

Personal Information

Personal Information

Personal Details

Please give your name in FULL (as per your National Identity / Passport) and alternatives use where applicable.

Please provide your name in local characters in 'Other / Previous Names' if applicable. (E.g. Thai Script, Korean / Chinese characters, Japanese Kanji etc.)

Family Name (Last/Surname)* UPADHYAY **Given Name (First_Middle_etc.)*** CHAYAN

Maiden Name (If Applicable) **Other / Previous Names (if applicable)**

Gender Male **Date of Birth*** **Marital Status***
Single
Day Month Year
27 June 1989

Country of Birth* India **Nationality*** Indian

Fathers Full Name*
RAMESH CHANDRA UPADHYAY

Note for Korean Residents/ Citizens: In accordance to the Korean Personal Information Protection Act (PIPA), First Advantage is restricted from obtaining Korean Resident Registration Numbers. DO NOT PROVIDE YOUR KOREAN RESGISTRATION NUMBER IN THIS FORM AND INDICATE "NOT APPLICABLE" IN THE NATIONAL IDENTITY NUMBER FIELD BELOW.

Note for Japanese Residents /Citizens: In accordance to the Japan's data privacy law.First Advantage does not require access to or use of the Japan 12-digit Individual Number ("ID Number") in order to perform employment verification or other services.DO NOT PROVIDE YOUR JAPAN 12-digit Individual Number ("ID Number") IN THIS FORM AND INDICATE "NOT APPLICABLE" IN THE NATIONAL IDENTITY NUMBER FIELD BELOW:

National Identity Number* ACHPU3141R **Passport Number** K5167984

Please provide your Other/Local National Identity Number if you have lived or worked in the United States, Canada, Korea, Singapore, Malaysia, Thailand, the PRC or Taiwan within the last FIVE years.

Do you possess a US Social Security Number? * No

Do you possess a Canadian Social Insurance Number? * No

Do you possess Other National ID? * No

Do you possess a Work / Visa / Foreign Identification Number (FIN)? * No

Contact Details

Telephone **Mobile Number***
009967504098

Email Address*
chayanupadhyay@gmail.com

Have you ever been licensed with Monetary Authority of Singapore? * No

If you have been registered with any other financial regulatory bodies, please provide the Registration / License / Representative Number

Address**Address Check****Residential History**

Starting with your current address & in descending date order, list ALL of your residential addresses in the past ten (10) years.

Address*

B 302 Keerthi Rendezvous 16th E Cross Road 1st Main Road Pai Layout

Town/ City/ District* Bangalore

State/ County/ Province* Karnataka

Zip/Post / Area Code / PIN Code (If not applicable, please indicate as NA)* 560016

Country* India

NOTE: If the above address is your current address, please enter today's date in the "Period of stay (to)" below.

Period of stay (From)*

Period of stay (to)*

Day Month Year

01 April 2017

Day Month Year

09 April 2019

NOTE: Please complete all mandatory fields (indicated with an asterix) and save the information you have entered by clicking on the "Save" button before logging out. Failure to do so will result in all information entered to be lost.

Residential History

Starting with your current address & in descending date order, list ALL of your residential addresses in the past ten (10) years.

Address*

14 Abhilasha LIC Colony DR RP Road Near Vardmaan Nagar Mulund(West)

Town/ City/ District* Mumbai

State/ County/ Province* Maharashtra

Zip/Post / Area Code / PIN Code (If not applicable, please indicate as NA)* 400080

Country* India

NOTE: If the above address is your current address, please enter today's date in the "Period of stay (to)" below.

Period of stay (From)*

Period of stay (to)*

Day Month Year

29 August 2016

Day Month Year

31 March 2017

NOTE: Please complete all mandatory fields (indicated with an asterix) and save the information you have entered by clicking on the "Save" button before logging out. Failure to do so will result in all information entered to be lost.

Residential History

Starting with your current address & in descending date order, list ALL of your residential addresses in the past ten (10) years.

Address*

I 501 J M Orchid Sector 76 Noida

Town/ City/ District* Noida

State/ County/ Province* Uttar Pradesh

Zip/Post / Area Code / PIN Code (If not applicable, please indicate as NA)* 201306

Country* India

NOTE: If the above address is your current address, please enter today's date in the "Period of stay (to)" below.

Period of stay (From)*

Period of stay (to)*

Day Month Year

01 October 2012

Day Month Year

28 August 2016

NOTE: Please complete all mandatory fields (indicated with an asterix) and save the information you have entered by clicking on the "Save" button before logging out. Failure to do so will result in all information entered to be lost.

Residential History

Starting with your current address & in descending date order, list ALL of your residential addresses in the past ten (10) years.

Address*

24 Ambedkar Colony B Block Hiran Magari Sector 14

Town/ City/ District* Udaipur

State/ County/ Province* Rajasthan

Zip/Post / Area Code / PIN Code (If not applicable, please indicate as NA)* 313002

Country* India

NOTE: If the above address is your current address, please enter today's date in the "Period of stay (to)" below.

Period of stay (From)*

Period of stay (to)*

Day Month Year

01 March 2004

Day Month Year

30 September 2012

NOTE: Please complete all mandatory fields (indicated with an asterix) and save the information you have entered by clicking on the "Save" button before logging out. Failure to do so will result in all information entered to be lost.

Education

Education (Highest Qualification)

If you have never attained any educational qualification and is also not currently pursuing one, please tick this box ☐

Highest Educational Qualification - Attained / Conferred

Please state highest tertiary qualification attained / conferred. **DO NOT** include any secondary qualification or highschool certificate.

For qualification yet to be attained / conferred or currently pursuing, please select 'NO' in the question Did you attain the Qualification?

Institution Name*

Rajasthan Institute of Engineering And Technology

Country

India

Qualification Name*

B.Tech

Type of Qualification*

Degree

Student / Roll Number

Dates Study (From)*

Dates Study (To)*

Day Month Year

09 August 2007

Day Month Year

31 March 2011

Address of Institution

Ajmer Rd, Bhakrota, Jaipur, Rajasthan 302026

Telephone Number

08468981660

Email Address

Did you attain the Qualification?* Yes

Date of Graduation*

Day Month Year
31 March 2011

Was this an External / Long-Distance course?* No

Employment

Employment History

Please provide details of all employment over the last **FIVE YEARS** in descending order beginning from the most recent employment. Details of any period of unemployment, self employment, career breaks, etc. within the last FIVE YEARS should be provided. Previous employers will be contacted to verify your employment history. Please provide full names, addresses and, where possible, the telephone number of each employer. Do not use initials or abbreviations.

If you do not have previous employments/internships, please tick this box ☐

Is this your Current employer?* Yes

Anticipated End Date* **NOTE: If you are currently employed, please enter today's date in the "date employment to" below and indicate the anticipated end date here. Should there be any changes in the anticipated end date of your employment, please send an email to AdditionalInfo.CS@fadv.com or notify your local Credit Suisse HR.**

Day Month Year
10 May 2019

Can we contact Your current employer?* Not until

Please indicate the date when can we contact your employer* **NOTE:**

Day Month Year
11 May 2019

1. Contact date for your current employer should not be more than 1 month from your end date of employment as this will delay your screening process.
2. Should there be any changes on the date we can contact your most recent employment, please send an email to AdditionalInfo.CS@fadv.com or notify your local Credit Suisse HR.

Company Name*
ITC Infotech India Ltd

Department/Branch
DATA

Telephone Number

Last Corporate Title (MD, VP, Analyst etc.)*
Associate IT Consultant

Last Functional Title (Ops Mgr etc.)*
Associate IT Consultant

Employee Code
26039

Date Employed From*

Date Employed To*

Day Month Year
06 April 2017

Day Month Year
09 April 2019

Line Manager's Name
Sreenivas Battula

Reason for Leaving*
Voluntary

Type of Employment:*
Permanent Position

Address
18, Dodda Banaswadi Main Road, Jeevanhalli, Maruthi Sevanagar, Bengaluru, Karnataka

Country*
India

Do you want to add more employment to complete the FIVE years employment history requirement? If yes, please click the below link to add more.

Is this your Current employer?* No

Company Name*

MAQ Software

Department/Branch

Telephone Number

Last Corporate Title (MD, VP, Analyst etc.)*

Software Engineer 2

Last Functional Title (Ops Mgr etc.)*

Software Engineer 2

Employee Code

EMP00794

Date Employed From*

Date Employed To*

Day Month Year

29 August 2016

Day Month Year

30 March 2017

Line Manager's Name

Reason for Leaving*

Voluntary

Type of Employment:*

Permanent Position

Address

201, Meadows Building Sahar Plaza on, Andheri - Kurla Rd, Bhim Nagar, Andheri East, Mumbai, Maharashtra 400059

Country*

India

Do you want to add more employment to complete the FIVE years employment history requirement? If yes, please click the below link to add more.

Is this your Current employer?* No

Company Name*

Fiserv India Pvt Ltd

Department/Branch

Precision Product

Telephone Number

Last Corporate Title (MD, VP, Analyst etc.)*

Software Development Engineering, Associate

Last Functional Title (Ops Mgr etc.)*

Software Development Engineering, Associate

Employee Code

10059560

Date Employed From*

Date Employed To*

Day Month Year

15 July 2015

Day Month Year

25 August 2016

Line Manager's Name

Reason for Leaving*

Voluntary

Type of Employment:*

Permanent Position

Address

6th, 7th, 8th Floor, Tower C&D, A-44/45, Galaxy Business Park, Block A, Industrial Area, Sector 62, Noida, Uttar Pradesh 201309

Country*

India

Do you want to add more employment to complete the FIVE years employment history requirement? If yes, please click the below link to add more.

Is this your Current employer?* No

Company Name*

Birlasoft (India) Ltd

Department/Branch

Xerox

Telephone Number

Last Corporate Title (MD, VP, Analyst etc.)*

Software Engineer

Last Functional Title (Ops Mgr etc.)*

Software Engineer

Employee Code

63577

Date Employed From*

Date Employed To*

Day Month Year

16 October 2012

Day Month Year

01 July 2015

Line Manager's Name

Reason for Leaving*

Voluntary

Type of Employment:*

Permanent Position

Address

Tower 3 Assotech Business Cresterra Plot no 22, Noida-Greater Noida Expy, Sector 135, Noida, Uttar Pradesh

Country*

India

Do you want to add more employment to complete the FIVE years employment history requirement? If yes, please click the below link to add more.

Declaration

Declaration

Outside Business Activities, Private Investments and Directorships

For the purposes of this section, Outside Business Activities, Private Investments and Directorships refer to any outside employment, business, trade, professional or vocation, whether for yourself or another person, and whether or not for any remuneration. These include but are not limited to, any directorship/sole proprietorship/partnership/other business interests or shareholdings held in any entity in any jurisdiction, position(s) held in any industry committee of professional bodies, membership(s) in any industry associations, or any other external engagement(s) of relevance.

Please provide details of your Outside Business Activities, Private Investments and Directorships.

For disclosure of shareholdings, you are only required to set out the details of any shareholdings/ownership amounting to 5% or more of the total number of voting shares/units held in any entity, including sole proprietor/partnership/companies.

Do you have Outside Business Activities, Private Investments and Directorships?*

No

A. Do you or any corporation in which you control 20% or more of the total number of voting shares, have a beneficial interest whether direct or indirect, in 5% or more of the total number of voting shares of any corporation listed for quotation or quoted on a securities exchange?*

No

B. Are any of the corporations in which you hold a directorship(s) and/or shareholding(s) licensed or exempt entities regulated by any authority which supervises the provision of financial services in (a) the jurisdiction you will be employed in and/or (b) any other jurisdictions? If yes, please specify the name (s) of the authority*

No

C. Will the conduct of your outside activities and private investments trigger one or more of the following:

(i) give rise to any potential conflicts of interest with your proposed duties as a representative/employee of Credit Suisse AG and/or any of its affiliates?

(ii) cause a potential reputational risk to Credit Suisse AG and/or any of its affiliates and/or the financial industry?

(iii) adversely impact your ability to perform your proposed duties as a representative/employee of Credit Suisse AG and/or any of its affiliates?*

No

Declaration

In relation to the conduct of any activity regulated by any financial regulatory bodies, the authorities expect all relevant persons employed by Credit Suisse to be competent, honest, to have integrity and be of sound financial standing in accordance with any Fit and Proper requirements as issued by the relevant Authority.

If you have any doubt with respect to any question and/or to your responses below, please approach your Credit Suisse recruiter or Human Resources representative for guidance.

When completing this questionnaire, you should not disclose any information that would violate (i) any obligation of confidentiality owed by you towards a former employer, former client or any other person and/or (ii) your personal obligation to observe the banking secrecy restrictions under any Act and/or other regulations of any country. If you intend to withhold information for the abovementioned reasons, you should leave the question unanswered and must notify your Credit Suisse recruiter or Human Resources representative to obtain further guidance.

Please answer ALL the questions and provide details for all questions answered "Yes".

1. Have you ever been refused entry to a foreign country?*

No

2. Do you have any relatives currently employed at the Credit Suisse Group? For the purpose of this and all other questions, Credit Suisse Group includes Credit Suisse AG and all of its subsidiaries and affiliates globally.*

No

3. Do any of your relatives (whether or not working with the Credit Suisse Group) work in occupations or otherwise engage in any activities which as a result of their relationship with you may give rise to a potential conflict with your proposed regulated activities or financial advisory services? For this purpose, a conflict may arise if as a result of your connection with one of your relatives, your ability to discharge the fair dealing responsibilities to clients and/or the Bank's ability to provide services of the highest quality to clients is impaired?*

No

4. Are you subject to any agreement, arrangement, restrictive covenant or other legal or contractual restriction affecting your right to be employed by Credit Suisse or to perform your obligations as an employee of Credit Suisse? Examples of such restrictions include post-employment non-competition or non-solicitation restraints.*

No

Financial Soundness

5. Have you ever been or are you currently unable to fulfil or have you ever deliberately or otherwise failed or declined to fulfil any of your financial obligations, in any jurisdiction?

If you have ever been the subject of any legal proceedings instituted by a bank, financial institution of any other organization regarding the alleged late or non-payment of any financial obligation or if you have failed or declined to pay any outstanding financial obligation to a previous employer, you should answer Yes to this question. This does not include any loan which provides for a delayed repayment structure under its original terms, e.g. a student loan.*

No

6. Have you ever entered into a compromise or scheme of arrangement with your creditors, or made an assignment for the benefit of your creditors, being a compromise, scheme of arrangement or assignment that is still in operation, in any jurisdiction? For this purpose your creditors includes creditors of any corporation or body corporate in which you hold more than 5% of the voting rights or any business in which you are a partner.*

No

7. Have you ever been or are you currently subject to a judgment debt or court order requiring you to make any payment to a bank, financial institution or any other organization in any jurisdiction? You should include all such judgment debts or court orders even if they have been satisfied in full.*

No

8. Have you ever been or are you the subject of a bankruptcy petition, in any jurisdiction?*

No

9. Have you ever been adjudicated a bankrupt in any jurisdiction?*

No

10. Have you ever been or are you currently subject to any other process in any jurisdiction that is similar to those referred to in (6) and (7)?*

No

Honesty, Integrity and Reputation

11. (a) Have you ever been charged with any offence of any type, whether criminal or otherwise in nature, under any law in any jurisdiction?

You should answer 'Yes' to this question even if the charges were subsequently discontinued for any reason or if you were not found guilty of the relevant offence for any reason.*

No

11. (b) Have you ever been convicted of any offence of any type, whether criminal or otherwise in nature, served any term of imprisonment or are you currently subject to any pending proceedings which may lead to a conviction of any offence, under any law in any jurisdiction?*

No

For the avoidance of doubt, you are not required to disclose minor traffic / driving offences. However, charges and/or convictions for driving under the influence of alcohol or any other offences of any nature which are the subject of a court summons, or require a court appearance or which could lead to imprisonment must be disclosed.

12. Have you been the subject of any proceedings of a disciplinary or criminal nature or been notified of any potential proceedings or of any investigation which might lead to such proceedings, under any law in any jurisdiction?*

No

13. (a) Have you ever been the subject of any investigation, enquiry, disciplinary proceeding or other similar process ("External Process") by any regulatory authority, an operator of a market or clearing facility, any professional body or government agency, in any jurisdiction?

You should answer 'Yes' to this question even if an External Process is still ongoing, was discontinued or never concluded for whatever reason or resulted in no finding of wrongdoing or action being taken against you.*

No

13. (b) Have you ever been the subject of any conviction, finding of wrongdoing, warning or reprimand, suspension or any other enforcement action by any regulatory authority, an operator of a market or clearing facility, any professional body or government agency, in any jurisdiction?*

No

14. Have you ever contravened or abetted another person in breach of any laws or regulations, business rules or codes of conduct, in any jurisdiction?*

No

15. Have you ever been dismissed or asked to resign from office, employment, a position of trust, or a fiduciary appointment or similar position, in any jurisdiction?

You should answer 'Yes' to this question if you have ever left any employment or appointment involuntarily at the request of, upon the instigation of or upon receiving notice from, your employer or other appointing organization, including if your departure was due to redundancy, reorganization and not due to any fault on your part.*

No

16. (a) Have you ever been subject to any investigation, enquiry, disciplinary proceeding or other similar process (Internal Process) by any current or former employer(s)? You should answer Yes to this question even if an Internal Process was discontinued or never concluded for whatever reason resulted in no finding of wrongdoing or action being taken against you.*

No

16. (b) Have you ever been the subject of a finding of wrongdoing, warning or reprimand (verbal or written), suspension or any other disciplinary action by a current or former employer?*

No

16. (c) Have you ever been reported by a current or former employer for misconduct of any nature to any regulatory authority in any jurisdiction?*

No

17. Have you ever been disqualified from acting as a director or disqualified from acting in any managerial capacity, in any jurisdiction?*

No

18. Have you ever been or are you currently a director, partner, substantial shareholder or concerned in the management of a business (whether as an employee or in another capacity) that has been censured, disciplined, prosecuted or convicted of a criminal offence, or that has or is currently the

subject of any disciplinary or criminal investigation or proceeding, in any jurisdiction, in relation to any matter that took place while you were a director, partner, substantial shareholder or concerned in the management of such business?*

No

19. Have you ever been or are you currently a director, partner, substantial shareholder or concerned in the management of a business (whether as an employee or in another capacity) that has been suspended or refused membership or registration by any regulatory authority, an operator of a market or clearing facility, any professional body or government agency, in any jurisdiction?*

No

20. Have you ever been or are you currently a director, partner, substantial shareholder or concerned in the management of a business (whether as an employee or in another capacity) in any jurisdiction that has gone into insolvency, liquidation or administration during the period when, or within a period of one year after, you were a director, partner, substantial shareholder or concerned in the management of such business?*

No

21. Have you ever been licensed, registered or approved by any regulatory authority or other industry body under any law in any jurisdiction which requires licensing, registration or approval in relation to any regulated activity?*

No

22. Have you ever been licensed, registered, or otherwise authorized by any regulatory authority or other industry body to carry on any trade, business (including sole proprietorships and partnerships) or profession (including, accountancy, engineering, law and architecture) in any jurisdiction?*

No

23. Have you ever been a shareholder of any corporation which holds membership of any class or description of any operator of a market or clearing facility in any jurisdiction?*

No

24. Have you ever been employed, licensed, registered or otherwise carried on business in any jurisdiction under any name other than your name as stated in the "Personal Details" section of this BSA?*

No

25. Have you ever been refused by any regulatory authority or other industry body the right or restricted in the right to carry on any trade, business or profession in any jurisdiction for which a specific licence, registration or other authorization is required by applicable law?*

No

26. Have you ever been issued a prohibition order under any Act administered by any authority or otherwise been prohibited from operating a trade or business or carrying out any activity in any jurisdiction by any financial services regulatory authority?*

No

27. Have you ever been censured, disciplined, suspended or refused membership or registration by any regulatory authority, an operator of a market or clearing facility, any professional body or government agency, in any jurisdiction?*

No

28. Have you been the subject of any complaint relating to activities that are regulated by any authority or under any law in any jurisdiction?

(Please note that you should 'Yes' to this question if a client or former client of any of your former employers has made a complaint to any person (including you, your current or former manager or employer, any regulatory or law enforcement authority) regarding your professional conduct, business activities and/or the activities of others in connection with account(s) or for matter(s) for which you were responsible for and/ or associated with at the relevant time. You should disclose such complaint irrespective of whether or not the complaint has been resolved or is still pending, a formal investigation was carried out, legal proceedings were commenced, any regulatory authority was notified or a finding of wrong doing or misconduct was made against you).*

No

29. Have you ever had any civil penalty enforcement action taken against you by any regulatory authority under any law in any jurisdiction?*

No

30. Have you ever been an officer found liable in any jurisdiction for an offence committed by a body corporate as a result of the offence having proved to have been committed with your consent or connivance of, or neglect attributable to you?*

No

31. Have you ever had any judgment (in particular, that is associated with a finding of fraud, misrepresentation or dishonesty against you) entered against you in any civil proceedings in any jurisdiction or are you currently a party to any pending proceedings which may lead to such a judgment, under

any law in any jurisdiction?*

No

32. Have you ever accepted civil liability for fraud, misrepresentation or dishonesty under any law in any jurisdiction?*

No

33. Have you been refused a fidelity or surety bond in any jurisdiction?*

No
