffer.engueue(item);
    pthread_cond_signal(&data_ready);
    pthread_mutex_unlock(&lock);
Consume() {
    pthread_mutex_lock(&lock);
    while (buffer.empty()) {
        pthread_cond_wait(&data_ready, &lock);
    item = buffer.dequeue();
    pthread cond signal(&space ready);
    pthread mutex unlock(&lock):
    return item:
```

```
pthread_mutex_t lock;
pthread cond t data ready; pthread cond t space ready;
BoundedQueue buffer;
Produce(item) {
   pthread_mutex_lock(&lock);
   while (buffer.full()) { pthread_cond_wait(&space_ready, &lock); }
   buffer.engueue(item);
    pthread_cond_signal(&data_ready);
   pthread_mutex_unlock(&lock);
Consume() {
   pthread_mutex_lock(&lock);
   while (buffer.empty()) {
        pthread cond_wait(&data_ready, &lock);
    item = buffer.dequeue();
    pthread cond signal(&space ready);
    pthread mutex unlock(&lock):
    return item:
```

```
pthread_mutex_t lock;
pthread cond t data ready; pthread cond t space ready;
BoundedQueue buffer;
Produce(item) {
    pthread_mutex_lock(&lock);
   while (buffer.full()) { pthread_cond_wait(&space_ready, &lock); }
    buffer.engueue(item);
    pthread cond signal (&data ready):
   pt correct (but slow?) to replace with:
consum pthread_cond_broadcast(&space readv);
      (just more "spurious wakeups")
        pthread cond wait(&data ready, &lock);
    item = buffer.dequeue();
    pthread cond signal(&space ready);
    pthread mutex unlock(&lock):
    return item:
```

return item:

```
pthread_mutex_t lock;
pthread cond t data ready; pthread cond t space ready;
BoundedQueue buffer;
Produce(item) {
    pthread_mutex_lock(&lock);
   while (buffer.full()) { pthread_cond_wait(&space_ready, &lock); }
    buffer.engueue(item);
                                              correct but slow to replace
    pthread_cond_signal(&data_ready);
                                              data ready and space ready
   pthread_mutex_unlock(&lock);
                                              with 'combined' condvar ready
Consume() {
                                              and use broadcast.
   pthread_mutex_lock(&lock);
                                              (just more "spurious wakeups")
   while (buffer.empty()) {
        pthread cond wait(&data ready, &lock);
    item = buffer.dequeue();
    pthread cond signal(&space ready);
    pthread_mutex_unlock(&lock);
```

monitor pattern

pthread mutex unlock(&lock)

```
pthread mutex lock(&lock);
while (!condition A) {
    pthread cond_wait(&condvar_for_A, &lock);
... /* manipulate shared data, changing other conditions */
if (set condition A) {
    pthread_cond_broadcast(&condvar_for_A);
    /* or signal, if only one thread cares */
if (set condition B) {
    pthread cond broadcast(&condvar for B);
    /* or signal, if only one thread cares */
```

monitors rules of thumb

never touch shared data without holding the lock keep lock held for entire operation: verifying condition (e.g. buffer not full) up to and including manipulating data (e.g. adding to buffer) create condvar for every kind of scenario waited for always write loop calling cond wait to wait for condition X broadcast/signal condition variable every time you change X

monitors rules of thumb

never touch shared data without holding the lock

```
keep lock held for entire operation:
```

verifying condition (e.g. buffer not full) up to and including manipulating data (e.g. adding to buffer)

create condvar for every kind of scenario waited for

always write loop calling cond_wait to wait for condition X

broadcast/signal condition variable every time you change X

correct but slow to...

broadcast when just signal would work broadcast or signal when nothing changed use one condvar for multiple conditions

mutex/cond var init/destroy

```
pthread mutex t mutex;
pthread cond t cv:
pthread mutex init(&mutex, NULL);
pthread cond init(&cv, NULL);
// --OR--
pthread mutex t mutex = PTHREAD MUTEX INITIALIZER;
pthread cond t cv = PTHREAD COND INITIALIZER:
// and when done:
pthread cond destroy(&cv):
pthread mutex destroy(&mutex):
```

wait for both finished

```
// MISSING: init calls, etc.
pthread mutex t lock:
bool finished[2]:
pthread cond t both finished cv:
void WaitForBothFinished() {
  pthread mutex lock(&lock);
 while (_____) {
   pthread_cond_wait(&both_finished cv, &lock):
  pthread_mutex_unlock(&lock);
void Finish(int index) {
  pthread mutex lock(&lock);
  finished[index] = true;
 pthread mutex unlock(&lock):
```

wait for both finished

```
// MISSING: init calls, etc.
pthread mutex t lock:
bool finished[2]:
pthread cond t both finished cv:
                                  E. something else
void WaitForBothFinished() {
 pthread mutex lock(&lock);
 while (
   pthread cond wait(&both finished cv, &lock);
  pthread_mutex_unlock(&lock);
void Finish(int index) {
  pthread mutex lock(&lock);
  finished[index] = true;
  pthread mutex unlock(&lock):
```

A. finished[0] && finished[1]
B. finished[0] || finished[1]
C. !finished[0] || !finished[1]
D. finished[0] != finished[1]
E. something else

wait for both finished

```
// MISSING: init calls, etc.
pthread mutex t lock:
                           A. pthread cond signal(&both finished cv)
bool finished[2];
                           B. pthread_cond_broadcast(&both_finished_cv)
pthread cond t both fini
                           C. if (finished[1-index])
                                   pthread_cond_singal(&both_finished_cv);
void WaitForBothFinished D if (finished[1-index])
  pthread mutex lock(&lo
                                   pthread cond broadcast(&both finished cv);
  while (
                           E. something else
    pthread cond wait(&both finished cv, &lock);
  pthread_mutex_unlock(&lock);
void Finish(int index) {
  pthread mutex lock(&lock);
  finished[index] = true;
  pthread mutex unlock(&lock):
```

monitor exercise: barrier

suppose we want to implement a one-use barrier: fill in blanks: struct BarrierInfo { pthread mutex t lock: int total threads; // initially total # of threads int number reached; // initially 0 **}**; void BarrierWait(BarrierInfo *b) { pthread mutex lock(&b->lock); ++b->number reached; if (b->number reached == b->total threads) { } else { pthread mutex unlock(&b->lock);

extra exercises

producer/consumer signal?

```
pthread_mutex_t lock;
pthread cond t data ready:
UnboundedQueue buffer:
Produce(item) {
    pthread_mutex_lock(&lock);
    buffer.engueue(item);
   /* GOOD CODE: pthread_cond_signal(&data_ready); */
   /* BAD CODE: */
    if (buffer.size() == 1)
        pthread_cond_signal(&item);
   pthread mutex unlock(&lock):
Consume() {
   pthread_mutex_lock(&lock);
   while (buffer.empty()) {
        pthread cond wait(&data readv, &lock):
    item = buffer.dequeue():
    n+broad mu+av unlask(0lask).
```

bad case (setup)

thread 0	1	2	3
Consume():			
lock			
empty? wait on cv			•
	lock		
	empty? wait on cv		
		Produce(): lock	
		lock	Produce():

bad case

thread 0	1	2	3	
Consume(): lock				
empty? wait on cv	Consume():			
	lock			
	empty? wait on cv			
		Produce():		
		lock	Produce():	
			wait for lock	
		enqueue		
wait for lock		size = 1? signal		
		unlock	gets lock	
			enqueue	
			$size \neq 1$: don't signal	
			unlock	
gets lock				
dequeue				2

monitor exercise: ConsumeTwo

suppose we want producer/consumer, but...

but change Consume() to ConsumeTwo() which returns a pair of values

and don't want two calls to ConsumeTwo() to wait... with each getting one item

what should we change below?

pthread_mutex_t lock;

pthread_cond_t data_ready;

UnboundedQueue buffer;

Produce(item) { pthread mutex lock(&lock): buffer.engueue(item): pthread_cond_signal(&data_ready);

pthread mutex unlock(&lock):

Consume() {

pthread_mutex_lock(&lock); while (buffer.empty()) { pthread cond wait(&data ready, &lock

item = buffer.dequeue(); pthread mutex unlock(&lock):

return item:

monitor exercise: solution (1)

```
(one of many possible solutions)
Assuming Consume Two replaces Consume:
Produce() {
  pthread_mutex_lock(&lock);
  buffer.enqueue(item);
  if (buffer.size() > 1) { pthread_cond_signal(&data_ready); }
  pthread_mutex_unlock(&lock):
ConsumeTwo() {
    pthread_mutex_lock(&lock):
    while (buffer.size() < 2) { pthread_cond_wait(&data_ready, &lock); }</pre>
    item1 = buffer.dequeue(); item2 = buffer.dequeue();
    pthread_mutex_unlock(&lock);
    return Combine(item1, item2);
```

monitor exercise: solution (2)

```
(one of many possible solutions)
Assuming Consume Two is in addition to Consume (using two CVs):
Produce() {
  pthread mutex lock(&lock);
  buffer.enqueue(item);
  pthread_cond_signal(&one_ready);
  if (buffer.size() > 1) { pthread cond signal(&two readv); }
  pthread_mutex_unlock(&lock);
Consume() {
  pthread_mutex_lock(&lock);
  while (buffer.size() < 1) { pthread_cond_wait(&one_ready, &lock); }</pre>
  item = buffer.dequeue():
  pthread mutex unlock(&lock):
  return item;
ConsumeTwo() {
  pthread mutex lock(&lock):
  while (buffer.size() < 2) { pthread cond wait(&two ready, &lock); }</pre>
  item1 = buffer.dequeue(); item2 = buffer.dequeue();
  nthread mutay unlock (&lock).
```

monitor exercise: slower solution

```
(one of many possible solutions)
Assuming Consume Two is in addition to Consume (using one CV):
Produce() {
  pthread_mutex_lock(&lock);
  buffer.enqueue(item);
  // broadcast and not signal, b/c we might wakeup only ConsumeTwo() otherwise
  pthread cond broadcast(&data ready):
  pthread_mutex_unlock(&lock);
Consume() {
  pthread_mutex_lock(&lock);
  while (buffer.size() < 1) { pthread_cond_wait(&data_ready, &lock); }</pre>
  item = buffer.dequeue():
  pthread mutex unlock(&lock):
  return item;
ConsumeTwo() {
  pthread mutex lock(&lock):
  while (buffer.size() < 2) { pthread cond wait(&data ready, &lock); }</pre>
  item1 = buffer.dequeue(): item2 = buffer.dequeue():
  nthread mutay unlock (&lock).
```

monitor exercise: ordering

suppose we want producer/consumer, but...

but want to ensure first call to Consume() always returns first

(no matter what ordering cond_signal/cond_broadcast use)

```
pthread_mutex_t lock;
pthread_cond_t data_ready;
UnboundedQueue buffer;

Produce(item) {
    pthread_mutex_lock(&lock);
    buffer.enqueue(item);
    pthread_cond_signal(&data_ready);
    pthread_mutex_unlock(&lock);
}

Consume() {
    pthread_mutex_lock(&lock);
    while (buffer.empty()) {
        pthread_cond_wait(&data_ready, &lock);
        item = buffer.dequeue();
        pthread_mutex_unlock(&lock);
        return item;
}
```

monitor ordering exercise: solution

} else {

buffer.enqueue(item);

pthread mutex unlock(&lock):

```
(one of many possible solutions)
                                          Consume() {
struct Waiter {
    pthread_cond_t cv;
                                            pthread_mutex_lock(&lock);
    bool done;
                                            if (buffer.empty()) {
                                              Waiter waiter:
    T item:
                                              cond_init(&waiter.cv);
Oueue<Waiter*> waiters:
                                              waiter.done = false:
Produce(item) {
```