On the Complexity of SNP Block Partitioning
Under the Perfect Phylogeny Model

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Outline

Introduction
Bad News: Hardness Results
Good News: Tractability Results
Summary

The Model and the Problem
The Integrated Approach

What is haplotyping and why is it important?

You hopefully know this after the previous three talks. . .

Introduction
Bad News: Hardness Results
Good News: Tractability Results
Summary

The Model and the Problem
The Integrated Approach

General formalization of haplotyping.

Inputs

- A genotype matrix G.
- The rows of the matrix are taxa / individuals.
- The columns of the matrix are SNP sites / characters.

Outputs

- A haplotype matrix H.
- Pairs of rows in H explain the rows of G.
- The haplotypes in H are biologically plausible.

Introduction
Bad News: Hardness Results
Good News: Tractability Results
Summary

The Model and the Problem
The Integrated Approach

Our formalization of haplotyping.

Inputs

- A genotype matrix G.
- The rows of the matrix are individuals / taxa.
- The columns of the matrix are SNP sites / characters.
- The problem is directed: one haplotype is known.
- The input is biallelic: there are only two homozygous states (0 and 1) and one heterozygous state (2).

Outputs

- A haplotype matrix H.
- Pairs of rows in H explain the rows of G.
- The haplotypes in H form a perfect phylogeny.

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Introduction
Bad News: Hardness Results
Good News: Tractability Results
Summary

The Model and the Problem
The Integrated Approach

We can do perfect phylogeny haplotyping efficiently, but . . .

1. **Data may be missing.**
   - This makes the problem NP-complete . . .
   - . . . even for very restricted cases.

   Solutions:
   - Additional assumption like the rich data hypothesis.

2. **No perfect phylogeny is possible.**
   - This can be caused by chromosomal crossing-over effects.
   - This can be caused by incorrect data.
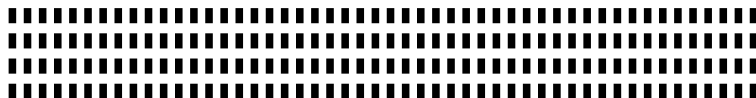   - This can be caused by multiple mutations at the same sites.

   Solutions:
   - Look for phylogenetic networks.
   - Correct data.
   - Find blocks where a perfect phylogeny is possible.

Introduction
Bad News: Hardness Results
Good News: Tractability Results
Summary

The Model and the Problem
The Integrated Approach

How blocks help in perfect phylogeny haplotyping.

1. Partition the site set into overlapping contiguous blocks.
2. Compute a perfect phylogeny for each block and combine them.
3. Use dynamic programming for finding the partition.

Genotype matrix

no perfect phylogeny

Introduction
Bad News: Hardness Results
Good News: Tractability Results
Summary

The Model and the Problem
The Integrated Approach

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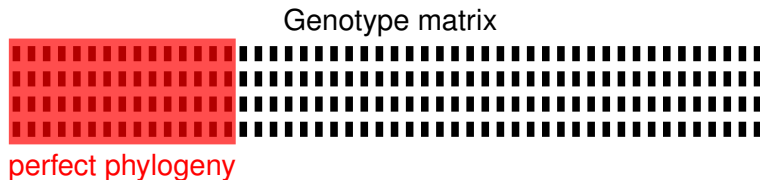3. Use dynamic programming for finding the partition.

Genotype matrix

perfect phylogeny

Introduction
Bad News: Hardness Results
Good News: Tractability Results
Summary

The Model and the Problem
The Integrated Approach
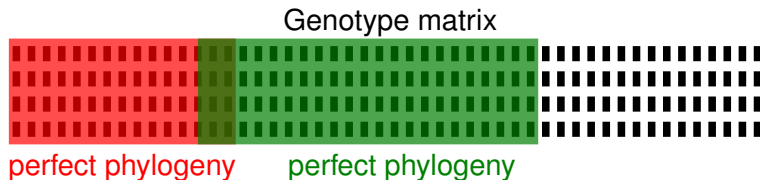
How blocks help in perfect phylogeny haplotyping.

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Genotype matrix

perfect phylogeny   perfect phylogeny

Introduction
Bad News: Hardness Results
Good News: Tractability Results
Summary

The Model and the Problem
The Integrated Approach

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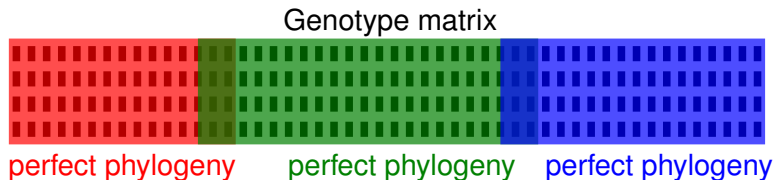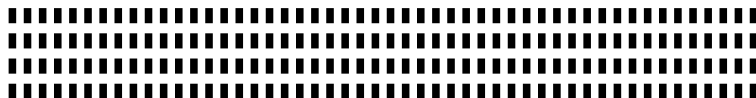3. Use dynamic programming for finding the partition.

Genotype matrix

perfect phylogeny   perfect phylogeny   perfect phylogeny

Introduction
Bad News: Hardness Results
Good News: Tractability Results
Summary

The Model and the Problem
The Integrated Approach

Objective of the integrated approach.

1. Partition the site set into noncontiguous blocks.
2. Compute a perfect phylogeny for each block and combine them.
3. Compute partition while computing perfect phylogenies.

Genotype matrix

no perfect phylogeny

Introduction
Bad News: Hardness Results
Good News: Tractability Results
Summary

The Model and the Problem
The Integrated Approach

Objective of the integrated approach.

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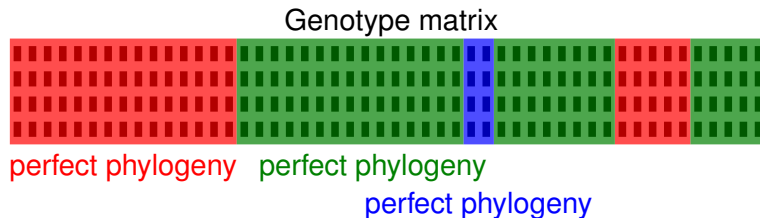3. Compute partition while computing perfect phylogenies.

Genotype matrix

perfect phylogeny   perfect phylogeny
perfect phylogeny

Introduction
Bad News: Hardness Results
Good News: Tractability Results
Summary

The Model and the Problem
The Integrated Approach

The formal computational problem.

We are interested in the computational complexity of
the function χ_{PP}:

- It gets genotype matrices as input.
- It maps them to a number k.
- This number is minimal such that the sites can be covered by k sets, each admitting a perfect phylogeny.
  (We call this a pp-partition.)

Introduction
Bad News: Hardness Results
Good News: Tractability Results
Summary

Hardness of PP-Partitioning of Haplotype Matrices
Hardness of PP-Partitioning of Genotype Matrices

Finding pp-partitions of haplotype matrices.

We start with a special case:

- The inputs M are already haplotype matrices.
- The inputs M do not allow a perfect phylogeny.
- What is $\chi_{PP}(M)$?

Example

M:

0	0	0	1
0	1	0	0
1	0	0	0
0	1	0	0
1	0	0	0
0	1	0	1
1	1	0	0
0	0	1	0
1	0	1	0

No perfect phylogeny is possible.

Introduction
Bad News: Hardness Results
Good News: Tractability Results
Summary

Hardness of PP-Partitioning of Haplotype Matrices
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1	0	1	0

Perfect phylogeny
Perfect phylogeny
$\chi_{\text{PP}}(M) = 2$.

Introduction
Bad News: Hardness Results
Good News: Tractability Results
Summary

Hardness of PP-Partitioning of Haplotype Matrices
Hardness of PP-Partitioning of Genotype Matrices

Bad news about pp-partitions of haplotype matrices.

Theorem

Finding optimal pp-partition of haplotype matrices is equivalent to finding optimal graph colorings.

Proof sketch for first direction.

1. Let G be a graph.
2. Build a matrix with a column for each vertex of G.
3. For each edge of G add four rows inducing the submatrix $\begin{pmatrix} 0 & 0 \\ 0 & 1 \\ 1 & 0 \\ 1 & 1 \end{pmatrix}$.
4. The submatrix enforces that the columns lie in different perfect phylogenies.

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Introduction
Bad News: Hardness Results
Good News: Tractability Results
Summary

Hardness of PP-Partitioning of Haplotype Matrices
Hardness of PP-Partitioning of Genotype Matrices

Implications for pp-partitions of haplotype matrices.

Corollary

If $\chi_{PP}(M) = 2$ for a haplotype matrix M, we can find an optimal pp-partition in polynomial time.

Corollary

Computing χ_{PP} for haplotype matrices is
- NP-*hard,*
- *not fixed-parameter tractable, unless* P = NP,
- *very hard to approximate.*

Introduction
Bad News: Hardness Results
Good News: Tractability Results
Summary

Hardness of PP-Partitioning of Haplotype Matrices
Hardness of PP-Partitioning of Genotype Matrices

Finding pp-partitions of genotype matrices.

Now comes the general case:

- The inputs M are genotype matrices.
- The inputs M do not allow a perfect phylogeny.
- What is $\chi_{PP}(M)$?

Example

M:

2	2	2	2
1	0	0	0
0	0	0	1
0	0	1	0
0	2	2	0
1	1	0	0

No perfect phylogeny is possible.

Introduction
Bad News: Hardness Results
Good News: Tractability Results
Summary

Hardness of PP-Partitioning of Haplotype Matrices
Hardness of PP-Partitioning of Genotype Matrices

Finding pp-partitions of genotype matrices.

Now comes the general case:

- The inputs M are genotype matrices.
- The inputs M do not allow a perfect phylogeny.
- What is $\chi_{\mathrm{PP}}(M)$?

Example

M:

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Perfect phylogeny
Perfect phylogeny
$\chi_{\mathrm{PP}}(M) = 2$.

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Introduction
Bad News: Hardness Results
Good News: Tractability Results
Summary

Hardness of PP-Partitioning of Haplotype Matrices
Hardness of PP-Partitioning of Genotype Matrices

Bad news about pp-partitions of haplotype matrices.

Theorem

Finding optimal pp-partition of genotype matrices is at least as hard as finding optimal colorings of 3-uniform hypergraphs.

Proof sketch.

1. Let G be a 3-uniform hypergraph.
2. Build a matrix with a column for each vertex of G.
3. For each hyperedge of G add four rows inducing the submatrix $\begin{pmatrix} 2 & 2 & 2 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}$.
4. The submatrix enforces that the three columns do not all lie in the same perfect phylogeny.

Introduction
Bad News: Hardness Results
Good News: Tractability Results
Summary

Hardness of PP-Partitioning of Haplotype Matrices
Hardness of PP-Partitioning of Genotype Matrices

Implications for pp-partitions of genotype matrices.

Corollary

Even if we know $\chi_{PP}(M) = 2$ for a genotype matrix M,
finding a pp-partition of any fixed size is still

- NP*-hard,*
- *not fixed-parameter tractable, unless* P $=$ NP*,*
- *very hard to approximate.*

Introduction
Bad News: Hardness Results
Good News: Tractability Results
Summary

Perfect Path Phylogenies
Tractability of PPP-Partitioning of Genotype Matrices

Automatic optimal pp-partitioning is hopeless, but...

- The hardness results are worst-case results for highly artificial inputs.
- Real biological data might have special properties that make the problem tractable.
- One such property is that perfect phylogenies are often perfect path phylogenies:
  In HapMap data, in 70% of the blocks where a perfect phylogeny is possible a perfect path phylogeny is also possible.

Introduction
Bad News: Hardness Results
Good News: Tractability Results
Summary

Perfect Path Phylogenies
Tractability of PPP-Partitioning of Genotype Matrices

Example of a perfect path phylogeny.

Introduction
Bad News: Hardness Results
Good News: Tractability Results
Summary

Perfect Path Phylogenies
Tractability of PPP-Partitioning of Genotype Matrices
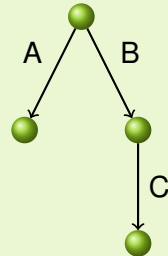
The modified formal computational problem.

We are interested in the computational complexity of
the function χ_{PPP}:

- It gets genotype matrices as input.
- It maps them to a number k.
- This number is minimal such that the sites can be covered by k sets, each
  admitting a perfect path phylogeny.
  (We call this a ppp-partition.)

Introduction
Bad News: Hardness Results
Good News: Tractability Results
Summary

Perfect Path Phylogenies
Tractability of PPP-Partitioning of Genotype Matrices

Good news about ppp-partitions of genotype matrices.

Theorem

Optimal ppp-partitions of genotype matrices **can be computed in** *polynomial time*.

Algorithm

1. Build the following partial order:
   - Can one column be above the other in a phylogeny?
   - Can the columns be the two children of the root of a perfect path phylogeny?
2. Cover the partial order with as few compatible chain pairs as possible.
   For this, a maximal matching in a special graph needs to be computed.

▸ The algorithm in action

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Summary

- Finding optimal pp-partitions is intractable.
- It is even intractable to find a pp-partition when just two noncontiguous blocks are known to suffice.
- For perfect path phylogenies, optimal partitions can be computed in polynomial time.

The algorithm in action.
Computation of the partial order.

Genotype matrix

G:

	A	B	C	D	E
	2	2	2	2	2
	0	1	2	1	0
	1	0	0	1	2
	0	2	2	0	0

Partial order

Partial order: $\longrightarrow$

The algorithm in action.
Computation of the partial order.

Genotype matrix

	A	B	C	D	E
G:	2	2	2	2	2
	0	1	2	1	0
	1	0	0	1	2
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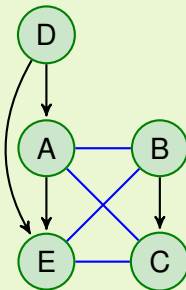
Partial order

Partial order: $\longrightarrow$

Compatible as children of root: ——

The algorithm in action.
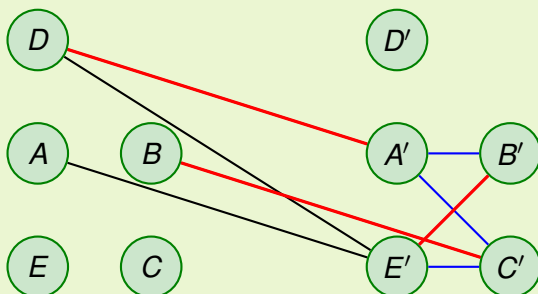## The matching in the special graph.
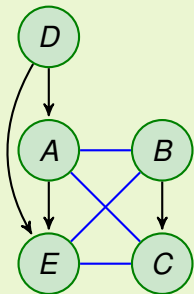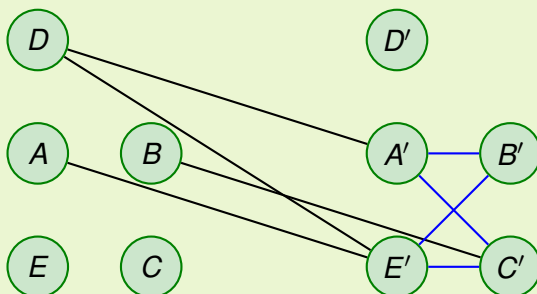
Partial order

Matching graph

The algorithm in action.
The matching in the special graph.
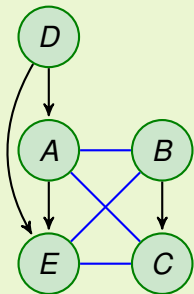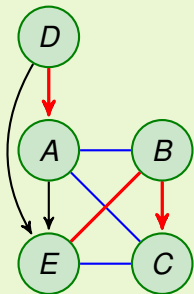

Partial order
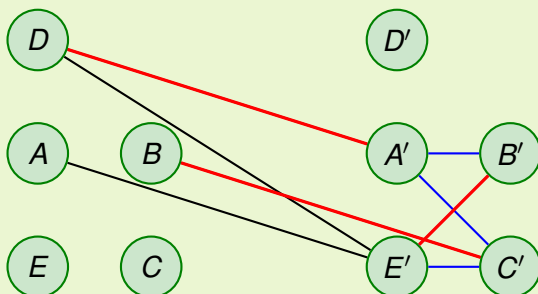
Matching graph

A maximal matching in the matching graph

The algorithm in action.

The matching in the special graph.

Partial order

Matching graph

A maximal matching in the matching graph induces perfect path phylogenies.