# AGE Challenge: Angle Closure Glaucoma Evaluation in Anterior Segment Optical Coherence Tomography

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#### **Abstract**

Angle closure glaucoma (ACG) is a more aggressive disease than open-angle glaucoma, where the abnormal anatomical structures of the anterior chamber angle (ACA) may cause an elevated intraocular pressure and gradually leads to glaucomatous optic neuropathy and eventually to visual impairment and blindness. Anterior Segment Optical Coherence Tomography (AS-OCT) imaging provides a fast and contactless way to discriminate angle closure from open angle. Although many medical image analysis algorithms have been developed for glaucoma diagnosis, only a few studies have focused on AS-OCT imaging. In particular, there is no public AS-OCT dataset available for evaluating the existing methods in a uniform way, which limits the progress in the development of automated techniques for angle closure detection and assessment. To address this, we organized the Angle closure Glaucoma Evaluation challenge (AGE), held in conjunction with MICCAI 2019. The AGE challenge consisted of two tasks: scleral spur localization and angle closure classification. For this challenge, we released a large data of 4800 annotated AS-OCT images from 199 patients, and also proposed an evaluation framework to benchmark and compare different models. During the AGE challenge, over 200 teams registered online, and more than 1100 results were submitted for online evaluation. Finally, eight teams participated in the onsite challenge. In this paper, we summarize these eight onsite challenge methods and analyze their corresponding results in the two tasks. We further discuss limitations and future directions. In the AGE challenge, the top-performing approach had an average Euclidean Distance of 10 pixel ( $10\mu m$ ) in scleral spur localization, while in the task of angle closure classification, all the algorithms achieved the satisfactory performances, especially, 100% accuracy rate for top-two performances. These artificial intelligence techniques were shown to have the potential to enable new developments in AS-OCT image analysis and image-based angle closure glaucoma assessment in particular.

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#### 1. Introduction

As one of the world's main ocular disease causing irreversible blindness, glaucoma involves both anterior and posterior segments of the eye. Primary angle closure glaucoma (PACG) is the major type of glaucoma in Asia (Quigley and Broman, 2006; Foster, 2001; Chansangpetch et al., 2018), where the abnormal anatomical structure of the anterior chamber angle (ACA) may cause elevated intraocular pressure and gradually lead to glaucomatous optic neuropathy. PACG patients have a characteristic structural difference from openangle subjects in chamber angle and ocular biometric parameters (Nongpiur et al., 2013, 2017), including narrow chamber angles, short axial length, thick lens, greater iris thickness, etc. There are several ways to assess the angle structures for clinical diagnosis, e.g., gonioscopy, or anterior segment optical coherence tomography (AS-OCT). Gonioscopy is the current gold standard for the assessment and diagnosis of angle closure. Ophthalmologists grade the angle width into different levels according to the ACA structures seen under gonioscopy. However, being a contact examination, it may be uncomfortable for the patient, and is also technically challenging, relying on the experience of the ophthalmologist in using this technique. By contrast, AS-OCT examination is a fast and contactless method for capturing the morphology of the ACA (Sharma et al., 2014; Ang et al., 2018), which is easily used to identify open and narrow/closed angles. Moreover, AS-OCT imaging can obtain measurements of various angle parameters to assess the anterior chamber angle in clinic (Sakata et al., 2008; Nongpiur et al., 2017), including angle open distance (AOD), anterior chamber width (ACW), trabecular iris space area (TISA), etc. Quantification of these parameters relies on the localization of a specific mark, i.e., the scleral spur (SS), which appears as a wedge projecting from the inner aspect of the anterior sclera in cross-sectional images (Sakata, 2008), as shown in Fig. 1. Thus, SS localization is also a key task for identifying open and narrow/closed angles in AS-OCT imaging. However, one limitation of AS-OCT imaging is that ACA assessment is timeconsuming and subjective. For instance, the ophthalmologist have to manually identify specific anatomic structures, e.g., SS point, for detecting angle closure.

Recently, automated medical image analysis algorithms have achieved promising performances in medicine and particularly ophthalmology (Schmidt-Erfurth et al., 2018; Ting et al., 2019; Rajkomar et al., 2019; Bi et al., 2019). Availability of deep learning techniques has sparked tremendous global interest in major ophthalmic disease screening, including diabetic retinopathy (DR) (Gulshan et al., 2016; Ting et al., 2017; Gargeya and Leng, 2017; Krause et al., 2018; Abràmoff et al., 2018), glaucoma (Asaoka et al., 2016; Li et al., 2018; Fu et al., 2018a; Orlando et al., 2020), and age-related macular degeneration (AMD) (Grassmann et al., 2018; Kermany et al., 2018; De Fauw et al., 2018; Liu et al., 2019; Peng et al., 2019). However, most works focus on retinal fundus photographs, while only a few works deal with AS-OCT images (Niwas et al., 2016; Fu et al., 2019a; Xu et al., 2019). Zhongshan Ophthalmic Center provided a semi-automated angle assessment program to calcu-

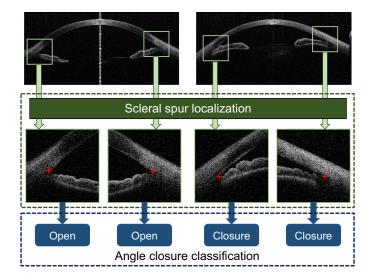


Figure 1: AGE challenge tasks: scleral spur localization and angle closure classification from AS-OCT images.

late various ACA parameters, but users are required to input the SS positions Console et al. (2008). For fully automated systems, Tian et al. (Tian et al., 2011) provided a parameter calculation method for High-Definition OCT (HD-OCT) based on the Schwalbe's line detection. In (Fu et al., 2016, 2017), a label transfer system was proposed to combine segmentation, measurement and detection of AS-OCT structures. The major ACA parameters are recovered based on the segmented structure and serve as features for detecting anterior angle closure. Beside clinical parameter calculation, the visual features directly extracted from AS-OCT images using computer vision techniques are also utilized to classify angle-closure glaucoma. For instance, Xu et al. (Xu et al., 2012, 2013) localized the ACA region and then extracted visual features to detect the glaucoma subtype. With the development of deep learning, Convolutional Neural Networks (CNNs) have been introduced to improve the performance of angle-closure detection in AS-OCT images (Fu et al., 2019a; Xu et al., 2019; Hao et al., 2019). In (Fu et al., 2018b, 2019b), a multi-path deep network was designed to extract multi-scale AS-OCT representations for both the global image and clinically-relevant local regions. Nevertheless, these approaches cannot currently be properly compared due to the lack of a unified evaluation dataset. Moreover, the absence of a large-scale AS-OCT dataset also limits the rapid development and eventual deployment of deep learning techniques for angle closure detection.

To address these limitations, we introduced the Angle closure Glaucoma Evaluation Challenge (AGE), a competition that was held as part of the Ophthalmic Medical Image Analysis (OMIA) workshop at the International Conference on Medical Image Computing and Computer Assisted Intervention (MIC-CAI) 2019. Our challenge follows on the success of REFUGE challenge Orlando et al. (2020), which is for glaucoma detection in fundus image and being a part of iChallenge. The challenge proposal was compliant with the good MICCAI practices for biomedical challenges (Maier-Hein et al., 2018).

The key contributions of the AGE challenge were: (1) The release of a large database of 4200 AS-OCT images with reliable reference standard annotations for SS localization and angle closure identification. To the best of our knowledge, AGE was the first challenge to provide a public AS-OCT dataset for angle closure glaucoma. (2) The construction of a unified evaluation framework that enables a standardized, fair comparison of different algorithms on scleral spur localization and angle closure classification, as shown in Fig. 1. During the AGE challenge, more than 200 teams registered online, and more than 1100 results were submitted for online evaluation. Eight teams participated in the final onsite challenge that took place in Shenzhen, China, during MICCAI 2019. In this paper, we analyze the outcomes and methodological contributions made as part of the AGE Challenge. We present and describe the competition and the released dataset, report the performance of the algorithms that participated in the onsite competition, and identify successful common practices for solving the tasks of the challenge. Finally, we take advantage of all this empirical evidence to discuss the clinical implications of the results and to propose further improvements to this evaluation framework. To encourage further developments and to ensure a proper and fair comparison of new proposals, AGE data and its associated evaluation platform remain open through the Grand Challenges website at https://age.grand-challenge.org.

#### 2. AGE Challenge Data

The AS-OCT images used in the AGE Challenge were acquired with CASIA SS-1000 OCT (Tomey, Nagoya, Japan) from the Zhongshan Ophthalmic Center, Sun Yat-sen University, China. The examinations were performed in a standardized darkroom with a light intensity lower than 0.4 lux. Both left and right eyes of each patient were included if the images were eligible. Each AS-OCT volume contained 128 twodimensional cross-sectional AS-OCT images, which divided the anterior chamber into 128 meridians. We extracted 16 slices from each volume equidistantly. The eye with corrupt images or images with significant eyelid artifacts precluding visualization of the ACA were excluded from the analysis. Angle structures were classified into open and closure. Gonioscopy was used as the gold standard. It was performed by a glaucoma expert (Zhang XL) with a four-mirror Sussman gonioscope (Ocular Instruments, Inc., Bellevue, WA) under standard dark illumination. The angle was graded in each quadrant (inferior, superior, nasal, and temporal) according to the modified Scheie classification system (Scheie, 1957) based on the identification of anatomical landmarks: grade 0, no structures visible; grade 1, non-pigmented trabecular meshwork (TM) visible; grade 2, pigmented TM visible; grade 3, SS visible; grade 4, ciliary body visible. A closed angle was diagnosed if the posterior trabecular meshwork was not seen for at least 180 degrees during static

Each AS-OCT image was divided in two ACA images along the vertical middle-line. No adjustments were made to image brightness or contrast. For each ACA image, the SS was marked by four ophthalmologists (average experience: 8 years, range: 5-10 years) independently. The final standard reference SS localization was determined by the mean of these four independent annotations, followed by a fine adjustment by a senior glaucoma specialist (F. Li). Finally, a total of 4800 ACA images from 199 subjects (female: 38.7%, mean age:  $47.2\pm15.4$ ) were extracted. The dataset was split into a training set (1600 images with 640 angle closure and 2560 open angle cases), a validation set (1600 images with 640 angle closure and 2560 open angle cases), and a test set (1600 images with 640 angle closure and 2560 open angle cases). Images from the same patient were assigned to the same set. The training set was used to learn the algorithm parameters (offline training), the validation set was used to choose a model (online evaluation), and the test set was used to evaluate the model performance (onsite evaluation).

## 3. Challenge Evaluation

The performance of each proposed algorithm for each of the challenge tasks was assessed using different standard evaluation metrics. Each of them is described in the sequel.

#### 3.1. Task 1: Scleral Spur Localization

Participants were asked to provide the estimated (x, y) coordinates of the SS point. Submitted results were compared to the reference standard by means of two metrics. The first one was the Euclidean Distance (ED), which measures the distance between the estimated ground truth SS locations, as shown in Fig. 2 (A). The second criterion was the difference in the angle opening distance (AOD) ( $\Delta_{AOD}$ ). AOD is defined as the distance between the cornea and iris along a line perpendicular to the cornea at a specified distance (in AGE, we use  $500 \,\mu\text{m}$ ) from the SS point (Chansangpetch et al., 2018), as shown in Fig. 2 (B). As an important indicator for angle closure assessment, we compared the AODs calculated using the prediction and ground truth. In general, the AOD of an open angle case is larger than that of angle closure. Thus, for an open angle image, we set a higher penalty for small calculated AODs, while for an angle closure image, we set a higher penalty for larger calculated AODs, as shown below:

• For open angle images:

$$\Delta_{AOD} = \begin{cases} 0.2 \times |z - z^*|, & \text{if } z > z^*, \\ 0.8 \times |z - z^*|, & \text{otherwise,} \end{cases}$$
 (1)

where z and  $z^*$  denote the AODs calculated using the estimated SS point and ground truth, respectively.

• For angle closure images:

$$\Delta_{AOD} = \begin{cases} 0.8 \times |z - z^*|, & \text{if } z > z^*, \\ 0.2 \times |z - z^*|, & \text{otherwise.} \end{cases}$$
 (2)

Based on the mean ED and  $\Delta_{AOD}$  values, each team received two ranks  $R_{ED}$  and  $R_{AOD}$  (1=best). The final ranking score for the scleral spur localization task was calculated as:

$$S_{loc} = 0.4 \times R_{ED} + 0.6 \times R_{AOD}, \tag{3}$$

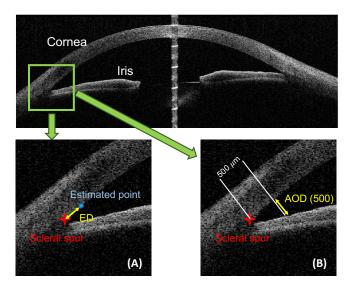


Figure 2: (A) Euclidean Distance (*ED*) measures the Distance between the estimated point and ground truth of scleral spur. (B) Angle Opening Distance (AOD) is the distance between the cornea and iris along a line perpendicular to the cornea at a specified distance (e.g., 500  $\mu$ m).

which was then used to determine the ranking of the SS localization leaderboard. We set a higher weight for  $R_{AOD}$ , because it could be used as an indicator of angle closure identification directly. Teams with the lower ranking scores were ranked higher.

## 3.2. Task 2: Angle Closure Classification

Submissions for the classification challenge must provide the corresponding estimated angle closure results (positive value for angle closure and non-positive value otherwise). Sensitivity and Specificity were utilized as the criterion of the challenge:

Sensitivity = 
$$\frac{TP}{TP + FN}$$
, Specificity =  $\frac{TN}{TN + FP}$ , (4)

where TP and TN denote the number of true positives and true negatives, respectively, and FP and FN denote the number of false positives and false negatives, respectively. In addition, we also reported the area under receiver operating characteristic curve (AUC). Based on the Sensitivity, Specificity and AUC values, each team received three ranks  $R_{sen}$ ,  $R_{spe}$  and  $R_{AUC}$  (1=best). The final ranking score for the SS localization task was calculated as:

$$S_{cls} = 0.5 \times R_{AUC} + 0.25 \times R_{sen} + 0.25 \times R_{spe}.$$
 (5)

#### 3.3. Final Ranking

The overall score of the onsite challenge was calculated as:

$$S_{onsite} = 0.7 \times R_{loc} + 0.3 \times R_{cls}. \tag{6}$$

where  $R_{loc}$  and  $R_{cls}$  denote the ranking scores of the SS localization and angle closure classification tasks, respectively. A larger weight was set for the ranking of SS localization because the clinical measurements, e.g., AOD, derived from SS localization, can be used as a primary score for angle closure classification.

The top eight teams according to result of online evaluation were invited to attend the final onsite challenge, which was held onsite in Shenzhen, China, during MICCAI 2019. The test set (only the images) was released during the workshop, and the eight teams had to submit their results within a time limit (3 hours). The final submission of each team was taken into account for evaluation. Both the online and onsite ranks were assigned to each team. The final rank of the challenge was based on a score  $S_{final}$ , calculated as the weighted average of the online and onsite rank positions:

$$S_{final} = 0.3 \times S_{online} + 0.7 \times S_{onsite}. \tag{7}$$

Notice that a higher weight was assigned to the onsite results. In this paper we only analyze the results on the onsite challenge, as it better reflects the generalization ability of the proposed solutions.

#### 4. Summary of Challenge Solutions

In the AGE challenge, we provided a unified evaluation framework for the standardized and fair comparison of different algorithms on two clinically relevant tasks: scleral spur localization and angle closure classification, as shown in Fig. 1.

Scleral spur localization task. It aims to estimate the position of the SS point from an AS-OCT image, as shown in Fig. 3 (A), which requires the algorithm to output the (x, y) coordinates of the SS point in image coordinates. Participants on the challenge proposed localization algorithms based on supervised learning, following one of three different approaches to the problem. The first one was to directly predict the coordinates of the SS point, as a value regression problem. The second one was to extend the single pixel label to a small region, as shown in Fig. 3 (B). In this way, the SS localization task was transferred to a binary segmentation problem, where the segmented mask center was used as the SS position. The third one was to generate a twodimensional heat map based on the SS position, e.g., a Gaussian map, and then employ a regression method to estimate the SS point. With a heat map, the peak value was used as the SS position. Given the coordinate  $(u_0, v_0)$  of the SS point, the heat map G(u, v) could be calculated as:

$$G(u, v) = \exp\{\frac{(u - u_0)^2 + (v - v_0)^2}{\delta^2}\},\tag{8}$$

where  $\delta$  denotes the variance, a hyperparameter which controls the heat map radius. Fig. 3 (C, D) show two generated Gaussian maps obtained with different values of  $\delta$ . Compared with the coordinate regression and binary segmentation approaches, the heat map solution reduces the complexity of the task, facilitating convergence during training. In addition, the method based on heat map regression can make use of a fully convolutional network for training and prediction.

Angle closure classification task. It aims to predict the probability of a given AS-OCT image having a closed angle. Hence, the majority of participating teams built binary classification

Table 1: A brief summary of the challenge methods on angle closure classification. CE = cross-entropy
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Team	Member	Architecture	ROI	Ensemble	Loss
Cerostar	Yan Kong, Yongyong Ren	ResNet34	No	Single model	CE loss
CUEye	Xiaomeng Li, Jing Wang	SE-Net	Yes	Three-scale ROIs	CE loss
Dream Sun	Chenglang Yuan, Cheng Bian	ResNet152	No	Three trained model	Focal loss, F-beta loss
EFFUNET	Xing Tao, Yuexiang Li	EfficientNet	No	EfficientNet B3, and B5	CE loss
iMed	Huaying Hao, Jiang Liu	ResNet50	Yes	Three-scale ROIs	CE loss
MIPAV	Le Geng, Panming Li	SE-ResNet18	Yes	Single model	Focal loss
Redscarf	Shihao Zhang, Mingkui Tan	Res2Net	Yes	Global and ROIs	CE loss
VistaLab	Ruitao Xie, Jiongcheng Li	ResNet18	Yes	Four-fold models	CE loss

Table 2: A brief summary of the challenge methods on scleral spur localization. MSE = mean squared error, CE = cross-entropy, ED = Euclidean Distance

Team	Architecture	ROI	Output	Ensemble	Loss
Cerostar	U-Net with ResNeXt34	No	Binary mask	Four-scale	CE loss
CUEye	Zoom-in SE-Net	Yes	Value regression	Three-scale ROIs	MSE loss
Dream Sun	U-Net with EfficientNet	Yes	Heat map	EfficientNet B2, B3, B5, and B6	MSE loss, Dice loss
EFFUNET	U-Net with EfficientNet B5	Yes	Heat map	Single model	MSE loss
iMed	GlobalNet, ResNet34	Yes	Value regression	Single model	MSE loss
MIPAV	LinkNet with ResNet18	No	Heat map	Single model	MSE loss
Redscarf	YOLO-V3, AG-Net	Yes	Heat map	Two-stream	MSE loss
VistaLab	U-Net with VGG19	Yes	Value regression	Single model	ED loss

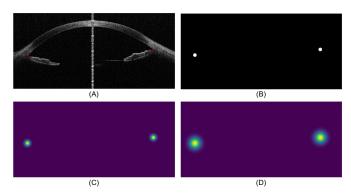


Figure 3: (A) Scleral spur localization aims to estimate the position of the scleral spur point from an AS-OCT image. (B) The binary mask based on the scleral spur region. (C, D) The heat maps generated based on scleral spur with different radii.

frameworks that would be suitable for the identification of angle closure.

During the AGE challenge, over 200 teams registered online, and more than 1100 results were submitted for online evaluation. Finally, eight teams participated on both tasks in the onsite challenge. In this section, we summarize these methods and analyze their corresponding results for the angle closure classification and scleral spur localization tasks. A brief summary of the methods are provided in Tables 1 and 2, respectively.

# 4.1. Cerostar Team

Scleral spur localization task. The Cerostar team utilized a multi-scale Res-UNet with an attention network as the backbone. As shown in Fig. 4, their proposed Res-UNet was based on a modified deep network ResNeXt34 (Xie et al., 2017) to extract semantic information from the input image. The Res-UNet contained a series of convolutional blocks composed of a convolutional layer, batch normalization layer, and ReLU activation. The last down-sampling layer in Res-UNet represented

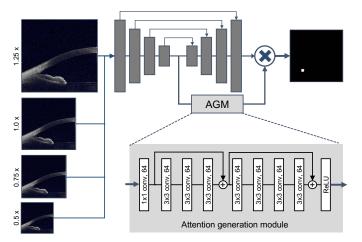


Figure 4: The framework of the Cerostar team for angle closure classification, where a attention generation module was added into the backbone network.

the semantic features of the image. The Cerostar team used four parallel Res-UNet with different sized images as inputs (i.e.,  $1.25 \, x$ ,  $1.0 \, x$ ,  $0.75 \, x$ ,  $0.5 \, x$ ). Then, four semantic feature maps of the different sized Res-UNets were extracted and fed into the attention generation module, which contained eight CNN layers belonging to the first two blocks of ResNet34 (He et al., 2016) together with one ReLU layer. Finally, a weight matrix for each pixel was returned and used to combine the predictions of each Res-UNet and improve results.

Angle closure classification task. The Cerostar team used a standard ResNet34 model (He et al., 2016) pre-trained on ImageNet and fine-tuned using AGE training data to predict angle-closure glaucoma on the whole images.

### 4.2. CUEye Team

*Scleral spur localization task*. The CUEye team employed a Zoom-in Squeeze-and-Excitation Network (SE-Net) (Hu et al.,

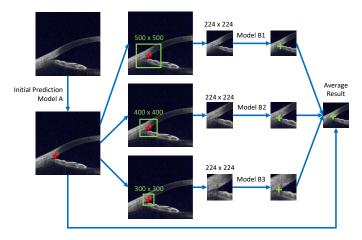


Figure 5: The network of the CUEye team for scleral spur localization, where a multi-scale pipeline was utilized to combine different ROIs. The SE-Net was used to predict the coordinates of SS point.

2018). Each AS-OCT image from the given dataset was split into two different parts according to the centerline, which simplified the problem to finding only one SS location in each given input. The AS-OCT images were captured whole standardized position and direction (Fu et al., 2019a), so the CUEye team only used random shifting with 0.2 scale, random rotation with 15 degrees, and random zooming with 0.2 scale for image augmentation. Fig. 5 shows the framework of the CUEye team. An initial model A was trained for making an initial prediction of the input based on SE-Net. Then, local regions of interest were randomly cropped from the original image into three regions of different sizes that covered approximately one-third to onequarter of the original one around the initial prediction. Three parallel models B1, B2, and B3 with different input sizes were trained to make precise predictions based on a simple SE-Net module. Finally, the three parallel results were averaged together with the initial prediction to give the final results.

Angle closure classification task. The CUEye team employed a similar architecture as used for SS localization. An initial model A was introduced to make the initial prediction of the scleral localization. Then, local regions of interest were randomly cropped around the initial prediction into three regions of different sizes, and fed into three parallel SE-Net models to predict the classification results. Finally, their corresponding results were averaged to provide the final classification predictions.

#### 4.3. Dream Sun Team

Scleral spur localization task. The Dream Sun team introduced a coarse to fine strategy with progressive tuning, where the coarse and refined localization networks share the same model structure, as shown in Fig. 6 (A). The point annotation of each SS was converted to a 2D Gaussian distribution map centered at the annotation position. EfficientNet (Tan and Le, 2019) was chosen as the network encoder to learn and extract hierarchical features. After that, a skip connection module and a pyramid pooling module were utilized to capture the

global and local semantic features from multiple dimensions and scales. Finally, the corresponding features were merged together to infer the final response regions. Considering the intensity and shape of SS regions, a combination of mean squared error (MSE) loss and Dice loss were used to reduce the error between prediction and ground truth. Particularly, the split images were resized to 499×499 pixels for the coarse localization stage. Then, the candidate regions from the coarse stage were cropped to 360×360 pixels for the precise localization stage. Data augmentation strategies and the training configurations were the same as the classification task. Considering efficiency and accuracy, the team selected EfficientNet-B2, B3, B5 and B6 to construct multiple models and then averaged these results to obtain the final prediction.

Angle closure classification task. The Dream Sun team utilized ResNet152 (He et al., 2016) as the backbone architecture to perform accurate identification of angle closure. Two ResNet tweaks (He et al., 2019) were tailored to enhance the classification accuracy. To reduce the contextual information loss due to down-sampling in the first convolution with a stride of 2, they switched the strides of the first two convolutions, as shown in Fig. 6 (C). Similarly, the residual connection mechanism in the down-sampling module also ignored 3/4 of the input feature maps. Empirically, a 3×3 average pooling layer with a stride of 2 was inserted before the convolutional layer. To tackle the class imbalance problem between angle closure and nonclosure samples, a hybrid loss combining the Focal loss (Lin et al., 2017) and F-beta loss (Eban et al., 2017) was adopted. Each OCT image was symmetrically split into two sub-images (left and right) and resized to 256×256 pixels for identifying the angle status. In addition, the training dataset was further augmented with random rescalings, flippings and rotations. The Adam optimizer and cosine learning rate decay strategy were adopted to update the networks weights. The final result was decided by the majority vote of three models established with different training iterations.

#### 4.4. EFFUNET Team

Scleral spur localization task. The EFFUNET team proposed a coarse-to-fine localization framework (Wang et al., 2019), which consisted of two networks with the same architecture, as shown in Fig. 7. These models were trained using heat map regression, where each network was first regressed against the ground truth heat map at a pixel level and then the predicted heat maps were used to infer landmark locations. The coarse network was trained to delineate the coarse localization heat maps and to generate the ROI of the key-points, while the fine network was trained for accurate localization using the cropped ROI from the whole OCT slices. A U-Net structure (Falk et al., 2019) was adopted as the backbone architecture for each of these two components. Their encoders were based on EfficientNet-B5 (Tan and Le, 2019), as its scaling method allows networks to focus on more relevant regions with object details. The MSE loss was used to supervise the regression of the heat maps.

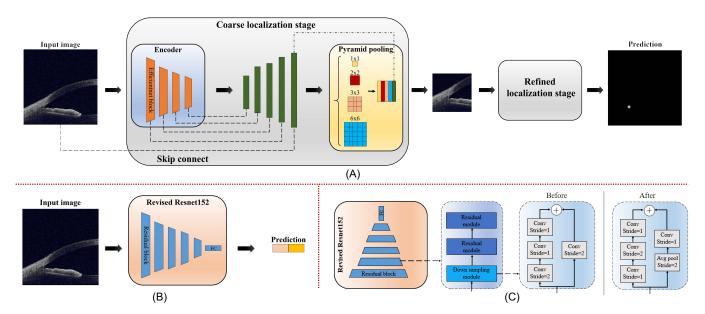


Figure 6: (A) The framework of the Dream Sun team for scleral spur localization task, where the coarse and refined localization networks share the same model structure. (B) The framework of the Dream Sun team for angle closure classification. (C) The Revised ResNet structure.

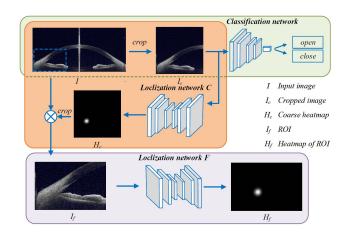


Figure 7: The framework of the EFFUNET team for two tasks.

Angle closure classification task. The EFFUNET team employed EfficientNet (Tan and Le, 2019) as their backbone. Using a simple and highly effective compound scaling method, EfficientNet achieved state-of-the-art accuracy in the ImageNet dataset. As the resolution of the original images is 2030×998, each image was cropped into left and right images (998×998) along the corresponding vertical center line. The cropped images were resized to 384×384 for the classification network. The final classification result was assigned by averaging the outputs of EfficientNet-b3 and EfficientNet-b5.

#### 4.5. iMed Team

Scleral spur localization task. The iMed team also employed a coarse-to-fine framework, as shown in Fig. 8 (A). In order to improve the performance, an image denoising method, BM4D (Maggioni et al., 2012), was first employed to suppress

the background noise. GlobalNet, with cascaded pyramid network (Chen et al., 2018), was used for coarse position localization. Next, a random cropping processing was applied, in which the team randomly chose a point in a square region with sides of 30 pixels and then, keeping its relative position, cut out a 224×224 patch from the image. These ROIs were then input to the CNN regression network to obtain the SS localization results. The pre-trained ResNet-34 (He et al., 2016) was employed as the backbone architecture. First, ACA regions of 224×224 were fed into the network to extract a finer feature representation. Then, since the ground truth of the localization was normalized between 0 and 1, a Sigmoid activation function was appended to the fully-connected layer to normalize the coordinate values of the output. The MSE loss was chosen to supervise the training process.

Angle closure classification task. The iMed team used a multi-scale network with ResNet-50 (He et al., 2016) as the backbone, with three-scale inputs in addition to the original scale, and cropped ROIs of sizes 448×448 and 224×224, as shown in Fig. 8 (B). In clinical practice, the ACA region is the most important sign for diagnosis of glaucoma type. The global image (Scale1) with complete AS-OCT structure could offer the network more global information. Meanwhile, the local images, Scale2 and Scale3, preserve local details with higher resolutions and were thus used to learn a fine representation. Three regions with different sizes were scaled to 224×224 and used to learn different feature representations output from the last convolutional layers in ResNet-50 (He et al., 2016). The 7×7 feature maps from the parallel network modules were fed into a global max pooling layer. A set of different descriptors from each stream was obtained, where each 2×1 descriptor was generated by the fully-connected layers in the classification network. To obtain the best prediction result, the descriptors were concatenated to create a new descriptor with size 2×3. A con-

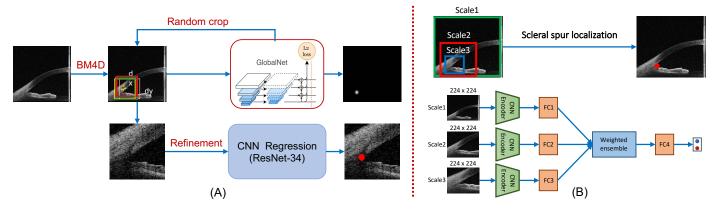


Figure 8: (A) The framework of the iMed team for scleral spur localization, where GlobalNet was adopted as the backbone. (B) The framework of the iMed team for angle closure classification, where a multi-scale network was employed to fuse multi-scale ROIs.

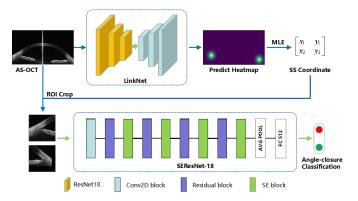


Figure 9: Schematic representation of the MIPAV team method for scleral spur localization and angle closure classification. LinkNet was first trained to generate the heat map to localize scleral spur. The information contained in the heat map was extracted through a method developed from maximum likelihood estimation (MLE) to obtain the scleral spur coordinates. Then, the ACA patches were cropped with the scleral spur centered, and fed into the classification model (i.e., SE-ResNet) to classify angle closure.

volution operation with 32 kernels of size  $1\times3$  was performed on the new descriptor, and then the results were fed to the fully-connected layer for final classification. The  $1\times3$  kernels weighted the predictions of the three models and output them to the next layer. This feature ensemble strategy enabled the models to automatically learn the importance of different basic predictions. Finally an objective function following a multi-scale loss  $L_m$  was used, as given by:

$$L_m = \sum_{s=1}^{3} \left\{ L_{cls} \left( y^{(s)}, y^* \right) \right\} + L_{cls} (y_f, y^*), \tag{9}$$

where s denotes each scale, and  $y^{(s)}$  and  $y^*$  denote the predicted label vector from a specific scale and the ground truth label vector, respectively.  $y_f$  denotes the final predicted vector from the three-scale feature ensemble.  $L_{cls}$  represents the classification loss, e.g., CE loss, which predominantly optimizes the parameters from the convolutional and classification layers.

## 4.6. MIPAV Team

Scleral spur localization task. The MIPAV team employed LinkNet (Chaurasia and Culurciello, 2017), a typical light U-

shaped architecture, to learn the transformation from an AS-OCT image to a probability map, as shown in Fig. 9. The encoder part was based on a pre-trained model of ResNet18 (He et al., 2016), which retained the first four extraction blocks without the average pooling layer or fully-connected layers. Compared with U-net (Falk et al., 2019), LinkNet uses an addition operation rather than a concatenation for the skip connection, which can reduce the computational cost and accelerate the training process. To learn the pixel-wise regression network, the MSE loss was utilized to calculate the difference between the ground truth and predictions. Random data augmentation was applied before training, including adjusting brightness, contrast and sharpness. All enhancement factors followed a log-normal distribution. Moreover, the MIPAV team considered the pixel value of the generated heat map as an ideal 2D Gaussian probability density. A method based on maximum likelihood estimation (MLE) theory was developed to obtain the coordinates from this output heat map, which is defined as follows:

$$u_c = \frac{\sum_{i \in C} u_i p_i}{\sum_{i \in C} p_i}, \quad v_c = \frac{\sum_{i \in C} v_i p_i}{\sum_{i \in C} p_i}, \tag{10}$$

where C indicates the set containing pixels whose values are higher than half of the maximum in the heat map.  $(u_i, v_i)$  are the corresponding coordinates, and  $p_i$  is the value of the pixel in the set. Basing the results on the weighted average operation produces less error than finding the peak directly.

Angle closure classification task. The MIPAV team used a modified SE-ResNet18 (Hu et al., 2018) as the backbone. The SS coordinates were utilized to localize the ACA region. A 128×128 patch with SS centered was cropped as the input of the classification network. To reduce the localization error, noise following a Gaussian distribution was added to the real coordinates when cropping patches. The operation also served as a form of data augmentation to make the model more generalizable. A SE-ResNet18 (Hu et al., 2018) was modified as the backbone. Experiments in (Hu et al., 2018) showed that integrating the SE block in different positions of the residual blocks performs similarly well. As shown in Fig. 9, the SE block was inserted between each residual layer of a pre-trained ResNet18 (He et al., 2016), without destroying the original

residual architecture. Data imbalance was another difficulty for achieving accurate classification. To overcome this problem, the Focal loss (Lin et al., 2017) was employed as the cost function during training, given by:

$$L_{focal} = -\alpha (1 - \hat{y})^{\gamma} \log(\hat{y}) - (1 - y^*) \hat{y}^{\gamma} \log(1 - \hat{y}), \tag{11}$$

where  $\hat{y}$  and  $y^*$  denote the predicted label and ground truth, respectively.  $\alpha$  and  $\gamma$  are weighted parameters ( $\alpha = 6$  and  $\gamma = 2$ ). After analyzing the labels, the MIPAV team found that the closure status of both the left and right angle in the same AS-OCT were directly correlated. As such, a voting mechanism was introduced in the final test, which efficiently increased the accuracy of classification.

#### 4.7. RedScarf Team

Scleral spur localization task. The Redscarf team proposed a two-stream framework combining ROI detection and heat map regression, as shown in Fig. 10 (A). The ROI detection was sensitive to the ACA structure, but the localization accuracy was not enough. On the contrary, the heat map regression had a high localization accuracy, but it was easily affected by noise, misdetecting noisy points located far away from the true scleral spur pixel. In this sense, the team first exploited YOLO-V3 network Redmon and Farhadi (2018) as the detection network to identify the ROI region. Then, AG-Net Zhang et al. (2019) was used as a regression network to produce accurate coordinate values. Compared with U-Net Falk et al. (2019), AG-Net replaced the concatenation with an attention guided filter to enhance the skip connection, which could reduce the influence of noise and accelerate the testing progress. The detection network may identify several ACA candidates, so the team proposed to average them to produce the final prediction of the true ACA structure center. Centered on the ACA structure center, a 64×64 patch was cropped as the ROI region. To train the heat map regression network, the MSE loss was utilized to calculate the difference between ground truth and prediction. In order to improve the generalization capabilities of the model, a random rotation with [-10, 10] degrees was applied before training. To convert the output heat map to the final scleral spur localization result, they averaged the position of values greater than a threshold in the map.

Angle closure classification task. The RedScarf team employed a three-branch network based on Res2Net Gao et al. (2020) as the backbone, as shown in Fig. 10 (B). Compared with ResNet He et al. (2016), Res2Net further constructed hierarchical residual-like connections within one single residual block, which brings the ability to better capture multi-scale features. First, the image was cropped into two pieces, which were fed to an auxiliary model to obtain an early prediction. The auxiliary model contained four bottlenecks with three extra auxiliary loss. Since the auxiliary loss was not equally important, they imposed different confidences over them (0.2, 0.3, 0.5). Training models with the auxiliary loss has three advantages: 1) encourage features in the lower level to be more discriminative. 2) alleviate gradient vanishing problem in the lower level

of the model. 3) provide additional regularization. In order to model the relationship between two angles, the classification of the whole image was also treated as a multi-label task using Res2Net. Finally, the classification result was obtained by ensembling the three outputs above.

#### 4.8. VistaLab Team

Scleral spur localization task. The VistaLab team employed a coarse-to-fine framework, as shown in Fig. 11 (A). The localization network was based on a pre-trained VGG19 (Simonyan and Zisserman, 2014). In deep neural networks, in general, large-scale feature maps often contain more details and contour information, which may be helpful for position detection. Therefore, they extracted more feature maps from the 4th block of VGG19, which were twice as large as those from the 5th block. The outputs of both blocks were fused to obtain more effective features. Based on a 1×1 convolutional layer, the dimensions of the fused features were reduced for more compactness with less parameters. Then the feature maps of the 4th block were down-sampled and combined with the feature maps of the 5th block. At the same time, they also up-sampled the feature maps of the 5th block for combination with the feature maps of the 4th block. Based on this, the feature maps of the 4th block contained more semantic information, while the fused feature maps of the 5th block contained more details and contour information. Finally, the feature maps of these two blocks were passed through the fully connected layer in sequence to produce two sets of coordinates. The coarsely positioned coordinates (Output1) were obtained by averaging these two sets of coordinates, as shown in Fig. 11 (B). Output1 was then used to crop the feature maps of the first block and the second block of VGG19, with ROIs of 16×16 and 8×8, respectively. The cropped feature maps were then further fused by a sub-network, which consisted of three convolutional blocks and a fully connected layer, generating another position result (Output2). Finally, Output1 and Output2 were averaged to get the final coarse position result (Output3). Based on the coarse positioning network, the random 224×224 ROIs with a Gaussian distribution were cropped. These ROIs and labels were used to train the fine positioning network, which had the same architecture as the coarse positioning network. The Euclidean Distance (ED) loss was chosen to train the coarse-to-fine framework.

Angle closure classification task. The VistaLab team utilized ResNet18 (He et al., 2016) as the backbone, and changed the output of the last linear layer to 2. The model was trained using the Adam optimizer and CE loss function. Considering that the classified data is not balanced, four-fold training was used to objectively evaluate the model. The dataset was proportionally divided into four parts, one of which was used as test data, while the remaining data was used for training. According to different divisions, four different models were trained respectively. Then, the four models that performed best in both the training set and the test set, in terms of highest accuracy and lowest loss, were selected. Finally, the four results were averaged to get the final classification result.

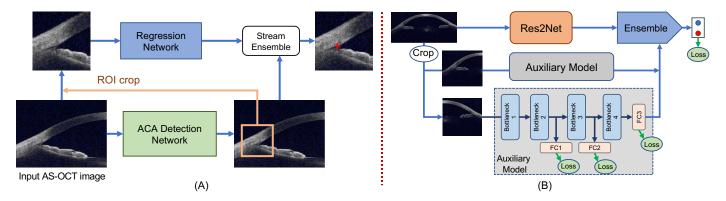


Figure 10: (A) The framework of the Redscarf team for scleral spur localization. The ACA detection network was used to predict the initial ROI, while a regression network was employed to produce accurate scleral spur coordinates. (B) The framework of the Redscarf team for angle closure classification, where a multi-scale network was employed to fuse three different scales of ROIs.

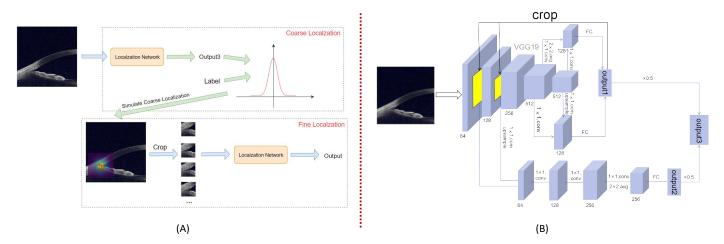


Figure 11: (A) The framework of the VistaLab team for scleral spur localization, where a coarse-to-fine framework was used to detect scleral spur point. (B) The localization sub-network of the VistaLab team used in framework.

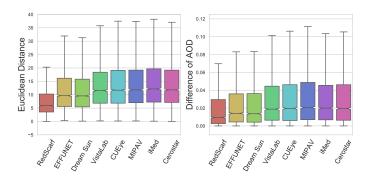


Figure 12: Box-plots illustrating the scleral spur localization performances. Left: Euclidean Distance. Right: Differences of Angle Opening Distance (AOD).

# 5. Result and Discussion

In this section, we report the evaluation metrics of the eight teams on the onsite dataset for the two proposed tasks. The performances and leaderboards of the online evaluation and overall challenge can be accessed on the AGE challenge website at <a href="https://age.grand-challenge.org">https://age.grand-challenge.org</a>.

Table 3: The results of scleral spur localization task on the onsite dataset.

Team	ED	$R_{ED}$	$\Delta_{AOD}$	$R_{AOD}$	$S_{loc}$	Rank
RedScarf	9.40	1	0.0277	1	1	1
EFFUNET	12.65	3	0.0355	2	2.4	2
Dream Sun	12.47	2	0.0365	3	2.6	3
VistaLab	14.00	4	0.0430	4	4	4
CUEye	14.39	6	0.0430	5	5.4	5
MIPAV	14.35	5	0.0469	6	5.6	6
iMed	14.87	8	0.0483	7	7.4	7
Cerostar	14.41	7	0.0486	8	7.6	8

## 5.1. Task 1: Scleral Spur Localization

Results and box-plots summarizing the distribution of the performance metrics obtained by each of the participating teams for the scleral spur localization are presented in Table 3 and Fig. 12, respectively. The RedScarf team achieved the best performance on the onsite dataset with ED of 9.395 and  $\Delta_{AOD}$  of 0.02772. The EFFUNET team (ED of 12.653 and  $\Delta_{AOD}$  of 0.03546) and Dream Sun team (ED of 12.468 and  $\Delta_{AOD}$  of 0.03654) achieved the second and third best performances, respectively. Fig. 13 shows several qualitative examples of the scleral spur localization of the top-three ranked methods (i.e.,

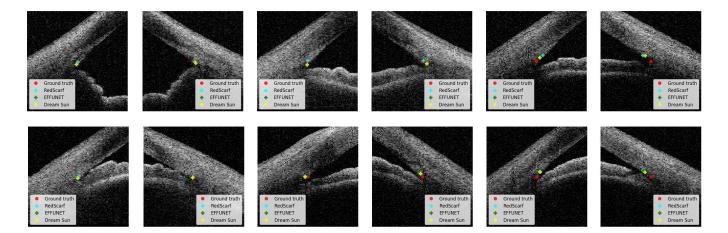


Figure 13: Zoom-in scleral spur localization results of ground truth and top three teams (i.e., RedScarf, EFFUNET and Dream Sun). Top raw are open angle cases, while bottom raw are angle-closure cases.

Table 4: The results of angle closure classification task on the onsite dataset.

Team	AUC	Sensitivity	Specificity	Rank
RedScarf	1.00000	1.00000	1.00000	1
EFFUNET	1.00000	1.00000	1.00000	1
VistaLab	0.99998	1.00000	0.99375	3
Dream Sun	0.99992	1.00000	0.98750	4
iMed	0.99959	1.00000	0.99375	5
MIPAV	0.99992	0.99688	0.99844	6
Cerostar	0.99491	1.00000	0.97422	7
CUEye	0.98203	1.00000	0.96406	8

RedScarf, EFFUNET and Dream Sun) together with the ground truth, where (A-C) are open angle images, and (D-F) are angle-closure images. The general behaviors of all methods were fairly stable relative to each other, in most cases. Fig. 13 (C, F) illustrate some challenging low-quality images, where the poor illumination and low-contrast (e.g., Fig. 13 (C)) often made it difficult to determine the SS point.

#### 5.2. Task 2: Angle Closure Classification

The participating methods for angle closure classification task on the onsite dataset are reported in Table 4. We can observe that the RedScarf and EFFUNET teams obtained the perfect scores. Further, almost all the methods achieved a Sensitivity of 100%, while the major difference in performance between the methods is seen in the Specificity score, ranging from 96.4% to 100%. However, there were still two teams, i.e., Red-Scarf and EFFUNET, that obtained also a Specificity of 100%. There are some possible reasons for this high-performance: 1) The angle closure cases in the AGE challenge were at moderate or advanced stage, with an obvious closed anterior chamber angle making them easy to discriminate from open angle cases. 2) In contrast to the clinical quantitative measurements (e.g. anterior chamber area, ACW, AOD, and angle recess area), the visual representations extracted by deep network can present more information beyond what clinicians recognize as relevant. This point was also observed in other angle closure studies (Fu

et al., 2019a; Xu et al., 2019; Fu et al., 2019b).

#### 5.3. Discussion

From the AGE challenge results, the top-performing approach had an average ED of 10 pixel ( $10\mu m$ ) in scleral spur localization, while in the task of angle closure classification, all the algorithms achieved the satisfactory performances, especially, 100% accuracy rate for top-two performances.

For scleral spur localization task, the solutions of the top three teams were all based on a ROI cropped flowchart (e.g., a coarse-to-fine strategy). In fact, six of eight teams employed this strategy (Table 2), to ensure a more precise localization. This could be motivated by the fact that the scleral spur label was provided as a single pixel. Therefore, identifying a first approximation of the area and predict the final value in a second iteration allows to preserve more detailed features and avoid the information loss caused e.g. by down-sampling. Alternatively, many teams proposed to model the coordinate localization problem as a heat map regression task. Heat maps can extend the scleral spur position from a single pixel to a small area that could be approximated using e.g. encoder/decoder networks such as U-Nets (Falk et al., 2019) or AGNets (Zhang et al., 2019). From the online challenge evaluation results can be deducted that modeling the SS localization problem as a heat map regression task is an appropriate decision, with the topthree teams being based on it.

For the angle closure classification task, most methods (five out of eight teams in Table 1) employed a coarse to fine strategy, first localizing the SS point and then cropping a smaller ROI to identify the angle closure. A major reason for doing this is that the main parameters used to describe features of the anterior chamber angle fall into the SS region (Chansangpetch et al., 2018; Ang et al., 2018). From Table 1, we found that most teams built their networks based on the ResNet (He et al., 2016) or SE-Net (Hu et al., 2018). This demonstrates that basic deep networks have adequate ability to distinguish the angle closure. The top two teams utilized advanced deep networks, *e.g.*, Res2Net (Gao et al., 2020) and EfficientNet (Tan and Le,

2019), and got better performances. However, due to the limited size of training data, the deep networks tend to suffer from over-fitting, and the combination of multiple models or multiscale features is a way to limit it. Table 1 shows that six of eight teams utilized ensembling to improve the generalization performance.

In clinical practice, the localization of SS is the basic step to quantitatively evaluate the ACA. Therefore, we set up an independent task to automatically annotate SS and calculate AOD according to the annotated SS. Compared to the ground truth, the deep learning algorithms had an average deviation of SS localization around  $10\mu m$ . Further improvements are needed before it could be used in clinics. In the task of angle closure classification, all the algorithms achieved the ideal performances, nearly 100% accuracy rate. It is understandable since the cases included in the AGE challenge mostly have common ACA morphology but not special structures such as plateau iris. Although our AGE challenge is currently the largest public dataset composed of 4800 images, we still cannot predict if the algorithm would maintain such good performance in a real-world setting, as the morphology of ACA is even more complex in the general population. This is a very promising start but still distant from the destination.

Another potential limitation of our study is that the AS-OCT images were only taken using Casia SS-1000 OCT device. This could possibly have a negative effect on the quality and performance when the algorithms are applied to images from other AS-OCT acquisition devices. In a future challenge, it would be of value to add more AS-OCT modalities from different-stage angle closure patients and train the algorithms for diagnosis.

## 6. Conclusion

In this paper, we summarized the methods and results of the AGE challenge. We compared the performances of eight teams that participated in the onsite challenge at MICCAI 2019. Artificial intelligence techniques were shown to be promising for helping clinicians to reliably and rapidly identify SS points. Further, using deep learning methods to discriminate moderate or advanced angle closure from the open angle also demonstrated encouraging results.

In summary, the AGE challenge is the first open AS-OCT dataset focused on scleral spur localization and angle closure classification. The data and evaluation framework are publicly accessible through the Grand Challenges website at <a href="https://age.grand-challenge.org">https://age.grand-challenge.org</a>. Future participants are welcome to submit their results on the challenge website and use it for benchmarking their methods. The website will remain permanently available for submissions, to encourage future developments in the field. We expect that the unique AGE challenge will be beneficial to both early-stage and senior researchers in related fields.

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