#### Overview of work done in BTP-1

#### Reinforcement Learning for Playing Games

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### **Objectives**

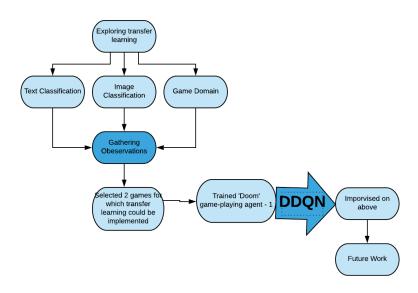
### Objective-1

Explore the use of transfer learning in general and more specifically in the game domain.

### Objective-2

Analyse whether making use of transfer learning would further boost the performance and any other potential benefits of transfer learning.

### Methodology - Project Pipeline



## Transfer Learning Case Studies

### Case - Study 1

Transfer learning from pre-trained models to solve any image classification problem.

### Case - Study 2

Objective here is to fine-tune a pre-trained model and use it for text classification on a new dataset.

## Image Classification Problem using Transfer Learning - 1

• How is Transfer Learning used in computer vision?

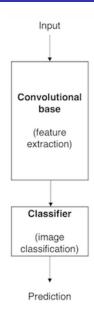
#### **CNN**

- Convolutional base
- Classifier

The main goal of the convolutional base is to generate features from the image.

Classifier is usually composed by fully connected layers. The main goal of the classifier is to classify the image based on the detected features.

### Architecture of CNN



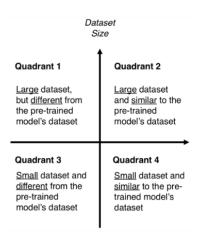
## Image Classification

#### Implementation

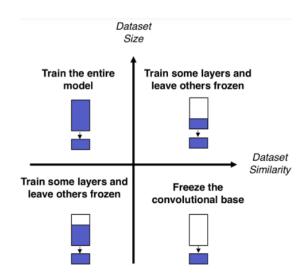
A transfer learning solution to solve image classification problem. We used a dataset consisting of around 2000 images each of cats and dogs. Goal is to build a model that classifies into dog or cat (binary classification problem - 1=dog, 0=cat). Used Keras framework.

### Implementation

We selected a pre-trained model - used VGG (available from Keras).
We initialised the model's weights as same as that of ImageNet



### Transfer Learning Process



### Observations

- When we used a large data set that is similar to the pretrained model's dataset, there was not much difference in the accuracy obtained whether we train the entire model or we train some layers and leave the others frozen.
- When we reduced the size of the dataset, we found that we get a higher accuracy when we freeze the convolutional base layers and retrain the classifier part. (the transfer learnt model performs better)

### Results obtained

- FOR SMALL DATASET -
  - With transfer learning 85.57% accuracy
  - Without transfer learning 79.32% accuracy
- FOR LARGE DATASET -
  - With transfer learning 89.12% accuracy
  - Without transfer learning 90.47% accuracy

### Transfer Learning for Text Classification

- Pre-trained word embeddings like word2vec, Glove, fastText can be used to initialise the first layer of a neural network but the rest of the model has to be learnt from scratch which makes it inefficient.
- To avoid this problem we required pretrained models which can be fine tuned and used on different data sets. E.g. ULMFIT
- ULMFIT
  - Take a pretrained Language model
  - Fine tune the selected language model
  - § Fine tune the classifier
- Used a python library called FastAl

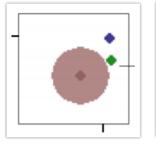
#### Results obtained

- Without transfer learning 92.25% accuracy
- With transfer learning
  - retraining the last layers 90.14% accuracy
  - reinitialising and retraining the last layers 95.36% accuracy

#### Game Domain

To review if we can use a pre-trained Deep Q-network that has been proven to play a particular game well, to play another similar such game, with a small amount of additional training or layers, we have read a Stanford paper "Using Transfer Learning Between Games" which implemented transfer learning for 2 games - 'Snake' and 'PuckWorld'.

### A little about the paper

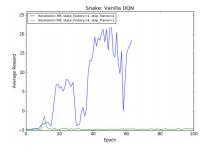


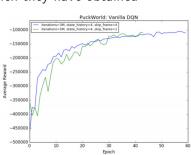


- About the games used 'Snake' and 'PuckWorld'
- Obth games have a sense of similarity the agent has to move towards an object while at the same time avoiding some other object.
- They have used Deep Q-Learning algorithm (DQN architecture) to implement their agents.

## Observations - (1)

- They have considered various combinations of retraining layers and reinitialising layers in the neural network.
- Results for snake and PuckWorld which they have obtained





# Observations - (2)

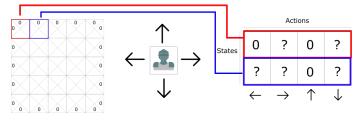
- They have experimented with DDQN architechture, and have found that the performance of the snake has increased and also the model is faster converging.
- Transfer learning from puckworld to snake has decreased oscillations in the average reward obtained for the latter.
- The performance of puckworld declined when transfer learning was performed from snake to puckworld.

## Reinforcement Learning

- Exploration/Exploitation trade off
- Idea of Deep Reinforcement Learning

## Q-Learning

- Idea of Q-Learning
- 2 Implementation of Q-table



Learning the action value function

$$Q^{\pi}(s_t, a_t) = \underline{E}[R_{t+1} + \gamma R_{t+2} + \gamma^2 R_{t+3} + \dots | s_t, a_t]$$

Q value for that state given that action

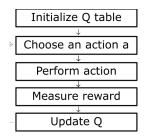
Expected discounted cumulative reward ...

given that state and that action

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### Q-Learning

#### Q-learning algorithm Process



- 1. Initialize Q-values (Q(s,a)) arbitrarily for all state-action pairs.
- 2. For life or until learning is stopped...
- 3. Choose an action (a) in the current world state (s) based on current Q-value estimates  $(Q(s,\cdot))$ .
- 4. Take the action (a) and observe the the outcome state (s') and reward (r).
- 5. Update  $Q(s,a):=Q(s,a)+lpha\left[r+\gamma\max_{a'}Q(s',a')-Q(s,a)
  ight]$

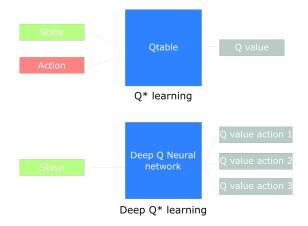
### 'Doom' Game



Reward for shooting monster 100, -1 for each second monster is alive,
 -15 for missing target

## Deep Q-Learning - Implemented 'Doom' playing agent

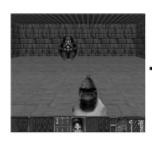
Idea of Deep Q-learning - Why not Q-table?



## 'Doom' playing agent - Technical Details $\left(1 ight)$

- Preprocessing
  - Converting to grayscale
  - Cropping image





Stacked Frames (to handle the problem of temporal limitation)

## 'Doom' playing agent - Technical Details (2)

- We implemented 'Experience Replay'
  - Our agent should not forget its previous experiences



- 2 This reduces correlations between experiences.
  - We broke this correlation by sampling the replay buffer randomly.

# 'Doom' playing agent - Technical Details (3)

Deep Q-Learning algorithm that we used

$$\underline{\Delta w} = \alpha [(\underline{R + \gamma \max_{a} \hat{Q}(s', a, w)}) - \hat{Q}(s, a, w)] \quad \underline{\nabla_{w} \hat{Q}(s, a, w)} \quad \underline{\nabla_{w} \hat{Q}(s, w)} \quad \underline{\nabla_{w} \hat{Q}(s, w)} \quad \underline{\nabla_{w} \hat{Q}(s, w)} \quad \underline{\nabla_{w} \hat{Q}(s,$$

gino race

next\_state (= Q\_target)

Current predicted Q-val

TD Error

Gradient of our current predicted Q-value

### Fixed Q-targets

#### (First introduced by DeepMind - Google)

$$\frac{\Delta w}{\frac{\Delta w}{\text{everyor}}} = \alpha [\underbrace{(R + \gamma \max_{\text{learning radio}} \hat{Q}(s', a, w)) - \hat{Q}(s, a, w)}_{\text{learning radio}} - \underbrace{\frac{\Delta w}{\text{Maximum possible Qvalue for the next_state (= Q_larget)}}_{\text{TD Error}} - \underbrace{\frac{\text{Current predicted}}{\text{Q-val}}}_{\text{Gradient of our current predicted O-value}}$$

$$\frac{\Delta w}{\frac{\text{Campon}}{\text{longthis}}} = \alpha[(R + \gamma \max_{\text{arrive}} \hat{Q}(s', a.\underline{w})) - \hat{Q}(s, a.\underline{w})] \underbrace{\nabla_w \hat{Q}(s, a, w)}_{\text{Current predicted}} \underbrace{\nabla_w \hat{Q}(s, a.\underline{w})}_{\text{TD Error}}$$

At every T steps:

$$\underline{\mathbf{w}^-\leftarrow\mathbf{w}}$$
Update fixed parameters

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Gradient of our current predicted O-value

## Double Deep Q Network Architecture (Double DQN)

$$Q(s,a) = r(s,a) + \underline{\gamma max_a Q(s',a)}$$

Q target

Reward of taking that action at that state

Discounted max q value among all. possibles actions from next state.

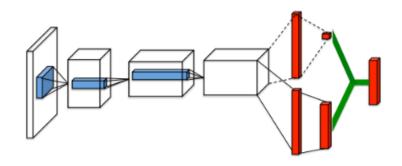
$$Q(s,a) = r(s,a) + \gamma Q(s', \underline{argmax_aQ(s',a)})$$

TD target

DON Network choose action for next state

Target network calculates the Q value of taking that action at that state

# Dueling Deep Q Network Architecture (DDQN) - (1)



It separates how valuable a state is with what the advantage of different actions are from a state by using different streams.

$$Q(s,a) = A(s,a) + V(s)$$

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# Dueling Deep Q Network Architecture (DDQN) - (2)

$$Q(s, a; \theta, \alpha, \beta) = V(s; \theta, \beta) + A(s, a; \theta, \alpha)$$

In the above, there is an issue of identifiability. (problem for back propagation!) To avoid this, we have implemented for our agent :

$$Q(s, a; \theta, \alpha, \beta) = V(s; \theta, \beta) + \left(A(s, a; \theta, \alpha) - \max_{a' \in |\mathcal{A}|} A(s, a'; \theta, \alpha)\right)$$

This was proposed in the paper "Dueling Network Architectures for Deep Reinforcement Learning".

# 'Doom' playing agent - Improvised (Summary)

- We improvised our agent in the following ways :
  - Fixed Q-targets
  - Ouble DQNs
  - Oueling DQN (aka DDQN)

In total we have implemented a Dueling Double Deep  ${\sf Q}$  Learning agent with fixed q-targets.

## 'Doom' playing agent - Results obtained

- Average reward for DQN agent over 10 episodes 62.91
- Average reward for DDDQN agent over 10 episodes 70.37

### **Future Work**

- We have selected a similar game to 'Doom' named 'Space Invaders' for conducting the transfer learning.
- Space invaders is a game in which the agent moves horizontally and shoots at a number of aliens that are continuously moving.
- As part of BTP-2 we would like to implement transfer learning experiments (both ways).