

Prompt Engineering for Science Birds

Level Generation and Beyond


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Aug 6, 2024

Prompt Engineering Technique

In Case You Want to Code Along...

Let's Download a Few Things

- **Program:**  LM Studio (Available: Windows, macOS, and Linux)
- **Large language models (LLMs)**
 - VRAM > 32GB: **Yi 1.5 34B Chat**
 - VRAM >= 8GB: **Llama 3.1 8B Instruct**
 - VRAM < 8GB: **Phi 3 mini 3.8B Instruct**

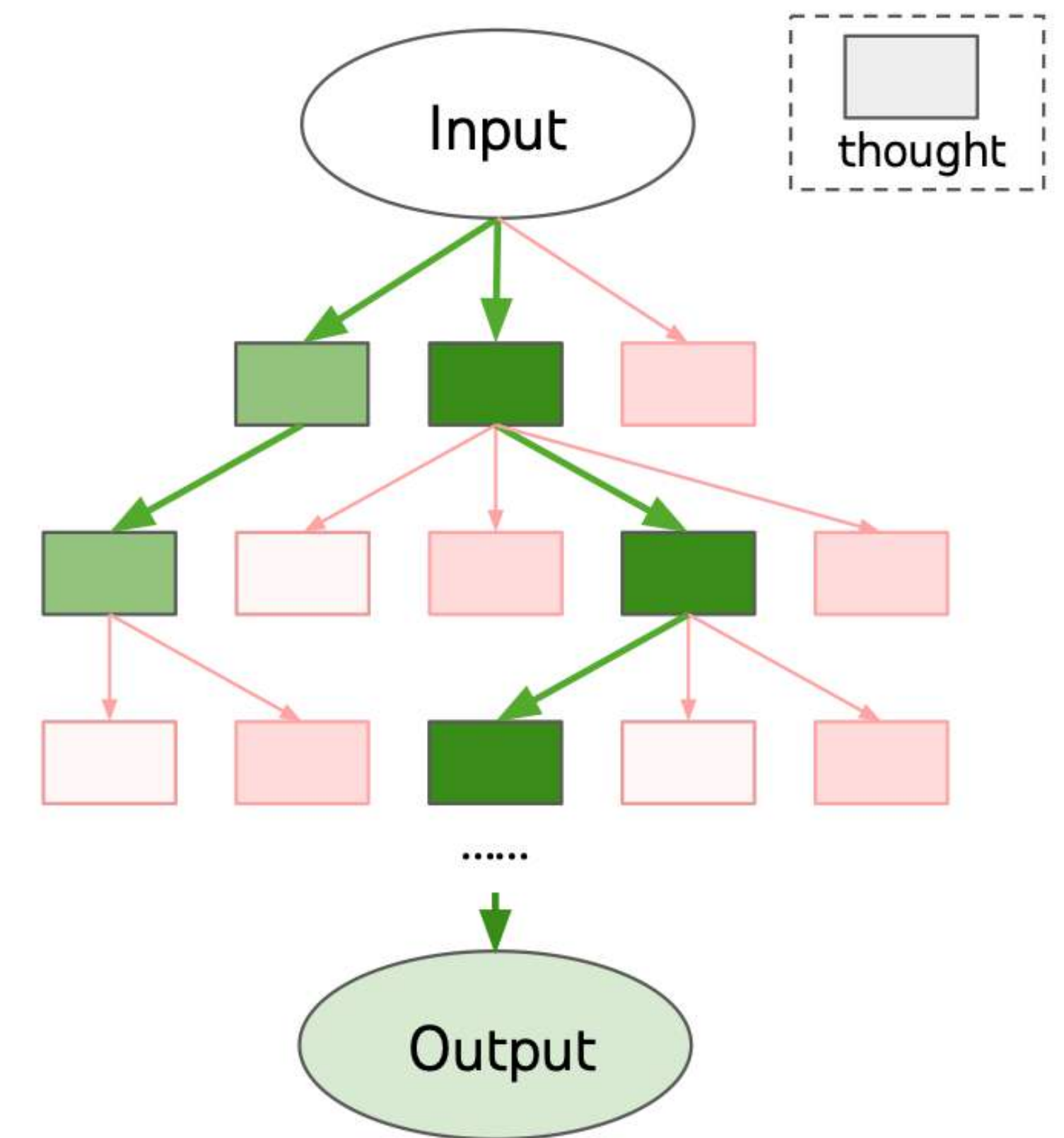


**Additional resources
(incl. this slide)**

chatgpt4pcg.github.io/tutorial

Our Objective

- Implement **tree-of-thought prompting** for ChatGPT4PCG task
- Why?
 - **Complex** prompt engineering approach
 - Requires **programming** (iteration)
 - Combine **multiple ideas** from prompt engineering approaches



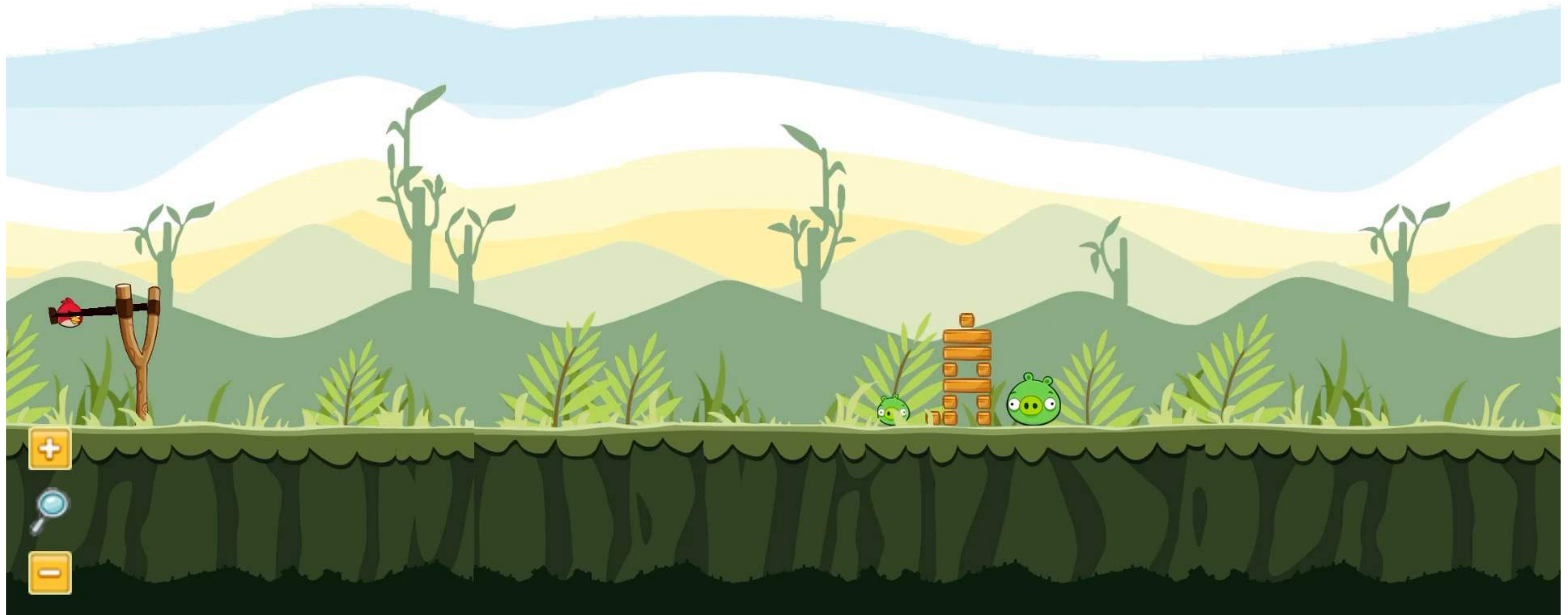
ChatGPT4PCG Competitions

Discovering and Evaluating Prompt Engineering Approaches Through PCG Competitions





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ChatGPT4PCG 2

Prompt Engineering for Science Birds Level Generation

Level Generation



A Prompt Engineering Program

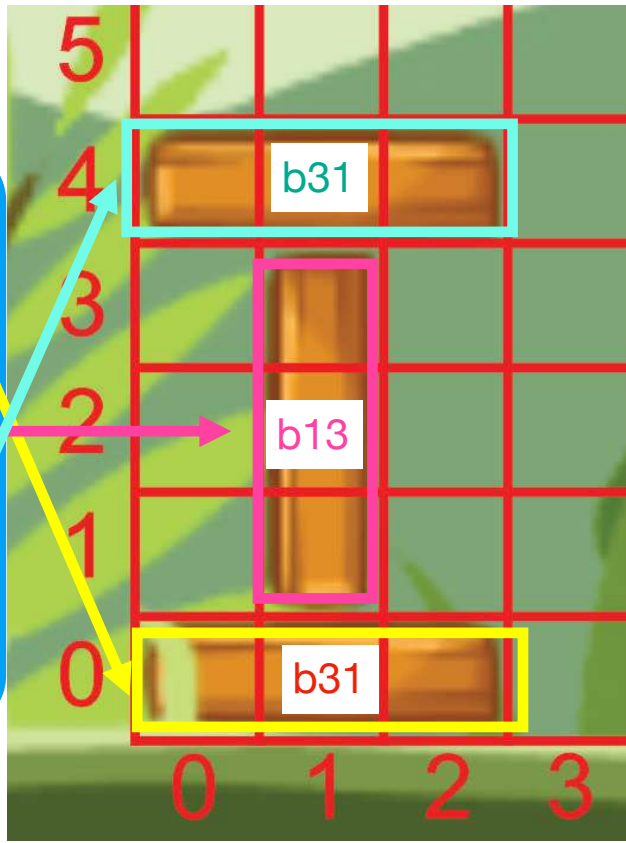


GPT-3.5 Turbo
(updated version)



```
...  
drop_block('b31', 1)  
drop_block('b13', 1)  
drop_block('b31', 1)  
...
```

Generated Response



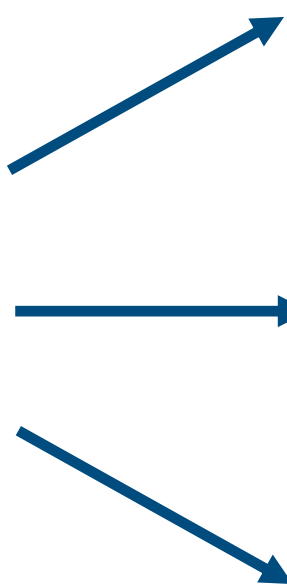
Level Evaluation

```
drop_block('b31', 1)  
drop_block('b13', 1)  
drop_block('b31', 1)
```

Function calls



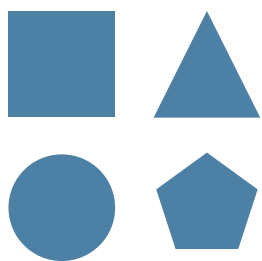
Science Birds [2] Level



Stability Score



Similarity Score
using the improved classifier



Diversity Score

Evaluation Metrics

ChatGPT4PCG 2: Target Character "A"

Stability



Stable



Unstable

Similarity

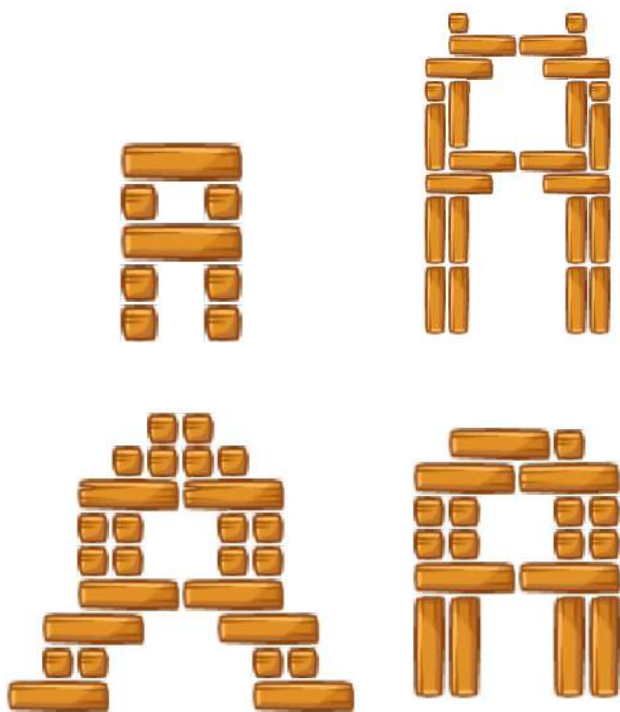


Similar

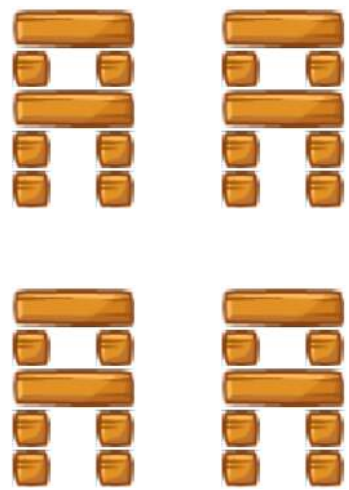


Dissimilar

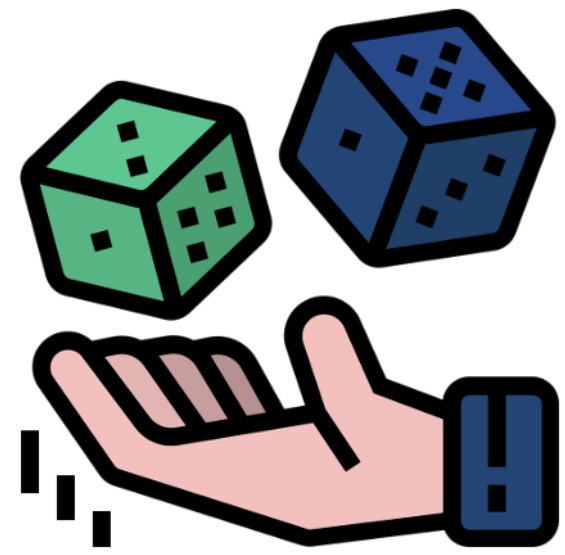
Diversity



Diverse



Alike



Language Model as a Probabilistic Model

Glossary

Tokens

Hello = ["He", "llo"]

Prompt -> LM -> Output

Context

Msg. #1

Msg. #2

Msg. #3

- **Token:** a **smallest representation unit** of a word or subword
- **Prompt:** a sequence of tokens given as an **input** to a language model (LM)
- **LM:** a model trained to predict a **probability distribution** of the next token given a prompt
- **Decoding:** the process of **choosing a predicted token** from a probability distribution generated by an LM
- **Context:** **history of messages**; both user queries and LM's generated content
- **Context window:** the **maximum number of tokens** in a context that an LM can accept

LM as a Conditional Probabilistic Model (CPM)

- Given that

- x represents a token and \hat{x} represents a predicted token,
- L represents the length of a prompt input where a prompt is represented by $x_{1:L}$,
- N represents the maximum number of context window tokens,
- and \hat{P} represents a trained language model

for $i = L + 1, \dots, N$ **or found** $\langle \text{EOS} \rangle$:
 $\hat{x}_i = \underset{x_i}{\operatorname{argmax}} \hat{P}(x_i | x_{1:i-1}),$

where $\langle \text{EOS} \rangle$ is an end-of-sequence special token (stop token)

Autoregressive generation: generate **one token at a time** based on the condition

LM as a CPM: a predicted token is generated based on a condition, 1) a prompt and 2) generated tokens so far

LM as a Conditional Probabilistic Model (CPM)

Example

Let's think step-by-step

✨ Magic Phrase

Divide the message ...

Prompt #2

Classify the message ...

Prompt #3

Target

"Neutral"

Prompt #1

Tell me how to ...

Random plot for visualization purpose only

LM as a Conditional Probabilistic Model (CPM)

Example

Let's think step-by-step

✨ Magic Phrase

Key Takeaways

1. High-quality prompt = good starting point
2. Magic phrase = generates points in the correct direction

"Ne

Random plot for visualization purpose only

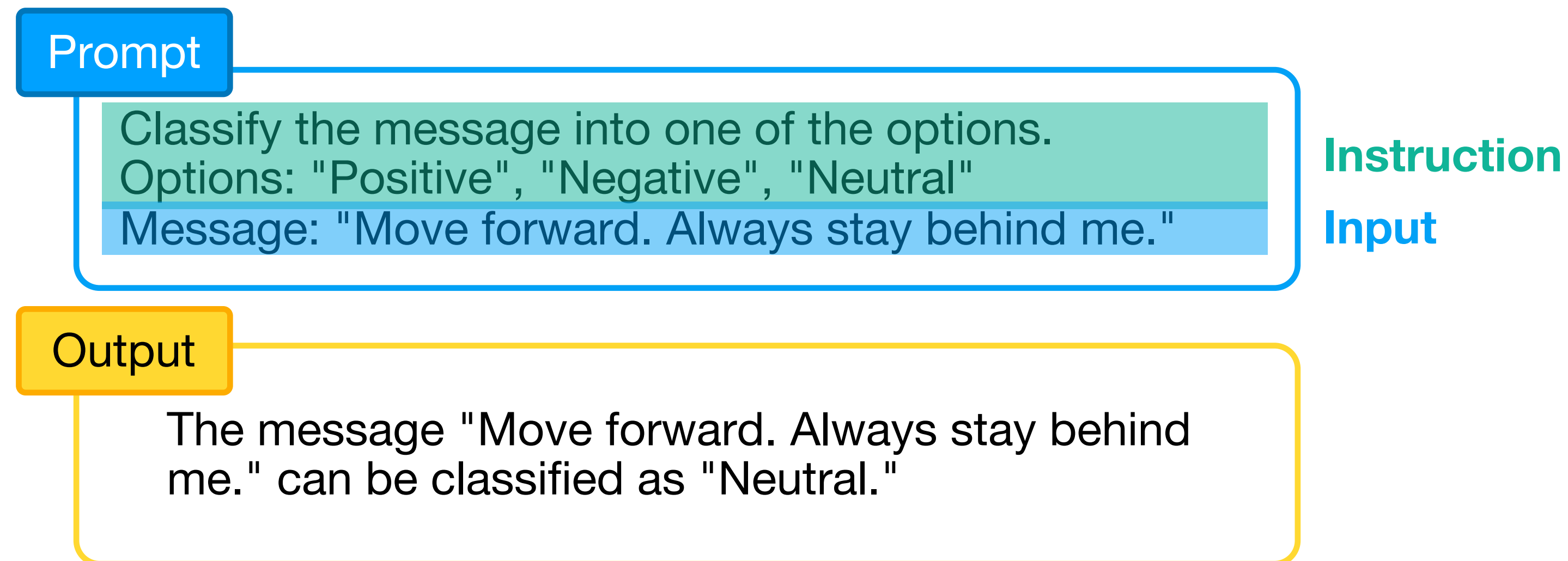
Basic Prompt Engineering

Message Roles in a Conversation with an LLM

- **System Message:** Usually sets the tone, personality, or provides prerequisite information
 - *"You are a professional customer service representative. Always maintain a polite and helpful tone."*
- **User Message:** User's provided message
 - *"What are your store hours for the upcoming holiday weekend?"*
- **Assistant Message:** Generated responses from LLMs
 - *"Thank you for your inquiry. Our store hours for the upcoming holiday weekend are as follows: Saturday and Sunday, 10 AM to 6 PM; Monday (holiday), 12 PM to 4 PM. Is there anything else I can assist you with?"*

Zero-Shot Prompting

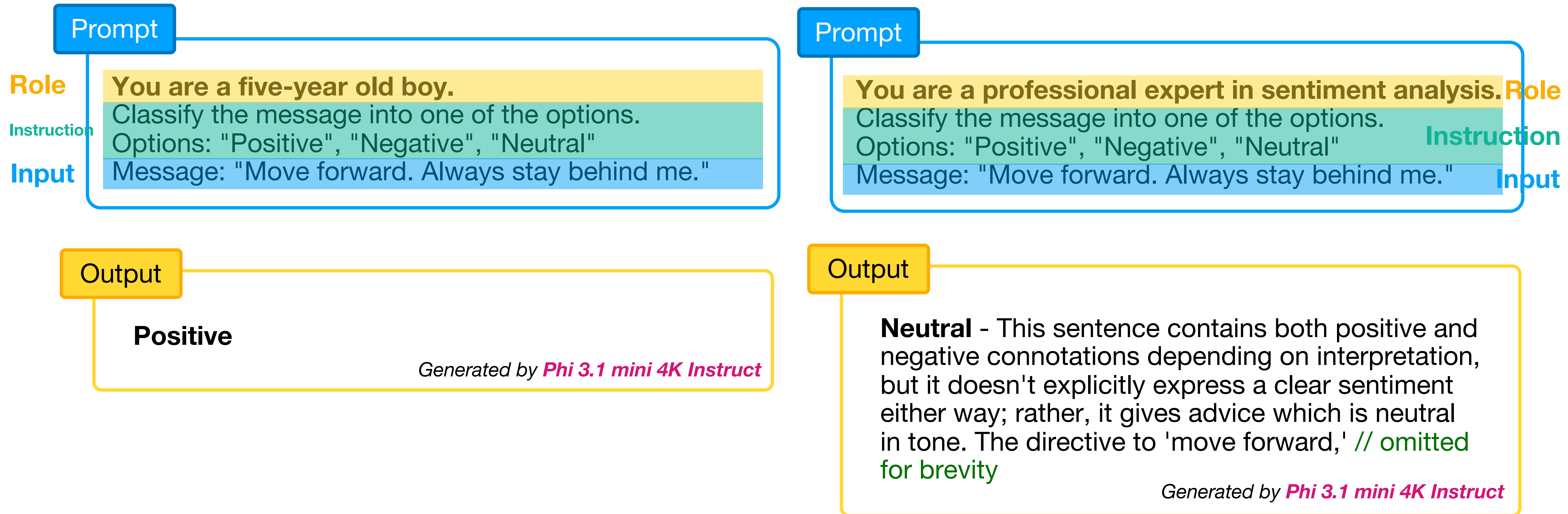
- Instructing an LLM to perform a given task **without** providing any examples or reasoning steps to follow
- Example



Generated by GPT-4o

Role Prompting

Effective in *small* language models



Role Prompting

Effective in *small* language models

Prompt

You are a five-year old boy.
Classify the message into one of the options.
Options: "Positive", "Negative", "Neutral"
Message: "Move forward. Always stay behind me."

Output

Neutral

Generated by GPT-4o

Prompt

You are a professional expert in sentiment analysis.
Classify the message into one of the options.
Options: "Positive", "Negative", "Neutral"
Message: "Move forward. Always stay behind me."

Output

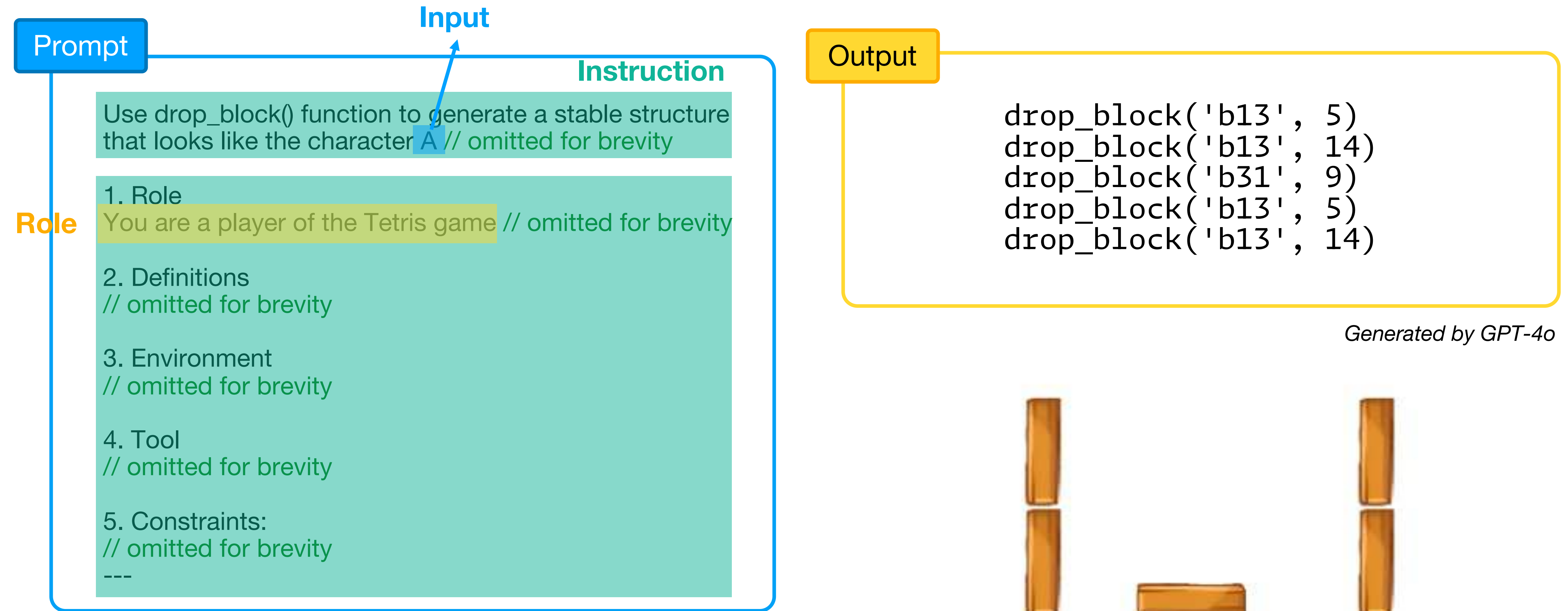
The message "Move forward. Always stay behind me." can be classified as "**Neutral**."

It doesn't explicitly express a positive or negative sentiment. The directive tone suggests guidance or instruction rather than an emotional stance.

Generated by GPT-4o

Zero-Shot Prompting: Role Prompting

ChatGPT4PCG Task



Summary: Zero-Shot Prompting

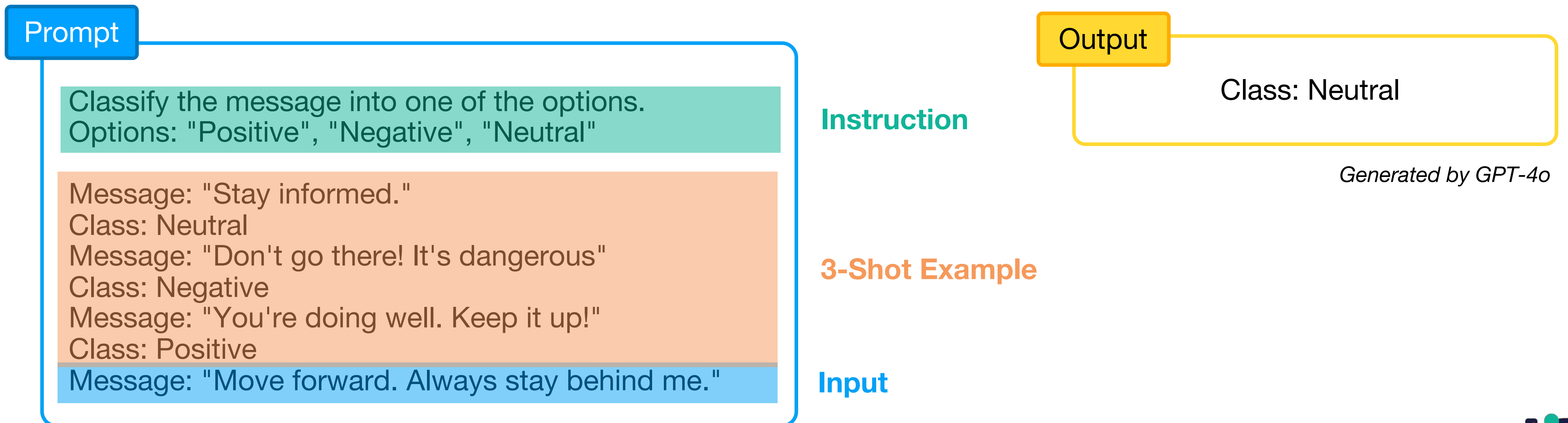
- **Message roles** in an LLM conversation
 - *System*: perquisites information, guidelines, tone, or personality
 - *User*: user's query
 - *Assistant*: generated response from an LLM
- **Zero-shot prompting**: instructing an LLM to perform a task that it may **never have seen before** during training or inference, **without examples or reasoning steps**
- **Role prompting**: assuming a role for an LLM as an **expert**

Advanced Prompt Engineering

In-Context Learning

Few-Shot Prompting

- LLMs have the ability to **learn how to perform a task** by learning in context, i.e., **from a prompt**, during inference **without** any changes in trained parameters

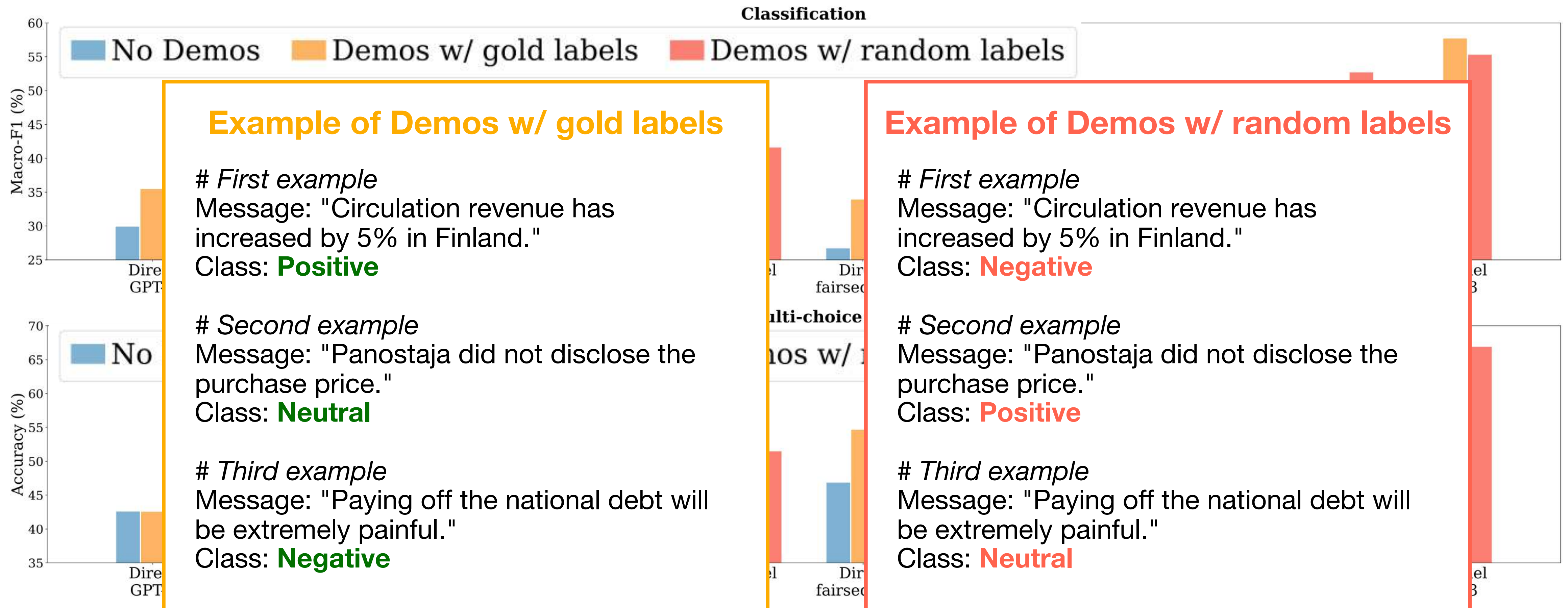


How to Design Good Examples?

- **How many examples do we need?**
 - It depends on the **task** and supported **context window**
 - Trial-and-error process
 - Commonly used: 1 (one-shot), 3, 5, 7, 8, and 10
- **How to design the examples?**
 - Try to provide enough **coverage** for the input and label space with a similar format

How to Design Good Examples?

In-Context Learning (Inference)

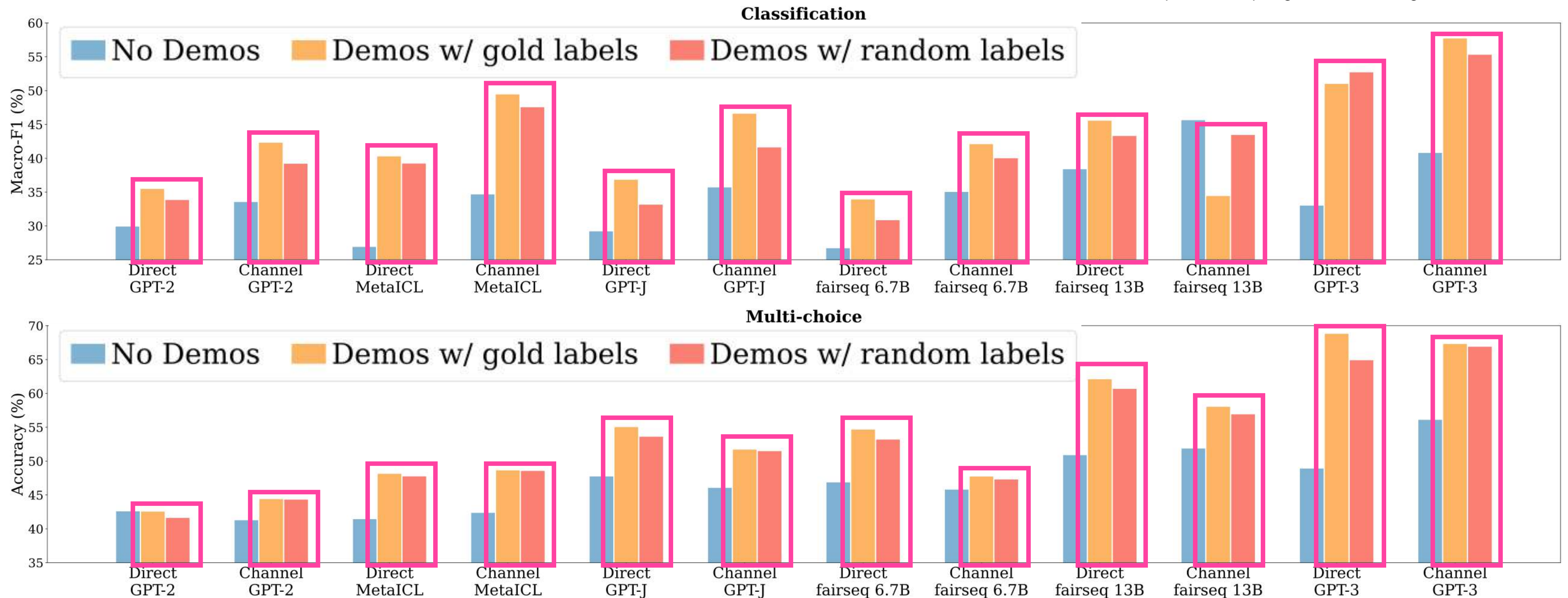


How to Design Good Examples?

In-Context Learning (Inference)

 *Universal Self-Adaptive Prompting*

X. Wan et al., 'Universal Self-Adaptive Prompting', in Proceedings of EMNLP 2023



S. Min et al., 'Rethinking the Role of Demonstrations: What Makes In-Context Learning Work?', in Proceedings of the 2022 Conference on Empirical Methods in Natural Language Processing, 2022, pp. 11048–11064.

Few-Shot Prompting

ChatGPT4PCG Task

Prompt

Instruction

Use drop_block() function to generate a stable structure that looks like the character A // omitted for brevity

1. Role
You are a player of the Tetris game // omitted for brevity
// omitted for brevity

5. Constraints:
// omitted for brevity

6. Examples
6.1. Input: "G"
Output:
// omitted for brevity
// two examples are omitted for brevity

Input: "A"
Output:

Example

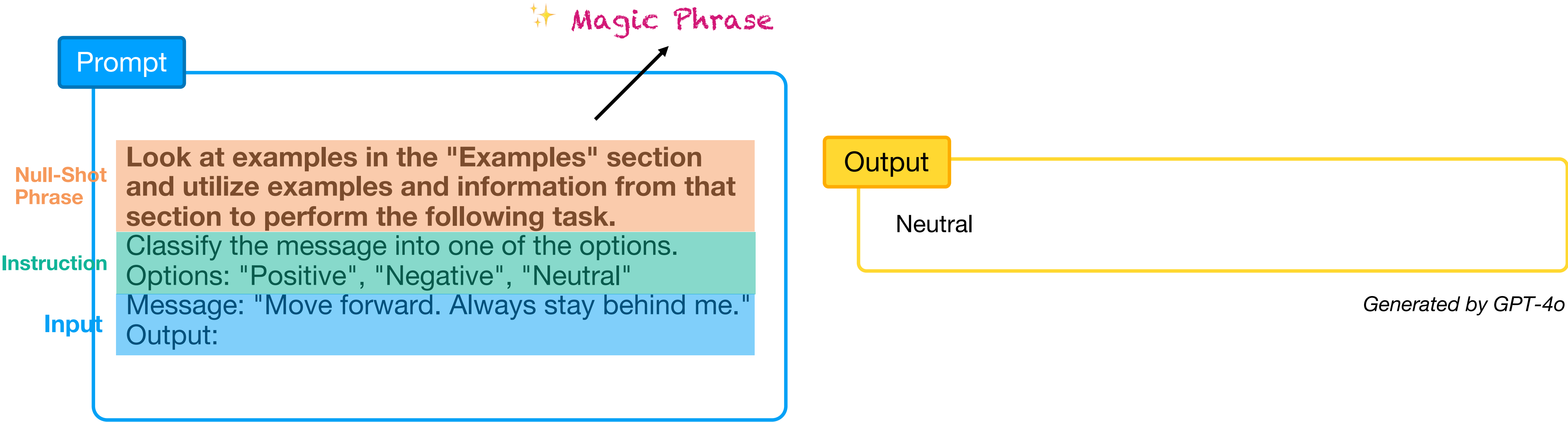
Output

```
drop_block("b13", 7)
drop_block("b13", 7)
drop_block("b13", 7)
drop_block("b13", 13)
drop_block("b13", 13)
drop_block("b13", 13)
drop_block("b31", 10)
drop_block("b31", 10)
```

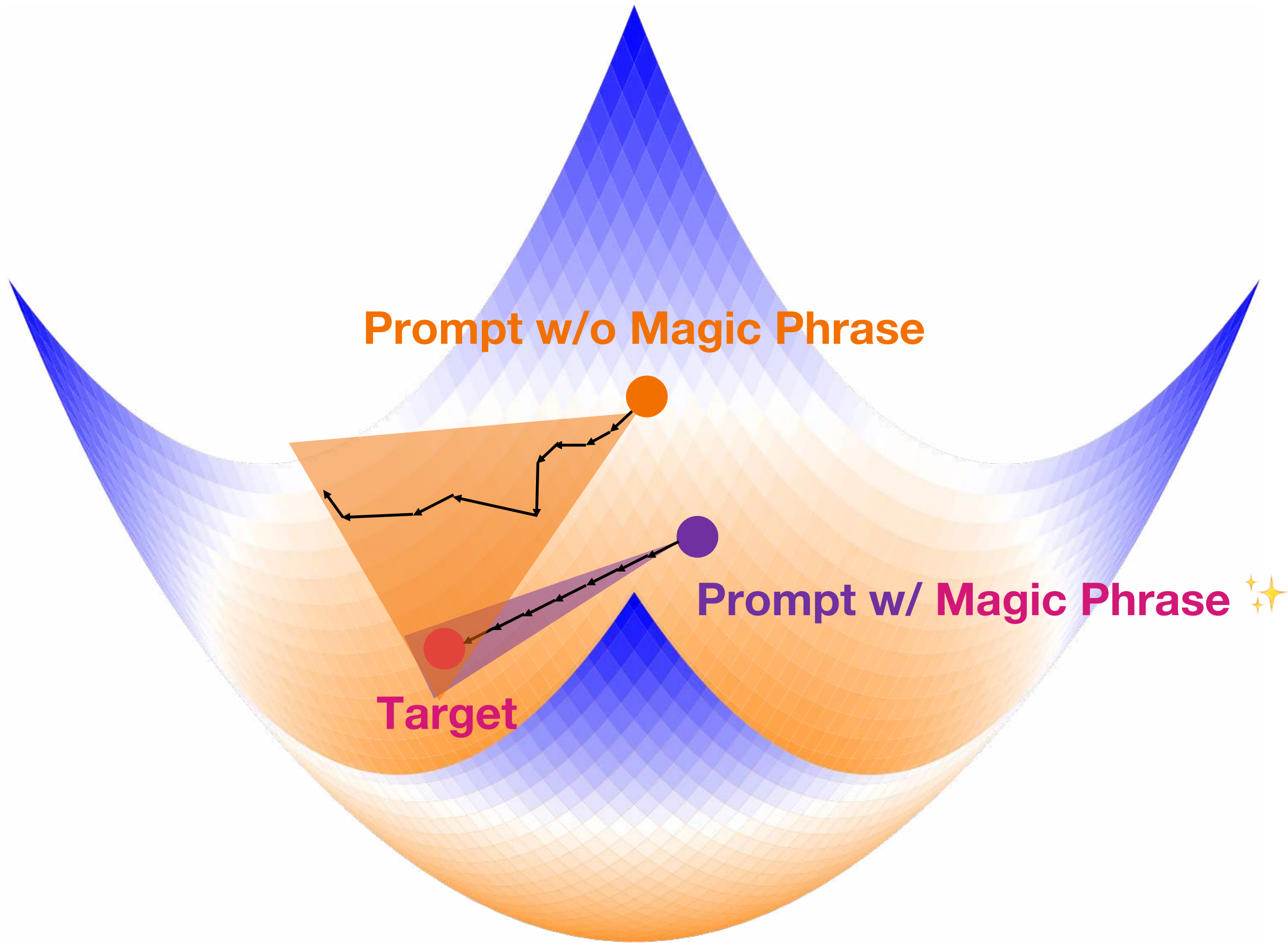
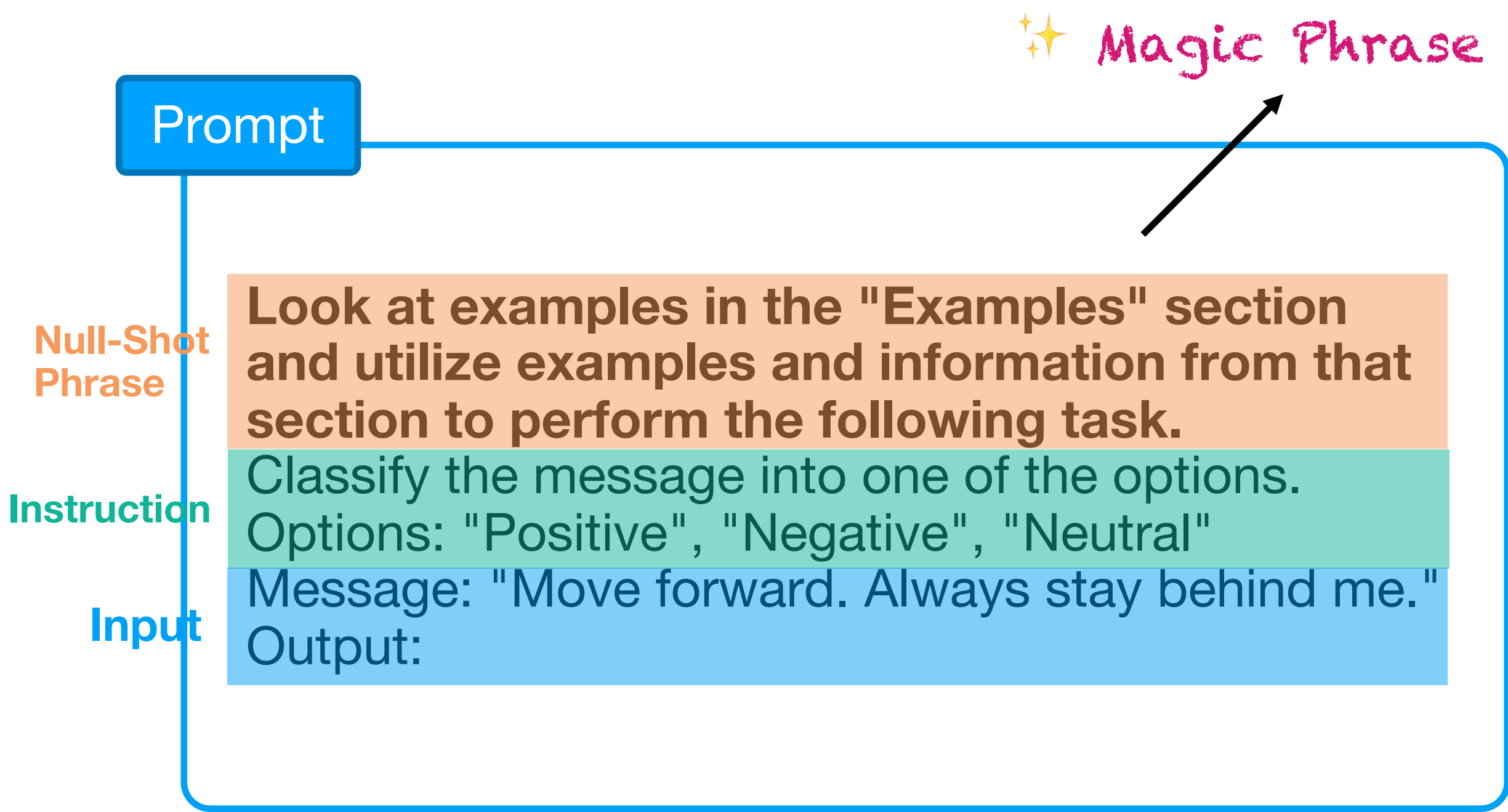


Generated by GPT-4o

Null-Shot Prompting: Let's *pretend* to have examples



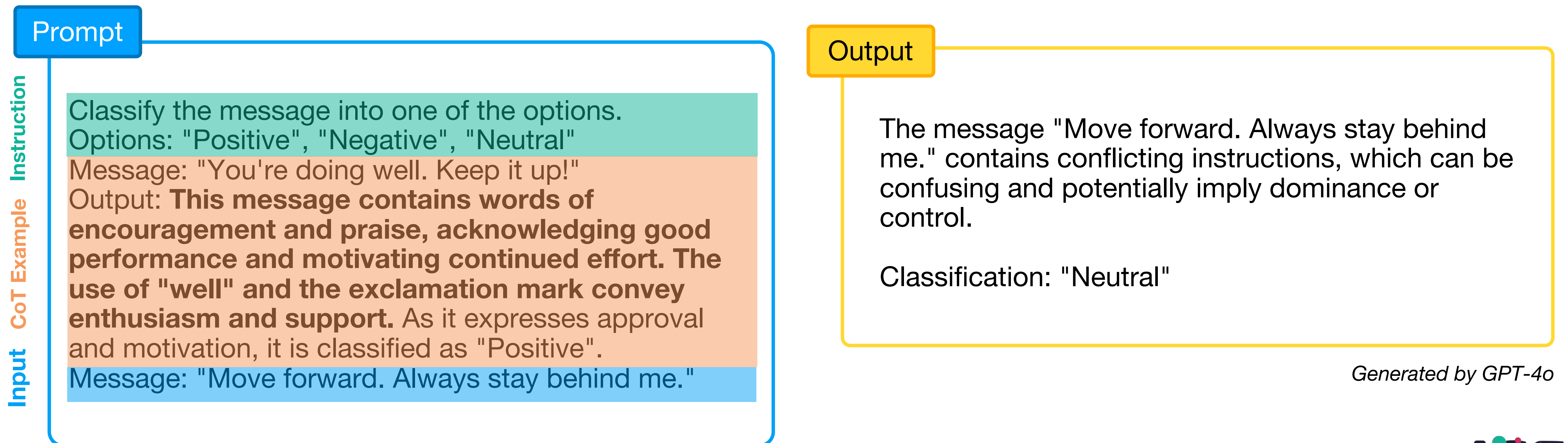
Null-Shot Prompting: Let's *pretend* to have examples



Reasoning

Chain-of-Thought (CoT) Prompting

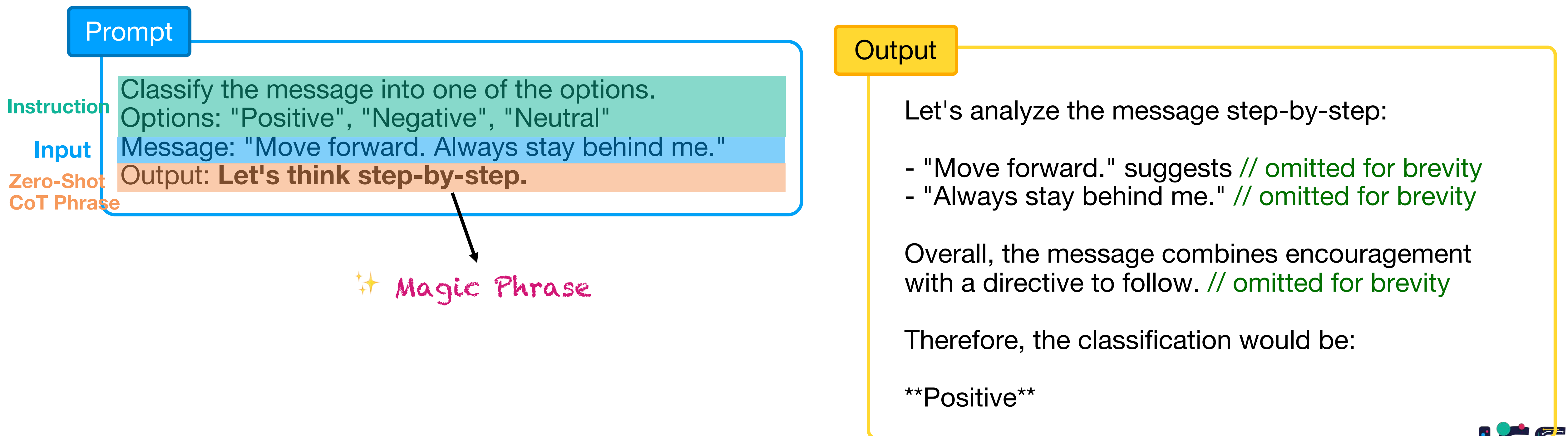
- Instructing an LLM to follow **reasoning steps** before providing a final answer improves performance in complex tasks



Reasoning Without Explicit Steps

Zero-Shot CoT Prompting

- Eliciting an LLM to **generate their own reasoning** for performing the task before providing a final answer improves performance in complex tasks



Zero-Shot CoT Prompting

ChatGPT4PCG Task

Prompt

Input

Instruction

Use drop_block() function to generate a stable structure that looks like the character **A** // omitted for brevity

1. Role
You are a player of the Tetris game // omitted for brevity
2. Definitions
// omitted for brevity
3. Environment
// omitted for brevity
4. Tool
// omitted for brevity
5. Constraints:
// omitted for brevity

Let's think step-by-step. **Zero-Shot CoT Phrase**

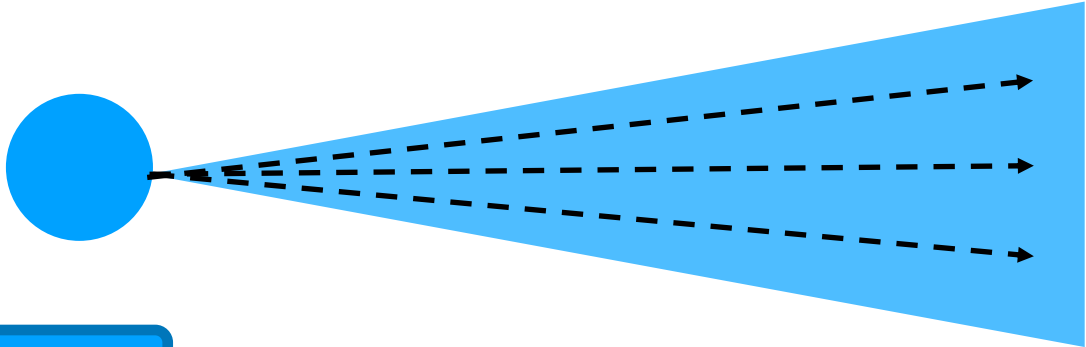
Output

```
drop_block('b31', 9)
drop_block('b31', 9)
drop_block('b13', 7)
drop_block('b13', 11)
drop_block('b31', 9)
```

Generated by GPT-4o



Self-Consistency



CoT Prompt

Classify the message into one of the options.
Options: "Positive", "Negative", "Neutral"
Message: "You're doing well. Keep it up!"
Output: This message contains words of encouragement and praise, acknowledging good performance and motivating continued effort. The use of "well" and the exclamation mark convey enthusiasm and support. As it expresses approval and motivation, it is classified as
Class: "Positive".
Message: "Move forward. Always stay behind me."

Output 1

The message "Move forward. Always stay behind me." can be // omitted for brevity it would be classified as "**Neutral**."

Generated by GPT-4o mini

Output 2

This message appears to be directive and supportive. // omitted for brevity I'd classify it as "**Neutral**" because // omitted for brevity

Generated by GPT-4o mini

Output 3

The message "Move forward. Always stay behind me." should be classified as: "**Negative**".

Manually created for demonstration purposes

Positive: 0/3
Neutral: 2/3
Negative: 1/3

Final Output

Neutral

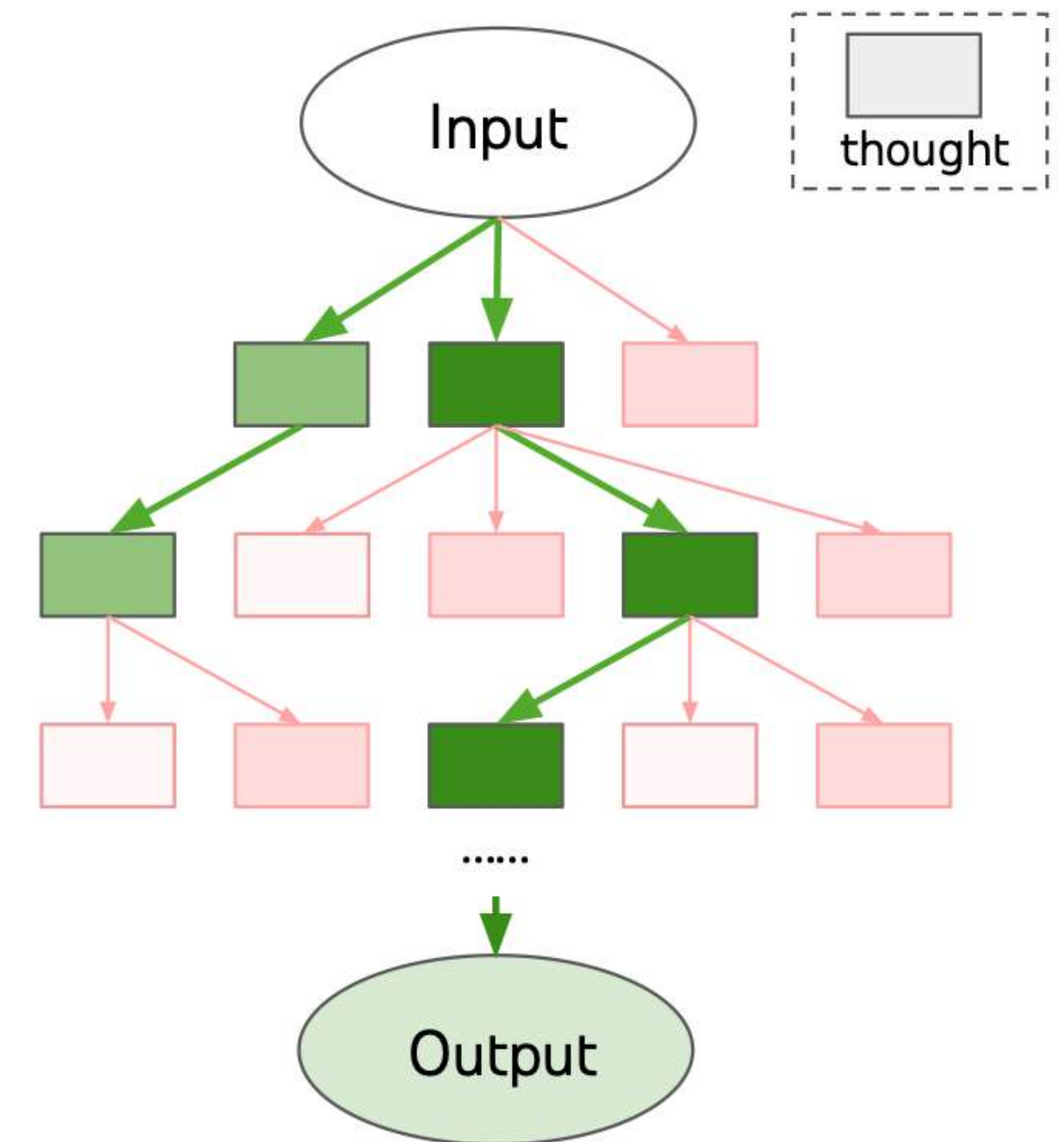
Summary: In-Context Learning, Reasoning, and Self-Consistency

- **In-context learning:** **Demonstrating examples** (*shots*; pairs of input and output) in a prompt can teach the LLM to perform a task that it has never seen before
- **Reasoning:** Giving a model space to reason (think) before coming up with answers by **demonstrating a reasoning path** can help improve the performance of LLMs
 - **Zero-shot CoT prompting:** Eliciting an LLM to come up with **its own reasoning path**, i.e., no need to rely on few-shot demonstrations of how to reason
- **Self-consistency:** Asking an LLM to **generate multiple possible responses** (potentially with different reasoning paths), marginalize the reasoning paths to extract answers, and **choose the majority answer**

Hands-On: Tree-of-Thought Prompting for ChatGPT 4PCG 2

Tree-of-Thought (ToT) Prompting

- **CoT:** Generate *a reasoning path* to improve the LLM's performance
- **ToT:** At each reasoning step, generate *multiple candidates (thoughts)* and choose the best one to proceed to the next step
- **Prompts**
 - A. Task prompt:** Generate thoughts at each reasoning step
 - CoT prompt with one-shot example
 - B. Evaluation prompt:** Evaluate a thought
 - C. Answer prompt:** Combining and formatting the final output



Tree-of-Thought (ToT) Prompting

Let's Go Through ToT Prompting Step-by-Step

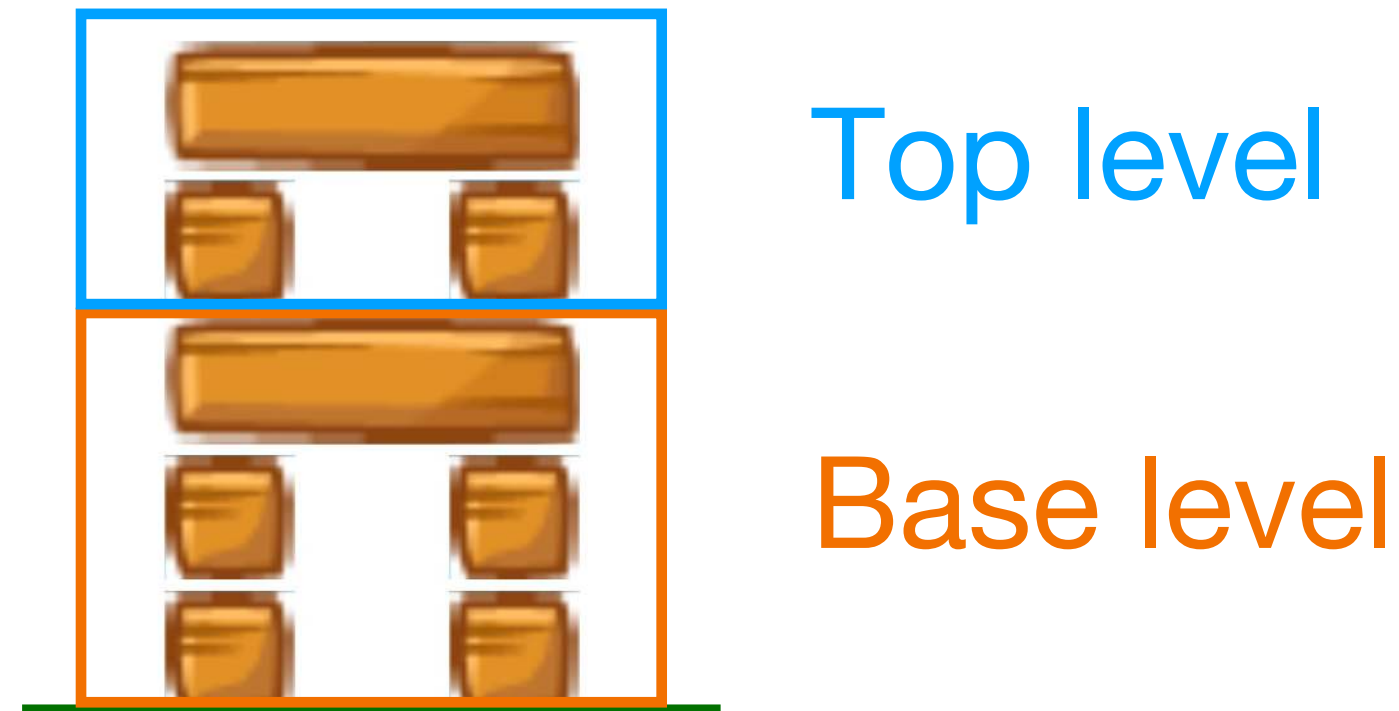
- **Thought Decomposition:** Ask an LLM to decompose a task into multiple reasoning steps (CoT)
- **Search Algorithm:**
 - Breadth-First Search (BFS)
 - Depth-First Search (DFS)

Steps

1. **Thought Generator:** Utilize CoT prompts to generate thoughts at each reasoning step
2. **Thought Evaluator:** Generate heuristics for the search algorithm
 - **Value:** Ask an LLM to generate a scalar value
 - **Vote:** Implement a step-wise self-consistency strategy

ToT Prompting For ChatGPT4PCG

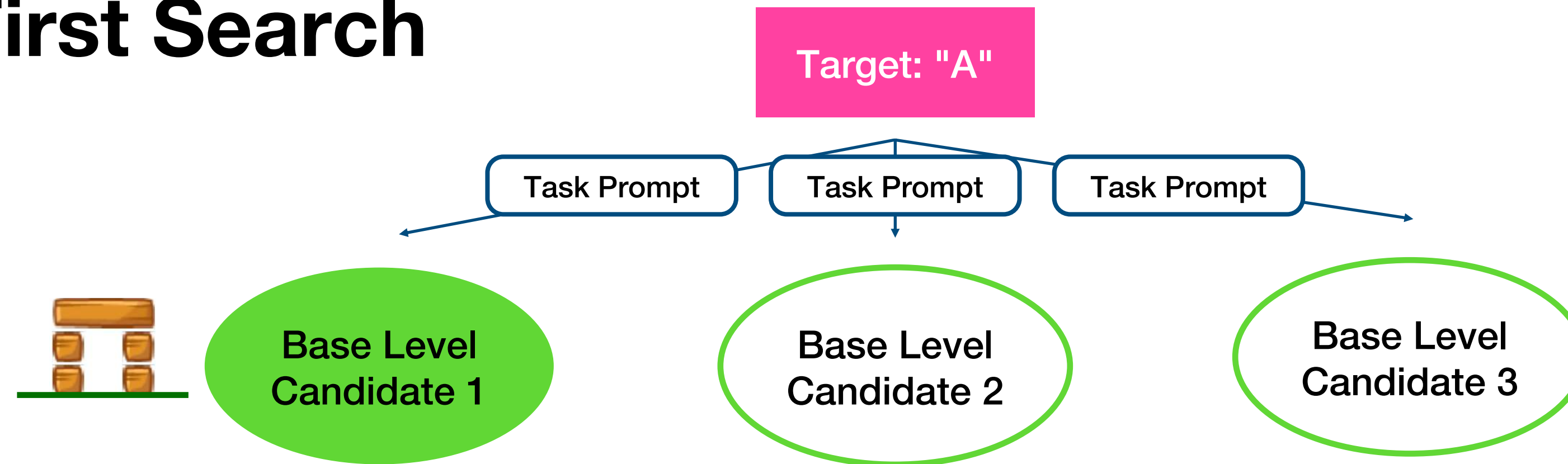
- **Two** reasoning steps
 1. Generate **base level**
 2. Generate **top level**



- **Breadth-first** search
 - Our task stands to potentially gain from *exploration*

ToT Prompting For ChatGPT4PCG

Breadth-First Search



ToT Prompting

Breadth-First Search

Instruction

Reasoning
Steps
Instruction

One-shot
Example

Best
Thoughts
Combined



Base
Candidate

Use drop_block() function to generate a stable structure that looks like the character A // omitted for brevity

1. Role
// omitted for brevity

Let's follow the following steps
1. Generate the base layer of the structure
2. Generate the top layer of the structure

Only perform one step at a time.

Example
Character A:

```
"""  
# Base layer  
drop_block('b11', 0)  
drop_block('b11', 0)  
drop_block('b11', 2)  
drop_block('b11', 2)  
drop_block('b31', 1)  
"""
```

```
"""  
# Top layer  
drop_block('b11', 0)  
drop_block('b11', 2)  
drop_block('b31', 1)  
"""
```

Currently, we have

Nothing.

Next, we will perform the

Task Prompt

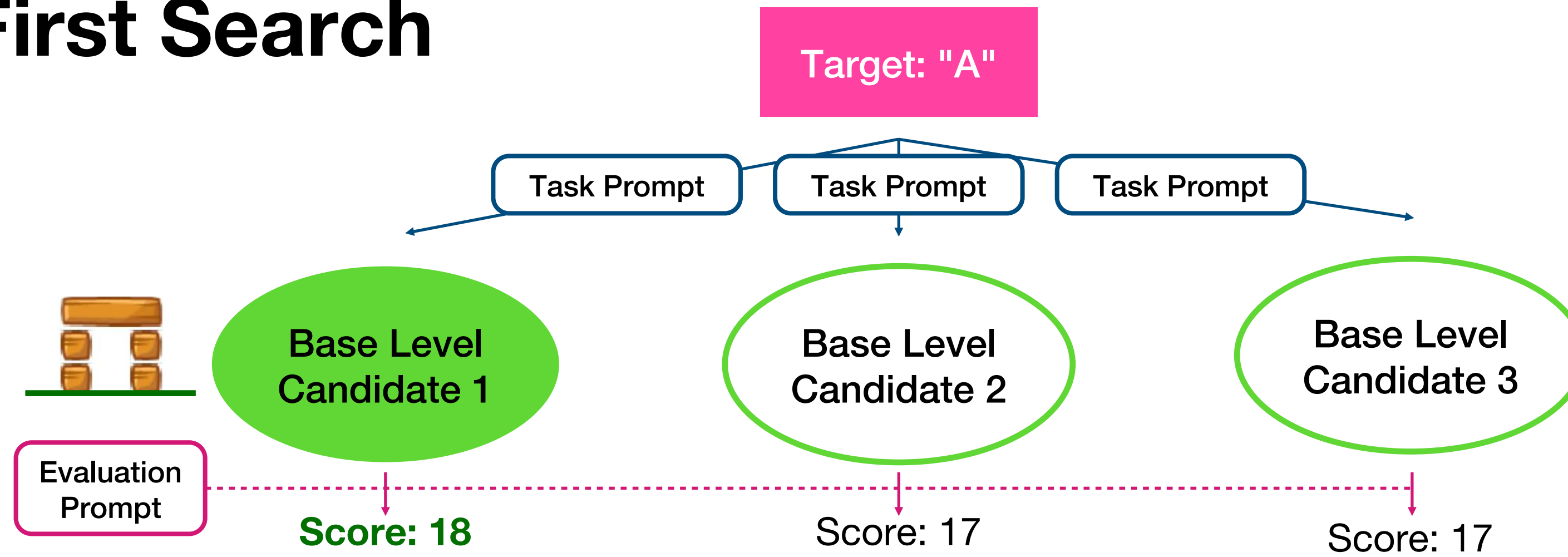
Input

PCG

Base Level
candidate 3

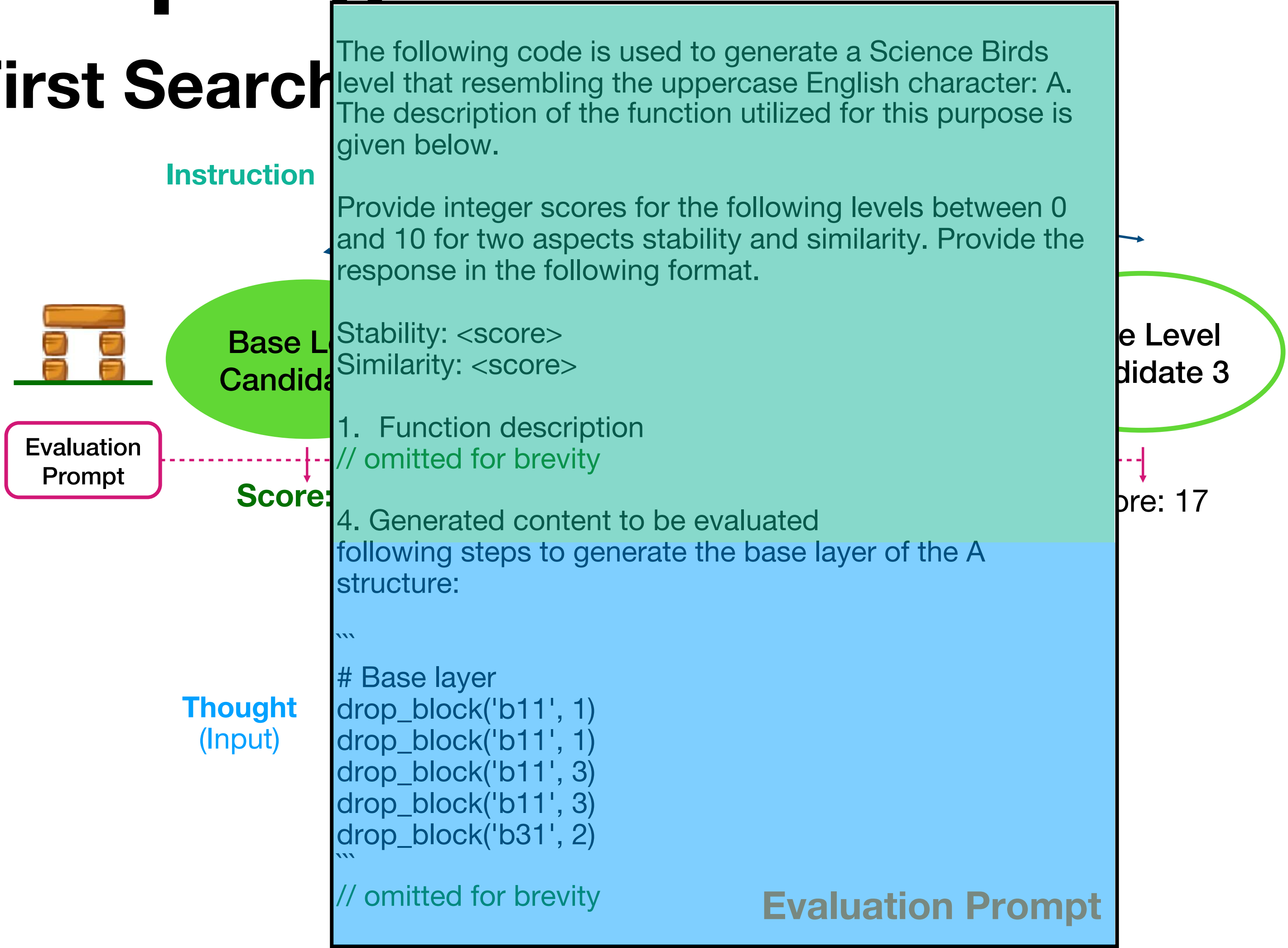
ToT Prompting For ChatGPT4PCG

Breadth-First Search



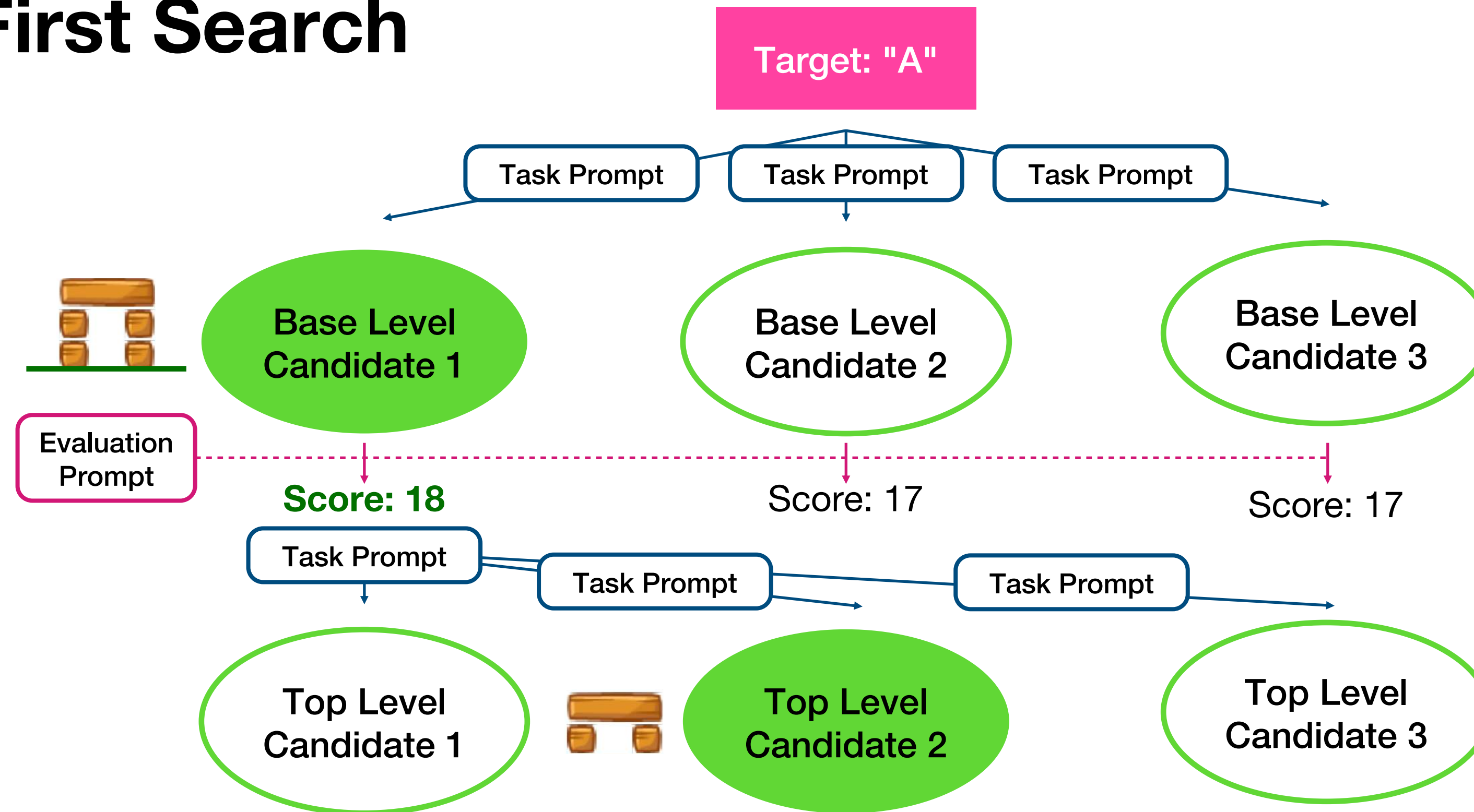
ToT Prompting For ChatGPT4PCG

Breadth-First Search



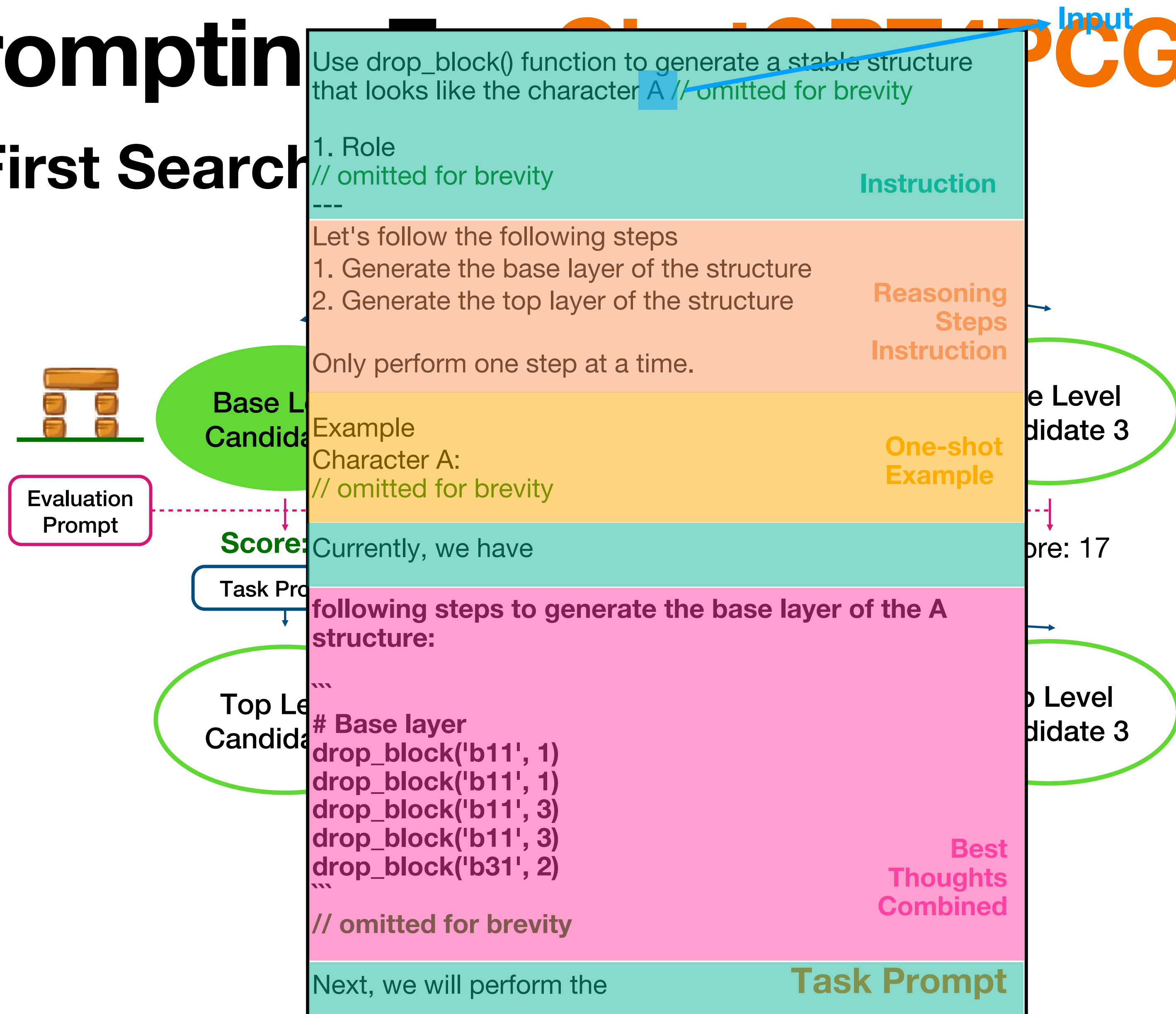
ToT Prompting For ChatGPT4PCG

Breadth-First Search



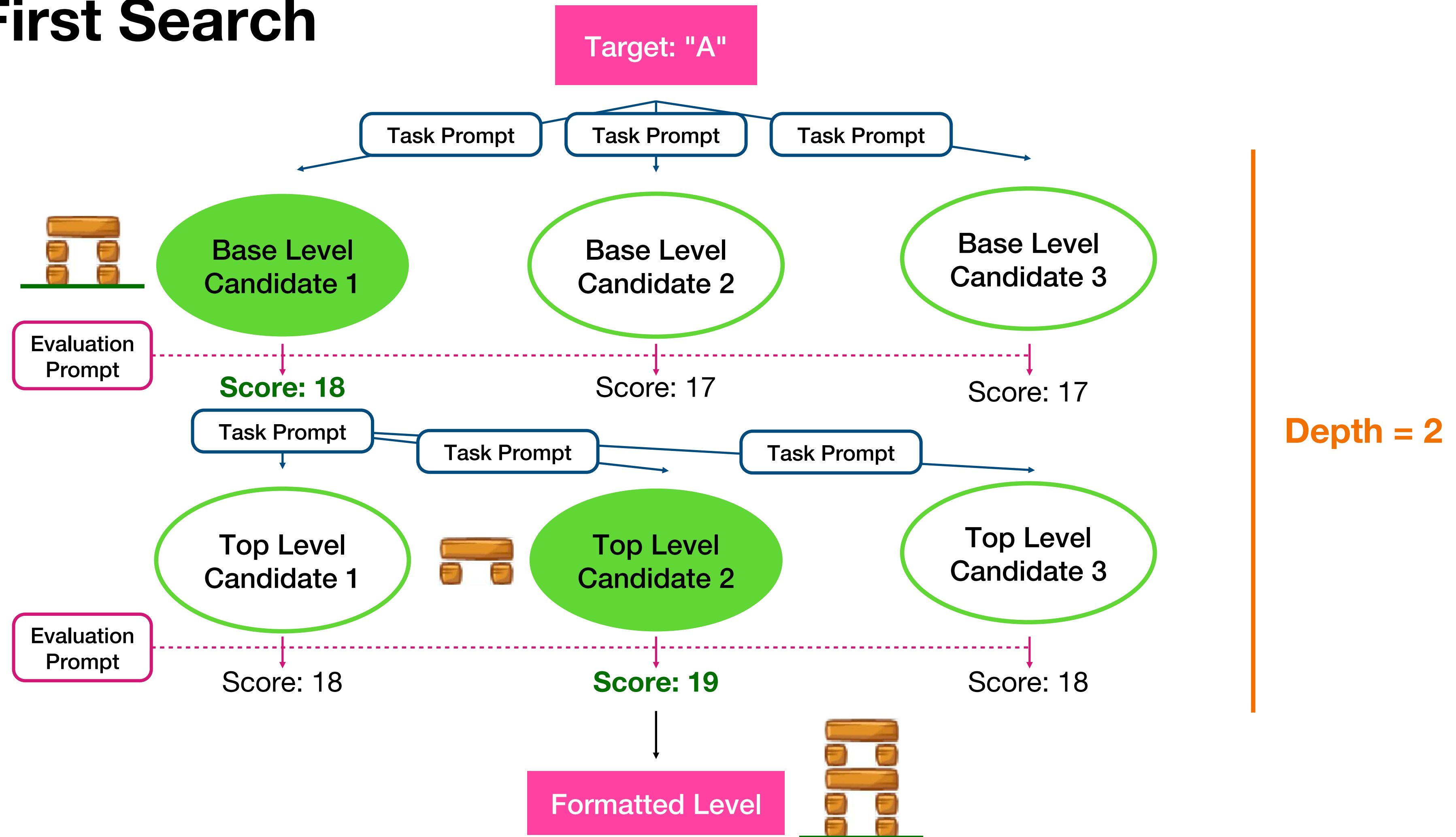
ToT Prompting

Breadth-First Search



ToT Prompting For ChatGPT4PCG

Breadth-First Search



Branching factor = 3

Mini LLM4PCG Competition

For Fun 🎉!

- **ChatGPT4PCG 2 evaluation pipeline**
- **Target characters:** "I", "L", "U"
- **#Trials:** 10
- **Any LLMs are welcome!**
- **Deadline:** Aug 6, 2024 11:45AM
- **Result announcement:** Aug 7, 2024
 - chatgpt4pcg.github.io/tutorial





Coding Time!



Additional resources

chatgpt4pcg.github.io/tutorial



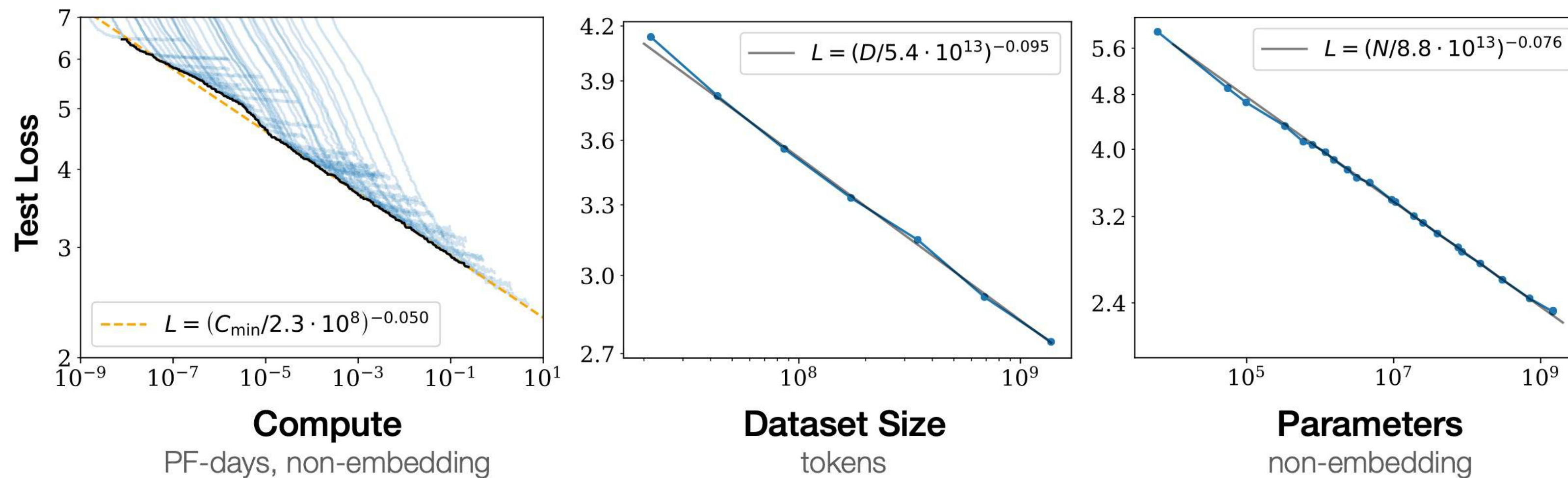
**Online technical support
(Zoom)**

tinyurl.com/icelab-ws-tu

Emergent Abilities

Why These LLMs Do Not Work Well?

- Loss scales down **smoothly** when increasing compute (parameter size, training data size)



J. Kaplan et al., 'Scaling Laws for Neural Language Models', arXiv [cs.LG]. 2020.

J. Wei et al., 'Emergent Abilities of Large Language Models', Transactions on Machine Learning Research, 2022.

J. Wei et al., 'Larger language models do in-context learning differently', arXiv [cs.CL]. 2023.

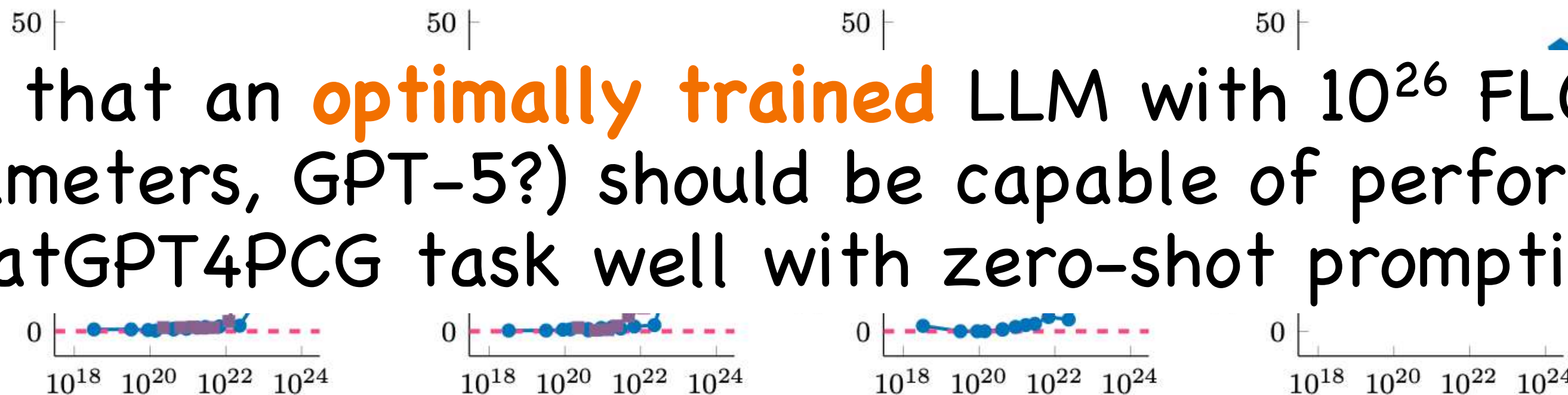
Emergent Abilities

Why These LLMs Do Not Work Well?

- Loss scales down **smoothly** when increasing compute (parameter size, training data size)
- However, some *abilities* only **emerge** when LLMs reach a certain size

(A) Mod. arithmetic (B) IPA transliterate (C) Word unscramble (D) Persian QA

My guess is that an **optimally trained** LLM with 10^{26} FLOPs budget (10T parameters, GPT-5?) should be capable of performing the ChatGPT4PCG task well with zero-shot prompting



J. Kaplan et al., 'Scaling Laws for Neural Language Models', arXiv [cs.LG]. 2020.

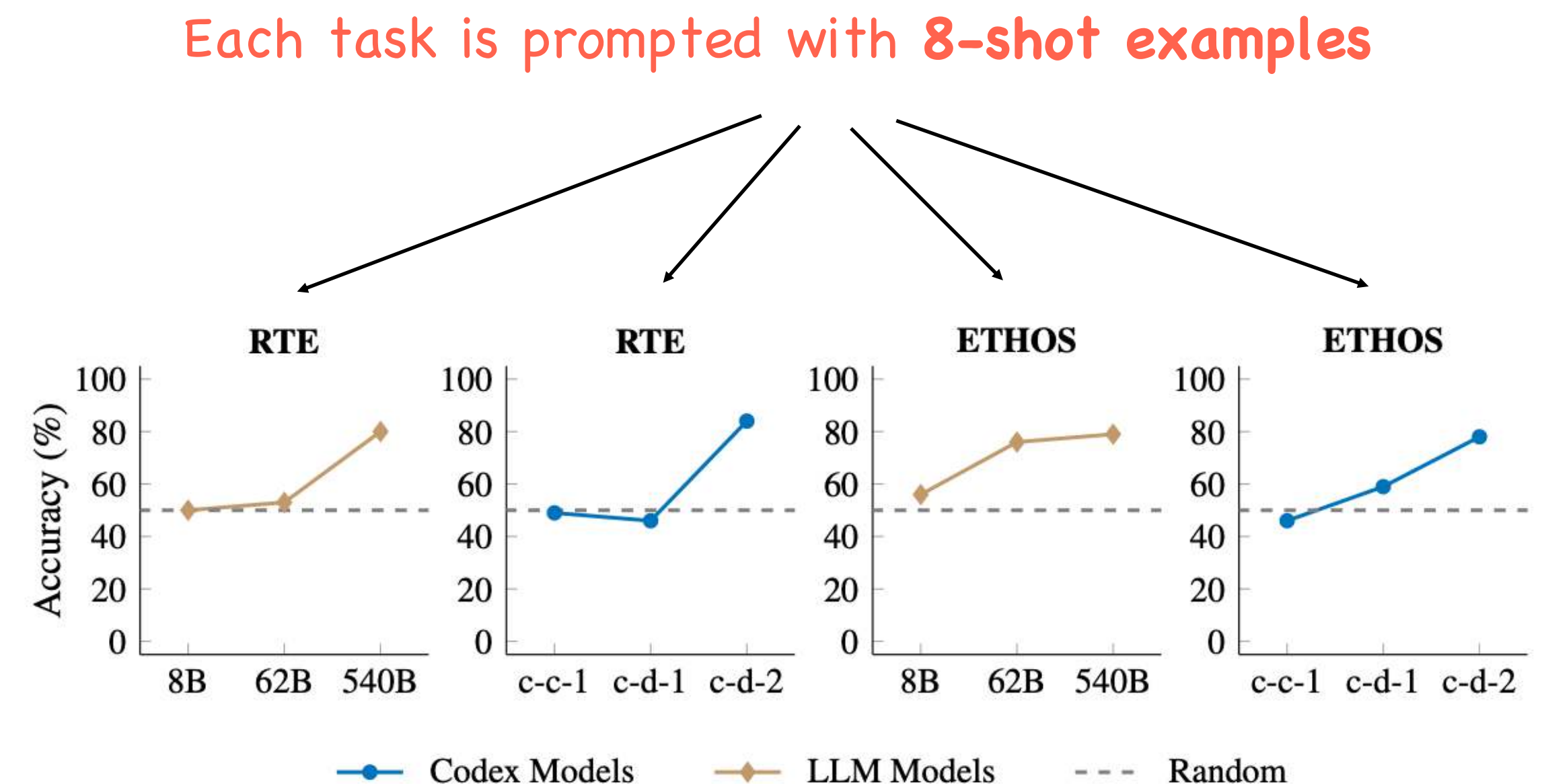
J. Wei et al., 'Emergent Abilities of Large Language Models', Transactions on Machine Learning Research, 2022.

J. Wei et al., 'Larger language models do in-context learning differently', arXiv [cs.CL]. 2023.

Emergent Abilities

Why These LLMs Do Not Work Well?

- Loss scales down **smoothly** when increasing compute (parameter size, training data size)
- However, some *abilities* only **emerge** when LLMs reach a certain size
 - **Few-shot prompting**, for example, only works with **large enough** LLMs



J. Kaplan et al., 'Scaling Laws for Neural Language Models', arXiv [cs.LG]. 2020.

J. Wei et al., 'Emergent Abilities of Large Language Models', Transactions on Machine Learning Research, 2022.

J. Wei et al., 'Larger language models do in-context learning differently', arXiv [cs.CL]. 2023.

Emergent Abilities

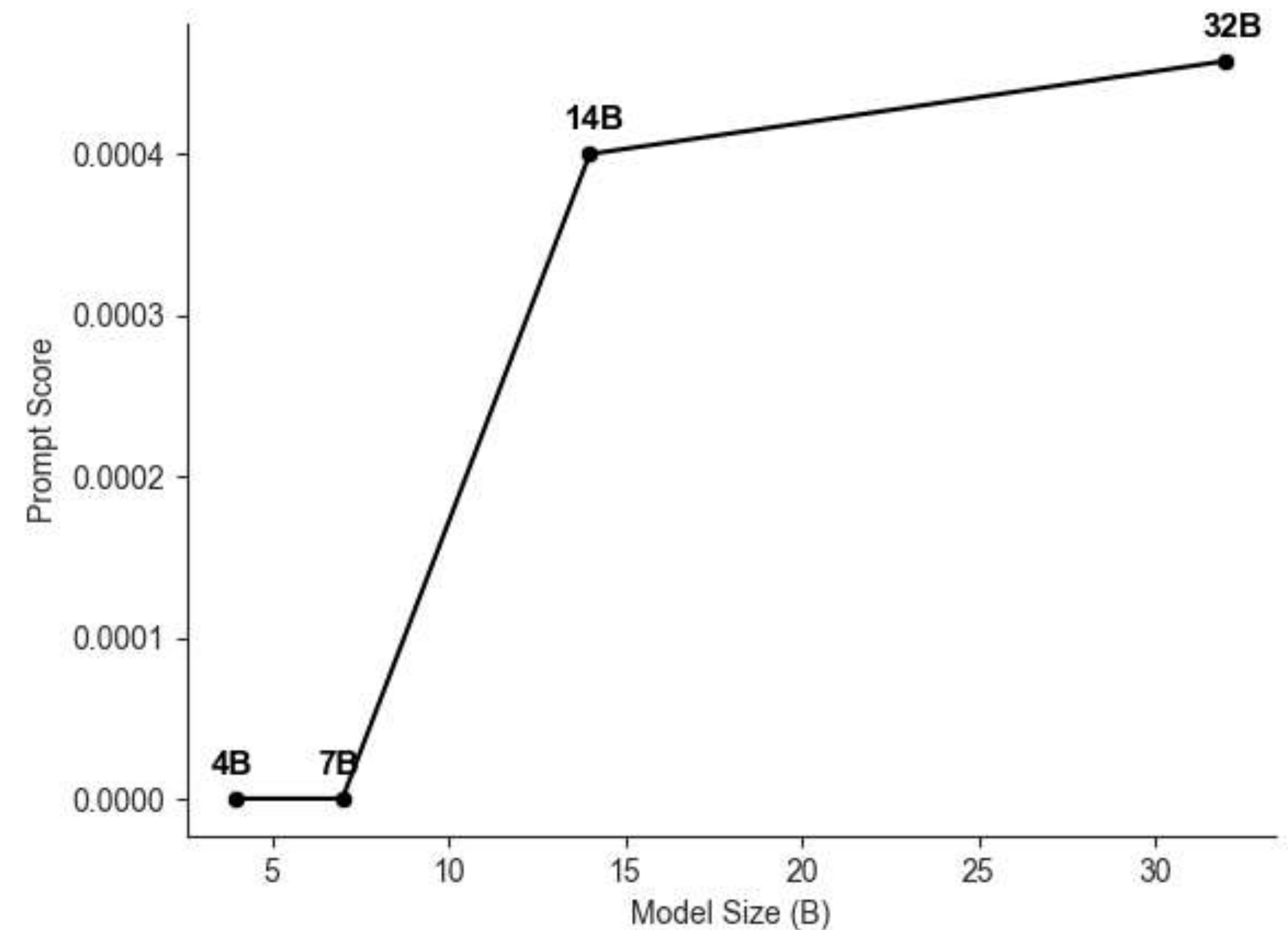
Why These LLMs Do Not Work Well?

- Loss scales down **smoothly** when increasing compute (parameter size, training data size)
- However, some *abilities* only **emerge** when LLMs reach a certain size
- Based on our short paper@CoG 2024, the ability to perform the **ChatGPT4PCG** task is also likely an **emergent ability**!

J. Kaplan et al., 'Scaling Laws for Neural Language Models', arXiv [cs.LG]. 2020.

J. Wei et al., 'Emergent Abilities of Large Language Models', Transactions on Machine Learning Research, 2022.

J. Wei et al., 'Larger language models do in-context learning differently', arXiv [cs.CL]. 2023.



Aug 6, 2024 14:40 – 14:50

Towards LLM4PCG: A Preliminary Evaluation
of Open-Weight Large Language Models
Beyond ChatGPT4PCG

To Infinity And Beyond 🚀

Beyond: Prompt Engineering

To Name A Few...

- **Multi-turn/Multi-response prompting**
 - Generated Knowledge Prompting (Jiacheng Liu+, ACL 2022)
 - Least to Most Prompting (Denny Zhou+, ICLR 2023)
- **With Tools**
 - ReAct Prompting (Shunyu Yao+, ICLR 2023)
 - Automatic Multi-Step Reasoning And Tool-Use (Bhargavi Paranjape+, 2023)
 - Self-RAG (Akari Asai+, ICLR 2024)

Beyond: Prompt Engineering w/ Reasoning

Part 1

- Universal Self-Adaptive Prompting (Xingchen Wan+, EMNLP 2023)
- Branch-Solve-Merge (Swarnadeep Saha+, NAACL 2024)
- Reflexion (Noah Shinn+, NeurIPS 2023)
- Contrastive Chain-of-Thought Prompting (Yew Ken Chia+, 2023)
- Plan-and-Solve Prompting (Lei Wang+, ACL 2023)

Beyond: Prompt Engineering w/ Reasoning

Part 2

- ***-of-Thoughts**
 - Boosting-of-Thoughts Prompting (Sijia Chen+, ICLR 2024)
 - Program-of-Thoughts Prompting (Wenhu Chen+, TMLR, 2023)
 - Graph-of-Thoughts Prompting (Maciej Besta+, AAAI 2024)
 - Everything-of-Thoughts Prompting (Ruomeng Ding+, 2023)
 - Thread-of-Thought Prompting (Yucheng Zhou+, 2023)

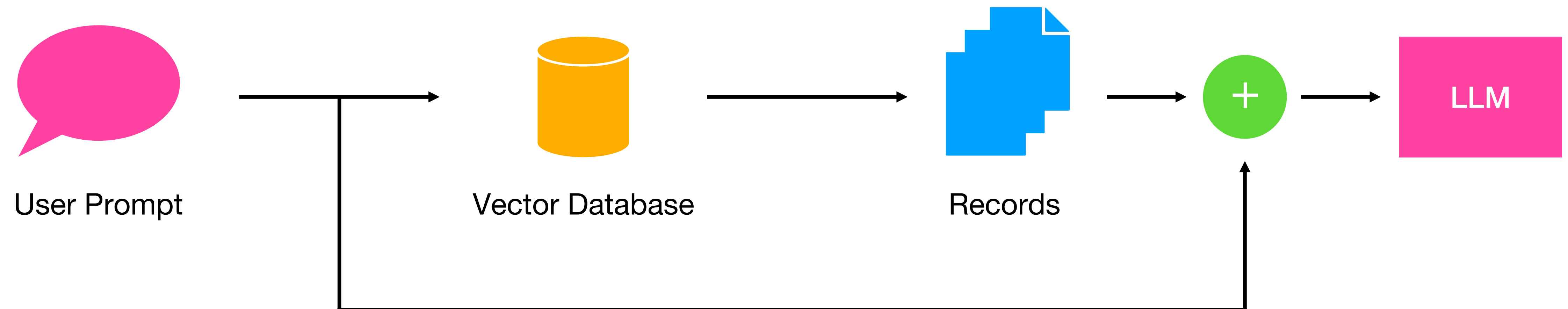
Beyond: Prompt Engineering w/ Reasoning

Part 3

- **Chain-of-***
 - Chain-of-Code Prompting (Chengshu Li+, NeurIPS 2023)
 - Chain-of-Note Prompting (Wenhao Yu+, 2023)

Retrieval Augmented Generation

Add Relevant Prerequisite Knowledge in Context

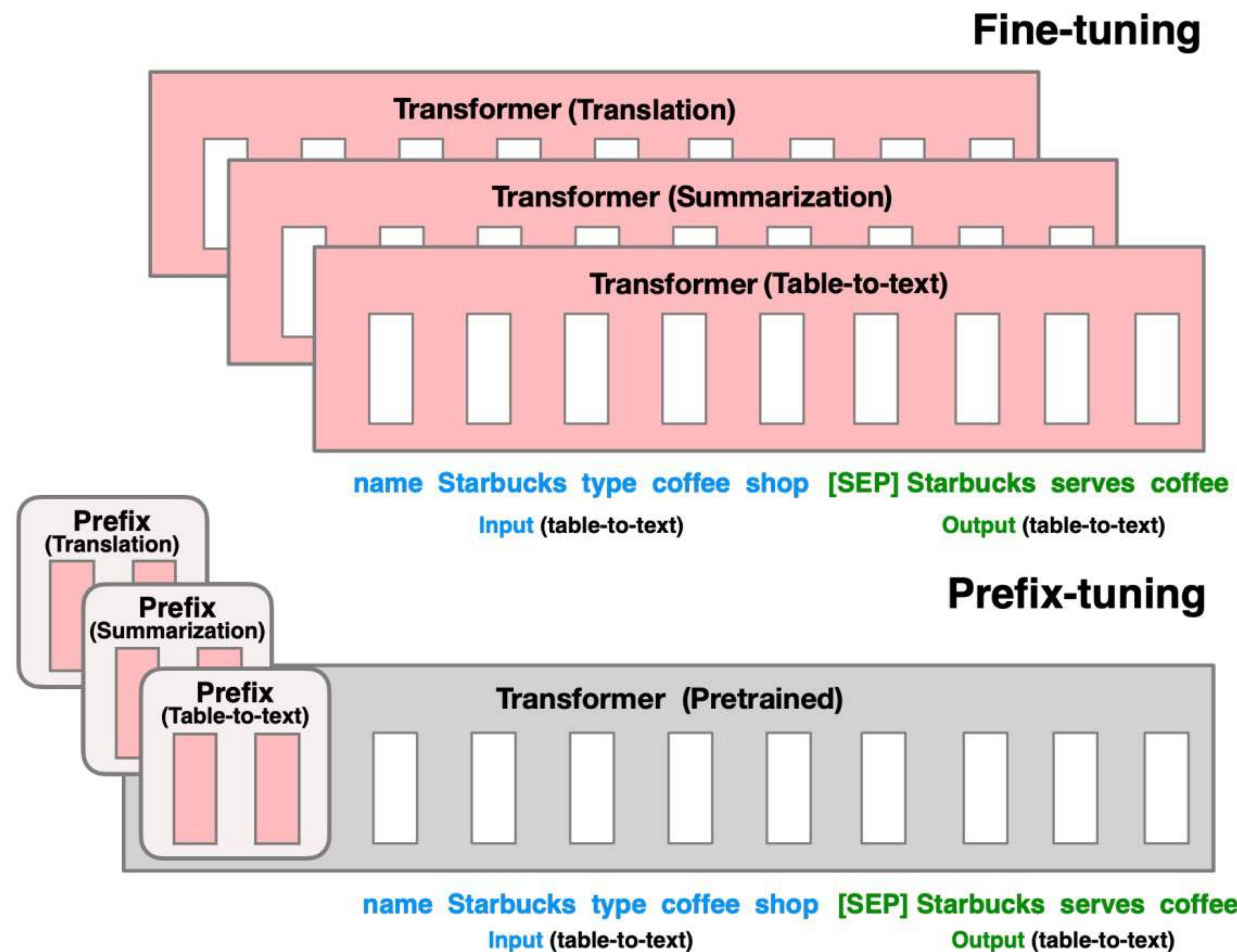


Automatic Prompt Optimization

- Automatically optimize prompts towards a pre-defined objective
 - Automatic Prompt Engineer (Yongchao Zhou+, ICLR 2023)
 - DSPy (Omar Khattab+, ICLR 2024)
- **Evolutionary-inspired APO**
 - EvoPrompt (Qingyan Guo+, ICLR 2024)
 - Promptbreeder (Chrisantha Fernando+, ICLR 2024)
 - Optimization by Prompting (Chengrun Yang+, ICLR 2024)
 - Prompt Evolution Through Examples (Taveekitworachai+, MetroInd4.0IoT 2024)

Soft Prompting

- Learnable tensor prepended or appended to an input given as a prompt to a model
- Prompt tuning (Brian Lester+, EMNLP 2021)
- Prefix tuning (Xiang Lisa Li+, ACL 2021)
- P-tuning (Xiao Liu+, ACL 2022)



"The hottest new programming language
is **English**"

Andrej Karpathy, CEO@Eureka Labs, Former OpenAI Founding Member

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Acknowledgement

- Prof. Ruck Thawonmas and ICE lab members, thank you for all feedback in improving this slide