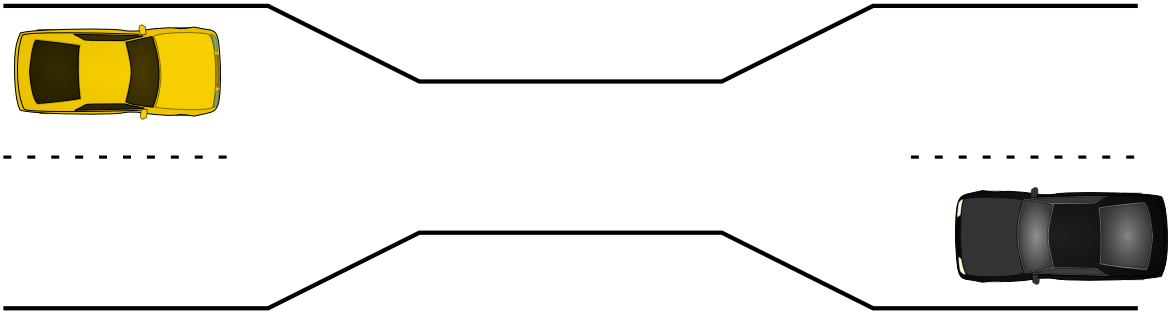
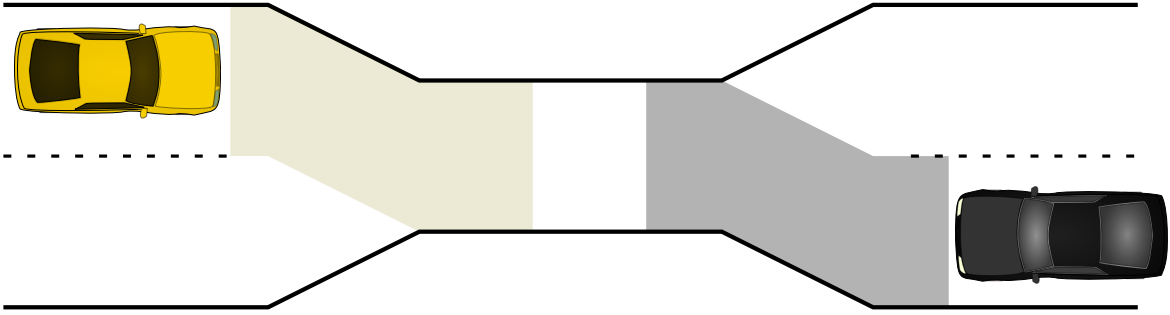
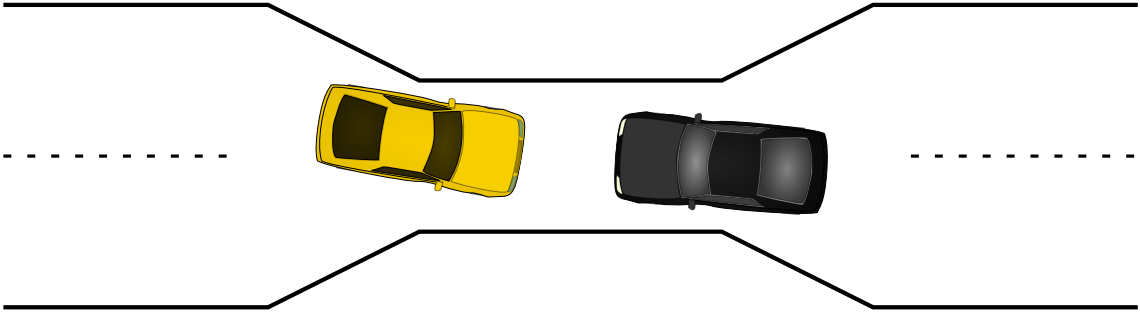
the one-way bridge



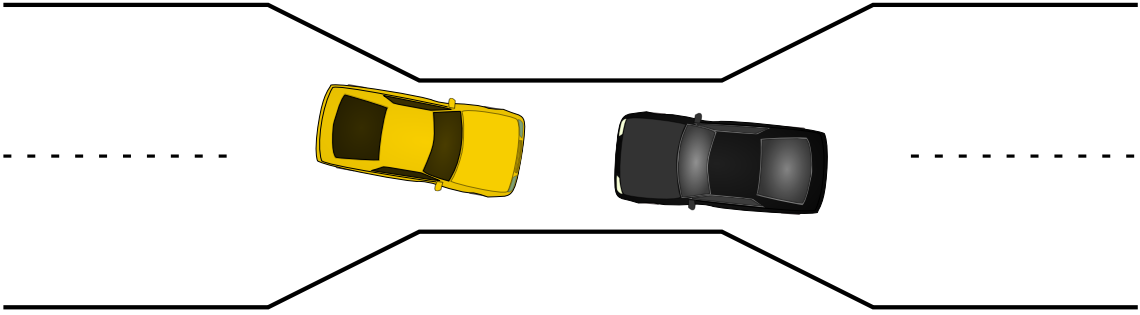
the one-way bridge



the one-way bridge



the one-way bridge



moving two files

```
struct Dir {
  mutex_t lock; HashMap entries;
};
void MoveFile(Dir *from_dir, Dir *to_dir, string filename) {
  mutex_lock(&from_dir->lock);
  mutex_lock(&to_dir->lock);

  Map_put(to_dir->entries, filename,
        Map_get(from_dir->entries, filename));
  Map_erase(from_dir->entries, filename);

  mutex_unlock(&to_dir->lock);
  mutex_unlock(&from_dir->lock);
}
```

Thread 1: `MoveFile(A, B, "foo")`
Thread 2: `MoveFile(B, A, "bar")`

moving two files: lucky timeline (1)

Thread 1 MoveFile(A, B, "foo")	Thread 2 MoveFile(B, A, "bar")
`lock(&A->lock);`	
`lock(&B->lock);`	
(do move)	
`unlock(&B->lock);`	
`unlock(&A->lock);`	
	`lock(&B->lock);`
	`lock(&A->lock);`
	(do move)
	`unlock(&B->lock);`
	`unlock(&A->lock);`

moving two files: lucky timeline (2)

Thread 1 `MoveFile(A, B, "foo")`	Thread 2 `MoveFile(B, A, "bar")`
`lock(&A->lock);`	
`lock(&B->lock);`	
	`lock(&B->lock…`
(do move)	(waiting for B lock)
`unlock(&B->lock);`	
	`lock(&B->lock);`
	`lock(&A->lock…`
`unlock(&A->lock);`	
	`lock(&A->lock);`
	(do move)
	`unlock(&A->lock);`
	`unlock(&B->lock);`

moving two files: unlucky timeline

Thread 1	Thread 2
`MoveFile(A, B, "foo")`	`MoveFile(B, A, "bar")`
`lock(&A->lock);`	
	`lock(&B->lock);`

moving two files: unlucky timeline

Thread 1 `MoveFile(A, B, "foo")`	Thread 2 `MoveFile(B, A, "bar")`
`lock(&A->lock);`	
	`lock(&B->lock);`
`lock(&B->lock…` stalled	
(waiting for lock on B)	`lock(&A->lock…` stalled
(waiting for lock on B)	(waiting for lock on A)

moving two files: unlucky timeline

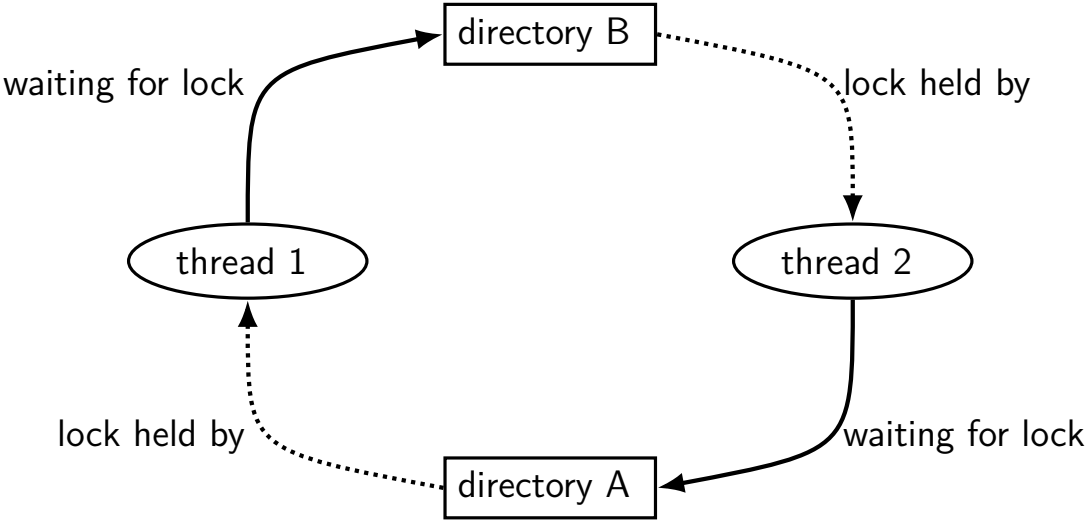
Thread 1 `MoveFile(A, B, "foo")`	Thread 2 `MoveFile(B, A, "bar")`
`lock(&A->lock);`	
	`lock(&B->lock);`
`lock(&B->lock…` stalled	
(waiting for lock on B)	`lock(&A->lock…` stalled
(waiting for lock on B)	(waiting for lock on A)
~~(do move)~~ unreachable	~~(do move)~~ unreachable
~~`unlock(&B->lock);`~~ unreachable	~~`unlock(&A->lock);`~~ unreachable
~~`unlock(&A->lock);`~~ unreachable	~~`unlock(&B->lock);`~~ unreachable

moving two files: unlucky timeline

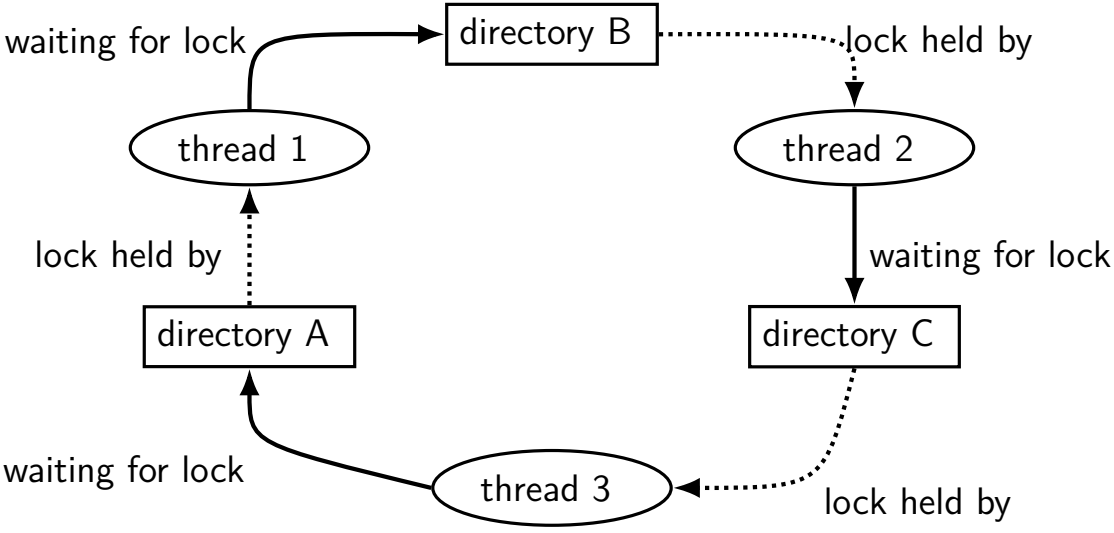
Thread 1 `MoveFile(A, B, "foo")`	Thread 2 `MoveFile(B, A, "bar")`
`lock(&A->lock);`	
	`lock(&B->lock);`
`lock(&B->lock…` stalled	
(waiting for lock on B)	`lock(&A->lock…` stalled
(waiting for lock on B)	(waiting for lock on A)
~~(do move)~~ unreachable	~~(do move)~~ unreachable
~~`unlock(&B->lock);`~~ unreachable	~~`unlock(&A->lock);`~~ unreachable
~~`unlock(&A->lock);`~~ unreachable	~~`unlock(&B->lock);`~~ unreachable

Thread 1 holds A lock, waiting for Thread 2 to release B lock
Thread 2 holds B lock, waiting for Thread 1 to release A lock

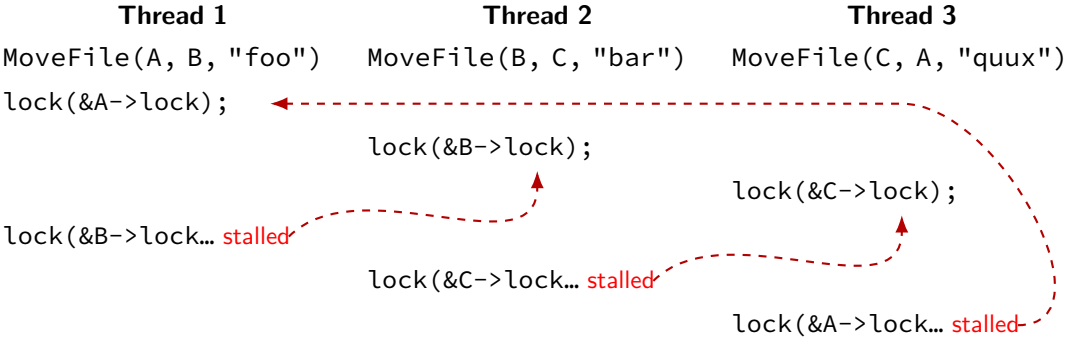
moving two files: dependencies



moving three files: dependencies



moving three files: unlucky timeline



deadlock with free space

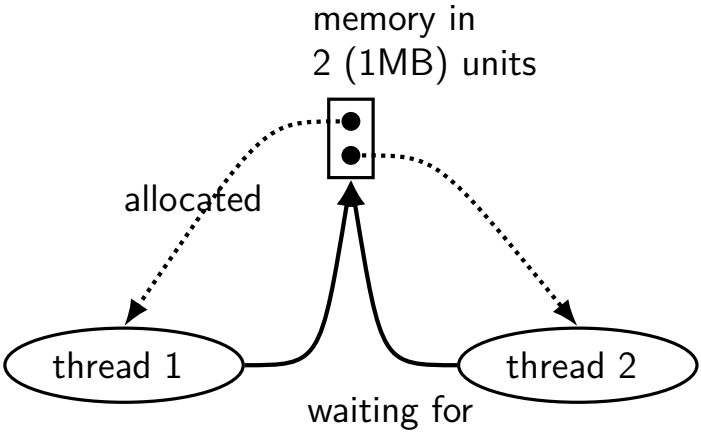
Thread 1	Thread 2
`AllocateOrWaitFor(1 MB)`	`AllocateOrWaitFor(1 MB)`
`AllocateOrWaitFor(1 MB)`	`AllocateOrWaitFor(1 MB)`
`(do calculation)`	`(do calculation)`
`Free(1 MB)`	`Free(1 MB)`
`Free(1 MB)`	`Free(1 MB)`

2 MB of space — deadlock possible with unlucky order

deadlock with free space (unlucky case)

Thread 1	Thread 2
`AllocateOrWaitFor(1 MB)`	
	`AllocateOrWaitFor(1 MB)`
`AllocateOrWaitFor(1 MB…` stalled	
	`AllocateOrWaitFor(1 MB…` stalled

free space: dependency graph



deadlock with free space (lucky case)

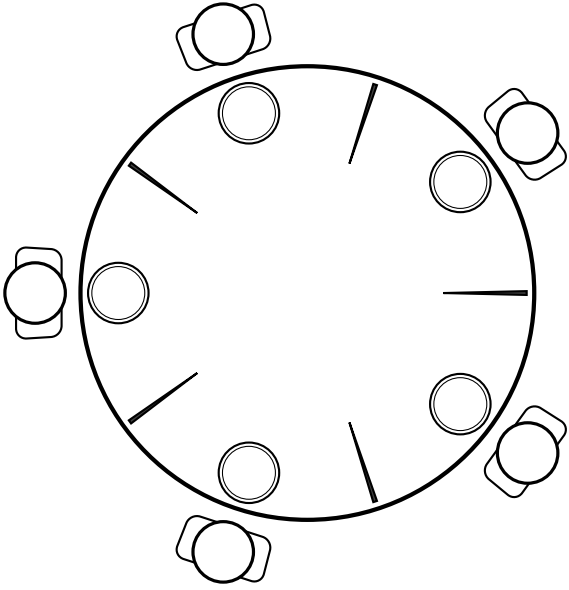
Thread 1

```
AllocateOrWaitFor(1 MB)
AllocateOrWaitFor(1 MB)
(do calculation)
Free(1 MB);
Free(1 MB);
```

Thread 2

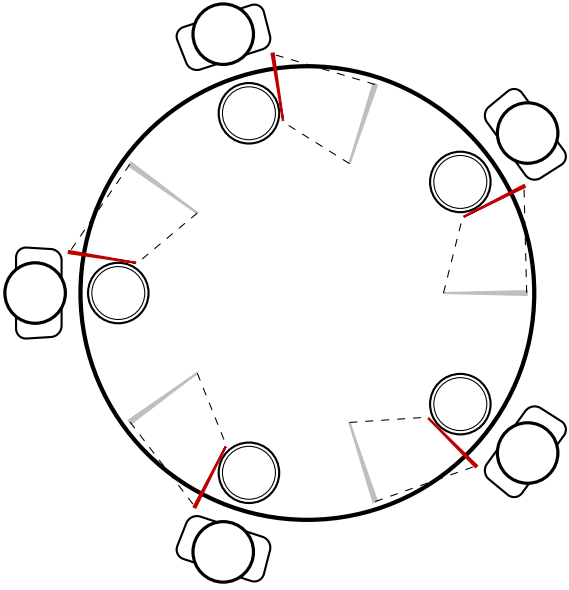
```
AllocateOrWaitFor(1 MB)
AllocateOrWaitFor(1 MB)
(do calculation)
Free(1 MB);
Free(1 MB);
```

dining philosophers



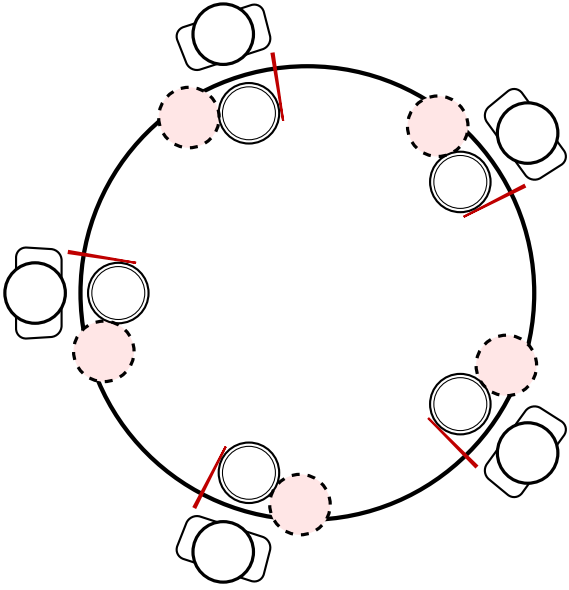
five philosophers either think or eat
to eat:
grab chopstick on left, then
grba chopstick on right, then
then eat, then
return chopsticks

dining philosophers



everyone eats at the same time?
grab left chopstick, then...

dining philosophers



everyone eats at the same time?
grab left chopstick, then
try to grab right chopstick, ...
we're at an impasse

deadlock

deadlock — circular waiting for resources

resource = something needed by a thread to do work

- locks
- CPU time
- disk space
- memory
- ...

often non-deterministic in practice

most common example: when acquiring multiple locks

deadlock

deadlock — circular waiting for resources

resource = something needed by a thread to do work

- locks
- CPU time
- disk space
- memory
- ...

often non-deterministic in practice

most common example: when acquiring multiple locks

deadlock requirements

mutual exclusion

one thread at a time can use a resource

hold and wait

thread holding a resources waits to acquire *another* resource

no preemption of resources

resources are only released voluntarily
thread trying to acquire resources can't 'steal'

circular wait

there exists a set $\{T_1, \ldots, T_n\}$ of waiting threads such that

T_1 is waiting for a resource held by T_2
T_2 is waiting for a resource held by T_3
...
T_n is waiting for a resource held by T_1

how is deadlock possible?

Given list: A, B, C, D, E

```
RemoveNode(LinkedListNode *node) {
    pthread_mutex_lock(&node->lock);
    pthread_mutex_lock(&node->prev->lock);
    pthread_mutex_lock(&node->next->lock);
    node->next->prev = node->prev; node->prev->next = node->next;
    pthread_mutex_unlock(&node->next->lock); pthread_mutex_unlock(&node->p
    pthread_mutex_unlock(&node->lock);
}
```

Which of these (all run in parallel) can deadlock?

A. RemoveNode(B) and RemoveNode(C)
B. RemoveNode(B) and RemoveNode(D)
C. RemoveNode(B) and RemoveNode(C) and RemoveNode(D)
D. A and C
E. B and C
F. all of the above
G. none of the above

deadlock prevention techniques

infinite resources or at least enough that never run out	no *mutual exclusion*
no shared resources	no *mutual exclusion*
no waiting "busy signal" — abort and (maybe) retry revoke/preempt resources	no *hold and wait*/ *preemption*
acquire resources in **consistent order**	no *circular wait*
request **all resources at once**	no *hold and wait*

deadlock prevention techniques

infinite resources
or at least enough that never run out — no *mutual exclusion*

no shared resources — no *mutual exclusion*

no waiting
"busy signal" — abort and (maybe) retry
revoke/preempt resources — no *hold and wait*/*preemption*

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revoke/preempt resources — no *hold and wait*/*preemption*

acquire resources in **consistent order** — no *circular wait*

request **all resources at once** — no *hold and wait*

deadlock prevention techniques

infinite resources

or at least enough that never run out

no *mutual exclusion*

memory allocation: malloc() fails rather than waiting (no deadlock)
locks: `pthread_mutex_trylock` fails rather than waiting
problem: retry how many times? no bound on number of tries needed
...

exclusion

no waiting

"busy signal" — abort and (maybe) retry

revoke/preempt resources

no *hold and wait*/ *preemption*

acquire resources in **consistent order**

no *circular wait*

request **all resources at once**

no *hold and wait*

deadlock prevention techniques

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deadlock prevention techniques

infinite resources
or at least enough that never run out — no *mutual exclusion*

no shared resources — no *mutual exclusion*

requires some way to undo partial changes to avoid errors
common approach for databases
...

no waiti
"busy signal" — abort and (maybe) retry
revoke/preempt resources — no *hold and wait*/ *preemption*

acquire resources in **consistent order** — no *circular wait*

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acquire resources in **consistent order** — no *circular wait*

request **all resources at once** — no *hold and wait*

acquiring locks in consistent order (1)

```
MoveFile(Dir* from_dir, Dir* to_dir, string filename) {
  if (from_dir->path < to_dir->path) {
    lock(&from_dir->lock);
    lock(&to_dir->lock);
  } else {
    lock(&to_dir->lock);
    lock(&from_dir->lock);
  }
  ...
}
```

acquiring locks in consistent order (1)

```
MoveFile(Dir* from_dir, Dir* to_dir, string filename) {
  if (from_dir->path < to_dir->path) {
    lock(&from_dir->lock);
    lock(&to_dir->lock);
  } else {
    lock(&to_dir->lock);
    lock(&from_dir->lock);
  }
  ...
}
```

any ordering will do
e.g. compare pointers

acquiring locks in consistent order (2)

often by convention, e.g. Linux kernel comments:

```
/*
 * ...
 * Lock order:
 *      contex.ldt_usr_sem
 *        mmap_sem
 *          context.lock
 */
```

```
/*
 * ...
 * Lock order:
 *   1. slab_mutex (Global Mutex)
 *   2. node->list_lock
 *   3. slab_lock(page) (Only on some arches and for debugging)
 * ...
 */
```

deadlock prevention techniques

infinite resources
or at least enough that never run out — no *mutual exclusion*

no shared resources — no *mutual exclusion*

no waiting
"busy signal" — abort and (maybe) retry
revoke/preempt resources — no *hold and wait*/*preemption*

acquire resources in **consistent order** — no *circular wait*

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