

Summary Report

Number of Funds	Number of published Files	Number of Approved Files	Number of Rendered Pages
942	63	62	171085

FBOOK _TYPE	DOCUM ENT_NA	FTIMEL ASTCH	FLASTC HANGE	FPAGE COUNT
prospect us	2007- 12-27	12/28/07 12:26	csantiag o	48
prospect us	2008- 01-02	1/2/08 1:42 PM	csantiag o	48
prospect us	2008- 12-04	12/18/08 4:04 PM	aharriso n	48
prospect us	2009- 10-06	12/29/09 11:14	aharriso n	56
prospect us	2010- 11-29	12/30/10 11:29	aharriso n	56
prospect us	2011- 10-10	11/29/11 11:32	aharriso n	32
prospect us	2011- 11-29	1/17/12 2:38 PM	csantiag o	32
prospect us	2012- 11-28	1/10/13 2:56 PM	aharriso n	32
prospect us	2008- 01-11	1/23/08 4:11 PM	csantiag o	56
prospect us	2009- 02-23	3/26/09 3:06 PM	aharriso n	56
prospect us	2010- 01-04	3/26/10 10:14	aharriso n	56
prospect us	2011- 02-24	4/12/11 3:56 PM	csantiag o	32
prospect us	2011- 12-27	2/22/12 2:26 PM	aharriso n	32
prospect us	2012- 02-22	5/29/12 10:01	csantiag o	32
prospect us	2013- 02-27	3/28/13 10:45	rtaichew	32
prospect us	2013- 03-28	4/16/13 10:23	rtaichew	32
sai	2008- 02-29	2/29/08 12:40	csantiag o	77
prospect us	2008- 03-19	4/28/08 4:55 PM	csantiag o	56
prospect us	2009- 03-19	4/27/09 4:58 PM	csantiag o	56
prospect us	2008- 04-03	4/28/08 5:49 PM	csantiag o	48

Number of Funds	Number of published Files	Number of Approved Files	Number of Rendered Pages
942	63	62	171085

FBOOK _TYPE	DOCUM ENT_NA	FTIMEL AAS TCH	FLASTC HANGE	FPAGE COUNT
prospect us	2009- 03-19	4/23/09 5:54 PM	cassand ra.	56
prospect us	2010- 01-04	3/29/10 5:19 PM	clmiller	56
prospect us	2011- 03-02	3/30/11 2:30 PM	csantiag o	32
prospect us	2012- 02-29	5/29/12 10:01	csantiag o	32
prospect us	2013- 02-28	3/28/13 12:35	clmiller	32
prospect us	2013- 03-28	4/16/13 10:15	csantiag o	32
sai	2008- 04-11	4/15/08 3:34 PM	csantiag o	79
sai	2009- 03-04	3/27/09 3:53 PM	aharriso n	81
sai	2009- 05-06	5/8/09 1:14 PM	aharriso n	83
sai	2010- 01-04	3/26/10 11:46	aharriso n	75
sai	2010- 04-05	4/5/10 4:36 PM	aharriso n	79
sai	2011- 02-24	4/12/11 3:34 PM	csantiag o	79
sai	2011- 08-30	10/4/11 4:08 PM	aharriso n	81
sai	2011- 12-27	2/22/12 2:29 PM	aharriso n	79
sai	2012- 02-22	4/4/12 4:26 PM	aharriso n	79
sai	2013- 02-28	3/28/13 3:12 PM	rtaichew	89
sai	2013- 03-28	4/16/13 10:22	rtaichew	89
sai	2008- 04-28	4/28/08 5:23 PM	csantiag o	73
sai	2008- 04-28	4/29/08 11:10	csantiag o	79
sai	2008- 05-02	5/2/08 12:31	csantiag o	79
sai	2009- 04-01	4/27/09 5:47 PM	csantiag o	79
sai	2010- 01-04	3/29/10 5:18 PM	clmiller	79
sai	2010- 04-05	4/5/10 5:50 PM	clmiller	79

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FBOOK _TYPE	DOCUM ENT_NA	FTIMEL ASTCH	FLASTC HANGE	FPAGE COUNT
sai	2011-03-02	3/30/11 2:27 PM	csantiag o	79
sai	2011-03-02	1/5/12 3:25 PM	clmiller	79
sai	2012-02-29	5/29/12 10:01	csantiag o	77
sai	2013-02-28	3/28/13 12:35	clmiller	85
sai	2013-04-01	4/16/13 10:16	csantiag o	83
sai	2008-05-02	5/2/08 2:13 PM	csantiag o	73
sai	2009-04-01	4/27/09 5:24 PM	csantiag o	73
prospect us	2008-06-05	6/26/08 8:22 PM	csantiag o	48
prospect us	2009-06-29	8/18/09 7:53 PM	clmiller	56
prospect us	2009-08-19	8/20/09 2:17 PM	csantiag o	56
prospect us	2010-08-03	8/26/10 5:57 PM	clmiller	56
prospect us	2011-07-25	9/8/11 9:02 AM	csantiag o	32
prospect us	2012-06-13	7/26/12 9:23 AM	csantiag o	32
prospect us	2012-07-27	9/17/12 12:31	aharriso n	32
sai	2008-06-16	6/30/08 2:02 PM	csantiag o	77
sai	2009-05-06	5/8/09 2:01 PM	csantiag o	79
sai	2009-06-29	8/20/09 4:30 PM	clmiller	81
sai	2010-08-03	8/26/10 5:57 PM	clmiller	79
sai	2011-07-25	9/8/11 9:01 AM	csantiag o	79
sai	2012-06-13	7/26/12 9:24 AM	csantiag o	79
sai	2012-08-01	9/17/12 12:28	aharriso n	79
prospect us	2008-06-19	7/25/08 10:39	mrussell	96
prospect us	2009-06-01	6/29/09 5:05 PM	mrussell	96

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FBOOK _TYPE	DOCUM ENT_NA	FTIMEL ASTCH	FLASTC HANGE	FPAGE COUNT
prospect us	2008- 07-07	8/25/08 2:20 PM	csantiag o	56
prospect us	2008- 07-15	9/26/08 10:43	csantiag o	56
prospect us	2008- 07-17	9/23/08 2:39 PM	csantiag o	56
prospect us	2008- 08-08	8/27/08 12:15	lkemper @oppen	64
prospect us	2008- 08-25	8/25/08 5:14 PM	csantiag o	56
prospect us	2009- 06-29	8/18/09 8:50 PM	aharriso n	56
prospect us	2010- 08-03	8/26/10 5:34 PM	cdamato	56
prospect us	2011- 07-26	9/8/11 9:01 AM	csantiag o	32
prospect us	2012- 07-31	9/13/12 4:07 PM	psylvestr e	32
prospect us	2008- 08-29	8/29/08 1:54 PM	csantiag o	64
prospect us	2009- 06-29	8/18/09 7:51 PM	jtacaden a	64
prospect us	2010- 07-28	8/27/10 9:51 AM	jtacaden a	64
prospect us	2011- 07-26	9/8/11 8:59 AM	csantiag o	40
prospect us	2012- 06-11	7/26/12 9:18 AM	csantiag o	40
prospect us	2012- 07-26	9/17/12 3:22 PM	pdoherty	40
prospect us	2008- 10-07	10/23/08 11:51	csantiag o	48
prospect us	2009- 08-04	10/9/09 11:56	csantiag o	48
prospect us	2009- 10-09	10/28/09 4:42 PM	csantiag o	48
prospect us	2010- 01-25	1/29/10 4:48 PM	pdoherty	48
prospect us	2010- 05-24	9/2/10 5:01 PM	clmiller	56
prospect us	2010- 10-27	10/29/10 4:45 PM	clmiller	56
prospect us	2010- 12-03	12/9/10 9:44 AM	clmiller	56
prospect us	2011- 09-29	11/29/11 12:10	csantiag o	32

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FBOOK _TYPE	DOCUM ENT_NA	FTIMEL ASTCH	FLASTC HANGE	FPAGE COUNT
prospect us	2012- 07-31	9/26/12 9:45 AM	clmiller	32
prospect us	2012- 09-28	11/28/12 2:23 PM	csantiag o	32
prospect us	2008- 09-08	10/23/08 4:49 PM	csantiag o	48
prospect us	2009- 08-25	10/2/09 11:55	cdamato	56
prospect us	2010- 07-14	11/1/10 8:33 PM	aharriso n	48
prospect us	2011- 08-23	11/29/11 12:10	csantiag o	32
prospect us	2012- 07-31	9/13/12 3:44 PM	vkwon	32
prospect us	2012- 09-25	11/26/12 12:15	vkwon	32
prospect us	2008- 09-09	9/17/10 1:45 PM	euhiw en	8
prospect us	2008- 10-09	9/17/10 1:45 PM	euhiw en	8
prospect us	2008- 09-29	11/24/08 2:43 PM	smickle	48
prospect us	2009- 09-02	11/25/09 2:28 PM	smickle	48
prospect us	2010- 09-30	10/27/10 6:48 PM	smickle	48
prospect us	2011- 09-28	11/29/11 12:10	csantiag o	24
prospect us	2012- 08-06	9/28/12 12:29	psylvestr e	24
prospect us	2012- 09-28	11/28/12 2:24 PM	csantiag o	24
prospect us	2008- 09-26	9/26/08 10:43	csantiag o	56
prospect us	2008- 11-06	11/24/08 3:11 PM	lkemper @oppen	40
prospect us	2008- 10-01	9/17/10 1:45 PM	euhiw en	8
prospect us	2008- 10-09	11/25/08 6:03 PM	csantiag o	56
prospect us	2008- 10-09	11/25/08 6:05 PM	csantiag o	56
prospect us	2008- 10-09	11/25/08 6:05 PM	csantiag o	56
prospect us	2008- 10-09	11/25/08 6:05 PM	csantiag o	56

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FBOOK _TYPE	DOCUM ENT_NA	FTIMEL AAS TCH	FLASTC HANGE	FPAGE COUNT
prospect us	2008- 10-09	11/25/08 6:05 PM	csantiag o	56
prospect us	2008- 10-09	11/25/08 6:06 PM	csantiag o	48
prospect us	2008- 10-09	9/17/10 1:45 PM	euhi mw en	8
prospect us	2008- 10-09	9/17/10 1:45 PM	euhi mw en	8
prospect us	2008- 10-31	11/25/08 2:33 PM	csantiag o	48
prospect us	2009- 09-02	11/27/09 1:41 PM	csantiag o	48
prospect us	2010- 07-14	11/1/10 5:02 PM	aharriso n	48
prospect us	2011- 10-26	1/17/12 2:38 PM	csantiag o	32
prospect us	2012- 09-04	1/2/13 10:24	clmiller	32
prospect us	2008- 11-26	11/26/08 3:29 PM	csantiag o	32
prospect us	2009- 09-02	11/25/09 2:54 PM	lkemper @oppen	40
prospect us	2010- 09-30	10/29/10 2:03 PM	smickle	40
prospect us	2011- 09-28	11/29/11 12:10	csantiag o	24
prospect us	2012- 09-27	11/26/12 3:47 PM	smickle	24
prospect us	2008- 11-26	11/26/08 6:03 PM	csantiag o	56
prospect us	2009- 09-02	11/25/09 4:42 PM	mjohn ner	56
prospect us	2010- 10-27	11/30/10 5:17 PM	aharriso n	56
prospect us	2010- 12-01	12/1/10 3:35 PM	aharriso n	56
prospect us	2011- 11-01	1/5/12 3:26 PM	clmiller	32
prospect us	2012- 11-01	1/2/13 10:24	clmiller	32
prospect us	2008- 11-26	11/26/08 6:03 PM	csantiag o	56
prospect us	2009- 09-02	11/25/09 5:05 PM	mjohn ner	56
prospect us	2010- 10-27	11/30/10 5:06 PM	pdoherty	56

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FBOOK _TYPE	DOCUM ENT_NA	FTIMEL AAS TCH	FLASTC HANGE	FPAGE COUNT
prospect us	2010- 12-01	1/10/11 10:32	csantiag o	56
prospect us	2011- 10-28	1/17/12 2:39 PM	csantiag o	32
prospect us	2012- 10-29	11/28/12 2:24 PM	csantiag o	32
prospect us	2008- 11-26	11/26/08 6:04 PM	csantiag o	56
prospect us	2009- 09-02	11/25/09 5:04 PM	mjohner	56
prospect us	2010- 10-27	11/30/10 5:26 PM	mjohner	56
prospect us	2010- 12-01	12/1/10 5:57 PM	mjohner	56
prospect us	2011- 11-01	1/17/12 2:39 PM	csantiag o	32
prospect us	2012- 10-30	12/20/12 5:23 PM	mrussell ofi	32
prospect us	2008- 11-28	11/28/08 10:02	csantiag o	56
prospect us	2009- 09-02	11/27/09 4:29 PM	jtacaden a	56
prospect us	2010- 10-27	11/29/10 11:55	jtacaden a	56
prospect us	2010- 12-01	12/1/10 5:04 PM	jtacaden a	56
prospect us	2011- 10-26	12/5/11 3:33 PM	mjohner	32
prospect us	2012- 10-25	12/27/12 11:34	vkwon	32
prospect us	2008- 11-28	11/28/08 9:58 AM	csantiag o	48
prospect us	2009- 09-02	11/27/09 4:58 PM	kwu@op penheim	56
prospect us	2010- 10-27	11/30/10 5:13 PM	clmiller	56
prospect us	2010- 12-01	12/1/10 4:41 PM	clmiller	56
prospect us	2011- 11-01	12/8/11 4:28 PM	aharriso n	32
prospect us	2012- 10-25	1/2/13 10:24	clmiller	32
prospect us	2008- 11-28	11/28/08 10:26	csantiag o	56
prospect us	2008- 11-28	11/28/08 10:26	csantiag o	56

Number of Funds	Number of published Files	Number of Approved Files	Number of Rendered Pages
942	63	62	171085

FBOOK _TYPE	DOCUM ENT_NA	FTIMEL AASSTCH	FLASTC HANGE	FPAGE COUNT
prospect us	2009- 09-02	11/27/09 4:48 PM	jtacaden a	56
prospect us	2009- 12-02	12/2/09 4:56 PM	jtacaden a	56
prospect us	2010- 10-27	11/29/10 11:56	jtacaden a	56
prospect us	2010- 12-01	12/1/10 5:04 PM	jtacaden a	56
prospect us	2011- 10-26	12/5/11 2:56 PM	mjohnner	32
prospect us	2012- 10-25	12/27/12 11:34	vkwon	32
prospect us	2008- 11-28	12/22/08 11:19	csantiag o	56
prospect us	2009- 10-06	12/23/09 9:27 AM	clmiller	56
prospect us	2010- 11-29	12/28/10 11:00	clmiller	56
prospect us	2011- 11-22	1/17/12 2:39 PM	csantiag o	32
prospect us	2012- 11-26	1/9/13 5:13 PM	mrussell ofi	32
prospect us	2008- 12-01	12/17/08 3:21 PM	smickle	48
prospect us	2009- 10-06	12/24/09 12:44	smickle	48
prospect us	2010- 11-24	12/28/10 10:55	smickle	48
prospect us	2011- 10-05	11/29/11 2:00 PM	csantiag o	32
prospect us	2011- 11-29	1/17/12 2:38 PM	csantiag o	32
prospect us	2012- 11-28	1/10/13 12:20	clmiller	32
prospect us	2008- 12-01	12/18/08 4:18 PM	jtacaden a	56
prospect us	2009- 10-06	12/29/09 11:50	jtacaden a	56
prospect us	2010- 11-29	12/28/10 10:01	jtacaden a	56
prospect us	2011- 10-10	11/29/11 12:10	csantiag o	40
prospect us	2011- 11-29	1/17/12 2:38 PM	csantiag o	40
prospect us	2012- 11-29	1/10/13 12:29	psylvestr e	40

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FBOOK _TYPE	DOCUM ENT_NA	FTIMEL AAS TCH	FLASTC HANGE	FPAGE COUNT
prospect us	2008- 12-02	12/23/08 10:47	csantiag o	56
prospect us	2009- 10-06	12/29/09 4:48 PM	pdoherty	56
prospect us	2010- 11-29	12/28/10 2:22 PM	pdoherty	56
prospect us	2011- 10-10	11/29/11 11:11	smickle	32
prospect us	2011- 11-29	1/17/12 2:38 PM	csantiag o	32
prospect us	2012- 11-27	1/10/13 12:27	vkwon	32
prospect us	2008- 12-03	12/23/08 11:18	csantiag o	56
prospect us	2009- 10-06	12/28/09 3:17 PM	clmiller	56
prospect us	2010- 11-24	12/28/10 10:46	mrussell	56
prospect us	2011- 10-10	11/29/11 9:31 AM	jtacaden a	32
prospect us	2011- 11-29	1/17/12 2:36 PM	csantiag o	32
prospect us	2012- 11-28	1/16/13 9:55 AM	pdoherty	32
prospect us	2008- 12-11	1/26/09 6:29 PM	csantiag o	56
prospect us	2008- 12-30	1/27/09 11:48	csantiag o	56
prospect us	2009- 11-10	1/27/10 7:02 PM	smickle	56
prospect us	2010- 12-29	4/1/11 9:24 AM	csantiag o	32
prospect us	2012- 01-05	2/28/12 4:55 PM	smickle	32
prospect us	2008- 12-31	1/26/09 3:29 PM	jtacaden a	56
prospect us	2009- 11-10	1/28/10 4:43 PM	clmiller	56
prospect us	2010- 06-30	12/31/10 2:17 PM	mrussell	56
prospect us	2010- 12-31	2/18/11 10:43	mrussell	32
prospect us	2011- 11-03	11/29/11 12:10	csantiag o	32
prospect us	2011- 12-27	2/28/12 5:07 PM	smickle	32

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FBOOK _TYPE	DOCUM ENT_NA	FTIMEL AASSTCH	FLASTC HANGE	FPAGE COUNT
prospect us	2012- 12-27	2/19/13 1:10 PM	mrussell ofi	40
prospect us	2009- 01-05	1/26/09 5:38 PM	aharriso n	48
prospect us	2009- 01-05	1/28/09 12:00	csantiag o	56
prospect us	2009- 11-05	1/28/10 11:48	jtacaden a	56
prospect us	2011- 01-04	2/28/11 10:36	aharriso n	32
prospect us	2011- 11-04	11/29/11 12:10	csantiag o	32
prospect us	2011- 12-28	2/28/12 4:56 PM	smickle	32
prospect us	2013- 01-02	2/14/13 3:27 PM	psylvestr e	32
prospect us	2009- 01-06	1/26/09 6:28 PM	csantiag o	56
prospect us	2009- 11-05	1/28/10 6:21 PM	pdoherty	56
prospect us	2010- 12-30	2/22/11 10:36	jtacaden a	32
prospect us	2011- 11-01	11/29/11 12:10	csantiag o	32
prospect us	2011- 12-28	2/28/12 5:08 PM	smickle	32
prospect us	2012- 12-28	2/12/13 10:15	jtacaden a	40
prospect us	2009- 01-07	1/26/09 7:58 PM	csantiag o	56
prospect us	2009- 01-12	1/26/09 5:23 PM	cassand ra.	56
prospect us	2009- 11-04	1/28/10 3:31 PM	cdamato	56
prospect us	2010- 12-29	2/17/11 6:59 PM	smickle	32
prospect us	2012- 01-03	2/28/12 5:06 PM	smickle	32
prospect us	2012- 10-12	11/28/12 12:46	smickle	32
prospect us	2012- 11-28	1/10/13 3:23 PM	smickle	32
prospect us	2009- 01-13	1/26/09 7:02 PM	csantiag o	48
prospect us	2009- 11-10	1/28/10 10:41	lkemper @oppen	48

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prospect us	2010- 12-29	2/17/11 6:58 PM	smickle	32
prospect us	2012- 01-03	2/28/12 5:01 PM	smickle	32
prospect us	2012- 12-31	1/30/13 12:05	smickle	32
prospect us	2013- 01-30	2/12/13 11:51	smickle	32
prospect us	2009- 01-13	1/26/09 7:10 PM	csantiag o	56
prospect us	2009- 11-09	1/28/10 3:36 PM	mjohnner	56
prospect us	2010- 04-01	4/6/10 5:29 PM	mjohnner	56
prospect us	2010- 04-07	4/7/10 3:37 PM	mjohnner	56
prospect us	2010- 04-08	4/12/10 8:54 AM	mjohnner	56
prospect us	2010- 05-07	5/11/10 5:30 PM	mjohnner	56
prospect us	2011- 01-03	2/17/11 6:41 PM	mjohnner	32
prospect us	2011- 12-28	2/1/12 12:06	mjohnner	32
prospect us	2013- 01-04	2/14/13 3:44 PM	psylvestr e	32
prospect us	2009- 01-22	2/24/09 4:19 PM	aharriso n	56
prospect us	2009- 12-03	2/26/10 2:50 PM	aharriso n	56
prospect us	2010- 04-15	6/30/10 2:45 PM	aharriso n	56
prospect us	2011- 02-02	2/28/11 9:18 AM	jtacaden a	28
prospect us	2012- 01-30	3/27/12 2:28 PM	jtacaden a	32
prospect us	2012- 11-29	1/3/13 11:32	jtacaden a	32
prospect us	2013- 01-28	3/15/13 12:07	jtacaden a	32
prospect us	2009- 01-26	1/26/09 7:43 PM	csantiag o	48
prospect us	2009- 11-10	1/28/10 3:58 PM	aharriso n	56
prospect us	2011- 01-03	2/22/11 10:45	clmiller	32

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prospect us	2011- 10-31	11/29/11 12:10	csantiag o	32
prospect us	2012- 01-05	2/28/12 5:04 PM	smickle	32
prospect us	2013- 01-02	2/12/13 9:42 AM	clmiller	32
prospect us	2009- 01-27	1/27/09 12:44	csantiag o	56
prospect us	2009- 01-27	1/27/09 2:37 PM	csantiag o	56
prospect us	2009- 11-04	1/28/10 3:34 PM	cdamato	64
prospect us	2010- 06-30	10/6/10 10:55	csantiag o	64
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prospect us	2011- 02-07	2/28/11 10:17	aharriso n	40
prospect us	2011- 04-12	5/4/11 1:11 PM	aharriso n	40
prospect us	2011- 07-01	11/1/11 11:05	aharriso n	40
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prospect us	2012- 01-03	2/22/12 4:35 PM	cdamato	40
prospect us	2012- 12-28	2/13/13 11:49	cdamato	40
prospect us	2009- 01-28	1/28/09 11:19	csantiag o	56
prospect us	2009- 11-11	1/28/10 6:02 PM	pdoherty	56
prospect us	2010- 12-29	2/22/11 12:30	pdoherty	32
prospect us	2009- 01-30	2/24/09 3:09 PM	cdamato	48
prospect us	2009- 12-03	2/26/10 11:22	cdamato	56
prospect us	2011- 02-02	2/28/11 8:47 AM	cdamato	32
prospect us	2011- 05-12	1/17/12 2:39 PM	csantiag o	32
prospect us	2012- 01-31	5/29/12 10:04	csantiag o	32
prospect us	2013- 01-28	3/15/13 7:01 PM	clmiller	32

Number of Funds	Number of published Files	Number of Approved Files	Number of Rendered Pages
942	63	62	171085

FBOOK _TYPE	DOCUM ENT_NA	FTIMEL AASSTCH	FLASTC HANGE	FPAGE COUNT
prospect us	2009- 01-30	2/24/09 10:37	jtacaden a	56
prospect us	2009- 12-03	2/22/10 4:39 PM	jtacaden a	56
prospect us	2011- 02-03	2/28/11 11:27	pdoherty	32
prospect us	2011- 11-28	1/10/12 11:23	csantiag o	32
prospect us	2012- 01-30	4/26/12 11:16	vkwon	32
prospect us	2013- 01-30	3/4/13 9:49 AM	vkwon	32
prospect us	2013- 01-30	5/2/13 3:50 PM	vkwon	32
prospect us	2009- 02-02	2/24/09 3:33 PM	csantiag o	48
prospect us	2009- 12-03	2/26/10 2:07 PM	clmiller	48
prospect us	2011- 02-01	2/28/11 11:27	mrussell	32
prospect us	2011- 12-01	1/10/12 11:23	csantiag o	32
prospect us	2012- 01-27	3/14/12 3:27 PM	mrussell ofi	32
prospect us	2013- 01-28	5/9/13 10:55	csantiag o	32
prospect us	2009- 02-02	2/24/09 2:31 PM	csantiag o	56
prospect us	2009- 02-02	2/23/09 4:44 PM	smickle	56
prospect us	2009- 12-03	2/26/10 11:18	smickle	56
prospect us	2011- 02-03	2/28/11 11:08	smickle	32
prospect us	2012- 01-30	3/13/12 11:55	smickle	32
prospect us	2012- 03-13	4/24/12 3:14 PM	csantiag o	40
prospect us	2012- 05-21	6/27/12 11:30	smickle	40
prospect us	2012- 11-28	1/28/13 10:33	cdamato	40
prospect us	2013- 01-28	3/5/13 2:43 PM	pdoherty	40
prospect us	2013- 03-05	3/15/13 10:55	rtaichew	40

Number of Funds	Number of published Files	Number of Approved Files	Number of Rendered Pages
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FBOOK _TYPE	DOCUM ENT_NA	FTIMEL AAS TCH	FLASTC HANGE	FPAGE COUNT
prospect us	2009- 02-04	2/24/09 2:46 PM	pdoherty	56
prospect us	2009- 12-03	2/26/10 11:59	jtacadena	56
prospect us	2010- 06-12	9/17/10 1:45 PM	euhiwmen	56
prospect us	2010- 06-14	9/17/10 1:45 PM	euhiwmen	56
prospect us	2010- 06-14	9/17/10 1:45 PM	euhiwmen	48
prospect us	2011- 02-02	2/28/11 10:14	clmiller	32
prospect us	2011- 11-25	1/5/12 3:26 PM	clmiller	32
prospect us	2012- 02-01	5/29/12 10:04	csantiago o	32
prospect us	2013- 01-28	3/4/13 11:48	smickle	32
prospect us	2013- 01-28	3/18/13 10:57	smickle	32
prospect us	2009- 02-05	2/24/09 2:35 PM	aharrison	56
prospect us	2009- 12-03	2/25/10 12:38	kwu@op penheim	56
prospect us	2010- 05-24	6/16/10 5:19 PM	kwu@op penheim	72
prospect us	2010- 07-20	8/16/10 10:18	kwu@op penheim	72
prospect us	2011- 02-01	2/28/11 8:58 AM	mjohnner	40
prospect us	2011- 03-03	3/4/11 10:47	mjohnner	40
prospect us	2011- 12-05	1/17/12 2:38 PM	csantiago o	40
prospect us	2012- 01-30	3/8/12 11:58	mjohnner	40
prospect us	2013- 01-28	3/18/13 10:14	mjohnner	48
prospect us	2009- 02-09	9/17/10 1:45 PM	euhiwmen	48
prospect us	2009- 02-18	3/26/09 10:18	csantiago o	56
prospect us	2010- 01-04	3/25/10 10:28	kwu@op penheim	56
prospect us	2011- 03-02	3/30/11 3:46 PM	jtacadena	32

Number of Funds	Number of published Files	Number of Approved Files	Number of Rendered Pages
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FBOOK _TYPE	DOCUM ENT_NA	FTIMEL AAS TCH	FLASTC HANGE	FPAGE COUNT
prospect us	2012- 02-28	4/18/12 11:47	jtacaden a	32
prospect us	2013- 01-03	2/4/13 3:21 PM	jtacaden a	32
prospect us	2013- 03-01	3/27/13 3:08 PM	smickle	32
prospect us	2013- 03-27	4/5/13 11:35	smickle	32
prospect us	2013- 04-05	5/9/13 10:55	csantiag o	32
prospect us	2009- 02-26	4/28/09 11:16	jtacaden a	56
prospect us	2010- 01-04	3/31/10 10:45	jtacaden a	64
prospect us	2011- 03-01	4/12/11 3:52 PM	csantiag o	32
prospect us	2012- 01-27	4/2/12 11:17	mrussell ofi	32
prospect us	2012- 04-02	5/21/12 4:11 PM	mrussell ofi	40
prospect us	2013- 02-28	3/27/13 4:07 PM	mrussell ofi	40
prospect us	2013- 03-27	4/16/13 10:15	csantiag o	40
sai	2009- 03-13	3/27/09 11:56	csantiag o	81
sai	2009- 05-06	5/7/09 9:43 AM	mjohnner	83
sai	2010- 01-04	3/25/10 10:44	kwu@op penheim	79
sai	2010- 04-05	4/6/10 9:55 AM	kwu@op penheim	83
sai	2011- 03-02	3/30/11 3:46 PM	jtacaden a	83
sai	2011- 08-30	10/13/11 9:20 AM	jtacaden a	85
sai	2012- 02-28	4/18/12 11:47	jtacaden a	81
sai	2013- 01-03	2/4/13 3:21 PM	jtacaden a	89
sai	2013- 03-01	3/27/13 3:06 PM	smickle	91
sai	2013- 03-28	4/5/13 11:36	smickle	91
prospect us	2009- 03-18	5/1/09 3:56 PM	csantiag o	16

Number of Funds	Number of published Files	Number of Approved Files	Number of Rendered Pages
942	63	62	171085

FBOOK _TYPE	DOCUM ENT_NA	FTIMEL ASTCH	FLASTC HANGE	FPAGE COUNT
prospect us	2009- 03-19	4/16/09 5:13 PM	csantiag o	40
prospect us	2010- 02-03	4/23/10 3:23 PM	csantiag o	40
prospect us	2011- 03-17	5/11/11 11:06	pdoherty	24
prospect us	2012- 03-13	5/29/12 10:01	csantiag o	24
prospect us	2013- 01-04	3/1/13 6:08 PM	clmiller	17
prospect us	2013- 03-13	5/9/13 10:55	csantiag o	24
prospect us	2009- 03-19	4/16/09 1:07 PM	smickle	24
prospect us	2010- 02-03	4/26/10 1:57 PM	csantiag o	32
prospect us	2011- 03-10	5/3/11 4:21 PM	smickle	16
prospect us	2012- 03-12	5/29/12 10:01	csantiag o	16
prospect us	2013- 03-12	5/9/13 10:55	csantiag o	16
prospect us	2009- 03-19	4/16/09 4:57 PM	jtacaden a	32
prospect us	2010- 02-03	4/23/10 3:32 PM	csantiag o	40
prospect us	2011- 03-17	5/3/11 4:09 PM	clmiller	24
prospect us	2012- 03-09	5/29/12 10:01	csantiag o	24
prospect us	2013- 03-14	5/9/13 10:55	csantiag o	24
prospect us	2009- 03-19	4/16/09 5:32 PM	cassand ra.	32
prospect us	2010- 02-03	4/23/10 4:05 PM	clmiller	32
prospect us	2011- 03-10	5/3/11 4:48 PM	mrussell	24
prospect us	2012- 03-01	5/29/12 10:01	csantiag o	24
prospect us	2013- 03-14	5/9/13 10:55	csantiag o	24
prospect us	2009- 03-24	4/17/09 2:48 PM	jtacaden a	24
prospect us	2010- 02-03	4/23/10 5:34 PM	lkemper @oppen	24

Number of Funds	Number of published Files	Number of Approved Files	Number of Rendered Pages
942	63	62	171085

FBOOK _TYPE	DOCUM ENT_NA	FTIMEL ASTCH	FLASTC HANGE	FPAGE COUNT
prospect us	2011- 03-10	5/3/11 4:22 PM	smickle	16
prospect us	2012- 03-12	5/29/12 10:02	csantiag o	16
prospect us	2013- 03-13	5/9/13 10:55	csantiag o	16
prospect us	2009- 03-24	4/16/09 6:27 PM	cassand ra.	40
prospect us	2010- 02-03	4/23/10 4:06 PM	clmiller	40
prospect us	2011- 02-07	3/18/11 10:42	clmiller	22
prospect us	2011- 03-18	5/3/11 4:10 PM	clmiller	24
prospect us	2012- 03-01	5/29/12 10:02	csantiag o	24
prospect us	2013- 03-12	5/9/13 10:55	csantiag o	24
prospect us	2009- 03-24	4/16/09 6:21 PM	jtacaden a	32
prospect us	2009- 03-24	4/16/09 6:34 PM	aharriso n	32
prospect us	2010- 02-03	4/23/10 4:25 PM	aharriso n	32
prospect us	2011- 02-07	5/4/11 1:55 PM	aharriso n	16
prospect us	2012- 03-08	5/29/12 10:02	csantiag o	16
prospect us	2013- 01-07	3/1/13 6:08 PM	clmiller	16
prospect us	2013- 03-13	5/9/13 10:55	csantiag o	20
prospect us	2009- 03-25	4/16/09 3:49 PM	mjohnner	40
prospect us	2010- 02-03	4/23/10 3:09 PM	mjohnner	40
prospect us	2011- 03-11	5/5/11 6:54 PM	lkemper @oppen	24
prospect us	2012- 03-09	5/29/12 10:02	csantiag o	24
prospect us	2009- 03-26	4/16/09 5:13 PM	csantiag o	32
prospect us	2010- 02-03	4/26/10 2:01 PM	csantiag o	32
prospect us	2011- 03-10	5/3/11 3:59 PM	mjohnner	24

Number of Funds	Number of published Files	Number of Approved Files	Number of Rendered Pages
942	63	62	171085

FBOOK _TYPE	DOCUM ENT_NA	FTIMEL ASTCH	FLASTC HANGE	FPAGE COUNT
prospect us	2012- 03-08	5/29/12 10:02	csantiag o	16
prospect us	2013- 01-09	3/1/13 6:08 PM	clmiller	14
prospect us	2013- 03-12	5/9/13 10:55	csantiag o	16
prospect us	2009- 03-27	4/16/09 4:51 PM	csantiag o	32
prospect us	2010- 02-03	4/23/10 3:09 PM	csantiag o	32
prospect us	2011- 03-12	5/5/11 6:41 PM	lkemper @oppen	24
prospect us	2012- 03-13	5/29/12 10:02	csantiag o	24
prospect us	2013- 01-10	3/1/13 6:08 PM	clmiller	14
prospect us	2013- 03-13	5/9/13 10:55	csantiag o	24
prospect us	2009- 03-27	4/30/09 11:26	csantiag o	64
prospect us	2010- 01-04	3/30/10 1:48 PM	csantiag o	64
prospect us	2011- 03-02	4/12/11 3:54 PM	csantiag o	40
prospect us	2012- 01-31	3/9/12 10:30	pdoherty	32
prospect us	2012- 03-09	5/29/12 10:01	csantiag o	40
prospect us	2013- 02-28	4/16/13 10:15	csantiag o	40
prospect us	2009- 04-02	4/16/09 4:04 PM	csantiag o	32
prospect us	2010- 02-03	4/23/10 2:12 PM	cdamato	32
prospect us	2011- 03-14	6/2/11 10:42	cdamato	15
prospect us	2012- 03-12	5/29/12 10:02	csantiag o	24
prospect us	2013- 03-15	5/9/13 10:55	csantiag o	24
prospect us	2009- 04-02	4/24/09 5:48 PM	smickle	56
prospect us	2010- 01-12	1/29/10 5:26 PM	mjohnner	56
prospect us	2009- 04-03	4/24/09 5:40 PM	smickle	56

Number of Funds	Number of published Files	Number of Approved Files	Number of Rendered Pages
942	63	62	171085

FBOOK _TYPE	DOCUM ENT_NA	FTIMEL ASTCH	FLASTC HANGE	FPAGE COUNT
prospect us	2010- 01-11	3/26/10 5:42 PM	mjohner	56
prospect us	2011- 02-28	3/30/11 9:26 AM	mjohner	32
prospect us	2011- 03-30	4/4/11 11:15	mjohner	32
prospect us	2012- 02-28	4/18/12 11:44	vkwon	32
prospect us	2013- 03-01	4/16/13 10:15	csantiag o	32
prospect us	2009- 04-03	4/17/09 1:10 PM	cdamato	24
prospect us	2010- 02-03	4/23/10 2:10 PM	cdamato	32
prospect us	2011- 03-14	6/2/11 10:41	cdamato	16
prospect us	2012- 03-12	5/29/12 10:02	csantiag o	16
prospect us	2009- 04-06	4/16/09 6:27 PM	cdamato	32
prospect us	2010- 02-03	4/23/10 2:11 PM	cdamato	32
prospect us	2011- 03-14	6/2/11 10:41	cdamato	24
prospect us	2012- 03-12	2/21/13 9:38 AM	clmiller	16
prospect us	2009- 04-17	4/17/09 11:35	aharriso n	32
prospect us	2010- 02-03	4/23/10 4:26 PM	aharriso n	32
prospect us	2011- 03-09	5/4/11 2:02 PM	aharriso n	16
prospect us	2012- 03-08	5/29/12 10:02	csantiag o	20
prospect us	2013- 03-12	5/9/13 10:55	csantiag o	20
prospect us	2009- 04-23	5/28/09 4:41 PM	mrussell	96
prospect us	2009- 05-20	6/25/09 5:14 PM	lkemper @oppen	120
sai	2009- 06-02	6/26/09 3:35 PM	lkemper @oppen	137
sai	2009- 06-15	12/24/09 12:05	smickle	77
sai	2010- 11-24	12/28/10 11:15	smickle	81

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942	63	62	171085

FBOOK _TYPE	DOCUM ENT_NA	FTIMEL AASSTCH	FLASTC HANGE	FPAGE COUNT
sai	2011-10-10	11/29/11 2:00 PM	csantiag o	81
sai	2011-11-29	1/17/12 2:38 PM	csantiag o	79
sai	2012-11-28	1/10/13 12:20	clmiller	79
sai	2009-06-16	1/27/10 6:37 PM	smickle	79
sai	2011-01-04	4/1/11 9:24 AM	csantiag o	81
sai	2011-01-04	4/1/11 2:24 PM	csantiag o	81
sai	2011-01-04	1/5/12 3:25 PM	clmiller	81
sai	2012-01-05	2/28/12 4:19 PM	smickle	83
sai	2009-06-17	3/31/10 10:45	jtacaden a	81
sai	2010-03-31	4/7/10 12:07	jtacaden a	83
sai	2011-03-01	4/12/11 3:51 PM	csantiag o	85
sai	2012-01-30	4/2/12 11:19	mrussell ofi	85
sai	2012-04-02	5/21/12 4:40 PM	mrussell ofi	81
sai	2013-02-28	4/1/13 11:01	smickle	89
sai	2013-04-01	5/22/13 12:52	mrussell ofi	89
sai	2009-06-18	1/28/10 4:37 PM	clmiller	83
sai	2010-02-03	3/8/10 2:51 PM	clmiller	83
sai	2010-06-30	12/31/10 2:16 PM	mrussell	83
sai	2010-12-31	2/18/11 10:45	mrussell	85
sai	2011-11-03	11/29/11 12:14	csantiag o	85
sai	2011-12-27	2/28/12 4:57 PM	smickle	83
sai	2012-12-27	2/19/13 1:11 PM	mrussell ofi	89
sai	2009-06-19	4/28/10 10:12	csantiag o	79

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942	63	62	171085

FBOOK _TYPE	DOCUM ENT_NA	FTIMEL ASTCH	FLASTC HANGE	FPAGE COUNT
sai	2009-06-24	12/29/09 4:44 PM	pdoherty	79
prospect us	2009-06-25	7/21/09 3:24 PM	aharriso n	48
sai	2009-06-26	7/2/09 4:22 PM	lkemper @oppen	137
sai	2010-05-10	6/28/10 5:49 PM	lkemper @oppen	125
sai	2011-06-01	7/11/11 4:37 PM	pdoherty	125
sai	2012-06-05	7/26/12 9:24 AM	csantiag o	121
prospect us	2009-06-29	7/20/09 2:01 PM	mrussell	48
prospect us	2009-06-30	6/30/09 3:31 PM	mrussell	96
sai	2009-07-01	8/20/09 12:16	aharriso n	83
sai	2010-08-03	8/26/10 4:49 PM	cdamato	77
sai	2011-07-28	9/8/11 9:00 AM	csantiag o	79
sai	2012-07-31	9/13/12 4:06 PM	psylvestr e	75
prospect us	2009-07-06	9/17/10 1:45 PM	euhiw en	56
prospect us	2009-07-10	9/17/10 1:45 PM	euhiw en	56
prospect us	2009-07-12	9/17/10 1:45 PM	euhiw en	56
prospect us	2010-08-27	9/17/10 1:45 PM	euhiw en	56
sai	2009-07-06	8/20/09 6:36 PM	jtacaden a	103
sai	2010-07-28	8/27/10 9:26 AM	jtacaden a	99
sai	2011-07-26	9/8/11 8:56 AM	csantiag o	97
sai	2012-06-11	7/26/12 9:18 AM	csantiag o	95
sai	2012-07-26	9/17/12 3:24 PM	pdoherty	99
sai	2009-07-08	7/20/09 2:09 PM	mrussell	87
sai	2009-07-11	9/17/10 1:45 PM	euhiw en	45

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942	63	62	171085

FBOOK _TYPE	DOCUM ENT_NA	FTIMEL AASSTCH	FLASTC HANGE	FPAGE COUNT
sai	2009-07-17	10/28/09 2:18 PM	csantiag o	81
sai	2010-04-23	5/24/10 2:48 PM	csantiag o	83
sai	2010-05-24	9/2/10 5:01 PM	clmiller	83
sai	2010-10-27	10/29/10 4:49 PM	clmiller	83
sai	2010-12-03	12/9/10 9:45 AM	clmiller	83
sai	2011-09-29	11/29/11 12:14	csantiag o	83
sai	2012-07-31	9/26/12 9:46 AM	clmiller	83
sai	2012-09-28	11/28/12 2:23 PM	csantiag o	83
prospect us	2009-08-11	9/17/10 1:45 PM	euhiw en	16
sai	2009-08-25	10/2/09 12:00	cdamato	77
sai	2009-10-05	10/6/09 1:08 PM	cdamato	77
sai	2010-07-27	11/1/10 5:19 PM	aharriso n	75
sai	2011-08-23	11/29/11 12:14	csantiag o	77
sai	2012-07-31	9/13/12 3:45 PM	vkwon	81
sai	2012-09-25	11/26/12 12:17	vkwon	77
sai	2009-08-31	11/27/09 2:07 PM	csantiag o	77
sai	2010-07-27	11/1/10 5:17 PM	aharriso n	75
sai	2011-10-28	1/17/12 2:38 PM	csantiag o	73
sai	2012-09-05	11/30/12 5:27 PM	smickle	73
sai	2012-11-30	1/2/13 10:24	clmiller	73
sai	2009-09-03	2/2/11 10:26	csantiag o	83
sai	2009-09-11	11/27/09 4:34 PM	kwu@op penheim	87
sai	2010-10-27	11/30/10 5:14 PM	clmiller	83

Number of Funds	Number of published Files	Number of Approved Files	Number of Rendered Pages
942	63	62	171085

FBOOK _TYPE	DOCUM ENT_NA	FTIMEL AASSTCH	FLASTC HANGE	FPAGE COUNT
sai	2010-12-01	12/1/10 4:44 PM	clmiller	83
sai	2011-02-04	12/8/11 4:11 PM	aharriso n	83
sai	2012-10-25	1/2/13 10:24	clmiller	79
sai	2009-09-16	11/27/09 4:29 PM	jtacaden a	103
sai	2009-12-02	12/2/09 4:20 PM	jtacaden a	103
sai	2009-12-03	12/3/09 1:24 PM	jtacaden a	103
sai	2010-10-27	11/29/10 11:55	jtacaden a	105
sai	2010-12-01	12/1/10 5:05 PM	jtacaden a	105
sai	2011-02-04	7/28/11 5:43 PM	csantiag o	107
sai	2011-10-26	12/7/11 10:50	mjohner	109
sai	2012-10-25	12/27/12 11:34	vkwon	93
sai	2009-09-18	11/27/09 4:48 PM	jtacaden a	97
sai	2009-12-02	12/2/09 5:11 PM	jtacaden a	97
sai	2009-12-03	12/3/09 2:11 PM	jtacaden a	97
sai	2010-10-27	11/29/10 11:56	jtacaden a	105
sai	2010-12-01	12/1/10 5:05 PM	jtacaden a	105
sai	2011-02-04	12/5/11 3:01 PM	mjohner	109
sai	2012-10-25	12/27/12 11:35	vkwon	95
sai	2009-09-21	11/25/09 2:29 PM	mjohner	97
sai	2010-10-27	11/30/10 5:06 PM	pdoherty	95
sai	2010-12-01	12/1/10 4:30 PM	pdoherty	95
sai	2011-02-04	8/1/11 6:28 PM	csantiag o	93
sai	2011-10-28	1/17/12 2:39 PM	csantiag o	99

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FBOOK _TYPE	DOCUM ENT_NA	FTIMEL AASSTCH	FLASTC HANGE	FPAGE COUNT
sai	2012-10-29	12/13/12 10:18	jtacaden a	89
sai	2009-09-21	11/25/09 2:43 PM	mjohner	97
sai	2010-10-27	11/30/10 5:19 PM	aharriso n	99
sai	2010-12-01	12/1/10 3:32 PM	aharriso n	99
sai	2011-02-04	7/28/11 5:44 PM	csantiag o	99
sai	2011-11-01	1/5/12 3:26 PM	clmiller	105
sai	2012-11-01	1/2/13 10:24	clmiller	93
sai	2009-09-23	11/25/09 2:55 PM	mjohner	85
sai	2010-10-27	11/30/10 5:27 PM	mjohner	83
sai	2010-12-01	12/1/10 5:58 PM	mjohner	85
sai	2011-02-04	7/28/11 5:43 PM	csantiag o	85
sai	2011-11-01	1/17/12 2:39 PM	csantiag o	83
sai	2012-11-01	12/20/12 5:27 PM	mrussell ofi	81
sai	2009-09-30	12/29/09 11:51	jtacaden a	79
sai	2010-11-29	12/28/10 10:01	jtacaden a	83
sai	2011-10-10	11/29/11 12:14	csantiag o	89
sai	2011-11-29	1/17/12 2:38 PM	csantiag o	85
sai	2012-11-29	1/10/13 12:27	psylvestr e	89
prospect us	2009-10-02	9/17/10 1:45 PM	euhimw en	16
prospect us	2009-10-06	9/17/10 1:45 PM	euhimw en	16
sai	2009-10-02	9/17/10 1:45 PM	euhimw en	5
sai	2009-10-09	9/17/10 1:45 PM	euhimw en	5
sai	2009-10-05	12/28/09 3:19 PM	clmiller	79

Number of Funds	Number of published Files	Number of Approved Files	Number of Rendered Pages
942	63	62	171085

FBOOK _TYPE	DOCUM ENT_NA	FTIMEL AASSTCH	FLASTC HANGE	FPAGE COUNT
sai	2010-11-29	12/28/10 10:45	mrussell	79
sai	2011-10-10	11/29/11 9:31 AM	jtacaden a	81
sai	2011-11-29	1/17/12 2:36 PM	csantiag o	79
sai	2012-11-28	1/16/13 9:55 AM	pdoherty	79
summar yprospe	2009-10-09	9/17/10 1:45 PM	euhiwmen	8
summar yprospe	2009-10-12	9/17/10 1:45 PM	euhiwmen	8
summar yprospe	2009-10-12	9/17/10 1:45 PM	euhiwmen	8
summar yprospe	2009-10-12	9/17/10 1:45 PM	euhiwmen	8
sai	2009-10-13	12/29/09 3:42 PM	aharriso n	77
sai	2010-11-29	12/30/10 11:39	aharriso n	77
sai	2011-10-10	11/29/11 11:24	aharriso n	81
sai	2011-11-29	1/17/12 2:38 PM	csantiag o	77
sai	2012-11-28	1/10/13 3:00 PM	aharriso n	79
sai	2009-10-15	12/23/09 9:34 AM	clmiller	79
sai	2010-11-29	12/28/10 11:04	clmiller	81
sai	2011-11-23	1/17/12 2:39 PM	csantiag o	81
sai	2012-11-26	1/9/13 5:13 PM	mrussell ofi	81
summar yprospe	2009-10-19	10/29/09 12:29	csantiag o	8
summar yprospe	2009-11-03	11/3/09 11:19	csantiag o	8
summar yprospe	2009-11-03	11/3/09 3:16 PM	csantiag o	8
summar yprospe	2010-01-25	1/29/10 4:47 PM	pdoherty	8
summar yprospe	2010-04-23	9/3/10 10:41	clmiller	4
summar yprospe	2010-10-14	10/29/10 5:19 PM	clmiller	4

Number of Funds	Number of published Files	Number of Approved Files	Number of Rendered Pages
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FBOOK _TYPE	DOCUM ENT_NA	FTIMEL AAS TCH	FLASTC HANGE	FPAGE COUNT
summar yprospe	2010- 12-03	12/9/10 5:03 PM	clmiller	6
summar yprospe	2011- 09-29	11/29/11 12:15	csantiag o	4
summar yprospe	2011- 09-29	2/28/12 4:15 PM	smickle	6
summar yprospe	2012- 10-19	11/28/12 2:21 PM	csantiag o	6
sai	2009- 10-26	1/28/10 10:37	lkemper @oppen	101
sai	2010- 01-29	1/29/10 3:22 PM	lkemper @oppen	101
sai	2010- 12-30	2/17/11 6:59 PM	smickle	105
sai	2012- 01-03	2/28/12 4:18 PM	smickle	107
sai	2012- 12-31	2/12/13 11:52	smickle	107
prospect us	2009- 11-06	9/17/10 1:45 PM	euhi mw en	8
prospect us	2010- 06-17	9/17/10 1:45 PM	euhi mw en	8
sai	2009- 11-12	11/25/09 2:45 PM	smickle	63
sai	2010- 09-30	10/27/10 6:57 PM	smickle	63
sai	2010- 11-02	11/2/10 5:33 PM	smickle	63
sai	2011- 09-28	11/29/11 12:14	csantiag o	63
sai	2012- 08-06	9/28/12 12:28	psylvestr e	61
sai	2012- 09-28	11/28/12 2:24 PM	csantiag o	61
sai	2009- 11-16	1/28/10 3:34 PM	cdamato	85
sai	2010- 02-01	2/4/10 10:18	cdamato	87
sai	2010- 06-30	6/30/10 6:48 PM	pdoherty	85
sai	2011- 01-04	2/2/11 4:06 PM	aharriso n	85
sai	2011- 02-07	5/4/11 1:10 PM	aharriso n	87
sai	2011- 01-04	4/1/11 2:25 PM	csantiag o	85

Number of Funds	Number of published Files	Number of Approved Files	Number of Rendered Pages
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FBOOK _TYPE	DOCUM ENT_NA	FTIMEL AASSTCH	FLASTC HANGE	FPAGE COUNT
sai	2011-07-01	11/29/11 12:14	csantiag o	89
sai	2012-01-03	2/22/12 4:33 PM	cdamato	89
sai	2012-12-28	1/31/13 9:22 AM	cdamato	95
sai	2013-01-31	2/13/13 11:50	cdamato	95
summar yprospe	2009-11-16	11/27/09 4:40 PM	kwu@op enheim	8
summar yprospe	2010-11-16	11/30/10 5:14 PM	clmiller	6
summar yprospe	2011-02-04	7/28/11 5:42 PM	csantiag o	6
summar yprospe	2011-11-18	1/17/12 2:39 PM	csantiag o	6
summar yprospe	2012-11-12	1/2/13 10:24	clmiller	6
summar yprospe	2009-11-16	11/27/09 4:29 PM	jtacaden a	8
summar yprospe	2009-11-16	11/25/09 12:41	smickle	8
summar yprospe	2010-05-27	6/22/10 4:03 PM	csantiag o	4
summar yprospe	2010-09-30	10/27/10 7:12 PM	smickle	4
summar yprospe	2011-10-20	11/29/11 12:15	csantiag o	4
summar yprospe	2012-11-19	11/28/12 2:24 PM	csantiag o	4
summar yprospe	2009-11-16	11/27/09 4:49 PM	jtacaden a	8
summar yprospe	2009-11-16	11/25/09 5:48 PM	aharriso n	8
summar yprospe	2010-10-25	11/1/10 5:16 PM	aharriso n	4
summar yprospe	2011-10-31	1/17/12 2:38 PM	csantiag o	4
summar yprospe	2012-11-19	1/2/13 10:24	clmiller	4
summar yprospe	2009-11-16	11/25/09 1:58 PM	lkemper @oppen	8
summar yprospe	2010-05-27	6/22/10 4:04 PM	csantiag o	4
summar yprospe	2010-09-30	11/1/10 4:12 PM	jtacaden a	8

Number of Funds	Number of published Files	Number of Approved Files	Number of Rendered Pages
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FBOOK _TYPE	DOCUM ENT_NA	FTIMEL AAS TCH	FLASTC HANGE	FPAGE COUNT
summar yprospe	2011- 10-20	11/29/11 12:15	csantiag o	4
summar yprospe	2012- 11-19	11/28/12 2:24 PM	csantiag o	4
summar yprospe	2009- 11-16	11/25/09 5:23 PM	mjohner	8
summar yprospe	2010- 11-16	11/30/10 5:08 PM	pdoherty	6
summar yprospe	2011- 10-28	1/17/12 2:39 PM	csantiag o	6
summar yprospe	2012- 10-29	11/28/12 2:24 PM	csantiag o	6
summar yprospe	2009- 11-16	11/25/09 4:47 PM	mjohner	8
summar yprospe	2010- 11-16	11/29/10 10:10	aharriso n	6
summar yprospe	2011- 11-22	1/5/12 3:26 PM	clmiller	6
summar yprospe	2011- 11-22	6/6/12 2:37 PM	pdoherty	6
summar yprospe	2011- 11-22	7/26/12 9:26 AM	csantiag o	6
summar yprospe	2012- 11-19	1/2/13 10:24	clmiller	6
summar yprospe	2009- 11-16	11/25/09 5:22 PM	mjohner	8
summar yprospe	2010- 11-16	11/30/10 5:29 PM	mjohner	6
summar yprospe	2011- 11-01	1/17/12 2:39 PM	csantiag o	6
summar yprospe	2012- 11-19	12/20/12 5:23 PM	mrussell ofi	6
sai	2009- 11-16	11/25/09 3:18 PM	lkemper @oppen	63
sai	2010- 09-30	10/29/10 2:08 PM	smickle	59
sai	2011- 09-28	11/29/11 12:14	csantiag o	59
sai	2012- 09-27	11/26/12 3:47 PM	smickle	55
sai	2012- 11-26	11/28/12 2:24 PM	csantiag o	55
sai	2009- 11-17	1/28/10 3:32 PM	cdamato	81
sai	2010- 12-29	2/17/11 7:00 PM	smickle	83

Number of Funds	Number of published Files	Number of Approved Files	Number of Rendered Pages
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FBOOK _TYPE	DOCUM ENT_NA	FTIMEL AASSTCH	FLASTC HANGE	FPAGE COUNT
sai	2012-01-03	2/28/12 5:02 PM	smickle	79
sai	2012-10-12	11/28/12 12:45	smickle	79
sai	2012-11-28	1/10/13 3:23 PM	smickle	79
sai	2009-11-17	1/28/10 3:25 PM	mjohnner	83
sai	2010-01-29	1/29/10 12:44	mjohnner	83
sai	2011-01-03	2/17/11 6:20 PM	mjohnner	87
sai	2011-12-28	2/1/12 12:10	mjohnner	85
sai	2013-01-04	2/14/13 3:47 PM	psylvestre	89
sai	2009-11-18	1/28/10 4:38 PM	aharrison	75
sai	2011-01-03	2/22/11 10:46	clmiller	81
sai	2011-10-31	11/29/11 12:14	csantiago	77
sai	2012-01-05	2/28/12 5:05 PM	smickle	77
sai	2013-01-02	2/12/13 9:43 AM	clmiller	83
sai	2009-11-18	1/28/10 5:02 PM	pdoherty	83
sai	2010-02-01	2/3/10 4:55 PM	pdoherty	85
sai	2010-12-30	2/22/11 11:25	pdoherty	87
sai	2009-11-18	1/28/10 11:49	jtacadena	75
sai	2011-11-04	11/29/11 12:14	csantiago	79
sai	2011-12-28	2/28/12 5:00 PM	smickle	77
sai	2013-01-02	2/14/13 3:26 PM	psylvestre	85
sai	2009-11-18	1/28/10 3:49 PM	csantiago	83
sai	2010-02-01	2/3/10 5:24 PM	pdoherty	83
sai	2010-12-30	2/23/11 2:09 PM	jtacadena	83

Number of Funds	Number of published Files	Number of Approved Files	Number of Rendered Pages
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FBOOK _TYPE	DOCUM ENT_NA	FTIMEL AAS TCH	FLASTC HANGE	FPAGE COUNT
sai	2011-11-01	11/29/11 12:14	csantiag o	83
sai	2011-12-28	2/28/12 4:58 PM	smickle	83
sai	2012-12-28	2/12/13 10:14	jtacaden a	89
summar yprospe	2009-11-27	11/27/09 6:43 PM	csantiag o	8
summar yprospe	2010-11-16	11/26/10 10:08	jtacaden a	6
summar yprospe	2011-11-16	12/5/11 3:34 PM	mjohner	6
summar yprospe	2012-11-20	12/27/12 11:35	vkwon	6
summar yprospe	2012-11-20	6/4/13 2:30 PM	smickle	6
summar yprospe	2009-11-27	11/27/09 7:32 PM	csantiag o	8
summar yprospe	2010-11-16	11/26/10 10:08	jtacaden a	6
summar yprospe	2011-11-16	12/5/11 3:32 PM	mjohner	6
summar yprospe	2012-11-20	12/27/12 11:35	vkwon	6
sai	2009-12-01	2/26/10 2:22 PM	aharriso n	75
sai	2010-03-02	3/3/10 4:10 PM	aharriso n	75
sai	2010-04-15	6/28/10 2:45 PM	aharriso n	77
sai	2011-02-02	2/28/11 9:19 AM	jtacaden a	75
sai	2012-01-30	3/27/12 2:29 PM	jtacaden a	77
sai	2012-11-29	1/3/13 11:32	jtacaden a	77
sai	2013-01-28	3/15/13 12:07	jtacaden a	83
sai	2009-12-01	2/25/10 1:08 PM	kwu@op penheim	85
sai	2010-03-02	3/3/10 3:00 PM	kwu@op penheim	85
sai	2010-04-23	4/30/10 4:33 PM	kwu@op penheim	89
sai	2010-05-24	7/20/10 10:48	kwu@op penheim	95

Number of Funds	Number of published Files	Number of Approved Files	Number of Rendered Pages
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FBOOK _TYPE	DOCUM ENT_NA	FTIMEL AASSTCH	FLASTC HANGE	FPAGE COUNT
sai	2010-07-20	8/19/10 4:38 PM	kwu@oppenheim	97
sai	2011-02-02	2/28/11 8:56 AM	mjohnner	95
sai	2011-03-01	3/4/11 10:47	mjohnner	95
sai	2011-12-05	1/17/12 2:38 PM	csantiago	93
sai	2012-01-30	3/8/12 11:57	mjohnner	93
sai	2013-01-28	3/18/13 10:13	mjohnner	99
sai	2009-12-01	2/26/10 1:25 PM	clmiller	79
sai	2010-03-02	3/3/10 5:41 PM	clmiller	79
sai	2011-02-01	2/28/11 11:28	mrussell	81
sai	2011-03-02	4/1/11 9:29 AM	csantiago	81
sai	2011-12-02	1/30/12 11:57	mrussellofi	81
sai	2012-01-30	3/14/12 3:29 PM	mrussellofi	79
sai	2013-01-28	6/3/13 4:36 PM	psylvestre	87
sai	2009-12-02	2/26/10 12:00	jtacadena	83
sai	2010-03-02	3/3/10 5:28 PM	pdoherty	83
sai	2011-02-02	2/28/11 10:16	clmiller	85
sai	2011-03-02	3/4/11 1:38 PM	clmiller	87
sai	2011-11-25	1/5/12 3:26 PM	clmiller	85
sai	2012-02-01	5/29/12 10:04	csantiago	83
sai	2013-01-29	3/4/13 11:48	smickle	89
sai	2013-01-29	3/18/13 10:55	smickle	89
sai	2009-12-03	2/26/10 10:48	smickle	85
sai	2010-03-02	3/3/10 4:19 PM	smickle	85

Number of Funds	Number of published Files	Number of Approved Files	Number of Rendered Pages
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FBOOK _TYPE	DOCUM ENT_NA	FTIMEL ASTCH	FLASTC HANGE	FPAGE COUNT
sai	2010-04-23	4/23/10 4:45 PM	aharriso n	87
sai	2011-02-03	2/28/11 11:11	smickle	85
sai	2011-03-02	3/3/11 5:49 PM	smickle	87
sai	2012-01-30	3/2/12 11:49	smickle	83
sai	2012-03-02	3/13/12 11:54	smickle	83
sai	2012-03-13	5/21/12 3:05 PM	smickle	87
sai	2012-05-21	6/27/12 11:29	smickle	87
sai	2012-11-28	1/28/13 10:33	cdamato	91
sai	2013-01-28	3/5/13 2:30 PM	pdoherty	95
sai	2013-03-05	3/15/13 10:55	rtaichew	97
sai	2009-12-03	2/26/10 10:29	jtacaden a	79
sai	2010-03-02	3/3/10 4:05 PM	jtacaden a	79
sai	2010-04-23	4/23/10 4:44 PM	aharriso n	81
sai	2011-02-03	2/28/11 11:05	pdoherty	81
sai	2011-03-02	3/4/11 3:18 PM	pdoherty	83
sai	2011-11-28	1/10/12 11:24	csantiag o	81
sai	2012-01-30	4/26/12 11:17	vkwon	81
sai	2013-01-30	3/4/13 9:50 AM	vkwon	87
summar yprospe	2009-12-04	12/24/09 12:47	smickle	8
summar yprospe	2010-04-23	4/26/10 2:59 PM	mjohnner	8
summar yprospe	2010-11-24	12/28/10 11:02	smickle	8
summar yprospe	2011-11-29	1/17/12 2:38 PM	csantiag o	4
summar yprospe	2011-11-29	7/26/12 9:26 AM	csantiag o	4

Number of Funds	Number of published Files	Number of Approved Files	Number of Rendered Pages
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FBOOK _TYPE	DOCUM ENT_NA	FTIMEL AAS TCH	FLASTC HANGE	FPAGE COUNT
summar yprospe	2012- 12-21	1/10/13 12:20	clmiller	4
summar yprospe	2009- 12-04	12/29/09 4:47 PM	pdoherty	8
summar yprospe	2010- 11-29	12/28/10 2:31 PM	pdoherty	4
summar yprospe	2011- 12-19	1/17/12 2:38 PM	csantiag o	4
summar yprospe	2012- 12-21	1/10/13 12:27	vkwon	6
summar yprospe	2012- 12-21	3/11/13 1:37 PM	csantiag o	6
summar yprospe	2013- 03-11	5/2/13 3:48 PM	vkwon	4
summar yprospe	2009- 12-04	12/29/09 10:17	aharriso n	8
summar yprospe	2010- 11-29	12/30/10 11:26	aharriso n	4
summar yprospe	2011- 10-31	1/17/12 2:38 PM	csantiag o	4
summar yprospe	2012- 12-21	1/10/13 2:54 PM	aharriso n	4
summar yprospe	2009- 12-04 U.	12/23/09 4:37 PM	clmiller	8
summar yprospe	2010- 11-29	12/28/10 11:05	clmiller	4
summar yprospe	2011- 12-16	1/17/12 2:39 PM	csantiag o	4
summar yprospe	2012- 12-20	1/9/13 5:14 PM	mrussell ofi	4
summar yprospe	2009- 12-05	12/30/09 3:51 PM	jtacaden a	8
summar yprospe	2010- 11-29	12/28/10 9:56 AM	jtacaden a	8
summar yprospe	2011- 01-19	1/21/11 2:23 PM	jtacaden a	8
summar yprospe	2011- 11-02	1/17/12 2:38 PM	csantiag o	6
summar yprospe	2012- 12-21	1/10/13 12:28	psylvestr e	6
summar yprospe	2009- 12-05	8/26/10 5:58 PM	clmiller	8
summar yprospe	2010- 11-24	12/28/10 10:47	mrussell	4
summar yprospe	2010- 11-24	3/1/11 3:53 PM	clmiller	4

Number of Funds	Number of published Files	Number of Approved Files	Number of Rendered Pages
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FBOOK _TYPE	DOCUM ENT_NA	FTIMEL ASTCH	FLASTC HANGE	FPAGE COUNT
summar yprospe	2010- 11-24	3/9/11 9:04 AM	csantiag o	4
summar yprospe	2011- 12-16	1/17/12 2:36 PM	csantiag o	4
summar yprospe	2011- 12-16	2/28/12 5:14 PM	smickle	4
summar yprospe	2012- 12-20	1/16/13 9:56 AM	pdoherty	6
prospect us	2009- 12-06	9/17/10 1:45 PM	euhiw en	48
prospect us	2009- 12-06	9/17/10 1:45 PM	euhiw en	48
sai	2009- 12-06	9/17/10 1:45 PM	euhiw en	77
sai	2010- 06-16	9/17/10 1:45 PM	euhiw en	73
sai	2010- 06-16	9/17/10 1:45 PM	euhiw en	73
sai	2009- 12-09	2/26/10 9:58 AM	cdamato	79
sai	2010- 02-26	3/3/10 3:18 PM	cdamato	79
sai	2011- 02-02	2/28/11 8:48 AM	cdamato	79
sai	2011- 03-02	3/2/11 11:58	cdamato	79
sai	2011- 05-12	1/10/12 11:24	csantiag o	77
sai	2012- 01-31	5/29/12 10:04	csantiag o	79
sai	2013- 01-28	3/15/13 7:01 PM	clmiller	85
prospect us	2009- 12-30	12/30/09 6:35 PM	pdoherty	56
sai	2009- 12-30	12/30/09 6:15 PM	pdoherty	79
sai	2010- 11-29	12/28/10 2:12 PM	pdoherty	81
sai	2011- 10-10	11/29/11 11:10	smickle	81
sai	2011- 11-29	1/17/12 2:38 PM	csantiag o	81
sai	2012- 11-27	1/10/13 12:28	vwon	81
sai	2010- 01-06	1/29/10 5:27 PM	mjohnner	89

Number of Funds	Number of published Files	Number of Approved Files	Number of Rendered Pages
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FBOOK _TYPE	DOCUM ENT_NA	FTIMEL ASTCH	FLASTC HANGE	FPAGE COUNT
sai	2011-03-02	3/30/11 3:47 PM	jtacaden a	91
sai	2012-02-24	4/9/12 12:18	mjohner	109
sai	2013-02-28	4/11/13 1:39 PM	mjohner	117
sai	2010-01-06	3/26/10 5:43 PM	mjohner	91
sai	2010-04-05	4/5/10 5:41 PM	mjohner	93
sai	2011-02-28	3/30/11 9:14 AM	mjohner	107
sai	2011-03-30	4/4/11 11:14	mjohner	107
sai	2012-02-28	4/18/12 11:44	vkwon	103
sai	2013-03-01	4/1/13 9:10 AM	jtacaden a	115
sai	2010-01-14	3/30/10 3:07 PM	csantiag o	95
sai	2010-04-05	4/5/10 3:45 PM	csantiag o	95
sai	2010-04-05	4/5/10 3:45 PM	csantiag o	95
summar yprospe	2010-01-19	1/28/10 10:42	lkemper @oppen	8
summar yprospe	2010-12-29	2/17/11 7:00 PM	smickle	8
summar yprospe	2012-01-20	2/28/12 4:59 PM	smickle	6
summar yprospe	2013-01-18	2/12/13 11:52	smickle	6
summar yprospe	2010-01-19	1/27/10 4:14 PM	smickle	8
summar yprospe	2011-01-11	4/12/11 4:01 PM	csantiag o	4
summar yprospe	2012-01-25	2/28/12 4:54 PM	smickle	4
summar yprospe	2012-01-25	6/27/12 2:54 PM	cdamato	8
summar yprospe	2010-01-19	1/28/10 11:17	aharriso n	8
summar yprospe	2010-06-12	9/17/10 1:45 PM	euhimw en	4
summar yprospe	2010-08-21	9/17/10 1:45 PM	euhimw en	4

Number of Funds	Number of published Files	Number of Approved Files	Number of Rendered Pages
942	63	62	171085

FBOOK _TYPE	DOCUM ENT_NA	FTIMEL ASTCH	FLASTC HANGE	FPAGE COUNT
summar yprospe	2010- 08-21	9/17/10 1:45 PM	euhimw en	4
summar yprospe	2011- 01-03	2/22/11 10:46	clmiller	4
summar yprospe	2012- 01-25	2/28/12 5:03 PM	smickle	4
summar yprospe	2013- 01-22	2/12/13 9:43 AM	clmiller	8
summar yprospe	2013- 01-22	5/20/13 11:47	clmiller	4
summar yprospe	2010- 01-19	1/28/10 6:01 PM	pdoherty	8
summar yprospe	2010- 12-29	2/18/11 2:35 PM	csantiag o	6
summar yprospe	2010- 12-29	2/18/11 5:28 PM	pdoherty	6
summar yprospe	2010- 01-19	1/28/10 3:13 PM	jtacaden a	8
summar yprospe	2011- 01-24	2/25/11 4:42 PM	aharriso n	4
summar yprospe	2011- 12-28	2/28/12 5:13 PM	smickle	4
summar yprospe	2013- 01-22	2/14/13 3:40 PM	psylvestr e	6
summar yprospe	2010- 01-19	1/28/10 6:20 PM	pdoherty	8
summar yprospe	2010- 12-30	1/28/11 9:52 AM	jtacaden a	8
summar yprospe	2012- 01-23	2/28/12 5:09 PM	smickle	6
summar yprospe	2013- 01-22	2/12/13 10:40	jtacaden a	8
summar yprospe	2010- 01-19	1/28/10 5:26 PM	clmiller	8
summar yprospe	2010- 12-31	2/18/11 10:46	mrussell	4
summar yprospe	2011- 12-27	2/28/12 5:12 PM	smickle	4
summar yprospe	2013- 01-22	2/19/13 1:11 PM	mrussell ofi	6
summar yprospe	2010- 01-19	1/28/10 6:18 PM	mjohnner	8
summar yprospe	2010- 04-01	4/6/10 5:07 PM	mjohnner	8
summar yprospe	2011- 01-03	2/17/11 6:42 PM	mjohnner	6

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FBOOK _TYPE	DOCUM ENT_NA	FTIMEL ASTCH	FLASTC HANGE	FPAGE COUNT
summar yprospe	2011- 12-28	2/1/12 12:14	mjohnr	6
summar yprospe	2013- 01-22	2/14/13 3:49 PM	psylvestr e	6
summar yprospe	2010- 01-19	1/28/10 3:45 PM	cdamato	8
summar yprospe	2010- 12-29	2/17/11 7:01 PM	smickle	8
summar yprospe	2010- 12-29	5/20/11 12:41	smickle	4
summar yprospe	2010- 12-29	7/28/11 5:37 PM	csantiag o	4
summar yprospe	2012- 01-20	2/28/12 5:10 PM	smickle	4
summar yprospe	2012- 12-18	1/10/13 3:24 PM	smickle	6
summar yprospe	2010- 01-19	1/28/10 3:46 PM	cdamato	8
summar yprospe	2010- 06-24	10/5/10 11:31	csantiag o	4
summar yprospe	2010- 10-05	10/8/10 11:24	cdamato	4
summar yprospe	2011- 01-24	2/25/11 4:41 PM	aharriso n	4
summar yprospe	2011- 02-25	5/4/11 1:12 PM	aharriso n	6
summar yprospe	2012- 01-23	2/22/12 4:33 PM	cdamato	6
summar yprospe	2012- 01-23	6/27/12 2:52 PM	cdamato	6
summar yprospe	2013- 01-18	2/13/13 11:52	cdamato	6
prospect us	2010- 02-01	4/5/10 5:24 PM	jtacadem a	48
prospect us	2010- 04-06	6/29/10 12:13	jtacadem a	48
sai	2010- 02-01	4/5/10 5:24 PM	jtacadem a	79
sai	2010- 04-06	6/29/10 12:13	jtacadem a	81
sai	2011- 07-14	8/23/11 5:56 PM	clmiller	85
sai	2011- 08-23	10/14/11 10:21	vkwon	87
sai	2012- 06-28	8/2/12 3:46 PM	clmiller	87

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FBOOK _TYPE	DOCUM ENT_NA	FTIMEL AASCH	FLASTC HANGE	FPAGE COUNT
sai	2012-08-28	11/28/12 12:56	psylvestr e	87
summar yprospe	2010-02-04	2/26/10 4:22 PM	aharriso n	8
summar yprospe	2010-06-21	12/30/10 11:32	aharriso n	4
summar yprospe	2011-02-02	4/1/11 9:27 AM	csantiag o	4
summar yprospe	2012-02-24	3/27/12 2:21 PM	jtacaden a	4
summar yprospe	2012-02-24	4/18/12 11:50	jtacaden a	4
summar yprospe	2013-02-25	3/15/13 12:07	jtacaden a	8
summar yprospe	2010-02-04	2/26/10 3:13 PM	clmiller	8
summar yprospe	2011-02-01	4/1/11 9:26 AM	csantiag o	4
summar yprospe	2011-12-02	3/14/12 3:42 PM	mrussell ofi	4
summar yprospe	2013-02-25	5/20/13 11:33	csantiag o	4
summar yprospe	2010-02-04	2/25/10 1:14 PM	kwu@op penheim	8
summar yprospe	2010-04-23	4/30/10 4:38 PM	kwu@op penheim	8
summar yprospe	2010-05-24	7/20/10 10:52	kwu@op penheim	6
summar yprospe	2010-07-20	10/5/10 10:14	csantiag o	6
summar yprospe	2010-10-05	10/8/10 9:38 AM	cdamato	6
summar yprospe	2011-02-15	3/15/11 3:35 PM	mjohnner	6
summar yprospe	2012-01-30	3/8/12 11:58	mjohnner	6
summar yprospe	2013-02-25	3/18/13 10:14	mjohnner	6
summar yprospe	2013-04-02	5/15/13 9:53 AM	mjohnner	6
summar yprospe	2010-02-04	2/24/10 3:43 PM	smickle	8
summar yprospe	2010-04-23	4/28/10 2:12 PM	aharriso n	8
summar yprospe	2010-05-03	2/1/11 3:38 PM	aharriso n	4

Number of Funds	Number of published Files	Number of Approved Files	Number of Rendered Pages
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FBOOK _TYPE	DOCUM ENT_NA	FTIMEL ASTCH	FLASTC HANGE	FPAGE COUNT
summar yprospe	2011- 02-03	4/1/11 9:28 AM	csantiag o	4
summar yprospe	2011- 02-03	11/29/11 12:15	csantiag o	4
summar yprospe	2012- 02-22	3/13/12 11:55	smickle	6
summar yprospe	2012- 05-21	6/27/12 11:29	smickle	6
summar yprospe	2013- 02-25	3/5/13 2:45 PM	pdoherty	6
summar yprospe	2013- 03-05	3/15/13 10:54	rtaichew	6
summar yprospe	2010- 02-04	2/26/10 2:14 PM	cdamato	8
summar yprospe	2011- 02-17	4/1/11 9:28 AM	csantiag o	4
summar yprospe	2012- 01-31	5/29/12 10:04	csantiag o	4
summar yprospe	2013- 02-25	3/15/13 7:01 PM	clmiller	4
summar yprospe	2010- 02-04	2/22/10 2:43 PM	jtacaden a	8
summar yprospe	2010- 04-23	4/28/10 11:49	aharriso n	8
summar yprospe	2010- 05-03	2/1/11 3:28 PM	csantiag o	4
summar yprospe	2011- 02-03	4/1/11 9:27 AM	csantiag o	4
summar yprospe	2012- 01-30	4/26/12 11:17	vkwon	4
summar yprospe	2013- 02-25	3/4/13 9:51 AM	vkwon	4
summar yprospe	2010- 02-04	2/26/10 12:00	jtacaden a	8
summar yprospe	2011- 02-02	4/1/11 9:26 AM	csantiag o	4
summar yprospe	2012- 02-24	5/29/12 10:04	csantiag o	8
summar yprospe	2013- 02-21	3/4/13 11:47	smickle	4
summar yprospe	2013- 02-21	3/18/13 10:56	smickle	4
prospect us	2010- 03-02	5/28/10 2:14 PM	mrussell	88
prospect us	2011- 04-28	6/3/11 11:01	jtacaden a	48

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FBOOK _TYPE	DOCUM ENT_NA	FTIMEL ASTCH	FLASTC HANGE	FPAGE COUNT
prospect us	2012- 04-25	6/14/12 9:43 AM	csantiag o	48
prospect us	2010- 03-03	5/28/10 2:13 PM	mrussell	88
prospect us	2011- 05-03	7/28/11 5:37 PM	csantiag o	48
prospect us	2012- 04-20	6/14/12 9:43 AM	csantiag o	48
prospect us	2010- 03-03	5/28/10 2:15 PM	mrussell	72
prospect us	2011- 04-27	6/3/11 6:12 PM	smickle	40
prospect us	2012- 04-24	7/26/12 9:23 AM	csantiag o	40
prospect us	2010- 03-03	5/28/10 2:16 PM	mrussell	80
prospect us	2011- 05-02	6/16/11 5:46 PM	pdoherty	48
prospect us	2012- 04-20	6/14/12 9:43 AM	csantiag o	48
prospect us	2010- 03-03	5/28/10 2:16 PM	mrussell	72
prospect us	2011- 04-27	6/3/11 11:26	mjohnner	42
prospect us	2012- 03-13	4/20/12 11:48	mjohnner	36
prospect us	2012- 04-20	6/15/12 8:55 AM	mjohnner	36
prospect us	2010- 03-03	3/26/10 5:09 PM	mjohnner	56
prospect us	2011- 03-02	3/30/11 3:46 PM	jtacaden a	32
prospect us	2012- 02-24	4/9/12 12:17	mjohnner	32
prospect us	2013- 02-28	4/11/13 1:38 PM	mjohnner	32
sai	2010- 03-03	3/26/10 5:11 PM	mjohnner	91
sai	2010- 04-05	4/5/10 5:40 PM	mjohnner	93
summar yprospe	2010- 03-05	3/26/10 10:00	aharriso n	8
summar yprospe	2011- 02-24	4/12/11 3:58 PM	csantiag o	4
summar yprospe	2011- 12-27	5/29/12 10:01	csantiag o	4

Number of Funds	Number of published Files	Number of Approved Files	Number of Rendered Pages
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FBOOK _TYPE	DOCUM ENT_NA	FTIMEL ASTCH	FLASTC HANGE	FPAGE COUNT
summar yprospe	2013- 03-25	3/28/13 10:45	rtaichew	4
summar yprospe	2013- 03-28	4/16/13 10:23	rtaichew	4
sai	2010- 03-08	4/29/10 9:10 AM	cdamato	61
sai	2011- 03-21	5/3/11 3:30 PM	csantiag o	65
sai	2011- 05-03	5/13/11 3:19 PM	cdamato	65
sai	2012- 03-07	2/21/13 9:38 AM	clmiller	61
summar yprospe	2010- 03-09	3/30/10 12:27	kwu@op penheim	8
summar yprospe	2011- 03-02	4/4/11 11:25	jtacad en	8
summar yprospe	2011- 03-02	1/5/12 3:25 PM	clmiller	4
summar yprospe	2012- 03-22	4/18/12 11:48	jtacad en	4
summar yprospe	2012- 03-22	3/25/13 12:57	smickle	4
summar yprospe	2013- 03-25	3/27/13 3:07 PM	smickle	4
summar yprospe	2013- 03-27	4/16/13 10:16	csantiag o	4
summar yprospe	2010- 03-09	3/30/10 3:05 PM	csantiag o	8
summar yprospe	2011- 03-02	4/12/11 3:55 PM	csantiag o	6
summar yprospe	2012- 01-31	5/29/12 10:01	csantiag o	6
summar yprospe	2013- 03-25	4/16/13 10:16	csantiag o	6
summar yprospe	2010- 03-09	3/31/10 10:44	jtacad en	8
summar yprospe	2011- 03-01	3/30/11 5:05 PM	mrussell	4
summar yprospe	2012- 04-18	5/21/12 4:41 PM	mrussell ofi	4
summar yprospe	2013- 02-28	3/27/13 4:06 PM	mrussell ofi	4
summar yprospe	2013- 03-27	4/16/13 10:16	csantiag o	4
summar yprospe	2010- 03-09	3/30/10 10:58	clmiller	8

Number of Funds	Number of published Files	Number of Approved Files	Number of Rendered Pages
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FBOOK _TYPE	DOCUM ENT_NA	FTIMEL ASTCH	FLASTC HANGE	FPAGE COUNT
summar yprospe	2010- 04-23	4/26/10 2:38 PM	clmiller	8
summar yprospe	2011- 03-02	4/4/11 4:56 PM	clmiller	4
summar yprospe	2012- 03-22	5/29/12 10:01	csantiag o	4
summar yprospe	2012- 03-22	7/26/12 9:26 AM	csantiag o	8
summar yprospe	2013- 03-18	3/28/13 12:35	clmiller	4
summar yprospe	2013- 03-28	4/16/13 10:16	csantiag o	4
summar yprospe	2010- 03-09	3/26/10 5:17 PM	mjohnner	8
summar yprospe	2011- 03-02	4/4/11 11:25	jtacaden a	6
summar yprospe	2012- 02-24	4/9/12 12:17	mjohnner	6
summar yprospe	2013- 03-25	4/11/13 1:47 PM	mjohnner	6
summar yprospe	2010- 03-10	3/26/10 6:00 PM	mjohnner	8
summar yprospe	2011- 03-10	4/4/11 11:16	mjohnner	6
summar yprospe	2012- 02-28	4/18/12 11:44	vkwon	6
summar yprospe	2013- 03-25	5/20/13 11:33	csantiag o	6
prospect us	2010- 03-16	7/30/10 3:41 PM	aharriso n	48
prospect us	2011- 10-28	12/20/11 2:48 PM	vkwon	32
prospect us	2012- 09-04	10/1/12 3:33 PM	aharriso n	32
prospect us	2012- 10-26	1/2/13 10:24	clmiller	32
prospect us	2010- 03-17	6/29/10 5:04 PM	pdoherty	48
prospect us	2011- 08-26	10/13/11 9:18 AM	jtacaden a	32
prospect us	2012- 07-09	8/7/12 2:19 PM	jtacaden a	32
prospect us	2012- 08-28	11/6/12 2:13 PM	jtacaden a	32
sai	2010- 03-18	6/29/10 5:22 PM	pdoherty	83

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FBOOK _TYPE	DOCUM ENT_NA	FTIMEL AASSTCH	FLASTC HANGE	FPAGE COUNT
sai	2011-08-26	10/13/11 9:19 AM	jtacaden a	85
sai	2012-07-09	8/7/12 2:20 PM	jtacaden a	85
sai	2012-08-28	11/6/12 2:13 PM	jtacaden a	83
sai	2010-04-06	4/6/10 11:35	testuser ofi	95
sai	2010-04-07	4/7/10 10:38	csantiag o	95
sai	2011-03-02	4/12/11 3:51 PM	csantiag o	95
sai	2012-01-31	3/9/12 10:33	pdoherty	95
sai	2012-03-09	5/29/12 10:01	csantiag o	95
sai	2013-02-28	4/16/13 10:16	csantiag o	99
summar yprospe	2010-04-06	4/23/10 3:21 PM	csantiag o	8
summar yprospe	2011-03-17	5/11/11 11:10	pdoherty	6
summar yprospe	2012-04-10	5/29/12 10:01	csantiag o	6
summar yprospe	2013-04-08	5/20/13 11:33	csantiag o	4
summar yprospe	2010-04-06	4/26/10 1:56 PM	csantiag o	8
summar yprospe	2011-04-05	5/3/11 4:27 PM	smickle	4
summar yprospe	2012-03-14	5/29/12 10:01	csantiag o	4
summar yprospe	2013-04-04	5/20/13 11:33	csantiag o	4
summar yprospe	2010-04-06	4/23/10 3:27 PM	csantiag o	8
summar yprospe	2011-03-18	5/3/11 4:09 PM	clmiller	4
summar yprospe	2012-03-09	5/29/12 10:01	csantiag o	4
summar yprospe	2013-04-05	5/20/13 11:33	csantiag o	4
summar yprospe	2010-04-07	4/23/10 4:05 PM	clmiller	8
summar yprospe	2011-03-10	5/3/11 4:49 PM	mrussell	4

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FBOOK _TYPE	DOCUM ENT_NA	FTIMEL ASTCH	FLASTC HANGE	FPAGE COUNT
summar yprospe	2012- 04-10	5/29/12 10:01	csantiag o	4
summar yprospe	2013- 04-08	5/15/13 9:54 AM	mjohner	4
summar yprospe	2010- 04-07	4/26/10 1:57 PM	csantiag o	8
summar yprospe	2011- 04-01	5/3/11 3:52 PM	mjohner	4
summar yprospe	2012- 03-08	5/29/12 10:02	csantiag o	4
summar yprospe	2013- 04-05	5/20/13 11:33	csantiag o	4
summar yprospe	2010- 04-07	4/23/10 3:11 PM	mjohner	8
summar yprospe	2011- 03-12	5/5/11 6:35 PM	lkemper @oppen	8
summar yprospe	2012- 03-09	5/29/12 10:01	csantiag o	4
summar yprospe	2010- 04-07	4/23/10 4:26 PM	aharriso n	8
summar yprospe	2011- 04-05	5/4/11 1:58 PM	aharriso n	4
summar yprospe	2012- 04-09	5/29/12 10:02	csantiag o	4
summar yprospe	2013- 04-05	5/20/13 11:33	csantiag o	4
summar yprospe	2010- 04-07	4/26/10 2:02 PM	csantiag o	8
summar yprospe	2010- 05-27	6/22/10 4:06 PM	csantiag o	4
summar yprospe	2011- 04-05	5/3/11 4:26 PM	smickle	4
summar yprospe	2012- 04-09	5/29/12 10:01	csantiag o	4
summar yprospe	2013- 04-05	5/20/13 11:33	csantiag o	4
summar yprospe	2010- 04-08	4/23/10 2:03 PM	csantiag o	8
summar yprospe	2011- 03-09	5/4/11 1:53 PM	aharriso n	4
summar yprospe	2012- 04-10	5/29/12 10:02	csantiag o	4
summar yprospe	2013- 04-05	5/20/13 11:33	csantiag o	4
summar yprospe	2010- 04-08	4/23/10 2:11 PM	cdamato	8

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FBOOK _TYPE	DOCUM ENT_NA	FTIMEL ASTCH	FLASTC HANGE	FPAGE COUNT
summar yprospe	2011- 04-05	6/2/11 10:43	cdamato	4
summar yprospe	2012- 03-12	5/29/12 10:02	csantiag o	4
summar yprospe	2010- 04-08	4/23/10 4:07 PM	clmiller	8
summar yprospe	2010- 10-05	10/8/10 11:26	cdamato	4
summar yprospe	2011- 03-18	5/3/11 4:10 PM	clmiller	6
summar yprospe	2012- 04-10	5/29/12 10:02	csantiag o	6
summar yprospe	2013- 04-08	5/20/13 11:33	csantiag o	6
summar yprospe	2010- 04-08	4/23/10 3:19 PM	csantiag o	8
summar yprospe	2011- 04-07	5/5/11 6:49 PM	lkemper @oppen	4
summar yprospe	2012- 04-10	5/29/12 10:02	csantiag o	4
summar yprospe	2013- 04-08	5/20/13 11:33	csantiag o	4
summar yprospe	2010- 04-08	4/26/10 2:00 PM	csantiag o	8
summar yprospe	2011- 04-05	6/2/11 10:42	cdamato	6
summar yprospe	2012- 03-12	5/29/12 10:02	csantiag o	6
summar yprospe	2010- 04-08	4/23/10 2:12 PM	cdamato	8
summar yprospe	2011- 04-05	6/2/11 10:42	cdamato	4
summar yprospe	2012- 03-12	5/29/12 10:01	csantiag o	4
summar yprospe	2013- 04-08	5/20/13 11:33	csantiag o	4
sai	2010- 04-28	4/29/10 10:29	csantiag o	79
sai	2010- 04-28	4/29/10 10:30	csantiag o	79
sai	2010- 04-28	4/29/10 10:31	csantiag o	79
sai	2010- 04-28	4/29/10 10:32	csantiag o	79
sai	2010- 04-28	4/29/10 10:34	csantiag o	79

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FBOOK _TYPE	DOCUM ENT_NA	FTIMEL ASTCH	FLASTC HANGE	FPAGE COUNT
sai	2010-04-28	4/29/10 10:36	csantiag o	79
sai	2010-04-28	4/29/10 10:39	csantiag o	79
sai	2010-04-29	4/29/10 10:39	csantiag o	79
sai	2011-02-07	2/18/11 10:58	csantiag o	81
sai	2011-02-18	7/28/11 5:30 PM	csantiag o	87
sai	2012-03-07	5/29/12 10:02	csantiag o	85
sai	2013-01-10	3/1/13 6:08 PM	clmiller	95
sai	2010-04-29	4/29/10 10:41	csantiag o	79
sai	2010-04-29	4/29/10 10:43	csantiag o	79
sai	2010-04-29	4/29/10 10:44	csantiag o	79
sai	2010-04-29	4/29/10 4:08 PM	csantiag o	61
sai	2010-04-29	4/29/10 4:13 PM	csantiag o	61
sai	2010-04-29	4/29/10 4:14 PM	csantiag o	61
sai	2010-05-05	8/2/10 11:48	aharriso n	85
sai	2011-10-28	12/20/11 2:49 PM	vkwon	83
sai	2012-09-11	10/26/12 10:38	psylvestr e	79
sai	2012-10-26	1/2/13 10:24	clmiller	81
sai	2010-05-10	5/28/10 2:15 PM	csantiag o	113
sai	2010-06-02	6/2/10 4:31 PM	csantiag o	113
sai	2011-05-03	7/28/11 5:38 PM	csantiag o	121
sai	2012-04-23	6/1/12 10:53	mrussell ofi	121
prospect us	2010-05-24	6/28/10 12:24	lkemper @oppen	88
prospect us	2010-07-01	7/1/10 5:34 PM	mjohnr	88

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FBOOK _TYPE	DOCUM ENT_NA	FTIMEL ASTCH	FLASTC HANGE	FPAGE COUNT
prospect us	2011- 05-27	6/28/11 10:53	lkemper @oppen	48
prospect us	2012- 05-29	7/26/12 9:23 AM	csantiag o	48
summar yprospe	2010- 05-25	5/31/10 12:45	mrussell	8
summar yprospe	2011- 05-03	7/28/11 5:38 PM	csantiag o	6
summar yprospe	2012- 05-21	6/14/12 9:43 AM	csantiag o	6
summar yprospe	2010- 05-25	5/31/10 12:45	mrussell	8
summar yprospe	2011- 05-09	6/3/11 11:00	jtacaden a	6
summar yprospe	2012- 05-18	6/14/12 9:43 AM	csantiag o	6
summar yprospe	2010- 05-25	5/31/10 12:46	mrussell	8
summar yprospe	2011- 05-03	6/16/11 6:07 PM	pdoherty	6
summar yprospe	2012- 04-20	6/14/12 9:43 AM	csantiag o	6
summar yprospe	2010- 05-25	5/31/10 12:46	mrussell	8
summar yprospe	2010- 08-16	8/20/10 3:06 PM	mrussell	4
summar yprospe	2011- 05-20	6/3/11 11:24	mjohnner	6
summar yprospe	2012- 03-13	4/20/12 11:54	mjohnner	6
summar yprospe	2012- 04-20	6/15/12 8:54 AM	mjohnner	6
summar yprospe	2010- 05-25	5/31/10 12:46	mrussell	8
summar yprospe	2011- 05-23	6/3/11 6:10 PM	smickle	4
summar yprospe	2012- 05-15	6/14/12 9:43 AM	csantiag o	8
sai	2010- 05-28	5/28/10 3:28 PM	csantiag o	113
sai	2010- 06-03	6/3/10 3:09 PM	mrussell	113
sai	2010- 05-28	5/28/10 3:29 PM	csantiag o	113
sai	2010- 06-03	6/3/10 3:10 PM	mrussell	113

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FBOOK _TYPE	DOCUM ENT_NA	FTIMEL AAS TCH	FLASTC HANGE	FPAGE COUNT
sai	2010-05-28	5/28/10 3:29 PM	csantiag o	113
sai	2010-06-03	6/3/10 3:12 PM	mrussell	113
sai	2010-05-28	5/28/10 3:30 PM	csantiag o	113
sai	2010-06-03	6/3/10 3:14 PM	mrussell	113
prospect us	2010-06-07	6/28/10 12:24	lkemper @oppen	88
prospect us	2010-07-01	7/1/10 5:34 PM	mjohner	88
prospect us	2011-05-27	7/28/11 5:42 PM	csantiag o	48
prospect us	2012-06-01	7/26/12 9:23 AM	csantiag o	48
prospect us	2010-06-07	6/28/10 3:18 PM	lkemper @oppen	88
prospect us	2010-07-01	7/1/10 5:34 PM	mjohner	88
prospect us	2011-05-25	6/28/11 11:08	clmiller	48
prospect us	2012-04-24	7/26/12 9:23 AM	csantiag o	48
prospect us	2010-06-07	6/28/10 4:05 PM	lkemper @oppen	88
prospect us	2010-07-01	7/1/10 5:35 PM	mjohner	88
prospect us	2011-05-27	6/28/11 4:29 PM	jtacaden a	48
prospect us	2012-05-25	7/26/12 9:23 AM	csantiag o	48
prospect us	2010-06-07	6/28/10 3:24 PM	lkemper @oppen	88
prospect us	2010-07-01	7/1/10 5:35 PM	mjohner	88
prospect us	2011-05-31	6/28/11 10:53	aharriso n	48
prospect us	2012-05-30	7/26/12 9:23 AM	csantiag o	48
prospect us	2010-06-07	6/28/10 4:15 PM	lkemper @oppen	88
prospect us	2010-07-01	7/1/10 5:35 PM	mjohner	88
prospect us	2011-05-27	7/28/11 5:41 PM	csantiag o	48

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FBOOK _TYPE	DOCUM ENT_NA	FTIMEL ASTCH	FLASTC HANGE	FPAGE COUNT
prospect us	2012- 05-29	7/26/12 9:19 AM	csantiag o	48
prospect us	2010- 06-07	6/28/10 4:44 PM	lkemper @oppen	88
prospect us	2010- 07-01	7/1/10 5:35 PM	mjohner	88
prospect us	2011- 05-27	6/28/11 10:34	mjohner	48
prospect us	2012- 06-01	7/26/12 9:23 AM	csantiag o	48
prospect us	2010- 06-08	7/1/10 11:53	mrussell	64
prospect us	2010- 07-29	7/29/10 1:49 PM	csantiag o	64
prospect us	2010- 09-30	11/12/10 12:22	mrussell	64
prospect us	2010- 11-15	11/15/10 4:33 PM	mrussell	64
prospect us	2011- 10-03	11/29/11 12:10	csantiag o	32
prospect us	2012- 07-31	11/28/12 2:18 PM	csantiag o	40
summar yprospe	2010- 06-17	6/28/10 6:34 PM	lkemper @oppen	6
summar yprospe	2011- 06-20	6/28/11 10:58	lkemper @oppen	6
summar yprospe	2012- 06-20	7/26/12 9:27 AM	csantiag o	6
summar yprospe	2010- 06-17	6/28/10 6:34 PM	lkemper @oppen	6
summar yprospe	2011- 05-27	7/28/11 5:40 PM	csantiag o	6
summar yprospe	2012- 06-19	7/26/12 9:27 AM	csantiag o	6
summar yprospe	2010- 06-17	6/28/10 6:34 PM	lkemper @oppen	6
summar yprospe	2011- 05-25	7/11/11 11:41	clmiller	6
summar yprospe	2012- 06-21	7/26/12 9:27 AM	csantiag o	6
summar yprospe	2010- 06-17	6/28/10 6:35 PM	lkemper @oppen	6
summar yprospe	2010- 06-17	7/1/10 3:40 PM	mrussell	6
summar yprospe	2010- 09-30	11/11/10 5:24 PM	mrussell	6

Number of Funds	Number of published Files	Number of Approved Files	Number of Rendered Pages
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FBOOK _TYPE	DOCUM ENT_NA	FTIMEL AAS TCH	FLASTC HANGE	FPAGE COUNT
summar yprospe	2011- 10-03	11/29/11 12:15	csantiag o	6
summar yprospe	2012- 03-15	3/28/12 1:32 PM	mrussell ofi	6
summar yprospe	2012- 10-22	11/28/12 2:18 PM	csantiag o	6
summar yprospe	2010- 06-18	6/28/10 6:35 PM	lkemper @oppen	6
summar yprospe	2011- 05-31	6/28/11 10:57	aharriso n	6
summar yprospe	2012- 06-21	7/26/12 9:27 AM	csantiag o	6
summar yprospe	2010- 06-18	6/28/10 6:35 PM	lkemper @oppen	6
summar yprospe	2011- 06-21	6/28/11 4:29 PM	jtacaden a	6
summar yprospe	2012- 06-05	7/26/12 9:27 AM	csantiag o	6
summar yprospe	2010- 06-18	6/28/10 6:35 PM	lkemper @oppen	6
summar yprospe	2010- 07-01	7/1/10 5:02 PM	mjohner	6
summar yprospe	2011- 06-20	7/28/11 5:41 PM	csantiag o	6
summar yprospe	2012- 06-19	7/26/12 9:27 AM	csantiag o	6
prospect us	2010- 06-22	7/29/10 3:08 PM	mrussell	56
prospect us	2011- 06-28	8/2/11 4:25 PM	mrussell ofi	30
prospect us	2012- 06-27	8/14/12 2:59 PM	smickle	36
prospect us	2010- 06-22	8/4/10 11:00	mrussell	56
prospect us	2010- 08-16	8/16/10 12:46	mrussell	56
prospect us	2011- 06-28	8/2/11 4:23 PM	mrussell ofi	32
prospect us	2012- 06-26	8/16/12 9:56 AM	pdoherty	32
prospect us	2010- 06-22	12/31/10 11:36	mrussell	56
prospect us	2011- 06-28	8/2/11 4:28 PM	jtacaden a	32
prospect us	2012- 06-26	8/16/12 10:33	cdamato	32

Number of Funds	Number of published Files	Number of Approved Files	Number of Rendered Pages
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FBOOK _TYPE	DOCUM ENT_NA	FTIMEL AASCH	FLASTC HANGE	FPAGE COUNT
summar yprospe	2010- 06-28	6/29/10 12:14	jtacaden a	8
summar yprospe	2010- 06-28	6/29/10 5:05 PM	pdoherty	4
summar yprospe	2011- 08-26	10/13/11 9:19 AM	jtacaden a	4
summar yprospe	2012- 09-21	11/6/12 2:14 PM	jtacaden a	6
sai	2010- 06-29	6/29/10 3:28 PM	csantiag o	125
sai	2010- 07-01	7/1/10 5:07 PM	csantiag o	123
prospect us	2010- 06-29	6/29/10 3:57 PM	jtacaden a	48
prospect us	2011- 07-14	8/23/11 5:54 PM	clmiller	32
prospect us	2011- 08-23	10/14/11 10:21	vkwon	32
prospect us	2012- 06-28	8/2/12 3:45 PM	clmiller	32
prospect us	2012- 08-28	11/28/12 12:58	psylvestr e	32
summar yprospe	2010- 06-29	6/29/10 3:53 PM	jtacaden a	8
summar yprospe	2011- 07-14	10/14/11 10:20	vkwon	4
summar yprospe	2012- 09-24	11/28/12 12:59	psylvestr e	6
sai	2010- 06-29	6/29/10 4:32 PM	csantiag o	125
sai	2010- 06-29	6/29/10 4:59 PM	csantiag o	125
sai	2010- 06-30	6/30/10 3:25 PM	csantiag o	125
sai	2010- 06-30	7/1/10 4:54 PM	csantiag o	125
summar yprospe	2010- 07-01	7/1/10 5:03 PM	mjohnner	8
summar yprospe	2011- 06-07	6/28/11 10:35	mjohnner	6
summar yprospe	2012- 06-21	7/26/12 9:27 AM	csantiag o	6
sai	2010- 07-01	7/1/10 5:50 PM	csantiag o	125
sai	2010- 07-01	7/1/10 6:08 PM	csantiag o	125

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FBOOK _TYPE	DOCUM ENT_NA	FTIMEL ASTCH	FLASTC HANGE	FPAGE COUNT
prospect us	2010- 07-06 MI	12/31/10 11:34	mrussell	56
prospect us	2011- 06-28	8/2/11 5:09 PM	clmiller	32
prospect us	2012- 06-28	8/14/12 2:58 PM	clmiller	32
prospect us	2010- 07-06	12/31/10 11:38	mrussell	56
prospect us	2011- 06-27	8/3/11 10:56	aharriso n	32
prospect us	2012- 06-28	8/21/12 12:28	aharriso n	32
prospect us	2010- 07-06	12/31/10 11:35	mrussell	56
prospect us	2011- 06-30	8/5/11 1:10 PM	pdoherty	32
prospect us	2012- 06-27	8/1/12 9:45 AM	jtacaden a	32
prospect us	2012- 08-01	8/14/12 2:59 PM	jtacaden a	32
prospect us	2010- 07-06	8/4/10 11:01	mrussell	56
prospect us	2010- 08-16	8/16/10 12:44	mrussell	56
prospect us	2011- 06-23	8/2/11 4:24 PM	mrussell ofi	32
prospect us	2012- 06-28	8/1/12 9:55 AM	psylvestr e	32
prospect us	2012- 08-01	8/14/12 4:33 PM	psylvestr e	32
prospect us	2010- 07-06	12/31/10 11:36	mrussell	56
prospect us	2011- 06-23	8/2/11 4:27 PM	mrussell ofi	32
prospect us	2012- 06-27	8/14/12 3:18 PM	mjohnner	32
sai	2010- 07-07	12/31/10 11:33	mrussell	123
sai	2011- 06-27	8/2/11 4:36 PM	mrussell ofi	133
sai	2012- 06-25	8/14/12 3:10 PM	mrussell ofi	125
summar yprospe	2010- 07-20	7/29/10 3:21 PM	mrussell	6
summar yprospe	2011- 06-28	8/2/11 4:29 PM	mrussell ofi	6

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FBOOK _TYPE	DOCUM ENT_NA	FTIMEL ASTCH	FLASTC HANGE	FPAGE COUNT
summar yprospe	2012- 07-19	8/14/12 2:59 PM	smickle	6
summar yprospe	2010- 07-20	7/29/10 3:31 PM	mrussell	6
summar yprospe	2011- 07-19	8/2/11 4:30 PM	mrussell ofi	6
summar yprospe	2012- 07-20	8/16/12 9:57 AM	pdoherty	6
summar yprospe	2010- 07-20	7/29/10 3:47 PM	mrussell	6
summar yprospe	2011- 07-15	8/2/11 4:29 PM	jtacaden a	6
summar yprospe	2012- 07-19	8/16/12 10:33	cdamato	6
summar yprospe	2010- 07-20	7/29/10 3:37 PM	mrussell	6
summar yprospe	2011- 06-30	8/2/11 5:09 PM	clmiller	6
summar yprospe	2012- 07-20	8/14/12 2:58 PM	clmiller	6
summar yprospe	2010- 07-20	7/29/10 3:49 PM	mrussell	6
summar yprospe	2011- 07-18	8/3/11 10:57	aharriso n	6
summar yprospe	2011- 07-18	7/23/12 3:11 PM	aharriso n	6
summar yprospe	2012- 07-23	8/21/12 11:05	aharriso n	6
summar yprospe	2010- 07-20	7/29/10 3:47 PM	mrussell	6
summar yprospe	2011- 06-30	8/5/11 1:07 PM	pdoherty	6
summar yprospe	2012- 07-19	8/14/12 2:58 PM	jtacaden a	6
summar yprospe	2010- 07-20	7/29/10 3:48 PM	mrussell	6
summar yprospe	2011- 06-22	8/2/11 4:31 PM	mrussell ofi	6
summar yprospe	2012- 07-24	8/14/12 4:32 PM	psylvestr e	6
summar yprospe	2010- 07-20	7/29/10 3:48 PM	mrussell	6
summar yprospe	2011- 07-18	8/2/11 4:28 PM	mrussell ofi	6
summar yprospe	2012- 07-19	8/14/12 3:16 PM	mjohnner	6

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FBOOK _TYPE	DOCUM ENT_NA	FTIMEL ASTCH	FLASTC HANGE	FPAGE COUNT
sai	2010-07-29	8/4/10 10:59	mrussell	139
sai	2010-07-29	8/4/10 10:58	mrussell	139
sai	2010-07-29	8/4/10 10:57	mrussell	139
sai	2010-07-29	8/4/10 10:57	mrussell	139
sai	2010-07-29 MI	8/4/10 10:56	mrussell	139
sai	2010-07-29	8/4/10 10:55	mrussell	139
sai	2010-07-29	8/4/10 10:54	mrussell	139
sai	2010-07-29	8/4/10 10:52	mrussell	139
summar yprospe	2010-07-30	8/3/10 10:26	aharriso n	4
summar yprospe	2011-08-26	8/30/11 12:24	aharriso n	4
summar yprospe	2011-10-28	12/20/11 2:49 PM	vkwon	4
summar yprospe	2011-10-28	2/1/12 9:24 AM	vkwon	4
summar yprospe	2012-11-23	1/2/13 10:24	clmiller	8
prospect us	2010-08-03	9/1/10 4:40 PM	pdoherty	48
prospect us	2011-07-28	9/8/11 8:58 AM	csantiag o	32
prospect us	2012-05-25	7/26/12 9:23 AM	csantiag o	32
prospect us	2012-07-30	9/13/12 3:41 PM	smickle	32
sai	2010-08-03	9/1/10 4:31 PM	pdoherty	79
sai	2011-07-28	9/8/11 8:57 AM	csantiag o	81
sai	2012-05-25	7/26/12 9:24 AM	csantiag o	79
sai	2012-07-30	9/13/12 3:40 PM	smickle	79
summar yprospe	2010-08-09	8/27/10 9:52 AM	jtacaden a	6
summar yprospe	2011-07-26	9/8/11 9:00 AM	csantiag o	6

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FBOOK _TYPE	DOCUM ENT_NA	FTIMEL AASSTCH	FLASTC HANGE	FPAGE COUNT
summar yprospe	2012- 08-22	9/17/12 3:23 PM	pdoherty	6
summar yprospe	2010- 08-13	8/26/10 12:26	cdamato	4
summar yprospe	2011- 05-03	7/26/11 3:49 PM	aharriso n	4
summar yprospe	2011- 08-10	9/8/11 9:03 AM	csantiag o	4
summar yprospe	2012- 08-13	9/13/12 4:09 PM	psylvestr e	8
prospect us	2010- 08-16	12/6/10 1:09 PM	mjohnner	40
prospect us	2011- 01-10	4/12/11 3:57 PM	csantiag o	24
prospect us	2011- 08-29	10/12/11 5:30 PM	smickle	24
prospect us	2012- 08-27	10/18/12 6:54 PM	smickle	32
sai	2010- 08-16	12/6/10 1:10 PM	mjohnner	77
sai	2010- 12-08	12/8/10 5:25 PM	mjohnner	79
sai	2011- 08-29	10/12/11 5:31 PM	smickle	81
sai	2012- 08-28	10/18/12 6:53 PM	smickle	77
prospect us	2010- 08-16	12/6/10 12:54	mrussell	40
prospect us	2011- 12-28	2/1/12 10:51	mjohnner	32
prospect us	2013- 01-02	2/11/13 11:24	mjohnner	32
sai	2010- 08-16	12/6/10 12:57	mrussell	77
sai	2011- 12-28	2/1/12 12:08	mjohnner	81
sai	2013- 01-02	2/11/13 11:25	mjohnner	83
summar yprospe	2010- 08-17	9/2/10 1:29 PM	pdoherty	4
summar yprospe	2011- 07-28	9/8/11 8:57 AM	csantiag o	4
summar yprospe	2012- 08-22	9/13/12 3:42 PM	smickle	4
summar yprospe	2010- 08-26	8/27/10 3:52 PM	clmiller	4

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FBOOK _TYPE	DOCUM ENT_NA	FTIMEL ASTCH	FLASTC HANGE	FPAGE COUNT
summar yprospe	2011- 07-25	9/8/11 9:02 AM	csantiag o	4
summar yprospe	2011- 07-25	2/1/12 9:24 AM	vkwon	4
summar yprospe	2012- 08-24	9/17/12 12:34	aharriso n	8
summar yprospe	2010- 10-25	10/29/10 5:43 PM	aharriso n	4
summar yprospe	2011- 04-29	5/3/11 3:27 PM	csantiag o	4
summar yprospe	2011- 10-18	11/29/11 12:15	csantiag o	4
summar yprospe	2012- 10-22	11/26/12 12:16	vkwon	4
prospect us	2010- 11-04	5/4/11 1:49 PM	aharriso n	24
prospect us	2011- 11-01	12/8/11 4:05 PM	aharriso n	24
prospect us	2012- 09-05	12/26/12 3:27 PM	aharriso n	24
prospect us	2010- 11-09	11/13/10 10:36	psuresh ofi	16
prospect us	2010- 11-09	1/14/11 3:46 PM	psuresh ofi	32
prospect us	2011- 01-18	6/12/11 11:49	jdesimo neofi	32
prospect us	2011- 06-12	8/7/11 11:09	evargas ofi	32
prospect us	2011- 08-07	10/3/11 4:36 PM	pcaruso ofi	32
prospect us	2011- 10-03	10/20/11 11:10	jmitnicko fi	32
prospect us	2011- 10-20	10/20/11 3:05 PM	jmitnicko fi	32
prospect us	2011- 10-20	11/14/11 2:25 PM	jmitnicko fi	32
prospect us	2011- 10-20	11/14/11 2:46 PM	jmitnicko fi	32
prospect us	2011- 10-20	11/21/11 2:21 PM	jmitnicko fi	32
prospect us	2011- 11-21	11/21/11 2:35 PM	dcisupp ortofi	32
prospect us	2011- 11-21	2/14/12 7:54 PM	evargas ofi	32
prospect us	2012- 02-15	5/5/12 11:54	jmitnicko fi	32

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FBOOK _TYPE	DOCUM ENT_NA	FTIMEL AAS TCH	FLASTC HANGE	FPAGE COUNT
prospect us	2012- 05-05	7/25/12 9:32 AM	jdesimo neofi	32
prospect us	2012- 07-25	7/25/12 9:49 AM	jdesimo neofi	32
prospect us	2012- 07-25	7/25/12 10:19	jdesimo neofi	32
prospect us	2012- 07-25	7/25/12 11:21	jdesimo neofi	32
prospect us	2012- 07-25	12/8/12 11:40	evargas ofi	32
summar yprospe	2010- 11-18	12/6/10 12:53	mrussell	4
summar yprospe	2010- 12-07	12/31/10 11:32	mrussell	4
summar yprospe	2011- 12-28	2/1/12 12:12	mjohner	4
summar yprospe	2013- 01-02	2/11/13 11:28	mjohner	8
summar yprospe	2010- 11-18	12/6/10 1:08 PM	mjohner	4
summar yprospe	2011- 09-19	10/12/11 5:32 PM	smickle	4
summar yprospe	2012- 09-19	10/18/12 6:52 PM	smickle	6
summar yprospe	2010- 12-14	1/14/11 3:52 PM	psuresh ofi	8
summar yprospe	2011- 01-19	6/12/11 12:07	psuresh ofi	8
summar yprospe	2011- 06-12	10/1/11 8:00 AM	jdesimo neofi	8
summar yprospe	2011- 10-01	10/3/11 4:36 PM	pcaruso ofi	8
summar yprospe	2011- 10-03	2/14/12 8:05 PM	evargas ofi	6
summar yprospe	2012- 02-14	5/8/12 11:06	evargas ofi	6
summar yprospe	2012- 05-23	6/20/12 4:01 PM	jmitnicko fi	5
summar yprospe	2012- 06-20	12/8/12 12:06	evargas ofi	5
summar yprospe	2012- 06-20	4/12/13 11:12	evargas ofi	4
summar yprospe	2012- 06-20	4/17/13 6:20 AM	jdesimo neofi	4
summar yprospe	2012- 06-20	5/16/13 5:22 PM	jdesimo neofi	4

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942	63	62	171085

FBOOK _TYPE	DOCUM ENT_NA	FTIMEL ASTCH	FLASTC HANGE	FPAGE COUNT
sai	2010-12-20	5/4/11 1:34 PM	aharriso n	63
sai	2011-11-01	12/8/11 4:01 PM	aharriso n	67
sai	2012-09-05	12/26/12 3:26 PM	aharriso n	65
summar yprospe	2011-03-23	5/4/11 1:51 PM	aharriso n	4
summar yprospe	2011-03-23	8/1/11 6:42 PM	csantiag o	4
summar yprospe	2011-11-17	12/8/11 4:09 PM	aharriso n	4
summar yprospe	2012-11-21	12/26/12 3:04 PM	aharriso n	4
sai	2011-04-28	7/28/11 5:35 PM	csantiag o	87
sai	2011-04-28	7/28/11 5:34 PM	csantiag o	87
sai	2011-04-28	7/28/11 5:34 PM	csantiag o	87
sai	2011-04-28	7/28/11 5:34 PM	csantiag o	87
sai	2011-04-28	7/28/11 5:33 PM	csantiag o	87
sai	2011-04-28	7/28/11 5:33 PM	csantiag o	87
sai	2011-04-28	7/28/11 5:35 PM	csantiag o	87
sai	2011-04-28	7/28/11 5:32 PM	csantiag o	87
sai	2011-04-28	7/28/11 5:32 PM	csantiag o	87
sai	2011-04-28	7/28/11 5:31 PM	csantiag o	87
sai	2011-04-28	7/28/11 5:31 PM	csantiag o	87
sai	2011-04-29	7/28/11 5:36 PM	csantiag o	65
sai	2012-03-12	2/21/13 9:37 AM	clmiller	59
sai	2011-04-29	7/28/11 5:37 PM	csantiag o	65
sai	2012-03-07	5/4/12 1:28 PM	cdamato	57
sai	2012-05-04	5/29/12 10:02	csantiag o	57

Number of Funds	Number of published Files	Number of Approved Files	Number of Rendered Pages
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FBOOK _TYPE	DOCUM ENT_NA	FTIMEL ASTCH	FLASTC HANGE	FPAGE COUNT
sai	2013-03-15	6/3/13 3:29 PM	cdamato	65
sai	2011-04-29	7/28/11 5:36 PM	csantiag o	65
sai	2012-03-12	2/21/13 9:38 AM	clmiller	59
prospect us	2011-05-31	4/18/12 11:50	jtacaden a	32
sai	2011-05-31	4/18/12 11:50	jtacaden a	95
sai	2011-05-31	7/28/11 5:40 PM	csantiag o	121
sai	2011-05-31	7/28/11 5:39 PM	csantiag o	121
sai	2011-05-31	7/28/11 5:39 PM	csantiag o	121
sai	2011-05-31	7/28/11 5:39 PM	csantiag o	121
sai	2011-06-28	7/11/11 4:58 PM	pdoherty	125
sai	2011-06-28	7/11/11 5:02 PM	pdoherty	125
sai	2011-06-28	7/11/11 4:55 PM	pdoherty	125
sai	2011-06-28	7/11/11 4:51 PM	pdoherty	125
sai	2011-06-28	7/11/11 4:48 PM	pdoherty	125
sai	2011-06-28	7/11/11 4:45 PM	pdoherty	125
sai	2011-06-28	7/11/11 4:42 PM	pdoherty	125
prospect us	2011-07-01	8/16/11 10:22	cdamato	32
prospect us	2011-08-16	10/12/11 5:41 PM	cdamato	32
prospect us	2011-10-27	11/18/11 12:34	cdamato	32
prospect us	2011-11-18	1/30/12 3:00 PM	cdamato	32
prospect us	2012-01-30	2/22/12 4:34 PM	cdamato	32
prospect us	2012-08-23	11/28/12 2:21 PM	csantiag o	40
prospect us	2011-07-13	2/11/13 1:36 PM	clmiller	32

Number of Funds	Number of published Files	Number of Approved Files	Number of Rendered Pages
942	63	62	171085

FBOOK _TYPE	DOCUM ENT_NA	FTIMEL ASTCH	FLASTC HANGE	FPAGE COUNT
sai	2011-07-13	2/11/13 1:37 PM	clmiller	83
sai	2011-07-14	8/16/11 10:22	cdamato	87
sai	2011-08-16	10/13/11 9:31 AM	cdamato	87
sai	2011-10-27	11/18/11 12:35	cdamato	89
sai	2011-11-18	1/30/12 3:00 PM	cdamato	89
sai	2012-01-30	2/22/12 4:34 PM	cdamato	89
sai	2012-08-27	11/28/12 2:21 PM	csantiag o	91
sai	2011-07-28	8/2/11 4:22 PM	mrussell ofi	133
sai	2011-07-28	8/2/11 4:21 PM	mrussell ofi	133
sai	2011-07-28	8/5/11 3:03 PM	jtacaden a	133
sai	2011-07-28 MI	8/2/11 5:09 PM	clmiller	133
sai	2011-07-28	8/4/11 11:21	aharriso n	133
sai	2011-07-28	8/5/11 1:19 PM	pdoherty	133
sai	2011-07-28	8/2/11 4:19 PM	mrussell ofi	133
sai	2011-07-28	8/2/11 4:20 PM	mrussell ofi	133
sai	2011-08-04	11/29/11 12:14	csantiag o	87
sai	2012-07-31	11/28/12 2:18 PM	csantiag o	85
prospect us	2011-09-07	10/13/11 9:03 AM	mjohnner	24
prospect us	2012-09-04	10/12/12 11:02	mjohnner	24
summar yprospe	2011-09-19	10/12/11 5:31 PM	cdamato	6
summar yprospe	2012-01-23	1/30/12 2:59 PM	cdamato	6
summar yprospe	2012-01-30	2/22/12 4:35 PM	cdamato	6
summar yprospe	2012-09-24	11/28/12 2:21 PM	csantiag o	12

Number of Funds	Number of published Files	Number of Approved Files	Number of Rendered Pages
942	63	62	171085

FBOOK _TYPE	DOCUM ENT_NA	FTIMEL AASSTCH	FLASTC HANGE	FPAGE COUNT
summar yprospe	2011- 09-20	10/13/11 9:02 AM	mjohner	6
summar yprospe	2012- 09-04	10/12/12 11:02	mjohner	6
summar yprospe	2011- 11-15	2/11/13 1:36 PM	clmiller	4
prospect us	2012- 02-04	8/30/12 2:03 PM	jmitnicko fi	32
prospect us	2012- 02-04	8/30/12 2:53 PM	jmitnicko fi	32
sai	2012- 02-16	5/10/13 8:35 PM	jdesimo neofi	49
summar yprospe	2012- 05-05	5/5/12 12:10	evargas ofi	8
prospect us	2012- 07-16	10/22/12 1:23 PM	mjohner	40
prospect us	2012- 10-22	11/29/12 4:51 PM	mjohner	40
prospect us	2012- 11-29	1/10/13 12:33	mjohner	40
summar yprospe	2012- 07-25	7/25/12 9:33 AM	jdesimo neofi	8
summar yprospe	2012- 07-25	7/25/12 9:50 AM	jdesimo neofi	8
summar yprospe	2012- 07-25	7/25/12 11:06	jdesimo neofi	8
summar yprospe	2012- 07-25	7/25/12 11:21	jdesimo neofi	8
summar yprospe	2012- 07-25	7/25/12 11:35	jdesimo neofi	4
sai	2012- 07-27	10/22/12 1:23 PM	mjohner	101
sai	2012- 10-22	11/29/12 4:50 PM	mjohner	103
sai	2012- 11-29	1/2/13 1:53 PM	mjohner	105
sai	2013- 01-02	1/25/13 12:06	mjohner	105
prospect us	2012- 09-05	4/1/13 3:05 PM	aharriso n	24
prospect us	2013- 04-01	5/24/13 12:42	aharriso n	24
sai	2012- 09-05	4/1/13 4:18 PM	aharriso n	85
sai	2013- 04-01	5/24/13 12:45	aharriso n	85

Number of Funds	Number of published Files	Number of Approved Files	Number of Rendered Pages
942	63	62	171085

FBOOK _TYPE	DOCUM ENT_NA	FTIMEL ASTCH	FLASTC HANGE	FPAGE COUNT
summar yprospe	2012- 12-28	1/10/13 12:34	mjohnr	6
prospect us	2013- 01-10	5/24/13 12:41	aharriso n	24
sai	2013- 01-10	5/24/13 12:40	aharriso n	81
prospect us	2013- 01-19	1/19/13 11:59	jdesimo neofi	32
prospect us	2013- 01-19	1/19/13 12:25	jdesimo neofi	32
summar yprospe	2013- 01-19	1/19/13 12:00	jdesimo neofi	8
prospect us	2013- 03-04	3/4/13 7:40 PM	evargas ofi	40
summar yprospe	2013- 03-13	4/1/13 10:38	aharriso n	4
summar yprospe	2013- 04-01	5/24/13 12:43	aharriso n	4
sai	2013- 04-12	4/12/13 11:09	evargas ofi	3
sai	2013- 04-12	4/12/13 11:09	evargas ofi	3
sai	2013- 04-12	4/12/13 11:09	evargas ofi	3
summar yprospe	2013- 05-14	5/24/13 12:39	aharriso n	4