#### last time

#### execution units

"slots" to place pending instructions could be pipelined

#### instruction dispatch

track which registers are available, update as execution finishes choose instructions that fit in execution units

transforming complex instructions in renaming

side-channels — unintended info leaks

browser styling example timing — char-by-char password example timing — what's in the cache?

## inferring cache accesses (1)

suppose I time accesses to array of chars:

```
reading array[0]: 3 cycles
reading array[64]: 4 cycles
reading array[128]: 4 cycles
reading array[192]: 20 cycles
reading array[256]: 4 cycles
reading array[288]: 4 cycles
```

what could cause this difference? array[192] not in some cache, but others were

# inferring cache accesses (2)

```
some psuedocode:
char array[CACHE SIZE];
AccessAllOf(array);
*other address += 1;
TimeAccessingArray();
suppose during these accesses I discover that array [128] is
slower to access
probably because *other_address loaded into cache + evicted
what do we know about other_address? (select all that apply)
 A. same cache tag B. same cache index C. same cache offset
```

D. diff. cache tag E. diff. cache index F. diff. cache offset

### some complications

```
caches often use physical, not virtual addresses
(and need to know about physical address to compare index bits)
(but can infer physical addresses with measurements/asking OS)
(and often OS allocates contiguous physical addresses esp. w/'large pages')
```

storing/processing timings evicts things in the cache (but can compare timing with/without access of interest to check for this)

processor "pre-fetching" may load things into cache before access is timed

(but can arrange accesses to avoid triggering prefetcher and make sure to measure with memory barriers)

some L3 caches use a simple hash function to select index instead of index bits

## exercise: inferring cache accesses (1)

```
char *array;
array = AllocateAlignedPhysicalMemory(CACHE SIZE);
LoadIntoCache(array, CACHE_SIZE);
if (mystery) {
    *pointer += 1;
if (TimeAccessTo(&array[index]) > THRESHOLD) {
    /* pointer accessed */
suppose pointer is 0x1000188
and cache (of interest) is direct-mapped, 32768 (2^{15}) byte, 64-byte
blocks
what array index should we check?
```

#### aside

```
array = AllocateAlignedPhysicalMemory(CACHE_SIZE);
LoadIntoCache(array, CACHE_SIZE);
if (mystery) { *pointer += 1; }
if (TimeAccessTo(&array[index]) > THRESHOLD) {
    /* pointer accessed */
will this detect when pointer accessed? yes
will this detect if mystery is true? not quite
...because branch prediction could started cache access
```

## exercise: inferring cache accesses (2)

```
char *other_array = ...;
char *array;
array = AllocateAlignedPhysicalMemory(CACHE_SIZE);
LoadIntoCache(array, CACHE_SIZE);
other_array[mystery] += 1;
for (int i = 0; i < CACHE_SIZE; i += BLOCK_SIZE) {
   if (TimeAccessTo(&array[i]) > THRESHOLD) {
      /* found something interesting */
   }
}
```

other\_array at 0x200400, and interesting index is i=0x800, then what was mystery?

## exercise: inferring cache accesses (2)

```
char *array;
posix_memalign(&array, CACHE_SIZE, CACHE_SIZE);
LoadIntoCache(array, CACHE_SIZE);
if (mystery) {
    *pointer = 1;
   (TimeAccessTo(&array[index1]) > THRESHOLD ||
    TimeAccessTo(&array[index2]) > THRESHOLD) {
    /* pointer accessed */
pointer is 0 \times 1000188
cache is 2-way, 32768 (2^{15}) byte, 64-byte blocks, ???? replacement
what array indexes should we check?
```

#### PRIME+PROBE

name in literature: PRIME + PROBE

PRIME: fill cache (or part of it) with values

do thing that uses cache

PROBE: access those values again and see if it's slow

(one of several ways to measure how cache is used)

coined in attacks on AES encryption

### example: AES (1)

from Osvik, Shamir, and Tromer, "Cache Attacks and Countermeasures: the Case of AES" (2004)

early AES implementation used lookup tables

goal: detect index into lookup table index depended on key + data being encrypted

tricks they did to make this work
vary data being encrypted
subtract average time to look for what changes
lots of measurements

## example: AES (2)

from Osvik, Shamir, and Tromer, "Cache Attacks and Countermeasures: the Case of AES" (2004)

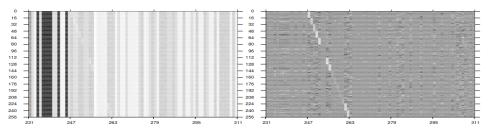


Fig. 5. Prime+Probe attack using 30,000 encryption calls on a 2GHz Athlon 64, attacking Linux 2.6.11 dm-crypt. The horizontal axis is the evicted cache set (i.e.,  $\langle y \rangle$  plus an offset due to the table's location) and the vertical axis is  $p_0$ . Left: raw timings (lighter is slower). Right: after subtraction of the average timing of the cache set. The bright diagonal reveals the high nibble of  $p_0 = 0$ x00.

### reading a value

```
char *array;
posix_memalign(&array, CACHE_SIZE, CACHE_SIZE);
AccessAllOf(array);
other_array[mystery * BLOCK_SIZE] += 1;
for (int i = 0; i < CACHE_SIZE; i += BLOCK_SIZE) {</pre>
    if (CheckIfSlowToAccess(&array[i])) {
with 32KB direct-mapped cache
suppose we find out that array [0x400] is slow to access
and other array starts at address 0x100000
what was mystery?
```

## revisiting an earlier example (1)

```
char *array;
posix_memalign(&array, CACHE_SIZE, CACHE_SIZE);
LoadIntoCache(array, CACHE_SIZE);
if (mystery) {
    *pointer += 1;
}
if (TimeAccessTo(&array[index]) > THRESHOLD) {
    /* pointer accessed */
}
```

what if mystery is false but branch mispredicted?

### revisiting an earlier example (2)

```
cycle # 0 1 2 3 4 5 6 7 8 9 10 11
movq mystery, %rax
                FDRIEEEWC
test %rax, %rax
                  F D R I F W C
jz skip (mispred.)
                               IEWC
                    F D R
mov pointer, %rax
                    F D R I E E E W
mov (%rax), %r8
                      F D R
                                 I E W
add $1, %r8
                      F D R
mov %r8, %rax
                        F D R
...
skip: ...
```

### avoiding/triggering this problem

```
if (something false) {
    access *pointer;
}
```

what can we do to make access more/less likely to happen?

### reading a value without really reading it

```
char *array;
posix_memalign(&array, CACHE_SIZE, CACHE_SIZE);
AccessAllOf(array);
if (something false) {
    other array[mystery * BLOCK SIZE] += 1;
for (int i = 0; i < CACHE SIZE; i += BLOCK SIZE) {</pre>
    if (CheckIfSlowToAccess(&array[i])) {
```

if branch mispredicted, cache access may still happen can find the value of mystery

```
Prime();
if (something false) {
    triggerSegfault();
    Use(*pointer);
Probe();
could cache access for *pointer still happen?
yes, if:
    branch for if statement mispredicted, and
    *pointer starts before segfault detected
```

```
operations in virtual memory lookup:
    translate virtual to physical address
    check if access is permitted by permission bits
Intel processors: looks like these were separate steps, so...
Prime();
if (something false) {
    int value = ReadMemoryMarkedNonReadableInPageTable();
    access other array[value * ...];
Probe();
```

```
operations in virtual memory lookup:
    translate virtual to physical address
    check if access is permitted by permission bits
Intel processors: looks like these were separate steps, so...
Prime();
if (something false) {
    int value = ReadMemoryMarkedNonReadableInPageTable();
    access other array[value * ...];
Probe();
```

```
operations in virtual memory lookup:
    translate virtual to physical address
    check if access is permitted by permission bits
Intel processors: looks like these were separate steps, so...
Prime();
if (something false) {
    int value = ReadMemoryMarkedNonReadableInPageTable();
    access other array[value * ...];
Probe();
```

```
operations in virtual memory lookup:
    translate virtual to physical address
    check if access is permitted by permission bits
Intel processors: looks like these were separate steps, so...
Prime();
if (something false) {
    int value = ReadMemoryMarkedNonReadableInPageTable();
    access other array[value * ...];
Probe();
```

```
// %rcx = kernel address
   // %rbx = array to load from to cause eviction
   xor %rax, %rax // rax <- 0
retry:
   // rax <- memory[kernel address] (segfaults)</pre>
       // but check for segfault done out-of-order on Intel
   movb (%rcx), %al
   // rax <- memory[kernel address] * 4096 [speculated]</pre>
   shl $0xC, %rax
   iz retrv
                   // not-taken branch
   // access array[memory[kernel address] * 4096]
   mov (%rbx, %rax), %rbx
```

```
// %rcx = ke | space out accesses by 4096 | viction | viction | avoid triggering prefetcher
retry:
    // rax <- memory[kernel address] (seqfaults)</pre>
         // but check for segfault done out-of-order on Intel
    movb (%rcx), %al
    // rax <- memory[kernel address] * 4096 [speculated]</pre>
    shl $0xC, %rax
    iz retrv
                       // not-taken branch
    // access array[memory[kernel address] * 4096]
    mov (%rbx, %rax), %rbx
```

```
// %rcx repeat access if zero
// %rbx apparently value of zero speculatively read
        when real value not yet available
retry:
    // rax <- memory[kernel address] (seafaults)
        // but check for segfault done out-of-order on Intel
    movb (%rcx), %al
    // rax <- memory[kernel address] * 4096 [speculated]</pre>
    shl $0xC, %rax
    jz retry
                     // not-taken branch
    // access array[memory[kernel address] * 4096]
    mov (%rbx, %rax), %rbx
```

```
// %rcx access cache to allow measurement later in paper with FLUSH+RELOAD instead of PRIME+PROBE technique
retry:
    // rax <- memory[kernel address] (seqfaults)</pre>
         // but check for segfault done out-of-order on Intel
    movb (%rcx), %al
    // rax <- memory[kernel address] * 4096 [speculated]</pre>
    shl $0xC, %rax
    iz retrv
                       // not-taken branch
    // access array[memory[kernel address] * 4096]
    mov (%rbx, %rax), %rbx
```

from Lipp et al, "Meltdown: Reading Kernel Memory from User Space"

segfault actually happens eventually

```
option 1: okay, just start a new process every time
option 2: way of suppressing exception (transactional memory support)
   // rax <- memory[kernel address] (segfaults)</pre>
       // but check for segfault done out-of-order on Intel
   movb (%rcx), %al
   // rax <- memory[kernel address] * 4096 [speculated]</pre>
   shl $0xC, %rax
   jz retry
                       // not-taken branch
   // access array[memory[kernel address] * 4096]
   mov (%rbx, %rax), %rbx
```

#### Meltdown fix

HW: permissions check done with/before physical address lookup was already done by AMD, ARM apparently? now done by Intel

SW: separate page tables for kernel and user space don't have sensitive kernel memory pointed to by page table when user-mode code running unfortunate performance problem exceptions start with code that switches page tables

### reading a value without really reading it

```
char *array;
posix_memalign(&array, CACHE_SIZE, CACHE_SIZE);
AccessAllOf(array);
if (something false) {
    other array[mystery * BLOCK SIZE] += 1;
for (int i = 0; i < CACHE SIZE; i += BLOCK SIZE) {</pre>
    if (CheckIfSlowToAccess(&array[i])) {
```

if branch mispredicted, cache access may still happen can find the value of mystery

### mistraining branch predictor?

```
if (something) {
     CodeToRunSpeculatively()
how can we have 'something' be false, but predicted as true
run lots of times with something true
then do actually run with something false
```

# contrived(?) vulnerable code (1)

```
suppose this C code is run with extra privileges
    (e.g. in system call handler, library called from JavaScript in webpage,
    etc.)
assume x chosen by attacker
(example from original Spectre paper)
if (x < array1_size)</pre>
           y = array2[array1[x] * 4096];
```

### the out-of-bounds access (1)

```
char array1[...];
int secret;
y = array2[array1[x] * 4096];
suppose array1 is at 0x1000000 and
secret is at 0x103F0003:
what x do we choose to make array1[x] access first byte of
secret?
```

## the out-of-bounds access (2)

```
char array1[...];
int secret;
y = array2[array1[x] * 4096];
suppose our cache has 64-byte blocks and 8192 sets
and array2[0] is stored in cache set 0
if the above evicts something in cache set 128,
then what do we know about array1[x]?
```

## the out-of-bounds access (2)

```
char array1[...];
int secret;
y = array2[array1[x] * 4096];
suppose our cache has 64-byte blocks and 8192 sets
and array2[0] is stored in cache set 0
if the above evicts something in cache set 128,
then what do we know about array1[x]?
    is 2 or 130
```

## exploit with contrived(?) code

```
/* in kernel: */
int systemCallHandler(int x) {
    if (x < array1_size)</pre>
        v = array2[array1[x] * 4096];
    return y;
/* exploiting code */
   /* step 1: mistrain branch predictor */
for (a lot) {
    systemCallHandler(0 /* less than array1_size */);
    /* step 2: evict from cache using misprediction */
Prime():
systemCallHandler(targetAddress - array1Address);
int evictedSet = ProbeAndFindEviction();
int targetValue = (evictedSet - array2StartSet) / setsPer4K;
```

### really contrived?

```
char *array1; char *array2;
if (x < array1_size)
    y = array2[array1[x] * 4096];

times 4096 shifts so we can get lower bits of target value
    so all bits effect what cache block is used</pre>
```

## really contrived?

```
char *array1; char *array2;
if (x < array1_size)</pre>
    y = array2[array1[x] * 4096];
times 4096 shifts so we can get lower bits of target value
     so all bits effect what cache block is used
int *array1; int *array2;
if (x < array1 size)</pre>
    v = array2[array1[x]];
will still get upper bits of array1[x] (can tell from cache set)
can still read arbitrary memory!
     want memory at 0x10000?
    upper bits of 4-byte integer at 0x0FFFE
```

```
if (x < array1_size) {</pre>
                                            our template
    y = array2[array1[x]]);
void SomeSystemCallHandler(int index) {
    if (index > some table size)
        return ERROR;
    int kind = table[index];
                                            actual code
    switch (other table[kind].foo) {
```

```
if (x < array1_size) {</pre>
                                            our template
    y = array2[array1[x]]);
void SomeSystemCallHandler(int index) {
    if (index > some table size)
        return ERROR;
    int kind = table[index];
                                            actual code
    switch (other table[kind].foo) {
```

```
if (x < array1_size) {</pre>
                                            our template
    y = array2[array1[x]]);
void SomeSystemCallHandler(int index) {
    if (index > some table size)
        return ERROR;
    int kind = table[index];
                                            actual code
    switch (other table[kind].foo) {
```

```
if (x < array1_size) {</pre>
                                           our template
    y = array2[array1[x]]);
void SomeSystemCallHandler(int index) {
    if (index > some table size)
        return ERROR;
    int kind = table[index];
                                            actual code
    switch (other table[kind].foo) {
```

#### privilege levels?

vulnerable code runs with higher privileges

so far: higher privileges = kernel mode

but other common cases of higher privileges

example: scripts in web browsers

### **JavaScript**

JavaScript: scripts in webpages

not supposed to be able to read arbitrary memory, but...

can access arrays to examine caches

and could take advantage of some browser function being vulnerable

## **JavaScript**

JavaScript: scripts in webpages

not supposed to be able to read arbitrary memory, but...

can access arrays to examine caches

and could take advantage of some browser function being vulnerable

or — doesn't even need browser to supply vulnerable code itself!

## just-in-time compilation?

```
for performance, compiled to machine code, run in browser
not supposed to be access arbitrary browser memory
example JavaScript code from paper:
if (index < simpleByteArray.length) {</pre>
    index = simpleByteArray[index | 0];
    index = (((index * 4096)|0) & (32*1024*1024-1))|0;
    localJunk ^= probeTable[index|0]|0;
web page runs a lot to train branch predictor
then does run with out-of-bounds index
examines what's evicted by probeTable access
```

## supplying own attack code?

JavaScript: could supply own attack code

turns out also possible with kernel mode scenario

trick: don't need to actually run code

...just need branch predictor to fetch it!

#### other misprediction

```
so far: talking about mispredicting direction of branch
what about mispredicting target of branch in, e.g.:
// possibly from C code like:
// (*function pointer)();
imp *%rax
// possibly from C code like:
// switch(rcx) { ... }
imp *(%rax,%rcx,8)
```

## an idea for predicting indirect jumps

for jmps like jmp \*%rax predict target with cache:

bottom 12 bits of jmp address	last seen target
0x0-0x7	0x200000
0x8-0xF	0×440004
0×10-0×18	0x4CD894
0×18-0×20	0x510194
0×20-0×28	0x4FF194
0×FF8–0×FFF	0x3F8403

Intel Haswell CPU did something similar to this uses bits of last several jumps, not just last one

can mistrain this branch predictor

## using mispredicted jump

- 1: find some kernel function with jmp \*%rax
- 2: mistrain branch target predictor for it to jump to chosen code use code at address that conflicts in "recent jumps cache"
- 3: have chosen code be attack code (e.g. array access) either write special code OR find suitable instructions (e.g. array access) in existing kernel code

### **Spectre variants**

```
showed Spectre variant 1 (array bounds), 2 (indirect jump) from original paper
```

#### other possible variations:

could cause other things to be mispredicted

prediction of where functions return to?

values instead of which code is executed?

could use side-channel other than data cache changes

instruction cache

cache of pending stores not yet committed

contention for resources on multi-threaded CPU core

branch prediction changes

...

# some Linux kernel mitigations (1)

```
replace array [x] with
array[x & ComputeMask(x, size)]
...where ComputeMask() returns
    0 if x > size
    0xFFFF...F if x < size
...and ComputeMask() does not use jumps:
mov x, %r8
mov size, %r9
cmp %r9, %r8
sbb %rax, %rax // sbb = subtract with borrow
    // either 0 or -1
```

# some Linux kernel mitigations (2)

for indirect branches:

#### with hardware help:

separate indirect (computed) branch prediction for kernel v user mode other branch predictor changes to isolate better

#### without hardware help:

transform jmp \*(%rax), etc. into code that will only predicted to jump to safe locations (by writing assembly very carefully)

## only safe prediction

```
as replacement for jmp *(%rax)
code from Intel's "Retpoline: A Branch Target Injection
Mitigation"
        call load label
    capture_ret_spec: /* <-- want prediction to go here */
        pause
        lfence
        imp capture ret spec
    load label:
        mov %rax, (%rsp)
        ret
```

# backup slides