

# OHP Picture 1

Recap:

- Variance and inbreeding

$$\text{var}(u_i) = (1+F_i) \sigma_u^2$$

with  $F_i$ : inbreeding coefficient  
of animal  $i$

- All information available to predict breeding values  
using BLUP animal model

$$\text{HME: } \begin{bmatrix} X^T X & K^T Z \\ 2^T X & 2^T Z + A^{-1} \lambda \end{bmatrix} \begin{bmatrix} \beta \\ \hat{u} \end{bmatrix} = \begin{bmatrix} X^T y \\ 2^T y \end{bmatrix}$$

with  $\lambda = \frac{\sigma_e^2}{\sigma_u^2}$  )  $A^{-1}$ : inverse numerator  
relationship matrix

# OHP Picture 2

Recap:

- Variance and inbreeding

$$\text{var}(u_i) = (1+F_i) \sigma_u^2$$

with  $F_i$ : inbreeding coefficient  
of animal  $i$

- All information available to predict breeding values

using BLUP animal model

$$\text{MME: } \begin{bmatrix} X^T X & K^T Z \\ Z^T X & Z^T Z + A^{-1} \lambda \end{bmatrix} \begin{bmatrix} \beta \\ \hat{u} \end{bmatrix} = \begin{bmatrix} X^T y \\ Z^T y \end{bmatrix}$$

with  $\lambda = \frac{\sigma_e^2}{\sigma_u^2}$  )  $A^{-1}$ : inverse numerator relationship matrix

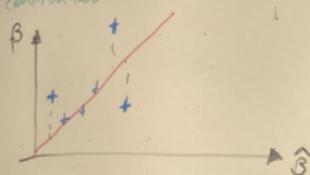
- Predicted breeding values  $\hat{u}$   
are associated with error  
→ Need to quantify error of prediction

# OHP Picture 3

Accuracy: Error is defined as  $\beta - \hat{\beta}$

Fixed effects  $\beta$ : Error is defined as  $\beta - \hat{\beta}$   
where  $\beta$  is the true value of the fixed effect  $\Rightarrow$  unknown  
and  $\hat{\beta}$  is the estimate from MLE

Error can either be positive or negative, simple summation leads to cancellation of positive and negative error contributions



Solution: look at per error variance instead of error.

$$\text{var}(\beta - \hat{\beta}) = \underbrace{\text{var}(\beta)}_{=0} - 2\text{cov}(\beta, \hat{\beta}) + \underbrace{\text{var}(\hat{\beta})}_{=0}$$

because  $\beta$  is fixed

# OHP Picture 4

Random effect  $u$ :

o Variance of prediction errors  $(\hat{u} - u)$

$$\text{var}(\hat{u} - \hat{\hat{u}}) = \text{var}(u) - 2\text{cov}(u, \hat{u}) + \text{var}(\hat{u})$$

• With BLUP, it can be shown that

$$\text{cov}(u, \hat{u}) = \text{var}(\hat{u})$$

$$\Rightarrow \text{var}(\hat{u} - \hat{\hat{u}}) = \text{var}(u) - 2\text{var}(\hat{u}) + \text{var}(\hat{u})$$

$$= \text{var}(u) - \text{var}(\hat{u}) - \underbrace{\text{PEV}(u)}$$

Prediction Error  
Variance of  $\hat{u}$

□ Aim of Prediction of breeding values:

-  $\hat{u}$  and its variance  $(\text{var}(\hat{u}))$  should be as

close as possible to true breeding value  $u$

$\Rightarrow \text{var}(u) - \text{var}(\hat{u})$  is very small  $\Rightarrow \text{PEV of } \hat{u}$   
is very small

# OHP Picture 5

PEV depends on inverse coefficient matrix of MME

$$\begin{bmatrix} X^T R^{-1} X & X^T R^{-1} Z \\ Z^T R^{-1} X & Z^T R^{-1} Z + G^{-1} \end{bmatrix} \begin{bmatrix} \hat{\beta} \\ \hat{u} \end{bmatrix} = \begin{bmatrix} X^T R^{-1} Y \\ Z^T R^{-1} Y \end{bmatrix}$$

Coefficient matrix of MME

$$\begin{bmatrix} X^T R^{-1} X & X^T R^{-1} Z \\ Z^T R^{-1} X & Z^T R^{-1} Z + G^{-1} \end{bmatrix}^{-1} = \begin{bmatrix} C^{11} & C^{12} \\ C^{21} & C^{22} \end{bmatrix}$$

$$\text{PEV}(\hat{u}) = \text{var}(\hat{u}) - \text{var}(\hat{u}) = C^{22}$$

PEV for  $\hat{u}$  of all animals in pedigree

Single animal  $i$ :

$$\text{PEV}(\hat{u}_i) = \underbrace{(C^{22})}_{ii}$$

$i$ th diagonal element of  $C^{22}$

# OHP Picture 6

Accuracy of predicted breeding value  $\hat{u}_i$  for animal  $i$ :

- Measured by the correlation  $r_{u_i \hat{u}_i}$  of the true and the predicted breeding value

$$r_{u_i \hat{u}_i} = \frac{\text{cov}(u_i, \hat{u}_i)}{\sqrt{\text{var}(u_i) \cdot \text{var}(\hat{u}_i)}} \quad \left\{ \begin{array}{l} \text{BLUP: } \text{cov}(u_i, \hat{u}_i) = \text{var}(u_i) \\ \text{REML: } \text{cov}(u_i, \hat{u}_i) = \text{PEV}(u_i) \end{array} \right.$$

$$= \frac{\text{var}(\hat{u}_i)}{\sqrt{\text{var}(u_i) \cdot \text{var}(\hat{u}_i)}}$$

$$= \sqrt{\frac{\text{var}(\hat{u}_i)^2}{\text{var}(u_i) \cdot \text{var}(\hat{u}_i)}} = \sqrt{\frac{\text{var}(\hat{u}_i)}{\text{var}(u_i)}}$$

Use Reliability  $B_i$  instead of accuracy where

(Bestimmt herz's mass)

$$B_i = r_{u_i \hat{u}_i}^2 = \frac{\text{var}(\hat{u}_i)}{\text{var}(u_i)} = \frac{\text{var}(u_i) - \text{PEV}(\hat{u}_i)}{\text{var}(u_i)} = 1 - \frac{\text{PEV}(\hat{u}_i)}{\text{var}(u_i)}$$

# OHP Picture 7

Analysis of Information Content of a predicted breeding value using BLUP animal model

D. Decomposition of predicted breeding value

$$\begin{bmatrix} X^T X & X^T Z \\ Z^T X & Z^T Z + A^{-1} \end{bmatrix} \begin{bmatrix} \hat{\beta} \\ u \end{bmatrix} = \begin{bmatrix} X^T y \\ Z^T y \end{bmatrix}$$

$$M \cdot s = r$$

with  $s = \begin{bmatrix} \hat{\beta} \\ u \end{bmatrix}$  where  $s = \begin{bmatrix} \hat{s}_1 \\ \vdots \\ \hat{s}_p \\ \hat{s}_{p+1} \\ \vdots \\ \hat{s}_{n+p} \end{bmatrix} \left\{ \begin{array}{l} \hat{\beta} \\ \hat{u} \end{array} \right\}$

□ Simplified Model:

$$y_i = \mu + u_i + e_i$$

observation for animal i

# OHP Picture 8

Data		Sire	Dam	y	
Animal					Example:
i	s	d	$y_i$		
$k_1$	i	$d_1$	(		
$k_2$	i	$d_2$	)		
$\vdots$	$\vdots$	$\vdots$			
$k_n$	i	$d_n$	)		

Animal	Sire	Dam	y
1	NA	NA	4.5
2	"	"	2.9
3	o	-n	3.9
4	1	2	3.5
5	4	3	5.0

Model components :  $y_i = \mu + u_i + e_i$

$$y = \lambda u + \beta u + e ; \quad y = \begin{bmatrix} 4.5 \\ 2.9 \\ 3.9 \\ 3.5 \\ 5.0 \end{bmatrix} ; \quad \beta = [1]$$

$$X = \begin{bmatrix} 1 \\ 1 \\ 1 \\ 1 \end{bmatrix}$$

$$\lambda u = \begin{bmatrix} u_1 \\ u_2 \\ u_3 \\ u_4 \\ u_5 \end{bmatrix}$$

$$\beta u = \begin{bmatrix} 1 \\ 1 \\ 1 \\ 1 \\ 1 \end{bmatrix}$$

$$Z = \begin{bmatrix} 1 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 1 \end{bmatrix}$$

# OHP Picture 9

Animal 4:

□ Inspect 5<sup>th</sup> row of MME

$$1 \cdot \hat{u}_4 - 2\hat{u}_1 - 2\hat{u}_2 + 1 \cdot \hat{u}_3 + 6\hat{u}_4 - 2\hat{u}_5 = 3.5 = y_4$$

Solve for  $\hat{u}_4$ :

$$6\hat{u}_4 = y_4 - 1 \cdot \hat{u}_4 + 2\hat{u}_1 + 2\hat{u}_2 - 1 \cdot \hat{u}_3 + 2\hat{u}_5$$

$$\hat{u}_4 = \frac{1}{6} [y_4 - \hat{u}_4 + 2\hat{u}_1 + 2\hat{u}_2 - \hat{u}_3 + 2\hat{u}_5]$$

□ Predicted breeding value  $\hat{u}_4$  of animal 4 depends on:

- observation for animal 4, corrected for fixed effects  $\hat{u}$

- predicted breeding values  $\hat{u}_1$  and  $\hat{u}_2$  of parents 1 and 2

- predicted breeding value  $\hat{u}_3$  of mate 3

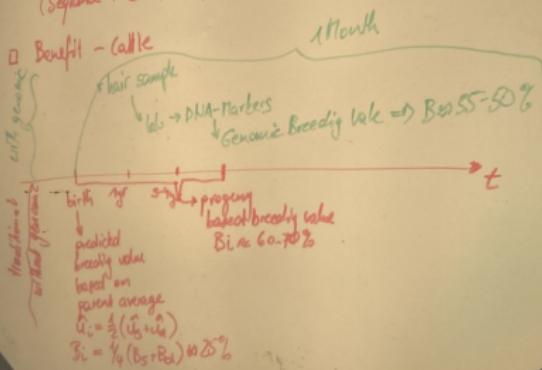
- predicted breeding value  $\hat{u}_5$  of offspring 5

# OHP Picture 10

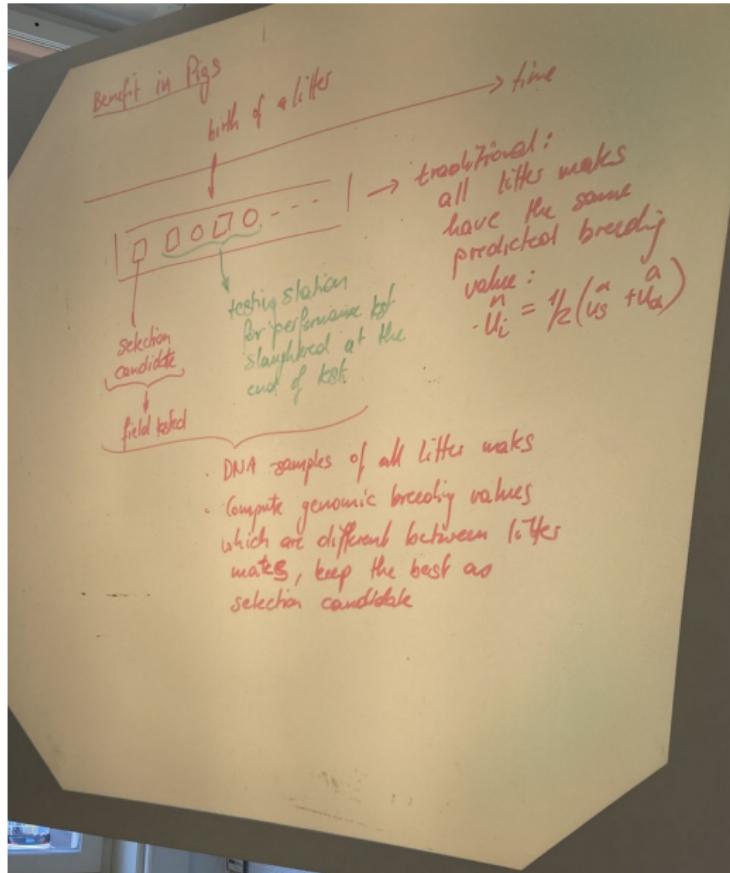
Genomic Breeding Values (GBV)

Using GBV in selection decisions in a breeding program  $\Rightarrow$  Genomic Selection

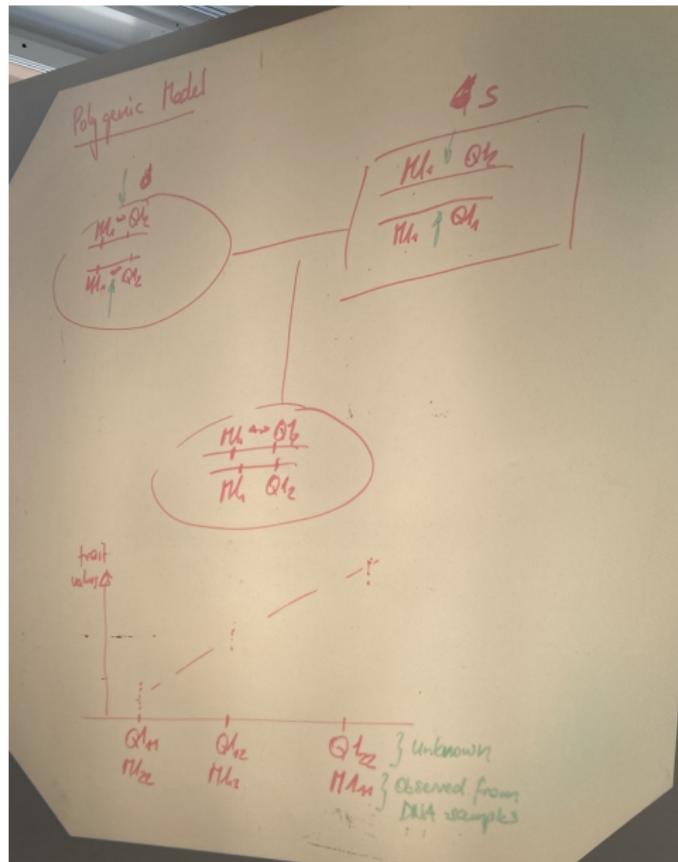
- Term "Genomic" comes from the fact that information from positions across the whole genome are considered. The number of positions (markers) is between 150 k - 800k (Sequence : 20-30 Mio.)



# OHP Picture 11



# OHP Picture 12



# OHP Picture 13

Statistical Models

1) Marker Effect Model (MEM)

2) Breeding-value based Model

