

THE NOVAK PROTOCOL — OFFICIAL PUBLIC SPECIFICATION

Version: 1.0

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Protocol Name: N.O.V.A.K — Novak Objective Verification of Autonomous Knowledge

Status: Public reference design, open for cryptographic challenge

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1. Executive Summary

NOVAK is the first computational execution-governance model that **mathematically prevents unlawful machine or institutional decisions** by requiring cryptographic proof of legal compliance *before* execution occurs.

Unlike audit logs, compliance checklists, or blockchain immutability — all of which allow illegal behavior to occur and be analyzed later — NOVAK **stops unlawful execution at runtime**, making silent institutional wrongdoing *mathematically impossible*.

NOVAK consists of four enforcement primitives:

1. **NIPS** — Novak Input Proof Set
No unverifiable input is permitted.
2. **HARMONEE** — Hash-Attested Receipt of Machine Output, Non-Repudiable, Enforceable & Eternal
Every execution produces an immutable receipt.
3. **REVELATION** — Recursive Execution Ledger Validator for All Transactions In Ordered Number
All receipts are chained so deletion becomes forensic evidence.
4. **Equal Execution Law** —
Identical inputs under identical rules MUST yield identical outputs — OR must generate human-readable justification.

These four components comprise the **NOVAK Kernel**, the first enforceable digital rule-of-law mechanism for autonomous systems.

◆ 2. The Five Novak Laws of Cryptographic Execution Integrity

LAW 1 — VERIFIED INPUT ONLY

No system may execute using inputs which cannot prove cryptographic origin, schema validity, and provenance.

LAW 2 — IMMUTABLE EXECUTION RECEIPTS

Every execution **MUST** produce a cryptographically bound proof containing rule, input, output, actor, timestamp.

LAW 3 — GLOBAL AUDIT CONSISTENCY

Receipts **MUST** chain into a recursive hash ledger so deletion or mutation becomes automatically detectable.

LAW 4 — EQUAL EXECUTION REQUIREMENT

Identical rule + input pairs **MUST** produce identical outputs OR a justification-proof must be generated.

LAW 5 — PUBLIC VERIFIABILITY

Any third party must be able to validate execution integrity using public cryptographic material without trusting the institution itself.

◆ 3. Formal Mathematical Specification

3.1 Deterministic Decision Function

$$O = f(R, D)$$

Where:

- R = Frozen rule bundle hash
- D = Attested input bundle

- O = Output state

3.2 NOVAK Execution Receipt (HARMONEE)

$H = \text{Hash}(R_H \parallel D_H \parallel O_H \parallel \text{Actor_ID} \parallel \text{Timestamp})$

3.3 REVELATION Global Ledger Chain

$C_0 = \text{GENESIS}$

$C_i = \text{SHA256}(C_{i-1} \parallel H_i)$

Any mutation $\rightarrow C_i \neq \text{recomputed } C_i \rightarrow \text{forensic proof of tampering}$

3.4 NOVAK Equal Execution Law

IF

$R(D_1) \rightarrow O_1$

$R(D_2) \rightarrow O_2$

AND

$D_1 \equiv D_2$ (materially equivalent)

AND

$O_1 \neq O_2$

THEN

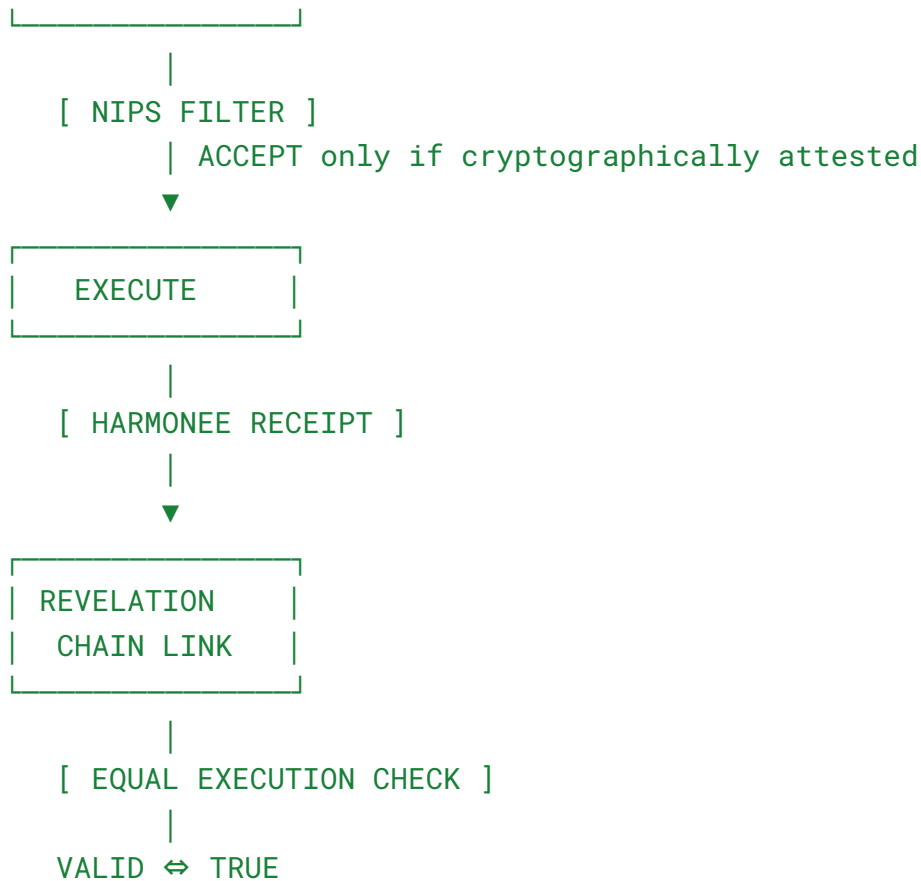
System MUST produce justification J

IF J = NULL \rightarrow EXECUTION VIOLATION

This is **the first enforceable mathematical definition of lawful equality under algorithmic governance.**

4. NOVAK Kernel Enforcement Flow





◆ 5. NOVAK Licensing Model

- ✓ Free, unrestricted use for U.S. federal agencies
- ✓ Commercial license required for any profit-seeking deployment
- ✓ NOVAK-PUBLIC License for research, academia, open audits
- ✓ NOVAK-COMMERCIAL License for enterprise full deployment

◆ 6. NOVAK Authoritative Reference Text

This document **SHALL** be referenced as:

“NOVAK v1.0 — Public Enforcement Specification Release (10 November 2025)”

7. Cryptographic Challenge Rules

Anyone may:

- ✓ attempt to break deterministic equality
- ✓ attempt to produce unverifiable inputs
- ✓ attempt to alter receipts undetected

If successful, they **MUST**:

- ➡ publish the exploit
- ➡ submit reproduction steps
- ➡ receive authorship credit

If NO ONE breaks it → **the system becomes canon by survival.**

8. Author Declaration

I, **Matthew S. Novak**, hereby publish the NOVAK Protocol into the public scientific record, retaining authorship and commercial rights while granting unrestricted U.S. government use.

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