

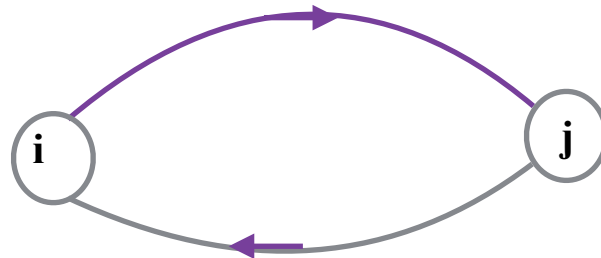
Summary of Algorithms

- Capacity Graphs CLRS 26
 - ◆ Ford-Fulkerson's Max Flow
 - ◆ Theorem: Min cut = Max Flow
- Scheduling
 - ◆ Integer/fraction Knapsack CLRS 16.2
 - ◆ Job Schedule Ex. 16-2 (a)
 - ◆ Deadline Schedule CLRS 16.5
- KMP String matching CLRS 32
- Union/Find Algorithm CLRS 21 (not yet covered lectures)
 - ◆ Union by height/size
 - ◆ Path compression
 - ★ $O(M \log^*(N))$ explained
 - ◆ Binomial Queue: Union/Find



Capacity Graphs

- **Capacity graph:** link (i, j) has capacity $c(i,j)$
 - **Max Flow Problem:** Max flow into source node (s)
out of terminal node (t)
 - ◆ constraints on flow i to j: $f(i,j) = -f(j,i)$ $\sum_j f(i,j) = 0$
- capacity: $0 \leq f(i,j) \leq c(i,j)$;



If there is return arc $-c(j,i) \leq f(i,j) \leq c(i,j)$

Augmentation, Flow, Residual

- Initialize residual graph $G(N, A_1)$, $A_1 = A$.
- Initialize all $f(i, j) = 0$. $0 \leq r(i, j) \leq c(i, j)$
 - A. Find path P from s to t (**augmenting path**)
 - B. Find minimum capacity arc on path P . Denote capacity by C .
 - C. Add C units to flow graph $f(i, j) \Rightarrow f(i, j) + C$ to each arc on path
 - D. Modify residual graph (N, A_1) accordingly
$$-f(i, j) \leq r(i, j) \leq c(i, j) - f(i, j)$$
 - F. Repeat D until no path P from s to t in $G(N, A_1)$
- Properties:
 - a.) flow increases and terminate when no augmentation possible: $O(\text{Max}(c) |A|)$
 - b) Edward Karp: With minimum hop path for augmentation $O(|N| |A|^2)$

Solution without backtracking (Good Lucky)

Graph

Current Flow

Residual

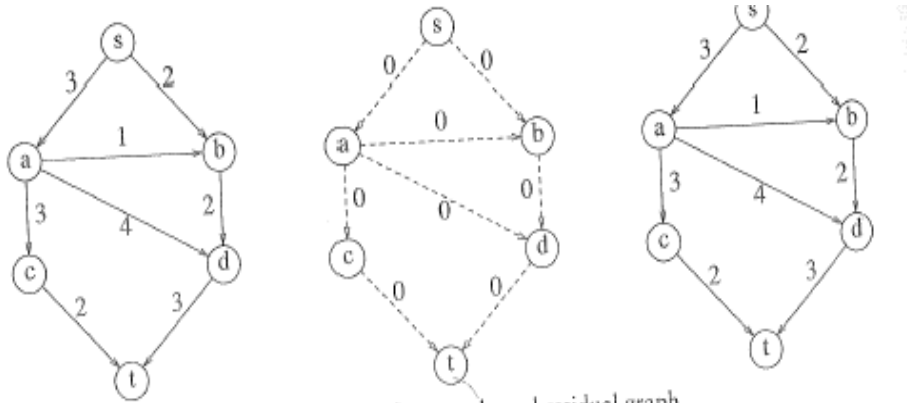


Figure 9.40 Initial stages of the graph, flow graph, and residual graph

Graph

Current Flow

Residual

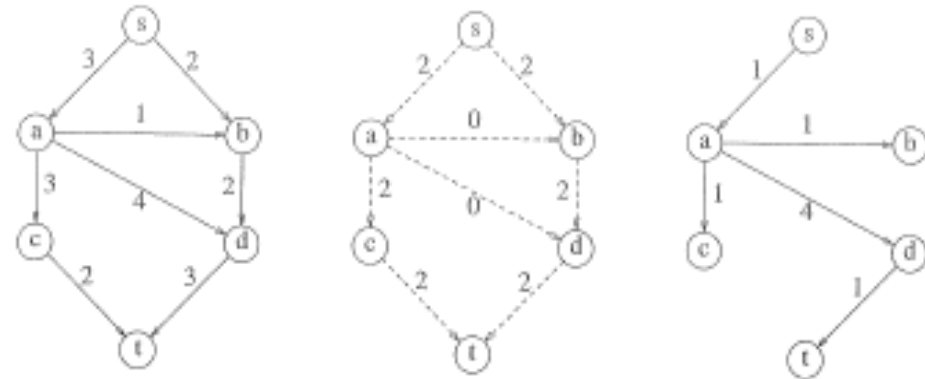


Figure 9.42 G, G_f, G_r after two units of flow added along s, a, c, t

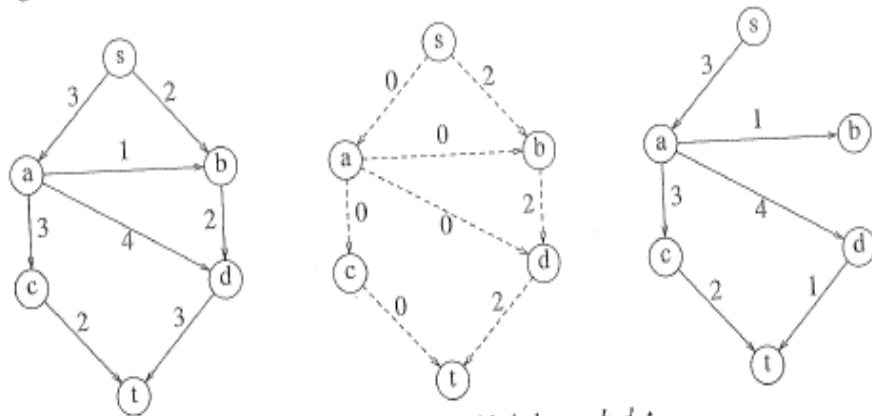


Figure 9.41 G, G_f, G_r after two units of flow added along s, b, d, t

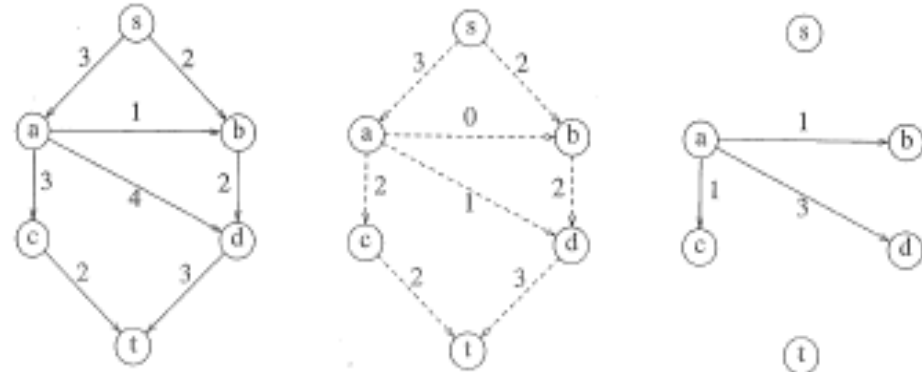


Figure 9.43 G, G_f, G_r after one unit of flow added along s, a, d, t —algorithm terminates

Total = 5

Graph

Current Flow

Residual

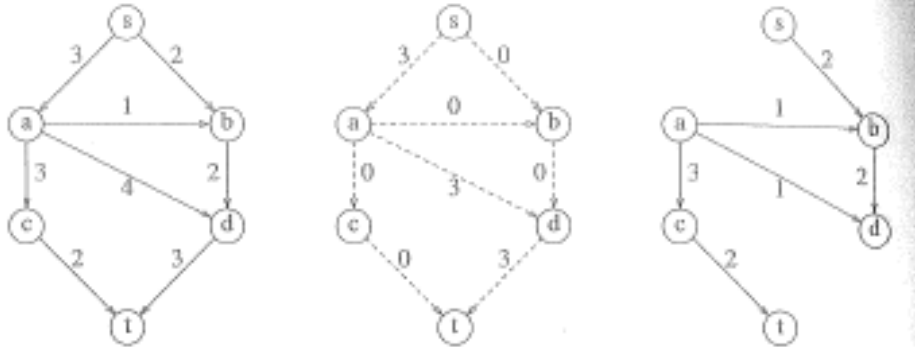


Figure 9.44 G , G_f , G_r if initial action is to add three units of flow along s, a, d, t —algorithm terminates with suboptimal solution

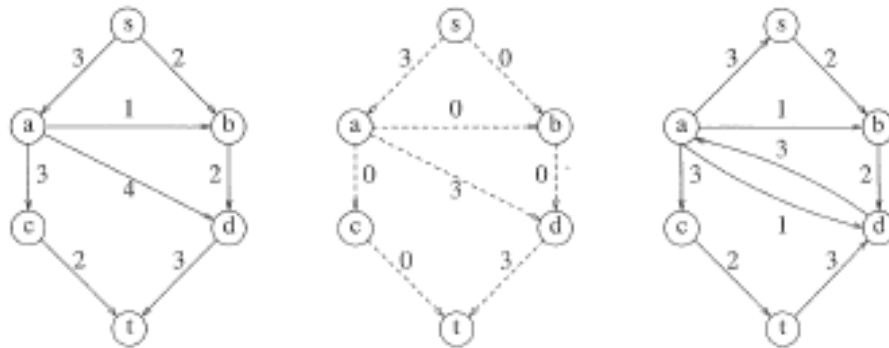


Figure 9.45 Graphs after three units of flow added along s, a, d, t using correct algorithm

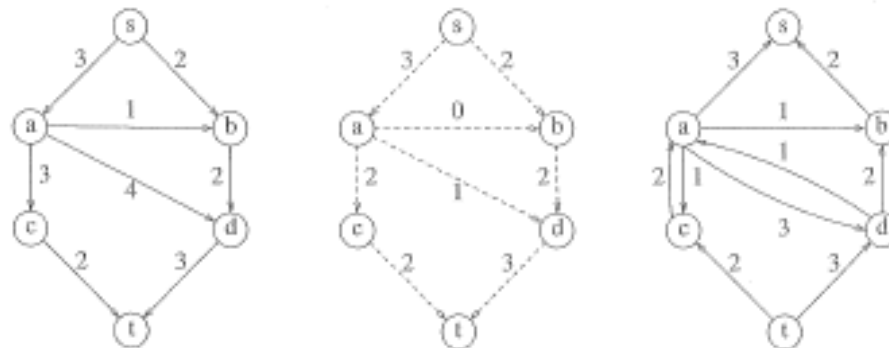


Figure 9.46 Graphs after two units of flow added along s, b, d, a, c, t using correct algorithm

NOT SO LUCKY

*Solution with
backtracking*

Stuck at flow = 3 without
back flow!

Back flow to add 2 back flow!

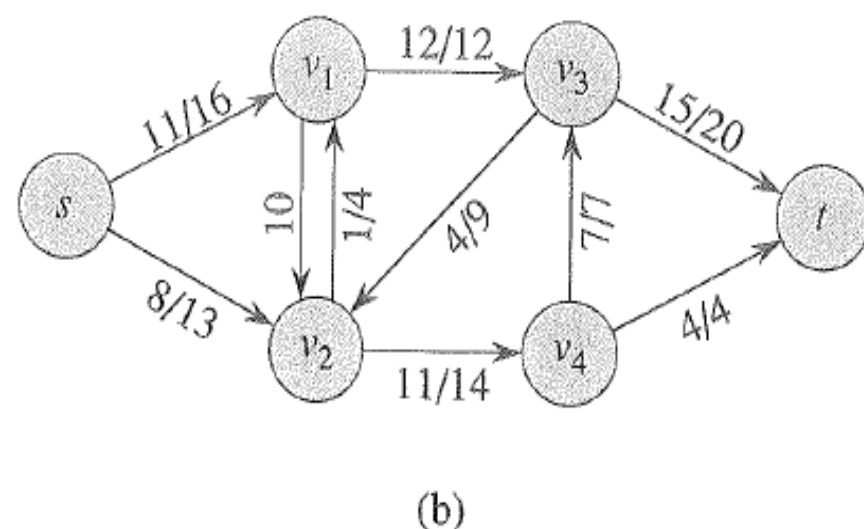
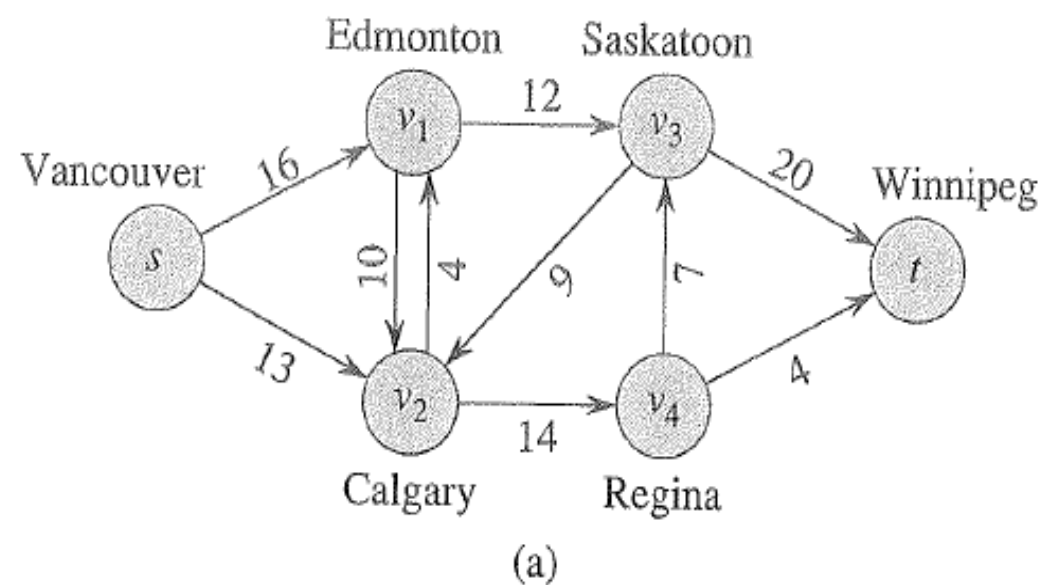
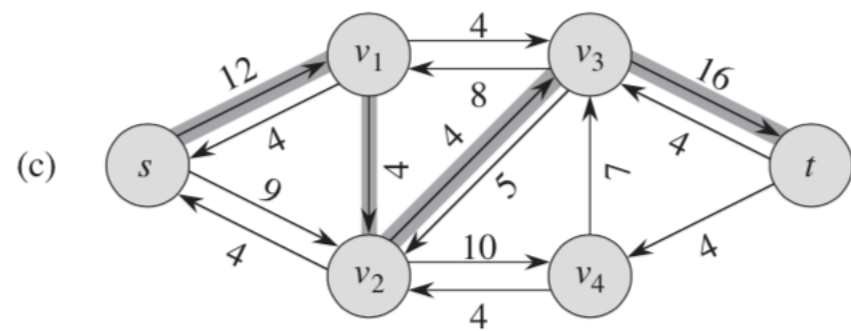
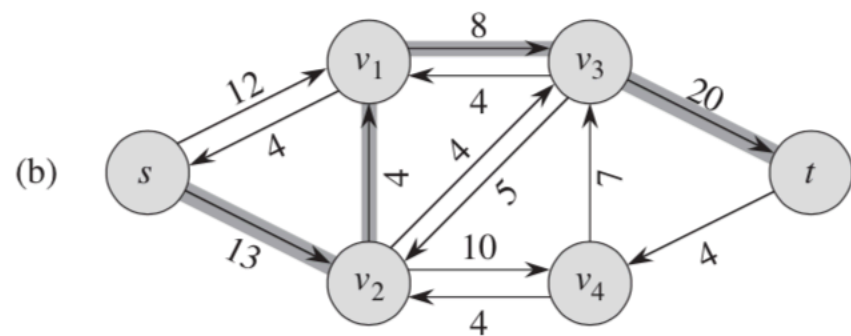
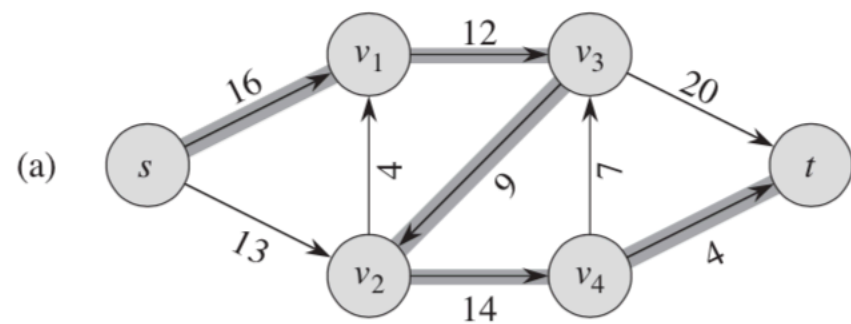
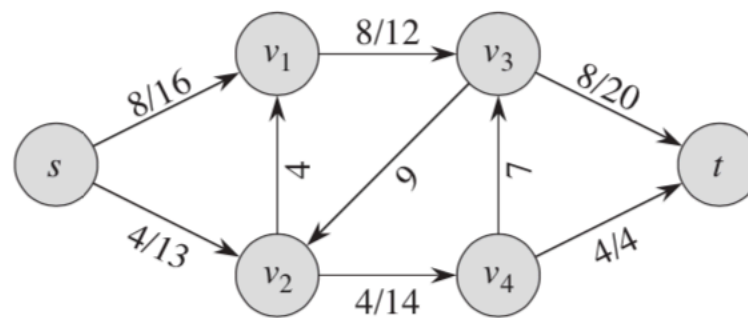
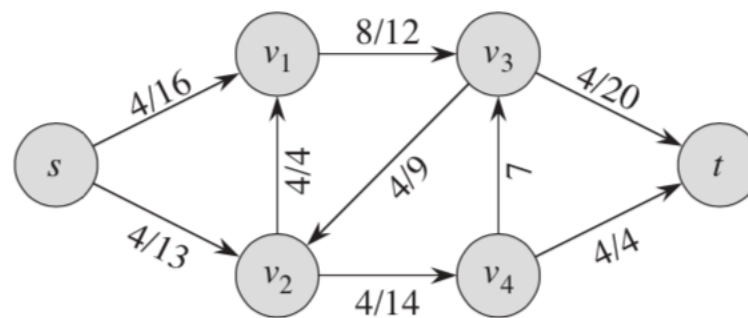
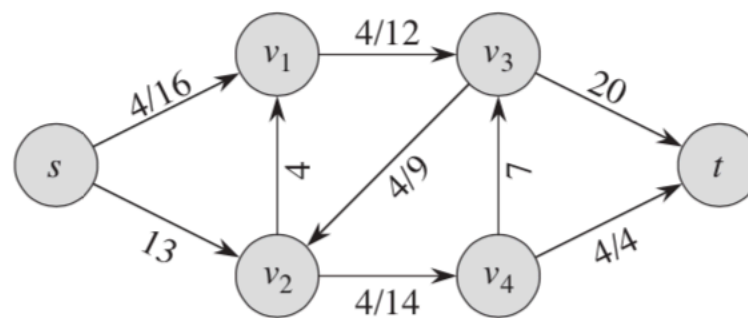


Figure 26.1 (a) A flow network $G = (V, E)$ for the Lucky Puck Company's trucking problem. The Vancouver factory is the source s , and the Winnipeg warehouse is the sink t . Pucks are shipped through intermediate cities, but only $c(u, v)$ crates per day can go from city u to city v . Each edge is labeled with its capacity. (b) A flow f in G with value $|f| = 19$. Only positive flows are shown. If $f(u, v) > 0$, edge (u, v) is labeled by $f(u, v)/c(u, v)$. (The slash notation is used merely to separate the flow and capacity; it does not indicate division.) If $f(u, v) \leq 0$, edge (u, v) is labeled only by its capacity.

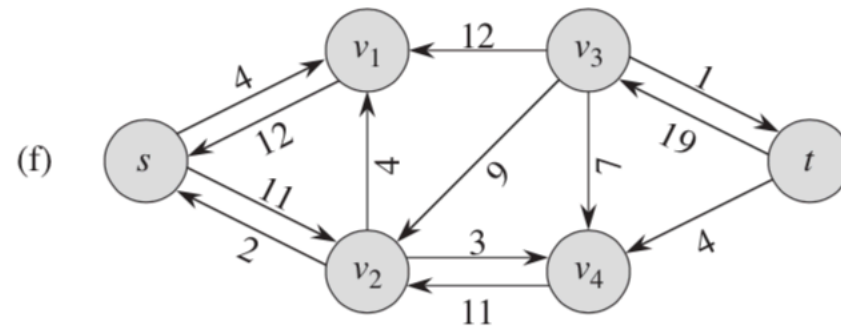
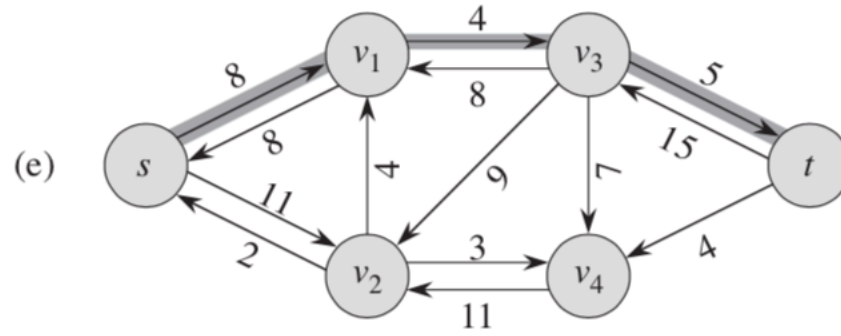
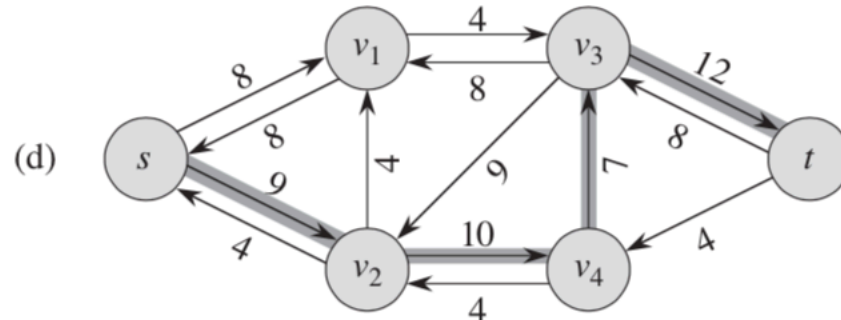
Residual Graph



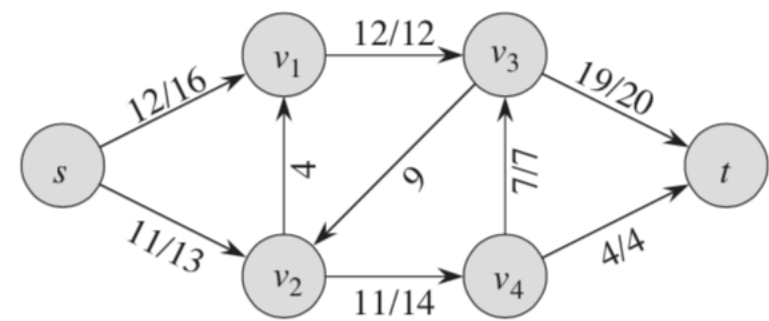
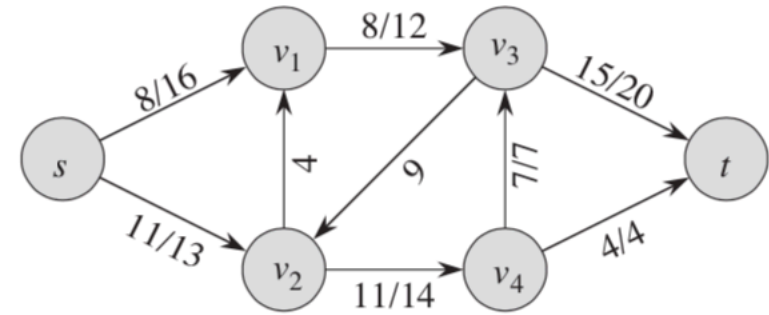
Flow/Capacity Graph



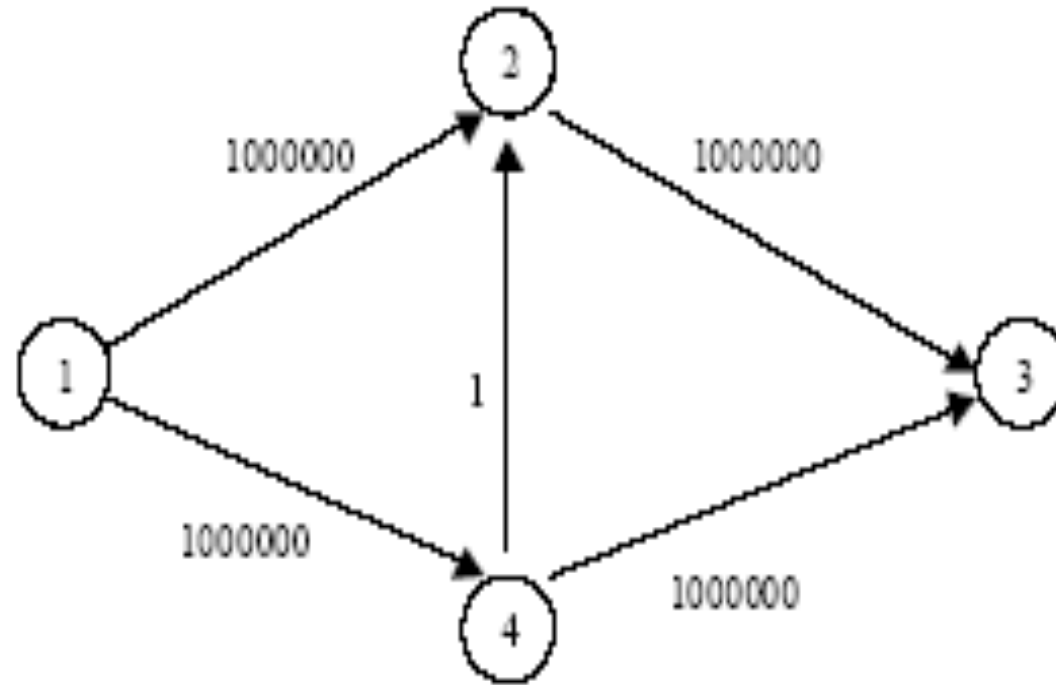
Residual Graph



Flow/Capacity Graph



Classical Bad Case



Maximum bipartate matching

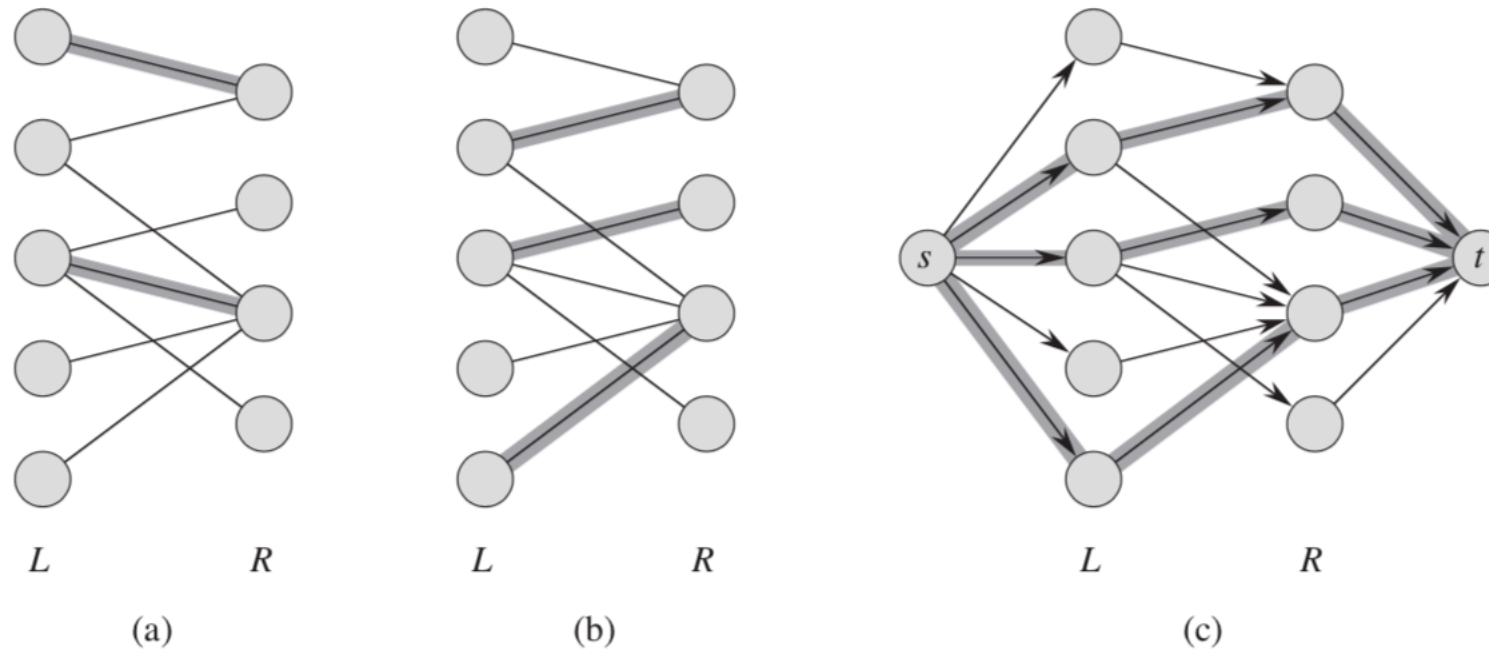


Figure 26.8 A bipartite graph $G = (V, E)$ with vertex partition $V = L \cup R$. (a) A matching with cardinality 2, indicated by shaded edges. (b) A maximum matching with cardinality 3. (c) The corresponding flow network G' with a maximum flow shown. Each edge has unit capacity. Shaded edges have a flow of 1, and all other edges carry no flow. The shaded edges from L to R correspond to those in the maximum matching from (b).

Scheduling & Linear Programming

- *Knapsack CRLS 16.2*
- *Queuing*
- *Schedule with Deadlines CLRS 16.1*
- *Like Activation Problem (16.5)*

Fractional Knapsack

- Given set of task that take “time” $t_1, t_2, t_3, \dots, t_N$ and value $v_1, v_2, v_3, \dots, v_N$

Allocate to maximize objective

$$S(x_i) = \sum_i x_i v_i$$

with limited resource T :

$$\sum_i x_i t_i \leq T$$

Problem is to find assignment fractions

$$0 \leq x_i \leq 1$$

- This is a easy linear programming problem!

(First put most valuable per unit resources of N objects in to your sack)

Solution:

- Sort in increasing value per time v_i/t_i and take them in order as far as possible:
- All: $x_1 = 1, x_2 = 1, \dots, x_{m-1} = 1$, Some of $0 < x_m < 1$, None: $x_{i+m} = 0, \dots, x_N = 0$
- So that $t_1 + t_2 + \dots + t_{m-1} + x_m t_m = T$ exactly $0 < x_m = (T - t_1 - t_2 - \dots - t_{m-1})/t_m < 1$

**Integer Knapsack: $x_i = 0$ or 1
is VERY HARD to SOLVE!**

Example: $T = 50$

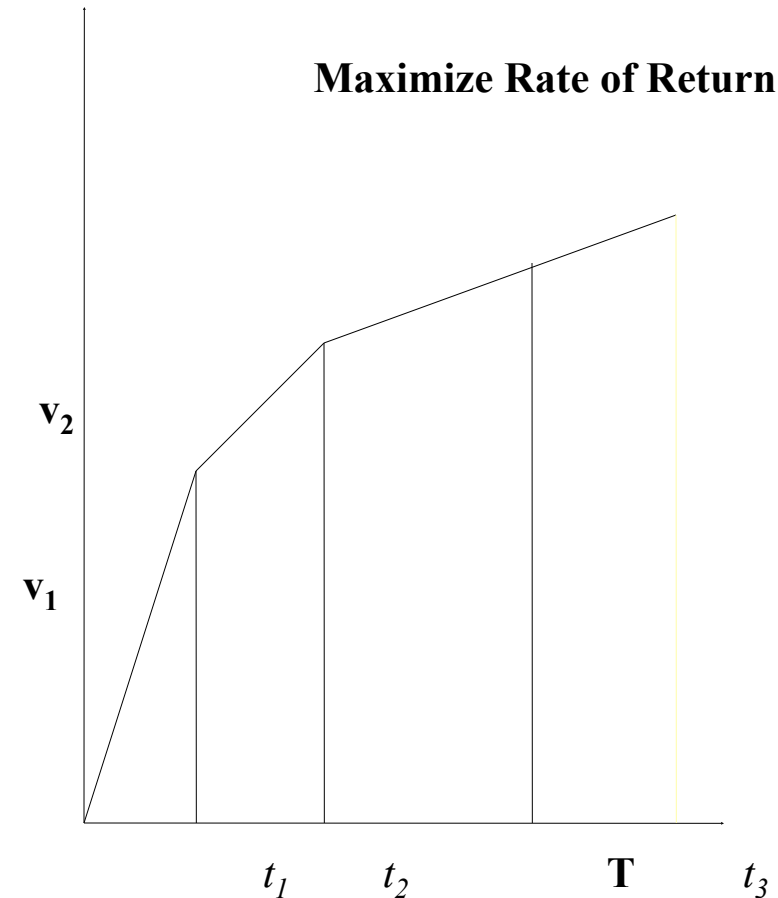
Objects: $v/t = \$60 / 10, \$100/20, \$120/30$

Greedy $\$60 + \$100 = \$160$

Try it: Best integer is $\$100 + \$120 = \$220$

Best fractional: $\$240$

See CRLS Fig. 16.2



Queuing: Minimize “time in line”

- Jobs in queue take time t_i
- Minimize total waiting time:
$$t_1 + (t_1 + t_2) + (t_1 + t_2 + t_3) + \dots + (t_1 + \dots + t_N)$$
$$W = N t_1 + (N-1) t_2 + \dots + t_N$$
- Solution: Min W by sorting t 's in ascending order (shorter jobs first)!
- Recall sorting is by “swap theorem”

Sort \equiv Max over permutation $\sum_i i a[i]$

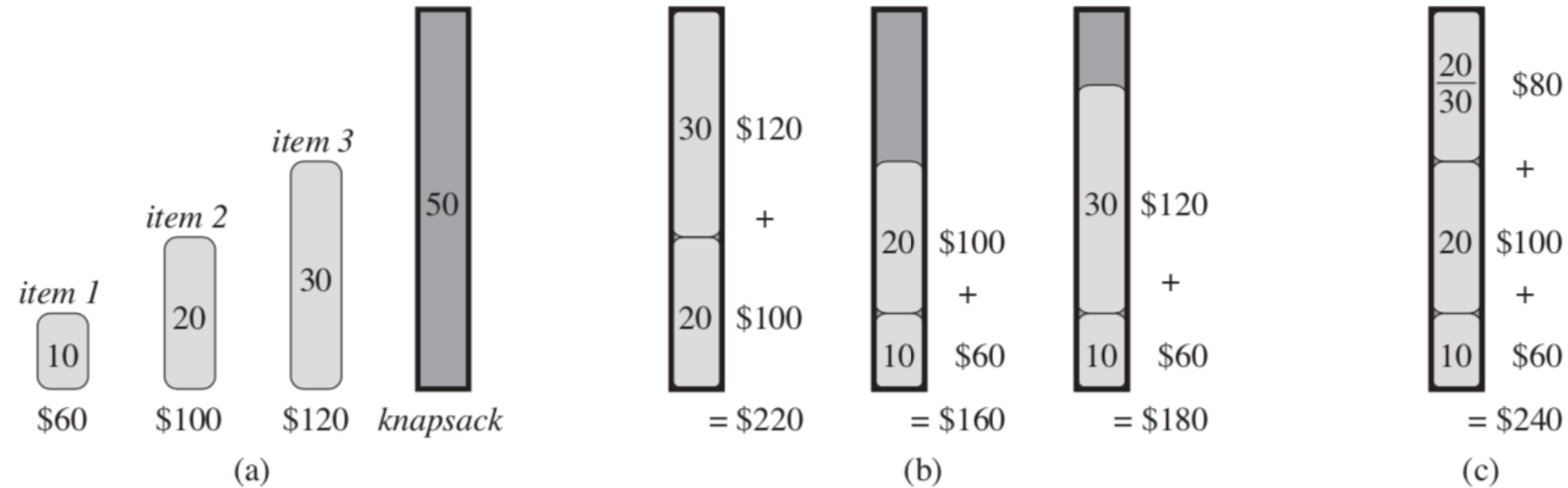


Figure 16.2 An example showing that the greedy strategy does not work for the 0-1 knapsack problem. (a) The thief must select a subset of the three items shown whose weight must not exceed 50 pounds. (b) The optimal subset includes items 2 and 3. Any solution with item 1 is suboptimal, even though item 1 has the greatest value per pound. (c) For the fractional knapsack problem, taking the items in order of greatest value per pound yields an optimal solution.

Scheduling with deadlines

- Task all take same time: Δ T but they have different values and deadlines
- Sort in descending values (or ascending penalties!):
 v_1, v_2, \dots, v_N
 d_1, d_2, \dots, d_N
- Feasible Solutions can always be in EFF!
- So this is the contraction:
 - ◆ Select them one at a time from ordered list of v's
 - ◆ Schedule them in order in “early first form” (EFF).
 - ◆ If schedule fails drop last one selected and continue to end of list.

Alternative solution: maximum procrastination!

CRLS Fig 16.7

a	1	2	3	4	5	6	7
d	4	2	4	3	1	4	6
v	70	60	50	40	30	20	10

a4 a2 a3 a1

a7

Select a1, a2,a3 and a4

Reject a5 and a6

Select a7

Knuth-Morris-Pratt string matching

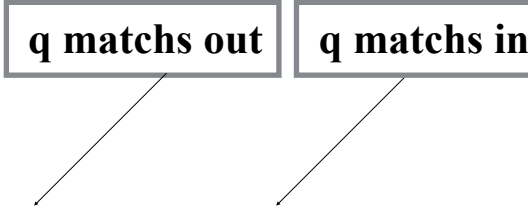
- Text: $T(1), T(2), \dots, T(N)$
- Pattern: $P(1), P(2), \dots, P(M)$
- Match if
 - ◆ $T(s+1) = P(1), T(s+2) = P(2), \dots, T(s+M) = P(M)$
 - ◆ or $T(s+1:s+M) = P(1:M)$
- Trivial scan $O(M(N-M+1))$
- KMP algorithm $O(N+M)$ by amortized analysis

Prefix function:

- Given a partial match $P(1:q) = T(s+1:s+q)$ what is the smallest shift that matches end of $T(s+1:s+q)$
- It is $q - \text{pi}(q)$ where $\text{pi}(q)$ prefix function for the max match of prefix to suffix of $P(1:q)$
- $\text{pi}(q) = \text{Max}\{k < q \text{ s.t. } P(1:k) = P(1+q-k:q)\}$
i.e. q matches become $\text{pi}(q)$ ds = $q - \text{pi}(q)$
- Strategy pre-compute $\text{pi}(q)$ and use it to advance the match.

KMP Algorithm (CRLS 32.4)

- Compute $\pi(q)$ set $q = 0$
- For $i = 1, N$
 - { // count c_i at while $c_i + q(i) - q(i-1) \leq 1$
while $q > 0$ and $P(q+1) \neq T(i)$ set $q = \pi(q)$;
if $P(q+1) = T(i)$ then $q = q+1$;
if $q = M$ {
 report pattern found $T(i - M; i)$;
 $q = \pi(q)$;
 }
}



Match Pattern to Text

(CRLS 32.4)

KMP-MATCHER(T, P)

```
1   $n = T.length$ 
2   $m = P.length$ 
3   $\pi = \text{COMPUTE-PREFIX-FUNCTION}(P)$ 
4   $q = 0$  // number of characters matched
5  for  $i = 1$  to  $n$  // scan the text from left to right
6      while  $q > 0$  and  $P[q + 1] \neq T[i]$ 
7           $q = \pi[q]$  // next character does not match
8      if  $P[q + 1] == T[i]$ 
9           $q = q + 1$  // next character matches
10     if  $q == m$  // is all of  $P$  matched?
11         print "Pattern occurs with shift"  $i - m$ 
12          $q = \pi[q]$  // look for the next match
```

Match Prefect of Pattern Suffex of first q Leters

COMPUTE-PREFIX-FUNCTION(P)

```
1   $m = P.length$ 
2  let  $\pi[1..m]$  be a new array
3   $\pi[1] = 0$ 
4   $k = 0$ 
5  for  $q = 2$  to  $m$ 
6      while  $k > 0$  and  $P[k + 1] \neq P[q]$ 
7           $k = \pi[k]$ 
8      if  $P[k + 1] == P[q]$ 
9           $k = k + 1$ 
10      $\pi[q] = k$ 
11 return  $\pi$ 
```

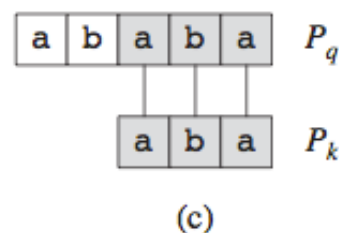
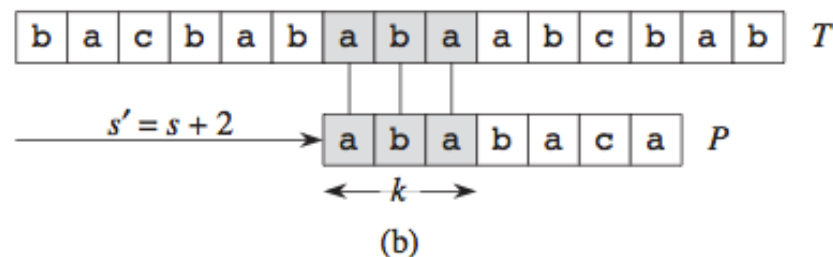
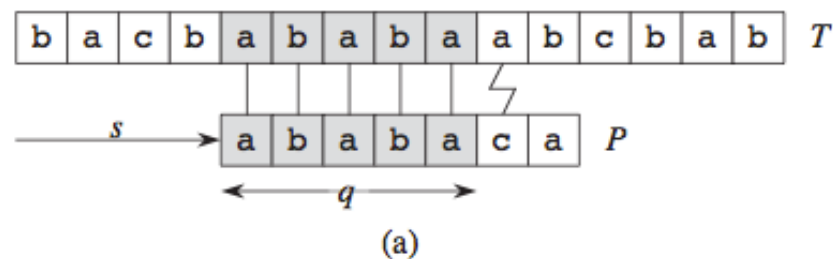



Figure 32.10 The prefix function π . (a) The pattern $P = \text{ababaca}$ aligns with a text T so that the first $q = 5$ characters match. Matching characters, shown shaded, are connected by vertical lines. (b) Using only our knowledge of the 5 matched characters, we can deduce that a shift of $s + 1$ is invalid, but that a shift of $s' = s + 2$ is consistent with everything we know about the text and therefore is potentially valid. (c) We can precompute useful information for such deductions by comparing the pattern with itself. Here, we see that the longest prefix of P that is also a proper suffix of P_5 is P_3 . We represent this precomputed information in the array π , so that $\pi[5] = 3$. Given that q characters have matched successfully at shift s , the next potentially valid shift is at $s' = s + (q - \pi[q])$ as shown in part (b).

KMP Amortize analysis

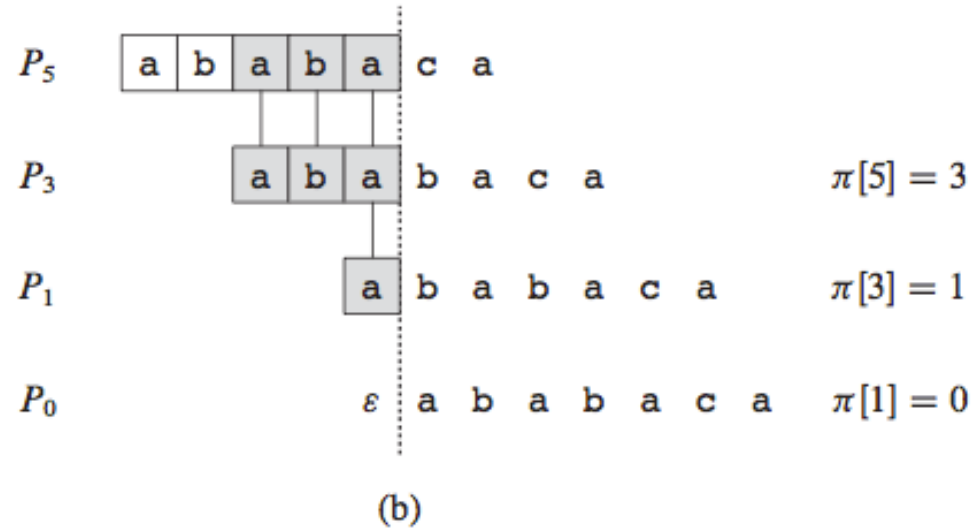
- At While statement
- All sets are $O(N)$ except at the while statement dq is called $c(i)$ times
- $c(i) + q(i) - q(i-1) \leq 1$ worst case.
- $\sum_i (c(i) + q(i) - q(i-1)) \leq N$
- $\sum_i c(i) \leq N + q(0) - q(N) \leq N$
- Therefore is $O(N)$
- Construction of $\pi(M)$ is $O(M)$

That is counts $c(i) < q(i-1) - q(i)$

at step i counts $< 1 + \text{old match} - \text{new match after failure}$

i	1	2	3	4	5	6	7
$P[i]$	a	b	a	b	a	c	a
$\pi[i]$	0	0	1	2	3	0	1

(a)



- Set $\pi(1) = 0$; $q = 0$
 - For $i = 2, \dots, M$
 - {
 - while $q > 0$ and $P(q+1) \neq P(i)$ set $q = \pi(q)$;
 - if $P(q+1) = P(i)$ { $q = q+1$; $\pi(i) = q$; }
 - }
- Computing $\pi(q)$

Relations: Boolean valued Matrix $R[a,b]$

- Set: $S = \{a,b,c,\dots\}$
- Relation $(a,b) \in S \times S$: $a R b$ is True?
- Properties:
 - ◆ Reflexive: $a R a$ is True
 - ◆ Anti-symmetric: $a R b$ and $b R a \rightarrow a = b$
 - ◆ Transitive: $a R b$ and $b R c \rightarrow a R c$
 - ◆ Total Ordering: $a R b$ or $b R a$ (inclusive or)
 - ◆ Self dual: $a R b \leftrightarrow b R a$
 - ◆ Transpose: $a R b \leftrightarrow b R^T a$
- RAT is partial ordering: e.g. descendants in a tree!
(e.g. \leq is total ordering for int but $g(N) = O(f(N))$ is partial ordering!)
- Equivalence class is Reflexive, Transitive and Symmetric
 - ◆ Symmetric $a R b$ if and only if $b R a$

Union/Find

- Equivalence class and Sets.
- $O(1)$ Find
- $O(1)$ Union
 - ◆ Union by Height/Size
 - ◆ Path Compressions
 - ◆ $\text{Log}^*(N)$ function
- Binomial Queue

Dynamic Equivalence

- Object $a[i]$ can be numbered $0, \dots, N-1$ (like nodes)
- Sequence of new equivalence $a \sim b$
- Two operations:
 - FIND a in S_i ?
 - ◆ Must return T/F for $\text{find}(a) \sim \text{find}(b)$
 - UNION $S_k = S_i \cup S_j$
 - ◆ Operation of $\text{find}(a) \text{ not } \sim \text{find}(b)$
- Want M finds and upto N unions: $O(M+N)$?
- Almost but actually impossible!

Determine if $a \sim b$

- $O(1)$ answer is $a \sim b$ if use array of Set #
- Set up a 2-d array for $S \times S$ and look up T/F
- Alternatively “partition” S into equivalence classes (like connected component) is C_i for all a 's \sim b 's
- $S = C_1 \cup C_2 \cup \dots \cup C_n$ and C 's are disjoint:
 $C_i \cap C_j = \emptyset$ (null set).

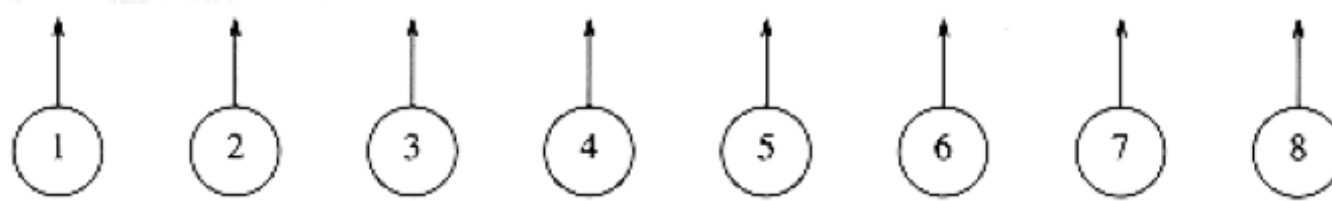


Figure 8.1 Eight elements, initially in different sets

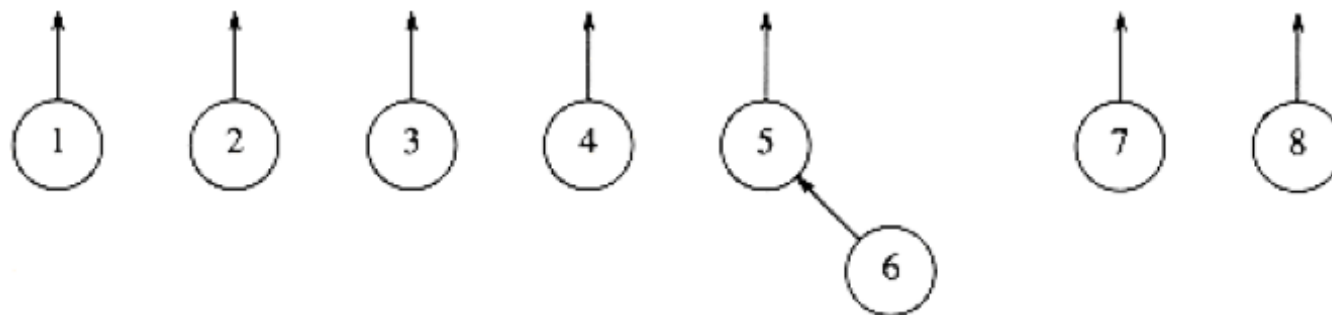


Figure 8.2 After union (5, 6)

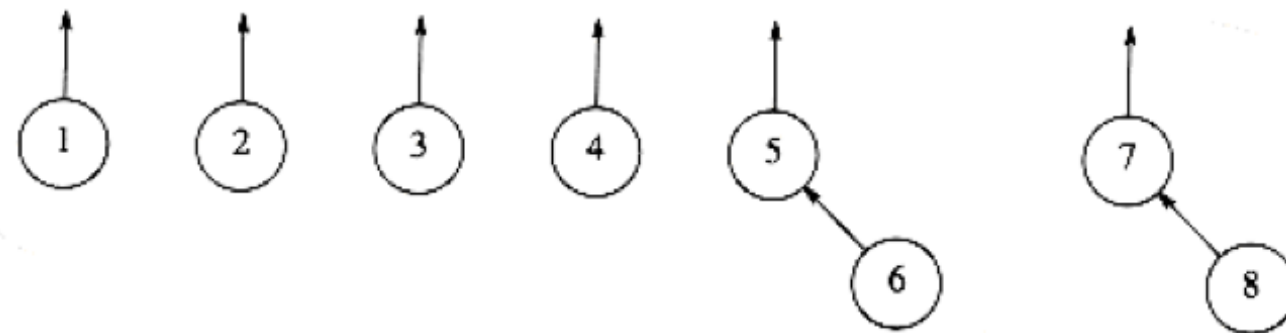


Figure 8.3 After union (7, 8)

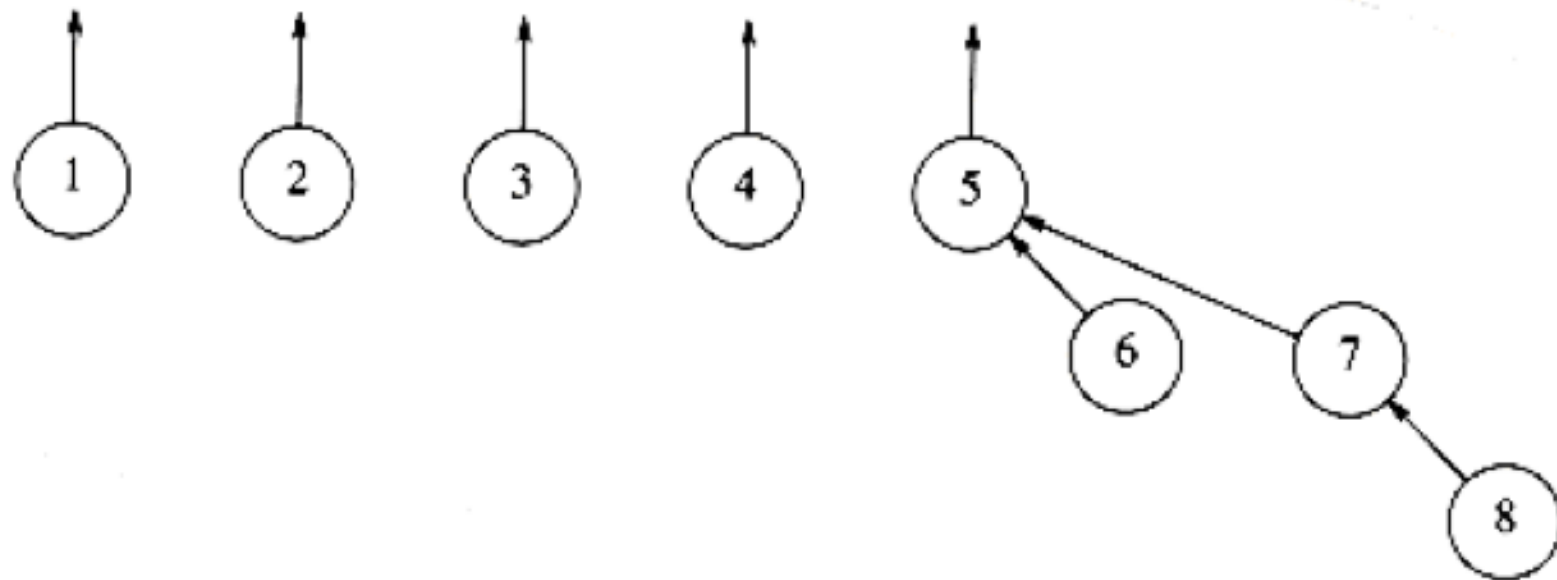


Figure 8.4 After union (5, 7)

0	0	0	0	0	5	5	7
1	2	3	4	5	6	7	8

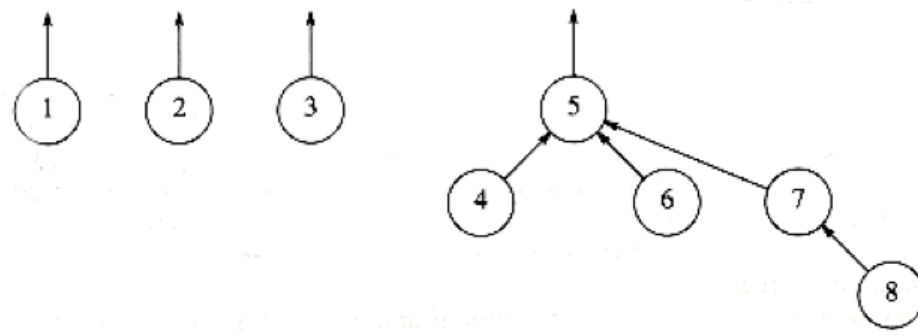


Figure 8.10 Result of union-by-size

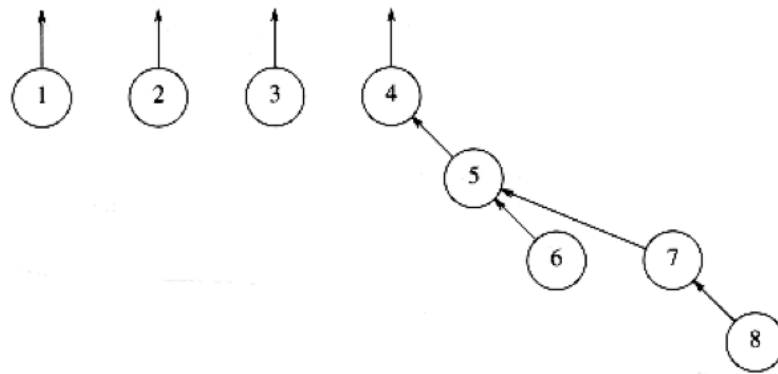


Figure 8.11 Result of an arbitrary union

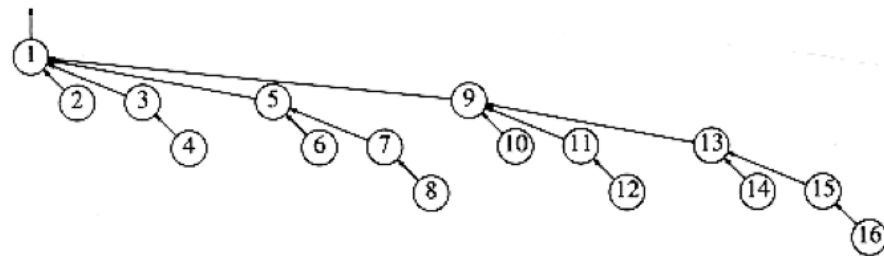
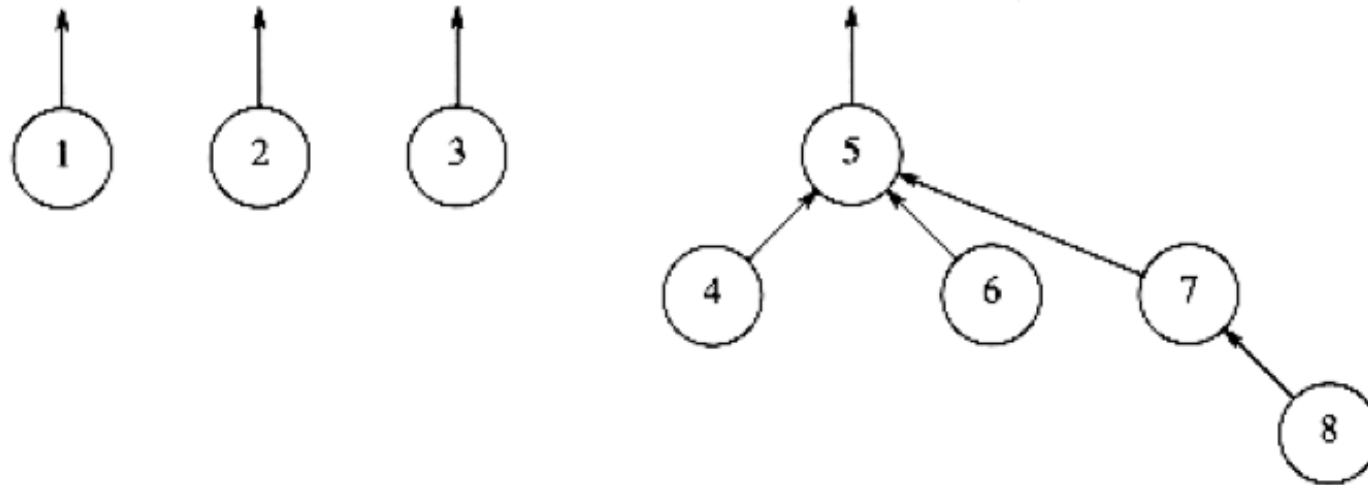


Figure 8.12 Worst-case tree for $n = 16$

The following figures show a tree and its implicit representation for both union-by-size and union-by-height. The code in Figure 8.13 implements union-by-height.



-1	-1	-1	5	-5	5	5	7
1	2	3	4	5	6	7	8

- size

0	0	0	5	-2	5	5	7
1	2	3	4	5	6	7	8

- height

$\text{Log}^*(N)$ inverse Ackermann function:

- *Ackerman Function:*

- ◆ $A(i) = 2^{A(i-1)} ; A(0) = 1$
- ◆ $A(1) = 2, A(2) = 4, A(3) = 16, A(4) = 2^{16} = 65536, A(5) = 2^{65536},$
- ◆ $A(6) = \text{VERY VERY VERY BIG!}$

- *Inverse Ackerman:*

- ◆ $i = \text{Log}^*(N) = \text{min number times you take } \log_2 \text{ to get equal or smaller than } N.$
- ◆ *Worst case Union-by-Rank with path compression is $O(M \log^*(N))$ for M unions.*

Binomial Queue

- Combine: Priority Queue and Union/Find: $\log(N)$ Forest of trees:

$$H = n_0 B_0 + n_1 B_1 + n_2 B_2 + \dots + n_p B_p$$

with $n_i = 0, 1$

- $B_0 = \text{root}$, $B_{k+1} = B_k + B_k$ attached to root of first. Since B_k has 2^k nodes this is just at binary bit representation $N = (n_p \dots, n_0)$ for nodes of size $N \cdot 2^p$
- Build so that min key is in root of B_k .
- Adding H_1 to H_2 is binary arithmetic $O(p = \log(N))$
- Always combining $B_k + B_k \rightarrow B_{k+1}$ with min at root.

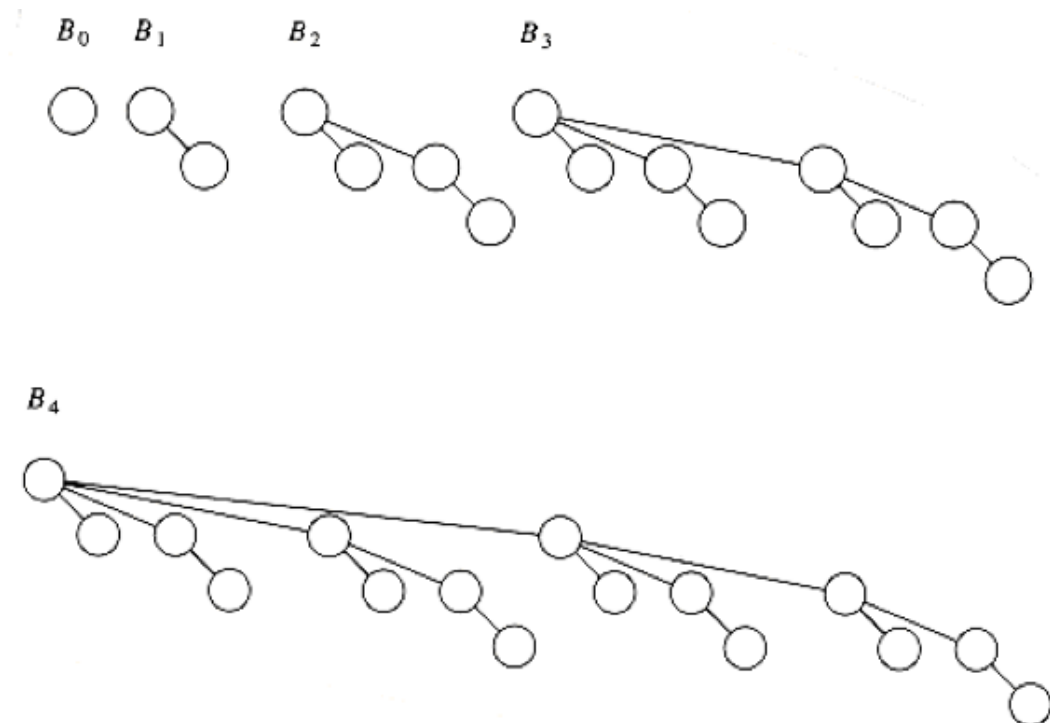
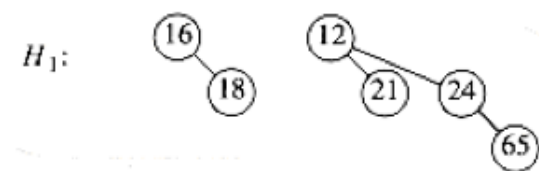


Figure 6.34 Binomial trees B_0 , B_1 , B_2 , B_3 , and B_4



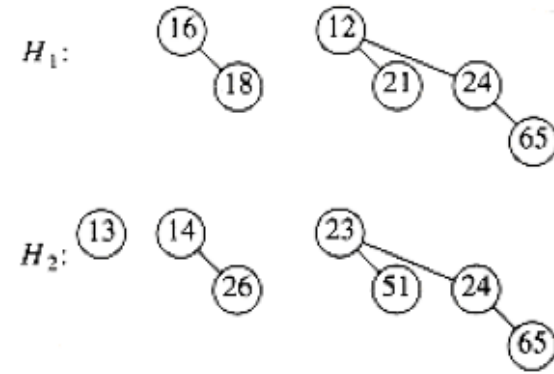


Figure 6.36 Two binomial queues H_1 and H_2

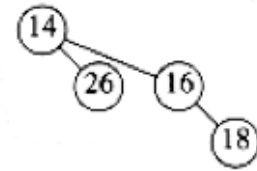


Figure 6.37 Merge of the two B_1 trees in H_1 and H_2

