



# so far

building programs

hardware and OSes

- exceptions, context switching

- virtual memory

OS abstractions

- system calls and permissions

networking layers

cryptography and ensuring authenticity/secretcy

caches

# last time

review of set-associative caches

write policies

- write-allocate / write-no-allocate (if not present, ...)

- write-back / write-through (if present, ...)

compulsory AKA cold / conflict / capacity / coherence

- identify what changes needed to eliminate cache misses

## anonymous feedback (1)

“Would you mind explaining question 6 step by step on quiz 6, please? Thanks!”

feedback from last Tuesday evening, I think I'm late  
question asked about access to index 4, tag 001101  
look at index 4 in cache; that tag not present in valid block  
so, we need to add a block with that tag to that index  
must replace an existing block  
was direct mapped cache, only one candidate  
question asked for contents (data) of block replaced

## anonymous feedback (2)

“i'm doing the part two of the lab right now and i am on the verge of tears - it's pretty straightforward and it's nothing abt the actual computations that's bad it's just that... I have submitted this EIGHT TIMES ALREADY with most of them having a score of 39/40 i'm just annoyed more than anything most of the time it's minor errors, but i guess i really just am not understanding which addresses to evict because the ones i think are correct sometimes are, but sometimes aren't and i'm failing to see the pattern”

intention was you'd get help in lab/OH to understand this

(also you could get in-person lab checkoff with less than 40/40)

I think most common misunderstanding was not handling least recently used policy

(thing you replace might not be least recently inserted, since that's not same as least recently used)

## anonymous feedback (3)

“Among all the negative feedback, I wanted to give you some positive feedback. You are a great professor, people just always have something to complain about. The fact that you look at anonymous feedback and use it to continuously improve the class is already much more than what other professors do. Additionally, you are very well versed in the content of the class; there is almost no question that you do not have the answer to. People tend to get frustrated with the content of the course because of its difficulty and end up taking it out on you in the anonymous feedback, which you do not deserve. So please continue doing what your doing, and I hope this message raises your spirit :)

## anonymous feedback (4)

“In my opinion, the content that was taught in class was not sufficient for our proficiency in this Quiz 7. I feel very stressed T.T”

“I wish the examples during class would be more in-depth in that they should go step by step and be as detailed as possible. I feel that you assume we know more than we know, many things that you point out as intuitive are not so for me.”

not sure which examples referred to; most of the cache examples w/o C code were very specific. (I agree the ones with C code could be more specific)

## anonymous feedback (5)

“I feel like the content of the class does not match the difficulties of the quizzes where I understand what is going on in class, but not really what is being asked on the quizzes. I try doing the readings to get a better grasp of the context, but I feel like the reading does not encapsulate the material well and is a little too simple. Is there any resources like texts or websites available?”

added some links to additional resources (which I think mostly duplicate the cache reading) to the bottom of the cache reading  
probably need to examine this for other topics



## schedule note

want to make sure fork/exec covered for lab tomorrow, so might skip ahead

(and return back later)

# making any cache look bad

1. access enough blocks, to fill the cache
2. access an additional block, replacing something
3. access last block replaced
4. access last block replaced
5. access last block replaced
- ...

but — typical real programs have **locality**

# cache optimizations

(assuming typical locality + keeping cache size constant if possible...)

	miss rate	hit time	miss penalty
increase cache size	better	worse	—
increase associativity	better	worse	worse?
increase block size	depends	worse	worse
add secondary cache	—	—	better
write-allocate	better	—	?
writeback	—	—	?
LRU replacement	better	?	worse?
prefetching	better	—	—

prefetching = guess what program will use, access in advance

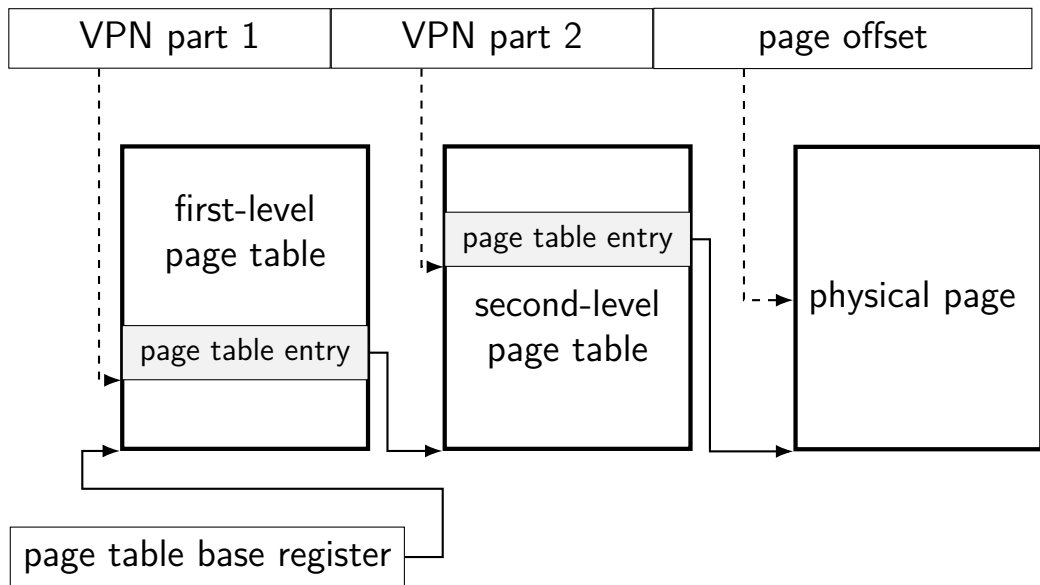
$$\text{average time} = \text{hit time} + \text{miss rate} \times \text{miss penalty}$$

# cache optimizations by miss type

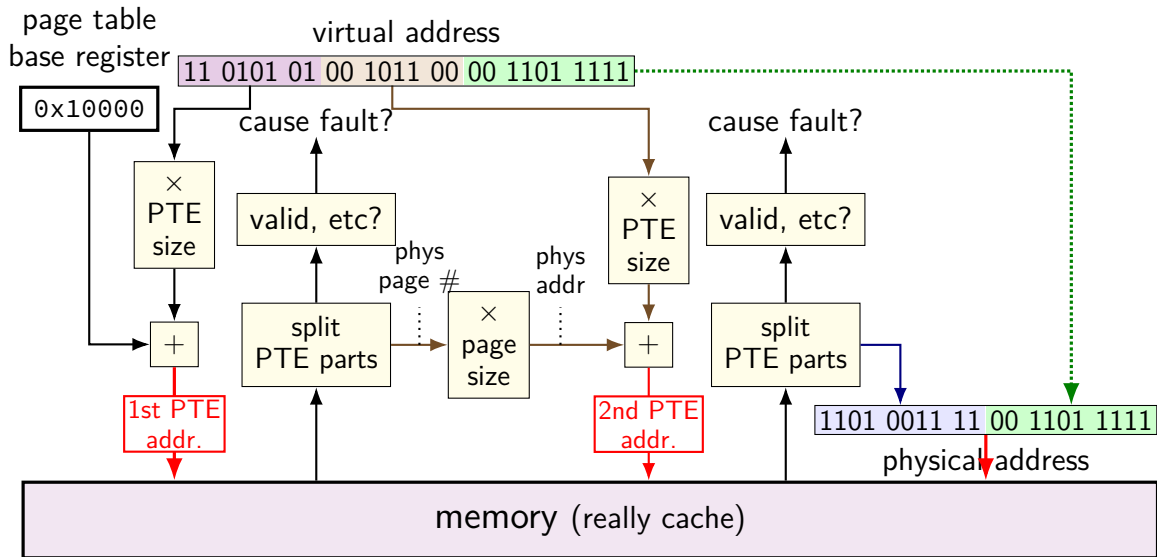
(assuming other listed parameters remain constant)

	capacity	conflict	compulsory
increase cache size	fewer misses	fewer misses	—
increase associativity	—	fewer misses	—
increase block size	more misses?	more misses?	fewer misses
LRU replacement	—	fewer misses	—
prefetching	—	—	fewer misses

## another view



## two-level page table lookup



## cache accesses and multi-level PTs

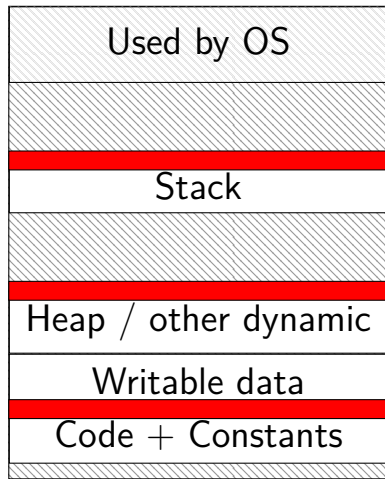
four-level page tables — five cache accesses per program memory access

L1 cache hits — typically a couple cycles each?

so add 8 cycles to each program memory access?

not acceptable

# program memory active sets



0xFFFF FFFF FFFF FFFF

0xFFFF 8000 0000 0000

0x7F...

small areas of memory active at a time  
one or two pages in each area?

0x0000 0000 0040 0000



# page table entries and locality

page table entries have **excellent temporal locality**

typically one or two pages of the stack active

typically one or two pages of code active

typically one or two pages of heap/globals active

each page contains **whole functions**, arrays, stack frames, etc.

# page table entries and locality

page table entries have **excellent temporal locality**

typically one or two pages of the stack active

typically one or two pages of code active

typically one or two pages of heap/globals active

each page contains **whole functions**, arrays, stack frames, etc.

needed page table entries are **very small**

# page table entry cache

called a **TLB** (translation lookaside buffer)

very small cache of page table entries

L1 cache	TLB
physical addresses	virtual page numbers
bytes from memory	page table entries
tens of bytes per block	one page table entry per block
usually thousands of blocks	usually tens of entries

# page table entry cache

called a **TLB** (translation lookaside buffer)

very small cache of page table entries

L1 cache	TLB
physical addresses	virtual page numbers
bytes from memory	page table entries
tens of bytes per block	one page table entry per block
usually thousands of blocks	usually tens of entries
only caches the page table lookup itself (generally) just entries from the last-level page tables	

# page table entry cache

called a **TLB** (translation lookaside buffer)

very small cache of page table entries

L1 cache	TLB
physical addresses	virtual page numbers
bytes from memory	page table entries
tens of bytes per block	one page table entry per block
usually thousands of blocks	usually tens of entries

not much spatial locality between page table entries  
(they're used for kilobytes of data already)  
(and if spatial locality, maybe use larger page size?)

# page table entry cache

called a **TLB** (translation lookaside buffer)

very small cache of page table entries

L1 cache	TLB
physical addresses	virtual page numbers
bytes from memory	page table entries
tens of bytes per block	one page table entry per block
usually thousands of blocks	usually tens of entries

few active page table entries at a time  
enables highly associative cache designs

# TLB and multi-level page tables

TLB caches **valid last-level page table entries**

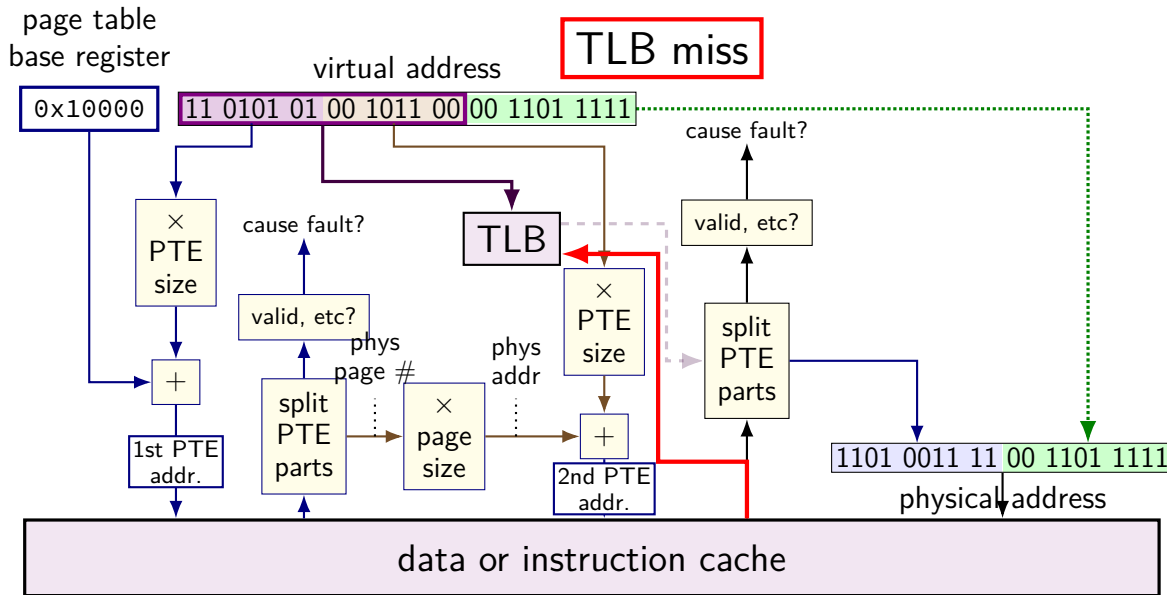
doesn't matter which last-level page table

means TLB output can be used directly to form address

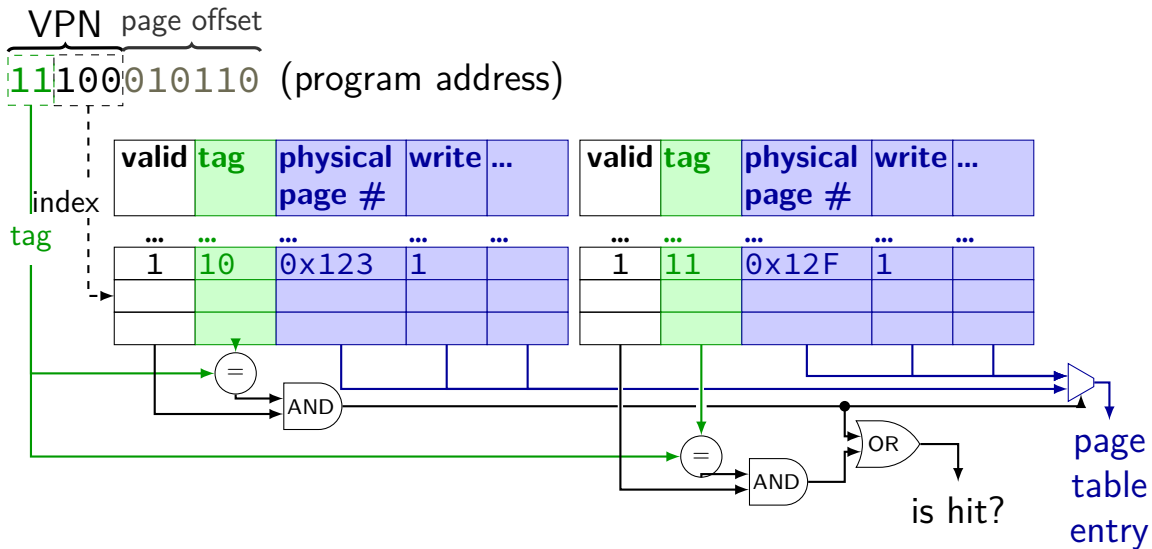




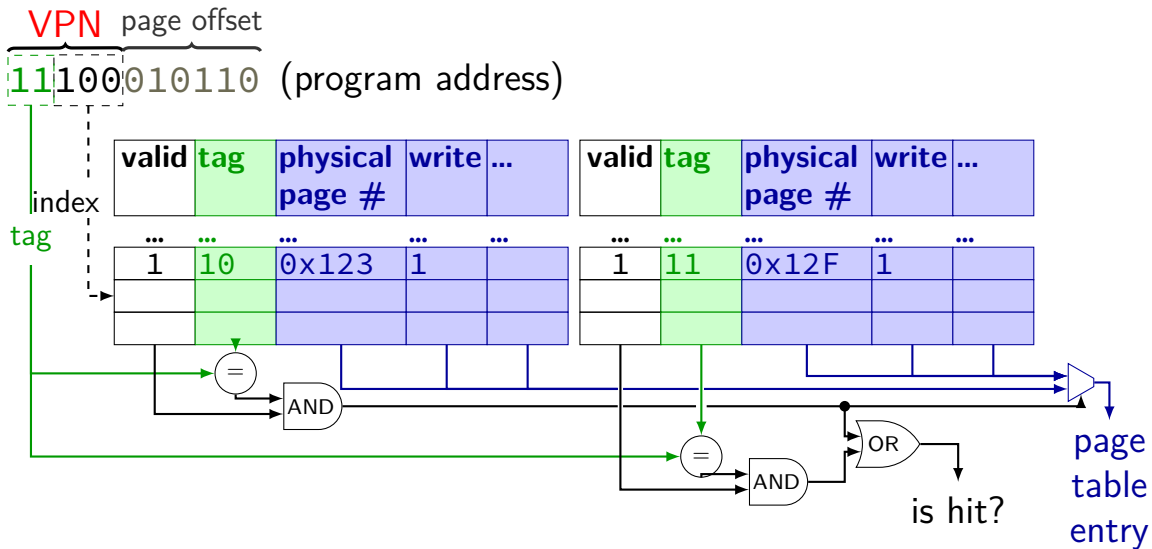
# TLB and two-level lookup



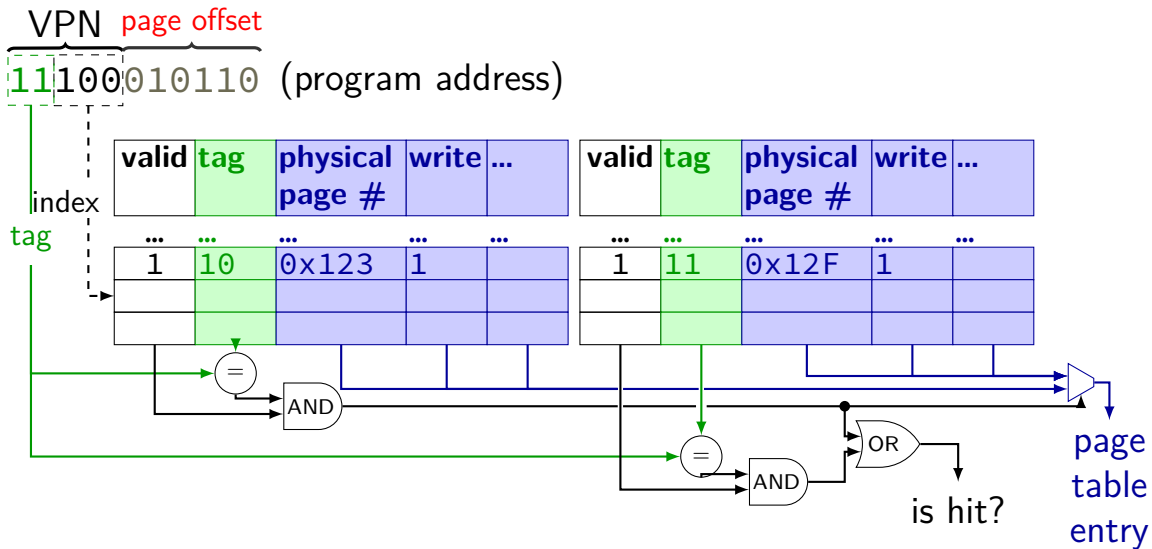
# TLB organization (2-way set associative)



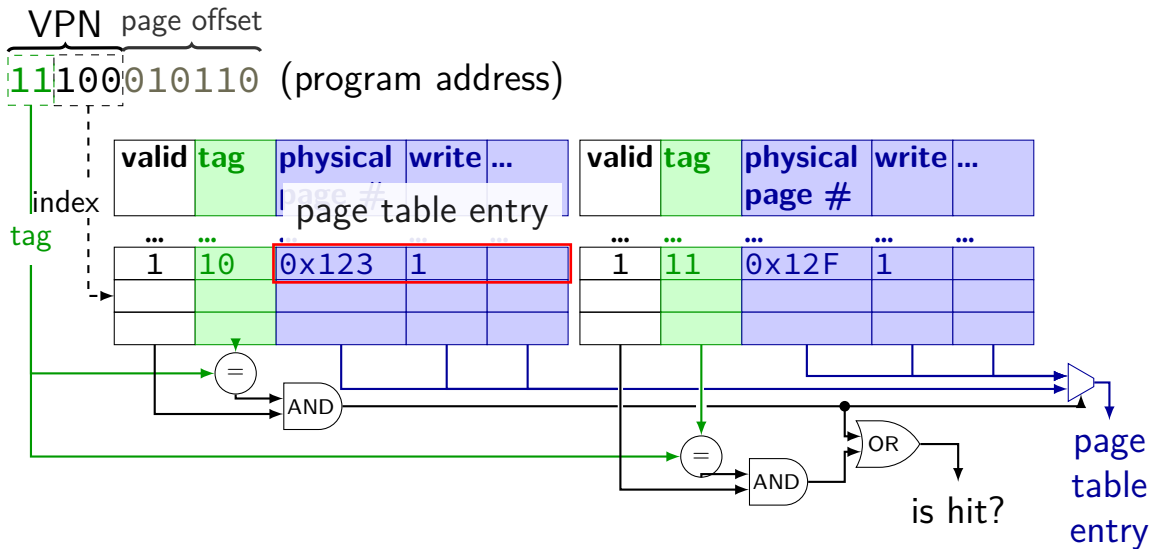
# TLB organization (2-way set associative)



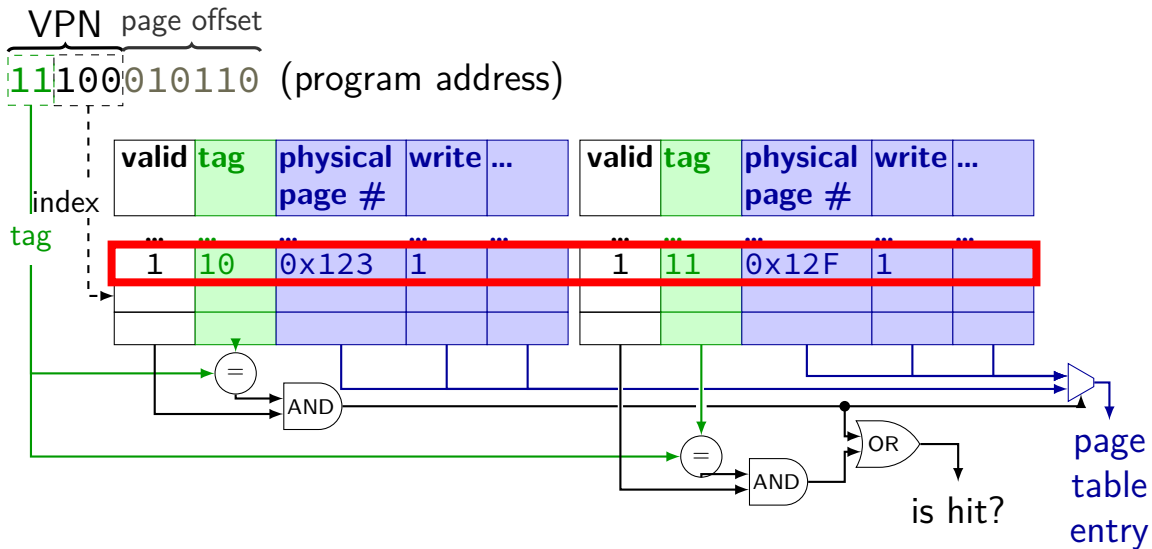
# TLB organization (2-way set associative)



# TLB organization (2-way set associative)



# TLB organization (2-way set associative)



# address splitting for TLBs (1)

my desktop:

4KB ( $2^{12}$  byte) pages; 48-bit virtual address

64-entry, 4-way L1 data TLB

TLB index bits?

TLB tag bits?

## address splitting for TLBs (2)

my desktop:

4KB ( $2^{12}$  byte) pages; 48-bit virtual address

1536-entry ( $3 \cdot 2^9$ ), 12-way L2 TLB

TLB index bits?

TLB tag bits?



# TLB access pattern example?

# TLB access pattern example

2-entry, direct-mapped TLB, 4096 byte pages

		page table entry			
set	idx	V	tag	physical page	write? user? ...
	0	0			...
	1	0			...

virtual	VPN (binary)	physical	hit/miss?
0x11030	0001 000 <b>1</b>	0xFFF030	
0x11038	0001 000 <b>1</b>	0xFFF038	
0x11040	0001 000 <b>1</b>	0xFFF040	
0x7CFF0	0111 110 <b>0</b>	0x3100F0	
0x11048	0001 000 <b>1</b>	0xFFF048	
0x7CFE8	0111 110 <b>0</b>	0x3100E8	
0x30000	0011 000 <b>0</b>	0x8FF000	
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0x11030	0001 000 <b>1</b>	0xFFF030	miss
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0x30000	0011 000 <b>0</b>	0x8FF000	
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2-entry, direct-mapped TLB, 4096 byte pages

set idx	page table entry					
	V	tag	physical page	write?	user?	...
0	0					...
1	1	0001000	0xFFF	1	1	...

virtual	VPN (binary)	physical	hit/miss?
0x11030	0001 000 1	0xFFF030	miss
0x11038	0001 000 1	0xFFF038	
0x11040	0001 000 1	0xFFF040	
0x7CFF0	0111 110 0	0x3100F0	
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# TLB access pattern example

2-entry, direct-mapped TLB, 4096 byte pages

set idx	page table entry					
	V	tag	physical page	write?	user?	...
0	1	01111110	0x310	1	1	...
1	1	0001000	0xFFF	1	1	...

virtual	VPN (binary)	physical	hit/miss?
0x11030	0001 000 1	0xFFF030	miss
0x11038	0001 000 1	0xFFF038	hit
0x11040	0001 000 1	0xFFF040	hit
0x7CFF0	0111 110 0	0x3100F0	miss
0x11048	0001 000 1	0xFFF048	
0x7CFE8	0111 110 0	0x3100E8	
0x30000	0011 000 0	0x8FF000	
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0x11038	0001 000 1	0xFFF038	hit
0x11040	0001 000 1	0xFFF040	hit
0x7CFF0	0111 110 0	0x3100F0	miss
0x11048	0001 000 1	0xFFF048	hit
0x7CFE8	0111 110 0	0x3100E8	
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	V	tag	physical page	write?	user?	...
0	1	0011000	0x8FF	1	1	...
1	1	0001000	0xFFF	1	1	...

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0x11030	0001 0001	0xFFF030	miss
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2-entry, direct-mapped TLB, 4096 byte pages

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	V	tag	physical page	write?	user? ...
0	1	0011000	0x8FF	1	1 ...
1	1	0001000	0xFFF	1	1 ...

virtual	VPN (binary)	physical	hit/miss?
0x11030	0001 000 <b>1</b>	0xFFF030	miss
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# TLB access pattern example

2-entry, direct-mapped TLB, 4096 byte pages

		page table entry			
set		V	tag	physical page	write? user? ...
idx					
0	1		0111110	0x310	1 1 ...
1	1		0001000	0xFFF	1 1 ...

virtual	VPN (binary)	physical	hit/miss?
0x11030	0001 0001	0xFFF030	miss
0x11038	0001 0001	0xFFF038	hit
0x11040	0001 0001	0xFFF040	hit
0x7CFF0	0111 1100	0x310F0	miss
0x11048	0001 0001	0xFFF048	hit
0x7CFE8	0111 1100	0x310E8	hit
0x30000	0011 0000	0x8FF000	miss
0x7CFE0	0111 1100	0xFFF048	miss

# POSIX process management

essential operations

process information: `getpid`

process creation: `fork`

running programs: `exec*`

also `posix_spawn` (not widely supported), ...

waiting for processes to finish: `waitpid` (or `wait`)

process destruction, 'signaling': `exit`, `kill`



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process destruction, 'signaling': `exit`, `kill`

# fork

`pid_t fork()` — copy the current process

returns twice:

in *parent* (original process): pid of new *child* process

in *child* (new process): 0

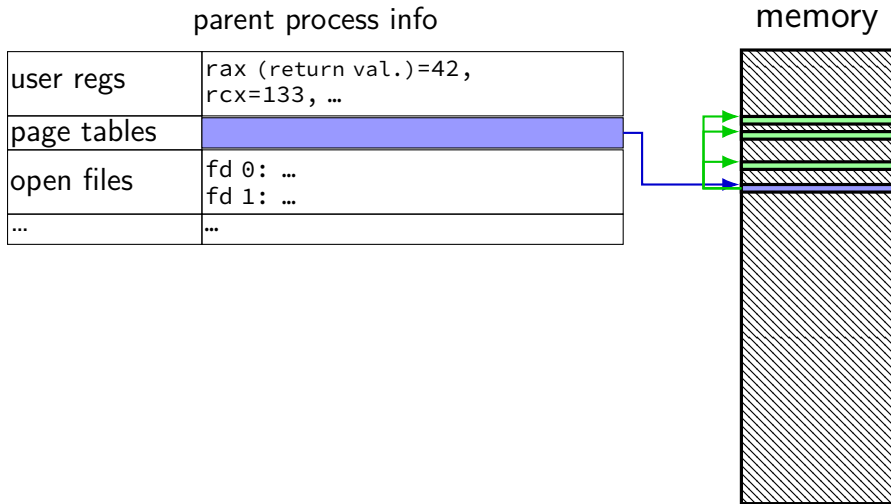
everything (but pid) duplicated in parent, child:

memory

file descriptors (later)

registers

# fork and process info (w/o copy-on-write)

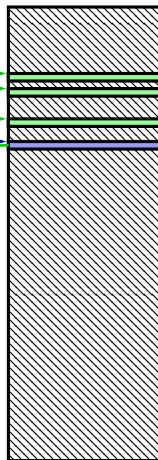


# fork and process info (w/o copy-on-write)

parent process info

user regs	rax (return val.)=42, rcx=133, ...
page tables	
open files	fd 0: ... fd 1: ...
...	...

memory

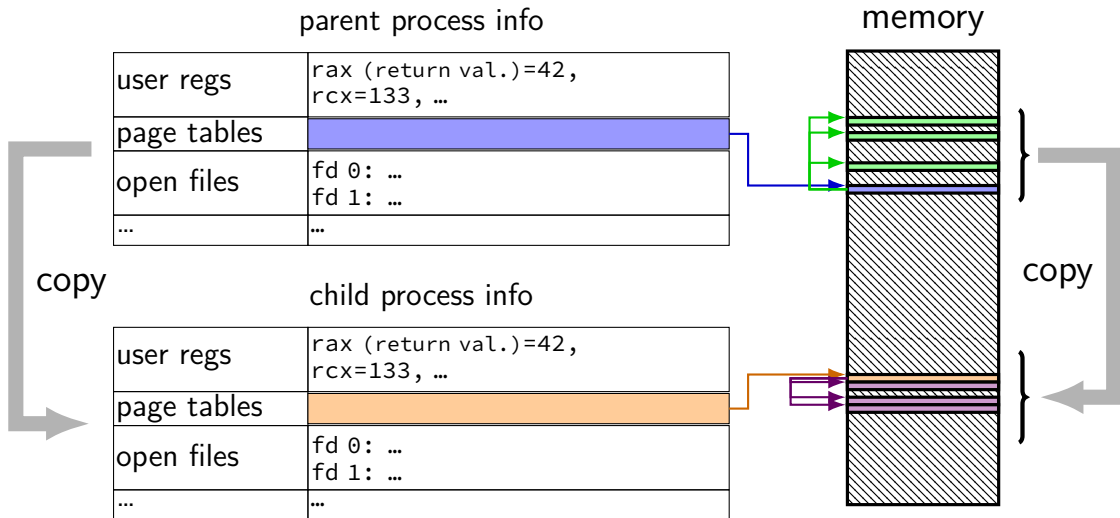


copy

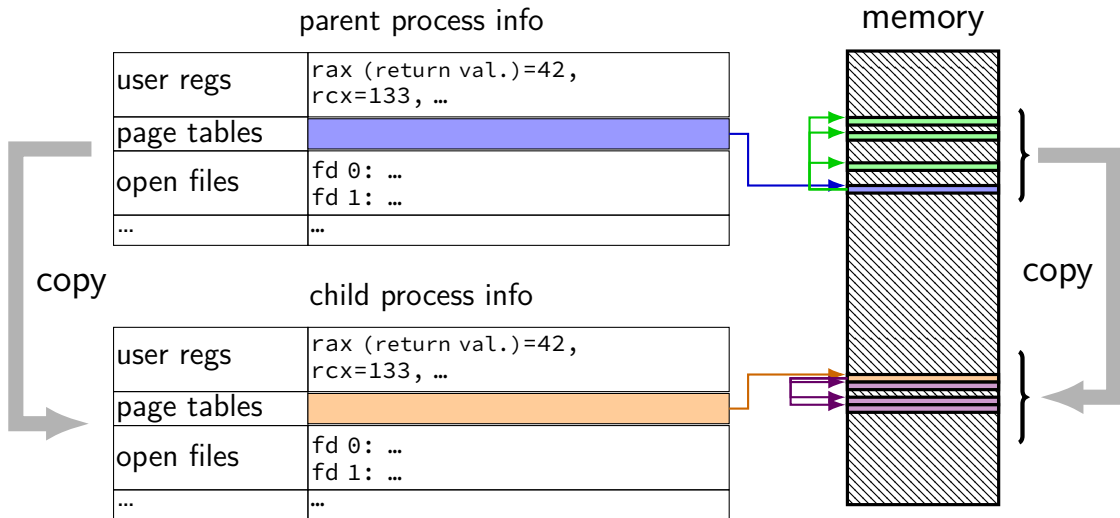
child process info

user regs	rax (return val.)=42, rcx=133, ...
page tables	
open files	fd 0: ... fd 1: ...
...	...

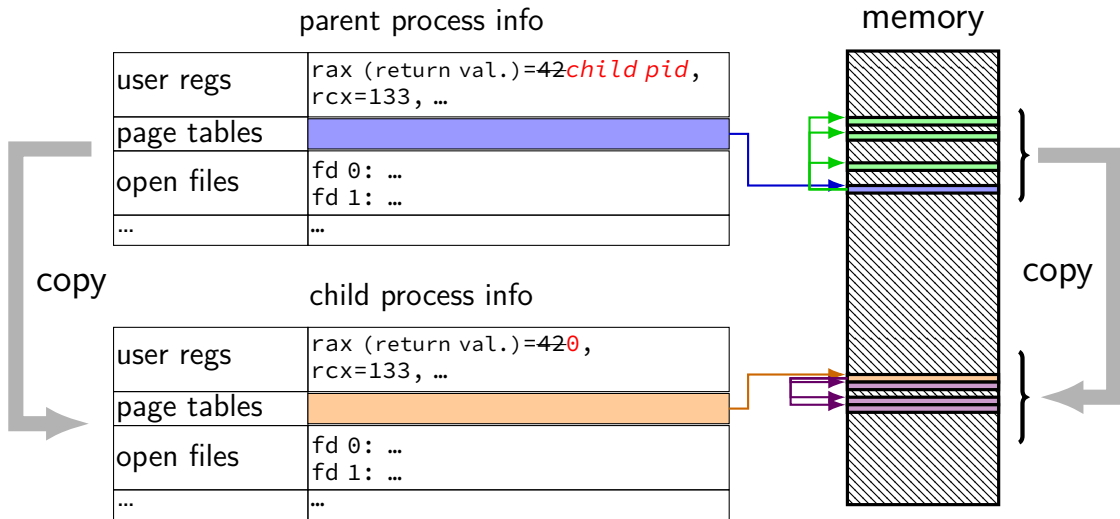
# fork and process info (w/o copy-on-write)



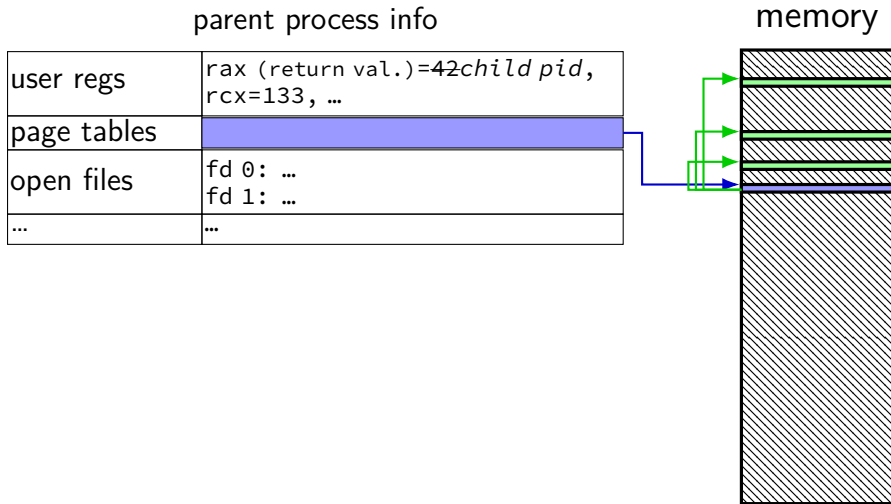
# fork and process info (w/o copy-on-write)



# fork and process info (w/o copy-on-write)

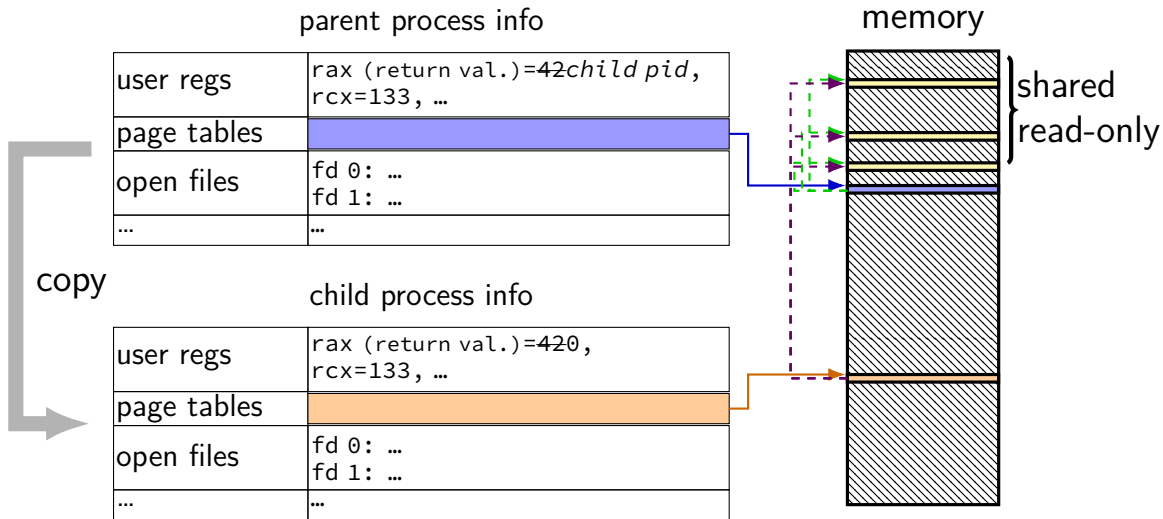


# fork (w/ copy-on-write, if parent writes first)





# fork (w/ copy-on-write, if parent writes first)



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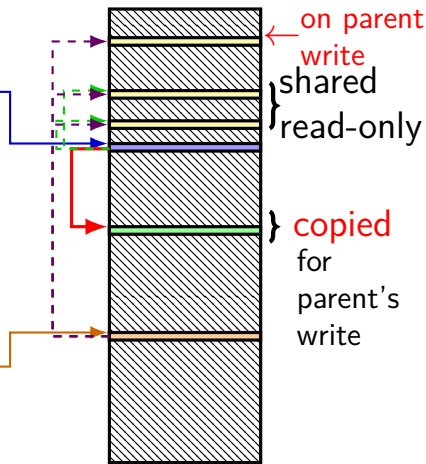
parent process info

user regs	rax (return val.)=42child pid, rcx=133, ...
page tables	
open files	fd 0: ... fd 1: ...
...	...

child process info

user regs	rax (return val.)=420, rcx=133, ...
page tables	
open files	fd 0: ... fd 1: ...
...	...

memory



copy

# fork (w/ copy-on-write, if parent writes first)

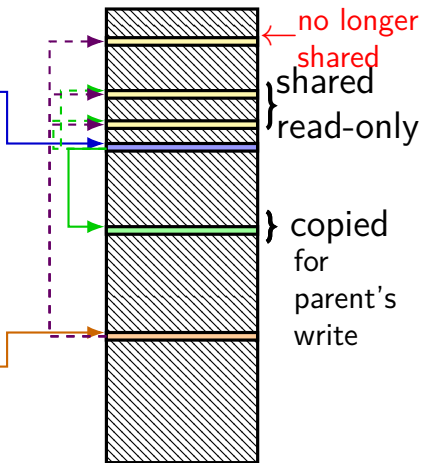
parent process info

user regs	rax (return val.)=42child pid, rcx=133, ...
page tables	
open files	fd 0: ... fd 1: ...
...	...

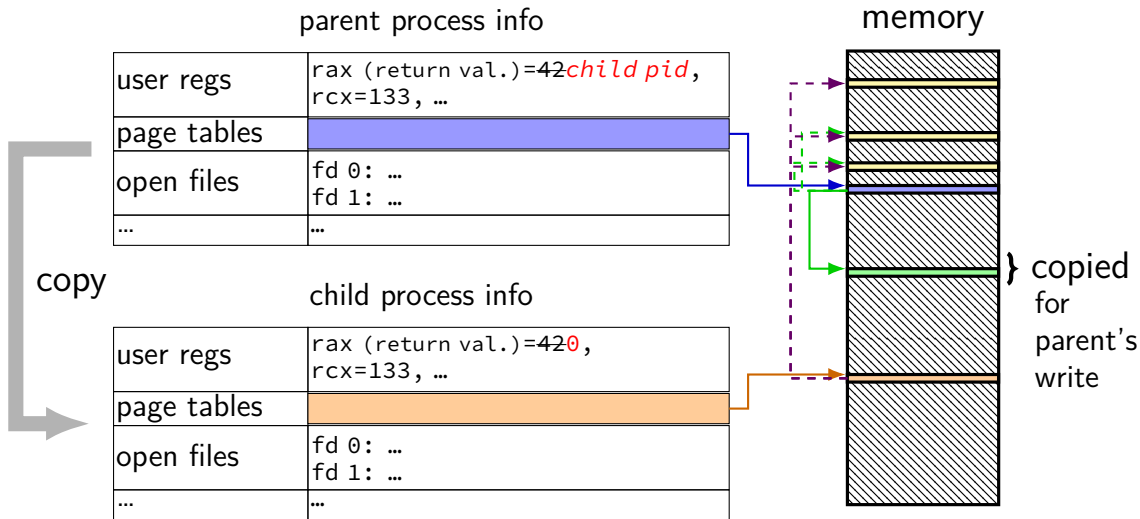
child process info

user regs	rax (return val.)=420, rcx=133, ...
page tables	
open files	fd 0: ... fd 1: ...
...	...

memory



# fork (w/ copy-on-write, if parent writes first)



# fork example

```
#include <stdlib.h>
#include <stdio.h>
#include <unistd.h>
#include <sys/types.h>
int main(int argc, char *argv[]) {
    pid_t pid = getpid();
    printf("Parent pid: %d\n", (int) pid);
    pid_t child_pid = fork();
    if (child_pid > 0) {
        /* Parent Process */
        pid_t my_pid = getpid();
        printf("[%d] parent of [%d]\n", (int) my_pid, (int) child_pid);
    } else if (child_pid == 0) {
        /* Child Process */
        pid_t my_pid = getpid();
        printf("[%d] child\n", (int) my_pid);
    } else {
        perror("Fork failed");
    }
    return 0;
}
```

# fork example

```
#include <stdlib.h>
#include <stdio.h>
#include <unistd.h>
#include <sys/types.h>

int main(int argc, char *argv[]) {
    pid_t pid = getpid();
    printf("Parent pid: %d\n", (int) pid);
    pid_t child_pid = fork();
    if (child_pid > 0) {
        /* Parent Process */
        pid_t my_pid = getpid();
        printf("[%d] parent of [%d]\n", (int) my_pid, (int) child_pid);
    } else if (child_pid == 0) {
        /* Child Process */
        pid_t my_pid = getpid();
        printf("[%d] child\n", (int) my_pid);
    } else {
        perror("Fork failed");
    }
    return 0;
}
```

getpid — returns current process pid

# fork example

```
#include <stdlib.h>
```

```
#include <stdio.h>
```

```
#include <unistd.h>
```

```
#include <sys/types.h>
```

```
int main(int argc, char **argv)
```

```
{ pid_t pid;
```

```
printf("Parent PID is %d\n",
```

```
pid); pid_t child_pid = fork();
```

```
if (child_pid > 0) {
```

```
    /* Parent Process */
```

```
    pid_t my_pid = getpid();
```

```
    printf("[%d] parent of [%d]\n", (int) my_pid, (int) child_pid);
```

```
} else if (child_pid == 0) {
```

```
    /* Child Process */
```

```
    pid_t my_pid = getpid();
```

```
    printf("[%d] child\n", (int) my_pid);
```

```
} else {
```

```
    perror("Fork failed");
```

```
}
```

```
return 0;
```

```
}
```

cast in case pid\_t isn't int

POSIX doesn't specify (some systems it is, some not...)  
(not necessary if you were using C++'s cout, etc.)

# fork example

```
#include <stdlib.h>
#include <stdio.h>
#include <unistd.h>
#include <errno.h>
int main()
{
    pid_t child_pid;
    printf("Fork failed: error message\n");
    (example error message: "Resource temporarily unavailable")
    from error number stored in special global variable errno
    child_pid = fork();
    if (child_pid > 0) {
        /* Parent Process */
        pid_t my_pid = getpid();
        printf("[%d] parent of [%d]\n", (int) my_pid, (int) child_pid);
    } else if (child_pid == 0) {
        /* Child Process */
        pid_t my_pid = getpid();
        printf("[%d] child\n", (int) my_pid);
    } else {
        perror("Fork failed");
    }
    return 0;
}
```



# fork example

```
#include <stdlib.h>
#include <stdio.h>
#include <unistd.h>
#include <sys/types.h>
int main(int argc, char *argv[]) {
    pid_t pid = getpid();
    printf("Parent pid: %d\n", (int) pid);
    pid_t child_pid = fork();
    if (child_pid > 0) {
        /* Parent Process */
        pid_t my_pid = getpid();
        printf("[%d] parent of [%d]\n", (int) my_pid, (int) child_pid);
    } else if (child_pid == 0) {
        /* Child Process */
        pid_t my_pid = getpid();
        printf("[%d] child\n", (int) my_pid);
    } else {
        perror("Fork failed");
    }
    return 0;
}
```

Example output:

Parent pid: 100

[100] parent of [432]

[432] child

## a fork question

```
int main() {  
    pid_t pid = fork();  
    if (pid == 0) {  
        printf("In child\n");  
    } else {  
        printf("Child %d\n", pid);  
    }  
    printf("Done!\n");  
}
```

Exercise: Suppose the pid of the parent process is 99 and child is 100. Give **two** possible outputs. (Assume no crashes, etc.)

# POSIX process management

essential operations

process information: `getpid`

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running programs: `exec*`

also `posix_spawn` (not widely supported), ...

waiting for processes to finish: `waitpid` (or `wait`)

process destruction, 'signaling': `exit`, `kill`

## exec\*

exec\* — **replace** current program with new program

\* — multiple variants

same pid, new process image

```
int execlv(const char *path, const char  
**argv)
```

path: new program to run

argv: array of arguments, terminated by null pointer

also other variants that take argv in different form and/or environment variables\*

\*environment variables = list of key-value pairs

## execv example

```
...
child_pid = fork();
if (child_pid == 0) {
    /* child process */
    char *args[] = {"ls", "-l", NULL};
    execv("/bin/ls", args);
    /* execv doesn't return when it works.  

    So, if we got here, it failed. */
    perror("execv");
    exit(1);
} else if (child_pid > 0) {
    /* parent process */
    ...
}
```

## execv example

```
...
child_pid = fork();
if (child_pid == 0) {
    /* child process */
    char *args[] = {"ls", "-l", NULL};
    execv("/bin/ls", args);
    /* execv doesn't return when it works.
        So, if we got
    perror("execv");
    exit(1);
} else if (child_pid > 0) {
    /* parent process */
    ...
}
```

used to compute argv, argc  
when program's main is run

convention: first argument is program name

## execv example

```
...
child_pid = fork();
if (child_pid == 0) {
    /* child process */
    char *args[] = {"ls", "-l", NULL};
    execv("/bin/ls", args);
    /* execv doesn't return when it works.
```

```
    So, if we got here,
    perror("execv");
    exit(1);
} else if (child_pid > 0) {
    /* parent process */
```

```
    ...
}
```

path of executable to run  
need not match first argument  
(but probably should match it)

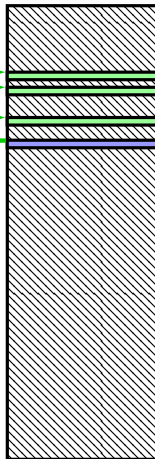
on Unix /bin is a directory  
containing many common programs,  
including `ls` ('list directory')

# exec in the kernel

the process control block

user regs	eax=42, ecx=133, ...
pagetables	
open files	fd 0: (terminal ...) fd 1: ...
...	...

memory



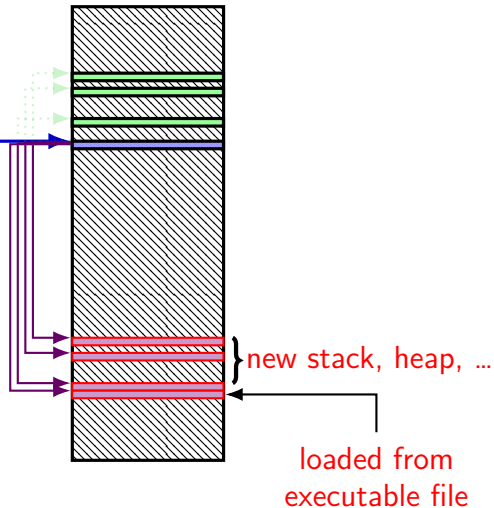


# exec in the kernel

the process control block

user regs	eax=42 <del>init. val.</del> , ecx=133 <del>init. val.</del> , ...
pagetables	
open files	fd 0: (terminal ...) fd 1: ...
...	...

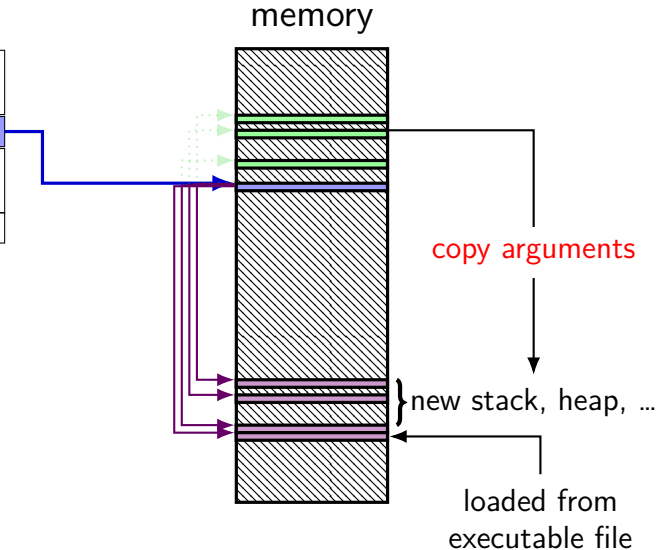
memory



# exec in the kernel

the process control block

user regs	<code>eax=42</code> <i>init. val.</i> , <code>ecx=133</code> <i>init. val.</i> , ...
pagetables	
open files	fd 0: (terminal ...) fd 1: ...
...	...



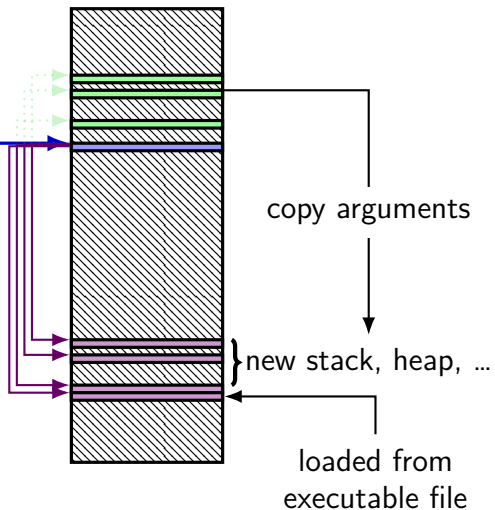
# exec in the kernel

the process control block

user regs	eax=42init. val., ecx=133init. val., ...
pagetables	
open files	fd 0: (terminal ...) fd 1: ...
...	...

not changed!  
(more on this later)

memory



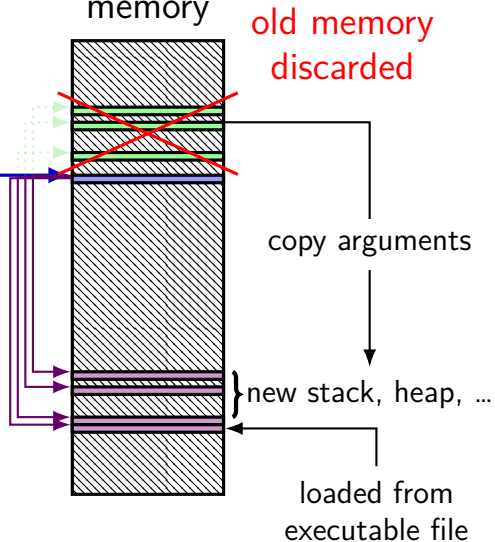
# exec in the kernel

the process control block

user regs	eax=42init. val., ecx=133init. val., ...
pagetables	
open files	fd 0: (terminal ...) fd 1: ...
...	...

not changed!  
(more on this later)

memory



# why fork/exec?

could just have a function to spawn a new program

Windows `CreateProcess()`; POSIX's (rarely used) `posix_spawn`

some other OSs do this (e.g. Windows)

needs to include API to set new program's state

e.g. without fork: either:

need function to set new program's current directory, *or*

need to change your directory, then start program, then change back

e.g. with fork: just change your current directory before exec

but allows OS to avoid 'copy everything' code

probably makes OS implementation easier

# posix\_spawn

```
pid_t new_pid;
const char argv[] = { "ls", "-l", NULL };
int error_code = posix_spawn(
    &new_pid,
    "/bin/ls",
    NULL /* null = copy current process's open files;
           if not null, do something else */,
    NULL /* null = no special settings for new process */,
    argv,
    NULL /* null = copy current process's "environment variables";
           if not null, do something else */
);
if (error_code == 0) {
    /* handle error */
}
```

# some opinions (via HotOS '19)

## A fork() in the road

Andrew Baumann  
Microsoft Research

Jonathan Appavoo  
Boston University

Orran Krieger  
Boston University

Timothy Roscoe  
ETH Zurich

### **ABSTRACT**

The received wisdom suggests that Unix's unusual combination of `fork()` and `exec()` for process creation was an inspired design. In this paper, we argue that `fork` was a clever hack for machines and programs of the 1970s that has long outlived its usefulness and is now a liability. We catalog the ways in which `fork` is a terrible abstraction for the modern programmer to use, describe how it compromises OS implementations, and propose alternatives.

# POSIX process management

essential operations

process information: `getpid`

process creation: `fork`

running programs: `exec*`

also `posix_spawn` (not widely supported), ...

waiting for processes to finish: `waitpid` (or `wait`)

process destruction, 'signaling': `exit`, `kill`



## wait/waitpid

```
pid_t waitpid(pid_t pid, int *status,  
              int options)
```

wait for a child process (with `pid=pid`) to finish

sets `*status` to its “status information”

`pid=-1` → wait for any child process instead

options? see manual page (command `man waitpid`)

0 — no options

## exit statuses

```
int main() {  
    return 0;  /* or exit(0); */  
}
```

# waitpid example

```
#include <sys/wait.h>
...
child_pid = fork();
if (child_pid > 0) {
    /* Parent process */
    int status;
    waitpid(child_pid, &status, 0);
} else if (child_pid == 0) {
    /* Child process */
    ...
}
```

# the status

```
#include <sys/wait.h>
...
waitpid(child_pid, &status, 0);
if (WIFEXITED(status)) {
    printf("main returned or exit called with %d\n",
           WEXITSTATUS(status));
} else if (WIFSIGNALED(status)) {
    printf("killed by signal %d\n", WTERMSIG(status));
} else {
    ...
}
```

“status code” encodes both return value and if exit was abnormal  
W\* macros to decode it

# the status

```
#include <sys/wait.h>
...
waitpid(child_pid, &status, 0);
if (WIFEXITED(status)) {
    printf("main returned or exit called with %d\n",
           WEXITSTATUS(status));
} else if (WIFSIGNALED(status)) {
    printf("killed by signal %d\n", WTERMSIG(status));
} else {
    ...
}
```

“status code” encodes both return value and if exit was abnormal  
W\* macros to decode it

## aside: signals

signals are a way of communicating between processes

they are also how abnormal termination happens

kernel communicating “something bad happened” → kills program by default

wait's status will tell you when and what signal killed a program

constants in `signal.h`

`SIGINT` — control-C

`SIGTERM` — `kill` command (by default)

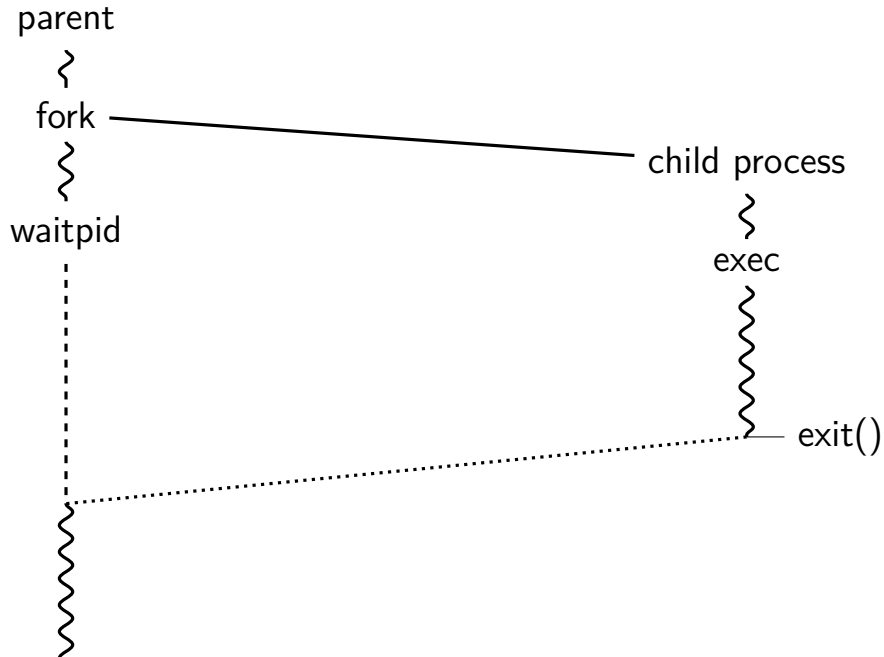
`SIGSEGV` — segmentation fault

`SIGBUS` — bus error

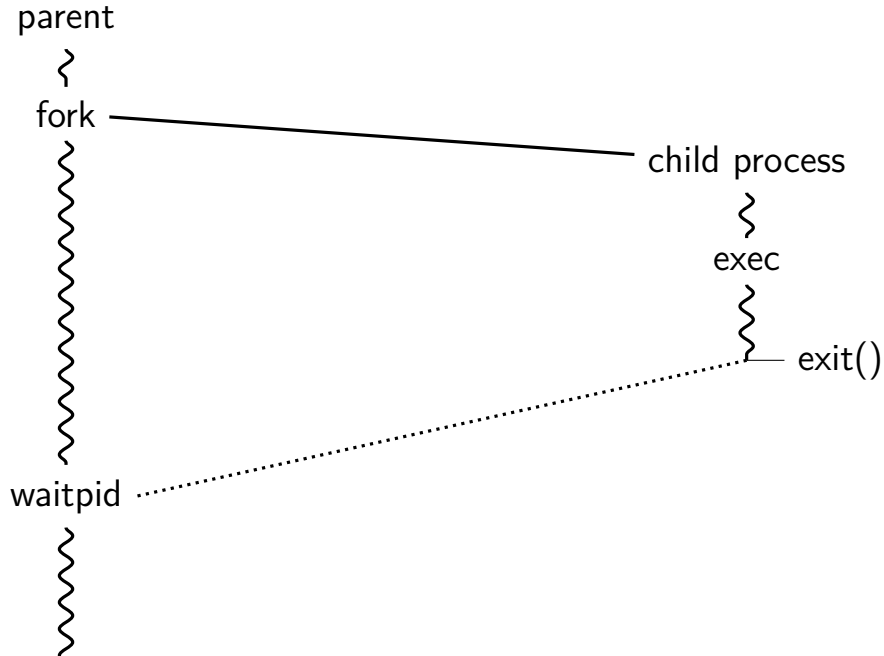
`SIGABRT` — `abort()` library function

...

# typical pattern

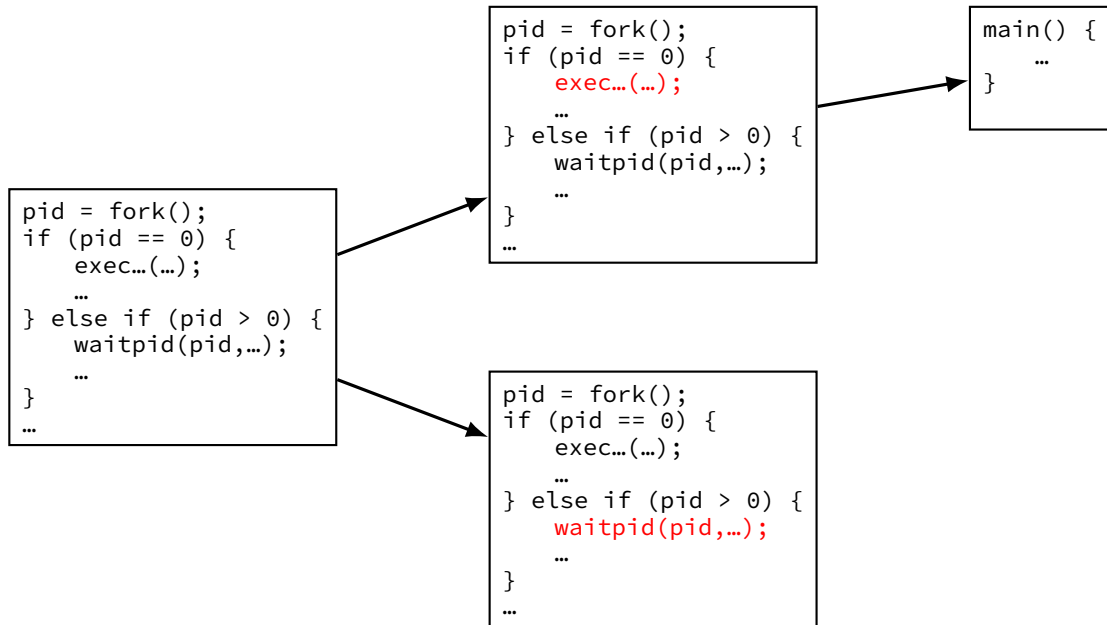


## typical pattern (alt)

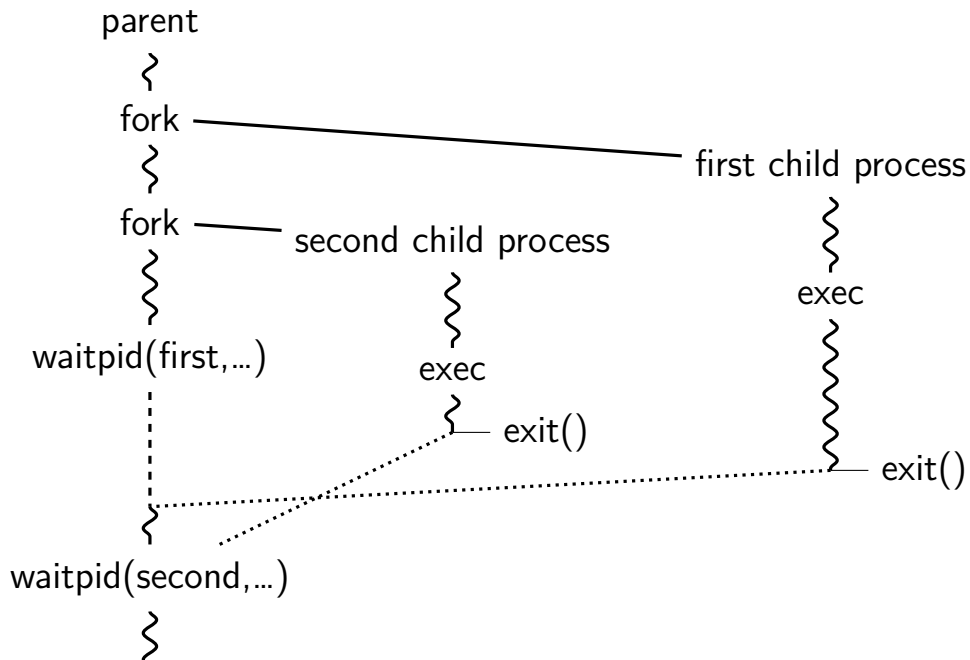




# typical pattern (detail)



## pattern with multiple?



# POSIX process management

essential operations

process information: `getpid`

process creation: `fork`

running programs: `exec*`

also `posix_spawn` (not widely supported), ...

waiting for processes to finish: `waitpid` (or `wait`)

process destruction, 'signaling': `exit`, `kill`

## exercise (1)

```
int main() {
    pid_t pids[2]; const char *args[] = {"echo", "ARG", NULL};
    const char *extra[] = {"L1", "L2"};
    for (int i = 0; i < 2; ++i) {
        pids[i] = fork();
        if (pids[i] == 0) {
            args[1] = extra[i];
            execv("/bin/echo", args);
        }
    }
    for (int i = 0; i < 2; ++i) {
        waitpid(pids[i], NULL, 0);
    }
}
```

Assuming fork and execv do not fail, which are possible outputs?

- |  |                            |
|--|----------------------------|
| <b>A.</b> L1 (newline) L2              | <b>D.</b> A and B          |
| <b>B.</b> L1 (newline) L2 (newline) L2 | <b>E.</b> A and C          |
| <b>C.</b> L2 (newline) L1              | <b>F.</b> all of the above |
|  | <b>G.</b> something else   |

## exercise (2)

```
int main() {
    pid_t pids[2]; const char *args[] = {"echo", "0", NULL};
    for (int i = 0; i < 2; ++i) {
        pids[i] = fork();
        if (pids[i] == 0) { execv("/bin/echo", args); }
    }
    printf("1\n"); fflush(stdout);
    for (int i = 0; i < 2; ++i) {
        waitpid(pids[i], NULL, 0);
    }
    printf("2\n"); fflush(stdout);
}
```

Assuming fork and execv do not fail, which are possible outputs?

- A.** 0 (newline) 0 (newline) 1 (newline) 2    **E.** A, B, and C  
**B.** 0 (newline) 1 (newline) 0 (newline) 2    **F.** C and D  
**C.** 1 (newline) 0 (newline) 0 (newline) 2    **G.** all of the above  
**D.** 1 (newline) 0 (newline) 2 (newline) 0    **H.** something else

# threads versus processes

so far, was showing each process has one thread

thread = part that gets run on CPU

- saved register values (including own stack pointer)

- save program counter

rest of process

- address space (accessible memory)

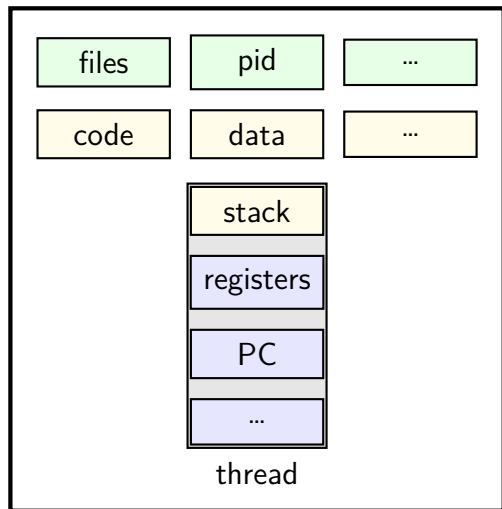
- open files

- current working directory

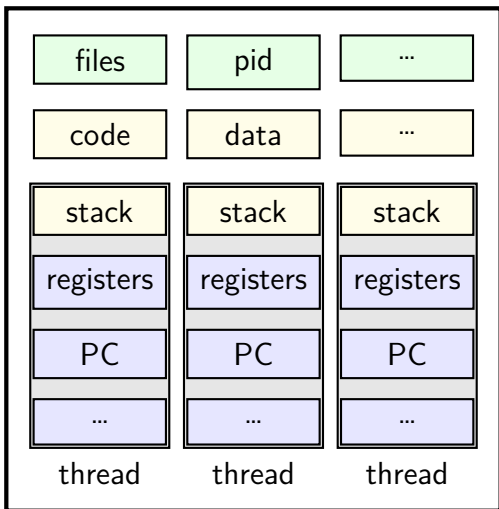
- ...

# single and multithread processes

single-threaded process



multi-threaded process



# thread versus process state

## thread state

- registers (including stack pointer, program counter)

- ...

## process state

- address space

- open files

- process id

- list of thread states

- ...



# process info with threads

parent process info

thread infos	thread 0: {PC = 0x123456, rax = 42, rbx = ...} thread 1: {PC = 0x584390, rax = 32, rbx = ...} ...
page tables	
open files	fd 0: ... fd 1: ...
...	...

# Linux idea: `task_struct`

Linux model: single “task” structure = thread

pointers to address space, open file list, etc.

pointers **can be shared**

e.g. shared open files: open fd 4 in one task → all sharing can use fd 4

`fork()`-like system call “clone”: **choose what to share**

`clone(0, ...)` — similar to `fork()`

`clone(CLONE_FILES, ...)` — like `fork()`, but **sharing** open files

`clone(CLONE_VM, new_stack_pointer, ...)` — like `fork()`, but **sharing** address space

# Linux idea: `task_struct`

Linux model: single “task” structure = thread

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`clone(0, ...)` — similar to `fork()`

`clone(CLONE_FILES, ...)` — like `fork()`, but **sharing** open files

`clone(CLONE_VM, new_stack_pointer, ...)` — like `fork()`, but **sharing** address space

advantage: no special logic for threads (mostly)

two threads in same process = tasks sharing everything possible

# shell

allow user (= person at keyboard) to run applications

user's wrapper around process-management functions

## aside: shell forms

POSIX: command line you have used before

also: graphical shells

e.g. OS X Finder, Windows explorer

other types of command lines?

completely different interfaces?

# some POSIX command-line features

searching for programs

```
ls -l ≈ /bin/ls -l
```

```
make ≈ /usr/bin/make
```

running in background

```
./someprogram &
```

redirection:

```
./someprogram >output.txt
```

```
./someprogram <input.txt
```

pipelines:

```
./someprogram | ./somefilter
```

# some POSIX command-line features

## searching for programs

```
ls -l ≈ /bin/ls -l  
make ≈ /usr/bin/make
```

## running in background

```
./someprogram &
```

## redirection:

```
./someprogram >output.txt  
./someprogram <input.txt
```

## pipelines:

```
./someprogram | ./somefilter
```

# searching for programs

POSIX convention: PATH *environment variable*

example: /home/cr4bd/bin:/usr/bin:/bin

list of directories to check in order

environment variables = key/value pairs stored with process  
by default, left unchanged on execve, fork, etc.

one way to implement: [pseudocode]

```
for (directory in path) {  
    execv(directory + "/" + program_name, argv);  
}
```



# some POSIX command-line features

searching for programs

```
ls -l ≈ /bin/ls -l
```

```
make ≈ /usr/bin/make
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```
./someprogram &
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```
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./someprogram | ./somefilter
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# some POSIX command-line features

searching for programs

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ls -l ≈ /bin/ls -l
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```

running in background

```
./someprogram &
```

redirection:

```
./someprogram >output.txt
```

```
./someprogram <input.txt
```

pipelines:

```
./someprogram | ./somefilter
```

# file descriptors

```
struct process_info {  
    ...  
    struct open_file *files;  
};  
...  
process->files[file_descriptor]
```

Unix: every process has  
array (or similar) of *open file descriptions*

“open file”: terminal · socket · regular file · pipe

file descriptor = index into array

usually what's used with system calls

stdio.h FILE\*s usually have file descriptor + buffer

# special file descriptors

file descriptor 0 = standard input

file descriptor 1 = standard output

file descriptor 2 = standard error

constants in `unistd.h`

`STDIN_FILENO`, `STDOUT_FILENO`, `STDERR_FILENO`

# special file descriptors

file descriptor 0 = standard input

file descriptor 1 = standard output

file descriptor 2 = standard error

constants in `unistd.h`

`STDIN_FILENO`, `STDOUT_FILENO`, `STDERR_FILENO`

but you can't choose which number `open` assigns...?

more on this later

# getting file descriptors

```
int read_fd = open("dir/file1", O_RDONLY);  
int write_fd = open("/other/file2", O_WRONLY | ...);  
int rdwr_fd = open("file3", O_RDWR);
```

used internally by `fopen()`, etc.

also for files without normal filenames...:

```
int fd = shm_open("/shared_memory", O_RDWR, 0666); // shared memory  
int socket_fd = socket(AF_INET, SOCK_STREAM, 0); // TCP socket  
int term_fd = posix_openpt(O_RDWR); // pseudo-terminal  
int pipe_fds[2]; pipe(pipefds); // "pipes" (later)  
...
```

# close

```
int close(int fd);
```

close the file descriptor, deallocating that array index

does not affect other file descriptors

that refer to same “open file description”

(e.g. in `fork()`ed child or created via (later) `dup2`)

if last file descriptor for open file description, resources deallocated

returns 0 on success

returns -1 on error

e.g. ran out of disk space while finishing saving file

# shell redirection

`./my_program ... < input.txt:`

run `./my_program ...` but use `input.txt` as input  
like we copied and pasted the file into the terminal

`echo foo > output.txt:`

runs `echo foo`, sends output to `output.txt`  
like we copied and pasted the output into that file  
(as it was written)



# exec preserves open files

the process control block

user regs	eax=42init. val., ecx=133init. val., ...
pagetable	
open files	fd 0: (terminal ...) fd 1: ...
...	...



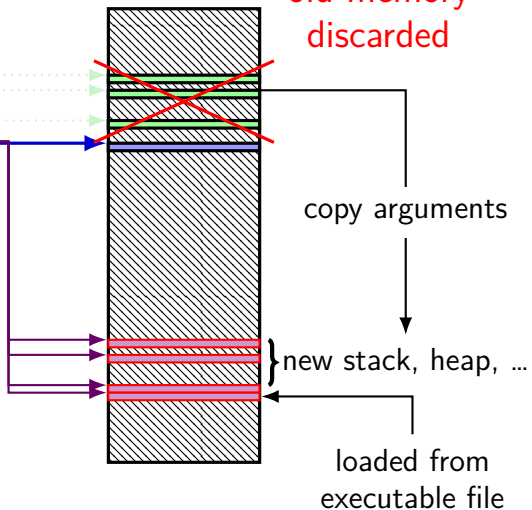
not changed!

redirection/etc.:

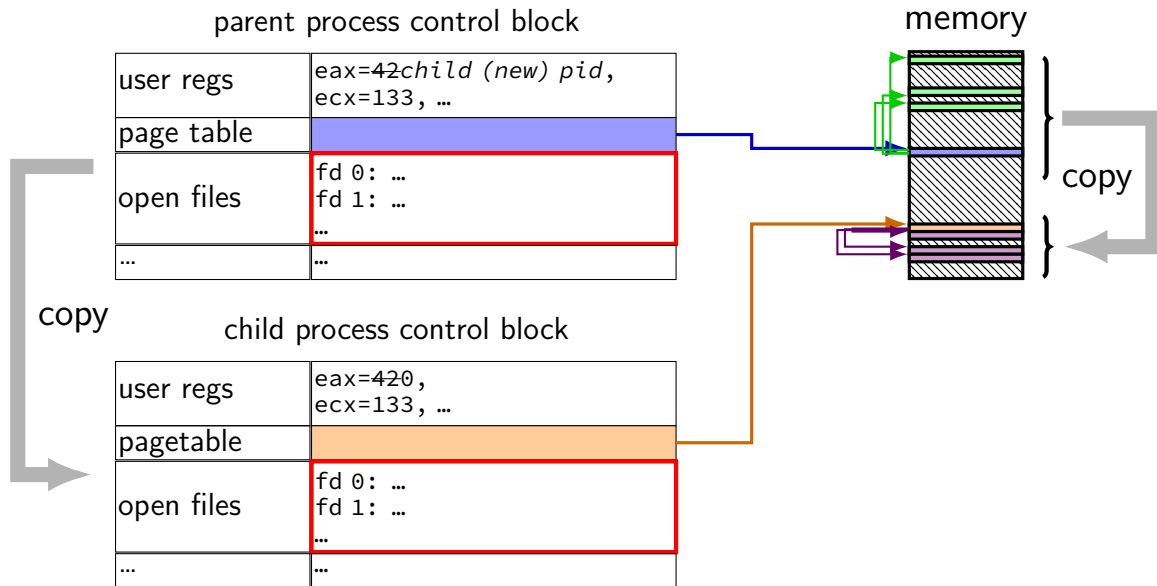
setup stdin/stdout before exec

memory

old memory  
discarded



# fork copies open file list



# fork copies open file list

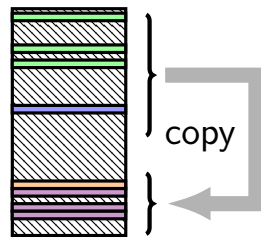
parent process control block

user regs	eax=42, child (new) pid, ecx=133, ...
page table	
open files	fd 0: ... fd 1: ... ...
...	...

child process control block

user regs	eax=420, ecx=133, ...
pagetable	
open files	fd 0: ... fd 1: ... ...
...	...

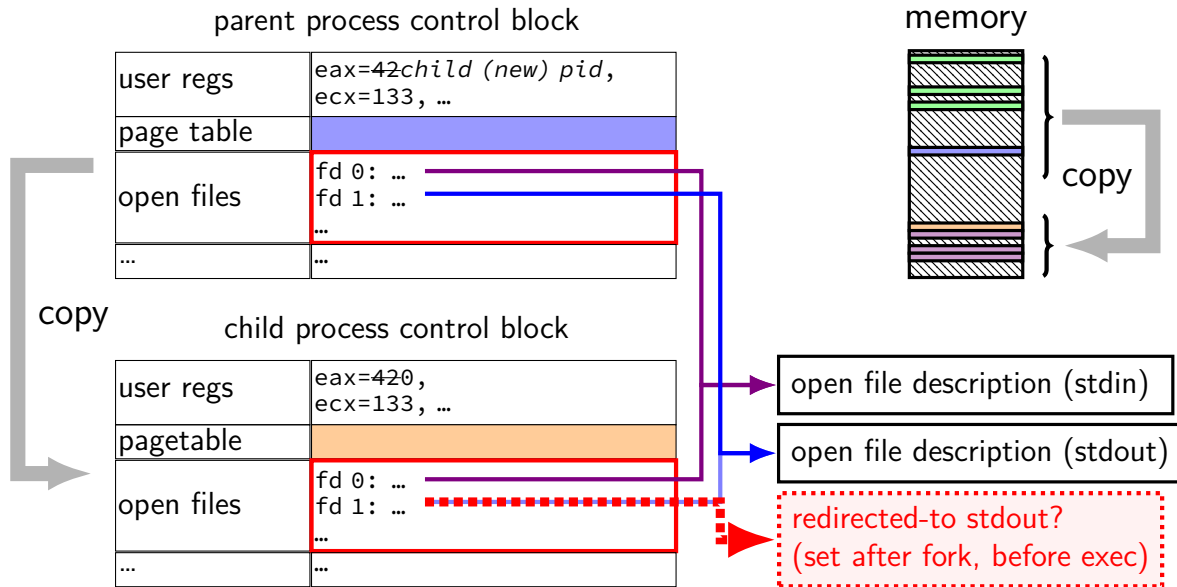
memory



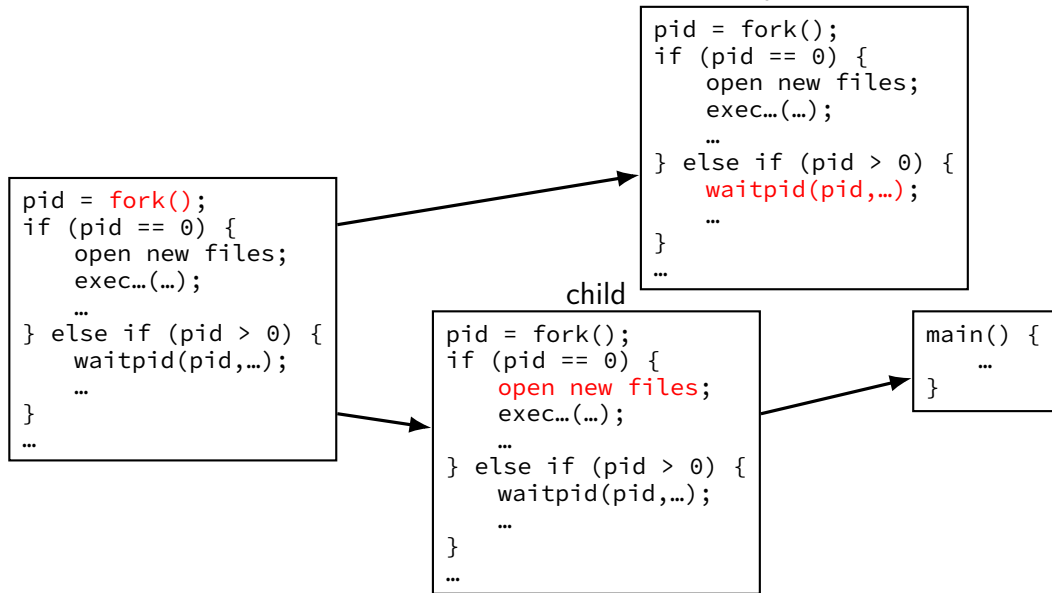
open file description (stdin)

open file description (stdout)

# fork copies open file list



# typical pattern with redirection



# redirecting with exec

standard output/error/input are files

(C stdout/stderr/stdin; C++ cout/cerr/cin)

(probably after forking) open files to redirect

...and make them be standard output/error/input  
using `dup2()` library call

then `exec`, preserving new standard output/etc.

# reassigning file descriptors

redirection: `./program >output.txt`

step 1: open output.txt for writing, get new file descriptor

step 2: make that new file descriptor stdout (number 1)

# reassigning and file table

```
struct process_info {  
    ...  
    struct open_file *files;  
};  
...  
process->files[STDOUT_FILENO] = process->files[opened-fd];  
syscall: dup2(opened-fd, STDOUT_FILENO);
```



# reassigning file descriptors

redirection: `./program >output.txt`

step 1: open `output.txt` for writing, get new file descriptor

step 2: **make that new file descriptor stdout (number 1)**

tool: `int dup2(int oldfd, int newfd)`

make `newfd` refer to same open file as `oldfd`

*same open file description*

shares the current location in the file

(even after more reads/writes)

what if `newfd` already allocated — closed, then reused

## dup2 example

redirects stdout to output to output.txt:

```
fflush(stdout); /* clear printf's buffer */
int fd = open("output.txt",
              O_WRONLY | O_CREAT | O_TRUNC);
if (fd < 0)
    do_something_about_error();

dup2(fd, STDOUT_FILENO);
/* now both write(fd, ...) and write(STDOUT_FILENO, ...)
   write to output.txt
   */

close(fd); /* only close original, copy still works! */

printf("This will be sent to output.txt.\n");
```

## open/dup/close/etc. and fd array

```
struct process_info {  
    ...  
    struct file *files;  
};  
  
open: files[new_fd] = ...;  
  
dup2(from, to): files[to] = files[from];  
  
close: files[fd] = NULL;  
  
fork:  
    for (int i = ...)   
        child->files[i] = parent->files[i];
```

(plus extra work to avoid leaking memory)

## exercise

```
int fd = open("output.txt", O_WRONLY|O_CREAT|O_TRUNC, 0666);
write(fd, "A", 1);
dup2(STDOUT_FILENO, 100);
dup2(fd, STDOUT_FILENO);
write(STDOUT_FILENO, "B", 1);
write(fd, "C", 1);
close(fd);
write(STDOUT_FILENO, "D", 1);
write(100, "E", 1);
```

Assume fd 100 is not what open returns. What is written to output.txt?

- A.** ABCDE   **C.** ABC   **E.** something else  
**B.** ABCD   **D.** ACD

# pipes

special kind of file: pipes

bytes go in one end, come out the other — once

created with `pipe()` library call

intended use: communicate between processes  
like implementing shell pipelines

# pipe()

```
int pipe_fd[2];  
if (pipe(pipe_fd) < 0)  
    handle_error();  
/* normal case: */  
int read_fd = pipe_fd[0];  
int write_fd = pipe_fd[1];
```

then from one process...

```
write(write_fd, ...);
```

and from another

```
read(read_fd, ...);
```

# pipe() and blocking

**BROKEN** example:

```
int pipe_fd[2];  
if (pipe(pipe_fd) < 0)  
    handle_error();  
int read_fd = pipe_fd[0];  
int write_fd = pipe_fd[1];  
write(write_fd, some_buffer, some_big_size);  
read(read_fd, some_buffer, some_big_size);
```

This is likely to **not terminate**. What's the problem?

# pipe example (1)

```
int pipe_fd[2];
if (pipe(pipe_fd) < 0)
    handle_error(); /* e.g. out of file descriptors */
int read_fd = pipe_fd[0];
int write_fd = pipe_fd[1];
child_pid = fork();
if (child_pid == 0) {
    /* in child process, write to pipe */
    close(read_fd);
    write_to_pipe(write_fd); /* function not shown */
    exit(EXIT_SUCCESS);
} else if (child_pid > 0) {
    /* in parent process, read from pipe */
    close(write_fd);
    read_from_pipe(read_fd); /* function not shown */
    waitpid(child_pid, NULL, 0);
    close(read_fd);
} else { /* fork error */ }
```



# pipe example (1)

'standard' pattern with fork()

```
int pipe_fd[2];
if (pipe(pipe_fd) < 0)
    handle_error(); /* e.g. out of file descriptors */
int read_fd = pipe_fd[0];
int write_fd = pipe_fd[1];
child_pid = fork();
if (child_pid == 0) {
    /* in child process, write to pipe */
    close(read_fd);
    write_to_pipe(write_fd); /* function not shown */
    exit(EXIT_SUCCESS);
} else if (child_pid > 0) {
    /* in parent process, read from pipe */
    close(write_fd);
    read_from_pipe(read_fd); /* function not shown */
    waitpid(child_pid, NULL, 0);
    close(read_fd);
} else { /* fork error */ }
```

# pipe example (1)

```
int pipe_fd[2];
if (pipe(pipe_fd) < 0)
    handle_error(); /* e.g. out of file */
int read_fd = pipe_fd[0];
int write_fd = pipe_fd[1];
child_pid = fork();
if (child_pid == 0) {
    /* in child process, write to pipe */
    close(read_fd);
    write_to_pipe(write_fd); /* function not shown */
    exit(EXIT_SUCCESS);
} else if (child_pid > 0) {
    /* in parent process, read from pipe */
    close(write_fd);
    read_from_pipe(read_fd); /* function not shown */
    waitpid(child_pid, NULL, 0);
    close(read_fd);
} else { /* fork error */ }
```

read() will not indicate  
end-of-file if write fd is open  
(any copy of it)

# pipe example (1)

```
int pipe_fd[2];
if (pipe(pipe_fd) < 0)
    handle_error(); /* e.g. out of file descriptors */
int read_fd = pipe_fd[0];
int write_fd = pipe_fd[1];
child_pid = fork();
if (child_pid == 0) {
    /* in child process, write to pipe */
    close(read_fd);
    write_to_pipe(write_fd); /* function not shown */
    exit(EXIT_SUCCESS);
} else if (child_pid > 0) {
    /* in parent process, read from pipe */
    close(write_fd);
    read_from_pipe(read_fd); /* function not shown */
    waitpid(child_pid, NULL, 0);
    close(read_fd);
} else { /* fork error */ }
```

have habit of closing  
to avoid 'leaking' file descriptors  
you can run out

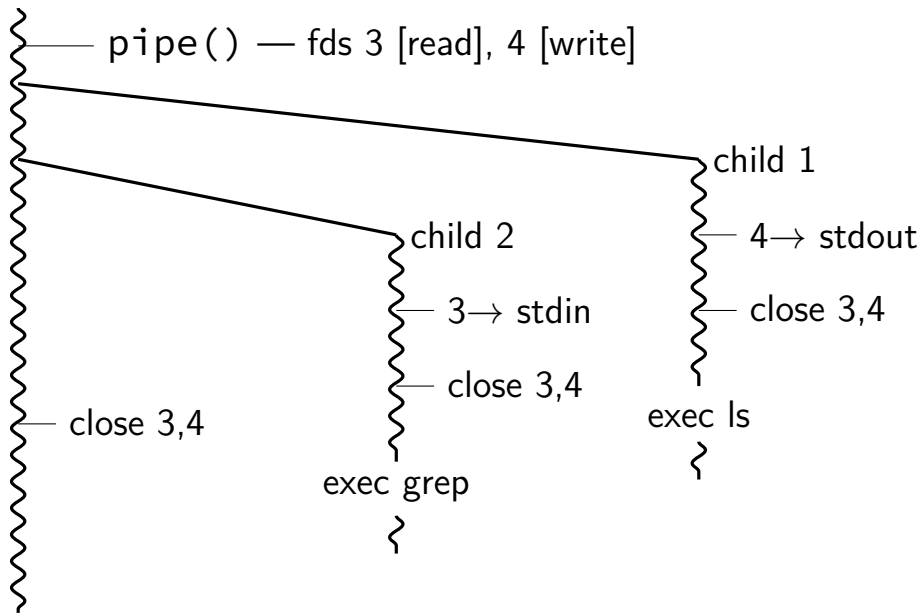
# pipe and pipelines

```
ls -l | grep foo
```

```
pipe(pipe_fd);
ls_pid = fork();
if (ls_pid == 0) {
    dup2(pipe_fd[1], STDOUT_FILENO);
    close(pipe_fd[0]); close(pipe_fd[1]);
    char *argv[] = {"ls", "-l", NULL};
    execv("/bin/ls", argv);
}
grep_pid = fork();
if (grep_pid == 0) {
    dup2(pipe_fd[0], STDIN_FILENO);
    close(pipe_fd[0]); close(pipe_fd[1]);
    char *argv[] = {"grep", "foo", NULL};
    execv("/bin/grep", argv);
}
close(pipe_fd[0]); close(pipe_fd[1]);
/* wait for processes, etc. */
```

# example execution

parent



## exercise

```
pid_t p = fork();
int pipe_fds[2];
pipe(pipe_fds);
if (p == 0) { /* child */
    close(pipe_fds[0]);
    char c = 'A';
    write(pipe_fds[1], &c, 1);
    exit(0);
} else { /* parent */
    close(pipe_fds[1]);
    char c;
    int count = read(pipe_fds[0], &c, 1);
    printf("read %d bytes\n", count);
}
```

The child is trying to send the character A to the parent, but the above code outputs read 0 bytes instead of read 1 bytes. What happened?

# exercise solution

**backup slides**



## **exercise: TLB access pattern (setup)**

4-entry, 2-way TLB, LRU replacement policy, initially empty

4096 byte pages

how many index bits?

TLB index of virtual address 0x12345?

## exercise: TLB access pattern

4-entry, 2-way TLB, LRU replacement policy, initially empty

4096 byte pages

type	virtual	physical
read	0x440030	0x554030
write	0x440034	0x554034
read	0x7FFFE008	0x556008
read	0x7FFFE000	0x556000
read	0x7FFFDFF8	0x5F8FF8
read	0x664080	0x5F9080
read	0x440038	0x554038
write	0x7FFFDFF0	0x5F8FF0

which are TLB hits? which are TLB misses? final contents of TLB?

# changing page tables

what happens to TLB when page table base pointer is changed?

e.g. context switch

most entries in TLB refer to things from **wrong process**

oops — read from the wrong process's stack?

# changing page tables

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option 1: **invalidate** all TLB entries

side effect on “change page table base register” instruction

# changing page tables

what happens to TLB when page table base pointer is changed?

e.g. context switch

most entries in TLB refer to things from **wrong process**

oops — read from the wrong process's stack?

option 1: **invalidate** all TLB entries

side effect on “change page table base register” instruction

option 2: TLB entries contain process ID

set by OS (special register)

checked by TLB in addition to TLB tag, valid bit

# editing page tables

what happens to TLB when OS changes a page table entry?

most common choice: has to be handled **in software**

# editing page tables

what happens to TLB when OS changes a page table entry?

most common choice: has to be handled **in software**

invalid to valid — nothing needed

- TLB doesn't contain invalid entries

- MMU will check memory again

valid to invalid — **OS needs to tell processor** to invalidate it

- special instruction (x86: `invlpg`)

valid to other valid — **OS needs to tell processor** to invalidate it

# aside: environment variables (1)

key=value pairs associated with every process:

```
$ printenv
```

```
MODULE_VERSION_STACK=3.2.10
```

```
MANPATH=/opt/puppetlabs/puppet/share/man
```

```
XDG_SESSION_ID=754
```

```
HOSTNAME=labsrv01
```

```
SELINUX_ROLE_REQUESTED=
```

```
TERM=screen
```

```
SHELL=/bin/bash
```

```
HISTSIZE=1000
```

```
SSH_CLIENT=128.143.67.91 58432 22
```

```
SELINUX_USE_CURRENT_RANGE=
```

```
QTDIR=/usr/lib64/qt-3.3
```

```
OLDPWD=/zf14/cr4bd
```

```
QTINC=/usr/lib64/qt-3.3/include
```

```
SSH_TTY=/dev/pts/0
```

```
QT_GRAPHICSSYSTEM_CHECKED=1
```

```
USER=cr4bd
```

```
LS_COLORS=rs=0:di=01;34:ln=01;36:mh=00:pi=40;33:so=01;35:do=01;35:bd=40;33;01:cd=40;33;01:or
```

```
MODULE_VERSION=3.2.10
```

```
MAIL=/var/spool/mail/cr4bd
```

```
PATH=/zf14/cr4bd/.cargo/bin:/zf14/cr4bd/bin:/usr/lib64/qt-3.3/bin:/usr/local/bin:/usr/bin:/u
```

```
PWD=/zf14/cr4bd
```

```
LANG=en_US.UTF-8
```

```
MODULEPATH=/sw/centos/Modules/modulefiles:/sw/linux-any/Modules/modulefiles
```

```
LOADEDMODULES=
```

```
KDEDIRS=/usr
```



## aside: environment variables (2)

environment variable library functions:

`getenv("KEY")`  $\rightarrow$  *value*

`putenv("KEY=value")` (sets KEY to *value*)

`setenv("KEY", "value")` (sets KEY to *value*)

```
int execve(char *path, char **argv, char **envp)
```

```
char *envp[] = { "KEY1=value1", "KEY2=value2", NULL };
```

```
char *argv[] = { "somecommand", "some arg", NULL };
```

```
execve("/path/to/somecommand", argv, envp);
```

normal exec versions — keep same environment variables

## aside: environment variables (3)

interpretation up to programs, but common ones...

`PATH=/bin:/usr/bin`

to run a program 'foo', look for an executable in `/bin/foo`, then `/usr/bin/foo`

`HOME=/zf14/cr4bd`

current user's home directory is `'/zf14/cr4bd'`

`TERM=screen-256color`

your output goes to a 'screen-256color'-style terminal

...

**backup slides**