# processes API

## **POSIX** process management

essential operations

```
process information: getpid
process creation: fork
running programs: exec*
    also posix_spawn (not widely supported), ...
waiting for processes to finish: waitpid (or wait)
process destruction, 'signaling': exit, kill
```

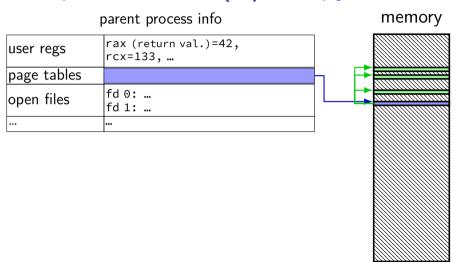
## **POSIX** process management

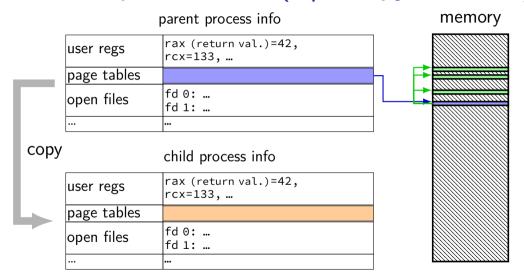
essential operations

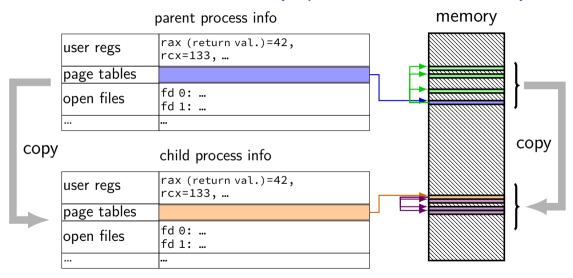
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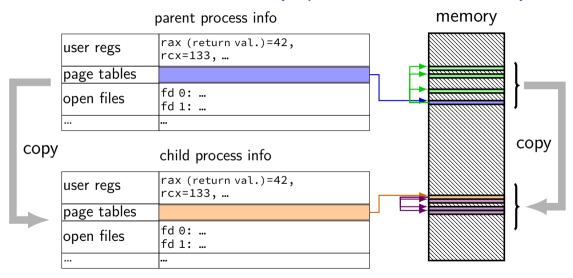
#### fork

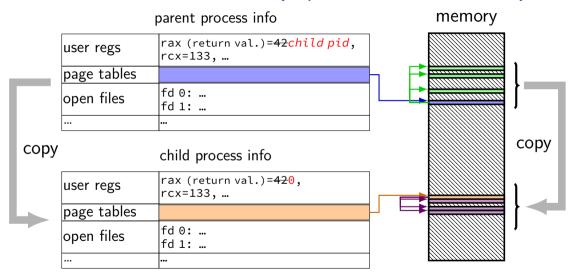
```
pid t fork() — copy the current process
returns twice:
     in parent (original process): pid of new child process
     in child (new process): 0
everything (but pid) duplicated in parent, child:
     memory
     file descriptors (later)
     registers
```











```
// not shown: #include various headers
int main(int argc, char *argv[]) {
    pid t pid = getpid();
    printf("Parent_pid:_wd\n", (int) pid);
    pid_t child_pid = fork();
    if (child_pid > 0) {
        /* Parent Process */
        pid_t my_pid = getpid();
        printf("[%d]_parent_of_[%d]\n".
               (int) my pid,
               (int) child_pid);
    } else if (child pid == 0) {
        /* Child Process */
        pid_t my_pid = getpid();
        printf("[%d]_child\n",
               (int) my_pid);
    } else {
        perror("Fork failed");
    return 0:
```

```
// not shown: #include various headers
int main(int argc, char *argv[]-
    pid_t pid = getpid();
                              getpid — returns current process pid
    printf("Parent_pid:_%d\n",
    pid_t child_pid = fork();
    if (child_pid > 0) {
        /* Parent Process */
        pid_t my_pid = getpid();
        printf("[%d]_parent_of_[%d]\n",
               (int) my_pid,
               (int) child_pid);
    } else if (child pid == 0) {
       /* Child Process */
        pid_t my_pid = getpid();
        printf("[%d]_child\n",
               (int) my_pid);
    } else {
        perror("Fork failed");
    return 0:
```

```
// not shown: #include various headers
int main(int area char *arev[]
    pid_t pid cast in case pid_t isn't int
    printf("Pa
    pid_t chil POSIX doesn't specify (some systems it is, some not...)
    if (child_
        \binom{Cnnla}{r} (not necessary if you were using C++'s cout, etc.)
        pid_t my_pra = gecpra();
        printf("[%d]_parent_of_[%d]\n",
               (int) my pid,
               (int) child_pid);
    } else if (child pid == 0) {
       /* Child Process */
        pid_t my_pid = getpid();
        printf("[%d]_child\n",
               (int) my_pid);
    } else {
        perror("Fork failed");
    return 0:
```

```
// not shown: #include various headers
        prints out Fork failed: error message
   pid
   prin
   [example error message: "Resource temporarily unavailable")
   if
        from error number stored in special global variable errno
       pra_t my_pra = getpra();
       printf("[%d]_parent_of_[%d]\n",
              (int) my pid,
              (int) child_pid);
   } else if (child pid == 0) {
       /* Child Process */
       pid_t my_pid = getpid();
       printf("[%d]_child\n",
              (int) my_pid);
   } else {
       perror("Fork failed");
   return 0:
```

```
// not shown: #include various headers
                                            parent pid: ...
int main(int argc, char *argv[]) {
    pid t pid = getpid();
    printf("Parent_pid:_%d\n", (int) pid);
    pid_t child_pid = fork();
    if (child_pid > 0) {
       /* Parent Process */
       pid_t my_pid = getpid();
       printf("[%d]_parent_of_[%d]\n",
               (int) my pid,
                                             parent of ...
              (int) child_pid);
    } else if (child pid == 0) {
       /* Child Process */
       pid_t my_pid = getpid();
                                        Example output:
       printf("[%d]_child\n",
                                        Parent pid: 100
              (int) my_pid);
    } else {
                                         [100] parent of [432]
       perror("Fork failed");
                                         [432] child
    return 0:
```

## a fork question

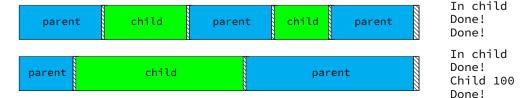
```
int main() {
    pid_t pid = fork();
    if (pid == 0) {
        printf("In_child\n");
    } else {
        printf("Child_%d\n", pid);
    }
    printf("Done!\n");
}
```

Exercise: Suppose the pid of the parent process is 99 and child is 100. Give **two** possible outputs. (Assume no crashes, etc.)

## a fork question

```
int main() {
    pid_t pid = fork();
    if (pid == 0) {
        printf("In_child\n");
    } else {
        printf("Child_%d\n", pid);
    }
    printf("Done!\n");
}
```

Exercise: Suppose the pid of the parent process is 99 and child is 100. Give **two** possible outputs. (Assume no crashes, etc.)



7

# a fork question (2)

```
int x = 0;
int main() {
    pid_t pid = fork();
    int y = 0;
    if (pid == 0) {
        x += 1;
         y += 2;
    } else {
        x += 3;
         v += 4:
    printf("%d_{\square}%d_{\square}", x, v);
```

Exercise: which (possibly multiple) are possible outputs?

A. 1 2 (newline) 3 4 B. 1 2 (newline) 4 4 C. 1 2 (newline) 4 6

D. 3 4 (newline) 1 2 E. 3 4 (newline) 4 6 F. 4 6 (newline) 4 6

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```

#### exec\*

```
exec* — replace current program with new program

* — multiple variants
same pid, new process image
```

int execv(const char \*path, const char
\*\*argv)

path: new program to run

argv: array of arguments, termianted by null pointer

also other variants that take argv in different form and/or environment variables\*

\*environment variables = list of key-value pairs

## execv example

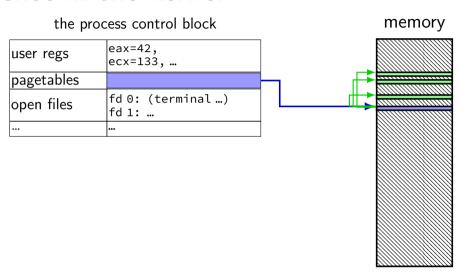
```
child pid = fork();
if (child_pid == 0) {
 /* child process */
  char *args[] = {"ls", "-l", NULL};
 execv("/bin/ls", args);
 /* execv doesn't return when it works.
     So, if we got here, it failed. */
  perror("execv");
 exit(1):
} else if (child_pid > 0) {
 /* parent process */
```

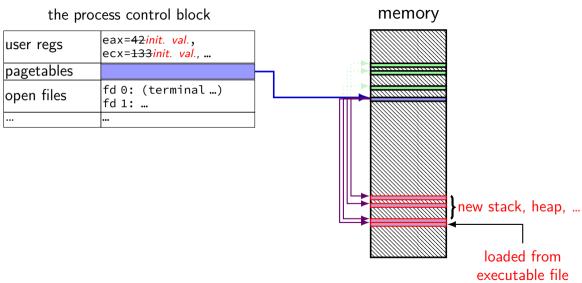
## execv example

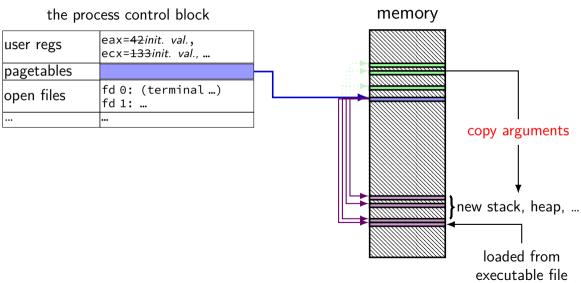
```
child_pid = fork();
if (child pid == 0) {
  /* child process */
  char *args[] = {"ls", "-l", NULL};
  execv("/bin/ls",
/* execv doesn't
So, if we got
when program's main is run
  perror("execv");
  exit(1);
                     convention: first argument is program name
} else if (child_p<del>lu / 0/ 1</del>
  /* parent process */
```

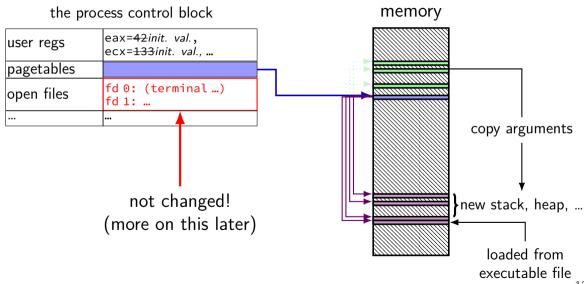
## execv example

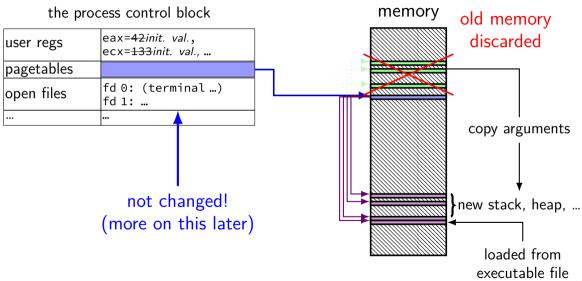
```
child_pid = fork();
if (child_pid == 0) {
  /* child process */
  char *args[] = {"ls", "-l", NULL};
  execv("/bin/ls", args)
                           path of executable to run
  /* execv doesn't retur
                           need not match first argument
     So, if we got here,
                           (but probably should match it)
  perror("execv");
  exit(1):
} else if (child pid > 0
                           on Unix /bin is a directory
  /* parent process */
                           containing many common programs,
                           including ls ('list directory')
```











## why fork/exec?

could just have a function to spawn a new program
 Windows CreateProcess(); POSIX's (rarely used) posix\_spawn

some other OSs do this (e.g. Windows)

needs to include API to set new program's state

e.g. without fork: either:

need function to set new program's current directory, *or* need to change your directory, then start program, then change back e.g. with fork: just change your current directory before exec

but allows OS to avoid 'copy everything' code probably makes OS implementation easier

### posix\_spawn

```
pid t new pid;
const char argv[] = { "ls", "-l", NULL };
int error_code = posix_spawn(
    &new pid,
    "/bin/ls",
    NULL /* null = copy current process's open files;
            if not null, do something else */,
    NULL /* null = no special settings for new process */,
    argv,
    NULL /* null = copy current "environment variables",
            if not null, do something else */
if (error_code == 0) {
   /* handle error */
```

# some opinions (via HotOS '19)

#### A fork() in the road

Andrew Baumann Jonathan Appavoo Microsoft Research Boston University

Orran Krieger **Boston University** 

Timothy Roscoe ETH Zurich

#### ABSTRACT

The received wisdom suggests that Unix's unusual combination of fork() and exec() for process creation was an inspired design. In this paper, we argue that fork was a clever hack for machines and programs of the 1970s that has long outlived its usefulness and is now a liability. We catalog the ways in which fork is a terrible abstraction for the modern programmer to use, describe how it compromises OS implementations, and propose alternatives.

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```

## wait/waitpid

```
pid_t waitpid(pid_t pid, int *status,
                      int options)
wait for a child process (with pid=pid) to finish
sets *status to its "status information"
pid=-1 \rightarrow wait for any child process instead
options? see manual page (command man waitpid)
    0 — no options
```

## waitpid example

#### exit statuses

```
int main() {
    return 0;  /* or exit(0); */
}
```

#### the status

```
#include <sys/wait.h>
 waitpid(child pid, &status, 0);
  if (WIFEXITED(status)) {
    printf("main_returned_or_exit_called_with_%d\n",
           WEXITSTATUS(status));
  } else if (WIFSIGNALED(status)) {
    printf("killed_by_signal_%d\n", WTERMSIG(status));
  } else {
```

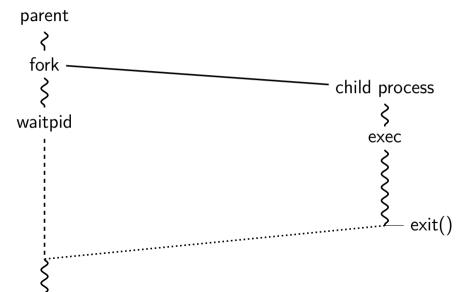
"status code" encodes both return value and if exit was abnormal W\* macros to decode it

#### the status

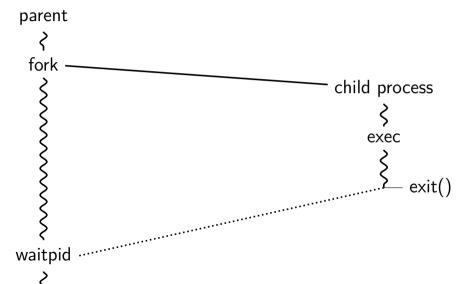
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 waitpid(child pid, &status, 0);
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           WEXITSTATUS(status));
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"status code" encodes both return value and if exit was abnormal W\* macros to decode it

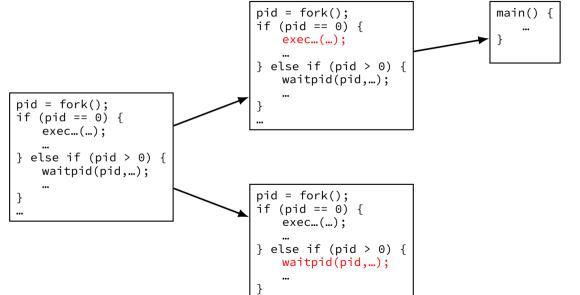
# typical pattern



# typical pattern (alt)



# typical pattern (detail)



## **POSIX** process management

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waiting for processes to finish: waitpid (or wait)
process destruction, 'signaling': exit, kill
```

```
exercise (1)
int main() {
```

```
pid_t pids[2]; const char *args[] = {"echo", "ARG", NULL};
    const char *extra[] = {"L1", "L2"};
    for (int i = 0; i < 2; ++i) {
        pids[i] = fork();
        if (pids[i] == 0) {
            args[1] = extra[i];
            execv("/bin/echo", args);
    for (int i = 0; i < 2; ++i) {
        waitpid(pids[i], NULL, 0);
Assuming fork and execv do not fail, which are possible outputs?
```

**D.** A and B A. L1 (newline) L2 B. L1 (newline) L2 (newline) L2 E. A and C **F.** all of the above L2 (newline) L1

```
exercise (2)
int main() {
  pid t pids[2]:
```

```
t main() {
  pid_t pids[2]; const char *args[] = {"echo", "0", NULL};
  for (int i = 0; i < 2; ++i) {
     pids[i] = fork();
     if (pids[i] == 0) { execv("/bin/echo", args); }
  }
  printf("1\n"); fflush(stdout);
  for (int i = 0; i < 2; ++i) {
     waitpid(pids[i], NULL, 0);
  }
  printf("2\n"); fflush(stdout);</pre>
```

Assuming fork and execv do not fail, which are possible outputs?

- A. 0 (newline) 0 (newline) 1 (newline) 2 E. A, B, and C
- **B.** 0 (newline) 1 (newline) 0 (newline) 2 **F.** C and D
- C. 1 (newline) 0 (newline) 0 (newline) 2 G. all of the above

**D.** 1 (newline) 0 (newline) 2 (newline) 0 **H.** something else

```
exercise (2)
int main() {
    pid_t pids[2];
```

```
t main() {
  pid_t pids[2]; const char *args[] = {"echo", "0", NULL};
  for (int i = 0; i < 2; ++i) {
     pids[i] = fork();
     if (pids[i] == 0) { execv("/bin/echo", args); }
  }
  printf("1\n"); fflush(stdout);
  for (int i = 0; i < 2; ++i) {
     waitpid(pids[i], NULL, 0);
  }
  printf("2\n"); fflush(stdout);</pre>
```

Assuming fork and execv do not fail, which are possible outputs?

- A. 0 (newline) 0 (newline) 1 (newline) 2 E. A, B, and C
- **B.** 0 (newline) 1 (newline) 0 (newline) 2 **F.** C and D
- C. 1 (newline) 0 (newline) 0 (newline) 2 G. all of the above

**D.** 1 (newline) 0 (newline) 2 (newline) 0 **H.** something else

### some POSIX command-line features

```
ls -l \approx /bin/ls -l
    make ≈ /usr/bin/make
running in background
    ./someprogram &
redirection.
    ./someprogram >output.txt
    ./someprogram <input.txt
pipelines:
    ./someprogram | ./somefilter
```

searching for programs

#### some POSIX command-line features

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make ≈ /usr/bin/make
running in background
    ./someprogram &
redirection.
    ./someprogram >output.txt
    ./someprogram <input.txt
pipelines:
    ./someprogram | ./somefilter
```

searching for programs

ls  $-l \approx /bin/ls -l$ 

#### some POSIX command-line features

```
make ≈ /usr/bin/make
running in background
    ./someprogram &
redirection.
    ./someprogram >output.txt
    ./someprogram <input.txt
pipelines:
```

./someprogram | ./somefilter

searching for programs

ls  $-l \approx /bin/ls -l$ 

## file descriptors

```
struct process info { /* <-- in the kernel somewhere */
    struct open file description *files[SIZE];
     . . .
};
process->files[file descriptor]
Unix: every process has
array (or similar) of open file descriptions
"open file": terminal · socket · regular file · pipe
file descriptor = index into array
     usually what's used with system calls
     stdio.h FILE*s usually have file descriptor + buffer
```

### special file descriptors

```
file descriptor 0 = \text{standard input}
file descriptor 1 = \text{standard output}
file descriptor 2 = \text{standard error}
```

```
constants in unistd.h
STDIN_FILENO, STDOUT_FILENO, STDERR_FILENO
```

### special file descriptors

```
file descriptor 0 = \text{standard input}
file descriptor 1 = \text{standard output}
file descriptor 2 = \text{standard error}
```

```
constants in unistd.h
    STDIN_FILENO, STDOUT_FILENO, STDERR_FILENO
```

but you can't choose which number open assigns...?
more on this later

## getting file descriptors

```
int read fd = open("dir/file1", O RDONLY);
int write fd = open("/other/file2", 0 WRONLY | ...):
int rdwr fd = open("file3". 0 RDWR);
used internally by fopen(), etc.
also for files without normal filenames...:
int fd = shm open("/shared memory", O RDWR, 0666); // shared memory
int socket fd = socket(AF INET, SOCK STREAM, 0); // TCP socket
int term fd = posix openpt(0 RDWR); // pseudo-terminal
int pipe fds[2]; pipe(pipefds); // "pipes" (later)
```

#### close

returns 0 on success

```
int close(int fd);
close the file descriptor, deallocating that array index
          does not affect other file descriptors
          that refer to same "open file description"
          (e.g. in fork()ed child or created via (later) dup2)

if last file descriptor for open file description, resources deallocated
```

returns -1 on error
e.g. ran out of disk space while finishing saving file

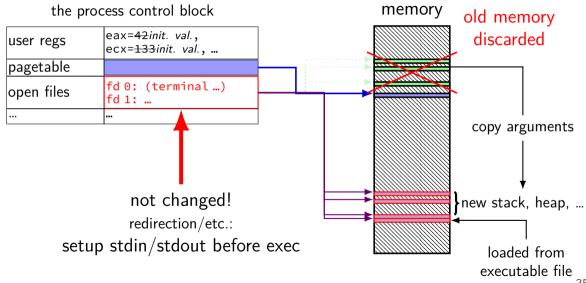
#### shell redirection

```
./my_program ... < input.txt:
    run ./my_program ... but use input.txt as input
    like we copied and pasted the file into the terminal</pre>
```

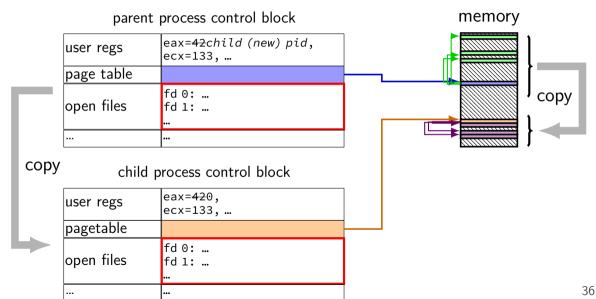
#### echo foo > output.txt:

runs echo foo, sends output to output.txt like we copied and pasted the output into that file (as it was written)

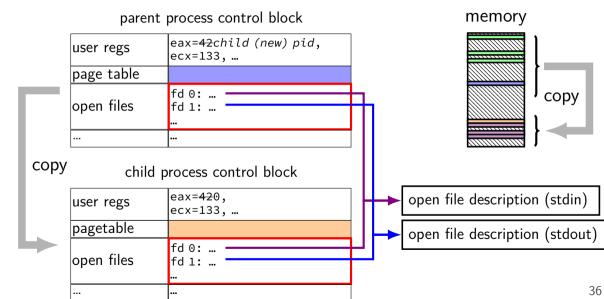
### exec preserves open files



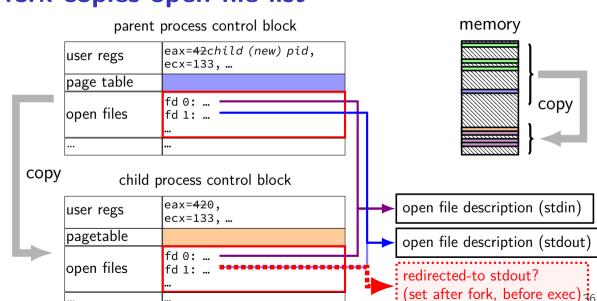
## fork copies open file list



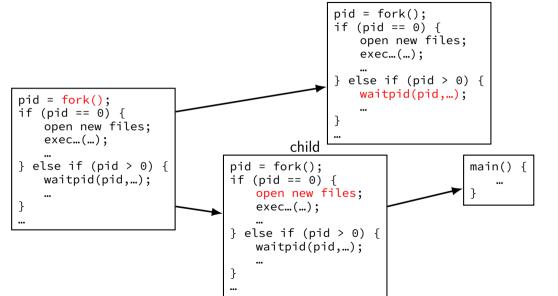
# fork copies open file list



# fork copies open file list



# typical pattern with redirection parent



### redirecting with exec

```
standard output/error/input are files (C stdout/stderr/stdin; C++ cout/cerr/cin)
```

(probably after forking) open files to redirect

...and make them be standard output/error/input
using dup2() library call

then exec, preserving new standard output/etc.

### reassigning file descriptors

redirection: ./program >output.txt step 1: open output.txt for writing, get new file descriptor

step 2: make that new file descriptor stdout (number 1)

### reassigning and file table

```
// something like this in OS code
struct process_info {
    ...
    struct open_file_description *files[SIZE];
    ...
};
...
process->files[STDOUT_FILENO] = process->files[opened-fd];
syscall: dup2(opened-fd, STDOUT_FILENO);
```

### reassigning file descriptors

```
redirection: ./program >output.txt
step 1: open output.txt for writing, get new file descriptor
step 2: make that new file descriptor stdout (number 1)
```

```
tool: int dup2(int oldfd, int newfd)
make newfd refer to same open file as oldfd
same open file description
shares the current location in the file
(even after more reads/writes)
```

what if newfd already allocated — closed, then reused

### dup2 example

```
redirects stdout to output to output.txt:
fflush(stdout); /* clear printf's buffer */
int fd = open("output.txt",
              O_WRONLY | O_CREAT | O_TRUNC);
if (fd < 0)
   do something about error();
dup2(fd, STDOUT_FILENO);
/* now both write(fd, ...) and write(STDOUT FILENO, ...)
  write to output.txt
close(fd); /* only close original, copy still works! */
```

printf("This\_will\_be\_sent\_to\_output.txt.\n");

# open/dup/close/etc. and fd array

```
// something like this in OS code
struct process info {
 struct open file description *files[NUM];
open: files[new fd] = ...;
dup2(from, to): files[to] = files[from];
close: files[fd] = NULL;
fork:
  for (int i = ...)
       child->files[i] = parent->files[i];
```

### unshared seek pointers

```
if "foo.txt" contains "AB"
int fd1 = open("foo.txt", O_RDONLY);
int fd2 = open("foo.txt", O_RDONLY);
char c;
read(fd1, &c, 1);
char d;
read(fd2, &d, 1);
expected result: c = 'A', d = 'A'
```

## shared seek pointers (1)

```
if "foo.txt" contains "AB":
int fd = open("foo.txt", O_RDONLY);
dup2(fd, 100);
char c;
read(fd, &c, 1);
char d;
read(100, &d, 1);
expected result: c = 'A', d = 'B'
```

# shared seek pointers (2)

```
if "foo.txt" contains "AB":
int fd = open("foo.txt", O RDONLY);
pid t p = fork():
if (p == 0) {
    char c;
    read(fd, &c, 1);
} else {
    char d:
    sleep(1);
    read(fd, &d, 1):
expected result: c = 'A', d = 'B'
```

### pipes

```
special kind of file: pipes
```

bytes go in one end, come out the other — once

created with pipe() library call

intended use: communicate between processes like implementing shell pipelines

## pipe()

```
int pipe fd[2];
if (pipe(pipe_fd) < 0)</pre>
    handle error():
/* normal case: */
int read_fd = pipe_fd[0];
int write fd = pipe fd[1];
then from one process...
write(write fd, ...);
and from another
read(read_fd, ...);
```

} else { /\* fork error \*/ }

```
int pipe fd[2];
if (pipe(pipe fd) < 0)</pre>
    handle error(); /* e.g. out of file descriptors */
int read fd = pipe fd[0];
int write_fd = pipe_fd[1];
child_pid = fork();
if (child pid == 0) {
   /* in child process, write to pipe */
    close(read fd);
    write_to_pipe(write_fd); /* function not shown */
    exit(EXIT SUCCESS);
} else if (child pid > 0) {
    /* in parent process, read from pipe */
    close(write fd):
    read_from_pipe(read_fd); /* function not shown */
    waitpid(child_pid, NULL, 0);
    close(read fd);
```

'standard' pattern with fork() int pipe fd[2]; if (pipe(pipe fd) < 0)</pre> handle error(); /\* e.g. out of file descriptors \*/ int read fd = pipe fd[0]; int write\_fd = pipe\_fd[1]; child\_pid = fork(); if (child pid == 0) { /\* in child process, write to pipe \*/ close(read fd); write\_to\_pipe(write\_fd); /\* function not shown \*/ exit(EXIT SUCCESS); } else if (child pid > 0) {

/\* in parent process, read from pipe \*/ close(write fd): read\_from\_pipe(read\_fd); /\* function not shown \*/ waitpid(child\_pid, NULL, 0); close(read fd): } else { /\* fork error \*/ }

close(read\_fd);
} else { /\* fork error \*/ }

```
read() will not indicate
int pipe fd[2];
                                           end-of-file if write fd is open
if (pipe(pipe fd) < 0)</pre>
    handle_error(); /* e.g. out of file | (any copy of it)
int read fd = pipe fd[0];
int write_fd = pipe_fd[1];
child_pid = fork();
if (child pid == 0) {
    /* in child process, write to pipe */
    close(read fd);
    write_to_pipe(write_fd); /* function not shown */
    exit(EXIT SUCCESS);
} else if (child pid > 0) {
    /* in parent process, read from pipe */
    close(write fd);
    read_from_pipe(read_fd); /* function not shown */
    waitpid(child_pid, NULL, 0);
```

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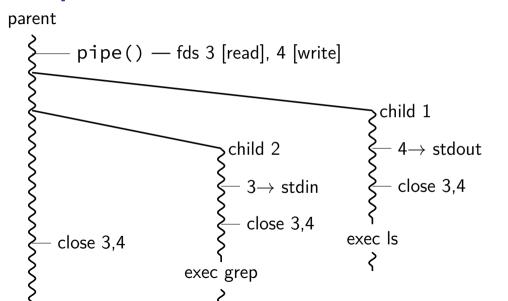
else { /\* fork error \*/ }

```
have habit of closing
int pipe fd[2];
                                        to avoid 'leaking' file descriptors
if (pipe(pipe fd) < 0)</pre>
    handle_error(); /* e.g. out of fi you can run out
int read fd = pipe fd[0];
int write_fd = pipe_fd[1];
child_pid = fork();
if (child pid == 0) {
    /* in child process, write to pipe */
   close(read fd);
    write_to_pipe(write_fd); /* function not shown */
    exit(EXIT SUCCESS);
} else if (child pid > 0) {
    /* in parent process, read from pipe */
    close(write fd):
    read_from_pipe(read_fd); /* function not shown */
    waitpid(child_pid, NULL, 0);
    close(read_fd);
```

### pipe and pipelines

```
ls -1 | grep foo
pipe(pipe fd);
ls pid = fork();
if (ls pid == 0) {
    dup2(pipe_fd[1], STDOUT_FILENO);
    close(pipe fd[0]); close(pipe fd[1]);
    char *argv[] = {"ls", "-1", NULL};
    execv("/bin/ls", argv):
grep_pid = fork();
if (grep pid == 0) {
    dup2(pipe fd[0], STDIN FILENO);
    close(pipe_fd[0]); close(pipe_fd[1]);
    char *argv[] = {"grep", "foo", NULL};
   execv("/bin/grep", argv);
close(pipe fd[0]); close(pipe fd[1]);
```

### example execution



#### exercise

```
pid_t p = fork();
int pipe_fds[2];
pipe(pipe fds);
if (p == 0) { /* child */
  close(pipe_fds[0]);
  char c = 'A';
 write(pipe_fds[1], &c, 1);
  exit(0):
} else { /* parent */
  close(pipe_fds[1]);
  char c;
  int count = read(pipe_fds[0], &c, 1);
  printf("read_%d_bytes\n", count);
```

The child is trying to send the character A to the parent, but the above code outputs read 0 bytes instead of read 1 bytes. What happened?

#### exercise solution

pipe() is after fork — two pipes, one in child, one in parent

### **Unix API summary**

```
spawn and wait for program: fork (copy), then
     in child: setup, then execv, etc. (replace copy)
     in parent: waitpid
files: open, read and/or write, close
     one interface for regular files, pipes, network, devices, ...
file descriptors are indices into per-process array
     index 0, 1, 2 = \text{stdin}, stdout, stderr
     dup2 — assign one index to another
     close — deallocate index
redirection/pipelines
```

open() or pipe() to create new file descriptors dup2 in child to assign file descriptor to index 0, 1

# backup slides

#### shell

allow user (= person at keyboard) to run applications user's wrapper around process-management functions

#### aside: shell forms

POSIX: command line you have used before

also: graphical shells
e.g. OS X Finder, Windows explorer

other types of command lines?

completely different interfaces?

### searching for programs

POSIX convention: PATH environment variable

```
example: /home/cr4bd/bin:/usr/bin:/bin
    list of directories to check in order
environment variables = key/value pairs stored with process
    by default, left unchanged on execve, fork, etc.
one way to implement: [pseudocode]
for (directory in path) {
     execv(directory + "/" + program_name, argv);
```

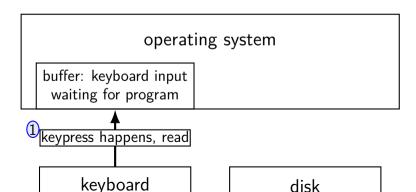
program

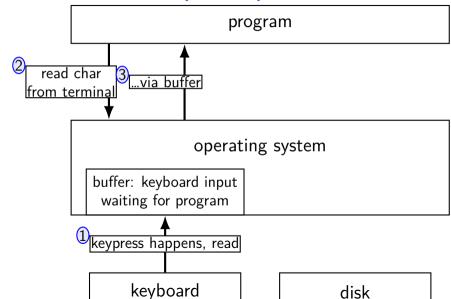
operating system

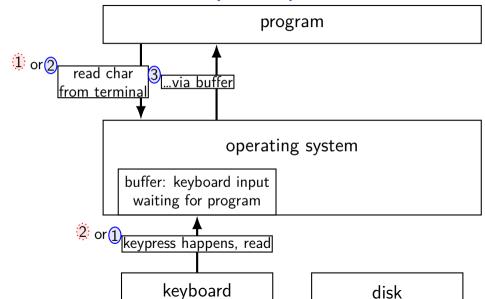
59

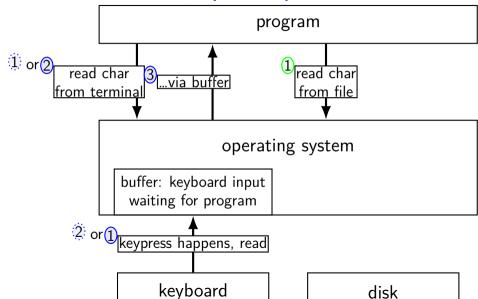
disk

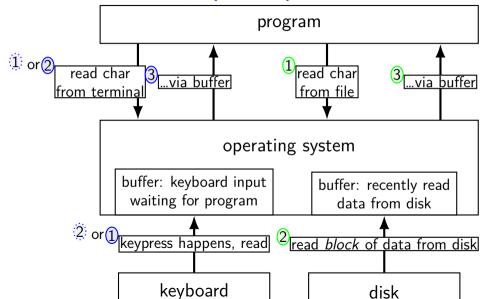
program









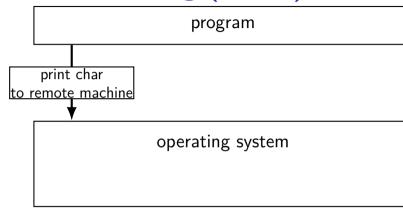


program

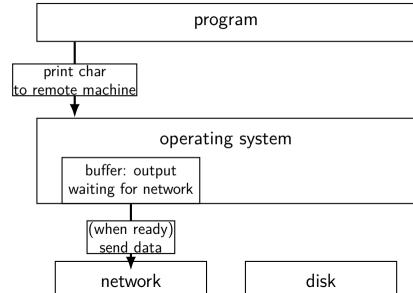
operating system

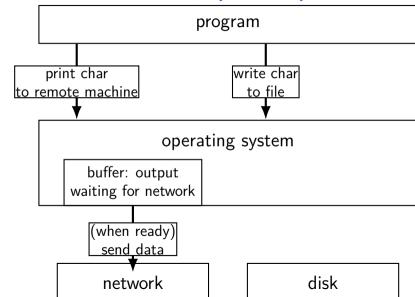
network

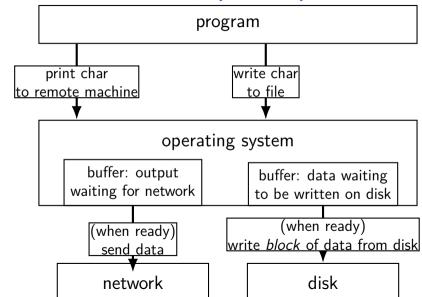
disk



network disk





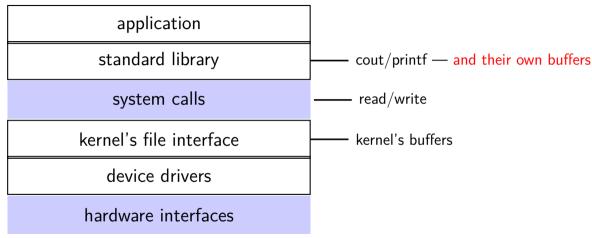


#### read/write operations

```
read()/write(): move data into/out of buffer
possibly wait if buffer is empty (read)/full (write)
```

actual I/O operations — wait for device to be ready trigger process to stop waiting if needed

### **layering**



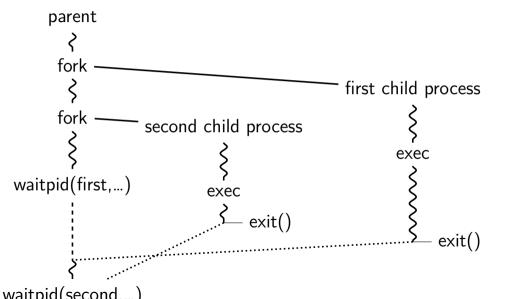
### why the extra layer

```
better (but more complex to implement) interface:
     read line
     formatted input (scanf, cin into integer, etc.)
     formatted output
less system calls (bigger reads/writes) sometimes faster
     buffering can combine multiple in/out library calls into one system call
more portable interface
     cin. printf. etc. defined by C and C++ standards
```

# pipe() and blocking

```
BROKEN example:
int pipe fd[2];
if (pipe(pipe fd) < 0)</pre>
    handle error();
int read fd = pipe fd[0];
int write fd = pipe fd[1];
write(write fd, some buffer, some big size);
read(read fd, some buffer, some big size);
This is likely to not terminate. What's the problem?
```

### pattern with multiple?



#### this class: focus on Unix

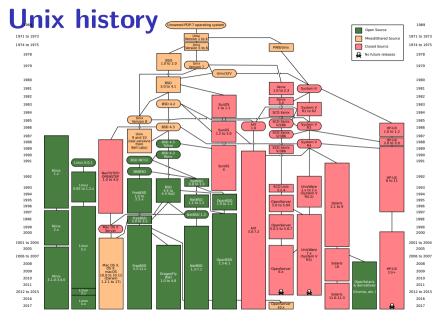
Unix-like OSes will be our focus

we have source code

used to from 2150, etc.?

have been around for a while

xv6 imitates Unix



#### **POSIX:** standardized Unix

Portable Operating System Interface (POSIX) "standard for Unix"

current version online:
https://pubs.opengroup.org/onlinepubs/9699919799/
(almost) followed by most current Unix-like OSes
...but OSes add extra features

...and POSIX doesn't specify everything

#### what POSIX defines

POSIX specifies the library and shell interface source code compatibility

doesn't care what is/is not a system call...

doesn't specify binary formats...

idea: write applications for POSIX, recompile and run on all implementations

this was a very important goal in the 80s/90s at the time, no dominant Unix-like OS (Linux was very immature)

#### getpid

```
pid_t my_pid = getpid();
printf("my_pid_is_%ld\n", (long) my_pid);
```

### process ids in ps

#### read/write

```
ssize_t read(int fd, void *buffer, size_t count);
ssize t write(int fd, void *buffer, size_t count);
read/write up to count bytes to/from buffer
returns number of bytes read/written or -1 on error
    ssize_t is a signed integer type
    error code in errno
read returning 0 means end-of-file (not an error)
    can read/write less than requested (end of file, broken I/O device, ...)
```

### read'ing one byte at a time

```
string s;
ssize t amount read;
char c:
/* cast to void * not needed in C */
while ((amount_read = read(STDIN_FILENO, (void*) &c, 1)) > 0)
    /* amount read must be exactly 1 */
    s += c;
if (amount read == -1) {
    /* some error happened */
    perror("read"); /* print out a message about it */
} else if (amount read == 0) {
   /* reached end of file */
```

#### write example

```
/* cast to void * optional in C */
write(STDOUT_FILENO, (void *) "Hello, World!\n", 14);
```

### aside: environment variables (1)

# key=value pairs associated with every process:

MAIL=/var/spool/mail/cr4bd

PWD=/zf14/cr4bd

```
MODULE VERSION STACK=3.2.10
MANPATH=:/opt/puppetlabs/puppet/share/man
XDG_SESSION_ID=754
HOSTNAME=labsrv01
SELINUX ROLE REOUESTED=
TFRM=screen
SHELL=/bin/bash
HISTSIZE=1000
SSH CLIENT=128.143.67.91 58432 22
SELINUX_USE_CURRENT_RANGE=
QTDIR=/usr/lib64/at-3.3
OLDPWD=/zf14/cr4bd
QTINC=/usr/lib64/qt-3.3/include
SSH_TTY=/dev/pts/0
OT GRAPHICSSYSTEM_CHECKED=1
USFR=cr4hd
LS COLORS=rs=0:di=01;34:ln=01;36:mh=00:pi=40;33:so=01;35:do=01;35:bd=40;33;01:cd=40;33;01:or
MODULE VERSION=3.2.10
```

PATH=/zf14/cr4bd/.cargo/bin:/zf14/cr4bd/bin:/usr/lib64/qt-3.3/bin:/usr/local/bin:/usr/bin:/u

# aside: environment variables (2)

```
environment variable library functions:
    getenv("KEY") \rightarrow value
    putenv("KEY=value") (sets KEY to value)
    setenv("KEY", "value") (sets KEY to value)
int execve(char *path, char **argv, char **envp)
    char *envp[] = { "KEY1=value1", "KEY2=value2", NULL };
    char *argv[] = { "somecommand", "some_arg", NULL };
    execve("/path/to/somecommand", argv, envp);
```

normal exec versions — keep same environment variables

## aside: environment variables (3)

interpretation up to programs, but common ones...

```
PATH=/bin:/usr/bin
to run a program 'foo', look for an executable in /bin/foo, then
/usr/bin/foo
```

```
HOME=/zf14/cr4bd current user's home directory is '/zf14/cr4bd'
```

```
TERM=screen-256color your output goes to a 'screen-256color'-style terminal
```

••

### multiple processes?

```
while (...) {
    pid = fork();
    if (pid == 0) {
        exec ...
    } else if (pid > 0) {
        pids.push back(pid);
/* retrieve exit statuses in order */
for (pid t pid : pids) {
    waitpid(pid, ...);
```

## waiting for all children

```
#include <sys/wait.h>
 while (true) {
    pid t child pid = waitpid(-1, &status, 0);
    if (child_pid == (pid_t) -1) {
      if (errno == ECHILD) {
        /* no child process to wait for */
        break;
      } else {
       /* some other error */
    /* handle child_pid exiting */
```

#### multiple processes?

```
while (...) {
    pid = fork();
    if (pid == 0) {
        exec ...
    } else if (pid > 0) {
        pids.push back(pid);
/* retrieve exit statuses as processes finish */
while ((pid = waitpid(-1, ...)) != -1) {
    handleProcessFinishing(pid);
```

## 'waiting' without waiting

```
#include <sys/wait.h>
...
pid_t return_value = waitpid(child_pid, &status, WNOHANG);
if (return_value == (pid_t) 0) {
    /* child process not done yet */
} else if (child_pid == (pid_t) -1) {
    /* error */
} else {
    /* handle child_pid exiting */
}
```

#### parent and child processes

every process (but process id 1) has a parent process
(getppid())

this is the process that can wait for it

creates tree of processes (Linux pstree command):

```
init(1)-+-ModemManager(919)-+-{ModemManager}(972)
                                                                           -mongod(1336)-+-(mongod)(1556)
                               - (ModenManager) (1864)
                                                                                         I-(mongod)(1557)
          -NetworkManager(1160)-+-dhclient(1755)
                                  I-dosmaso(1985)
                                   (NetworkManager)(1188)
                                   -{NetworkManager}(1194)
                                   (NetworkManager)(1195)
         -accounts-daemon(1649)-+-(accounts-daemon)(1757)
                                   -{accounts-daemon}(1758)
          -acpid(1338)
                                                                           -mosh-server(19898)---bash(19891)---tmux(5442)
          -apache2(3165)-+-apache2(4125)-+-(apache2)(4126)
                                                                           -mosh-server(21996)---bash(21997)
                                             {apache2}(4127)
                                                                           -mosh-server(22533)---bash(22534)---tmux(22588)
                           -apache2(28920)-+-{apache2}(28926)
                                                                           -nm-applet(2588)-+-{nm-applet}(2739
                                              -{apache2}(28960)
                                                                                             (ng.applet)(2743)
                           -apache2(28921)-+-{apache2}(28927)
                                                                           -nnbd(2224)
                                                                           -ntpd(3891)
                                              . [anache2](28963)
                                                                           -polkitd(1197)-+-(polkitd)(1239)
                           -apache2(28922)-+-{apache2}(28928)
                                                                                          -{polkitd}(1240
                                              - (anache2)(28961)
                                                                            -pulseaudio(2563)-+-{pulseaudio}(2617)
                           apache2(28923)-+-(apache2)(28936)
                                                                                             -{pulseaudio}(2623)
                                             -{apache2}(28962)
                                                                            puppet(2373) --- (puppet)(32455)
                           apache2(28925)-+-{apache2}(28958)
                                                                           -rpc.idmapd(875)
                                             -{apache23(28965)
                                                                           -rpc.statd(954)
                           -apache2(32165)-+-{apache2}(32166)
                                                                           -rpcbind(884)
                                              -{apache2}(32167)
                                                                           -rserver(1501)-+-(rserver)(1786)
                                                                                          -(rserver)(1787)
          -at-spi-bus-laun(2252)-+-dbus-daemon(2269)
                                                                            rsysload(1090)-+-{rsysload}(1092)
                                  |-{at-spi-bus-laun}(2266)
                                                                                           1-{rsysload}(1893)
                                    -{at-spi-bus-laun}(2268)
                                                                                            [reveload](1894)
                                    (at-spi-bus-laun)(2270)
                                                                           -rtkit-daenon(2565)-+-(rtkit-daenon)(2566
          -at-spi2-registr(2275)---{at-spi2-registr}(2282)
                                                                                               -{rtkit-daenon}(2567)
         -atd(1633)
                                                                           -sd cicero(2852)-+-sd cicero(2853)
         -automount(13454)-+-{automount}(13455)
                                                                                             {sd cicero}(2854)
                              - (automount)(13456)
                                                                                              [sd_c1ceco](2855)
                                                                           -sd dummy(2849)-+-(sd dummy)(2850)
                              (automount)(13461)
                              - (automount)(13464)
                                                                           -sd espeak(2749)-+-{sd espeak}(2845)
                              -{automount}(13465)
```

### parent and child questions...

```
what if parent process exits before child?
     child's parent process becomes process id 1 (typically called init)
what if parent process never waitpid()s (or equivalent) for child?
     child process stays around as a "zombie"
     can't reuse pid in case parent wants to use waitpid()
what if non-parent tries to waitpid() for child?
     waitpid fails
```

#### read'ing a fixed amount

```
ssize t offset = 0;
const ssize t amount to read = 1024;
char result[amount to read];
do {
    /* cast to void * optional in C */
    ssize t amount read =
        read(STDIN FILENO,
             (void *) (result + offset),
             amount to read - offset);
    if (amount read < 0) {</pre>
        perror("read"); /* print error message */
        ... /* abort??? */
    } else {
        offset += amount read;
```

#### partial reads

on regular file: read reads what you request

but otherwise: usually gives you what's known to be available after waiting for something to be available

#### partial reads

on regular file: read reads what you request

but otherwise: usually gives you what's known to be available after waiting for something to be available

reading from network — what's been received

reading from keyboard — what's been typed

# write example (with error checking)

```
const char *ptr = "Hello, World!\n";
ssize t remaining = 14;
while (remaining > 0) {
    /* cast to void * optional in C */
    ssize t amount written = write(STDOUT FILENO.
                                     ptr,
                                     remaining);
    if (amount_written < 0) {</pre>
        perror("write"); /* print error message */
        ... /* abort??? */
    } else {
        remaining -= amount written;
        ptr += amount_written;
```

#### partial writes

usually only happen on error or interruption

but can request "non-blocking" (interruption: via signal)

usually: write waits until it completes

= until remaining part fits in buffer in kernel does not mean data was sent on network, shown to user yet, etc.

program

operating system

keyboard

disk

program

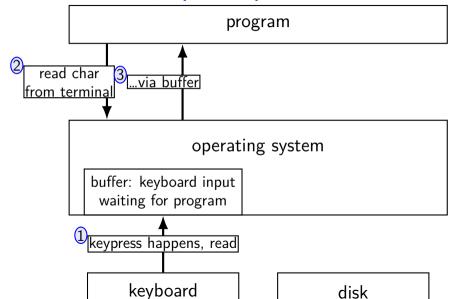
operating system

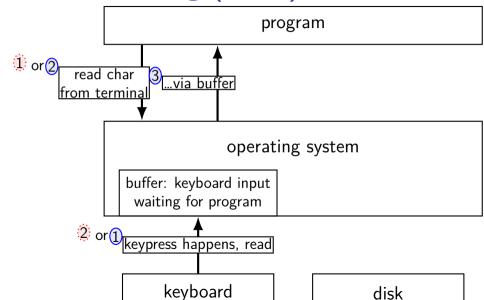
buffer: keyboard input
waiting for program

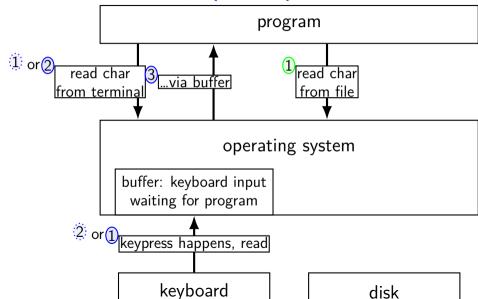
keypress happens, read

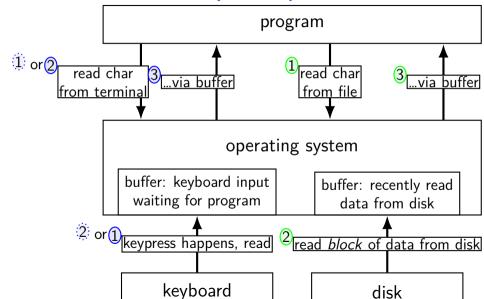
disk

keyboard







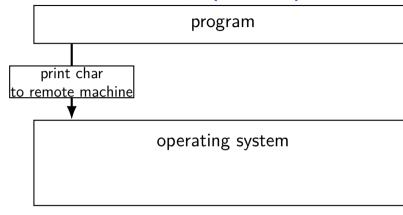


program

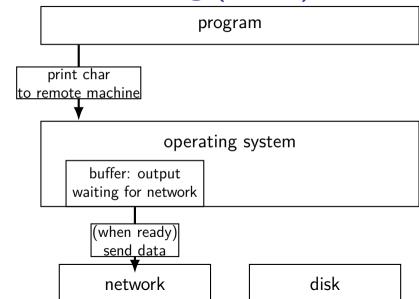
operating system

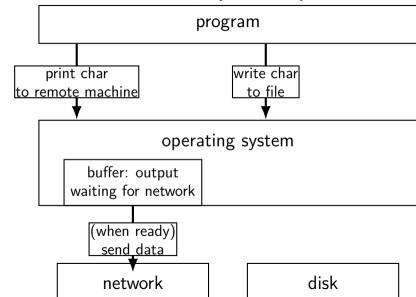
network

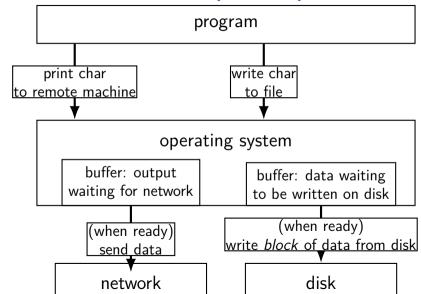
disk



network disk







#### read/write operations

```
read()/write(): move data into/out of buffer
possibly wait if buffer is empty (read)/full (write)
```

actual I/O operations — wait for device to be ready trigger process to stop waiting if needed

#### filesystem abstraction

```
regular files — named collection of bytes also: size, modification time, owner, access control info, ...
```

directories — folders containing files and directories
hierarchical naming: /net/zf14/cr4bd/fall2018/cs4414
mostly contains regular files or directories

#### open

```
int open(const char *path, int flags);
int open(const char *path, int flags, int mode);
int read fd = open("dir/file1", O RDONLY);
int write_fd = open("/other/file2",
        O_WRONLY | O_CREAT | O_TRUNC, 0666);
int rdwr fd = open("file3", O RDWR);
```

#### open

```
int open(const char *path, int flags, int mode);
path = filename
e.g. "/foo/bar/file.txt"
    file.txt in
    directory bar in
    directory foo in
    "the root directory"
e.g. "quux/other.txt
    other txt in
    directory quux in
    "the current working directory" (set with chdir())
```

int open(const char \*path, int flags);

#### open: file descriptors

```
int open(const char *path, int flags);
int open(const char *path, int flags, int mode);
return value = file descriptor (or -1 on error)
index into table of open file descriptions for each process
used by system calls that deal with open files
```

## **POSIX:** everything is a file

```
the file: one interface for
devices (terminals, printers, ...)
regular files on disk
networking (sockets)
local interprocess communication (pipes, sockets)
```

basic operations: open(), read(), write(), close()

#### exercise

D. A and B

```
int pipe_fds[2]; pipe(pipe_fds);
pid_t p = fork();
if (p == 0) {
  close(pipe_fds[0]);
  for (int i = 0; i < 10; ++i) {
    char c = '0' + i;
   write(pipe fds[1], &c, 1);
  exit(0):
close(pipe fds[1]);
char buffer[10];
ssize t count = read(pipe fds[0], buffer, 10);
for (int i = 0; i < count; ++i) {
  printf("%c", buffer[i]);
Which of these are possible outputs (if pipe, read, write, fork don't fail)?
 A. 0123456789 B. 0
                                   C. (nothing)
```

E. A and C F. A. B. and C

#### exercise

```
int pipe_fds[2]; pipe(pipe_fds);
pid_t p = fork();
if (p == 0) {
  close(pipe_fds[0]);
  for (int i = 0; i < 10; ++i) {
    char c = '0' + i;
   write(pipe fds[1], &c, 1);
  exit(0):
close(pipe fds[1]);
char buffer[10];
ssize t count = read(pipe fds[0], buffer, 10);
for (int i = 0; i < count; ++i) {
  printf("%c", buffer[i]);
Which of these are possible outputs (if pipe, read, write, fork don't fail)?
 A. 0123456789 B. 0
                                   C. (nothing)
```

E. A and C F. A. B. and C

D. A and B

#### empirical evidence

#### partial reads

read returning 0 always means end-of-file by default, read always waits *if no input available yet* but can set read to return *error* instead of waiting

read can return less than requested if not available e.g. child hasn't gotten far enough

### pipe: closing?

if all write ends of pipe are closed can get end-of-file (read() returning 0) on read end exit()ing closes them

 $\rightarrow$  close write end when not using

generally: limited number of file descriptors per process

→ good habit to close file descriptors not being used (but probably didn't matter for read end of pipes in example)

#### dup2 exercise

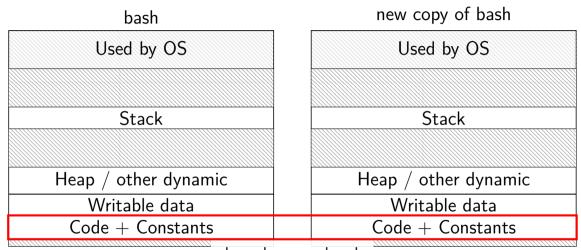
C. stdout: A; output.txt: CB

```
recall: dup2(old_fd, new_fd)
int fd = open("output.txt", O WRONLY | O CREAT, 0666);
write(STDOUT FILENO, "A", 1);
dup2(fd, STDOUT_FILENO);
pid t pid = fork();
if (pid == 0) { /* child: */
    dup2(STDOUT FILENO, fd); write(fd, "B", 1);
} else {
    write(STDOUT FILENO, "C", 1);
Which outputs are possible?
A. stdout: ABC; output.txt: empty
                             D. stdout: A ; output.txt: BC
 B. stdout: AC; output.txt: B
                             E. more?
```

# do we really need a complete copy?

bash	new copy of bash
Used by OS	Used by OS
Stack	Stack
Heap / other dynamic	Heap / other dynamic
Writable data	Writable data
Code + Constants	Code + Constants

### do we really need a complete copy?



shared as read-only

### do we really need a complete copy?

bash	new copy of bash			
Used by OS	Used by OS			
Stack	Stack			
Heap $/$ other dynamic	Heap / other dynamic			
Writable data	Writable data			
Code + Constants can't be shared? Code + Constants				

#### trick for extra sharing

```
sharing writeable data is fine — until either process modifies it example: default value of global variables might typically not change (or OS might have preloaded executable's data anyways)
```

can we detect modifications?

#### trick for extra sharing

sharing writeable data is fine — until either process modifies it example: default value of global variables might typically not change (or OS might have preloaded executable's data anyways)

can we detect modifications?

trick: tell CPU (via page table) shared part is read-only processor will trigger a fault when it's written

**VPN** 

0x00601 0x00602

0x00603

0x00604 0x00605 •••

valid? write?

		page
•••	•••	•••
1		0x12345
1		0x12347
1	1	0x12340
1	1	0x200DF
1	1	0x200AF
•••	•••	•••

VPN ... 0x00601 0x00602 0x00603 0x00604 0x00605

valid?	write?	physical page

		10-
•••	•••	•••
1	0	0x12345
1	0	0x12347
1	0	0x12340
1	0	0x200DF
1	0	0x200AF
•••	•••	•••

VPN

... 0x00601 0x00602 0x00603 0x00604 0x00605

valid? write? physical page

֡

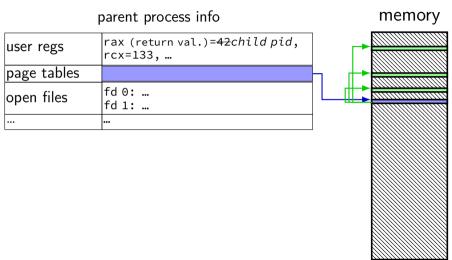
copy operation actually duplicates page table both processes share all physical pages but marks pages in both copies as read-only

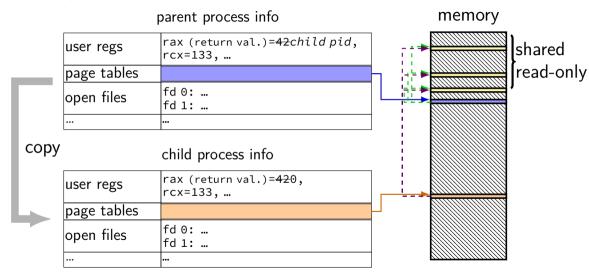
VPN	physica		physical	VPN	valid? write? page		
			page				page
•••	•••	•••	•••	•••	•••	•••	•••
0x00601	1	0	0x12345	0x00601	1	0	0x12345
0x00602	1	0	0x12347	0x00602	1	0	0x12347
0x00603	1	0	0x12340	0x00603	1	0	0x12340
0x00604	1	0	0x200DF	<u>0x00604</u>	1	0	0x200DF
0x00605	1	0	0x200AF	0x00605	1	0	0x200AF
•••	•••	•••	•••	•••	•••	•••	•••

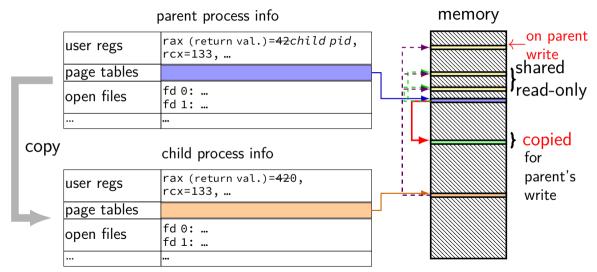
when either process tries to write read-only page triggers a fault — OS actually copies the page

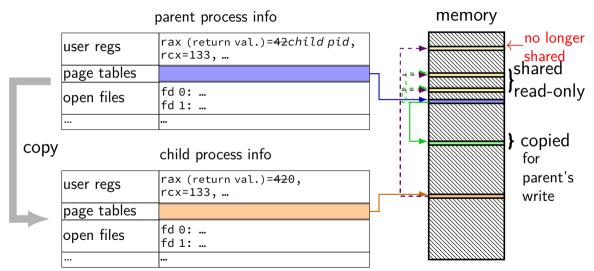
VPN	valid? write? page			VPN	valid? write? page		
VIIN				VIIN			
•••	•••	•••	•••	•••	•••	•••	•••
0x00601	1	0	0x12345	0x00601	1	0	0x12345
0x00602	1	0	0x12347	0×00602	1	0	0x12347
0x00603	1	0	0x12340	0×00603	1	0	0x12340
0x00604	1	0	0x200DF	<u>0x00604</u>	1	0	0x200DF
0x00605	1	0	0x200AF	0x00605	1	1	0x300FD
•••	•••	•••	•••	•••	•••	•••	•••

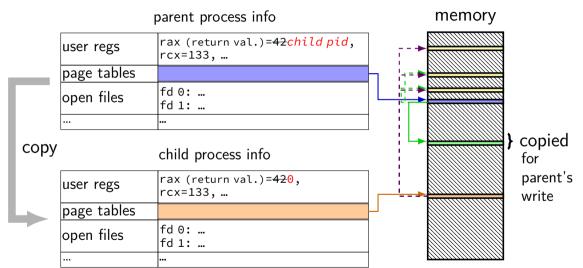
after allocating a copy, OS reruns the write instruction











### fork and process info (w/o copy-on-write)

