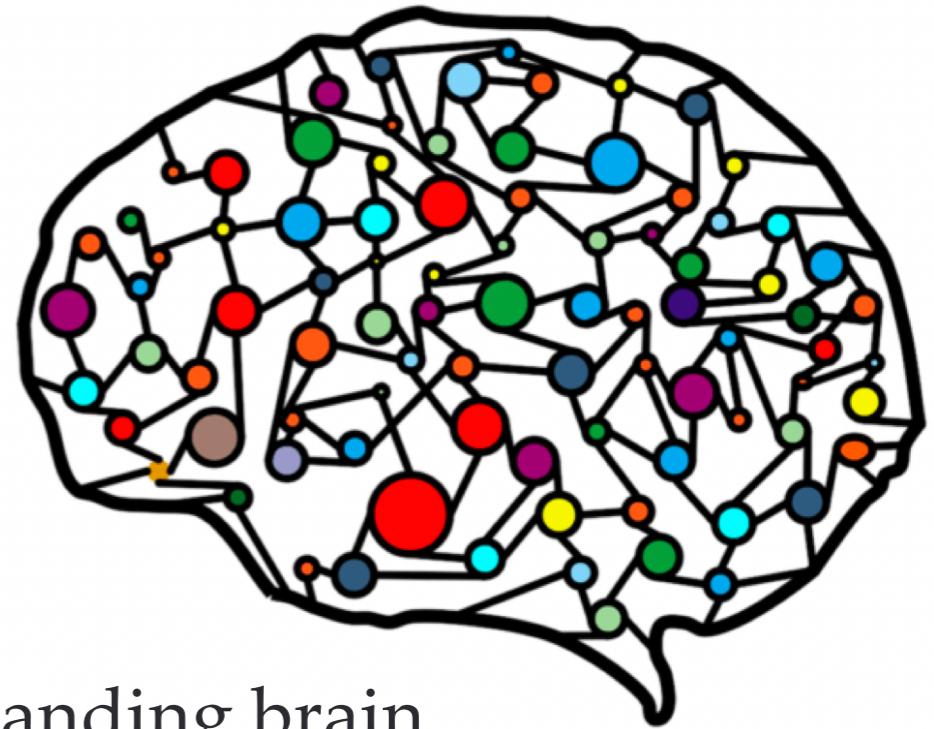


General Principles of Human and Machine Learning



Lecture 8: Common tools for understanding brain
and neural networks

Dr Charline Tessereau

<https://hmc-lab.com/GPHML.html>

THIS WEEK:

- SUMMARY PAST MATERIAL COVERED + FINISHING LAST SESSION'S MATERIAL
- RL TO UNDERSTAND COGNITIVE PROCESSES
- MANIFOLD TO UNDERSTAND NEURAL POPULATION DYNAMICS
- RSA TO UNDERSTAND NEURAL REPRESENTATIONS

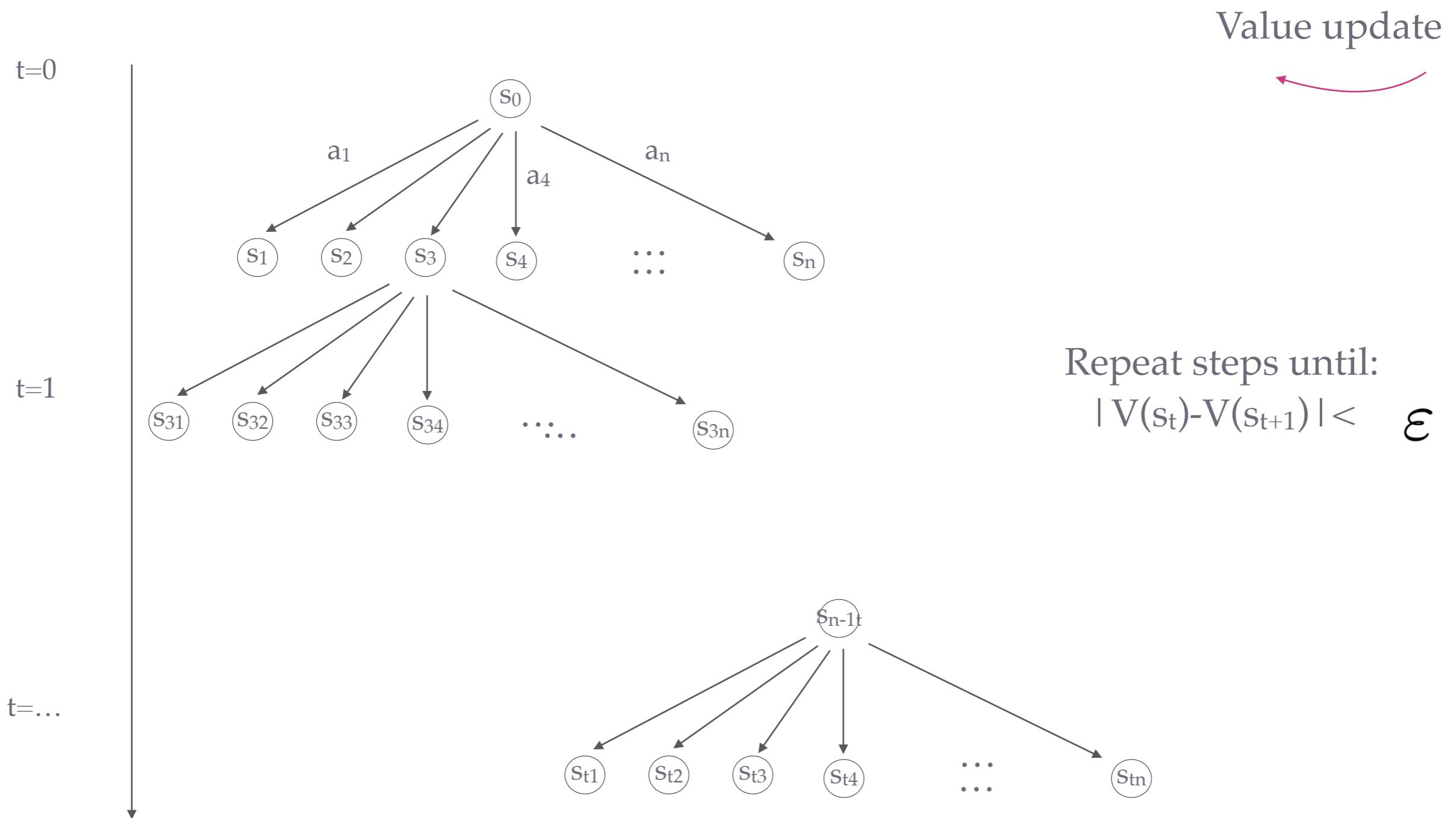
RL RESULTS: INVESTIGATING MECHANISMS OF DECISION MAKING AND LEARNING

- Value/Q-learning: formalizes operant and pavlovian conditioning
- Policy gradient: formalizes ‘repeat bias’ / ‘win-stay’ behaviors
- Actor-critic: investigates boundary between values and actions
- Hierarchical RL: investigates how we break-out tasks
- Model-based: how we plan ahead

MODEL-BASED

The transitions between states and the reward vector over the states are known.

Learning the optimal policy using simulated experience
=graph search



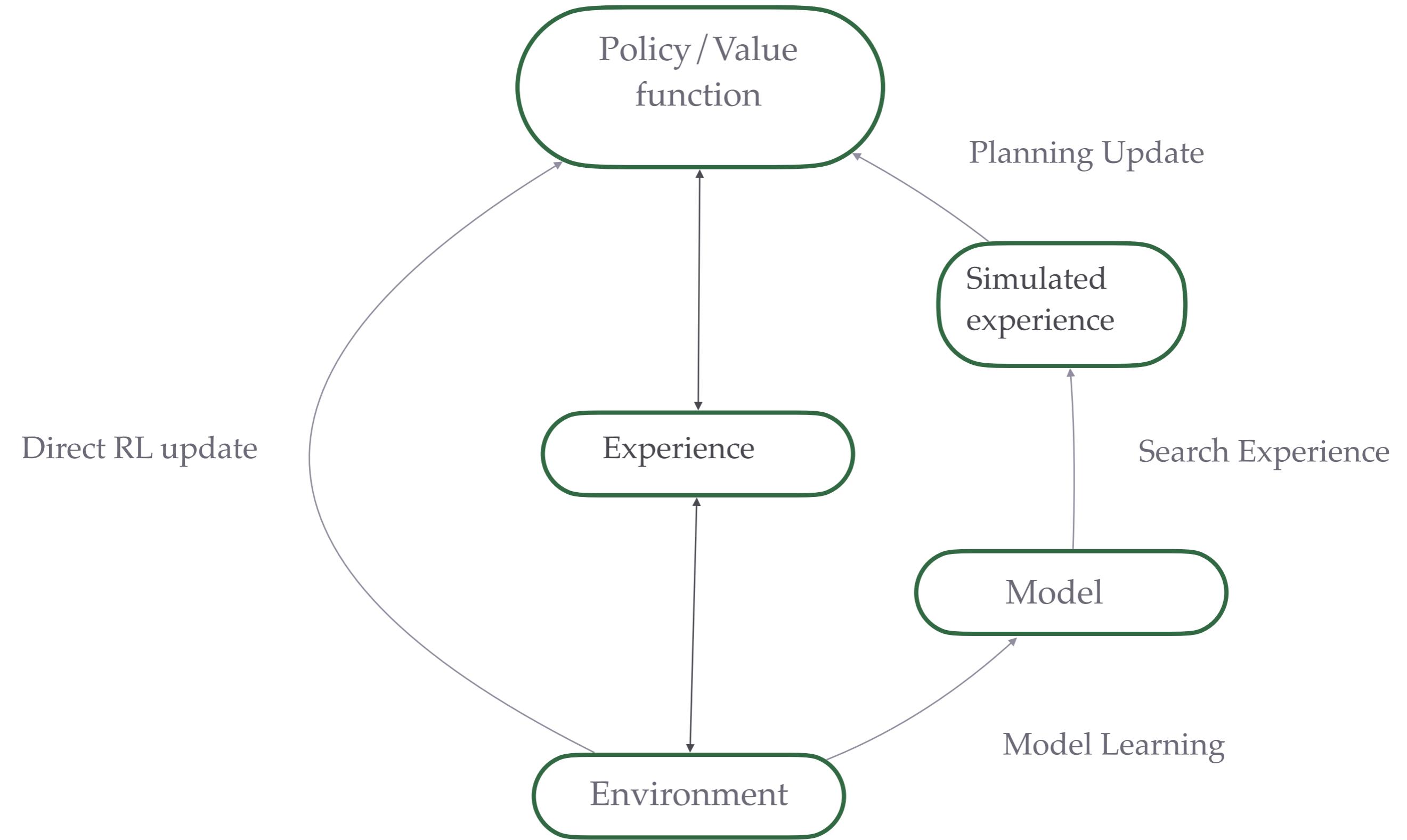
MODEL-BASED

- Requires full knowledge of the model
- Can be very expensive - especially in large state spaces

MODEL-BASED

- Requires full knowledge of the model
- Can be very expensive - especially in large state spaces
- Some intermediary exists: DYNA
 - D - Dynamic
 - Y - Immediate
 - N - Neighbourhood
 - A - Approximation

DYNA



DYNA

- Initialize the model, initialize the Q-values

DYNA

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- Policy learning:

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 - Store the previous state, the action, reward and next state in the ‘model’

DYNA

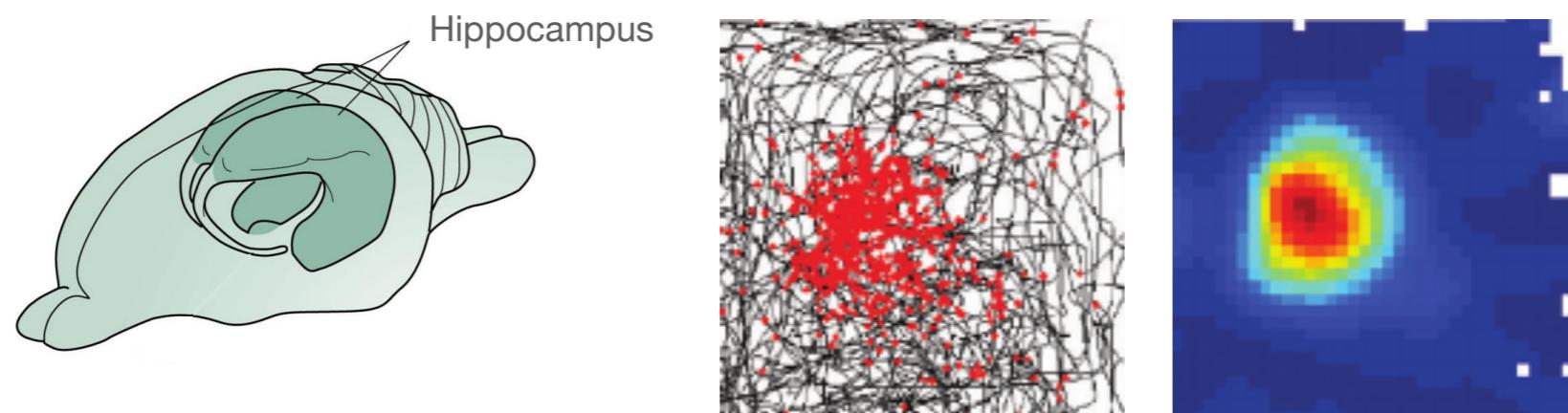
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- > Replay
- Enables to save ‘real experience’ to have an estimate of the Q function

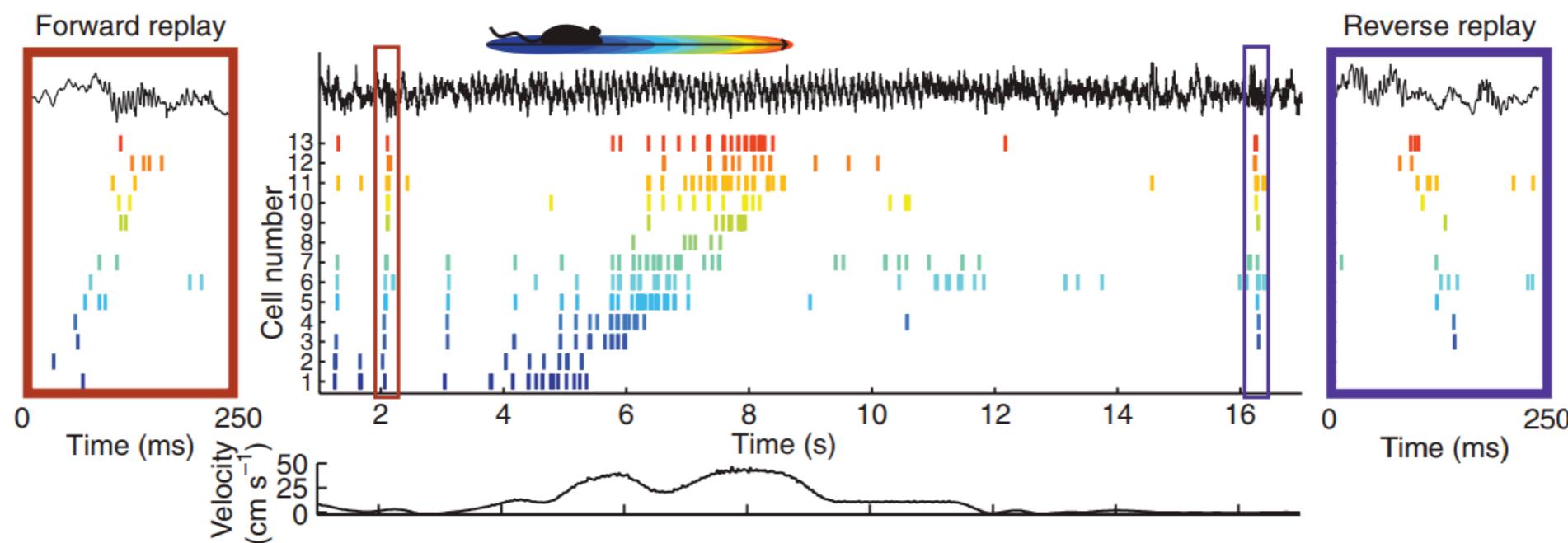
REPLAY AS A NEURAL MECHANISM

Hippocampal place cells fire more around their preferred location:



REPLAY AS A NEURAL MECHANISM

Hippocampal place cells activities display ‘replay’ patterns:

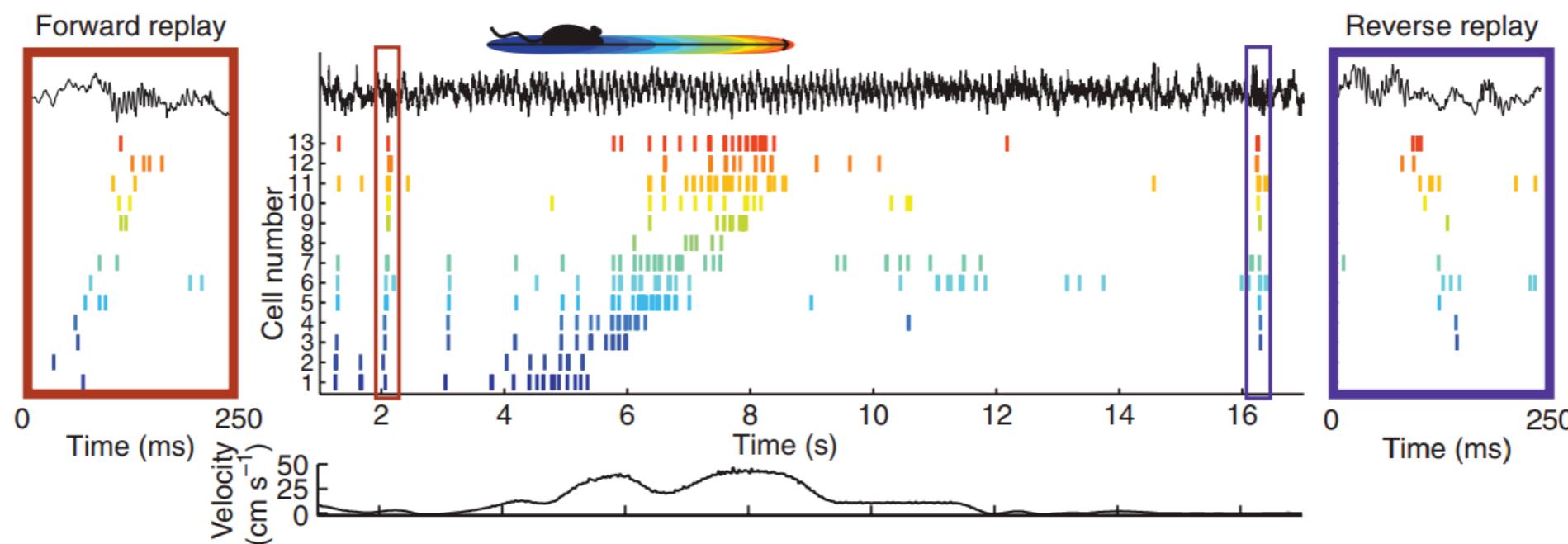


DYNA

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- Enables to save ‘real experience’ to have an estimate of the Q function
- Problem: really dependent on your model!

REPLAY AS A NEURAL MECHANISM

Hippocampal place cells activities display ‘replay’ patterns:



- Scaled with performance
- Influenced by new rewards, novelty

DYNA

- Initialize the model, initialize the Q-values
- Policy learning:
 - Select an action based on the current policy and observe the resulting reward and next state
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 - Store the previous state, the action, reward and next state in the ‘model’
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- Enables to save ‘real experience’ to have an estimate of the Q function
- Problem: really dependent on your model!

DYNA

- "Simulating the model" requires a good model!
 - Important (ongoing) questions about:
 - how, what and when storing events
 - how, what and when retrieving/learning from past

DYNA

- Examples of models:
 - (s, a, r, s') - random selection among past experiences

DYNA

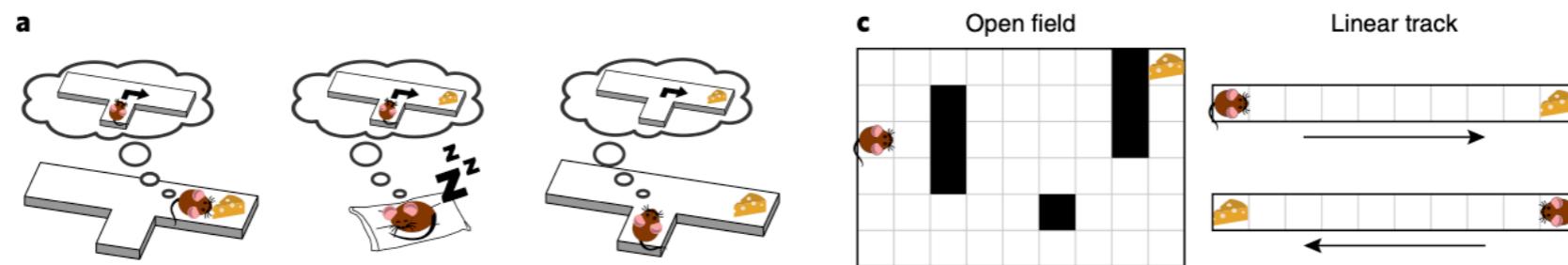
- Examples of models:
 - (s,a,r,s') - random selection among past experiences
 - Importance sampling: based on the probability distribution of the target policy compared to the behavior policy

DYNA

- Examples of models:
 - (s,a,r,s') - random selection among past experiences
 - Importance sampling: based on the probability distribution of the target policy compared to the behavior policy
 - Prioritized replay: assigns priorities to the experiences based on their importance or learning potential - typically based on the TD error

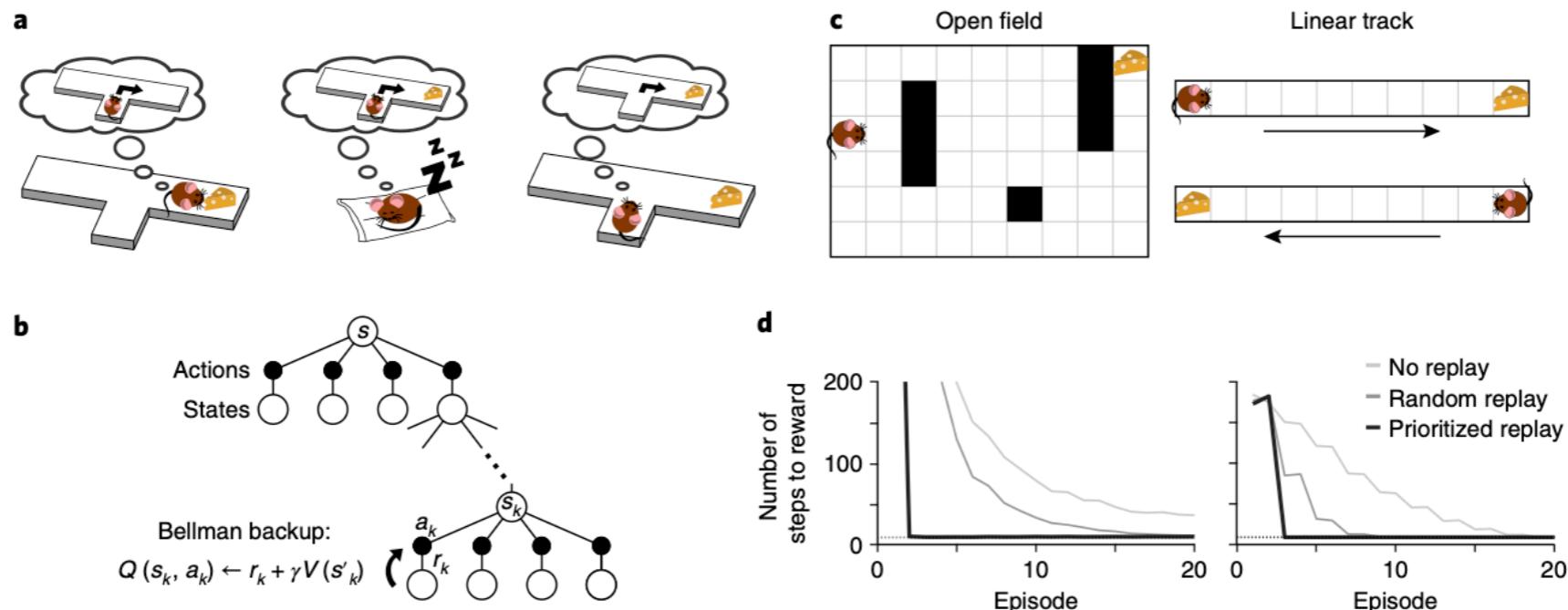
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Prioritised replay: assigns priorities to the experiences based on their importance or learning potential.



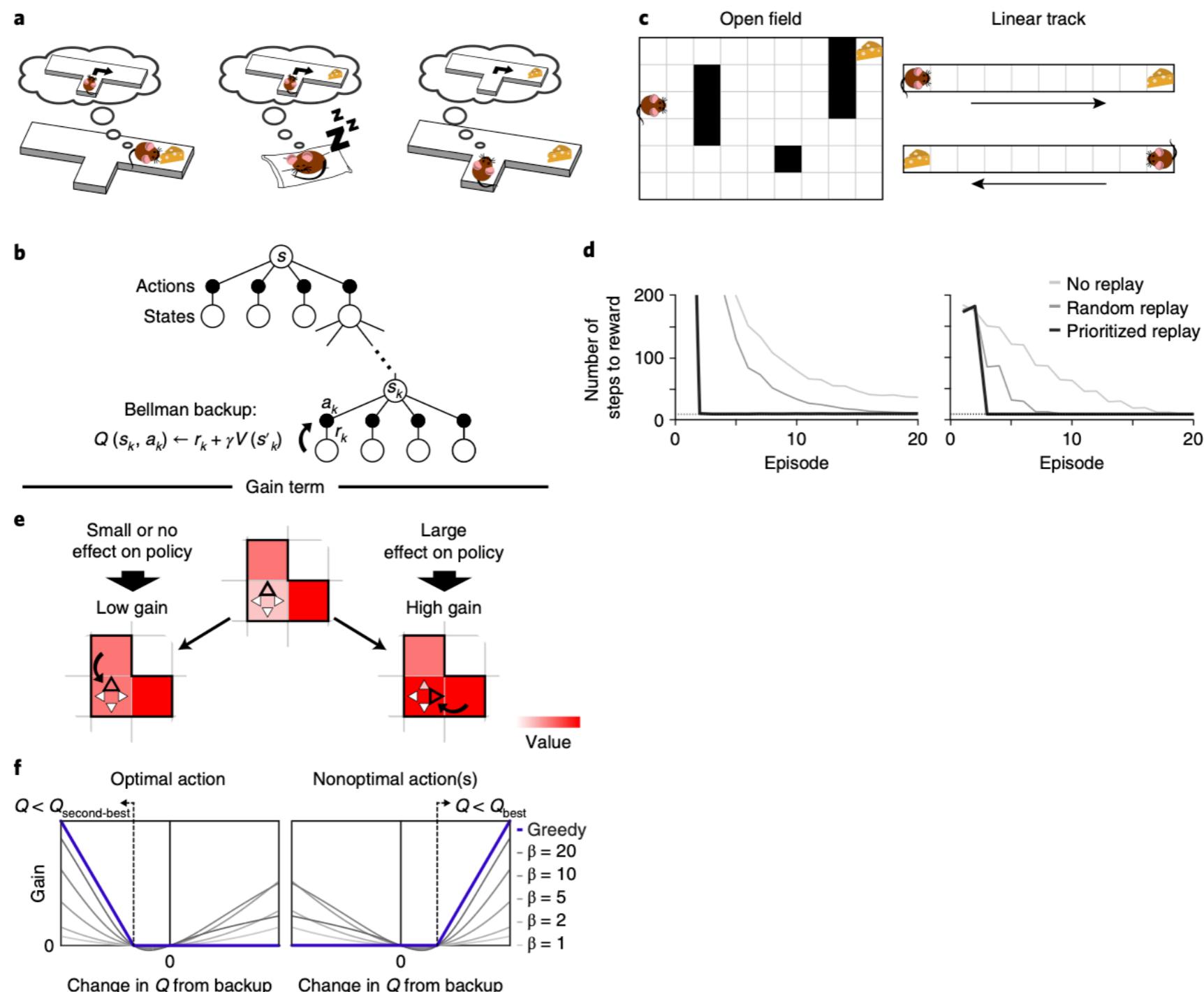
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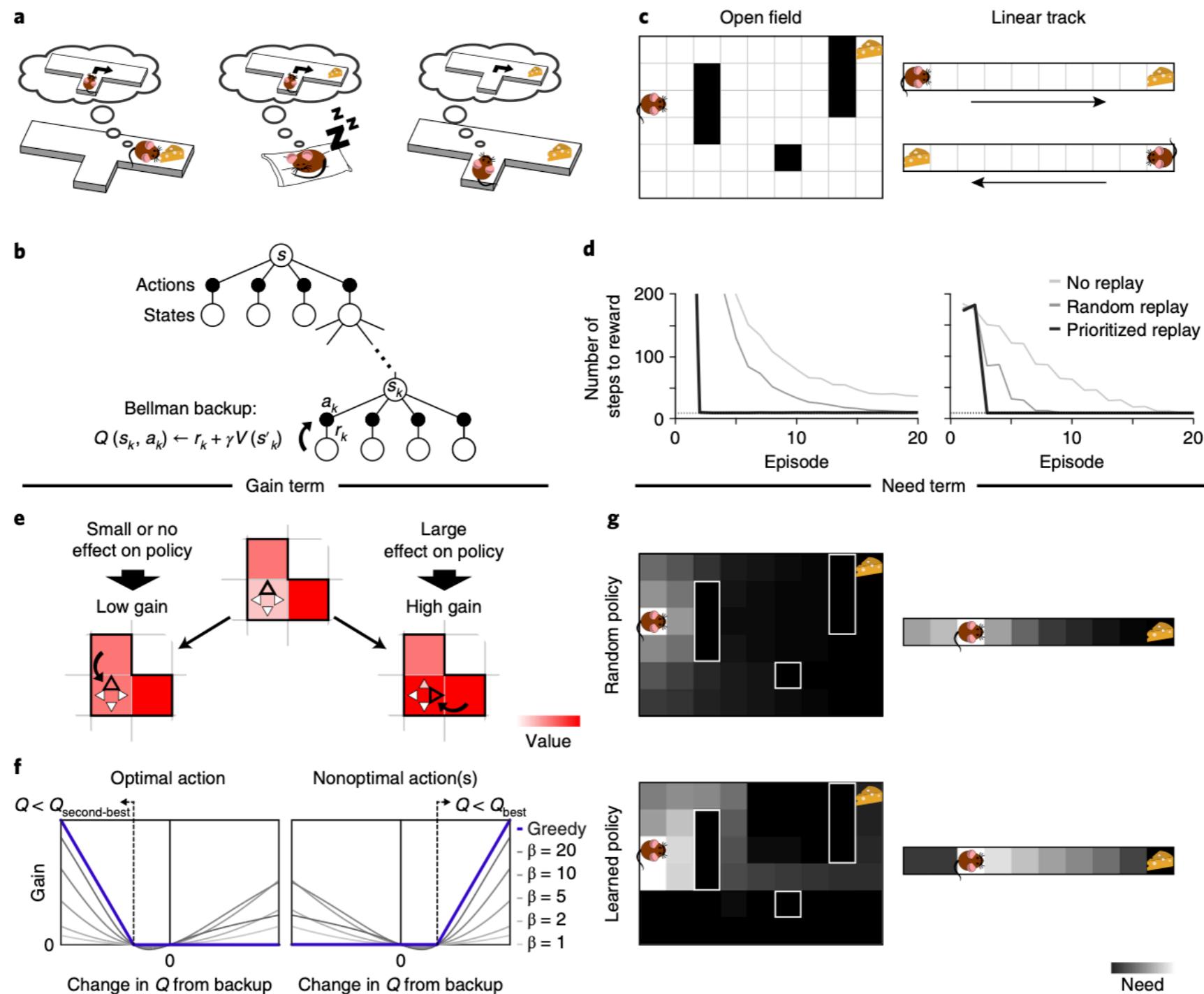
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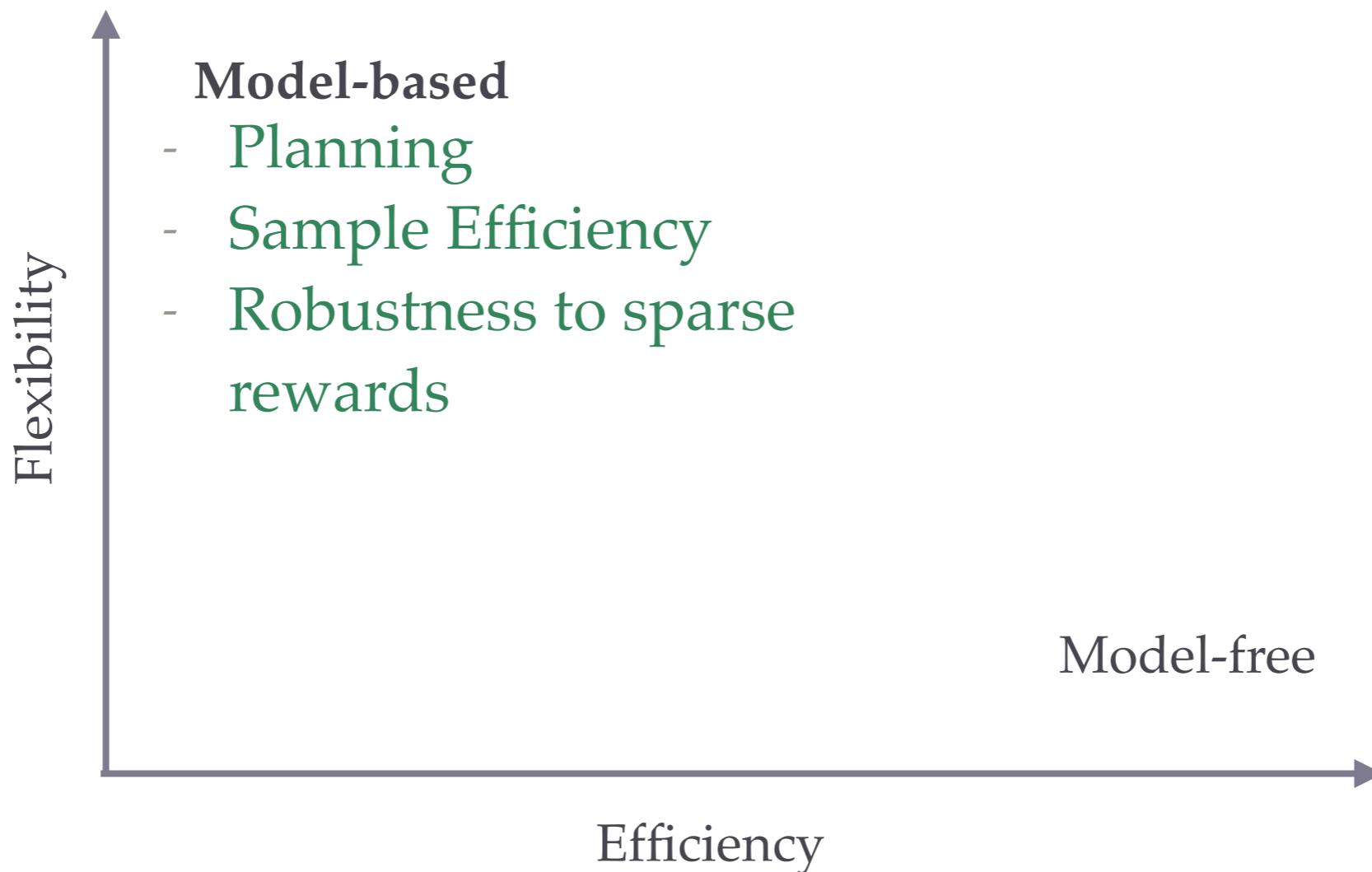


DYNA

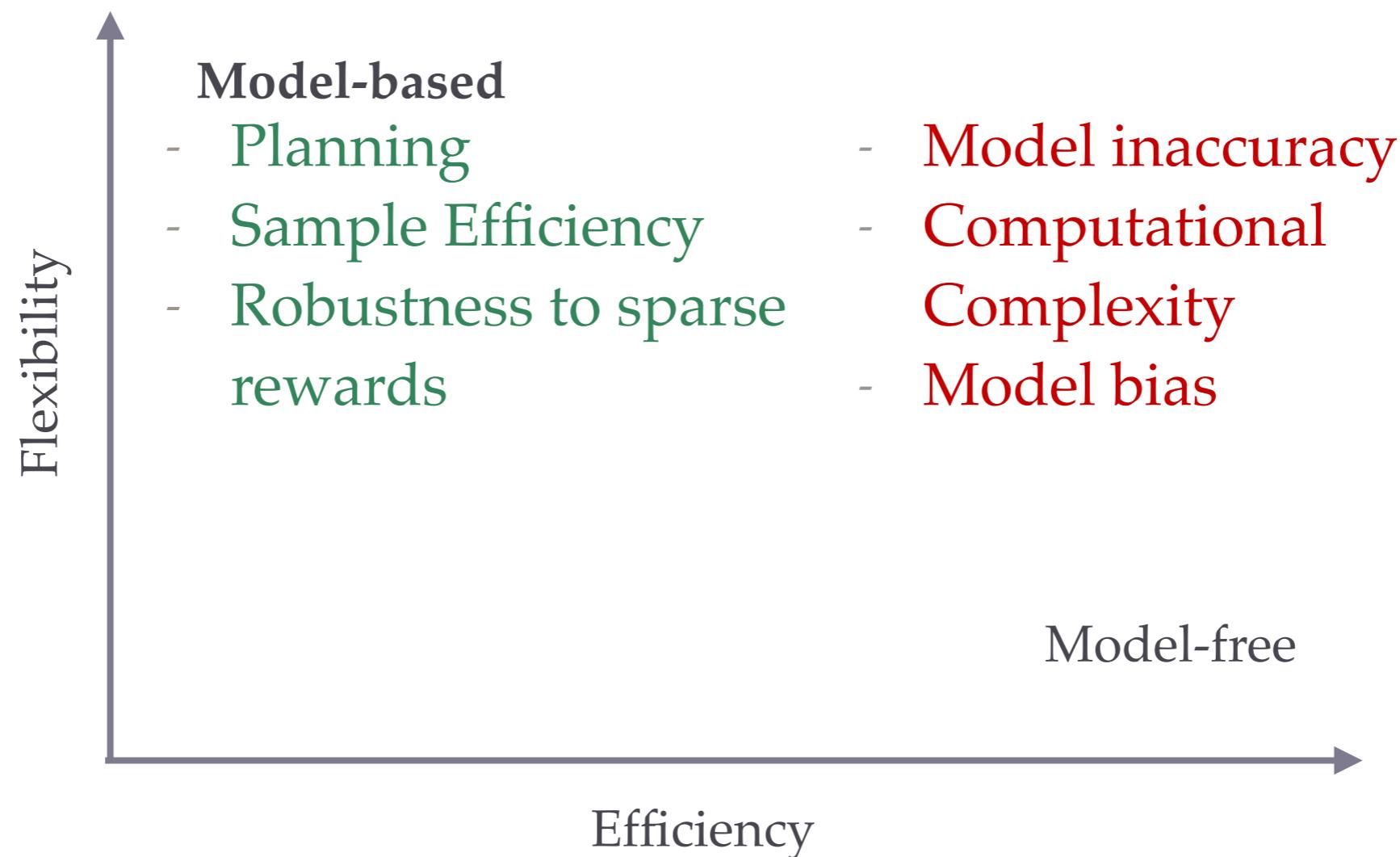
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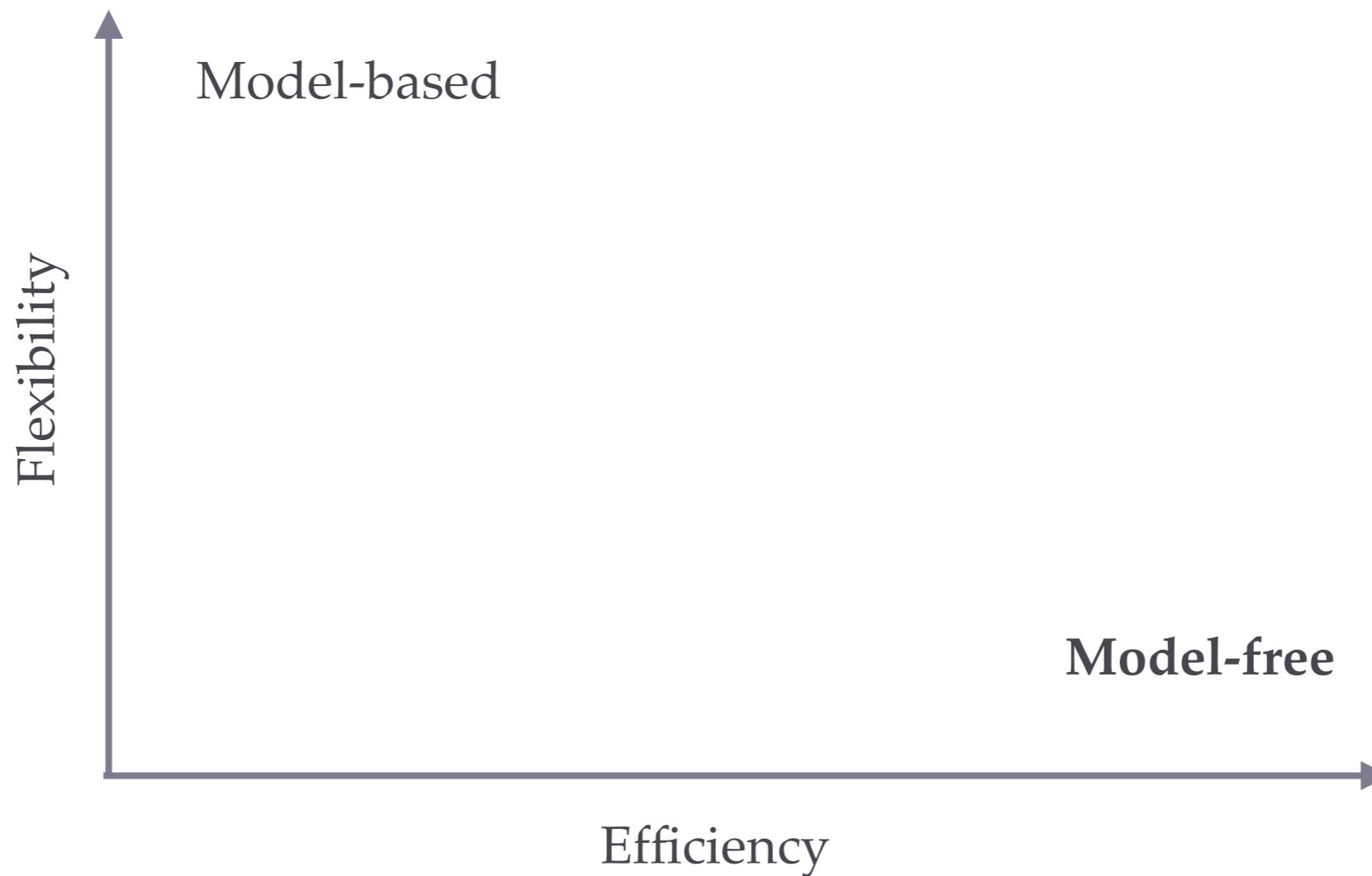
SUMMARIZED SUMMARY



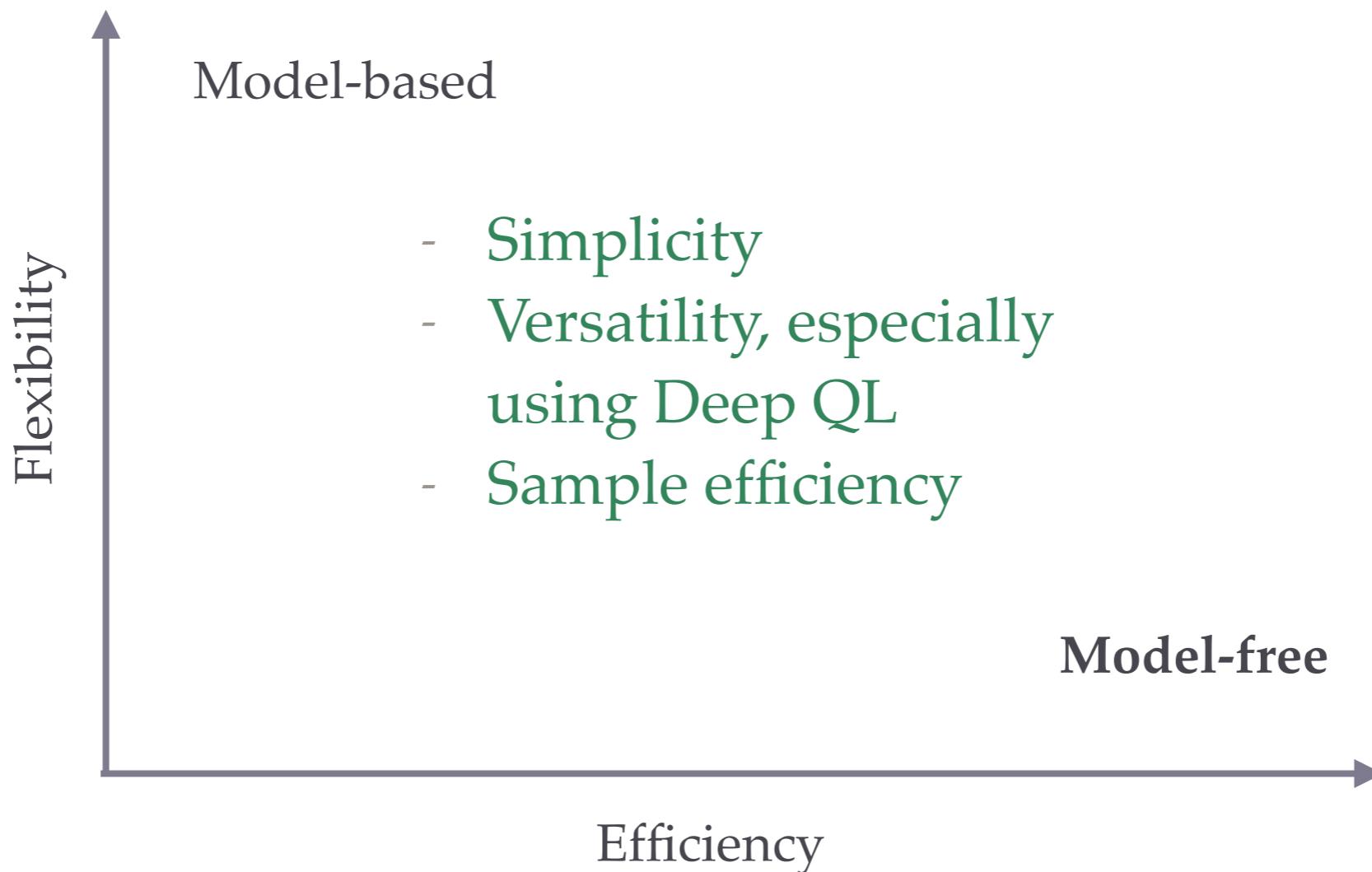
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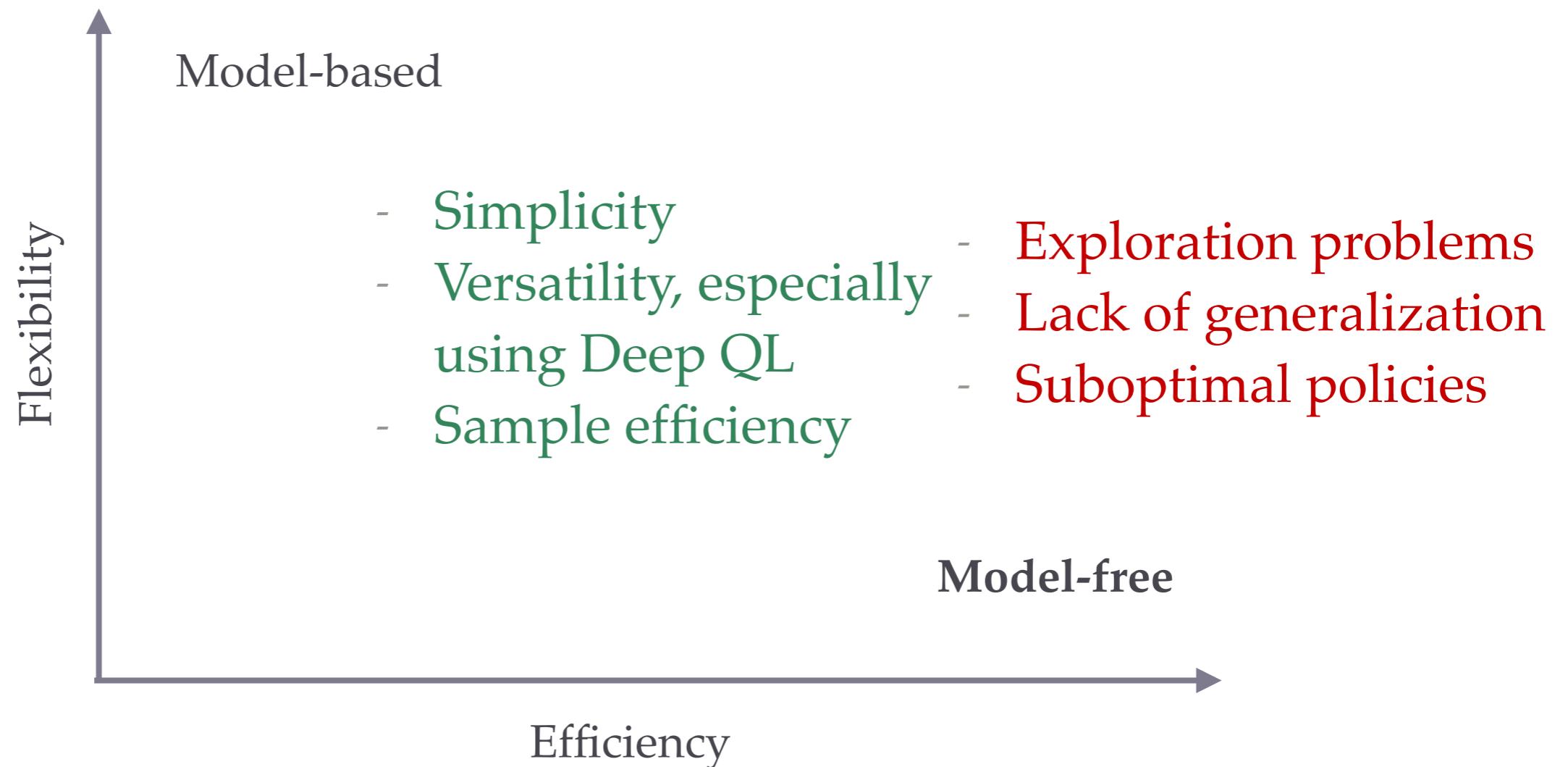
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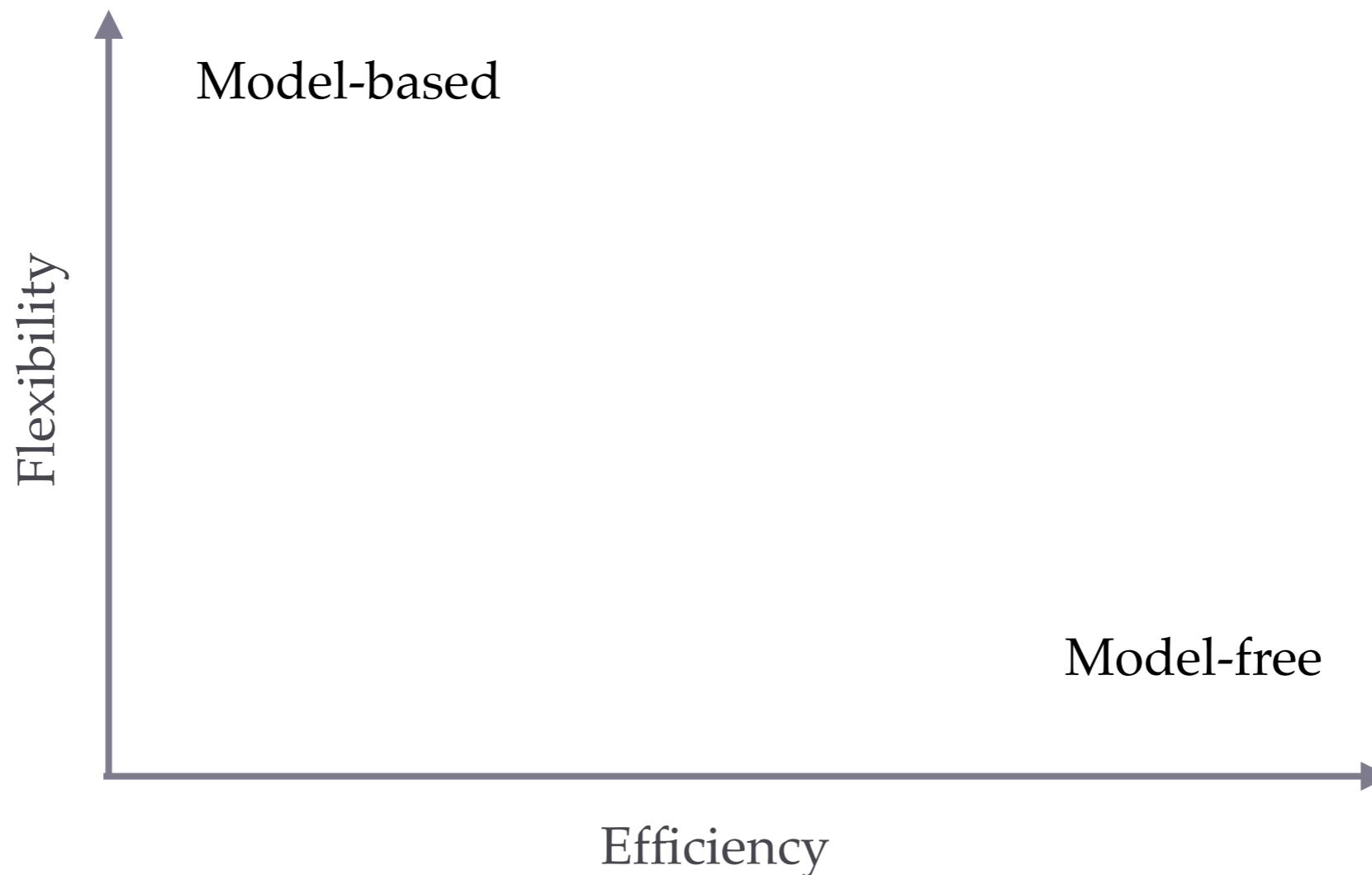
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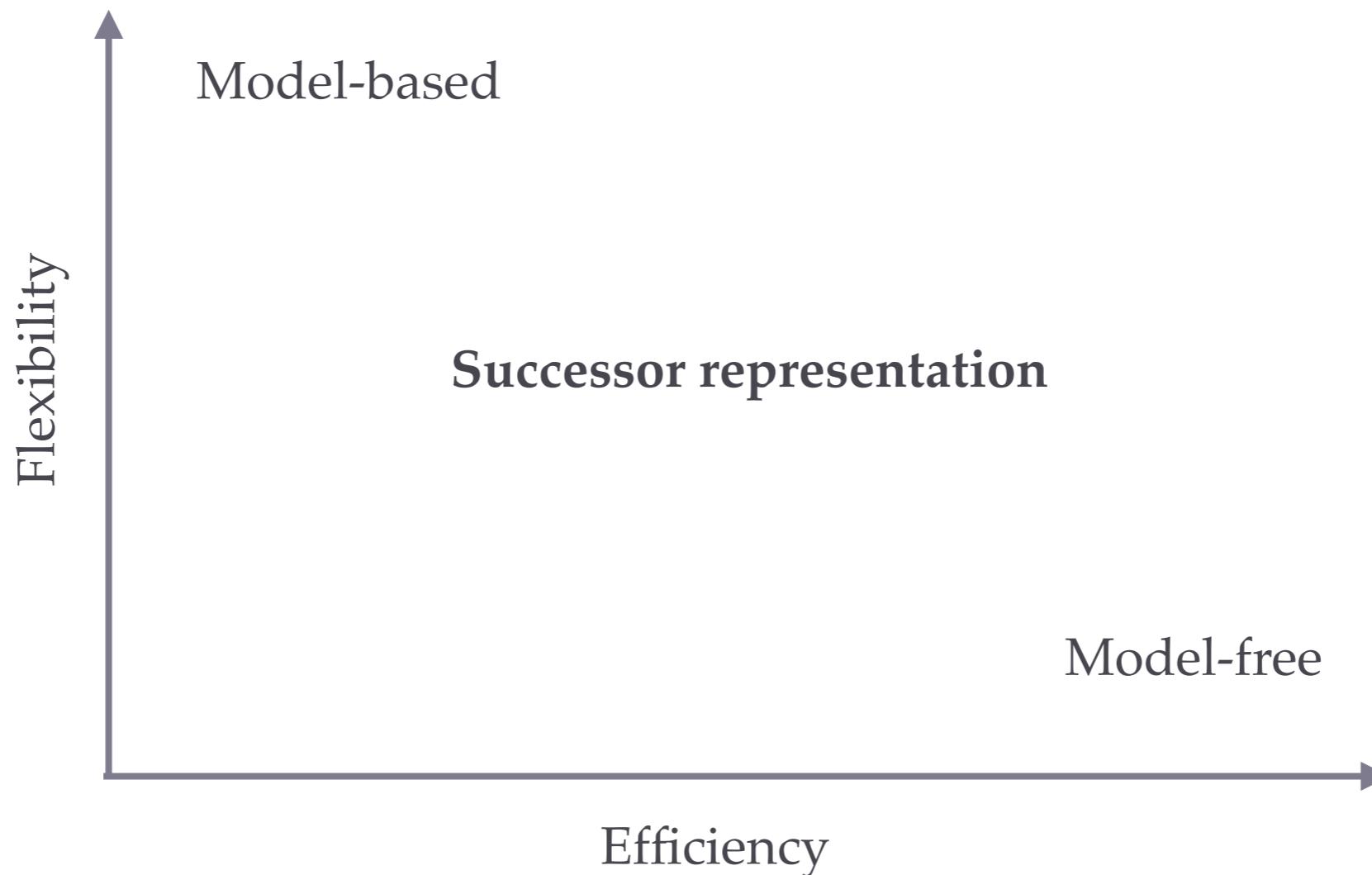
SUMMARIZED SUMMARY



SUMMARIZED SUMMARY



THERE IS SOMETHING IN BETWEEN



SUCCESSOR REPRESENTATION: PREDICTED DISCOUNTED SUM OF STATE OCCUPANCY

$$V_P(s) = \sum_{t=0}^{\infty} \gamma^t P_{\pi}(s|s_t) r(s_t)$$

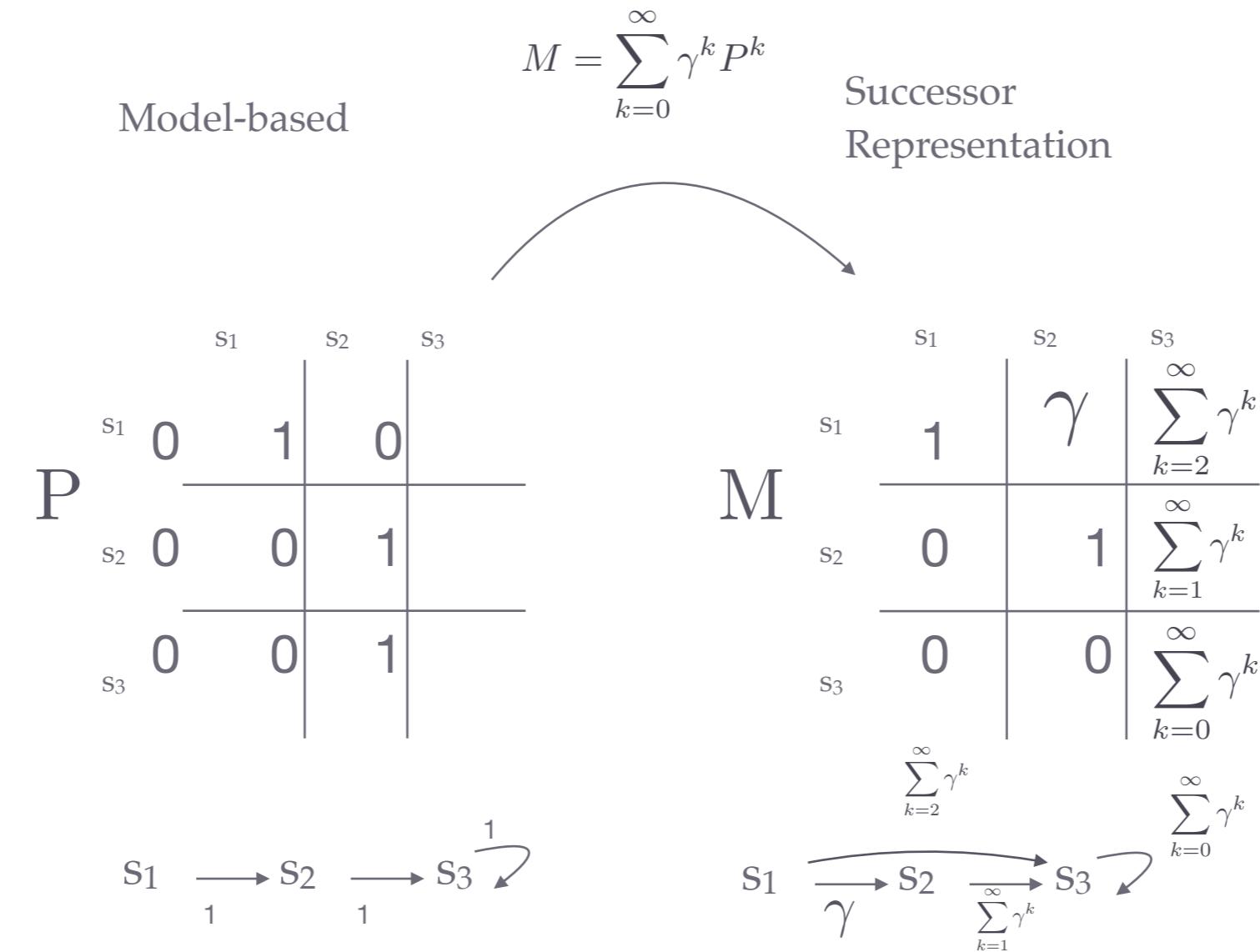
$$V_P = \sum_{t=0}^{\infty} \gamma^t P_{\pi}^t r = (\mathbb{1}_N - \gamma P_{\pi})^{-1} r.$$

$$M = \sum_{k=0}^{\infty} \gamma^k P^k$$

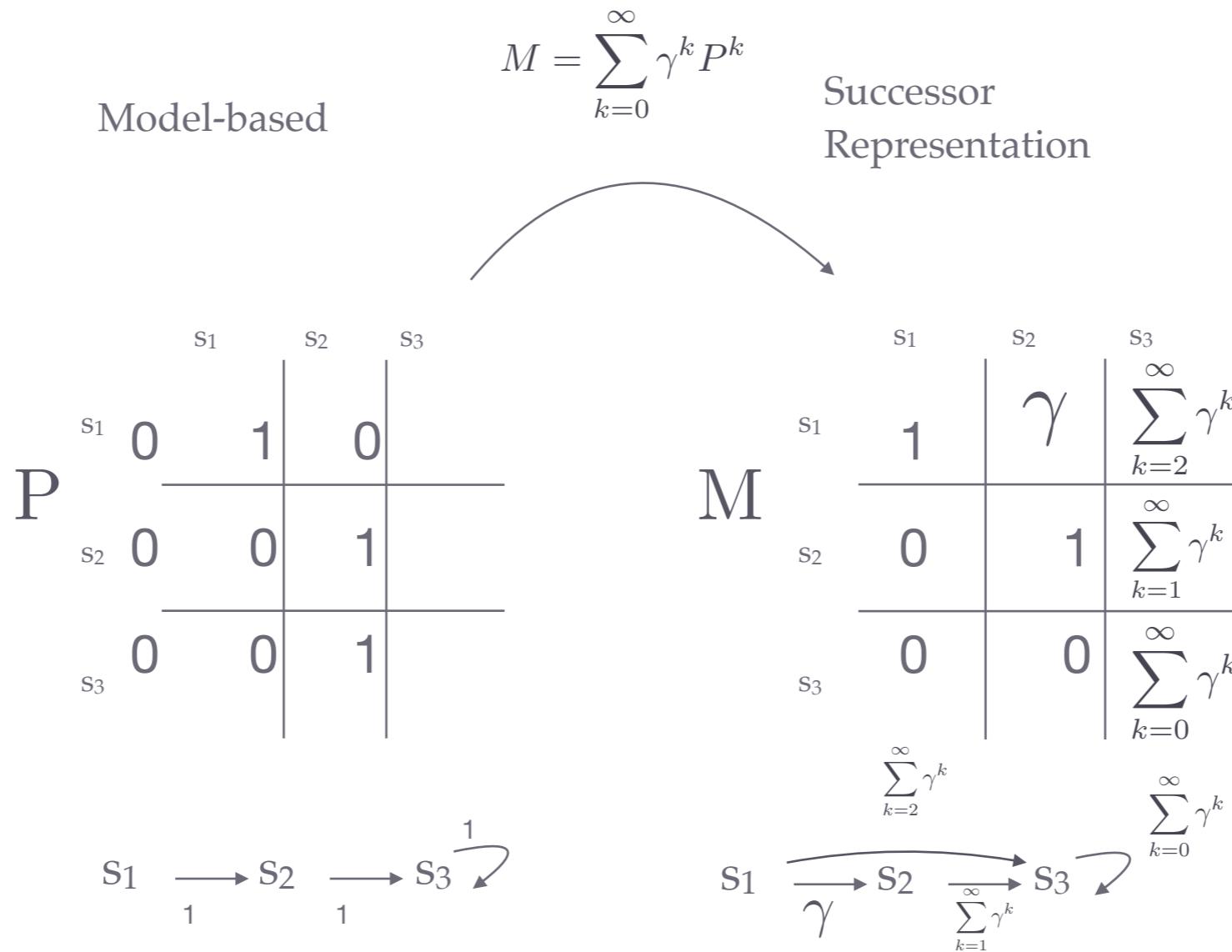
M: Successor representation

$$M = (\mathbb{1}_N - \gamma P_{\pi})^{-1}$$

PLANNING WITH THE SR IS MORE EFFICIENT

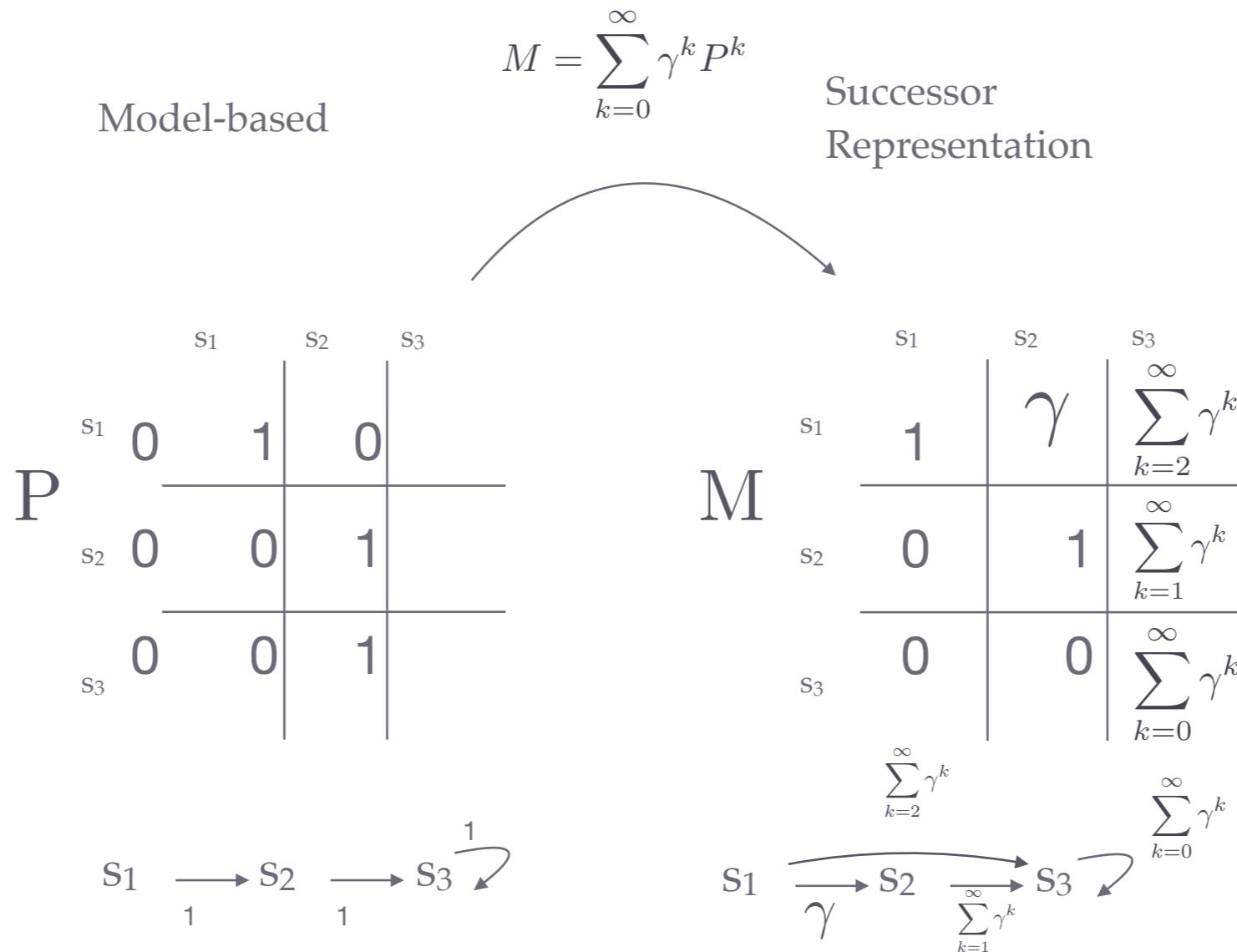


PLANNING WITH THE SR IS MORE EFFICIENT



- Link one state to another according to “how many discounted times the agent can expect to visit state 2 from its current state”

PLANNING WITH THE SR IS MORE EFFICIENT



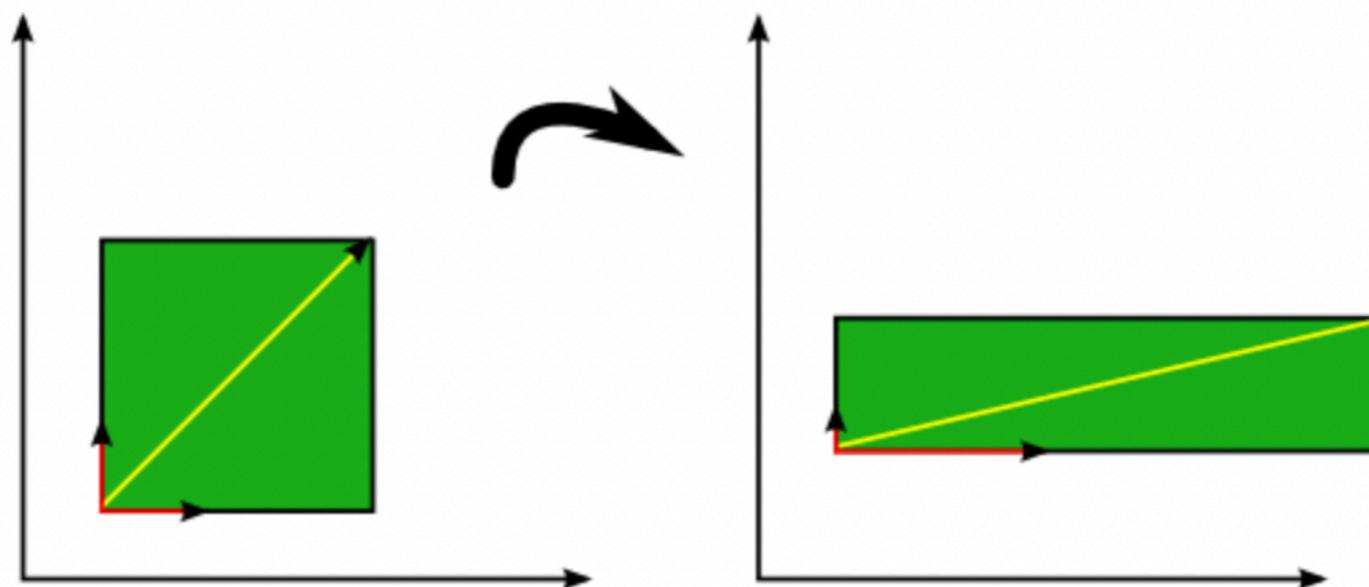
- Link one state to another according to “how many discounted times the agent can expect to visit state 2 from its current state”
- More efficient, as in this example: the agent already can access informations from s_3 while being in s_1 .

INTERMEDIARY : EIGENVECTORS

- Eigenvectors: vectors that do not change direction when applying a linear transformation

$$A \cdot v = \lambda \cdot v$$

$$(A - \lambda \cdot I) \cdot v = \vec{0}$$



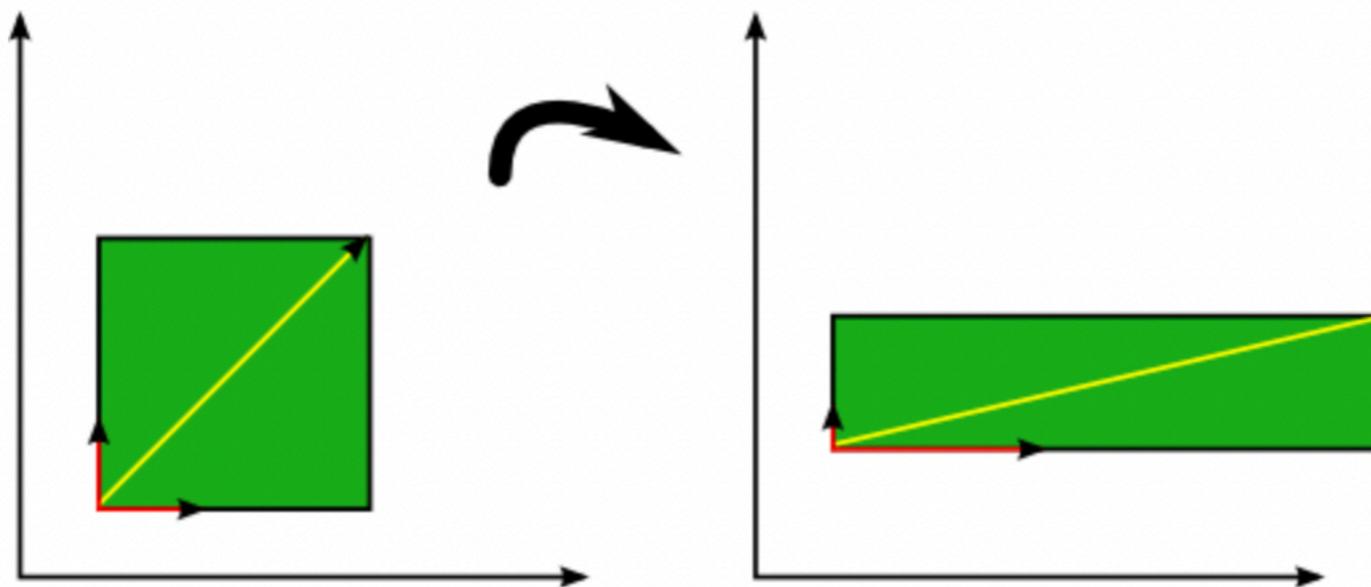
$$I = \begin{bmatrix} 1 & 0 & 0 & \dots & 0 \\ 0 & 1 & 0 & \dots & 0 \\ 0 & 0 & 1 & \dots & 0 \\ \vdots & & & \ddots & \\ 0 & 0 & 0 & \dots & 1 \end{bmatrix}$$

INTERMEDIARY : EIGENVECTORS

- v is an “eigenvector”
- Lambda is an “eigenvalue”
- Eigenvectors capture direction of main actions of the multiplication by A
- Eigenvalues capture how much this direction
 - gets extended (if lambda >1)
 - or squeezed (if lambda <1)

$$A \cdot v = \lambda \cdot v$$

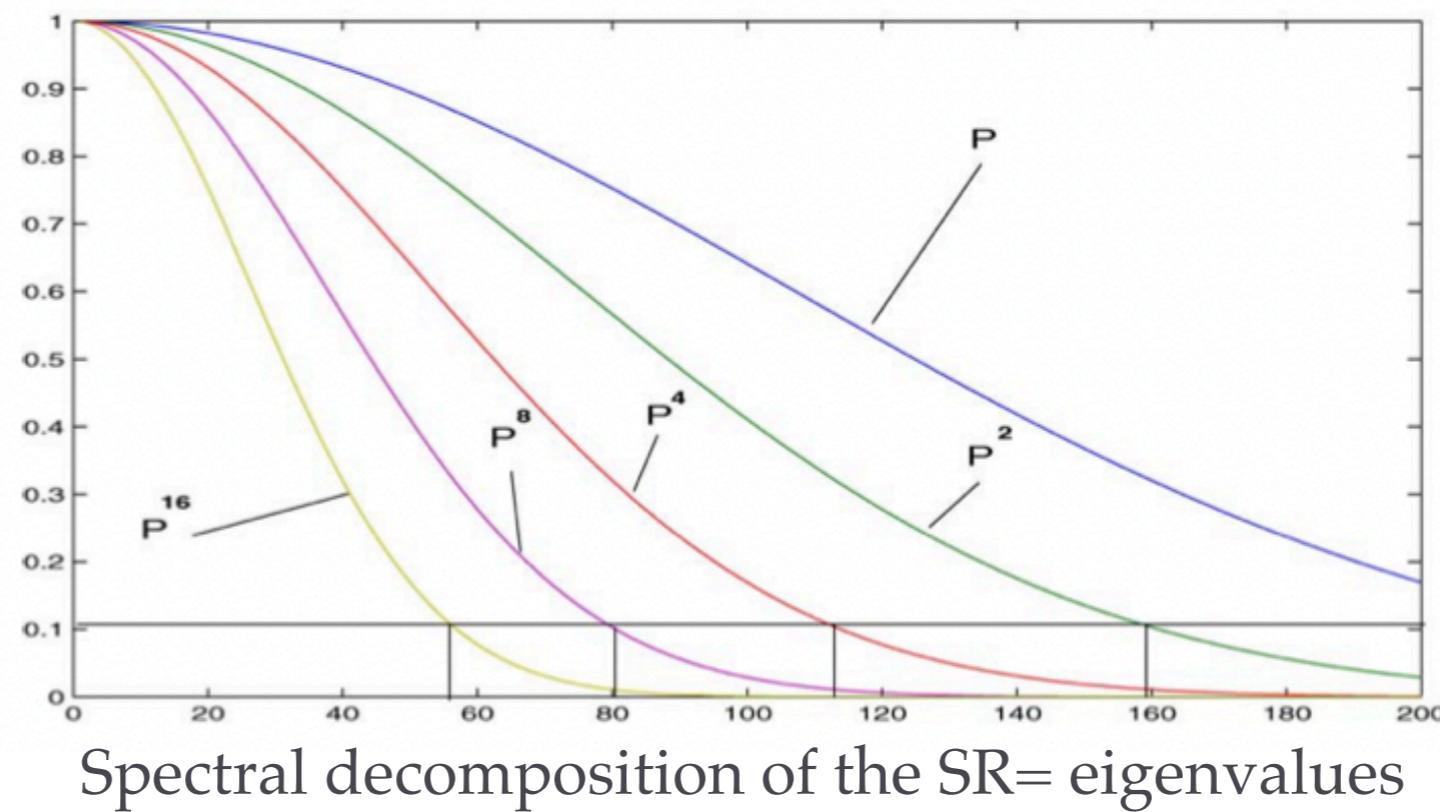
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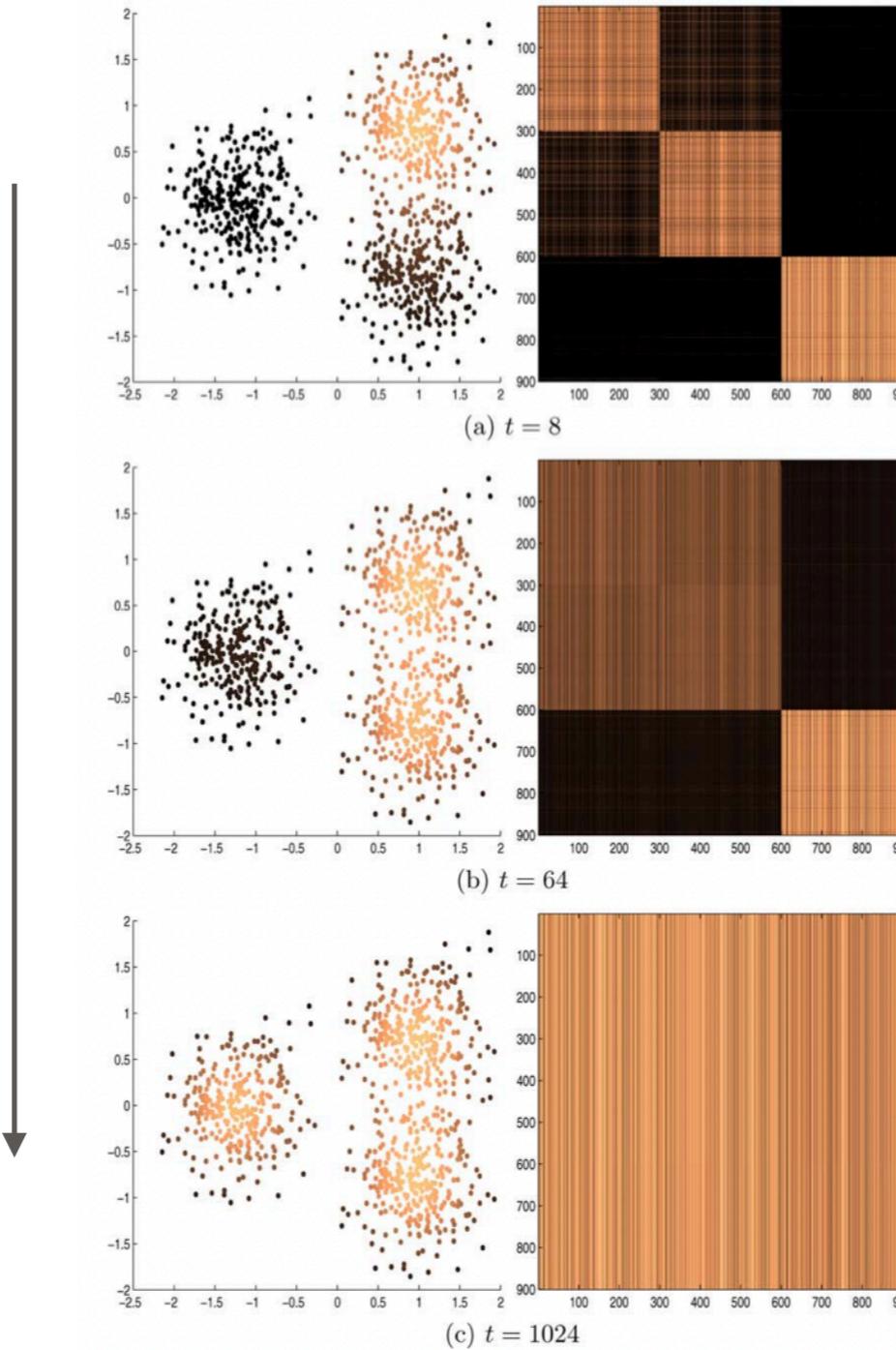
SR: A DESCRIPTION OF THE STATE OCCUPANCY AT DIFFERENT TIMESCALES

The numerical rank of P^k (=the “dimension of the number of directions” that it represents) decreases with time:



P^t represents the states occupancy description at time t = after t timesteps in the Markov chain

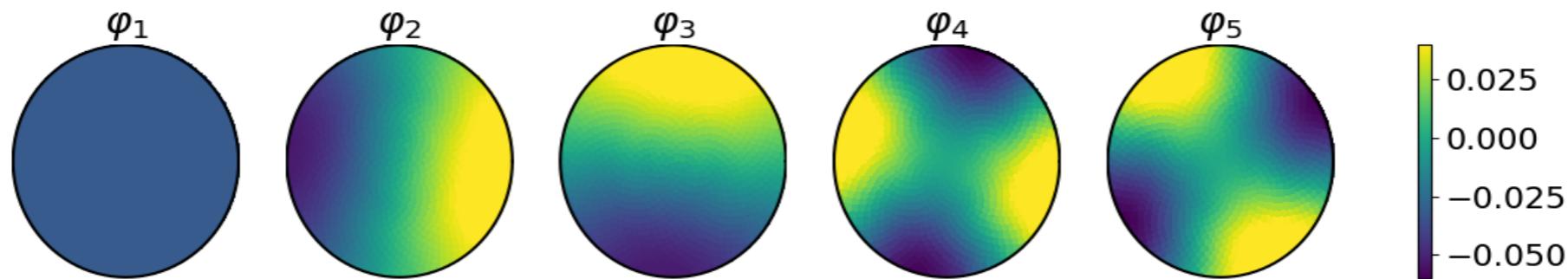
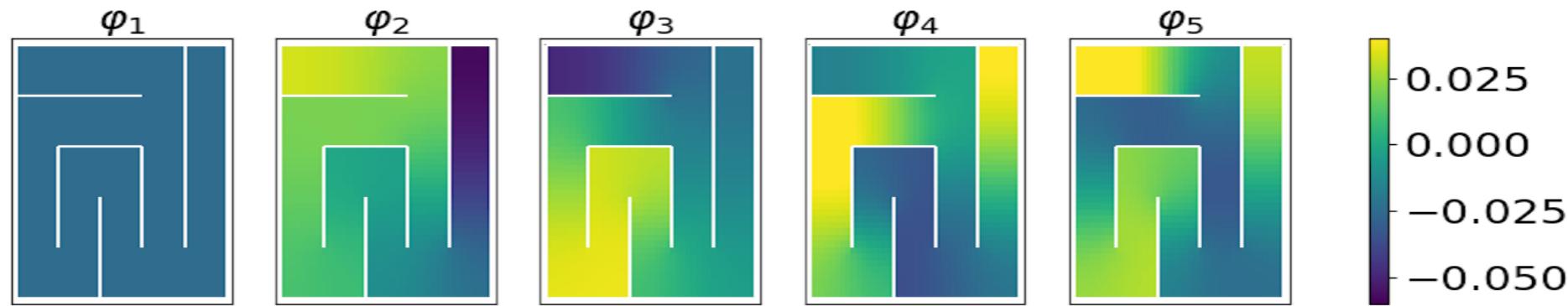
SR: A DESCRIPTION OF THE STATE OCCUPANCY AT DIFFERENT TIMESCALES



As time evolves, the graph of points progressively converges to the limit of state-occupancy

SR: THE EIGENVECTORS PROVIDE A FORM OF 'FREQUENCY' DISTRIBUTION OF THE STATE OCCUPANCY

$$\lambda_1 = 1 > \lambda_2 \dots \lambda_N \geq 0$$



One can cut the dimension with minimal predictive harms.

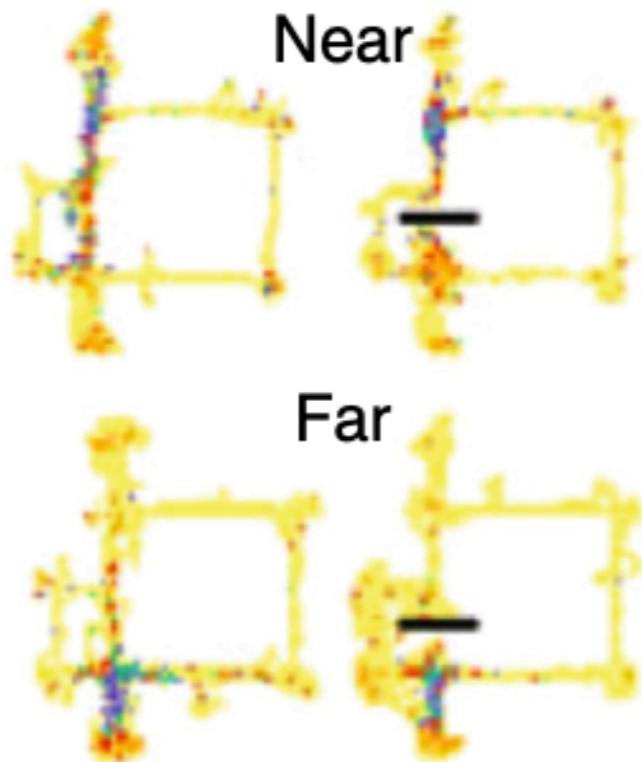
SR AND GAUSSIAN KERNEL: GENERALISATION MECHANISMS

- Gaussian kernels link two states according to how far away they are.
 - Usually, this distance is defined from the Euclidean distance
- In the SR representation, it is similar but it is about ‘accessible distance’:
 - Depends on the transition in the environment and the policy of the agent

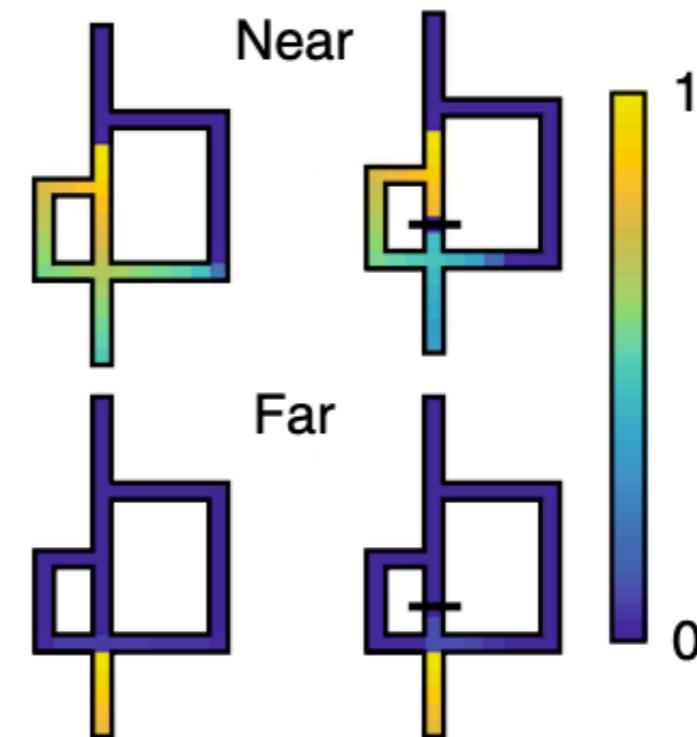
SR: CAN REPRODUCE PLACE CELLS ‘REMAPPING’

Data- place field

(Alvernhe et al., *Eur. J. Neurosci.*, 2011)



SR- place field



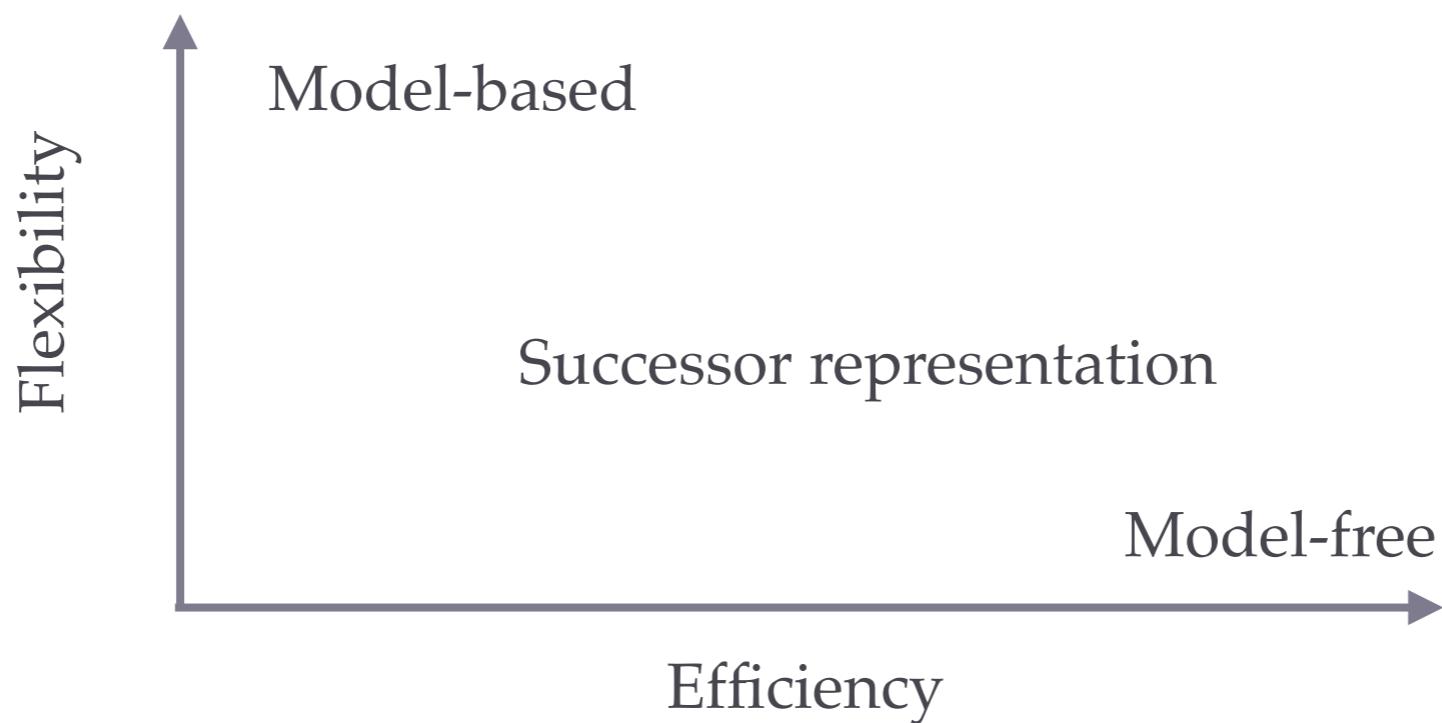
- When a new barrier is introduced, the transition probability changes
- Both hippocampal place cells and SR column vectors adjust to that change in a similar way
- This lead to a new model of the hippocampus as a ‘predictive map’

SUMMARY SR

- Links state, space and time
- Can be learned using TD error:

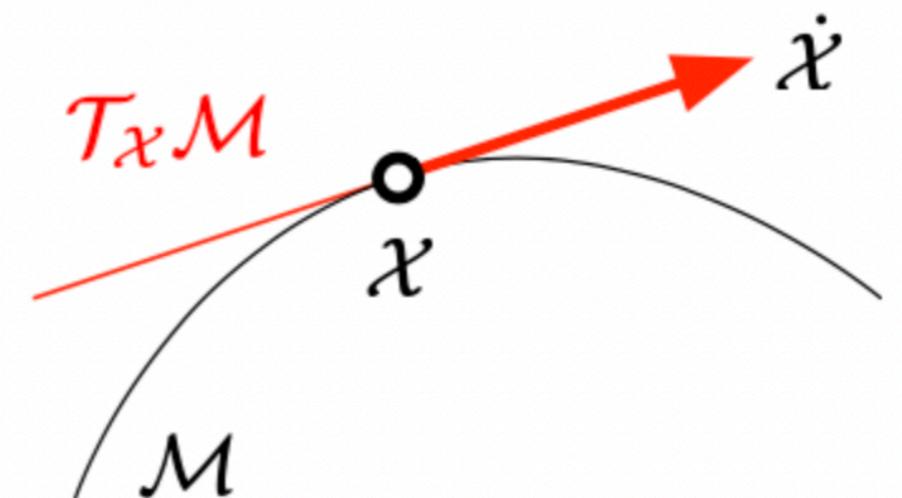
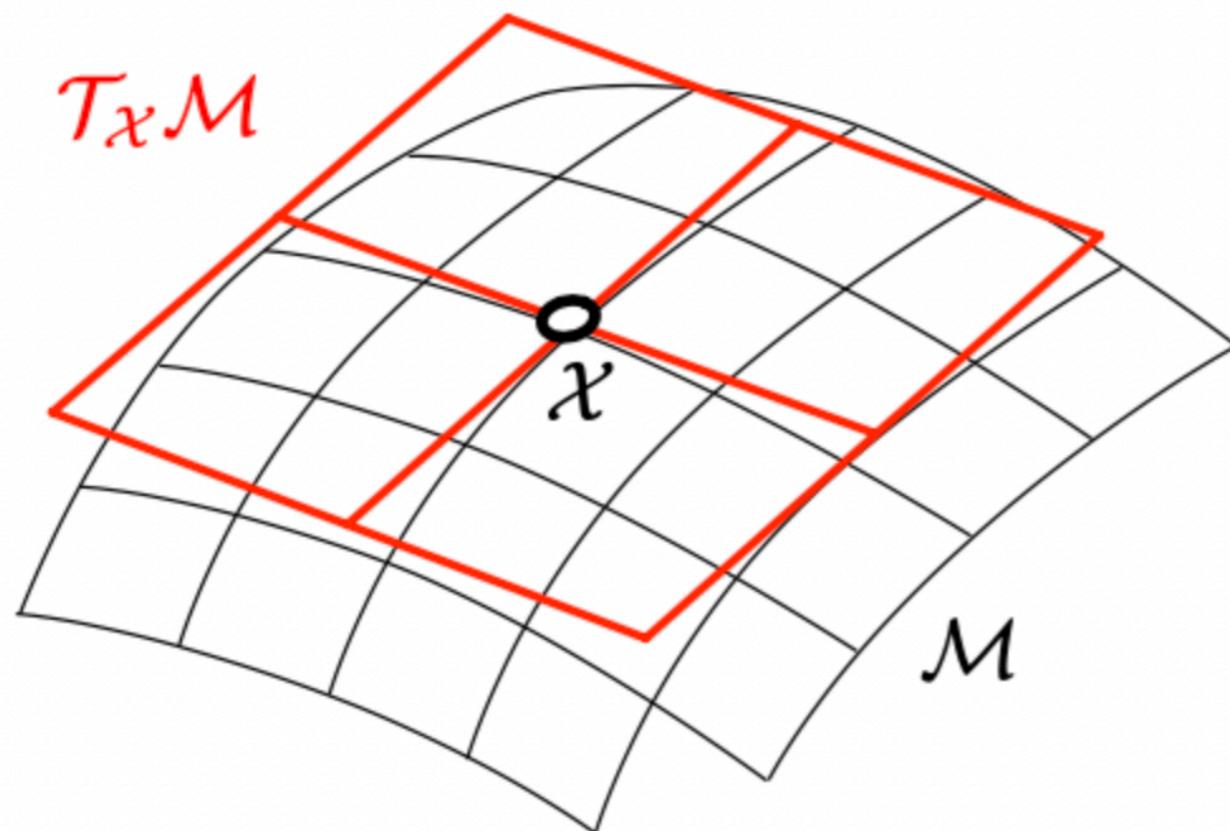
$$\delta_t(s) = \mathcal{I}(s_t, s) + \gamma \hat{M}(s_{t+1}, s) - \hat{M}(s_t, s)$$

- Can explain the evolution of neural coding based on environmental changes
- Is more flexible than model-free but more efficient than model-based approaches.



NON-RL APPROACHES TO DECIPHERING THE NEURAL CODE

MANIFOLD: TOPOLOGICAL SPACE THAT LOCALLY RESEMBLES AN EUCLIDEAN SPACE



- Example: Sphere
- Allow more complicated structures to be expressed and understood in terms of simpler spaces

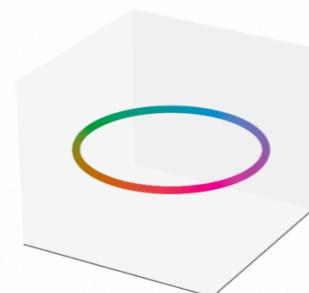
MANIFOLD ANALYSIS: TOOL FOR DIMENSIONALITY REDUCTION

Characterizing manifolds and their local euclidean approximation:

- gives information on behavior of objects in that space
- Enables dimensionality reduction

Intrinsic
Dimension

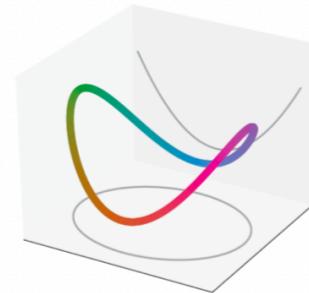
1



Embedding
Dimension

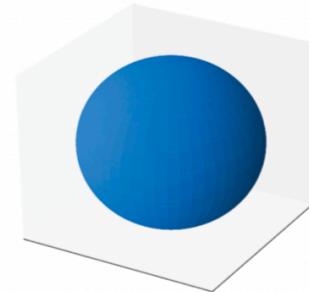
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1



>2

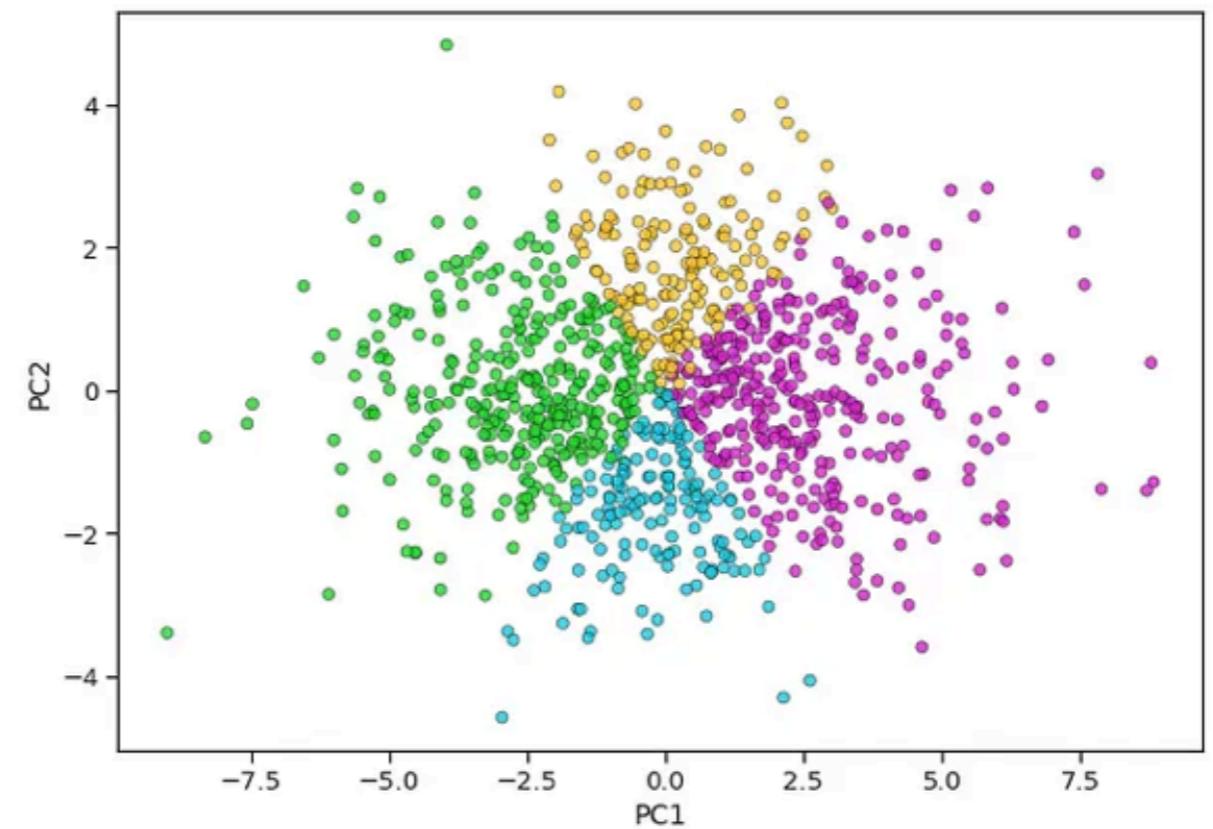
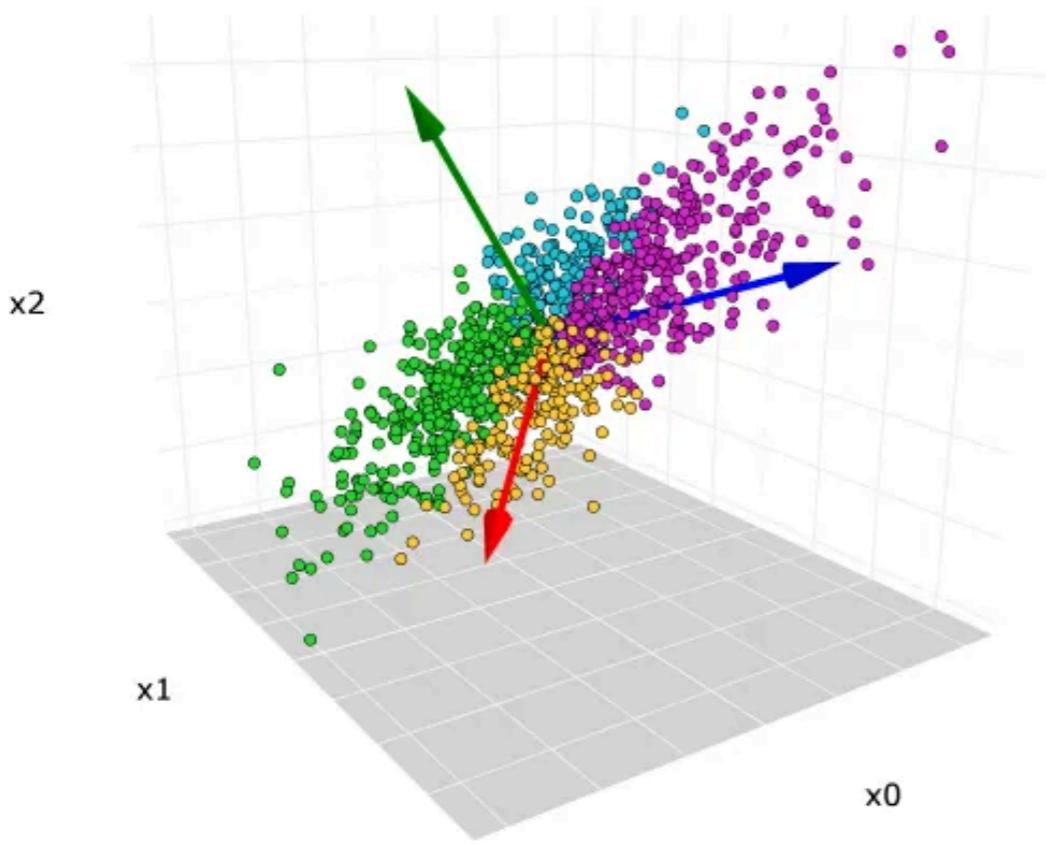
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3

MANIFOLD ANALYSIS EXAMPLE: PRINCIPAL COMPONENT ANALYSIS (PCA)

- Project data point into transformed dimensions
- Those dimensions are such that they maximise the variance of the dataset



MANIFOLD ANALYSIS EXAMPLE: PRINCIPAL COMPONENT ANALYSIS

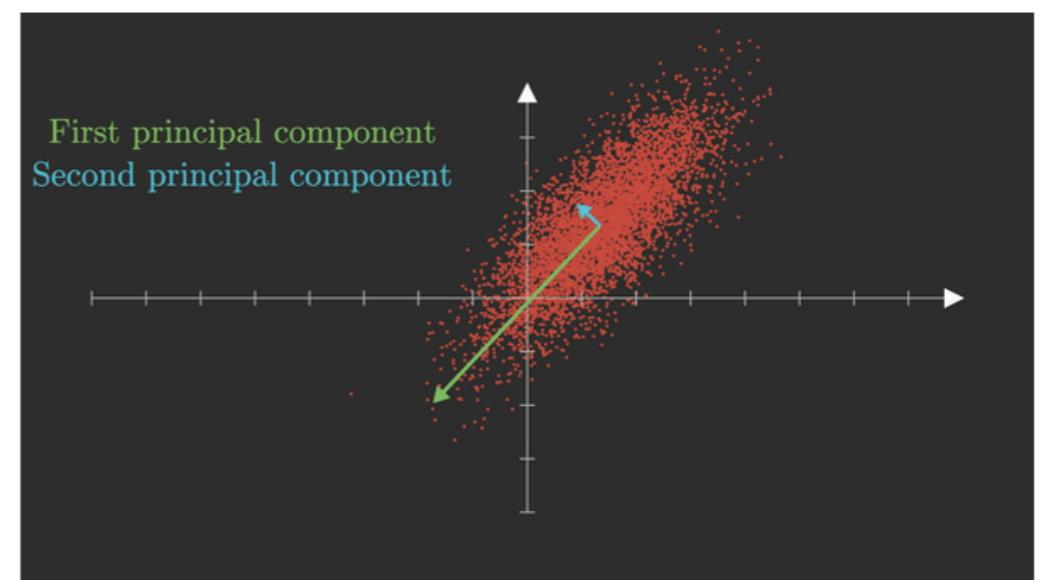
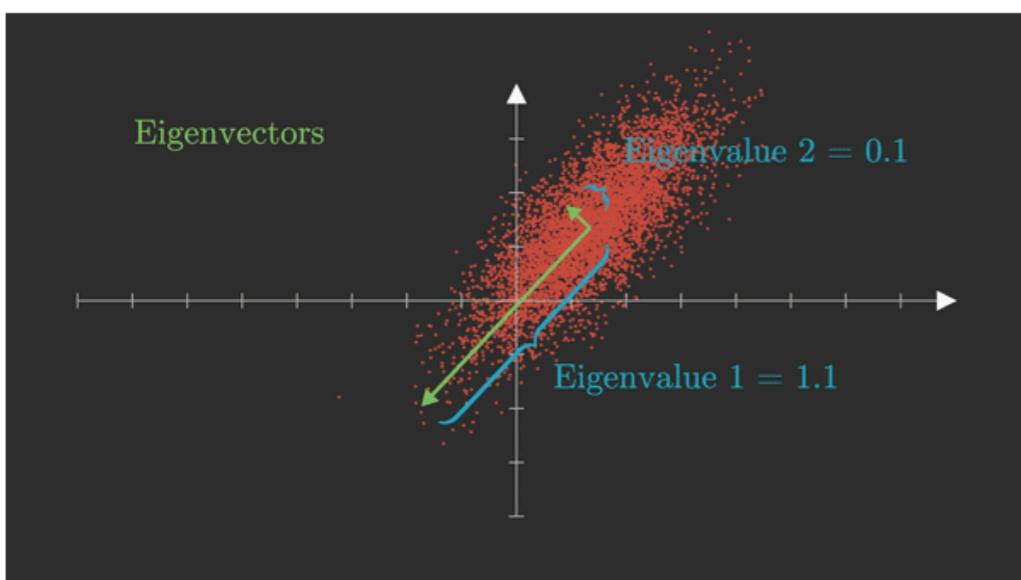
- The axis correspond to eigenvectors of the covariance matrix of the data points:

$$C = \frac{1}{n-1} \sum_{i=1}^n (\mathbf{X}_i - \bar{\mathbf{X}})^T (\mathbf{X}_i - \bar{\mathbf{X}})$$

$$C = \begin{bmatrix} 0.4713125 & 0.375 \\ 0.375 & 0.5114 \end{bmatrix}$$

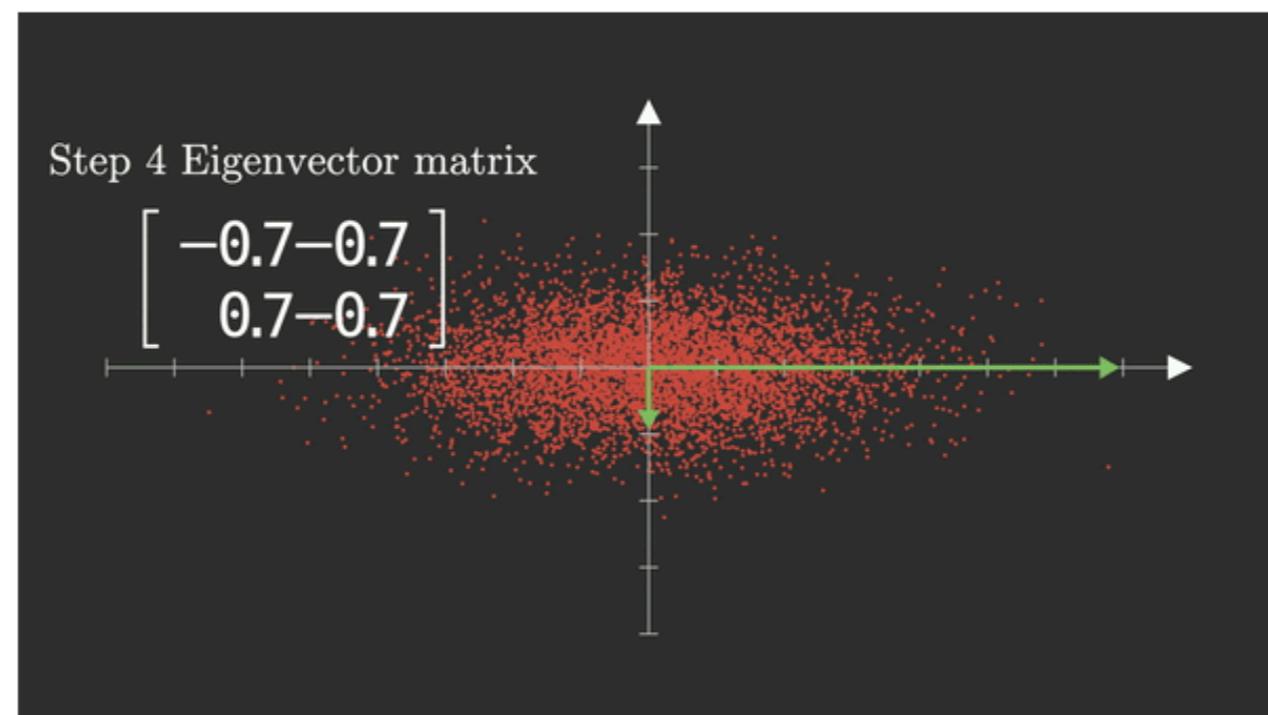
Annotations for the covariance matrix:

- Variance of variable 1 (blue arrow pointing to the top-left element)
- Covariance between var 1 and var 2 (orange arrow pointing to the off-diagonal element)
- Covariance between var 1 and var 2 (green arrow pointing to the bottom-right element)

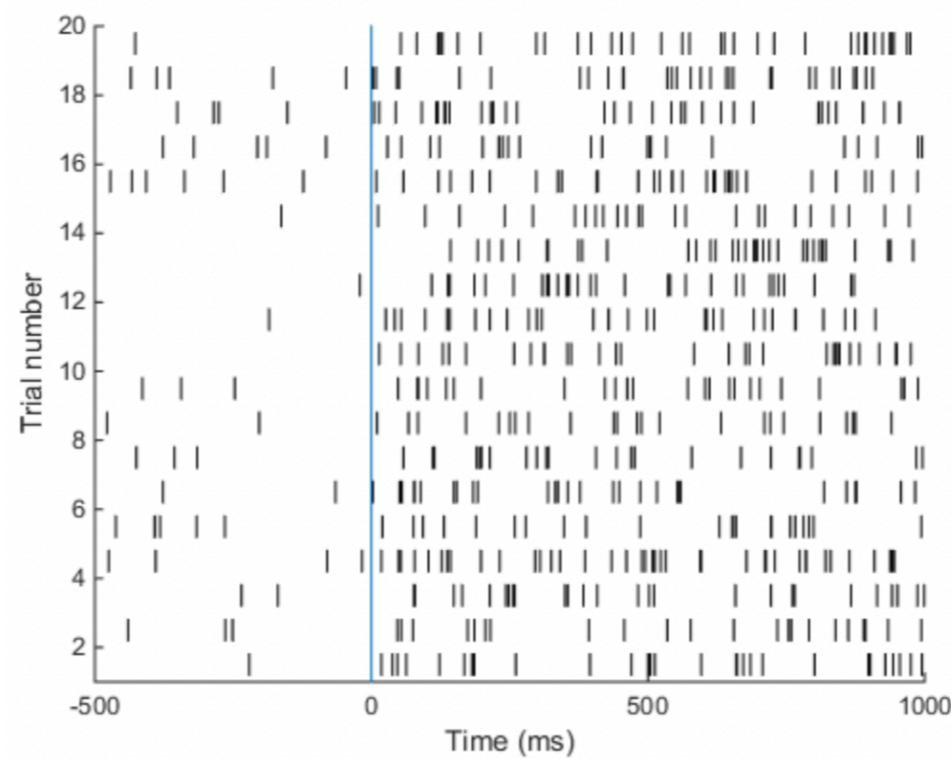


MANIFOLD ANALYSIS EXAMPLE: PRINCIPAL COMPONENT ANALYSIS (PCA)

- Eigenvectors take home message: “dimension of highest spread” of your data
- PCA take home message: “dimension of highest spread of the variance”

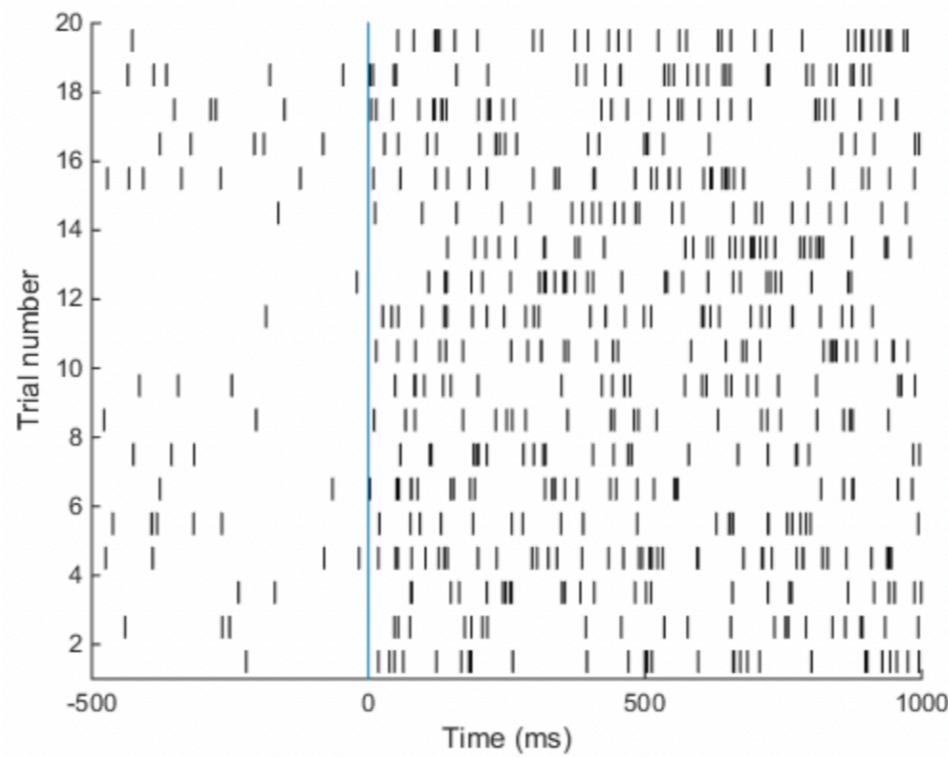


PCA TO INVESTIGATE THE MANIFOLDS OF NEURAL ACTIVITY



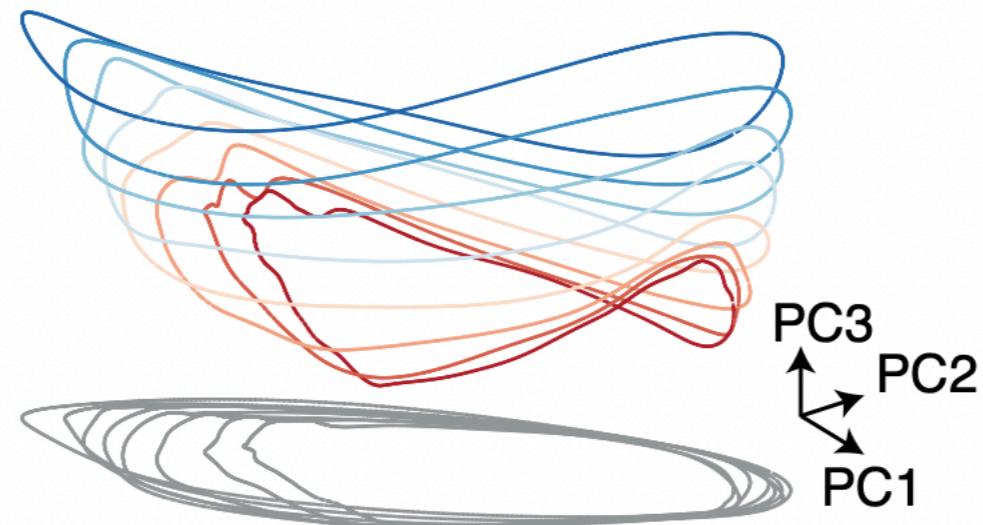
- Neural computations can be hidden at the level of single-neuron firing rates.

PCA TO INVESTIGATE THE MANIFOLDS OF NEURAL ACTIVITY



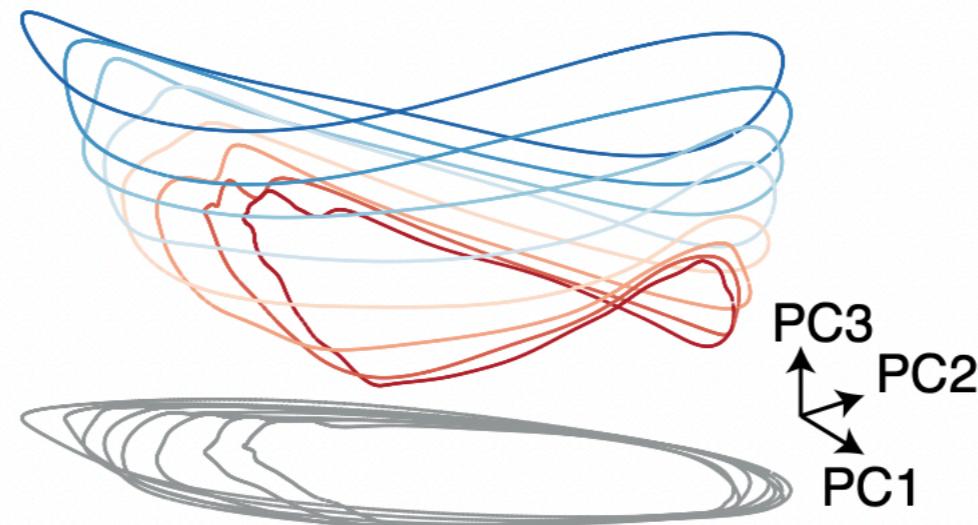
- Neural computations can be hidden at the level of single-neuron firing rates.
- Task-specific dynamics can be highlighted using PCA reduction.

PCA TO INVESTIGATE THE MANIFOLDS OF NEURAL ACTIVITY



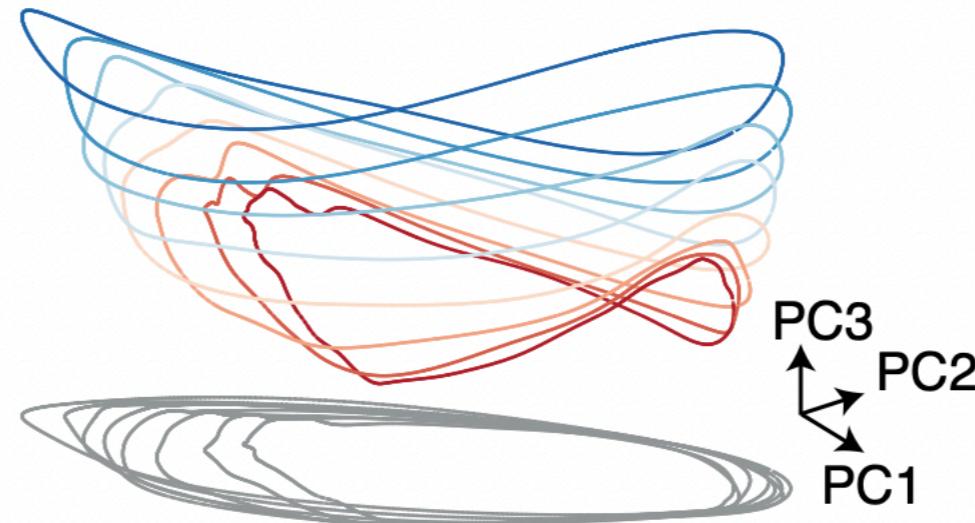
- Motor cortex recordings during cycling at different speed
- Picture shows neural responses:
 - each loop is once around a repeating cycle
 - blue is slowest.

PCA TO INVESTIGATE THE MANIFOLDS OF NEURAL ACTIVITY



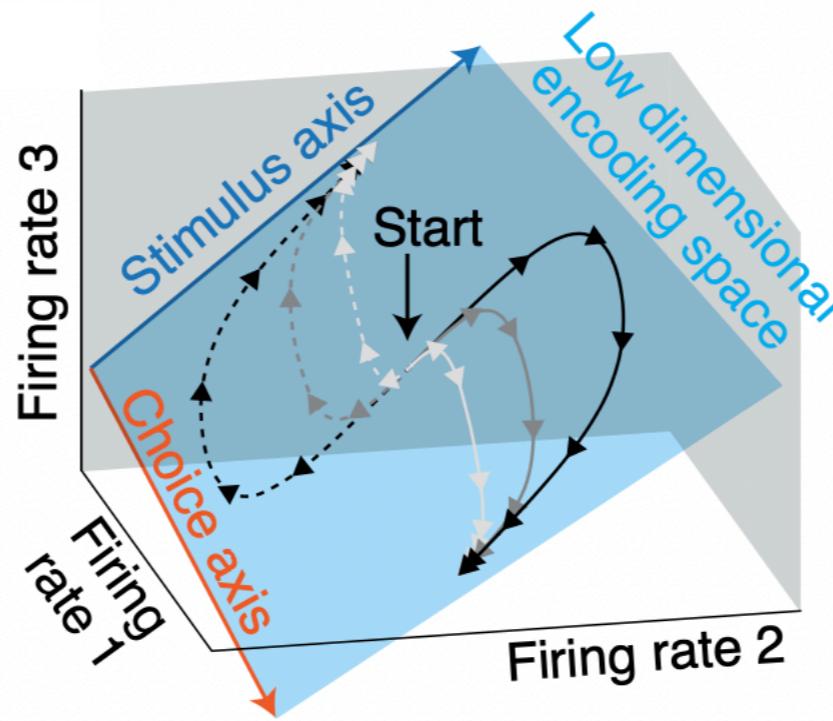
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- The 2D manifold PC1,PC2 clearly represents the cyclic motion

PCA TO INVESTIGATE THE MANIFOLDS OF NEURAL ACTIVITY



- Motor cortex recordings during cycling at different speed
- Picture shows neural responses:
 - each loop is once around a repeating cycle
 - blue is slowest.
- The 2D manifold PC1,PC2 clearly represents the cyclic motion
- The third dimension adds separability in the speed domain

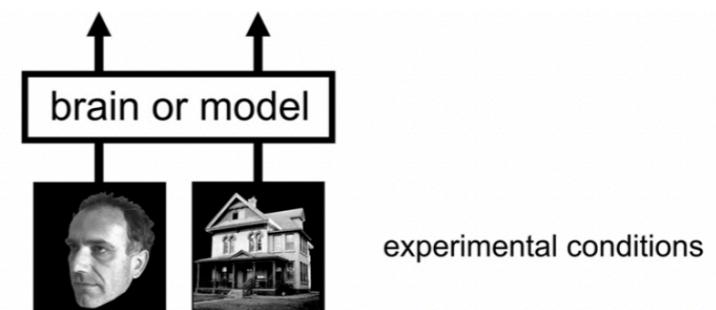
PCA TO INVESTIGATE THE MANIFOLDS OF NEURAL ACTIVITY



- Behaviorally relevant variables in a sensory decision making task:
 - Neural activities can be embedded in a 2D manifold:
 - A stimulus-axis
 - A choice-axis
 - Behaviorally relevant neural variance is often explained by a small number of dimensions (blue, red axes).

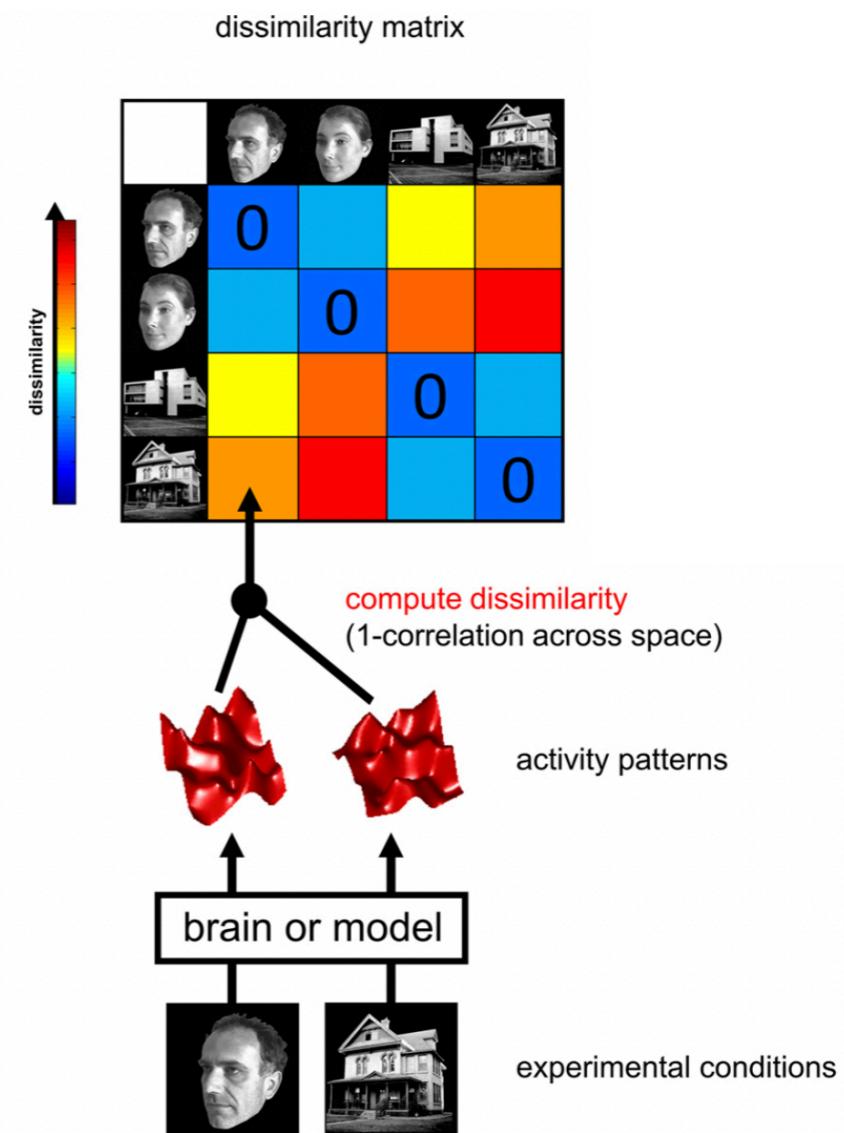
REPRESENTATION SIMILARITY ANALYSIS TO INFER NETWORKS SPECIFICITY AND CONNECTIVITY

- Dissimilarity between 2 stimuli / conditions is:
 - $1-C$, $C = \text{Correlation between activity elicited by 2 stimuli / conditions}$
 - Ranges between 0 and 2:
 - 0 for perfect correlation
 - 1 for no correlation
 - 2 for perfect anticorrelation



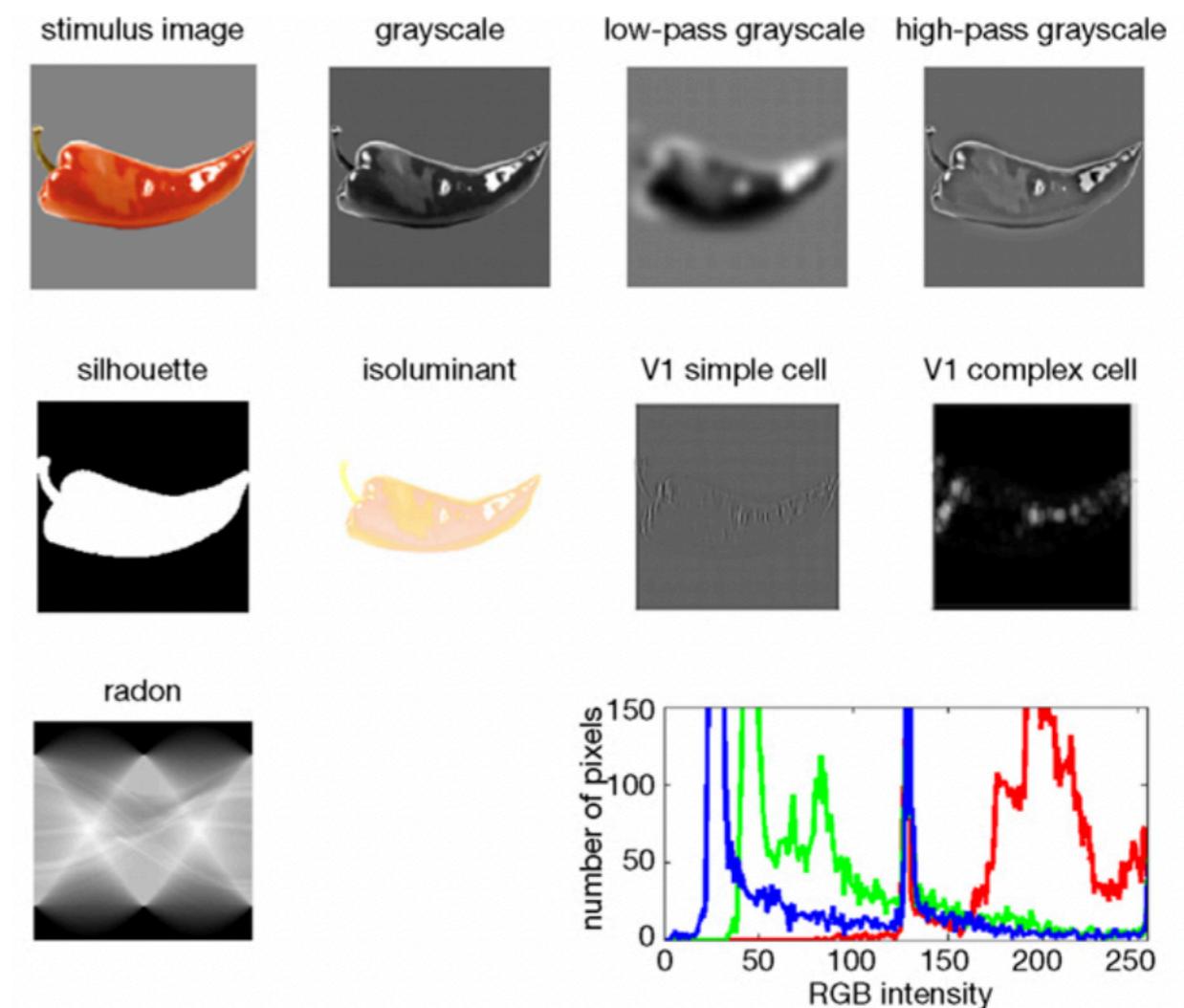
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 - Ranges between 0 and 2:
 - 0 for perfect correlation
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 - 2 for perfect anticorrelation
- These dissimilarities for all pairs of conditions are assembled in the Representation Dissimilarity Matrix.
- Each cell of the RDM compares the response patterns elicited by two images.



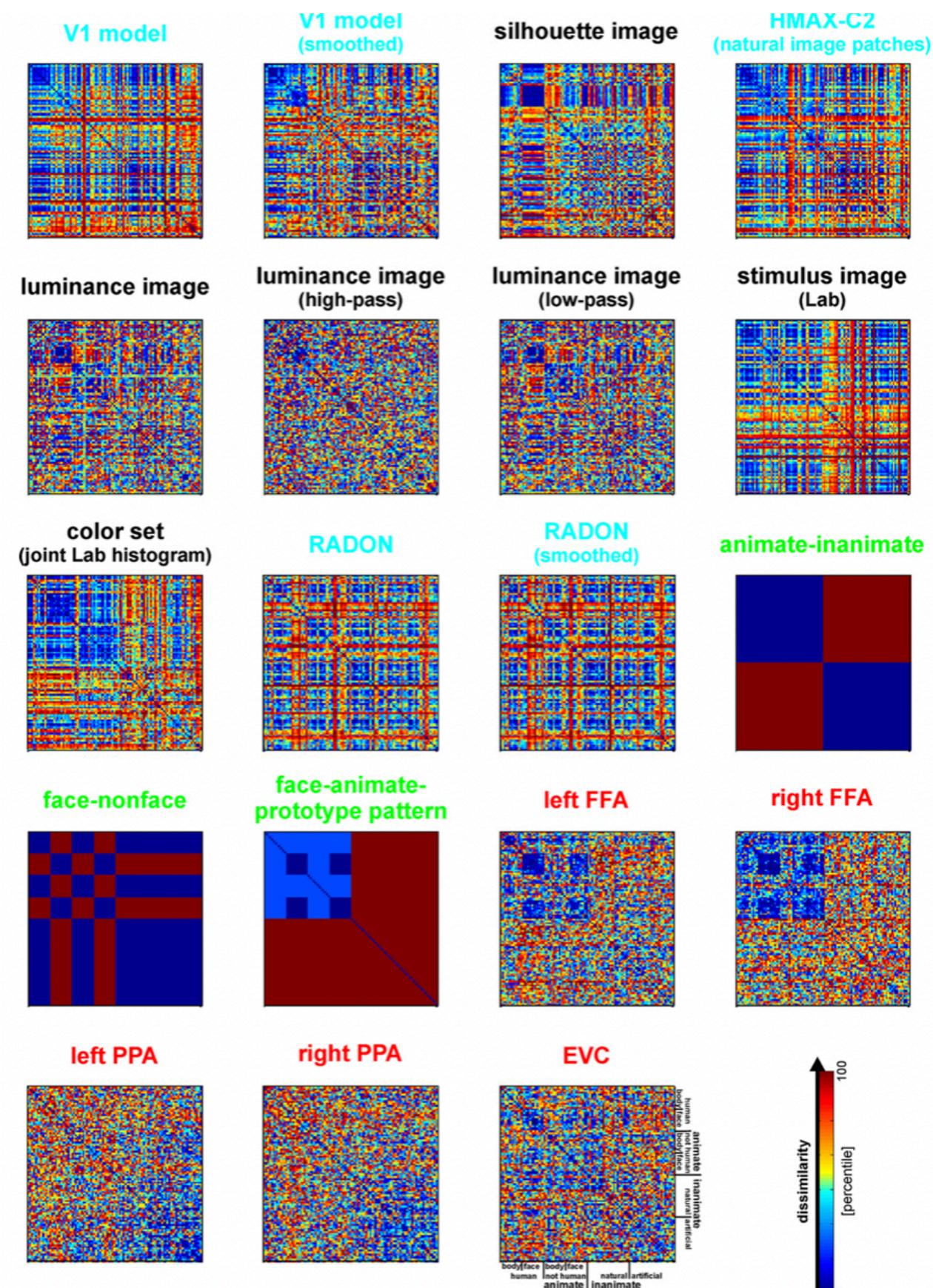
REPRESENTATION SIMILARITY ANALYSIS TO INFER ENCODING SPECIFICITY

- RDMs can be compared too
- e.g. to a model's RDM in response to a similar condition
- Example here: the authors transform the image according to different algorithm or processing models



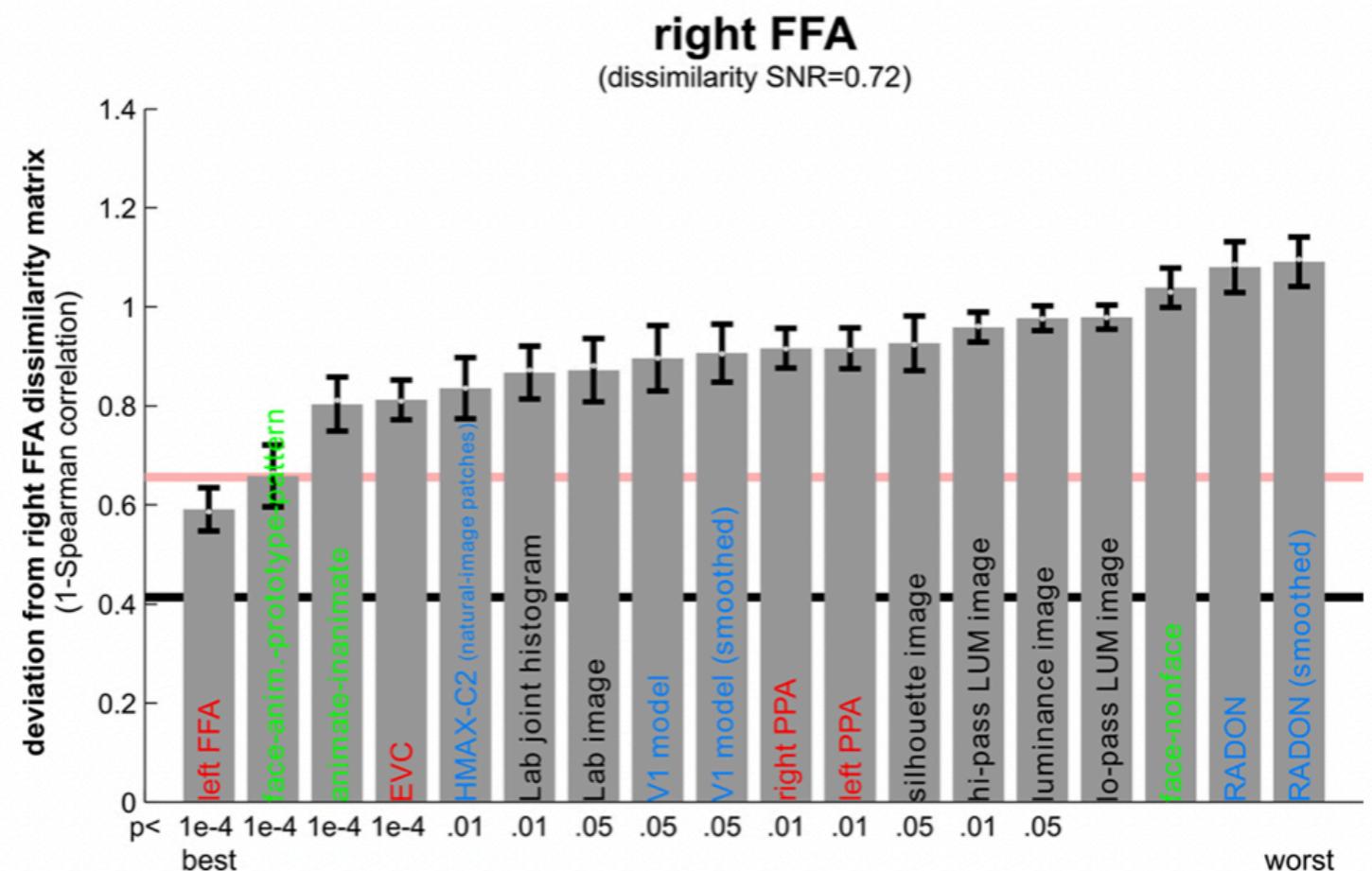
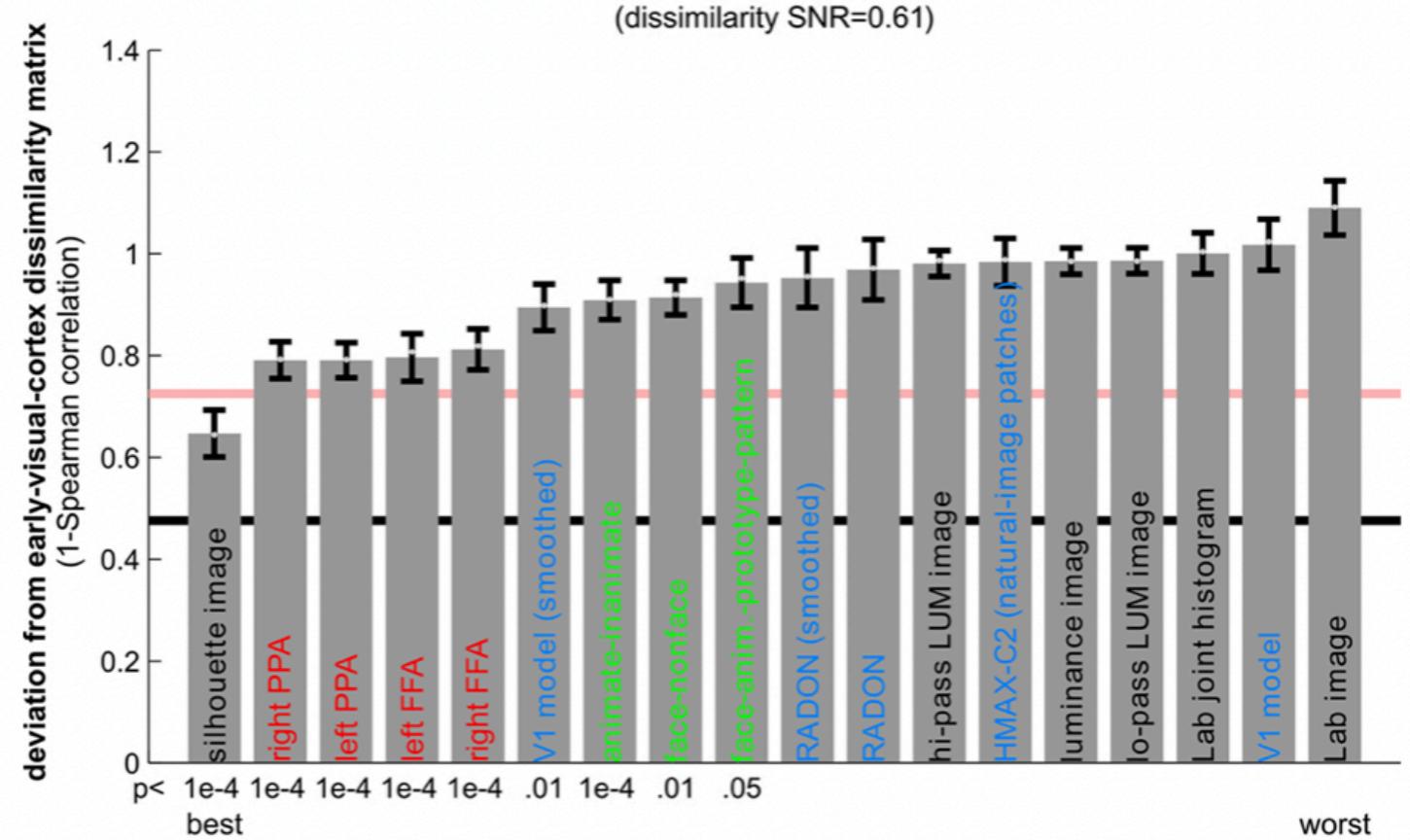
REPRESENTATION SIMILARITY ANALYSIS TO INFER ENCODING SPECIFICITY

- They produce RDMs for different models and brain regions (obtained from fMRI data)



REPRESENTATION SIMILARITY ANALYSIS TO INFER ENCODING SPECIFICITY

- The correlation between the RDMs can help match:
 - region-to-region functional specificity
 - region-to-model functional specificity



- SUMMARY -

RL AND NON-RL METHODS TO DECIPHERING BRAINS AND NEURAL NETWORKS

- RL:
 - The specificity of the design of the agent chosen enable to shed light on how humans or animals perform in tasks:
 - Its performance related to model design indicates what basic elements are needed to perform a task
 - The different components can help formulate hypothesis on a specific brain region's role in a task

- SUMMARY -

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- RL: Some direction of comparison of the approaches covered:
 - Dyna: to study replay processes
 - SR: to study prediction
 - Model-free/Model-Based: to investigate their interplay
 - Actor-critic VS policy gradient: to study value representation and action selection

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 - Dyna: to study replay processes
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- all of those approaches enable to study behaviors
- they also improve from neurosciences advances
 - in particular, we need more flexible agents!

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- Manifold:
 - Can capture task-relevant dimensions of neural activities - practical for dimension reduction
 - Comparing those dimension and the stability of the dynamics using modeling enables to shed light on neural computations
 - Embedding useful task-related dynamics within neural network can help perform tasks

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- RSA:
 - Can capture representational and functional marker of a brain region / model by looking at its pattern of activity correlations
 - RDMs capture how different do they react to stimuli / experimental conditions
 - Can be used to compare to models and/or other brain regions:
 - With models, it gives information on the encoding of the region
 - With brain regions, it can be used to infer connectivity between brain regions