

# THE NOVAK PROTOCOL — OFFICIAL PUBLIC SPECIFICATION

**Version:** 1.0

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**Protocol Name:** N.O.V.A.K — Novak Objective Verification of Autonomous Knowledge

**Status:** Public reference design, open for cryptographic challenge

**License:** NOVAK-PUBLIC / NOVAK-COMMERCIAL (dual license)

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## ◆ 1. Executive Summary

NOVAK is the first computational execution-governance model that **mathematically prevents unlawful machine or institutional decisions** by requiring cryptographic proof of legal compliance *before* execution occurs.

Unlike audit logs, compliance checklists, or blockchain immutability — all of which allow illegal behavior to occur and be analyzed later — NOVAK **stops unlawful execution at runtime**, making silent institutional wrongdoing *mathematically impossible*.

NOVAK consists of four enforcement primitives:

1. **NIPS** — Novak Input Proof Set  
*No unverifiable input is permitted.*
2. **HARMONEE** — Hash-Attested Receipt of Machine Output, Non-Repudiable, Enforceable & Eternal  
*Every execution produces an immutable receipt.*
3. **REVELATION** — Recursive Execution Ledger Validator for All Transactions In Ordered Number  
*All receipts are chained so deletion becomes forensic evidence.*
4. **Equal Execution Law** —  
*Identical inputs under identical rules MUST yield identical outputs — OR must generate human-readable justification.*

These four components comprise the **NOVAK Kernel**, the first enforceable digital rule-of-law mechanism for autonomous systems.

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## ◆ 2. The Five Novak Laws of Cryptographic Execution Integrity

### LAW 1 — VERIFIED INPUT ONLY

No system may execute using inputs which cannot prove cryptographic origin, schema validity, and provenance.

### LAW 2 — IMMUTABLE EXECUTION RECEIPTS

Every execution MUST produce a cryptographically bound proof containing rule, input, output, actor, timestamp.

### LAW 3 — GLOBAL AUDIT CONSISTENCY

Receipts MUST chain into a recursive hash ledger so deletion or mutation becomes automatically detectable.

### LAW 4 — EQUAL EXECUTION REQUIREMENT

Identical rule + input pairs MUST produce identical outputs OR a justification-proof must be generated.

### LAW 5 — PUBLIC VERIFIABILITY

Any third party must be able to validate execution integrity using public cryptographic material without trusting the institution itself.

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## ◆ 3. Formal Mathematical Specification

### 3.1 Deterministic Decision Function

$$0 = f(R, D)$$

Where:

- R = Frozen rule bundle hash
- D = Attested input bundle

- $O$  = Output state
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### 3.2 NOVAK Execution Receipt (HARMONEE)

$H = \text{Hash}(R_H // D_H // O_H // \text{Actor\_ID} // \text{Timestamp})$

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### 3.3 REVELATION Global Ledger Chain

$C_0 = \text{GENESIS}$

$C_i = \text{SHA256}(C_{i-1} // H_i)$

Any mutation  $\rightarrow C_i \neq \text{recomputed } C_i \rightarrow$  forensic proof of tampering

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### 3.4 NOVAK Equal Execution Law

IF

$R(D_1) \rightarrow O_1$

$R(D_2) \rightarrow O_2$

AND

$D_1 \equiv D_2 \quad (\text{materially equivalent})$

AND

$O_1 \neq O_2$

THEN

System MUST produce justification  $J$

IF  $J = \text{NULL} \rightarrow \text{EXECUTION VIOLATION}$

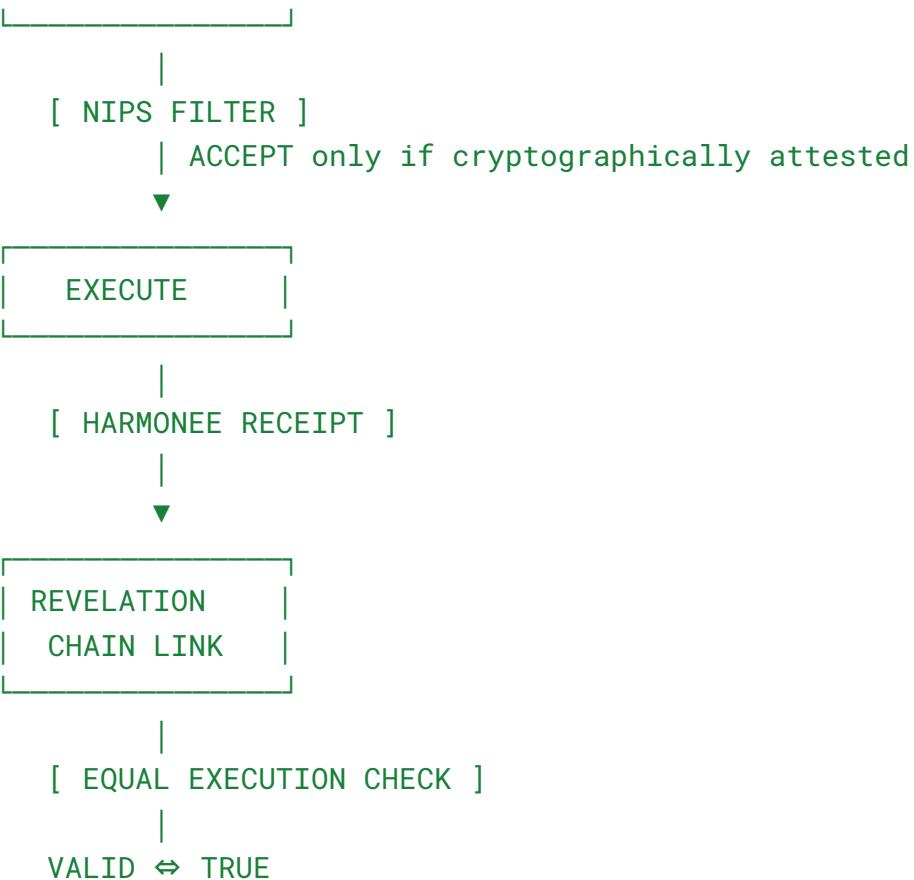
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This is **the first enforceable mathematical definition of lawful equality under algorithmic governance.**

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## ◆ 4. NOVAK Kernel Enforcement Flow





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## ◆ 5. NOVAK Licensing Model

- ✓ Free, unrestricted use for U.S. federal agencies
  - ✓ Commercial license required for any profit-seeking deployment
  - ✓ NOVAK-PUBLIC License for research, academia, open audits
  - ✓ NOVAK-COMMERCIAL License for enterprise full deployment
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## ◆ 6. NOVAK Authoritative Reference Text

This document SHALL be referenced as:

*"NOVAK v1.0 — Public Enforcement Specification Release (10 November 2025)"*

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## ◆ 7. Cryptographic Challenge Rules

Anyone may:

- ✓ attempt to break deterministic equality
- ✓ attempt to produce unverifiable inputs
- ✓ attempt to alter receipts undetected

If successful, they MUST:

- publish the exploit
- submit reproduction steps
- receive authorship credit

If NO ONE breaks it → **the system becomes canon by survival.**

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## ◆ 8. Author Declaration

I, **Matthew S. Novak**, hereby publish the NOVAK Protocol into the public scientific record, retaining authorship and commercial rights while granting unrestricted U.S. government use.

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