Generalization in Reinforcement Learning

Charley Wu
Human and Machine Cognition Lab
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Brains control behavior

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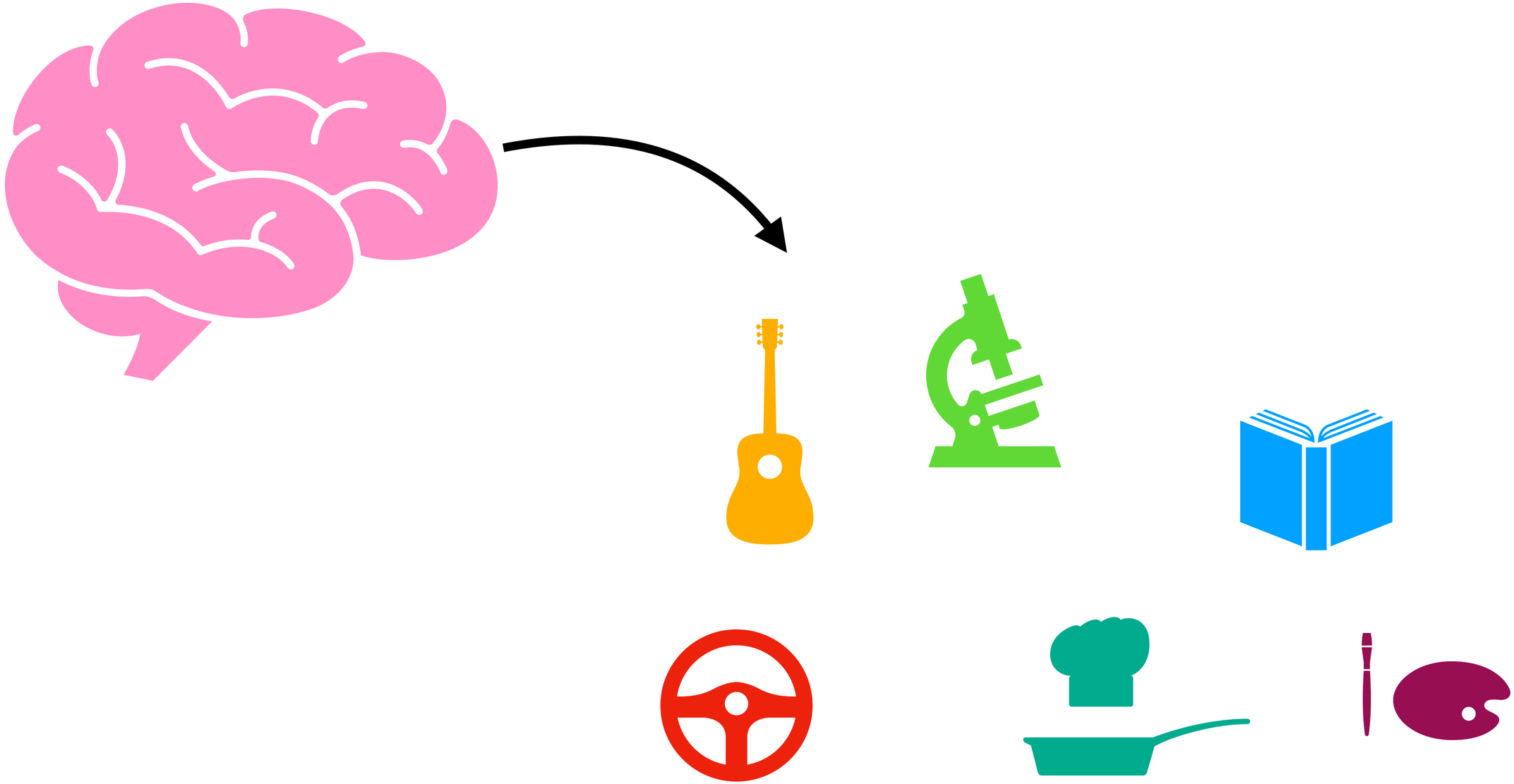
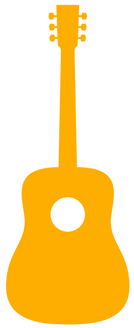


Brains control behavior

Learning
through
experience



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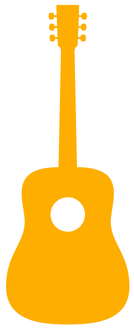
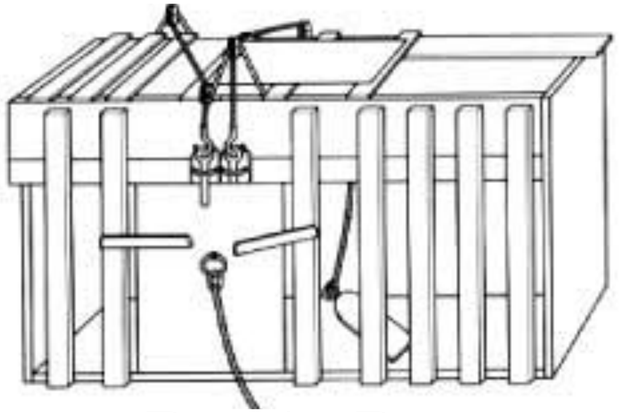


But how?

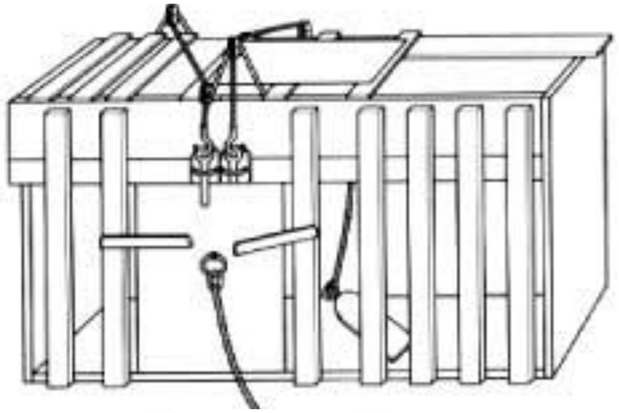
Thorndike’s (1898) Law of Effect



Puzzle Box

Thorndike’s (1898) Law of Effect

Cat



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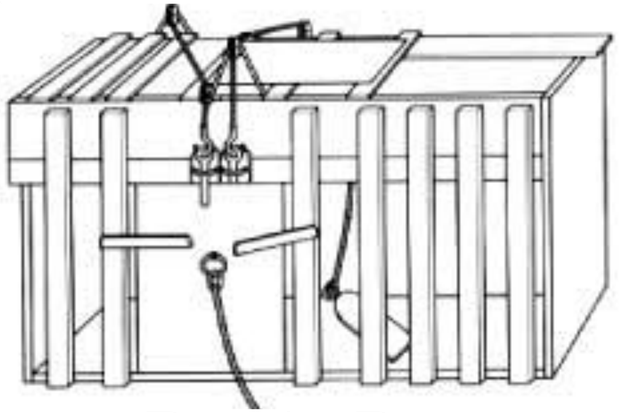

Puzzle Box

Time to escape

Thorndike's (1898) Law of Effect

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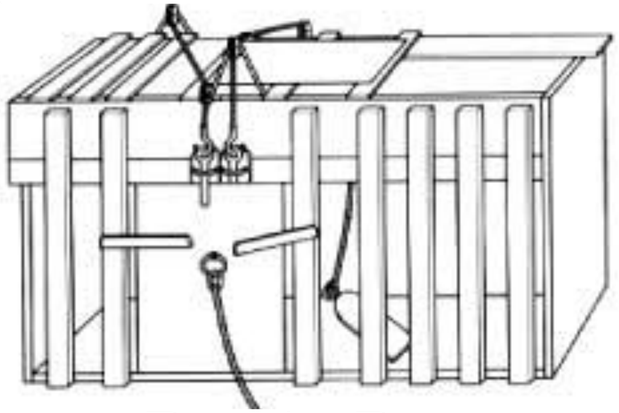

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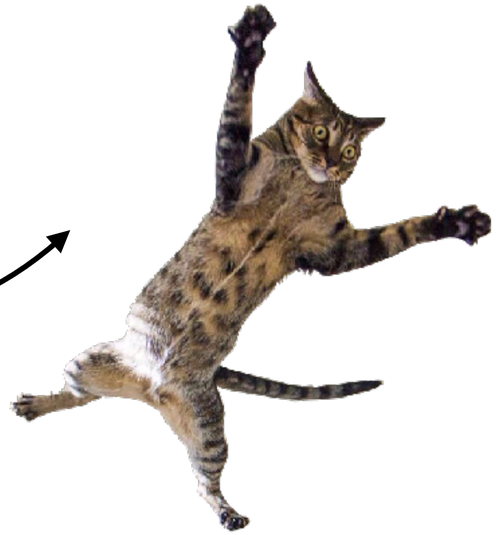

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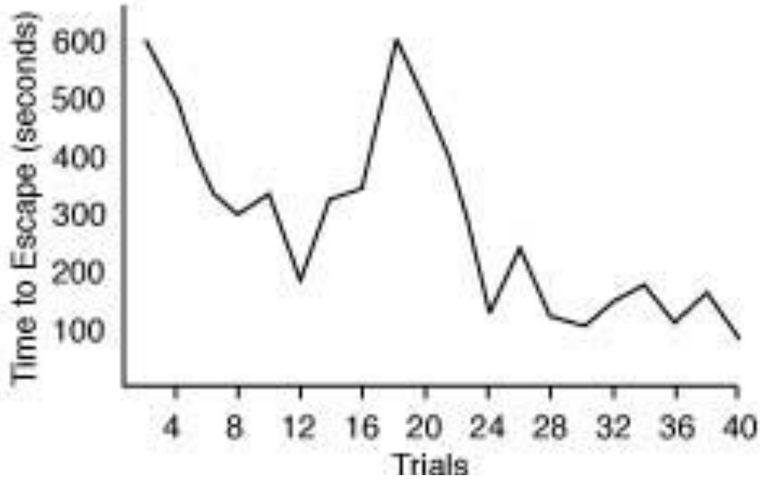
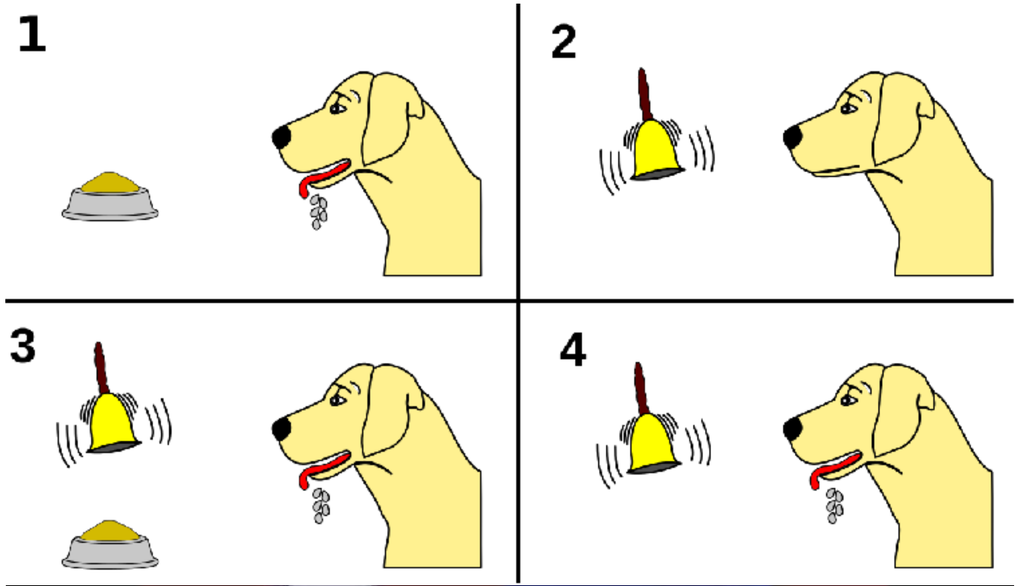


Actions associated with satisfaction are strengthened, while those associated with discomfort become weakened.

Classical and Operant Conditioning

Classical Condition (Pavlov, 1927)

Learning as the *passive* coupling of stimulus (bell ringing) and response (salivation), anticipating future rewards



Operant Condition (Skinner, 1938)

Skinner (1938): Learning as the *active* shaping of behavior in response to rewards or punishments

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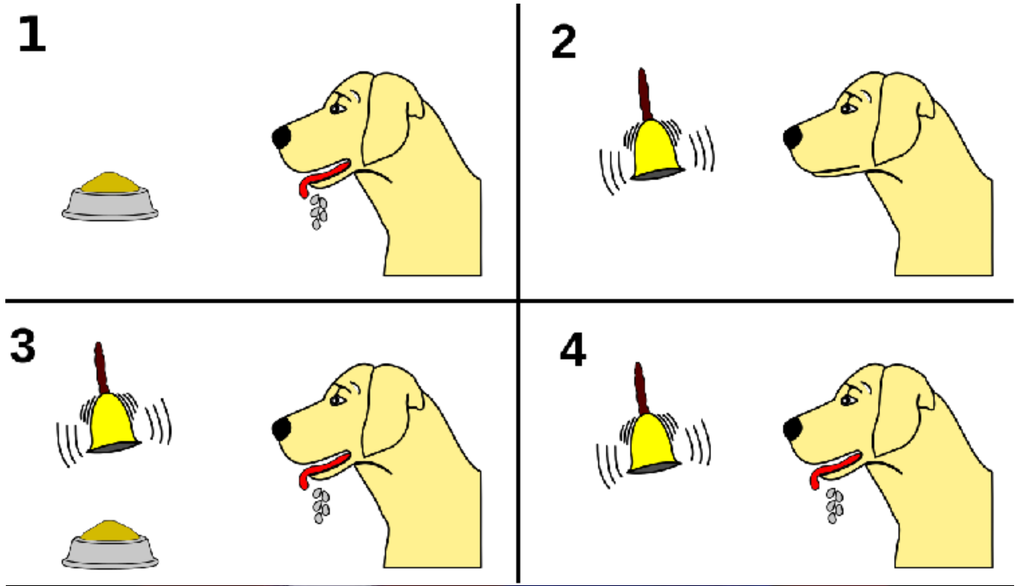

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Reinforcement Learning

The Environment:

- governs the transition between states
- provides rewards

The Agent:

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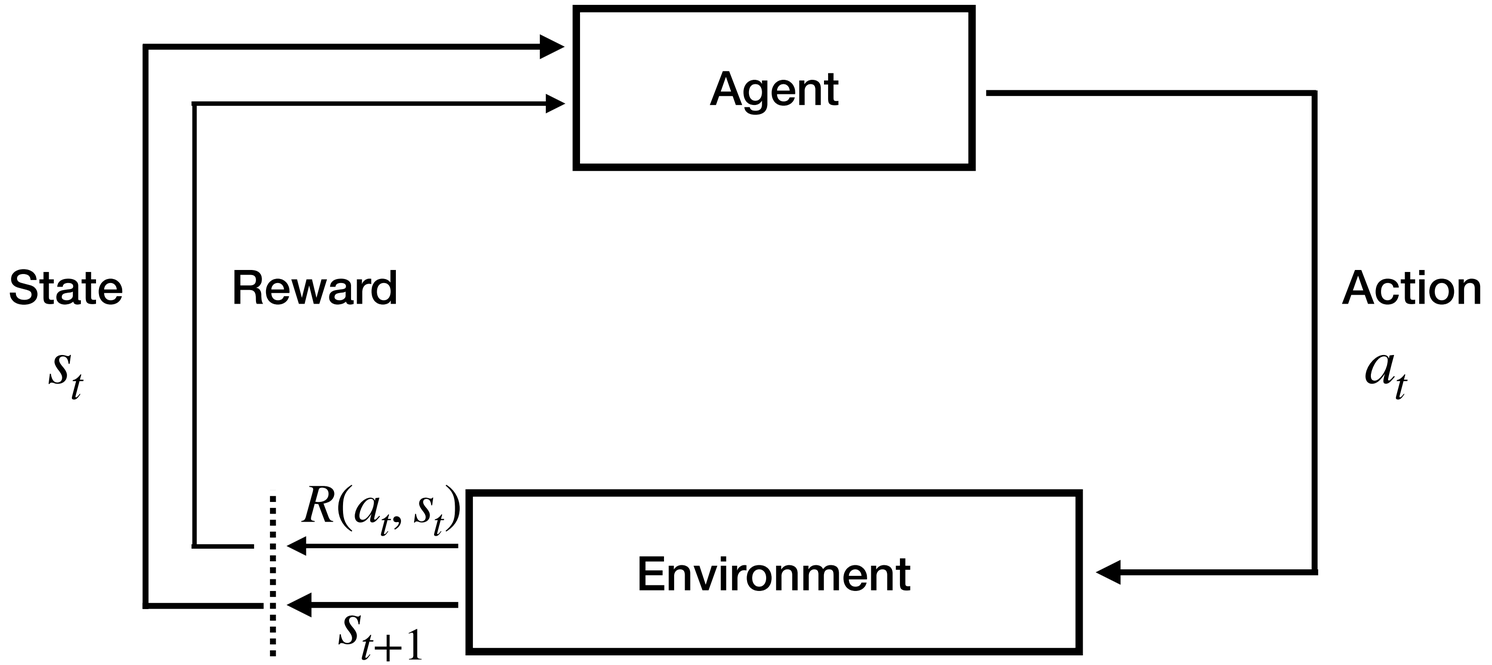
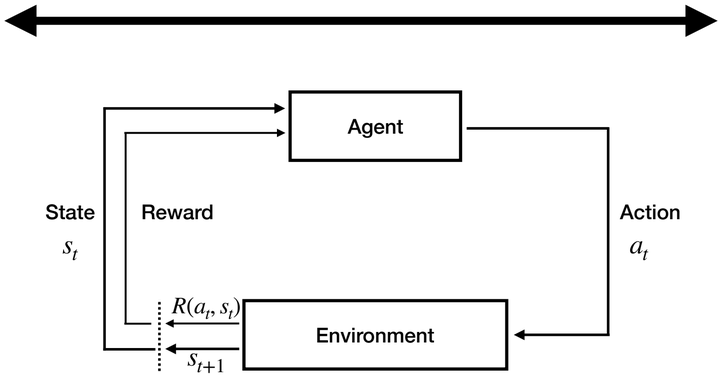
- Implements a policy, selecting actions based on their value



Sutton and Barto (2018 [1998])

Neuroscience

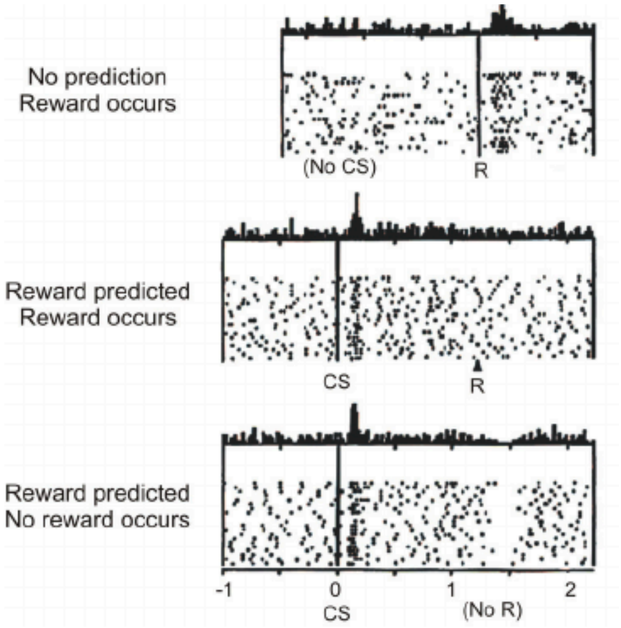
Reinforcement Learning



AI and Machine Learning

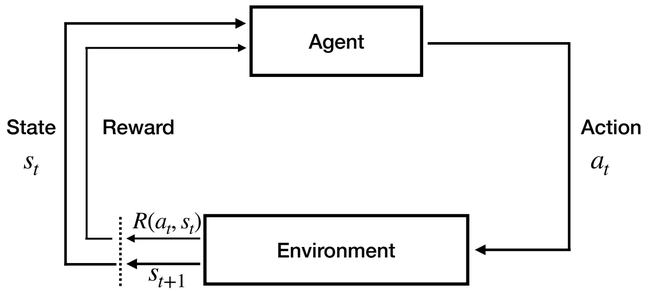
Neuroscience

Dopamine Reward Prediction Error



Schultz et al. (1997)

Reinforcement Learning

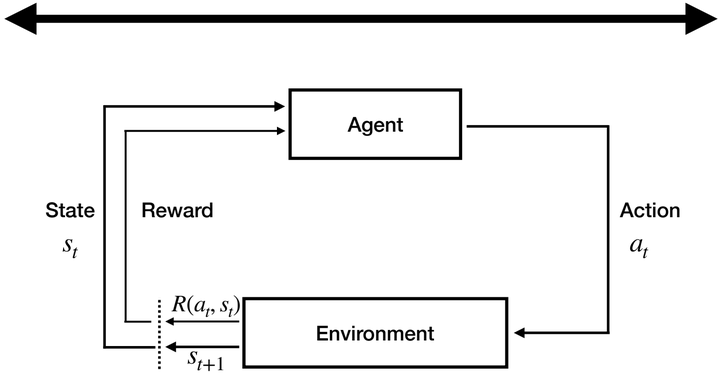


Temporal Difference Learning

AI and Machine Learning

Neuroscience

Reinforcement Learning

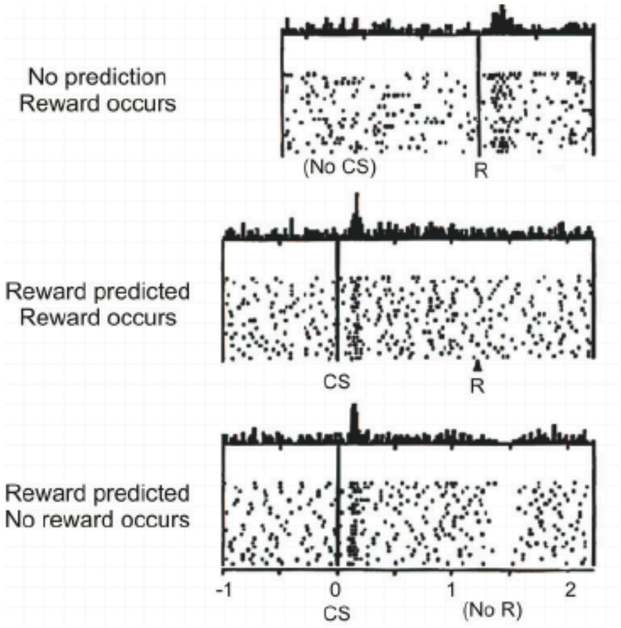


Temporal Difference Learning

Monte Carlo Tree Search

AI and Machine Learning

Dopamine Reward Prediction Error



Schultz et al. (1997)

AlphaGo

Silver et al. (2016)

Outline

Part 1. Overview of Reinforcement Learning

- Value functions and policies
- Tabular methods vs. value-function approximation
- Multi-armed Bandit problem
- Models of human learners

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~~~~~~~~~~~~~ Break ~~~~~~~~~~~~~

Part 2. Generalization guided learning

- Search in vast spaces (Wu et al., *NHB* 2018)
- Learning like a child (Schulz et al., *PsychSci* 2019; Meder et al., *DevSci* 2021; Giron et al., *in prep*)
- Connecting spatial and conceptual search (Wu et al., *PLoS CompBio* 2020)
- Graph-structured generalization (Wu et al., *CBB* 2020)
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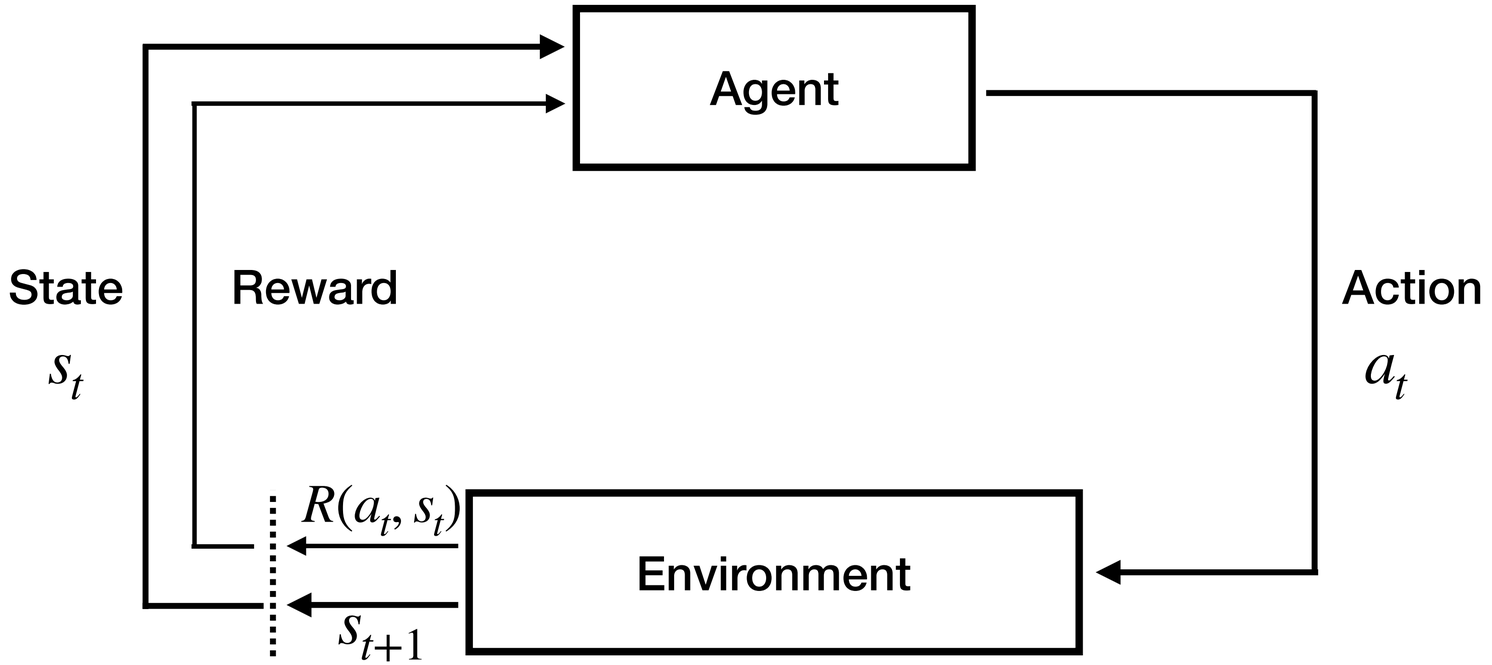

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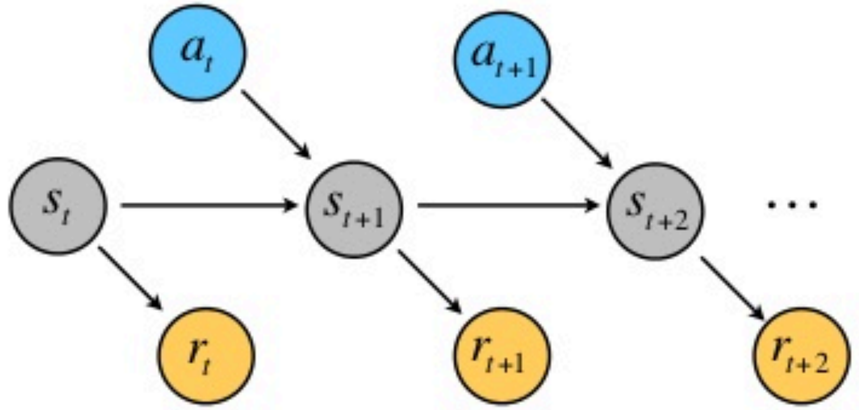

Markov Decision Process (MDP)

- Simplifying assumption that the system is fully defined by only the previous state (i.e., Markov Principle): $P(s_{t+1} \mid s_t, a_t)$

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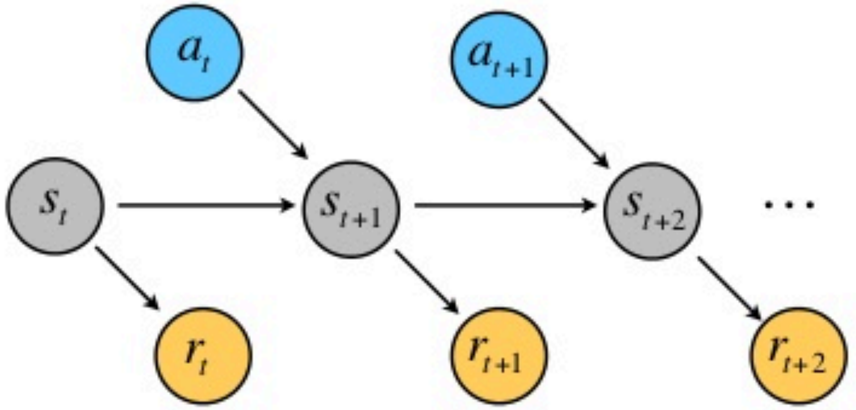

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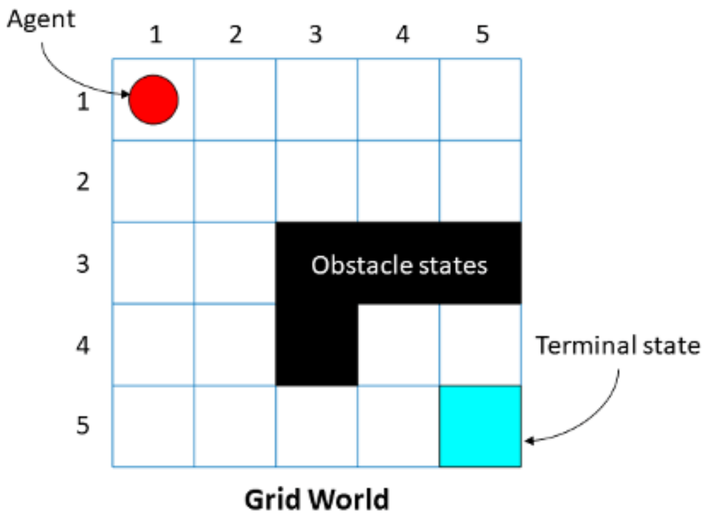
What are the states?

- Discrete locations, pixels on a screen, a set of feature values, etc…



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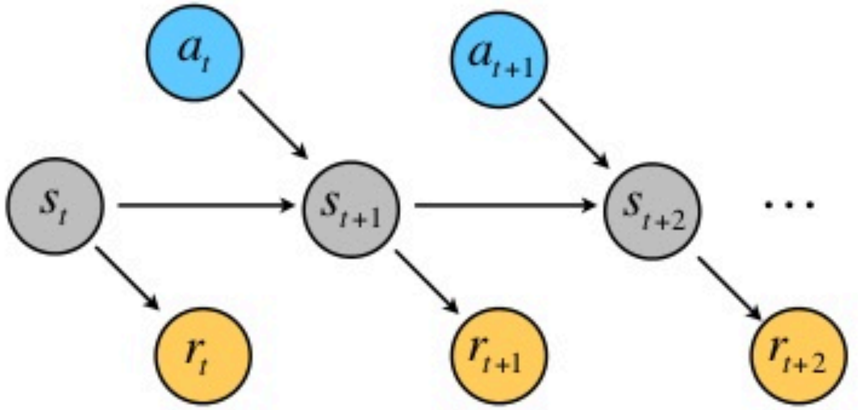

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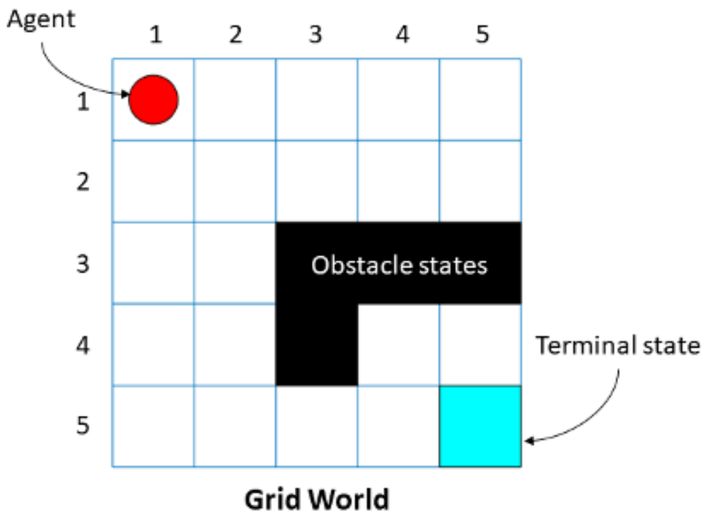

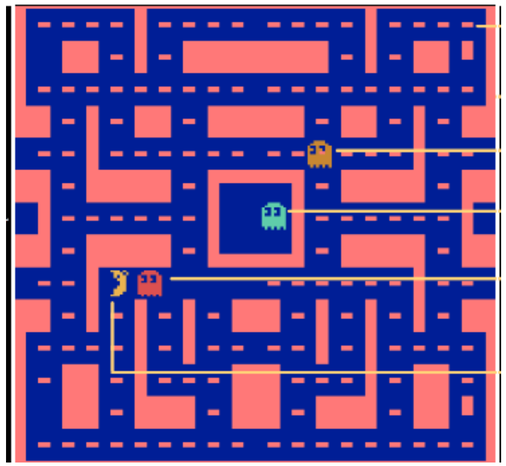

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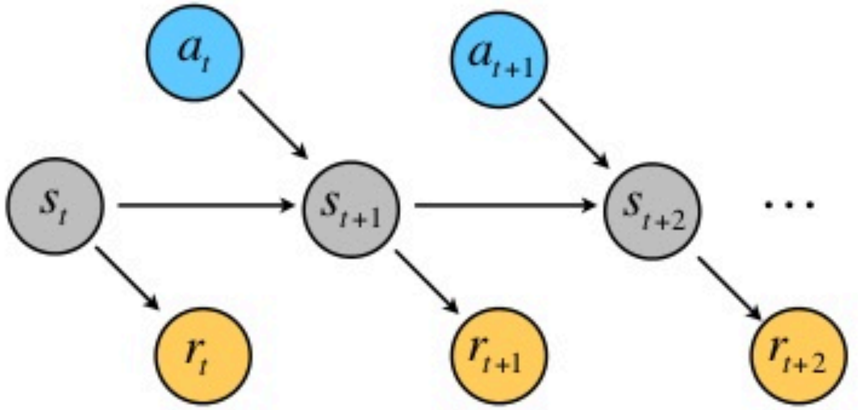

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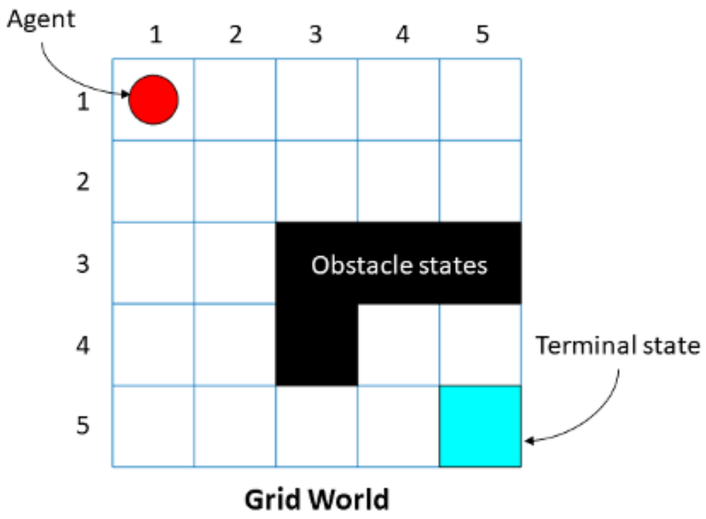

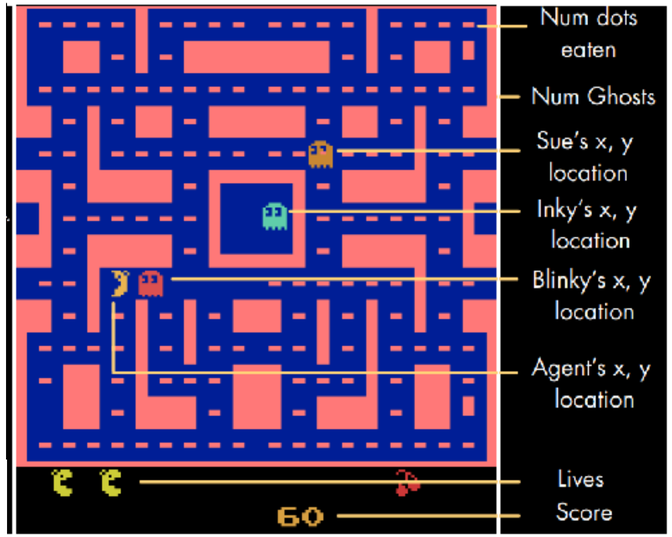

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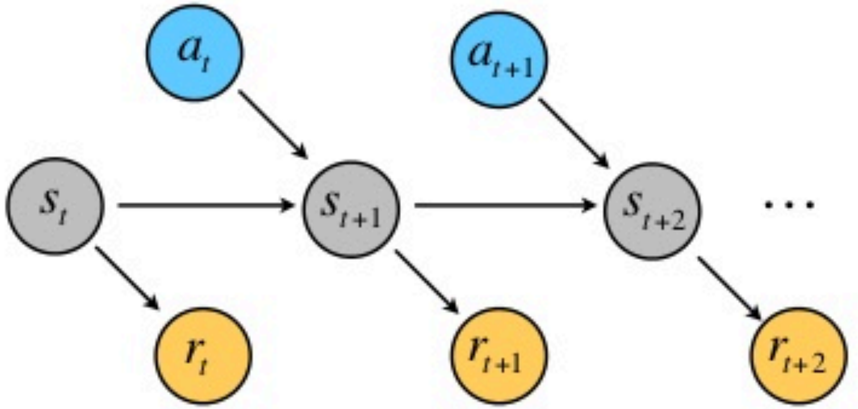

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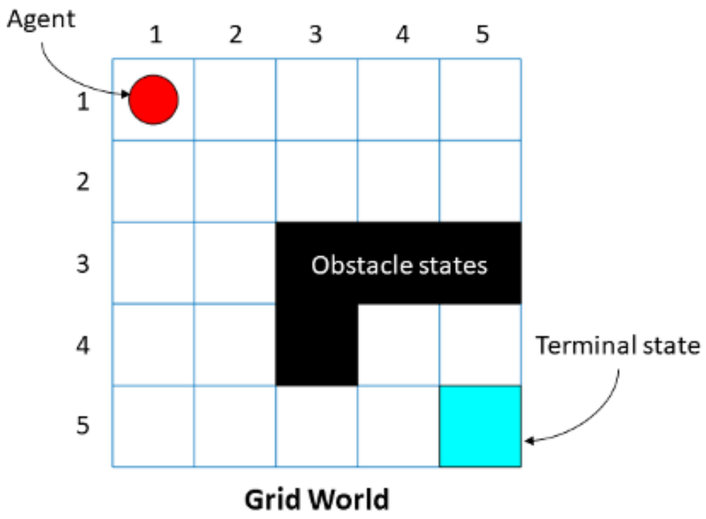

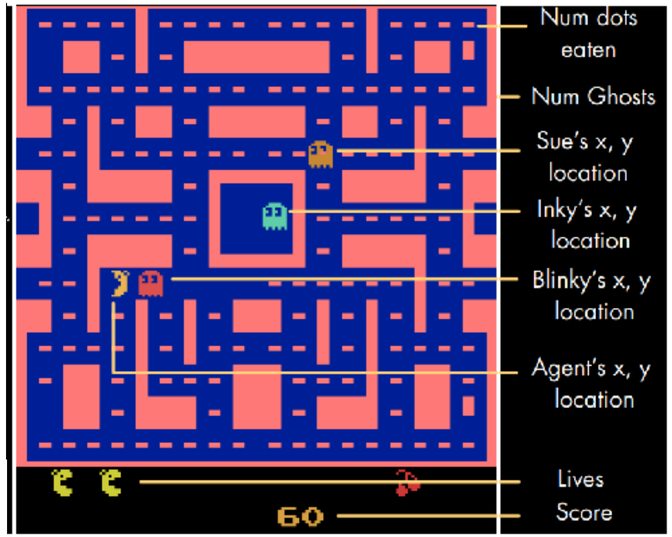

Partially Observable MDP (POMDP)


Agent

- **Experiences Rewards**

- **Learns a Policy**

Agent

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  - How good is a given state? $r_t = R(s_t)$

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  - How good is a *trajectory* $\tau = (s_0, a_0, s_1, a_1, \ldots)$:

    $R(\tau) = \sum_{t=0}^{\infty} \gamma^k r_t$ where $\gamma \in [0,1]$ is the temporal discount

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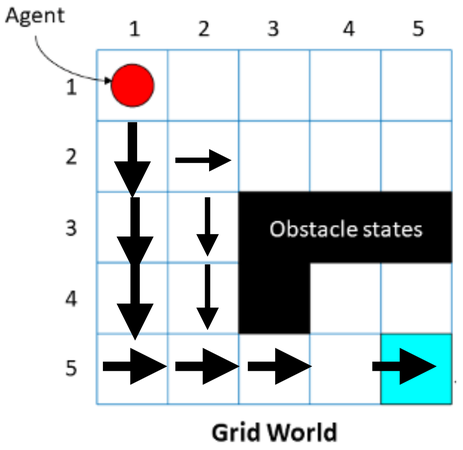
- **Learns a Policy**
  - π defines how to act, where $\pi(a \mid s)$ is the probability of selecting action a in state s
  - sample trajectories from the policy $\tau \sim \pi$



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Select a policy π^* that maximizes expected rewards

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- The expected discounted returns under a policy:

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$$V_\pi(s) = \sum_a \pi(a \,|\, s) \sum_{s'} P(s' \,|\, s, a) \left[R(s', a) + \gamma V_\pi(s') \right]$$

  - The expectation over a policy is equivalent to summing up all actions and their new state transitions, weighted by their probability
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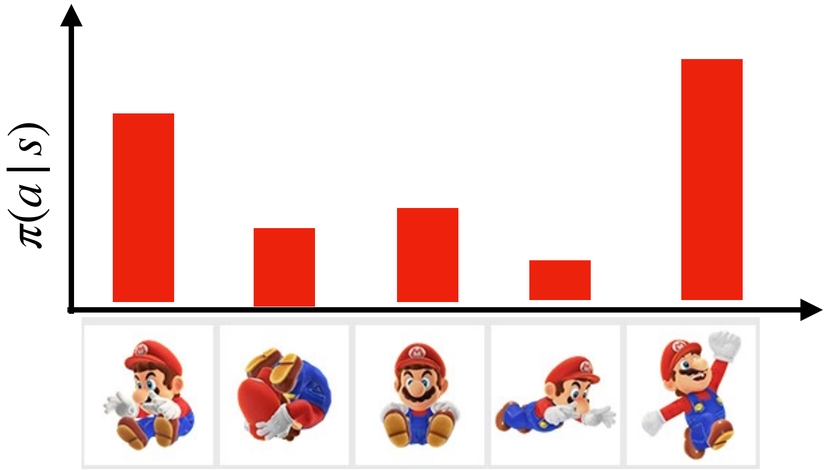

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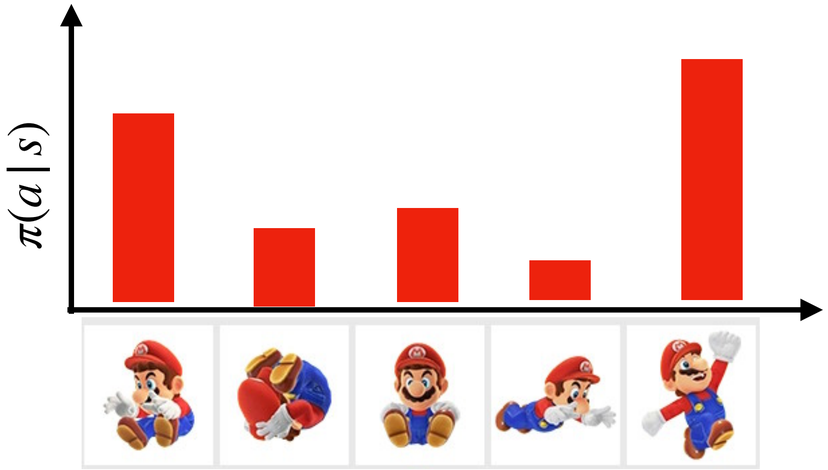

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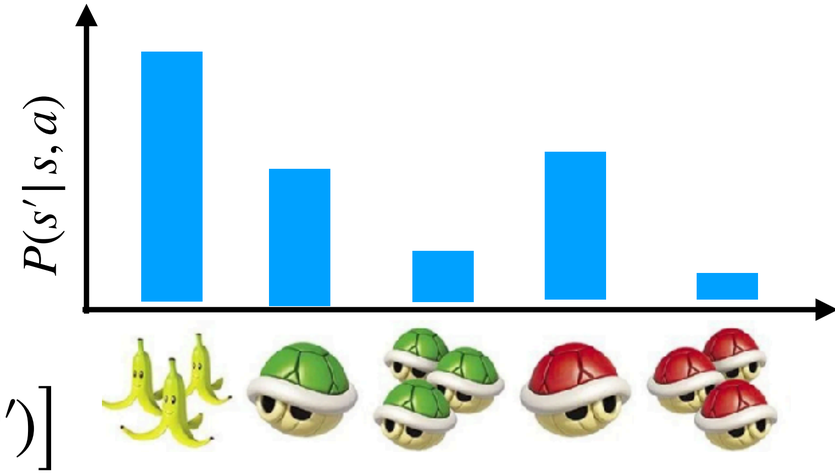

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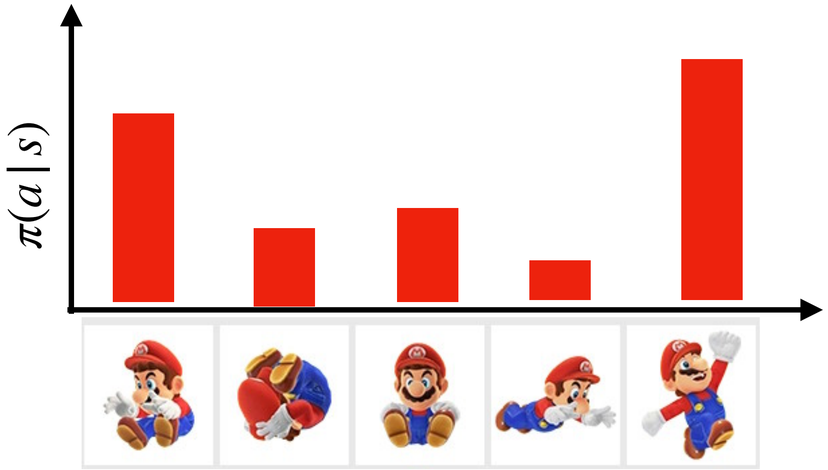

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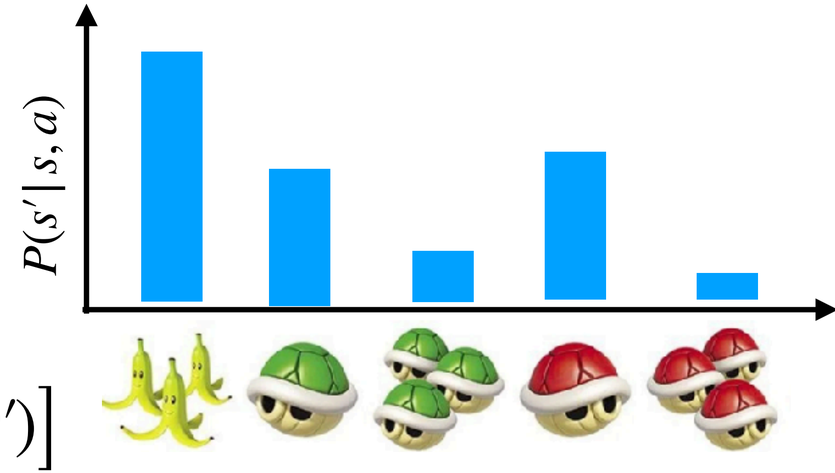

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Finding an optimal policy via Bellman Equations

- Bellman equations are a concept from dynamic programming that provide a recursive method for optimization:

$$V_\pi(s) = \sum_a \pi(a \mid s) \sum_{s'} P(s' \mid s, a) \left[R(s', a) + \gamma V_\pi(s') \right]$$

- Theoretically, we can define an optimal value function:

$$V_*(s) = \max_a \sum_{s'} P(s' \mid s, a) \left[R(s, a) + \gamma V_*(s') \right]$$

- Optimal policy:

$$\pi_* = \arg\max_a V_*(s)$$

Finding an optimal policy via Bellman Equations

- Bellman equations are a concept from dynamic programming that provide a recursive method for optimization:

$$V_\pi(s) = \sum_a \pi(a \mid s) \sum_{s'} P(s' \mid s, a) \Big[\underbrace{R(s', a) + \gamma V_\pi(s')}_{\text{recursive}} \Big]$$

- Theoretically, we can define an optimal value function:

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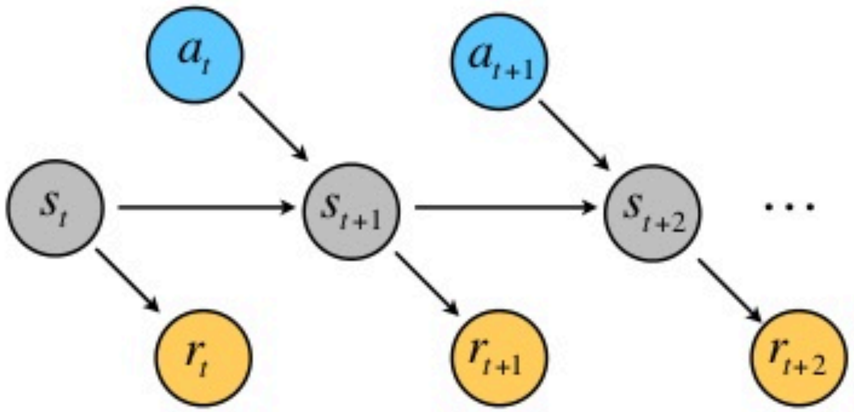

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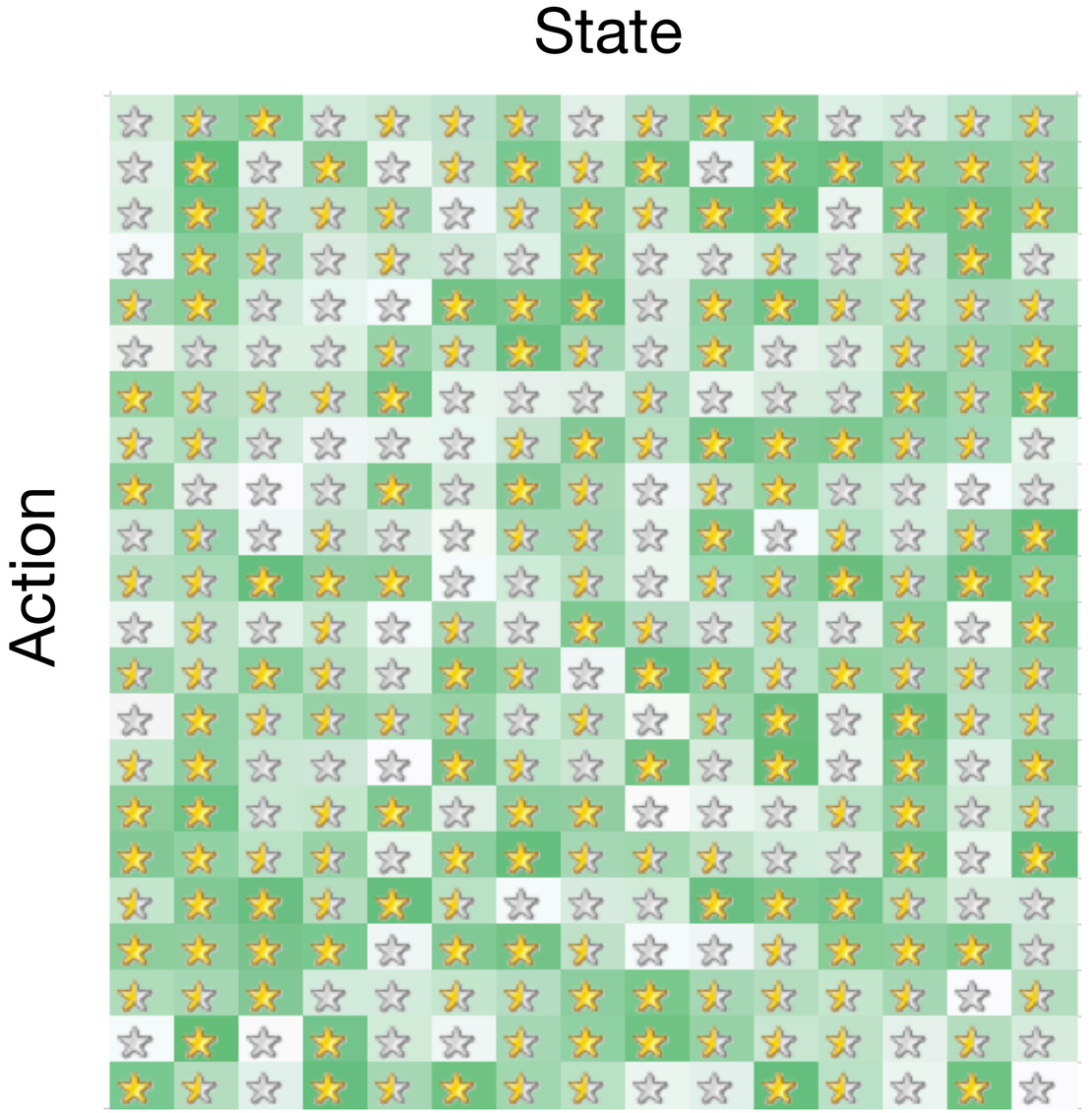
Tabular methods

- Based on methods from Dynamic programming (Bellman, 1957), Tabular methods were first proposed as solutions for RL problems by Minsky (1961)
- Think of a giant lookup table, where we store a value representation
- Value iteration and policy iteration are examples
- Caveat: solutions require repeat visits to each state, which is infeasible in most real-world problems



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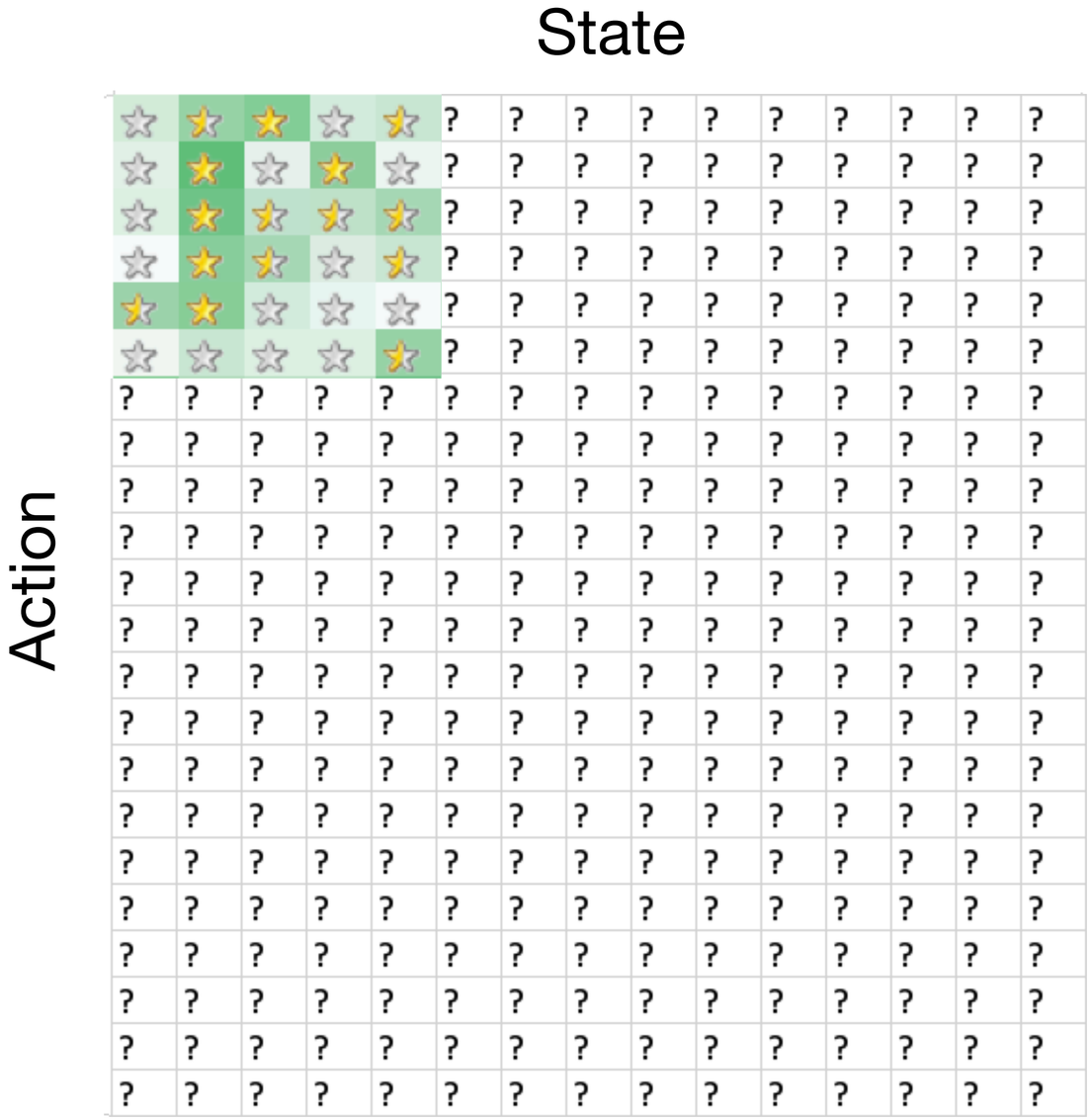

Value iteration

Iteratively visit all states and update the value function until a “good enough” solution has been reached.

1. Initialize the value function as $V_0(s) = 0$ for all states

2. For all s in $\mathcal{S}$:

$$V_{k+1}(s) = \max_{a \in A} \sum_{s'} P(s' \mid s, a)[R(s, a) + \gamma V_k(s')]$$

until $\max_{s \in \mathcal{S}} | V_k(s) - V_{k-1}(s) | < \theta$ Bellman residual

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V_k converges on V_* as $k \to \infty$, and perhaps sooner, but with many costly sweeps through the state space

Policy Iteration

Alternate between evaluating a policy and then improving the policy.

Start with π_0 (typically a random policy), and then iterate for all $s \in \mathcal{S}$ in each step

- **Policy Evaluation**

$$V_{\pi_k}(s) = \mathbb{E}_{\pi_k}\left[R(s', a) + \gamma V_{\pi_k}(s')\right]$$

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Policy can converge faster than value function, but still requires visiting all states $2n$ times and lacks convergence guarantees


Bill Murray
He's having the day of his life...
over and over again.
Groundhog Day

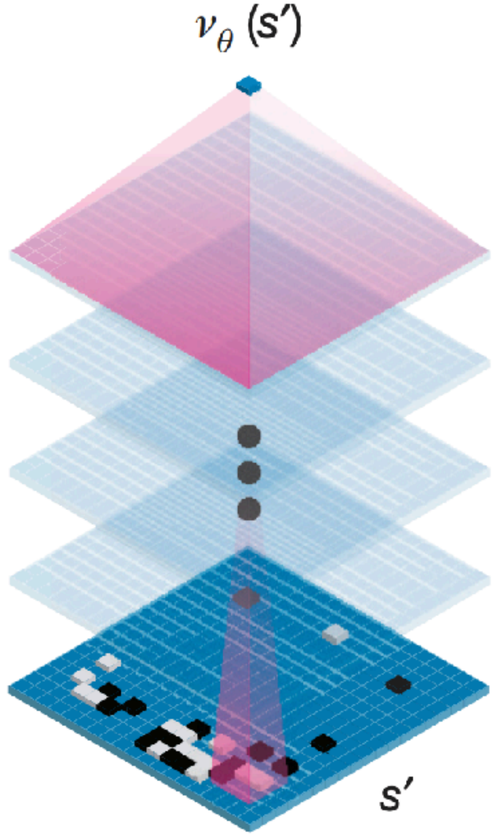
Value function approximation

Instead of learning the value for each state independently, learn a value function mapping each state to some value:

$$f : s \in \mathcal{S} \rightarrow V_\theta(s)$$

… a variety of methods are available including:

- linear function approximation (e.g,. regression)
- Neural networks
- Gaussian process regression (non-parametric)



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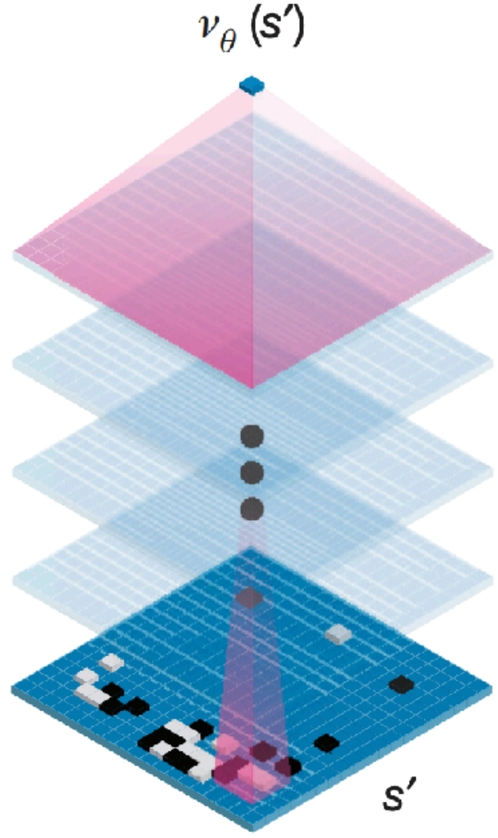
$$s' \longrightarrow \boxed{\text{Function Approximator}} \longrightarrow V_\theta(s')$$



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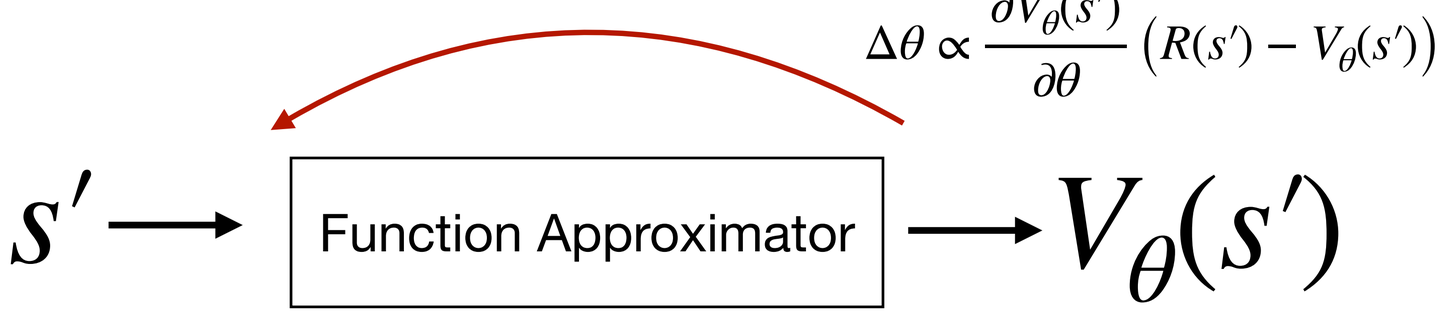
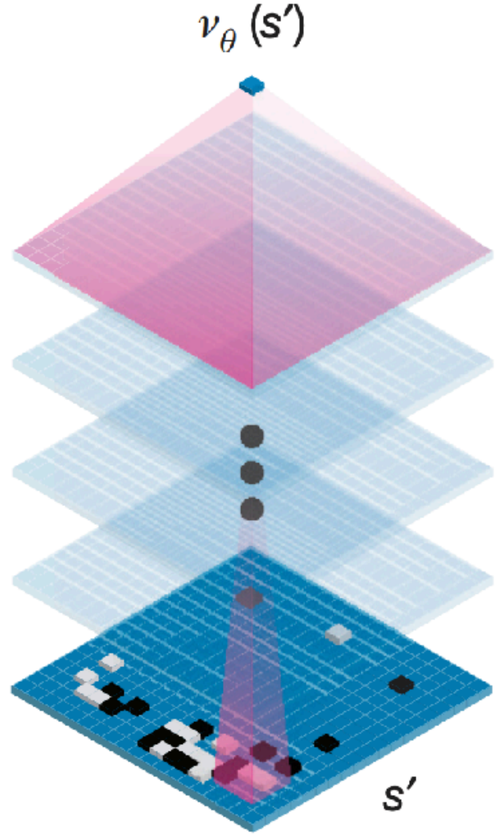


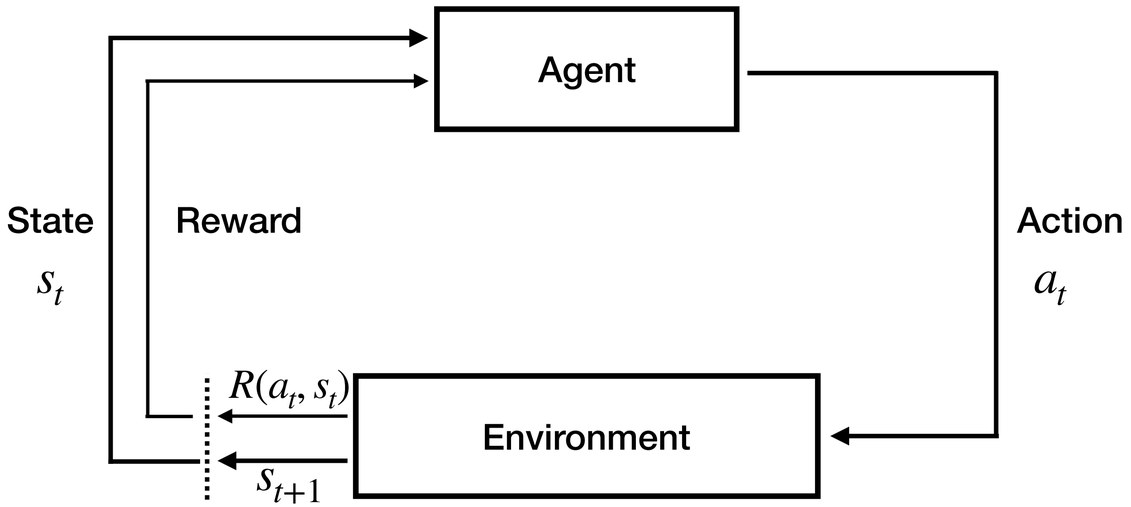
Value network

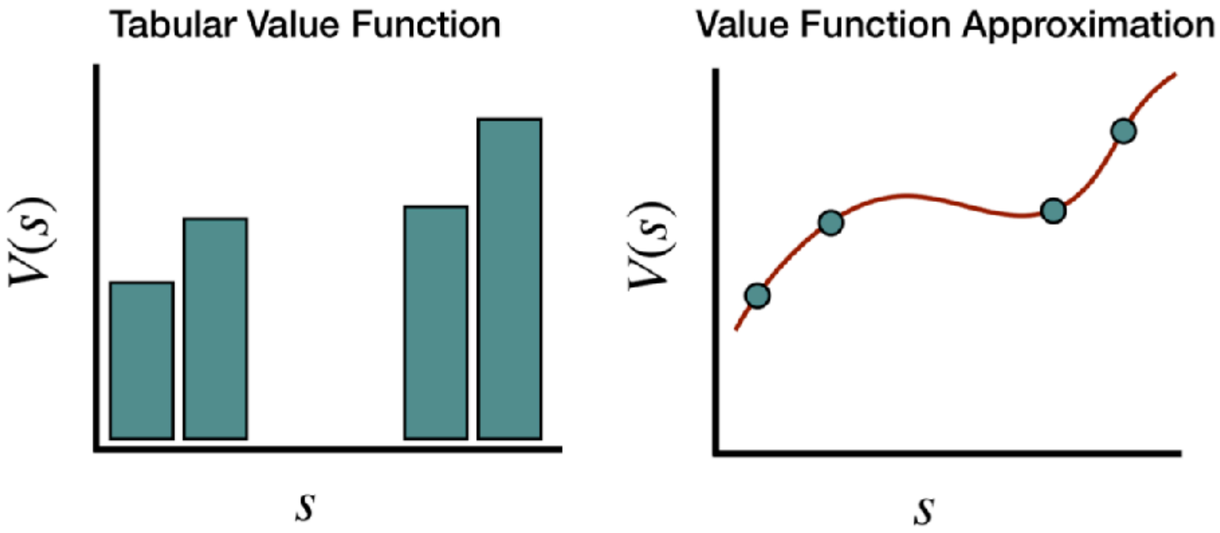


Silver et al. (2016)

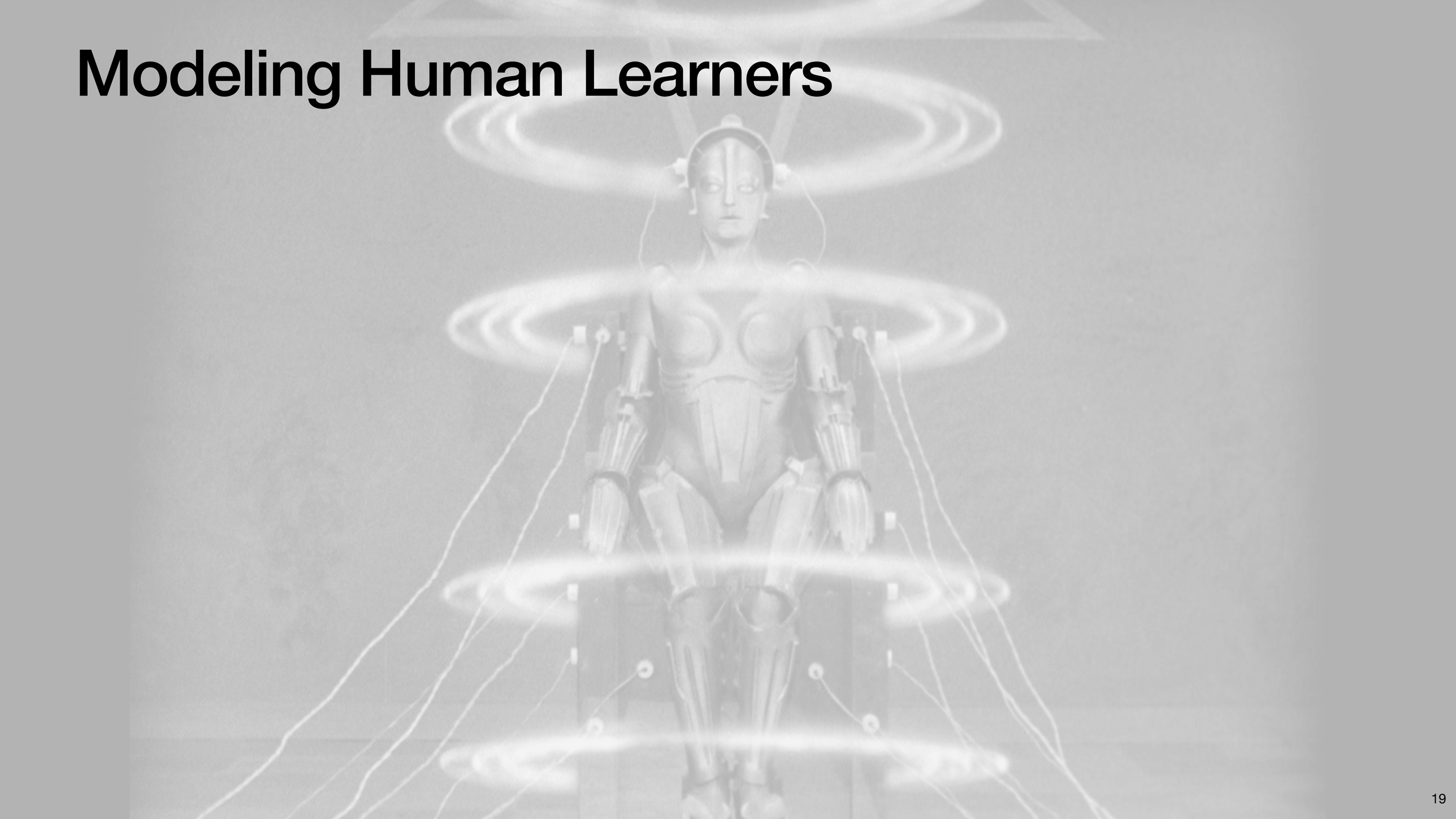
Quick recap

- RL framework defines interactions between an **agent** and the **environment**
  - The environment defines the transitions between states and provides rewards
  - The agent learns a value function and then turns this into a policy
- Traditional solutions to RL problems can be broadly classified into either **tabular methods** or **value function approximation**





Modeling Human Learners



Multi-armed bandit

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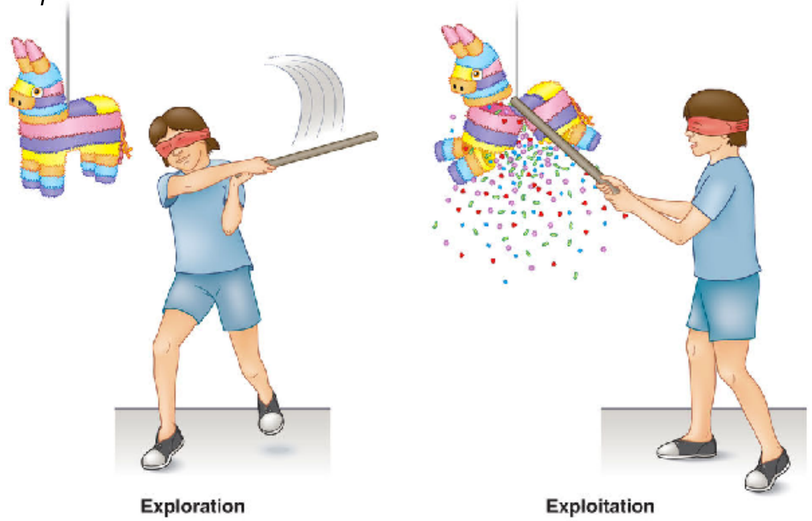

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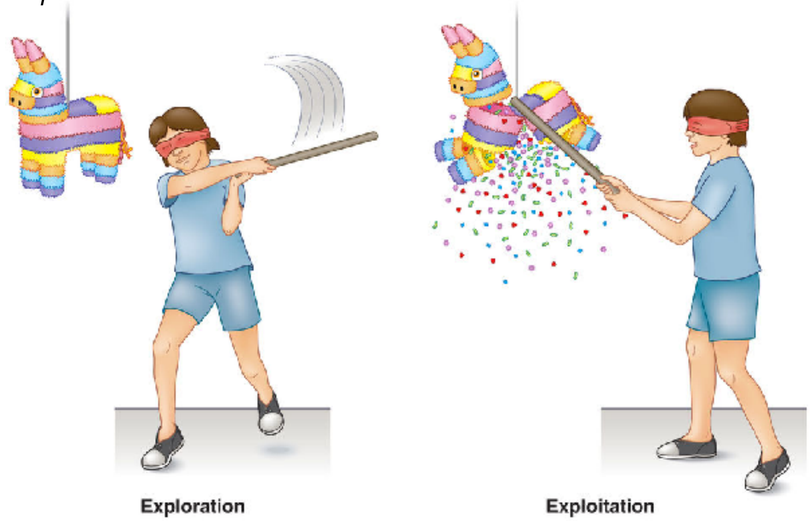

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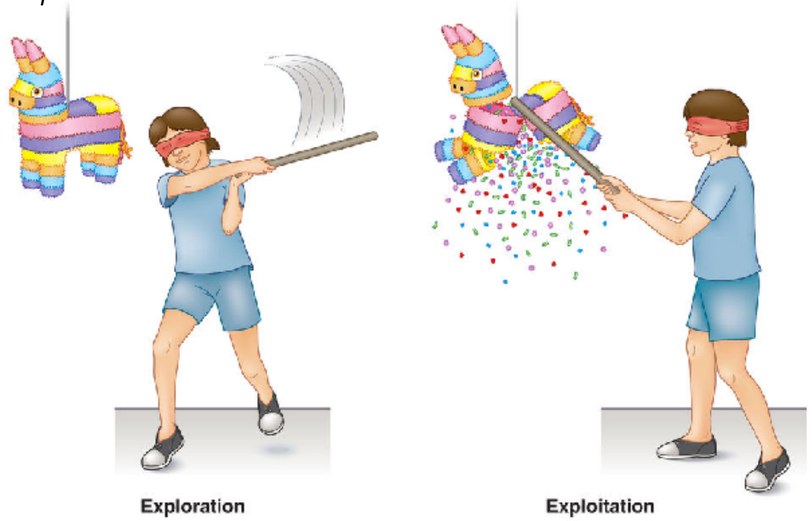

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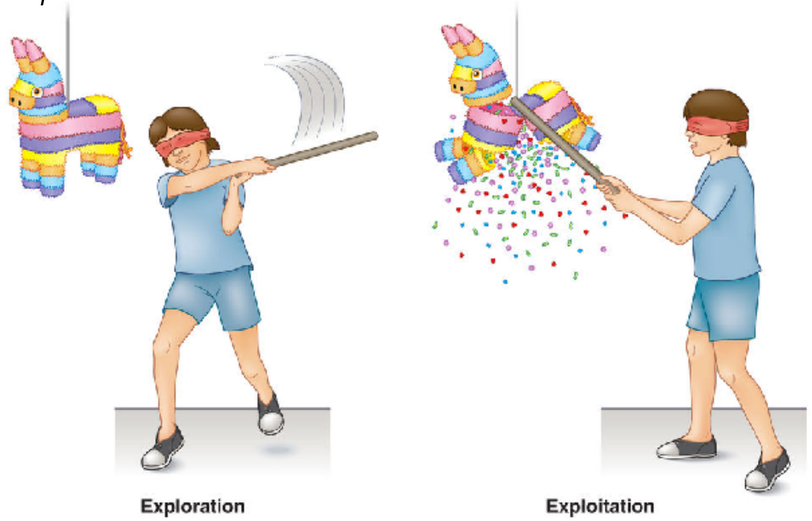

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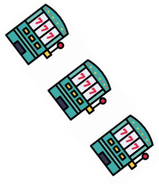

Brief aside: State-Value function vs. Action-value function

So far, I've focused on describing state-value functions:

$$V_\pi(s) = \mathbb{E}_{\tau\sim\pi}[R(\tau) \,|\, s_0 = s]$$

However, you can also describe a RL model using the action-value function:

$$Q_\pi(s, a) = \mathbb{E}_{\tau\sim\pi}[R(\tau) \,|\, s_0 = s, a_0 = a]$$

Both are equivalent under:

$$V_\pi(s) = \sum_{a\in A} \pi(a \,|\, s) * Q_\pi(s, a)$$

Rescorla-Wagner and Q-learning: the Delta Rule

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Rescorla-Wagner (1972) model

- Learning as an active process of making predictions about the world
- Predict the value of stimulus $\mathbf{x}_t$ with a linear combination of weights $\mathbf{w}_t$:

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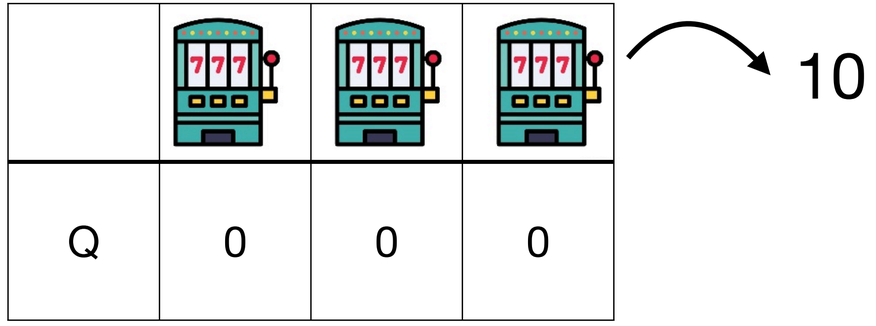

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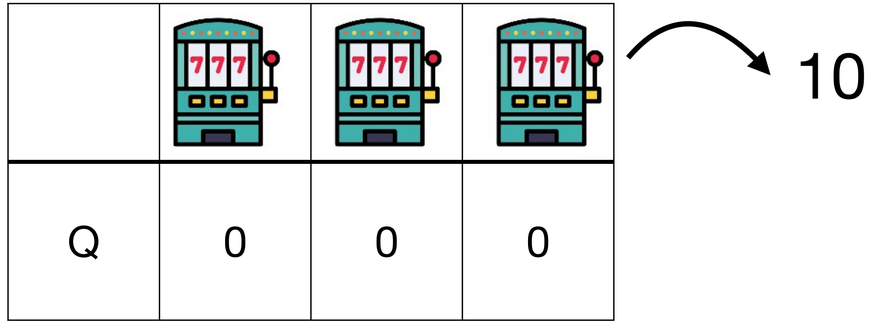

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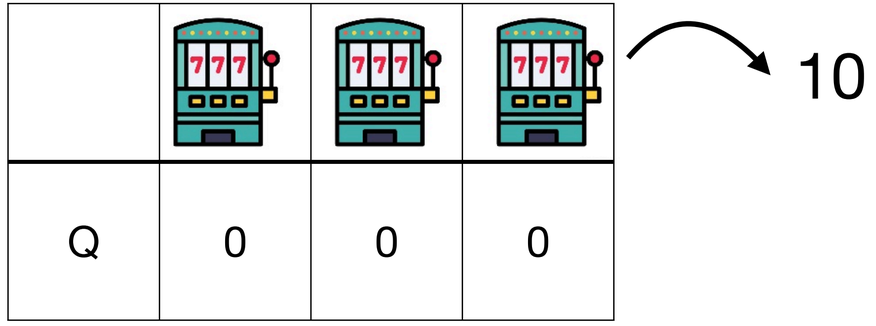

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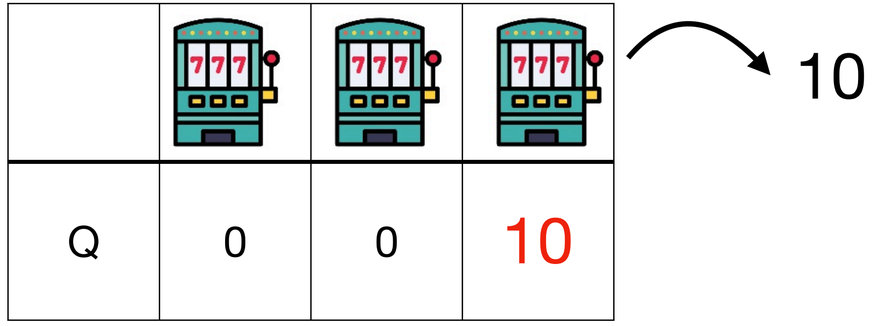

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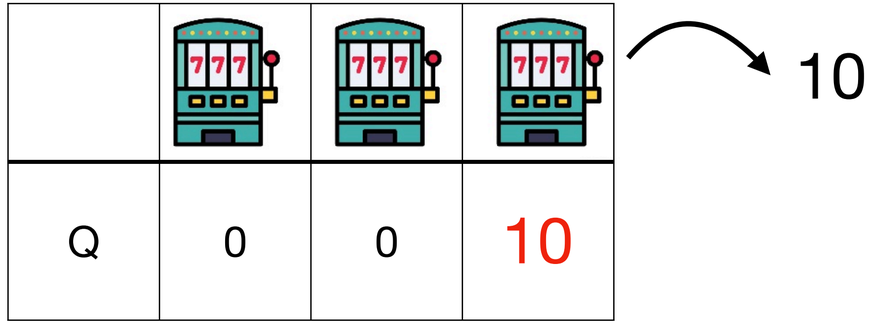

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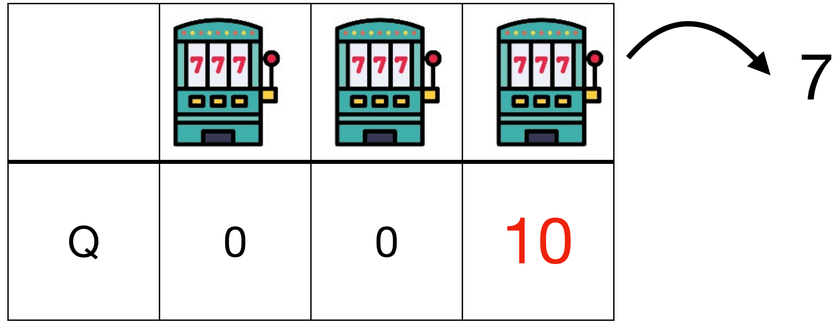

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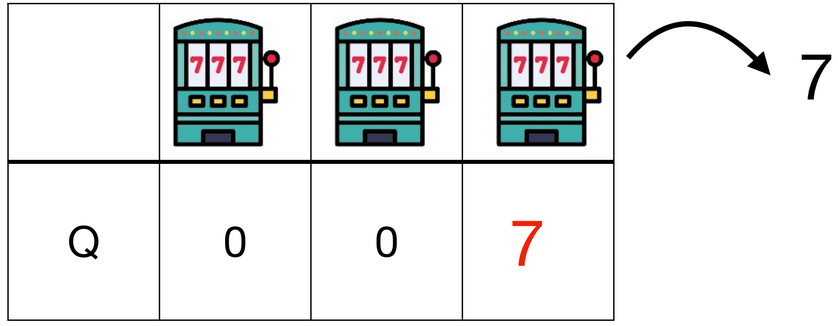

$$Q(s_t, a_t)_{new} \leftarrow Q(s_t, a_t)_{old} + \eta \left[R(s, a) - Q(s, a)_{old}\right]$$

7 10 1 7 10

Temporal Difference Learning

For simplicity, I'm omitting the temporal difference (TD) error, which looks like:

$$V(s) \leftarrow V(s) + \eta \left(r + \gamma V(s') - V(s) \right)$$

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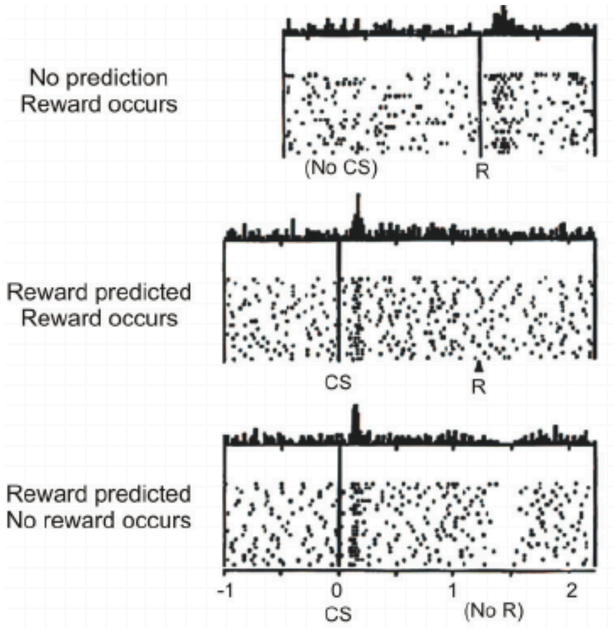
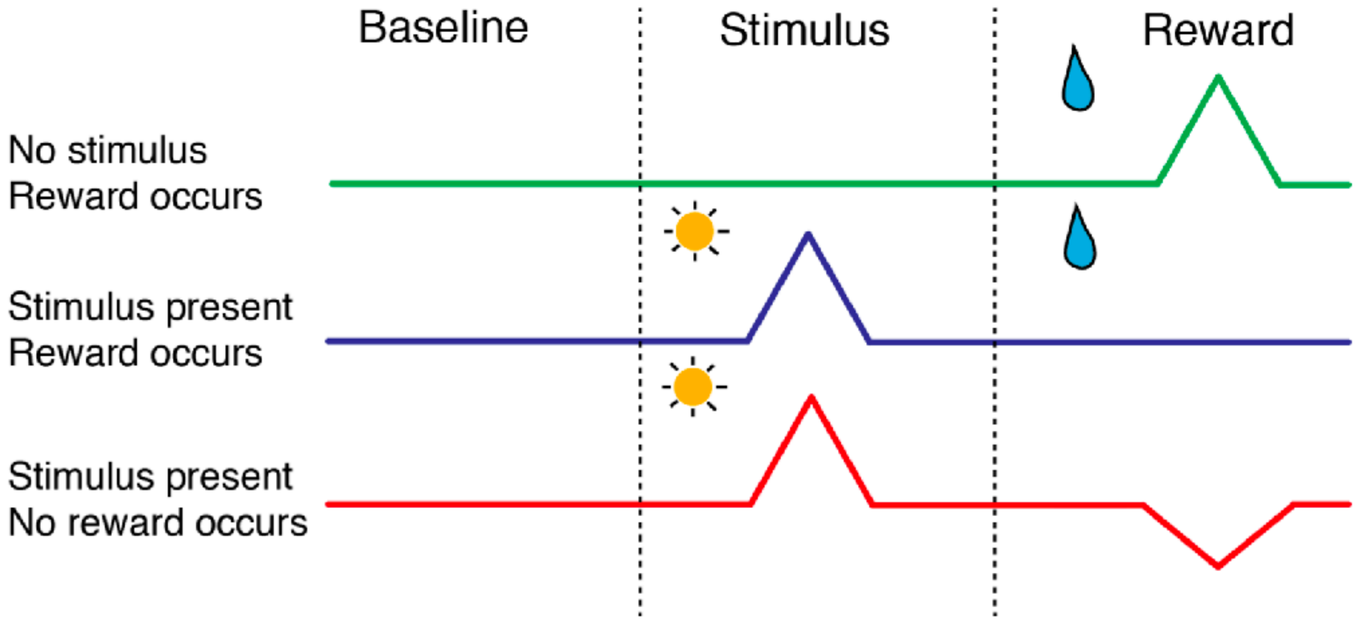
Dopamine Reward Prediction Error



Schultz et al. (1997)



Policy aka choice function

Goal of Human RL?

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$$\text{action} = \begin{cases} \max_a Q(a) & \text{with } p(1-\epsilon) \\ \text{random action} & \text{with } p(\epsilon) \end{cases}$$

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Q-values → Probabilities

$$\begin{bmatrix} 1.3 \\ 5.1 \\ 0.7 \\ 1.1 \end{bmatrix} \rightarrow \frac{\exp(Q(a_i)/\tau)}{\sum_j^n \exp(Q(a_j)/\tau)} \rightarrow \begin{bmatrix} 0.002 \\ 0.90 \\ 0.05 \\ 0.02 \end{bmatrix}$$

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Probabilities

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ϵ and τ model forms of exploration, but don't distinguish between experienced vs. inexperienced options

Bayesian reinforcement learning

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Bayesian reinforcement learning

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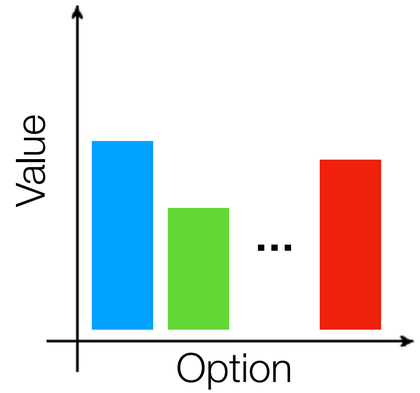

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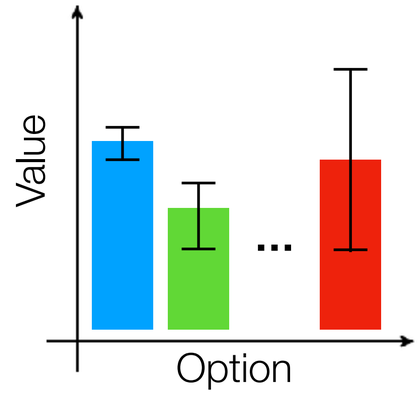

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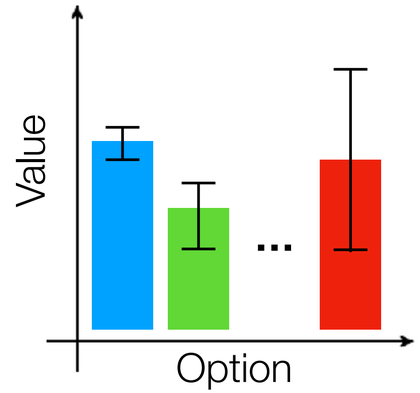

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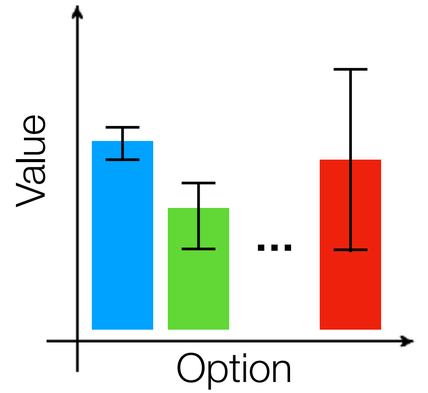
Bayesian reinforcement learning



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Variance: $$\sigma^2_{i,t+1} = \left[1 - k_{i,t}\right]\sigma^2_{i,t}$$

Kalman Gain (learning rate):

$$k_{i,t} = \begin{cases} \dfrac{\sigma^2_{i,t}}{\sigma^2_{i,t} + \sigma^2_{\epsilon}} & \text{if } a_t = i \\ 0 & \text{otherwise} \end{cases}$$

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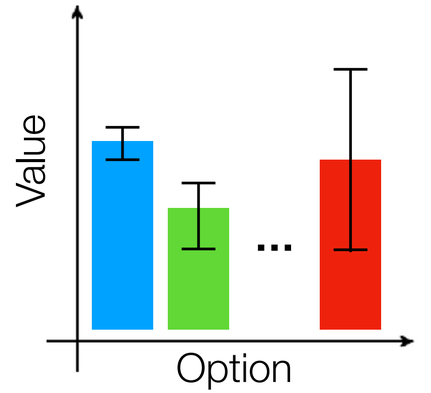

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Strictly, this is a KF variant known as a Bayesian mean tracker (BMT), assuming stationary rewards

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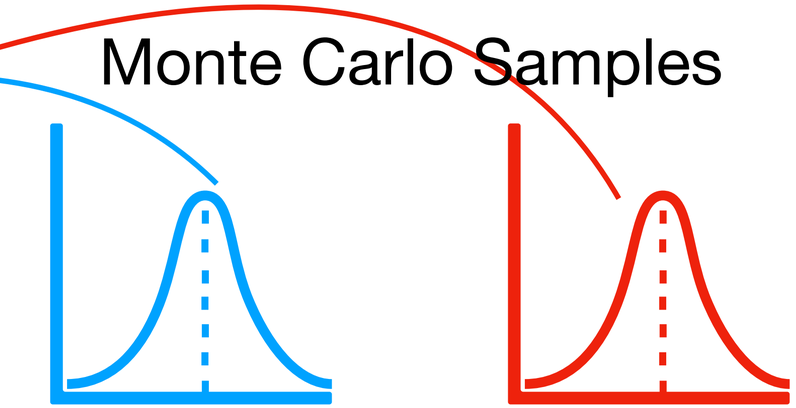

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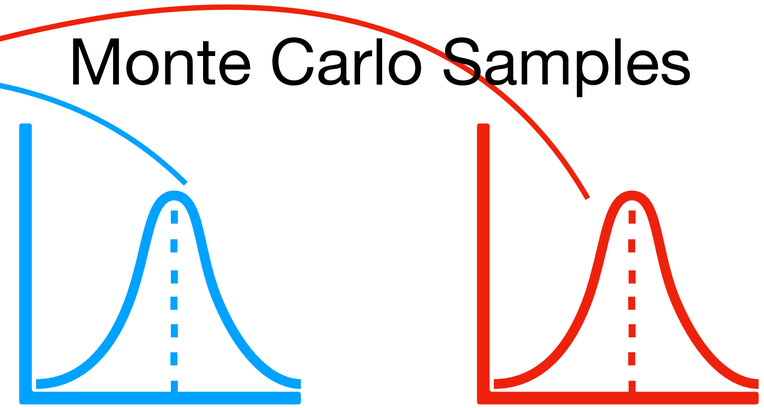

Upper Confidence Bound Sampling:

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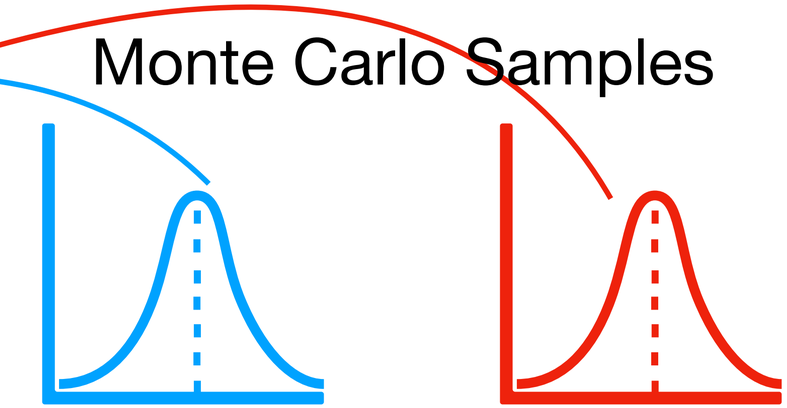

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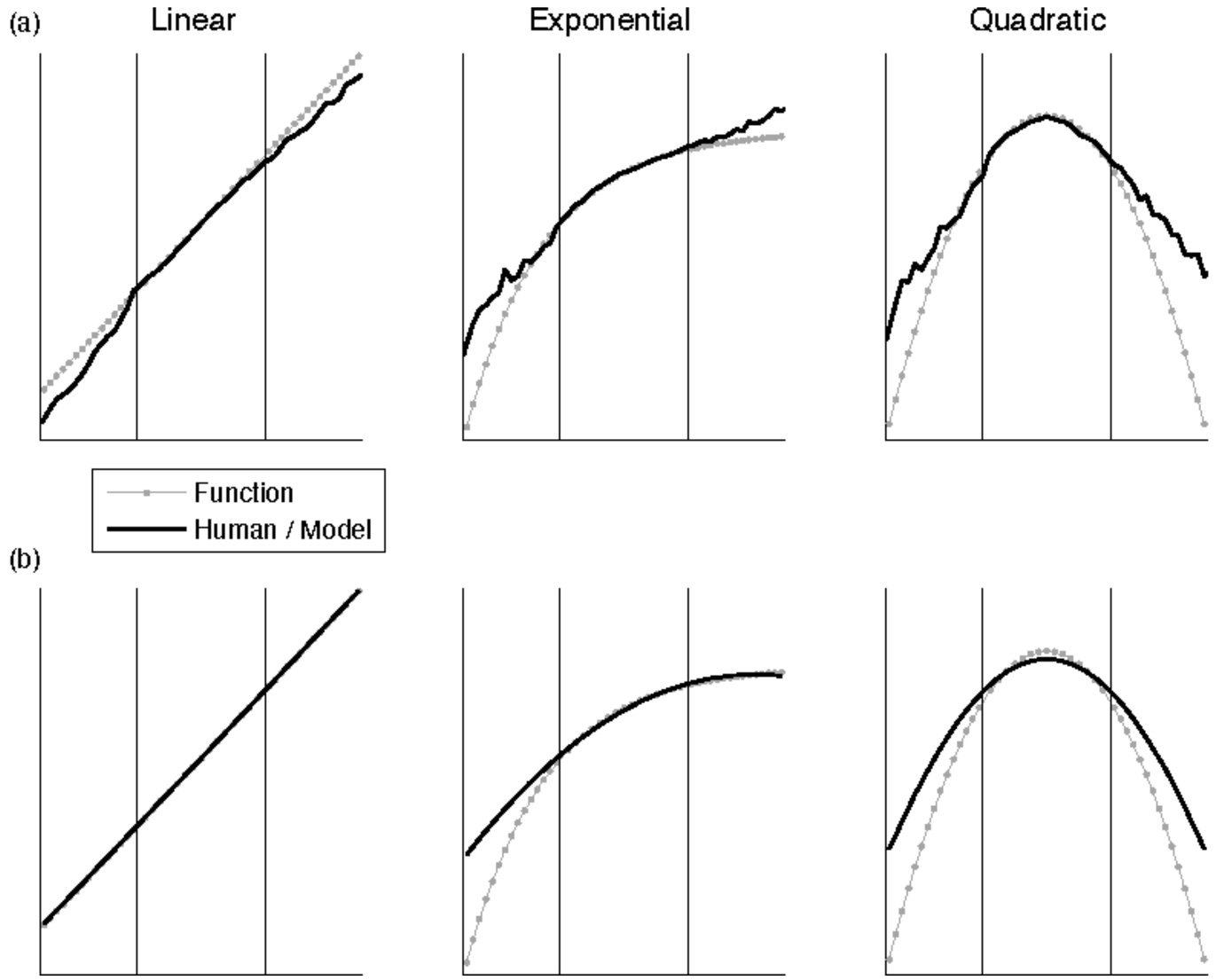
β models exploration directed towards uncertain choices

Function approximation?



(c)

Model	Linear	Exponential	Quadratic
EXAM	.999	.997	.961
Linear	.999	.989	.470
Quad	.997	.997	.901
RBF	.999	.997	.882
LQ	.999	.997	.886
LR	.999	.997	.892
RQ	.998	.994	.878
LRQ	.999	.995	.877

Griffiths et al., (2008)

Summary

Summary

RL framework for learning value functions and policies

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- Tabular methods vs. value function approximation

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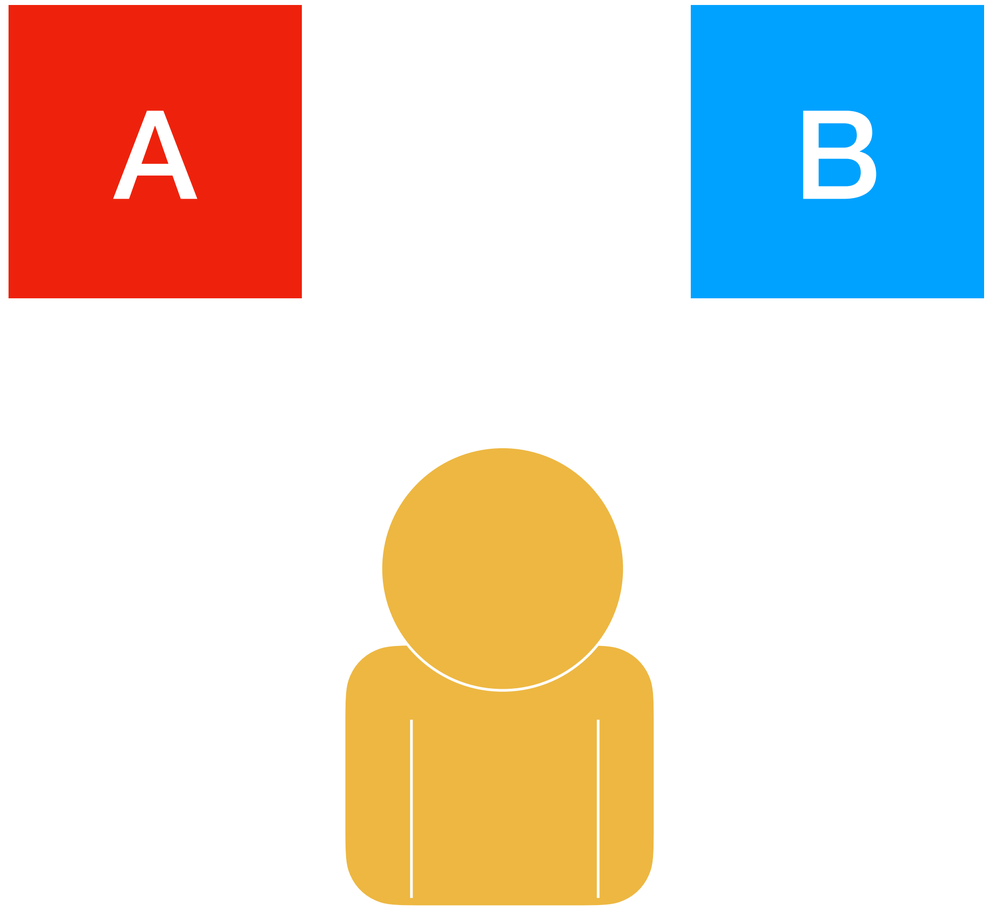
- But what about function approximation?

Break

Human learning in the lab



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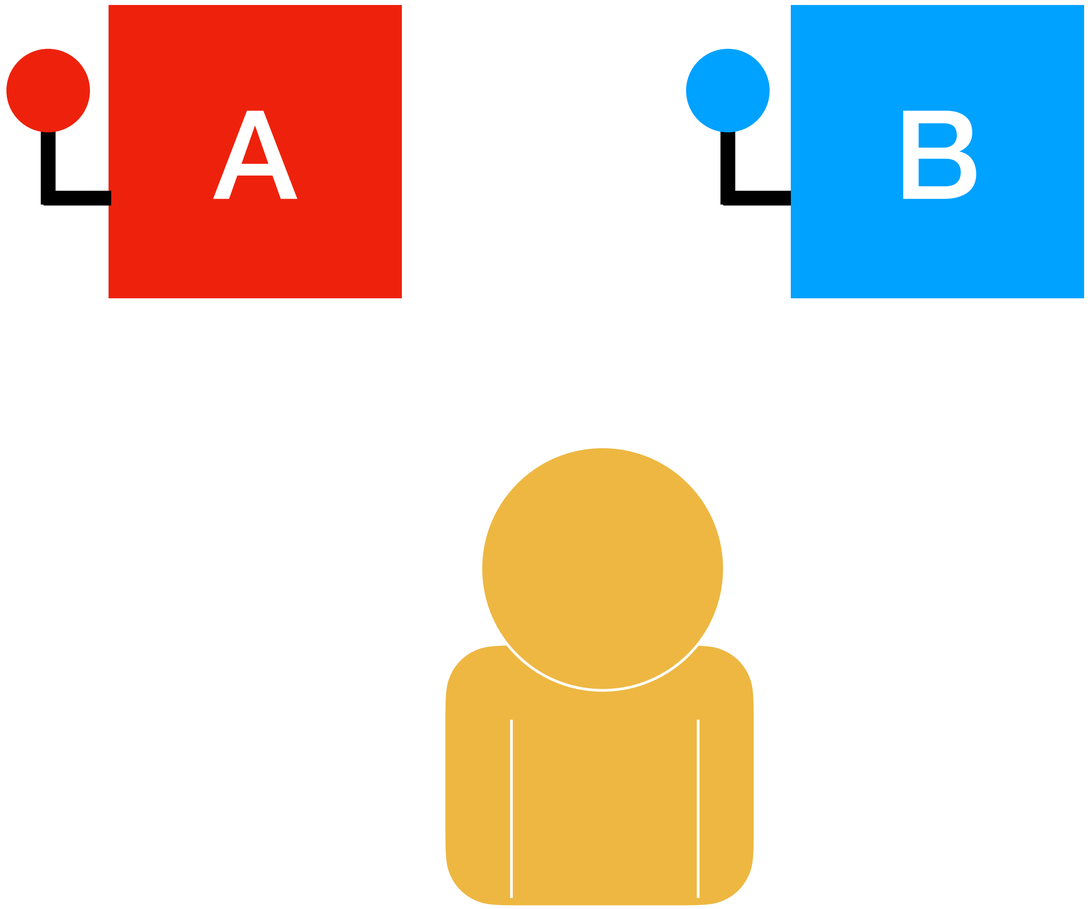

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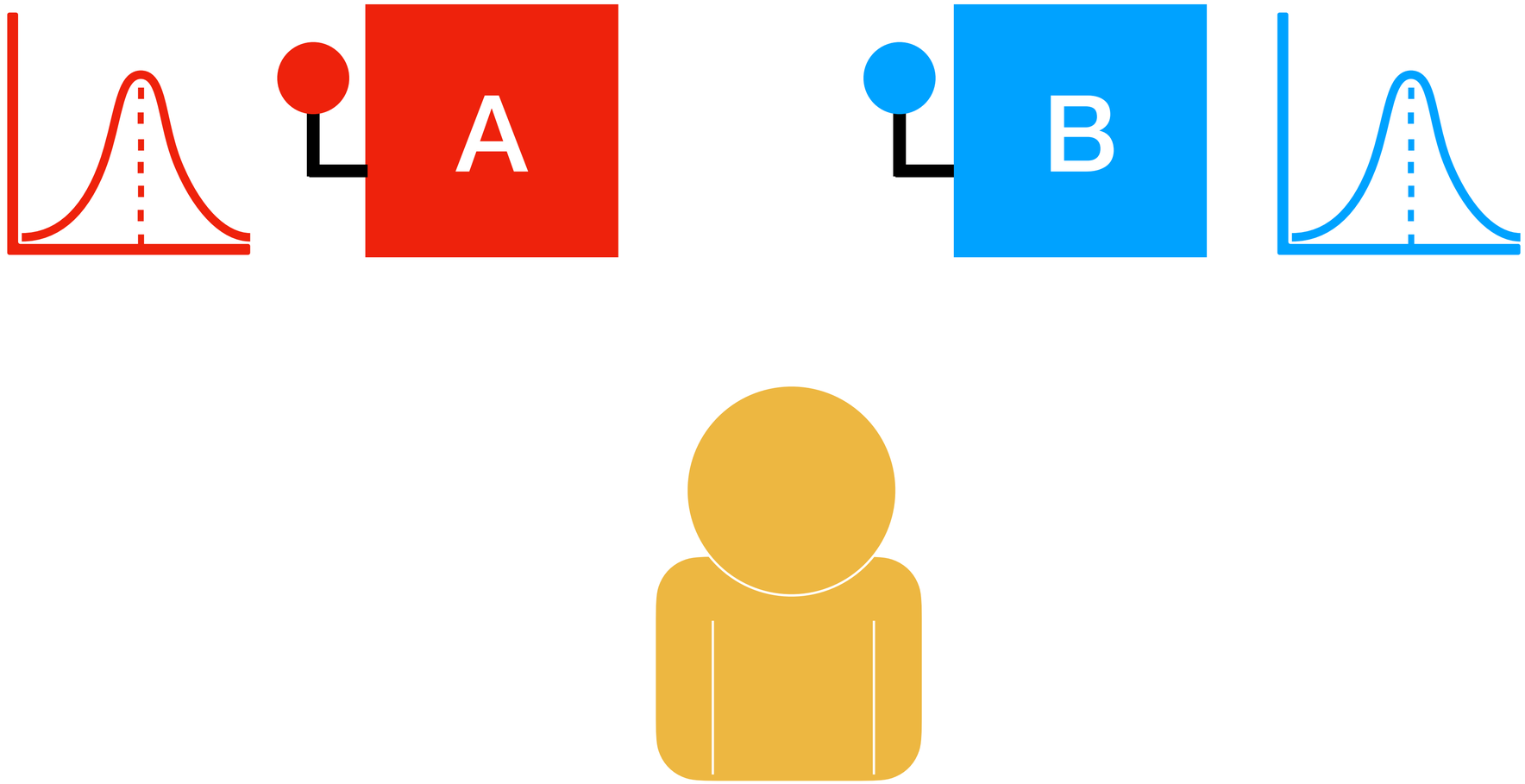
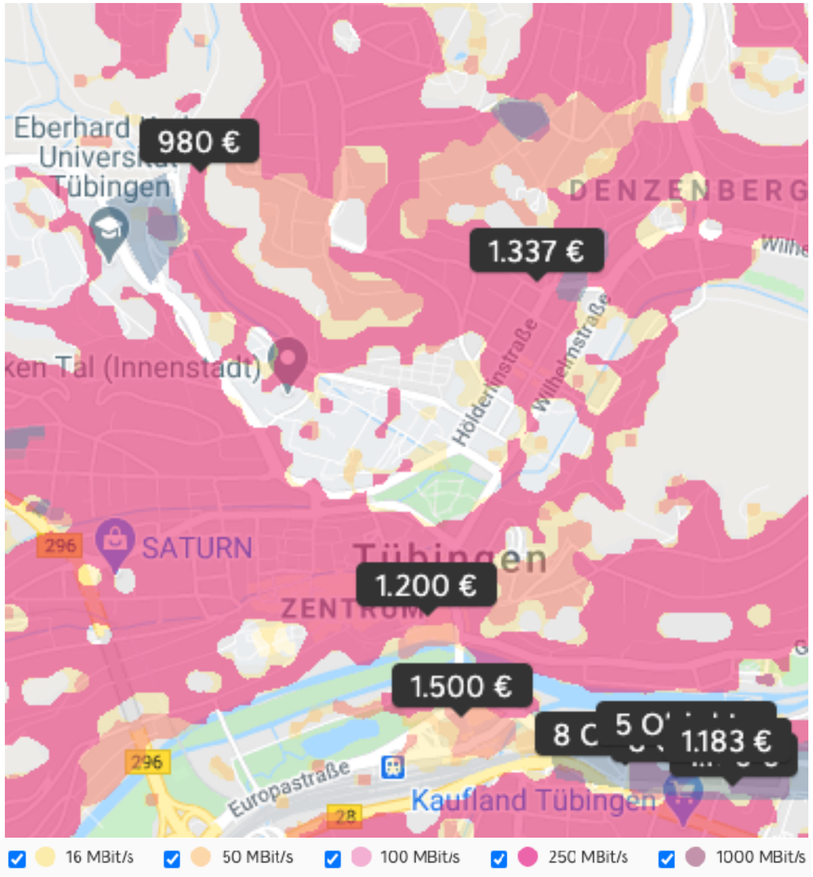


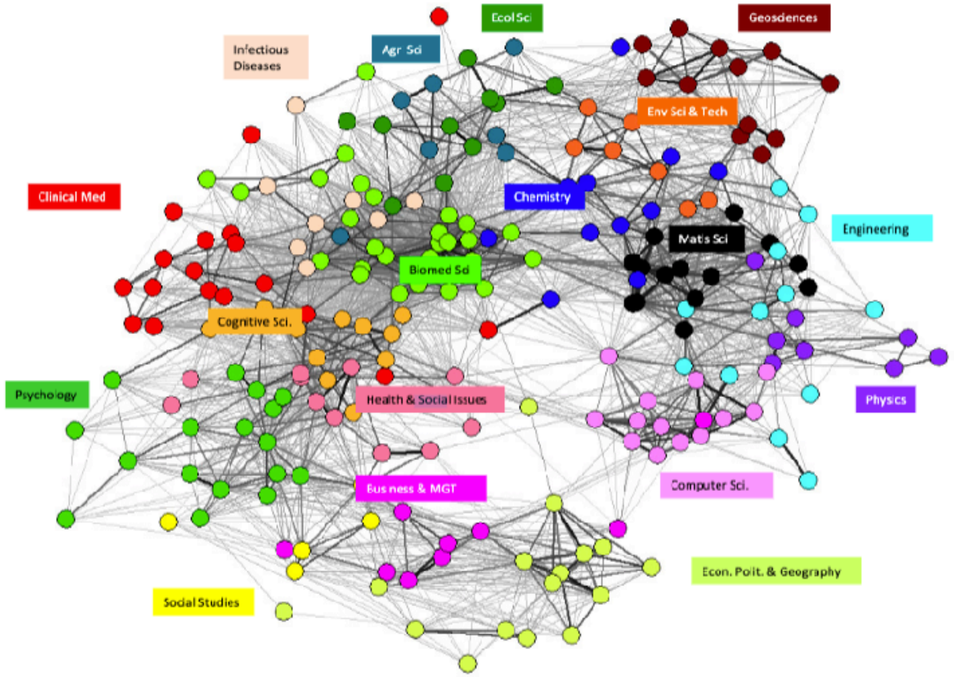
Real life problems

Finding a place to live



Picking what to eat

Choosing a research topic



Exploration-Exploitation Dilemma

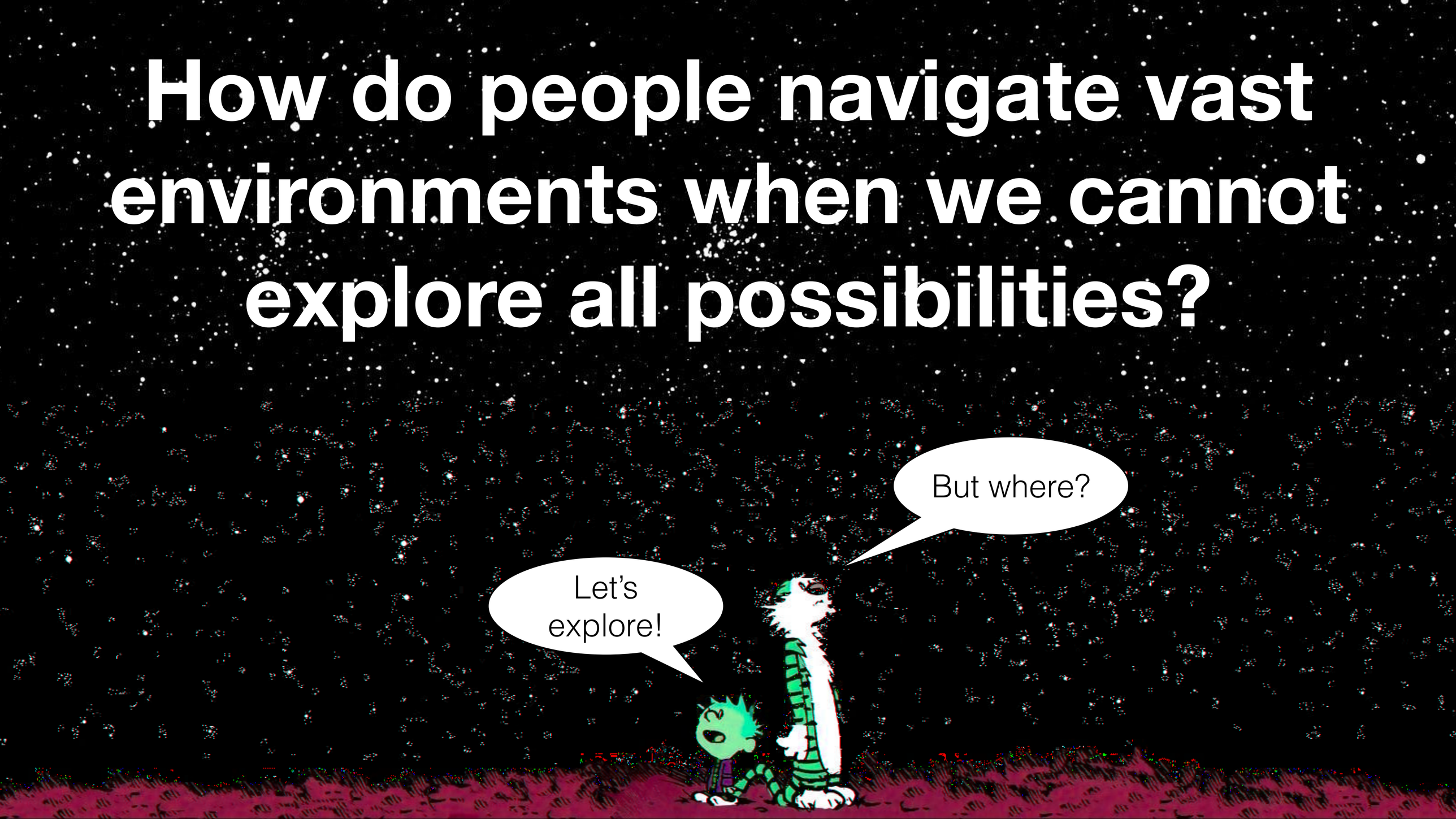
Exploration

Exploitation

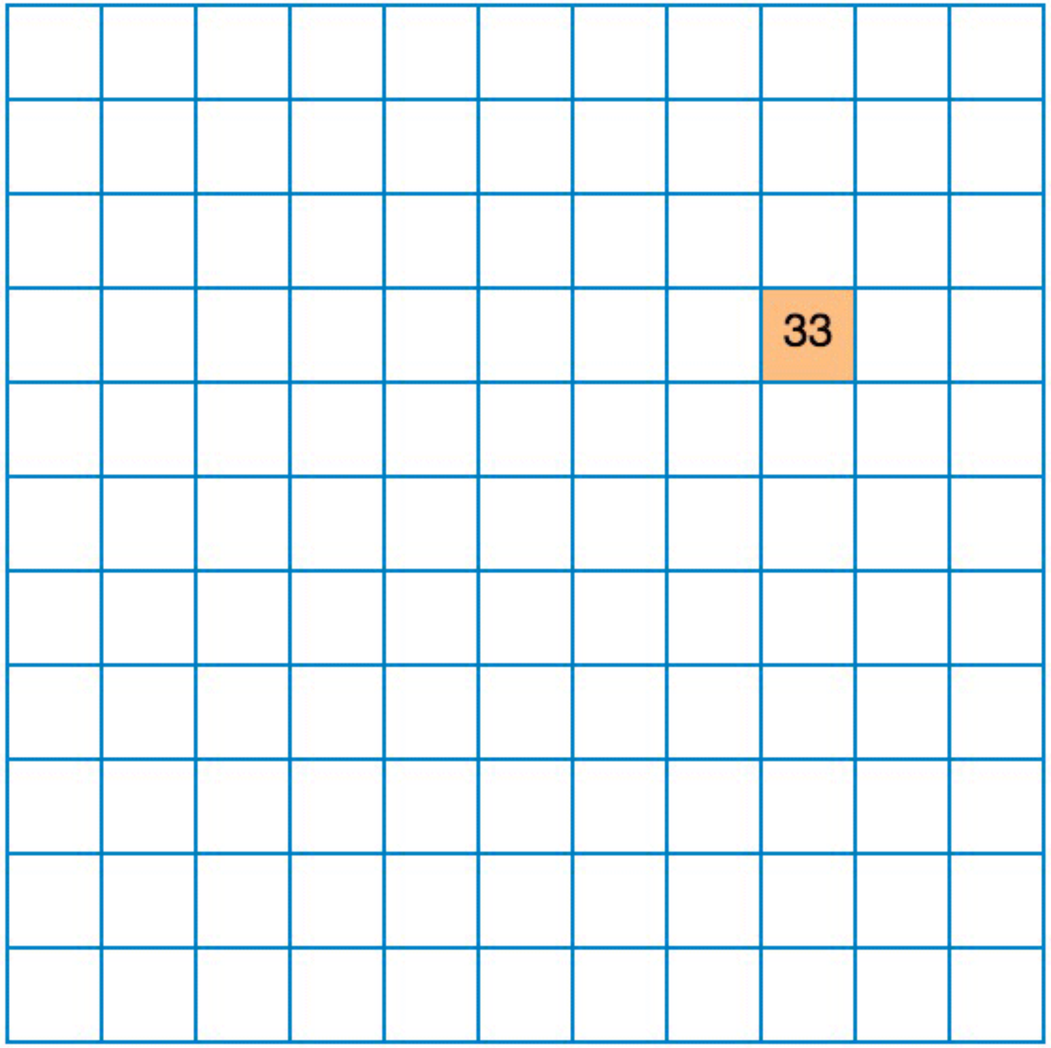
Herzfeld & Shadmehr (*Nat Neuro* 2014)


But where?
Let's explore!

How do people navigate vast environments when we cannot explore all possibilities?



Spatially Correlated Bandit



Wu et al., (*Nature Human Behaviour* 2018)

- click tiles on the grid
- maximize reward
- each tile has normally distributed rewards
- nearby tiles have similar rewards
- limited search horizon privileges good generalization & efficient exploration

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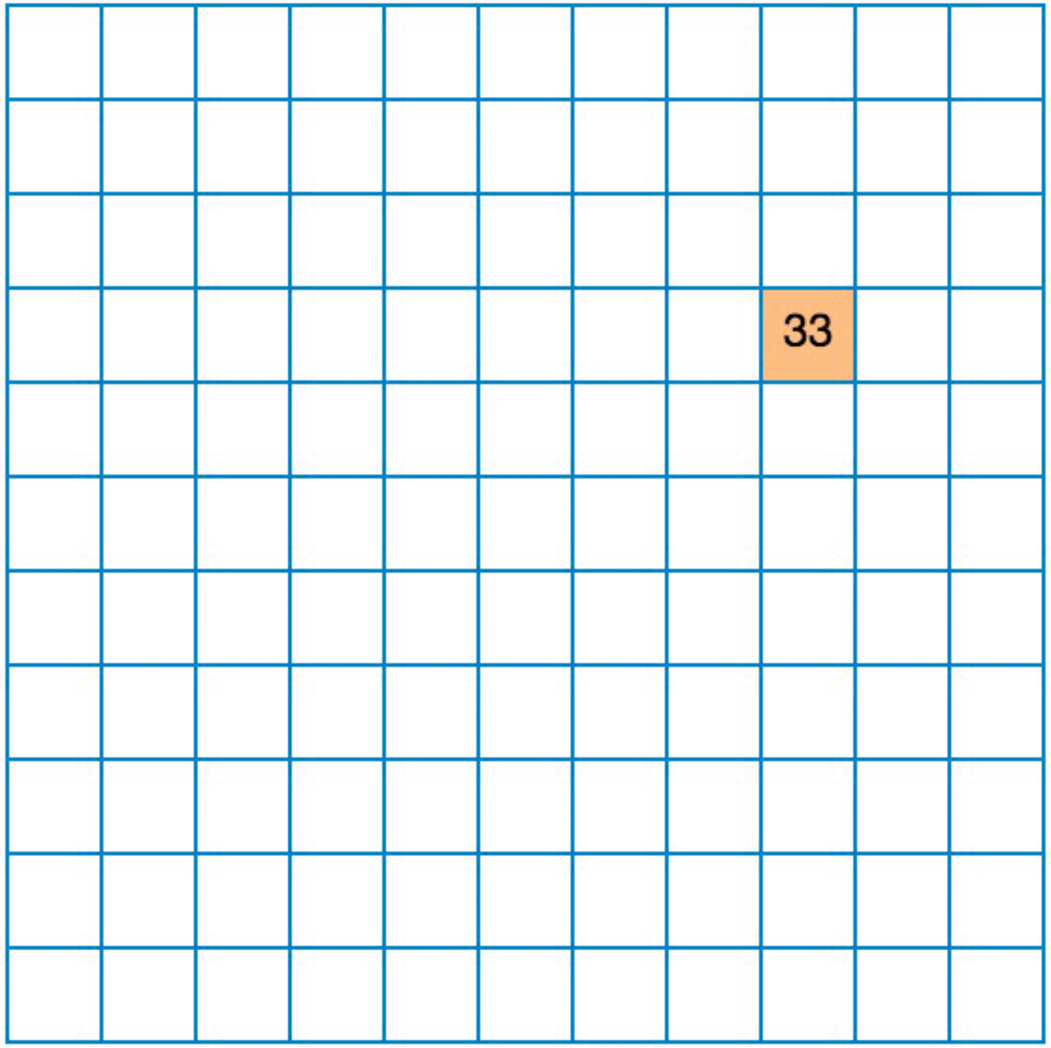

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7	5	10	22	32	32	28	24	22	26	33
6	11	19	29	38	41	42	40	37	36	40
22	27	30	35	43	50	53	53	51	49	46
45	44	38	36	40	46	47	49	54	55	48
61	55	46	40	37	32	27	31	44	52	44
62	59	57	54	44	27	14	17	33	46	45
53	59	68	71	59	36	17	15	28	45	51
46	57	71	77	67	47	26	18	27	45	56
45	56	65	67	60	46	29	20	27	42	55
51	57	58	53	47	40	30	23	28	40	49
60	62	58	47	39	38	35	31	35	41	46

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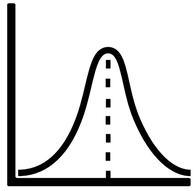
-  each tile has normally distributed rewards
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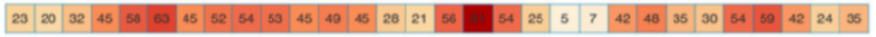
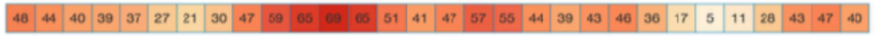
Experiment 1
30-Armed Bandit (Univariate)

Rough

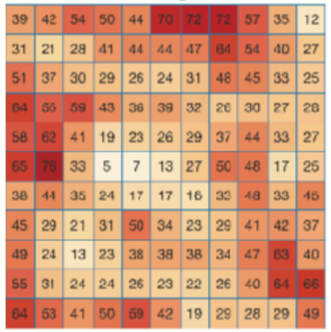


Smooth



Experiment 2
121-Armed Bandit (Bivariate)

Rough



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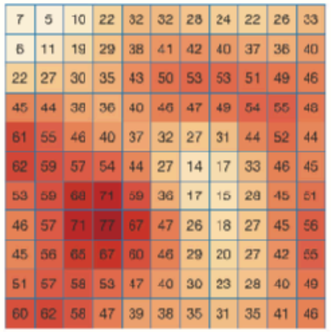
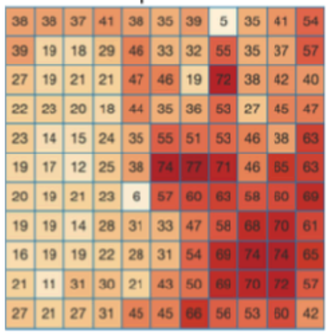
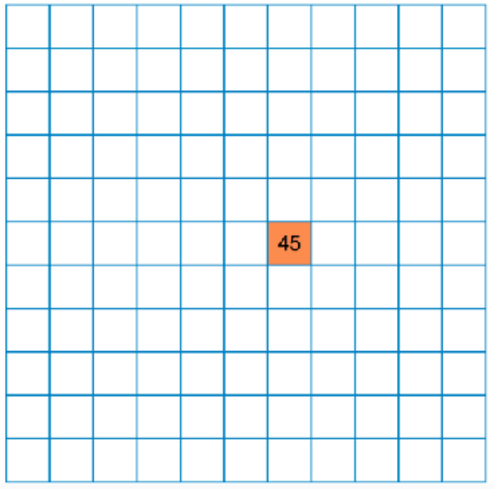


Experiment 3
121-Armed Bandit (Natural)

Crop Yield

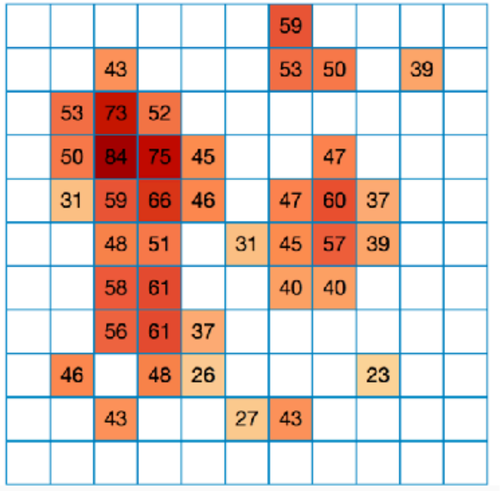


Number of grids left: **6**
Number of clicks left: **40**



Participants acquired rewards by clicking on new or previously revealed tiles

Number of grids left: **6**
Number of clicks left: **1**



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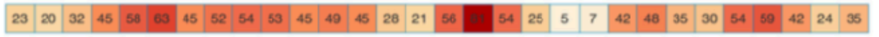

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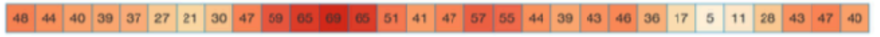
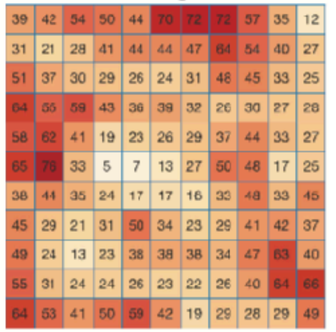
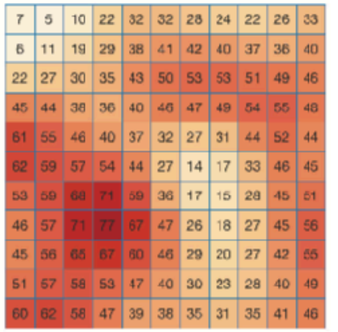


Experiment 2
121-Armed Bandit (Bivariate)

Rough

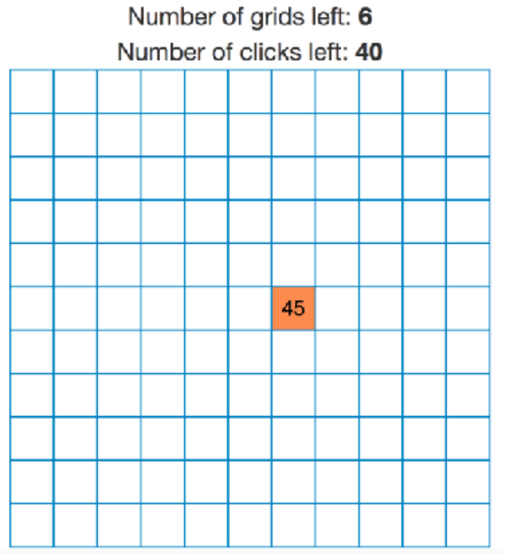


Smooth

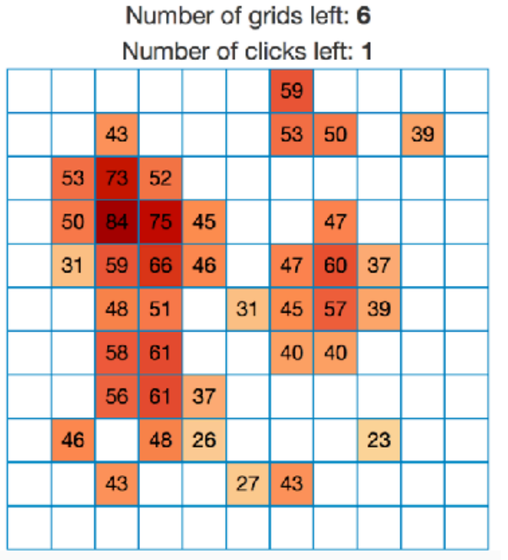


Experiment 3
121-Armed Bandit (Natural)

Crop Yield



Participants acquired rewards by clicking on new or previously revealed tiles



Wu et al., (*Nature Human Behaviour* 2018)

Modeling Human Search

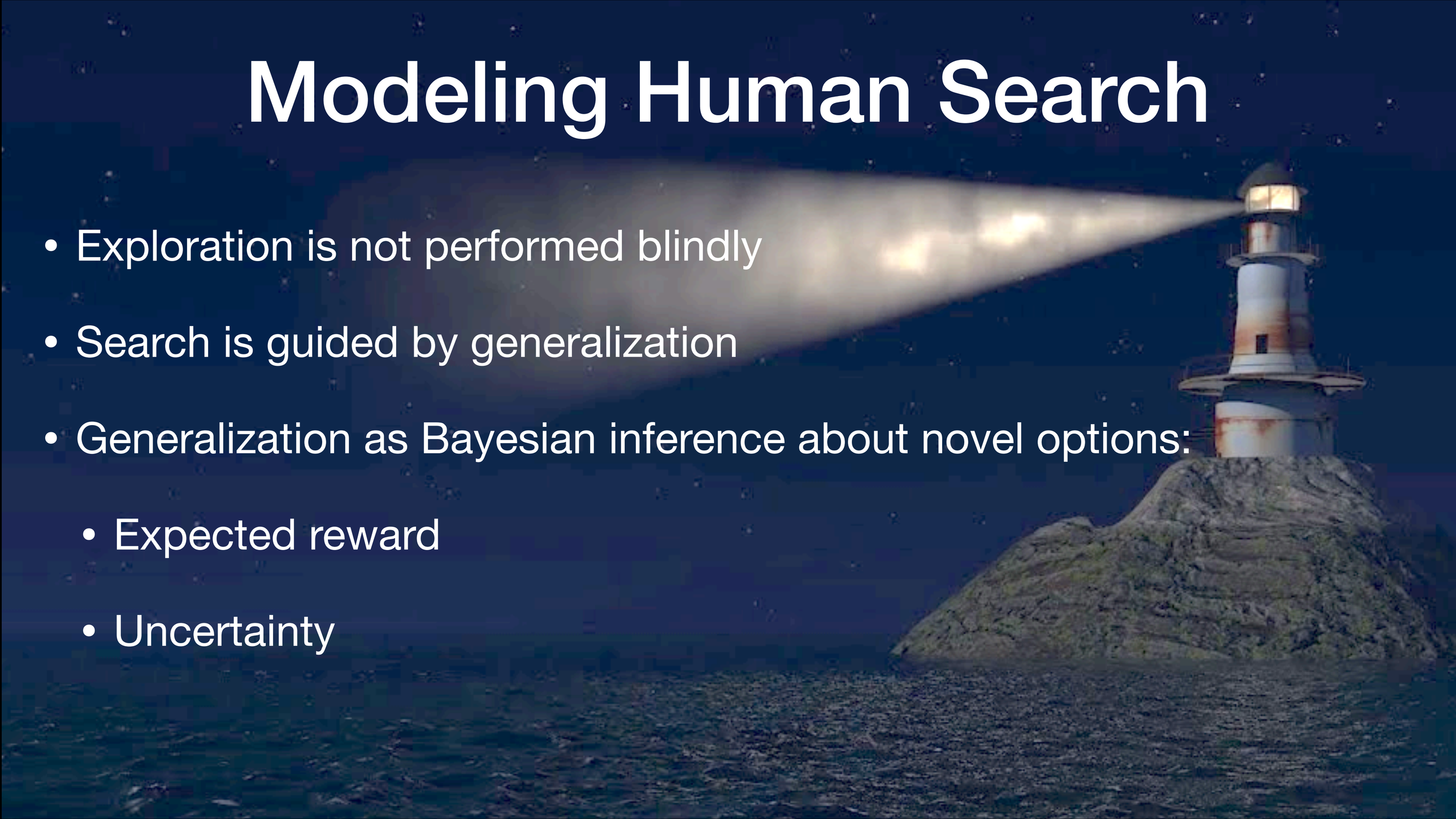
Modeling Human Search

- Exploration is not performed blindly

Modeling Human Search

- Exploration is not performed blindly
- Search is guided by generalization

Modeling Human Search



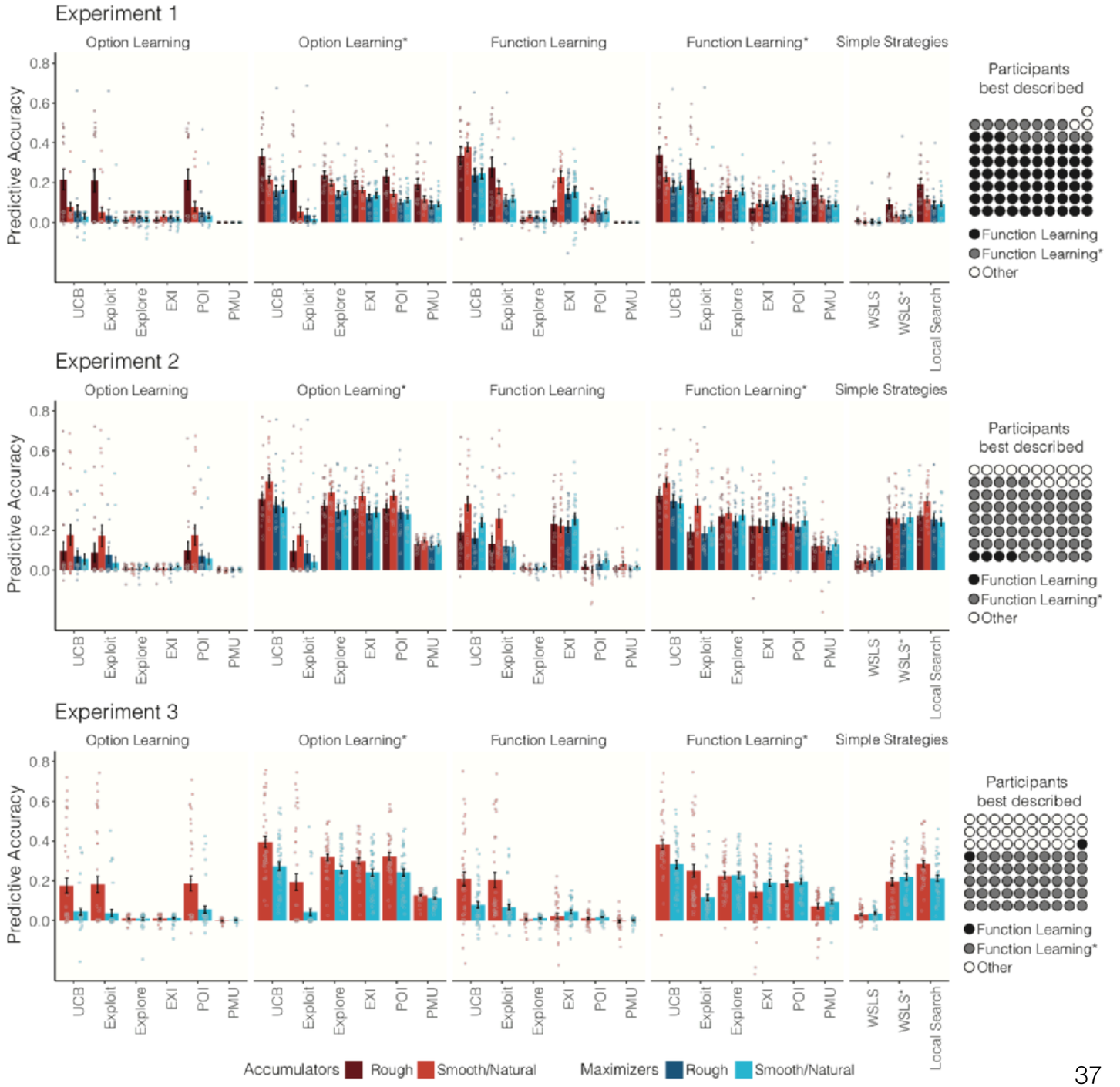
- Exploration is not performed blindly
- Search is guided by generalization
- Generalization as Bayesian inference about novel options:
  - Expected reward
  - Uncertainty

Modeling Human Search

- Exploration is not performed blindly
- Search is guided by generalization
- Generalization as Bayesian inference about novel options:
  - Expected reward
  - Uncertainty
- Human search is directed towards both ingredients

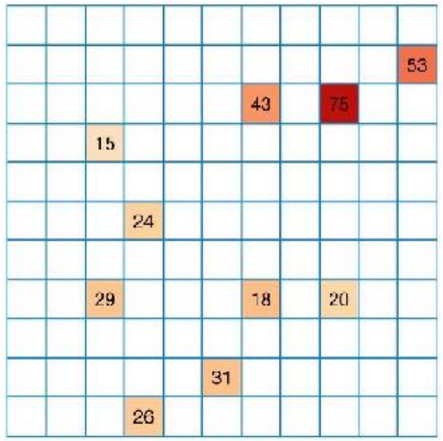
Model comparison

- We performed a large-scale comparison of 27 different models using cross-validated (out-of-sample) predictions
- Some heuristic models but mostly reinforcement learning models * sampling strategies
- … here, I focus on the best model, which consistently outperformed all others across a variety of manipulation checks

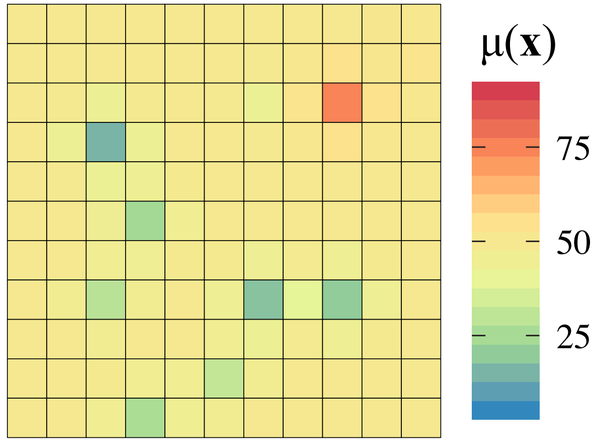


GP-UCB Model

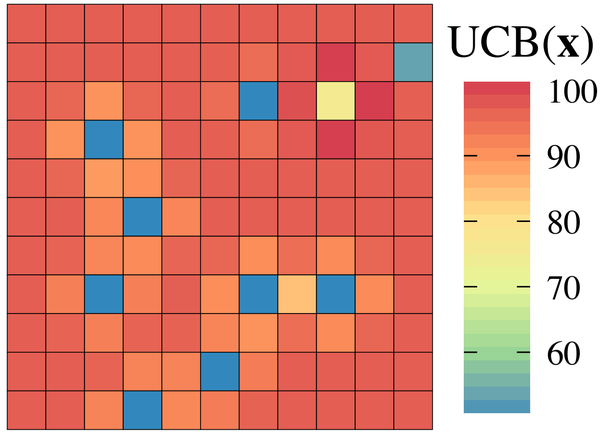
Observations



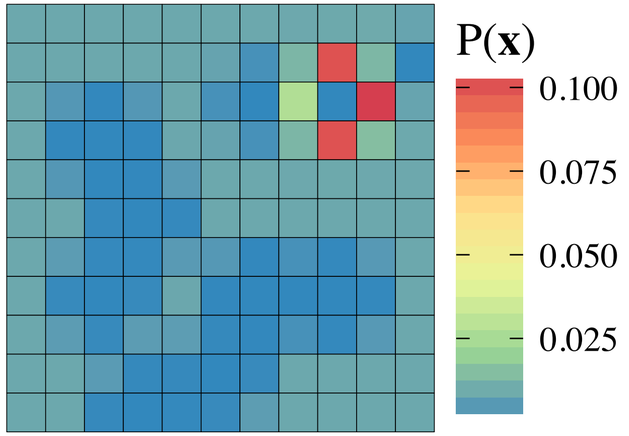
Gaussian Process (GP)



Upper Confidence Bound (UCB) Sampling

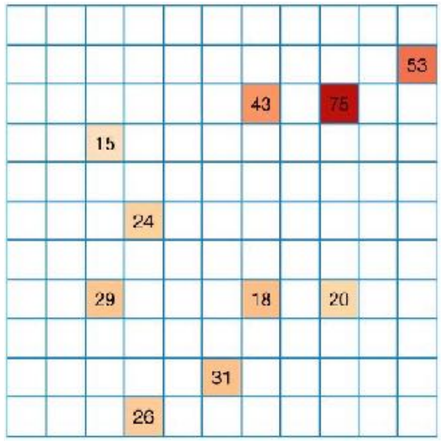


Softmax Choice Rule

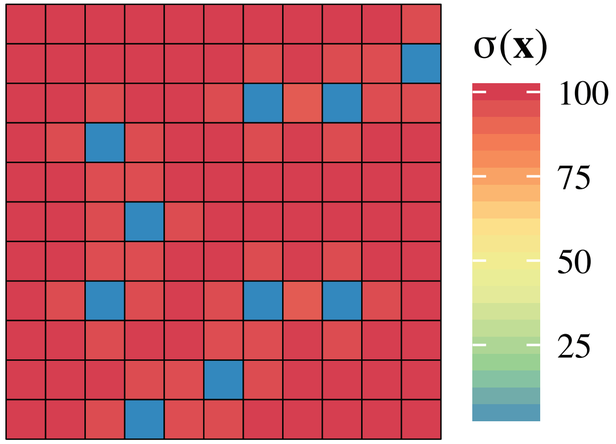


GP-UCB Model

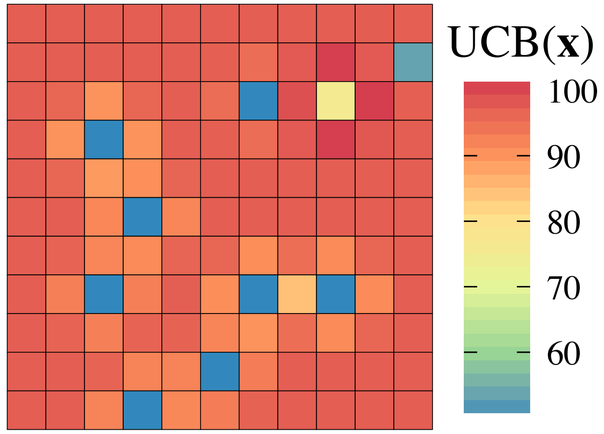
Observations



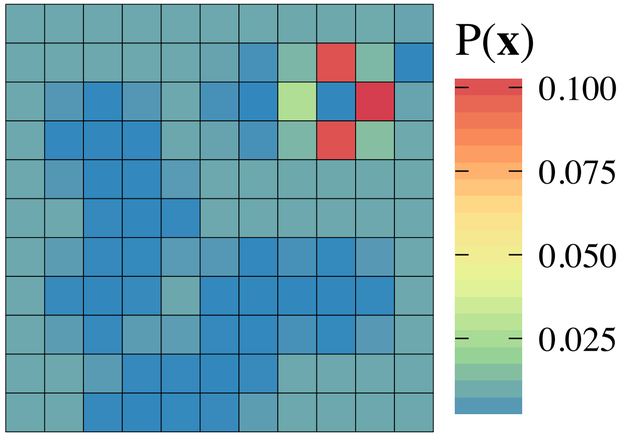
Gaussian Process (GP)



Upper Confidence Bound (UCB) Sampling

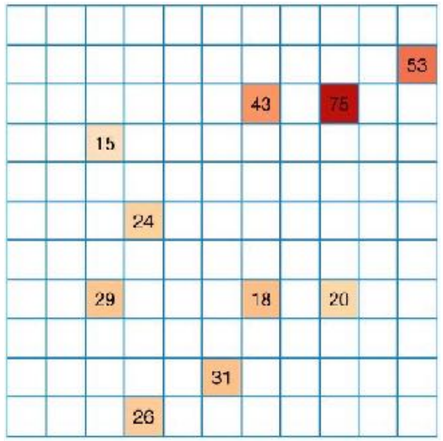


Softmax Choice Rule

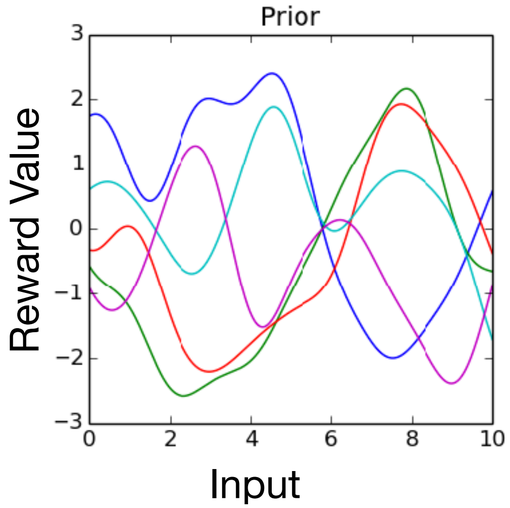


GP-UCB Model

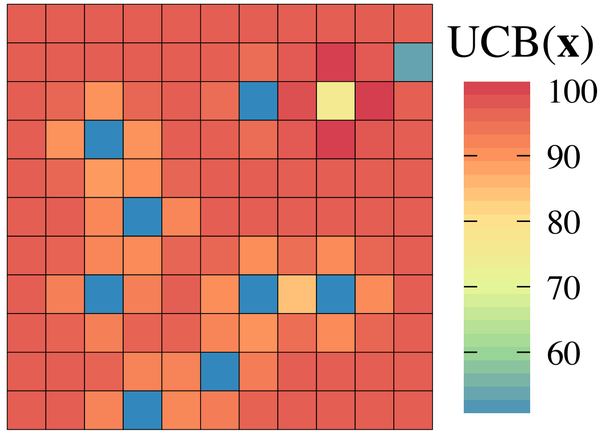
Observations



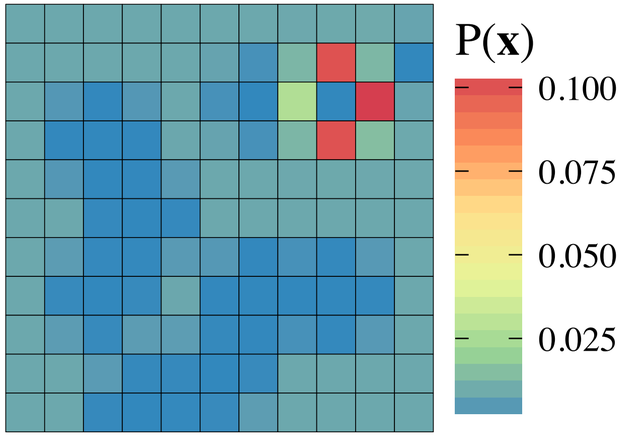
Gaussian Process (GP)



Upper Confidence Bound (UCB) Sampling

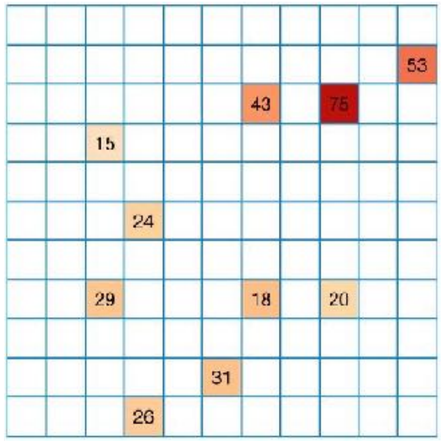


Softmax Choice Rule

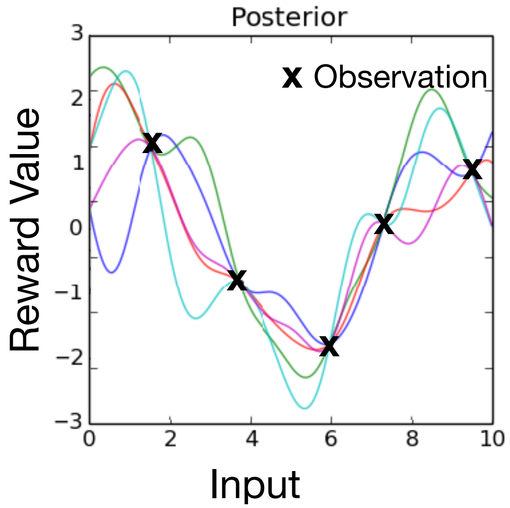


GP-UCB Model

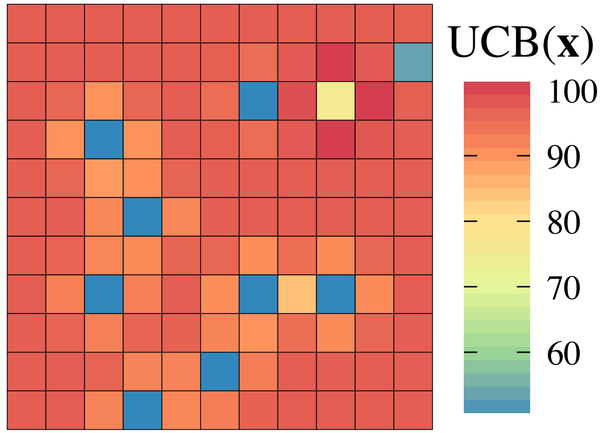
Observations



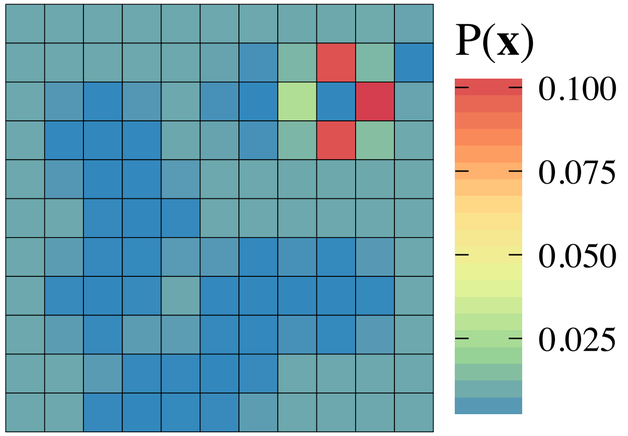
Gaussian Process (GP)



Upper Confidence Bound (UCB) Sampling

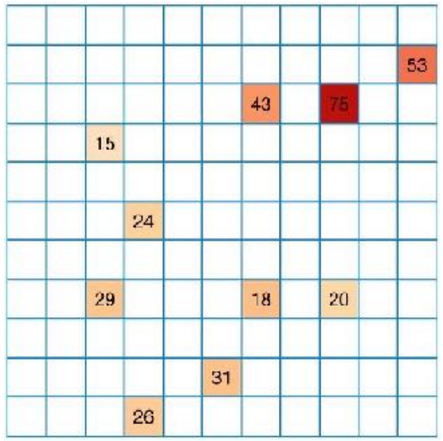


Softmax Choice Rule

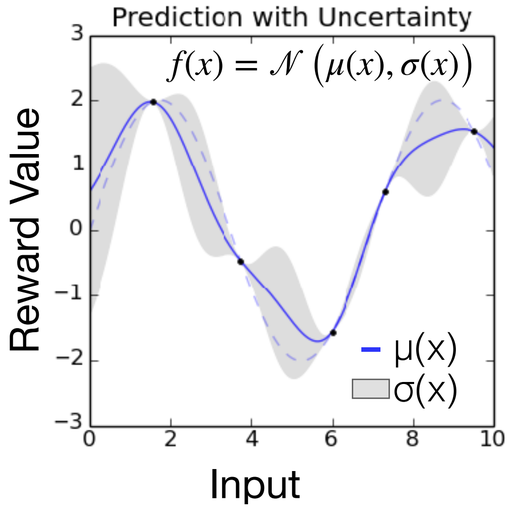


GP-UCB Model

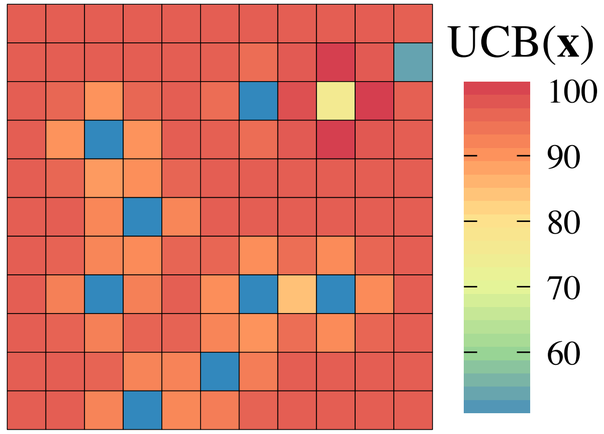
Observations



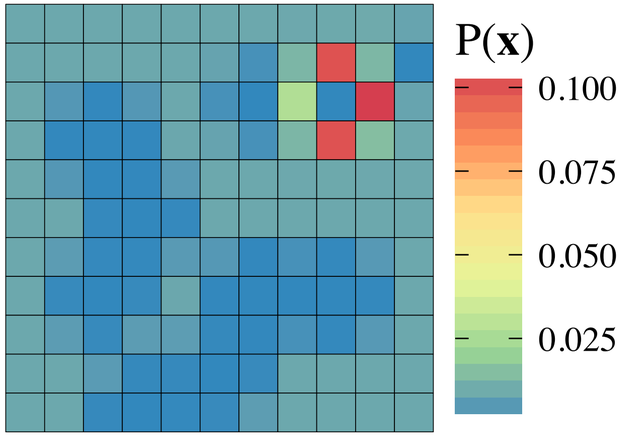
Gaussian Process (GP)



Upper Confidence Bound (UCB) Sampling

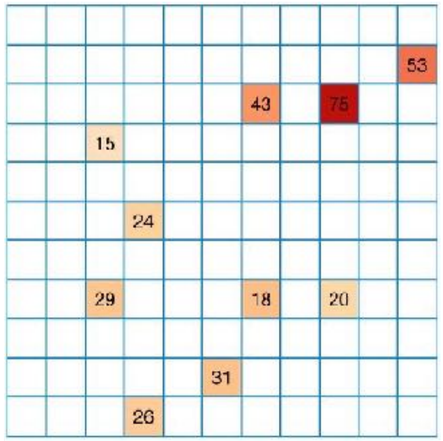


Softmax Choice Rule

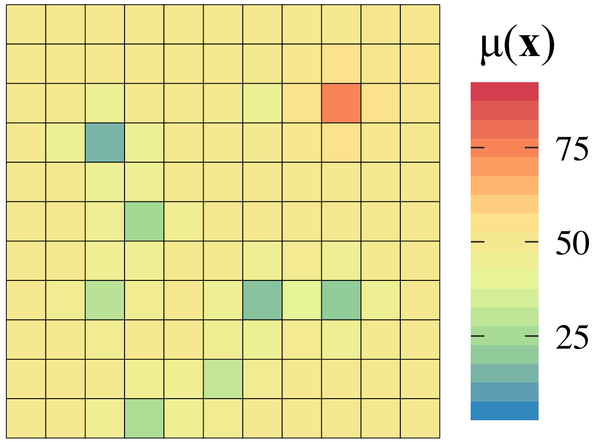


GP-UCB Model

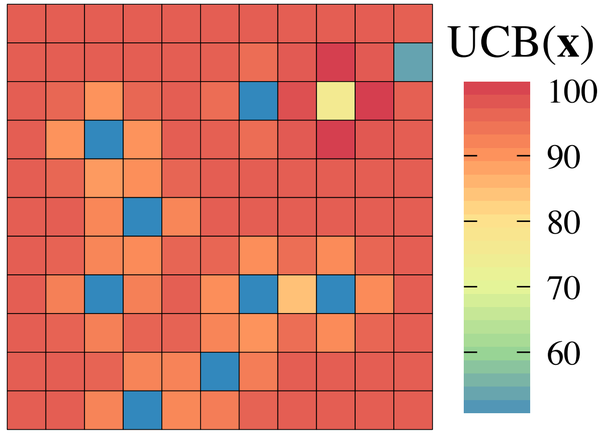
Observations



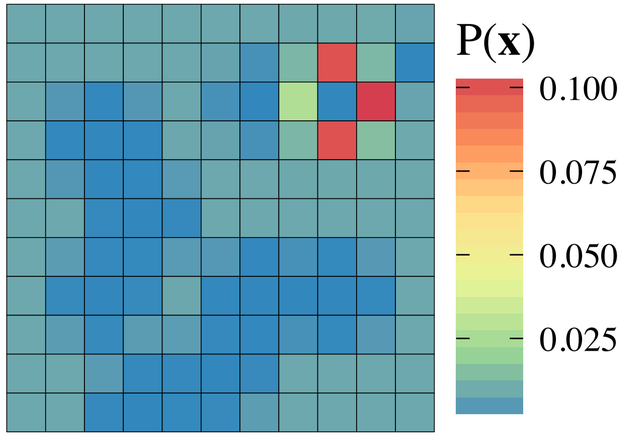
Gaussian Process (GP)



Upper Confidence Bound (UCB) Sampling

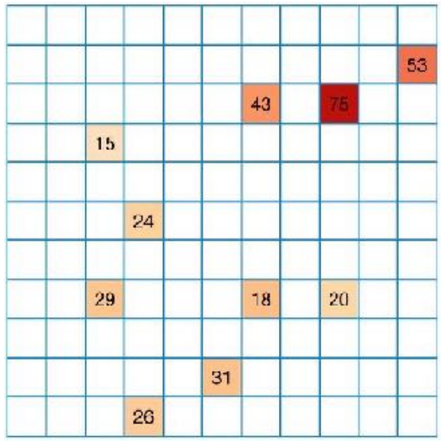


Softmax Choice Rule

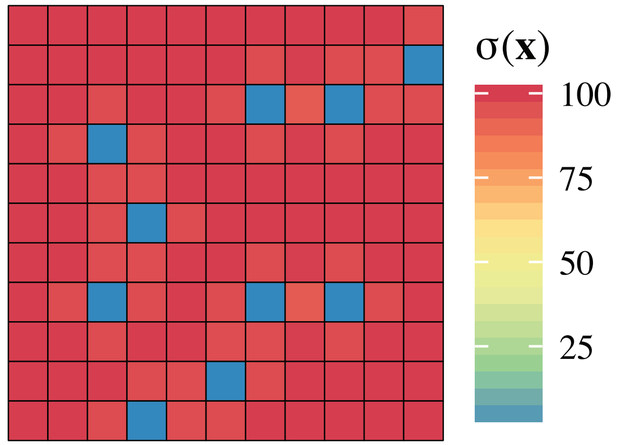


GP-UCB Model

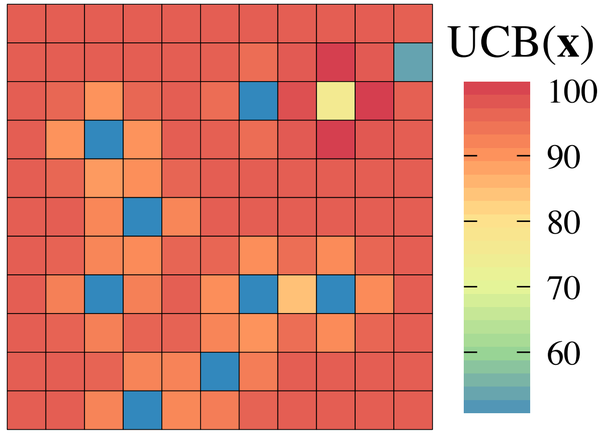
Observations



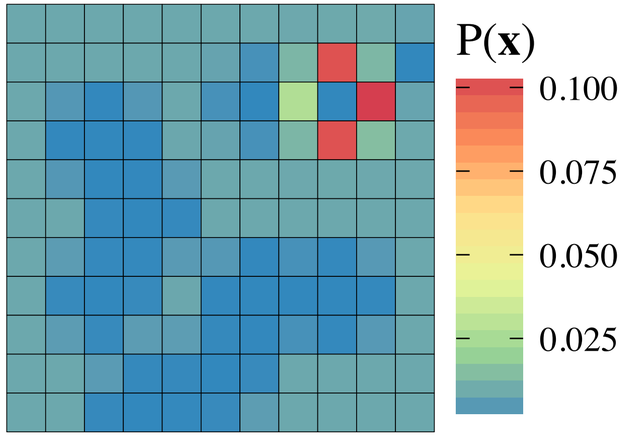
Gaussian Process (GP)



Upper Confidence Bound (UCB) Sampling

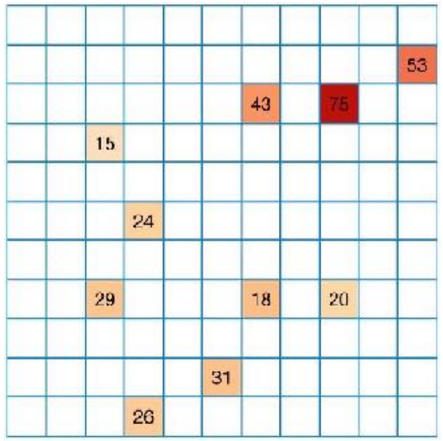


Softmax Choice Rule

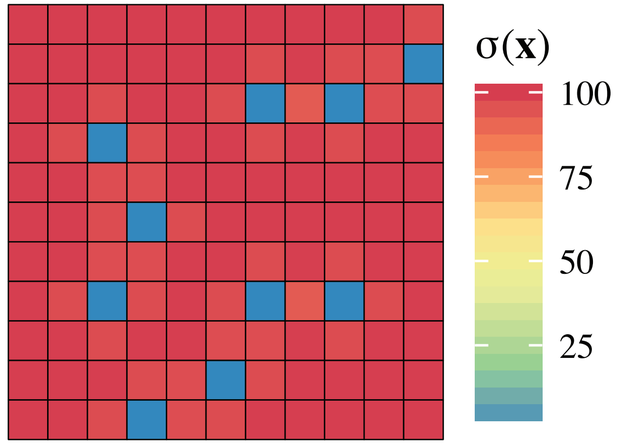


GP-UCB Model

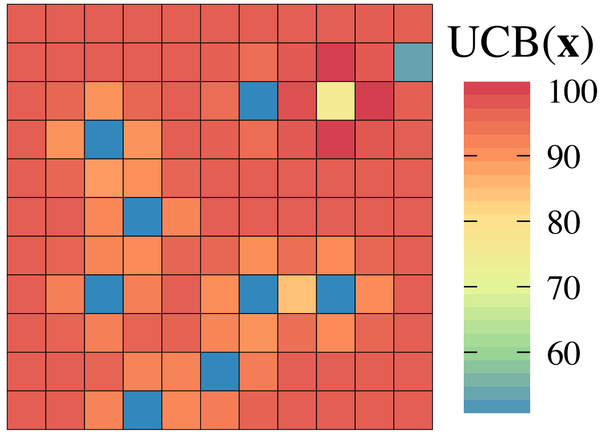
Observations



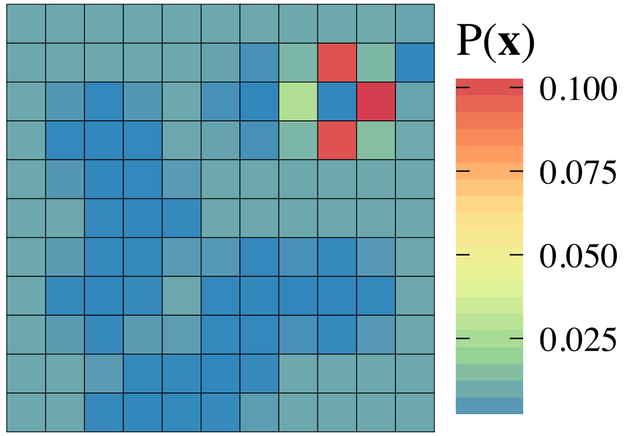
Gaussian Process (GP)



Upper Confidence Bound (UCB) Sampling



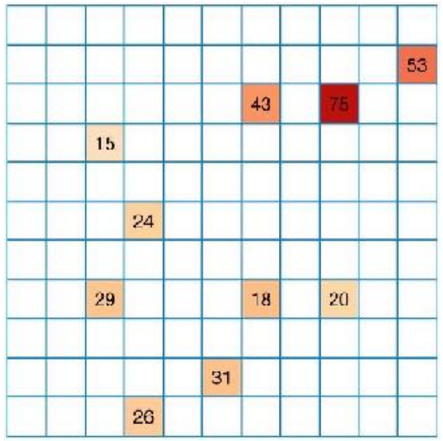
Softmax Choice Rule



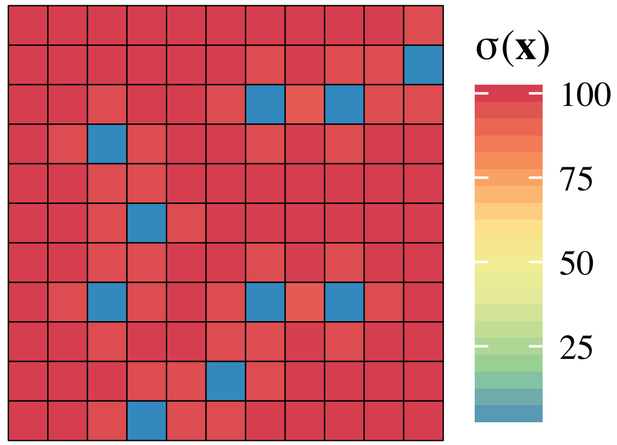
$$k_{RBF}(\mathbf{x}, \mathbf{x}') = \exp\left(-\frac{||\mathbf{x} - \mathbf{x}'||^2}{2\lambda^2}\right)$$

GP-UCB Model

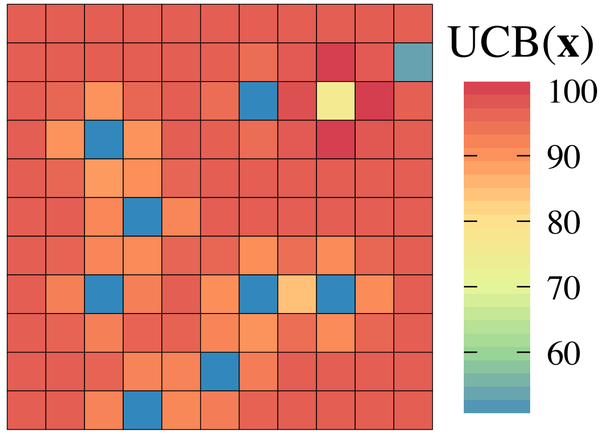
Observations



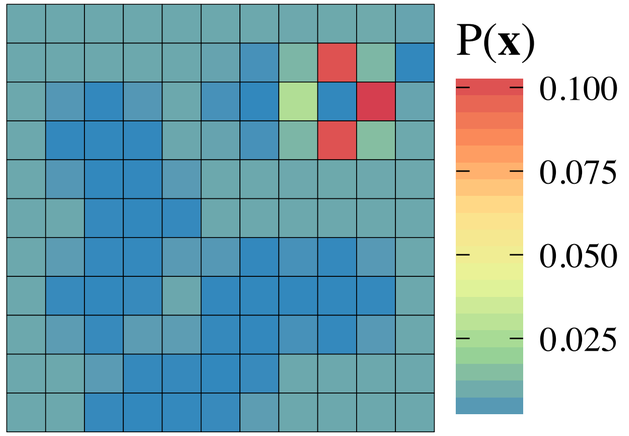
Gaussian Process (GP)



Upper Confidence Bound (UCB) Sampling

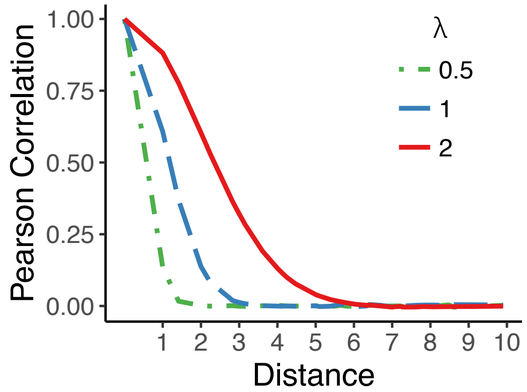


Softmax Choice Rule



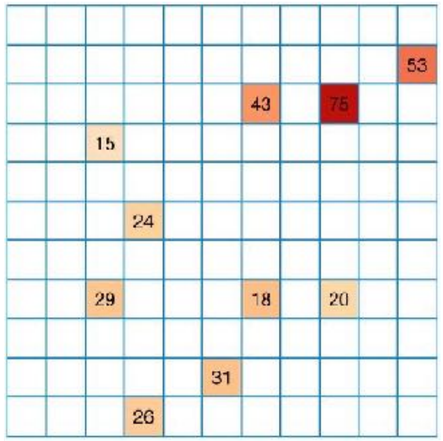
$$k_{RBF}(\mathbf{x}, \mathbf{x}') = \exp\left(-\frac{||\mathbf{x} - \mathbf{x}'||^2}{2\lambda^2}\right)$$

Generalization λ

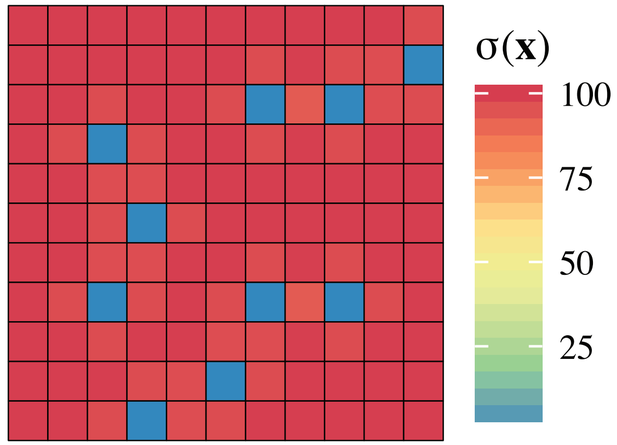


GP-UCB Model

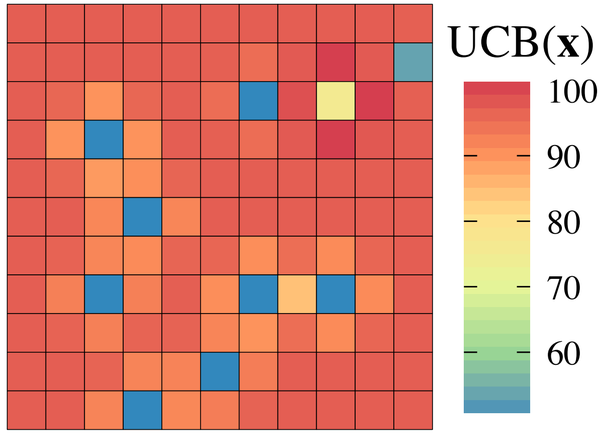
Observations



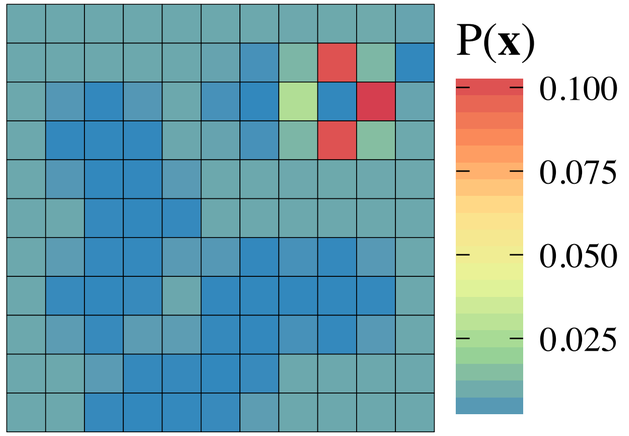
Gaussian Process (GP)



Upper Confidence Bound (UCB) Sampling

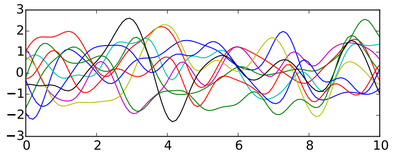


Softmax Choice Rule

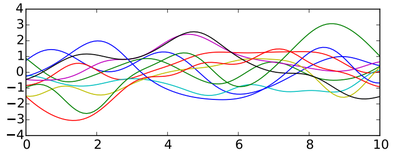


$$k_{RBF}(\mathbf{x}, \mathbf{x}') = \exp\left(-\frac{||\mathbf{x} - \mathbf{x}'||^2}{2\lambda^2}\right)$$

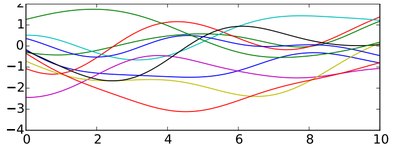
λ=.5



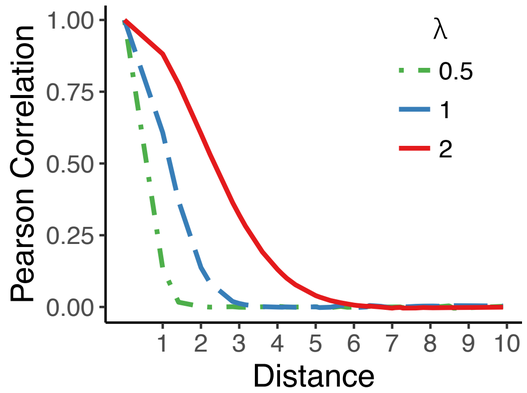
λ=1



λ=2

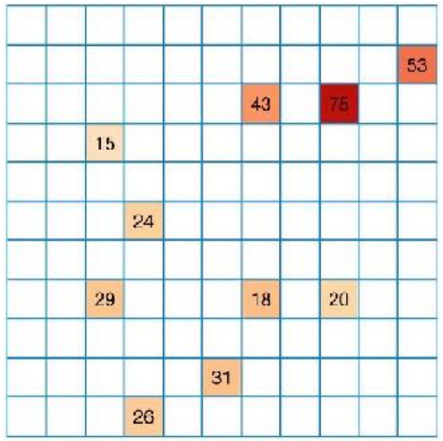


Generalization λ

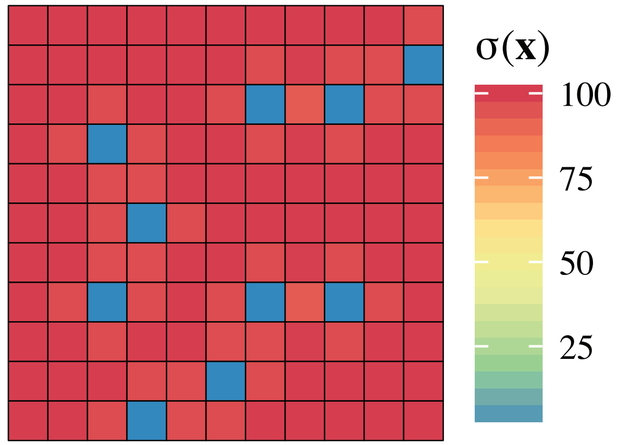


GP-UCB Model

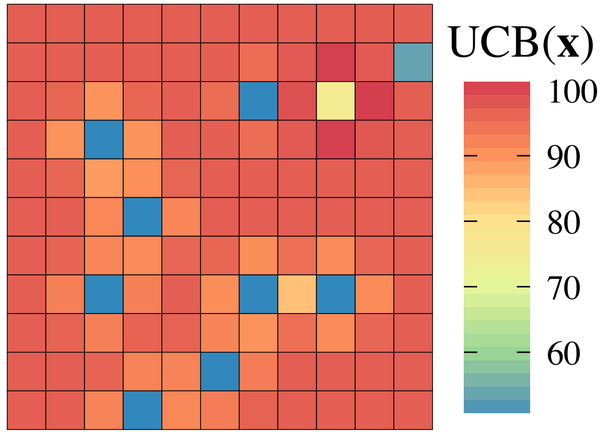
Observations



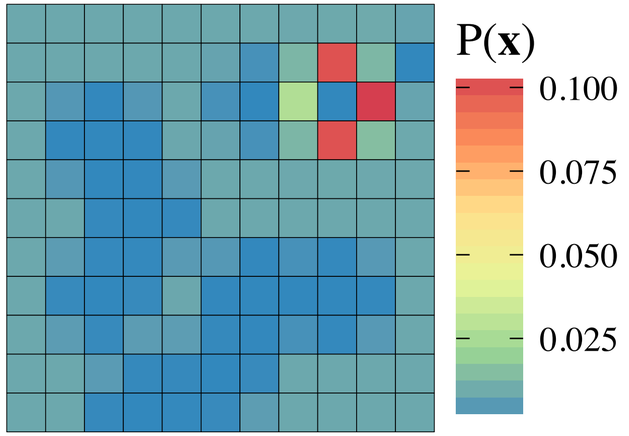
Gaussian Process (GP)



Upper Confidence Bound (UCB) Sampling



Softmax Choice Rule



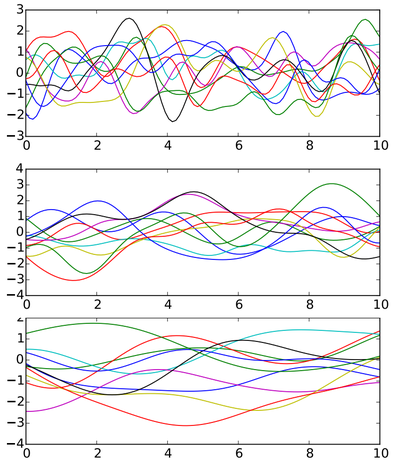
$$k_{RBF}(\mathbf{x},\mathbf{x}') = \exp\left(-\frac{||\mathbf{x}-\mathbf{x}'||^2}{2\lambda^2}\right)$$

$$UCB(\mathbf{x}_i) = \mu(\mathbf{x}_i) + \beta\sigma(\mathbf{x}_i)$$

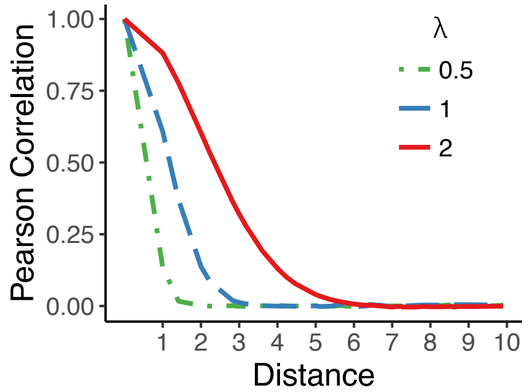
λ=.5

λ=1

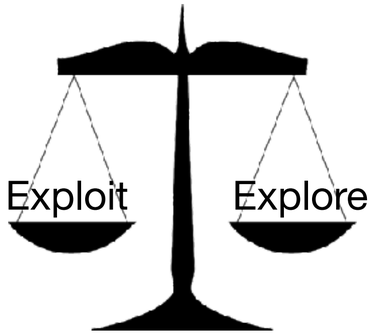
λ=2



Generalization λ

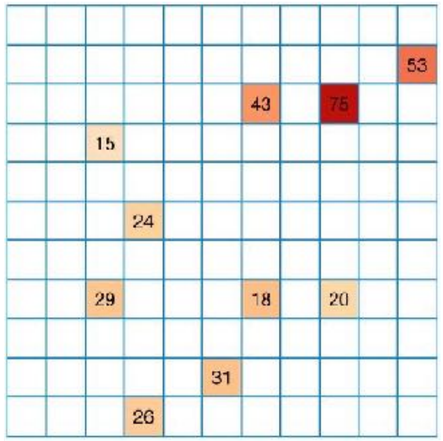


Exploration β

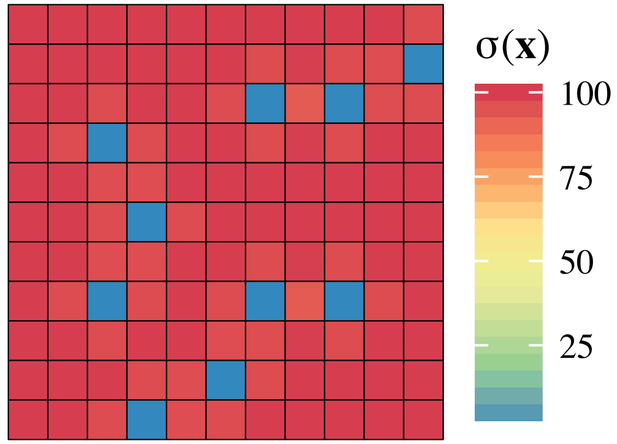


GP-UCB Model

Observations



Gaussian Process (GP)



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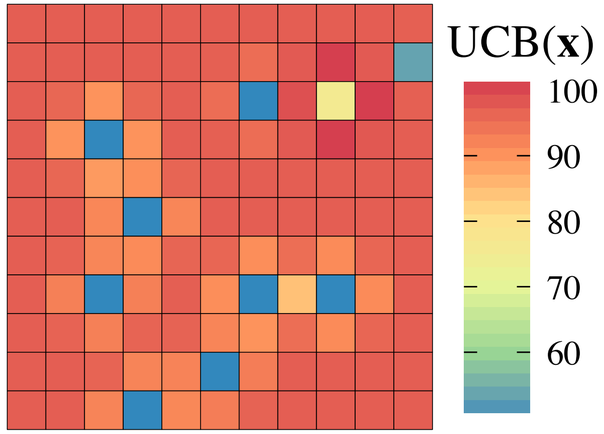
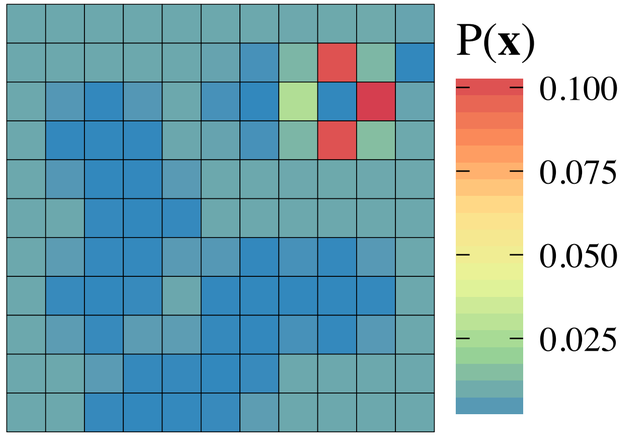


Softmax Choice Rule

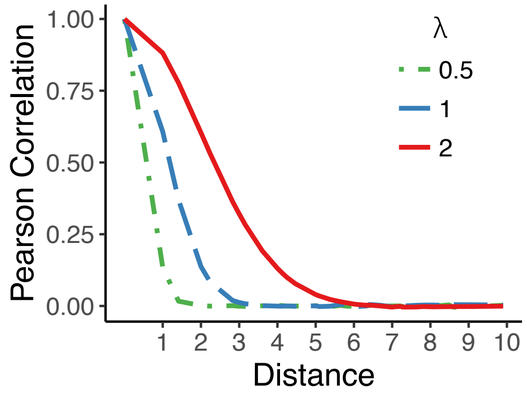


$$k_{RBF}(\mathbf{x}, \mathbf{x}') = \exp\left(-\frac{||\mathbf{x} - \mathbf{x}'||^2}{2\lambda^2}\right)$$

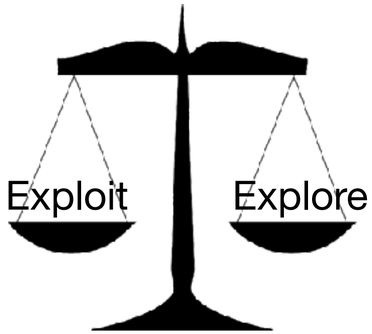
$$UCB(\mathbf{x}_i) = \mu(\mathbf{x}_i) + \beta\sigma(\mathbf{x}_i)$$

$$P(\mathbf{x}_i) \propto \exp(UCB(\mathbf{x}_i)/\tau)$$

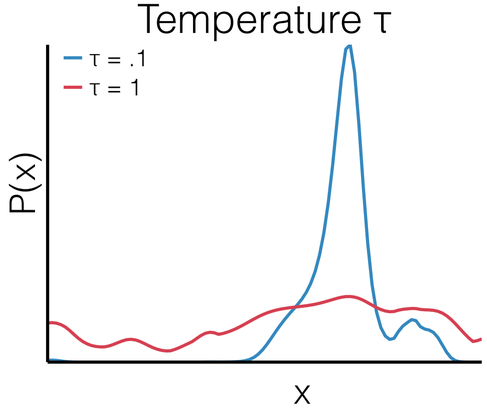
Generalization λ



Exploration β

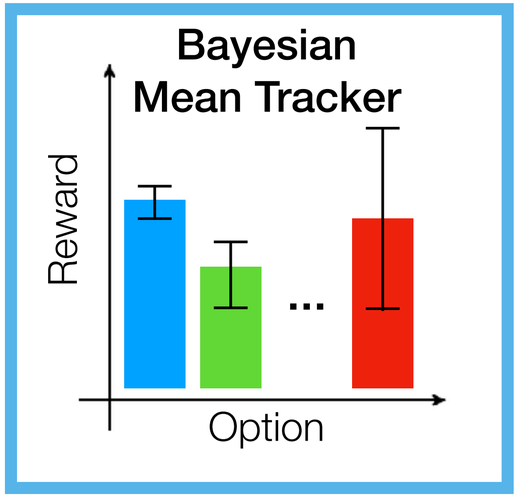


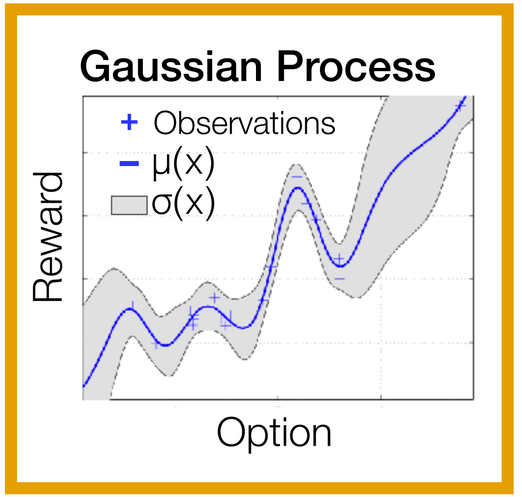
Temperature τ



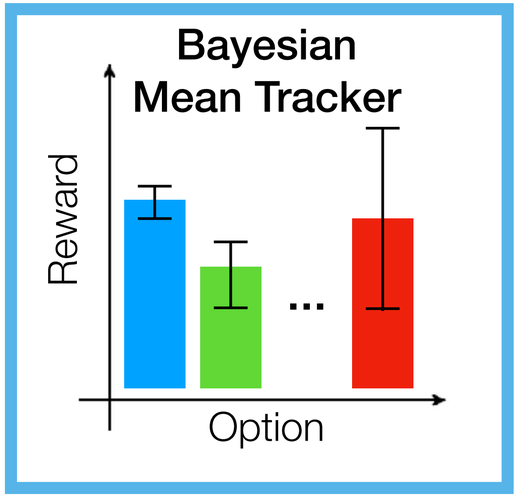
Model Comparison

- **Traditional RL model:**
  - Learns the value of each option independently
    - e.g., Rescorla-Wagner, Q-learning, Kalman Filter, *Bayesian Mean Tracker* (BMT), etc…
  - Can balance explore-exploit dilemma using a variety of sampling strategies, but offers limited guidance about *where to explore*
- **Function learning model:**
  - Uses function approximation to generalize about novel option
    - e.g., Neural Network function approximators, *Gaussian Process* (GP) model, etc…
  - Balances explore-exploit using the same sampling strategies as option learning models, but also makes predictions about *where* to explore through generalization

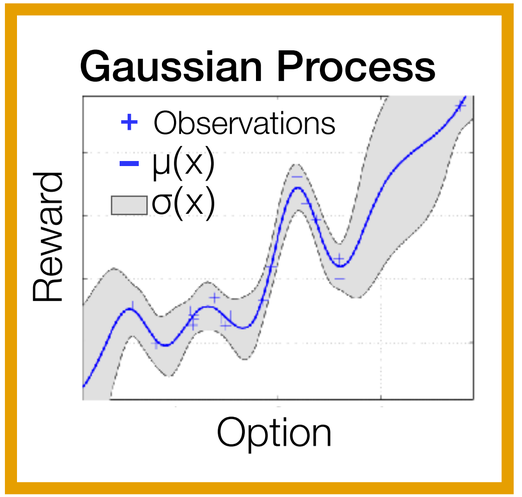




Model Comparison

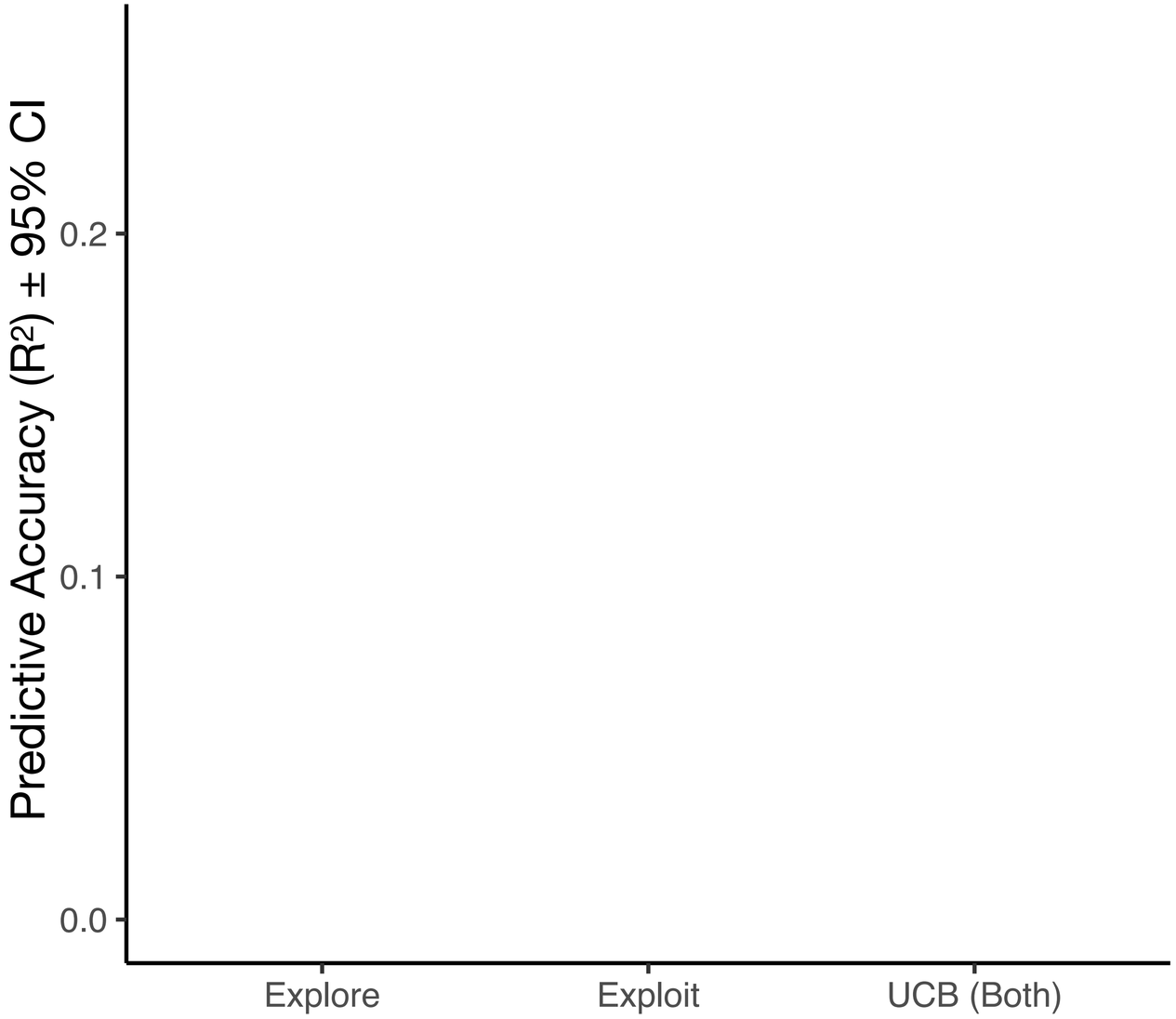


$$R^2 = 1 - \frac{\log \mathcal{L}(\mathcal{M}_k)}{\log \mathcal{L}(\mathcal{M}_{\text{rand}})}$$

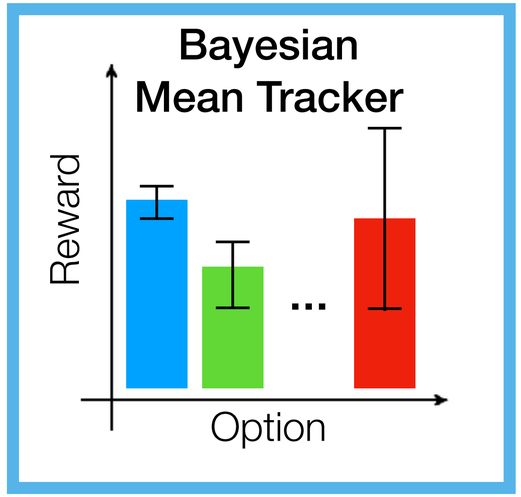


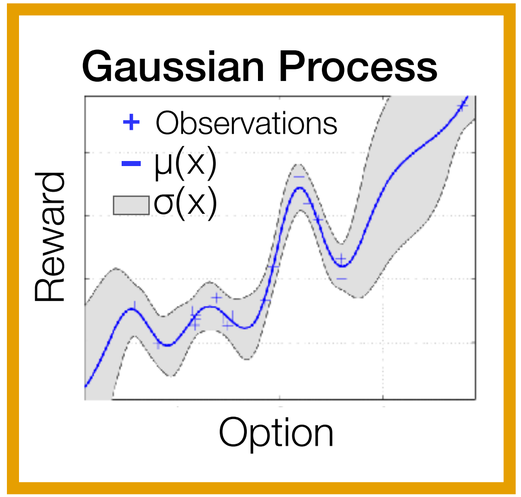
Exp. 2 from Wu et al., (*Nature Human Behaviour* 2018)

Model Comparison



$$R^2 = 1 - \frac{\log \mathcal{L}(\mathcal{M}_k)}{\log \mathcal{L}(\mathcal{M}_{\text{rand}})}$$

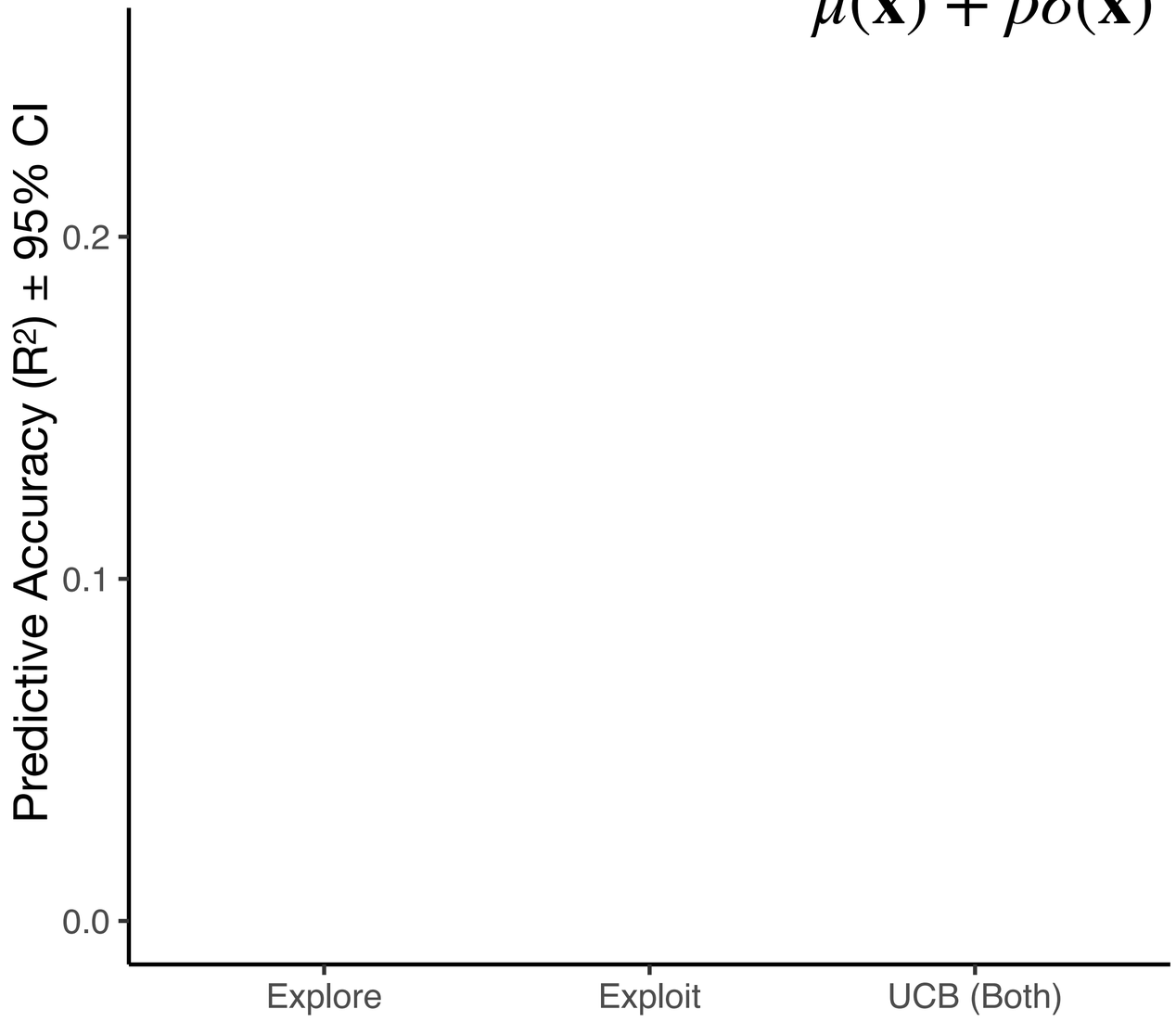




Exp. 2 from Wu et al., (*Nature Human Behaviour* 2018)

Model Comparison

$$\mu(\mathbf{x}) + \beta\sigma(\mathbf{x})$$



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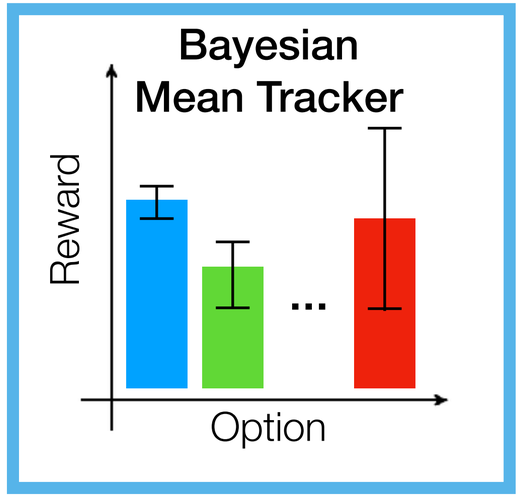
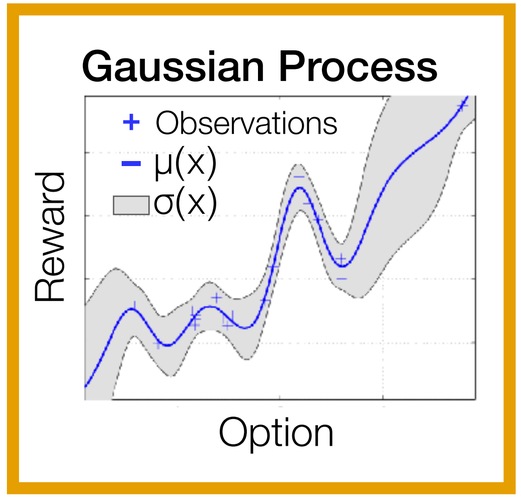
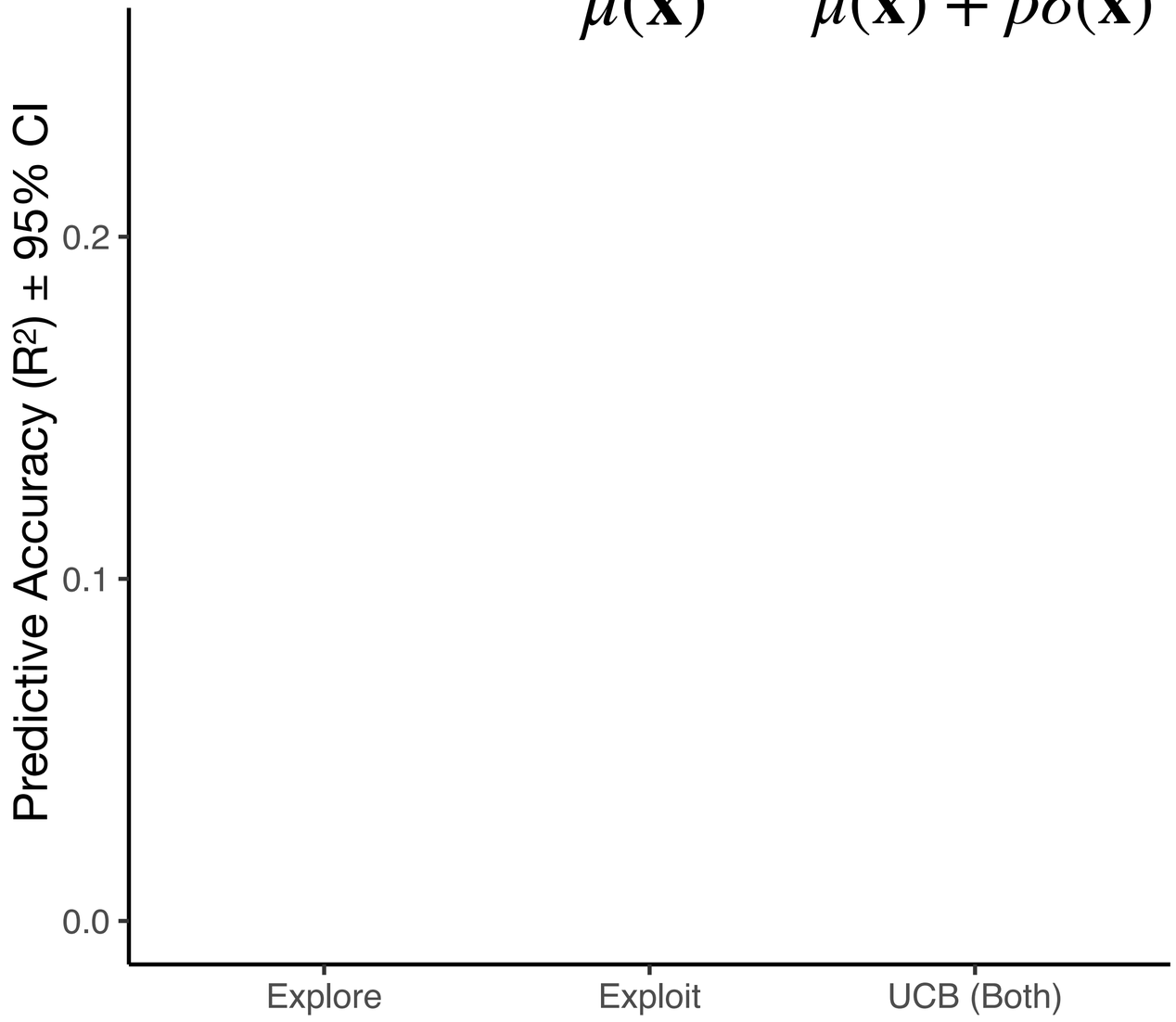




Exp. 2 from Wu et al., (*Nature Human Behaviour* 2018)

Model Comparison

$\mu(\mathbf{x})$ $\mu(\mathbf{x}) + \beta\sigma(\mathbf{x})$



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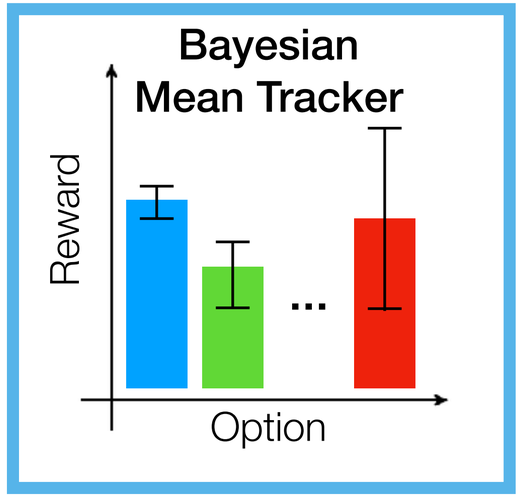
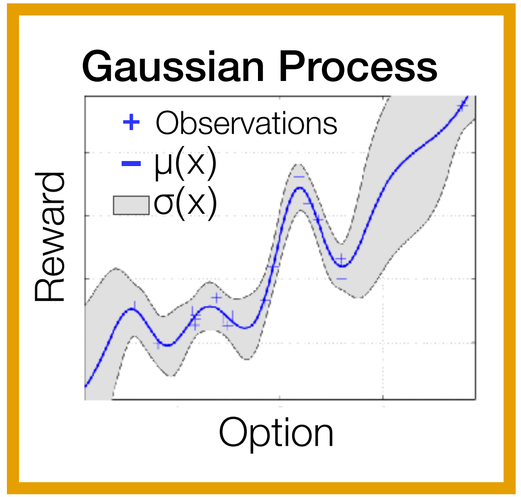
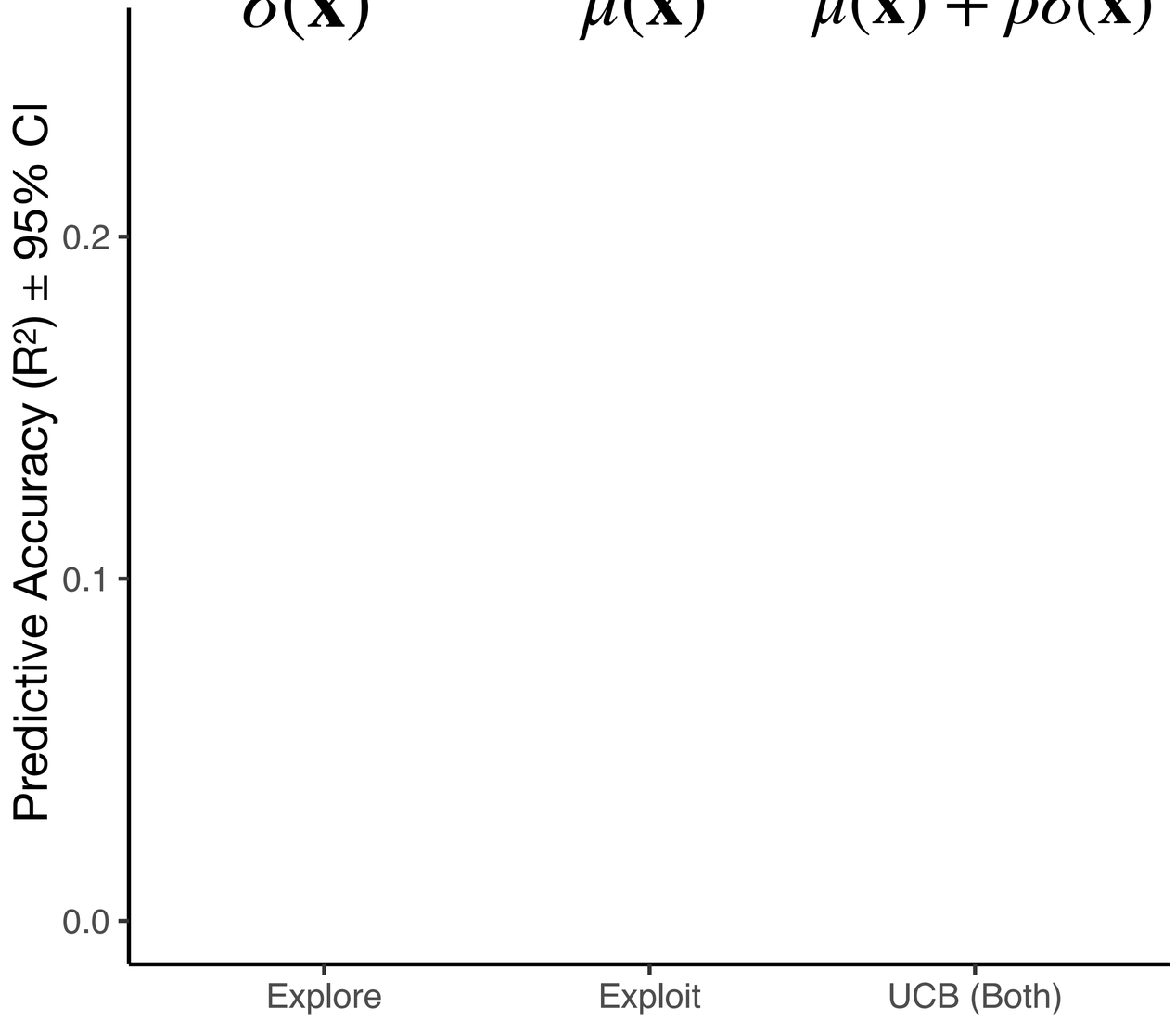


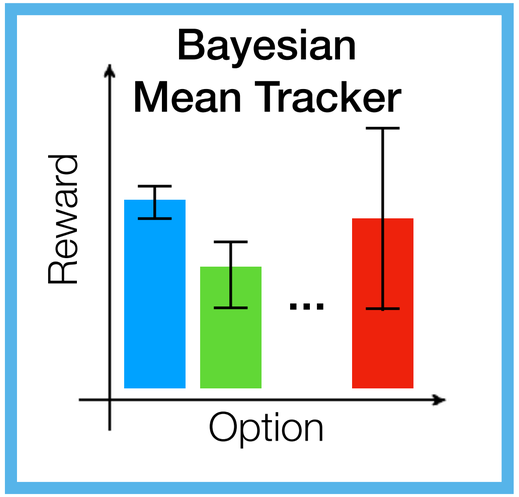


Exp. 2 from Wu et al., (*Nature Human Behaviour* 2018)

Model Comparison

$\sigma(\mathbf{x})$ $\mu(\mathbf{x})$ $\mu(\mathbf{x}) + \beta\sigma(\mathbf{x})$





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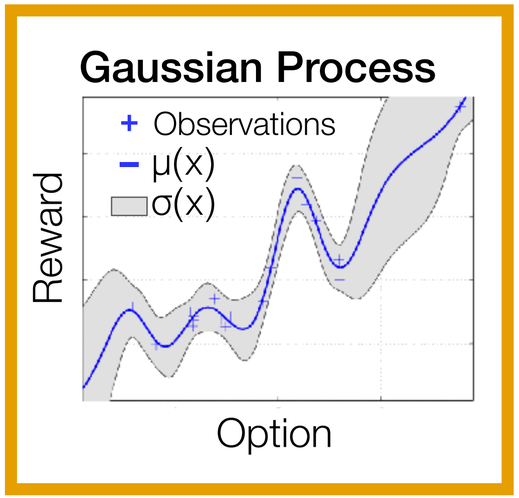

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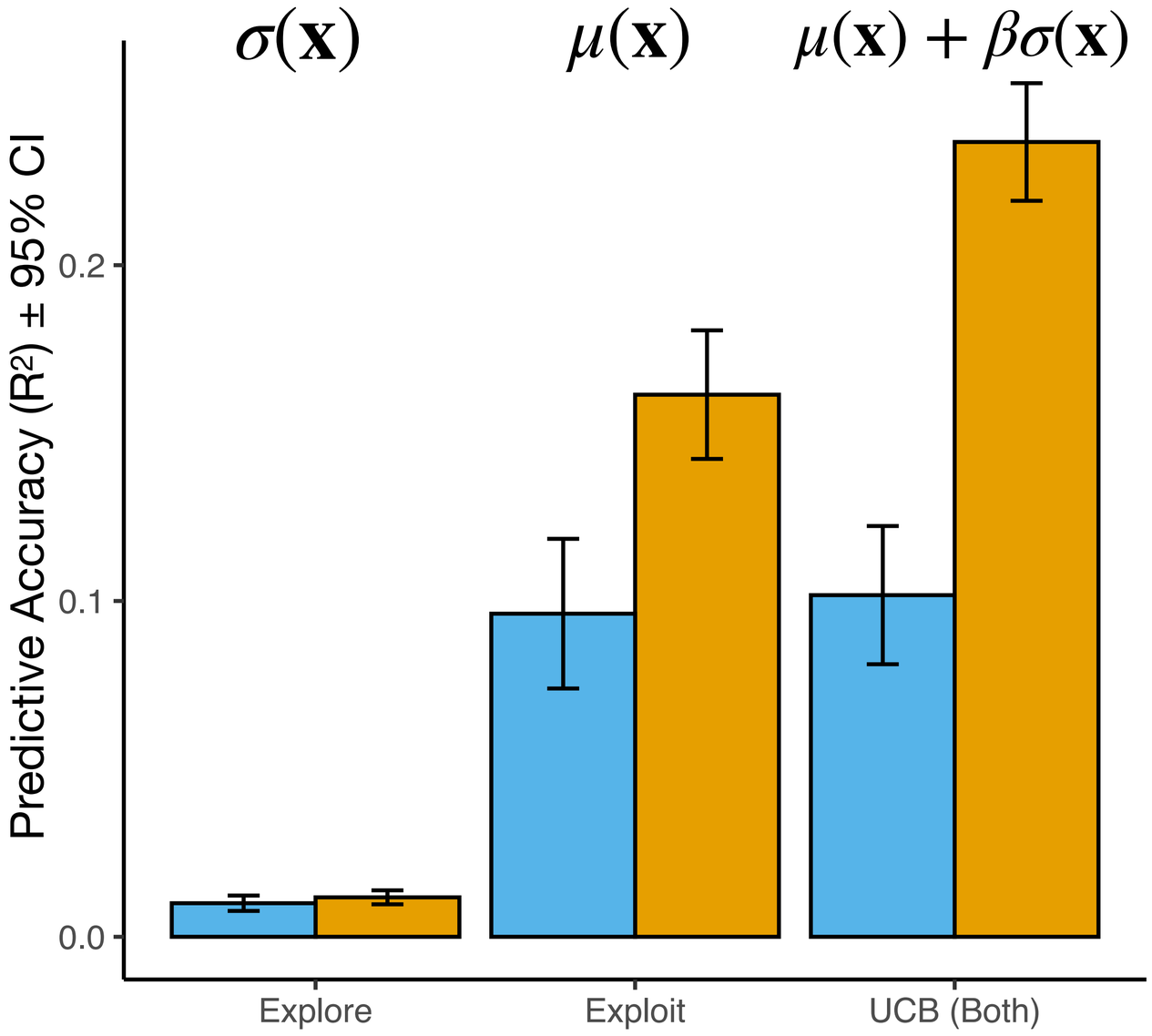
Model Comparison



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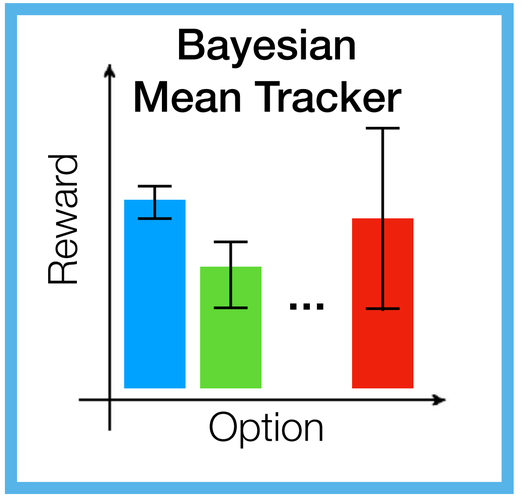

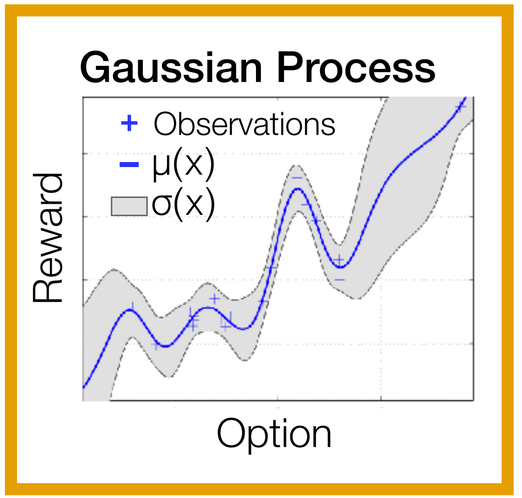

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Establishing a new paradigm

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   Meder, Wu, Schulz & Ruggeri (*Dev Sci* in press)

3. Graph-structured Generalization
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   Wu, Schulz & Gershman (*CCN* 2019)
   Wu, Schulz & Gershman (*Comput Brain Behav* 2020)

4. Search in abstract conceptual spaces
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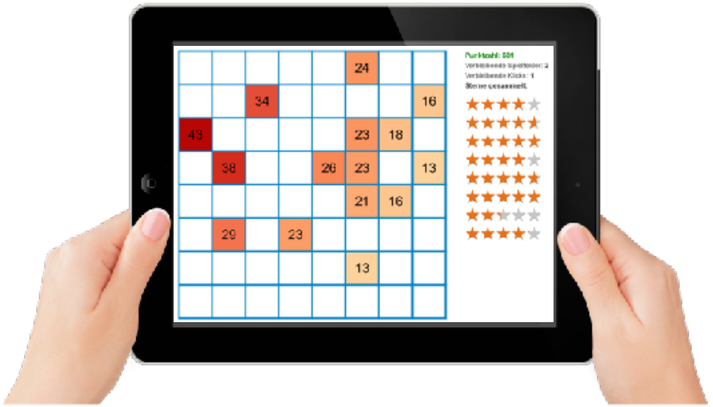

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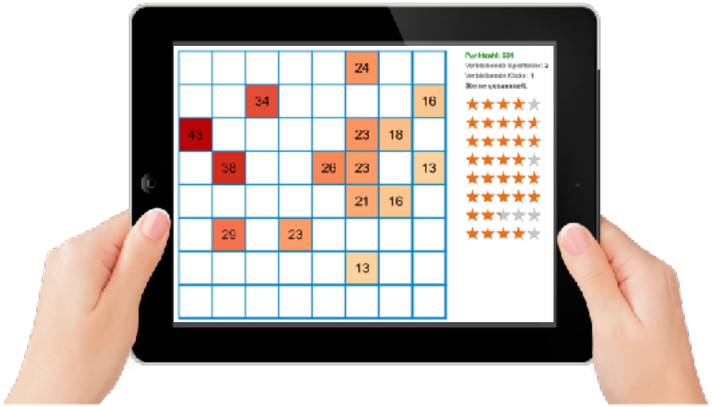

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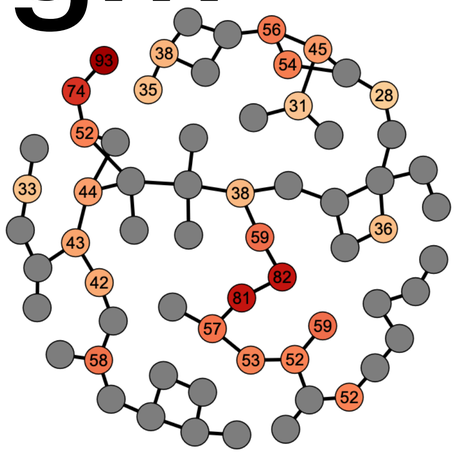

Wu, Schulz & Gershman (*CBB* 2020)

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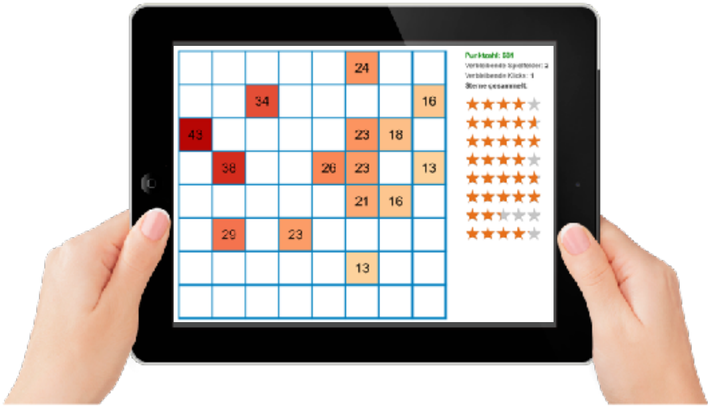

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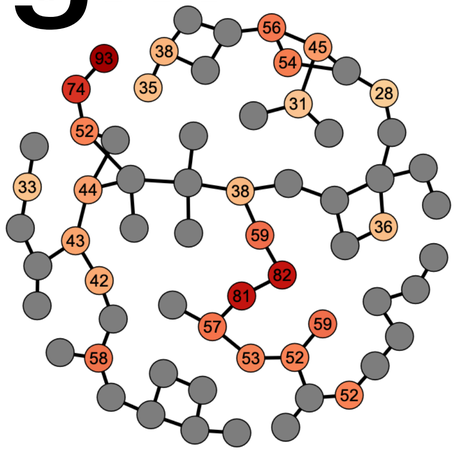

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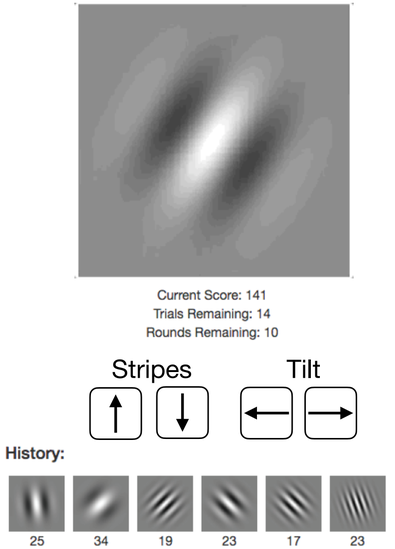

Wu, Schulz, Garvert, Meder & Schuck
(*PLOS Comp Bio* 2020)

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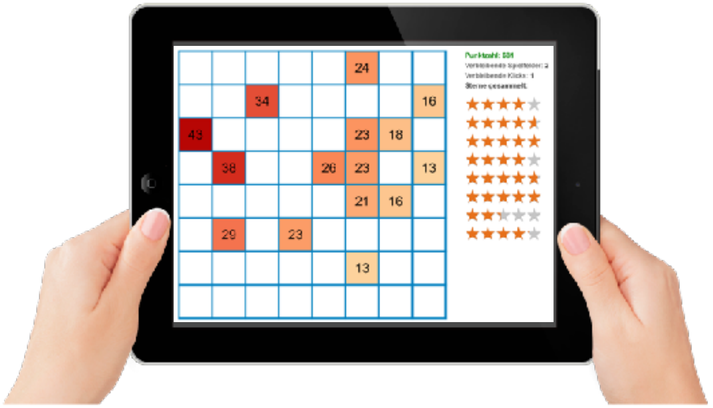

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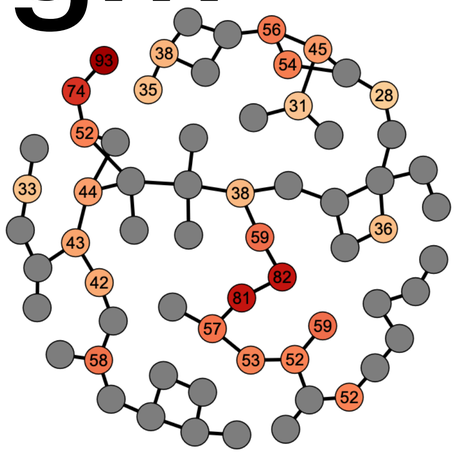

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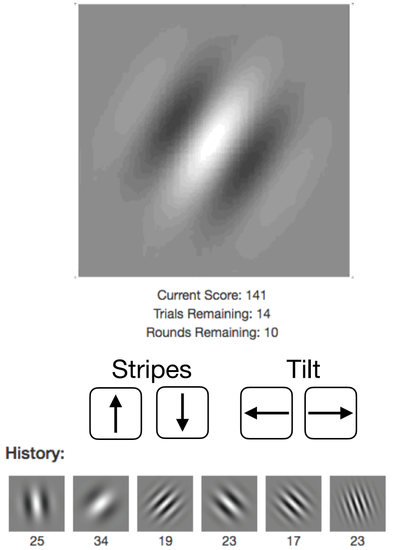

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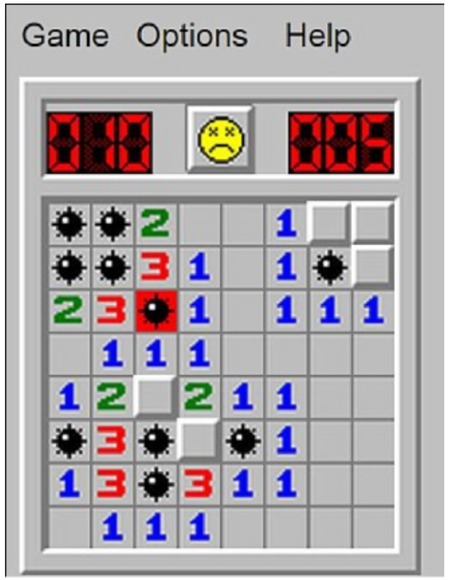

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https://github.com/charleywu/gridsearch

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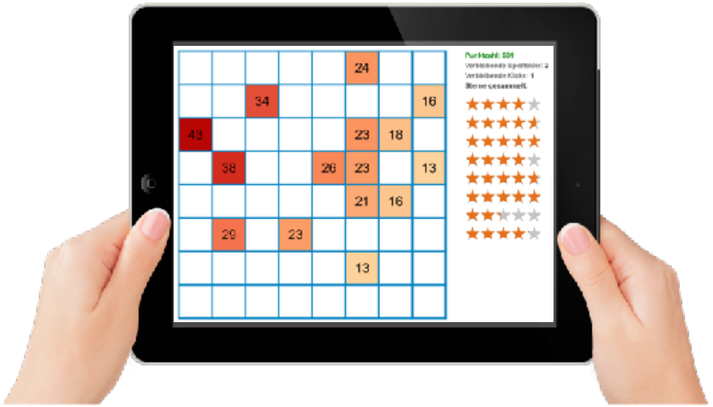

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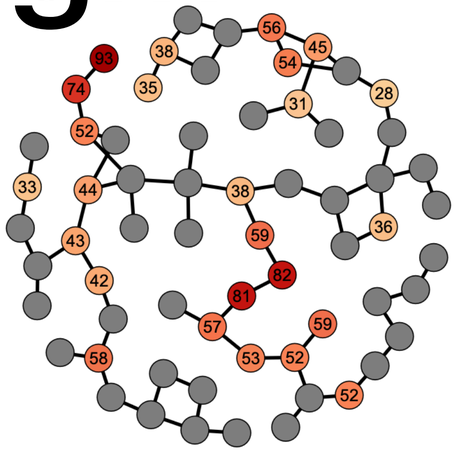

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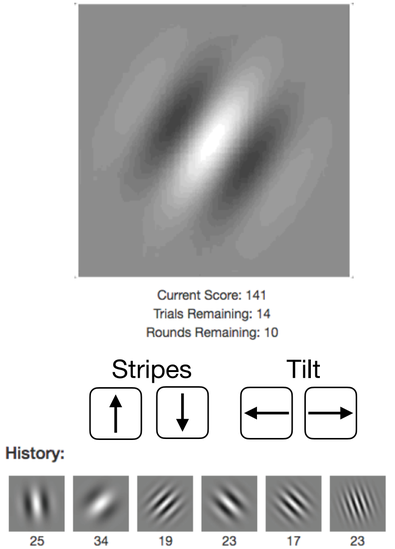

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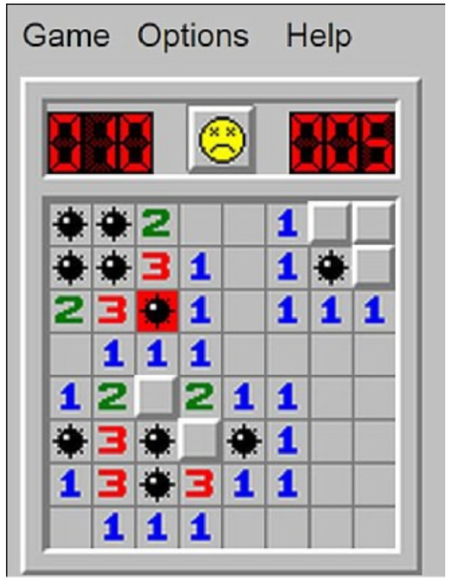

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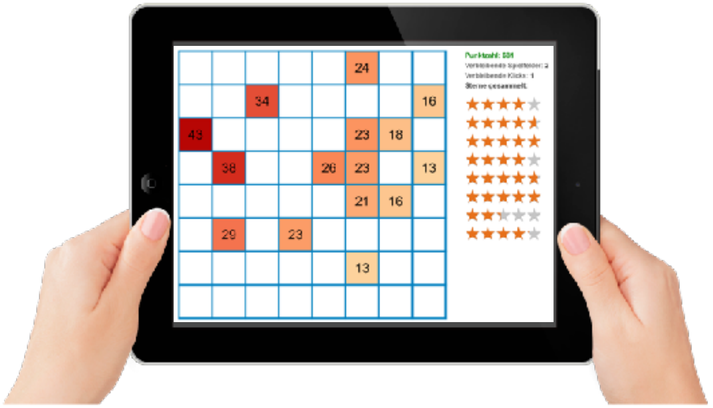

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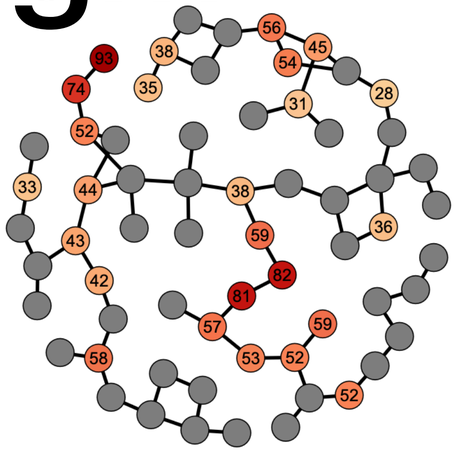

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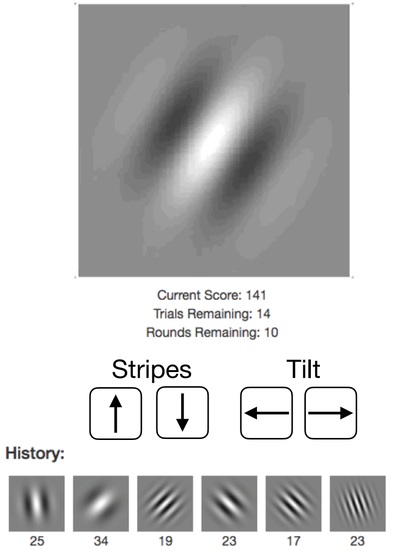

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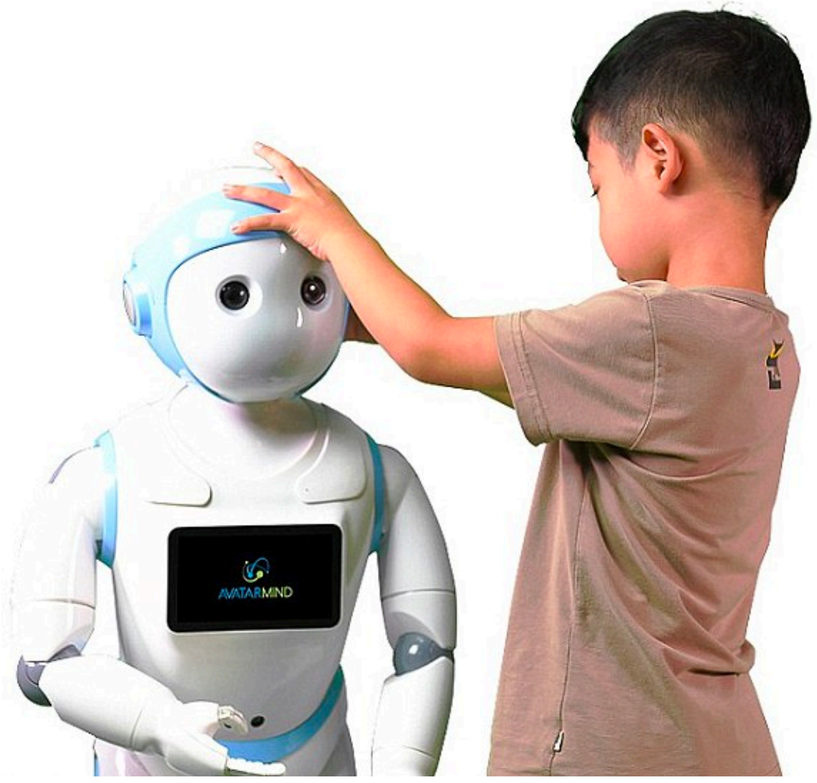
Part 2

How to learn like a child

What AI can learn from Children

- Josh Tenenbaum (MIT): Children are the only known information processing system that demonstrably and reproducibly develop into intelligent systems
- Turing (1950) suggested we should build AI that learns like a child
- How do children learn differently from adults?



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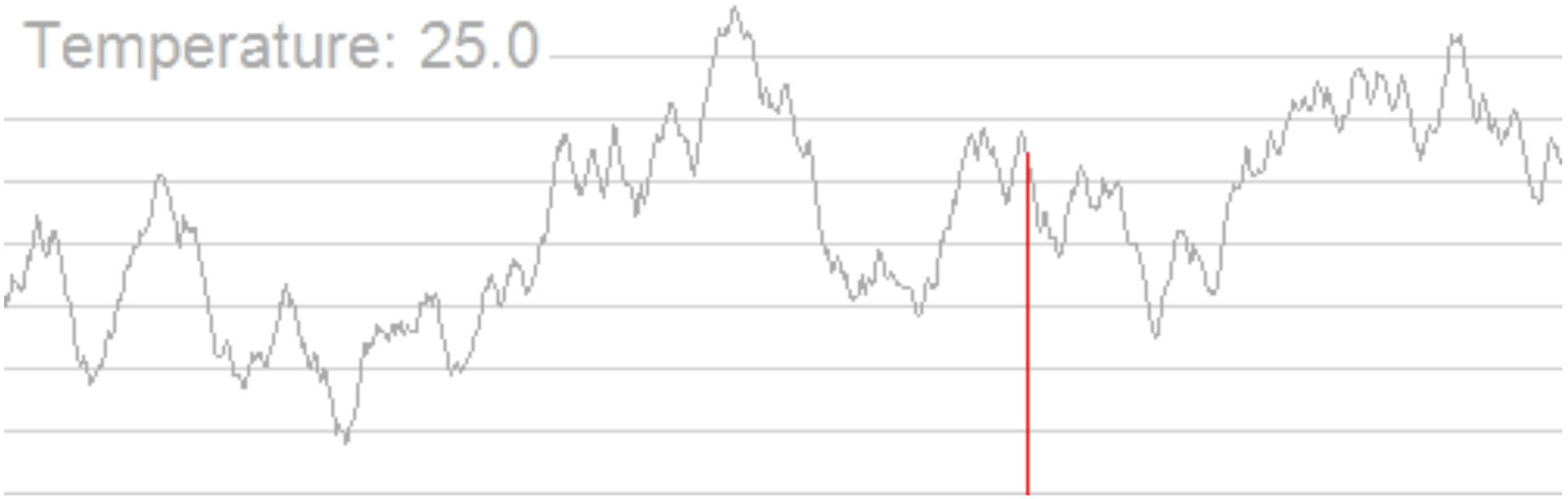
- How do children learn differently from adults?
- One robust finding is they are highly variable!

video by Francis Vachon

What explains the extensive variability found in children's search behavior?

- High temperature sampling hypothesis:
  - Children initially perform high-temperature search, which gradually "cool offs" as they grow older (Gopnik et al., *Curr Dir Psych Sci* 2017)



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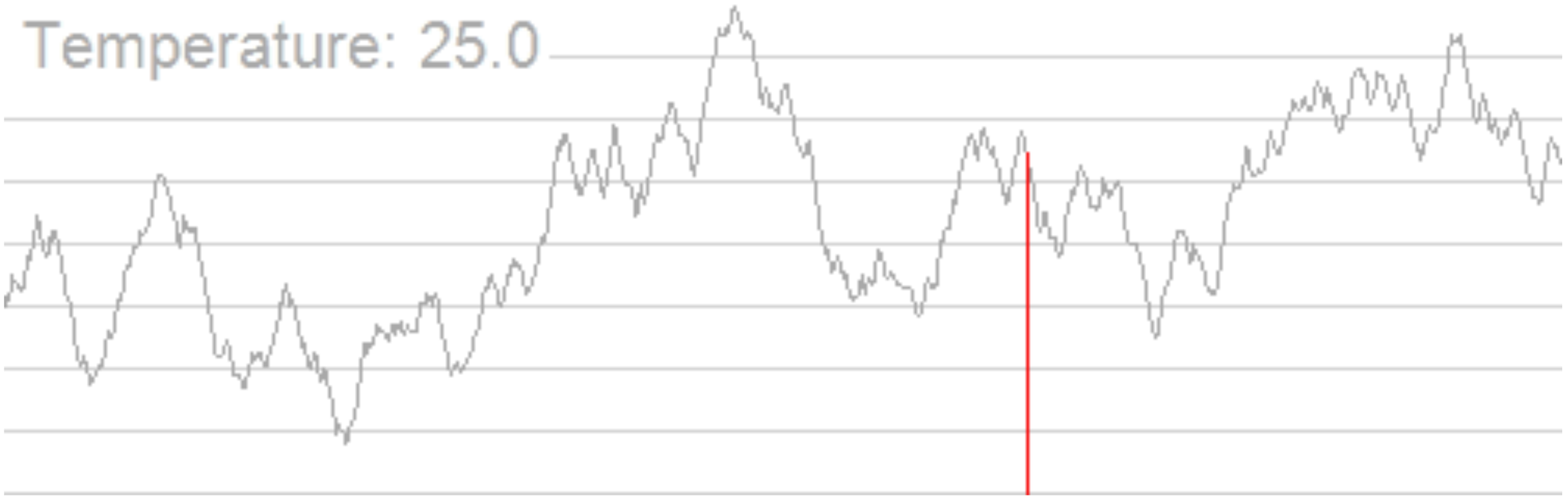
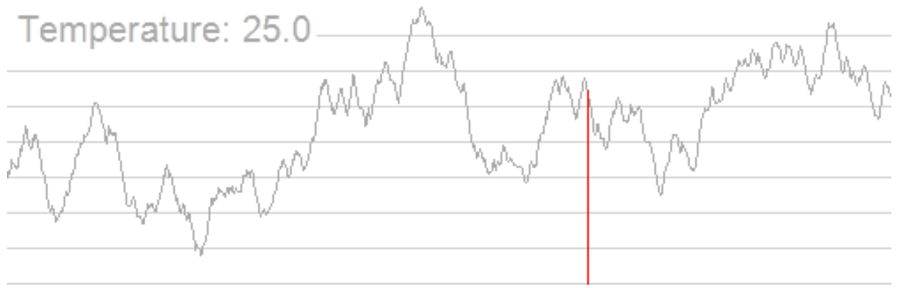


Sources of Developmental Differences

- Higher **temperature** sampling that cools off over age? (Gopnik et al., 2017)



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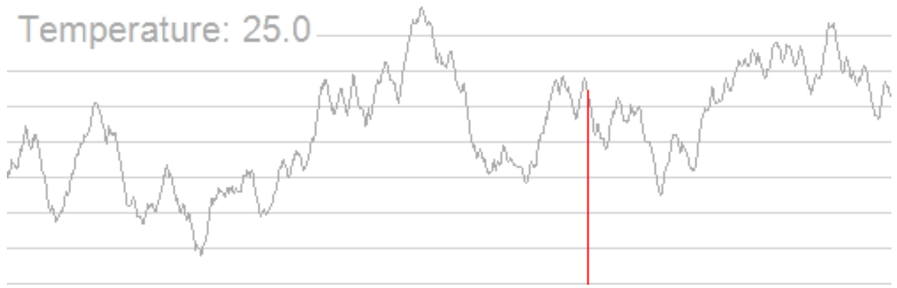

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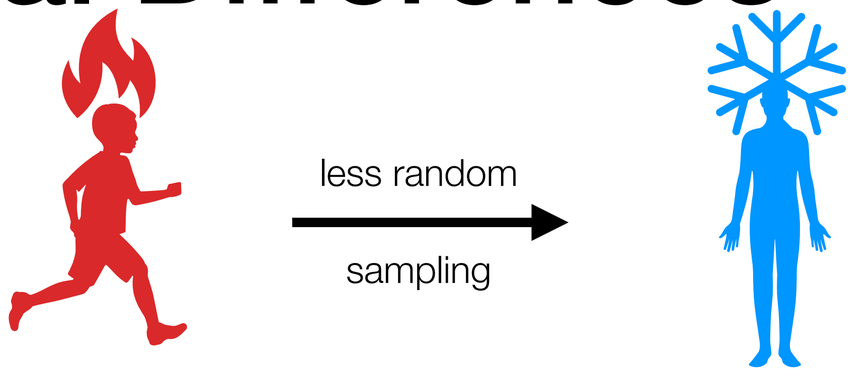
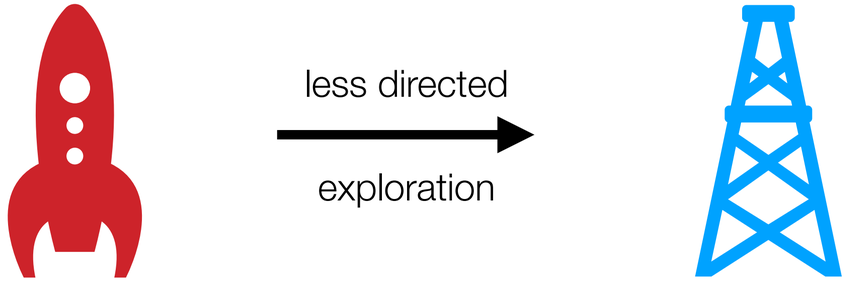


- Changes in **directed exploration** rather than random exploration? (Wilson et al., 2014)



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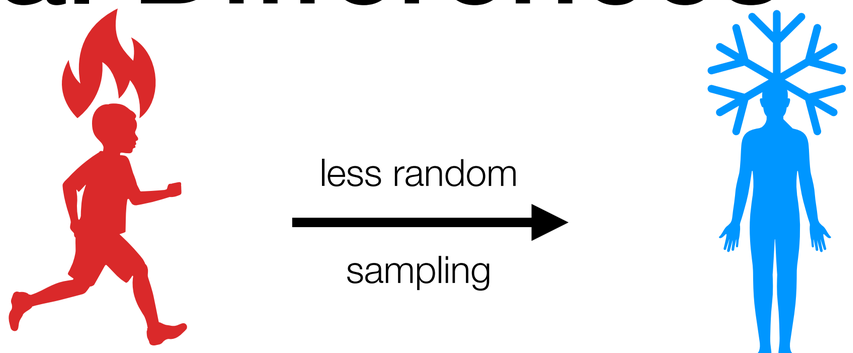

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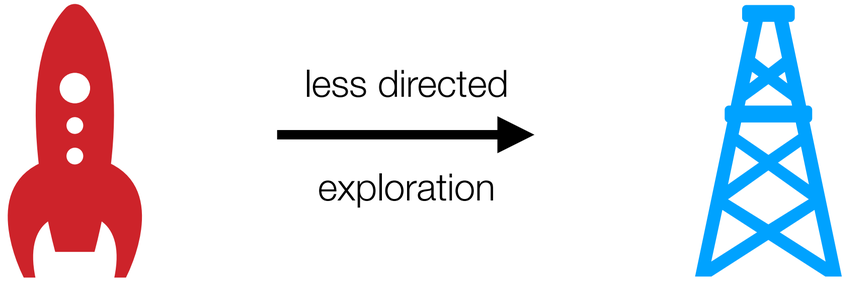
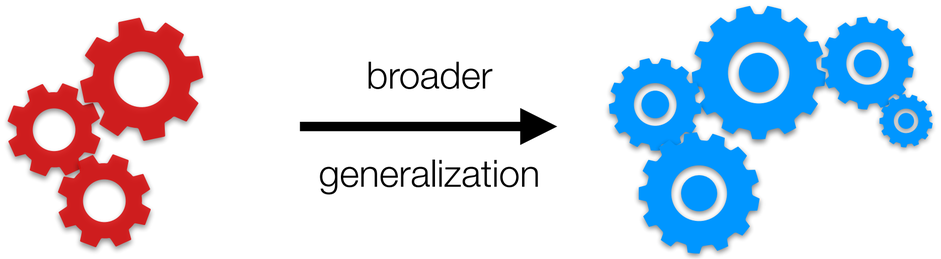


- Refinement of cognitive representations and processes supporting **generalization**? (Blanco et al., 2016)



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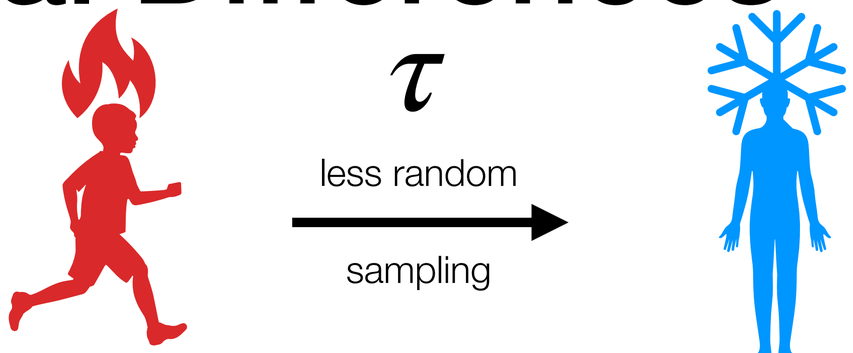

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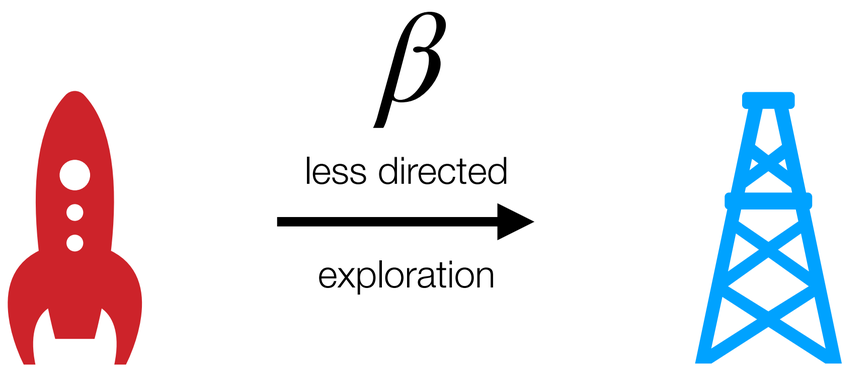

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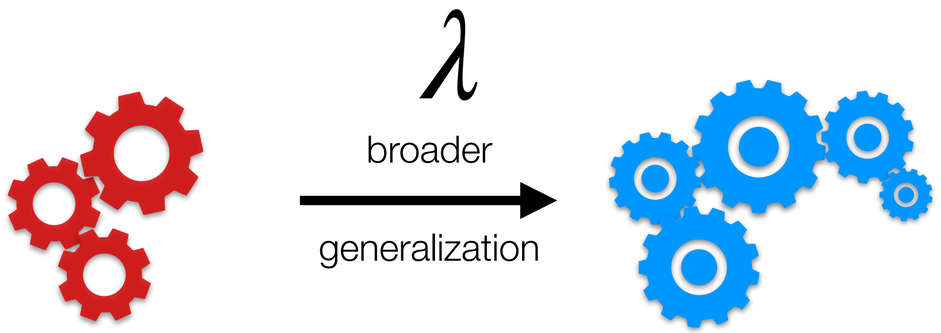

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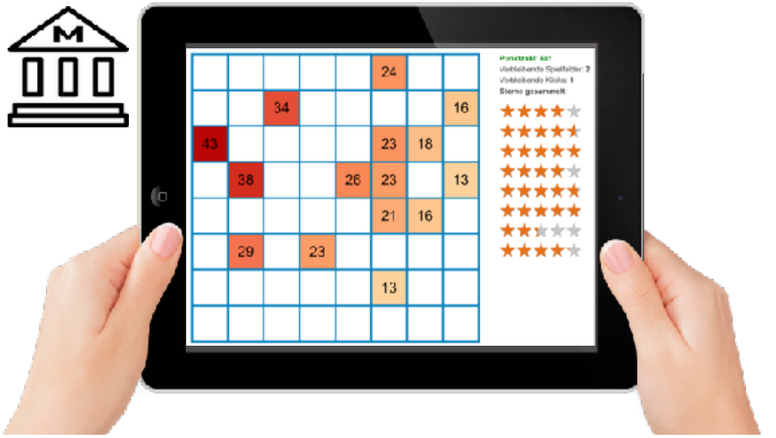


Meder, Wu, Schulz, & Ruggeri (*DevSci* 2021)

$n = 52$

Schulz, Wu, Ruggeri, & Meder (*PsychSci* 2019)

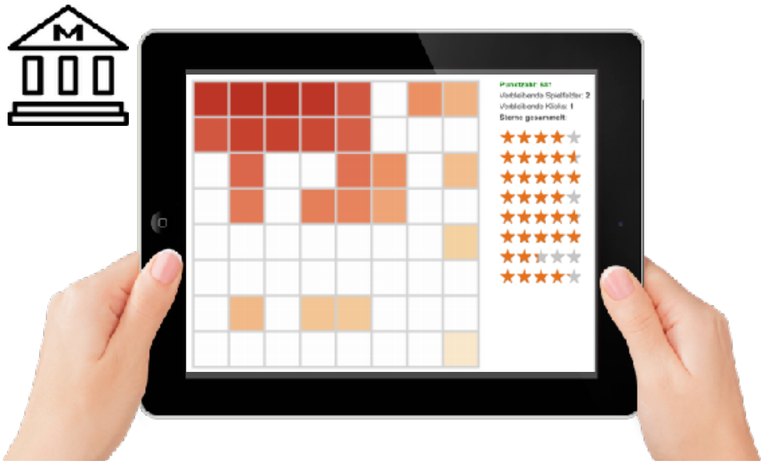
$n = 79$

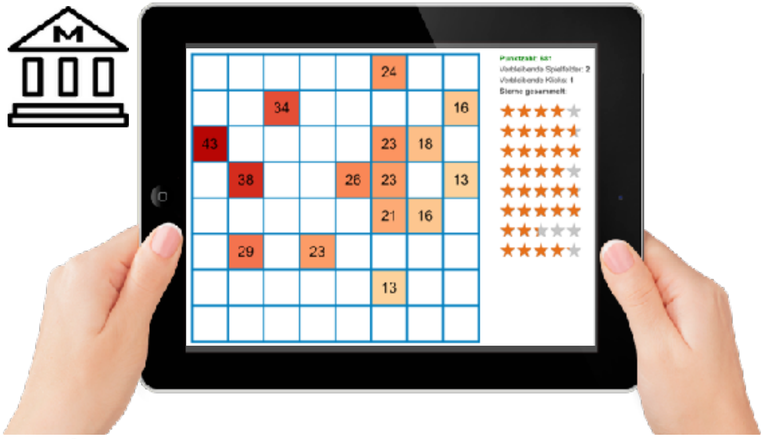
$n = 150$

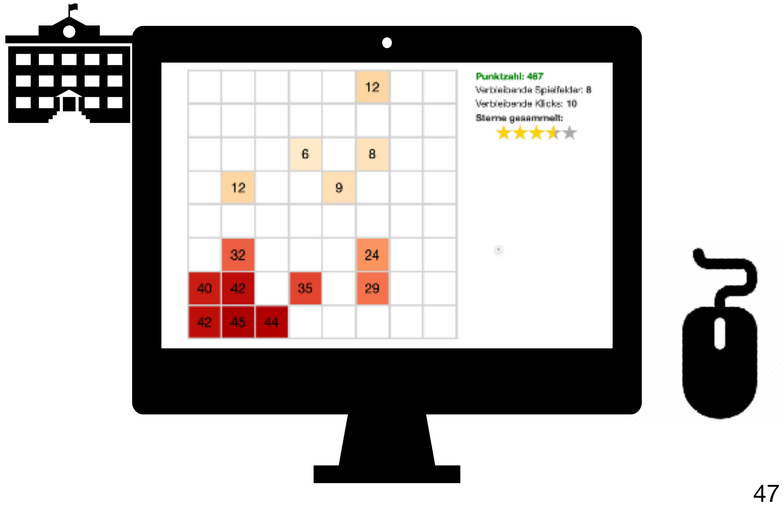
Unpublished data

Count

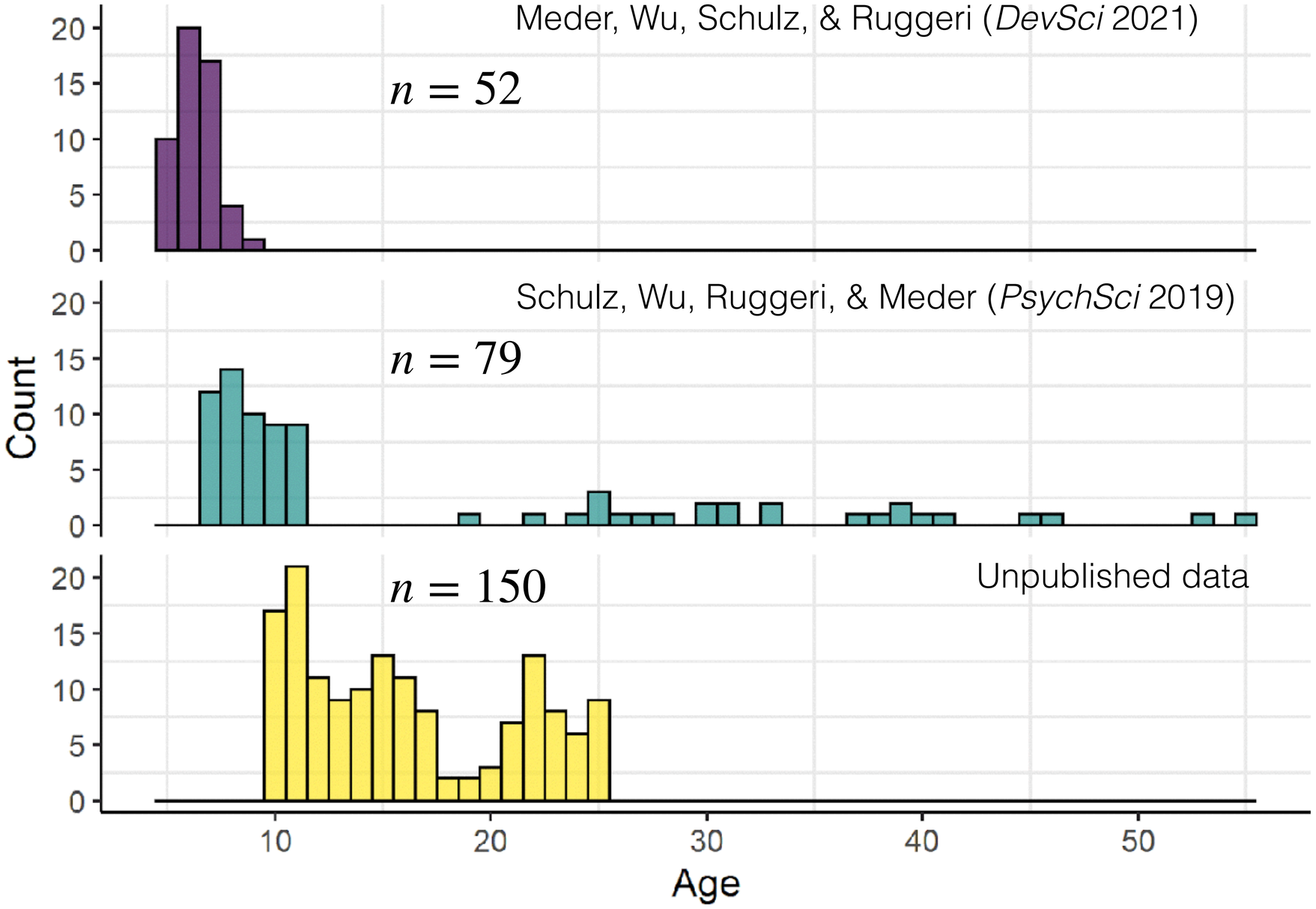
Age

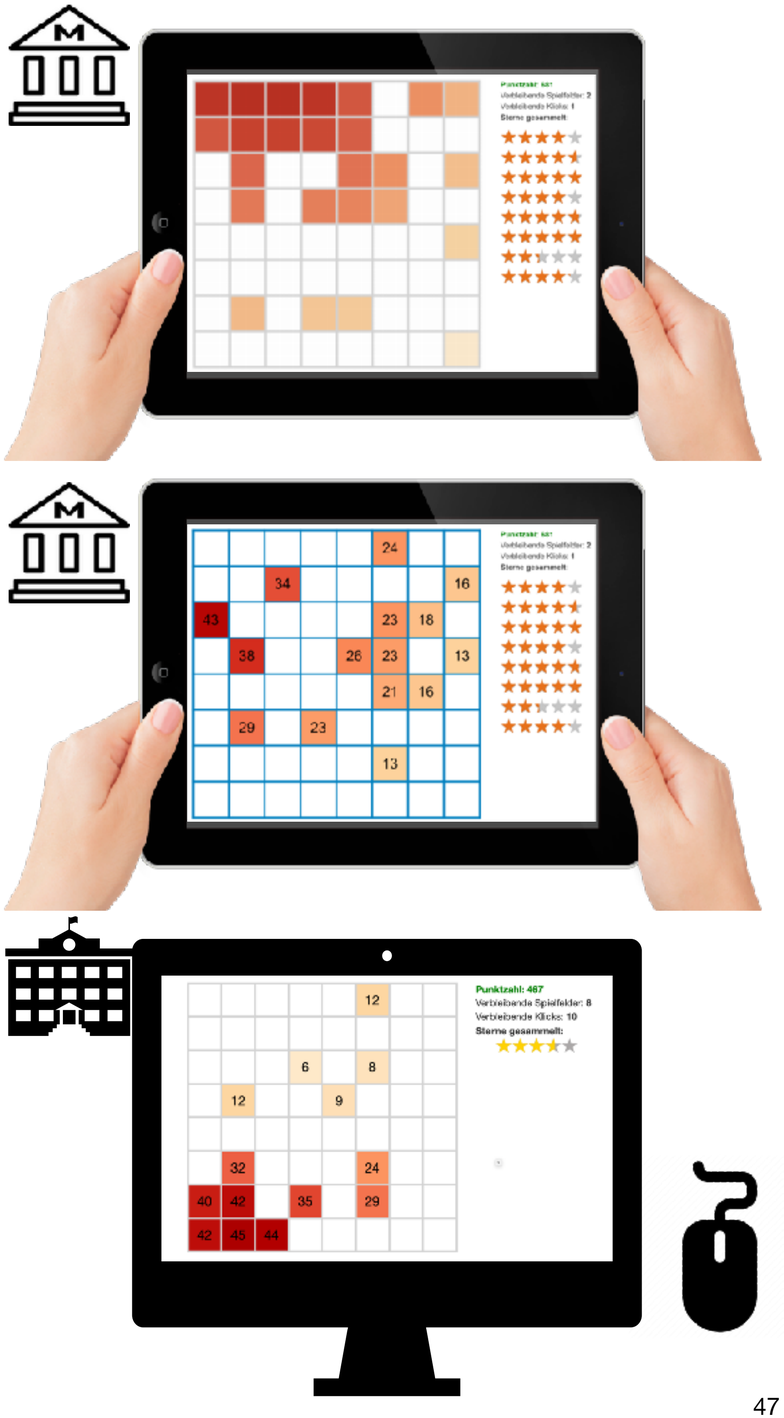






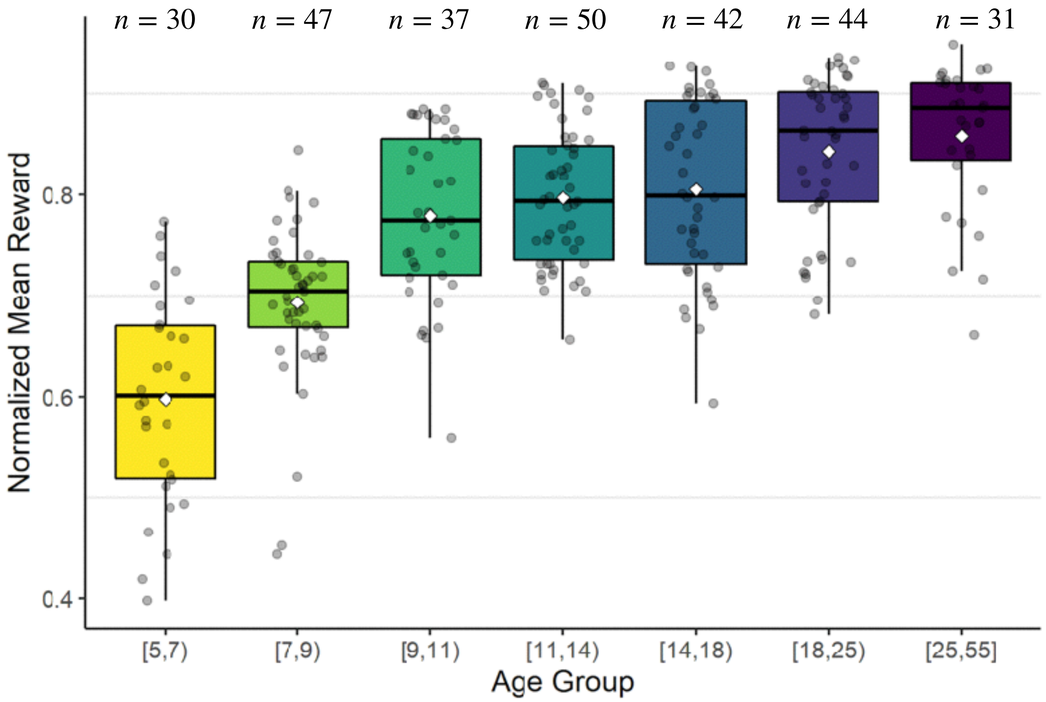
Filtered to use the same sets of environments, same grid size, and same number of trials per round





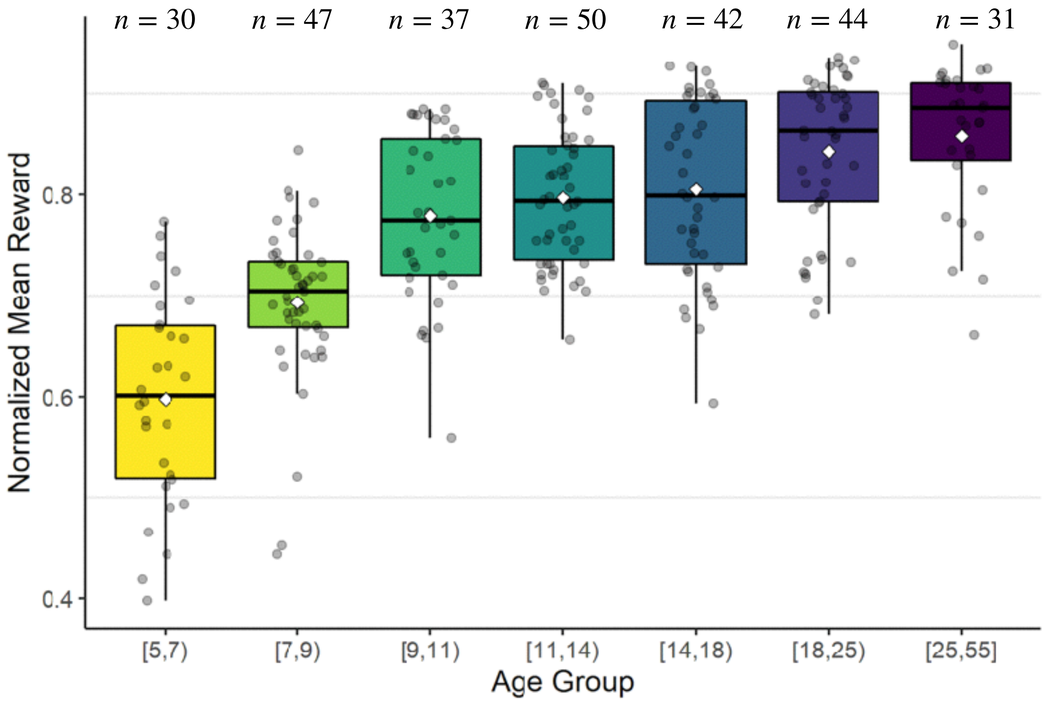
Behavioral results

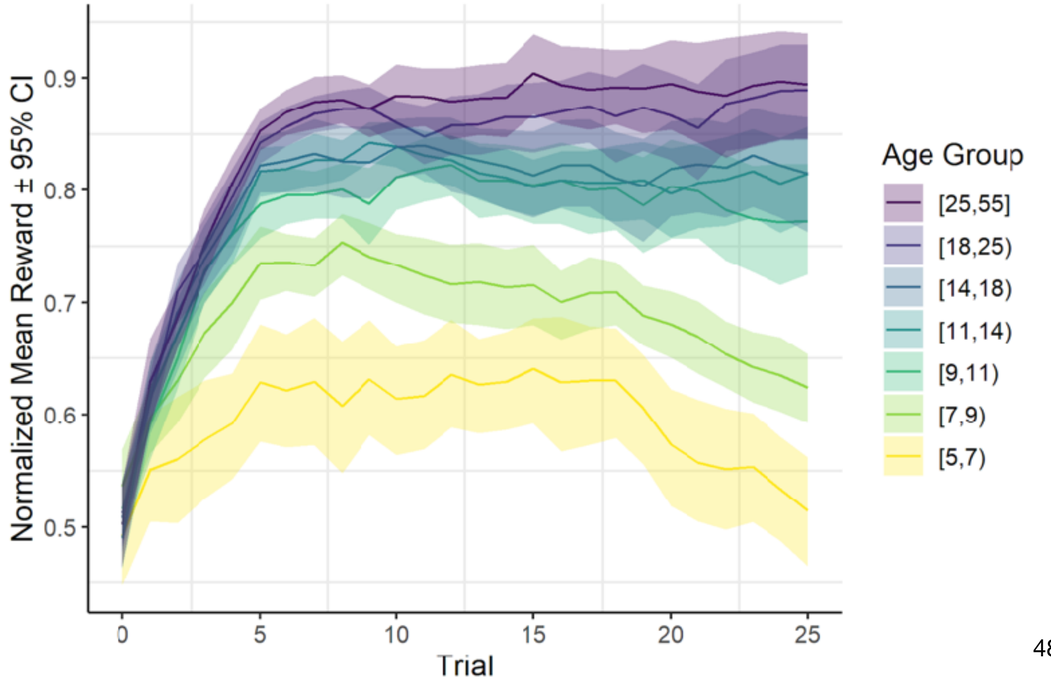
- Performance increases over age



Behavioral results

- Performance increases over age
- Age-related differences are already evident in the first few trials
  - Older subjects have steeper learning curves
  - Younger children have decaying learning curves, consistent with over-exploration, i.e., more unique options and higher entropy of choices





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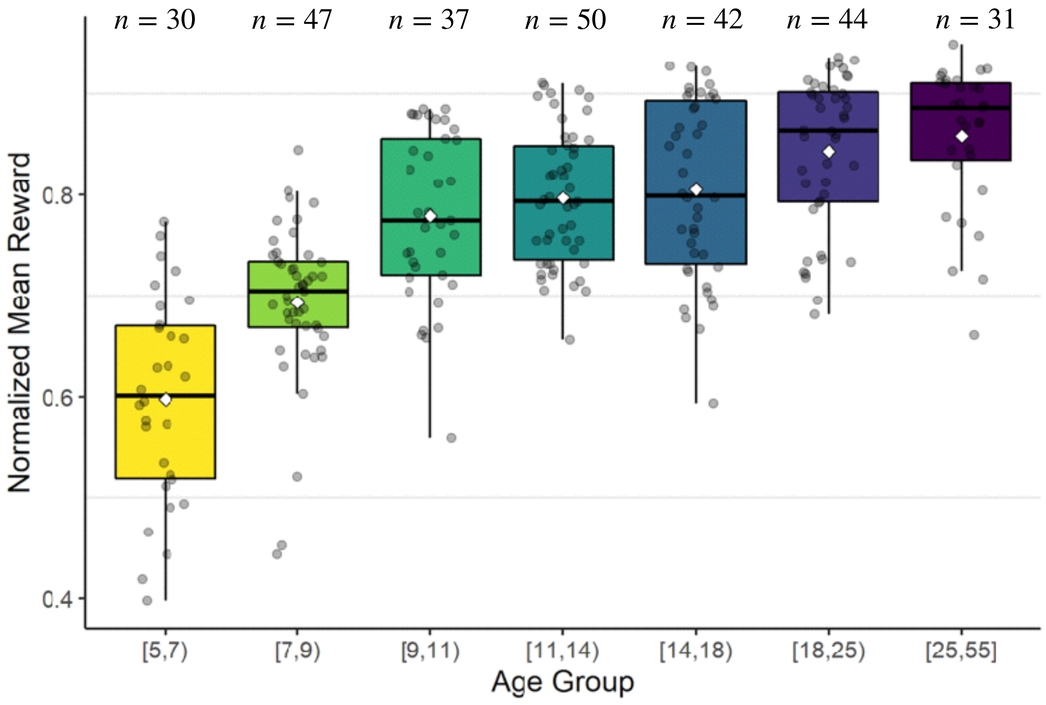
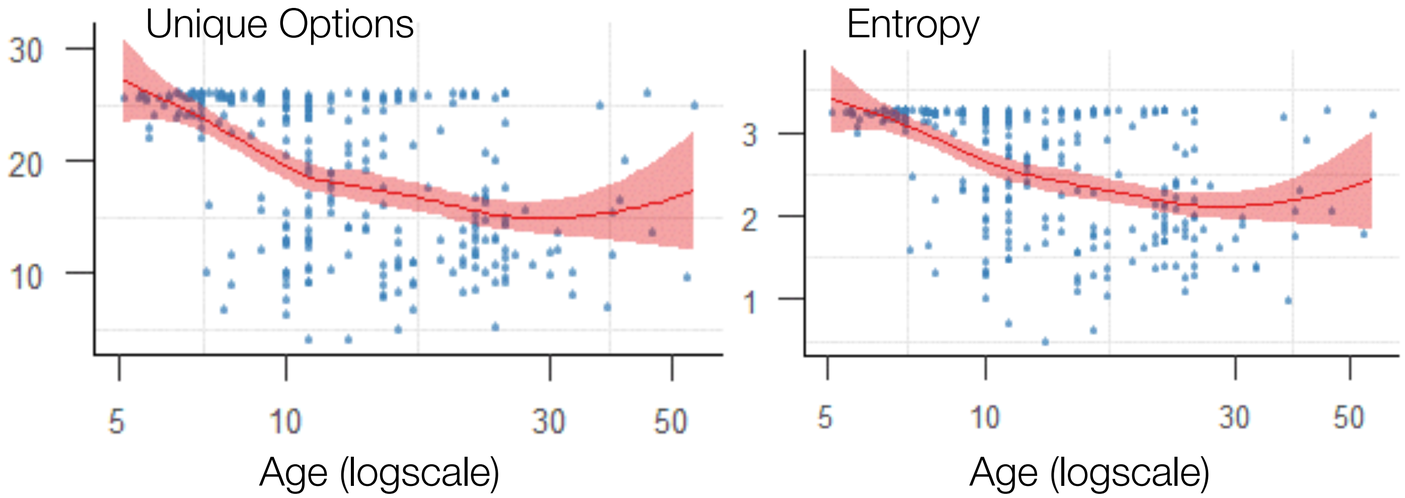
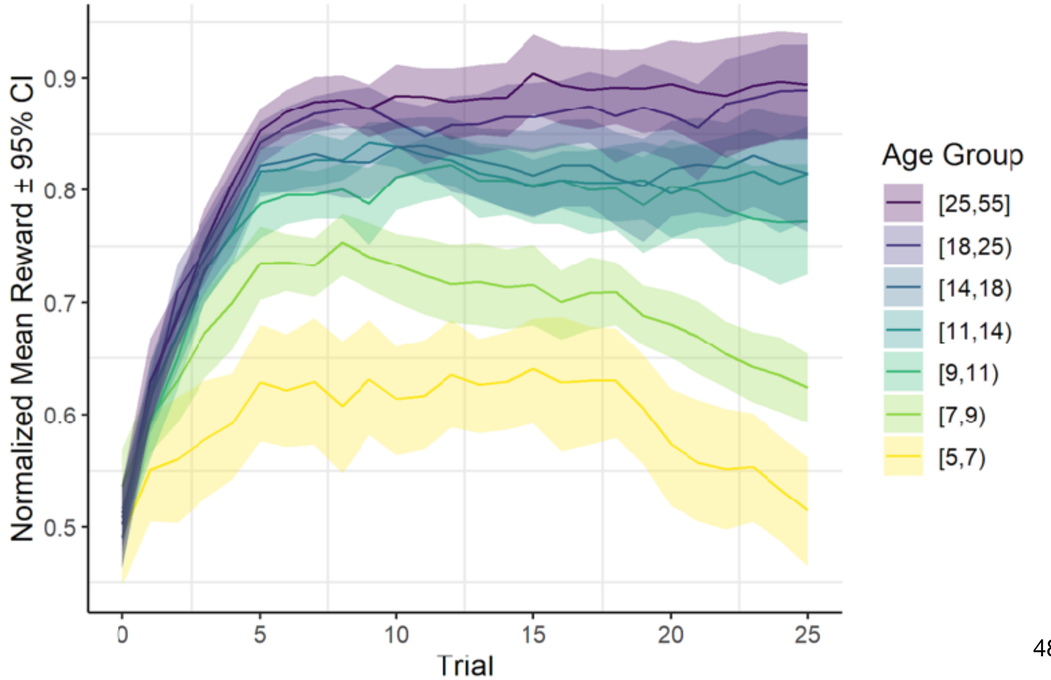
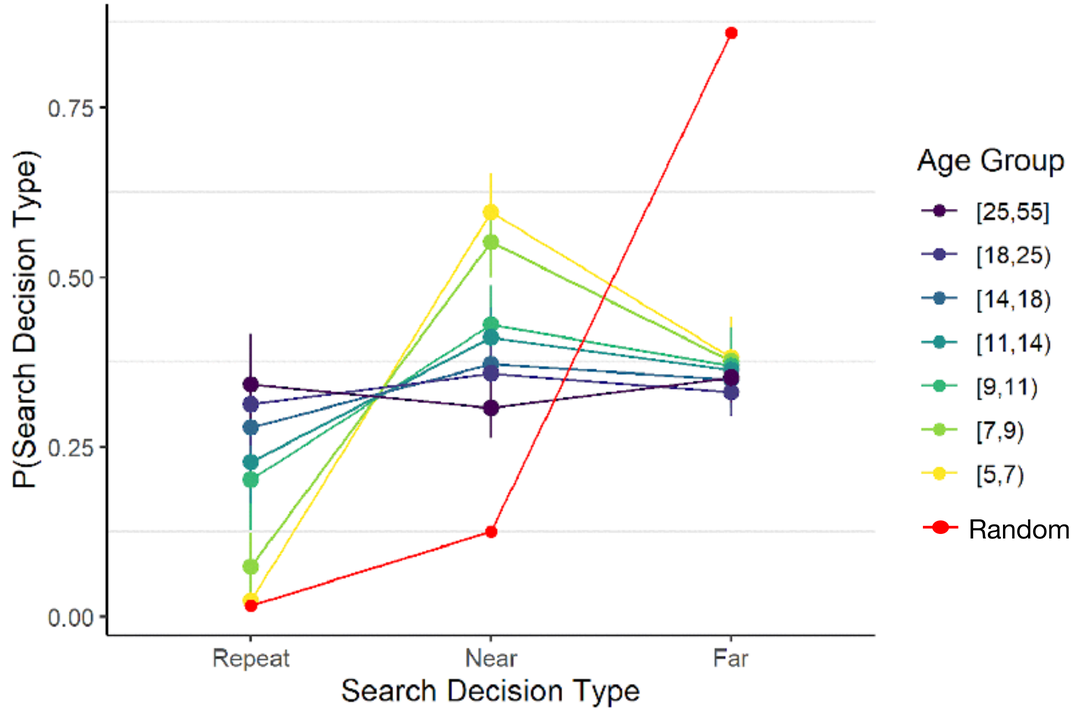






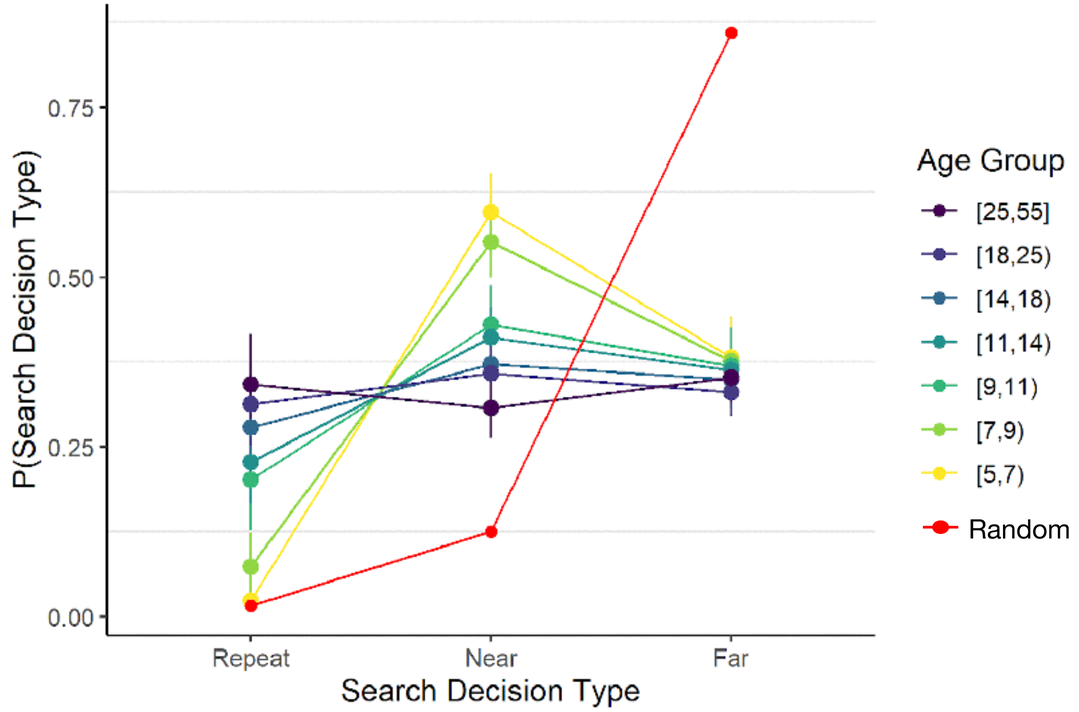
Behavioral results

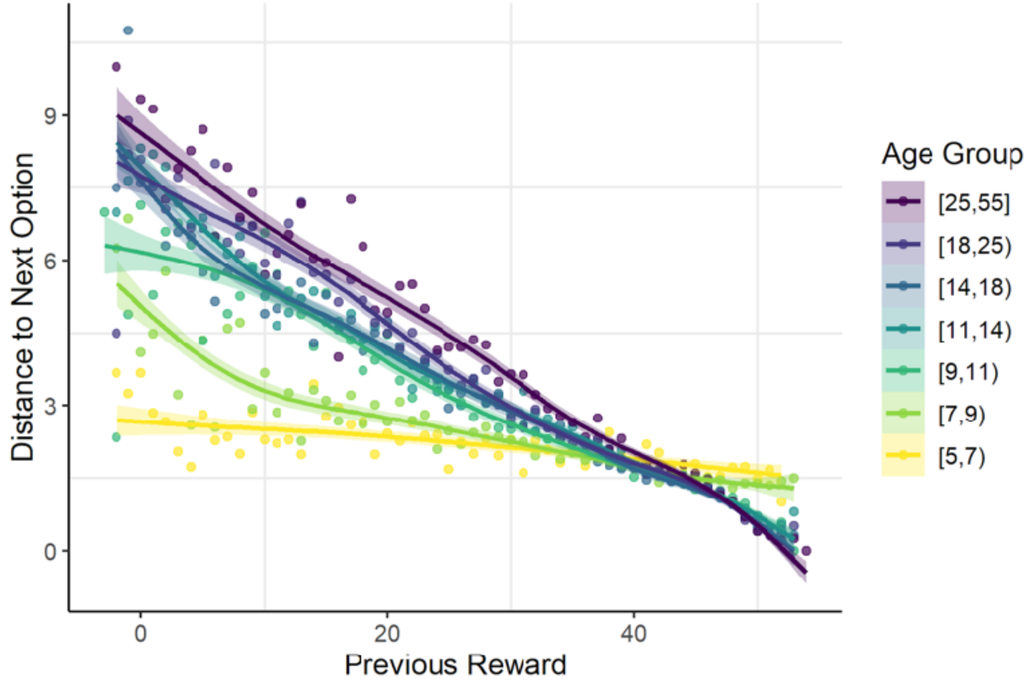
- Categorized decisions as either:
  - Repeat (same as last choice)
  - Near (neighboring option)
  - Far (any other choice)
- P(near) > P(repeat) for younger children, but reaches parity in adults



Behavioral results

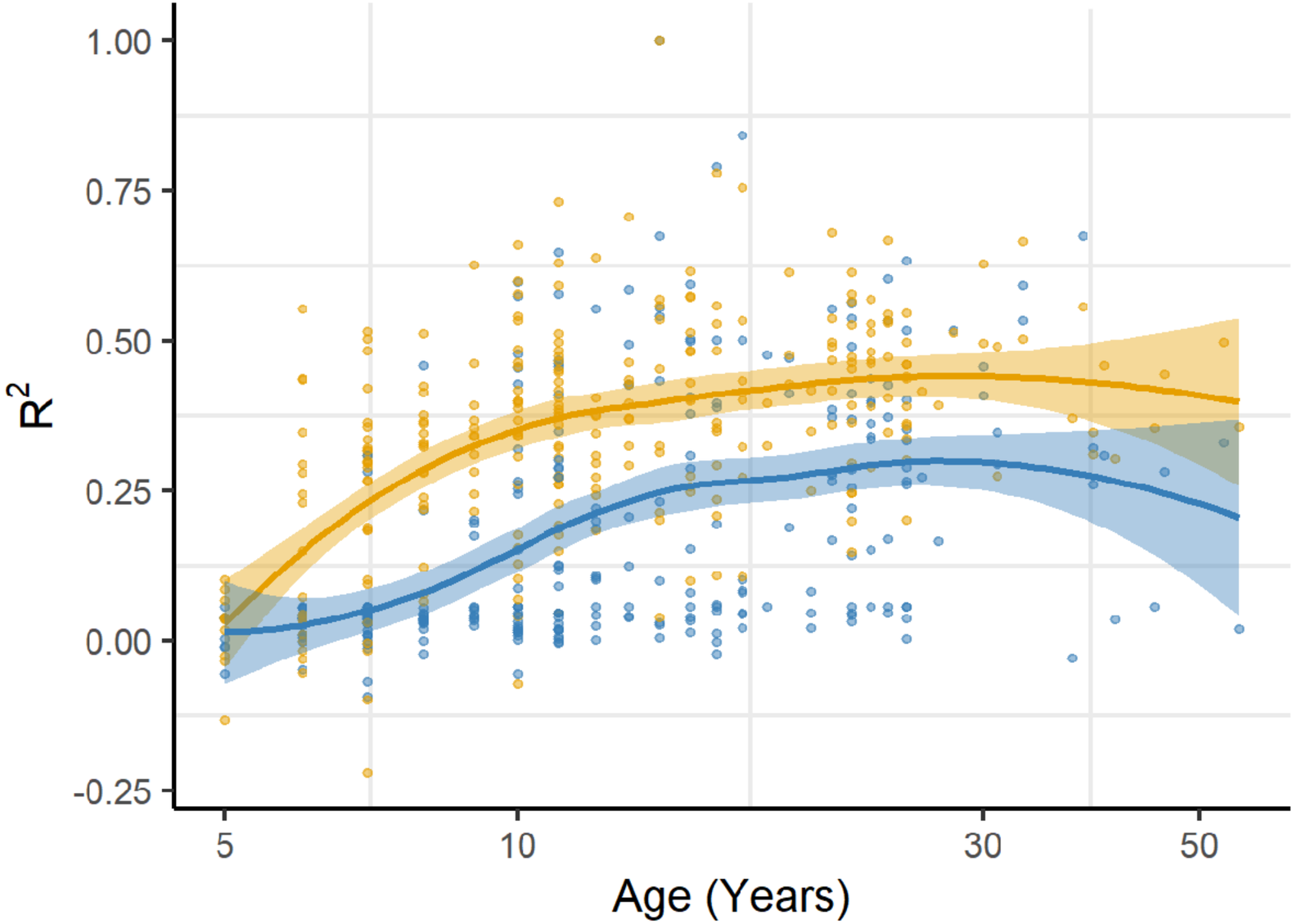
- Categorized decisions as either:
  - Repeat (same as last choice)
  - Near (neighboring option)
  - Far (any other choice)
- P(near) > P(repeat) for younger children, but reaches parity in adults
- Younger children are also less responsive in adapting search distance to reward outcomes
  - Over the lifespan, this develops into a linear relationship, resembling a gradual form of win-stay lose-shift





Model results

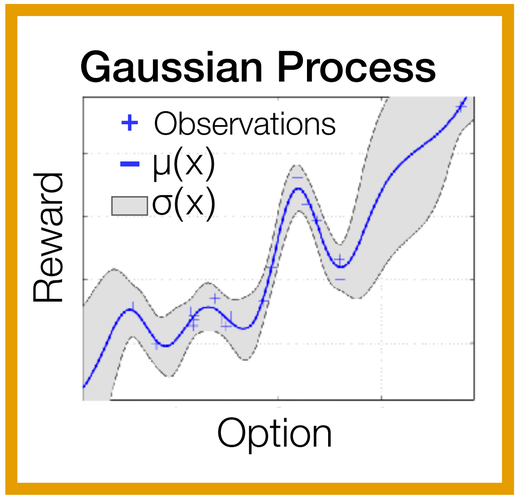
$$R^2 = 1 - \frac{\log \mathcal{L}(\mathcal{M}_k)}{\log \mathcal{L}(\mathcal{M}_{\text{rand}})}$$

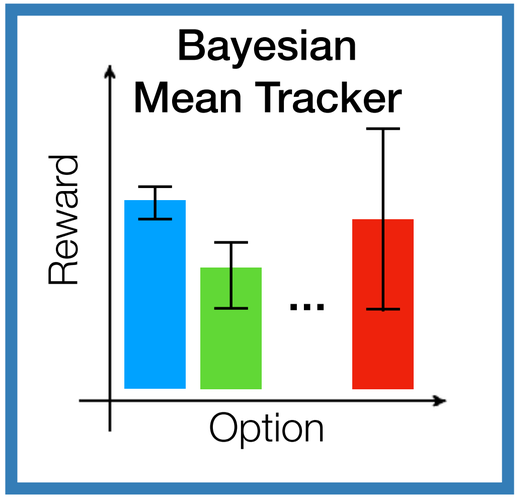


Model

GP

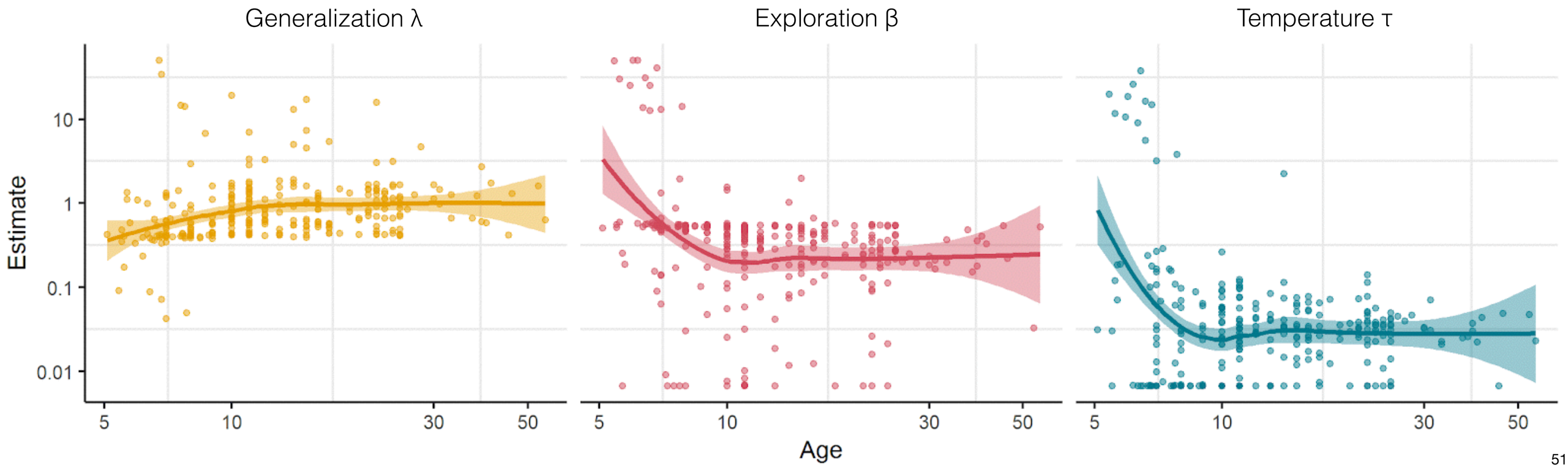
BMT



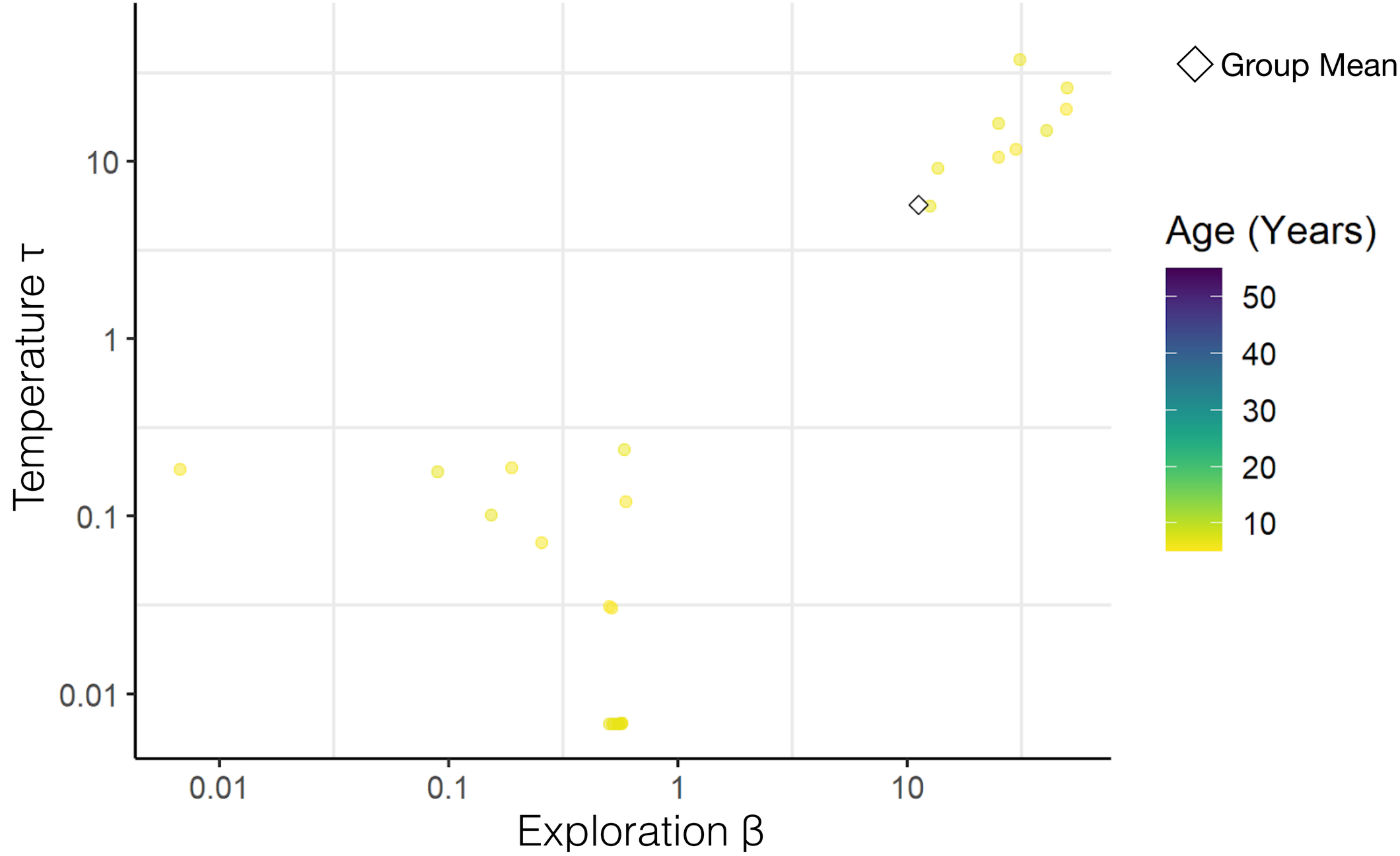


Parameter estimates

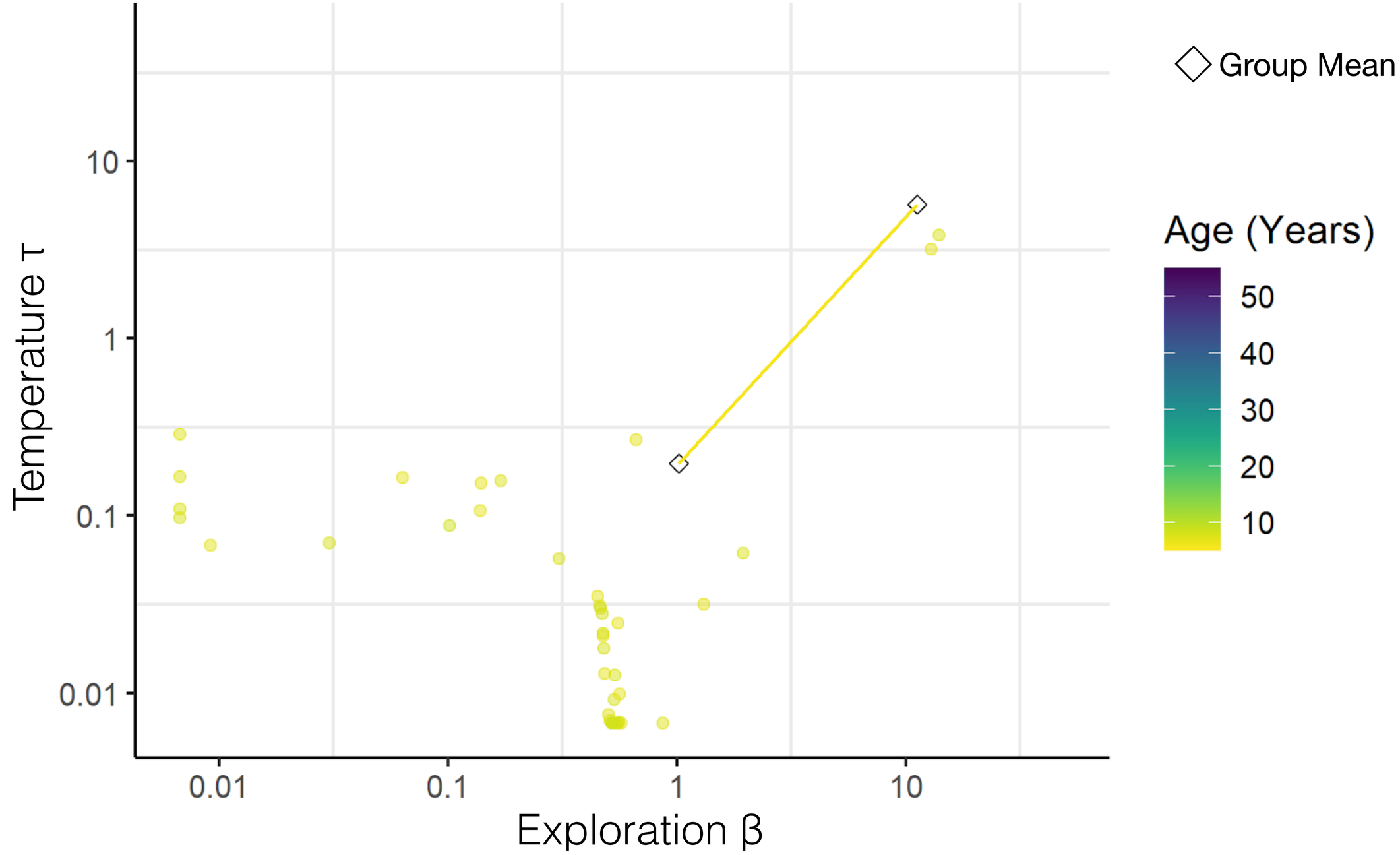
- Small uptick in generalization λ
- Large decrease in both uncertainty-directed exploration β and temperature τ



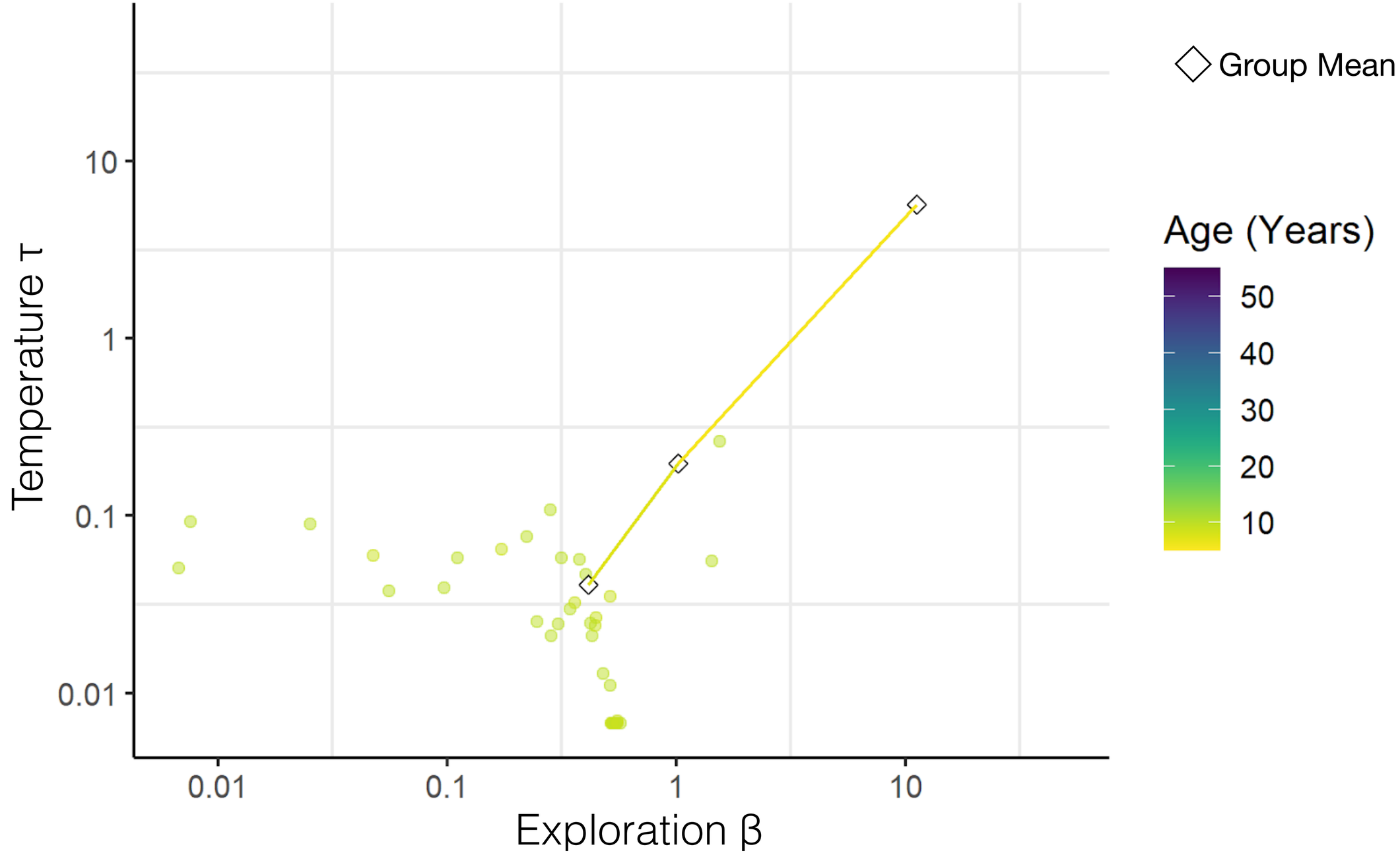
Age Group [5,7)


Temperature τ
10
1
0.1
0.01
0.01
0.1
1
10
Exploration β
Group Mean
Age (Years)
50
40
30
20
10

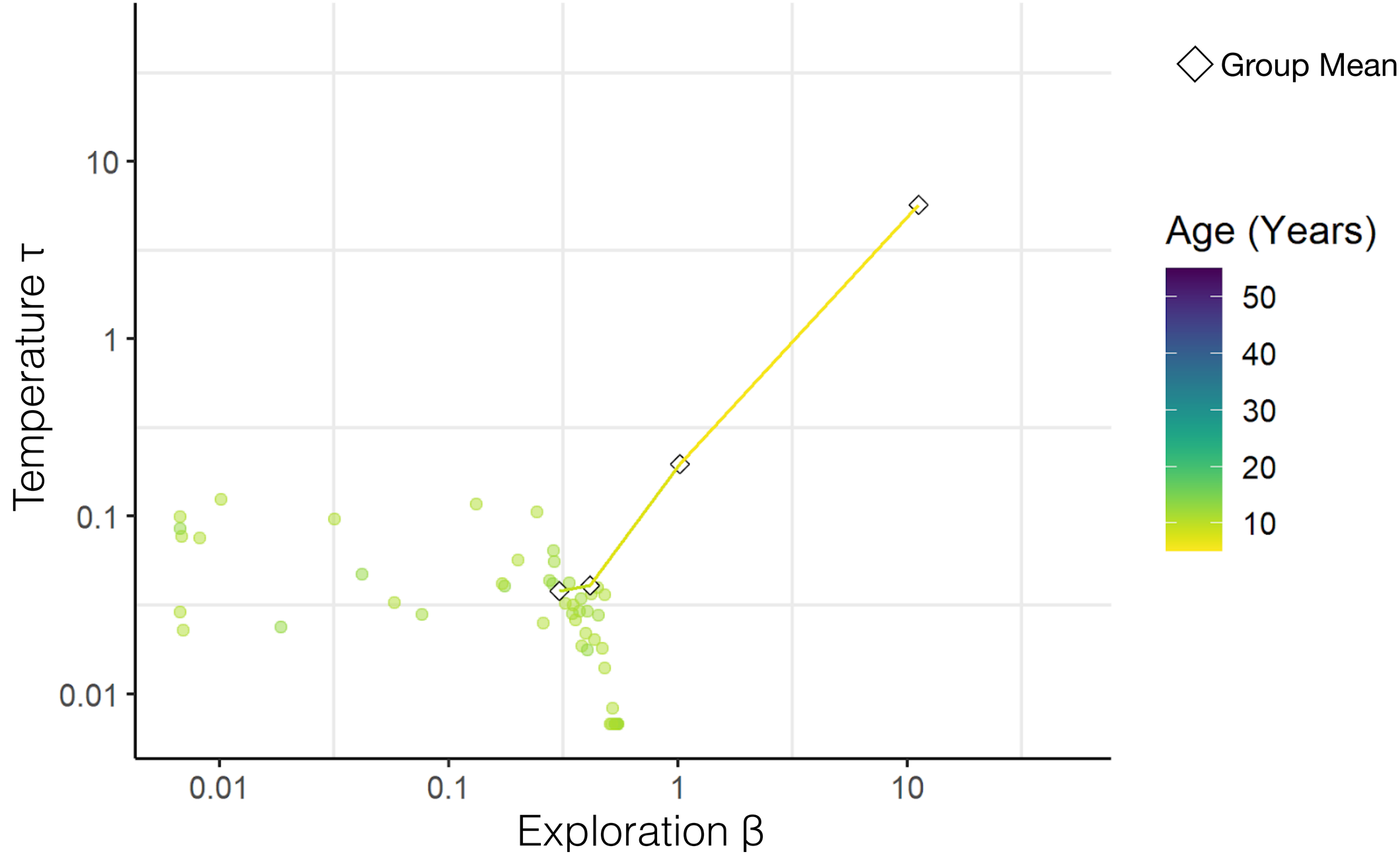
Age Group [7,9)


Group Mean
Age (Years)
50
40
30
20
10
Temperature τ
10
1
0.1
0.01
0.01
0.1
1
10
Exploration β

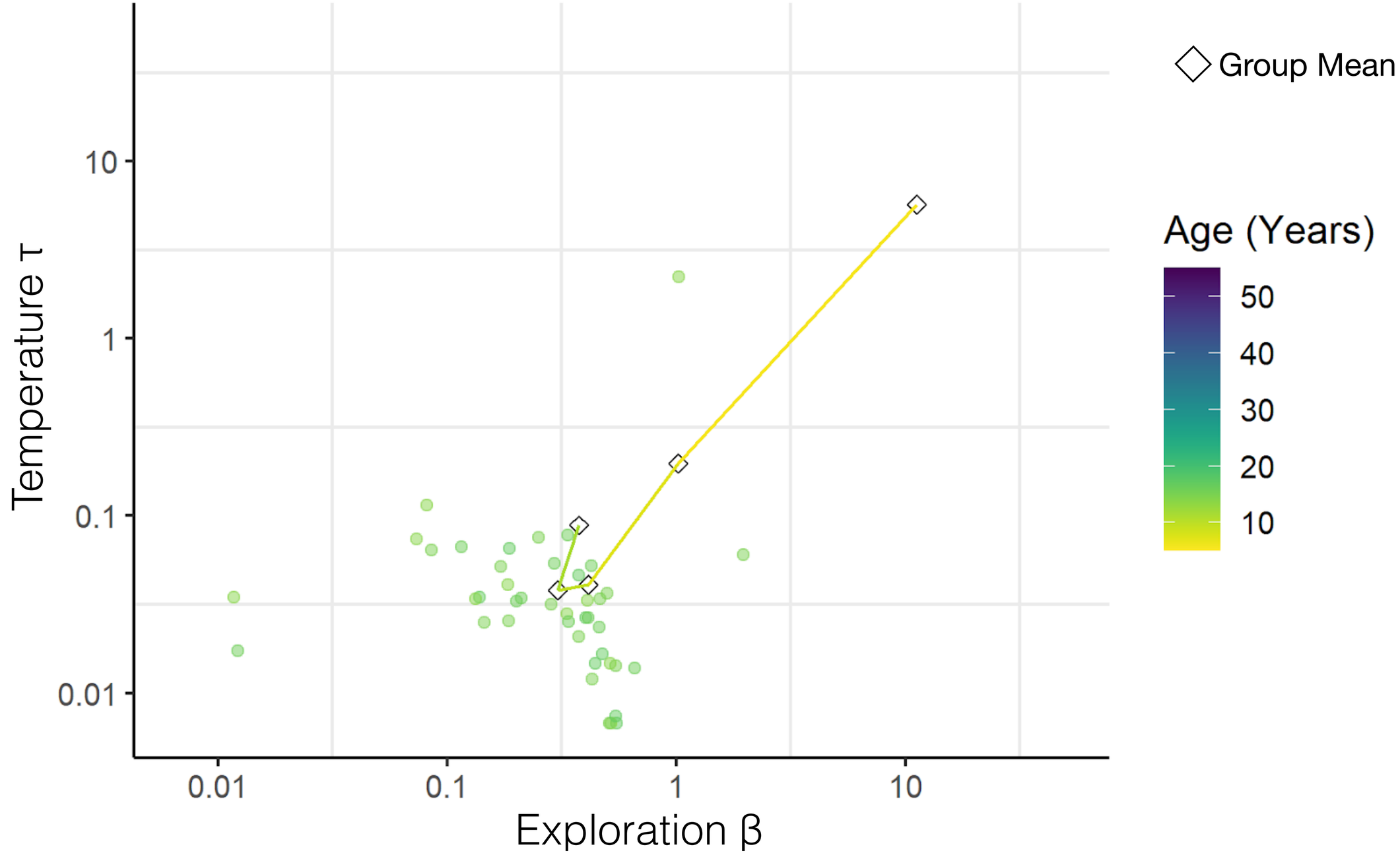
Age Group [9,11)



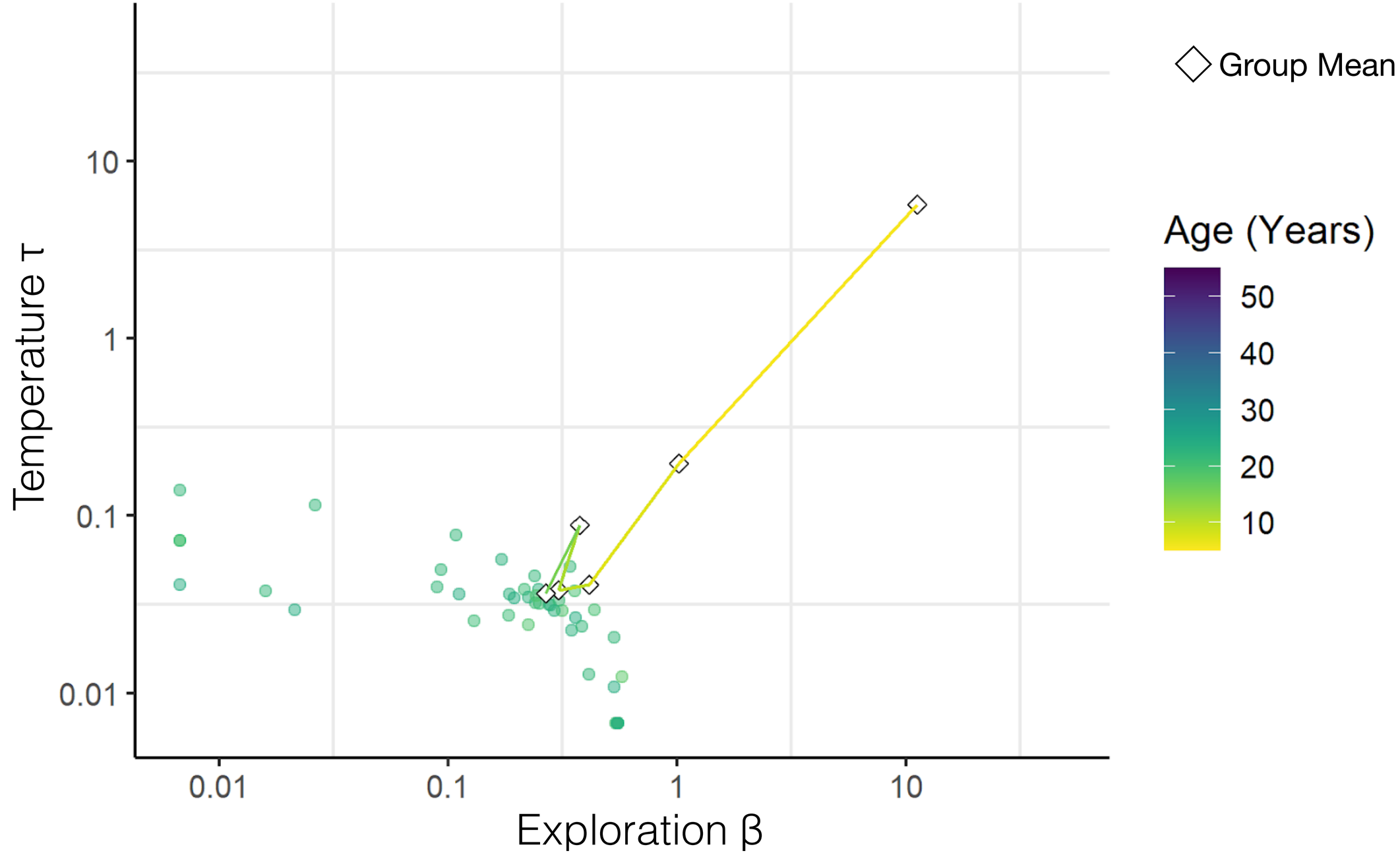
Age Group [11,14)



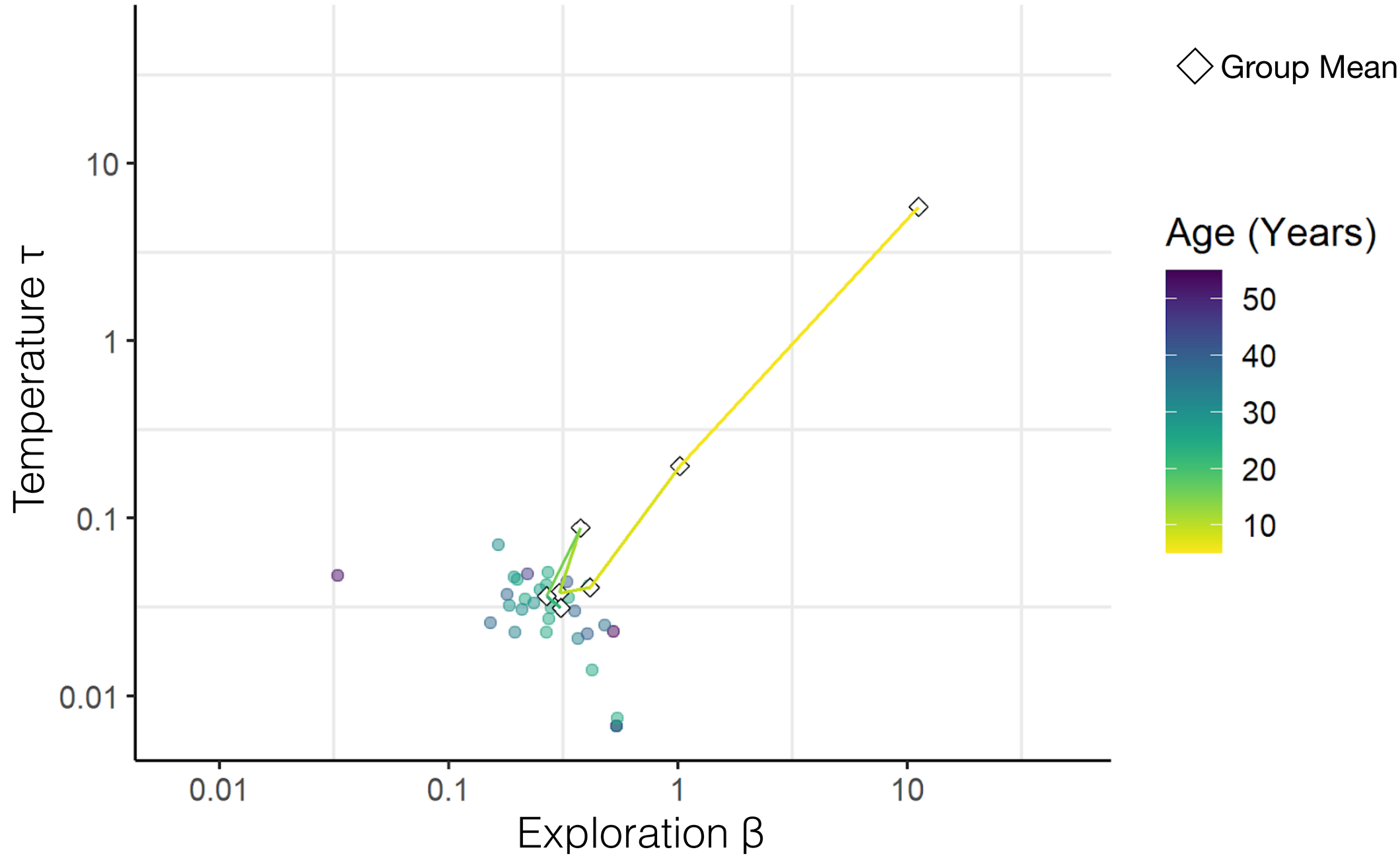
Age Group [14,18)



Age Group [18,25)

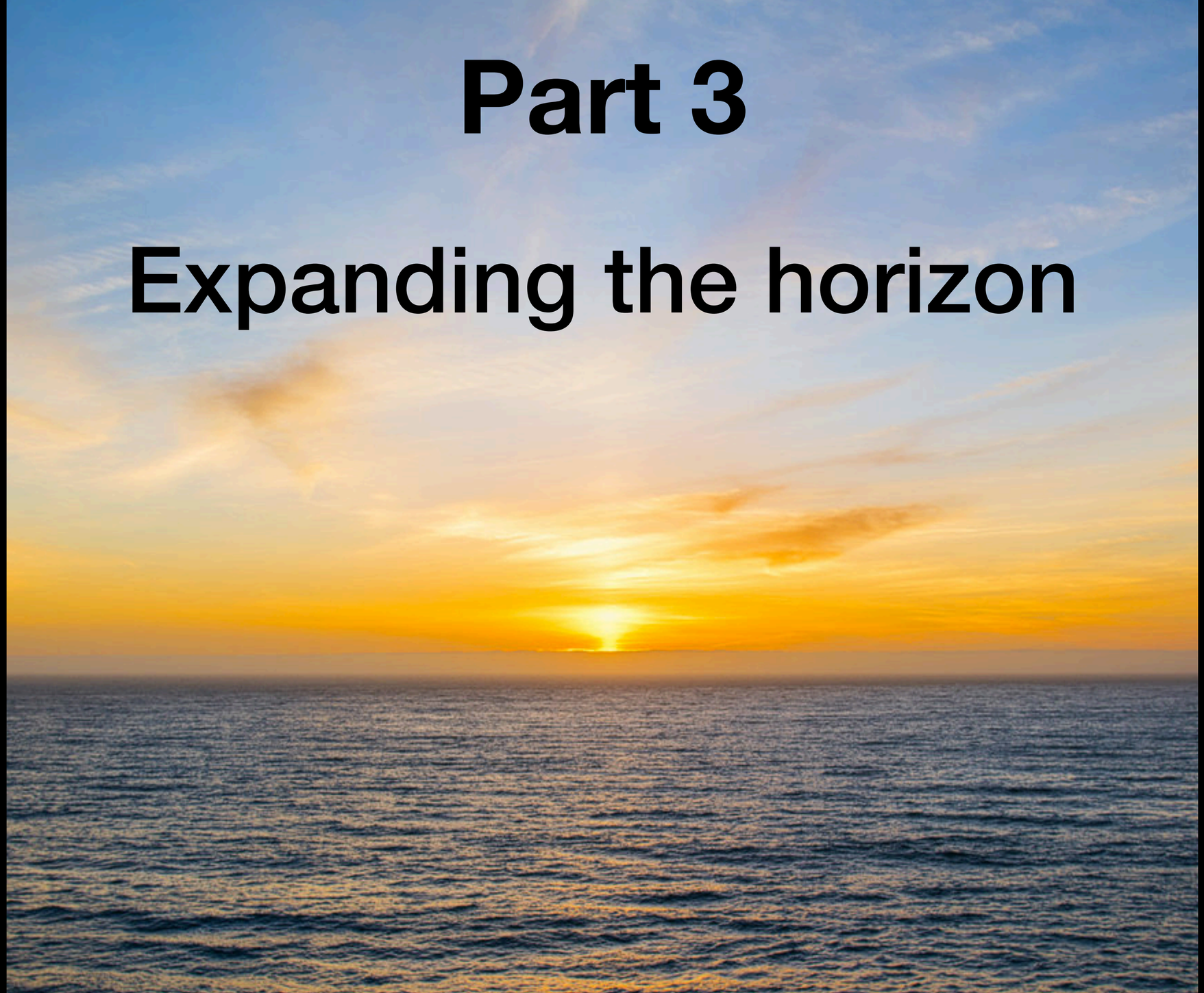


Age Group [25,55]



Summary and Future Directions

- The strategic use of uncertainty-directed exploration and predictive generalization comes online already at a very young age (5-7 year olds)
    - Simultaneous reduction in both directed and random exploration in early childhood
    - Children are not just more random, but also hungrier for information
- While there is an uptick in random exploration during adolescence, this is relatively minor compared to changes in childhood
    - Consistent with theories that increased exploration in adolescence is largely driven by social rather than cognitive factors
- Future work can use model simulations to examine which is the best normative developmental trajectory through model space



Part 3

Expanding the horizon

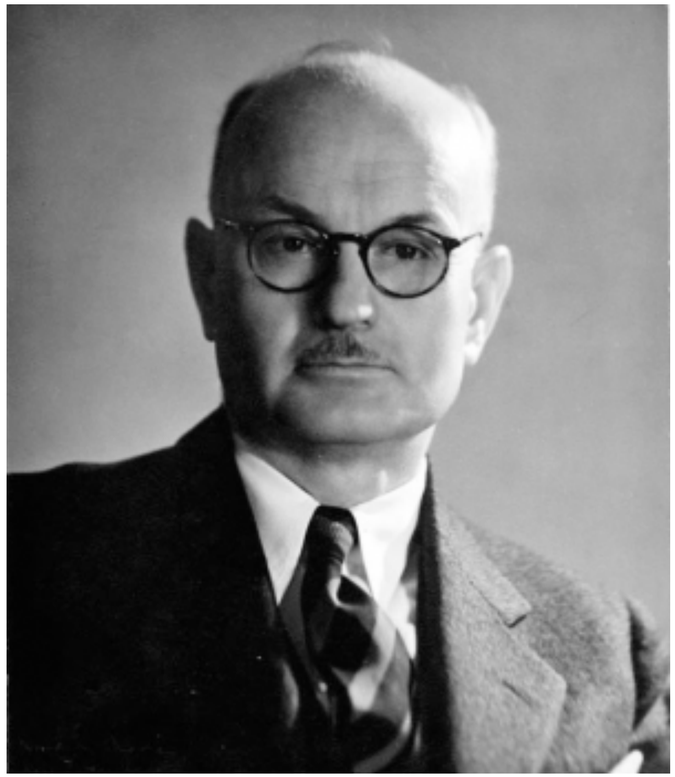
Part 3

Expanding the horizon

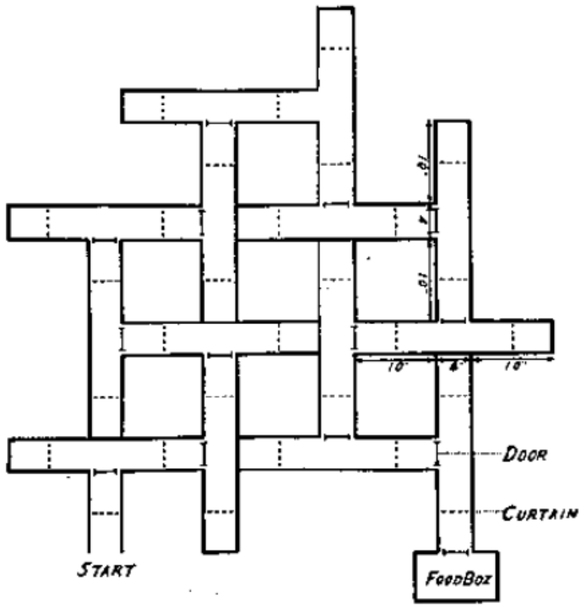
Generalization in Conceptual and Structured domains

Cognitive Maps for Navigation

Cognitive Maps



Tolman (Psych Rev, 1948)



Plan of maze
14-Unit T-Alley Maze

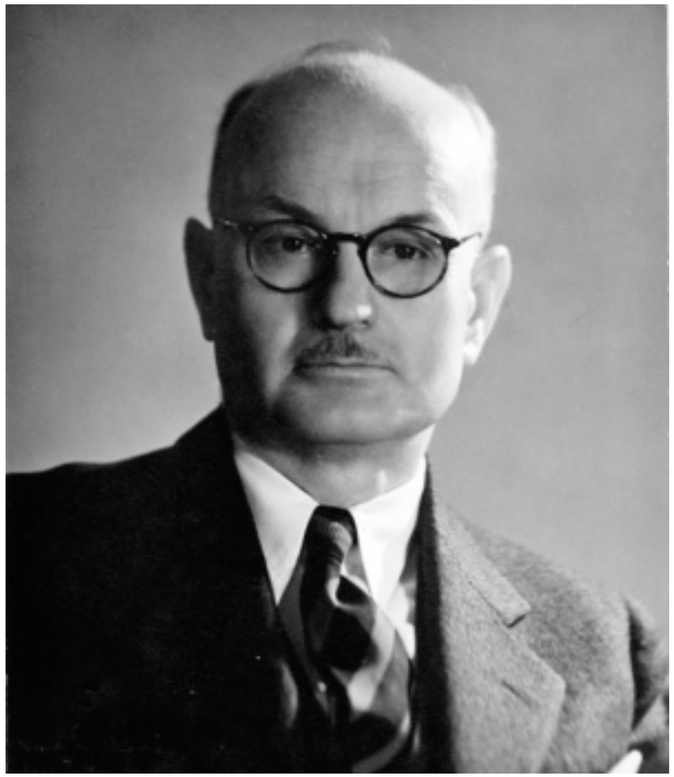
FIG. 1

(From M. H. Elliott, The effect of change of reward on the maze performance of rats. *Univ. Calif. Publ. Psychol.*, 1928, **4**, p. 20.)

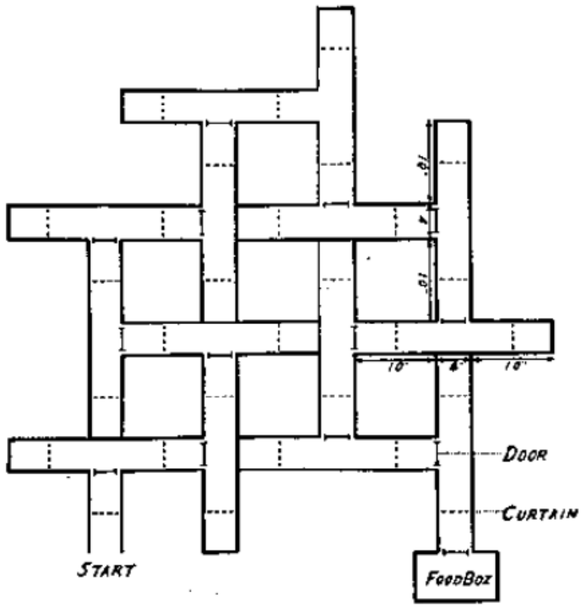
… “in the course of learning something like a field map of the environment gets established in the rat's brain”

Cognitive Maps for Navigation

Cognitive Maps



Tolman (Psych Rev, 1948)



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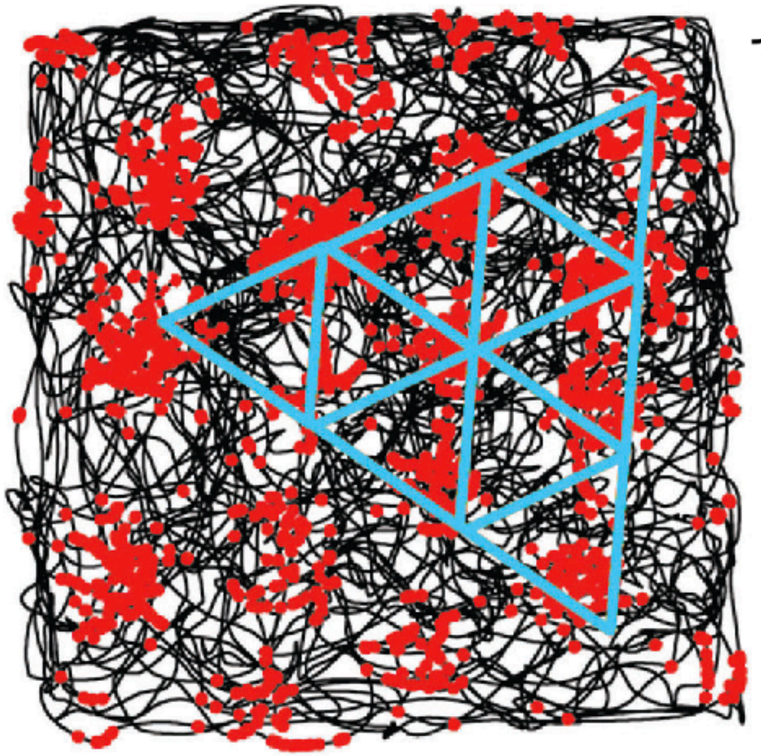
Grid Cells



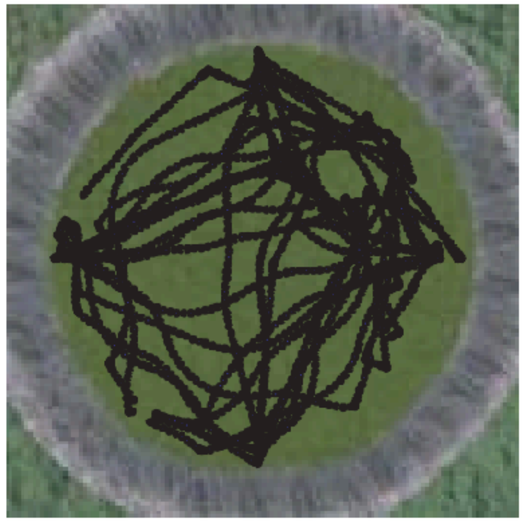
Trajectory

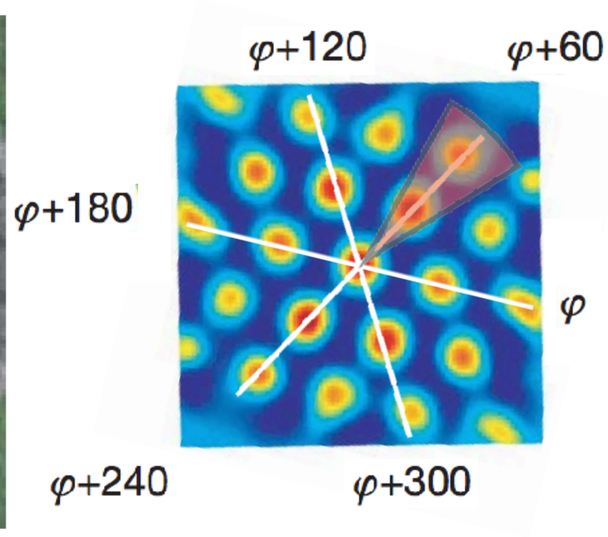
Peaks

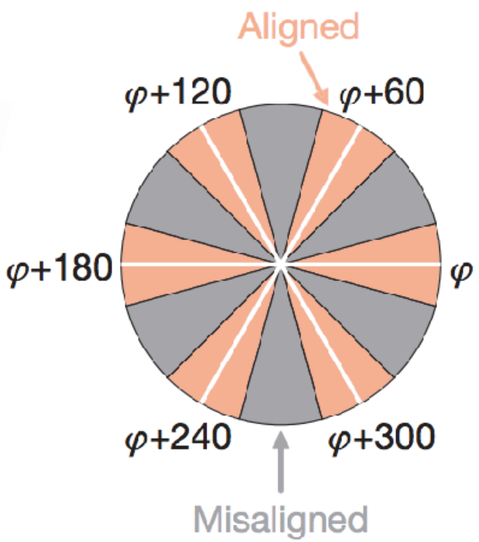
Hafting et al., (2005)
Moser, Rowland, & Moser (2015)

We can measure trajectories in human navigation

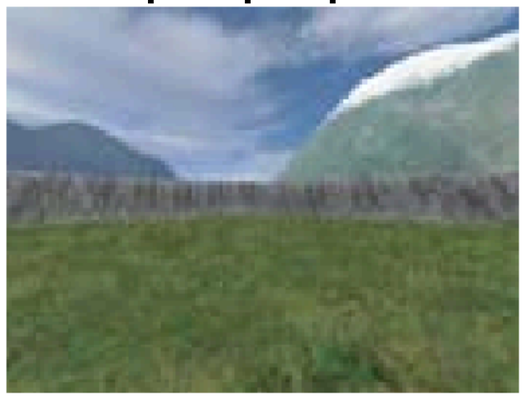
Spatial trajectory (Birds eye)

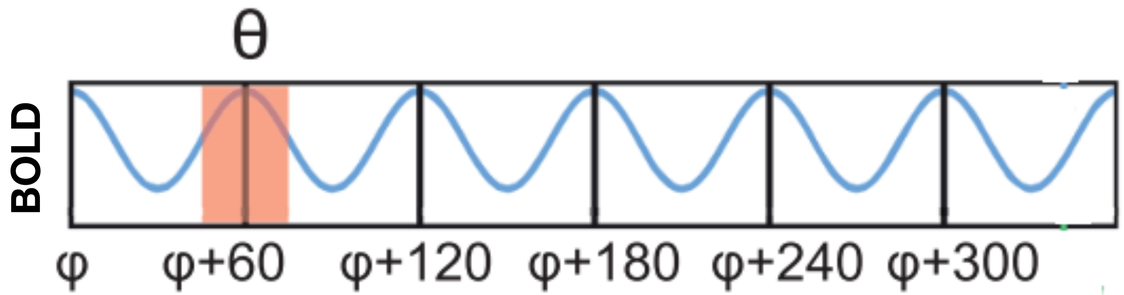






Participant perspective





Doeller, Barry, & Burgess (*Nature*, 2010)

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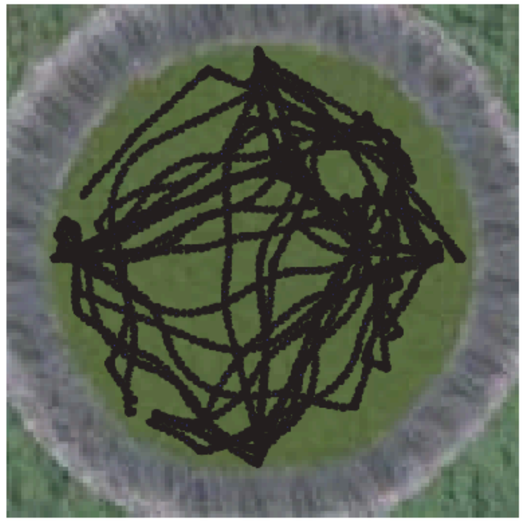
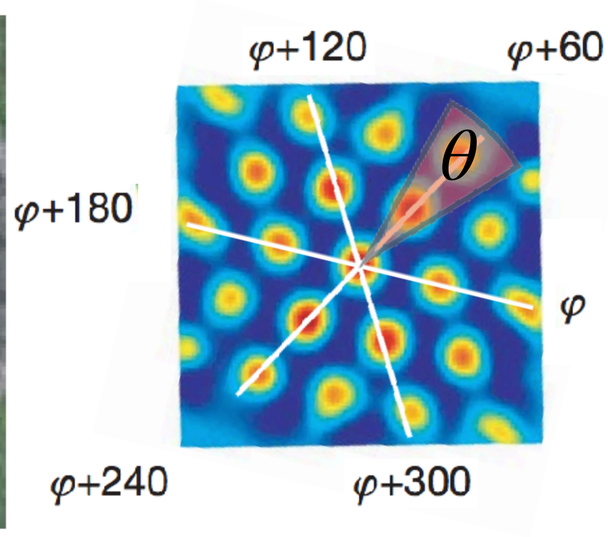
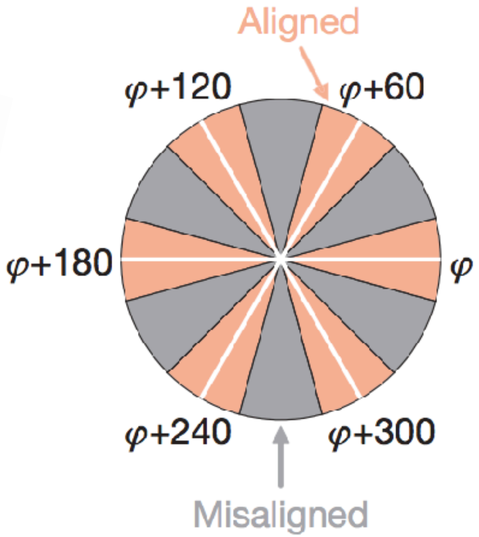
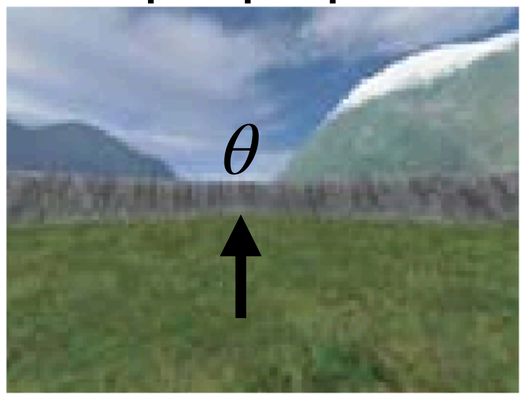
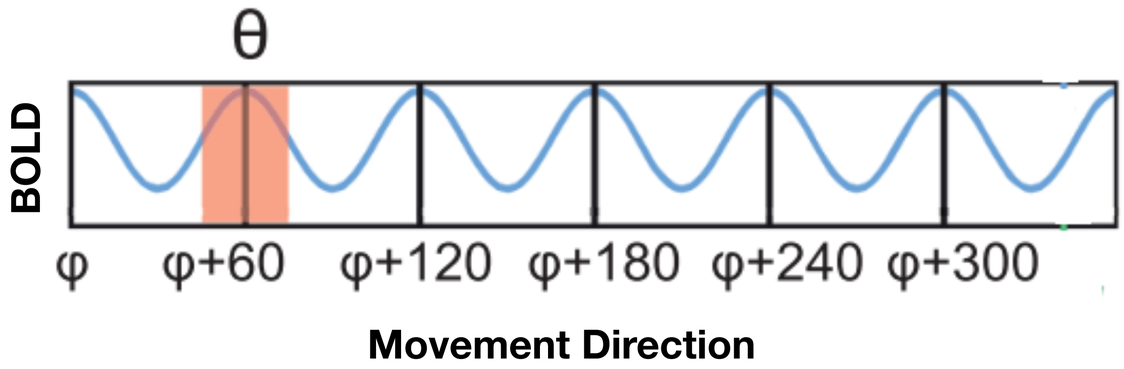






Participant perspective





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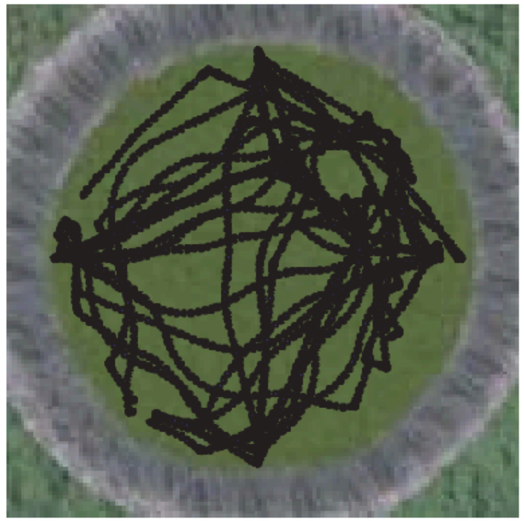

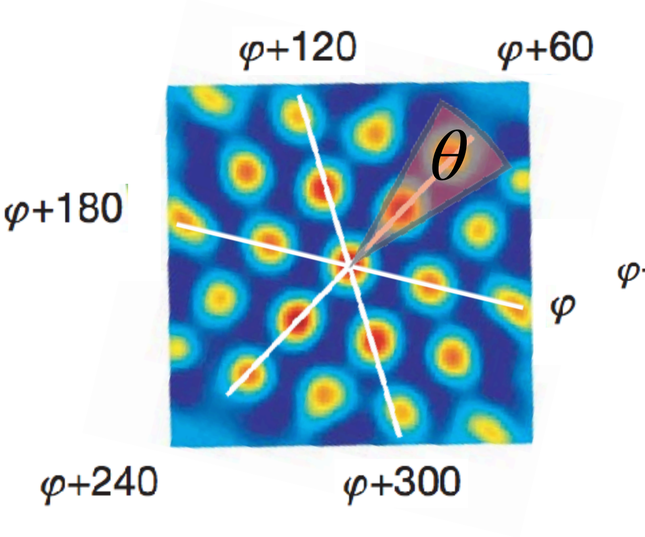

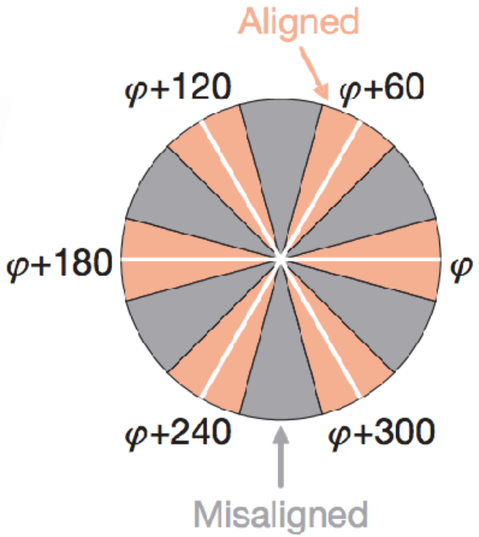

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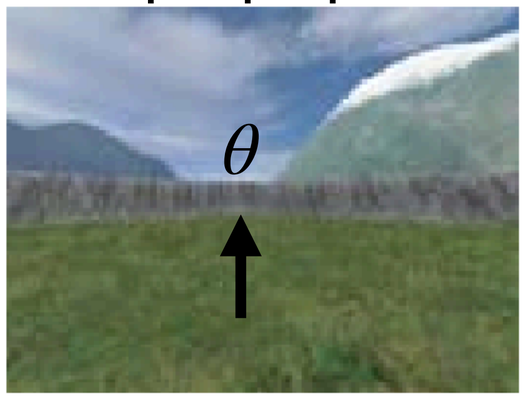
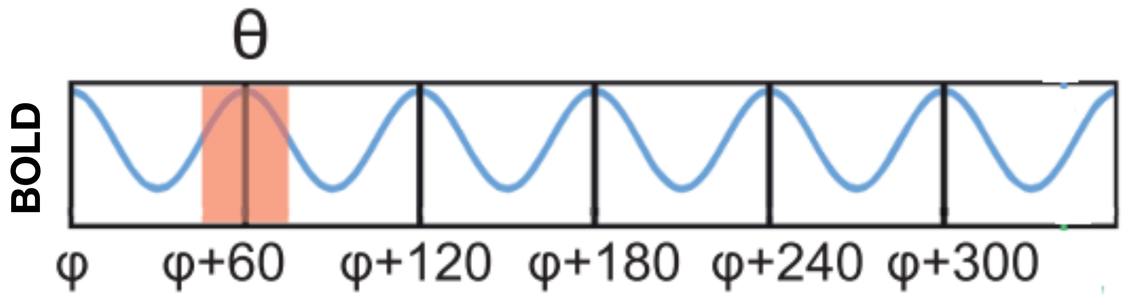
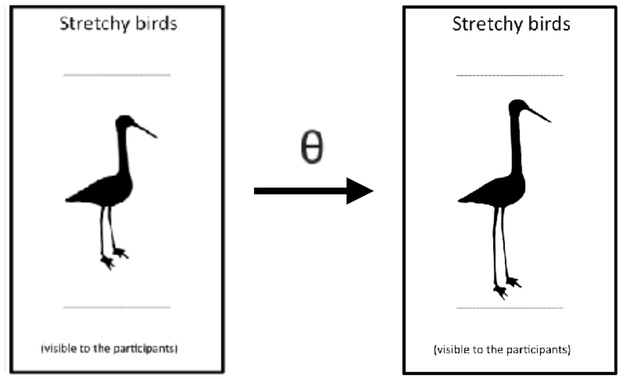


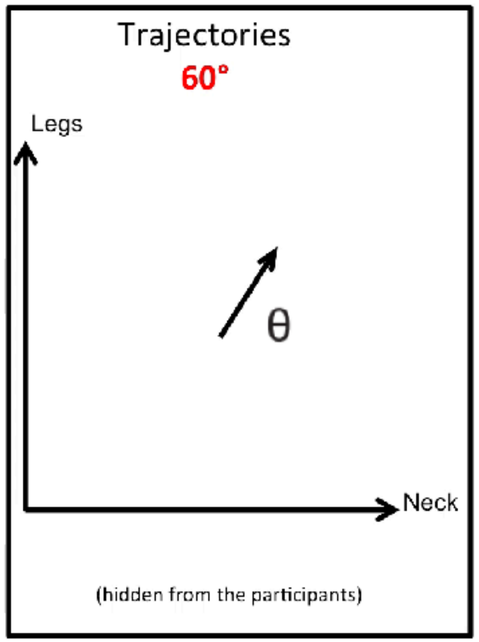


Movement Direction

Doeller, Barry, & Burgess (*Nature*, 2010)

Also in non-spatial domains!



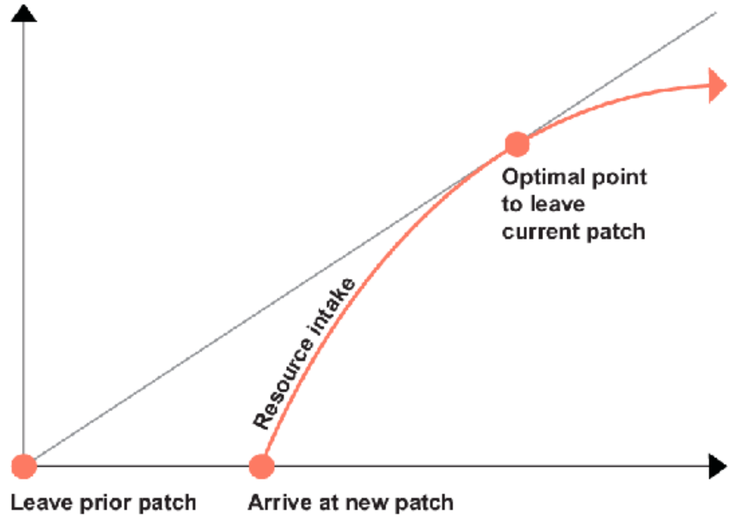


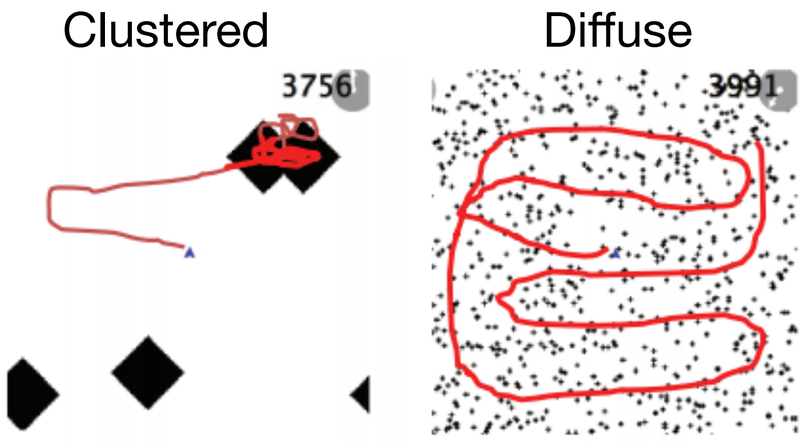
Constantinescu, O'Reilly, & Behrens (*Science*, 2016)

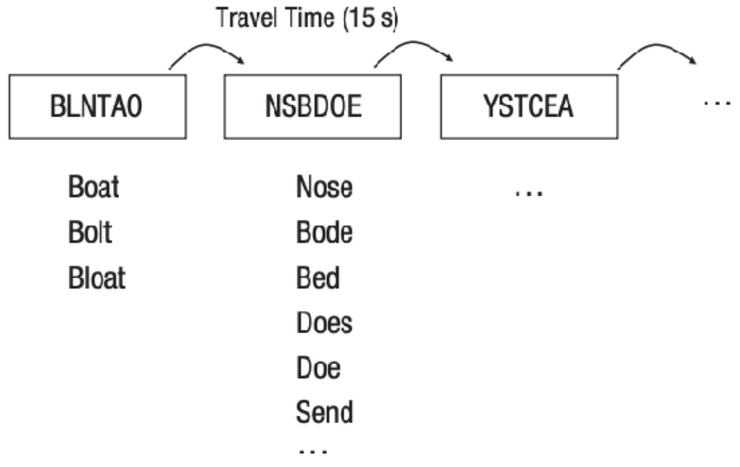
Spatial Rewards Influence Semantic Foraging

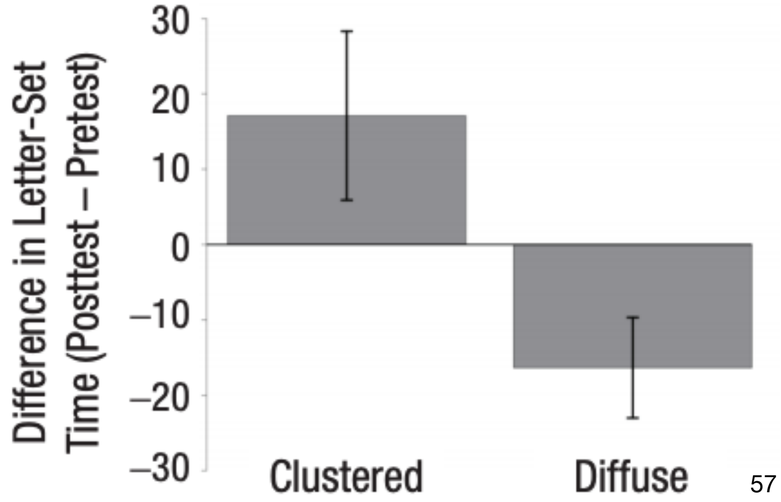
- Search in external and internal spaces follow similar principles of optimal foraging
  Charnov (1976); Pirolli & Card (1999)
- The distribution of resources in a spatial foraging task can influence semantic search patterns in a word generation task
  Hills, Todd, & Goldstone (2008)

- "Exaptation" of spatial cognition to other domains
  Hills (2006); Hills, Todd, & Goldstone (2008)







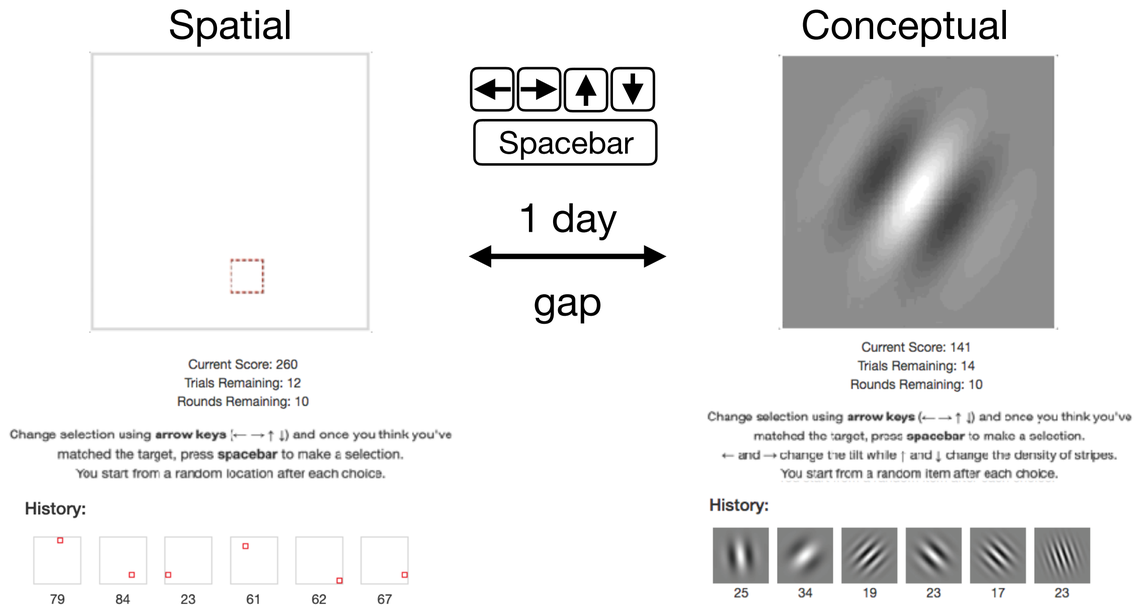


Connecting Spatial and Conceptual Search

- Since there is evidence for a common neural representation for both spatial and conceptual navigation, what are the downstream implications for behavior?

- Are there domain general principles for generalization (about novel stimuli) and exploration (in new environments)?

- Within-subject experiment, where participants used either spatial or conceptual features to guide the search for rewards



Wu, Schulz, Garvet, Meder & Schuck (*PLOS Comp Bio* 2020)

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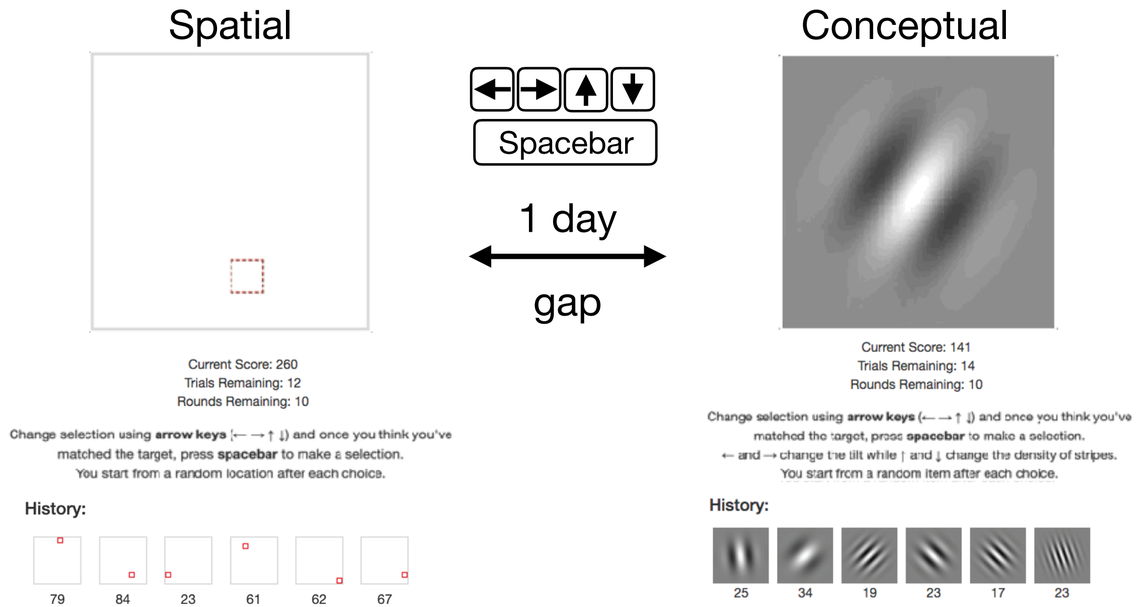

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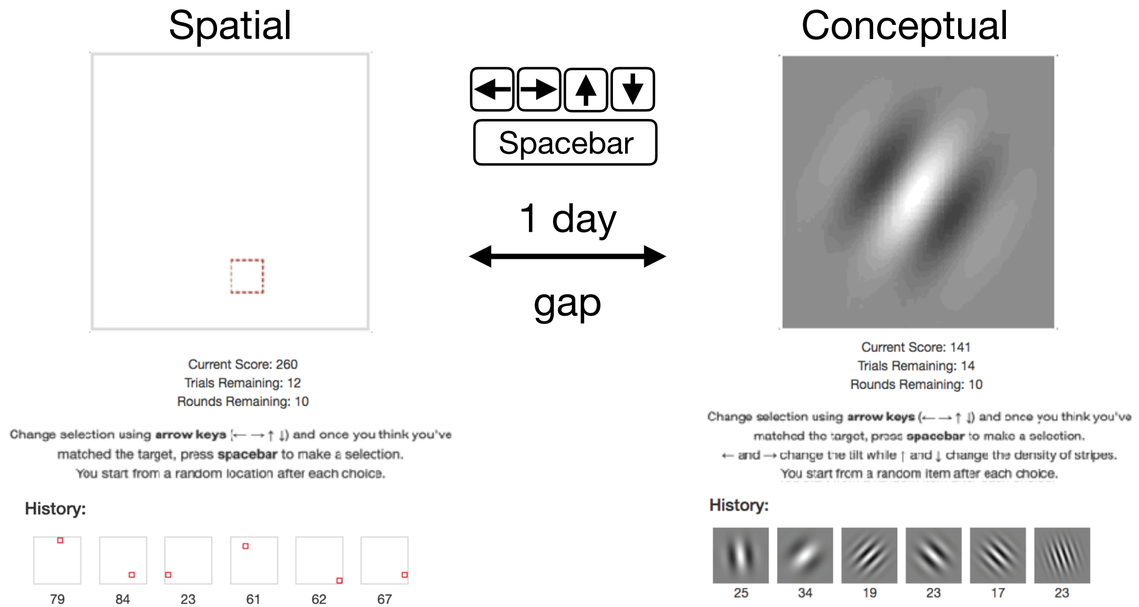
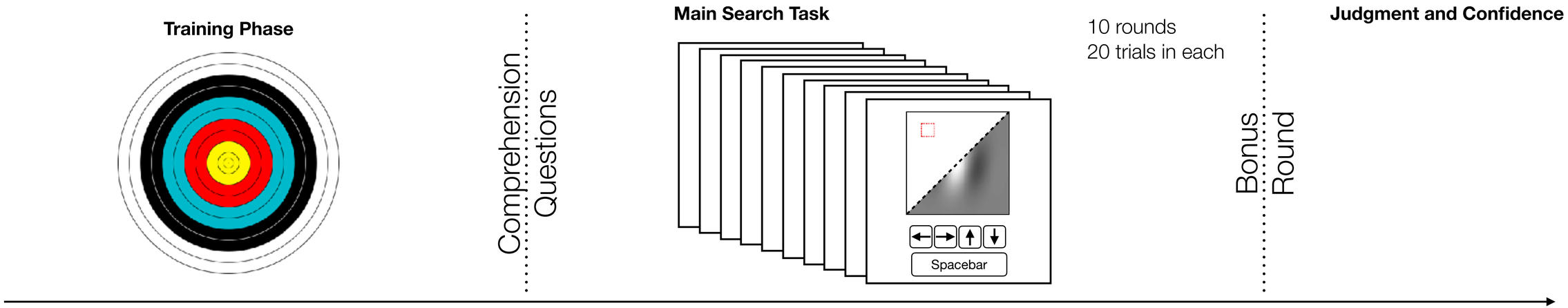
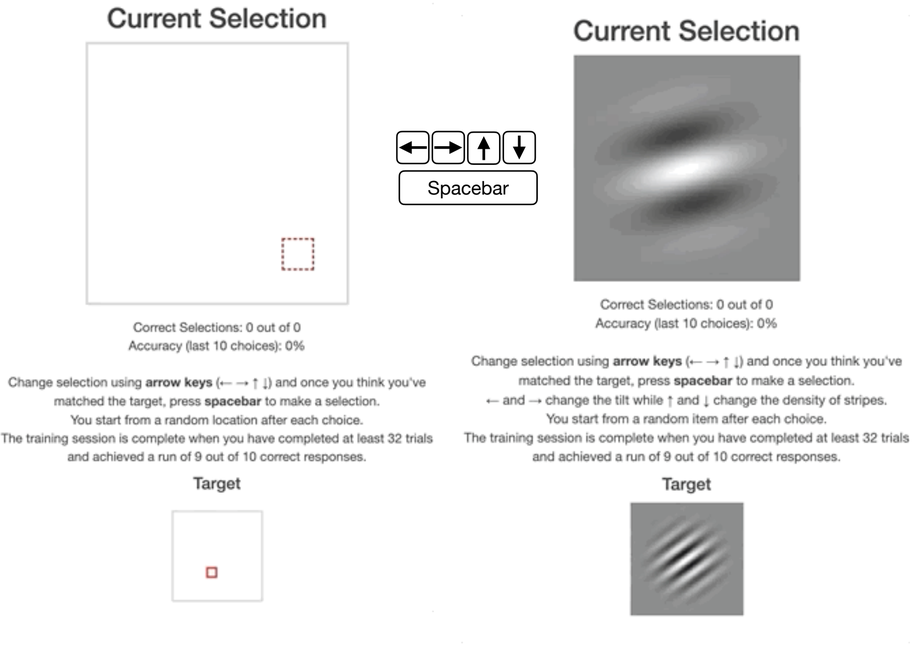


Wu, Schulz, Garvet, Meder & Schuck (*PLOS Comp Bio* 2020)

Task Design

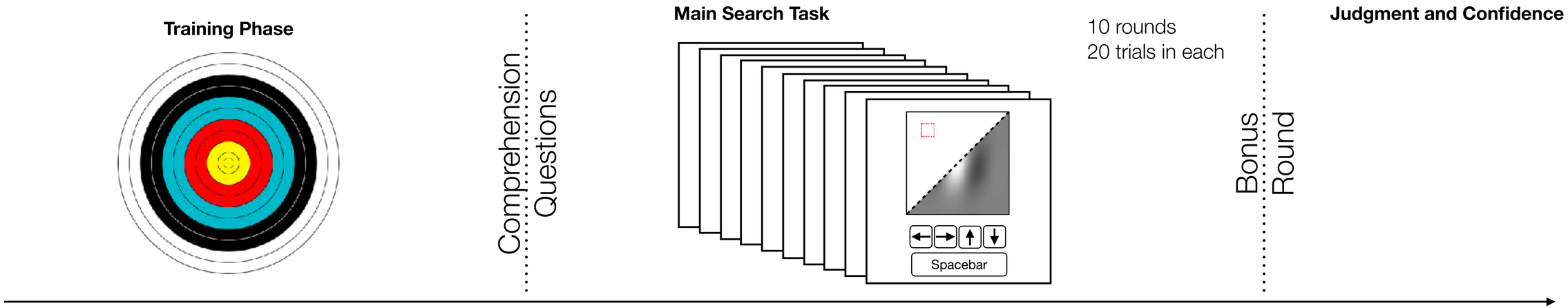


Match target stimuli until learning criterion reached



At least 32 trials AND a run of 9 out of 10 correct

Task Design



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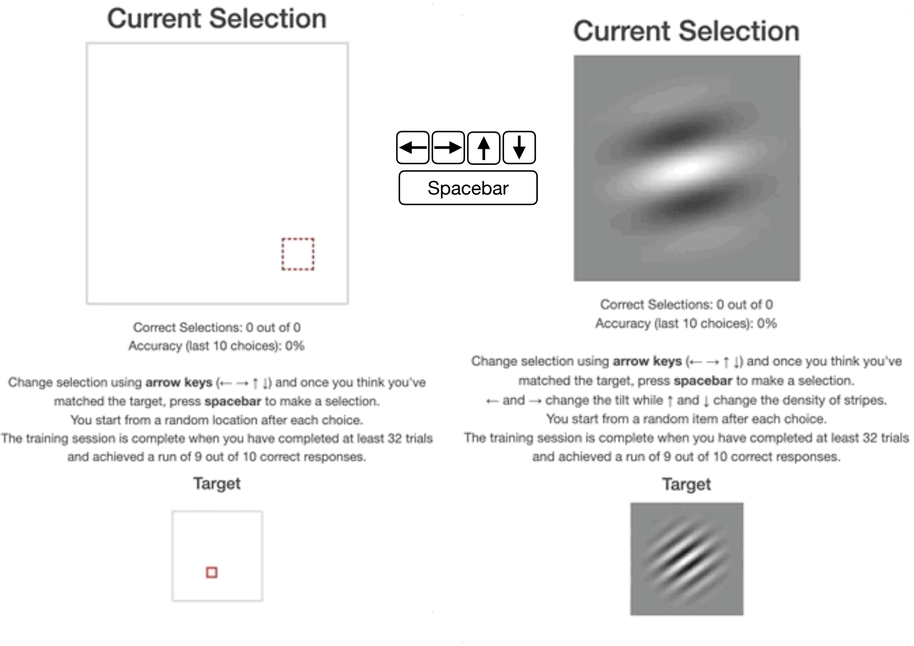

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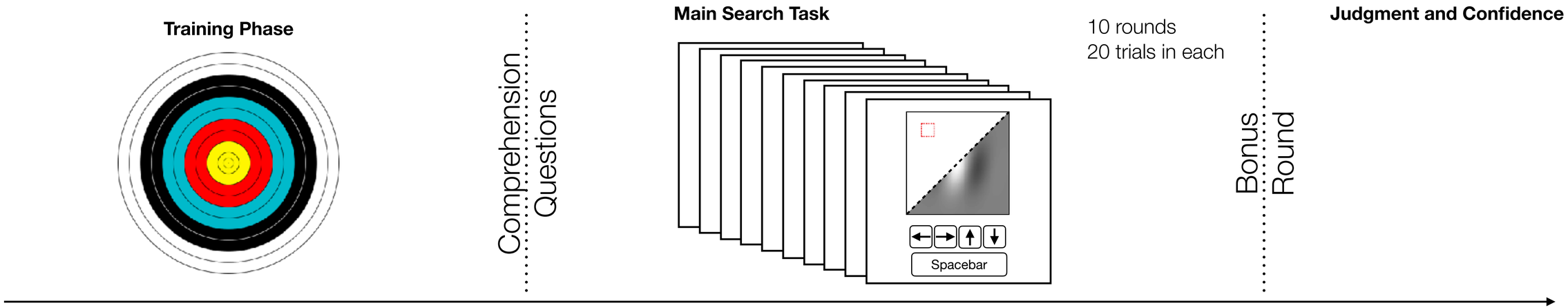
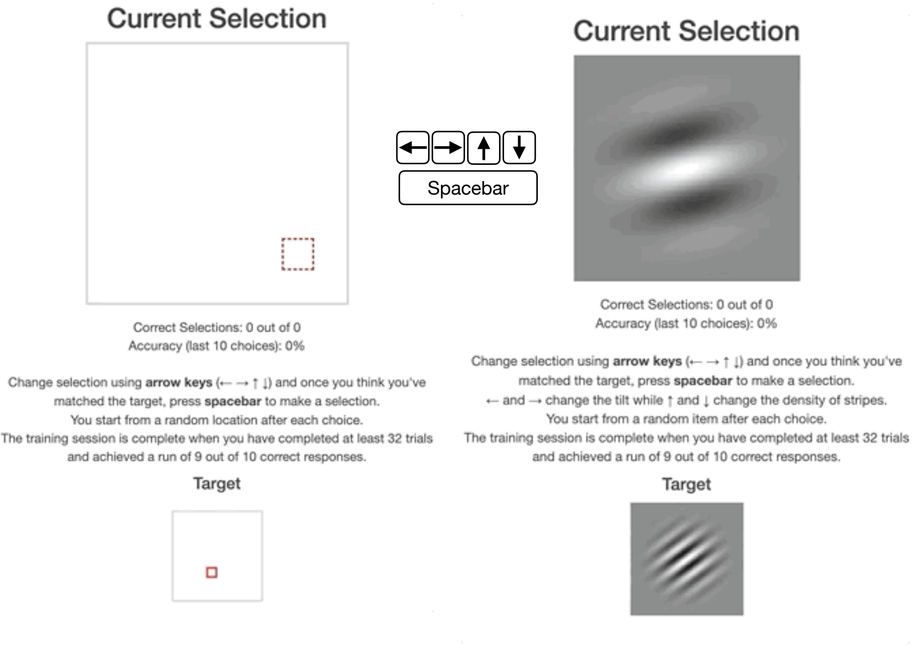
Task Design



Match target stimuli until learning criterion reached



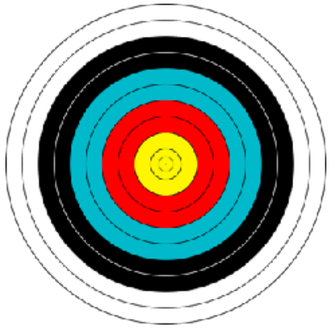
At least 32 trials AND a run of 9 out of 10 correct

Bandit Task:

1. Select stimuli using ←→↑↓
2. Make selection using Spacebar
3. Reward is displayed and then added to history
4. Start at a random stimuli

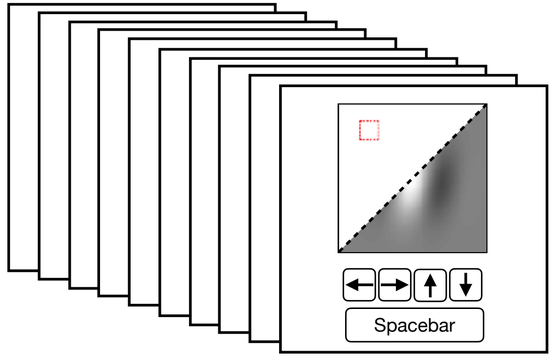
Task Design

Training Phase



Comprehension Questions

Main Search Task

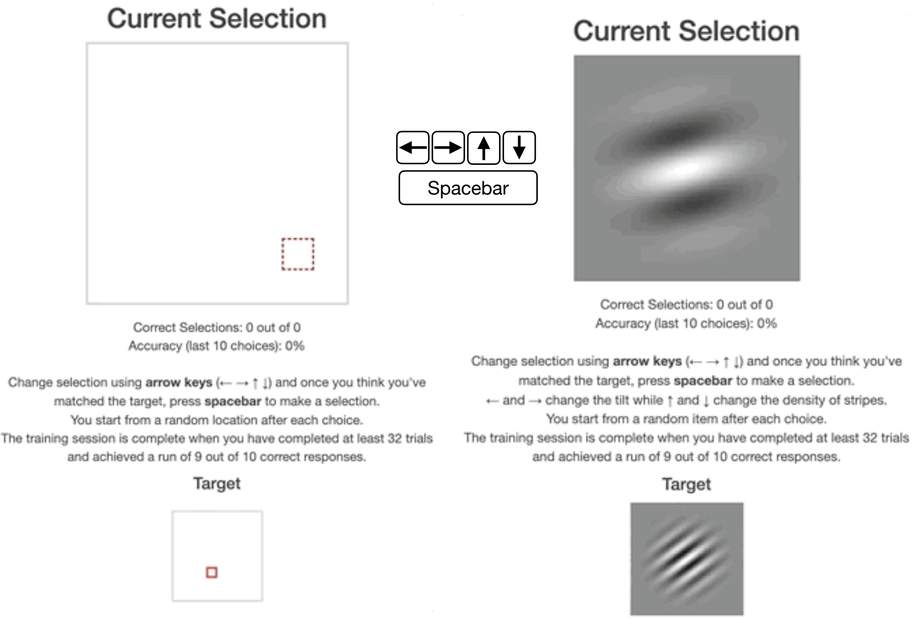


10 rounds
20 trials in each

Bonus Round

Judgment and Confidence

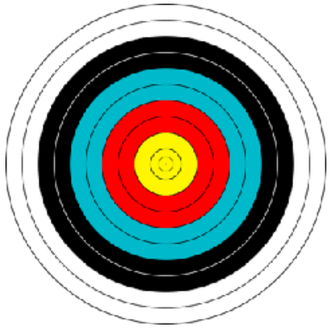
Match target stimuli until learning criterion reached



At least 32 trials AND a run of 9 out of 10 correct

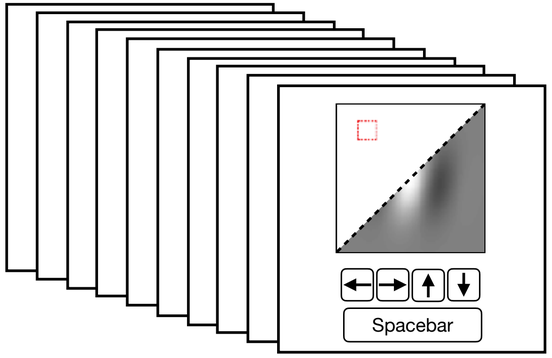
Task Design

Training Phase



Comprehension Questions

Main Search Task



10 rounds
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Bonus Round

Judgment and Confidence

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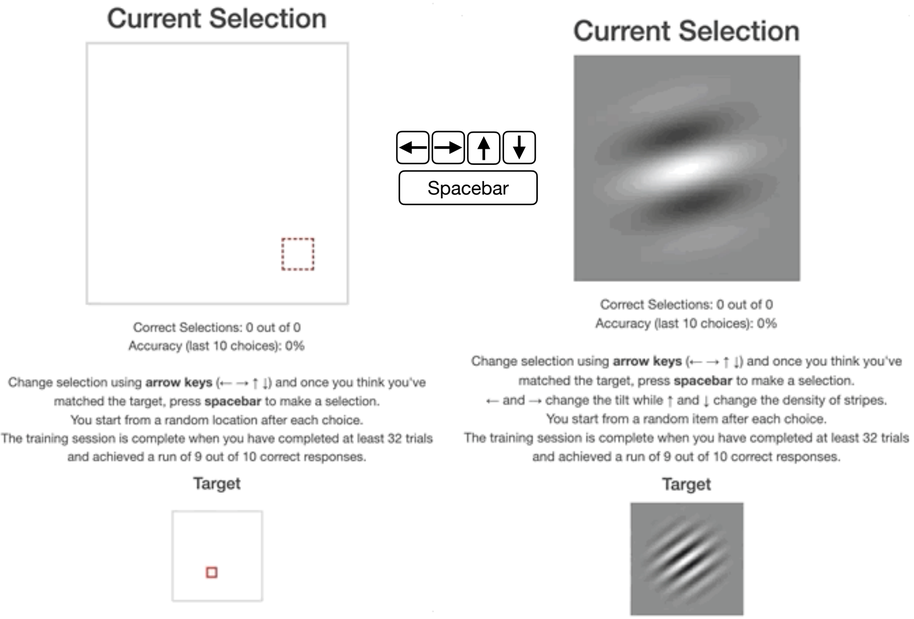
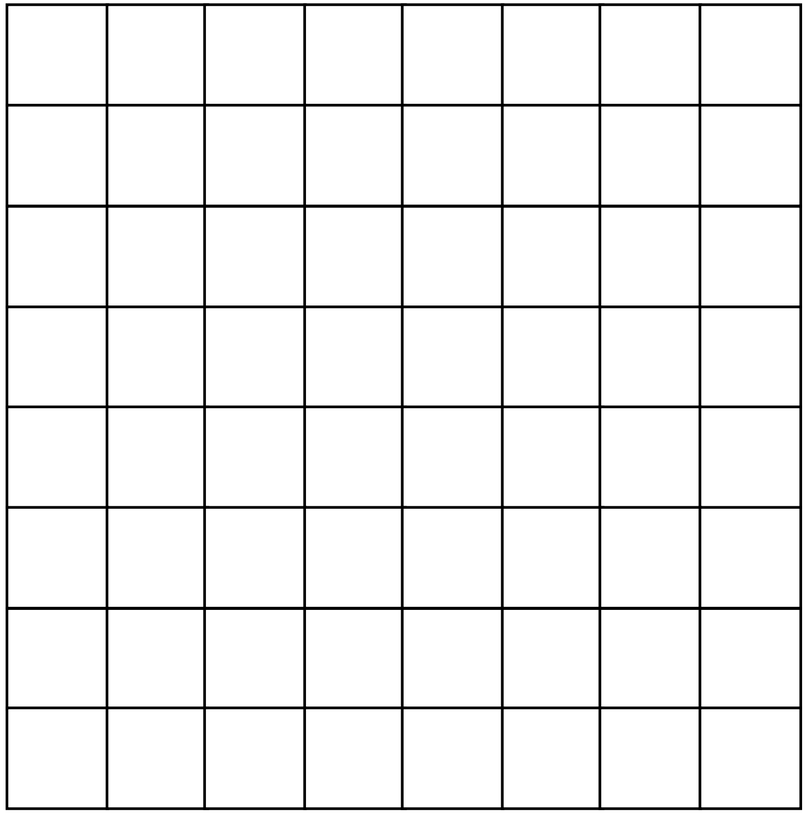
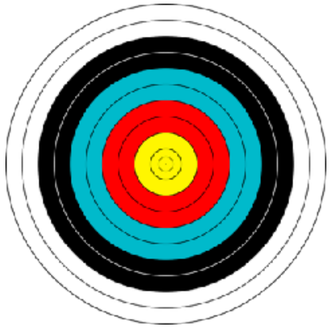




At least 32 trials AND a run of 9 out of 10 correct

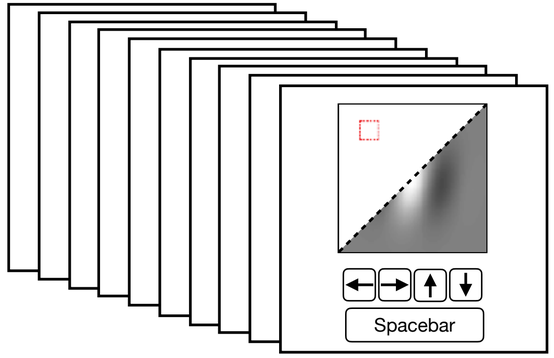
Task Design

Training Phase



Comprehension Questions

Main Search Task



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Bonus Round

Judgment and Confidence

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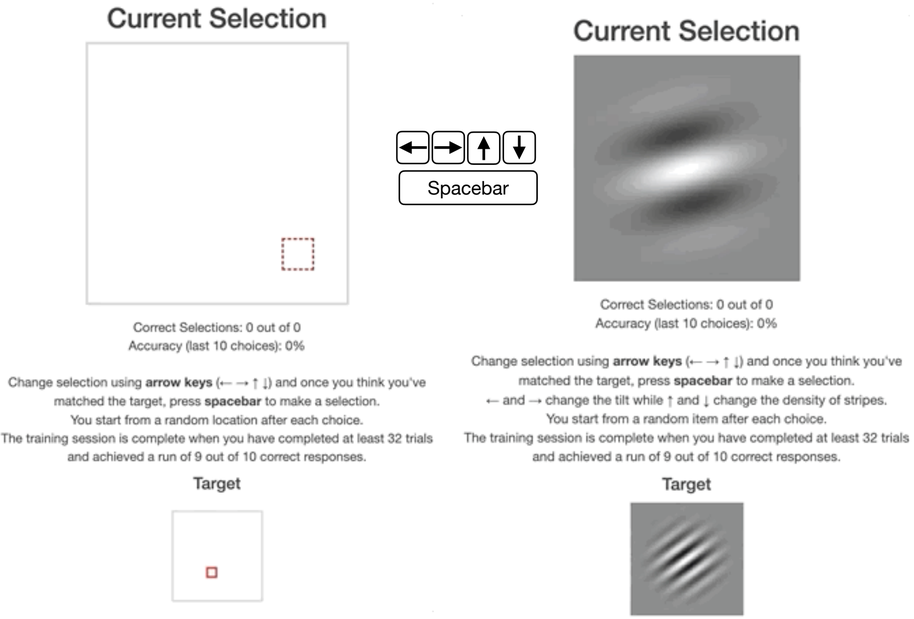

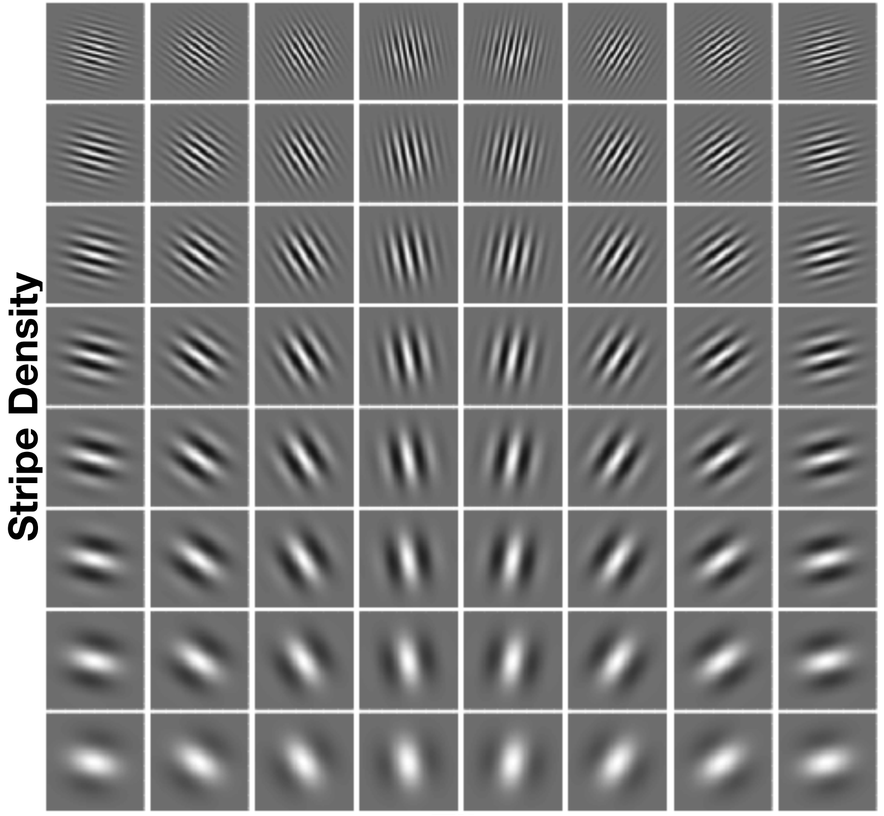

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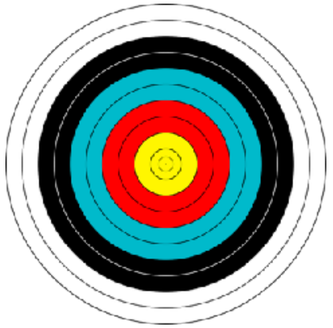
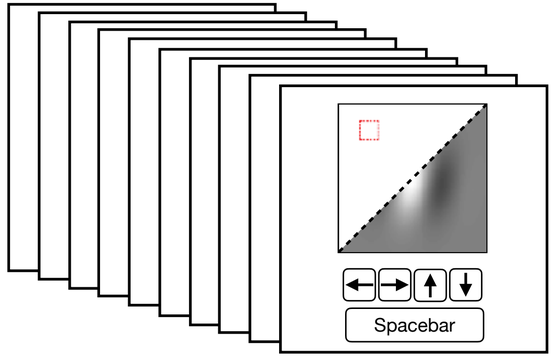
Task Design

Training Phase



Comprehension Questions

Main Search Task



10 rounds
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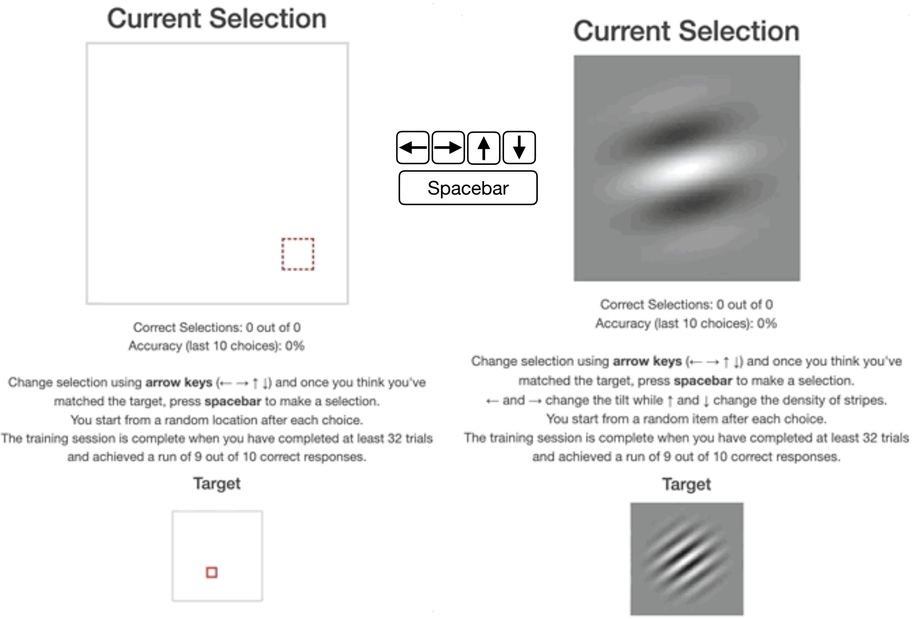
Bonus Round

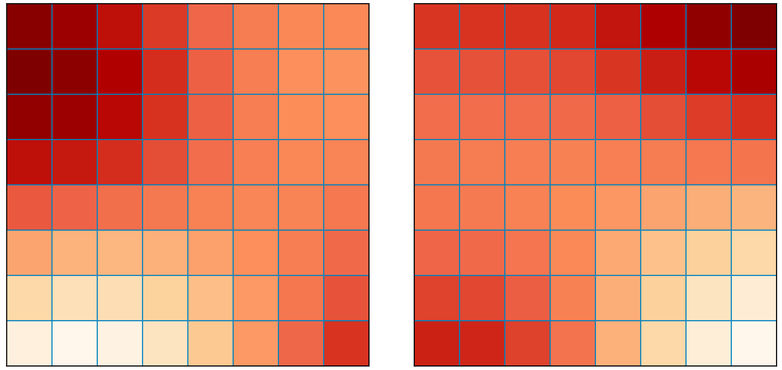
Judgment and Confidence

Match target stimuli until learning criterion reached

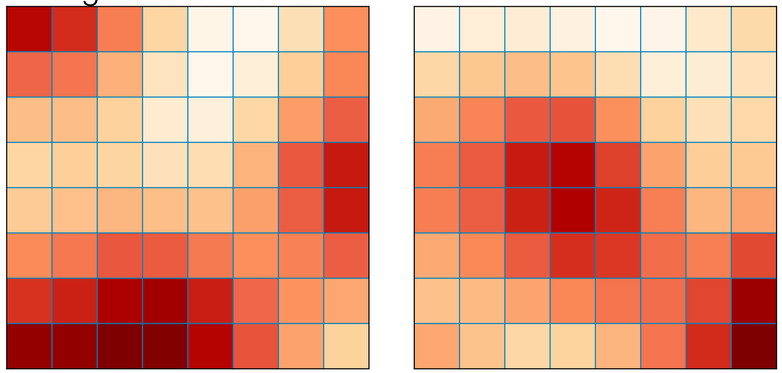


At least 32 trials AND a run of 9 out of 10 correct

Smooth Environments

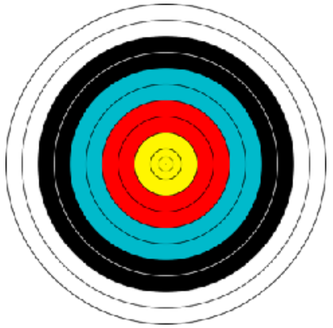


Rough Environments



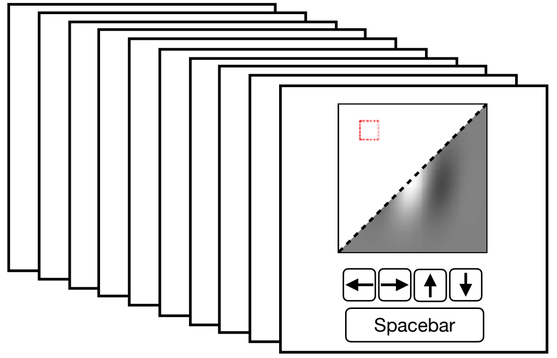
Task Design

Training Phase



Comprehension Questions

Main Search Task



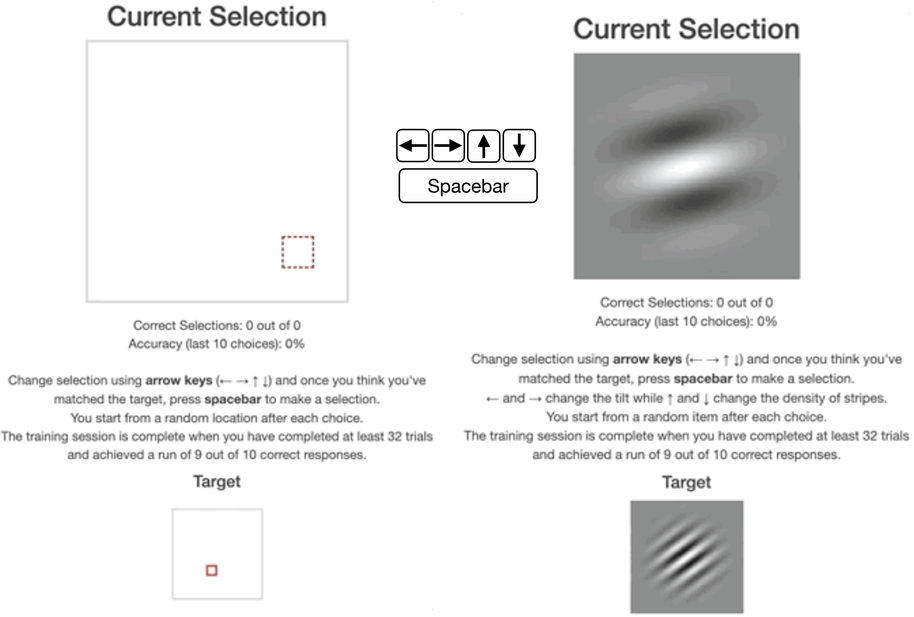
10 rounds
20 trials in each

Bonus Round

Judgment and Confidence

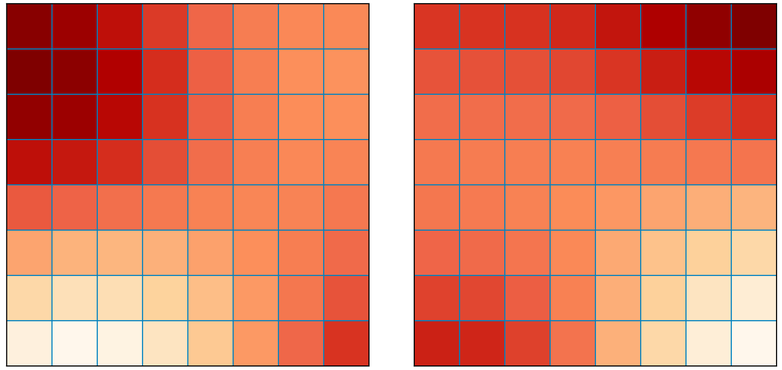
After the 15th trial of the 10th round

Match target stimuli until learning criterion reached



At least 32 trials AND a run of 9 out of 10 correct

Smooth Environments



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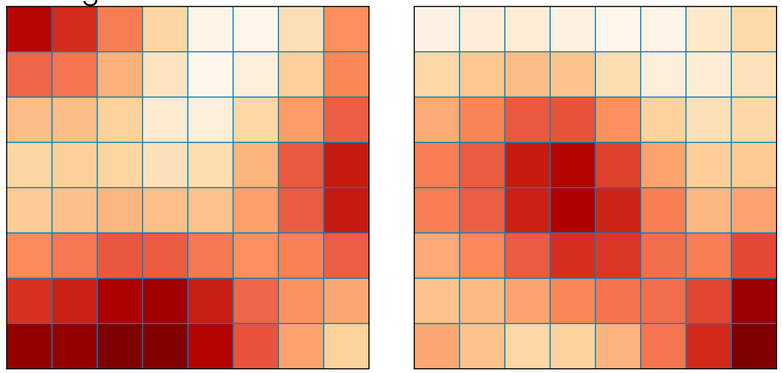
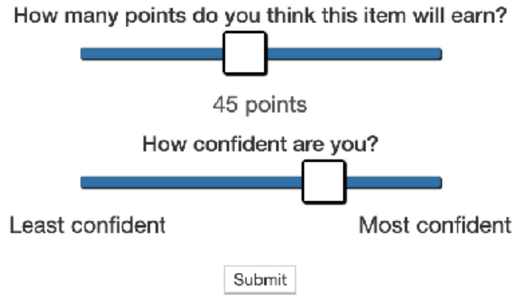
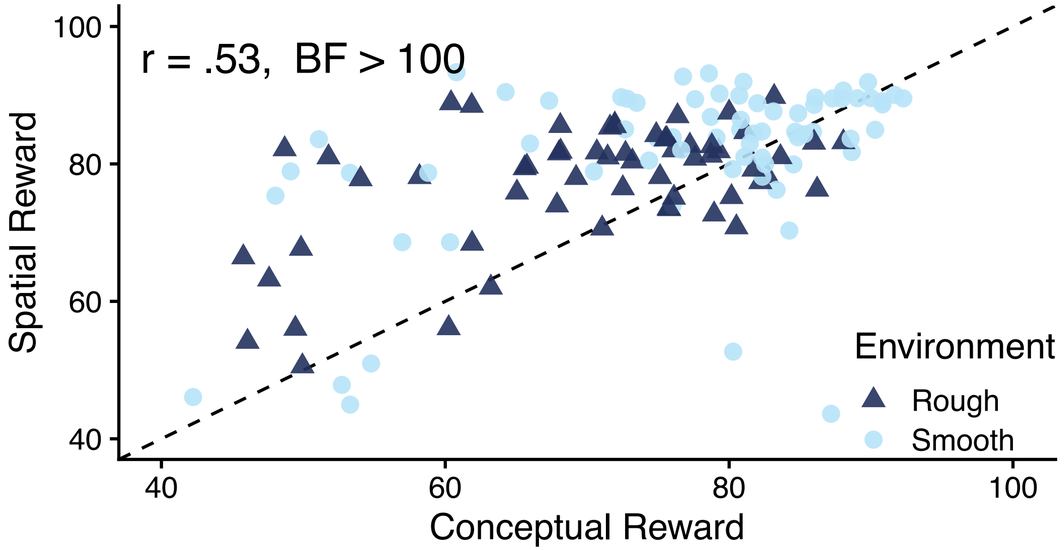


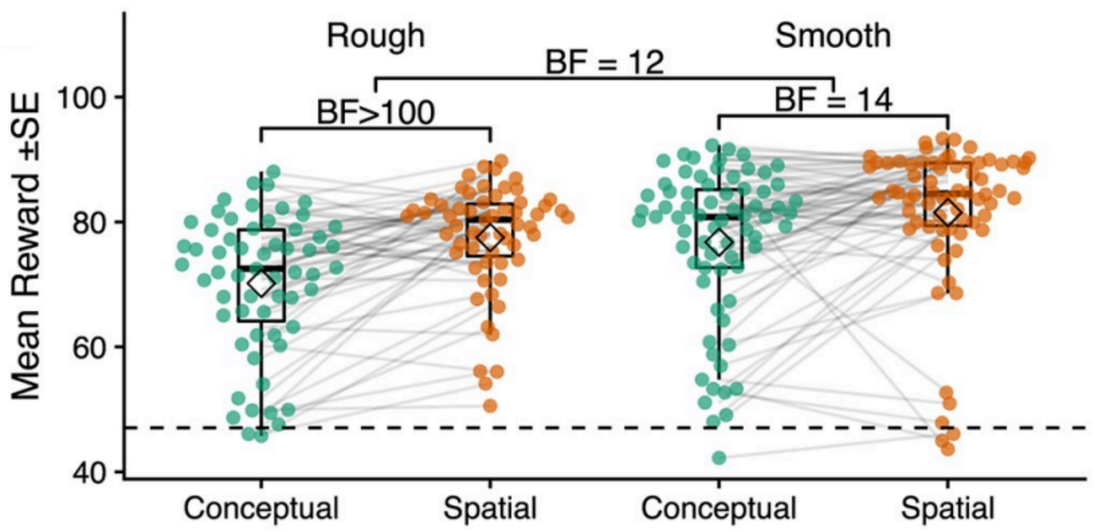
Judgments on 10 unobserved stimuli



Behavioral Results

- Correlated performance, but generally better in the spatial task
- This difference can largely be explained by a one-directional transfer effect:
  - Experience with spatial search boosted performance on conceptual search, but not vice versa





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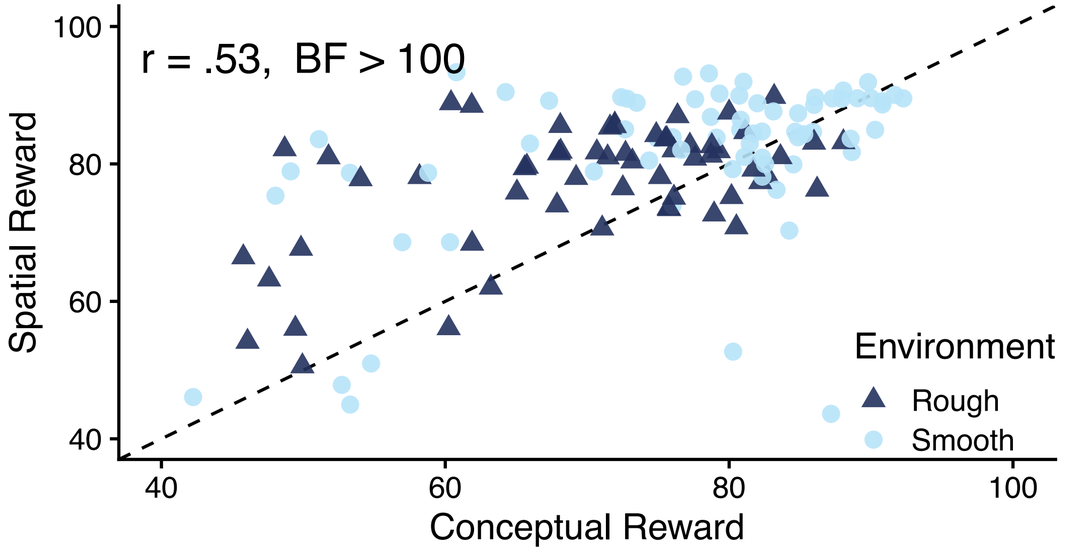
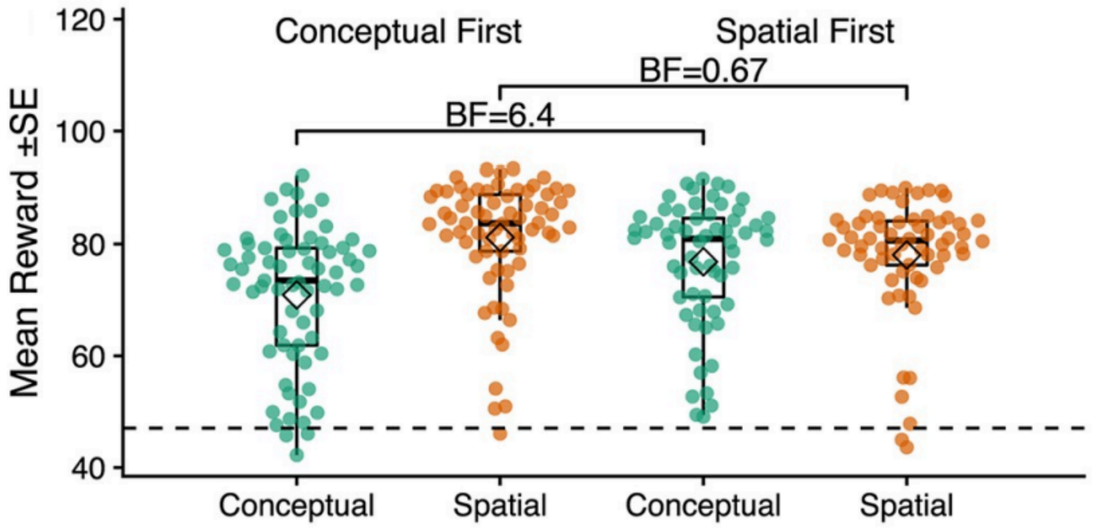
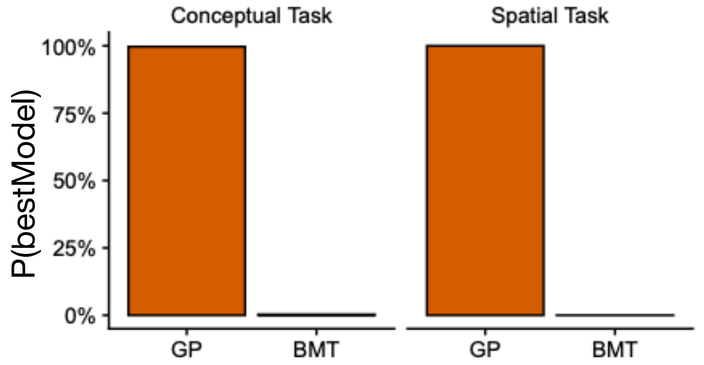
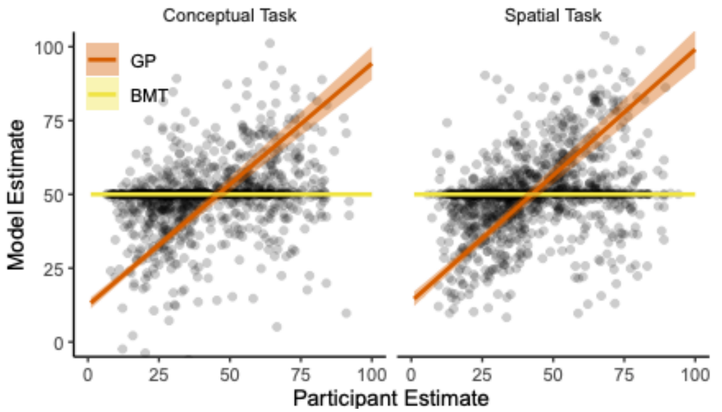


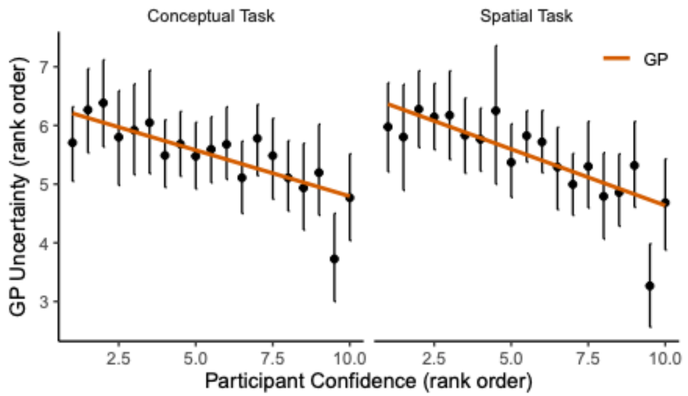


Modeling Results

- Using group-level Bayesian estimation, we find that GP-UCB is the best model of choice behavior
  - P(bestModel) estimates the most prevelant model (corrected for chance); also known as protected exceedance probability
- GP-UCB also predicts bonus round judgments about expected reward and confidence
  - using parameters estimated from rounds 1-9, we can use model simulations to predict participant judgments for unobserved stimuli in round 10
  - BMT makes invariant predictions for novel options, but the GP predictions correspond to participant judgments, where uncertainty is the opposite of confidence

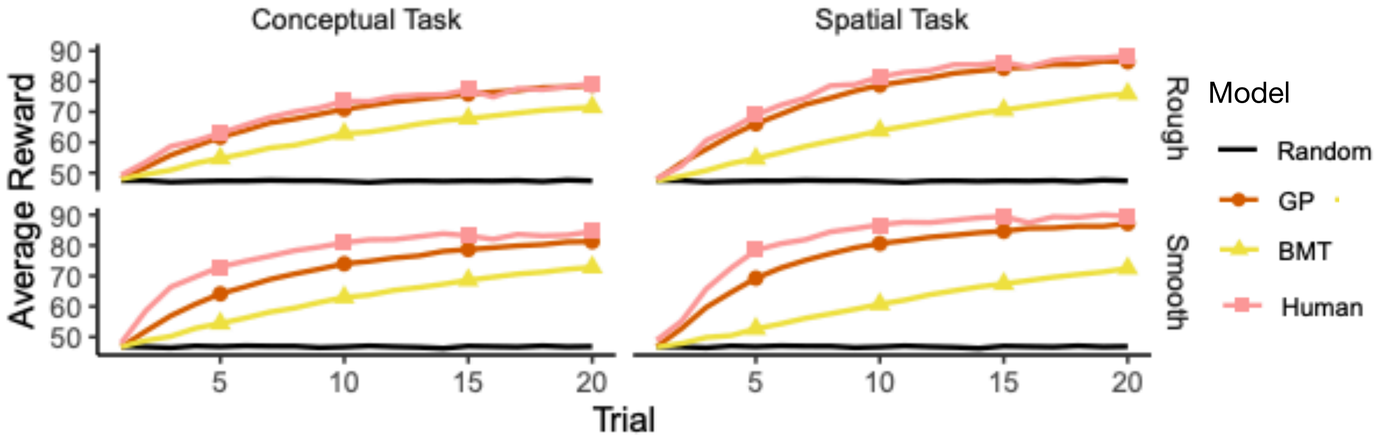


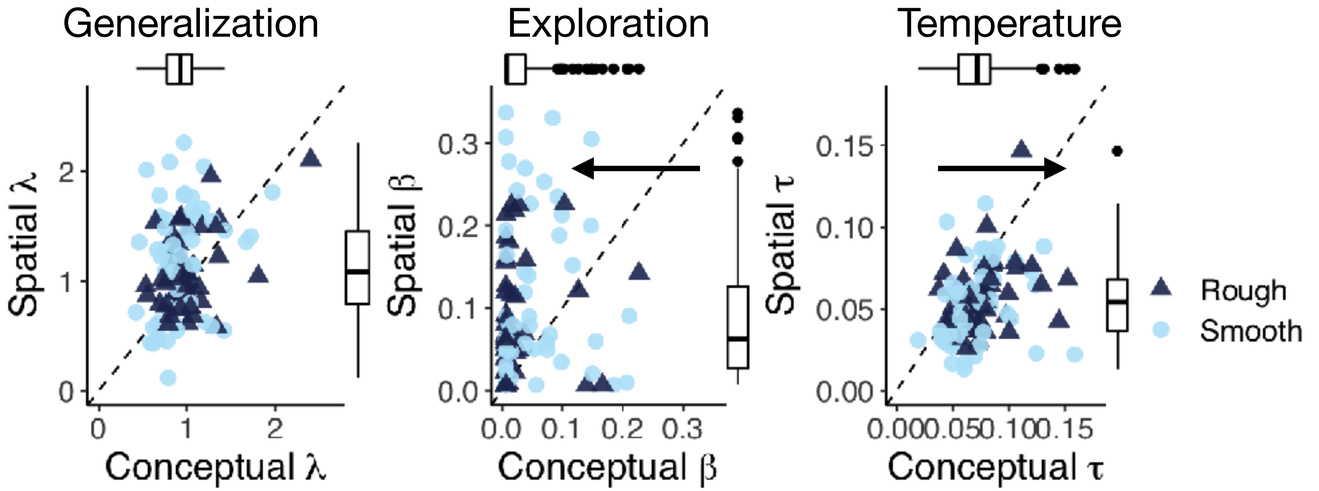




Modeling Results

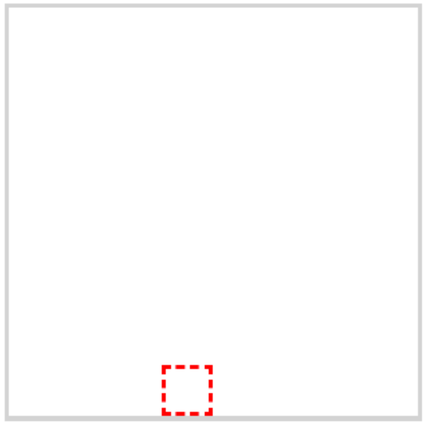
- GP-UCB simulated learning curves resemble human performance
- Parameter estimates show similar levels of generalization but change in exploration
- Directed exploration vanishes in the conceptual task, replaced by higher temperature (i.e., random) sampling





Summary

Spatial



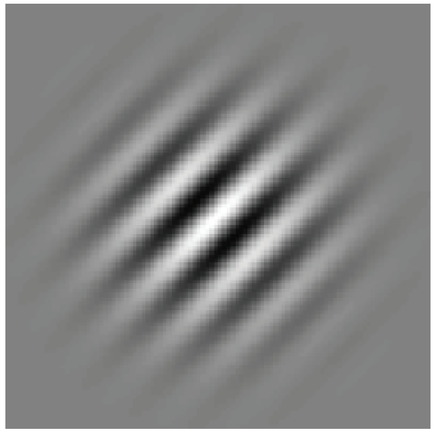
Current Score: 260
Trials Remaining: 12
Rounds Remaining: 10

Change selection using **arrow keys** (← → ↑ ↓) and make a choice by pressing **spacebar**.
You start from a random tile after each choice and crossing over the edge of the grid brings you to the opposite side.

1 day

gap

Conceptual

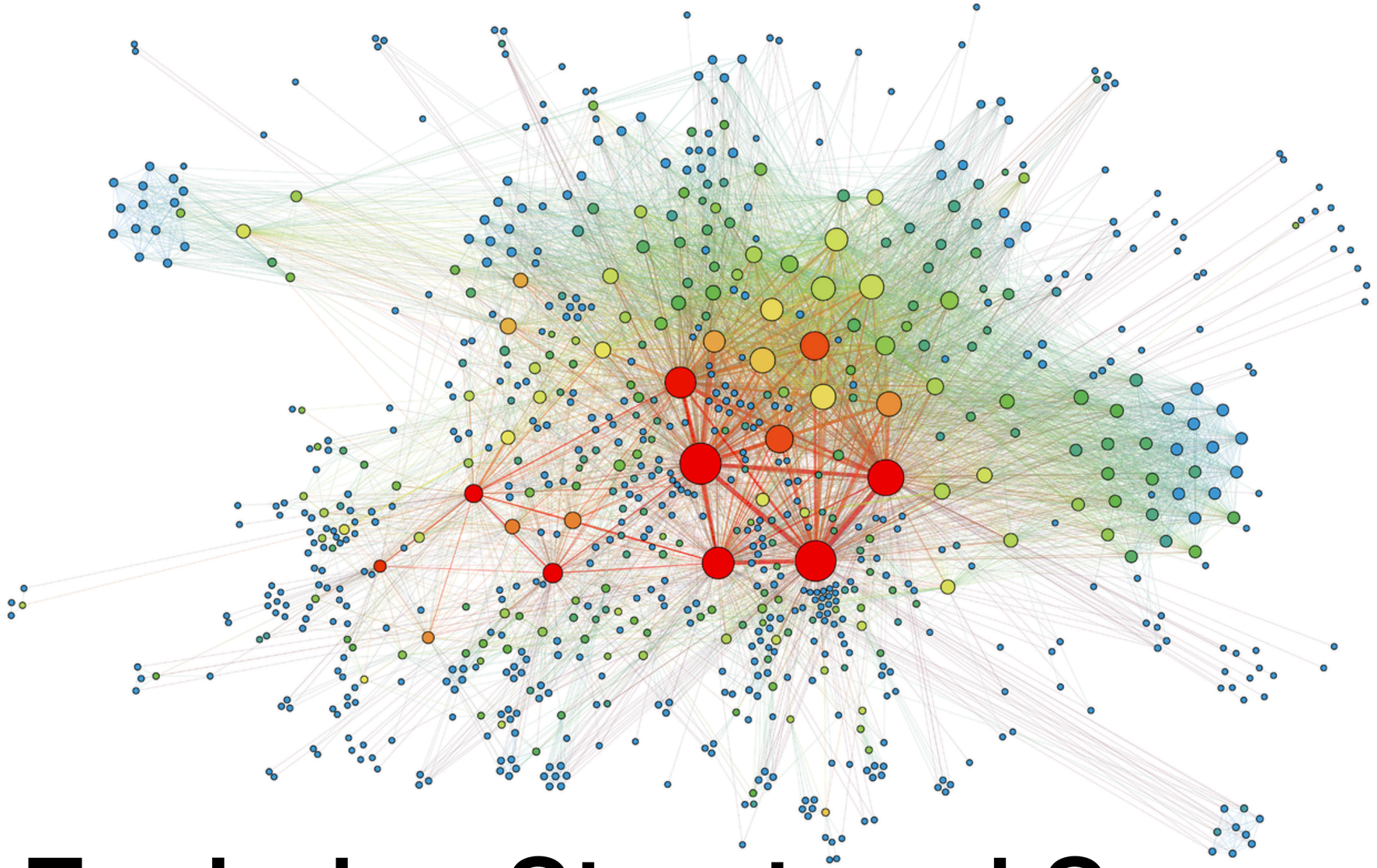


Current Score: 141
Trials Remaining: 14
Rounds Remaining: 10

Use your **arrow keys** to change the selection and make a choice by pressing **spacebar**.
← and → change the tilt while ↑ and ↓ change the number of stripes.
You start from a random item after each choice.

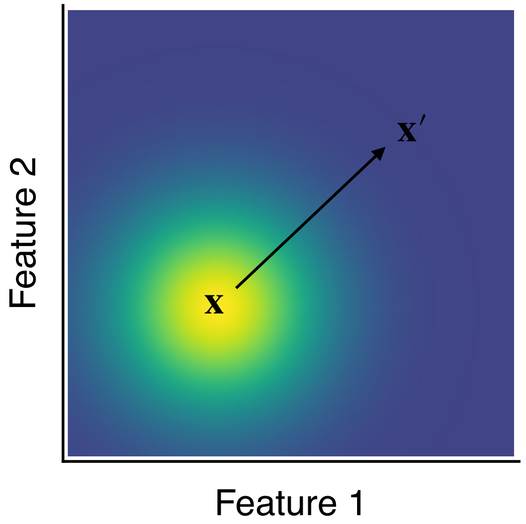
- Similar mechanisms of generalization-guided search in both domains
- But also diagnostic differences:
  - One-directional transfer effect suggest something fundamental about spatial reasoning
  - Switch from directed to random exploration
- Bonus round suggests participants have a good sense of uncertainty (confidence ratings) in both domains, but aren't able to leverage it to direct their exploration in the conceptual task

Wu, Schulz, Meder & Schuck (*PLOS Comp Bio* 2020)

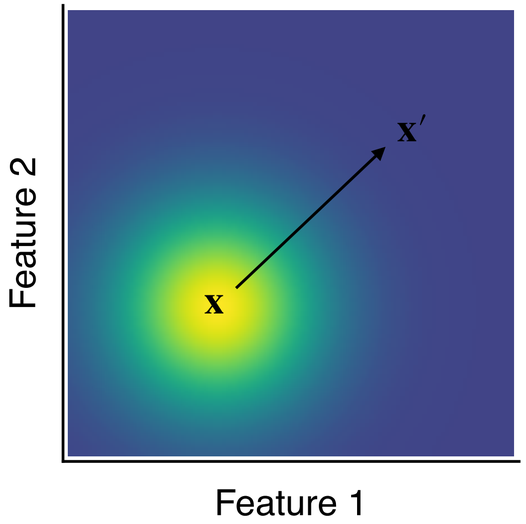


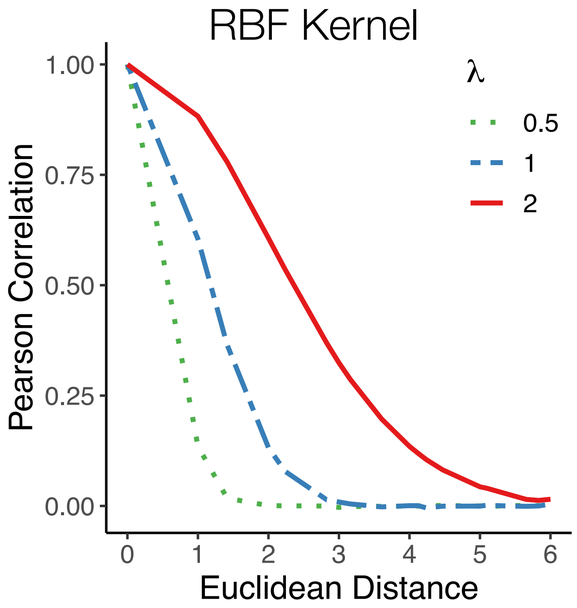
Exploring Structured Spaces

From continuous to structured spaces



From continuous to structured spaces





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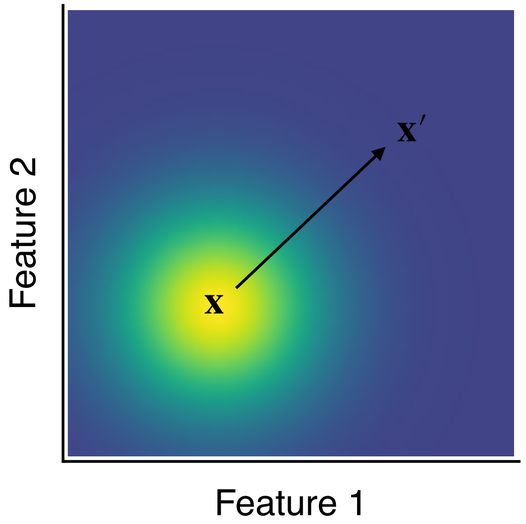

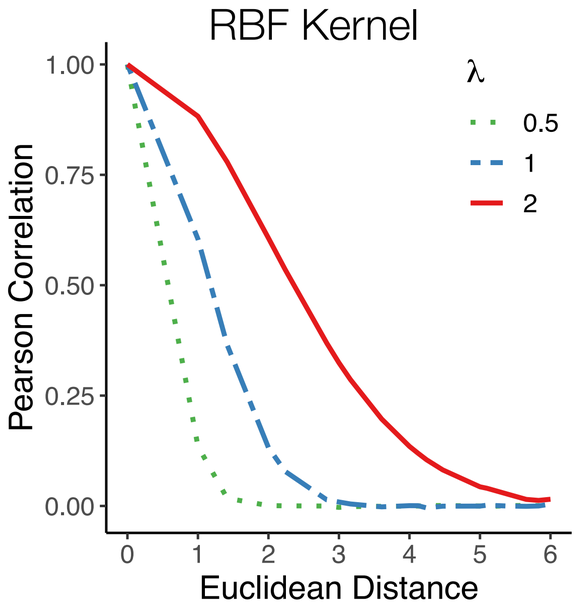

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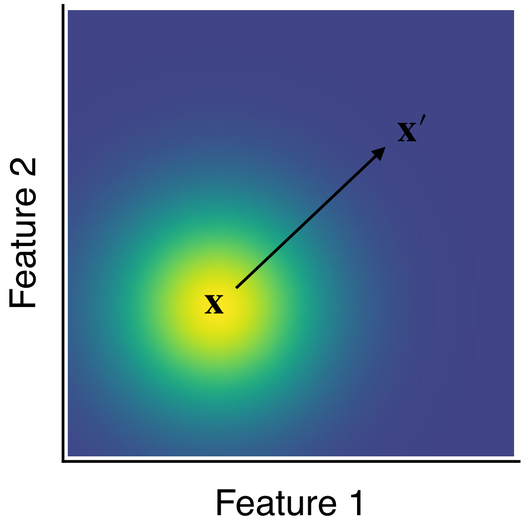
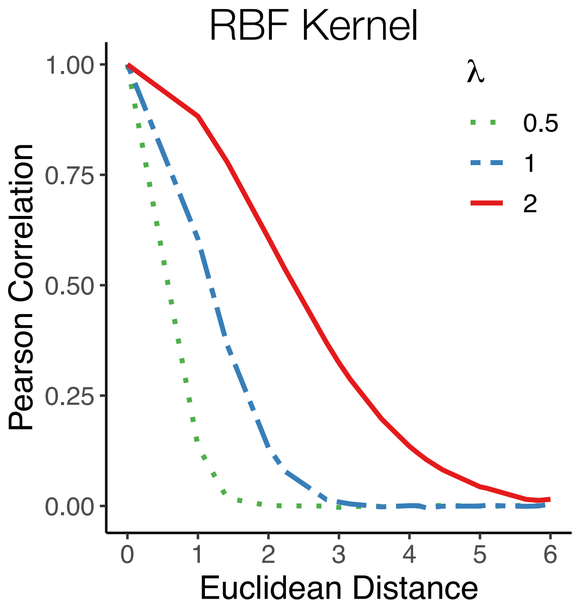
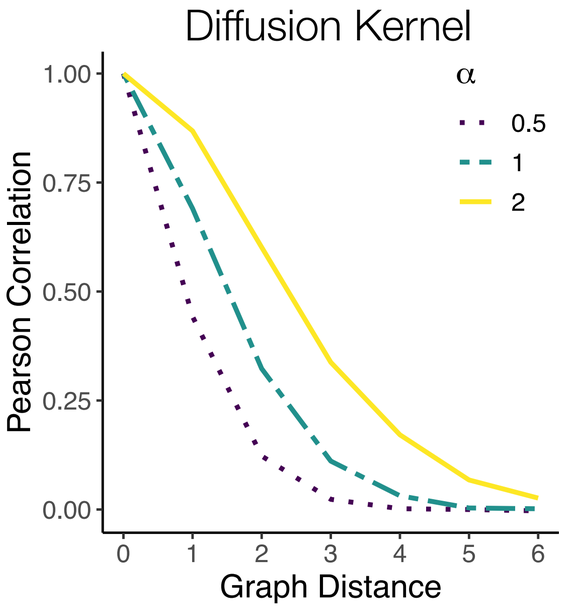
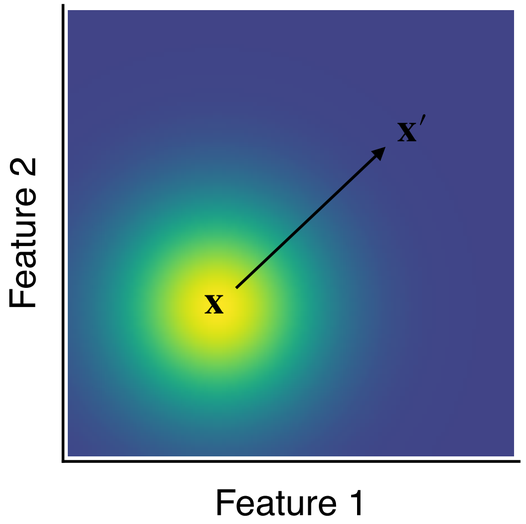
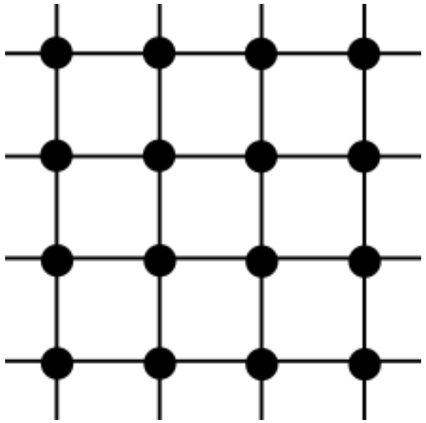


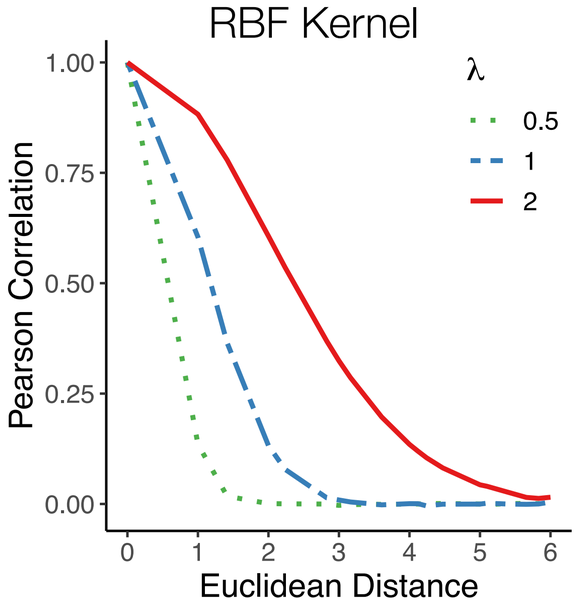




From continuous to structured spaces







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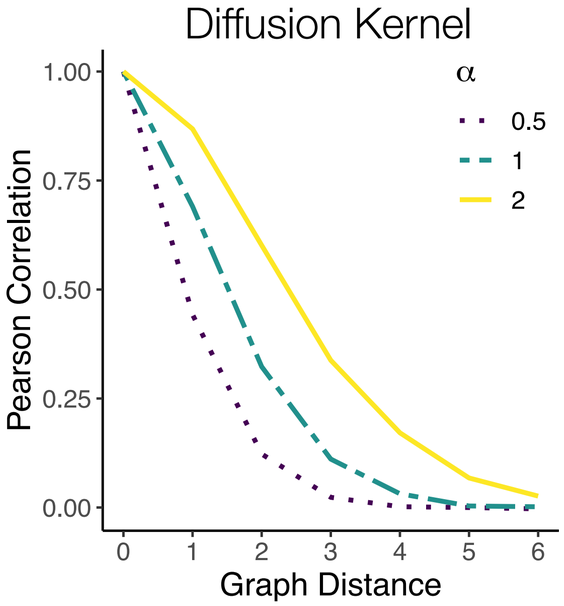
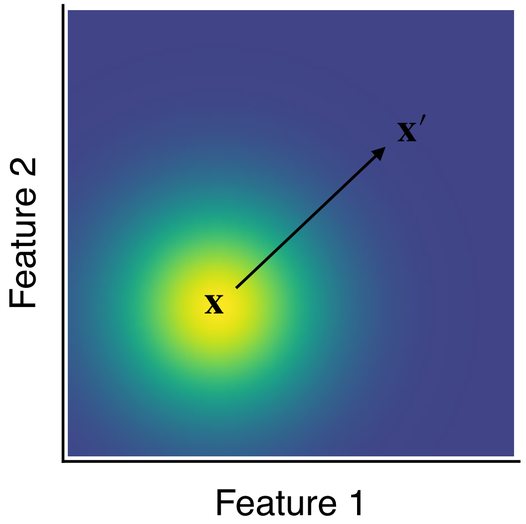
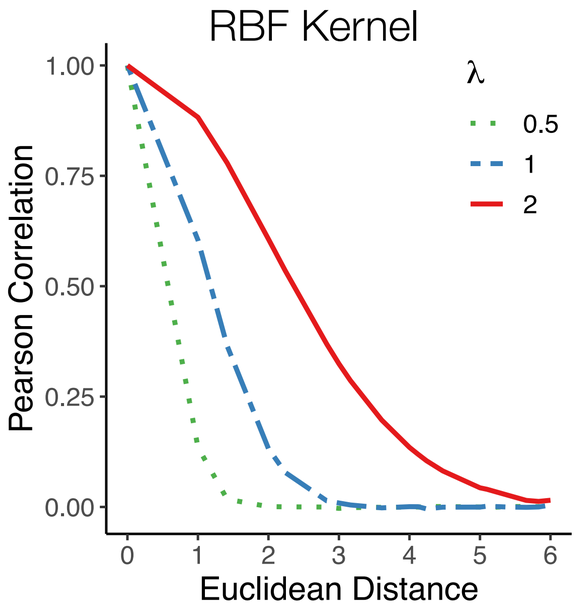
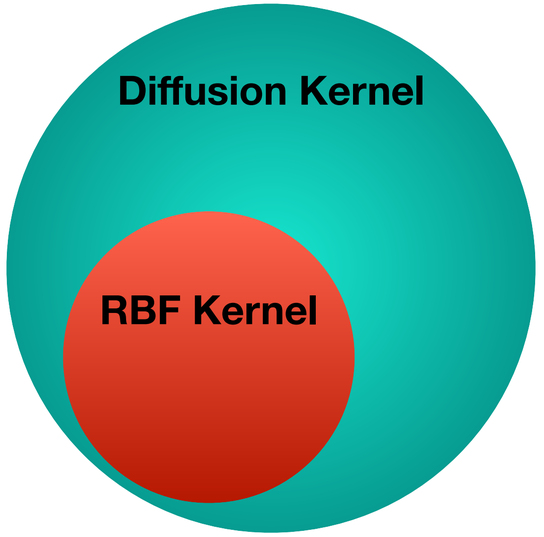
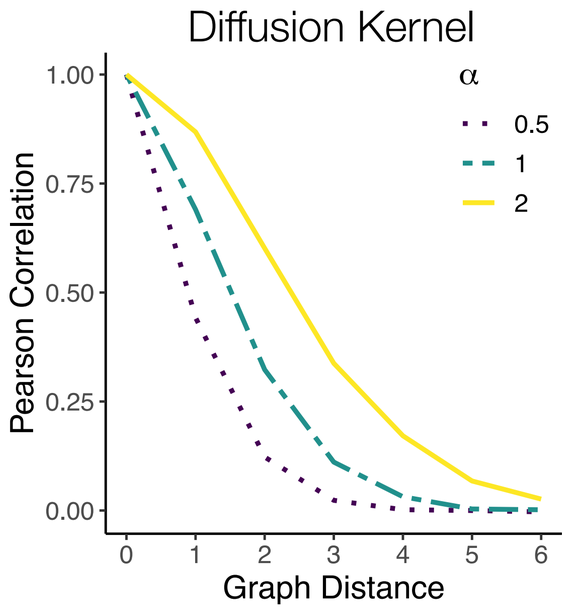


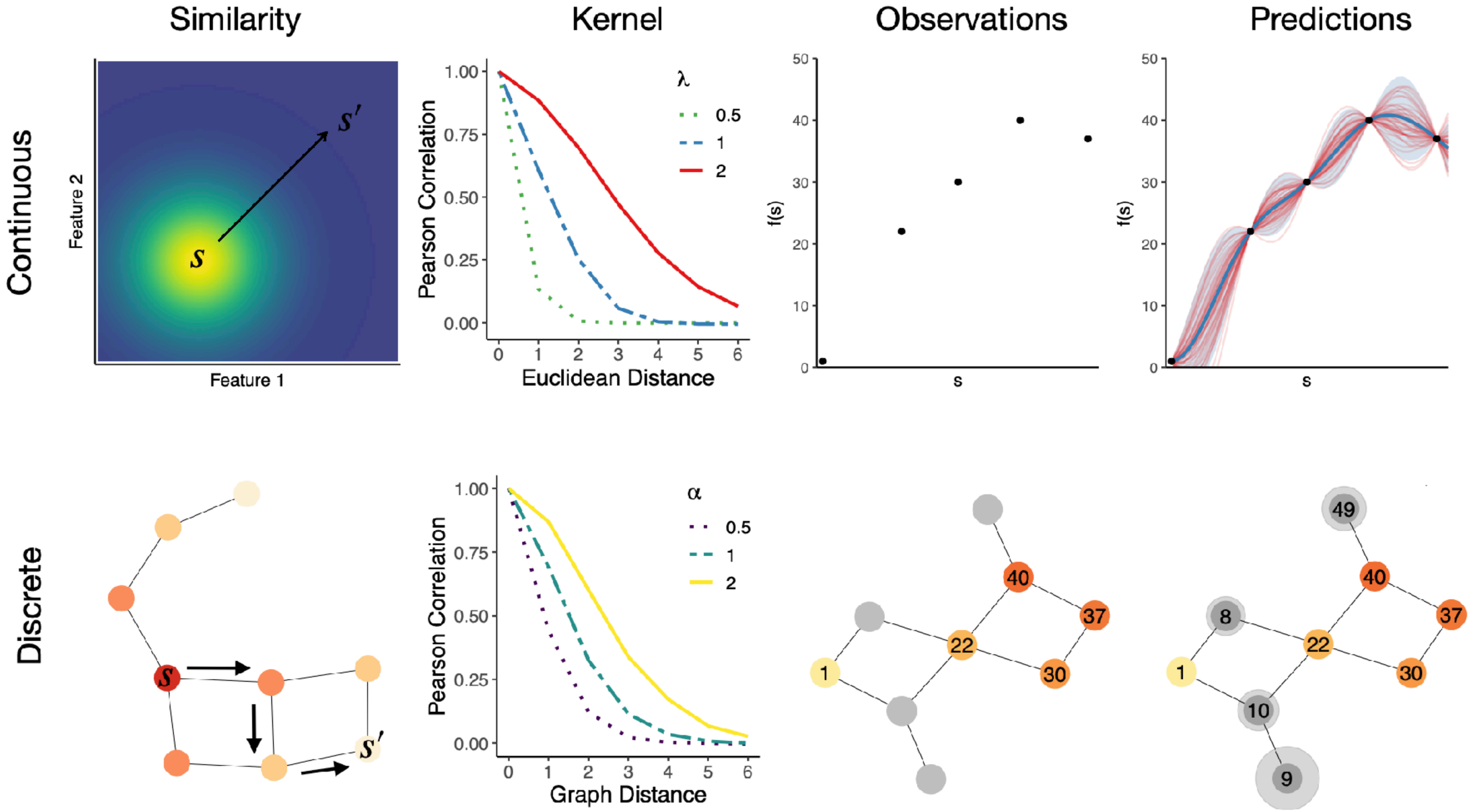
From continuous to structured spaces



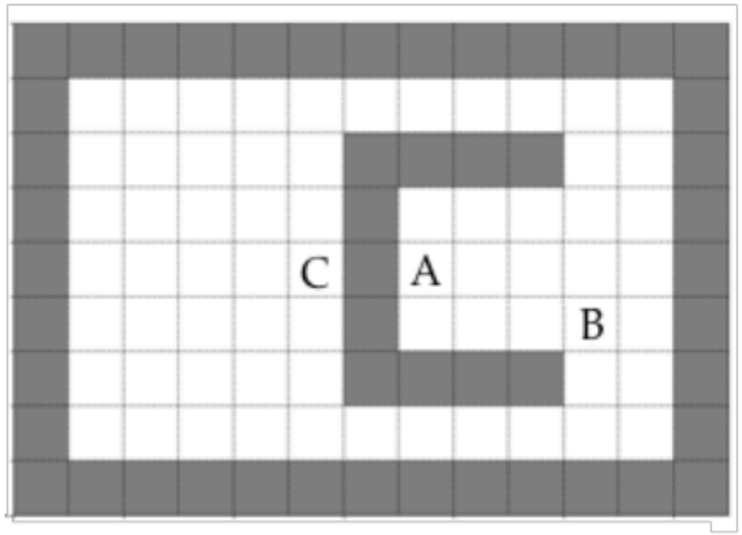


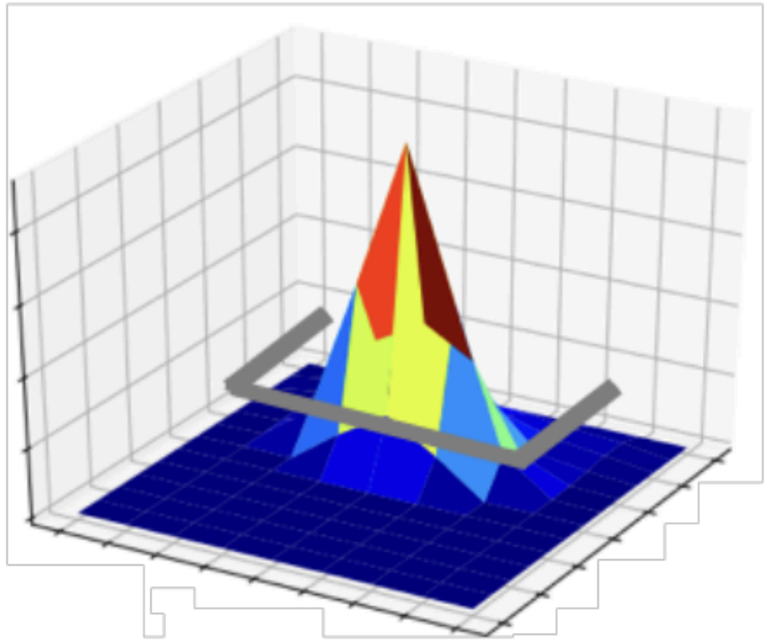


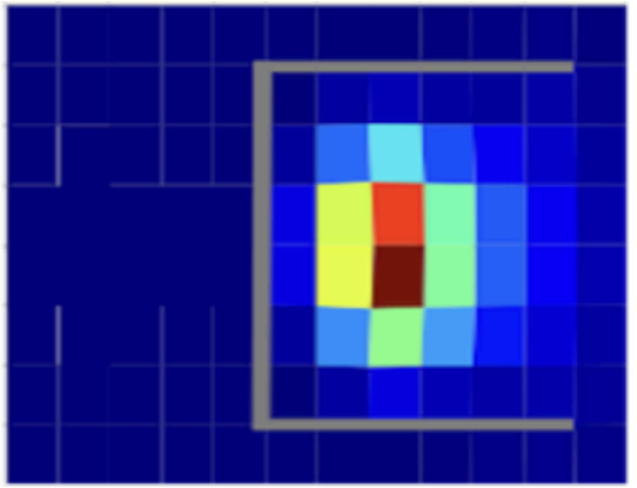



Similarity
Kernel
Observations
Predictions
Continuous
Discrete
Feature 1
Feature 2
s
s′
Pearson Correlation
Euclidean Distance
λ
0.5
1
2
f(s)
s
Graph Distance
α
1
22
30
37
40
8
10
9
49

Generalization based on transition dynamics







Machado et al. (*ICLR* 2018)

- A indicates a reward
- Even though C is closer than B, the transition dynamics of the environment make it easier for B to reach A

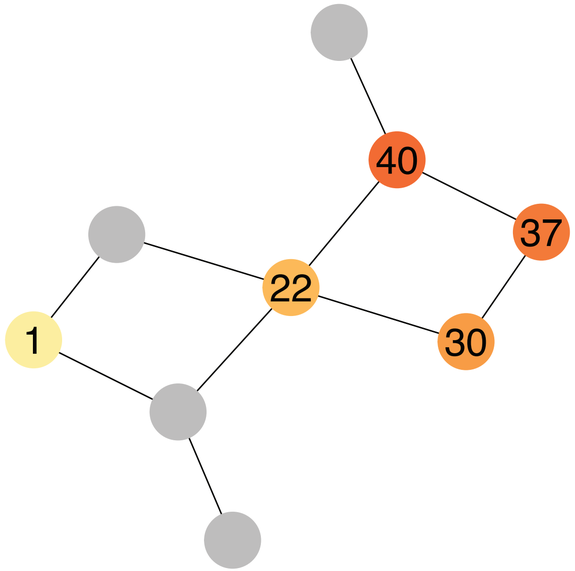
Diffusion Kernel

- Rather than similarity between features, we use the connectivity structure of the graph to define similarity

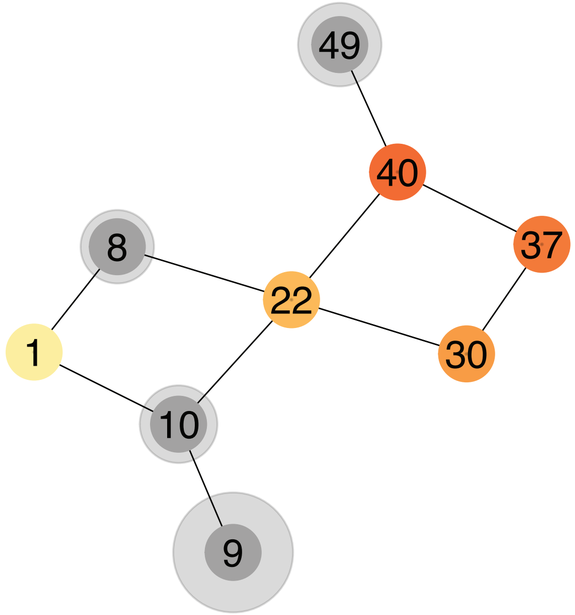
$$k_{DF}(s, s') = \exp(-\alpha L)$$

- Where L is the graph Laplacian
- α is a free parameter (diffusion level)
- The diffusion kernel assumes function values diffuse across the graph according to a random walk

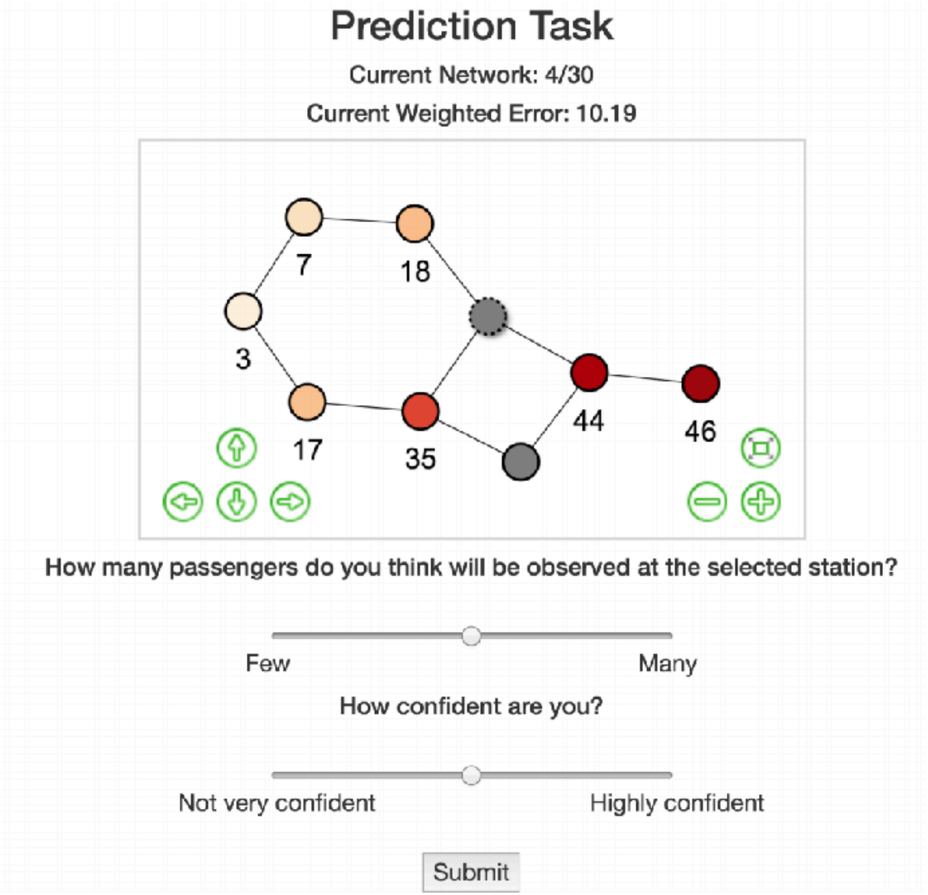
Observations



Predictions (with uncertainty)

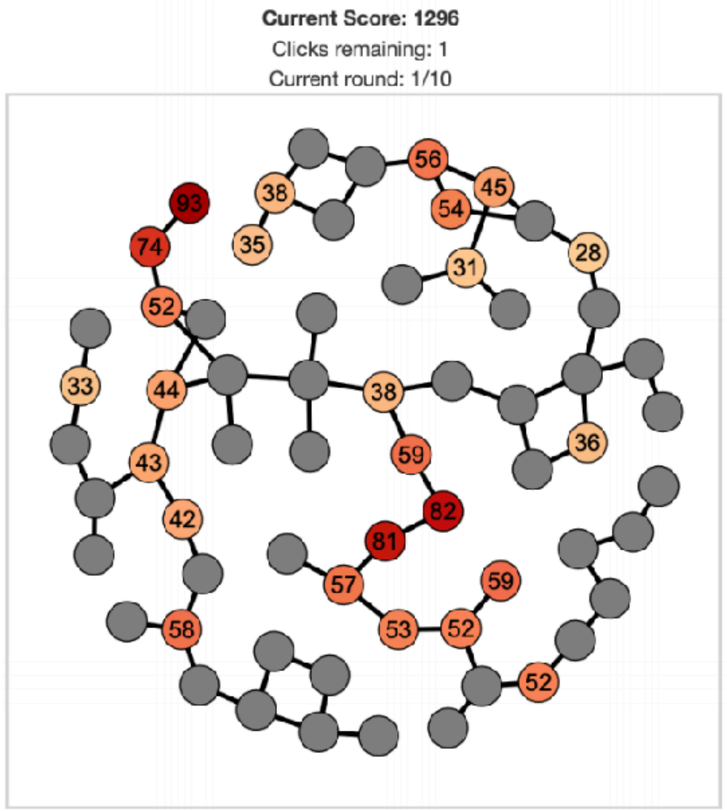


Experiment 1



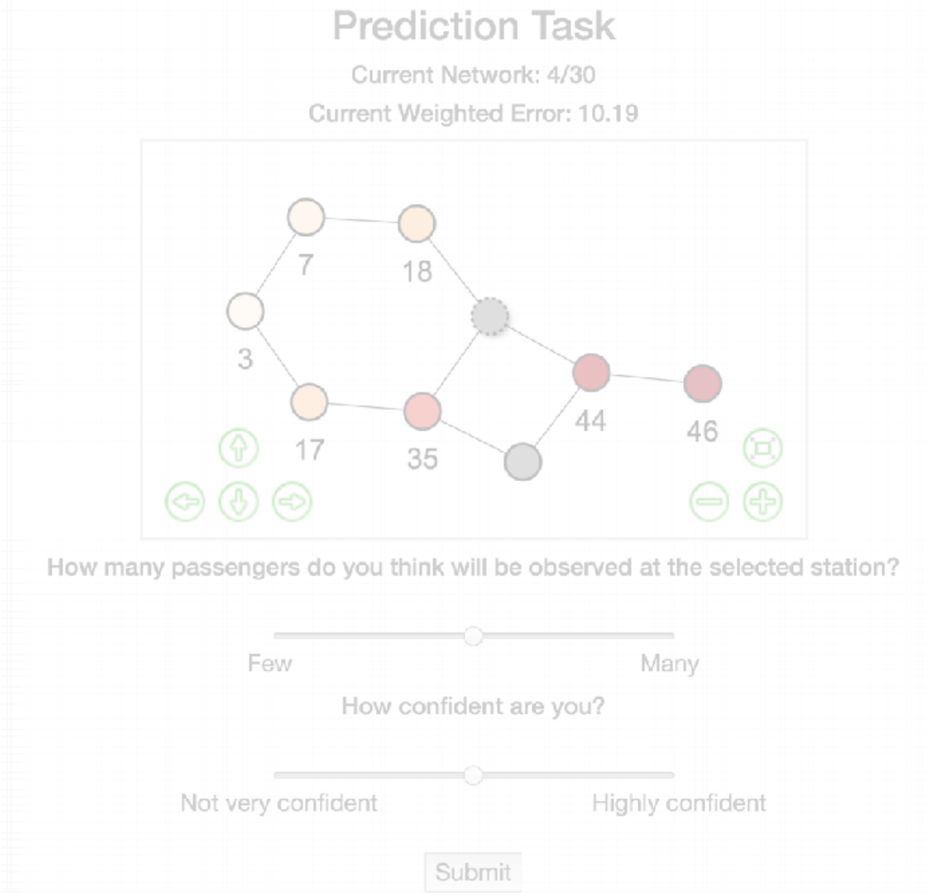
Experiment 2

Bandit Task



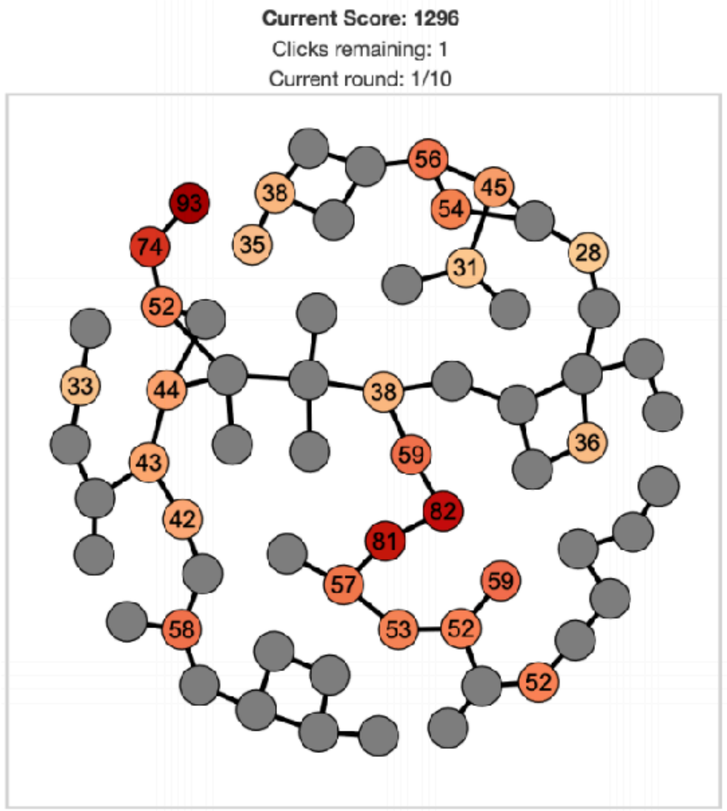
Bonus Round

Experiment 1



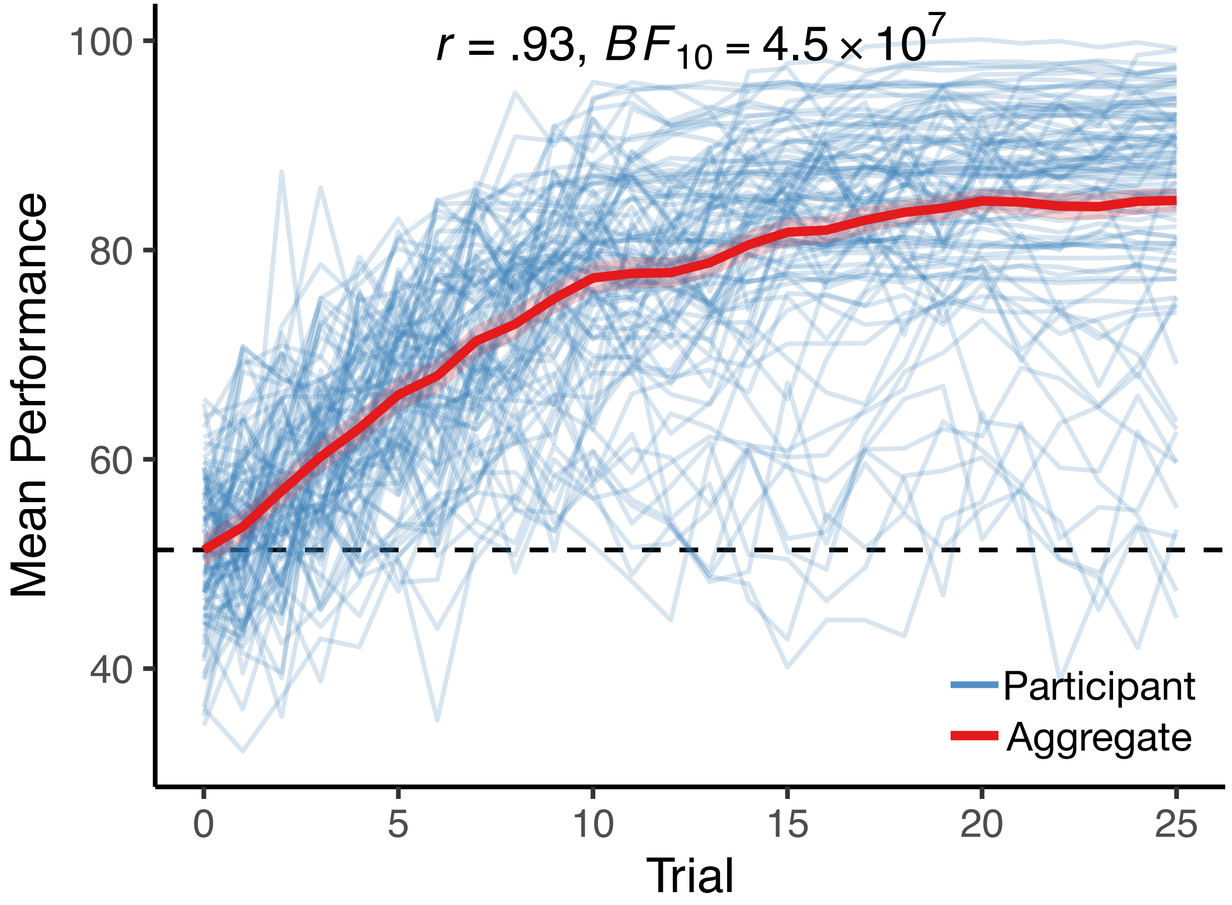
Experiment 2

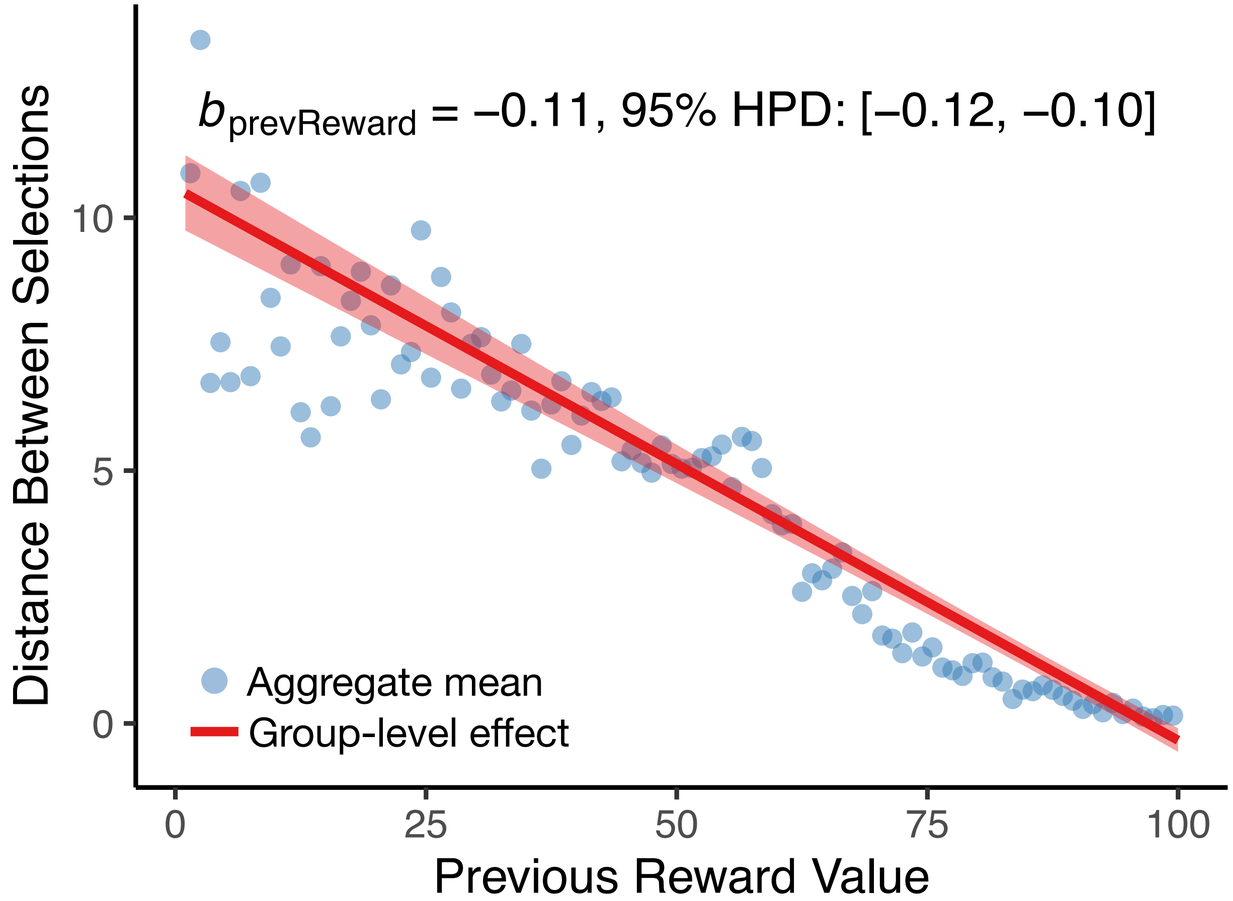
Bandit Task



Bonus Round

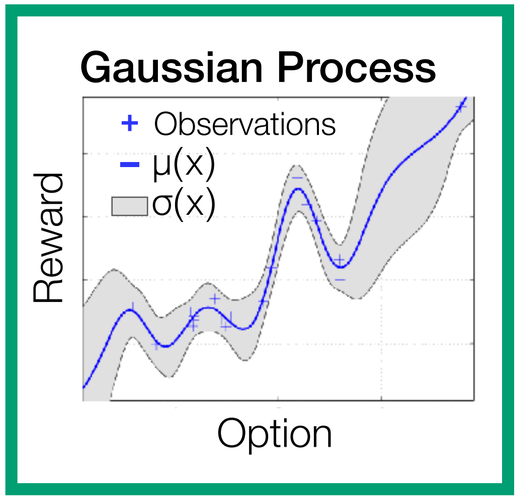
Behavioral Results



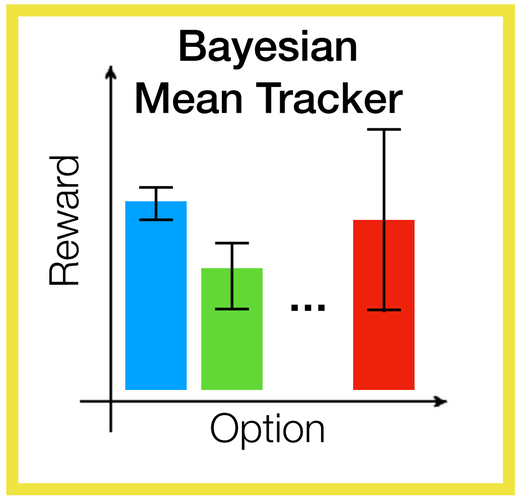


Model Results

Generalization

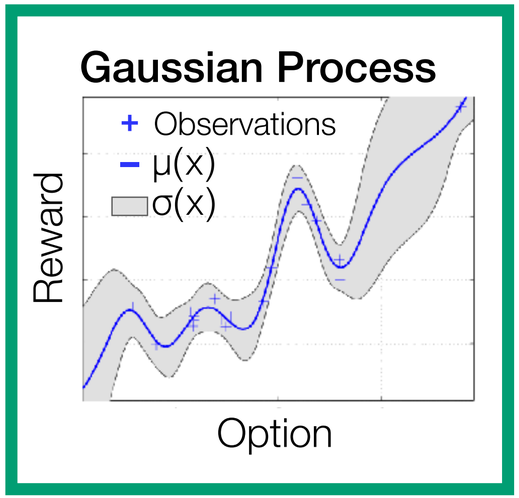


No generalization

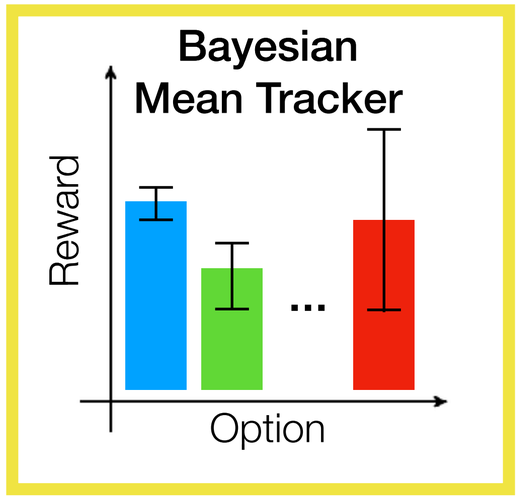


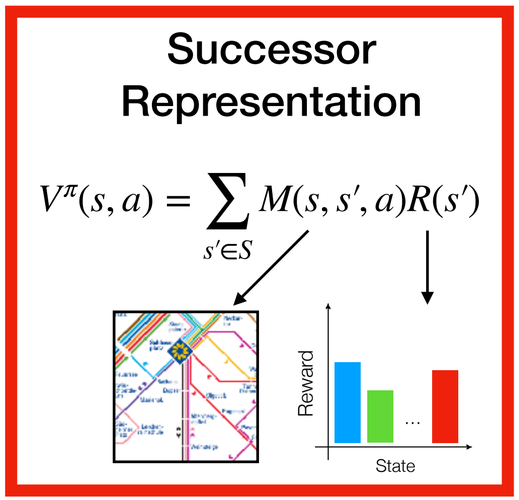
Model Results

Generalization



No generalization



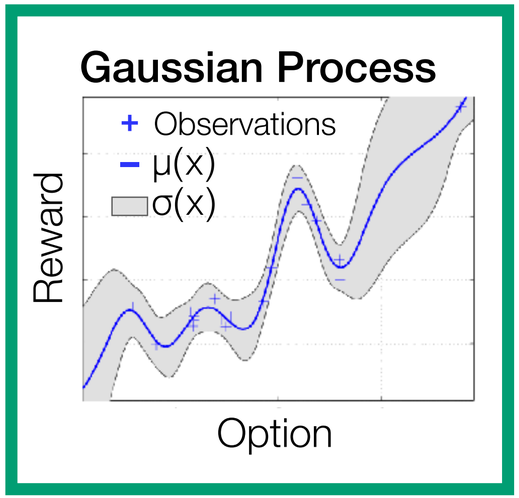


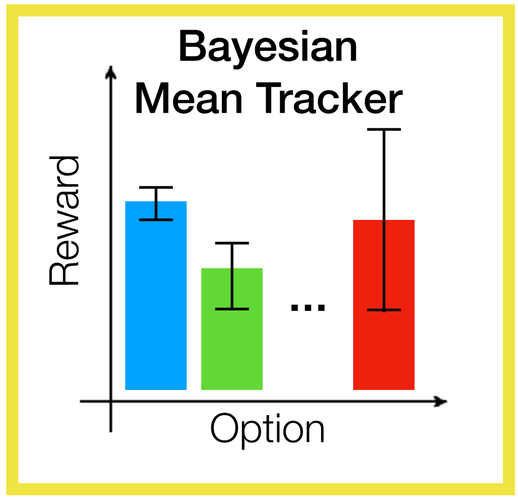
Model Results

Generalization

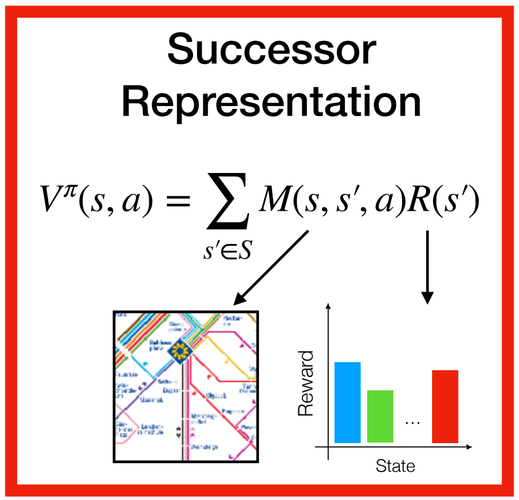
No generalization

directed + random exploration





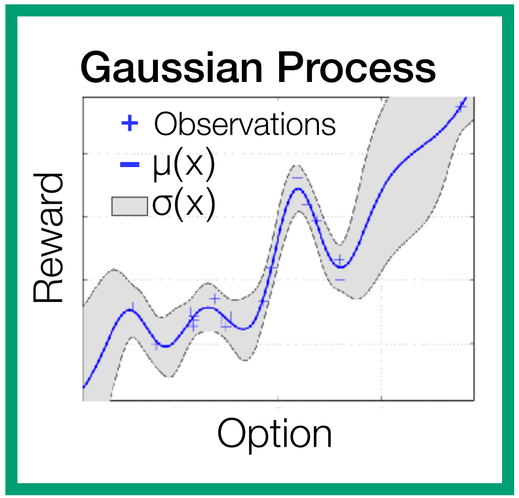
random exploration

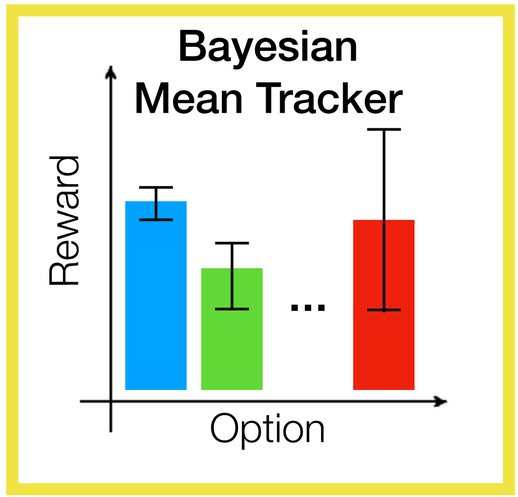


Model Results

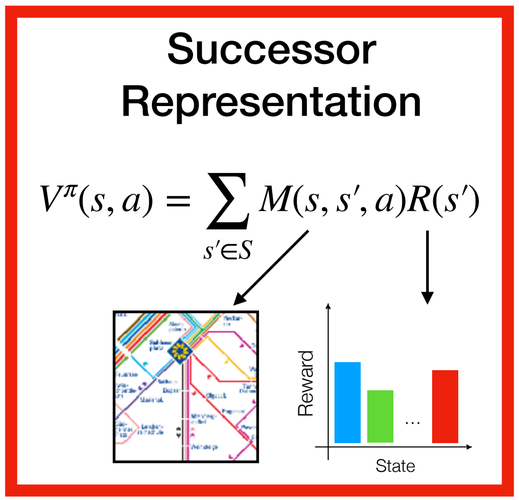
Generalization | **No generalization**

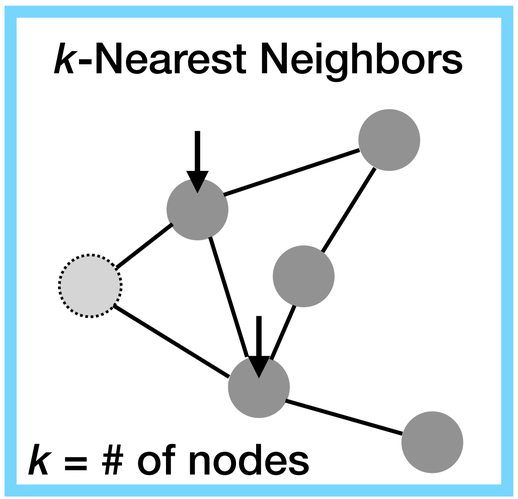
directed + random exploration





random exploration

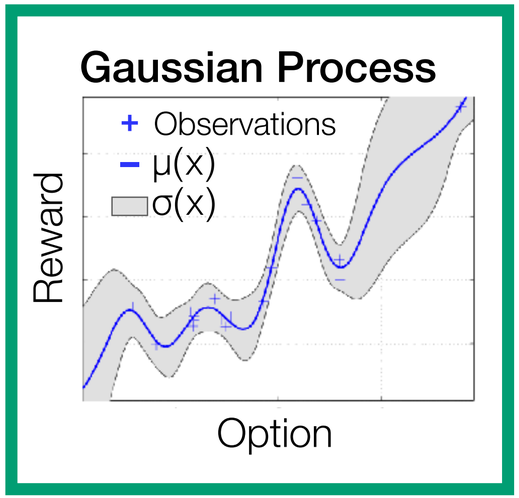


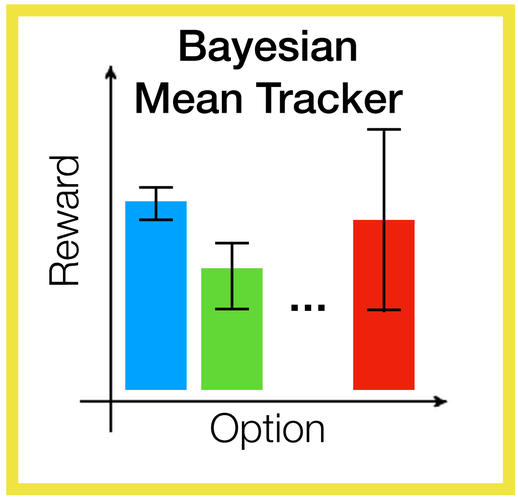


Model Results

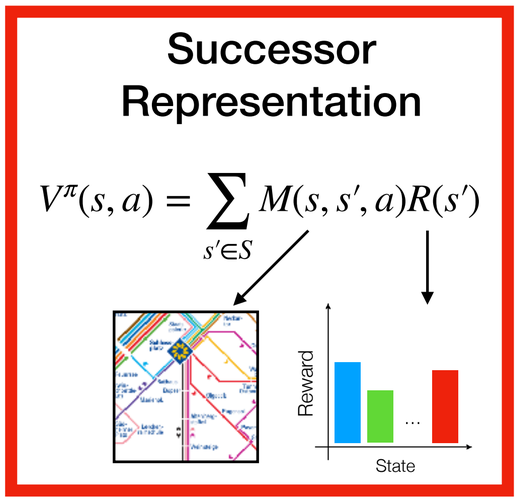
Generalization | **No generalization**

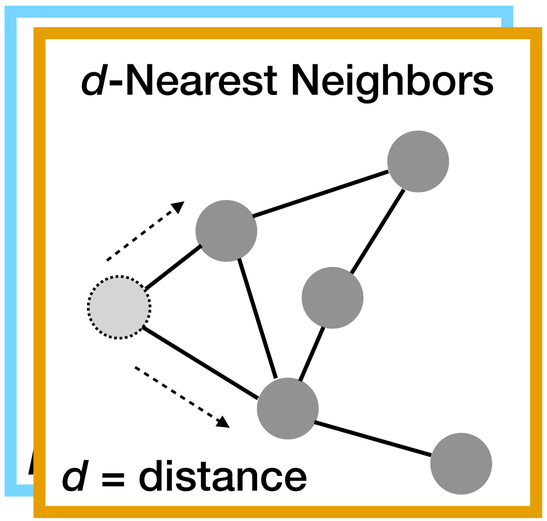
directed + random exploration





random exploration

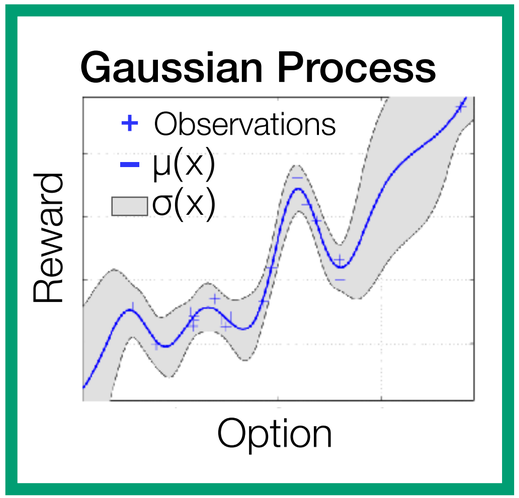


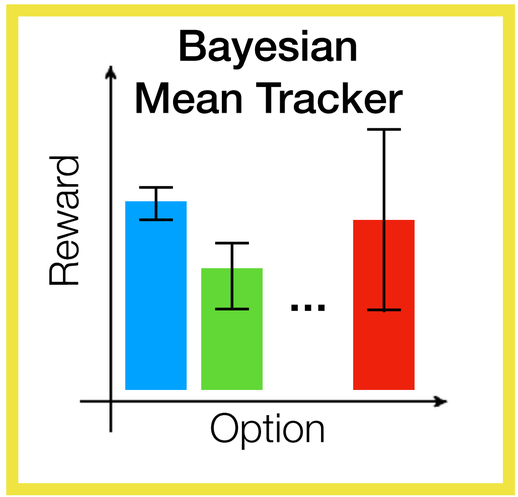


Model Results

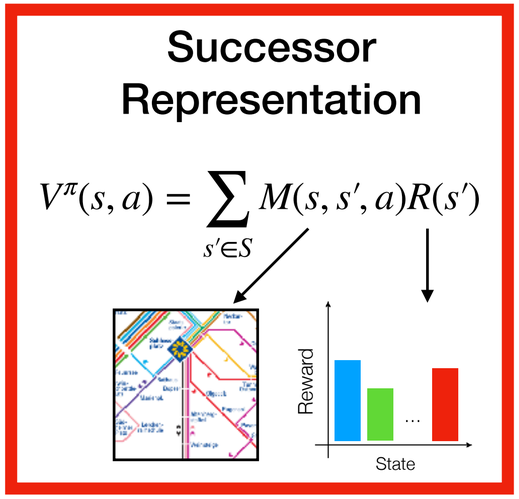
Generalization | **No generalization**

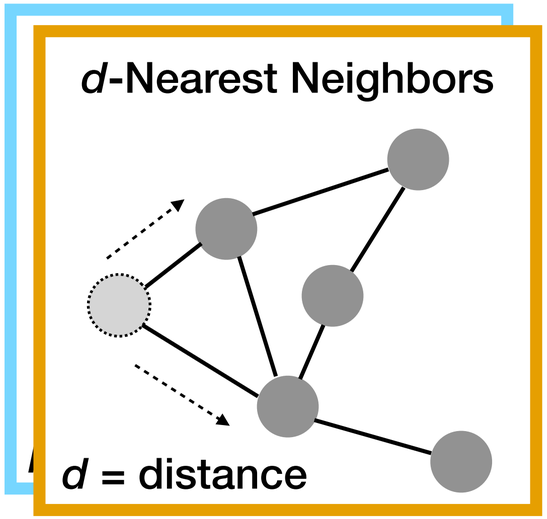
directed + random exploration

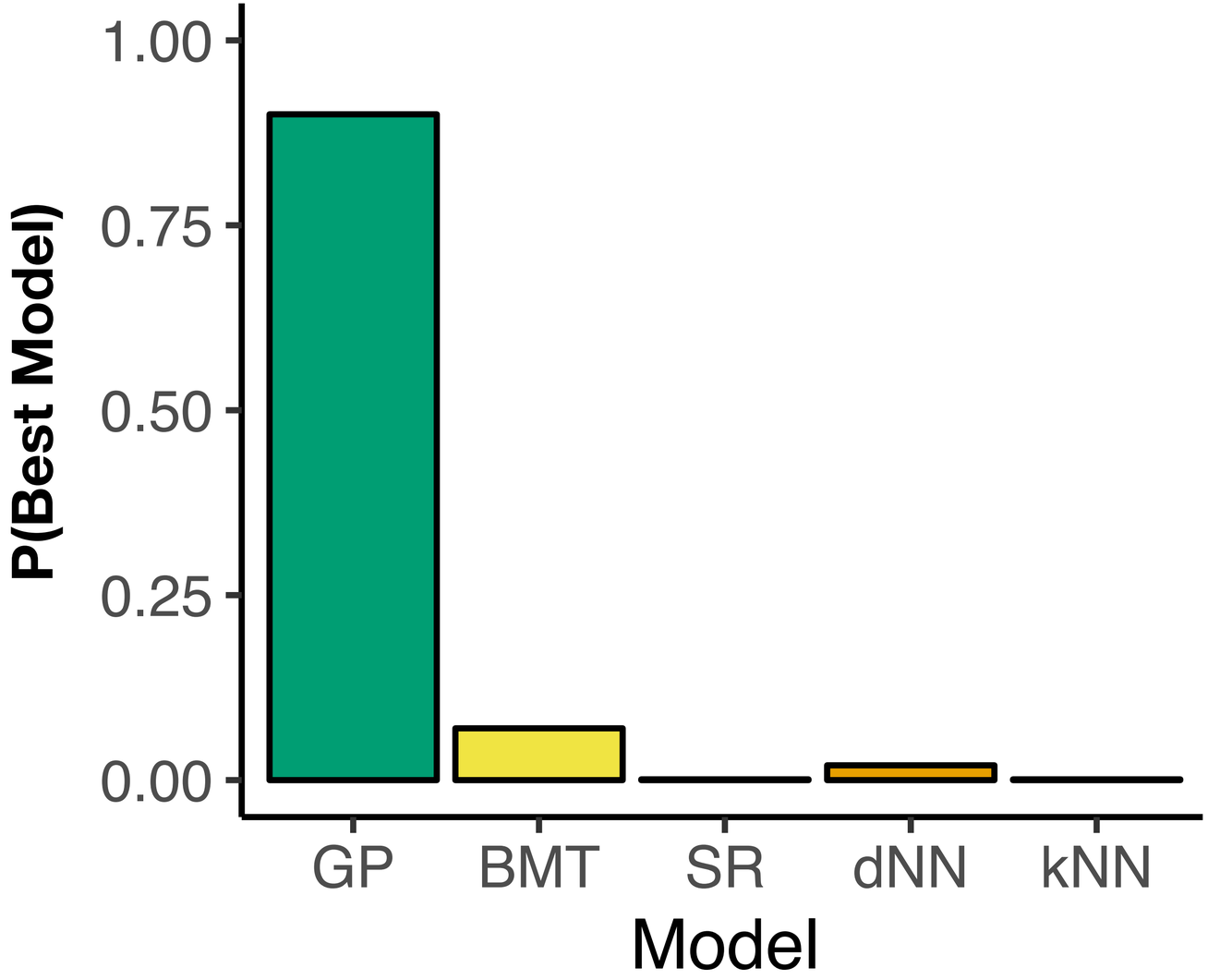




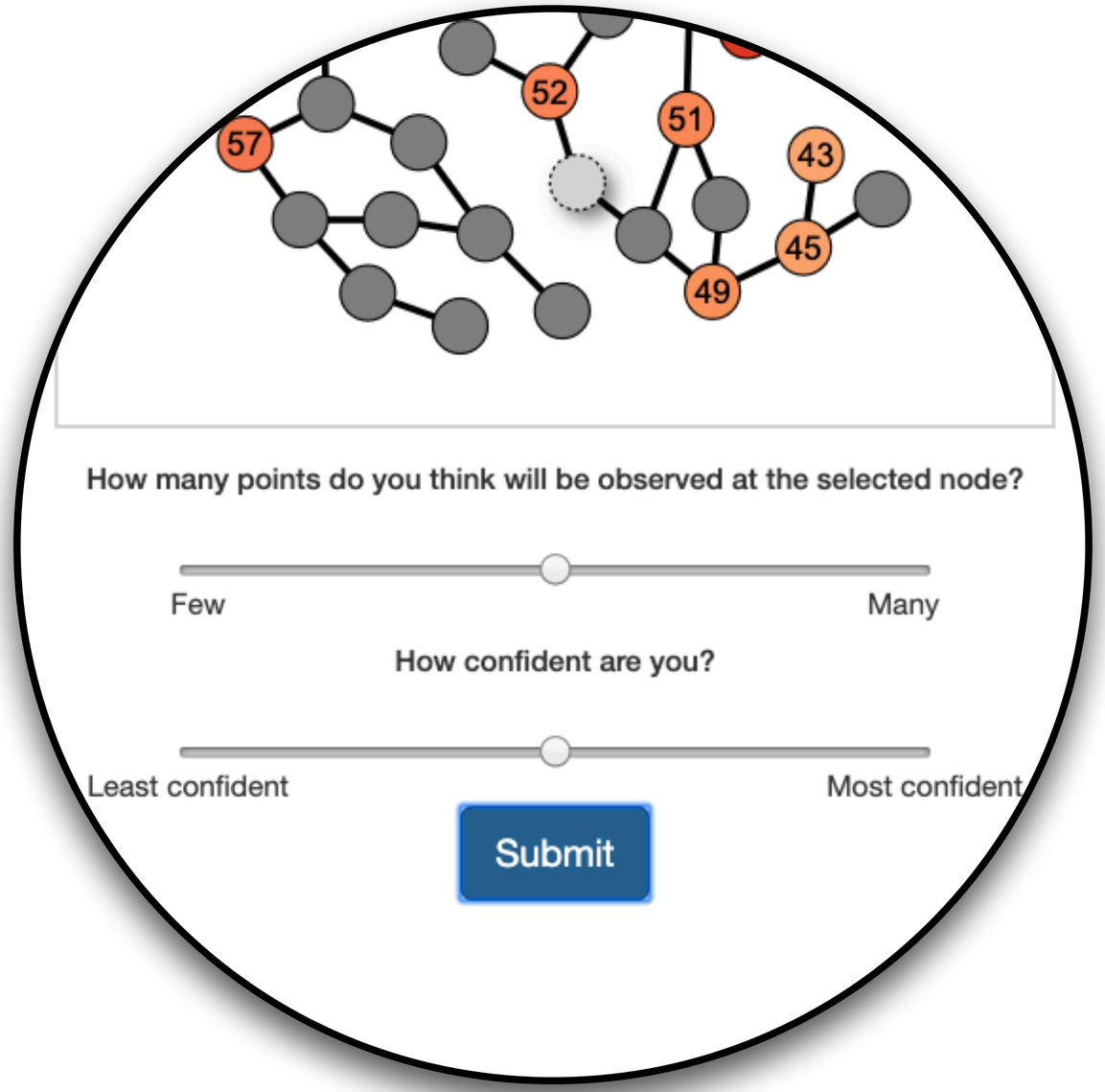
random exploration



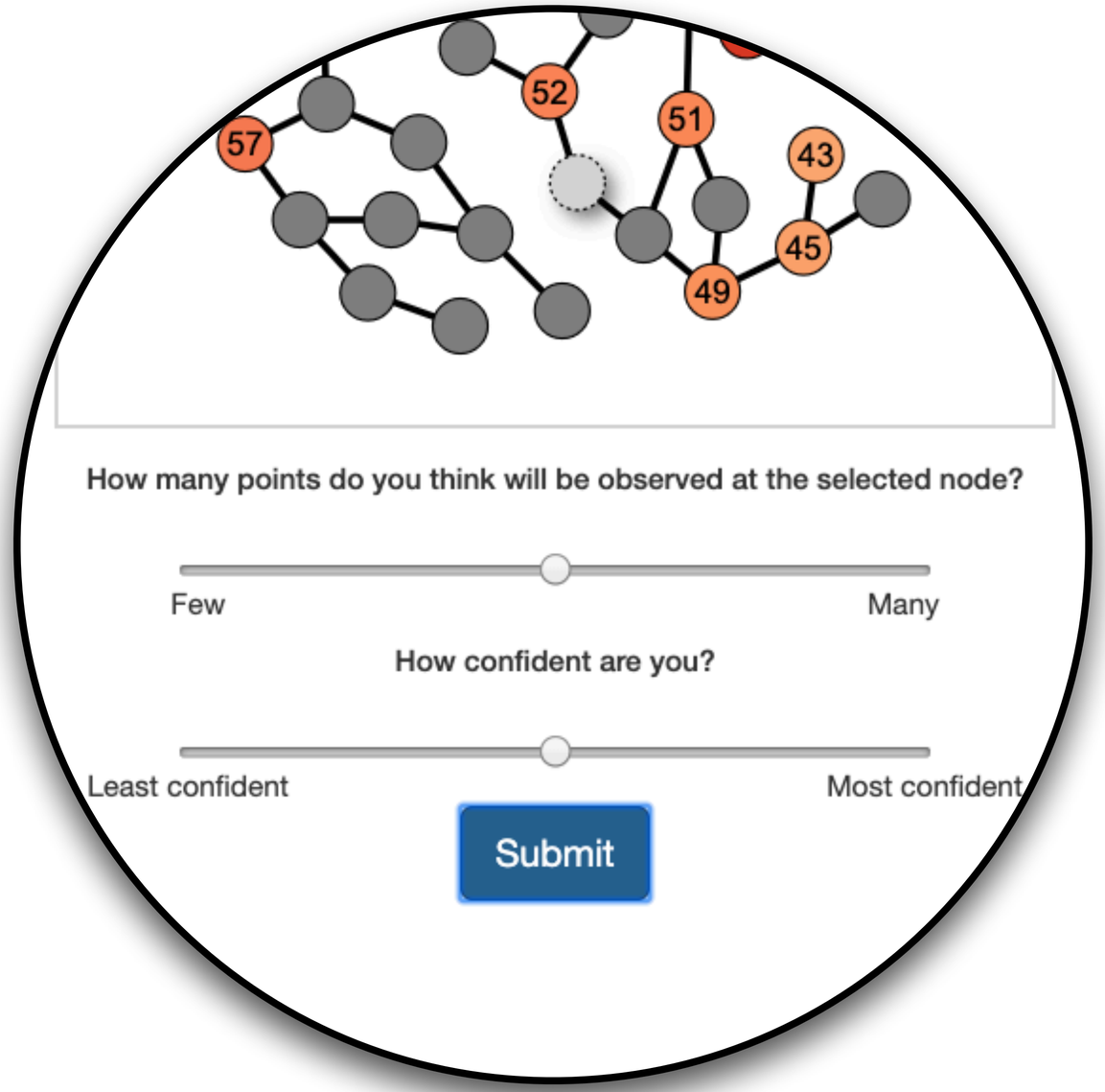


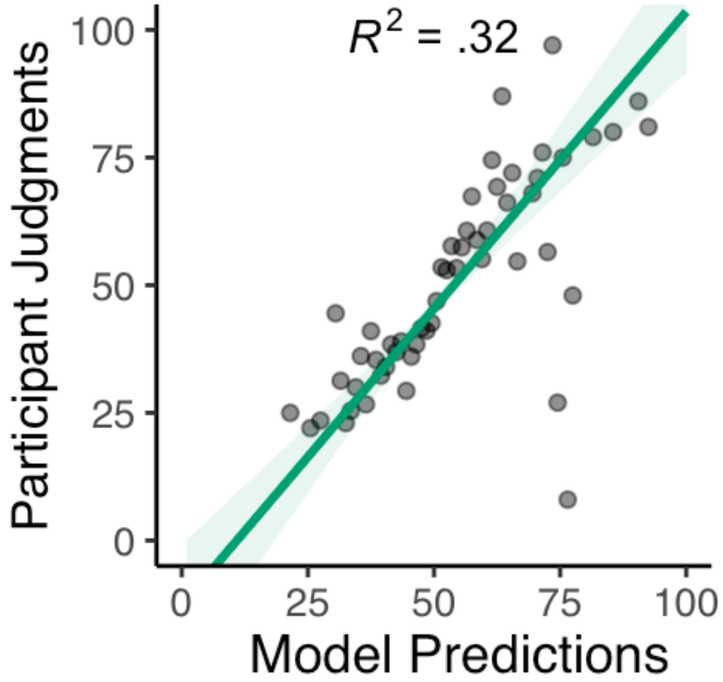


Validation on judgments

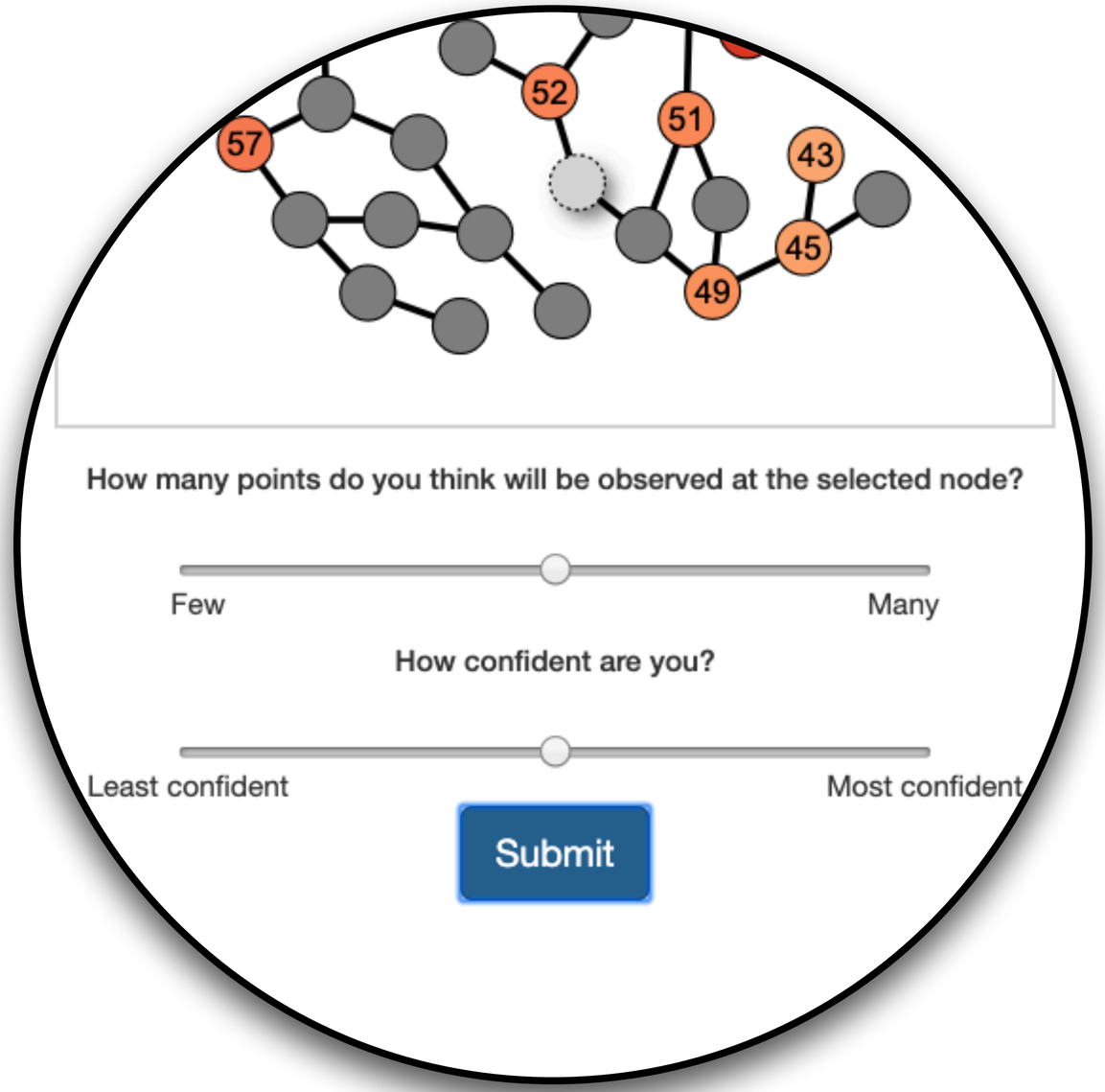


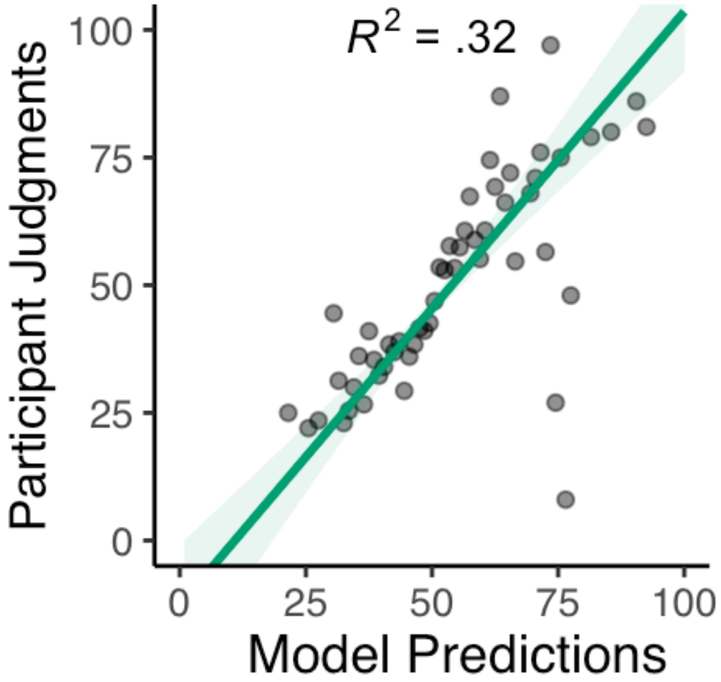
Validation on judgments

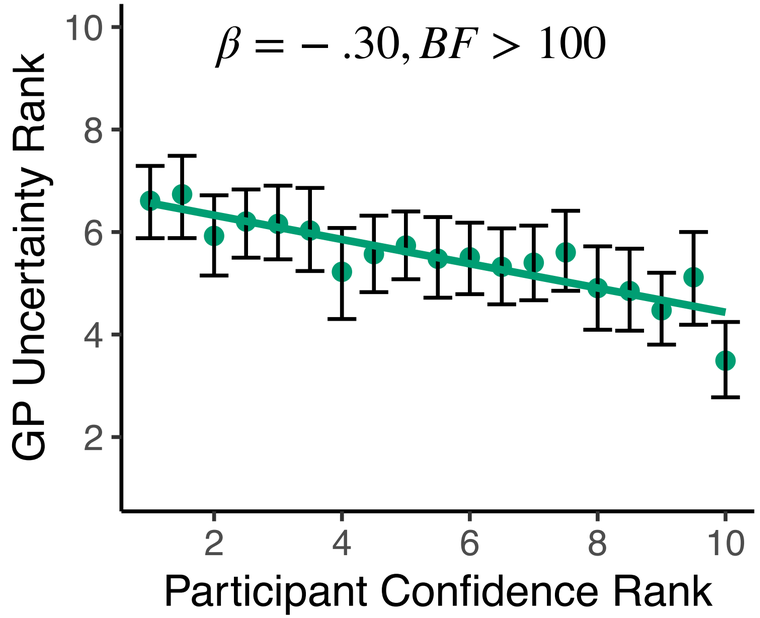




Validation on judgments







Conclusions

- How do we navigate vast problem spaces?
  - Generalization and directed exploration provide a powerful model for efficient learning across many domains
- By modeling generalization as functional inference, we can:
  - Predict search decisions
  - Simulate human-like performance
  - Predict judgments of expected reward and confidence
- Underlying mechanisms of Bayesian inference, kernel similarity, and episodic RL have deep theoretical connections to other models in Neuroscience and Computer Science

Future directions

- How is the structure of similarity learned?
  - **Successor Representation:** online prediction error about future states? (Dayan, *NeurComp*1993; Stachenfeld et al., *Nat Neuro* 2017)
  - **Tolman Eichenbaum Machine**: associative learning mechanisms (Whittington et al., *Cell* 2020)
  - **Structure induction:** Bayesian inference about hypothesized structure? (Kemp & Tenenbaum, *PNAS* 2008)
- How do humans keep functional inference tractable as we gain more experience?
  - GPs scale cubically with the size of the data $\mathcal{O}(n^3)$
  - A large part of this complexity is in computing the underlying uncertainty
  - Perhaps population codes can support flexible generalization with uncertainty (Tano, Dayan, & Pouget, *NeurIPS* 2020)

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Robust Learning

TÜBINGEN AI CENTER
BMBF Competence Center for Machine Learning

UNIVERSITÄT TÜBINGEN CyberValley Intelligente Systeme

ADVANCING MACHINE INTELLIGENCE WITH ROBUST MACHINE LEARNING

Federal Ministry of Education and Research

Fuly Funded PhD position: Computational mechanisms of Social Learning

Potential topics include:

- Theory of Mind inference using inverse RL
- Selectivity and specialization in social learning using VR experiments programmed in minecraft
- Cumulative cultural evolution
- Integration of individual and social information

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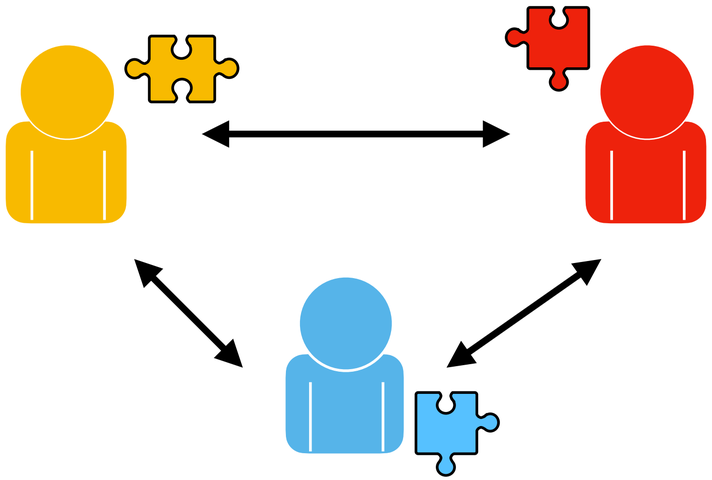

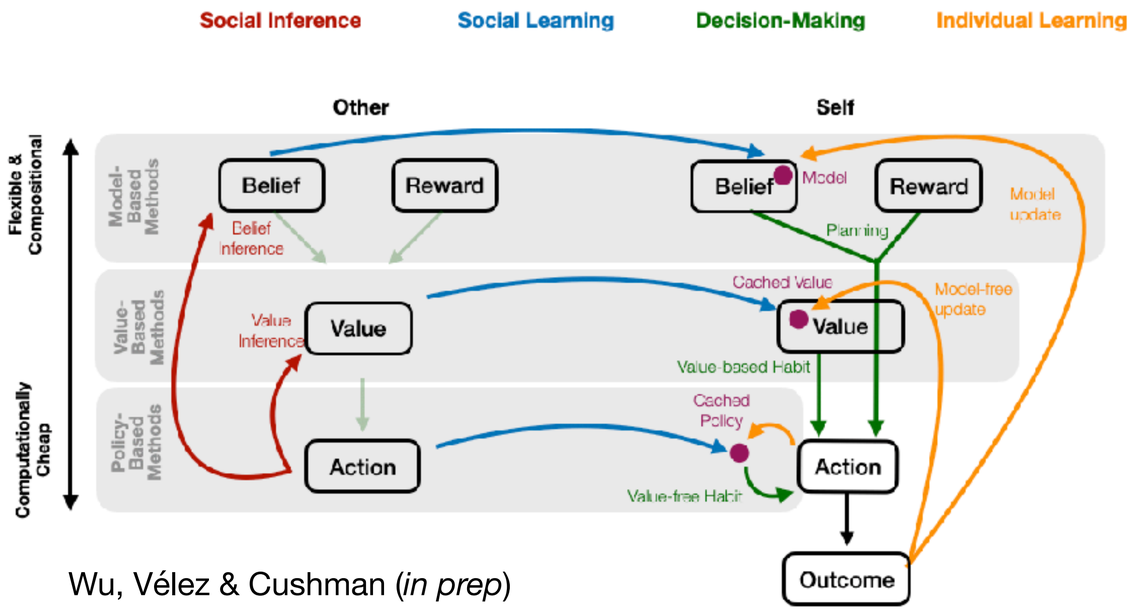

Wu, Vélez & Cushman (*in prep*)

Recreated Visual Field

POV Recording

Wu et al,. (*biorxiv* 2021)

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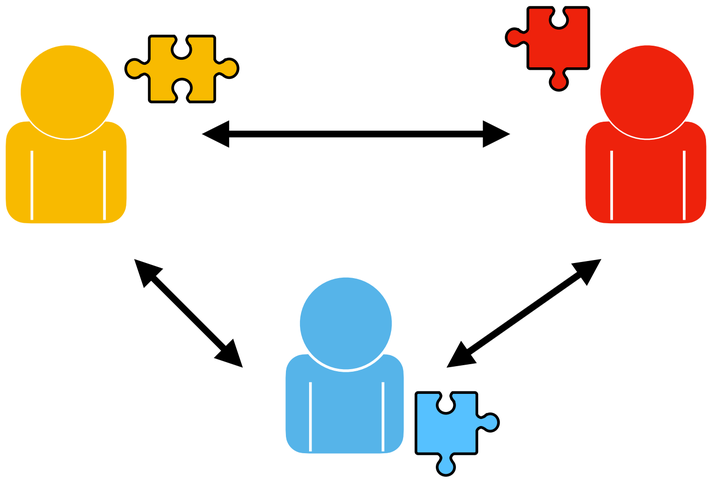

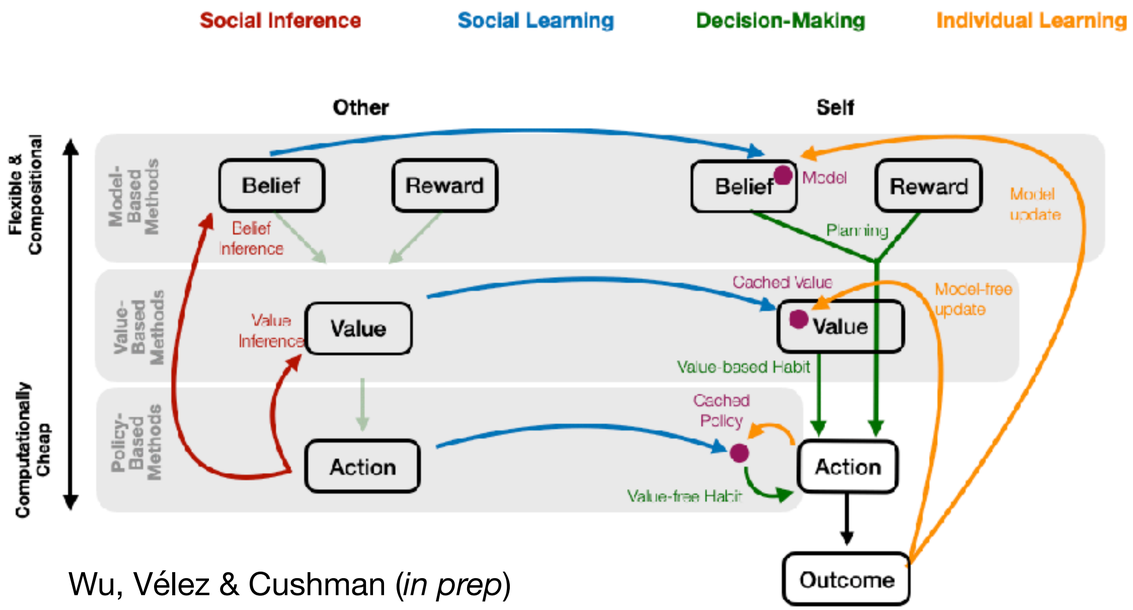

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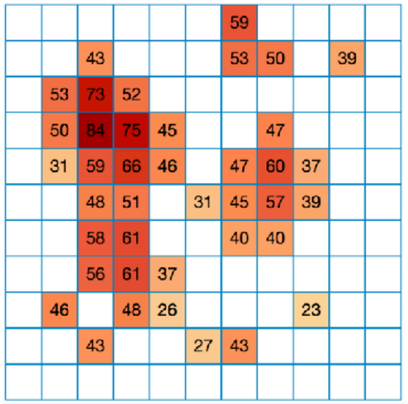
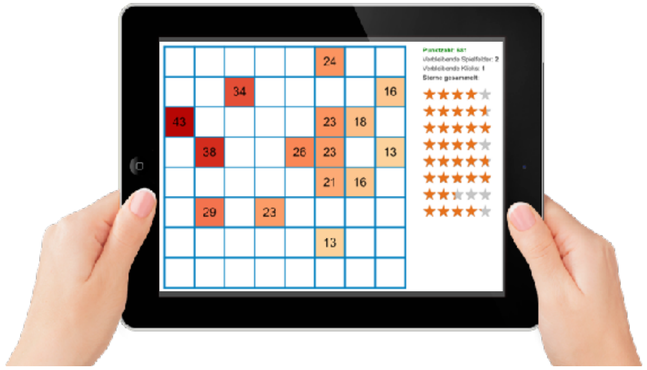
Questions?

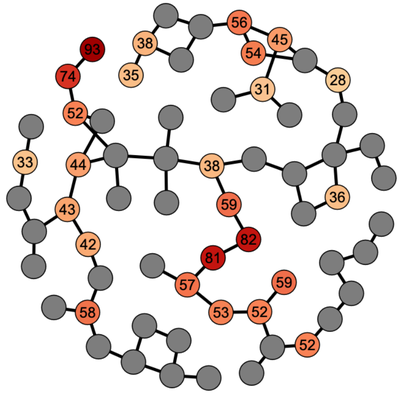
Grid Search



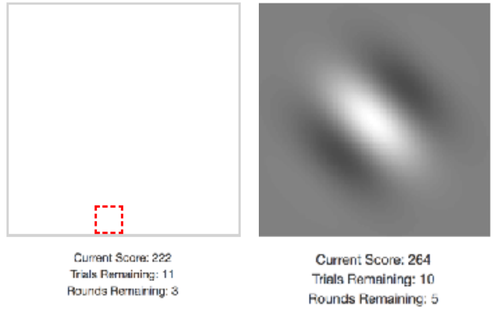
Learning Like a Child



Graph Search

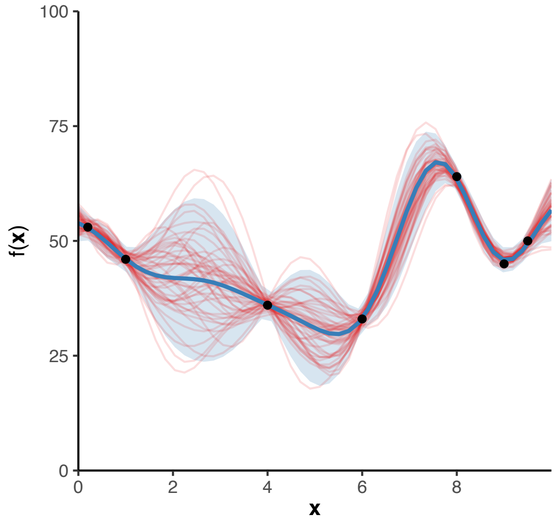


Conceptual Search



Safe Search

Gaussian Processes



Generalization