# CS182 Project: Introduction to EfficientNet

#### Part1: introduction

EfficientNet is a family of convolutional neural networks that were designed to provide state-of-the-art accuracy on image classification tasks while maintaining a high level of efficiency. Developed by a team of researchers at Google, EfficientNet models use a novel compound scaling method to balance the number of parameters in the network with its depth and width, resulting in a highly optimized architecture that achieves superior performance with fewer computational resources. EfficientNet models have achieved top scores in various computer vision benchmarks, including the ImageNet dataset, and have been widely adopted for a range of applications, including object detection, segmentation, and transfer learning.

In this HW, we're going to implement EfficientNet from scratch, and understand how EfficientNets are "efficient" in the sense of cost of computation and number of parameters

Imports and preparations: (Run the cell below if you're using Google Colab)

```
import os
from google.colab import drive
drive.mount('/content/gdrive')
DRIVE_PATH = '/content/gdrive/My\ Drive/cs182project_eq_efficientnet'
DRIVE_PYTHON_PATH = DRIVE_PATH.replace('\\', '')
if not os.path.exists(DRIVE_PYTHON_PATH):
    %mkdir $DRIVE_PATH

## the space in `My Drive` causes some issues,
## make a symlink to avoid this
SYM_PATH = '/content/cs182project_eq_efficientnet'
if not os.path.exists(SYM_PATH):
    !ln -s $DRIVE_PATH $SYM_PATH
```

```
In [ ]: !pip install graphviz
!apt-get install graphviz
```

```
In [ ]: import matplotlib.pyplot as plt
        import numpy as np
        from math import ceil
        # Torch stuff
        import torch
        import torch.optim as optim
        import torch.nn as nn
        from torch.utils.data import random split
        from torchvision import transforms
        from torch.utils.data import DataLoader
        import torchvision
        import torch.nn.init as init
        import numpy as np
        from matplotlib import pyplot as plt
        #training part
        import torch
        from torch import nn
        from torch.utils.data import DataLoader
        import copy
        import graphviz
        from itertools import tee
```

```
In [ ]: #@title Graphviz Utilities (run this)
        def generate dwr(csf):
          Determins the depth, width and resolution from the scaling factor.
          Alpha, beta, gamma are taken from the efficientnet paper.
          #From the paper
          alpha = 1.2
          beta = 1.1
          gamma = 1.15
          return (alpha ** csf, beta ** csf, gamma ** csf)
        def pairwise(iterable):
          Iterates through an iterable (list), pairwise.
          a, b, c \rightarrow (a,b), (b,c)
          a, b = tee(iterable)
          next(b, None)
          return zip(a, b)
        def compose edges(g, nodes):
          Forms the actual edges from a list of all the nodes, just sequentially.
          for a, b, in list(pairwise(nodes)):
```

```
g.edge(a, b, constraint='false')
def num layers(n):
 # This is just a random constant
 return max(int(0.8*n), 1)
def generate_layers(g, rfactors, color, w_f, h_f, layer_name):
 Generates a colored 'layer' in the graph, this could result in several
 Nodes being generated depending on the depth factor.
 d, w, r = rfactors
 layers = num layers(d)
 items = []
 for layer, index, in enumerate(range(layers)):
   name = layer name + str(index)
   g.node(name, label = " ", color = color, style = "filled", width = str(w
   items.append(name)
 return items
def generate visualization(csf = 1):
 factors = generate_dwr(csf)
 d, w, r = factors
 g = graphviz.Digraph('efficientNet', comment='efficientNet')
 all_items = []
 g.attr('node', shape='box')
 q.node('input','input',color = '#ffffff')
 all items.append('input')
 all_items += generate_layers(g, factors, color = '#b873bf', w_f = 0.1, h_f
 all items += generate layers(g, factors, color = '#e3c062', w f = 0.2, h f
 all_items += generate_layers(g, factors, color = '#62e3a2', w_f = 0.2, h_f
 all items += generate layers(g, factors, color = '#62dfe3', w f = 0.02, h
 all_items += generate_layers(g, factors, color = '#e362d0', w_f = 0.02, h
 g.node('output', 'output', color = '#ffffff')
 all items.append('output')
 compose edges(g, all items)
 g.attr(label=r'EfficientNet Architecture Diagram \n Compound Scaling Factor
 return g
```

To better reinforce the intution about the compound scaling method, we have implemented a visualization generator function. The overall intution about just changing the compound scaling factor, then being able to affect overall change in the actual architecture, changing the depth, width, and resolution in a principled manner.

 This is intended to provide intuition about efficientNet, this is not how efficientNet literally scales.

Question: Play around with this function, at what point do you start to see new depth layers emerging? (You might also want to use this opportunity to check your intuition about the previous conceptual questions).

```
In [ ]: generate_visualization(csf = 2) #Play around with this.
```

We're going to use CIFAR-10 dataset for this project. It is very commonly used while testing certain CV models. The dataset contains 60,000 32x32 color images in 10 different classes. The 10 different classes represent airplanes, cars, birds, cats, deer, dogs, frogs, horses, ships, and trucks. There are 6,000 images of each class. We're going to use the torchvision package to load the dataset. The torchvision package consists of popular datasets, model architectures, and common image transformations for computer vision.

In this part we're going to implement a dataloader. The purpose of this is to build a convenient way to feed data from a dataset to a model during training or inference. With the DataLoader, users can easily handle large datasets and apply different data augmentation techniques to the input data. The PyTorch DataLoader is a flexible and efficient tool that has become a standard part of many deep learning workflows.

Question 1a): setup train\_loader, train\_dataset, val\_loader, val\_dataset, test\_loader, test\_dataset in the following block. You can use the code in the previous block as a reference. Hint: check out function: produce\_dataloader\_dataset

```
# TODO: your code here#
        ##########################
        trainloader = ...
        valloader = ...
        testloader = ...
        ##############################
        #END OF YOUR CODE
        ########################
In [ ]:
        You should be able to see some sample images from the training set if you co
        def draw sample images(data, labels):
            nrows = 4
            ncols = 10
            total image = data.shape[0]
            samples = np.random.choice(total image, nrows*ncols)
            plt.figure(figsize=(20, 5))
            for i in range(nrows*ncols):
                plt.subplot(nrows, ncols, i+1)
                image = np.moveaxis(data[samples[i]].numpy(), 0, -1)
                plt.imshow(image/2+0.5)
                plt.title(trainset.classes[labels[samples[i]]])
                plt.axis("off")
            plt.tight_layout()
            plt.show()
        data_iterator = iter(trainloader)
        images, labels = next(data iterator)
        draw_sample_images(images, labels)
In [ ]: classes = trainset.classes
        classes
```

Question: What's the shape of data in train\_loader for a sigle batch? (in terms of [N, C, H, W])

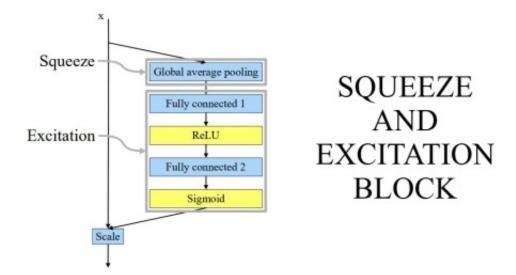
### Part 2: Building the model

The "highlight" of EfficientNet is its use of compound scaling methods. Compound scaling in essence is to use a coefficient to uniformaly scale the 3 Dimensions (depth, width and resolution) of the model. The coefficient is denoted as  $\phi$  in the paper. The scaling method is as follows:

$$egin{aligned} \operatorname{depth}: d = lpha^\phi \ & \operatorname{width}: w = eta^\phi \ & \operatorname{resolution}: r = \gamma^\phi \ & lpha \cdot eta^2 \cdot \gamma^2 pprox 2 \ & lpha \geq 1, eta \geq 1, \gamma \geq 1 \end{aligned}$$

The author created a family of EfficientNet models with different  $\phi$  values, and the largest model is EfficientNet-B7 with  $\phi=2.0$ . In this HW, we're going to implement EfficientNet, with the ability to scale from b0 to b7.

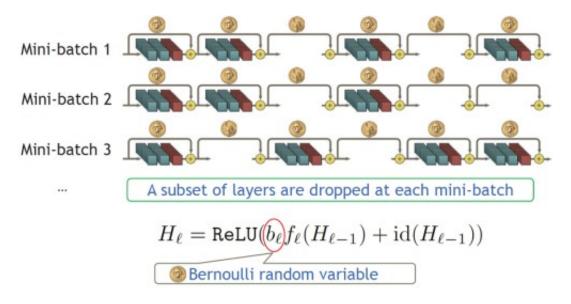
Firstly we'going to implement some tricks the author used that makes EfficientNet efficient. The first technique is called Squeeze and Excitation (SE). SE is very similiar to the attention mechanism. It is used to help the model to focus on the most important features. The SE module is implemented as follows:



```
In [ ]: # Implement the Squueze and Excitation block down below
        # Note that though the image above shows we're using ReLu as the activation
        # as the author mentioned in the paper that it performed better than ReLU. W
         # for the same reason.
         # Hint: use nn.AdaptiveAvgPool2d(1) to replace nn.AvgPool2d(1) and nn.SiLU()
         class SqueezeExcitation(nn.Module):
             def __init__(self, n_in, reduced_dim, fixed_params=False):
                 super(SqueezeExcitation, self).__init__()
                 self.conv1 = nn.Conv2d(n in, reduced dim, kernel size=1)
                 self.conv2 = nn.Conv2d(reduced dim, n in, kernel size=1)
                 if fixed params:
                   init.constant (self.conv1.weight, 0.01)
                   init.constant_(self.conv1.bias, 0.0)
                   init.constant_(self.conv2.weight, 0.01)
                   init.constant (self.conv2.bias, 0.0)
                 Hints: follow the pipeline in the image above to implement the forward
                 use nn.Sequential() to build the block.
                 ############################
                 # TODO: your code here#
                 ##############################
                 self.se = ...
                 #####################
                 # End of your code
                 ########################
             def forward(self, x):
                 Hints: one line of code
                 ###########################
                 # TODO: your code here#
                 ####################################
                 raise NotImplementedError("Squeeze and Excitation forward pass not i
                 ########################
                 # End of your code
                 ##############################
                 # Hint: consider why what the picture means by scaling and why we're
                 return x * y
In [ ]: # unit test for se
        def test se():
           data iterator = iter(testloader)
           images, labels = next(data_iterator)
           x = images[0]
           se = SqueezeExcitation(3,2,fixed_params = True)
           y = se(x)
           y \text{ test} = y[0][0][:5].detach()
           y \text{ true} = \text{torch.tensor}([0.1196, 0.1235, 0.1471, 0.1510, 0.1274])
           assert torch.allclose(y_test,y_true,atol = 1e-3)
         test se()
```

Next we're going to implement the trick: Stochastic Depth, which makes the entire training process much faster. The gist of it is to randomly drop a subset of layers and bypass them with the identity function during training. And a full network is used during testing/inference.

The image below shows the implementation of Stochastic Depth, we suggest lo



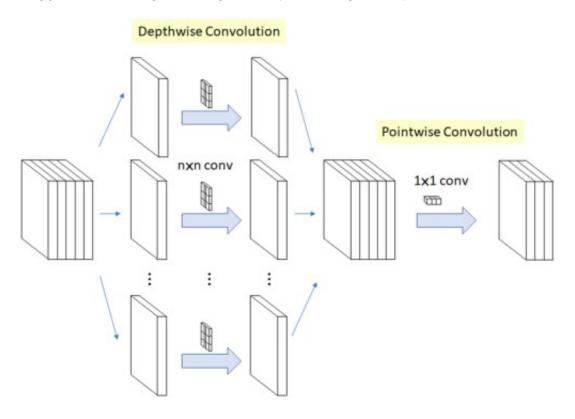
```
In [ ]: # Implement the Stochastic Depth block down below
        # Hint: use torch.rand() to generate a random number
         class StochasticDepth(nn.Module):
             def init (self, survival prob = 0.8, fixed params=False):
                 super(StochasticDepth, self).__init__()
                 self.fixed_params = fixed_params
                 self.p = survival prob
             def forward(self, x):
                 Hints: what happens when self.training is True? What shall we do whe
                 The idea is kind of similiar to Dropout and Masking.
                 if self.fixed params:
                   if torch.cuda.is available():
                       torch.cuda.manual seed(10)
                   else:
                       torch.manual seed(10)
                 ########################
                 # TODO: your code here#
                 ##############################
                 raise NotImplementedError("Stochastic Depth forward pass not implementedError
                 #############################
                 # End of your code
                 ########################
```

```
In []: # unit test for stochastic depth
def test_sd():
    data_iterator = iter(testloader)
    images, labels = next(data_iterator)
    x = images[0]
    sd = StochasticDepth(fixed_params = True)
    y = sd(x)
    y_test = y[0][0][0][:5].detach()
    y_true = torch.tensor([0.2990, 0.3088, 0.3676, 0.3775, 0.3186])
    assert torch.allclose(y_test,y_true,atol = 1e-3)
    test_sd()
```

```
In [ ]: # Here we provide you with the simple Conv-BatchNorm-Activation block for you
        # Note that we're using SiLU (swish) as the activation function instead of R
        # that it performed better than ReLU
        class ConvBnAct(nn.Module):
            def __init__(self, n_in, n_out, kernel_size = 3, stride = 1,
                         padding = 0, groups = 1, bn = True, act = True,
                         bias = False, fixed params = False
                         ):
                super(ConvBnAct, self).__init__()
                self.conv = nn.Conv2d(n_in, n_out, kernel_size = kernel_size,
                                       stride = stride, padding = padding,
                                       groups = groups, bias = bias
                if fixed params:
                  init.constant_(self.conv.weight, 0.01)
                if bias:
                  init.constant_(self.conv.bias, 0.0)
                self.batch_norm = nn.BatchNorm2d(n_out) if bn else nn.Identity()
                self.activation = nn.SiLU() if act else nn.Identity()
            def forward(self, x):
                x = self.conv(x)
                x = self.batch norm(x)
                x = self.activation(x)
                return x
```

Finally here come the finally implementation of EfficientNet. Some additional tricks the author used here include Depthwise Separable Convolution, which is a combination of depthwise convolution and pointwise convolution. The depthwise convolution is used to extract features from each channel, and the pointwise convolution is used to combine the features from different channels.

The image below shows the implementation of Depthwise Separable Convolution, we suggest referencing this image when performing the implementation:



```
For self.expand, you can use nn.Identity() if expansion factor == 1
    for more details for the parameter you are going to use.
    For self.depthwise conv, you can use ConvBnAct() with the correct pa
    self.se is something you implemented above.
    self.pointwise conv is similar to self.depthwise conv, but with diff
    self.drop_layers is something you implemented above as well.
    ###############################
    # TODO: your code here#
    #########################
    self.skip connection = ...
    self.expand = ...
    self.depthwise conv = ...
    self.se = ...
    self.pointwise_conv = ...
    self.drop layers = ...
    ###############################
    # End of your code
    #############################
def forward(self, x):
    residual = x
    Hints: if self.skip connection is True, you should add residual to x
    Recollect the pipline of the MBConvN: residual -> exapnd -> depthwis
    -> pointwise conv -> skip connection
    #####################################
    # TODO: your code here#
    ###########################
    x = \dots
    ##########################
    # End of your code
    #########################
    return x
```

```
self.fc1
      )
    else:
      self.classifier = nn.Sequential(
          nn.Dropout(dropout rate),
          self.fc1
      )
def forward(self, x):
    x = self.features(x)
    x = self.avgpool(x)
    x = self.classifier(x.view(x.shape[0], -1))
    return x
def _feature_extractor(self, width_mult, depth_mult, last_channel, fixed
    channels = 4*ceil(int(32*width mult) / 4)
    layers = [ConvBnAct(3, channels, kernel size = 3, stride = 2, paddin
    in_channels = channels
    # These are from the paper
    kernels = [3, 3, 5, 3, 5, 5, 3]
    expansions = [1, 6, 6, 6, 6, 6, 6]
    num channels = [16, 24, 40, 80, 112, 192, 320]
    num layers = [1, 2, 2, 3, 3, 4, 1]
    strides =[1, 2, 2, 2, 1, 2, 1]
    # Scale channels and num layers according to width and depth multipl
    scaled num channels = [4*ceil(int(c*width mult) / 4) for c in num ch
    scaled_num_layers = [int(d * depth_mult) for d in num_layers]
    Hints: save all layers in the list `layers` and we will use nn.Seque
    You first use a for loop to iterate through all scaled number of lay
    you use another for loop to iterate through all scaled number of cha
    append a MBConvN block to the list `layers`. Note that the first MBC
    should have a stride of `strides[i]` and the rest should have a stri
    block in each iteration should have an input channel of `in channels
    channel of `scaled num channels[i]`. After each iteration, you updat
    `scaled num channels[i]`.
    ############################
    # TODO: your code here#
    ####################################
    layers = []
    #############################
    # End of your code
    #############################
    layers.append(ConvBnAct(in_channels, last_channel, kernel_size = 1,
```

```
return nn.Sequential(*layers)
```

```
In []: # unit test for efficientnet
def test_efficientnet():
    data_iterator = iter(testloader)
    images, labels = next(data_iterator)
    x = images[:2]
    net = EfficientNet(fixed_params=True)
    y = net(x)
    y_test = y[:,0].detach()
    y_true = torch.tensor([-3.4425, 9.3575])
    assert torch.allclose(y_test,y_true,atol = 1e-3)

test_efficientnet()
```

```
In []: # Compound scaling factors for efficient—net family.
efficient_net_config = {
    # tuple of width multiplier, depth multiplier, resolution, and Survival
    # from the paper
    "b0": (1.0, 1.0, 224, 0.2),
    "b1": (1.0, 1.1, 240, 0.2),
    "b2": (1.1, 1.2, 260, 0.3),
    "b3": (1.2, 1.4, 300, 0.3),
    "b4": (1.4, 1.8, 380, 0.4),
    "b5": (1.6, 2.2, 456, 0.4),
    "b6": (1.8, 2.6, 528, 0.5),
    "b7": (2.0, 3.1, 600, 0.5)
}
```

Finally we're going to train our implemented model. Follow the code instruction below to train the model. We recommend to use GPU to train the model.

```
In []: def calculate_loss_and_accuracy(model, dataloader, size_of_dataset, criteric

# Now set model to validation mode.
running_loss = 0
running_accuracy = 0

# Processing the Test Loader
for (inputs, labels) in dataloader:

# Load data to device.
inputs = inputs.to(device)
labels = labels.to(device)

# Outputs
outputs = model(inputs)
__, preds = torch.max(outputs, 1)

# Outputs
```

```
outputs = model(inputs)
       , preds = torch.max(outputs, 1)
       # Loss and Backpropagation.
       loss = criterion(outputs, labels)
       # Statistics
       running loss += loss.item()*inputs.size(0)
       running_accuracy += torch.sum(preds == labels.data)
   epoch loss = running loss/size of dataset
   epoch_accuracy = running_accuracy/size_of_dataset
   return epoch loss, epoch accuracy
def train(model, criterion, optimizer, scheduler, num of epochs):
   best_model_wts = copy.deepcopy(model.state_dict())
   best acc = 0.0
   track_training_loss = []
   track_val_loss = []
   track_val_acc = []
   for epoch in range(num_of_epochs):
       print(f'\nEpoch {epoch + 1}/{num of epochs}')
       print('-'*30)
       model.train() # Setting model to train.
       running loss = 0
       running_accuracy = 0
       # Processing the Train Loader
       for (inputs, labels) in trainloader:
            1.1.1
           Load data to device.
           Hints: use .to(device) to load data to device
           remember to zero the parameter gradients
           #######################
           # TODO: your code here#
           ##############################
           raise NotImplementedError("Training not implemented")
           # End of your code
           #######################
           # Outputs
           outputs = model(inputs)
           _ , preds = torch.max(outputs, 1)
            1.1.1
```

```
Loss and Backpropagation.
        Hints: use criterion to calculate loss
        remember to perform backpropagation
        #############################
        # TODO: your code here#
        #####################################
        raise NotImplementedError("Training not implemented")
        ############################
        # End of your code
        ##########################
        # Statistics
        running loss += loss.item()*inputs.size(0)
        running accuracy += torch.sum(preds == labels.data)
    scheduler.step()
    epoch loss = running loss/len(trainset)
    epoch_accuracy = running_accuracy/len(trainset)
    track_training_loss.append(epoch_loss) # Loss Tracking
    print(f'Training Loss: {epoch_loss:.4f} Training Acc.: {epoch_accura
    # Now set model to validation mode.
    model.eval()
    val loss, val accuracy = calculate loss and accuracy(model, valloade
    track val loss.append(val loss)
    track val acc.append(val accuracy)
    if val accuracy > best acc:
        print("Found better model...")
        print('Updating the model weights....\n')
        print(f'Val Loss: {val loss:.4f} Val Acc.: {val accuracy:.4f}\n'
        best acc = val accuracy
        best_model_wts = copy.deepcopy(model.state_dict())
model.load_state_dict(best_model_wts) # update model
return model, track val loss, track val acc
```

```
In [ ]: device = torch.device('cuda')
        NUM OF CLASSES = 10
        BATCH SIZE = 32
        NUM OF EPOCHS = 30
        # Initialize Efficientnet model
        # We are training the b2 version here
        version = 'b2'
        width mult, depth mult, res, dropout rate = efficient net config[version]
        model = EfficientNet(width_mult, depth_mult, dropout_rate, num_classes = NUM
        model = model.to(device) # Load model to device.
        # Criterion.
        criterion = nn.CrossEntropyLoss()
        optimizer = optim.AdamW(model.parameters(), lr=1e-6, weight decay=1e-2)
        exp lr scheduler = optim.lr scheduler.OneCycleLR(optimizer, max lr=1e-2,
                                                      steps per epoch=len(trainloader
        # Training
        best_model = train(model = model,
                            criterion = criterion,
                            optimizer = optimizer,
                            scheduler = exp_lr_scheduler,
                           num_of_epochs = NUM_OF_EPOCHS
```

Question: What is the best accuracy you can get? What is the best accuracy you can get with the same number of parameters as the EfficientNet-B2 model? Feel free to use different models and find the one with the best validation accuracy.

Now, let us compare with some other recent architecture models, which torchvision conveniently packages. Generally, we want to consider 2 things, the number of parameters, and the actual performance of the architecture.

For this, let us consider densenet121, mobilenetv2 and resNet50, which are all fairly recent models.

#### Warning: this part takes roughly half an hour to train

```
In []: densenet121 = torchvision.models.densenet121(weights = False).to(device)
    mobilenetv2 = torchvision.models.mobilenet_v2(weights = False).to(device)
    resNet50 = torchvision.models.resnet50(weights = False).to(device)

In []: def calculate_parameters(model, model_name):
    num_params = sum(p.numel() for p in model.parameters() if p.requires_grad)
    print("%s has %s params" %(model_name, num_params))
    return num_params

In []: dense_params = train_model(densenet121)

In []: mobile_params = train_model(mobilenetv2)

In []: res_params = train_model(resNet50)
```

```
In []: den_model, den_losses, den_acc = dense_params
    mobile_model, mobile_losses, mobile_acc = mobile_params
    res_model, res_losses, res_acc = res_params

In []: den_acc = np.array([i.to('cpu').numpy() for i in den_acc])
    mobile_acc = np.array([i.to('cpu').numpy() for i in mobile_acc])
    res_acc = np.array([i.to('cpu').numpy() for i in res_acc])

In []: best_model, efficientnet_val_losses, efficientnet_val_accs = best_model
    efficientnet_val_accs = np.array([i.to('cpu').numpy() for i in efficientnet_
```

Let us see how EfficientNet performs against them!

```
In []: plt.plot(range(NUM_OF_EPOCHS), efficientnet_val_accs, label = 'efficientneth
    plt.plot(range(NUM_OF_EPOCHS), den_acc, label = 'densenet121')
    plt.plot(range(NUM_OF_EPOCHS), mobile_acc, label = 'mobilenetv2')
    plt.plot(range(NUM_OF_EPOCHS), res_acc, label = 'resNet50')
    plt.title("Validation Accuracy vs. Epochs on CIFAR, Models")
    plt.xlabel("Epochs")
    plt.ylabel("Validation Accuracy")
    plt.legend()
    plt.show()
```

Question: Looking at the accuracy of EfficientNet compared to other state-of-the-art model architectures, how does the validation accuracy compare? Anything else interesting you've noticed about these plots?

We now examine the number of parameters within the different models. We provide a calculate\_parameters function to just sum all the parameters for a model. We also provide some code for a quick bar plot.

```
In []: def calculate_parameters(model, model_name):
    num_params = sum(p.numel() for p in model.parameters() if p.requires_grad)
    print("%s has %s params" %(model_name, num_params))
    return num_params

In []: eff_num_params = calculate_parameters(best_model, "efficientnetb2")
    den_num_params = calculate_parameters(den_model, "densenet121")
    mob_num_params = calculate_parameters(mobile_model, "mobilenetv2")
    res_num_params = calculate_parameters(res_model, "resNet50")

In []: plt.bar(['efficientnetb2', 'densenet121', 'mobilenetv2', 'resNet50'], [eff_n plt.legend()
    plt.title("Number of Params vs. Model")
    plt.xlabel("Model")
    plt.ylabel("Number of Parameters")
    plt.show()
```

Question: Examine the number of parameters of the different models, does anything stand out to you? If we take this as context, is there anything you want to comment about the validation performance of the models?

This is the end of the notebook. We hope you've learned something about EfficientNet!

## References

[1] Mingxing Tan, Quoc V. Le. EfficientNet: Rethinking Model Scaling for Convolutional Neural Networks. https://arxiv.org/abs/1905.11946