

kernel 2 / signals

# changelog

11 Sep 2023: 'synchronous signal handling': change program to correctly use sigwait (previous code was based on sigwaitinfo)

# last time (1)

## kernel mode

- kernel mode — “dangerous” operations allowed
- only OS code allowed to run in kernel mode

## exceptions

- hardware runs OS-specified routine in kernel mode
- allows OS to help programs/hardware do something

system calls — exceptions intentionally triggered by program

- how programs ask to do something that needs kernel mode

other exceptions — things hardware needs OS help to handle

- program “errors” (divide by zero, out-of-bounds, etc.)

- I/O events (keypress, network input, etc.)

- timer

## last time (2)

address translation / address spaces

- address program uses not “real” address

- OS sets mapping (function) from program to real addresses

- mapping limits what memory program can access

- mapping allows any program address OS chooses

- one mapping per running program

time multiplexing

- processor shared between multiple programs over time

- when OS runs from exception, can switch programs

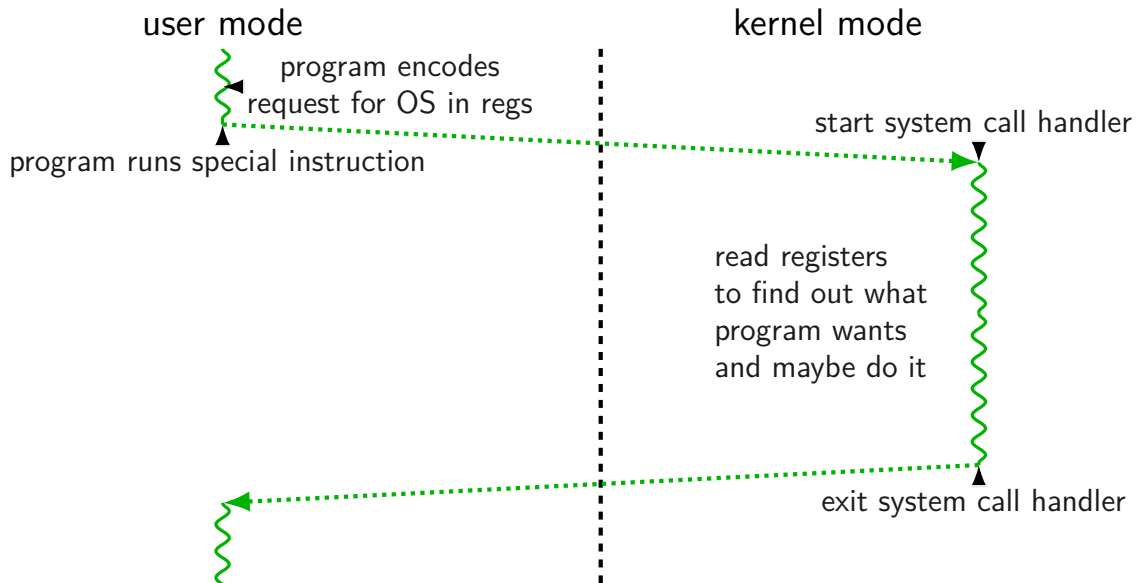
# anonymous feedback

“Not a huge thing, but would it be possible to run code on the slides on a program during lecture? Seeing the text on the slides helps, but I feel it would help us better to know how to set up our code in terminal, see the results in real time, and explain errors if they arise? Seeing a lot of code on the slides is a sometimes a bit overwhelming or hard to understand in the current format.”

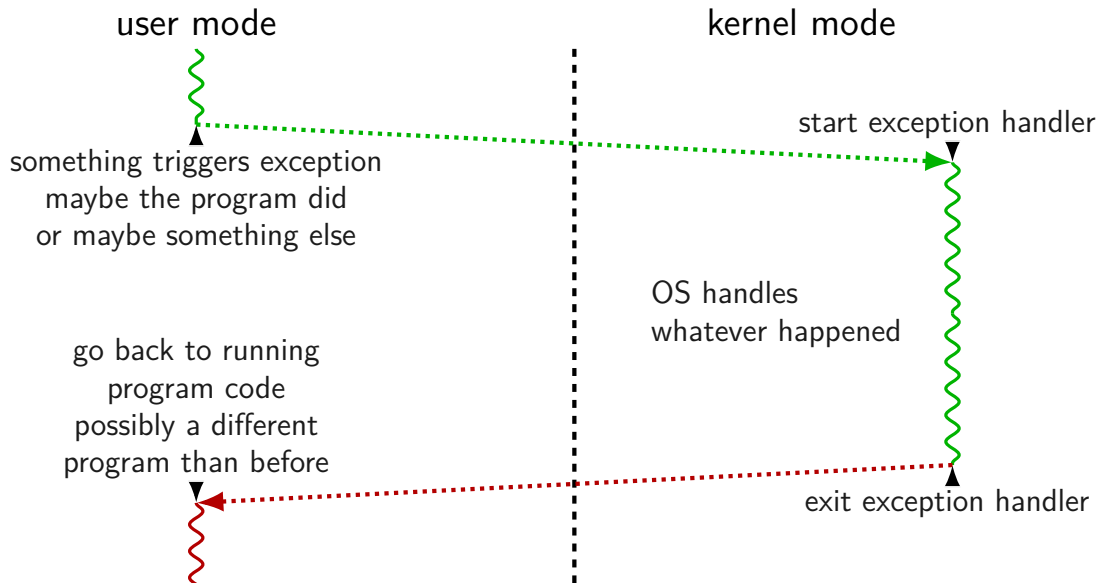
when I do live demos, usually pretty canned/setup in advance  
so probably not helpful for what you want  
probably should spend more time explaining code on slides

“Can you explain system calls/ time multiplexing again/ clarify it. It was confusing during lecture/ felt rushed. And could you further explain the diagram with kernel/ system call more clearly”

# system call process



# general exception process



# types of exceptions

system calls

intentional — ask OS to do something

errors/events in programs

memory not in address space (“Segmentation fault”)

privileged instruction

divide by zero, invalid instruction

...

**synchronous**

triggered by  
current program

**external — I/O, etc.**

timer — configured by OS to run OS at certain time

I/O devices — key presses, hard drives, networks, ...

hardware is broken (e.g. memory parity error)

**asynchronous**

not triggered by  
running program



# an infinite loop

```
int main(void) {  
    while (1) {  
        /* waste CPU time */  
    }  
}
```

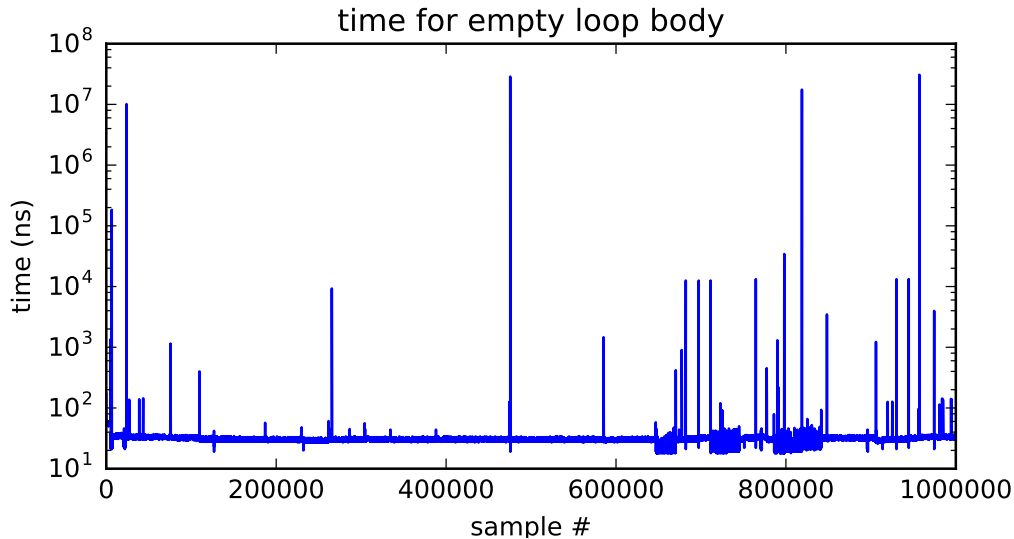
If I run this on a shared department machine, can you still use it?  
...if the machine only has one core?

## timing nothing

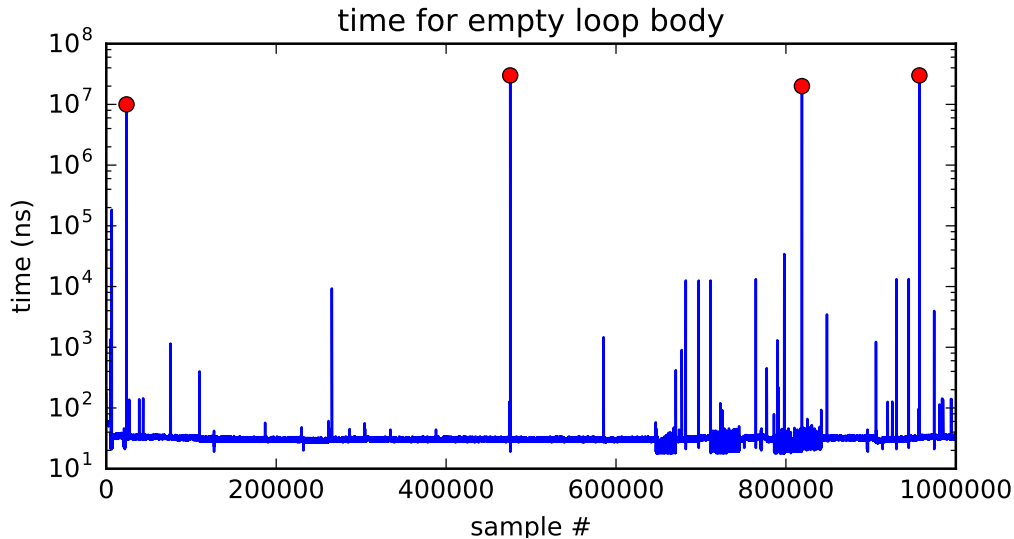
```
long times[NUM_TIMINGS];
int main(void) {
    for (int i = 0; i < N; ++i) {
        long start, end;
        start = get_time();
        /* do nothing */
        end = get_time();
        times[i] = end - start;
    }
    output_timings(times);
}
```

same instructions — same difference each time?

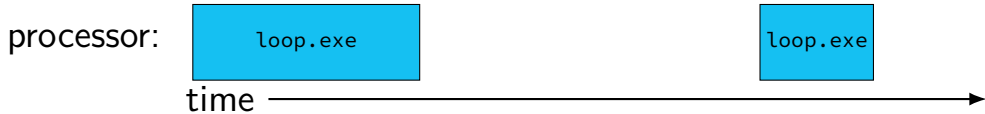
# doing nothing on a busy system



# doing nothing on a busy system



# time multiplexing



# time multiplexing



...

```
call get_time
```

*// whatever get\_time does*

```
movq %rax, %rbp
```

———— million cycle delay ————

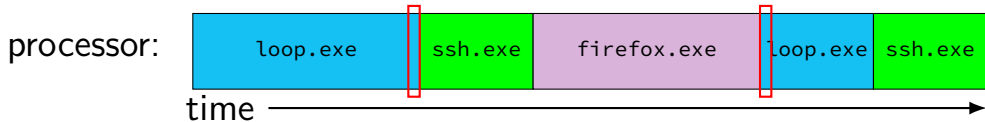
```
call get_time
```

*// whatever get\_time does*

```
subq %rbp, %rax
```

...

# time multiplexing



...

```
call get_time
```

*// whatever get\_time does*

```
movq %rax, %rbp
```

———— million cycle delay ————

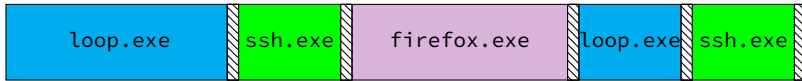
```
call get_time
```

*// whatever get\_time does*

```
subq %rbp, %rax
```

...

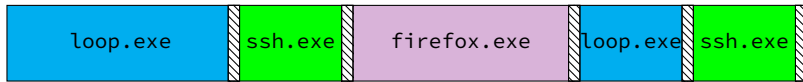
# time multiplexing really



= operating system



# time multiplexing really



= operating system

exception happens

return from exception

# types of exceptions

system calls

intentional — ask OS to do something

errors/events in programs

memory not in address space (“Segmentation fault”)

privileged instruction

divide by zero, invalid instruction

...

external — I/O, etc.

**timer** — configured by OS to run OS at certain time

I/O devices — key presses, hard drives, networks, ...

hardware is broken (e.g. memory parity error)

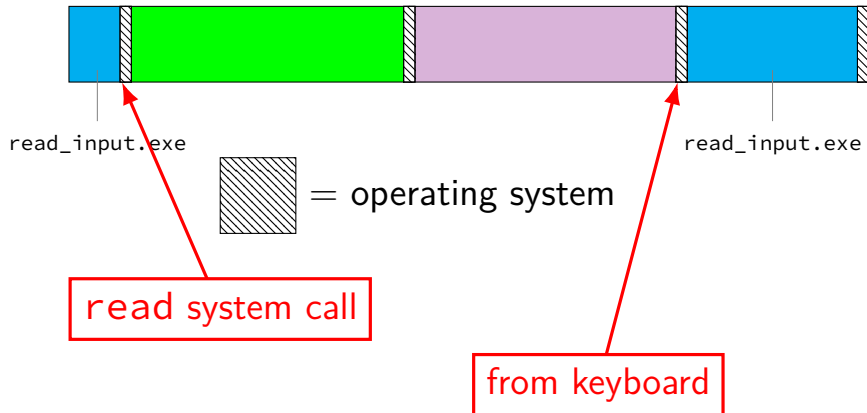
**synchronous**

triggered by  
current program

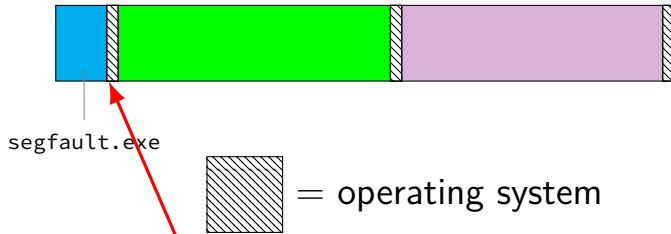
**asynchronous**

not triggered by  
running program

# keyboard input timeline



# crash timeline timeline



out of bounds memory access

# threads

thread = illusion of own processor

own register values

own program counter value

# threads

thread = illusion of own processor

own register values

own program counter value

actual implementation:

many threads sharing one processor

problem: where are register/program counter values  
when thread not active on processor?

# switching programs

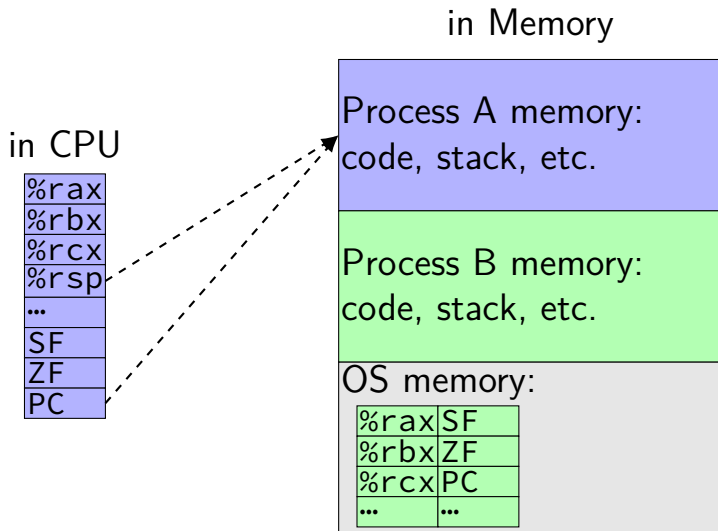
OS starts running somehow  
some sort of exception

saves old registers + program counter  
(optimization: could omit when program crashing/exiting)

sets new registers, jumps to new program counter

called **context switch**  
saved information called **context**

# contexts (A running)



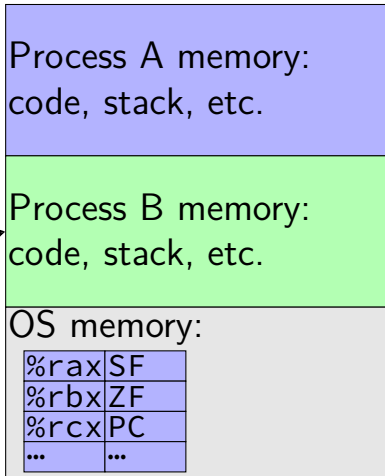


# contexts (B running)

in Memory

in CPU

%rax
%rbx
%rcx
%rsp
...
SF
ZF
PC



## review: definitions

exception: hardware calls OS specified routine

- many possible reasons

- system calls: type of exception

context switch: OS switches to another thread

- by saving old register values + loading new ones

- part of OS routine run by exception

## which of these require exceptions? context switches?

- A. program calls a function in the standard library
- B. program writes a file to disk
- C. program A goes to sleep, letting program B run
- D. program exits
- E. program returns from one function to another function
- F. program pops a value from the stack

# which require exceptions [answers] (1)

- A. program calls a function in the standard library  
no (same as other functions in program; some standard library functions might make system calls, but if so, that'll be part of what happens after they're called and before they return)
- B. program writes a file to disk  
yes (requires kernel mode only operations)
- C. program A goes to sleep, letting program B run  
yes (kernel mode usually required to change the address space to access program B's memory)

## which require exceptions [answer] (2)

D. program exits

yes (requires switching to another program, which requires accessing OS data + other program's memory)

E. program returns from one function to another function

no

F. program pops a value from the stack

no

## which require context switches [answer]

no: A. program calls a function in the standard library

no: B. program writes a file to disk

(but might be done if program needs to wait for disk and other things could be run while it does)

yes: C. program A goes to sleep, letting program B run

yes: D. program exits

no: E. program returns from one function to another function

no: F. program pops a value from the stack

# terms for exceptions

terms for exceptions aren't standardized

our readings use one set of terms

- interrupts = externally-triggered

- faults = error/event in program

- trap = intentionally triggered

all these terms appear differently elsewhere

# The Process

**process** = thread(s) + address space

illusion of **dedicated machine**:

thread = illusion of own CPU

address space = illusion of own memory



# signals

Unix-like **operating system feature**

like exceptions for processes:

- can be triggered by external process
  - kill command/system call

- can be triggered by special events
  - pressing control-C
  - other events that would normal terminate program
    - 'segmentation fault'
    - illegal instruction
    - divide by zero

- can invoke **signal handler** (like exception handler)

# exceptions v signals

(hardware) exceptions

handler runs in kernel mode

hardware decides when

hardware needs to save PC

processor next instruction changes

signals

handler runs in user mode

OS decides when

OS needs to save PC + registers

thread next instruction changes

# exceptions v signals

(hardware) exceptions

handler runs in kernel mode

hardware decides when

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processor next instruction changes

signals

handler runs in user mode

OS decides when

OS needs to save PC + registers

thread next instruction changes

...but OS needs to run to trigger handler  
most likely “forwarding” hardware exception

# exceptions v signals

(hardware) exceptions

handler runs in kernel mode

hardware decides when

hardware needs to save PC

processor next instruction changes

signals

handler runs in user mode

OS decides when

OS needs to save PC + registers

thread next instruction changes

signal handler follows normal calling convention  
not special assembly like typical exception handler

# exceptions v signals

(hardware) exceptions

handler runs in kernel mode

hardware decides when

hardware needs to save PC

**processor** next instruction changes

signals

handler runs in user mode

OS decides when

OS needs to save PC + registers

**thread** next instruction changes

signal handler runs in same thread ('virtual processor')  
as process was using before

not running at 'same time' as the code it interrupts

# base program

```
int main() {  
    char buf[1024];  
    while (fgets(buf, sizeof buf, stdin)) {  
        printf("read %s", buf);  
    }  
}
```

# base program

```
int main() {  
    char buf[1024];  
    while (fgets(buf, sizeof buf, stdin)) {  
        printf("read %s", buf);  
    }  
}
```

---

some input

**read some input**

more input

**read more input**

*(control-C pressed)*

*(program terminates immediately)*

# base program

```
int main() {  
    char buf[1024];  
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more input

**read more input**

*(control-C pressed)*

*(program terminates immediately)*



# new program

```
int main() {  
    ... // added stuff shown later  
    char buf[1024];  
    while (fgets(buf, sizeof buf, stdin)) {  
        printf("read %s", buf);  
    }  
}
```

---

some input

**read some input**

more input

**read more input**

*(control-C pressed)*

**Control-C pressed?!**

another input **read another input**

## new program

```
int main() {  
    ... // added stuff shown later  
    char buf[1024];  
    while (fgets(buf, sizeof buf, stdin)) {  
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---

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*(control-C pressed)*

**Control-C pressed?!**

another input **read another input**

# new program

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}
```

---

some input

**read some input**

more input

**read more input**

*(control-C pressed)*

**Control-C pressed?!**

another input **read another input**

# example signal program

```
void handle_sigint(int signum) {  
    /* signum == SIGINT */  
    write(1, "Control-C pressed?!\n",  
        sizeof("Control-C pressed?!\n"));  
}  
  
int main(void) {  
    struct sigaction act;  
    act.sa_handler = &handle_sigint;  
    sigemptyset(&act.sa_mask);  
    act.sa_flags = SA_RESTART;  
    sigaction(SIGINT, &act, NULL);  
  
    char buf[1024];  
    while (fgets(buf, sizeof buf, stdin)) {  
        printf("read %s", buf);  
    }  
}
```

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```

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    sigaction(SIGINT, &act, NULL);  
  
    char buf[1024];  
    while (fgets(buf, sizeof buf, stdin)) {  
        printf("read %s", buf);  
    }  
}
```

# SIGxxxx

signals types identified by number...

constants declared in `<signal.h>`

constant	likely use
SIGBUS	“bus error”; certain types of invalid memory accesses
SIGSEGV	“segmentation fault”; other types of invalid memory accesses
SIGINT	what control-C usually does
SIGFPE	“floating point exception”; includes integer divide-by-zero
SIGHUP, SIGPIPE	reading from/writing to disconnected terminal/socket
SIGUSR1, SIGUSR2	use for whatever you (app developer) wants
SIGKILL	terminates process (cannot be handled by process!)
SIGSTOP	suspends process (cannot be handled by process!)
...	...

# SIGxxxx

signals types identified by number...

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SIGKILL	terminates process (cannot be handled by process!)
SIGSTOP	suspends process (cannot be handled by process!)
...	...



# handling Segmentation Fault

```
...  
void handle_sigsegv(int num) {  
    puts("got SIGSEGV");  
}  
  
int main(void) {  
    struct sigaction act;  
    act.sa_handler = handle_sigsegv;  
    sigemptyset(&act.sa_mask);  
    act.sa_flags = SA_RESTART;  
    sigaction(SIGSEGV, &act, NULL);  
  
    asm("movq %rax, 0x12345678");  
}
```

---

# handling Segmentation Fault

```
...  
void handle_sigsegv(int num) {  
    puts("got SIGSEGV");  
}  
  
int main(void) {  
    struct sigaction act;  
    act.sa_handler = handle_sigsegv;  
    sigemptyset(&act.sa_mask);  
    act.sa_flags = SA_RESTART;  
    sigaction(SIGSEGV, &act, NULL);  
  
    asm("movq %rax, 0x12345678");  
}
```

---

got SIGSEGV

got SIGSEGV

got SIGSEGV

# signal API

`sigaction` — register handler for signal

`kill` — send signal to process

uses **process ID** (integer, retrieve from `getpid()`)

`pause` — put process to sleep until signal received

`sigprocmask` — temporarily block/unblock some signals from being received

signal will still be *pending*, received if unblocked

... and much more

# kill command

*kill* command-line command : calls the `kill()` function

`kill 1234` — sends `SIGTERM` to pid 1234

in C: `kill(1234, SIGTERM)`

`kill -USR1 1234` — sends `SIGUSR1` to pid 1234

in C: `kill(1234, SIGUSR1)`

# backup slides