- 1. (7 points total 1pt each) Let X and Y be two decision problems. Suppose we know that X reduces to Y in polynomial time. Which of the following can we infer? Explain
 - A, B, C, E & F- False can not be inferred
 - D. True: If X is NP-complete and Y is in NP then Y is NP-complete.
 - G. True: If Y is in P, then X is in P.
- 2. **(4 points 1 pt each** Clearly explain whether or not each of the following statements follows from that fact that COMPOSITE is in NP and SUBSET-SUM is NP-complete:
 - a. SUBSET-SUM ≤p COMPOSITE.
 - No. SUBSET-SUM is NP-complete and so may be reduced to any other NP-complete problem. However, we don't know that COMPOSITE is NP-complete, only that it is in NP.
 - b. If there is an $O(n^3)$ algorithm for SUBSET-SUM, then there is a polynomial time algorithm for COMPOSITE.
 - Yes. The given running time is polynomial. Since SUBSET-SUM is NP-complete, this implies P = NP. Hence, every algorithm in NP, including COMPOSITE, would have a polynomial-time algorithm.
 - c. If there is a polynomial algorithm for COMPOSITE, then P = NP.
 - No. COMPOSITE is in NP, but it is not known if it is in NP-complete.
 - d. If $P \neq NP$, then **no** problem in NP can be solved in polynomial time.
 - No. All problems in P are also in NP and can be solved in polynomial time. Proving P 6= NP would show only that NP-complete problems cannot be solved in polynomial time.
- 3. (3 points, 1 pt each) Two well-known NP-complete problems are 3-SAT and TSP, the traveling salesman problem. The 2-SAT problem is a SAT variant in which each clause contains at most two literals. 2-SAT is known to have a polynomial-time algorithm. Is each of the following statements true or false? Justify your answer.
 - a. 3-SAT \leq_p TSP.
 - True. There exists a reduction from any NP-complete problem to any other such problem.
 - b. If $P \neq NP$, then 3-SAT $\leq_p 2$ -SAT.
 - False. If P \neq NP, there is no polynomial-time algorithm for 3-SAT. However, 2-SAT is known to be in P; if the reduction existed, it would imply a polynomial-time algorithm for 3-SAT.

- c. If $P \neq NP$, then no NP-complete problem can be solved in polynomial time.
- True. A polynomial-time algorithm for one NP-complete problem yields polynomialtime algorithms for all others. Hence, either all these problems are in P, or none are. P 6= NP implies the latter.
- 4. **(4 points total)** LONG-PATH is the problem of, given (G, u, v, k) where G is a graph, u and v vertices and k an integer, determining if there is a simple path in G from u to v of length at least k. Show that LONG-PATH is NP-complete.
 - **Step 1:** (2 points) LONG-PATH is NP. A certificate showing a pair (G,k) to be in LONG-PATH is simply a listing of the sequence of vertices in a path of length k.
 - If k ≤ n-1, a poly-time verifier can check that the sequence is a valid path in G, is simple, and has length k.
 - If k > n-1, there can be no simple path with k edges (as it would have k+1 > n vertices on it), and we can reject the input whatever certificate is offered.
 - Step 2: (2 points) LONG- PATH is NP-hard and thus NP-complete. We can reduce HAM-PATH, proved in problem 3. to be NP-complete, to LONG-PATH. An input to HAM-PATH is a graph G, and G is in HAM-PATH if and only if there exists a simple path in G containing all n vertices and thus having exactly n-1 edges. So G is in HAM-PATH if and only if the pair (G,n-1) is in LONG-PATH, and clearly this reduction can be computed in polynomial time. We have that HAM-PATH \leq_p LONG-PATH, and thus LONG-PATH is NP-hard.

Since 1) and 2) are true LONG-PATH is in NP-Complete.

- 5. (7 points total) Graph-Coloring. Mapmakers try to use as few colors as possible when coloring countries on a map, as long as no two countries that share a border have the same color. We can model this problem with an undirected graph G = (V,E) in which each vertex represents a country and vertices whose respective countries share a border are adjacent. A k-coloring is a function $c: V \to \{1, 2, ..., k\}$ such that $c(u) \neq c(v)$ for every edge $(u,v) \in E$. In other words the number 1, 2, ..., k represent the k colors and adjacent vertices must have different colors. The graph-coloring problem is to determine the minimum number of colors needed to color a given graph.
- a. (total of 3 points) State the graph-coloring problem as a decision problem K-COLOR.
 - <u>Decision Problem:</u> K-COLOR: Given a graph G= (V,E) is there a way to color the vertices with exactly k colors such that adjacent vertices are colored differently? (1 point)

Alternative definition: K-COLOR: Given a graph G= (V,E) is there a way to color the vertices with at most k colors such that adjacent vertices are colored differently? Full credit

Show that your decision problem is solvable in polynomial time if and only of the graph-coloring problem is solvable in polynomial time. (2 points if and only if proof)

If we can solve K-COLOR for a given graph G in polynomial time, then the graph-coloring problem can be solved in polynomial time by assigning K from 1 to |V| and checking if the answer to K-COLOR is YES. As soon as we get the answer YES for K-COLOR we can stop since K is the minimum of any coloring. K is the solution to the graph-coloring that asks for the minimum number of colors. At most we have to try |V| colors. With K-=|V| you can color any graph G=(V,E) by assigning each vertex a different color.

If we can solve the graph-coloring problem for a given graph G=(V,E) in polynomial time then we can solve K-COLOR in polynomial time. Solve the graph-coloring problem and let K^* be the minimum colors needed. The answer to K-COLOR is no if $K < K^*$ or K > |V|. The answer to K-COLOR is yes if $K \ge K^*$.

b. (total of 4 points) Use the fact that 3-COLOR is NP-complete to show that 4-COLOR is NP-complete

Step 1: (1 points) Show that 4-COLOR is in NP. Give a polynomial time algorithm to verify solution. This is the same as in part c) above but with k=4. Must Show polynomial to receive full credit

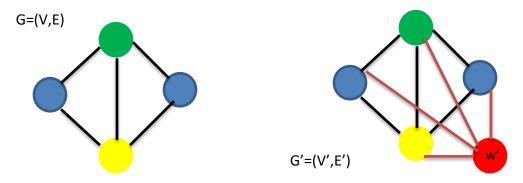
Given a Graph G=(V,E) and a 4-coloring function $c: V \to \{1, 2, 3, 4\}$ we can verify if c is a "legal" coloring function in polynomial time. To verify the solution, for each vertex u in V we must check the colors of the adjacent vertices. All colors of adjacent vertices must be different. If for any $(u, w) \in E$, c(u) = c(w) then c is not a 4-COLORING of G. The verification of the 4-coloring is polynomial in n (the number of vertices) since $4 \le n$ and the time required to look at all edges in G is $O(n^2)$.

Step 2: (3 points) Show that there is a polynomial reduction from 3-COLOR to 4-COLOR.

Reduce an instance G of 3-COLOR to an instance G' of 4-COLOR in polynomial time, and show that there is a 3-COLOR in G iff there is a 4-COLOR in G'. Let G=(V,E) be an instance of 3-COLOR transform G into G' by adding a new vertex w' that is connect t every other vertex. That is

$$G'=(V', E')$$
 where $V'=V \cup \{w'\}$ and $E'=E \cup \{(w', u) \text{ for all } u \in G\}$

This reduction can be done in polynomial time since we are adding one vertex and at most n edges



blue = 1, yellow = 2, green = 3, red = 4.

If G has a 3-COLORing then G' has a 4-COLORing. Assume G has a 3-COLORing then there exists a function c: $V \to \{1, 2, 3\}$ such that for all u, $w \in V$ if $\{u, w\} \in E$ then $c(u) \neq c(w)$. Now define the 4-coloring function c' for G'

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$$c'(u) = \begin{cases} c(u), & \text{if } u \in V \\ 4, & \text{if } u \notin V \ (u = w') \end{cases}$$

Therefore, if there is a 3-COLORing in G then there is a 4-COLORing in G'

If G' has a 4-COLORing then G has a 3-COLORing. Assume G' has a 4-COLORing, since w' is adjacent to all other vertices in G' then w' must be a different color. Let c' be the coloring function for G', without loss of generality we can say that c'(w')=4 and $c(u)\neq 4$ for all $u\in (V'-\{w\})$. However, $(V'-\{w\})=(V\cup \{w'\}-\{w\})=V$. So we have colored all of the original vertices in V using only colors 1, 2 and 3 proving that G is 3-COLORable. Thus, the 4-Color problem is NP-Hard

Since it was shown in Part 1 that 4-COLOR is in NP, and by Step 2 NP-Hard, 4-COLOR is NP-Complete. This statement must be included.