

**École Polytechnique Fédérale de Lausanne**

Environmental Engineering Master Thesis

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# **NATURAL CAPITAL DATA VISUALIZATION TO PROMOTE SUSTAINABLE DECISION MAKING**

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## **ABSTRACT**

### **English**

In order to develop approaches to manage environmental assets sustainably and take nature into account in major decisions, natural capital assessments reveal the specific benefits provided by nature. Effective communication of their results, including clear visualization, is crucial for supporting decision-makers. However, no practical guidance exists to support analysts in synthesizing their results, and visualization tools are scattered. The present work addresses this gap, and aims to facilitate understanding and spread of natural capital assessments, hoping to increase engagement in a field with large opportunities to impact.

First, we gather the existing knowledge on design strategies for displaying complex information through a literature review and surveying analysts in the field. Complex information refers here to the specificities of natural capital data, i.e typically large numbers of maps and aspatial statistics that result from considering multiple objectives, scenarios, and uncertainties.

Secondly, this work scopes the gaps in the field by analyzing and prioritizing the visualization needs, through a survey and interviews. These lead to the development of a toolbox to guide analysts in their task of communicating natural capital information. Furthermore, an innovative tool to display natural capital results, merging knowledge from previous points in the form of a user-friendly, ready-to-use (prototype of a) web application is suggested. In an interactive dashboard combining multiple linked views, this tool allows visualization of tradeoffs between objectives, comparison of scenarios and assessment of uncertainty.

### **Français**

Afin de mieux prendre en compte l'impact de nos choix collectifs sur l'environnement et de développer des méthodes de gestion durable des ressources, on cherche à attribuer au patrimoine naturel une valeur qui traduise les bénéfices que celui-ci procure. Ces analyses du capital naturel produisent des informations complexes et multidimensionnelles reflétant la multiplicité et complexité des services écosystémiques. Afin que les décideurs puissent s'approprier ces résultats, il est important de les communiquer sous une forme facile à apprécier, notamment grâce à des représentations graphiques. Cependant, il n'existe pas à ce jour de d'usages établis pour synthétiser ce type de résultats et il n'est pas évident de choisir l'outil de visualisation adapté à chaque cas. Ce travail vise à guider l'analyste dans ces choix et à faciliter la communication de ce type de travaux, dans l'optique d'accroître le public atteint et l'impact global, dans un domaine à fort potentiel.

Nous décrivons d'abord l'état des connaissances sur les techniques de présentation d'informations complexes à partir d'une revue de la littérature, complétée par une enquête auprès d'analystes de services écosystémiques. Dans ce contexte, "informations complexes" désigne le type de données dont l'analyse du capital naturel traite, typiquement un grand nombre de cartes et de statistiques reflétant plusieurs objectifs écosystémiques, scénarios et incertitudes. Il est souvent utile de représenter, outre les résultats eux-mêmes, le niveau d'incertitude qui les affecte et peut rendre l'optimisation des décisions plus ou moins robuste.

Cette vision d'ensemble conduit à l'identification de lacunes. Pour les combler, un outil innovant est proposé. Les acquis des recherches sus-mentionées sont réunis sous la forme d'une application web permettant de visualiser les zones de compromis entre les objectifs, de comparer des scénarios et d'évaluer l'incertitude grâce à une interface intuitive combinant plusieurs perspectives.

## ACRONYMS & TERMINOLOGY

### Important concepts & terminology<sup>1</sup>

- Natural capital: Natural capital includes all environmental assets, it is the stock of resources, such as rivers, trees, the atmosphere and all living organisms (Natural Capital Scotland Ltd 2015)
- ES: Ecosystem services are the benefits natural capital assets provide to humanity (Cardinale et al. 2012)
- SDU: spatial decision unit, corresponding to a geometric feature such as polygon, pixel, lines or point. In the context of map comparisons, the word “cell” is used in this work for SDU, to match with the literature on the topic. SDU represent the scale at which a discrete spatial decision/intervention is undertaken.
- LULC: land use/land cover
- intervention/activity: an action that can be taken on a spatial decision unit that gets reflected in parameters that feed an ecosystem services model
- portfolio: A set of SDU's and chosen activities for each SDU, emerging from an optimizer, from RIOS, or a participatory prioritization process. Portfolios get overlayed on LULC's to run the model
- scenarios: storylines that describe possible futures (but are not predictions) (Verutes et al. 2015) (e.g. an LULC scenario corresponds to an LULC map that has been changed based on modeled or user-defined changes to represent plausible futures)
- spatial targeting: prioritization of interventions and their location on a landscape, can be undertaken with formal optimization methods, or heuristic or participatory approaches
- SOW: state of the world (scenario with quantitative definition)

### Jargon of the field

- ABM: Agent-Based Modeling (computational modeling of phenomena as dynamical systems of interacting agents)
- API: Application Programming Interface
- EIA: Environmental Impact Assessment
- GIS: Geographical Information Systems
- IWS: investments in watershed services (known as waterfund)
- MOEA: Multi-objective evolutionary algorithm
- MOVA: Multi-objective visual analytics
- OGR: OpenGIS Simple Features Reference Implementation

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<sup>1</sup>The field of ecosystem services suffers some inconsistent terminology in literature (Englund et al. 2017). Hence, an effort is made here to define precisely the terms used, point out synonyms and vague terminology, to avoid confusions.

- OR: Operational research
- PCA: Principal component analysis
- PFF: Production possibilities frontier (economical term for tradeoff curve)
- RO: Robust optimization
- SA: Sensitivity analysis
- SLR: Sea Level Rise
- SDSS: Spatial decision support systems
- SDU: spatial decision unit
- UA: uncertainty analysis

### **Softwares and models**

- CV: Coastal Vulnerability (model)
- HRA: Habitat Risk Assessment (model)
- InVEST: Integrated Valuation of Ecosystem Services and Trade-offs
- GDAL: Geospatial Data Abstraction Library
- MESH: Mapping Ecosystem Services to Human well-being
- RIOS: The Resource Investment Optimization System
- SDR: Sediment delivery ratio (a model in InVEST)
- VIDEO: Visually Interactive Decision-making and Design using Evolutionary Multi-objective Optimization

## INTRODUCTION

*“Often the importance of ecosystem services is widely appreciated only upon their loss”*

*Gretchen Daily, in The Value of Nature and the Nature of Value*

### 1.1 Background & motivation

#### 1.1.1 Ecosystem services

Ecosystem services (ES) are essential gifts from nature making life possible and worthwhile. These include food, water, fuel, fiber, timber, pollination, purification, medicinal plants, inspirational and recreational landscapes among plenty of other examples which may or may not be tangible or obvious. Environmental assets providing ecosystem services are referred to as natural capital. Forests, for example, not only provide a natural carbon storage that helps regulate climate, but also hold soil in place along streams, therefore improving water quality, which benefits people downstream. Habitats in rivers and along coastlines support populations of fish that provide food, including in areas of chronic food insecurity. Biodiversity stabilize ecosystems (Cardinale et al. 2012). Mangroves stabilize shorelines and decrease damage to people and property from storms. Oceans also store carbon.

These services rely on complex interactions of many forms of natural capital; the ecosystems that surround us are essential to our survival and well-being, and are the bedrock of a sustainable economy. Nature and people form the biosphere; caring for one implies to care for the other. Increasingly, we can map the most important forests, coastal habitats and other ecosystems and show how they support us. This is crucial in order to prioritize the use of resources and sustainably manage our natural world. In short, nature is at the source of human well-being: people depend on nature.

However, about 60% of the ecosystem services are being degraded<sup>1</sup> or are not used sustainably. Not only depletion of natural capital is a major loss to the environment, but it harms significantly human

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<sup>1</sup>evaluated by the Millennium Assessments (Percy and Lubchencho 2005).

well-being (Percy and Lubchenko 2005). Furthermore, the relationships between harm to an ecosystem and loss in its services are rarely linear and impacts generally multiply and affect all linked ecosystem process (Cardinale et al. 2012).

As the stock of natural capital decreases, due to clear and increasing human alteration (Vitousek et al. 1997), citizens and scholars are increasingly highlighting the urgency of taking action to protect it. To do so, efficient policy design requires a strong (often quantitative) understanding of ecosystem services functioning.

### 1.1.2 Natural capital sustainable management: a great potential for impact

There is an enormous potential for environmental impact and climate change mitigation through an informed management of natural capital. Natural capital conservation is fully aligned with the 17 UN sustainable development goals, and the Paris agreement (Center for Climate and Energy Solutions (2015), Guardian (2015) among others). So called conservation finance (i.e. a financial investment that aims to conserve the values of the ecosystem for the long term, as defined by Huwyler et al. (2014)) has a large unrealized potential, as noted by the same report. The demand for funding is yet significantly unmet<sup>2</sup>, and the private sector opportunities are widely unexploited. Possible causes explaining this unmet demand include:

- **Measurability of conservation benefits:** Huwyler et al. (2014) notes one major problem: the fact that the ROI of this type of projects are hardly measurable, the same report deplores that the benefits are yet to be precisely identified and standardized. However, this is exactly the problem natural capital assessments tackles, as explained in the following section.
- **Conservation finance is still small:** The conservation investment opportunities, especially for the private sector aren't available at scale. However, banks and asset managers could develop the conservation finance possibilities as part of their impact investment offer. This may actually be a very profitable opportunity, supported by tools such as the Natural Capital Protocol.
- **A sector historically reserved to NGOs and philanthropy:** Traditionally, conservation projects have not focused on economical return on investment in the business model type of way, therefore appealing mostly philanthropy, rather than the banking or private sector.

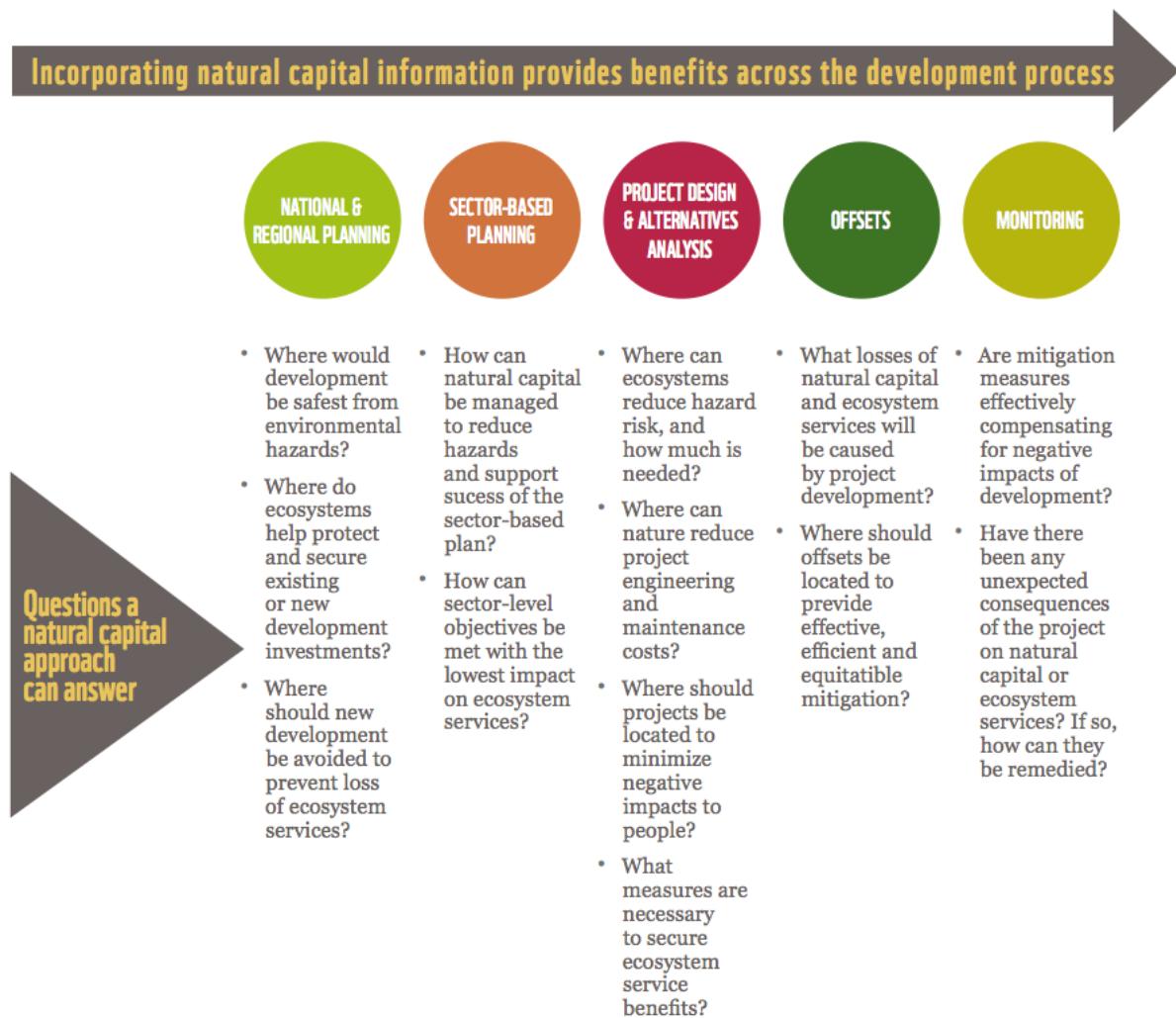
Building on this observation, this study is motivated by the hypothesis that linking the right expertise would allow to bridge the gaps and open the doors to many desired investments and high impact projects: partnering investors looking for long term reliable and meaningful project with cutting-edge scientists modeling the behavior of ecosystems to quantify the costs and benefits from natural capital and its sustainable management. Several initiatives are going in this direction, Credit Suisse for example, expressed their long-term intent of creating a conservation finance asset class (Huwyler et al. 2016). It has been proven to be possible to deliver great conservation impacts while generating returns for investors (Huwyler et al. 2014). Furthermore, Huwyler et al. (2014) also states that "*There would be sufficient financial capital available to meet conservation investment needs if the main investor segments globally allocated 1% of their new and reinvested capital to conservation.*"

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<sup>2</sup>Huwyler et al. (2014) calculates that, to meet the global need for conservation funding, investable cash flows from conservation projects need to be at least 20-30 times greater than they are today, reaching USD 200-300 billion per year.

### 1.1.3 Natural capital assessments

The benefits nature provides to people and the economy are difficult to quantify and often not valued in traditional accounting. Natural capital assessments aim to provide information on the matter; they help to understand the ecosystem services structures, involving quantitative estimates. Valuing ecosystem services aims to cultivate appreciation for the invisible benefits from nature (Guerry 2016), which too often happens only upon their loss (Daily et al. 2000).



**Figure 1.1:** Examples of how a natural capital-based approach can be used to mainstream environmental information throughout the development planning process, contributing to more effective and efficient development planning decisions, from (Mandle et al. 2016)

Because degradation or loss of ecosystem benefits incurs costs, for example for water treatment, erosion control, infrastructure maintenance and disaster relief; they undermine security, prosperity and economic development. Therefore, a thorough understanding of natural capital assets is crucial.

Such an understanding can be achieved through **natural capital assessments**, which locate the

sources of ecosystem services, provide indications for sustainable management, identify and prioritize conservation activities, help build understanding of synergies and trade-offs between the needs and impacts of different projects or sectors, support policy design, and contribute to climate resilience and adaptation planning. These assessments document ecosystem services at different levels, from local ones such as pest control, to regional ones like flood control, to global services such as climate stabilization (Daily et al. 2000). They reveal interdependencies between services, critical points and timescales for degradation and recovery. They are context-dependent and complex (Daily et al. 2000).

Generally, they follow the structure of first identifying the different alternatives or pathways, then quantifying their respective impacts, and finally valuing their respective consequences. A common measuring unit for this last step of valuation is monetary, but outcomes are not always aggregated into monetary terms (Daily et al. 2000). However, an important clarification that must be highlighted when presenting the concept of natural capital, is that it does not aim to be merely putting a price on nature. On the contrary, the natural capital assessments approaches can be thought of as way to correct capitalist society's bias that make decisions based on economical return on investment and often excludes nature in its calculations. Monetary valuation is just one small aspect of natural capital assessment, that helps connect in some contexts of financial planning and comparisons (Guerry 2016).

Based on this understanding, enlightened decisions can be taken to secure these assets in a context of social and economic development (Mandle et al. 2016). In short, natural capital assessments aim to make it easier for nature to become a primary consideration in all decisions, by revealing the specific benefits it provides (Guerry 2016).

#### 1.1.4 Communicating natural capital information

Given their complexity, natural capital assessments involve a substantial understanding of phenomena and their interactions, often requiring significant studies and modeling efforts. However, these efforts will do little to achieve their intended goal of informing decisions unless the insights they generate are effectively communicated to provide usable information to decision-makers. Therefore, the present work aims to put an emphasis on this last step of process, that is, conveying the results – in particular, strategies for visualization, which are often neglected in time-limited projects which had focused on the previous steps.

Visualization is the main focus of this work, because it has been proven to be easier for the brain to understand an image than words or numbers (Cukier 2010). Because of their abilities to synthesize large amounts of data into effective displays (Ware 2000), graphics have been widely used in the literature. Plus, it had been shown that judgement often results from fast and automatic processing, generally prompted by visuals (McMahon et al. 2016) and that combining optimization with visualization promotes design innovations and empowers decision makers with a better understanding of systems behaviors (see for example the work of Kollat and Reed (2007), Reed and Minsker (2004), Fleming et al. (2005), Winer and Bloebaum (2002). More specifically for spatial data, mapping, defined by Englund et al. (2017) as the organization of spatially explicit quantitative information, has proven essential for many assessments of ES (Troy and Wilson 2006). Hauck et al. (2013) showed how maps are tremendously helpful to support proper management of ecosystems and ecosystem services. However, he also brings attention to the fact that they should be used carefully.

Not only visuals, but effective visuals are crucial to achieve the intended goal of informing their audience. This work draws from the widely studied topic of information visualization (by Cleveland, Ware, Tufte among others). Visualizations of large datasets and complex information is a very effective way to conveying knowledge, but it is also non-trivial, which might explain why this topic is given so much attention in the literature.

Visualizations support both data analysis and data presentation. The latter is the focus of this work, which assumes that at least some initial results are generated. However, visualizations are not distinctly intended to serve just one purpose, and the same displays may also be of use for exploratory analysis by the analyst, to help build insight, especially to the extent that both an analyst's own work and their stakeholder engagement are iterative.

Developing visuals that communicate the complexities of natural capital assessments is admittedly difficult, even with existing visual tools and expertise. A preliminary part of this work consisted in identifying the specific challenges related to displaying ES results. ES information consists in varied, large, multi-dimensional datasets, often including large numbers of results that come from considering multiple objectives, scenarios, and uncertainties. These can be categorical or continuous, spatial or aspatial. The main tasks that these displays aim to support include scenario analysis, multi-objective comparisons, and expression of uncertainty. Some cases may require combinations of these tasks.

## 1.2 Objectives

Overall, this work aims to empower analysts and decision-makers with **easy approaches to clear ES visualizations and summaries**: effective solutions to synthesize and communicate spatial, multi-dimensional outputs of multiple runs for multiple ES models. The first objective of this work is to identify the challenges and the needs for an efficient communication of results. In a second phase, the aim is to suggest powerful and innovative solutions to address these points. That is, support the ES analysts and scientists by orienting them to (1) the range of display options available, and when they are suitable and (2) to the range of implementations of these display options to help ease the workflow of creating visualizations. It gathers an overview of existing display tools and builds upon these to improve natural capital visualizations

## 1.3 Outline

This master thesis presents approaches to displaying natural capital information, highlighting diverse examples from the ES community, and providing new illustrations and tools based on specific use cases in Kenya and Hawaii. Chapter 2 describes the adopted methodology, presents the context and use cases on which this work is embedded and detail the corresponding workflow. Chapter 3 provides the background knowledge on the basic principles of data visualization, and also briefly addresses the question of which tools to use. Chapter 4 gathers the existing knowledge on design strategies for displaying complex information, by summarizing an extended literature review that was conducted to explore the design strategies to displaying multi-dimensional, multi-objective, spatial and uncertain data. It suggests solutions for each identified display need, providing examples and tools. Table 4.6.3 summarizes the most common visualization needs and links them to suggested solutions. It is an informative and practical guidance proposing a toolbox and recommendations on best practices for ES analysts. Then, to address some of the identified display needs for which no satisfying tool was found, an innovative display tool

is conceived. It takes inspiration and builds upon existing tools and suggested solutions from chapter 4 to provide a tailored visualization interface suitable to the common issues identified. Its design and implementation is discussed in chapter 5, illustrated by a prototype developed for a specific use case. Finally, section 6 provides a summary of this work, along with a discussion about future steps.

## METHODS & CONTEXT

*“Improving communication in the IPCC reports is by no means an easy task”*  
McMahon et al. (2016)

### 2.1 Approach

#### 2.1.1 Project definition and scoping

Within the initial framework of the project on environmental modeling and data analysis, several potential directions were considered, such as targeting spatial interdependence in the models or assessing the value of detailed data in improving optimization outputs and modeled impacts. However, a thorough exploration of the needs was conducted to understand where this work could bring the most useful contribution. Assessing the needs is a crucial step of the project cycle as it helps identify the most relevant research questions. This preliminary step was achieved during the first month and at the NatCap annual meeting, which was a great opportunity to understand current practices and needs. It resulted in the definition of the project around the topic of display tools for ecosystem services (ES). The question of how to synthesize and communicate spatial and multi-dimensional outputs of multiple runs for multiple ES models would be addressed, the goal being to define easy approaches to clear ES visualizations and summaries.

#### 2.1.2 Background and context

##### 2.1.2.1 Literature review

The first steps to approaching the question of displaying natural capital information were to explore the context, learn notions of data visualization in general and understand the state-of-the art. Background knowledge was gathered from the literature and attending expert's lectures. It turned out this literature review could help answer partly the need for a useful guidance document on ES visualization, by putting together the various approaches and main strategies for displaying ES information.

### 2.1.2.2 Tools market study

Meanwhile, in computational data visualization, the resulting display highly depends on the choice of tool. And it turned out that the available data visualization tools were numerous, varied, overlapping. An exploration of these software and libraries attempted to guide with more clarity towards the choice of an adapted tool. To conduct such a comparison, some of these tools were learned and used.

### 2.1.3 Identification and prioritization of display needs

In order to identify the communication needs of ecosystem services analysts and to understand how well existing needs are met, a survey was crafted, in collaboration with a small team of analysts.

First, based on typical example cases and interviews of professionals in the field of ecosystem services, based at the Natural Capital Project, some display needs were identified. Then this list was refined and completed thanks to the inputs of the broader team. Next, these needs were gathered and organized in a survey document sent out to a broader community of professionals in the field, including scientists, ecologists, hydrologist, economists, analysts and researchers working within the Natural Capital Project. The survey questions can be found in appendix 6.5. A total of 14 answers were collected, where participants assessed, for each identified need, its usefulness, but also commented on their current implementation and the usefulness of improving the current displays. The two tiers were separated as such: one just to assess “demand” or importance of different types of visualization needs (columns D-E-F in green). The second tier gathered details of current approaches for meeting these needs (column G-H-I), to help better assess gaps that can be filled.

The survey was roughly grouped according to 6 thematic issues (eg, portfolio or scenario descriptions, ES model results, beneficiaries), recognizing in practice there are not clear boundaries. 30 different display tasks were listed; they were phrased purposively avoiding specifying the actual visualization in order to elicit needs and current approaches in an unbiased way. It was circulated as a google sheet so that respondents may add rows for needs that would have not been pre-identified.

The 14 respondents were all professionals in the field of ecosystem services, engaging in both academic and applied work. They rated the usefulness of each display tasks<sup>1</sup> both in terms of (1) the need for this type of display in general and (2) the need for an improved implementation to these displays. The former will serve as a basis to point relevant needs for the user guidance to displaying natural capital information (Chapter 4). The latter will allow to identify the areas where better implementations and solutions are required, prioritize between the needs, and will guide the development of a display tool (Chapter 5).

### 2.1.4 Display toolbox

#### 2.1.4.1 Structure

The display needs were organized per theme, in the most logical way that could be found, despite the many overlaps. Once these questions were organized, the aim consisted in answering each effectively. However, the approach of selecting a “best” solution for each display need quickly turned out to be irrelevant as each display need is very specific and context dependent. The alternative was to build an

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<sup>1</sup>On a scale from 0 to 5, with 0 meaning totally useless and 5 totally useful.

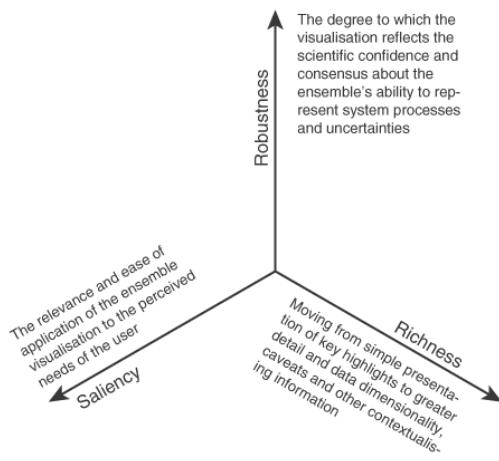
organized toolbox suggesting several strategies for each cases, where the analyst could take inspiration and adapt its solution to fit his or her needs.

The document is intended to be explored and skimmed through, with fast referencing (dynamic links in the pdf document) to related topics and displays. The summarizing table (4.6.3) links the display needs ES analyst can encounter with the suggested solutions.

#### 2.1.4.2 Suggested solutions

Based on the knowledge from the literature review, and the current state of existing visualizations, display solutions were suggested. For the tasks where no satisfying solutions could be found, new ones were developed, or adapted from solutions existing in neighboring fields in the literature.

It is a rather subjective task to assess the quality of suggested solutions. To increase objectivity, several criteria were considered, specifically the imperatives for visualization, defined by (Stephens et al. 2012) in the context of ensemble predictions (figure 2.1), and the criteria of clarity and completeness detailed by Allen et al. (2012), in appendix 6.6.



**Figure 2.1:** Imperatives for visualization, defined by (Stephens et al. 2012) in the context of ensemble predictions: richness, saliency, and robustness.

#### 2.1.5 Development of a web application

Building on the knowledge from the previous chapters, and attempting to partially fill the gaps identified where improved visualizations would be useful, a prototype of a web application was developed. This was done in the context of the multi-objective optimization for the Tana waterfund (detailed in 2.2.2.2). Details about the implementation method and choices can be found in chapter 5. The implemented prototype allow to explore the concept of a similar generic tool.

Information on the master thesis workflow and time allocation can be found in the appendix.

## 2.2 Research context

### 2.2.1 The Natural Capital Project

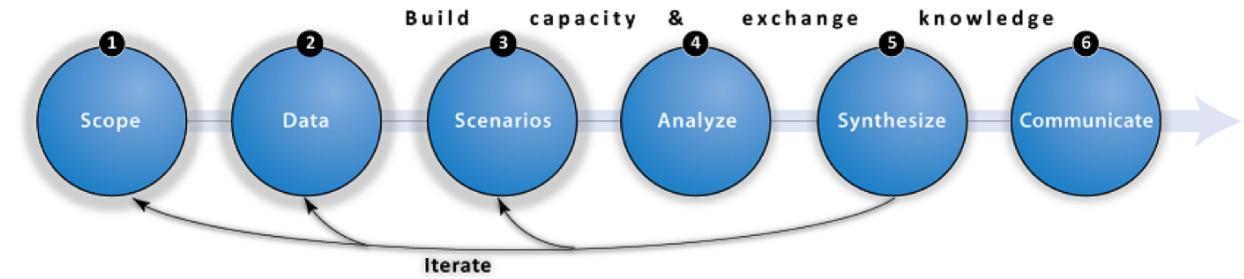
*“The Natural Capital Project strives to improve the well-being of people and nature by integrating the value nature provides to society into all major decisions leading to greater and more targeted natural capital investments.”*

This work was done within the framework of the Natural Capital Project, at Stanford University’s Woods Institute for the Environment. The Natural Capital Project (shortened NatCap) aims to account for nature’s contributions to society, so that decision-makers worldwide can take into account the value of ecosystem services, leading to wiser and more sustainable choices and better outcomes for people and nature. Founded in 2005, the Natural Capital Project gathers NGOs and academics, namely it is a partnership of four parties: the World Wild Fund (WWF), The Nature Conservancy (TNC), University of Minnesota and Stanford University.

NatCap’s work falls in three types of activities: *“advance science of ecosystem services, create user-friendly approaches and tools and build and tell success stories”* (NatCap 2016). NatCap develops tools meant to assess nature’s contribution to society. All software developed at NatCap is open-source and its development is typically driven by real-world applications, to make the science accessible and useful in real decisions. The main one is the InVEST suite, which includes a host of models tackling various ecosystem services such as water yield, sediment retention, carbon storage, wind energy, habitat quality and crop pollination. It offers a good testbed for ES visualization tasks with potential general applicability, as Nemec and Raudsepp-Hearne (2013) refers to InVEST as “possibly being the most widely used GIS software tool for mapping ecosystem services”. InVEST and related tools help users explore, map and value these ecosystem services and translate the change in ecosystem to its corresponding change in ecosystem services and to the impacts and benefits to people. Other software includes RIOS, used for targeting cost-effective investments for watershed services; OPAL, which quantifies the impacts of development and the value of offsetting them with protection and restoration; and the Python library PyGeoprocessing which implements GIS operations on which InVEST is based. For further details and examples of applications, Ruckelshaus et al. (2015) gives a good overview of NatCap’s projects.

The ecosystem service assessment strategy NatCap follows can be summarized in 6 steps, with repeated iterations throughout the process (Wolny 2016). First, define the partnership, roles and objectives. Secondly, compile the data. Thirdly, generate baselines and scenarios. In fourth, assess the corresponding outcomes. Then, synthesize the results. Last, but not least, inform decisions by communicating solutions. These two last steps correspond to the focus of this work.

The present work integrates in the context of NatCap’s approach; the suggested tool (chapter 5) applies to a undergoing project and supports communication of its results. It is a prototype to a generic tool that could be incorporated in InVEST or MESH outputs and reports. This is aligned to the development plan for InVEST, as one of the three long-term goals is to integrate reporting as part of the software workflow (Sharp 2016).



**Figure 2.2:** Natural Capital Approach (Verutes et al. 2015)

## 2.2.2 Use Cases

The present work has found applications, and based its trials on several on-going projects at the Natural Capital Project.

### 2.2.2.1 Prioritization of native forest restoration in Pu'u Wa'awa'a, Hawai'i

#### *A spatial multi-objective approach to watershed conservation*

The study aimed to develop a method to analyze the tradeoffs relative to effective watershed restoration planning, applied to the case of a watershed in Hawai'i: Pu'u Wa'awa'a located in the North Kona region of Kekaha. The paper further informs on prioritization of conservation spending, under different climate scenarios, to include possible climate change. It focuses on groundwater recharge and landscape flammability and details management costs.

In the context of this work, this project's display tasks were (1) to display the land cover maps corresponding to each scenario, (2) to assess the impact of the proposed restoration scenarios for both objectives of maximizing groundwater and minimizing landscape flammability, and (3) to show spatial prioritization for each objective and the two combined, and the associated costs.

The static visualizations aimed to inform about the impact of restoration (land cover scenarios) on two ES objectives (water, flammability) both independently and then simultaneously while considering several climate scenarios. The resulting constructed maps can be found in appendices 6.1, 6.2, 6.3, 6.4.

### 2.2.2.2 The Upper Tana Nairobi Water Fund

#### *Improving Water for Millions in Kenya*

The Tana River basin supplies 95% of the water for a total of 9 million people living in Nairobi and surroundings, feeds one of the country's most important agricultural areas and provides half of the country's hydropower output. In the past decades, many forests and wetlands have been converted to agriculture, therefore decreasing the natural landscape capacity to store runoff water and retain sediments and soil in place – changes which have negatively impacting hydropower and drinking water supply.

The Upper Tana-Nairobi Water Fund is an initiative bringing together representatives of government, NGO, and private entities to promote clean and reliable water supplies for downstream stakeholders, while simultaneously fostering sustainable livelihoods for upstream farmers and others. This project

is a typical case of spatial targeting problem, where the goal is to determine *where to do what* on the landscape to achieve given objectives. The main “on-the-ground” action the fund can take is the identification and prioritization of extension activities, whereby actors on the landscape (mainly farmers) are visited by local NGO’s to diagnose and offer incentives for ways to improve farm and water-related outcomes. Ideally, achieving agricultural livelihood outcomes and improvements to the water supply can be achieved simultaneously, though in some places there might be trade-offs, which the Fund is interested in minimizing. The analysis presented in the use case demonstrates how to find out where and what would be the most efficient actions to undertake on the landscape.

*The present work integrates with the water fund analysis described above, conducted by Benjamin Bryant, who provides the contextual information and project data. More details can be found on the introductory page of the developed tool.*

## ELEMENTS OF DATA VISUALIZATION

*“Excellence in statistical graphics consists of complex ideas communicated with clarity, precision and efficiency.”*

*Edward Tufte*

This chapter aims to lay out the context and basics of data visualization, and thereby establish the background knowledge to contextualize and further investigate specific display strategies. First, a brief overview of some general notions in data visualization is given to familiarize with the context, along with guidelines for successful implementation. Then, an overview of the multiple visualization tools is provided, aiming to support ES analysts to choose the most adapted software or library for each application.

### 3.1 Notions and techniques in data visualization

#### 3.1.1 Information visualization and graphical integrity

Information visualization, or visual communication, consists in transforming complex and abstract data into an accessible and concrete form, that a human brain can perceive with as little as possible cognitive effort. It consists simply in encoding data into visual objects, such as lines or points (Tufte 1983). The goal of a visualization is to effectively convey information (Kelleher and Wagener 2011).

In order to achieve this aim honestly, *graphical integrity* considerations must be kept in mind throughout the process of building visualizations. It has been shown that graphs can clearly be misleading because of design choices (Allen et al. 2012). Graphical integrity consists in accurate representations of data, avoiding distortions or misleading designs. To this end, data must be shown in its context, well-known units and clear labeling should be used to avoid ambiguity and true proportions must be kept in representing numbers (Tufte 1983).

Graphical integrity considerations in the context of ES are especially relevant concerning uncertainty representation, scenarios displays and scales. Hiding some uncertainties, or some scenarios considered during the analysis, may be considered dishonest (McMahon et al. 2016), however analyst may decide to show a subset only in order to focus on the core message but showing the relevant context is necessary to a global understanding. Graphical integrity considerations also needs to be taken into account in the choice of the scale: it is important to normalize<sup>1</sup> it, and use the same scale on comparable figures, to avoid biasing comparisons.

### 3.1.2 Vocabulary and grammar of graphics

Graphs, charts, diagrams and plots, despite ambiguous nuances, are all defined as representations of data, these words will be used synonymously in this work. A graph consists in at least one dataset translated into a set of mappings (i.e. visual encodings), forming layers that are statistically transformed according to the scale, the coordinate system and the facet specification<sup>2</sup>. Refer to Wickham (2008) and Wilkinson (2006) for additional details about the *grammar of graphics*.

Spatial data , presents its own visualization and handling challenges, it is therefore typically handled with specific tools, so called geographical information systems (GIS) which link geographic (e.g. maps) and descriptive information. Data is organized in different layers, associated based on their geography. Spatial data can be stored in two types: raster, which is a gridded collection of pixels referenced with coordinates, and vector, which corresponds to a set of points, lines, and polygons. Different projections and coordinate systems are of great importance when dealing with spatial data: the round shape of the earth is different from the flat projections of the maps and this means that distortion cannot be avoided. These projections conserve either the shape, or the area for example but cannot conserve all measures (Medeiros 2016). For further information regarding GIS, we point out to the myriad of work in this vast field, among others, the book written by De Smith et al. (2007).

### 3.1.3 Modes of visualization

Visualization can be delivered in different forms. There are three main groupings of visual information delivery modes:

- **Static presentations** are required for printed format and often essential. In the context of inter-organizational projects, there is almost always a need to summarize results in static reports.
- **Dynamic user-interactive visualizations** give the greatest flexibility to the user who is given options to test and visualize results while having some control on the display. In many cases, user interactivity enhances the user's implication and satisfaction (Teo et al. 2003). Dynamic displays offers many options to tailored and multi-dimensional visualizations. Section 3.1.5 will detail some of the main features of interest.
- **Dynamic storytelling** is part way between the two previous visualization modes: it is a dynamic animation, but not fully interactive. The viewer is guided through the visualization, either by a presenter, or step-by-step through the storyline, (s)he therefore has less flexibility to "play around" with the variables, but it can result in easier delivery of key messages. Especially useful during

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<sup>1</sup>Normalizing a scale to a common one for reference in comparison, or apply a more sophisticated transformation to the data, relevant to the specific case (e.g. divide by population).

<sup>2</sup>The faceting specification is relative to the coordinate system, it "describes which variables should be used to split up the data, and how they should be arranged" (Wickham 2008).

presentations, but also on webpages, the dynamic storytelling allows the flexibility and multi-dimensional displays options of dynamic visualizations, while keeping control on the selected options, i.e. walking the user through the visualization to lead to the envisioned goal.

### 3.1.4 Distortion techniques

When displaying large datasets, combining information on different scales can become very tricky. As noted earlier (3.1.1), graphical integrity requires to present the context of the dataset. However, when attempting to show local variations, displaying two scales at once is a notable challenge. Some distortion techniques have been developed in order to view precisely local details in their global context. They allow a greater space to the display of a focused zone, while still embedding its surrounding context. Generally, linear or hyperbolic geometry supports the smooth connection of the focus area and the background, that have different scales (Leung and Apperley 1994). Distortion techniques include:

- **bifocal display** (or lens) corresponds simply to a linear transformation (in one or two directions) (Apperley et al. (1982), Leung and Apperley (1994)).
- **polyfocal lens** is similar to bifocal lens, but using a more complex hyperbolic (or polynomial) transformation function (Leung and Apperley 1994).
- **fisheye view**, originally called *Focus + Context* technique (Lamping et al. (1995) and Furnas (1986)) uses a continuous magnification function (that also transforms the boundaries). Though this term has been used with different definitions, it is broadly used and very intuitive.
- there are other less common options among which can be mentioned the **perspective wall** (Mackinlay et al. 1991), that simulates the perspective effect or the **hyperbolic tree** that extends the fisheye view using hyperbolic plane mapped onto a circular display region (Lamping et al. 1995).

### 3.1.5 Interaction techniques

A few interactive features of interest include (Wilhelm et al. 1995):

- **Scaling**, which is simply the ability to zoom in and out, is powerful in the sense that it allows the user to both a global view of the whole dataset and a view of precise details on smaller variations, therefore removing the need for a distorted view. Some scaling options also combine distortion techniques (see section 3.1.4) to both zoom in and keep the background context in the surroundings.
- **Identification** (also called *pointing*) allows access to detailed information of a subset of the graph by clicking on it.
- **Generalized selection** visually highlights or extracts in some way every linked graphical element that is associated with the user's selection for an overview of subsets. The association rules are defined according to the case.
- **Brushing** consists in selecting a subset of data, that is then highlighted. Also, brushing can be used to remove unwanted data, when a specific threshold defines a subset of interest (Kollat and Reed 2007). Brushing can be done with a slider, or with direct selection on the plot (Ward 1994).
- In a context where the displays consists in several views (different plots), **linking** adds value to brushing: it is the dynamic update of the other graphs displayed, to undergo the corresponding «brushed» selection (Buja et al. 1996).

The developed tool suggested in chapter 5 illustrates these techniques.

## 3.2 Graphical best practices and guidelines

What makes a good visualization? Keeping in mind the goal which is to effectively convey information, i.e. to gain insight on the data, an efficient visualization reduces the cognitive effort of understanding the graph, in order to bring the observer's attention to the actual facts. Some may seem trivial, nevertheless the guidelines summarized in the following paragraphs are essential to achieving the intended purpose. As described by an expert in data visualization, Tufte (1983) in his classic text (p.13), graphical displays should:

- “show the data
- induce the viewer to think about the substance rather than about methodology, graphic design, the technology of graphic production...
- avoid distorting what the data has to say
- present many numbers in a small space
- make large data sets coherent
- encourage the eye to compare different pieces of data
- reveal the data at several levels of detail, from a broad overview to the fine structure
- serve a reasonably clear purpose: description, exploration, tabulation or decoration
- be closely integrated with the statistical and verbal descriptions of a dataset“.

In the context of maps, Buckley (2012) states five major maps design principles, namely legibility, visual contrast (for which the choice of an appropriate color scheme is essential), figure-ground organization, hierarchical organization, and balance (see her work for further details and guidance specific to maps).

Best practices seem to be summarized by three main points. An efficient display should be self-explanatory, tailored to the audience, and most importantly convey the key message. Moreover a good visualization is highly dependent on the task and the type of display and other design choices are very specific to the dataset considered.

### 3.2.1 Legibility and intuitiveness

Simplicity is key to an effective display. (Tufte 1983) advocates to minimize the design complexity, to maximize the time spent on reasoning on the actual content. Redundancy introduces needless complication and should be avoided. For example, the data ink ratio (i.e. the ratio of ink used to display data over the total ink of the figure) should be minimized as far as possible. Additionally, Kelleher and Wagener (2011) argues to maintain axis ranges across subplots for easier comparison, connect sequential data (but, for example in time series plots, disconnect specifically the area of missing data) and express density of overlapping points (e.g. with color gradient in scatterplots). Appropriate encoding of objects and attributes lead to intuitive plots, as detailed by Cleveland and McGill (1984).

### 3.2.2 Scale and ratios

The success of a visualization is contingent upon the careful selection of appropriate scales and aspect ratios. There is always trade-offs between showing the zero, or zooming on variations. Dynamic features and distortion techniques allow to overcome some of this difficult choices, but are not always possible.

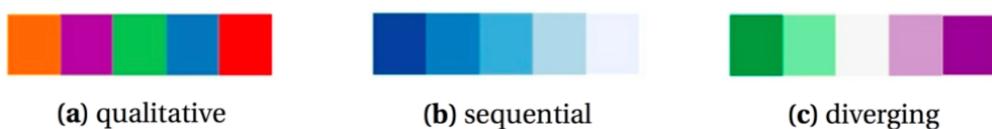
Making the right choice between displaying patterns or details is crucial (Kosslyn and Chabris 1992). Meaningful axis ranges, data transformations (e.g. log scale) and aggregation level (e.g. temporal aggregation by averaging over a larger time step for long time-series) are essential too (Kelleher and Wagener 2011).

### **3.2.3 Legend**

For the graph to be self-explanatory, a clear labeling must be included. If opting for a legend, it should be ordered by some properties of data, never alphabetically according to Tufte (1983) because a space to express something about the data would be wasted. Creating logical groups assists the understanding. For color codes, it is advised to display adjacent to each other in the legend the colors that are adjacent in the corresponding map (Brewer 2004).

### 3.2.4 Color scheme

The color scheme is another important choice to be made when displaying data (Kelleher and Wagener 2011). Sequential color scheme ought to be chosen when the underlying data shows ranked differences; diverging scheme when dealing with negative and positive differences around a mean or a neutral value; and a categorical scheme for discrete values (see figure 3.1 and recommendations of the tilemill project. Moreover, many sources suggests to use only a few colors (about 6), while choosing them distinct, and striving for color harmony. Other considerations to bear in mind: cultural conventions and intuitive tints facilitate fast perception, colorblind and printing safe schemes are prudent. Also, the color scale should be normalized<sup>3</sup>, considering which datapoints should appear in different categories. Websites like Colorbrewer, Colrdr or Adobe Kuler provide good color palettes, based on color theory.



**Figure 3.1:** Color schemes

### 3.2.5 Interactivity

The success of an interactive display results from the appropriate interface complexity for a certain user motivation (Roth and Harrower 2008). In the field of interactive maps, (Roth and Harrower 2008) examines when cartographic interaction positively supports work. Interactivity is not always beneficial to the graphs, but relevant for users who wish to customize the communicated information to their particular interests, also relevant to overcome some display problematics. Interactivity also helps enhance the user's involvement with the map, by offering a sense of control over the experience.

### 3.3 Overview of visualization tools

To put the above principles into practice, various tools are available to create data visualizations. A few important things to consider when choosing an appropriate tool are the features supported (user

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<sup>3</sup>Normalizing a colorscale consists in adjusting the scale to a reference one for comparison or to a more sophisticated one depending on the context.

interactivity, spatial data, 3D, web), and also the price, speed, scalability, robustness, customizability and user adoption. Then, it is often a trade-off between customizability and ease of use. Such software is usually easier to utilize, and the resulting visualizations are often more aesthetic, but if the user is willing to code, custom scripts offer the most flexibility in design, and various charting libraries allow to tailor the figure to specific needs. This section does not pretend to be exhaustive but attempts to give an overview of the available data visualization tools, based on a review conducted in late 2016. Emphasis will be given on spatial data as it constitutes an essential part of natural capital information.

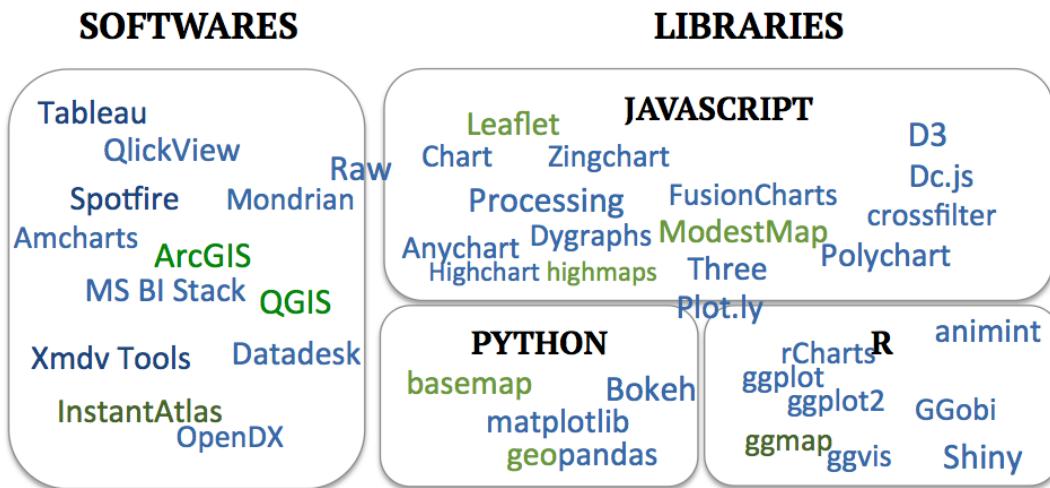


Figure 3.2: Overview of some existing visualization tools

### 3.3.1 Data analysis and visualization software

There is a myriad of data visualization software available, usually combining some analytics features. Some of the main ones are **Tableau**, **Spotfire**, **Qlickview** and **MS BI Stack**. Dynamic visualization has historically been supported by software like **Xmdv Tool** and **OpenDX** (both open source) and is recently proposed by many new software, as visual data analytics is becoming very trendy.

In terms of maps, some software support spatial data (namely Tableau, Spotfire and OpenDX). Moreover, GIS software are designed to build maps from any data and to perform spatial data analysis; **ArcGIS** and **QGIS** are the most common. The former integrates in its desktop version several applications, namely ArcMap to build maps, ArcCatalog for data management, ArcToolbox for geoprocessing, and also ArcScene, ArcGlobe, and ArcGIS Pro. QGIS (formerly Quantum GIS) is the corresponding open-source software. According to synthesis of users' forums, it seems that ArcGIS seems to have more functionalities, especially when dealing with rasters and better support tools, and QGIS a steeper learning curve. However, they are really comparable. To combine spatial data and dynamic displays, some software such as **InstantAtlas** provide interactive mapping services. More details and examples of geovisualization tools are presented by Buczkowski (2016) and include Carto, the Mapzen API, Maps4news, as well as Tableau, mentioned above.

### 3.3.2 Charting libraries

Charts and maps can also be generated through scripting, allowing greater flexibility. Sorted by programming languages, some of the main plotting and mapping libraries are listed below. On the spatial data side, Hügel (2015) advocates for these over GIS software for exploratory data analysis.

#### 3.3.2.1 Javascript

Javascript is, without a doubt, the go-to language for *fancy* - and definitely for interactive - data visualization, considering the multiple charting libraries written in this language. The one that stands out is **D3.js** (or just D3 for Data-Driven Documents). Formerly *Protovis*, it produces dynamic, interactive and very customizable web visualizations. In the same vein, *Processing*, *Anychart*, *FusionCharts*, *Dygraphs*, *Highchart*, *Zingchart*, *Three (3D)* can be cited among others. Several tools build upon D3, the library **Dc.js** adds crossfiltering functionalities, such as brushing and linking, *Raw* provides a user interface to build D3 typical examples without having to code (Caviglia and al 2013). Also **Plotly** API libraries that build on D3.js not only for javascript but also with versions for Python, Matlab and R.

**Leaflet** is probably the most adopted mapping library for spatial data. **Mapbox** supports similar functionalities with the *Mapbox GL library*. Other mapping libraries include *ModestMap* (from the makers of Mapbox) and *Highmaps*.

#### 3.3.2.2 R

R plotting packages **ggplot** and **ggplot2** are very efficient for static visualizations. The map package built on top of the latter, **ggmap** combines spatial information from GoogleMaps, OpenStreetMap with the grammar of graphics of ggplot2 (Kahle and Wickham 2013). The interactive version of ggplot2 would be **gvis**, however its dynamic functionalities are quite limited. A powerful package for interactive (web) visualizations is **Shiny**, it can be combined to *Leaflet* for spatial data. R spatial packages include **sp**, **raster**, **maptools** and **rasterVis**.<sup>4</sup> Also, as mentioned above, Plotly has an R version too, converting ggplot2 charts to interactive ones. Another way to connect to the multiple javascript charting libraries is to use the package rCharts.

The OpenMORDM visualization toolkit (Hadka et al. 2015) is a dynamic visualization platform built from R Shiny. It allows to explore, gain insight on the data, and make static plots, with a focus on deep uncertainty and robustness visualizations.

#### 3.3.2.3 Python

**Matplotlib** is the main Python graphing library. It contains a toolkit for plotting 2D data on maps: **basemap**<sup>5</sup>. Also, **geopandas** extends the data analysis library Pandas to spatial data, using also Fiona

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<sup>4</sup>Useful links about analysis of spatial data in R:

- overview of analysis of spatial data
- Illustration of the ggmap package
- Introduction to the raster package
- and to RasterVis, which builds on raster package

<sup>5</sup>Useful links to make maps using Python (matplotlib, basemap):

- A well written blogpost
- and another one

for file access, Shapely and Descartes for geometric operations, PySal for spatial analysis, and of course Matplotlib for plotting (Hügel 2015). Interactive plots are based on **Bokeh** which imitates D3, or, as mentioned previously, Plotly.

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- basemap examples.

## DESIGN STRATEGIES TO DISPLAY NATURAL CAPITAL INFORMATION

This chapter gathers the knowledge acquired from an extended literature review on static and dynamic approaches to displaying complex data and existing strategies in use. This literature review explored the design strategies to express and visualize multi-dimensional, spatial, multi-objective, uncertain data and combinations of these. This focus corresponds to the specific challenges faced while communicating natural capital information<sup>1</sup>. The variety of mapping and synthesizing approaches in the field of ES leads to difficult choices of methods for the analysts (Englund et al. 2017). So this practical toolbox aims to put together the various options, hopefully providing a clearer vision on the variety of visualization strategies.

### 4.1 How to read this chapter

This chapter is intended to support and guide analysts in their task of communicating natural capital information. It can be considered a toolbox of visualization techniques, structured around four main themes: displaying multi-dimensional data, displaying spatial data, comparing multiple versions of spatial data, and expressing uncertainty. It aims to suggest display solutions for each of the display tasks previously identified. Each proposed display is explained, discussed (pros and cons) and illustrated with relevant use cases and/or examples. The selection of solutions was guided by several established criteria (see section 2.1.4.2). However, when assessing clarity and effectiveness of a visualization, some subjectivity cannot be avoided. Also, data visualization is really a case-by-case and efficient displays really depends on the underlying data and information to convey. This user guidance aims to serve as a basis and an inspiration to the analyst, suggesting several options to be used and adapted to each case. It is essential to tailor the display according to:

- the goal of the display: *What is the key message to convey?*
- the audience targeted: *Who is the display intended for? What is their level of knowledge about the project and their familiarity with scientific visualizations?*

<sup>1</sup>The visualization challenges specific to natural capital data were identified through discussions with multiple members of the NatCap community, and further confirmed by the survey, which results are documented in Chapter 5.

- the time available: *Both the time expected spent by the user on the display, and the time to build the visualization. Should this convey just the key results or go in depth about the analysis?*
- the document type: *Static, dynamic, interactive?*
- the presentation type: *How will it be released? Should this be self explanatory or will it be presented by the analyst?*

The document is organized to allow a reader to skim through the display tasks and suggested solutions, and focus on details where relevant. Embedded references and hyperlinks allows to navigate between sections in this interactive document; the web visualizations listed have embedded links. For a quick answer to a specific display need, table 4.6.3 summarizes the relevant options for the main display tasks.

An important preliminary note: plots and graphs are not always necessary. Sometimes, the full data table is the best visualization, particularly for small datasets where presenting the data alone could be sufficient. In some cases, visualization will lead to the desire to inspect the data, in which case presenting directly the data itself may be more efficient. For example, in the case of a mid-project intermediary report for a meeting with experts on the project, it is likely that plots will lead to questions digging in details, where showing the full dataset and how solutions were selected and compared to each other is necessary.

## 4.2 Multi-dimensional data

In the context of ES, multi-dimensionality arises often from multi-objective problems such as cases where multiple services are considered and their trade-offs are to be explored, but also from multiple scenarios, due for example to exploration of alternate development pathways. Visual decision support tools are very relevant in the field of multi-objective optimization problems<sup>2</sup>, as well as for scenario comparison. For multi-objective optimization under uncertainty, the number of scenario considered can be very large. In the typical cases, there is no unique optimal solution, but a collection of Pareto optimal ones (Hadka et al. 2015), i.e. solutions where improving the result towards one objective result a decrease in performance with regards to another objective (Pareto 1896). Efficient visualizations empower the user with the ability to navigate through thousands of potential solutions, compare them and understand trade-offs, leading to performant decision-making.

Multi-dimensional data visualization has been given considerable attention, as computational capacities have been increasing and the amount of produced data exploding. Multi-dimensional data exploration has taken several directions, based on geometric projection techniques, to which distortion and interaction techniques (discussed in sections 3.1.4 and 3.1.5) can be added to further improve these visualizations (Keim 2000). The curse of multi-dimensionality, as explained by Allen et al. (2012) is that graphical displays become less informative as the dimensions and complexity of datasets increase. However, she argues in favor of detailed graphs because their ability to show more data and reveal more information outweighs the drawbacks of this curse.

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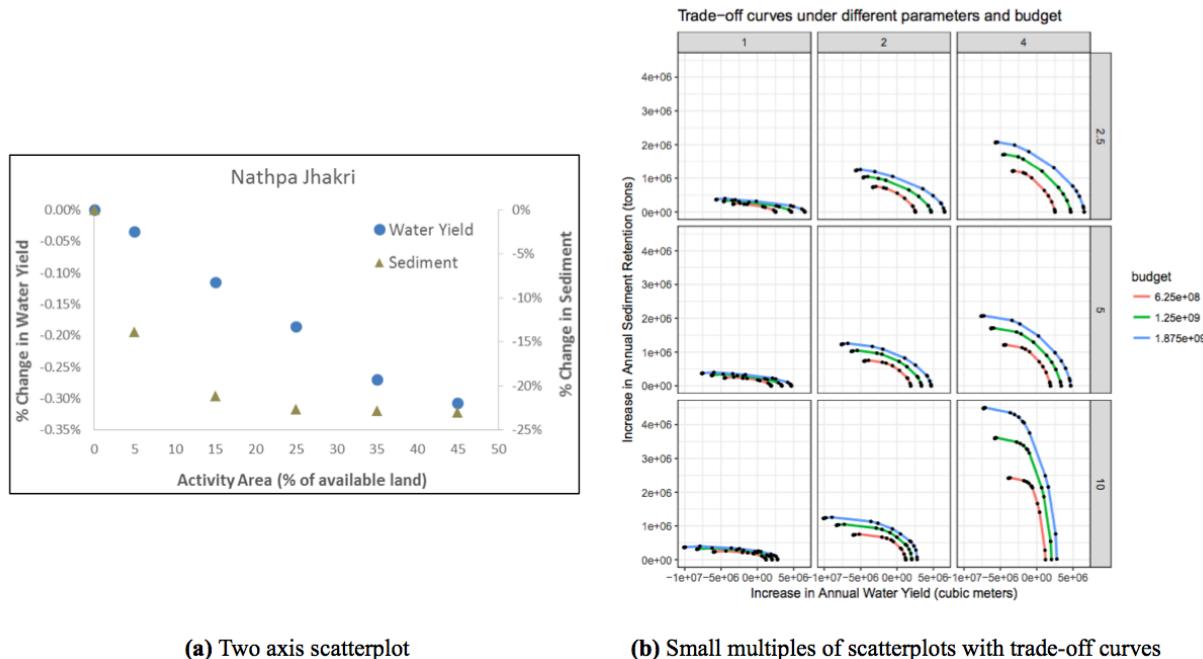
<sup>2</sup>Vocabulary note: “multi-objective” refers here to problems with three or more objectives, also called many-objective problems (Fleming et al. 2005) or high order-Pareto optimization problems (Reed and Minsker 2004)

### 4.2.1 Scatterplots

The classic scatterplot displays data with two to three dimensions, using cartesian coordinates and two or three axes. In a **3D scatterplot** (figure 4.3a) solutions are represented as points in the space. Additional dimensions can be represented by changing attributes (color, shape, size, orientation, etc), however this may negatively impact clarity or risk overwhelming key messages of the plot. Interactivity allows the user to perform selections of one or multiple point(s).

When relationships between several variables are to be explored, **scatter plot matrix** (SPLOM) are suitable. They combines the small multiple strategy (further described in section 4.2.5) with the classic scatterplot to display relationships between every pair of variables<sup>3</sup>.

In the context of multi-objective optimization, to understand trade-offs and synergies between several objectives under many scenarios, scatterplots are a great option. The commonly used **trade-off curve** is a scatterplot displaying objective scores, with an axis per objective, and a datapoint per scenario (see for example figures 4.18 and 4.17c). A third objective can be displayed by adding a colorscale or size-scale (example in figure 5.4). Also, 3D scatterplots are often used for up to four objectives (e.g. in figure 4.3 or the VIDEO software of Kollat and Reed (2007)). Over 4 objectives, small multiples of trade-off curves are very relevant.

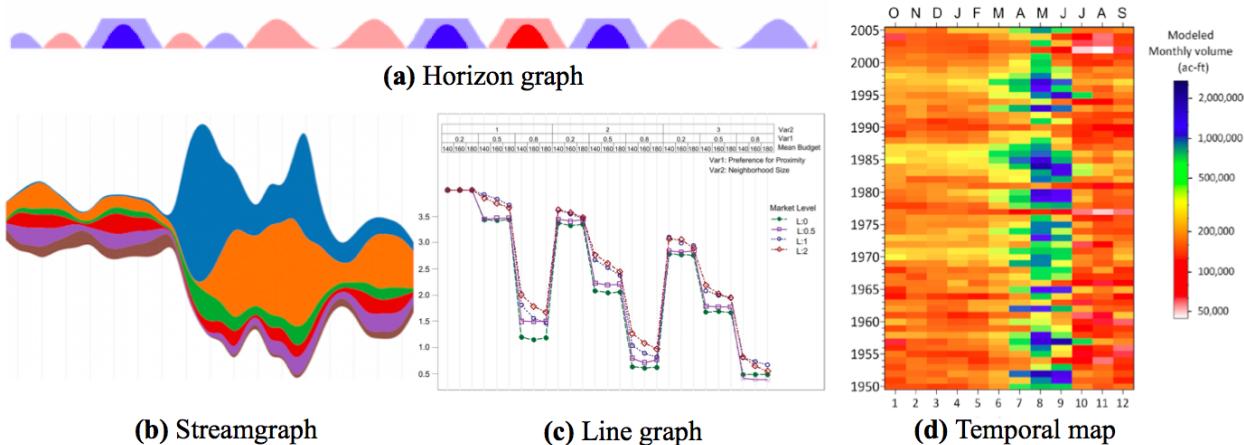


**Figure 4.1:** Several options to display multiple variables with scatterplots: (a) Two axis scatterplot (Vogl et al. 2014), (b) Small multiples of scatterplots with color coded trade off curves (Courtesy Benjamin Bryant). For trade-off curves example, see also figure 4.18, and 5.4 for a color coded scatterplot.

<sup>3</sup>To avoid confusion, we clarify here that figure 4.1b is not a SPLOM, as the axis correspond to the same variables in every multiple. A SPLOM would display plots where each the axis correspond to different variables in each multiple, to show pairwise correlation information. An example can be found in figure 2 here.

#### 4.2.2 Time-series data: line charts, streamgraphs and more

For data including several independent variables, and a dependent one, a **line chart** is a version of a scatterplot (see 4.2.1) where points are ordered (on the x-axis), and joined with segments. Line charts (also referred to as run charts for time-series data, or index charts when interactive) highlight relative changes, these are a good options when comparing the independent variables. **Streamgraphs** (figure 4.2b), also called stacked graphs, sums visually the time-series values around a central axis by stacking area charts on top of each other (Heer et al. 2010). These work only for positive values, and provide general view of the data, but are not effective for visualizing details, also they are more efficient in interactive form than static (Ribecca n.d.). In the case of very large timeseries datasets, **horizon graphs** (figure 4.2a) is a very space-effective option, despite a certain learning time for the reader to understand the graph style. Horizon graphs consists in filled line charts, where negative values are mirrored (and colored typically in red) to appear on the upper side, and then the chart divided into bands that are overlayed using transparency effects to limit the space required for peaks. Thus the space used is divided by four thanks to these two transformations (Heer et al. 2009). When the goal is to compare monthly values within and across multiple years, a fairly recent display solution has been suggested: **temporal maps** as shown in figure 4.2d (Koehler 2014). An extension of this concept, for very high-dimensional datasets, is **pixel-oriented visualization** which consists in using each pixel to display one data value in highly structured arrangements (Keim 2000). Both are grids, displaying a value per cell, by a color. Other strategies extend these plots, for example multi-variate metrics can be visualized through comprehensive plotting (figure 4.2c). Spatial metrics can also be visualized through histograms comparing main summary statistics in different scenarios (e.g. the percentage of land areas covered by each 3 category is displayed for 3 drivers, and 4 scenarios using small multiples histograms in figure 4 of the work of Villamor et al. (2014))<sup>4</sup>.



**Figure 4.2:** Illustrations of concepts of (a) horizon graphs (Heer et al. 2009), (b) streamgraph (Vallandingham n.d.), (c) line graph (Sun et al. 2014) and (d) temporal map (Koehler 2014). (c) comprehensive plotting example for a case of a 4-dimensions dataset plotted with four 3-dimensions figures to display  $4 * 3^3$  data points. This is one of the 4 figures, where Sun et al. (2014) displays results of one of the 4 metrics as several line plots (for several variables, here one per market level), and varying parameters (here 3 parameters with 3 possible values each resulting in  $3^3$  data points per market level, per figure).

<sup>4</sup>A side concern that may come up in these cases, is about data management: the total size of the runs can become is too large for available main memory. A strategy is to precompute summary statistics, such as the mean and extrema (Wilson and Potter 2009).

### 4.2.3 Parallel coordinates plot

Parallel coordinates plots (figure 4.3b) are very effective to display different solutions in a multi-objective context and visualize trade-offs and synergies between objectives under several scenarios. They make relationship and correlation patterns clearly visible (Achtert et al. 2013).

The number of scenarios is then nearly unlimited, and so is the number of objectives, to the limit that the axis fit the page. Scenarios are represented as lines, distinguished by varying colors, which intersect horizontal axis representing the objectives. The vertical direction of preferred solution must be clearly indicated to assist interpretation.

Tradeoffs are illustrated by crossing lines. However, one limitation is that each axis having at most two neighboring axis, only  $N-1$  relationships of  $\binom{N}{2}$  combinations for an  $N$ -dimensional dataset can be visualized at once. This can be overcome by re-ordering the axis, possibly with an interactive tool, or by upgrading to a 3D parallel coordinate plot where the axis are still in parallel, but some appear closer (Achtert et al. 2013), although this approach is more difficult to interpret, which may explain why it is not widely used.

Combining parallel coordinates with interactive features offers interesting options to explore the data. For example, brushing allows to extract trends over subsets, furthermore (Andrienko and Andrienko 2001) recommend linking to other graphics. To contrast alternative options and explore the effects of trade-offs, Hadka et al. (2015) recommends adjacent 3D scatterplot and parallel coordinates plot, as shown in figure 4.3. The equivalent of parallel coordinates plot for categorical data is the **alluvial plot**; it is also useful to discretize the data into subsets when the dataset is too large for the lines to be distinguished, Trindade (2017) provides more details. Several tools and packages exist to make both parallel and alluvial plots<sup>5</sup>.

### 4.2.4 Radar charts

The radar chart, as shown in figure 4.4, is the version of parallel coordinates plot in polar coordinates. It has also been referred to as spider chart<sup>6</sup>, web chart, star chart, polar chart, or Kiviat diagram. It can be an interesting way to visualize trade-offs. However, they tend to become cluttered and complicated if with many variables, making comparisons very difficult Ribecca (n.d.)]<sup>7</sup>

### 4.2.5 Small Multiples

An effective alternative to coercing all the data in a single plot (risking overplotting) is displaying small multiples. The concept is to replicate the same simple graph structure (in terms of axis, shape and scale), for many datasets, ordered logically. The cognitive process of understanding the graph is undertaken only once, and the understanding then is replicated while scanning all other multiples. This strategy is very

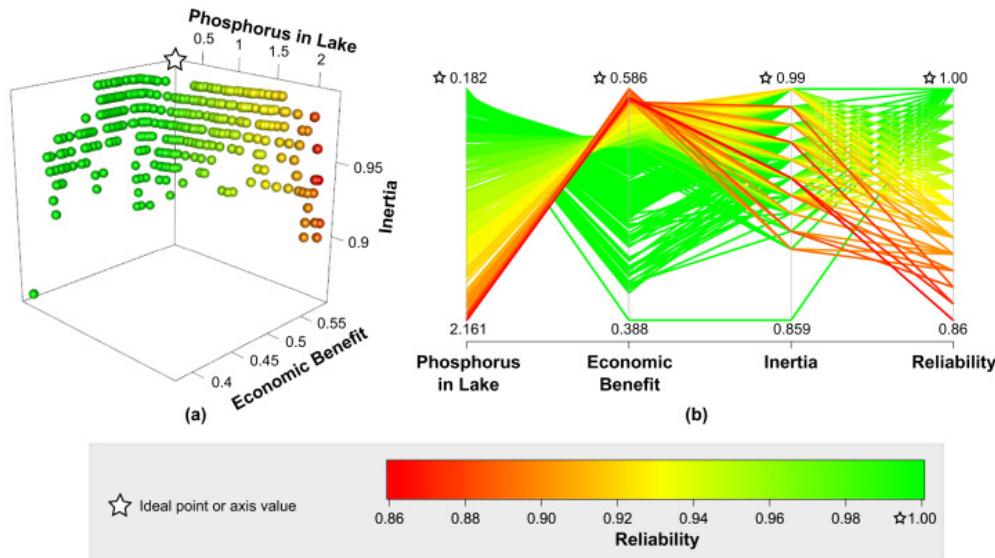
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<sup>5</sup>Links to parallel coordinates and alluvial plots implementations and packages:

- A tool to build directly an interactive parallel coordinate plot
- Its underlying implementation, with D3.js
- Parallel plots in Python
- Interactive parallel plots in R, with Shiny and 3D scatterplot
- Alluvial plot package in R.

<sup>6</sup>Spiderplots or spidercharts is a blurry term to that has been used to refer both to 2-axis spiderplots (as in figure ??b), but also to multi-axis spiderplots which are also referred to as radar chart (as in figure 4.4a).

<sup>7</sup>see also petal charts, and discussion from Chandoo (2008).



**Figure 4.3:** Four objectives visualization with (a) 3D scatterplot and colors and (b) parallel coordinate plot, achieved with the OpenMORDM open-source R library (Hadka et al. 2015)

efficient in many cases for comparison and broadly used. Referred by the data visualization expert Tufte (1983), as “multivariate and data bountiful”, they enforce comparisons of alternatives, differences and changes. This displaying strategy has also been called trellis chart, lattice chart, grid chart, or panel chart. It can be applied to many types of graphs, or maps. Other examples of the small multiple strategy and variants of it can be found in figures 4.5a, 4.4b, 4.17a.

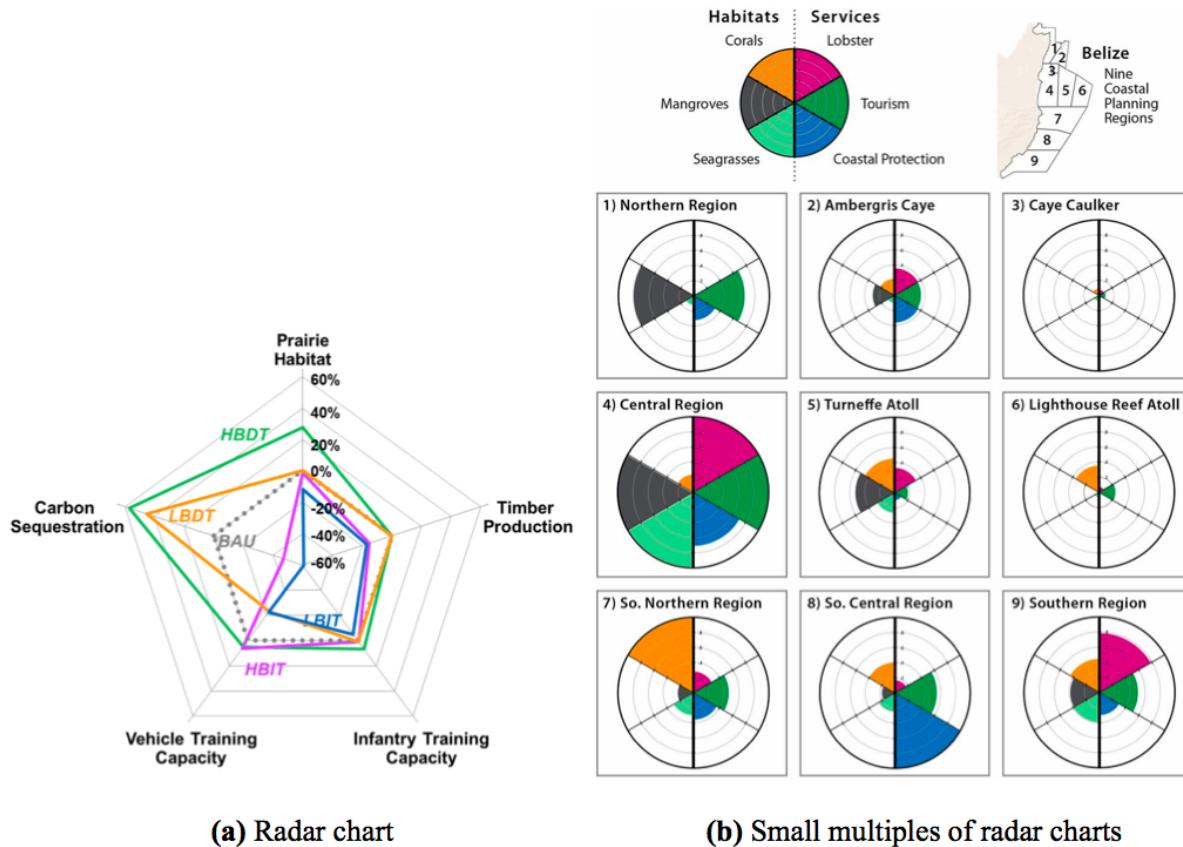
#### 4.2.6 Reduce dimensions

Another approach to reduce cognitive complexity of multi-dimensional data, is to reduce the dimensions in some coherent way. For example, the **principal components analysis** (PCA) can be conducted to reduce the number of variables by combining the correlated ones (Hotelling 1933). Similarly, the **choice modeler approach** aims to evaluate multiple decision variants, in a very large decision space. The concept is to identify criteria that do not influence the output (here, the decision option ranking), and remove these dimensions, to simplify without losing correctness (Jankowski et al. 2008).

In the same vein, multiple dimensions can be summarized by creating an **aggregated metric**, e.g. an indication of a lake recreation value would combine variables such as water quality, lake size, boat options (Ryan Noe, personal communication).

#### 4.2.7 Multiple linked views

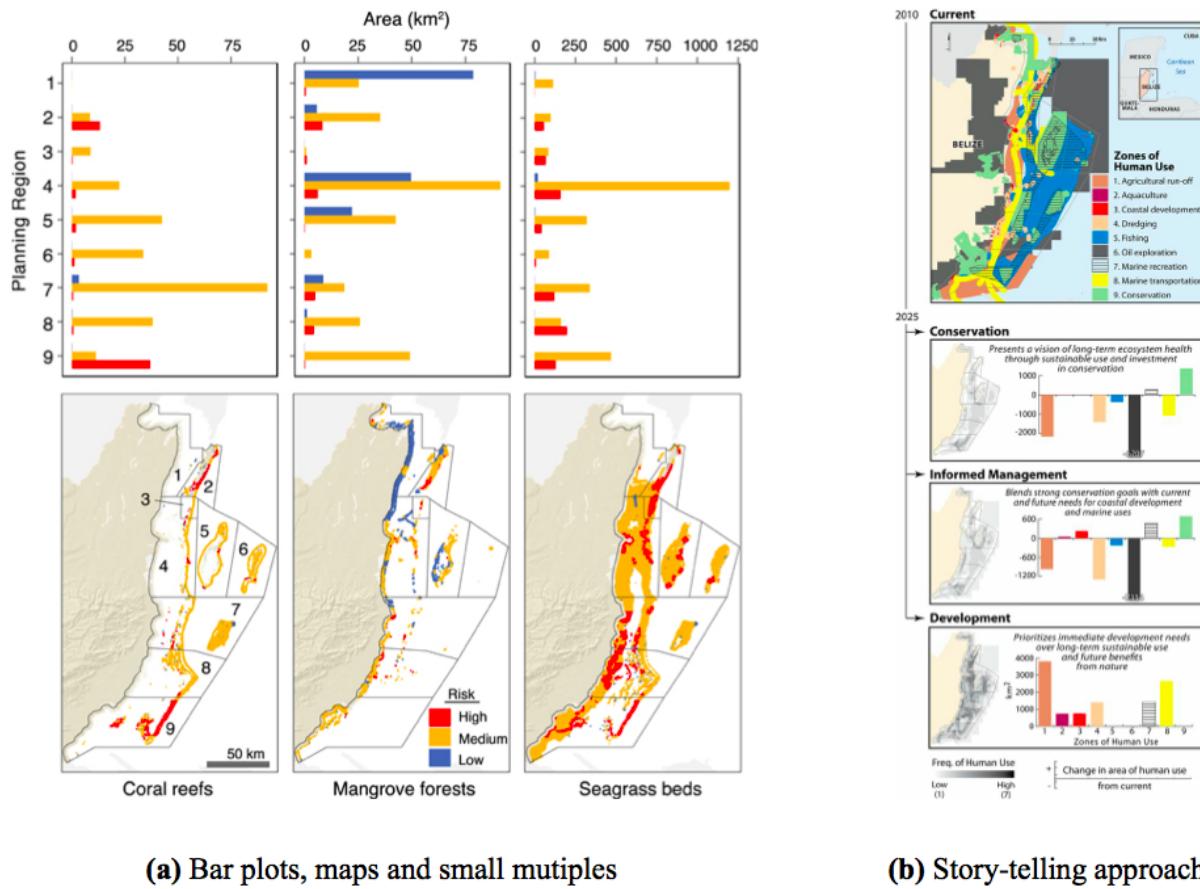
Several options to display multi-variate data were discussed above. However they all realistically apply to a limited number of variables. As dimensions of the data increase, it is often interesting to show several linked graphs of the same dataset to convey the complex information. This solution gives different perspectives to the viewer. In the case of a static display, the graphs are connected by matching color coding or other corresponding parameters, as in figures 4.3, 4.5a, 4.5b and 4.17c.



**Figure 4.4:** (a) Radar chart, in the context of a NatCap project in Kamehameha schools, Hawaii. (b) Small multiples strategy applied to radar charts by Arkema et al. (2015). The multiples correspond here to the 9 considered regions. The difference between these two types of radar charts must be noted: the latter (b) may be criticized and raise graphical integrity concerns, as it leads the reader to interpret on the area but the metric is scaled over the distance from center (doubling the distance out from the center quadruples the area, which could be misleading)

Furthermore, dynamic displays allow improvement by adding brushing and linking features (see 3.1.5), examples of interactive dashboards with multiple linked views include (*click on the title to be directed to the online version*):

- The Middle Cedar visualization (figure 4.18)
- The Conservation ROI Dashboard (figure 4.19)
- Habitat Risk Assessment Dashboard. This interactive web application displays user's InVEST output workspace, and was developed in R and Javascript.
- Coastal Vulnerability Dashboard



**Figure 4.5:** Examples of combining static plots: (a) the bar plots display the area of habitat in each risk category (low, medium, high) per planning region (1 to 9), by Arkema et al. (2014). (b) Dense figure using a story-telling approach to present scenarios. It combines bar plots, maps and the small multiples approach. This figure is self-explanatory, and by including a few sentences, it replaces several paragraphs (Arkema et al. 2015)

## 4.3 Spatial data

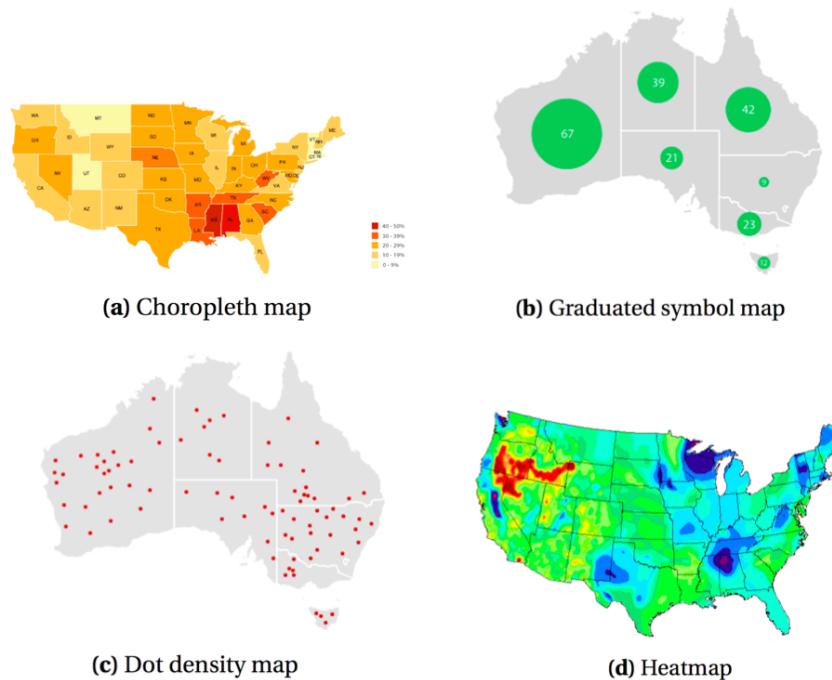
### 4.3.1 General classification of maps

#### 4.3.1.1 Choropleth maps and proportional symbol maps

Choropleth maps (see figure 4.6a) are very effective and widely used to display a continuous or categorical spatial variable aggregated by regions. The variable of interest is expressed by coloring (or using patterns on) these geographical areas. Particular attention needs to be given to the choice of patterns (see section 3.2). Furthermore, it may be necessary to normalize<sup>8</sup> raw data values to ensure graphical integrity (Heer et al. 2010). The main drawback of choropleth maps is that larger areas appear emphasised (Ribecca n.d.).

Another solution for continuous spatial data aggregated by regions is the graduated symbol map (or

<sup>8</sup>Normalizing here consists in dividing the variable of interest per unit area; e.g. to express population, the population per square kilometer should be displayed.



**Figure 4.6:** Main types of maps (source: the data visualization catalogue)

proportional symbol map, also called bubble map (Ribecca n.d.)) that overlays symbols to the base map. In this case, the underlying area does not affect the perception of the variable considered (Heer et al. 2010). These two approaches can also be combined, allowing to express more than one variable.

#### 4.3.1.2 Heatmaps and dot density maps

Displaying density of occurrence, and identifying clusters can be achieved with heatmaps and hotspot maps. The heatmap can be understood as the continuous version of the choropleth map, without aggregation of the data. It visualizes a scalar function over a geographical area (Brodlie et al. 2012). Similarly, in the dot distribution map (or dot density map), the density of dots represents the intensity of the variable. The dots are randomly placed, which may be misleading if unclear to the viewer. Figure 4.6 illustrates these.

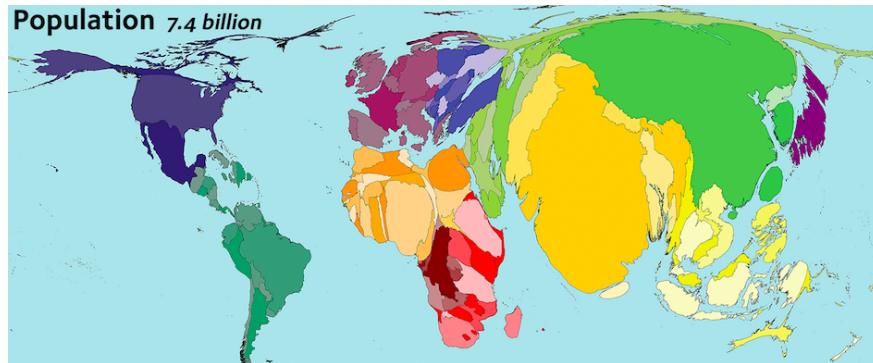
#### 4.3.1.3 Contour maps

Also known by contour maps or isarithmic maps, isopleth maps display a variable with contour lines (isopleths) joining the points where the variable has a constant value. For example in the field of ecology, isoflors are isopleths connecting areas of comparable biological diversity (Specht 1981). Color fills may be used to enhance the map pattern. Contouring can also be used to highlight areas on a map, as in figure 4.11b), which combines information about two independent variables, overlaying two types of maps.

#### 4.3.1.4 Cartograms

Cartogram also illustrate data aggregated over regions. The variable to be expressed is substituted to the geographical distance or area. The regions are in the same locations with respect to each other, but their

geometry is distorted in proportion to the variable of interest (Heer et al. 2010)



**Figure 4.7:** Cartogram displaying population (variable) per country (regions of aggregation) (Hennig 2011)

#### 4.3.1.5 Flow maps

A flow map illustrates movement in space and/or in time. The intensity of a flow is represented by the thickness of the line depicting it (Ribecca n.d.). Flow maps are commonly used to visualize migrations of animals, but can also be applied to pollution load transfer, or groundwater movement from one zone to another.

![Minard's Napoleon's March figurative map. This classic example of flow map, drawn up by M. Minard in 1869, depicts the successive losses in men of the French army in the Russian campaign of 1812-1813<sup>9</sup>. It figures multi-variate data, including 6 dimensions that are the army size, its direction, its location in (x,y) coordinates, the dates and the temperature in the lower part of the display. "The best statistical graphic ever drawn" according to Edward Tufte is an example of displaying complexity with clarity. Its great strength reside in its capacity to encode many variables. This example reminds also that successful and effective visualization are very specific to the dataset and message, and its beauty resides in the fact that it is very tailored to the story to be conveyed, here: the numerous deaths of soldiers.]

#### 4.3.2 Two widely used ES maps

In the context of ES modeling to inform decisions about landscape management, two types of choropleth maps are very often used:

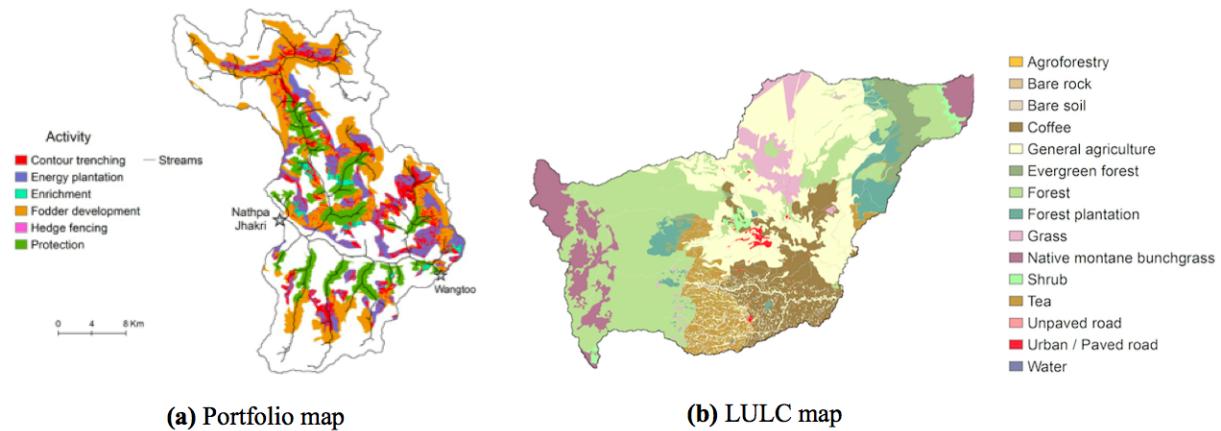
##### 4.3.2.1 Portfolios

Landcover maps, also called LULC for land use/land cover, represent the full landscape with its corresponding land uses. In a spatial targeting context, oftentimes ES modelers will refer to a **portfolio**, meaning a landcover map which correspond to a subset of the full landscape where interventions or

<sup>9</sup>The text translates to: *The numbers of men present are represented by the width of the colored zones at a scale of one millimeter for every ten thousand men; they are further written across the zones. The red designates the men who enter into Russia, the black those who leave it. The information which has served to draw up the map has been extracted from the works of M. M. Thiers of Ségur, of Fezensac, of Chambray and the unpublished diary of Jacob, the pharmacist of the army since october 28th. In order to better judge with the eye the diminution of the army, I have assumed that the troops of Prince Jérôme and the Marshal Davoush who had been detached at Minsk and Mokilow and have rejoined around Orcha and Vitebsk had always marched with the army.* ](images/Minard.png){#fig:minard}

other land management actions are to be undertaken (e.g. protection, restoration, conversion to a specific type of agriculture. Portfolios may be thought of as special cases of land use (or coastal management) *scenarios*<sup>10</sup> – the latter term often being used when a small number of specific land or coastal management options have been developed to reflect stakeholder concerns. When used in this sense, the visualization challenges are essentially the same between the two terms, and we use portfolio for consistency.

When displaying portfolios, highest care must be given to symbology (see recommendations in section 3.2). Land cover color schemes can be very tricky, depending on the number of classes and the arrangement. A good example is given by figure 4.8b.



**Figure 4.8:** Portfolio and land cover maps: (a) a portfolio map of the Nathpa Jhakri catchment (Vogl et al. 2014) and (b) a many-classes LULC color scheme (Courtesy Stacie Wolny)

#### 4.3.2.2 Objective score maps

Objective score maps are choropleths with the area of aggregation being generally at the level of the spatial decision unit (which may be a pixel or polygon). These are widely used to visualize ES model outputs. Often, the objective score maps of different ES objectives are combined in a single one summarizing the overall scores (e.g. in the combined figures in appendix 6.4).

Objective score maps can display either absolute scores, or the change in score relative to a baseline, in which case they are also referred to as marginal value maps. Examples of marginal objective score maps can be found in appendices 6.2 and 6.3.

A variant of these are the activity score maps, which display the objective score specific to an intervention, by decision unit. They detail the impact of an intervention on a specific ES metric, and therefore help answer questions like: *Where in space does a given intervention or scenario improve or worsen a specific ES metric? Where does an activity contribute to objectives?*, which the usual objective score maps, looking at the overall change in ES, fails to address. The concept of activity score maps is detailed in figure 6 of Vogl et al. (2016).

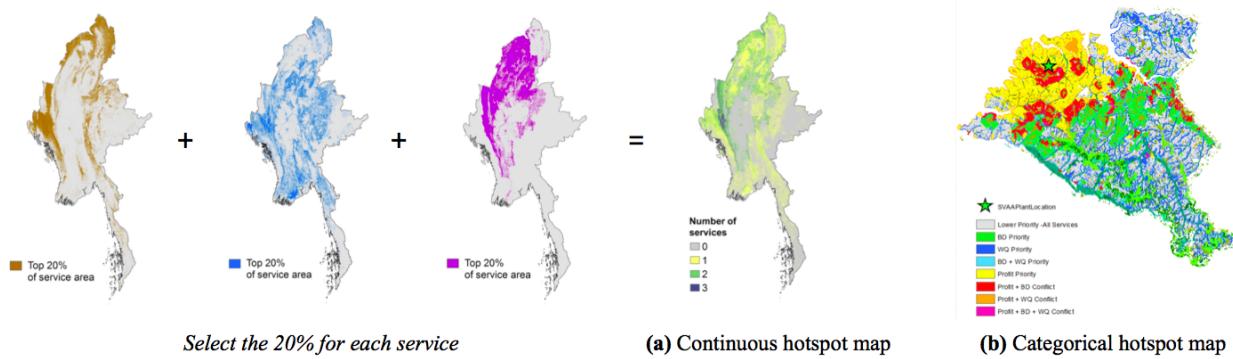
<sup>10</sup>Inconsistent terminology note: sometimes the word *scenarios* is used to refer to a portfolio. Unfortunately, this term has been used with many meanings depending on the context and niche modeling community, including a more general meaning of a specific realization of uncertain exogenous input variables. Thus one might also develop a “portfolio” of targeted interventions under multiple (exogenous) scenarios.

### 4.3.3 Spatial visualization of tradeoffs

In the context of spatial targeting of interventions, ES analysts often have to figure out where, on a landscape, do activities produce co-benefits, and where are they in conflict. That is: where does an intervention move multiple ES metrics (objectives) in the same direction to produce *win-wins* locations? And on the other hand, where in space is a given intervention contributing to some metrics at the expense of others?

#### Hotspot map

In the case of only 2 scenarios or only 2 objectives, one could show change maps, or side by side maps, i.e. techniques used to compare 2 maps, detailed in 4.4.1.1. For more objectives or scenarios, hotspot maps (figure 4.9a) can display location of synergies/tradeoffs of intervention/scenario on multiple ES metrics. The idea of the hotspot map is to select the areas of highest score, for each objective, and find areas of overlaps. For example, as shown in figure 4.9a, the top 20% of each service are selected, the selection are then added to construct the hotspot map. The categorical version of a hotspot map details priority/conflicts zones for each objective, as in figure 4.9b. This one may appear less intuitive, mostly because of the qualitative colorscale, but is more detailed: one can see precisely which objectives are in conflict.



**Figure 4.9:** (a) Hotspot map in Myanmar for 3 objectives (Wolny, 2016) and (b) Categorical version of a hotspot map: Priority and conflicts areas, in the case of 3 objectives: biodiversity (BD), water quality (WQ) and profit. Thanks to this map, the decision-maker can decide where to intervene on the landscape, depending on which objective(s) (s)he prioritizes (Bryant and Hawthorne n.d.)

A remaining subquestion is about the intensity of tradeoffs and synergies in space: where are tradeoffs more or less stark? An extension of figure 4.9b could be envisioned, varying transparency to represent intensity, or using a diverging color scheme (figure 3.1c) to convey both intensity and direction of agreement/disagreement Fig 3.1c].

Another strategy consists in combining trade-off curves with small multiples of objective score maps. On trade-offs curves (see section 4.2.1), each point corresponds to a portfolio: displaying these together greatly enhances user understanding. Examples of strategies to display together the trade-off curve and the corresponding maps are presented in figures 4.17c and 4.18.

### 4.3.4 Relationship between variables

Expressing relationships between independent or correlated variables is often needed when dealing with several objectives or metrics. For example, it is very relevant in the context of displaying the beneficiaries of a project. The survey (see 2.1.3) highlighted growing interest in this topic (details about survey results in section 5.1). However, this task appears to be very context specific. Typically, the displays would aim to quantify and show the impact on beneficiaries, possibly by subgroups, defined based on demographics or their location. It is also often of interest to contrast beneficiary distribution in space with service distribution in space. For example, figure 4.11b highlights the relationship between people's dependency on forests and the location of key biodiversity areas (KBAs).

#### 4.3.4.1 Relationship between independent variables

Two variables can be expressed at once by combining two maps. Figure 4.10a shows only the resulting map, while figure 4.10b displays side by side the two input map and the one combining these, a more self-explanatory but also space-consuming approach.

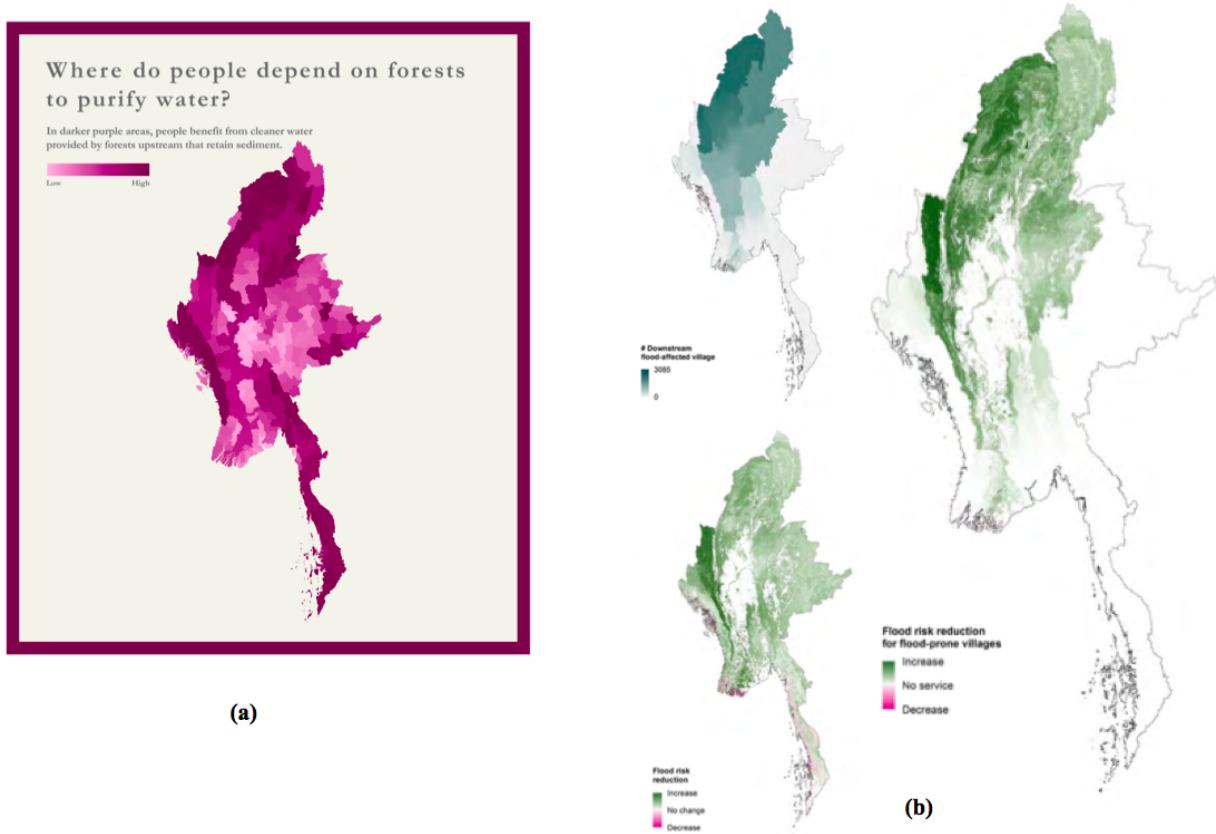
#### 4.3.4.2 Spatial correlation

Spatial correlation can be expressed by displaying correlation statistics computed for corresponding pixels (as in figure 4.11a displaying correlation coefficients) or by overlaying different maps (as in figure 4.11b)

#### 4.3.4.3 Interactive maps

Interactive maps allow one to overlay multiple layers corresponding to multiple variables, allowing to explore relationship between different variables/layers. Good examples include:

- Myanmar Natural Capital: a storytelling approach for a project involving multiple ecosystem services. The tools used here are D3.js, leaflet, openStreetMaps, Google Maps and photoshop.
- The Nature Conservancy also developed a visualization platform, gathering a suite of web applications based on maps, aiming to convey and/or simplify ecological concepts, assess risk, identify and compare different solutions and scenarios. For example, the coastal resilience interactive map in the Gulf of Mexico.
- The Mapping portal for the Belize project by Gregg Verutes, developed with Mapbox, and Open-StreetMap and a similar map web viewer from the same author, for coastal hazard model results in the Bahamas.

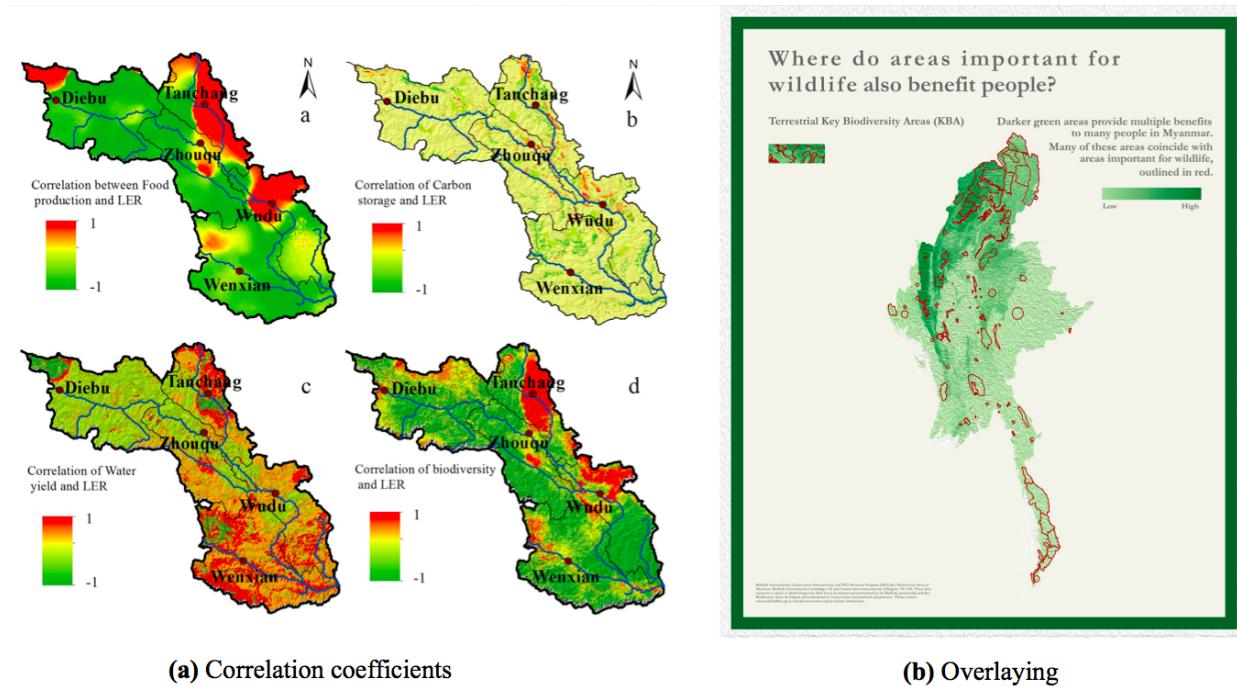


**Figure 4.10:** Examples of combining two maps by multiplying them, in Myanmar (Mandle et al. 2016). (a) Combining ES maps with population maps to show people's dependency to ES. This map results from the multiplication of (1) an objective score map for sediment retention, and (2) a map of the number of people who use surface water for drinking, as provided by the national census (b) the top small map displays the number of villages located downstream from regions affected by the flood, the bottom small one indicates how much the natural vegetation contributes to reducing flood risk. The third map results from the multiplication of the two others, and therefore displays the flood risk reduction provided by natural vegetation that benefits the most villages downstream. Displaying these 3 maps on the same page within eyespan facilitates understanding (here, there are squeezed for purposes of space efficiency, but are originally displayed side by side)

#### 4.4 Comparison of multiple spatial runs

*Runs* refers here to different versions of a spatially explicit variable; this section is about comparing multiple maps expressing the same variable - while comparison of maps expressing different variables was treated in sections 4.3.3 and 4.3.4. This multiplicity of outputs may correspond to multiple scenarios, objectives or varying parameters values (i.e. sensitivity analysis, this case is further described in section 4.5.5). Summarizing these multiple spatial model outputs is necessary in applications such as:

- portfolios comparison, to understand trends in agreement and disagreement on recommended action, in contexts of land use change planning or spatial targeting.
- comparison of ES model outputs such as objective scores at pixel or polygon level, to understand similarity and differences between maps of several ES objectives under one scenario, or the maps of same objective under several scenarios. Many maps are often generated under many combinations of scenarios or parametric uncertainty. Relevant examples also include comparing objective score maps associated with many points on an optimization frontier.



**Figure 4.11:** Expressing spatial correlation (a) through displaying spatial correlation coefficients between two variables. Here, between each ES considered and the landscape ecological risk (LER) (Gong et al. 2016) (b) Overlaying variables: combining information about biodiversity (contour maps in red showing the key biodiversity areas) and about ES benefits (choropleth map with green gradient), overlaid on a relief map (Mandle et al. 2016)

Comparison and summaries of spatial data can be achieved either by visualizing spatially as maps (see 4.4.1) or through quantitative indices and metrics synthesizing the results aspatially (see 4.4.2 for categorical data summary indices, 4.4.4 for continuous data).

### Map comparison tools

Automated comparison of maps can be achieved with software like the\* Map Comparison Kit<sup>11</sup> (*Visser and De Nijs 2006*). An algorithm called *Mapcurves*, implemented in R and Matlab, provides a goodness-of-fit measure based on spatial overlap. TerrSet\* software also provides GIS analysis features, including multiple map comparison and a variety of spatial statistics (*ClarkLabs 2015*). The R packages *raster* and *lulcc* also provide basic statistical functionality like Moran's I, and multi-resolution analysis (see *Pontius and Millones (2011)* for the last).

<sup>11</sup>MCK compares raster maps using fuzzy set map comparison, hierarchical fuzzy pattern matching, and moving window based comparison of landscape structure. See MCK website.

## 4.4.1 Maps displays

### 4.4.1.1 Between two maps

#### Interactive switching between maps

For the examination of (dis)agreement between two maps, analysts often like to flip back and forth between the two (from survey results). This is easy to do in GIS software and is a convenient solution for the data exploration purposes. Nevertheless, this method is not always suited for communication purposes. Plus, this interactive solution doesn't apply to static documents.

#### Side by side maps

A classic static option consists in showing the two maps next to each other. This is not the most space effective option, but allows an intuitive understanding and facilitates comparison. The two maps must be within eyespan (avoid page breaks).

#### Change map

Subtracting one map to the other (the other generally corresponding to the baseline scenario) results in a change map. Typically change maps use diverging color schemes, with two colors representing increase and decrease, and the intensity gradient reflects the amount of change. These are suited for scenario comparison with a baseline scenario, or how two future scenarios differ from each other. An example can be found in additional figure 4.17b.

### 4.4.1.2 Between many maps

The problem complicates when comparing many runs. In the context of multiple continuous ES model outputs, such as objective score maps for several ES services, a hotspot map can be constructed (detailed in section 4.3.3) but is limited to few objectives. Other strategies are suggested below.

#### Maps matrix (small multiples strategy)

When the number of maps to compare is low enough to fit in a page, with a reasonable resolution, the small multiple approach (see section 4.2.5) is relevant, as in figure 4.17a.

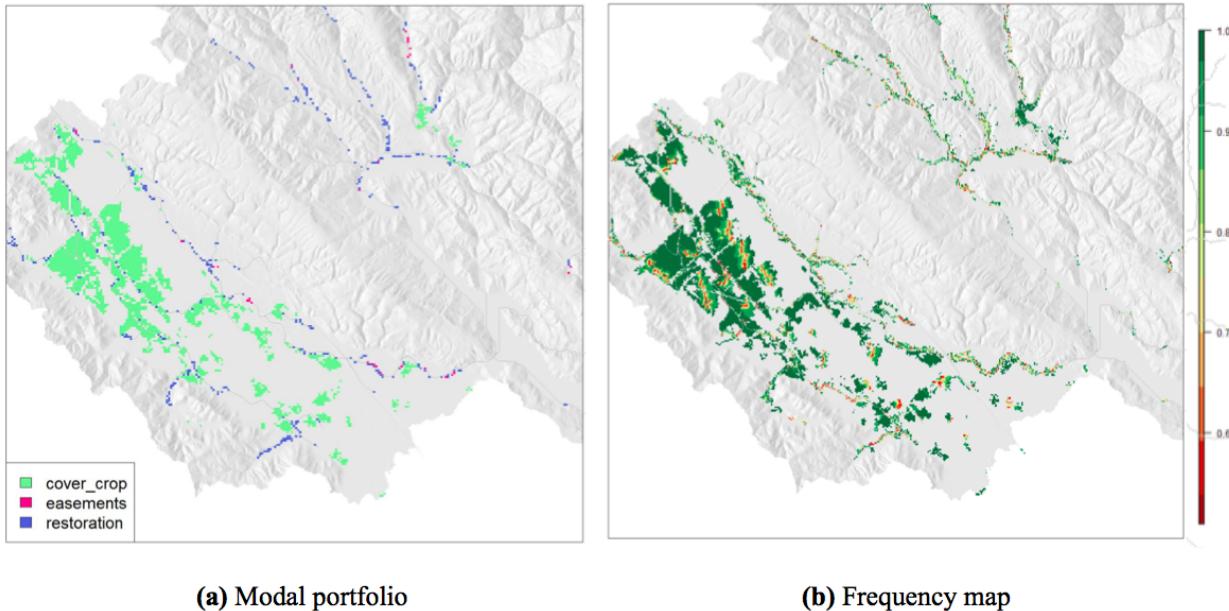
#### Footprint map

To express the extent of where interventions might be considered, footprint maps show which areas have been selected as part of any portfolio under consideration. Pixels are assigned a binary value (1 if the pixel was selected in any portfolio for any intervention, 0 otherwise). To express agreement about an activity across portfolios, footprint maps can also be done for a specific category (1 is assigned if the pixel was selected in this category).

#### Modal portfolio and frequency map

For categorical data, the frequency map approach would display the frequency with which the modal category is assigned to each unit across runs; the modal category being defined according to the context, typically the most assigned category to each area across (Bryant et al. 2015). In the context of portfolios,

this is called the modal portfolio, displaying the most often chosen activity for each spatial unit<sup>12</sup>. The comparison part is held by the frequency map, which express how often was the activity chosen. Precisely, the frequency map is usually constructed as such: for each spatial unit, number of portfolios where the modal value is chosen divided by total number of portfolios. These two maps complement each other: the modal portfolio is about summarizing when the frequency map associated hold indications on comparison. They can be overlayed or displayed side by side, as in 4.12.



**Figure 4.12:** Example for a set of 57 runs, over a subwatershed, modified from Bryant et al. (2015). In the frequency map (b), the prevalence of dark green indicates that most activities are quite robust.

### Categorical map diversity indices

An alternative to frequency maps, to summarize the categorical variance across many runs is to use a diversity index, such as the **Shannon diversity index**: for each pixel  $SDI = -\sum_{i=1}^R p_i \ln(p_i)$ , with  $p_i$  the proportion of cells assigned to category i, and  $R$  the total number of categories. How to interpret the SDI: when evenly distributed,  $SDI = \ln(R)$ , and as it approaches 0, proportions in each category vary more. Hence, SDI reflects the relative abundance of each category across the pixel stack. So, the smaller the SDI, the more confident one can be about the pixel's most chosen category. Other diversity indices can also be substituted, such as the Evenness index, see the work of Kitsiou and Karydis (2000) for details.

The **fuzzy set approach** (Hagen 2003) assesses the similarity of several categorical maps, resulting in a fuzzy set comparison map where each cell displays a degree of similarity and an overall value for similarity, so-called  $\kappa$ -Fuzzy as it extends the Kappa index including fuzziness of category and of location.

Similarly, a **coefficient of unlikeability** measure variability in categorical data by considering how often, not how much, observations differ (Kader and Perry 2007). Calculated for each cell. It should be

<sup>12</sup>More precisely, the modal portfolio maps can either display the category assigned in most of the runs, or limit to these assigned in a certain threshold percentage of the runs.

interpreted as such: the coefficient is high if different interventions were chosen (i.e. low agreement), and low if the same intervention tended to be chosen (high agreement). So it reflects (the inverse of) agreement across maps.

### Variant-invariant method

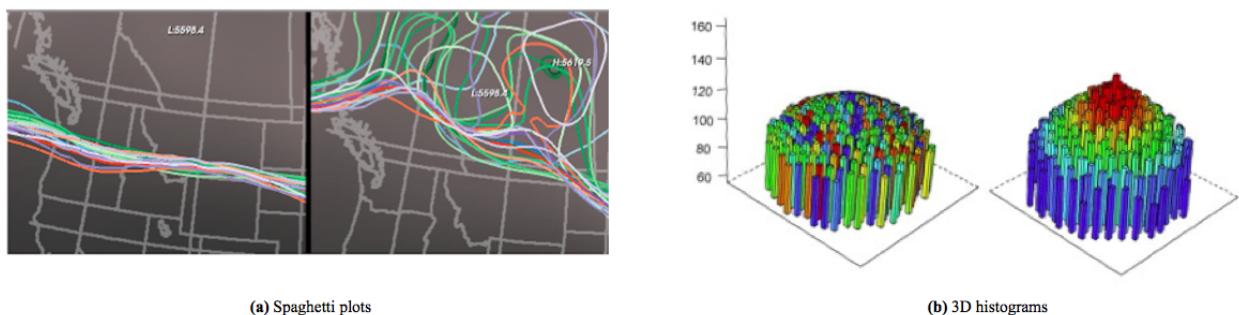
In the same vein, the **variant-invariant method** aims to distinguish the invariant regions, that is the areas where the category assigned is consistently the same (see Brown et al. (2005)).

### Spaghetti plots

Visualizing flow data, **spaghetti plots** (figure 4.13a) express consistency between runs. Widely used in meteorology, the consistency of the runs is expressed by how tightly clustered they appear. Spaghetti plots may be translated to continuous spatial data by using the isocontour of each run, which is useful when concerned about a specific threshold.

### 3D plots overlaying maps

For continuous data, **3D plots overlaying maps** (figure 4.13b) have been used to highlight structural differences across maps. However, this solution seems limited to relatively small regions, and clearly distinguishable distributions of the variables expressed through color and height of the histogram.



**Figure 4.13:** (a) Spaghetti plots displaying ensemble datasets (Wilson and Potter 2009). The spaghetti plot is the isocontour of each run. If the runs agree (Fig. left), it will result in a coherent bundle. Slight disagreements induce divergence from the main bundle (Fig. right). (b) 3D histograms, organized according the geographical layout; extract from figure 8 of Huang et al. (2013)

### Interactive map comparisons

Animation is of great interest in this context. Dynamic visualizations are very suited for displaying multiple spatial outputs, there are increasingly used to display results in the field of ABMs[^1back], encountering similar type of outputs (Lee et al. 2015).

[^1back]: Agent-based modeling (ABM), or individual-based modeling consist in representing phenomena as dynamical systems of interacting agents, where an agent is a discrete and autonomous entity. Their individual behaviors are encoded, resulting in outputs describing the agents' interactions that are used to describe complex systems. These systems can be a variety of processes, phenomena, and situations in any field. (Rand 2015) In the context of this work, ABM is of interest because simulation runs often produce a high volume of multidimensional output data (e.g. induced by Monte Carlo sampling), requiring visualization and statistical analysis of the outputs.

## 4.4.2 Aspatial metrics to summarize results and agreements of categorical maps

Visual comparisons of maps is efficient and not too intense cognitively for human perception. However, it fails to rank quantitatively the results, nor is adapted to an important number of maps. Screening through hundreds of maps produced is not a viable option. Therefore, other solutions must be considered. In particular, a variety of non-spatial statistics can be used to summarize results and agreement over maps of the same area. The quantitative indices presented in this section and the next one (4.4.4 for continuous data) are suited for the purposes of summarizing results across many maps. This list is not exhaustive but aims to give an overview of this broad topic.

### 4.4.2.1 Between two maps

There are different types of categorical (i.e. discrete attributes) map consistency measures (Kuhnert et al. 2005):

#### Total per categories

The coarsest approach would be to compare the total numbers of cells<sup>13</sup> assigned to each category, neglecting any spatial patterns. This gives a very general quantitative overview of the total per categories, that can be delivered as tabular data. (All the other, finer approaches detailed below imply a cell-by-cell comparison.)

#### Percent agreement

A basic cell-by-cell comparison method measures simply the overall agreement (or percent agreement) by calculating the portion of cells that agree between two maps:

(Cell-by-cell level of agreement) = (Number of direct matched cells between 2 maps) / (Total number of cells in map)

#### Kappa index of agreement

KIA or Cohen's  $\kappa$  is a widely used statistic measuring concordance between categorical items. This technique has proven efficient for cell-by-cell comparisons of spatial data (Manson 2005), as long as patterns and locations of changes are not involved (Kuhnert et al. 2005). It is more robust than a percent agreement because it takes into account the agreement occurring by chance.  $\kappa = \frac{p_0 - p_e}{1 - p_e}$  with  $p_0$  being the proportion of units agreeing, and  $p_e$  the proportion of units expected to agree by chance (i.e. the hypothetical probability of chance agreement). Complete agreement results in  $\kappa = 1$  (Cohen 1960).

However, after publishing his work about  $\kappa$ , Pontius (2000) later reconsidered his positions and advocated against the use of this index because of several flaws, mainly the irrelevance of the randomness baseline in many applications, and the fact that the ratio is difficult to interpret and overly complicated, as only the numerator actually matters (Pontius and Millones 2011). Instead, he suggests to use quantity disagreement and allocation disagreement measures (see next point).

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<sup>13</sup>Terminology note: Following Kuhnert et al. (2005), in this section, “cell” corresponds to the regional unit at which data is aggregated, also referred to as SDU, it can be a region, a pixel, a hydrological area or a state for example.

### Quantity & location fit

A more precise version of the  $\kappa$  approach explained above consists in analyzing 2 metrics, measuring respectively the quantity disagreement and allocation disagreement. These are more helpful to understand both components of disagreement than with a single statistic of agreement. (Pontius and Millones 2011). For example:

- The **quantity fit** informs on the number of cells that changed from one category to another, offering an overall comparison on the quantity of each category:

$$\text{Quantity fit} = 1 - \frac{1}{N} \sum |a_{1i} - a_{2i}|$$

where  $a_{ki}$  is the number of cells assigned to category  $i$ , in map  $k$  with  $k \subseteq (1, 2)$ ,  $N$  the total number of cells in map and  $C$  means all categories (Kuhnert et al. 2005).

- The **location fit** informs on the number of cells that kept the category but changed location from one map to another:  $\text{Quantity fit} = (\text{Location fit}) - (\text{Cell - by - cell level of agreement})$  Another possible way of measuring the location disagreement is the **distance between the locations of matching cells** in the maps can also be calculated (Kuhnert et al. 2005). An overall measure of distance between two discrete maps expresses the amount of agreement or the goodness of fit (Seppelt and Voinov 2003) and (Costanza 1989).

### Jaccard index

Other indices comparing agreement across categorical datasets exist. However, very few to no applications in comparing maps has been found. The Jaccard index, also known as Tanimoto index, is computed as the ratio of the intersection of the two sets over their union:

$$\text{Jaccard index} = \frac{\text{Map1} \cap \text{Map2}}{\text{Map1} \cup \text{Map2}}$$

(Van Rijsbergen 1979). Simple to understand, it ranges from 0 to 1, increasing with increasing similarity between the sets. The **Sørensen-Dice coefficient** is a slightly different version of the Jaccard index. Also called the Dice similarity coefficient , or F1 score, it is calculated as such:

$$\text{Sørensen - Dice index} = \frac{2|\text{Map1} \cap \text{Map2}|}{|\text{Map1}| + |\text{Map2}|}$$

More similarity measures for categorical data have been explored by Lourenco (Lourenco et al. 2004).

#### 4.4.2.2 Between many maps

When comparing a large number of maps, aggregation often is necessary to communicate results (Brown et al. 2005). Some of the metrics detailed above that calculate correlation between two maps may be extended to many maps comparison (Seppelt and Voinov 2003), like the total per categories approach:

### Total per categories

Calculating the total numbers of pixels assigned to each category (as in previous section) resulting in a table, with categories in columns and runs in rows, which works if there are not too many runs. If there are, one may display a simple table linking categories with summary statistics indicating some measure

of the mean and the variance (e.g. average and extrema or standard deviation), as exemplified in the table below<sup>14</sup>. However, this measure only accounts for the overall amount of each category, and not for spatial distribution.

**Table 4.1:** Hypothetical example to illustrate the *total per categories* approach

Land cover	Grass	Forest	Barren
Average pixels [min;max]	121 [110;143]	204 [158;226]	25 [14;50]
Average percentage ± standard deviation	35% ± 2%	58% ± 3%	7% ± 3%

### Cell stack methods

Finer methods imply to make calculations for each cell, in all the considered maps (as in, superposing all maps, and making calculation for the column of corresponding cells). For raster data, this technique of *column of cells* is referred to as pixel stack, raster stack, cell stacks, z-profile or vector of values. To summarize agreement between runs in a single number, the measures suggested in 4.4.1.2 can be aggregated. For example, the average SDI would give an indication of the consistency of the runs. However, these overall average do not give any indications on spatial patterns.

### Comparison of landscape metrics

Some spatial metrics allow a user to identify and account for spatial patterns. They allow tabular comparisons of some runs (the indices are calculated for each run). They include Area-weighted mean shape index, centrality indices, contagion index (Lee et al. 2015). Some are more specific landscape metrics, such as the average core area, which is the proportion of production land per land cover category (Parker and Meretsky 2004), and the average patch perimeter-area ratio (PA-1) (Ritters et al. 1995). Landscape statistics measuring sprawl and fragmentation include landscape shape index (LSI), aggregation index (AI) contiguity index (CI) and centrality index (CTI). Together, they allow for comparison of landscape, spatial patterns of change and overall spread (Sun et al. 2014). More details on landscape metrics can be found in section 4.16 of Lee et al. (2015), among other references previously stated.

### 4.4.3 Influence of scale in map comparison and taking patterns into account

Spatial patterns may be interesting because solely computing the number of cell-to-cell matches is not reliable in all circumstances, as if there is a matching cell right nearby, it will not be taken into account (e.g. if we compare two chessboards shifted by one square, the number of cell-to-cell matches is null although there is evident similarity not to be ignored) (Kuhnert et al. 2005). The **moving window algorithm** addresses this issue and accounts for landscape patterns by considering neighboring cells in addition to the cell-to-cell comparison (Kuhnert et al. 2005). In the same vein, the **ECE method** (edge correlated error) also accounts for edge effect (Dean 2005).

Another strategy to address the same concern of accounting for arrangement similarity, instead of moving a “window”, is to vary the resolution considered. The **hierarchical fuzzy pattern matching** avoids drawbacks of a cell-by-cell comparison by aggregating the regional unit considered in patches,

<sup>14</sup>Details of calculations can be found here.

and conducting comparisons at different scales (Power et al. 2001). More suggestions can be found in the work of Remmel and Csillag (2006) who compare maps at different resolution to find hierarchical nested patterns, and of Dean (2005) who suggests to compare **quadtrees** (a hierarchical structure form of representing raster data) at different scales, resulting in the Quadtree-Based Arrangement Similarity (QBAS) index.

The influence of scale and the consistency of spatial patterns in pixel data, at coarser resolution are questions of interest in the context of natural capital. Pontius explores the influence of resolution in map comparison (Pontius, 2008 and 2011), by conducting comparisons at multiple scales. He notes that the format of the data (the resolution considered) impacts tremendously the results which sometimes are more due to this format, than the underlying landscape patterns. One of his ideas is to plot a total measure of difference (e.g. a sum of mean squares of a cell-to-cell comparison) at different resolutions: this plot gives an idea of allocation error relative to mean error and how the allocation error decays with distance. Remmel and Csillag (2006), Power et al. (2001) and Dean (2005) also point out the scale effects while attempting to take into account patterns, spatial shapes and arrangements in map comparisons. There is not yet a clearly adopted methodology to account for scale in ES spatial data analysis, and this topic could benefit from further research. For example, in the context of water-related ES, one may be interested into taking the hydrology of the landscape into account.

However, these methods are relevant only to categorical data, and little information has been found about the influence of scale for continuous maps, that are about to be discussed in the following section.

#### 4.4.4 Aspatial metrics to summarize results and agreements of continuous maps

One handy strategy to handling continuous data is simply to **discretize** it, by sorting the dataset into categories corresponding to ranges of values. This allow to use all the metrics discussed above (section 4.4.2) for comparison. However, the arbitrary classification will impact the results. For a reliable metric, it is therefore necessary to do several different classification (i.e. discretize the dataset with different limits/size of categories). This approach, in the fields of statistics and image processing, has been referred to as *bining*, defined as grouping continuous values into bins, as implemented in many software packages (e.g. R documentation).

##### 4.4.4.1 Between 2 maps

###### Aggregation of cell's values: sum or mean

Each map can be summarized with a value aggregating cell's values. For example, for an objective score map, the overall objective score of the map is the sum of the pixel's scores. The average could also be used to aggregate. Once each map has an aggregated "summary value", these can be subtracted to reflect the numerical difference in ES between 2 scenarios.

###### Spatial correlation indices

Statistical indices like the Bivariate Moran's I or LISA (Local indicators of spatial association) aim to measure spatial autocorrelation between 2 variables in a same location (Matkan et al. 2013), which can correspond to two runs of the same variable. The field of spatial statistics digs more into these metrics.

### Non spatial metrics

Other non-spatial correlation coefficients also can be applied to summarize spatial data, though care must be taken to avoid improper interpretations of statistical tests. An example is the **Pearson linear correlation**:

$$\rho_{X,Y} = \frac{\text{cov}(X, Y)}{\sigma_X \sigma_Y}$$

It ranges from -1 (negative linear correlation) to +1 (positive linear correlation), and 0 corresponds to maps not correlated, or its variant, the reflective correlation.

Other standard statistical tests and summary statistics, not specific to spatial data, can also be used to summarize variance. For example, disagreement between two maps can be summarized by their variance, i.e. the normalized average of corresponding cell's squared difference:

$$\sum_{\text{cells}} (v_1 - v_2)^2$$

where  $v_1, v_2$  would be the same cell's value in  $\text{Map}_1, \text{Map}_2$ , possibly weighted by the relative importance of the cell (for example, the population if displaying results related to beneficiaries). This is just an idea, however, references to a least squares method have been found in literature, referring to it as a conventional approach, which would be to compute the least square on the plotted points (Pontius, 2008).

#### 4.4.4.2 Between many maps

Besides discretizing the data and then using methods from 4.4.2.2, a solution would be an aggregation of aggregated map values: summary statistics on map-wide statistics. As mentioned above, each map can be summarized with a value aggregating cell's values. For example, for an objective score map, the overall objective score of the map is the sum of the pixel's scores. A second aggregation can follow: for example, the mean or range of these overall objective scores provide second order statistics on the collection of maps (e.g. it will give an indication of average total objective score of scenarios), and one can also compare distributions of different subsets of maps.

Measures of dispersion are also interesting in this context, especially when comparing across two *populations* of maps (e.g. one set generated under the assumption of a climate change scenario, another set under a different assumption).

## 4.5 Uncertainty

*“Finding ways to accurately and effectively represent uncertainty is one of the most important challenges in data visualization today. And it’s important to know that visualizing uncertainty in general is extremely difficult to do.”*

*Torres (2016)*

### 4.5.1 Introduction to uncertainty & sensitivity analysis visualization

It is often of interest to analysts to consider how input uncertainty and model structure affect the precision and robustness of findings. Uncertainty may arise from modeling choices, parameters (see in particular section 4.5.5) or future scenarios (e.g. future climate conditions). The inclusion of uncertainty estimates

improves decision making (Reichert and Borsuk (2005) and Beven (2006) among others). Because ecosystem services depends on unique landscape characteristics, each case is unique and different procedures can be specifically chosen to generate summaries of robustness and sensitivity (Ligmann-Zielinska et al. 2014). However, uncertainty is often just ignored in the representation because it is challenging to visualize (Torres 2016). Conveying both statistical and data uncertainties is nevertheless essential to a thorough communication of results.

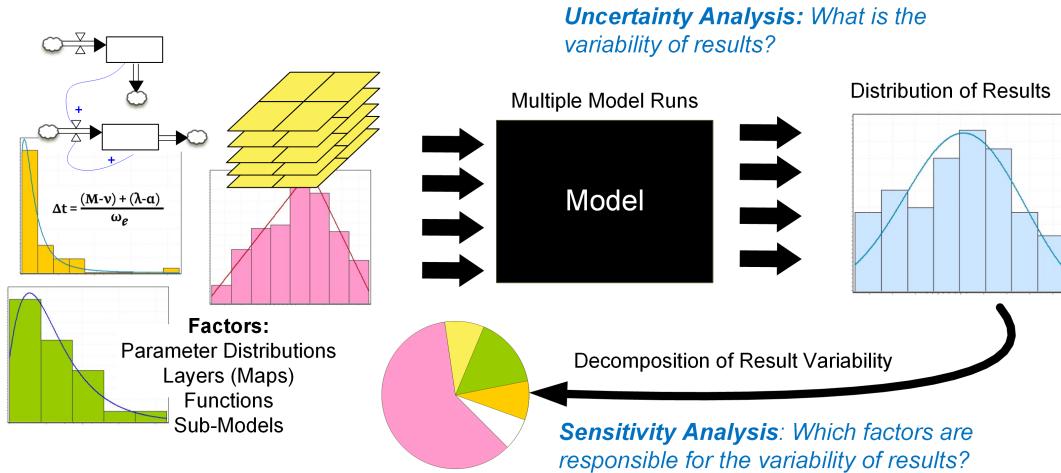


Figure 4.14: Uncertainty analysis and sensitivity analysis (Ligmann-Zielinska et al. 2014)

Closely related concepts must be distinguished: uncertainty analysis (UA) aims to explore the variability of the results; whereas sensitivity analysis (SA) explores the factors responsible for such variability. Robustness is considered when conducting uncertainty analysis; defined as “*the ability of a system to resist change without adapting its initial stable configuration*” (Wieland and Marcus Wallenburg 2012), it can be understood as insensitivity to changes (Maier et al. 2016) or also a function of performance under many states of the world. In the context of decision making, a decision is qualified robust if not vulnerable to changes, i.e. if it leads to the desired outcomes under different states of the world. However, the concept of robustness is somehow vague, and cover several interpretations overlapping with UA and SA. For example, assessing the robustness of many runs resulting from uncertain inputs or model assumptions can be considered as a sensitivity analysis (Pianosi et al. 2016).

The following discussion is limited to scalar and spatial data, leaving aside data types that are rarely encountered in the context of natural capital information such as 3D datasets, that are further explored by Brodlie et al. (2012).

#### 4.5.2 Non-spatial data uncertainty displays

Not only does uncertainty adds a dimension to the visualization, it is especially complex because it depends directly on the data itself, and also because uncertainty propagates, i.e. if the data is transformed to be visualized, its underlying uncertainty propagates, not necessarily in a trivial way (Correa et al. 2009). Uncertainty can be presented in different ways, for example as a function of the data, (as a PDF, as a multi-value data) or as bounded data.

For one dimensional scalar data, uncertainty can be represented with **error bars** (Ehlschlaeger et al.

1997). **Box plots** are also common to express variability by showing the quartiles. An extension of these are the **violin plots**, additionally displaying the probability density (kernel density estimation) of the data at each value (Hintze and Nelson 1998).

**Contouring** by displaying around the mean an indication of the spread, or the standard deviation, allow to visualize the range among which the variable can locate (Brodlie et al. 2012). This is common for time-series datasets.

#### 4.5.3 Spatial data uncertainty metrics

Many ES analysts (responding to the survey) came to the conclusion that uncertainty seems best understood in an aspatial manner, as spatial representations can often be difficult to digest. At NatCap, visualizing uncertainty has generally been handled via tabular representations or in a narrative format (according to survey answers). In this context, summary metrics are necessary.

In general for uncertainty analysis, two statistics are very relevant to display: a measure of central tendency, such as the mean, and an indicator of dispersion, for example the variance or standard deviation (Ligmann-Zielinska et al. 2014), but also extrema (minimum and maximum, or extreme percentiles); these measures of range are the most relevant when dealing with deep uncertainty (see also Hadka et al. (2015)).

There are also specific measures for robustness, based on the fraction of states of the world in which a conclusion remains valid or a strategy performs acceptably. Robustness measures of two types: **regret and satisficing measures**. The former seek to minimize expected loss, that is difference with respect to the solution that would be chosen under perfect information; ; the latter seek to maximize the range of solutions that are “good enough” (Hadka et al. 2015). Robustness of an output is contingent upon the consistency between multiple runs leading to this output, under varying conditions. Therefore, spatial metrics measuring agreement between multiple runs, as detailed in sections 4.4.2 and 4.4.4 can be used for assessing robustness, and will not be repeated here, to avoid redundancy. Furthermore, there is an approach specific to robustness in the context of decision making. Methods based on the **breakeven point** inquire about the magnitude of change that would result in changing the decision. In the context of spatial targeting, the breakeven ratio is defined as the prioritization score of the best choice over the prioritization score of the second best choice. This metric applied to spatial data result in prioritization score breakeven maps, which are efficient displays of how much the prioritization score of the chosen category would need to be reduced before switching to the second best category (Bryant et al. 2015)

#### 4.5.4 Spatial data uncertainty map displays

The most common static visualization techniques for spatial data uncertainty include:

##### Juxtaposition of an uncertainty map

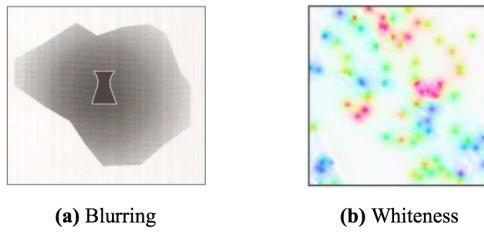
That is adding a separate similar figure to represent solely uncertainty (via a choropleth or heatmap with a sequential color scheme expressing a measure of uncertainty for example), alongside the representation of the data.

### Overlaying an uncertainty variable

Another approach consists in overlaying a visualization of uncertainty on top of the main one. (Bingham and Haines 2006) overlays a contour map of an error field on top of a heatmap of the mean value of a multivalue dataset, but it is not so straightforward. Integrating additional geometrical objects, such as labels, volume rendered thickness, or error bars may express uncertainty (Griethe and Schumann 2006). Circular glyphs and ribbons have been designed, in the context of weather forecast ensemble data, to visualize uncertainty (Sanyal et al. 2010).

### Modifying the displayed variable to account for uncertainty

Varying the value of a free graphical variable, such as a property of the color palette used to visualize the main data, can also express uncertainty. The first option is “**blurring**”: the focus is adapted proportionally to the level of uncertainty, this can be done through one of these parameters: contour crispness (“fuzzyness”), fill clarity or resolution (Maceachren 1992). Formally, blurring is defined as removing spatial high frequency details (Russ 1995). **Saturation** is the second option, in which uncertainty is expressed by paleness, also referred to as whiteness (Hengl 2003). More options include texture and edge crispness variations, overall all these solutions are more intuitive but less precise (Griethe and Schumann 2006).



**Figure 4.15:** Examples of uncertainty displays for a spatial variable: (a) blurring by Maceachren (1992) (b) whiteness by Hengl (2003)

### Agreement maps

Techniques for comparison of multiple spatial runs, such as frequency maps or spaghetti plots, can be applied in the context of uncertainty. See section 4.4.1.2.

### Interactive options

In dynamic visualization, uncertainty representation can be more easily achieved. A swap button can allow the user to successively visualize the main data and its associated uncertainty on the same background map, allowing easier interpretation, with the possibility of going back and forth. This method is referred to as **toggling** (Aerts et al. 2003). Other interactive options include the **clickable map**, where uncertainty information displays upon click (Wel et al. 1998). There has also been attempts to express imprecision dynamically addressing human senses via vibrations proportional to the level of uncertainty (Brown 2004), or smooth animation of sequence of different realizations of a model (Ehlschlaeger et al. 1997). There have even been attempts to display uncertainty by adding another dimension via sounds (S.K. Lodha and Sheehan 1996)(Fisher 1994). Another solution to convey accuracy is probabilistic animation: the uncertain points appear and disappear according to their accuracy, i.e. the probability domain is sampled over time (Lundstrom et al. 2007).

### Maps of uncertainty metrics per pixel stack

Another strategy is to combine uncertainty metrics with maps, by displaying statistics calculated across cell stacks (a cell-wise function applied to each element of the map stack). For example, a map could show measures of dispersion like standard deviation or extremum, or measure of robustness such as the amount of time the cell has value in a certain range (for continuous data) or is assigned to a specific category (for categorical data). This approach has been considered, with the example of the Shannon diversity index, in section 4.4.1.2.

The techniques described above were mainly developed for continuous data, but can be adapted to categorical data. However specific techniques for categorical spatial data exist. In the context of land covers, prioritization score maps express the efficiency of a landcover/activity with regards to an objective (Bryant et al. 2015)

### 4.5.5 Parametric uncertainty: sensitivity analysis displays

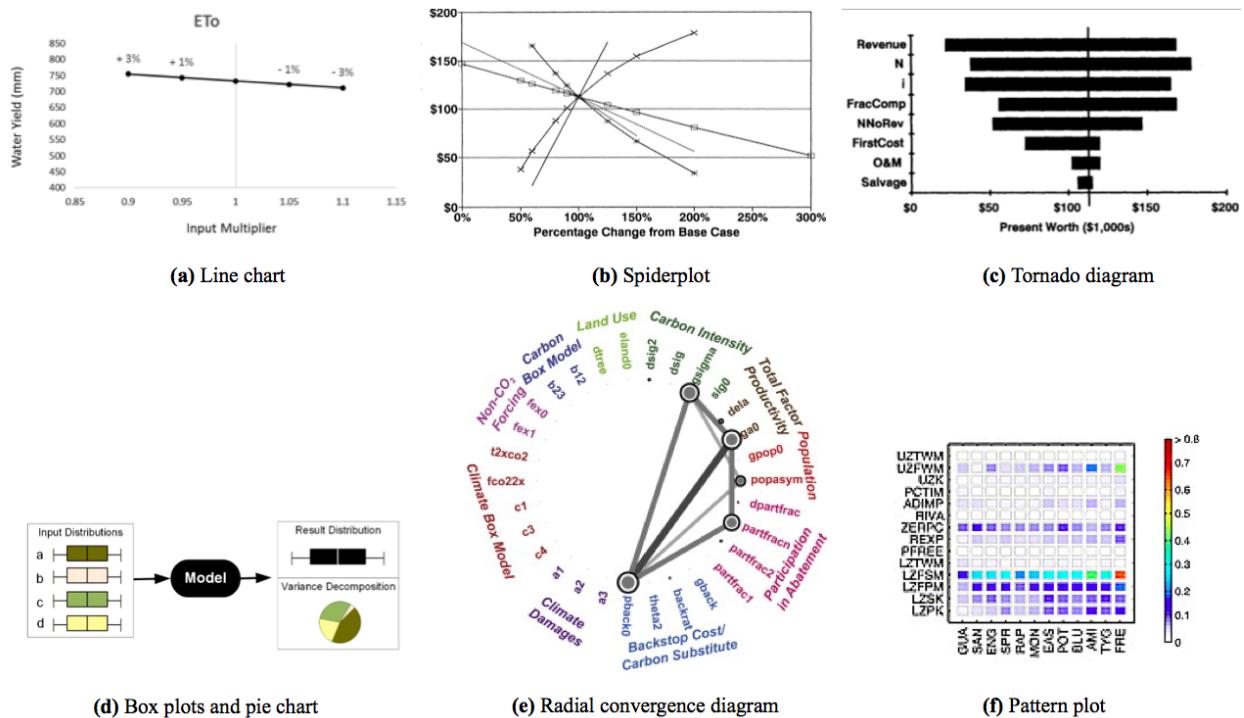
Sensitivity analysis aims to understand the influence of the inputs, and their uncertainty, on the outputs and their uncertainties of a model (Pianosi et al. 2016). To visualize the input/output relationship, a common and direct way is a **scatterplot** (for each input parameter, with input parameter considered on x-axis and the output on y-axis), the relationship is explicitly revealed, especially in the case of structured dependencies. This corresponds to the so-called O(F)AT (one factor at a time) method (detailed by Hamby (1994), and exemplified by Murphy et al. (2004) among others). However, with a high number of inputs, scatterplots can become cumbersome. Then, partial derivative of the output by one factor can be displayed to assess the impact of small perturbations; however it explores only locally the input space around a baseline. Other metrics such as the percentage of output change per percentage of input change, or sensitivity indices are also used. More details can be found in (Hamby 1994).

**Line plots** express sensitivity analysis by showing the impact of change in an input parameter on the output variable: the more horizontal the line is, the less sensitivity to the considered parameter. **Spiderplots**<sup>15</sup> are an upgrade of the line plot, to combine several factors. They display the total impact of factors, and highlight their relative importance. Additionally they include limit values and impact on output of each input, and also the amount of change in input leading to breakeven point. However, the amount of factors displayed is limited (to about 7 according to Eschenbach (1992)). These are 2D plots, with percentage of change from baseline on x-axis, and output values on y-axis, and several lines corresponding to each input.

Variance decomposition is another approach to sensitivity analysis; then **pie charts** displaying variance partitions are a good alternative (Lee et al. 2015). In contrast to trying to display the impact of each inputs, variance-based method focus on finding the most influencing factors. The output variance is decomposed into parts corresponding to the contribution of each input, therefore displaying its impact on total variance (Homma and Saltelli 1996). Pie charts are widely used mostly because they are easy to build and understand. They are effective for small datasets (less than 6 segments of notably different sizes), and if segments are ordered according to size (Todd 2016). Because they are only effective on small datasets, and inadequate for comparisons (between several pie charts), partisans of high density space-effective displays, like Tufte, argue against these charts.

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<sup>15</sup>Spiderplots or spidercharts is a blurry term to that has been used to refer both to 2-axis spiderplots (as in figure ??b), but also to multi-axis spiderplots which are also referred to as radar chart (as in figure 4.4a).



**Figure 4.16:** Examples of plots to express sensitivity analysis (a) Line plot shows the impact of change in an input parameter (ETo) on the output variable (water yield) (Vogl et al. 2014). (b) Spiderplot (c) Tornado diagram (d) Expressing sensitivity analysis through variance decomposition, with box plots and pie charts by Ligmann-Zielinska et al. (2014). (e) Radial convergence diagram, displaying Sobol sensitivity results for 30 parameters grouped in 10 categories, from Butler et al. (2014). (f) Pattern plot, evaluating sensitivity for 12 watersheds and 14 parameters, using the Sobol sensitivity index over a 38-year period, by Werkhoven et al. (2008). See also Pianosi et al. (2016), Appendix A.

**Tornado diagrams** are also used to visualize the total impact of factors, and highlight their relative importance (Eschenbach 1992). They are horizontal bar charts corresponding to each input, plotted on the axis of output values. Pianosi et al. (2016) gathers examples of helpful visualization tools for global SA (see her Appendix A).

**Radial convergence diagrams** arrange input parameters in logical groups around a circle, and express, for each input its influence on output, and interactions with other input parameters. The size of inner circle of each input is proportional to its direct influence. The size of the outer circle (ring) reflects its total influence (including interactions). The interactions are reflected by the lines connecting the input parameters, the lines' width reflects the extent of the interaction (Pianosi et al. 2016).

**Pattern plots** are similar to temporal maps (section 4.2.2) for sensitivity analysis. A color scale reflects the output sensitivity for each pair of inputs. On figure 4.16e, the input parameters are listed vertically, and the study sites horizontally. Here, the study sites are listed according to another parameter (climate conditions), therefore facilitating visual understanding of trends (Pianosi et al. 2016)

This section focused on visualization, but there are many ways to conduct a sensitivity analysis, using landscape comparison metrics described in section 4.4.2.2. Furthermore, sensitivity analysis packages exist in multiple programming languages (e.g. *SAlib* for Python, *sensitivity* for R).

## 4.6 Summary

### 4.6.1 Key take-aways

With no pretension of being exhaustive, this chapter gathers strategies to display complex data in the context of ecosystem services model outputs. It takes inspirations from the current data visualizations in different fields, such as agent-based modeling and ensemble datasets.

Overall, combining multiple linked displays seems to be necessary as complexity and number of dimensions increase, as K. Potter et al. (2009) argues in the context of gaining insight on distribution of spatio-temporal simulation results, as well as the associated uncertainty. Arranging many views is also relevant to facilitate comparisons (Buja et al. 1996). Anecdotal experience suggests that some of the most impactful visualizations currently used combine interactive features and multiple linked views to deliver broad information in an organized and straight-forward way, as exemplified by The Middle Cedar Visualization in figure 4.18 (Hawthorne 2016).

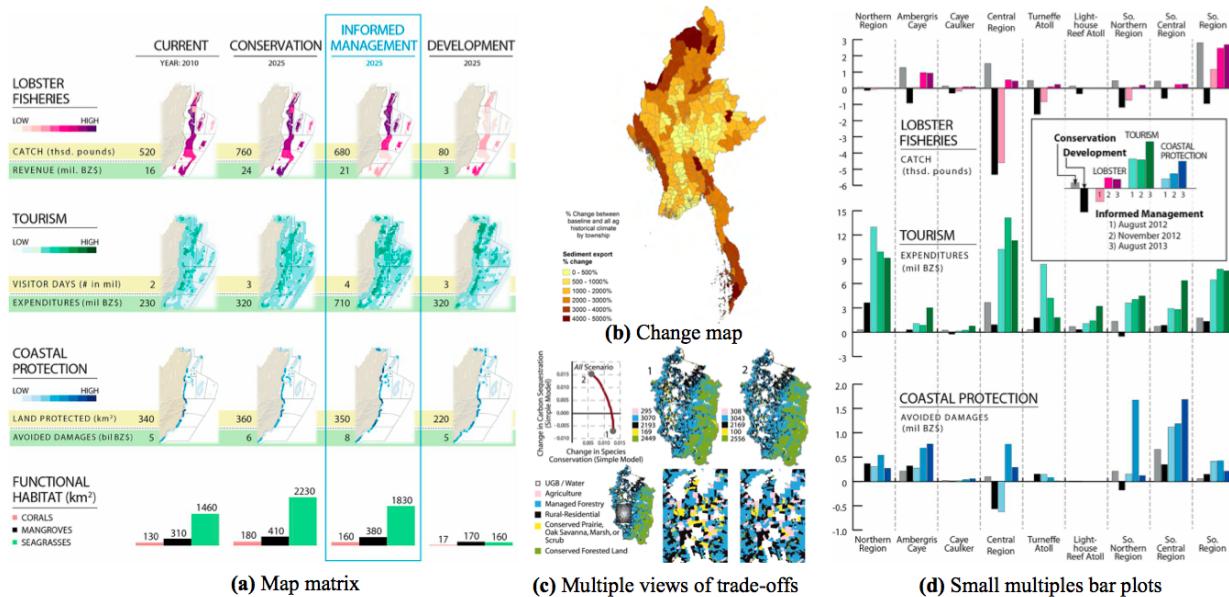
The examples, guidelines and suggestions gathered above aim to support creation of effective visualizations. Nevertheless, the main take-away is that graphs are here to support conveying the data's message; i.e. visualizations should always be tailored to the specific need and dataset. The final visualization needs to be adapted to fit the document type and format, the audience addressed and will also depend on the functionalities of the charting tool used.

On the whole, each visualization is very **context specific**, therefore there is no perfect solution adapted to every case. An appropriate display must be selected or designed according to the intended goal (i.e. message to convey), the audience and the available resources (in terms of time, expertise, tools, presentation medium and dataset).

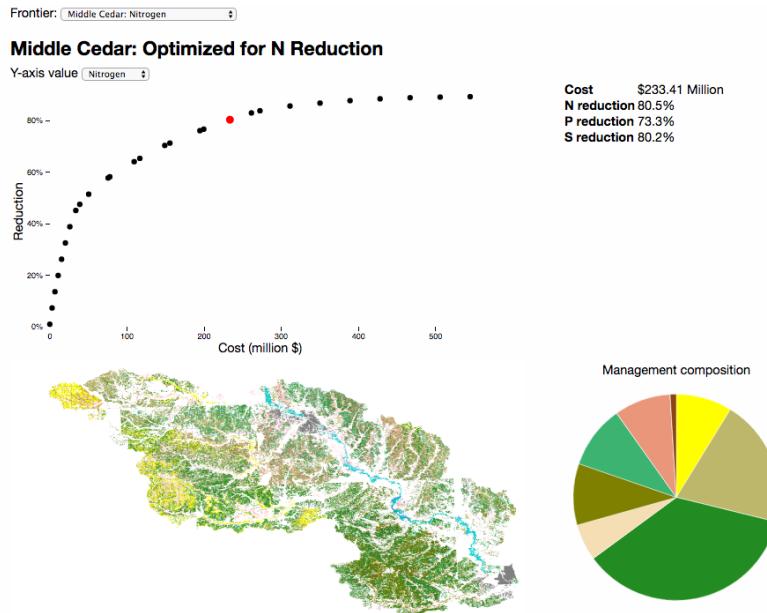
### 4.6.2 Resources

#### Examples and inspiration

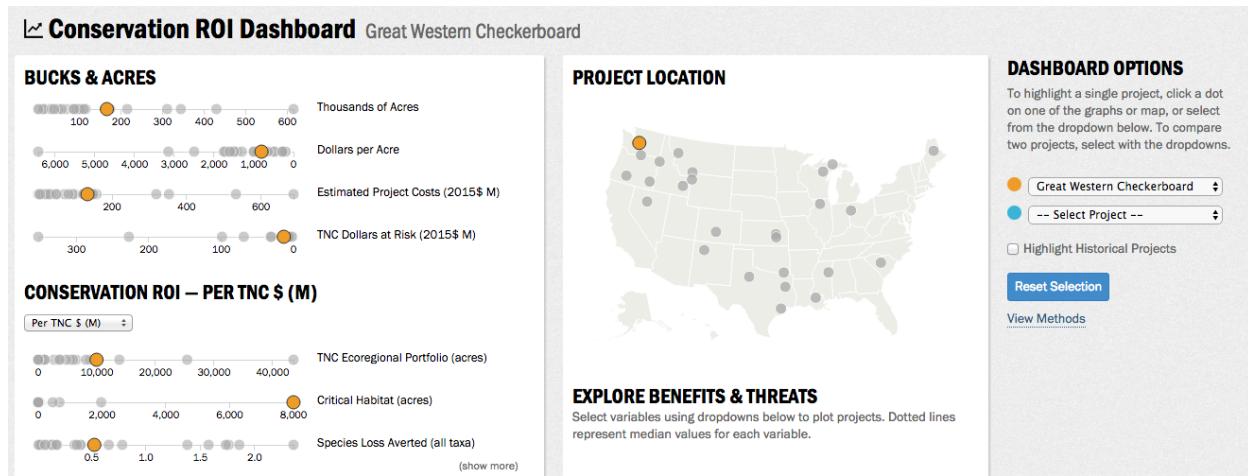
- Flowing data: General data visualization ideas in blog format
- The Gallery of the Marine Planning Concierge Tool gathers great work on marine ES. These include the Mapping portal for the Belize project by Gregg Verutes and a similar map web viewer from the same author, for coastal hazard model results in the Bahamas
- Myanmar Natural Capital: a storytelling approach for a project involving multiple ecosystem services. The tools used here are D3.js, leaflet, openStreetMaps, Google Maps and photoshop.
- The Nature Conservancy also developed a visualization platform, gathering a suite of web applications based on maps, aiming to convey and/or simplify ecological concepts, assess risk, identify and compare different solutions and scenarios. For example, the coastal resilience interactive map in the Gulf of Mexico
- Collaborative GeoDesign, a web application allowing users to test land cover changes on the landscape, and informs on the expected corresponding impact on ES metrics
- Weather forecast: the NWS enhanced Data Display



**Figure 4.17:** Additional examples (a) To compare 3 ES metrics between 4 scenarios, Arkema et al. (2015) combines small multiples of objective score maps, with summary statistics and bar plots. (b) Change map displaying the amount of additional sediment export (in percentage) comparing a future scenario with the current baseline one (Mandle et al. 2016). (c) On a static display, Nelson et al. (2008)'s strategy consists in displaying only the extreme points of the trade-off curve. (d) Change in services for all scenarios and iterations relative to current management Arkema et al. (2015)



**Figure 4.18:** The Middle Cedar visualization is an interactive webapp, developed by Hawthorne (2016), who combined a scatterplot, a map, a pie chart and summary statistics in a single dashboard. It allows users to click on each point of the trade-off curve, and the corresponding land cover map will be displayed. Additionally, summary metrics and a pie chart provide information relative to the scenario chosen upon click (Hawthorne 2016)



**Figure 4.19:** Extract of the Conservation ROI Dashboard, gathering and comparing ROI projects across the US

## Useful resources

Hereafter are listed several resources that may be useful while creating visualizations.

Color palette pickers, to help choose a color theme:

- Colorbrewer, with an emphasis on maps and colorblind-friendly schemes
- Colrd, collaborative more designer-oriented website
- Adobe Kuler

Parallel coordinates plots resources:

- Ready-to-use parallel coordinates graph for multi-dimensional data
- Code to build a parallel coordinates plot in javascript with D3.js
- Explanations to build a parallel coordinates plot in Python
- R package for Multi-objective Robust Decision Making, including parallel coordinates plot and 3D scatterplot (linked together)
- To make alluvial (categorical version of parallel coordinates) plot: R package *alluvial*

Analysis of spatial data with Python:

- PyGeoprocessing: geoprocessing routines for GIS
- A well written blogpost about maps in Python
- and another one on the same topic
- Basemap examples

Analysis of spatial data with R:

- overview of analysis of spatial data
- Illustration of the *ggmap* package
- Introduction to the *raster* package
- Introduction to the *RasterVis* package, built on top of *raster* package

Other geovisualization platforms:

- A list of 19 online geovisualization tools
- Mapbox (API and libraries)
- Carto (web platform)
- Leaflet (JavaScript library)
- Mapzen (API)

Sensitivity analysis:

- with R: *sensitivity* package
- with Python: *SAlib* library

Layout and design:

- Image editor and layout tool online, a free alternative to Photoshop

#### 4.6.3 Summary table for specific display needs

Topic	Display task	Suggested displays (and link to corresponding chapter)
Maps (any)	<i>Compare 2 maps</i>	Change maps, two maps, agreement maps (4.4.1.1) or aspatial metrics (4.4.2.1)
	<i>Compare many maps</i>	Map matrix (4.4.1.2)
	<i>Summarize many maps</i>	Modal portfolio and frequency map, map of diversity indices (4.4.1.2), aspatial metrics (4.4.2.2 and 4.4.4.2)
Portfolios maps	<i>Display a portfolio</i>	Choropleth map (4.3.2.1)
	<i>Agreement on doing an (any) activity across portfolios</i>	Footprint map (4.4.1.2)
	<i>Agreement on specific activity across portfolios</i>	Activity-specific footprint map (4.4.1.2)
Maps of ES model outputs	<i>Spatial prioritization of intervention(s)</i>	Objective score map (4.3.2.2)
	<i>Impact of intervention or scenario on a specific ES metric</i>	Activity objective score map (4.3.2.2)
	<i>Location of synergies and tradeoffs of intervention/scenario on multiple ES metrics</i>	Hotspot map (or priority/conflict map) (4.3.3)
Non-spatial ES model outputs	<i>Visualize tradeoffs</i>	Hotspot map (4.3.3)
		Parallel coordinate plot (4.2.3), Radar plot (4.2.4)
	<i>Several objectives under small number of scenarios</i>	Small multiples, Scatterplots, i.e. trade offs curve (4.2.1 and 4.2.5)
	<i>2 objectives under many scenarios</i>	Scatterplot: trade off curve (4.2.1)
Scale	<i>3 or more objectives under many scenarios</i>	Parallel axis plot (4.2.3), Small mutiples scatterplots i.e. trade off curves (4.2.1 and 4.2.5) or dimension reduction to 2 objectives (4.2.6)
	<i>Consistency of spatial pattern in pixel data (at coarser resolution)</i>	Area to be further explored (4.4.3)
	<i>Characterize uncertainty in a set of input parameters (sensitivity analysis)</i>	Spiderplot, line chart, tornado diagram, box plot, radial convergence diagram, pattern plot (4.5.5)
Uncertainty	<i>Characterize uncertainty in spatial model outputs</i>	Metrics (4.5.3) and maps display such as juxtaposition or overlay (4.5.4)
	<i>Characterize contribution of different uncertainty sources (to output uncertainty)</i>	Box plot linked to a pie chart (4.5.5)
	<i>Show and quantify beneficiaries impact, location of beneficiaries and contract with service distribution</i>	See section 4.3.4

## AN INNOVATIVE TOOL TO DISPLAY NATURAL CAPITAL RESULTS

### 5.1 Motivation and analysis of the need

While exploring the design strategies to display natural capital assessments results, in the previous chapter, several areas of improvements and gaps were brought to light. However, challenges and gaps alone do not equate to a priority. The survey (see section 2.1.3) was conducted to better understand what gaps above are considered important, as well as identify any other's that may have been missed in the literature review.

In the survey, ecosystem services analysts stated their own display strategies and needs. As detailed in 2.1.3, the respondents assessed for each listed display task: its usefulness in general, and the need for an improved implementation with a score from 0 to 5; 5 meaning very useful. The display tasks gathered appeared to be right on point as the average scores, in terms of general usefulness, were all higher than 3.5, 84% were higher than 4.0 and 47% higher than 4.5. These results confirms the relevance of these display tasks, all perceived as useful by the survey respondents.

#### 5.1.1 Survey results: quantitative prioritization of display needs

Method (A): A rough assessment of the most important needs consists in selecting the needs that received **average scores strictly above 4, both in terms of general usefulness and need for implementation**. The selection results in 8 display needs, belonging to 5 of the 6 different categories.

Method (B): Another approach is to define the most important needs as the ones which received **the most 5s in terms of need for implementation**, i.e. the display needs that are likely to meet demand, but only for a subset of the analysts population. Selecting the top 10% of the display needs, ranked by the number of 5s received results in 9 display needs, among which 7 overlapped with the previous selection.

The 7 display needs selected through both the top average scores (A), and the maximum of top scores in term of implementation need (B) are considered to be the priority. The present tool aims to answers

these display needs, namely:

1. Compare many portfolios (both “pairwise” selecting pairs to look at, and also summarizing multiple)
2. Understand similarity and differences between many pixel-based maps
3. Location of synergies of intervention/scenario on multiple ES metrics
4. Location of tradeoffs of intervention/scenario on multiple ES metrics
5. Understand trade-offs and synergies between > 3 objectives under small number of scenarios
6. Characterize uncertainty in spatial model output - derived from multiple runs
7. Show/quantify beneficiaries impact by subgroups

### 5.1.2 Survey results: qualitative analysis of comments and interviews

Additionally to the scores, respondents were allowed to provide comments, and interviews were conducted on specific use cases, which resulted in important information.

The interest in an interactive tool was repeatedly expressed, allowing to display and compare portfolios, objective score maps, and also to compare how results change upon adjusting the relative importance of different ES objectives.

*“Static maps often do not suit communication needs, and I could really use a ready way to build interactive maps.”*

*“With synergies and tradeoffs it would be amazing to be able to dynamically adjust the weights of ES metrics so that decision makers can visualize the effect of marginal changes in their (or their constituents) values”*

About objective score maps: *“we are finding better uptake through use of interactive, user-friendly interfaces”*

Several opportunities for improvements were highlighted with respect to considering uncertainty and beneficiaries. Although it was noted that the demand for detailed information about uncertainty rarely arises from the stakeholders, several respondents suggested it was important to create and meet this demand, as they considered important and interesting to display the results' underlying uncertainty.

### 5.1.3 Summary of the need: design question

These results lead to following design question, that the suggested visualization tool aims to begin addressing.

***How can we compare many scenarios (via their portfolios and other relevant maps), identify the locations of synergies or trade-offs between several ES objective, and have an indication of the effect of uncertainty?***

## 5.2 Design

### 5.2.1 Goal

The tool aims to allow visualization of tradeoffs between objectives, comparison of scenarios and assessment of uncertainty in an interactive dashboard with multiple linked views where users could select one or a subset of solutions and all plots would update dynamically to match selection.

In between communication and exploratory purposes, this tool aims to be first introduced by the analyst who has generated the results. Then, the audience such as stakeholders, once familiarized with the functioning of the tool, can further explore the dataset, referring to the online tool. It is not fully self-explanatory, but the interpretation is supported by multiple help buttons providing information on the data structure. More complex or simpler versions could be tailored to facilitate analyst exploration and un-guided stakeholder use, respectively.

### 5.2.2 Use case

The proposed tool takes the form of a dashboard, combining multiple linked plots (see 4.2.7) which allow to explore interactively the results. Design choices were made through an iterative process and are based on the knowledge of chapters 3 and 4. The design of the tool has been refined, specifically for the Upper Tana Waterfund use case, described in 2.2.2.2. The goal here is to optimize which landscape and farmer extension interventions to undertake in the Upper Tana basin, in order to improve water quality, quantity, and farmer livelihoods in the region – which also serves as an important water supply and power generation area for Nairobi. Possible interventions are road mitigation, agroforestry, riparian management, terracing, reforestation, grass strips. For the purposes of the tool demonstration, three ES objectives are considered:

- Annual water yield (AWY) to be maximized, as it serves water supply and hydropower needs
- Sediment export to streams (SDE) to be minimized, as it harms reservoir and water treatment operations, among others
- Soil erosion (SDL) to be minimized, as it reduces agricultural productivity.

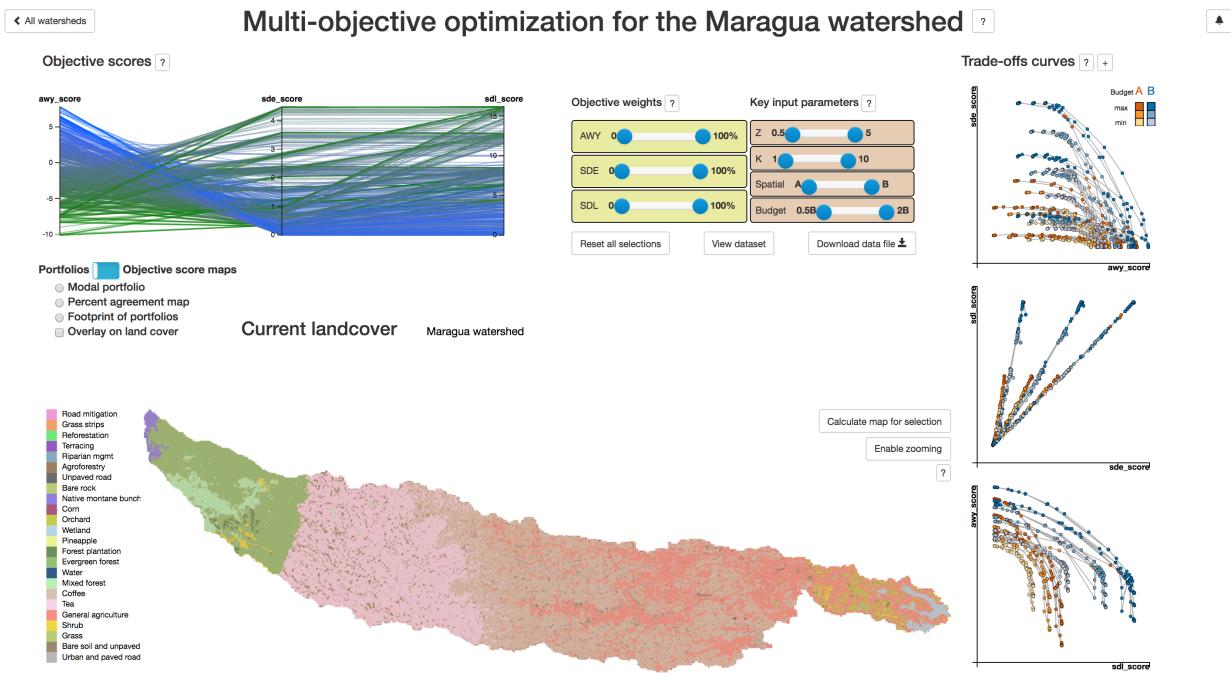
Many scenarios (possible futures) were considered, varying objective weights and input parameters' values. The models were run for each scenarios, leading to the generation of different portfolios (intervention maps). The 810 portfolios arise from uncertain or scenario-based input variables (4 parameters with 2 to 4 possible values each) and 15 combinations of objectives weights (details on portfolio generation below). *Additional information and background on the Upper-Tana Nairobi Water Fund can be found in the introductory page of the visualization web application*

Out of the needs identified this tool would tackle the needs stated in section 5.1.1, with the exception of the one about displaying beneficiaries impact, this use case not having data on the matter.

### 5.2.3 Tour of the dashboard and main features

The web application can be found online at: [https://charlottegiseleweil.github.io/webviz\\_natcap](https://charlottegiseleweil.github.io/webviz_natcap)

Dynamic visualization helps a user build insight into the relationship between model inputs and outputs, by exploring how results change and how spatial aspects are connected to overall performance.

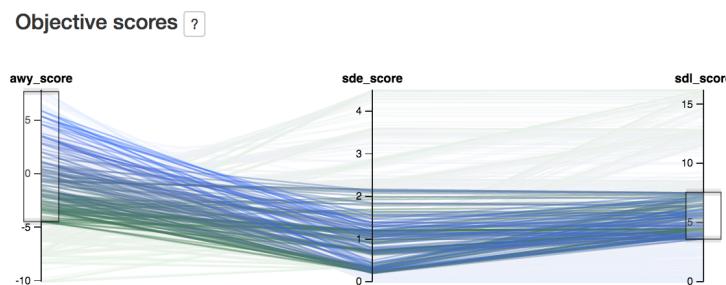


**Figure 5.1:** Screenshot of the web application in its initial configuration (*[View it online](#)*)

Visualization where the information is presented in multiple ways simultaneously, while being linked, and updatable, can help a user see the whole picture while also focusing on particular cases.

The dashboard consists of multiple linked views of the dataset, which consists of objective scores for each portfolio, linked to the objective weights and input parameters that define that portfolio, as well as the related maps (described below). Brushing and linking options (defined in 3.1.5) allow to connect the different plots: upon selection of a subset of solutions in any of the views, all the others update automatically to display results of this selection. All the plots and statistics update instantaneously on-the-fly. Only the map requires a certain rendering time, expressed by a loading indicator. The visualization includes five main elements detailed hereafter.

### Parallel coordinates plot

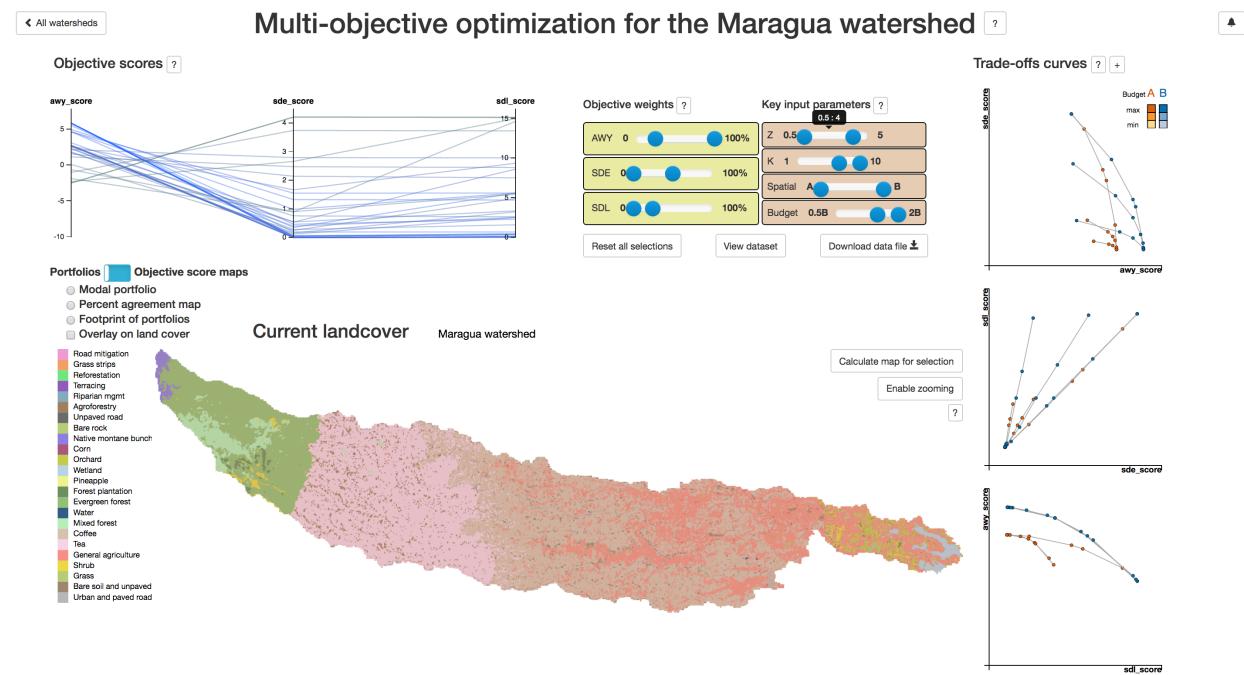


**Figure 5.2:** Screenshot of the parallel coordinates plot from the web application (*[View it online](#)*)

Parallel coordinates plot displays the tradeoffs between the ES objectives (vertical axis), for each scenario (represented as lines). The values plotted correspond to the overall aggregated objective score for the scenario considered (i.e. the sum of all pixel scores for this objective, across the raster). Features include:

- Select a subset of solution: by brushing on any axis
- Flip axis direction: upon double clicking on their name.
- Re-order axis: by dragging them.

## Sliders



**Figure 5.3:** Screenshot of the web application with a specific selection (*[View it online](#)*)

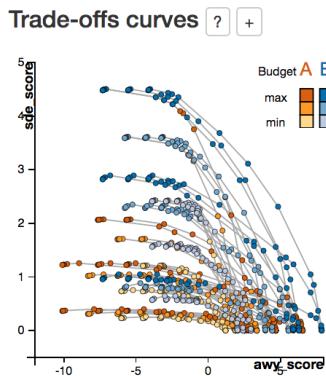
Sliders control the parameters corresponding to the different scenarios generated. The varying parameters are (1) relative objective weights and (2) model input parameters subject to uncertainty. Varying these parameters led to the generation of the scenarios: the models and optimizer were run with different combinations of objective weights and input parameters values.

- (1) The objective weights corresponds to the importance given to each ES objective, in each run, they sum to 100% In this case, 15 combinations of objective weights were considered<sup>1</sup>.
- (2) Several model input parameters are subject to uncertainty. To account for this, the model is run for several possible values of each uncertain parameter. In this case, the uncertain model input parameters are:
  - the seasonality factor, Z is an empirical constant used to calculate the AWY in the InVEST annual water yield model. It captures the local precipitation pattern and additional hydrogeological characteristics. No unit.

<sup>1</sup> Examples: a run where each objective is given equal importance:  $AWY\_weight = SDE\_weight = SDL\_weight = 33\%$ . A run where one cares mostly about AWY and slightly about SDE:  $AWY\_weight = 75\%, SDE\_weight = 25\%, SDL\_weight = 0\%$ .

- the soil erodibility value, K is used to calculate the amount of annual soil loss in the sediment retention InVEST model. It measures the susceptibility of soil particles to detach and transport by rainfall and runoff. Unit is  $\text{ton} * \text{ha} * \text{hr} / (\text{MJ} * \text{ha} * \text{mm})$ .
- A binary parameter, referred to as *spatial*, in this case representing an alternative *biophysical table*. Terrestrial InVEST models generally require a “biophysical table” that maps values for various parameters to each land cover class. This toggle switches between the default biophysical table constructed for Tana, and one with alternate parameter settings. It can be thought of more generally as an example of a binary input variable that can be explored dynamically. For example, in another case, it could toggle between incorporation of climate change impacts.
- The budget level: this is not itself an uncertain parameter but rather a choice in the optimization. Currently three levels chosen for illustrative purposes (12.5, 25 and 37.5 M USD, presented in Kenyan Shillings at an exchange rate of 50 KSh:USD)<sup>2</sup>

## Scatterplots



**Figure 5.4:** Screenshot of a scatterplot from the web application (*[View it online](#)*)

Tradeoff curves display the tradeoffs between each pair of ES objectives. Each plotted point corresponds to a scenario.

The color scale provides additional information about which value of some uncertain input parameters were used: the orange (respectively blue) points correspond to scenarios generated under the spatial scenario A (respectively B). The color gradient gives an indication of the budget level (a lighter color is a smaller budget). Moreover, lines can be plotted, connecting the dots generated under the same combination of input parameters. These can be viewed as a *trade-off frontiers*.

## Dataset table

The full dataset table can be viewed. It is also linked to the other views: the dataset shrinks to match the selection when a subset of scenarios is chosen (upon brush on the parallel coordinates plot, or a slide over a subrange of the sliders, for example).

---

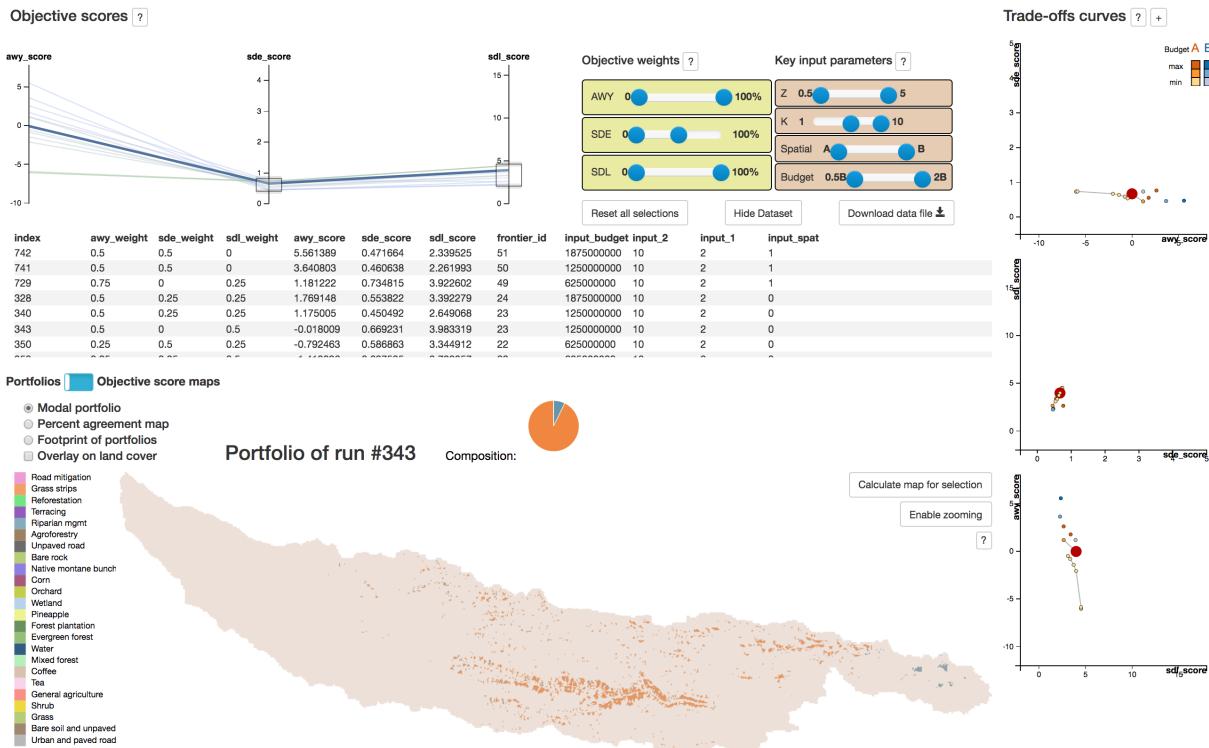
<sup>2</sup>Note, these budget levels are significantly larger than current anticipated spending within the Maragua watershed, designed to be more illuminating of the tool's capabilities.

Upon clicking a specific row, this solution is highlighted in all plots (corresponding point in scatter-plots, and line in parallel coordinates plot) and the maps corresponding to this portfolio are displayed. Moreover, a pie chart showing the composition of the portfolio appears.

## Maps

Maps provide an essential view of spatial results. The maps can be calculated from 3 distinct selection cases:

- for all portfolios (e.g. figure 5.1): these map show results summarizing all scenarios, they are displayed initially when no selection had occurred.
- for a subset of portfolios (e.g. figure 5.3): these map show results summarizing the scenarios selected through the other views. This situation occurs when the button *Calculate map for selection* is clicked.
- for a single portfolio (e.g. figure 5.5): these maps displays the results corresponding to a specific solution; this occurs upon clicking on the corresponding scenario row in the data table. A pie chart displaying the composition of the considered portfolio appears.

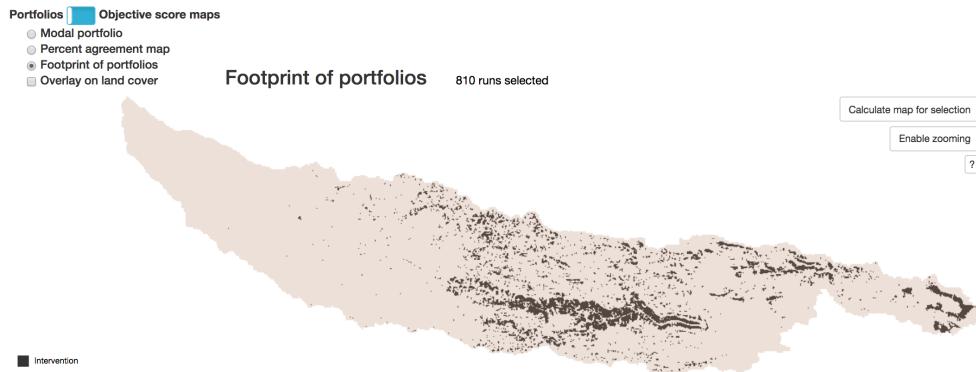


**Figure 5.5:** Screenshot of the web application, upon clicking on a specific scenario (*View it online*)

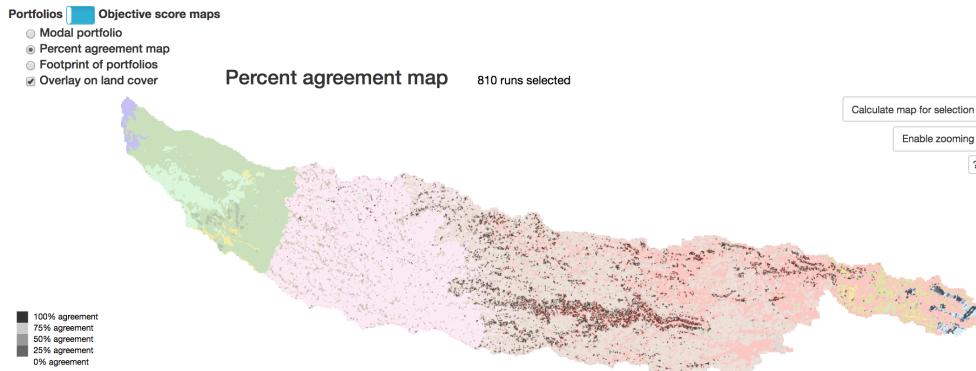
In total 6 types of maps can be displayed:

- Current land cover map shows the context. Any categorical map (such as a portfolio) may be overlaid on top.
- Portfolio maps display the modal portfolio (for each pixel, the most frequently selected intervention across portfolios selected, see 4.4.1.2). For the case of a single portfolio display, it simply shows the corresponding portfolio.

- Footprint maps show everywhere in the landscape a pixel was ever selected in any of the portfolios under selection. This maps show the pixels that were ever selected in any portfolios. This allows the user to see what areas to focus on for assessing nuances of differences between scenarios, and also to assess with confidence what parts of the landscape do not need to be considered for treatment. For the case of a single scenario display, it is just the overall footprint of its portfolio.
- Agreement maps display, at the pixel level, the frequency with which the intervention in the modal portfolio was chosen, which expresses consistency between runs (see 4.4.1.2). It is not relevant for the case of a single scenario display.
- Absolute objective score maps, for each ES objective, display the local objective scores averaged over the selected scenarios.
- Marginal objective score maps corresponds to the change in objective score between the current situation and the portfolio considered. Therefore they are calculated as the difference between the absolute objective score map of the portfolio, and the absolute objective score map of the baseline (current situation).



**Figure 5.6:** Screenshot of the web application displaying the footprint map (*[View it online](#)*)



**Figure 5.7:** Screenshot of the web application displaying the percent agreement map (*[View it online](#)*)

Map control buttons allow to pick the map to be displayed among the 10 possible (the two latter exist for each of the 3 objectives). The legend, as well as corresponding map summary statistics are computed and update automatically. The map window is enhanced with zooming and panning abilities. Furthermore, the user experience with the map is enhanced by the apparition of the land cover category name upon hover on pixels.

In addition, more maps than the ones generated in the dataset can be displayed. The tool actually calculates agreement and summary maps for any subset chosen by the user. The ability to compute rasters almost on-the-fly (in the order of magnitude of seconds to a couple minutes) is the most innovative feature of the tool. Because it is not instantaneous though, a specific button *Calculate map for selection* allows the user to launch the calculation when appropriate. Upon clicking, a window prompt with an estimate of the calculation time, allowing the user to confirm or cancel.

## 5.3 Implementation

### 5.3.1 Tools and technology

To support interactivity and facilitate diffusion, the form of a web application was chosen. The powerful data visualization library D3.js was used, along with other javascript libraries including Dc.js allowing the crossfiltering features i.e. to connect the data between the different views to support brushing and linking, and Geotiffs.js to read the rasters.

The input dataset was generated by B. Bryant using InVEST and R, details can be found in the appendix.

Data cleaning and pre-treatment for the specific purpose of the visualization were done in Python, primarily utilizing the Pandas library. Web development was conducted in Html, Javascript and CSS. The online page is powered by the github pages server. Data engineering considerations will have to be tackled in the long term, including most likely hosting the data on an external server.

### 5.3.2 Raster on-the-fly computation challenge

One of the major innovations of this tool is to support on-the-fly computation of new maps, so that the user can compute summary maps for a specific selection. In the examples inspiring the development of this tool, this feature had not been found. For example Hawthorne (2016) pre-loads all maps so that no calculations on the server are needed; compared to his tool, this one also scales up, the amount of loaded maps being an order of magnitude 2 times bigger (from tens to thousands).

Currently, raster on the fly computation has been tested and works well for a small number of maps. It is implemented only for the portfolios but could easily be extended to the other types of maps. The computational speed depends on the number of maps selected, rough orders of magnitude appears to be (for a maps of size of about 150Ko):

- <10 maps: instantaneous
- dozens of maps: <1min
- hundreds maps: few minutes

Computation capacities could be improved via the use of webworkers, allowing parallel execution of calculations, or webGL. However, this topic is beyond the scope of the present work.

## 5.4 Results: prototype, testing, iterations and feedback

*As a reminder, the prototype can be found online: [https://charlottegiseleweil.github.io/webviz\\_natcap/](https://charlottegiseleweil.github.io/webviz_natcap/)*

Dataset specifications and additional features were considered throughout the process. Several version of design documents and templates led to the current proposed one. Different iterations of the prototype were presented to audiences engaging with ecosystem services work to gather feedback.

Feedback on a first version noted the importance of putting the graphical information in context, leading to the enrichment of the help buttons with detailed explanations on both the concept and features. Additionally, an introductory page presenting the project in its broader context and the workflow leading to the presented results was added. It displays the three watersheds involved in the Tana Water Fund project and offers an overview of its objectives.

Feedback repeatedly noted the **engaging** aspect of this tool, underlining the enthusiasm stemming from the interactive features. It was suggested that such a tool would improve the stakeholder's engagement and interest in the project.

Overall, the feedback was extremely positive, the tool was qualified to be "a great step forward", and led suggestions and most frequently to request for generic capabilities, adaptable to any dataset similarly formatted.

The needs previously identified appear to be fulfilled by the conceived design. Visualization of tradeoffs between objectives is facilitated with the parallel coordinate plots and the trade-off frontiers in the scatterplots. Comparison of portfolios is allowed by the different maps displaying upon user's choice: the portfolios themselves, the modal portfolio of selected subset, the footprint or the agreement. Assessment of uncertainty in the input parameters can be explored with the sliders, allowing to view results for specific parameters values. The overall interactive dashboard with multiple dynamic linked views helps global understanding and engages users, by giving them great flexibility. The goal of the prototype seems achieved, as it led to calls for further development of a generic tool along the same lines.

## 5.5 Next steps

### Additional features

- *Calculate map for selection* raster computation for all map cases
- Interactive legend, allowing user to choose color scheme and legend range
- Objective score maps, not only for each ES objective, but also for their weighted combination
- Additional map features (besides zooming: add overlay rivers, protected areas etc...)
- Ability to download the maps built from a selected subset, as rasters
- Categorical input selections (instead of sliders for categorical input parameters)

### Design improvements

- Color coding of objectives names to facilitate understanding
- Allow to choose the opacity of overlayed maps

### Scaling up

- Server architecture: host the data on a separate server (data engineering considerations)
- Raster on-the-fly computation solution (e.g. webworkers)

### **Building a generic tool**

- Tailored versions for the 4 watersheds in the Tana basin and explanation page
- Automatic inclusion of columns names from dataset
- Overall adaptation of the tool to allow any similarly formatted dataset as input

## CONCLUSION & NEXT STEPS

### 6.1 Summary

This work supports ES analysts striving to communicate effectively natural capital assessment results. Having identified the main challenges they face, it proposes approaches to ES visualizations and summaries in a toolbox tailored to the specific needs of ES analysts, supported by fundamental and applied data visualization guidelines. Throughout the exploration of tools and solutions, gaps were found; the idea of an innovative tool emerged to address some of them. An engaging interactive web application tailored to the most common needs of ES analysts was conceived, and a prototype developed and implemented for a specific use case, namely the Tana Water Fund. It generated enthusiasm among the ES community it was proposed to, and led to envisioning its further development, extending it to broader applications.

### 6.2 Concluding remarks about the display toolbox: on standardizing approaches to communicate natural capital results

As many in the ES community are calling for a standardized method to quantify and map ES (Englund et al. (2017), Crossman et al. (2013), Martínez-Harms and Balvanera (2012)), a number of strategies are being developed on the visualization front. Interactive tools are becoming increasingly common, as platforms to develop them are becoming more and more accessible.

Similarly to Englund et al. (2017), this study finds it difficult to point one best method generically adequate to all cases. Rather, best practices were suggested in a display “toolbox” (chapters 3 and 4). Approaches are very context dependent. The tools and types of display must be chosen according to the aim, intended audience, analyst skills, data availability, time frame and other factors.

## Open questions and identified areas of improvement

Remain some open questions and areas of improvements were identified. The question of the scale, **the effect of the resolution** chosen on the results can be further explored. Additionally, the survey noted growing interest in the topic of **beneficiaries**. Not only quantifying the people benefiting from an intervention, but more precisely adding nuance in demographics or social metrics to the analysis, classify by subgroups (e.g. which fraction of service benefits accrue to vulnerable populations?) and/or contrast beneficiary distribution in space with service distribution in space.

## 6.3 Concluding remarks about the developed tool: a successful concept with opportunities for further development

As noted in section 5.4, feedback about the tool prototype underlined its engaging aspect. An overview of next steps is detailed in part 5.5. An important choice that would need to be considered in moving this project further is about the intended audience. Currently, the tool is both applicable for communication purposes to a broader audiences, and to support exploratory data analysis. Two different versions (one simplified for communication, and a more detailed and rigorous for analysis) could be envisioned. Future directions could decide to focus on one or the other intended applications. The analysis tool would need to be made generic, while the communication tool would require more design and user experience considerations.

Considerations about server architecture (hosting the data on a separate server), and raster on the fly computations will also have to be further explored.

The success of the developed tool suggests for the Natural Capital project to showcase successful existing visualizations (e.g. the work of Gregg Verutes mentioned in section 4.6.2), for example on the website within the *stories* tab; as these help understanding the scope of natural capital assessments and projects.

## 6.4 Engaging a broader audience into major decisions on natural capital sustainable management

As noted in 1.1.2, there is a significant unmet demand for investment in the field of conservation, and aligned intentions to promote natural capital sustainable management both from the political world - as illustrated by the Paris agreement and the 17 UN sustainable development goals among others - and also emerging in the finance world (see the Conservation Finance Network for example). Natural capital conservation projects have the potential to have a tremendous impact, at large scale.

However, their success seems contingent upon the ability of several fields to work together; linking different expertise seem indeed required bridge the gaps (for example through partnering investors looking for long term reliable and meaningful project with cutting-edge scientists modeling the behavior of ecosystems to quantify the costs and benefits from natural capital and its sustainable management). Multi-disciplinary communication therefore appears as a crucial aspect. In this context, data visualization tools play a key role to connect the complex scientific results to the real world.

Effective visualizations of natural capital assessment results must simplify their complexity to be understandable, while staying rigorous. Plus they communicate key points in an engaging way, allowing more actors to get involved in natural capital sustainable management. By involving a broader audience into major decisions, they support a participative and democratic approach to solving problems impacting large communities.

Advances in the topic of communication of natural capital assessments would arguably facilitate engagement of a broader audience. This work is a small step that could integrate to be part of a broader effort to facilitate communication between different fields.

## ACKNOWLEDGEMENTS

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## APPENDICES

<b>Weeks</b>	<b>Main focus</b>	<b>Skills gained and tools learnt</b>
1-3	Project definition and scoping	Natural capital, InVEST
4	Analysis of need	Surveying
5-10	Literature review	Data visualization
11-12	Figures generation (use case: Hawaii)	GIS (QGIS)
13-17	Guidance document redaction	Markdown, LaTEX, Github
18-19	Webapp design	-
20-24	Webapp implementation	HTML, CSS, Javascript (D3.js) Python pandas library
25	Report redaction	

**Table 6.1:** Master thesis workflow: rough estimate of time allocation

### Workflow to generate the dataset

*Courtesy Benjamin Bryant*

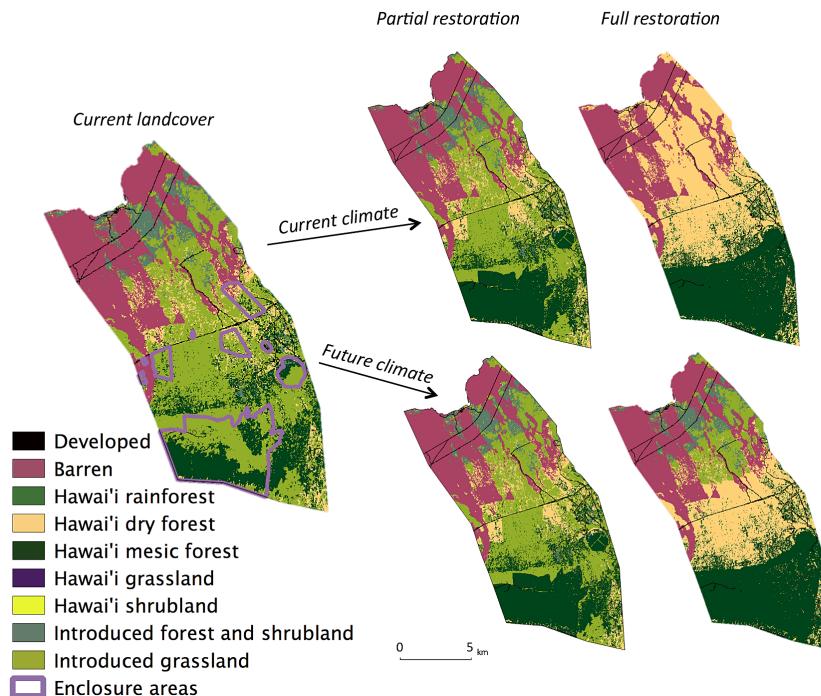
As implemented in the context of the development of the visualization tool (see chapter 5), the spatial optimization consists of a non-trivial series of steps and intermediate products. The basic workflow to generate a single trade-off frontier is as follows:

1. Generate static marginal value maps for each intervention by running each ecosystem service model with each intervention implemented wherever it is feasible to do so, and differencing with respect to ES provisioning levels on the default “base” landcover.
2. Generate a set of weight combinations, and specify cost for each intervention and total budget.
3. For each weight combinations:
  - Create weighted objective scores for each pixel, for each intervention.
  - Create weighted cost-effectiveness scores for each pixel, for each intervention, by dividing by the cost.
  - Sort at each pixel to identify the intervention with the highest weighted cost-effectiveness score for each pixel.
  - Use a greedy search to select the highest cost-effectiveness pixels until the budget constraint can no longer be satisfied. The set of chosen pixels defines a portfolio.
  - Extract the base value and change in each objective score for each pixel by indexing the portfolio against the static marginal value maps. This defines the objective score map and marginal value objective score maps.

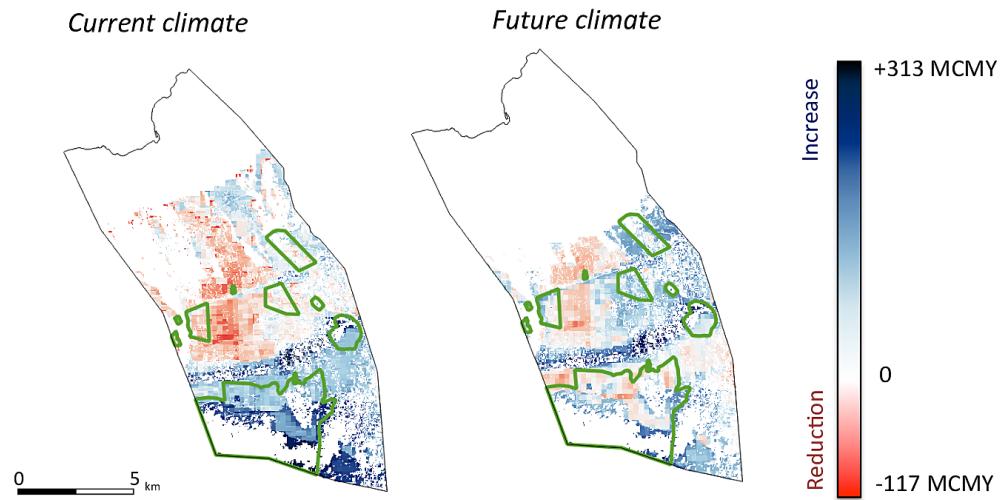
- Sum pixel-level scores to identify aggregate landscape-wide objective score values for a particular run.
4. Repeat for each weight combination to complete a frontier.

To generate many portfolios, the above steps are wrapped, by:

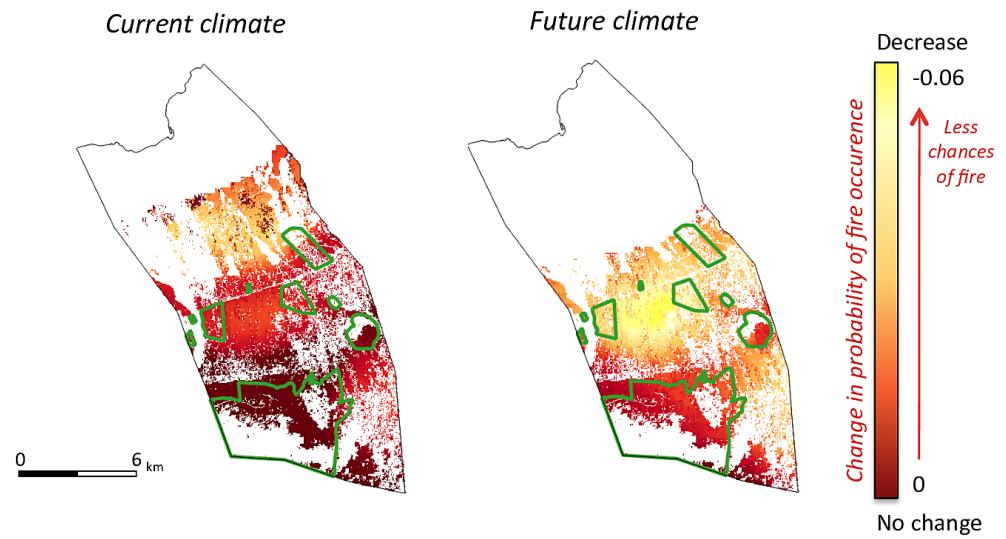
1. Specifying an experimental design for ES model inputs.
2. Specifying an experimental design for optimization parameters (budget, other constraints).
3. Generating unique index encodings for groupings that maybe relevant for visualization or analysis (eg, indexing tradeoff curves, or objective weight combinations).
4. Running the frontier-generating procedure defined above for each “row” of the full experimental design.



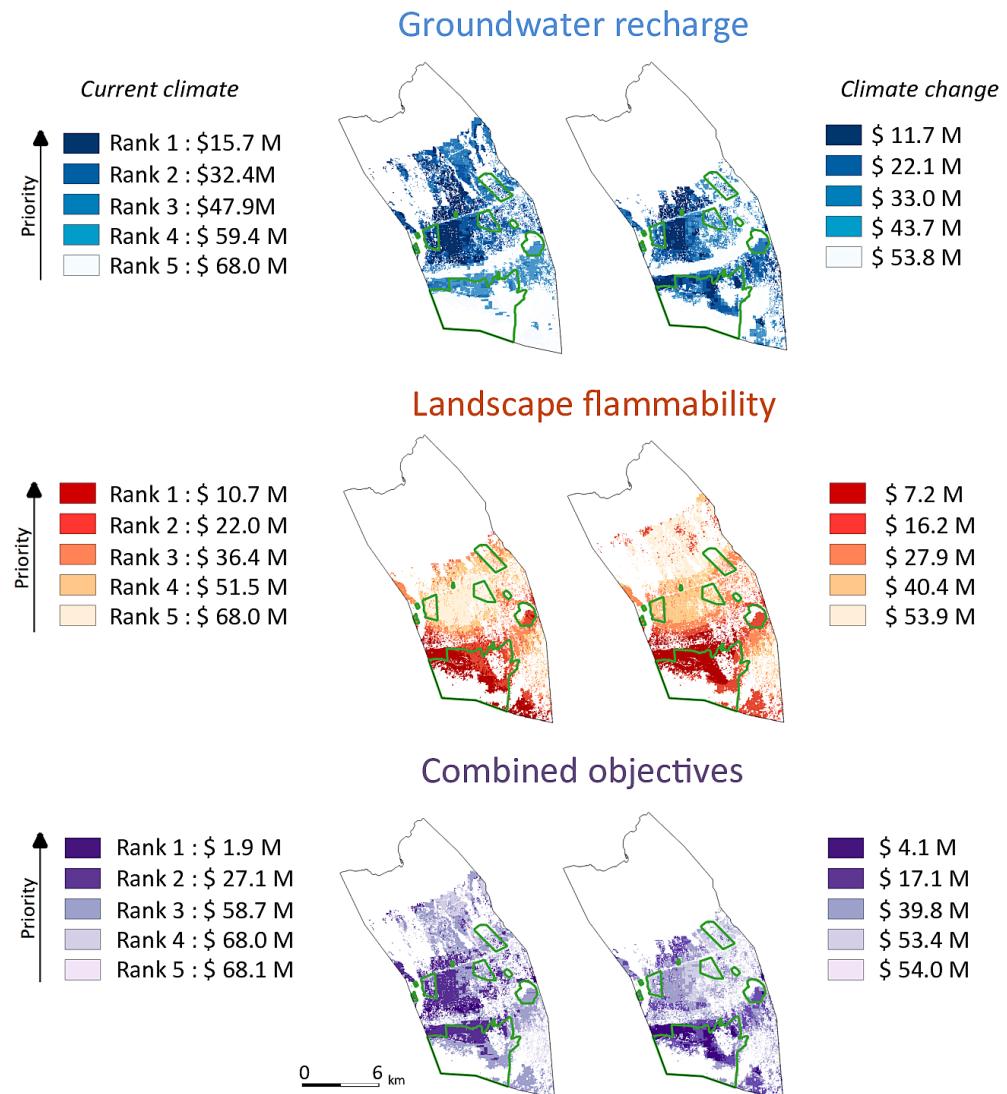
**Figure 6.1:** Land cover in Pu'u Wa'awa'a under different restoration and climate scenarios. The purple contours on the current land cover map outline the enclosure areas corresponding to the partial restoration scenario. The maps on top correspond to the evolution of land cover, under the current climate for the partial and full restoration scenarios. The maps underneath correspond to the evolution of the land cover, under the RCP 8.5 future climate projection for the partial and full restoration scenarios. (figure by author in Wada et al. (2017, in review))



**Figure 6.2:** Impact of restoration on groundwater recharge in Pu'u Wa'awa'a. The green contours outline the enclosure areas corresponding to the partial restoration scenario in which only these regions undergo some changes. Blue corresponds to an increase in groundwater recharge due to restoration, and red corresponds to a decrease. (figure by author in Wada et al. (2017, in review))



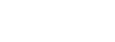
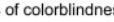
**Figure 6.3:** Impact of restoration on landscape flammability in Pu'u Wa'awa'a. The green contours outline the enclosure areas corresponding to the partial restoration scenario. Yellow corresponds to a decrease in landscape flammability due to restoration, and red means no change. (figure by author in Wada et al. (2017, in review))



**Figure 6.4:** Spatial prioritization and restoration costs in Pu'u Wa'awa'a. For each objective and both combined. Rank 1 pixels correspond to the highest priority restoration areas. Costs are cumulative, such that if Rank n is restored, Rank n-1 was restored as well. The green contours outline the enclosure areas corresponding to the partial restoration scenario. (figure by author in Wada et al. (2017, in review))

Topic	Need to display	Example and clarifications	Need	
			Useful ? IN PRINCIPLE, regardless of how easy it is to do currently [0-5]	Need for an improved implementation ? If useful in principle [0- 5]
<b>Portfolios*</b> <i>(sets of polygons or pixels with typically categorical value, though could be continuous value)</i>	Display a portfolio	to highlight agreement and disagreement on recommended action e.g scenario planning, optimization		
	Compare 2 portfolios	to understand trends in agreement and disagreement on recommended action e.g optimization, land use change modeling		
	Compare many portfolios	Where do scenarios* agree that SOMETHING should be done ?		
	Agreement on doing an (any) activity across portfolios	Where do pixel agree on WHAT should be done ?		
	Agreement on specific activity across portfolios			
	<i>Understand similarity and differences between...</i>			
	• 2 pixel-based maps	maps of two ES under one scenario, maps of same ES under two scenarios, etc		
	• 2 polygon-based maps	maps of two ES under one scenario, maps of same ES under two scenarios, etc		
	• many pixel-based maps	objective score maps associated with many points on an optimization frontier, ES maps generated under many combinations of scenarios or parametric uncertainty		
	• many polygon-based maps	objective score maps associated with many points on an optimization frontier, ES maps generated under many combinations of scenarios or parametric uncertainty		
<b>Spatial ES model outputs</b> <i>(continuous data, at pixel or polygon level, e.g objective scores)</i>	Spatial prioritization of intervention(s)	Where in space does a given intervention or scenario improve or worsen a specific ES metric? e.g Where does an activity contribute to objectives ?		
	Impact of intervention*/scenario* on a specific ES metric	Where does an intervention move multiple ES metrics (aka objectives) in the same direction ? Where are the "win-wins"?		
	Location of synergies of intervention/scenario on multiple ES metrics	Where in space is a given intervention or scenario contribute to some metrics at the expense of others?		
	Location of tradeoffs of intervention/scenario on multiple ES metrics	Where are tradeoffs more or less stark?		
	Intensity of tradeoffs and synergies in space			
	<i>Understand trade-offs and synergies between ...</i>			
	• 2 objectives under small number of scenarios	Scenario comparison, multi-objective optimization		
<b>Non-spatial ES model outputs</b> <i>(aggregation of services/objectives across a landscape)</i>	• 3 objectives under small number of scenarios			
	• > 3 objectives under small number of scenarios			
	• 2 objectives under many scenarios	Multi-objective optimization under uncertainty		
	• 3 objectives under many scenarios			
	• > 3 objectives under many scenarios			
<b>Scale</b>	Consistency of spatial pattern in pixel data (at coarser resolution)	Does spatial distribution of service provision generally align for two services, even if not at the exact pixel level?		
	Display fine-scale data aggregated by polygons	e.g service provided within admin unit or subwater shed		
<b>Uncertainty</b> <i>(that wasn't covered elsewhere)</i>	Characterize uncertainty in...			
	• set of non-spatial input parameters	eg, given metadata or multiple input sources		
	• spatial model inputs	spatially-explicit statistics from multiple runs, e.g: range, other measures of dispersion for a given pixel across runs		
	• spatial model output - derived from multiple runs	eg, subjective levels of confidence from expert opinion		
<b>Beneficiaries</b>	Characterize contribution of different uncertainty sources (to output uncertainty)	(e.g based on economic parameters as opposed to biophysical parameters)		
	Show/quantify beneficiaries impact (by subgroups)	Who's benefiting/being impacted from an intervention ? e.g What fraction of service benefits accrue to vulnerable populations? How many people benefiting/being impacted from an intervention ?		
	Location of beneficiaries in space	With indication of level of benefit or number benefiting. Where are people benefiting/being impacted from an intervention ?		
	Contrast beneficiary distribution in space with service distribution in space			

Figure 6.5: Extract of the survey: Gathering NatCappers' visualizations needs and tools

Questions	Examples/Suggestions
<b>Design/Organization</b>	
Is the display consistent with the model or hypothesis being tested?	<ul style="list-style-type: none"> <li>If data have been residualized or transformed for statistical analysis they should also be transformed in the graph.</li> <li>If data are paired between conditions, the graph should reveal the pairwise differences rather than differences at the group level.</li> </ul>
Are there "empty dimensions" in the display that could be removed?	<ul style="list-style-type: none"> <li>A 3D pie chart for 2D categorical data</li> <li>Extraneous colors that do not encode meaningful information</li> <li>Hiding, smoothing, or modifying data has been avoided</li> <li>Actual data points are emphasized over idealized models</li> </ul>
<b>Axes</b>	
Are axes scales defined as linear, log, or radial?	
Does each axis label describe the variable and its units?	
Are axes limits appropriate for the data?	<ul style="list-style-type: none"> <li>For quantities with units: "Time to peak (ms)"</li> <li>For arbitrary units (a.u.): "BOLD signal intensity (a.u.)"</li> <li>For unitless quantities: "Spearman rank correlation"</li> <li>The graphic should not be bounded at zero if the data can take on both positive and negative values.</li> <li>When x and y axes contrast the same variable under different conditions the graphic should be square.</li> </ul>
Is the aspect ratio appropriate for the data?	
<b>Color mapping</b>	
Is a color bar provided?	
Is the color map sensible for the data type?	<ul style="list-style-type: none"> <li>Use  when data is bipolar, and map zero to green</li> <li>Use  when data is unipolar, and map zero to black</li> <li>Use  when data is circular, and map <math>-\pi, +\pi</math> to red</li> </ul>
Does the color bar axis indicate the quantity, units, and scale?	
<b>Uncertainty</b>	
Does the display indicate the uncertainty of estimated parameters?	
Is the type of error surface appropriate for the data?	<ul style="list-style-type: none"> <li>Standard deviations or prediction intervals are useful to describe variability in the population.</li> <li>Standard errors or confidence intervals are useful to make inferences about parameters estimated from a sample.</li> <li>Parametric confidence intervals should only be used if data meet the assumptions of the underlying model.</li> <li>"Error bands indicate non-parametric 95% confidence intervals of the median"</li> </ul>
Are the units of uncertainty defined?	
<b>Color</b>	
Are contrasting colors consistent with a natural interpretation?	
Can features be discriminated when printed in grayscale?	<ul style="list-style-type: none"> <li>Red for increases, blue for decreases</li> <li>Group A </li> <li>Group B </li> </ul>
Has red/green contrast been avoided to accommodate common forms of colorblindness?	
<b>Annotation</b>	
<i>Information necessary to understand the display should be shown on the figure itself. Details &amp; definitions may be relegated to the legend.</i>	
Are all symbols defined, preferably by directly labeling objects?	<ul style="list-style-type: none"> <li>"Patients - Controls"</li> <li>"Each point represents the mean over 23 subjects"</li> </ul>
Is the directionality of a contrast between conditions obvious?	
Is the number of samples or independent experiments indicated?	
Are statistical procedures and criteria for significance described?	<ul style="list-style-type: none"> <li>For a single test: "A repeated-measures ANOVA showed a significant effect of treatment (<math>F[2, 10] = 12.53, p = 0.002</math>)"</li> <li>For several tests: "Asterisks denote correlations different from zero (<math>p &lt; 0.01</math>, two-tailed t tests, Bonferroni corrected for 10 tests)."</li> </ul>
Are uncommon abbreviations avoided or clearly defined?	
Are abbreviations consistent with those used in the text?	

**Figure 6.6:** When Evaluating a Figure for Clarity and Completeness, Consider the Following Questions (Allen et al. 2012)

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