# Lecture 5: Representation Learning

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Couse webpage: <a href="https://uclanlp.github.io/CS269-17/">https://uclanlp.github.io/CS269-17/</a>

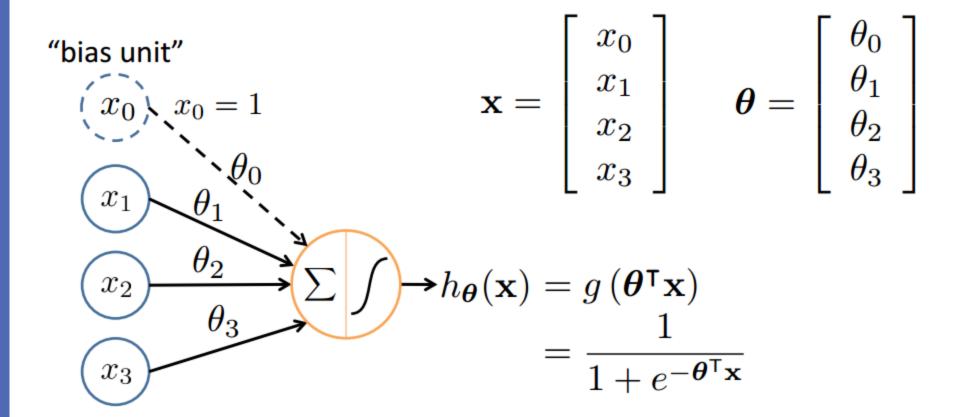


#### This lecture

- Review: Neural Network
- Recurrent NN
- Representation learning in NLP



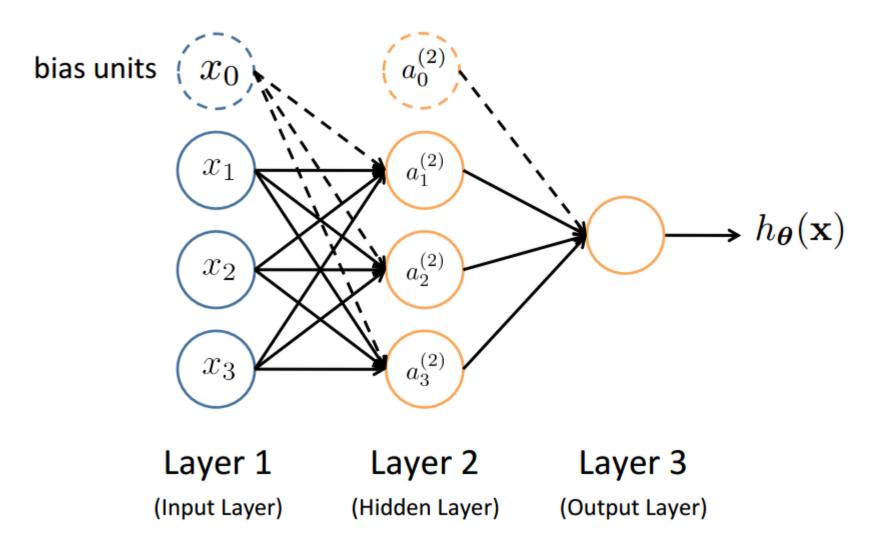
#### Neural Network



Sigmoid (logistic) activation function: 
$$g(z) = \frac{1}{1 + e^{-z}}$$



## Neural Network (feed forward)



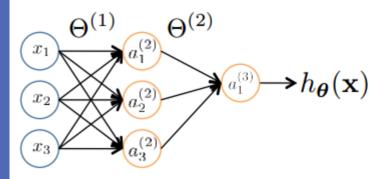


#### Feed-Forward Process

Input layer units are features (in NLP, e.g., words)

- Working forward through the network, the input function is applied to compute the input value
  - E.g., weighted sum of the input
- The activation function transforms this input function into a final value
  - Typically a nonlinear function (e.g, sigmoid)





$$a_i^{(j)}$$
 = "activation" of unit  $i$  in layer  $j$ 

 $\Theta^{(j)}$  = weight matrix controlling function mapping from layer j to layer j + 1

$$a_{1}^{(2)} = g(\Theta_{10}^{(1)}x_{0} + \Theta_{11}^{(1)}x_{1} + \Theta_{12}^{(1)}x_{2} + \Theta_{13}^{(1)}x_{3})$$

$$a_{2}^{(2)} = g(\Theta_{20}^{(1)}x_{0} + \Theta_{21}^{(1)}x_{1} + \Theta_{22}^{(1)}x_{2} + \Theta_{23}^{(1)}x_{3})$$

$$a_{3}^{(2)} = g(\Theta_{30}^{(1)}x_{0} + \Theta_{31}^{(1)}x_{1} + \Theta_{32}^{(1)}x_{2} + \Theta_{33}^{(1)}x_{3})$$

$$h_{\Theta}(x) = a_{1}^{(3)} = g(\Theta_{10}^{(2)}a_{0}^{(2)} + \Theta_{11}^{(2)}a_{1}^{(2)} + \Theta_{12}^{(2)}a_{2}^{(2)} + \Theta_{13}^{(2)}a_{3}^{(2)})$$

If network has  $s_j$  units in layer j and  $s_{j+1}$  units in layer j+1, then  $\Theta^{(j)}$  has dimension  $s_{j+1}\times (s_j+1)$  .

$$\Theta^{(1)} \in \mathbb{R}^{3 \times 4} \qquad \Theta^{(2)} \in \mathbb{R}^{1 \times 4}$$

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#### Vector Representation

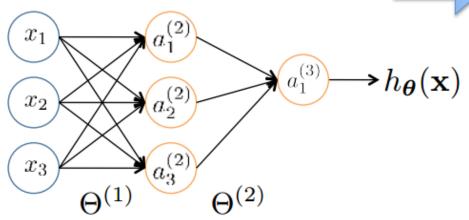
$$a_{1}^{(2)} = g\left(\Theta_{10}^{(1)}x_{0} + \Theta_{11}^{(1)}x_{1} + \Theta_{12}^{(1)}x_{2} + \Theta_{13}^{(1)}x_{3}\right) = g\left(z_{1}^{(2)}\right)$$

$$a_{2}^{(2)} = g\left(\Theta_{20}^{(1)}x_{0} + \Theta_{21}^{(1)}x_{1} + \Theta_{22}^{(1)}x_{2} + \Theta_{23}^{(1)}x_{3}\right) = g\left(z_{2}^{(2)}\right)$$

$$a_{3}^{(2)} = g\left(\Theta_{30}^{(1)}x_{0} + \Theta_{31}^{(1)}x_{1} + \Theta_{32}^{(1)}x_{2} + \Theta_{33}^{(1)}x_{3}\right) = g\left(z_{3}^{(2)}\right)$$

$$h_{\Theta}(\mathbf{x}) = g\left(\Theta_{10}^{(2)}a_{0}^{(2)} + \Theta_{11}^{(2)}a_{1}^{(2)} + \Theta_{12}^{(2)}a_{2}^{(2)} + \Theta_{13}^{(2)}a_{3}^{(2)}\right) = g\left(z_{1}^{(3)}\right)$$





#### Feed-Forward Steps:

$$\mathbf{z}^{(2)} = \Theta^{(1)}\mathbf{x}$$

$$\mathbf{a}^{(2)} = g(\mathbf{z}^{(2)})$$

$$\text{Add } a_0^{(2)} = 1$$

$$\mathbf{z}^{(3)} = \Theta^{(2)}\mathbf{a}^{(2)}$$

$$h_{\Theta}(\mathbf{x}) = \mathbf{a}^{(3)} = g(\mathbf{z}^{(3)})$$

#### Can extend to multi-class



**Pedestrian** 



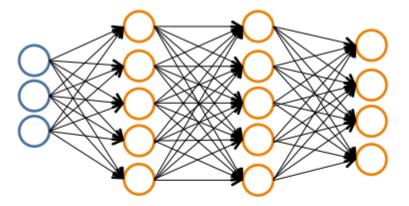
Car



Motorcycle



Truck



$$h_{\Theta}(\mathbf{x}) \in \mathbb{R}^K$$

#### We want:

$$h_{\Theta}(\mathbf{x}) pprox \begin{bmatrix} 1\\0\\0\\0 \end{bmatrix} \qquad h_{\Theta}(\mathbf{x}) pprox \begin{bmatrix} 0\\1\\0\\0 \end{bmatrix} \qquad h_{\Theta}(\mathbf{x}) pprox \begin{bmatrix} 0\\0\\1\\0 \end{bmatrix} \qquad h_{\Theta}(\mathbf{x}) pprox \begin{bmatrix} 0\\0\\1\\0 \end{bmatrix}$$

when pedestrian

$$h_{\Theta}(\mathbf{x}) pprox \left[ egin{array}{c} 0 \\ 1 \\ 0 \\ 0 \end{array} 
ight]$$

when car

$$h_{\Theta}(\mathbf{x}) pprox \left[ egin{array}{c} 0 \\ 0 \\ 1 \\ 0 \end{array} \right]$$

when motorcycle

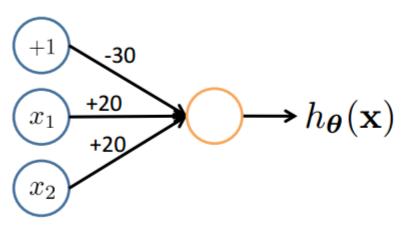
$$h_{\Theta}(\mathbf{x}) \approx \begin{bmatrix} 0 \\ 0 \\ 0 \\ 1 \end{bmatrix}$$

when truck

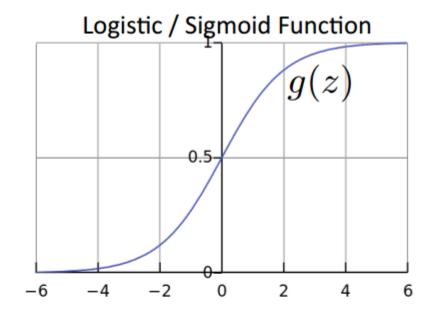
### Why staged predictions?

#### Simple example: AND

$$x_1, x_2 \in \{0, 1\}$$
  
 $y = x_1 \text{ AND } x_2$ 

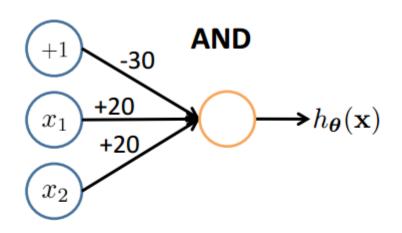


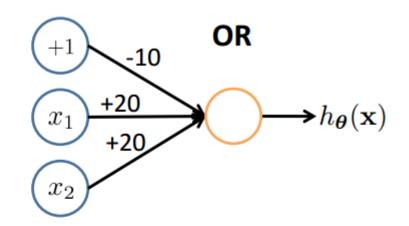
$$h_{\Theta}(\mathbf{x}) = g(-30 + 20x_1 + 20x_2)$$

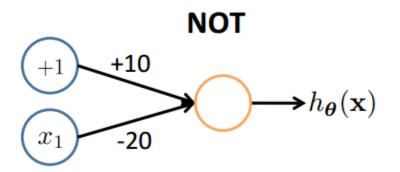


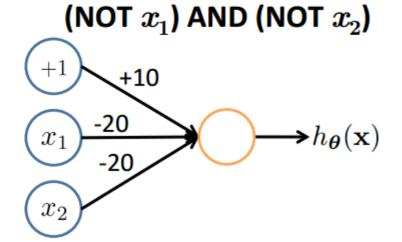
$x_1$	$x_2$	$h_{\Theta}(\mathbf{x})$
0	0	<i>g</i> (-30) ≈ 0
0	1	<i>g</i> (-10) ≈ 0
1	0	<i>g</i> (-10) ≈ 0
1	1	$q(10) \approx 1$





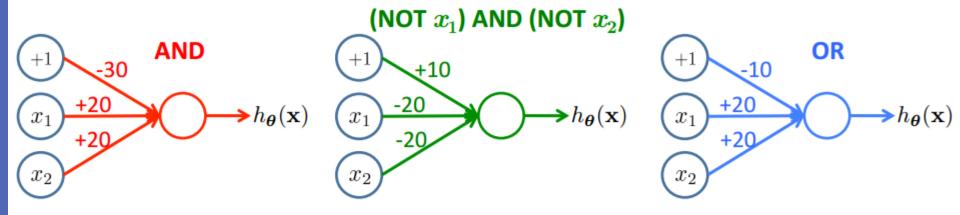


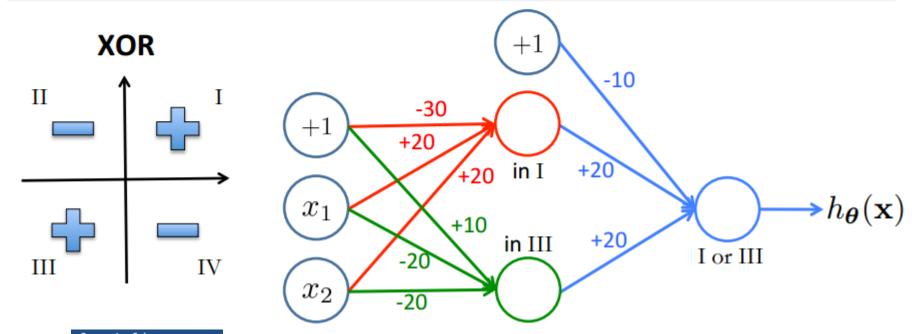






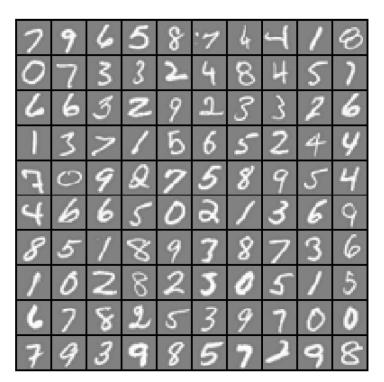
## Combining Representations to Create Non-Linear Functions

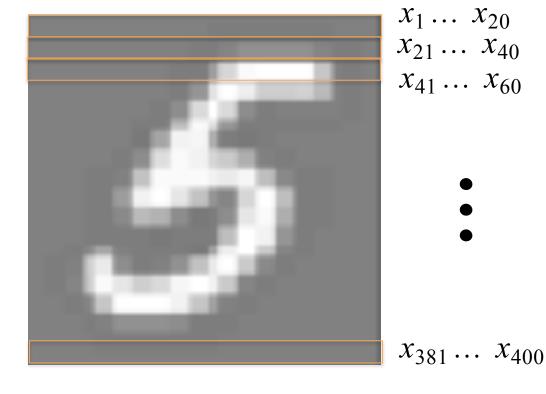




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Based on example by Andrew Ng

### Layering Representations



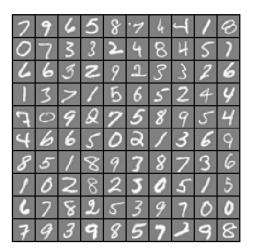


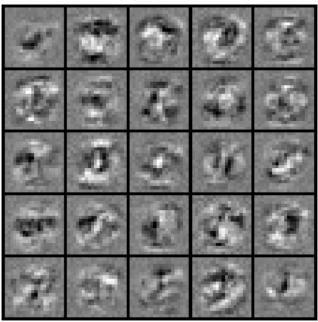
$$20 \times 20$$
 pixel images  $d = 400$  10 classes

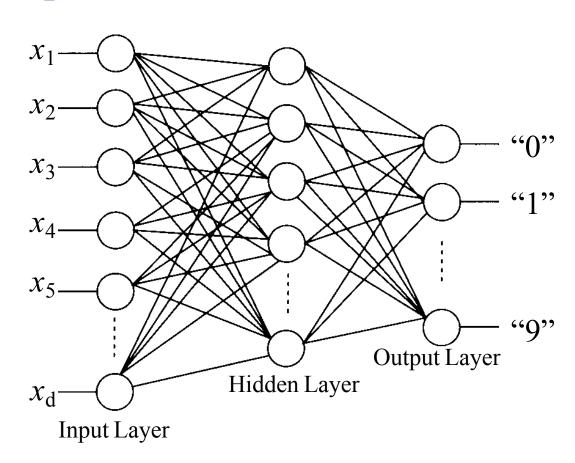
Each image is "unrolled" into a vector x of pixel intensities



### Layering Representations







Visualization of Hidden Layer

#### This lecture

- Review: Neural Network
  - Learning NN
- Recursive and Recurrent NN
- Representation learning in NLP



## Stochastic Sub-gradient Descent

#### Given a training set $\mathcal{D} = \{(x, y)\}$

- 1. Initialize  $\mathbf{w} \leftarrow \mathbf{0} \in \mathbb{R}^n$
- 2. For epoch 1...T:
- 3. For (x,y) in  $\mathcal{D}$ :
- 4. Update  $w \leftarrow w \eta \nabla f(\theta)$
- 5. Return  $\theta$



#### **Cost Function**

$$f(\theta) = J(\theta) + g(\theta), \qquad g(\theta) = \gamma \theta^T \theta$$

#### Logistic Regression:

$$J(\theta) = -\frac{1}{n} \sum_{i=1}^{n} [y_i \log h_{\theta}(\mathbf{x}_i) + (1 - y_i) \log (1 - h_{\theta}(\mathbf{x}_i))] + \frac{\lambda}{2n} \sum_{j=1}^{d} \theta_j^2$$

#### **Neural Network:**

$$\begin{split} h_{\Theta} &\in \mathbb{R}^{K} & (h_{\Theta}(\mathbf{x}))_{i} = i^{th} \text{output} \\ J(\Theta) &= -\frac{1}{n} \left[ \sum_{i=1}^{n} \sum_{k=1}^{K} y_{ik} \log \left( h_{\Theta}(\mathbf{x}_{i}) \right)_{k} + (1 - y_{ik}) \log \left( 1 - (h_{\Theta}(\mathbf{x}_{i}))_{k} \right) \right] \\ &+ \frac{\lambda}{2n} \sum_{l=1}^{L-1} \sum_{i=1}^{s_{l-1}} \sum_{j=1}^{s_{l}} \left( \Theta_{ji}^{(l)} \right)^{2} & \begin{bmatrix} k^{th} \text{ class: true, predicted} \\ \text{not } k^{th} \text{ class: true, predicted} \end{bmatrix} \end{split}$$

#### Optimizing the Neural Network

$$J(\Theta) = -\frac{1}{n} \left[ \sum_{i=1}^{n} \sum_{k=1}^{K} y_{ik} \log(h_{\Theta}(\mathbf{x}_{i}))_{k} + (1 - y_{ik}) \log(1 - (h_{\Theta}(\mathbf{x}_{i}))_{k}) \right]$$

$$+ \frac{\lambda}{2n} \sum_{l=1}^{L-1} \sum_{i=1}^{s_{l-1}} \sum_{j=1}^{s_{l}} \left(\Theta_{ji}^{(l)}\right)^{2}$$

Solve via:  $\min_{\Theta} J(\Theta)$ 

 $J(\Theta)$  is not convex, so GD on a neural net yields a local optimum

But, tends to work well in practice

#### Need code to compute:

- $J(\Theta)$
- $\bullet \frac{\partial}{\partial \Theta_{ij}^{(l)}} J(\Theta)$

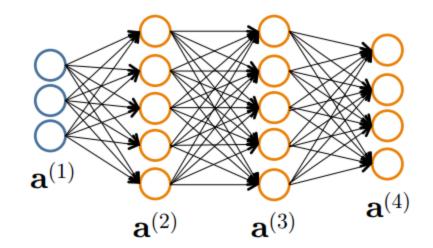


## Forward Propagation

• Given one labeled training instance  $(\mathbf{x}, y)$ :

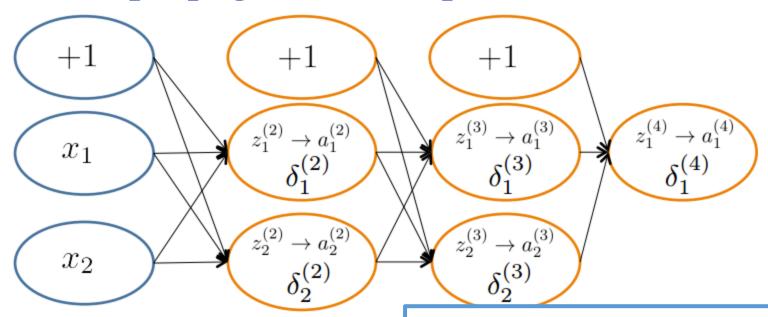
#### **Forward Propagation**

- $a^{(1)} = x$
- $\mathbf{z}^{(2)} = \Theta^{(1)} \mathbf{a}^{(1)}$
- $\mathbf{a}^{(2)} = g(\mathbf{z}^{(2)})$  [add  $\mathbf{a}_0^{(2)}$ ]
- $\mathbf{z}^{(3)} = \Theta^{(2)} \mathbf{a}^{(2)}$
- $\mathbf{a}^{(3)} = g(\mathbf{z}^{(3)})$  [add  $\mathbf{a}_0^{(3)}$ ]
- $\mathbf{z}^{(4)} = \Theta^{(3)} \mathbf{a}^{(3)}$
- $\mathbf{a}^{(4)} = \mathbf{h}_{\Theta}(\mathbf{x}) = g(\mathbf{z}^{(4)})$





#### Backpropagation: Compute Gradient



$$rac{d}{dt}f(g(t)) = f'(g(t))g'(t) = rac{df}{dg} \cdot rac{dg}{dt}$$

 $\delta_j^{\,(l)}=$  "error" of node j in layer l

Formally, 
$$\delta_j^{(l)} = \frac{\partial}{\partial z_j^{(l)}} \mathrm{cost}(\mathbf{x}_i)$$

where  $cost(\mathbf{x}_i) = y_i \log h_{\Theta}(\mathbf{x}_i) + (1 - y_i) \log(1 - h_{\Theta}(\mathbf{x}_i))$ 

Based on slide by Angrew Ng

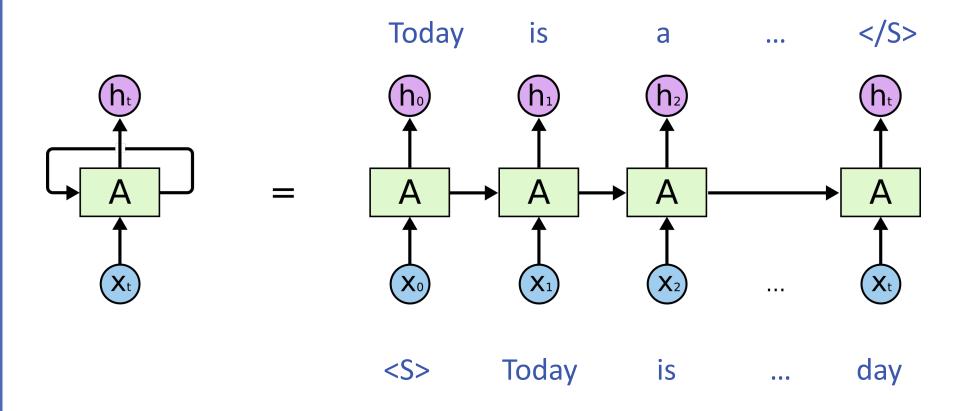
#### This lecture

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#### How to deal with input with variant size?

#### Use same parameters





#### Recurrent Neural Networks

Feed-forward NN

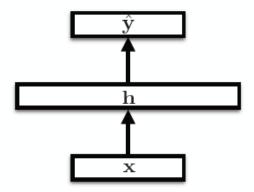
$$\mathbf{h} = g(\mathbf{V}\mathbf{x} + \mathbf{c})$$

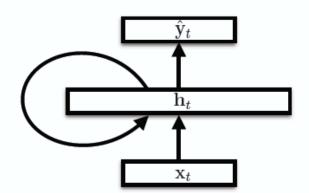
$$\hat{\mathbf{y}} = \mathbf{W}\mathbf{h} + \mathbf{b}$$

Recurrent NN

$$\mathbf{h}_t = g(\mathbf{V}\mathbf{x}_t + \mathbf{U}\mathbf{h}_{t-1} + \mathbf{c})$$

$$\hat{\mathbf{y}}_t = \mathbf{W}\mathbf{h}_t + \mathbf{b}$$





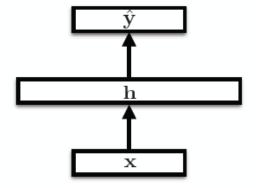


#### Recurrent Neural Networks

#### Feed-forward NN

$$\mathbf{h} = g(\mathbf{V}\mathbf{x} + \mathbf{c})$$

$$\hat{\mathbf{y}} = \mathbf{W}\mathbf{h} + \mathbf{b}$$

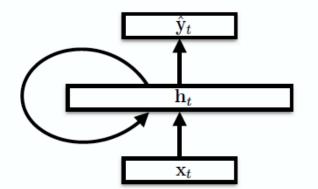


#### Recurrent NN

$$\mathbf{h}_t = g(\mathbf{V}\mathbf{x}_t + \mathbf{U}\mathbf{h}_{t-1} + \mathbf{c})$$

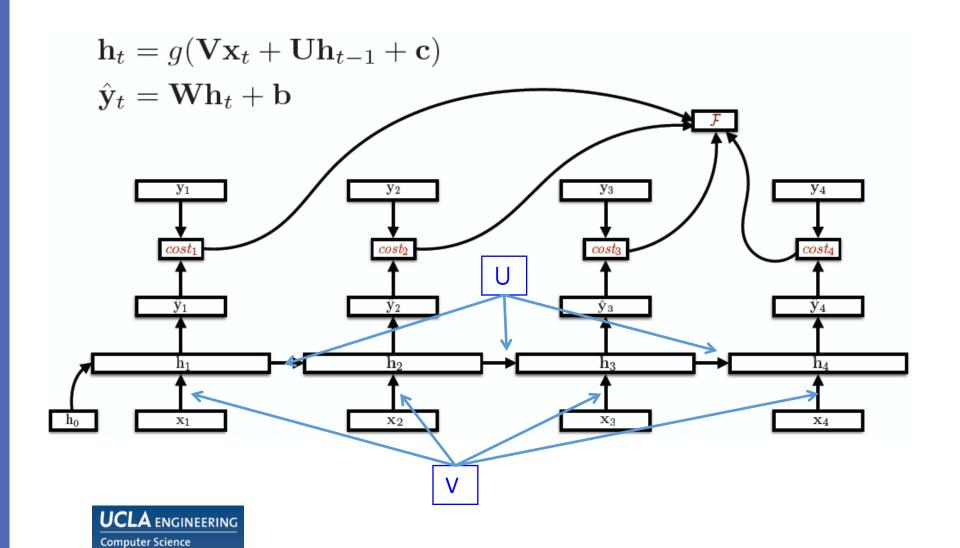
$$\mathbf{h}_t = g(\mathbf{V}[\mathbf{x}_t; \mathbf{h}_{t-1}] + \mathbf{c})$$

$$\hat{\mathbf{y}}_t = \mathbf{W}\mathbf{h}_t + \mathbf{b}$$



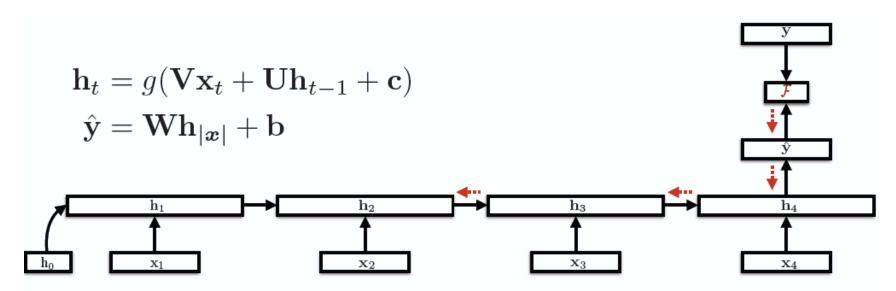


#### **Unroll RNNs**



## RNN training

Back-propagation over time



What happens to gradients as you go back in time?

$$\frac{\partial \mathbf{h}_3}{\partial \mathbf{h}_2} \frac{\partial \mathbf{h}_4}{\partial \mathbf{h}_3} \frac{\partial \hat{\mathbf{y}}}{\partial \mathbf{h}_4} \frac{\partial \mathcal{F}}{\partial \hat{\mathbf{y}}} \frac{\partial \mathcal{F}}{\partial \mathcal{F}}$$



## Vanishing Gradients

- For the traditional activation functions, each gradient term has the value in range (-1, 1).
- Multiplying n of these small numbers to compute gradients
- The longer the sequence is, the more severe the problems are.

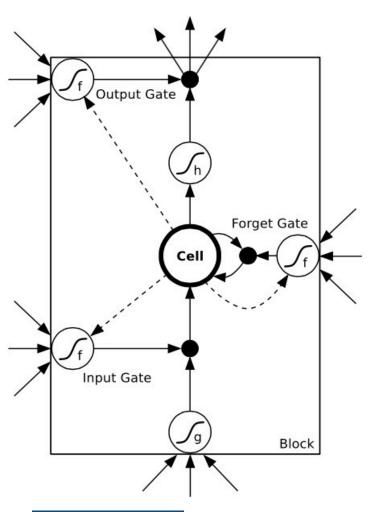


#### RNNs characteristics

- Model hidden states (input) dependencies
- Errors "back propagation over time"
- Feature learning methods
- Vanishing gradient problem: cannot model long-distant dependencies of the hidden states.



## Long-Short Term Memory Networks (LSTMs)



$$\begin{pmatrix} \mathbf{i}_t \\ \mathbf{f}_t \\ \mathbf{o}_t \\ \mathbf{g}_t \end{pmatrix} = \begin{pmatrix} \sigma(\mathbf{W}_i[\mathbf{x}_t, \mathbf{h}_t] + \mathbf{b}_i) \\ \sigma(\mathbf{W}_f[\mathbf{x}_t, \mathbf{h}_t] + \mathbf{b}_f) \\ \sigma(\mathbf{W}_o[\mathbf{x}_t, \mathbf{h}_t] + \mathbf{b}_o) \\ f(\mathbf{W}_g[\mathbf{x}_t, \mathbf{h}_t] + \mathbf{b}_g) \end{pmatrix}$$

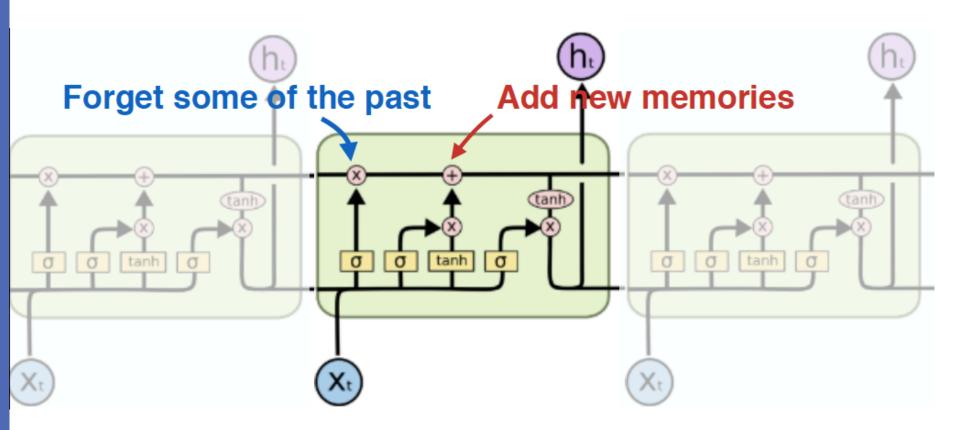
$$\mathbf{c}_t = \mathbf{f}_t * \mathbf{c}_{t-1} + \mathbf{i}_t * \mathbf{g}_t$$

$$\boldsymbol{h}_t = \boldsymbol{o}_t * f(\boldsymbol{c}_t)$$

Use gates to control the information to be added from the input, forgot from the previous memories, and outputted.  $\sigma$  and f are *sigmoid* and *tanh* function respectively, to map the value to [-1, 1]



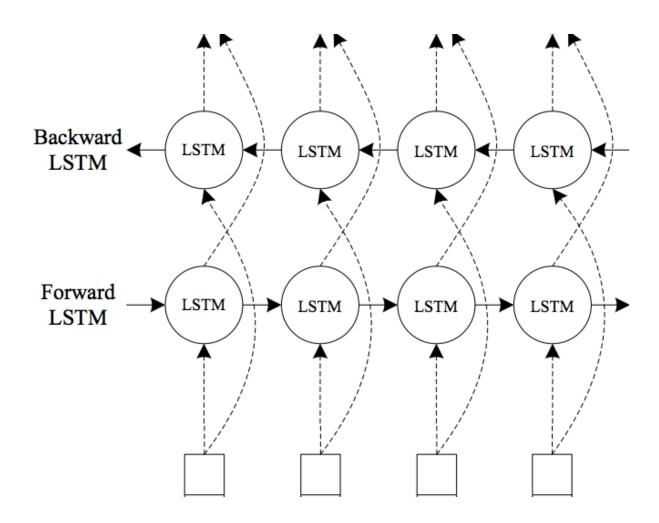
#### **Another Visualization**



Capable of modeling long-distant dependencies between states.

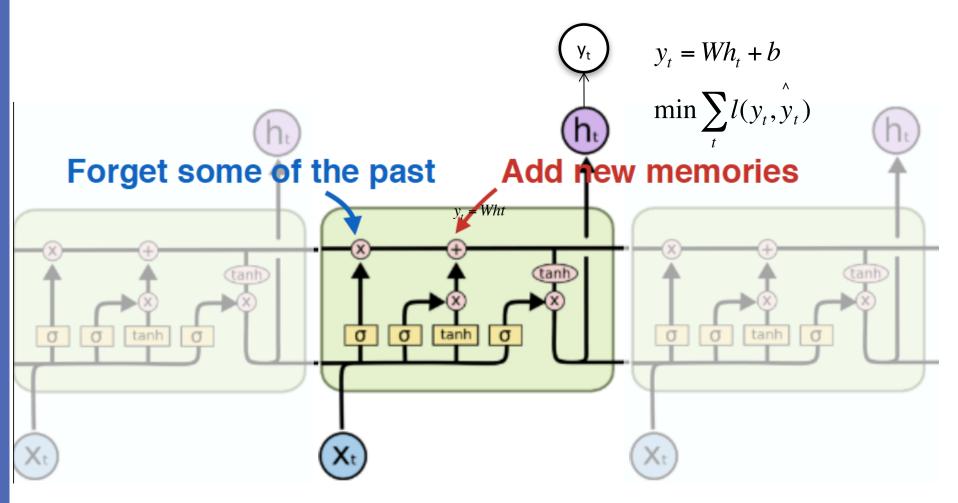


#### **Bidirectional LSTMs**



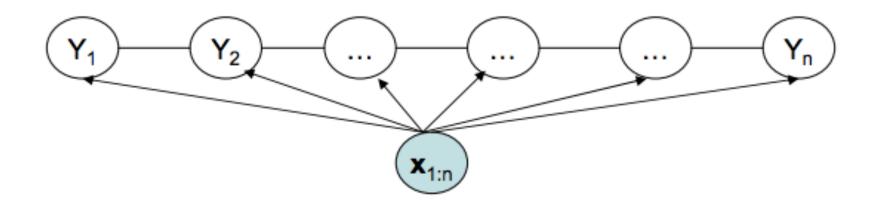


## LSTMs for Sequential Tagging



Computer Science ated model of input + local predictions.

## Recall CRFs for Sequential Tagging



Arbitrary features on the input side

Markov assumption on the output side

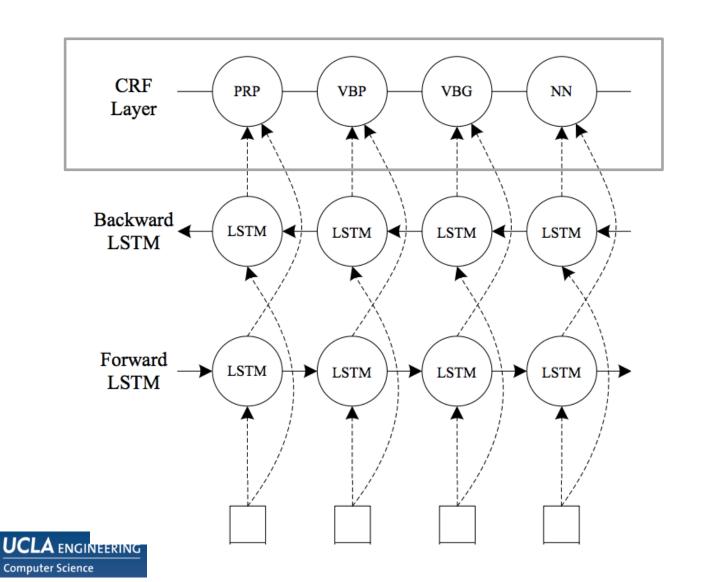


## LSTMs for Sequential Tagging

- Completely ignored the interdependencies of the outputs
  - Will this work? Yes.
    - Liang et. al. (2008), Structure Compilation:
      Trading Structure for Features
  - Is this the best model? Not necessarily.



## Combining CRFs with LSTMs



## Combining Two Benefits

- Directly model output dependencies by CRFs.
- Powerful automatic feature learning using biLSTMs.
- Jointly training all the parameters to "share the modeling responsibilities"

