

# Iptables-Semantics

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September 22, 2014

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## 1 Firewall Basic Syntax

Our firewall model supports the following actions.

**datatype** *action* = *Accept* | *Drop* | *Log* | *Reject* | *Call string* | *Return* | *Empty* | *Unknown*

The type parameter *'a* denotes the primitive match condition For example, matching on source IP address or on protocol. We list the primitives to an algebra. Note that we do not have an Or expression.

```
datatype 'a match-expr = Match 'a | MatchNot 'a match-expr | MatchAnd 'a
match-expr 'a match-expr | MatchAny
```

```
datatype-new 'a rule = Rule (get-match: 'a match-expr) (get-action: action)
datatype-compat rule
```

```
datatype final-decision = FinalAllow | FinalDeny
```

The state during packet processing. If undecided, there are some remaining rules to process. If decided, there is an action which applies to the packet

```
datatype state = Undecided | Decision final-decision
```

```
end
theory Misc
imports Main
begin
```

```
lemma list-app-singletonE:
  assumes rs1 @ rs2 = [x]
  obtains (first) rs1 = [x] rs2 = []
           | (second) rs1 = [] rs2 = [x]
using assms
by (cases rs1) auto
```

```
lemma list-app-eq-cases:
  assumes xs1 @ xs2 = ys1 @ ys2
  obtains (longer) xs1 = take (length xs1) ys1 xs2 = drop (length xs1) ys1 @ ys2
           | (shorter) ys1 = take (length ys1) xs1 ys2 = drop (length ys1) xs1 @ xs2
using assms
apply (cases length xs1 ≤ length ys1)
apply (metis append-eq-append-conv-if)+
done
```

```
end
theory Semantics
imports Main Firewall-Common Misc ~~/src/HOL/Library/LaTeXsugar
begin
```

## 2 Big Step Semantics

The assumption we apply in general is that the firewall does not alter any packets.

```
type-synonym 'a ruleset = string → 'a rule list
```

```
type-synonym ('a, 'p) matcher = 'a ⇒ 'p ⇒ bool
```

```
fun matches :: ('a, 'p) matcher ⇒ 'a match-expr ⇒ 'p ⇒ bool where
  matches γ (MatchAnd e1 e2) p ←→ matches γ e1 p ∧ matches γ e2 p |
```

$\text{matches } \gamma \text{ (MatchNot } me) p \longleftrightarrow \neg \text{ matches } \gamma \text{ } me p \mid$   
 $\text{matches } \gamma \text{ (Match } e) p \longleftrightarrow \gamma \text{ } e p \mid$   
 $\text{matches - MatchAny - } \longleftrightarrow \text{ True}$

**inductive** *iptables-bigstep* :: 'a ruleset  $\Rightarrow$  ('a, 'p) matcher  $\Rightarrow$  'p  $\Rightarrow$  'a rule list  $\Rightarrow$  state  $\Rightarrow$  state  $\Rightarrow$  bool  
 $(\neg, -, \vdash \langle -, - \rangle \Rightarrow - \text{ [60,60,60,20,98,98] 89})$   
**for**  $\Gamma$  **and**  $\gamma$  **and**  $p$  **where**  
*skip*:  $\Gamma, \gamma, p \vdash \langle [], t \rangle \Rightarrow t \mid$   
*accept*:  $\text{matches } \gamma \text{ } m p \Rightarrow \Gamma, \gamma, p \vdash \langle [\text{Rule } m \text{ Accept}], \text{Undecided} \rangle \Rightarrow \text{Decision FinalAllow} \mid$   
*drop*:  $\text{matches } \gamma \text{ } m p \Rightarrow \Gamma, \gamma, p \vdash \langle [\text{Rule } m \text{ Drop}], \text{Undecided} \rangle \Rightarrow \text{Decision FinalDeny} \mid$   
*reject*:  $\text{matches } \gamma \text{ } m p \Rightarrow \Gamma, \gamma, p \vdash \langle [\text{Rule } m \text{ Reject}], \text{Undecided} \rangle \Rightarrow \text{Decision FinalDeny} \mid$   
*log*:  $\text{matches } \gamma \text{ } m p \Rightarrow \Gamma, \gamma, p \vdash \langle [\text{Rule } m \text{ Log}], \text{Undecided} \rangle \Rightarrow \text{Undecided} \mid$   
*empty*:  $\text{matches } \gamma \text{ } m p \Rightarrow \Gamma, \gamma, p \vdash \langle [\text{Rule } m \text{ Empty}], \text{Undecided} \rangle \Rightarrow \text{Undecided} \mid$   
*nomatch*:  $\neg \text{ matches } \gamma \text{ } m p \Rightarrow \Gamma, \gamma, p \vdash \langle [\text{Rule } m \text{ a}], \text{Undecided} \rangle \Rightarrow \text{Undecided} \mid$   
*decision*:  $\Gamma, \gamma, p \vdash \langle rs, \text{Decision } X \rangle \Rightarrow \text{Decision } X \mid$   
*seq*:  $\llbracket \Gamma, \gamma, p \vdash \langle rs_1, \text{Undecided} \rangle \Rightarrow t; \Gamma, \gamma, p \vdash \langle rs_2, t \rangle \Rightarrow t' \rrbracket \Rightarrow \Gamma, \gamma, p \vdash \langle rs_1 @ rs_2, \text{Undecided} \rangle \Rightarrow t' \mid$   
*call-return*:  $\llbracket \text{matches } \gamma \text{ } m p; \Gamma \text{ chain} = \text{Some } (rs_1 @ [\text{Rule } m' \text{ Return}] @ rs_2); \text{matches } \gamma \text{ } m' p; \Gamma, \gamma, p \vdash \langle rs_1, \text{Undecided} \rangle \Rightarrow \text{Undecided} \rrbracket \Rightarrow \Gamma, \gamma, p \vdash \langle [\text{Rule } m \text{ (Call chain)}], \text{Undecided} \rangle \Rightarrow \text{Undecided} \mid$   
*call-result*:  $\llbracket \text{matches } \gamma \text{ } m p; \Gamma \text{ chain} = \text{Some } rs; \Gamma, \gamma, p \vdash \langle rs, \text{Undecided} \rangle \Rightarrow t \rrbracket \Rightarrow \Gamma, \gamma, p \vdash \langle [\text{Rule } m \text{ (Call chain)}], \text{Undecided} \rangle \Rightarrow t$

The semantic rules again in pretty format:

$$\begin{array}{c}
\frac{}{\Gamma, \gamma, p \vdash \langle [], t \rangle \Rightarrow t} \\
\frac{\text{matches } \gamma \text{ } m p}{\Gamma, \gamma, p \vdash \langle [\text{Rule } m \text{ Accept}], \text{Undecided} \rangle \Rightarrow \text{Decision FinalAllow}} \\
\frac{\text{matches } \gamma \text{ } m p}{\Gamma, \gamma, p \vdash \langle [\text{Rule } m \text{ Drop}], \text{Undecided} \rangle \Rightarrow \text{Decision FinalDeny}} \\
\frac{\text{matches } \gamma \text{ } m p}{\Gamma, \gamma, p \vdash \langle [\text{Rule } m \text{ Reject}], \text{Undecided} \rangle \Rightarrow \text{Decision FinalDeny}} \\
\frac{\text{matches } \gamma \text{ } m p}{\Gamma, \gamma, p \vdash \langle [\text{Rule } m \text{ Log}], \text{Undecided} \rangle \Rightarrow \text{Undecided}} \\
\frac{\text{matches } \gamma \text{ } m p}{\Gamma, \gamma, p \vdash \langle [\text{Rule } m \text{ Empty}], \text{Undecided} \rangle \Rightarrow \text{Undecided}}
\end{array}$$

$$\begin{array}{c}
\frac{\neg \text{matches } \gamma \ m \ p}{\Gamma, \gamma, p \vdash \langle [Rule \ m \ a], \text{Undecided} \rangle \Rightarrow \text{Undecided}} \\
\Gamma, \gamma, p \vdash \langle rs, \text{Decision } X \rangle \Rightarrow \text{Decision } X \\
\frac{\Gamma, \gamma, p \vdash \langle rs_1, \text{Undecided} \rangle \Rightarrow t \quad \Gamma, \gamma, p \vdash \langle rs_2, t \rangle \Rightarrow t'}{\Gamma, \gamma, p \vdash \langle rs_1 @ rs_2, \text{Undecided} \rangle \Rightarrow t'} \\
\frac{\text{matches } \gamma \ m \ p \quad \Gamma \text{ chain} = \text{Some } (rs_1 @ [Rule \ m' \text{Return}] @ rs_2) \quad \text{matches } \gamma \ m' \ p \quad \Gamma, \gamma, p \vdash \langle rs_1, \text{Undecided} \rangle \Rightarrow \text{Undecided}}{\Gamma, \gamma, p \vdash \langle [Rule \ m \ (\text{Call chain})], \text{Undecided} \rangle \Rightarrow \text{Undecided}} \\
\frac{\text{matches } \gamma \ m \ p \quad \Gamma \text{ chain} = \text{Some } rs \quad \Gamma, \gamma, p \vdash \langle rs, \text{Undecided} \rangle \Rightarrow t}{\Gamma, \gamma, p \vdash \langle [Rule \ m \ (\text{Call chain})], \text{Undecided} \rangle \Rightarrow t}
\end{array}$$

**lemma deny:**

*matches*  $\gamma \ m \ p \implies a = \text{Drop} \vee a = \text{Reject} \implies \text{iptables-bigstep } \Gamma \ \gamma \ p \ [Rule \ m \ a] \text{ Undecided } (\text{Decision FinalDeny})$   
**by** (auto intro: drop reject)

**lemma seq-cons:**

**assumes**  $\Gamma, \gamma, p \vdash \langle [r], \text{Undecided} \rangle \Rightarrow t$  **and**  $\Gamma, \gamma, p \vdash \langle rs, t \rangle \Rightarrow t'$   
**shows**  $\Gamma, \gamma, p \vdash \langle r \# rs, \text{Undecided} \rangle \Rightarrow t'$

**proof** –

**from** *assms* **have**  $\Gamma, \gamma, p \vdash \langle [r] @ rs, \text{Undecided} \rangle \Rightarrow t'$  **by** (rule seq)  
**thus** ?thesis **by** simp

**qed**

**lemma iptables-bigstep-induct**

[case-names Skip Allow Deny Log Nomatch Decision Seq Call-return Call-result,  
induct pred: iptables-bigstep]:

$\llbracket \Gamma, \gamma, p \vdash \langle rs, s \rangle \Rightarrow t;$

$\bigwedge t. P \llbracket t \ t;$

$\bigwedge m \ a. \text{matches } \gamma \ m \ p \implies a = \text{Accept} \implies P \ [Rule \ m \ a] \text{ Undecided } (\text{Decision FinalAllow});$

$\bigwedge m \ a. \text{matches } \gamma \ m \ p \implies a = \text{Drop} \vee a = \text{Reject} \implies P \ [Rule \ m \ a] \text{ Undecided } (\text{Decision FinalDeny});$

$\bigwedge m \ a. \text{matches } \gamma \ m \ p \implies a = \text{Log} \vee a = \text{Empty} \implies P \ [Rule \ m \ a] \text{ Undecided Undecided};$

$\bigwedge m \ a. \neg \text{matches } \gamma \ m \ p \implies P \ [Rule \ m \ a] \text{ Undecided Undecided};$

$\bigwedge rs \ X. P \ rs \ (\text{Decision } X) \ (\text{Decision } X);$

$\bigwedge rs \ rs_1 \ rs_2 \ t \ t'. \ rs = rs_1 @ rs_2 \implies \Gamma, \gamma, p \vdash \langle rs_1, \text{Undecided} \rangle \Rightarrow t \implies P \ rs_1 \text{ Undecided } t \implies \Gamma, \gamma, p \vdash \langle rs_2, t \rangle \Rightarrow t' \implies P \ rs_2 \ t \ t' \implies P \ rs \text{ Undecided } t';$

$\bigwedge m \ a \ \text{chain} \ rs_1 \ m' \ rs_2. \text{matches } \gamma \ m \ p \implies a = \text{Call chain} \implies \Gamma \text{ chain} = \text{Some } (rs_1 @ [Rule \ m' \text{Return}] @ rs_2) \implies \text{matches } \gamma \ m' \ p \implies \Gamma, \gamma, p \vdash \langle rs_1, \text{Undecided} \rangle \Rightarrow \text{Undecided} \implies P \ rs_1 \text{ Undecided Undecided} \implies P \ [Rule \ m \ a] \text{ Undecided Undecided};$

$\bigwedge m \ a \ \text{chain} \ rs \ t. \text{matches } \gamma \ m \ p \implies a = \text{Call chain} \implies \Gamma \text{ chain} = \text{Some } rs \implies \Gamma, \gamma, p \vdash \langle rs, \text{Undecided} \rangle \Rightarrow t \implies P \ rs \text{ Undecided } t \implies P \ [Rule \ m \ a] \text{ Undecided } t \implies$

$P \ rs \ s \ t$

**by** (*induction rule: iptables-bigstep.induct*) *auto*

**lemma** *skipD*:  $\Gamma, \gamma, p \vdash \langle r, s \rangle \Rightarrow t \Longrightarrow r = [] \Longrightarrow s = t$   
**by** (*induction rule: iptables-bigstep.induct*) *auto*

**lemma** *decisionD*:  $\Gamma, \gamma, p \vdash \langle r, s \rangle \Rightarrow t \Longrightarrow s = \text{Decision } X \Longrightarrow t = \text{Decision } X$   
**by** (*induction rule: iptables-bigstep.induct*) *auto*

**context**

**notes** *skipD*[*dest*] *list-app-singletonE*[*elim*]  
**begin**

**lemma** *acceptD*:  $\Gamma, \gamma, p \vdash \langle r, s \rangle \Rightarrow t \Longrightarrow r = [\text{Rule } m \text{ Accept}] \Longrightarrow \text{matches } \gamma \ m \ p \Longrightarrow s = \text{Undecided} \Longrightarrow t = \text{Decision FinalAllow}$   
**by** (*induction rule: iptables-bigstep.induct*) *auto*

**lemma** *dropD*:  $\Gamma, \gamma, p \vdash \langle r, s \rangle \Rightarrow t \Longrightarrow r = [\text{Rule } m \text{ Drop}] \Longrightarrow \text{matches } \gamma \ m \ p \Longrightarrow s = \text{Undecided} \Longrightarrow t = \text{Decision FinalDeny}$   
**by** (*induction rule: iptables-bigstep.induct*) *auto*

**lemma** *rejectD*:  $\Gamma, \gamma, p \vdash \langle r, s \rangle \Rightarrow t \Longrightarrow r = [\text{Rule } m \text{ Reject}] \Longrightarrow \text{matches } \gamma \ m \ p \Longrightarrow s = \text{Undecided} \Longrightarrow t = \text{Decision FinalDeny}$   
**by** (*induction rule: iptables-bigstep.induct*) *auto*

**lemma** *logD*:  $\Gamma, \gamma, p \vdash \langle r, s \rangle \Rightarrow t \Longrightarrow r = [\text{Rule } m \text{ Log}] \Longrightarrow \text{matches } \gamma \ m \ p \Longrightarrow s = \text{Undecided} \Longrightarrow t = \text{Undecided}$   
**by** (*induction rule: iptables-bigstep.induct*) *auto*

**lemma** *emptyD*:  $\Gamma, \gamma, p \vdash \langle r, s \rangle \Rightarrow t \Longrightarrow r = [\text{Rule } m \text{ Empty}] \Longrightarrow \text{matches } \gamma \ m \ p \Longrightarrow s = \text{Undecided} \Longrightarrow t = \text{Undecided}$   
**by** (*induction rule: iptables-bigstep.induct*) *auto*

**lemma** *nomatchD*:  $\Gamma, \gamma, p \vdash \langle r, s \rangle \Rightarrow t \Longrightarrow r = [\text{Rule } m \ a] \Longrightarrow s = \text{Undecided} \Longrightarrow \neg \text{matches } \gamma \ m \ p \Longrightarrow t = \text{Undecided}$   
**by** (*induction rule: iptables-bigstep.induct*) *auto*

**lemma** *callD*:

**assumes**  $\Gamma, \gamma, p \vdash \langle r, s \rangle \Rightarrow t \ r = [\text{Rule } m \ (\text{Call chain})] \ s = \text{Undecided} \ \text{matches } \gamma \ m \ p \ \Gamma \ \text{chain} = \text{Some } rs$

**obtains**  $\Gamma, \gamma, p \vdash \langle rs, s \rangle \Rightarrow t$

$\mid rs_1 \ rs_2 \ m' \ \textbf{where} \ rs = rs_1 \ @ \ \text{Rule } m' \ \text{Return} \ \# \ rs_2 \ \text{matches } \gamma \ m' \ p$   
 $\Gamma, \gamma, p \vdash \langle rs_1, s \rangle \Rightarrow \text{Undecided} \ t = \text{Undecided}$

**using** *assms*

**proof** (*induction r s t arbitrary: rs rule: iptables-bigstep.induct*)

**case** (*seq rs<sub>1</sub>*)

**thus** ?*case* **by** (*cases rs<sub>1</sub>*) *auto*

**qed** *auto*

**end**

**lemmas** *iptables-bigstepD* = *skipD acceptD dropD rejectD logD emptyD nomatchD decisionD callD*

**lemma** *seq'*:

**assumes**  $rs = rs_1 @ rs_2 \quad \Gamma, \gamma, p \vdash \langle rs_1, s \rangle \Rightarrow t \quad \Gamma, \gamma, p \vdash \langle rs_2, t \rangle \Rightarrow t'$

**shows**  $\Gamma, \gamma, p \vdash \langle rs, s \rangle \Rightarrow t'$

**using** *assms* **by** (*cases s*) (*auto intro: seq decision dest: decisionD*)

**lemma** *seq'-cons*:  $\Gamma, \gamma, p \vdash \langle [r], s \rangle \Rightarrow t \implies \Gamma, \gamma, p \vdash \langle rs, t \rangle \Rightarrow t' \implies \Gamma, \gamma, p \vdash \langle r \# rs, s \rangle \Rightarrow t'$

**by** (*metis decision decisionD state.exhaust seq-cons*)

**lemma** *seq-split*:

**assumes**  $\Gamma, \gamma, p \vdash \langle rs, s \rangle \Rightarrow t \quad rs = rs_1 @ rs_2$

**obtains**  $t'$  **where**  $\Gamma, \gamma, p \vdash \langle rs_1, s \rangle \Rightarrow t' \quad \Gamma, \gamma, p \vdash \langle rs_2, t' \rangle \Rightarrow t$

**using** *assms*

**proof** (*induction rs s t arbitrary: rs<sub>1</sub> rs<sub>2</sub> thesis rule: iptables-bigstep-induct*)

**case** *Allow* **thus** ?*case* **by** (*cases rs<sub>1</sub>*) (*auto intro: iptables-bigstep.intros*)

**next**

**case** *Deny* **thus** ?*case* **by** (*cases rs<sub>1</sub>*) (*auto intro: iptables-bigstep.intros*)

**next**

**case** *Log* **thus** ?*case* **by** (*cases rs<sub>1</sub>*) (*auto intro: iptables-bigstep.intros*)

**next**

**case** *Nomatch* **thus** ?*case* **by** (*cases rs<sub>1</sub>*) (*auto intro: iptables-bigstep.intros*)

**next**

**case** (*Seq rs rsa rsb t t'*)

**hence**  $rs: rsa @ rsb = rs_1 @ rs_2$  **by** *simp*

**note** *List.append-eq-append-conv-if[simp]*

**from** *rs* **show** ?*case*

**proof** (*cases rule: list-app-eq-cases*)

**case** *longer*

**with** *Seq* **have**  $t1: \Gamma, \gamma, p \vdash \langle \text{take } (\text{length } rsa) \text{ } rs_1, \text{Undecided} \rangle \Rightarrow t$

**by** *simp*

**from** *Seq longer* **obtain**  $t2$

**where**  $t2a: \Gamma, \gamma, p \vdash \langle \text{drop } (\text{length } rsa) \text{ } rs_1, t \rangle \Rightarrow t2$

**and**  $rs2-t2: \Gamma, \gamma, p \vdash \langle rs_2, t2 \rangle \Rightarrow t'$

**by** *blast*

**with**  $t1 \text{ } rs2-t2$  **have**  $\Gamma, \gamma, p \vdash \langle \text{take } (\text{length } rsa) \text{ } rs_1 @ \text{drop } (\text{length } rsa) \text{ } rs_1, \text{Undecided} \rangle \Rightarrow t2$

**by** (*blast intro: iptables-bigstep.seq*)

**with** *Seq rs2-t2* **show** ?*thesis*

**by** *simp*

**next**

**case** *shorter*

**with** *rs* **have**  $rsa': rsa = rs_1 @ \text{take } (\text{length } rsa - \text{length } rs_1) \text{ } rs_2$

**by** (*metis append-eq-conv-conj length-drop*)

**from** *shorter rs* **have**  $rsb': rsb = \text{drop } (\text{length } rsa - \text{length } rs_1) \text{ } rs_2$

**by** (*metis append-eq-conv-conj length-drop*)

```

    from Seq rsa' obtain t1
    where t1a:  $\Gamma, \gamma, p \vdash \langle rs_1, Undecided \rangle \Rightarrow t1$ 
    and t1b:  $\Gamma, \gamma, p \vdash \langle take (length\ rsa - length\ rs_1)\ rs_2, t1 \rangle \Rightarrow t$ 
    by blast
    from rsb' Seq.hyps have t2:  $\Gamma, \gamma, p \vdash \langle drop (length\ rsa - length\ rs_1)\ rs_2, t \rangle$ 
 $\Rightarrow t'$ 
    by blast
    with seq' t1b have  $\Gamma, \gamma, p \vdash \langle rs_2, t1 \rangle \Rightarrow t'$ 
    by fastforce
    with Seq t1a show ?thesis
    by fast
  qed
next
case Call-return
  hence  $\Gamma, \gamma, p \vdash \langle rs_1, Undecided \rangle \Rightarrow Undecided\ \Gamma, \gamma, p \vdash \langle rs_2, Undecided \rangle \Rightarrow$ 
  Undecided
  by (case-tac [!] $\ rs_1$ ) (auto intro: iptables-bigstep.skip iptables-bigstep.call-return)
  thus ?case by fact
next
case (Call-result - - - t)
  show ?case
  proof (cases  $rs_1$ )
  case Nil
    with Call-result have  $\Gamma, \gamma, p \vdash \langle rs_1, Undecided \rangle \Rightarrow Undecided\ \Gamma, \gamma, p \vdash \langle rs_2,$ 
  Undecided  $\rangle \Rightarrow t$ 
    by (auto intro: iptables-bigstep.intros)
    thus ?thesis by fact
  next
  case Cons
    with Call-result have  $\Gamma, \gamma, p \vdash \langle rs_1, Undecided \rangle \Rightarrow t\ \Gamma, \gamma, p \vdash \langle rs_2, t \rangle \Rightarrow t$ 
    by (auto intro: iptables-bigstep.intros)
    thus ?thesis by fact
  qed
qed (auto intro: iptables-bigstep.intros)

```

**lemma seqE:**  
 assumes  $\Gamma, \gamma, p \vdash \langle rs_1 @ rs_2, s \rangle \Rightarrow t$   
 obtains  $ti$  where  $\Gamma, \gamma, p \vdash \langle rs_1, s \rangle \Rightarrow ti\ \Gamma, \gamma, p \vdash \langle rs_2, ti \rangle \Rightarrow t$   
 using assms by (force elim: seq-split)

**lemma seqE-cons:**  
 assumes  $\Gamma, \gamma, p \vdash \langle r \# rs, s \rangle \Rightarrow t$   
 obtains  $ti$  where  $\Gamma, \gamma, p \vdash \langle [r], s \rangle \Rightarrow ti\ \Gamma, \gamma, p \vdash \langle rs, ti \rangle \Rightarrow t$   
 using assms by (metis append-Cons append-Nil seqE)

**lemma nomatch':**  
 assumes  $\bigwedge r. r \in set\ rs \Longrightarrow \neg matches\ \gamma\ (get-match\ r)\ p$   
 shows  $\Gamma, \gamma, p \vdash \langle rs, s \rangle \Rightarrow s$   
 proof (cases  $s$ )



```

case Undecided
have  $\forall r \in \text{set } rs. \neg \text{matches } \gamma \text{ (get-match } r) \text{ } p \implies \Gamma, \gamma, p \vdash \langle rs, \text{Undecided} \rangle \Rightarrow$ 
Undecided
proof(induction rs)
case Nil
thus ?case by (fast intro: skip)
next
case (Cons r rs)
hence  $\Gamma, \gamma, p \vdash \langle [r], \text{Undecided} \rangle \Rightarrow \text{Undecided}$ 
by (cases r) (auto intro: nomatch)
with Cons show ?case
by (fastforce intro: seq-cons)
qed
with assms Undecided show ?thesis by simp
qed (blast intro: decision)

```

```

lemma no-free-return-hlp:  $\Gamma, \gamma, p \vdash \langle a, s \rangle \Rightarrow t \implies \text{matches } \gamma \text{ } m \text{ } p \implies s = \text{Unde-}$ 
cided} \implies a = [\text{Rule } m \text{ Return}] \implies \text{False}
proof (induction rule: iptables-bigstep.induct)
case (seq rs1)
thus ?case
by (cases rs1) (auto dest: skipD)
qed simp-all

```

```

lemma no-free-return:  $\Gamma, \gamma, p \vdash \langle [\text{Rule } m \text{ Return}], \text{Undecided} \rangle \Rightarrow t \implies \text{matches } \gamma$ 
m p} \implies \text{False}
by (metis no-free-return-hlp)

```

```

lemma seq-progress:  $\Gamma, \gamma, p \vdash \langle rs, s \rangle \Rightarrow t \implies rs = rs_1 @ rs_2 \implies \Gamma, \gamma, p \vdash \langle rs_1, s \rangle \Rightarrow$ 
t'}  $\implies \Gamma, \gamma, p \vdash \langle rs_2, t' \rangle \Rightarrow t$ 
proof(induction arbitrary: rs1 rs2 t' rule: iptables-bigstep.induct)
case Allow
thus ?case
by (cases rs1) (auto intro: iptables-bigstep.intros dest: iptables-bigstepD)
next
case Deny
thus ?case
by (cases rs1) (auto intro: iptables-bigstep.intros dest: iptables-bigstepD)
next
case Log
thus ?case
by (cases rs1) (auto intro: iptables-bigstep.intros dest: iptables-bigstepD)
next
case Nomatch
thus ?case
by (cases rs1) (auto intro: iptables-bigstep.intros dest: iptables-bigstepD)
next

```

```

case Decision
thus ?case
  by (cases rs1) (auto intro: iptables-bigstep.intros dest: iptables-bigstepD)
next
case(Seq rs rsa rsb t t' rs1 rs2 t'')
hence rs: rsa @ rsb = rs1 @ rs2 by simp
note List.append-eq-append-conv-if[simp]

from rs show  $\Gamma, \gamma, p \vdash \langle rs_2, t'' \rangle \Rightarrow t'$ 
proof(cases rule: list-app-eq-cases)
  case longer
    have rs1 = take (length rsa) rs1 @ drop (length rsa) rs1
      by auto
    with Seq longer show ?thesis
      by (metis append-Nil2 skipD seq-split)
  next
    case shorter
    with Seq(7) Seq.hyps(3) Seq.IH(1) rs show ?thesis
      by (metis seq' append-eq-conv-conj)
  qed
next
case(Call-return m a chain rsa m' rsb)
have xx:  $\Gamma, \gamma, p \vdash \langle [Rule\ m\ (Call\ chain)], Undecided \rangle \Rightarrow t' \Rightarrow matches\ \gamma\ m\ p$ 
 $\Rightarrow$ 
   $\Gamma\ chain = Some\ (rsa\ @\ Rule\ m'\ Return\ \# rsb) \Rightarrow$ 
   $matches\ \gamma\ m'\ p \Rightarrow$ 
   $\Gamma, \gamma, p \vdash \langle rsa, Undecided \rangle \Rightarrow Undecided \Rightarrow$ 
   $t' = Undecided$ 
apply(erule callD)
apply(simp-all)
apply(erule seqE)
apply(erule seqE-cons)
by (metis Call-return.IH no-free-return self-append-conv skipD)

show ?case
proof (cases rs1)
  case (Cons r rs)
    thus ?thesis
      using Call-return
      apply(case-tac [Rule m a] = rs2)
      apply(simp)
      apply(simp)
      using xx by blast
  next
    case Nil
    moreover hence  $t' = Undecided$ 
      by (metis Call-return.hyps(1) Call-return.prem(2) append.simp(1)
        decision no-free-return seq state.exhaust)

```

```

    moreover have  $\bigwedge m. \Gamma, \gamma, p \vdash \langle [Rule\ m\ a], Undecided \rangle \Rightarrow Undecided$ 
    by (metis (no-types) Call-return(2) Call-return.hyps(3) Call-return.hyps(4)
    Call-return.hyps(5) call-return nomatch)
    ultimately show ?thesis
    using Call-return.prem(1) by auto
  qed
next
case(Call-result m a chain rs t)
thus ?case
proof (cases rs1)
case Cons
thus ?thesis
using Call-result
apply(auto simp add: iptables-bigstep.skip iptables-bigstep.call-result dest:
skipD)
apply(drule callD, simp-all)
apply blast
by (metis Cons-eq-appendI append-self-conv2 no-free-return seq-split)
qed (fastforce intro: iptables-bigstep.intros dest: skipD)
qed (auto dest: iptables-bigstepD)

```

**lemma** *no-free-return-seq*:

```

  assumes  $\Gamma, \gamma, p \vdash \langle r1\ @\ Rule\ m\ Return\ \# r2, Undecided \rangle \Rightarrow t\ matches\ \gamma\ m\ p$ 
   $\Gamma, \gamma, p \vdash \langle r1, Undecided \rangle \Rightarrow Undecided$ 
  shows False
  proof -
    from assms have  $\Gamma, \gamma, p \vdash \langle Rule\ m\ Return\ \# r2, Undecided \rangle \Rightarrow t$ 
    by (blast intro: seq-progress)
    hence  $\Gamma, \gamma, p \vdash \langle [Rule\ m\ Return]\ @\ r2, Undecided \rangle \Rightarrow t$ 
    by simp
    with assms show False
    by (blast intro: no-free-return elim: seq-split)
  qed

```

there are only two cases when there can be a Return on top-level:

1. the firewall is in a Decision state
2. the return does not match

In both cases, it is not applied!

**lemma** *no-free-return-fst*:

```

  assumes  $\Gamma, \gamma, p \vdash \langle r\ \# rs, s \rangle \Rightarrow t$ 
  obtains (decision)  $X$  where  $s = Decision\ X$ 
    | (nomatch)  $m\ a$  where  $r = Rule\ m\ a\ a \neq Return \vee \neg matches\ \gamma\ m\ p$ 
  using assms
  proof (induction r#rs s t rule: iptables-bigstep-induct)
    case Seq thus ?case
    by (metis no-free-return-seq seq skip rule.exhaust)
  qed

```

**qed** *auto*

**lemma** *iptables-bigstep-deterministic*:  $\llbracket \Gamma, \gamma, p \vdash \langle rs, s \rangle \Rightarrow t; \Gamma, \gamma, p \vdash \langle rs, s \rangle \Rightarrow t' \rrbracket \Rightarrow t = t'$

**proof** (*induction arbitrary: t' rule: iptables-bigstep-induct*)

**case** *Seq*

**thus** *?case*

**by** (*metis seq-split*)

**next**

**case** *Call-result*

**thus** *?case*

**by** (*metis no-free-return-seq callD*)

**next**

**case** *Call-return*

**thus** *?case*

**by** (*metis append-Cons callD no-free-return-seq*)

**qed** (*auto dest: iptables-bigstepD*)

**lemma** *iptables-bigstep-to-undecided*:  $\Gamma, \gamma, p \vdash \langle rs, s \rangle \Rightarrow Undecided \Rightarrow s = Undecided$

**by** (*metis decisionD state.exhaust*)

**lemma** *iptables-bigstep-to-decision*:  $\Gamma, \gamma, p \vdash \langle rs, Decision\ Y \rangle \Rightarrow Decision\ X \Rightarrow Y = X$

**by** (*metis decisionD state.inject*)

**lemma** *Rule-UndecidedE*:

**assumes**  $\Gamma, \gamma, p \vdash \langle [Rule\ m\ a], Undecided \rangle \Rightarrow Undecided$

**obtains** (*nomatch*)  $\neg matches\ \gamma\ m\ p$

    | (*log*)  $a = Log \vee a = Empty$

    | (*call*)  $c$  **where**  $a = Call\ c\ matches\ \gamma\ m\ p$

**using** *assms*

**proof** (*induction [Rule m a] Undecided Undecided rule: iptables-bigstep-induct*)

**case** *Seq*

**thus** *?case*

**by** (*metis append-eq-Cons-conv append-is-Nil-conv iptables-bigstep-to-undecided*)

**qed** *simp-all*

**lemma** *Rule-DecisionE*:

**assumes**  $\Gamma, \gamma, p \vdash \langle [Rule\ m\ a], Undecided \rangle \Rightarrow Decision\ X$

**obtains** (*call*) *chain* **where**  $matches\ \gamma\ m\ p\ a = Call\ chain$

    | (*accept-reject*)  $matches\ \gamma\ m\ p\ X = FinalAllow \Rightarrow a = Accept\ X = FinalDeny \Rightarrow a = Drop \vee a = Reject$

**using** *assms*

**proof** (*induction [Rule m a] Undecided Decision X rule: iptables-bigstep-induct*)

**case** (*Seq rs<sub>1</sub>*)

**thus** *?case*

**by** (*cases rs<sub>1</sub>*) (*auto dest: skipD*)

**qed** *simp-all*

**lemma** *log-remove*:

**assumes**  $\Gamma, \gamma, p \vdash \langle rs_1 \ @ \ [Rule \ m \ Log] \ @ \ rs_2, s \rangle \Rightarrow t$   
**shows**  $\Gamma, \gamma, p \vdash \langle rs_1 \ @ \ rs_2, s \rangle \Rightarrow t$   
**proof** –  
**from** *assms* **obtain**  $t'$  **where**  $t': \Gamma, \gamma, p \vdash \langle rs_1, s \rangle \Rightarrow t' \ \Gamma, \gamma, p \vdash \langle [Rule \ m \ Log] \ @ \ rs_2, t' \rangle \Rightarrow t$   
**by** (*blast elim: seqE*)  
**hence**  $\Gamma, \gamma, p \vdash \langle Rule \ m \ Log \ \# \ rs_2, t' \rangle \Rightarrow t$   
**by** *simp*  
**then obtain**  $t''$  **where**  $\Gamma, \gamma, p \vdash \langle [Rule \ m \ Log], t' \rangle \Rightarrow t'' \ \Gamma, \gamma, p \vdash \langle rs_2, t'' \rangle \Rightarrow t$   
**by** (*blast elim: seqE-cons*)  
**with**  $t'$  **show** *?thesis*  
**by** (*metis state.exhaust iptables-bigstep-deterministic decision log nomatch seq*)  
**qed**

**lemma** *empty-empty*:

**assumes**  $\Gamma, \gamma, p \vdash \langle rs_1 \ @ \ [Rule \ m \ Empty] \ @ \ rs_2, s \rangle \Rightarrow t$   
**shows**  $\Gamma, \gamma, p \vdash \langle rs_1 \ @ \ rs_2, s \rangle \Rightarrow t$   
**proof** –  
**from** *assms* **obtain**  $t'$  **where**  $t': \Gamma, \gamma, p \vdash \langle rs_1, s \rangle \Rightarrow t' \ \Gamma, \gamma, p \vdash \langle [Rule \ m \ Empty] \ @ \ rs_2, t' \rangle \Rightarrow t$   
**by** (*blast elim: seqE*)  
**hence**  $\Gamma, \gamma, p \vdash \langle Rule \ m \ Empty \ \# \ rs_2, t' \rangle \Rightarrow t$   
**by** *simp*  
**then obtain**  $t''$  **where**  $\Gamma, \gamma, p \vdash \langle [Rule \ m \ Empty], t' \rangle \Rightarrow t'' \ \Gamma, \gamma, p \vdash \langle rs_2, t'' \rangle \Rightarrow t$   
**by** (*blast elim: seqE-cons*)  
**with**  $t'$  **show** *?thesis*  
**by** (*metis state.exhaust iptables-bigstep-deterministic decision empty nomatch seq*)  
**qed**

The notation we prefer in the paper. The semantics are defined for fixed  $\Gamma$  and  $\gamma$

**locale** *iptables-bigstep-fixedbackground* =

**fixes**  $\Gamma :: 'a \ ruleset$   
**and**  $\gamma :: ('a, 'p) \ matcher$   
**begin**

**inductive** *iptables-bigstep'* ::  $'p \Rightarrow 'a \ rule \ list \Rightarrow state \Rightarrow state \Rightarrow bool$

$(\vdash' \langle -, - \rangle \Rightarrow - \ [60, 20, 98, 98] \ 89)$

**for**  $p$  **where**

*skip*:  $p \vdash' \langle [], t \rangle \Rightarrow t$  |

*accept*:  $matches \ \gamma \ m \ p \Longrightarrow p \vdash' \langle [Rule \ m \ Accept], Undecided \rangle \Rightarrow Decision \ FinalAllow$  |

*drop*:  $matches \ \gamma \ m \ p \Longrightarrow p \vdash' \langle [Rule \ m \ Drop], Undecided \rangle \Rightarrow Decision \ FinalDeny$  |

*reject*:  $matches \ \gamma \ m \ p \Longrightarrow p \vdash' \langle [Rule \ m \ Reject], Undecided \rangle \Rightarrow Decision \ Fi-$

```

nalDeny |
log:    matches  $\gamma$   $m$   $p \implies p \vdash' \langle [Rule\ m\ Log], Undecided \rangle \Rightarrow Undecided$  |
empty:  matches  $\gamma$   $m$   $p \implies p \vdash' \langle [Rule\ m\ Empty], Undecided \rangle \Rightarrow Undecided$  |
nomatch:  $\neg$  matches  $\gamma$   $m$   $p \implies p \vdash' \langle [Rule\ m\ a], Undecided \rangle \Rightarrow Undecided$  |
decision:  $p \vdash' \langle rs, Decision\ X \rangle \Rightarrow Decision\ X$  |
seq:     $\llbracket p \vdash' \langle rs_1, Undecided \rangle \Rightarrow t; p \vdash' \langle rs_2, t \rangle \Rightarrow t \rrbracket \implies p \vdash' \langle rs_1 @ rs_2, Undecided \rangle \Rightarrow t$  |
call-return:  $\llbracket matches\ \gamma\ m\ p; \Gamma\ chain = Some\ (rs_1 @ [Rule\ m'\ Return] @ rs_2); matches\ \gamma\ m'\ p; p \vdash' \langle rs_1, Undecided \rangle \Rightarrow Undecided \rrbracket \implies p \vdash' \langle [Rule\ m\ (Call\ chain)], Undecided \rangle \Rightarrow Undecided$  |
call-result:  $\llbracket matches\ \gamma\ m\ p; p \vdash' \langle the\ (\Gamma\ chain), Undecided \rangle \Rightarrow t \rrbracket \implies p \vdash' \langle [Rule\ m\ (Call\ chain)], Undecided \rangle \Rightarrow t$ 

```

**definition**  $wf\text{-}\Gamma :: 'a\ rule\ list \Rightarrow bool$  **where**

$wf\text{-}\Gamma\ rs \equiv \forall\ rsg \in ran\ \Gamma \cup \{rs\}. (\forall\ r \in set\ rsg. \forall\ chain. get\text{-}action\ r = Call\ chain \longrightarrow \Gamma\ chain \neq None)$

**lemma**  $wf\text{-}\Gamma\text{-}append: wf\text{-}\Gamma\ (rs1 @ rs2) \longleftrightarrow wf\text{-}\Gamma\ rs1 \wedge wf\text{-}\Gamma\ rs2$

**by** ( $simp\ add: wf\text{-}\Gamma\text{-}def, blast$ )

**lemma**  $wf\text{-}\Gamma\text{-}Call: wf\text{-}\Gamma\ [Rule\ m\ (Call\ chain)] \implies wf\text{-}\Gamma\ (the\ (\Gamma\ chain)) \wedge (\exists\ rs. \Gamma\ chain = Some\ rs)$

**apply** ( $simp\ add: wf\text{-}\Gamma\text{-}def$ )

**by** ( $metis\ option.collapse\ ranI$ )

**lemma**  $wf\text{-}\Gamma\ rs \implies p \vdash' \langle rs, s \rangle \Rightarrow t \longleftrightarrow \Gamma, \gamma, p \vdash \langle rs, s \rangle \Rightarrow t$

**apply** ( $rule\ iffI$ )

**apply** ( $rotate\ tac\ 1$ )

**apply** ( $induction\ rs\ s\ t\ rule: iptables\text{-}bigstep'.induct$ )

**apply** ( $auto\ intro: iptables\text{-}bigstep'.intros\ simp: wf\text{-}\Gamma\text{-}append\ dest!: wf\text{-}\Gamma\text{-}Call$ ) [11]

**apply** ( $rotate\ tac\ 1$ )

**apply** ( $induction\ rs\ s\ t\ rule: iptables\text{-}bigstep'.induct$ )

**apply** ( $auto\ intro: iptables\text{-}bigstep'.intros\ simp: wf\text{-}\Gamma\text{-}append\ dest!: wf\text{-}\Gamma\text{-}Call$ ) [11]

**done**

**end**

**end**

**theory** *Matching*

**imports** *Semantics*

**begin**

## 2.1 Boolean Matcher Algebra

Lemmas about matching in the *iptables-bigstep* semantics.

**lemma** *matches-rule-iptables-bigstep*:

**assumes**  $matches\ \gamma\ m\ p \longleftrightarrow matches\ \gamma\ m'\ p$

**shows**  $\Gamma, \gamma, p \vdash \langle [Rule\ m\ a], s \rangle \Rightarrow t \longleftrightarrow \Gamma, \gamma, p \vdash \langle [Rule\ m'\ a], s \rangle \Rightarrow t$  (**is**  $?l \longleftrightarrow ?r$ )

**proof** –

{

```

fix m m'
assume  $\Gamma, \gamma, p \vdash \langle [Rule\ m\ a], s \rangle \Rightarrow t\ matches\ \gamma\ m\ p \longleftrightarrow matches\ \gamma\ m'\ p$ 
hence  $\Gamma, \gamma, p \vdash \langle [Rule\ m'\ a], s \rangle \Rightarrow t$ 
  by (induction [Rule m a] s t rule: iptables-bigstep-induct)
    (auto intro: iptables-bigstep.intros simp: Cons-eq-append-conv dest: skipD)
}
with assms show ?thesis by blast
qed

```

```

lemma matches-rule-and-simp-help:
  assumes matches  $\gamma\ m\ p$ 
  shows  $\Gamma, \gamma, p \vdash \langle [Rule\ (MatchAnd\ m\ m')\ a], Undecided \rangle \Rightarrow t \longleftrightarrow \Gamma, \gamma, p \vdash \langle [Rule\ m'\ a], Undecided \rangle \Rightarrow t$  (is ?l  $\longleftrightarrow$  ?r)
proof
  assume ?l thus ?r
  by (induction [Rule (MatchAnd m m') a] Undecided t rule: iptables-bigstep-induct)
    (auto intro: iptables-bigstep.intros simp: assms Cons-eq-append-conv dest: skipD)
next
  assume ?r thus ?l
  by (induction [Rule m' a] Undecided t rule: iptables-bigstep-induct)
    (auto intro: iptables-bigstep.intros simp: assms Cons-eq-append-conv dest: skipD)
qed

```

```

lemma matches-MatchNot-simp:
  assumes matches  $\gamma\ m\ p$ 
  shows  $\Gamma, \gamma, p \vdash \langle [Rule\ (MatchNot\ m)\ a], Undecided \rangle \Rightarrow t \longleftrightarrow \Gamma, \gamma, p \vdash \langle [], Undecided \rangle \Rightarrow t$  (is ?l  $\longleftrightarrow$  ?r)
proof
  assume ?l thus ?r
  by (induction [Rule (MatchNot m) a] Undecided t rule: iptables-bigstep-induct)
    (auto intro: iptables-bigstep.intros simp: assms Cons-eq-append-conv dest: skipD)
next
  assume ?r
  hence t = Undecided
  by (metis skipD)
  with assms show ?l
  by (fastforce intro: nomatch)
qed

```

```

lemma matches-MatchNotAnd-simp:
  assumes matches  $\gamma\ m\ p$ 
  shows  $\Gamma, \gamma, p \vdash \langle [Rule\ (MatchAnd\ (MatchNot\ m)\ m')\ a], Undecided \rangle \Rightarrow t \longleftrightarrow \Gamma, \gamma, p \vdash \langle [], Undecided \rangle \Rightarrow t$  (is ?l  $\longleftrightarrow$  ?r)
proof
  assume ?l thus ?r
  by (induction [Rule (MatchAnd (MatchNot m) m') a] Undecided t rule: iptables-bigstep-induct)

```

```

      (auto intro: iptables-bigstep.intros simp add: assms Cons-eq-append-conv dest:
skipD)
next
  assume ?r
  hence t = Undecided
  by (metis skipD)
  with assms show ?l
  by (fastforce intro: nomatch)
qed

```

```

lemma matches-rule-and-simp:
  assumes matches  $\gamma$  m p
  shows  $\Gamma, \gamma, p \vdash \langle [Rule (MatchAnd m m') a], s \rangle \Rightarrow t \longleftrightarrow \Gamma, \gamma, p \vdash \langle [Rule m' a], s \rangle \Rightarrow t$ 
proof (cases s)
  case Undecided
  with assms show ?thesis
  by (simp add: matches-rule-and-simp-help)
next
  case Decision
  thus ?thesis by (metis decision decisionD)
qed

```

```

lemma iptables-bigstep-MatchAnd-comm:
   $\Gamma, \gamma, p \vdash \langle [Rule (MatchAnd m1 m2) a], s \rangle \Rightarrow t \longleftrightarrow \Gamma, \gamma, p \vdash \langle [Rule (MatchAnd m2 m1) a], s \rangle \Rightarrow t$ 
proof -
  { fix m1 m2
    have  $\Gamma, \gamma, p \vdash \langle [Rule (MatchAnd m1 m2) a], s \rangle \Rightarrow t \implies \Gamma, \gamma, p \vdash \langle [Rule (MatchAnd m2 m1) a], s \rangle \Rightarrow t$ 
    proof (induction [Rule (MatchAnd m1 m2) a] s t rule: iptables-bigstep-induct)
      case Seq thus ?case
      by (metis Nil-is-append-conv append-Nil butlast-append butlast-snoc seq)
    qed (auto intro: iptables-bigstep.intros)
  }
  thus ?thesis by blast
qed

```

**definition** *add-match* :: 'a match-expr  $\Rightarrow$  'a rule list  $\Rightarrow$  'a rule list **where**  
*add-match* m rs = map ( $\lambda r$ . case r of Rule m' a'  $\Rightarrow$  Rule (MatchAnd m m') a') rs

```

lemma add-match-split: add-match m (rs1@rs2) = add-match m rs1 @ add-match m rs2
  unfolding add-match-def
  by (fact map-append)

```

```

lemma add-match-split-fst: add-match m (Rule m' a' # rs) = Rule (MatchAnd

```



```

m m') a' # add-match m rs
unfolding add-match-def
by simp

```

**lemma** *matches-add-match-simp*:

**assumes** *m*: *matches*  $\gamma$  *m* *p*

**shows**  $\Gamma, \gamma, p \vdash \langle \text{add-match } m \text{ } rs, s \rangle \Rightarrow t \longleftrightarrow \Gamma, \gamma, p \vdash \langle rs, s \rangle \Rightarrow t$  (**is**  $?l \longleftrightarrow ?r$ )

**proof**

**assume**  $?l$  **with** *m* **show**  $?r$

**proof** (*induction* *rs*)

**case** *Nil*

**thus**  $?case$

**unfolding** *add-match-def* **by** *simp*

**next**

**case** (*Cons* *r* *rs*)

**thus**  $?case$

**apply**(*cases* *r*)

**apply**(*simp* *only*: *add-match-split-fst*)

**apply**(*erule* *seqE-cons*)

**apply**(*simp* *only*: *matches-rule-and-simp*)

**apply**(*metis* *decision* *state.exhaust* *iptables-bigstep-deterministic* *seq-cons*)

**done**

**qed**

**next**

**assume**  $?r$  **with** *m* **show**  $?l$

**proof** (*induction* *rs*)

**case** *Nil*

**thus**  $?case$

**unfolding** *add-match-def* **by** *simp*

**next**

**case** (*Cons* *r* *rs*)

**thus**  $?case$

**apply**(*cases* *r*)

**apply**(*simp* *only*: *add-match-split-fst*)

**apply**(*erule* *seqE-cons*)

**apply**(*subst*(*asm*) *matches-rule-and-simp*[*symmetric*])

**apply**(*simp*)

**apply**(*metis* *decision* *state.exhaust* *iptables-bigstep-deterministic* *seq-cons*)

**done**

**qed**

**qed**

**lemma** *matches-add-match-MatchNot-simp*:

**assumes** *m*: *matches*  $\gamma$  *m* *p*

**shows**  $\Gamma, \gamma, p \vdash \langle \text{add-match } (\text{MatchNot } m) \text{ } rs, s \rangle \Rightarrow t \longleftrightarrow \Gamma, \gamma, p \vdash \langle [], s \rangle \Rightarrow t$  (**is**  $?l \longleftrightarrow ?r$  *s*)

**proof** (*cases* *s*)

**case** *Undecided*

```

have ?l Undecided  $\longleftrightarrow$  ?r Undecided
proof
  assume ?l Undecided with m show ?r Undecided
  proof (induction rs)
    case Nil
    thus ?case
      unfolding add-match-def by simp
  next
    case (Cons r rs)
    thus ?case
      by (cases r) (metis matches-MatchNotAnd-simp skipD seqE-cons
add-match-split-fst)
  qed
next
  assume ?r Undecided with m show ?l Undecided
  proof (induction rs)
    case Nil
    thus ?case
      unfolding add-match-def by simp
  next
    case (Cons r rs)
    thus ?case
      by (cases r) (metis matches-MatchNotAnd-simp skipD seq'-cons
add-match-split-fst)
  qed
qed
with Undecided show ?thesis by fast
next
  case (Decision d)
  thus ?thesis
    by (metis decision decisionD)
qed

```

**lemma** *not-matches-add-match-simp*:

```

assumes  $\neg$  matches  $\gamma$  m p
shows  $\Gamma, \gamma, p \vdash \langle \text{add-match } m \text{ } rs, \text{ Undecided} \rangle \Rightarrow t \longleftrightarrow \Gamma, \gamma, p \vdash \langle [], \text{ Undecided} \rangle \Rightarrow$ 
 $t$ 
proof (induction rs)
  case Nil
  thus ?case
    unfolding add-match-def by simp
next
  case (Cons r rs)
  thus ?case
    by (cases r) (metis assms add-match-split-fst matches.simps(1) nomatch
seq'-cons nomatchD seqE-cons)
  qed

```

**lemma** *iptables-bigstep-add-match-notnot-simp*:

```

 $\Gamma, \gamma, p \vdash \langle \text{add-match } (\text{MatchNot } (\text{MatchNot } m)) \text{ } rs, s \rangle \Rightarrow t \longleftrightarrow \Gamma, \gamma, p \vdash \langle \text{add-match } m \text{ } rs, s \rangle \Rightarrow t$ 
proof(induction rs)
  case Nil
  thus ?case
  unfolding add-match-def by simp
next
  case (Cons r rs)
  thus ?case
  by (cases r)
  (metis decision decisionD state.exhaust matches.simps(2) matches-add-match-simp not-matches-add-match-simp)
qed

```

**lemma** *not-matches-add-matchNot-simp*:

```

 $\neg \text{matches } \gamma \text{ } m \text{ } p \implies \Gamma, \gamma, p \vdash \langle \text{add-match } (\text{MatchNot } m) \text{ } rs, s \rangle \Rightarrow t \longleftrightarrow \Gamma, \gamma, p \vdash \langle rs, s \rangle \Rightarrow t$ 
by (simp add: matches-add-match-simp)

```

**lemma** *iptables-bigstep-add-match-and*:

```

 $\Gamma, \gamma, p \vdash \langle \text{add-match } m1 \text{ } (\text{add-match } m2 \text{ } rs), s \rangle \Rightarrow t \longleftrightarrow \Gamma, \gamma, p \vdash \langle \text{add-match } (\text{MatchAnd } m1 \text{ } m2) \text{ } rs, s \rangle \Rightarrow t$ 
proof(induction rs arbitrary: s t)
  case Nil
  thus ?case
  unfolding add-match-def by simp
next
  case(Cons r rs)
  show ?case
  proof (cases r, simp only: add-match-split-fst)
    fix m a
    show  $\Gamma, \gamma, p \vdash \langle \text{Rule } (\text{MatchAnd } m1 \text{ } (\text{MatchAnd } m2 \text{ } m)) \text{ } a \text{ } \# \text{ add-match } m1 \text{ } (\text{add-match } m2 \text{ } rs), s \rangle \Rightarrow t \longleftrightarrow \Gamma, \gamma, p \vdash \langle \text{Rule } (\text{MatchAnd } (\text{MatchAnd } m1 \text{ } m2) \text{ } m) \text{ } a \text{ } \# \text{ add-match } (\text{MatchAnd } m1 \text{ } m2) \text{ } rs, s \rangle \Rightarrow t \text{ (is } ?l \longleftrightarrow ?r)$ 
    proof
      assume ?l with Cons.IH show ?r
      apply  $-$ 
      apply(erule seqE-cons)
      apply(case-tac s)
      apply(case-tac ti)
      apply (metis matches.simps(1) matches-rule-and-simp matches-rule-and-simp-help nomatch seq'-cons)
      apply (metis add-match-split-fst matches.simps(1) matches-add-match-simp not-matches-add-match-simp seq-cons)
      apply (metis decision decisionD)
      done
    next
    assume ?r with Cons.IH show ?l
    apply  $-$ 

```

```

      apply(erule seqE-cons)
      apply(case-tac s)
      apply(case-tac ti)
      apply (metis matches.simps(1) matches-rule-and-simp matches-rule-and-simp-help
nomatch seq'-cons)
      apply (metis add-match-split-fst matches.simps(1) matches-add-match-simp
not-matches-add-match-simp seq-cons)
      apply (metis decision decisionD)
      done
    qed
  qed
qed

end
theory Call-Return-Unfolding
imports Matching
begin

```

### 3 Call Return Unfolding

Remove *Returns*

```

fun process-ret :: 'a rule list  $\Rightarrow$  'a rule list where
  process-ret [] = [] |
  process-ret (Rule m Return # rs) = add-match (MatchNot m) (process-ret rs) |
  process-ret (r#rs) = r # process-ret rs

```

Remove *Calls*

```

fun process-call :: 'a ruleset  $\Rightarrow$  'a rule list  $\Rightarrow$  'a rule list where
  process-call  $\Gamma$  [] = [] |
  process-call  $\Gamma$  (Rule m (Call chain) # rs) = add-match m (process-ret (the ( $\Gamma$ 
chain))) @ process-call  $\Gamma$  rs |
  process-call  $\Gamma$  (r#rs) = r # process-call  $\Gamma$  rs

```

**lemma** process-ret-split-fst-Return:

```

  a = Return  $\implies$  process-ret (Rule m a # rs) = add-match (MatchNot m)
(process-ret rs)
by auto

```

**lemma** process-ret-split-fst-NegReturn:

```

  a  $\neq$  Return  $\implies$  process-ret((Rule m a) # rs) = (Rule m a) # (process-ret rs)
by (cases a) auto

```

**lemma** add-match-simp: add-match m = map ( $\lambda r$ . Rule (MatchAnd m (get-match r)) (get-action r))

**by** (auto simp: add-match-def cong: map-cong split: rule.split)

**definition** add-missing-ret-unfoldings :: 'a rule list  $\Rightarrow$  'a rule list  $\Rightarrow$  'a rule list **where**

$\text{add-missing-ret-unfoldings } rs1 \ rs2 \equiv$   
 $\text{foldr } (\lambda rf \ acc. \text{add-match } (\text{MatchNot } (\text{get-match } rf)) \circ acc) [r \leftarrow rs1. \text{get-action}$   
 $r = \text{Return}] \text{id } rs2$

**fun** *MatchAnd-foldr* :: 'a match-expr list  $\Rightarrow$  'a match-expr **where**  
 $\text{MatchAnd-foldr } [] = \text{undefined} \mid$   
 $\text{MatchAnd-foldr } [e] = e \mid$   
 $\text{MatchAnd-foldr } (e \# es) = \text{MatchAnd } e (\text{MatchAnd-foldr } es)$   
**fun** *add-match-MatchAnd-foldr* :: 'a match-expr list  $\Rightarrow$  ('a rule list  $\Rightarrow$  'a rule list)  
**where**  
 $\text{add-match-MatchAnd-foldr } [] = \text{id} \mid$   
 $\text{add-match-MatchAnd-foldr } es = \text{add-match } (\text{MatchAnd-foldr } es)$

**lemma** *add-match-add-match-MatchAnd-foldr*:  
 $\Gamma, \gamma, p \vdash \langle \text{add-match } m (\text{add-match-MatchAnd-foldr } ms \ rs2), s \rangle \Rightarrow t = \Gamma, \gamma, p \vdash$   
 $\langle \text{add-match } (\text{MatchAnd-foldr } (m \# ms)) \ rs2, s \rangle \Rightarrow t$   
**proof** (*induction ms*)  
**case** *Nil*  
**show** ?case **by** (*simp add: add-match-def*)  
**next**  
**case** *Cons*  
**thus** ?case **by** (*simp add: iptables-bigstep-add-match-and*)  
**qed**

**lemma** *add-match-MatchAnd-foldr-empty-rs2*:  $\text{add-match-MatchAnd-foldr } ms [] =$   
 $[]$   
**by** (*induction ms*) (*simp-all add: add-match-def*)

**lemma** *add-missing-ret-unfoldings-alt*:  $\Gamma, \gamma, p \vdash \langle \text{add-missing-ret-unfoldings } rs1 \ rs2,$   
 $s \rangle \Rightarrow t \iff$   
 $\Gamma, \gamma, p \vdash \langle (\text{add-match-MatchAnd-foldr } (\text{map } (\lambda r. \text{MatchNot } (\text{get-match } r)) [r \leftarrow rs1. \text{get-action}$   
 $r = \text{Return}])) \ rs2, s \rangle \Rightarrow t$   
**proof**(*induction rs1*)  
**case** *Nil*  
**thus** ?case  
**unfolding** *add-missing-ret-unfoldings-def* **by** *simp*  
**next**  
**case** (*Cons r rs*)  
**from** *Cons* **obtain** *m a* **where**  $r = \text{Rule } m \ a$  **by**(*cases r*) (*simp*)  
**with** *Cons* **show** ?case  
**unfolding** *add-missing-ret-unfoldings-def*  
**apply**(*cases matches*  $\gamma \ m \ p$ )  
**apply** (*simp-all add: matches-add-match-simp matches-add-match-MatchNot-simp*  
*add-match-add-match-MatchAnd-foldr[symmetric]*)  
**done**  
**qed**

**lemma** *add-match-add-missing-ret-unfoldings-rot*:

$\Gamma, \gamma, p \vdash \langle \text{add-match } m \ (\text{add-missing-ret-unfoldings } rs1 \ rs2), s \rangle \Rightarrow t =$   
 $\Gamma, \gamma, p \vdash \langle \text{add-missing-ret-unfoldings } (\text{Rule } (\text{MatchNot } m) \ \text{Return}\#rs1) \ rs2, s \rangle$   
 $\Rightarrow t$   
**by** (*simp add: add-missing-ret-unfoldings-def iptables-bigstep-add-match-notnot-simp*)

### 3.1 Completeness

**lemma** *process-ret-split-obvious*:  $\text{process-ret } (rs_1 \ @ \ rs_2) =$   
 $(\text{process-ret } rs_1) \ @ \ (\text{add-missing-ret-unfoldings } rs_1 \ (\text{process-ret } rs_2))$   
**unfolding** *add-missing-ret-unfoldings-def*  
**proof** (*induction rs<sub>1</sub> arbitrary: rs<sub>2</sub>*)  
**case** (*Cons r rs*)  
**thus** ?*case*  
**apply** (*cases r*)  
**apply** (*rename-tac m a*)  
**apply** (*case-tac a*)  
**apply** (*simp-all add: add-match-split*)  
**done**  
**qed** *simp*

**lemma** *add-match-distrib*:  
 $\Gamma, \gamma, p \vdash \langle \text{add-match } m1 \ (\text{add-match } m2 \ rs), s \rangle \Rightarrow t \longleftrightarrow \Gamma, \gamma, p \vdash \langle \text{add-match } m2$   
 $(\text{add-match } m1 \ rs), s \rangle \Rightarrow t$   
**proof** –  
{  
**fix** *m1 m2*  
**have**  $\Gamma, \gamma, p \vdash \langle \text{add-match } m1 \ (\text{add-match } m2 \ rs), s \rangle \Rightarrow t \Longrightarrow \Gamma, \gamma, p \vdash \langle \text{add-match}$   
 $m2 \ (\text{add-match } m1 \ rs), s \rangle \Rightarrow t$   
**proof** (*induction rs arbitrary: s*)  
**case** (*Cons r rs*)  
**thus** ?*case*  
**apply** (*cases r, rename-tac m a*)  
**apply** (*simp add: add-match-split-fst*)  
**apply** (*erule seqE-cons*)  
**apply** (*rule-tac t=ti in seq'-cons*)  
**apply** (*metis decision decisionD state.exhaust iptables-bigstep-deterministic*  
*matches.simps(1) matches-rule-and-simp nomatch*)  
**apply** (*simp*)  
**done**  
**qed** (*simp add: add-match-def*)  
}  
**thus** ?*thesis* **by** *blast*  
**qed**

**lemma** *add-missing-ret-unfoldings-emptyrs2*:  $\text{add-missing-ret-unfoldings } rs1 \ [] =$   
 $[]$   
**unfolding** *add-missing-ret-unfoldings-def*  
**by** (*induction rs1*) (*simp-all add: add-match-def*)

```

lemma process-call-split: process-call  $\Gamma$  (rs1 @ rs2) = process-call  $\Gamma$  rs1 @ process-call
 $\Gamma$  rs2
  proof (induction rs1)
    case (Cons r rs1)
    thus ?case
      apply(cases r, rename-tac m a)
      apply(case-tac a)
      apply(simp-all)
    done
  qed simp

lemma add-match-split-fst': add-match m (a # rs) = add-match m [a] @ add-match
m rs
  by (simp add: add-match-split[symmetric])

lemma process-call-split-fst: process-call  $\Gamma$  (a # rs) = process-call  $\Gamma$  [a] @ process-call
 $\Gamma$  rs
  by (simp add: process-call-split[symmetric])

lemma  $\Gamma, \gamma, p \vdash \langle rs, Undecided \rangle \Rightarrow t \Longrightarrow \Gamma, \gamma, p \vdash \langle process-ret\ rs, Undecided \rangle \Rightarrow t$ 
  apply(induction rs)
  apply(simp)
  apply(rename-tac r rs)
  apply(case-tac r, rename-tac m' a')
  apply(case-tac a')
  apply(simp-all)
  apply (metis acceptD decision decisionD nomatchD seqE-cons seq-cons)
  apply (metis decision decisionD dropD nomatchD seqE-cons seq-cons)
  apply (metis logD nomatchD seqE-cons seq-cons)
  apply (metis decision decisionD nomatchD rejectD seqE-cons seq-cons)
  apply(erule seqE-cons)
  apply(case-tac ti)
  apply(simp)
  apply(frule iptables-bigstep-to-undecided)
  apply(clarsimp)
  apply (metis seq'-cons)
  apply(simp)
  apply (metis decision iptables-bigstep-deterministic seq-cons)
  apply (metis matches.simps(2) matches-add-match-simp no-free-return-seq nomatchD
seq seqE-cons skip)
  apply(erule seqE-cons)
  apply(case-tac ti)
  apply(simp)
  apply (metis seq'-cons)
  apply (metis decision decisionD seq'-cons)
  apply(erule seqE-cons)
  apply(case-tac ti)
  apply(simp)
  apply (metis seq'-cons)

```

```

by (metis decision iptables-bigstep-deterministic seq-cons)

lemma iptables-bigstep-process-ret-undecided:  $\Gamma, \gamma, p \vdash \langle rs, Undecided \rangle \Rightarrow t \implies$ 
 $\Gamma, \gamma, p \vdash \langle process-ret\ rs, Undecided \rangle \Rightarrow t$ 
proof (induction rs)
  case (Cons r rs)
  show ?case
  proof (cases r)
    case (Rule m' a')
    show ?thesis
    proof (cases a')
      case Accept
      with Cons Rule show ?thesis
      by simp (metis acceptD decision decisionD nomatchD seqE-cons seq-cons)
    next
      case Drop
      with Cons Rule show ?thesis
      by simp (metis decision decisionD dropD nomatchD seqE-cons seq-cons)
    next
      case Log
      with Cons Rule show ?thesis
      by simp (metis logD nomatchD seqE-cons seq-cons)
    next
      case Reject
      with Cons Rule show ?thesis
      by simp (metis decision decisionD nomatchD rejectD seqE-cons seq-cons)
    next
      case Call
      show ?thesis
      apply (insert Call Cons Rule)
      apply (erule seqE-cons)
      apply (case-tac ti)
      apply (simp)
      apply (frule iptables-bigstep-to-undecided)
      apply (clarsimp)
      apply (metis seq'-cons)
      apply (simp)
      apply (metis decision iptables-bigstep-deterministic seq-cons)
      done
    next
      case Return
      with Cons Rule show ?thesis
      by simp (metis matches.simps(2) matches-add-match-simp no-free-return-seq
nomatchD seq seqE-cons skip)
    next
      case Empty
      show ?thesis
      apply (insert Empty Cons Rule)
      apply (erule seqE-cons)

```



```

    apply (rename-tac ti)
    apply(case-tac ti)
    apply (metis process-ret.simps(8) seq'-cons)
    apply (metis Rule-DecisionE emptyD state.distinct(1))
    done
  next
  case Unknown
  show ?thesis
    apply (insert Unknown Cons Rule)
    apply(erule seqE-cons)
    apply(case-tac ti)
    apply (metis process-ret.simps(9) seq'-cons)
    apply (metis decision iptables-bigstep-deterministic process-ret.simps(9)
seq-cons)
  done
qed
qed
qed simp

```

**lemma** *add-match-rot-add-missing-ret-unfoldings*:

```

 $\Gamma, \gamma, p \vdash \langle \text{add-match } m \ (\text{add-missing-ret-unfoldings } rs1 \ rs2), \text{Undecided} \rangle \Rightarrow \text{Undecided} =$ 
 $\Gamma, \gamma, p \vdash \langle \text{add-missing-ret-unfoldings } rs1 \ (\text{add-match } m \ rs2), \text{Undecided} \rangle \Rightarrow \text{Undecided}$ 
apply(simp add: add-missing-ret-unfoldings-alt add-match-add-missing-ret-unfoldings-rot
add-match-add-match-MatchAnd-foldr[symmetric] iptables-bigstep-add-match-notnot-simp)
apply(cases map ( $\lambda r. \text{MatchNot } (\text{get-match } r)$ ) [ $r \leftarrow rs1 . (\text{get-action } r) = \text{Return}$ ])
apply(simp-all add: add-match-distrib)
done

```

Completeness

**theorem** *unfolding-complete*:  $\Gamma, \gamma, p \vdash \langle rs, s \rangle \Rightarrow t \implies \Gamma, \gamma, p \vdash \langle \text{process-call } \Gamma \ rs, s \rangle \Rightarrow t$

```

proof (induction rule: iptables-bigstep-induct)
  case (Nomatch m a)
  thus ?case
    by (cases a) (auto intro: iptables-bigstep.intros simp add: not-matches-add-match-simp
skip)
  next
  case Seq
  thus ?case
    by (simp add: process-call-split seq')
  next
  case (Call-return m a chain rs1 m' rs2)
  hence  $\Gamma, \gamma, p \vdash \langle rs_1, \text{Undecided} \rangle \Rightarrow \text{Undecided}$ 
    by simp
  hence  $\Gamma, \gamma, p \vdash \langle \text{process-ret } rs_1, \text{Undecided} \rangle \Rightarrow \text{Undecided}$ 
    by (rule iptables-bigstep-process-ret-undecided)
  with Call-return have  $\Gamma, \gamma, p \vdash \langle \text{process-ret } rs_1 \ @ \ \text{add-missing-ret-unfoldings } rs_1$ 

```

```

(add-match (MatchNot m') (process-ret rs₂)), Undecided) ⇒ Undecided
  by (metis matches-add-match-MatchNot-simp skip add-match-rot-add-missing-ret-unfoldings
seq')
  with Call-return show ?case
  by (simp add: matches-add-match-simp process-ret-split-obvious)
next
case Call-result
thus ?case
  by (simp add: matches-add-match-simp iptables-bigstep-process-ret-undecided)
qed (auto intro: iptables-bigstep.intros)

```

**lemma** *process-ret-cases*:

```

process-ret rs = rs ∨ (∃ rs₁ rs₂ m. rs = rs₁@[Rule m Return]@rs₂ ∧ (process-ret
rs) = rs₁@(process-ret ([Rule m Return]@rs₂)))
proof (induction rs)
case (Cons r rs)
thus ?case
  apply (cases r, rename-tac m' a')
  apply (case-tac a')
  apply (simp-all)
  apply (erule disjE, simp, rule disjI2, elim exE, simp add: process-ret-split-obvious,
metis append-Cons process-ret-split-obvious process-ret.simps(2))+
  apply (rule disjI2)
  apply (rule-tac x=[] in exI)
  apply (rule-tac x=rs in exI)
  apply (rule-tac x=m' in exI)
  apply (simp)
  apply (erule disjE, simp, rule disjI2, elim exE, simp add: process-ret-split-obvious,
metis append-Cons process-ret-split-obvious process-ret.simps(2))+
done
qed simp

```

**lemma** *process-ret-splitcases*:

```

obtains (id) process-ret rs = rs
  | (split) rs₁ rs₂ m where rs = rs₁@[Rule m Return]@rs₂ and process-ret
rs = rs₁@(process-ret ([Rule m Return]@rs₂))
by (metis process-ret-cases)

```

**lemma** *iptables-bigstep-process-ret-cases3-help*:

```

Γ, γ, p ⊢ ⟨process-ret rs, Undecided⟩ ⇒ Undecided ⇒
(Γ, γ, p ⊢ ⟨rs, Undecided⟩ ⇒ Undecided) ∨
(∃ rs₁ rs₂ m. rs = rs₁@[Rule m Return]@rs₂ ∧ Γ, γ, p ⊢ ⟨rs₁, Undecided⟩ ⇒
Undecided ∧ matches γ m p)
proof (induction rs)
case (Cons r rs)
thus ?case

```

**apply**(*cases* *r*, *rename-tac* *rm* *ra*)

**apply**(*case-tac* *ra*  $\neq$  *Return*)  
**apply**(*simp* *add*: *process-ret-split-fst-NeqReturn*)  
**apply**(*erule* *seqE-cons*)  
**apply**(*frule* *iptables-bigstep-to-undecided*)  
**apply**(*simp*)  
**apply**(*erule* *disjE*)  
**apply**(*rule* *disjI1*)  
**using** *seq* **apply** *fastforce*

**apply**(*rule* *disjI2*)  
**apply**(*erule* *exE*)  
**apply**(*clarify*)  
**apply**(*rule-tac* *x*=*Rule* *rm* *ra*  $\#$  *rs*<sub>1</sub> **in** *exI*)  
**apply**(*rule-tac* *x*=*rs*<sub>2</sub> **in** *exI*)  
**apply**(*rule-tac* *x*=*m* **in** *exI*)  
**apply** *simp*  
**using** *seq-cons* **apply** *fast*

**apply**(*simp*)

**apply**(*case-tac* *matches*  $\gamma$  *rm* *p*)  
**apply**(*simp* *add*: *matches-add-match-MatchNot-simp* *skip*)  
**apply**(*rule* *disjI2*)  
**apply**(*rule-tac* *x*=[] **in** *exI*)  
**apply**(*rule-tac* *x*=*rs* **in** *exI*)  
**apply**(*rule-tac* *x*=*rm* **in** *exI*)  
**apply**(*simp* *add*: *skip*)

**apply**(*simp* *add*: *not-matches-add-matchNot-simp*)  
**apply**(*erule* *disjE*)  
**apply**(*rule* *disjI1*)  
**using** *seq-cons* *nomatch* **apply** *fast*

**apply**(*rule* *disjI2*)  
**apply**(*clarify*)  
**apply**(*rule-tac* *x*=*Rule* *rm* *Return*  $\#$  *rs*<sub>1</sub> **in** *exI*)  
**apply**(*rule-tac* *x*=*rs*<sub>2</sub> **in** *exI*)  
**apply**(*rule-tac* *x*=*m* **in** *exI*)  
**apply**(*simp*)  
**using** *nomatch* *seq-cons* **apply** *fast*  
**done**

**qed** *simp*

**lemma** *iptables-bigstep-process-ret-cases3*:  
**assumes**  $\Gamma, \gamma, p \vdash \langle \text{process-ret } rs, \text{Undecided} \rangle \Rightarrow \text{Undecided}$

**obtains** (*noreturn*)  $\Gamma, \gamma, p \vdash \langle rs, Undecided \rangle \Rightarrow Undecided$   
 $\quad | \text{ (return) } rs_1 \ rs_2 \ m \ \mathbf{where} \ rs = rs_1 @ [Rule \ m \ Return] @ rs_2 \ \Gamma, \gamma, p \vdash \langle rs_1,$   
 $Undecided \rangle \Rightarrow Undecided \text{ matches } \gamma \ m \ p$   
**using** *assms* **by** (*metis iptables-bigstep-process-ret-cases3-help*)

**lemma** *add-match-match-not-cases*:

$\Gamma, \gamma, p \vdash \langle \text{add-match } (MatchNot \ m) \ rs, Undecided \rangle \Rightarrow Undecided \implies \text{matches } \gamma$   
 $m \ p \vee \Gamma, \gamma, p \vdash \langle rs, Undecided \rangle \Rightarrow Undecided$   
**by** (*metis matches.simps(2) matches-add-match-simp*)

**lemma** *iptables-bigstep-process-ret-DecisionD*:  $\Gamma, \gamma, p \vdash \langle \text{process-ret } rs, s \rangle \Rightarrow Decision \ X \implies \Gamma, \gamma, p \vdash \langle rs, s \rangle \Rightarrow Decision \ X$

**proof** (*induction rs arbitrary: s*)

**case** (*Cons r rs*)

**thus** *?case*

**apply**(*cases r, rename-tac m a*)

**apply**(*clarify*)

**apply**(*case-tac a  $\neq$  Return*)

**apply**(*simp add: process-ret-split-fst-NeqReturn*)

**apply**(*erule seqE-cons*)

**apply**(*simp add: seq'-cons*)

**apply**(*simp*)

**apply**(*case-tac matches  $\gamma \ m \ p$* )

**apply**(*simp add: matches-add-match-MatchNot-simp skip*)

**apply** (*metis decision skipD*)

**apply**(*simp add: not-matches-add-matchNot-simp*)

**by** (*metis decision state.exhaust nomatch seq'-cons*)

**qed** *simp*

**lemma** *free-return-not-match*:  $\Gamma, \gamma, p \vdash \langle [Rule \ m \ Return], Undecided \rangle \Rightarrow t \implies \neg$   
 $\text{matches } \gamma \ m \ p$

**using** *no-free-return* **by** *fast*

### 3.2 Background Ruleset Updating

**lemma** *update-Gamma-nomatch*:

**assumes**  $\neg \text{matches } \gamma \ m \ p$

**shows**  $\Gamma(\text{chain} \mapsto Rule \ m \ a \ \# \ rs), \gamma, p \vdash \langle rs', s \rangle \Rightarrow t \longleftrightarrow \Gamma(\text{chain} \mapsto rs), \gamma, p \vdash$   
 $\langle rs', s \rangle \Rightarrow t \text{ (is } ?l \longleftrightarrow ?r)$

**proof**

**assume** *?l* **thus** *?r*

**proof** (*induction rs' s t rule: iptables-bigstep-induct*)

**case** (*Call-return m a chain' rs<sub>1</sub> m' rs<sub>2</sub>*)

**thus** *?case*

```

proof (cases chain' = chain)
  case True
  with Call-return show ?thesis
    apply simp
    apply (cases rs1)
    using assms apply fastforce
    apply (rule-tac rs1=list and m'=m' and rs2=rs2 in call-return)
    apply (simp)
    apply (simp)
    apply (simp)
    apply (simp)
    apply (erule seqE-cons[where  $\Gamma=(\lambda a. \text{if } a = \text{chain then Some } rs \text{ else } \Gamma \ a))$ ])
    apply (frule iptables-bigstep-to-undecided[where  $\Gamma=(\lambda a. \text{if } a = \text{chain then Some } rs \text{ else } \Gamma \ a))$ ])
    apply (simp)
    done
  qed (auto intro: call-return)
next
case (Call-result m' a' chain' rs' t')
have  $\Gamma(\text{chain} \mapsto rs), \gamma, p \vdash \langle [\text{Rule } m' (\text{Call chain}')], \text{Undecided} \rangle \Rightarrow t'$ 
proof (cases chain' = chain)
  case True
  with Call-result have Rule m a # rs = rs' ( $\Gamma(\text{chain} \mapsto rs)$ ) chain' =
Some rs
    by simp+
  with assms Call-result show ?thesis
    by (metis call-result nomatchD seqE-cons)
next
case False
  with Call-result show ?thesis
    by (metis call-result fun-upd-apply)
qed
with Call-result show ?case
  by fast
qed (auto intro: iptables-bigstep.intros)
next
assume ?r thus ?l
proof (induction rs' s t rule: iptables-bigstep-induct)
  case (Call-return m' a' chain' rs1)
  thus ?case
    proof (cases chain' = chain)
      case True
      with Call-return show ?thesis
        using assms
        by (auto intro: seq-cons nomatch intro!: call-return[where rs1 = Rule
m a # rs1])
      qed (auto intro: call-return)

```

```

next
  case (Call-result m' a' chain' rs')
  thus ?case
    proof (cases chain' = chain)
      case True
      with Call-result show ?thesis
        using assms by (auto intro: seq-cons nomatch intro!: call-result)
      qed (auto intro: call-result)
    qed (auto intro: iptables-bigstep.intros)
  qed

lemma update-Gamma-log-empty:
  assumes a = Log  $\vee$  a = Empty
  shows  $\Gamma(chain \mapsto Rule\ m\ a\ \# rs), \gamma, p \vdash \langle rs', s \rangle \Rightarrow t \longleftrightarrow$ 
     $\Gamma(chain \mapsto rs), \gamma, p \vdash \langle rs', s \rangle \Rightarrow t$  (is ?l  $\longleftrightarrow$  ?r)
  proof
    assume ?l thus ?r
      proof (induction rs' s t rule: iptables-bigstep-induct)
        case (Call-return m' a' chain' rs1 m'' rs2)

          note [simp] = fun-upd-apply[abs-def]

          from Call-return have  $\Gamma(chain \mapsto rs), \gamma, p \vdash \langle [Rule\ m'\ (Call\ chain')], Undecided \rangle \Rightarrow Undecided$  (is ?Call-return-case)
          proof (cases chain' = chain)
            case True with Call-return show ?Call-return-case
              — rs1 cannot be empty
            proof (cases rs1)
              case Nil with Call-return(3)  $\langle chain' = chain \rangle$  assms have False by
simp
              thus ?Call-return-case by simp
            next
              case (Cons r1 rs1s)
              from Cons Call-return have  $\Gamma(chain \mapsto rs), \gamma, p \vdash \langle r_1 \# rs_1s, Undecided \rangle \Rightarrow Undecided$  by blast
              with seqE-cons[where  $\Gamma = \Gamma(chain \mapsto rs)$ ] obtain ti where
                 $\Gamma(chain \mapsto rs), \gamma, p \vdash \langle [r_1], Undecided \rangle \Rightarrow ti$  and  $\Gamma(chain \mapsto rs), \gamma, p \vdash \langle rs_1s, ti \rangle \Rightarrow Undecided$  by metis
              with iptables-bigstep-to-undecided[where  $\Gamma = \Gamma(chain \mapsto rs)$ ] have  $\Gamma(chain \mapsto rs), \gamma, p \vdash \langle rs_1s, Undecided \rangle \Rightarrow Undecided$  by fast
              with Cons Call-return  $\langle chain' = chain \rangle$  show ?Call-return-case
                apply (rule-tac rs1=rs1s and m'=m'' and rs2=rs2 in call-return)
                apply (simp-all)
              done
            qed
          qed
        next
          case False with Call-return show ?Call-return-case
            by (auto intro: call-return)
          qed
      qed
  qed

```

```

      thus ?case using Call-return by blast
next
case (Call-result m' a' chain' rs' t')
thus ?case
proof (cases chain' = chain)
case True
with Call-result have rs' = [] @ [Rule m a] @ rs
by simp
with Call-result assms have  $\Gamma(\text{chain} \mapsto \text{rs}), \gamma, p \vdash \langle [] @ \text{rs}, \text{Undecided} \rangle$ 
 $\Rightarrow t'$ 
      using log-remove empty-empty by fast
      hence  $\Gamma(\text{chain} \mapsto \text{rs}), \gamma, p \vdash \langle \text{rs}, \text{Undecided} \rangle \Rightarrow t'$ 
      by simp
      with Call-result True show ?thesis
      by (metis call-result fun-upd-same)
qed (fastforce intro: call-result)
qed (auto intro: iptables-bigstep.intros)
next
have cases-a:  $\bigwedge P. (a = \text{Log} \Rightarrow P a) \Rightarrow (a = \text{Empty} \Rightarrow P a) \Rightarrow P a$ 
using assms by blast
assume ?r thus ?l
proof (induction rs' s t rule: iptables-bigstep-induct)
case (Call-return m' a' chain' rs1 m'' rs2)
from Call-return have xx:  $\Gamma(\text{chain} \mapsto \text{Rule } m \ a \ \# \ \text{rs}), \gamma, p \vdash \langle \text{Rule } m \ a \ \#$ 
rs1, Undecided  $\rangle \Rightarrow \text{Undecided}$ 
      apply -
      apply (rule cases-a)
      apply (auto intro: nomatch seq-cons intro!: log empty simp del: fun-upd-apply)
      done
      with Call-return show ?case
      proof (cases chain' = chain)
      case False
      with Call-return have x:  $(\Gamma(\text{chain} \mapsto \text{Rule } m \ a \ \# \ \text{rs})) \text{ chain}' = \text{Some}$ 
(rs1 @ Rule m'' Return # rs2)
      by (simp)
      with Call-return have  $\Gamma(\text{chain} \mapsto \text{Rule } m \ a \ \# \ \text{rs}), \gamma, p \vdash \langle [\text{Rule } m' \ (\text{Call}$ 
chain'), Undecided  $\rangle \Rightarrow \text{Undecided}$ 
      apply -
      apply (rule call-return[where rs1=rs1 and m'=m'' and rs2=rs2])
      apply (simp-all add: x xx del: fun-upd-apply)
      done
      thus  $\Gamma(\text{chain} \mapsto \text{Rule } m \ a \ \# \ \text{rs}), \gamma, p \vdash \langle [\text{Rule } m' \ a], \text{Undecided} \rangle \Rightarrow$ 
Undecided using Call-return by simp
      next
      case True
      with Call-return have x:  $(\Gamma(\text{chain} \mapsto \text{Rule } m \ a \ \# \ \text{rs})) \text{ chain}' = \text{Some}$ 
(Rule m a # rs1 @ Rule m'' Return # rs2)
      by (simp)
      with Call-return have  $\Gamma(\text{chain} \mapsto \text{Rule } m \ a \ \# \ \text{rs}), \gamma, p \vdash \langle [\text{Rule } m' \ (\text{Call}$ 

```

```

chain'), Undecided⟩ ⇒ Undecided
  apply -
    apply(rule call-return[where rs1=Rule m a#rs1 and m'=m'' and
rs2=rs2])
      apply(simp-all add: x xx del: fun-upd-apply)
    done
    thus Γ(chain ↦ Rule m a # rs), γ, p ⊢ ⟨[Rule m' a'], Undecided⟩ ⇒
Undecided using Call-return by simp
  qed
next
case (Call-result ma a chaina rs t)
thus ?case
  apply (cases chaina = chain)
  apply (rule cases-a)
  apply (auto intro: nomatch seq-cons intro!: log empty call-result)[2]
  by (auto intro!: call-result)[1]
qed (auto intro: iptables-bigstep.intros)
qed

```

**lemma** map-update-chain-if: (λb. if b = chain then Some rs else Γ b) = Γ(chain ↦ rs)  
 by auto

**lemma** no-recursive-calls-helper:  
 assumes Γ, γ, p ⊢ ⟨[Rule m (Call chain)], Undecided⟩ ⇒ t  
 and matches γ m p  
 and Γ chain = Some [Rule m (Call chain)]  
 shows False  
 using assms  
**proof** (induction [Rule m (Call chain)] Undecided t rule: iptables-bigstep-induct)  
 case Seq  
 thus ?case  
 by (metis Cons-eq-append-conv append-is-Nil-conv skipD)  
next  
case (Call-return chain' rs<sub>1</sub> m' rs<sub>2</sub>)  
hence rs<sub>1</sub> @ Rule m' Return # rs<sub>2</sub> = [Rule m (Call chain')]  
by simp  
thus ?case  
by (cases rs<sub>1</sub>) auto  
next  
case Call-result  
thus ?case  
by simp  
qed (auto intro: iptables-bigstep.intros)

**lemma** no-recursive-calls:  
 Γ(chain ↦ [Rule m (Call chain)]), γ, p ⊢ ⟨[Rule m (Call chain)], Undecided⟩ ⇒ t  
 ⇒ matches γ m p ⇒ False  
 by (fastforce intro: no-recursive-calls-helper)



```

lemma no-recursive-calls2:
  assumes  $\Gamma(\text{chain} \mapsto (\text{Rule } m \ (\text{Call } \text{chain})) \# rs''), \gamma, p \vdash \langle (\text{Rule } m \ (\text{Call } \text{chain})) \# rs', \text{Undecided} \rangle \Rightarrow \text{Undecided}$ 
  and  $\text{matches } \gamma \ m \ p$ 
  shows False
  using assms
  proof (induction  $(\text{Rule } m \ (\text{Call } \text{chain})) \# rs' \ \text{Undecided} \ \text{Undecided} \ \text{arbitrary}$ :
    rs' rule: iptables-bigstep-induct)
    case (Seq  $rs_1 \ rs_2 \ t$ )
    thus ?case
      by (cases  $rs_1$ ) (auto elim: seqE-cons simp add: iptables-bigstep-to-undecided)
  qed (auto intro: iptables-bigstep.intros simp: Cons-eq-append-conv)

```

```

lemma update-Gamma-nochange1:
  assumes  $\Gamma(\text{chain} \mapsto rs), \gamma, p \vdash \langle [\text{Rule } m \ a], \text{Undecided} \rangle \Rightarrow \text{Undecided}$ 
  and  $\Gamma(\text{chain} \mapsto \text{Rule } m \ a \# rs), \gamma, p \vdash \langle rs', s \rangle \Rightarrow t$ 
  shows  $\Gamma(\text{chain} \mapsto rs), \gamma, p \vdash \langle rs', s \rangle \Rightarrow t$ 
  using assms(2) proof (induction  $rs' \ s \ t \ \text{rule: iptables-bigstep-induct}$ )
    case (Call-return  $m \ a \ \text{chaina} \ rs_1 \ m' \ rs_2$ )
    thus ?case
      proof (cases  $\text{chaina} = \text{chain}$ )
        case True
          with Call-return show ?thesis
            apply simp
            apply (cases  $rs_1$ )
            apply (simp)
            using assms apply (metis no-free-return-hlp)
            apply (rule-tac  $rs_1 = \text{list} \ \text{and} \ m' = m' \ \text{and} \ rs_2 = rs_2 \ \text{in} \ \text{call-return}$ )
            apply (simp)
            apply (simp)
            apply (simp)
            apply (simp)
            apply (erule seqE-cons [where  $\Gamma = (\lambda a. \text{if } a = \text{chain} \text{ then } \text{Some } rs \text{ else } \Gamma$ 
               $a)])$ )
            apply (frule iptables-bigstep-to-undecided [where  $\Gamma = (\lambda a. \text{if } a = \text{chain} \text{ then } \text{Some } rs \text{ else } \Gamma \ a)])$ )
            apply (simp)
            done
          qed (auto intro: call-return)
        next
          case (Call-result  $m \ a \ \text{chaina} \ rsa \ t$ )
          thus ?case
            proof (cases  $\text{chaina} = \text{chain}$ )
              case True
                with Call-result show ?thesis
                  apply (simp)
                  apply (cases  $rsa$ )

```

```

    apply(simp)
    apply(rule-tac rs=rs in call-result)
    apply(simp-all)
    apply(erule-tac seqE-cons[where  $\Gamma=(\lambda b. \text{if } b = \text{chain then Some rs else}$ 
 $\Gamma \ b))]$ )
    apply(case-tac t)
    apply(simp)
    apply(frul iptables-bigstep-to-undecided[where  $\Gamma=(\lambda b. \text{if } b = \text{chain then}$ 
Some rs else  $\Gamma \ b)$ ])
    apply(simp)
    apply(simp)
    apply(subgoal-tac ti = Undecided)
    apply(simp)
    using assms(1)[simplified map-update-chain-if[symmetric]] iptables-bigstep-deterministic
  apply fast
    done
    qed (fastforce intro: call-result)
  qed (auto intro: iptables-bigstep.intros)

lemma update-gamme-remove-Undecidedpart:
  assumes  $\Gamma(\text{chain} \mapsto rs'), \gamma, p \vdash \langle rs', \text{Undecided} \rangle \Rightarrow \text{Undecided}$ 
  and  $\Gamma(\text{chain} \mapsto rs1@rs'), \gamma, p \vdash \langle rs, \text{Undecided} \rangle \Rightarrow \text{Undecided}$ 
  shows  $\Gamma(\text{chain} \mapsto rs'), \gamma, p \vdash \langle rs, \text{Undecided} \rangle \Rightarrow \text{Undecided}$ 
  using assms(2) proof (induction rs Undecided Undecided rule: iptables-bigstep-induct)
    case Seq
    thus ?case
      by (auto simp: iptables-bigstep-to-undecided intro: seq)
  next
    case (Call-return m a chaina rs1 m' rs2)
    thus ?case
      apply(cases chaina = chain)
      apply(simp)
      apply(cases length rs1 ≤ length rs1)
      apply(simp add: List.append-eq-append-conv-if)
      apply(rule-tac rs1=drop (length rs1) rs1 and m'=m' and rs2=rs2 in
call-return)
      apply(simp-all)[3]
      apply(subgoal-tac rs1 = (take (length rs1) rs1) @ drop (length rs1) rs1)
      prefer 2 apply (metis append-take-drop-id)
      apply(clarify)
      apply(subgoal-tac  $\Gamma(\text{chain} \mapsto \text{drop (length rs}_1) \text{ rs}_1 @ \text{Rule m' Return \#}$ 
rs2),  $\gamma, p \vdash$ 
       $\langle (\text{take (length rs}_1) \text{ rs}_1) @ \text{drop (length rs}_1) \text{ rs}_1, \text{Undecided} \rangle \Rightarrow \text{Undecided}$ )
      prefer 2 apply(auto)[1]
      apply(erule-tac rs1=take (length rs1) rs1 and rs2=drop (length rs1) rs1 in
seqE)
      apply(simp)
      apply(frul rule-tac rs=drop (length rs1) rs1 in iptables-bigstep-to-undecided)
      apply(simp)

```

```

    using assms apply (auto intro: call-result call-return)
  done
next
case (Call-result - - chain' rsa)
thus ?case
  apply(cases chain' = chain)
  apply(simp)
  apply(rule call-result)
  apply(simp-all)[2]
  apply (metis iptables-bigstep-to-undecided seqE)
  apply (auto intro: call-result)

done
qed (auto intro: iptables-bigstep.intros)

lemma update-Gamma-nocall:
  assumes  $\neg (\exists \text{chain. } a = \text{Call chain})$ 
  shows  $\Gamma, \gamma, p \vdash \langle [\text{Rule } m \ a], s \rangle \Rightarrow t \longleftrightarrow \Gamma', \gamma, p \vdash \langle [\text{Rule } m \ a], s \rangle \Rightarrow t$ 
  proof -
    {
      fix  $\Gamma \ \Gamma'$ 
      have  $\Gamma, \gamma, p \vdash \langle [\text{Rule } m \ a], s \rangle \Rightarrow t \implies \Gamma', \gamma, p \vdash \langle [\text{Rule } m \ a], s \rangle \Rightarrow t$ 
        proof (induction [Rule m a] s t rule: iptables-bigstep-induct)
          case Seq
            thus ?case by (metis (lifting, no-types) list-app-singletonE [where  $x =$ 
Rule m a] skipD)
          next
            case Call-return thus ?case using assms by metis
          next
            case Call-result thus ?case using assms by metis
          qed (auto intro: iptables-bigstep.intros)
        }
      thus ?thesis
        by blast
    }
  qed

lemma update-Gamma-call:
  assumes  $\Gamma \text{ chain} = \text{Some } rs$  and  $\Gamma' \text{ chain} = \text{Some } rs'$ 
  assumes  $\Gamma, \gamma, p \vdash \langle rs, \text{Undecided} \rangle \Rightarrow \text{Undecided}$  and  $\Gamma', \gamma, p \vdash \langle rs', \text{Undecided} \rangle \Rightarrow \text{Undecided}$ 
  shows  $\Gamma, \gamma, p \vdash \langle [\text{Rule } m \ (\text{Call chain})], s \rangle \Rightarrow t \longleftrightarrow \Gamma', \gamma, p \vdash \langle [\text{Rule } m \ (\text{Call chain})], s \rangle \Rightarrow t$ 
  proof -
    {
      fix  $\Gamma \ \Gamma' \ rs \ rs'$ 
      assume assms:
         $\Gamma \text{ chain} = \text{Some } rs \ \Gamma' \text{ chain} = \text{Some } rs'$ 
         $\Gamma, \gamma, p \vdash \langle rs, \text{Undecided} \rangle \Rightarrow \text{Undecided} \ \Gamma', \gamma, p \vdash \langle rs', \text{Undecided} \rangle \Rightarrow \text{Undecided}$ 
    }
  qed

```

```

      have  $\Gamma, \gamma, p \vdash \langle [Rule\ m\ (Call\ chain)],\ s \rangle \Rightarrow t \Longrightarrow \Gamma', \gamma, p \vdash \langle [Rule\ m\ (Call\ chain)],\ s \rangle \Rightarrow t$ 
    proof (induction  $[Rule\ m\ (Call\ chain)]\ s\ t$  rule: iptables-bigstep-induct)
      case Seq
        thus ?case by (metis (lifting, no-types) list-app-singletonE[where  $x = Rule\ m\ (Call\ chain)$ ] skipD)
      next
        case Call-result
          thus ?case
            using assms by (metis call-result iptables-bigstep-deterministic)
          qed (auto intro: iptables-bigstep.intros assms)
    }
    note * = this
    show ?thesis
      using *[OF assms(1-4)] *[OF assms(2,1,4,3)] by blast
  qed

```

**lemma** *update-Gamma-remove-call-undecided:*

```

assumes  $\Gamma(chain \mapsto Rule\ m\ (Call\ foo) \# rs'), \gamma, p \vdash \langle rs, Undecided \rangle \Rightarrow Undecided$ 
and matches  $\gamma\ m\ p$ 
shows  $\Gamma(chain \mapsto rs'), \gamma, p \vdash \langle rs, Undecided \rangle \Rightarrow Undecided$ 
using assms
proof (induction  $rs\ Undecided\ Undecided$  arbitrary: rule: iptables-bigstep-induct)
  case Seq
    thus ?case
      by (force simp: iptables-bigstep-to-undecided intro: seq')
  next
    case (Call-return  $m\ a\ chaina\ rs_1\ m'\ rs_2$ )
      thus ?case
        apply(cases  $chaina = chain$ )
        apply(cases  $rs_1$ )
        apply(force intro: call-return)
        apply(simp)
        apply(erule-tac  $\Gamma = \Gamma(chain \mapsto list\ @\ Rule\ m'\ Return\ \# rs_2)$  in seqE-cons)
        apply(frule-tac  $\Gamma = \Gamma(chain \mapsto list\ @\ Rule\ m'\ Return\ \# rs_2)$  in iptables-bigstep-to-undecided)
        apply(auto intro: call-return)
        done
  next
    case (Call-result  $m\ a\ chaina\ rsa$ )
      thus ?case
        apply(cases  $chaina = chain$ )
        apply(simp)
        apply (metis call-result fun-upd-same iptables-bigstep-to-undecided seqE-cons)
        apply (auto intro: call-result)
        done
  qed (auto intro: iptables-bigstep.intros)

```

### 3.3 process-ret correctness

**lemma** *process-ret-add-match-dist1*:  $\Gamma, \gamma, p \vdash \langle \text{process-ret } (\text{add-match } m \text{ } rs), s \rangle \Rightarrow t \Rightarrow \Gamma, \gamma, p \vdash \langle \text{add-match } m \text{ } (\text{process-ret } rs), s \rangle \Rightarrow t$

**apply**(*induction* *rs* *arbitrary*: *s* *t*)

**apply**(*simp* *add*: *add-match-def*)

**apply**(*rename-tac* *r* *rs* *s* *t*)

**apply**(*case-tac* *r*)

**apply**(*rename-tac* *m'* *a'*)

**apply**(*simp*)

**apply**(*case-tac* *a'*)

**apply**(*simp-all* *add*: *add-match-split-fst*)

**apply**(*erule* *seqE-cons*)

**using** *seq'* **apply**(*fastforce*)

**apply**(*erule* *seqE-cons*)

**using** *seq'* **apply**(*fastforce*)

**apply**(*erule* *seqE-cons*)

**using** *seq'* **apply**(*fastforce*)

**apply**(*erule* *seqE-cons*)

**using** *seq'* **apply**(*fastforce*)

**apply**(*erule* *seqE-cons*)

**using** *seq'* **apply**(*fastforce*)

**defer**

**apply**(*erule* *seqE-cons*)

**using** *seq'* **apply**(*fastforce*)

**apply**(*erule* *seqE-cons*)

**using** *seq'* **apply**(*fastforce*)

**apply**(*case-tac* *matches*  $\gamma$  (*MatchNot* (*MatchAnd* *m* *m'*) *p*)

**apply**(*simp*)

**apply** (*metis* *decision* *decisionD* *state.exhaust* *matches.simps*(1) *matches.simps*(2) *matches-add-match-simp* *not-matches-add-match-simp*)

**by** (*metis* *add-match-distrib* *matches.simps*(1) *matches.simps*(2) *matches-add-match-MatchNot-simp*)

  

**lemma** *process-ret-add-match-dist2*:  $\Gamma, \gamma, p \vdash \langle \text{add-match } m \text{ } (\text{process-ret } rs), s \rangle \Rightarrow t \Rightarrow \Gamma, \gamma, p \vdash \langle \text{process-ret } (\text{add-match } m \text{ } rs), s \rangle \Rightarrow t$

**apply**(*induction* *rs* *arbitrary*: *s* *t*)

**apply**(*simp* *add*: *add-match-def*)

**apply**(*rename-tac* *r* *rs* *s* *t*)

**apply**(*case-tac* *r*)

**apply**(*rename-tac* *m'* *a'*)

**apply**(*simp*)

**apply**(*case-tac* *a'*)

**apply**(*simp-all* *add*: *add-match-split-fst*)

**apply**(*erule* *seqE-cons*)

**using** *seq'* **apply**(*fastforce*)

**apply**(*erule* *seqE-cons*)

**using** *seq'* **apply**(*fastforce*)

**apply**(*erule* *seqE-cons*)

**using** *seq'* **apply**(*fastforce*)

**apply**(*erule* *seqE-cons*)

```

using seq' apply(fastforce)
apply(erule seqE-cons)
using seq' apply(fastforce)
defer
apply(erule seqE-cons)
using seq' apply(fastforce)
apply(erule seqE-cons)
using seq' apply(fastforce)
apply(case-tac matches  $\gamma$  (MatchNot (MatchAnd m m')) p)
apply(simp)
apply (metis decision decisionD state.exhaust matches.simps(1) matches.simps(2)
  matches-add-match-simp not-matches-add-match-simp)
by (metis add-match-distrib matches.simps(1) matches.simps(2) matches-add-match-MatchNot-simp)

```

**lemma** process-ret-add-match-dist:  $\Gamma, \gamma, p \vdash \langle \text{process-ret } (\text{add-match } m \text{ } rs), s \rangle \Rightarrow t$   
 $\longleftrightarrow \Gamma, \gamma, p \vdash \langle \text{add-match } m \text{ } (\text{process-ret } rs), s \rangle \Rightarrow t$   
**by** (metis process-ret-add-match-dist1 process-ret-add-match-dist2)

**lemma** process-ret-Undecided-sound:

```

assumes  $\Gamma(\text{chain} \mapsto rs), \gamma, p \vdash \langle \text{process-ret } (\text{add-match } m \text{ } rs), \text{Undecided} \rangle \Rightarrow$ 
  Undecided
shows  $\Gamma(\text{chain} \mapsto rs), \gamma, p \vdash \langle [\text{Rule } m \text{ } (\text{Call } \text{chain})], \text{Undecided} \rangle \Rightarrow \text{Undecided}$ 
proof (cases matches  $\gamma$  m p)
  case False
  thus ?thesis
  by (metis nomatch)
next
  case True
  note matches = this
  show ?thesis
  using assms proof (induction rs)
  case Nil
  from call-result[OF matches, where  $\Gamma = \Gamma(\text{chain} \mapsto [])$ ]
  have  $(\Gamma(\text{chain} \mapsto [])) \text{chain} = \text{Some } [] \implies \Gamma(\text{chain} \mapsto []), \gamma, p \vdash \langle [], \text{Unde-}$ 
    cided  $\rangle \Rightarrow \text{Undecided} \implies \Gamma(\text{chain} \mapsto []), \gamma, p \vdash \langle [\text{Rule } m \text{ } (\text{Call } \text{chain})], \text{Undecided} \rangle$ 
     $\Rightarrow \text{Undecided}$ 
  by simp
  thus ?case
  by (fastforce intro: skip)
next
  case (Cons r rs)
  obtain m' a' where  $r: r = \text{Rule } m' \text{ } a' \text{ by } (\text{cases } r) \text{ blast}$ 

  with Cons.prem have prems:  $\Gamma(\text{chain} \mapsto \text{Rule } m' \text{ } a' \# rs), \gamma, p \vdash \langle \text{process-ret}$ 
    (add-match m (Rule m' a' # rs)), Undecided  $\rangle \Rightarrow \text{Undecided}$ 
  by fast
  hence prems-simplified:  $\Gamma(\text{chain} \mapsto \text{Rule } m' \text{ } a' \# rs), \gamma, p \vdash \langle \text{process-ret } (\text{Rule}$ 

```

$m' a' \# rs), \text{Undecided}\rangle \Rightarrow \text{Undecided}$   
**using matches by** (metis matches-add-match-simp process-ret-add-match-dist)  
  
**have**  $\Gamma(\text{chain} \mapsto \text{Rule } m' a' \# rs), \gamma, p \vdash \langle [\text{Rule } m \text{ (Call chain)}], \text{Undecided}\rangle$   
 $\Rightarrow \text{Undecided}$   
**proof** (cases  $a' = \text{Return}$ )  
**case True**  
**note**  $a' = \text{this}$   
**have**  $\Gamma(\text{chain} \mapsto \text{Rule } m' \text{Return} \# rs), \gamma, p \vdash \langle [\text{Rule } m \text{ (Call chain)}],$   
 $\text{Undecided}\rangle \Rightarrow \text{Undecided}$   
**proof** (cases matches  $\gamma m' p$ )  
**case True**  
**with matches show ?thesis**  
**by** (fastforce intro: call-return skip)  
**next**  
**case False**  
**note** matches' = this  
**hence**  $\Gamma(\text{chain} \mapsto rs), \gamma, p \vdash \langle \text{process-ret (Rule } m' a' \# rs), \text{Undecided}\rangle$   
 $\Rightarrow \text{Undecided}$   
**by** (metis prems-simplified update-Gamma-nomatch)  
**with a' have**  $\Gamma(\text{chain} \mapsto rs), \gamma, p \vdash \langle \text{add-match (MatchNot } m')$   
 $(\text{process-ret } rs), \text{Undecided}\rangle \Rightarrow \text{Undecided}$   
**by simp**  
**with matches matches' have**  $\Gamma(\text{chain} \mapsto rs), \gamma, p \vdash \langle \text{add-match } m$   
 $(\text{process-ret } rs), \text{Undecided}\rangle \Rightarrow \text{Undecided}$   
**by** (simp add: matches-add-match-simp not-matches-add-matchNot-simp)  
**with matches' Cons.IH show ?thesis**  
**by** (fastforce simp: update-Gamma-nomatch process-ret-add-match-dist)  
**qed**  
**with a' show ?thesis**  
**by simp**  
**next**  
**case False**  
**note**  $a' = \text{this}$   
**with prems-simplified have**  $\Gamma(\text{chain} \mapsto \text{Rule } m' a' \# rs), \gamma, p \vdash \langle \text{Rule } m'$   
 $a' \# \text{process-ret } rs, \text{Undecided}\rangle \Rightarrow \text{Undecided}$   
**by** (simp add: process-ret-split-fst-NegReturn)  
**hence step:**  $\Gamma(\text{chain} \mapsto \text{Rule } m' a' \# rs), \gamma, p \vdash \langle [\text{Rule } m' a'], \text{Undecided}\rangle$   
 $\Rightarrow \text{Undecided}$   
**and IH-pre:**  $\Gamma(\text{chain} \mapsto \text{Rule } m' a' \# rs), \gamma, p \vdash \langle \text{process-ret } rs, \text{Undecided}\rangle$   
 $\Rightarrow \text{Undecided}$   
**by** (metis seqE-cons iptables-bigstep-to-undecided)+  
  
**from step have**  $\Gamma(\text{chain} \mapsto rs), \gamma, p \vdash \langle \text{process-ret } rs, \text{Undecided}\rangle \Rightarrow$   
 $\text{Undecided}$   
**proof** (cases rule: Rule-UndecidedE)  
**case log thus ?thesis**  
**using IH-pre by** (metis empty iptables-bigstep.log update-Gamma-nochange1  
update-Gamma-nomatch)

```

next
  case call thus ?thesis
    using IH-pre by (metis update-Gamma-remove-call-undecided)
next
  case nomatch thus ?thesis
    using IH-pre by (metis update-Gamma-nomatch)
qed

hence  $\Gamma(chain \mapsto rs), \gamma, p \vdash \langle process-ret (add-match\ m\ rs), Undecided \rangle$ 
 $\Rightarrow Undecided$ 
  by (metis matches matches-add-match-simp process-ret-add-match-dist)
  with Cons.IH have IH:  $\Gamma(chain \mapsto rs), \gamma, p \vdash \langle [Rule\ m\ (Call\ chain)], Undecided \rangle \Rightarrow Undecided$ 
  by fast

from step show ?thesis
proof (cases rule: Rule-UndecidedE)
  case log thus ?thesis using IH
    by (simp add: update-Gamma-log-empty)
next
  case nomatch
  thus ?thesis
    using IH by (metis update-Gamma-nomatch)
next
  case (call c)
  let ? $\Gamma'$  =  $\Gamma(chain \mapsto Rule\ m'\ a' \# rs)$ 
  from IH-pre show ?thesis
  proof (cases rule: iptables-bigstep-process-ret-cases3)
    case noreturn
    with call have ? $\Gamma', \gamma, p \vdash \langle Rule\ m'\ (Call\ c) \# rs, Undecided \rangle \Rightarrow$ 
    Undecided
      by (metis step seq-cons)
    from call have ? $\Gamma'$  chain = Some (Rule m' (Call c) # rs)
    by simp
    from matches show ?thesis
      by (rule call-result) fact+
  next
    case (return rs1 rs2 new-m')
    with call have ? $\Gamma'$  chain = Some ((Rule m' (Call c) # rs1) @
    [Rule new-m' Return] @ rs2)
    by simp
    from call return step have ? $\Gamma', \gamma, p \vdash \langle Rule\ m'\ (Call\ c) \# rs_1,$ 
    Undecided  $\rangle \Rightarrow Undecided$ 
      using IH-pre by (auto intro: seq-cons)
    from matches show ?thesis
      by (rule call-return) fact+
  qed
qed
qed

```



```

      thus ?case
      by (metis r)
    qed
  qed

lemma process-ret-Decision-sound:
  assumes  $\Gamma(\text{chain} \mapsto rs), \gamma, p \vdash \langle \text{process-ret } (\text{add-match } m \ rs), \text{Undecided} \rangle \Rightarrow \text{Decision } X$ 
  shows  $\Gamma(\text{chain} \mapsto rs), \gamma, p \vdash \langle [\text{Rule } m \ (\text{Call } \text{chain})], \text{Undecided} \rangle \Rightarrow \text{Decision } X$ 
  proof (cases matches  $\gamma \ m \ p$ )
    case False
      thus ?thesis by (metis assms state.distinct(1) not-matches-add-match-simp process-ret-add-match-dist1 skipD)
    next
      case True
      note matches = this
      show ?thesis
      using assms proof (induction rs)
        case Nil
          hence False by (metis add-match-split append-self-conv state.distinct(1) process-ret.simps(1) skipD)
          thus ?case by simp
        next
          case (Cons r rs)
          obtain  $m' \ a'$  where  $r = \text{Rule } m' \ a'$  by (cases r) blast

          with Cons.prem have prem:  $\Gamma(\text{chain} \mapsto \text{Rule } m' \ a' \ \# \ rs), \gamma, p \vdash \langle \text{process-ret } (\text{add-match } m \ (\text{Rule } m' \ a' \ \# \ rs)), \text{Undecided} \rangle \Rightarrow \text{Decision } X$ 
          by fast
          hence prem-simplified:  $\Gamma(\text{chain} \mapsto \text{Rule } m' \ a' \ \# \ rs), \gamma, p \vdash \langle \text{process-ret } (\text{Rule } m' \ a' \ \# \ rs), \text{Undecided} \rangle \Rightarrow \text{Decision } X$ 
          using matches by (metis matches-add-match-simp process-ret-add-match-dist)

          have  $\Gamma(\text{chain} \mapsto \text{Rule } m' \ a' \ \# \ rs), \gamma, p \vdash \langle [\text{Rule } m \ (\text{Call } \text{chain})], \text{Undecided} \rangle \Rightarrow \text{Decision } X$ 
          proof (cases  $a' = \text{Return}$ )
            case True
              note  $a' = \text{this}$ 
              have  $\Gamma(\text{chain} \mapsto \text{Rule } m' \ \text{Return} \ \# \ rs), \gamma, p \vdash \langle [\text{Rule } m \ (\text{Call } \text{chain})], \text{Undecided} \rangle \Rightarrow \text{Decision } X$ 
              proof (cases matches  $\gamma \ m' \ p$ )
                case True
                  with matches prem-simplified  $a'$  show ?thesis
                  by (auto simp: not-matches-add-match-simp dest: skipD)
                next
                  case False
                  note matches' = this
                  with prem-simplified have  $\Gamma(\text{chain} \mapsto rs), \gamma, p \vdash \langle \text{process-ret } (\text{Rule } m' \ a' \ \# \ rs), \text{Undecided} \rangle \Rightarrow \text{Decision } X$ 

```

```

      by (metis update-Gamma-nomatch)
      with a' matches matches' have  $\Gamma(chain \mapsto rs), \gamma, p \vdash \langle add-match\ m$ 
      (process-ret rs), Undecided  $\rangle \Rightarrow Decision\ X$ 
      by (simp add: matches-add-match-simp not-matches-add-matchNot-simp)
      with matches matches' Cons.IH show ?thesis
      by (fastforce simp: update-Gamma-nomatch process-ret-add-match-dist
      matches-add-match-simp not-matches-add-matchNot-simp)
      qed
      with a' show ?thesis
      by simp
    next
      case False
      with prems-simplified obtain ti
      where step:  $\Gamma(chain \mapsto Rule\ m'\ a' \# rs), \gamma, p \vdash \langle [Rule\ m'\ a'], Undecided \rangle$ 
 $\Rightarrow ti$ 
      and IH-pre:  $\Gamma(chain \mapsto Rule\ m'\ a' \# rs), \gamma, p \vdash \langle process-ret\ rs, ti \rangle \Rightarrow$ 
      Decision X
      by (auto simp: process-ret-split-fst-NeqReturn elim: seqE-cons)

      hence  $\Gamma(chain \mapsto Rule\ m'\ a' \# rs), \gamma, p \vdash \langle rs, ti \rangle \Rightarrow Decision\ X$ 
      by (metis iptables-bigstep-process-ret-DecisionD)

      thus ?thesis
      using matches step by (force intro: call-result seq'-cons)
      qed
      thus ?case
      by (metis r)
    qed
  qed

```

```

lemma process-ret-result-empty:  $\square = process-ret\ rs \implies \forall r \in set\ rs. get-action\ r$ 
  = Return
proof (induction rs)
  case (Cons r rs)
  thus ?case
  apply (simp)
  apply (case-tac r)
  apply (rename-tac m a)
  apply (case-tac a)
  apply (simp-all add: add-match-def)
  done
qed simp

```

```

lemma all-return-subchain:
  assumes a1:  $\Gamma\ chain = Some\ rs$ 
  and a2: matches  $\gamma\ m\ p$ 
  and a3:  $\forall r \in set\ rs. get-action\ r = Return$ 
  shows  $\Gamma, \gamma, p \vdash \langle [Rule\ m\ (Call\ chain)], Undecided \rangle \Rightarrow Undecided$ 
proof (cases  $\exists r \in set\ rs. matches\ \gamma\ (get-match\ r)\ p$ )

```

**case** *True*  
**hence**  $(\exists rs1\ r\ rs2. rs = rs1\ @\ r\ \# rs2 \wedge matches\ \gamma\ (get-match\ r)\ p \wedge (\forall r' \in set\ rs1. \neg matches\ \gamma\ (get-match\ r')\ p))$   
**by** (*subst split-list-first-prop-iff* [*symmetric*])  
**then obtain** *rs1 r rs2*  
**where**  $*: rs = rs1\ @\ r\ \# rs2\ matches\ \gamma\ (get-match\ r)\ p\ \forall r' \in set\ rs1. \neg matches\ \gamma\ (get-match\ r')\ p$   
**by** *auto*  
  
**with** *a3* **obtain** *m'* **where**  $r = Rule\ m'\ Return$   
**by** (*cases r*) *simp*  
**with**  $*\ assms$  **show** *?thesis*  
**by** (*fastforce intro: call-return nomatch'*)  
**next**  
**case** *False*  
**hence**  $\Gamma, \gamma, p \vdash \langle rs, Undecided \rangle \Rightarrow Undecided$   
**by** (*blast intro: nomatch'*)  
**with** *a1 a2* **show** *?thesis*  
**by** (*metis call-result*)  
**qed**

**lemma** *process-ret-sound'*:  
**assumes**  $\Gamma(chain \mapsto rs), \gamma, p \vdash \langle process-ret\ (add-match\ m\ rs), Undecided \rangle \Rightarrow t$   
**shows**  $\Gamma(chain \mapsto rs), \gamma, p \vdash \langle [Rule\ m\ (Call\ chain)], Undecided \rangle \Rightarrow t$   
**using** *assms* **by** (*metis state.exhaust process-ret-Undecided-sound process-ret-Decision-sound*)

**lemma** *get-action-case-simp*:  $get-action\ (case\ r\ of\ Rule\ m'\ x \Rightarrow Rule\ (MatchAnd\ m\ m')\ x) = get-action\ r$   
**by** (*metis rule.case-eq-if rule.sel(2)*)

We call a ruleset wf iff all Calls are into actually existing chains.

**definition** *wf-chain*  $:: 'a\ ruleset \Rightarrow 'a\ rule\ list \Rightarrow bool$  **where**  
 $wf-chain\ \Gamma\ rs \equiv (\forall r \in set\ rs. \forall chain. get-action\ r = Call\ chain \longrightarrow \Gamma\ chain \neq None)$

**lemma** *wf-chain-append*:  $wf-chain\ \Gamma\ (rs1 @ rs2) \longleftrightarrow wf-chain\ \Gamma\ rs1 \wedge wf-chain\ \Gamma\ rs2$

**by** (*simp add: wf-chain-def, blast*)

**lemma** *wf-chain-process-ret*:  $wf-chain\ \Gamma\ rs \Longrightarrow wf-chain\ \Gamma\ (process-ret\ rs)$

**apply** (*induction rs*)

**apply** (*simp add: wf-chain-def add-match-def*)

**apply** (*case-tac a*)

**apply** (*case-tac x2  $\neq Return$* )

**apply** (*simp add: process-ret-split-fst-NeqReturn*)

**using** *wf-chain-append* **apply** (*metis Cons-eq-appendI append-Nil*)

**apply** (*simp add: process-ret-split-fst-Return*)

**apply** (*simp add: wf-chain-def add-match-def get-action-case-simp*)

**done**

**lemma** *wf-chain-add-match*:  $wf-chain\ \Gamma\ rs \Longrightarrow wf-chain\ \Gamma\ (add-match\ m\ rs)$

**by**(*induction rs*) (*simp-all add: wf-chain-def add-match-def get-action-case-simp*)

### 3.4 Soundness

**theorem** *unfolding-sound*:  $wf-chain \ \Gamma \ rs \implies \Gamma, \gamma, p \vdash \langle process-call \ \Gamma \ rs, s \rangle \Rightarrow t$   
 $\implies \Gamma, \gamma, p \vdash \langle rs, s \rangle \Rightarrow t$

**proof** (*induction rs arbitrary: s t*)

**case** (*Cons r rs*)

**thus** *?case*

**apply** *—*

**apply**(*subst(asm) process-call-split-fst*)

**apply**(*erule seqE*)

**unfolding** *wf-chain-def*

**apply**(*case-tac r, rename-tac m a*)

**apply**(*case-tac a*)

**apply**(*simp-all add: seq'-cons*)

**apply**(*case-tac s*)

**defer**

**apply** (*metis decision decisionD*)

**apply**(*case-tac matches  $\gamma \ m \ p$* )

**defer**

**apply**(*simp add: not-matches-add-match-simp*)

**apply**(*drule skipD, simp*)

**apply** (*metis nomatch seq-cons*)

**apply**(*clarify*)

**apply**(*simp add: matches-add-match-simp*)

**apply**(*rule-tac t=ti in seq-cons*)

**apply**(*simp-all*)

**using** *process-ret-sound'*

**by** (*metis fun-upd-triv matches-add-match-simp process-ret-add-match-dist*)

**qed** *simp*

**corollary** *unfolding-sound-complete*:  $wf-chain \ \Gamma \ rs \implies \Gamma, \gamma, p \vdash \langle process-call \ \Gamma \ rs, s \rangle \Rightarrow t \iff \Gamma, \gamma, p \vdash \langle rs, s \rangle \Rightarrow t$

**by** (*metis unfolding-complete unfolding-sound*)

**corollary** *unfolding-n-sound-complete*:  $\forall rsg \in ran \ \Gamma \cup \{rs\}. wf-chain \ \Gamma \ rsg \implies \Gamma, \gamma, p \vdash \langle ((process-call \ \Gamma) \wedge^n) \ rs, s \rangle \Rightarrow t \iff \Gamma, \gamma, p \vdash \langle rs, s \rangle \Rightarrow t$

**proof**(*induction n arbitrary: rs*)

**case** 0 **thus** *?case by simp*

**next**

**case** (*Suc n*)

**from** *Suc* **have**  $\Gamma, \gamma, p \vdash \langle (process-call \ \Gamma \wedge^n) \ rs, s \rangle \Rightarrow t = \Gamma, \gamma, p \vdash \langle rs, s \rangle \Rightarrow$

*t by blast*

**from** *Suc.prem*s **have**  $\forall a \in ran \ \Gamma \cup \{process-call \ \Gamma \ rs\}. wf-chain \ \Gamma \ a$

**proof**(*induction rs*)

```

    case Nil thus ?case by simp
next
case(Cons r rs)
  from Cons.premis have  $\forall a \in \text{ran } \Gamma. \text{wf-chain } \Gamma \ a$  by blast
  from Cons.premis have wf-chain  $\Gamma \ [r]$ 
    apply(simp)
    apply(clarify)
    apply(simp add: wf-chain-def)
    done
  from Cons.premis have wf-chain  $\Gamma \ rs$ 
    apply(simp)
    apply(clarify)
    apply(simp add: wf-chain-def)
    done
  from this Cons.premis Cons.IH have wf-chain  $\Gamma \ (\text{process-call } \Gamma \ rs)$  by
blast
    from this  $\langle \text{wf-chain } \Gamma \ [r] \rangle$  have wf-chain  $\Gamma \ (r \# (\text{process-call } \Gamma \ rs))$ 
by(simp add: wf-chain-def)
  from this Cons.premis have wf-chain  $\Gamma \ (\text{process-call } \Gamma \ (r \# rs))$ 
    apply(cases r)
    apply(rename-tac m a, clarify)
    apply(case-tac a)
    apply(simp-all)
    apply(simp add: wf-chain-append)
    apply(clarify)
    apply(simp add:  $\langle \text{wf-chain } \Gamma \ (\text{process-call } \Gamma \ rs) \rangle$ )
    apply(rule wf-chain-add-match)
    apply(rule wf-chain-process-ret)
    apply(simp add: wf-chain-def)
    apply(clarify)
    by (metis ranI option.sel)
  from this  $\langle \forall a \in \text{ran } \Gamma. \text{wf-chain } \Gamma \ a \rangle$  show ?case by simp
qed
from this Suc.IH[of  $((\text{process-call } \Gamma \ rs))$ ] have
 $\Gamma, \gamma, p \vdash \langle (\text{process-call } \Gamma \ ^n) (\text{process-call } \Gamma \ rs), s \rangle \Rightarrow t = \Gamma, \gamma, p \vdash \langle \text{process-call}$ 
 $\Gamma \ rs, s \rangle \Rightarrow t$ 
  by simp
from this show ?case
  by (simp, metis Suc.premis Un-commute funpow-swap1 insertI1 insert-is-Un
unfolding-sound-complete)
qed

```

loops in the linux kernel:

```

http://lxr.linux.no/linux+v3.2/net/ipv4/netfilter/ip_tables.c#L464
/* Figures out from what hook each rule can be called: returns 0 if
   there are loops. Puts hook bitmask in comefrom. */
static int mark_source_chains(const struct xt_table_info *newinfo,
                             unsigned int valid_hooks, void *entry0)

```

discussion: <http://marc.info/?l=netfilter-devel&m=105190848425334&w=2>

```

end
theory IPspace-Syntax
imports Main String ../Bitmagic/IPv4Addr
begin

```

## 4 Primitive Matchers: IP Space Matcher

Primitive Match Conditions which only support IPv4 addresses and layer 4 protocols. Used to partition the IPv4 address space.

```

datatype ipt-ipv4range = Ip4Addr nat × nat × nat × nat
  | Ip4AddrNetmask nat × nat × nat × nat nat — addr/xx

```

```

datatype ipt-protocol = ProtAll | ProtTCP | ProtUDP

```

```

datatype iptrule-match = Src ipt-ipv4range | Dst ipt-ipv4range | Prot ipt-protocol
  | Extra string

```

### 4.1 Example Packet

```

datatype protPacket = ProtTCP | ProtUDP
record packet = src-ip :: ipv4addr
  dst-ip :: ipv4addr
  prot :: protPacket

```

```

hide-const (open) ProtTCP ProtUDP

```

```

fun ipv4s-to-set :: ipt-ipv4range ⇒ ipv4addr set where
  ipv4s-to-set (Ip4AddrNetmask base m) = ipv4range-set-from-bitmask (ipv4addr-of-dotteddecimal
base) m |
  ipv4s-to-set (Ip4Addr ip) = { ipv4addr-of-dotteddecimal ip }

```

*ipv4s-to-set* cannot represent an ampty set.

```

lemma ipv4s-to-set-nonempty: ipv4s-to-set ip ≠ {}
  apply(cases ip)
  apply(simp)
  apply(simp add: ipv4range-set-from-bitmask-alt)
  apply(simp add: bitmagic-zeroLast-leq-or1Last)
done

```

```

end
theory Example-Semantics
imports Call-Return-Unfolding Primitive-Matchers/IPSpace-Syntax
begin

```

## 5 Examples Big Step Semantics

we use a primitive matcher which always applies.

```

fun applies-Yes :: ('a, 'p) matcher where
  applies-Yes m p = True
lemma[simp]: Semantics.matches applies-Yes MatchAny p by simp
lemma[simp]: Semantics.matches applies-Yes (Match e) p by simp

definition m=Match (Src (Ip4Addr (0,0,0,0)))
definition p=(src-ip=0, dst-ip=0, prot=protPacket.ProtTCP)
lemma[simp]: Semantics.matches applies-Yes m p by (simp add: m-def)

lemma ["FORWARD"  $\mapsto$  [(Rule m Log), (Rule m Accept), (Rule m Drop)]], applies-Yes,  $p \vdash$ 
   $\langle [Rule MatchAny (Call "FORWARD")], Undecided \rangle \Rightarrow (Decision FinalAllow)$ 
apply(rule call-result)
apply(auto)
apply(rule seq-cons)
apply(auto intro: Semantics.log)
apply(rule seq-cons)
apply(auto intro: Semantics.accept)
apply(rule Semantics.decision)
done

lemma ["FORWARD"  $\mapsto$  [(Rule m Log), (Rule m (Call "foo")), (Rule m Ac-
cept)],
  "foo"  $\mapsto$  [(Rule m Log), (Rule m Return)]], applies-Yes,  $p \vdash$ 
   $\langle [Rule MatchAny (Call "FORWARD")], Undecided \rangle \Rightarrow (Decision FinalAllow)$ 
apply(rule call-result)
apply(auto)
apply(rule seq-cons)
apply(auto intro: Semantics.log)
apply(rule seq-cons)
apply(rule Semantics.call-return [where  $rs_1=[Rule\ m\ Log]$  and  $rs_2=[]$ ])
apply(simp)+
apply(auto intro: Semantics.log)
apply(auto intro: Semantics.accept)
done

lemma ["FORWARD"  $\mapsto$  [Rule m (Call "foo"), Rule m Drop], "foo"  $\mapsto$  []], applies-Yes,  $p \vdash$ 
   $\langle [Rule MatchAny (Call "FORWARD")], Undecided \rangle \Rightarrow (Decision$ 
FinalDeny)
apply(rule call-result)

```

```

apply(auto)
apply(rule Semantics.seq-cons)
apply(rule Semantics.call-result)
apply(auto)
apply(rule Semantics.skip)
apply(auto intro: deny)
done

```

```

lemma (( $\lambda rs. \text{process-call } ["FORWARD"] \mapsto [\text{Rule } m \text{ (Call "foo")}, \text{Rule } m \text{ Drop}],$ 
 $"foo" \mapsto [] \text{ } rs) \wedge 2)$ 

$$\begin{aligned} & [\text{Rule MatchAny (Call "FORWARD")}] \\ & = [\text{Rule (MatchAnd MatchAny } m) \text{ Drop}] \text{ by eval} \end{aligned}$$


```

```

hide-const m p

```

We tune the primitive matcher to support everything we need in the example. Note that the undefined cases cannot be handled with these exact semantics!

```

fun applies-exampleMatchExact :: (iprule-match, packet) matcher where
applies-exampleMatchExact (Src (Ip4Addr addr)) p  $\longleftrightarrow$  src-ip p = (ipv4addr-of-dotteddecimal
addr) |
applies-exampleMatchExact (Dst (Ip4Addr addr)) p  $\longleftrightarrow$  dst-ip p = (ipv4addr-of-dotteddecimal
addr) |
applies-exampleMatchExact (Prot ProtAll) p  $\longleftrightarrow$  True |
applies-exampleMatchExact (Prot ipt-protocol.ProtTCP) p  $\longleftrightarrow$  prot p = prot-
Packet.ProtTCP |
applies-exampleMatchExact (Prot ipt-protocol.ProtUDP) p  $\longleftrightarrow$  prot p = prot-
Packet.ProtUDP

```

```

lemma ["FORWARD"  $\mapsto$  [ Rule (MatchAnd (Match (Src (Ip4Addr (0,0,0,0))))
(Match (Dst (Ip4Addr (0,0,0,0)))) Reject,

$$\begin{aligned} & \text{Rule (Match (Dst (Ip4Addr (0,0,0,0)))) Log,} \\ & \text{Rule (Match (Prot ipt-protocol.ProtTCP)) Accept,} \\ & \text{Rule (Match (Prot ipt-protocol.ProtTCP)) Drop} \end{aligned}$$

], applies-exampleMatchExact, ( $\text{src-ip}=(\text{ipv4addr-of-dotteddecimal } (1,2,3,4)),$ 
 $\text{dst-ip}=(\text{ipv4addr-of-dotteddecimal } (0,0,0,0)), \text{ prot}=\text{protPacket.ProtTCP}) \vdash$ 
 $\langle [\text{Rule MatchAny (Call "FORWARD")}, \text{Undecided}] \Rightarrow (\text{Decision$ 
FinalAllow)
apply(rule call-result)
apply(auto)
apply(rule Semantics.seq-cons)
apply(auto intro: Semantics.nomatch simp add: ipv4addr-of-dotteddecimal.simps
ipv4addr-of-nat-def)
apply(rule Semantics.seq-cons)
apply(auto intro: Semantics.log simp add: ipv4addr-of-dotteddecimal.simps ipv4addr-of-nat-def)
apply(rule Semantics.seq-cons)
apply(auto intro: Semantics.accept)
apply(auto intro: Semantics.decision)

```



```

done

end
theory Ternary
imports Main
begin

```

## 6 Ternary Logic

Kleene logic

```

datatype ternaryvalue = TernaryTrue | TernaryFalse | TernaryUnknown
datatype ternaryformula = TernaryAnd ternaryformula ternaryformula | TernaryOr
ternaryformula ternaryformula |
TernaryNot ternaryformula | TernaryValue ternaryvalue

```

```

fun ternary-to-bool :: ternaryvalue  $\Rightarrow$  bool option where

```

```

  ternary-to-bool TernaryTrue = Some True |
  ternary-to-bool TernaryFalse = Some False |
  ternary-to-bool TernaryUnknown = None

```

```

fun bool-to-ternary :: bool  $\Rightarrow$  ternaryvalue where

```

```

  bool-to-ternary True = TernaryTrue |
  bool-to-ternary False = TernaryFalse

```

```

lemma the  $\circ$  ternary-to-bool  $\circ$  bool-to-ternary = id

```

```

  by(simp add: fun-eq-iff, clarify, case-tac x, simp-all)

```

```

lemma ternary-to-bool-bool-to-ternary: ternary-to-bool (bool-to-ternary X) = Some
X

```

```

by(cases X, simp-all)

```

```

lemma ternary-to-bool-None: ternary-to-bool t = None  $\longleftrightarrow$  t = TernaryUnknown

```

```

  by(cases t, simp-all)

```

```

lemma ternary-to-bool-SomeE: ternary-to-bool t = Some X  $\Longrightarrow$ 

```

```

(t = TernaryTrue  $\Longrightarrow$  X = True  $\Longrightarrow$  P)  $\Longrightarrow$  (t = TernaryFalse  $\Longrightarrow$  X = False
 $\Longrightarrow$  P)  $\Longrightarrow$  P

```

```

  by (metis option.distinct(1) option.inject ternary-to-bool.elims)

```

```

lemma ternary-to-bool-Some: ternary-to-bool t = Some X  $\longleftrightarrow$  (t = TernaryTrue
 $\wedge$  X = True)  $\vee$  (t = TernaryFalse  $\wedge$  X = False)

```

```

  by(cases t, simp-all)

```

```

lemma bool-to-ternary-Unknown: bool-to-ternary t = TernaryUnknown  $\longleftrightarrow$  False

```

```

by(cases t, simp-all)

```

```

fun eval-ternary-And :: ternaryvalue  $\Rightarrow$  ternaryvalue  $\Rightarrow$  ternaryvalue where

```

```

  eval-ternary-And TernaryTrue TernaryTrue = TernaryTrue |
  eval-ternary-And TernaryTrue TernaryFalse = TernaryFalse |
  eval-ternary-And TernaryFalse TernaryTrue = TernaryFalse |
  eval-ternary-And TernaryFalse TernaryFalse = TernaryFalse |

```

```

eval-ternary-And TernaryFalse TernaryUnknown = TernaryFalse |
eval-ternary-And TernaryTrue TernaryUnknown = TernaryUnknown |
eval-ternary-And TernaryUnknown TernaryFalse = TernaryFalse |
eval-ternary-And TernaryUnknown TernaryTrue = TernaryUnknown |
eval-ternary-And TernaryUnknown TernaryUnknown = TernaryUnknown

```

**lemma** *eval-ternary-And-comm*: *eval-ternary-And t1 t2 = eval-ternary-And t2 t1*  
**by** (cases t1 t2 rule: ternaryvalue.exhaust[case-product ternaryvalue.exhaust]) auto

```

fun eval-ternary-Or :: ternaryvalue  $\Rightarrow$  ternaryvalue  $\Rightarrow$  ternaryvalue where
  eval-ternary-Or TernaryTrue TernaryTrue = TernaryTrue |
  eval-ternary-Or TernaryTrue TernaryFalse = TernaryTrue |
  eval-ternary-Or TernaryFalse TernaryTrue = TernaryTrue |
  eval-ternary-Or TernaryFalse TernaryFalse = TernaryFalse |
  eval-ternary-Or TernaryTrue TernaryUnknown = TernaryTrue |
  eval-ternary-Or TernaryFalse TernaryUnknown = TernaryUnknown |
  eval-ternary-Or TernaryUnknown TernaryTrue = TernaryTrue |
  eval-ternary-Or TernaryUnknown TernaryFalse = TernaryUnknown |
  eval-ternary-Or TernaryUnknown TernaryUnknown = TernaryUnknown

```

```

fun eval-ternary-Not :: ternaryvalue  $\Rightarrow$  ternaryvalue where
  eval-ternary-Not TernaryTrue = TernaryFalse |
  eval-ternary-Not TernaryFalse = TernaryTrue |
  eval-ternary-Not TernaryUnknown = TernaryUnknown

```

Just to hint that we did not make a typo, we add the truth table for the implication and show that it is compliant with  $a \longrightarrow b = (\neg a \vee b)$

```

fun eval-ternary-Imp :: ternaryvalue  $\Rightarrow$  ternaryvalue  $\Rightarrow$  ternaryvalue where
  eval-ternary-Imp TernaryTrue TernaryTrue = TernaryTrue |
  eval-ternary-Imp TernaryTrue TernaryFalse = TernaryFalse |
  eval-ternary-Imp TernaryFalse TernaryTrue = TernaryTrue |
  eval-ternary-Imp TernaryFalse TernaryFalse = TernaryTrue |
  eval-ternary-Imp TernaryTrue TernaryUnknown = TernaryUnknown |
  eval-ternary-Imp TernaryFalse TernaryUnknown = TernaryTrue |
  eval-ternary-Imp TernaryUnknown TernaryTrue = TernaryTrue |
  eval-ternary-Imp TernaryUnknown TernaryFalse = TernaryUnknown |
  eval-ternary-Imp TernaryUnknown TernaryUnknown = TernaryUnknown
lemma eval-ternary-Imp a b = eval-ternary-Or (eval-ternary-Not a) b
apply(case-tac a)
apply(case-tac [!]1 b)
apply(simp-all)
done

```

**lemma** *eval-ternary-Not-UnknownD*: *eval-ternary-Not t = TernaryUnknown  $\implies$  t = TernaryUnknown*  
**by** (cases t) auto

**lemma** *eval-ternary-DeMorgan*: *eval-ternary-Not (eval-ternary-And a b) = eval-ternary-Or (eval-ternary-Not a) (eval-ternary-Not b)*  
*eval-ternary-Not (eval-ternary-Or a b) = eval-ternary-And (eval-ternary-Not a) (eval-ternary-Not b)*  
**by** (cases a b rule: ternaryvalue.exhaust[case-product ternaryvalue.exhaust],auto)+

**lemma** *eval-ternary-idempotence-Not*: *eval-ternary-Not (eval-ternary-Not a) = a*  
**by** (cases a) simp-all

**fun** *ternary-ternary-eval* :: *ternaryformula*  $\Rightarrow$  *ternaryvalue* **where**  
*ternary-ternary-eval (TernaryAnd t1 t2) = eval-ternary-And (ternary-ternary-eval t1) (ternary-ternary-eval t2) |*  
*ternary-ternary-eval (TernaryOr t1 t2) = eval-ternary-Or (ternary-ternary-eval t1) (ternary-ternary-eval t2) |*  
*ternary-ternary-eval (TernaryNot t) = eval-ternary-Not (ternary-ternary-eval t)*  
*|*  
*ternary-ternary-eval (TernaryValue t) = t*

**lemma** *ternary-ternary-eval-DeMorgan*: *ternary-ternary-eval (TernaryNot (TernaryAnd a b)) =*  
*ternary-ternary-eval (TernaryOr (TernaryNot a) (TernaryNot b))*  
**by** (simp add: eval-ternary-DeMorgan)

**lemma** *ternary-ternary-eval-idempotence-Not*: *ternary-ternary-eval (TernaryNot (TernaryNot a)) = ternary-ternary-eval a*  
**by** (simp add: eval-ternary-idempotence-Not)

**lemma** *ternary-ternary-eval-TernaryAnd-comm*: *ternary-ternary-eval (TernaryAnd t1 t2) = ternary-ternary-eval (TernaryAnd t2 t1)*  
**by** (simp add: eval-ternary-And-comm)

**lemma** *eval-ternary-Not (ternary-ternary-eval t) = (ternary-ternary-eval (TernaryNot t))* **by** simp

**lemma** *eval-ternary-simps*:  
*eval-ternary-And TernaryTrue x = x*  
*eval-ternary-And x TernaryTrue = x*  
*eval-ternary-And TernaryFalse x = TernaryFalse*  
*eval-ternary-And x TernaryFalse = TernaryFalse*  
**by**(case-tac [!]*x*)(simp-all)

**definition** *ternary-eval* :: *ternaryformula*  $\Rightarrow$  *bool option* **where**  
*ternary-eval t = ternary-to-bool (ternary-ternary-eval t)*

## 6.1 Negation Normal Form

A formula is in Negation Normal Form (NNF) if negations only occur at the atoms (not before and/or)

**inductive** *NegationNormalForm* :: *ternaryformula*  $\Rightarrow$  *bool* **where**  
*NegationNormalForm* (*TernaryValue* *v*) |  
*NegationNormalForm* (*TernaryNot* (*TernaryValue* *v*)) |  
*NegationNormalForm*  $\varphi \Rightarrow$  *NegationNormalForm*  $\psi \Rightarrow$  *NegationNormalForm*  
(*TernaryAnd*  $\varphi$   $\psi$ ) |  
*NegationNormalForm*  $\varphi \Rightarrow$  *NegationNormalForm*  $\psi \Rightarrow$  *NegationNormalForm*  
(*TernaryOr*  $\varphi$   $\psi$ )

Convert a *ternaryformula* to a *ternaryformula* in NNF.

**fun** *NNF-ternary* :: *ternaryformula*  $\Rightarrow$  *ternaryformula* **where**  
*NNF-ternary* (*TernaryValue* *v*) = *TernaryValue* *v* |  
*NNF-ternary* (*TernaryAnd* *t1* *t2*) = *TernaryAnd* (*NNF-ternary* *t1*) (*NNF-ternary*  
*t2*) |  
*NNF-ternary* (*TernaryOr* *t1* *t2*) = *TernaryOr* (*NNF-ternary* *t1*) (*NNF-ternary*  
*t2*) |  
*NNF-ternary* (*TernaryNot* (*TernaryNot* *t*)) = *NNF-ternary* *t* |  
*NNF-ternary* (*TernaryNot* (*TernaryValue* *v*)) = *TernaryValue* (*eval-ternary-Not*  
*v*) |  
*NNF-ternary* (*TernaryNot* (*TernaryAnd* *t1* *t2*)) = *TernaryOr* (*NNF-ternary*  
(*TernaryNot* *t1*)) (*NNF-ternary* (*TernaryNot* *t2*)) |  
*NNF-ternary* (*TernaryNot* (*TernaryOr* *t1* *t2*)) = *TernaryAnd* (*NNF-ternary*  
(*TernaryNot* *t1*)) (*NNF-ternary* (*TernaryNot* *t2*))

**lemma** *NNF-ternary-correct*: *ternary-ternary-eval* (*NNF-ternary* *t*) = *ternary-ternary-eval*  
*t*

**apply**(*induction* *t* *rule*: *NNF-ternary.induct*)  
**apply**(*simp-all* *add*: *eval-ternary-DeMorgan* *eval-ternary-idempotence-Not*)  
**done**

**lemma** *NNF-ternary-NegationNormalForm*: *NegationNormalForm* (*NNF-ternary*  
*t*)

**apply**(*induction* *t* *rule*: *NNF-ternary.induct*)  
**apply**(*auto simp* *add*: *eval-ternary-DeMorgan* *eval-ternary-idempotence-Not*  
*intro*: *NegationNormalForm.intros*)  
**done**

**end**  
**theory** *Matching-Ternary*  
**imports** *Ternary Firewall-Common*  
**begin**

## 7 Packet Matching in Ternary Logic

The matcher for a primitive match expression *'a*

**type-synonym** (*'a*, *'packet*) *exact-match-tac* = *'a*  $\Rightarrow$  *'packet*  $\Rightarrow$  *ternaryvalue*

If the matching is *TernaryUnknown*, it can be decided by the action whether this rule matches. E.g. in doubt, we allow packets

**type-synonym** *'packet unknown-match-tac* = *action*  $\Rightarrow$  *'packet*  $\Rightarrow$  *bool*

**type-synonym** (*'a*, *'packet*) *match-tac* = ((*'a*, *'packet*) *exact-match-tac*  $\times$  *'packet unknown-match-tac*)

For a given packet, map a firewall *'a match-expr* to a *ternaryformula*. Evaluating the formula gives whether the packet/rule matches (or unknown).

**fun** *map-match-tac* :: (*'a*, *'packet*) *exact-match-tac*  $\Rightarrow$  *'packet*  $\Rightarrow$  *'a match-expr*  $\Rightarrow$  *ternaryformula* **where**  
*map-match-tac*  $\beta$  *p* (*MatchAnd* *m1 m2*) = *TernaryAnd* (*map-match-tac*  $\beta$  *p m1*)  
(*map-match-tac*  $\beta$  *p m2*) |  
*map-match-tac*  $\beta$  *p* (*MatchNot* *m*) = *TernaryNot* (*map-match-tac*  $\beta$  *p m*) |  
*map-match-tac*  $\beta$  *p* (*Match* *m*) = *TernaryValue* ( $\beta$  *m p*) |  
*map-match-tac* - - *MatchAny* = *TernaryValue TernaryTrue*

the *ternaryformulas* we construct never have Or expressions.

**fun** *ternary-has-or* :: *ternaryformula*  $\Rightarrow$  *bool* **where**  
*ternary-has-or* (*TernaryOr* - -)  $\longleftrightarrow$  *True* |  
*ternary-has-or* (*TernaryAnd* *t1 t2*)  $\longleftrightarrow$  *ternary-has-or* *t1*  $\vee$  *ternary-has-or* *t2* |  
*ternary-has-or* (*TernaryNot* *t*)  $\longleftrightarrow$  *ternary-has-or* *t* |  
*ternary-has-or* (*TernaryValue* -)  $\longleftrightarrow$  *False*  
**lemma** *map-match-tac--does-not-use-TernaryOr*:  $\neg$  (*ternary-has-or* (*map-match-tac*  $\beta$  *p m*))  
**by**(*induction m*, *simp-all*)

**fun** *ternary-to-bool-unknown-match-tac* :: *'packet unknown-match-tac*  $\Rightarrow$  *action*  $\Rightarrow$  *'packet*  $\Rightarrow$  *ternaryvalue*  $\Rightarrow$  *bool* **where**  
*ternary-to-bool-unknown-match-tac* - - - *TernaryTrue* = *True* |  
*ternary-to-bool-unknown-match-tac* - - - *TernaryFalse* = *False* |  
*ternary-to-bool-unknown-match-tac*  $\alpha$  *a p TernaryUnknown* =  $\alpha$  *a p*

Matching a packet and a rule:

1. Translate *'a match-expr* to ternary formula
2. Evaluate this formula
3. If *TernaryTrue*/*TernaryFalse*, return this value
4. If *TernaryUnknown*, apply the *'a unknown-match-tac* to get a Boolean result

**definition** *matches* :: ('a, 'packet) match-tac  $\Rightarrow$  'a match-expr  $\Rightarrow$  action  $\Rightarrow$  'packet  $\Rightarrow$  bool **where**  
*matches*  $\gamma$  *m* *a* *p*  $\equiv$  ternary-to-bool-unknown-match-tac (snd  $\gamma$ ) *a* *p* (ternary-ternary-eval (map-match-tac (fst  $\gamma$ ) *p* *m*))

Alternative matches definitions, some more or less convenient

**lemma** *matches-tuple*: *matches* ( $\beta$ ,  $\alpha$ ) *m* *a* *p* = ternary-to-bool-unknown-match-tac  $\alpha$  *a* *p* (ternary-ternary-eval (map-match-tac  $\beta$  *p* *m*))  
**unfolding** *matches-def* **by** *simp*

**lemma** *matches-case*: *matches*  $\gamma$  *m* *a* *p*  $\longleftrightarrow$  (case ternary-eval (map-match-tac (fst  $\gamma$ ) *p* *m*) of None  $\Rightarrow$  (snd  $\gamma$ ) *a* *p* | Some *b*  $\Rightarrow$  *b*)  
**unfolding** *matches-def* ternary-eval-def  
**by** (cases (ternary-ternary-eval (map-match-tac (fst  $\gamma$ ) *p* *m*))) auto

**lemma** *matches-case-tuple*: *matches* ( $\beta$ ,  $\alpha$ ) *m* *a* *p*  $\longleftrightarrow$  (case ternary-eval (map-match-tac  $\beta$  *p* *m*) of None  $\Rightarrow$   $\alpha$  *a* *p* | Some *b*  $\Rightarrow$  *b*)  
**by** (auto *simp*: *matches-case* *split*: *option.splits*)

**lemma** *matches-case-ternaryvalue-tuple*: *matches* ( $\beta$ ,  $\alpha$ ) *m* *a* *p*  $\longleftrightarrow$  (case ternary-ternary-eval (map-match-tac  $\beta$  *p* *m*) of  
TernaryUnknown  $\Rightarrow$   $\alpha$  *a* *p* |  
TernaryTrue  $\Rightarrow$  True |  
TernaryFalse  $\Rightarrow$  False)  
**by**(*simp* *split*: *option.split* ternaryvalue.split *add*: *matches-case* ternary-to-bool-None ternary-eval-def)

**lemma** *matches-casesE*:  
*matches* ( $\beta$ ,  $\alpha$ ) *m* *a* *p*  $\Longrightarrow$   
(ternary-ternary-eval (map-match-tac  $\beta$  *p* *m*) = TernaryUnknown  $\Longrightarrow$   $\alpha$  *a* *p*  
 $\Longrightarrow$  *P*)  $\Longrightarrow$   
(ternary-ternary-eval (map-match-tac  $\beta$  *p* *m*) = TernaryTrue  $\Longrightarrow$  *P*)  
 $\Longrightarrow$  *P*  
**apply**(*induction* *m*)  
**apply**(auto *split*: *option.split-asm* *simp*: *matches-case-tuple* ternary-eval-def ternary-to-bool-bool-to-ternary  
elim: ternary-to-bool.elims)  
**done**

Example:  $\neg$  Unknown is as good as Unknown

**lemma**  $\llbracket$  ternary-ternary-eval (map-match-tac  $\beta$  *p* *expr*) = TernaryUnknown  $\rrbracket$   
 $\Longrightarrow$  *matches* ( $\beta$ ,  $\alpha$ ) *expr* *a* *p*  $\longleftrightarrow$  *matches* ( $\beta$ ,  $\alpha$ ) (MatchNot *expr*) *a* *p*  
**by**(*simp* *add*: *matches-case-ternaryvalue-tuple*)

**lemma** *bunch-of-lemmata-about-matches*:  
*matches*  $\gamma$  (MatchAnd *m1* *m2*) *a* *p*  $\longleftrightarrow$  *matches*  $\gamma$  *m1* *a* *p*  $\wedge$  *matches*  $\gamma$  *m2* *a* *p*  
*matches*  $\gamma$  MatchAny *a* *p*

$\text{matches } \gamma \text{ (MatchNot MatchAny) } a \text{ } p \longleftrightarrow \text{False}$   
 $\text{matches } (\beta, \alpha) \text{ (Match expr) } a \text{ } p = (\text{case ternary-to-bool } (\beta \text{ expr } p) \text{ of Some } r \Rightarrow r \mid \text{None} \Rightarrow (\alpha \text{ } a \text{ } p))$   
 $\text{matches } (\beta, \alpha) \text{ (Match expr) } a \text{ } p = (\text{case } (\beta \text{ expr } p) \text{ of TernaryTrue} \Rightarrow \text{True} \mid \text{TernaryFalse} \Rightarrow \text{False} \mid \text{TernaryUnknown} \Rightarrow (\alpha \text{ } a \text{ } p))$   
 $\text{matches } \gamma \text{ (MatchNot (MatchNot } m)) \text{ } a \text{ } p \longleftrightarrow \text{matches } \gamma \text{ } m \text{ } a \text{ } p$   
**apply**(case-tac [!]  $\gamma$ )  
**by** (simp-all split: ternaryvalue.split add: matches-case-ternaryvalue-tuple)

**lemma matches-DeMorgan:**  $\text{matches } \gamma \text{ (MatchNot (MatchAnd } m1 \text{ } m2)) \text{ } a \text{ } p \longleftrightarrow (\text{matches } \gamma \text{ (MatchNot } m1) \text{ } a \text{ } p) \vee (\text{matches } \gamma \text{ (MatchNot } m2) \text{ } a \text{ } p)$   
**by** (cases  $\gamma$ ) (simp split: ternaryvalue.split add: matches-case-ternaryvalue-tuple eval-ternary-DeMorgan)

## 7.1 Ternary Matcher Algebra

**lemma matches-and-comm:**  $\text{matches } \gamma \text{ (MatchAnd } m \text{ } m') \text{ } a \text{ } p \longleftrightarrow \text{matches } \gamma \text{ (MatchAnd } m' \text{ } m) \text{ } a \text{ } p$   
**apply**(cases  $\gamma$ , rename-tac  $\beta \alpha$ , clarify)  
**apply**(simp split: ternaryvalue.split add: matches-case-ternaryvalue-tuple)  
**by** (metis eval-ternary-And-comm ternaryvalue.distinct(1) ternaryvalue.distinct(3) ternaryvalue.distinct(5))

**lemma matches-not-idem:**  $\text{matches } \gamma \text{ (MatchNot (MatchNot } m)) \text{ } a \text{ } p \longleftrightarrow \text{matches } \gamma \text{ } m \text{ } a \text{ } p$   
**by** (metis bunch-of-lemmata-about-matches(6) surjective-pairing)

**lemma** (TernaryNot (map-match-tac  $\beta \text{ } p \text{ (MatchNot } m))$ ) = (map-match-tac  $\beta \text{ } p \text{ (MatchNot } m)$ )  
**by** (metis map-match-tac.simps(2))

**lemma matches-simp1:**  $\text{matches } \gamma \text{ } m \text{ } a \text{ } p \implies \text{matches } \gamma \text{ (MatchAnd } m \text{ } m') \text{ } a \text{ } p \longleftrightarrow \text{matches } \gamma \text{ } m' \text{ } a \text{ } p$   
**apply**(cases  $\gamma$ , rename-tac  $\beta \alpha$ , clarify)  
**apply**(simp split: ternaryvalue.split-asm ternaryvalue.split add: matches-case-ternaryvalue-tuple)  
**done**

**lemma matches-simp11:**  $\text{matches } \gamma \text{ } m \text{ } a \text{ } p \implies \text{matches } \gamma \text{ (MatchAnd } m' \text{ } m) \text{ } a \text{ } p \longleftrightarrow \text{matches } \gamma \text{ } m' \text{ } a \text{ } p$   
**by**(simp-all add: matches-and-comm matches-simp1)

**lemma matches-simp2:**  $\text{matches } \gamma \text{ (MatchAnd } m \text{ } m') \text{ } a \text{ } p \implies \neg \text{matches } \gamma \text{ } m \text{ } a \text{ } p \implies \text{False}$   
**by** (metis bunch-of-lemmata-about-matches(1) surjective-pairing)  
**lemma matches-simp22:**  $\text{matches } \gamma \text{ (MatchAnd } m \text{ } m') \text{ } a \text{ } p \implies \neg \text{matches } \gamma \text{ } m' \text{ } a \text{ } p$

$p \implies \text{False}$   
**by** (*metis bunch-of-lemmata-about-matches(1) surjective-pairing*)

**lemma** *matches-simp3*:  $\text{matches } \gamma \text{ (MatchNot } m) \text{ } a \text{ } p \implies \text{matches } \gamma \text{ } m \text{ } a \text{ } p \implies$   
 $(\text{snd } \gamma) \text{ } a \text{ } p$   
**apply**(*cases*  $\gamma$ , *rename-tac*  $\beta \alpha$ , *clarify*)  
**apply**(*simp split*: *ternaryvalue.split-asm ternaryvalue.split add*: *matches-case-ternaryvalue-tuple*)  
**done**  
**lemma**  $\text{matches } \gamma \text{ (MatchNot } m) \text{ } a \text{ } p \implies \text{matches } \gamma \text{ } m \text{ } a \text{ } p \implies (\text{ternary-eval}$   
 $(\text{map-match-tac (fst } \gamma) \text{ } p \text{ } m)) = \text{None}$   
**apply**(*cases*  $\gamma$ , *rename-tac*  $\beta \alpha$ , *clarify*)  
**apply**(*simp split*: *ternaryvalue.split-asm ternaryvalue.split add*: *matches-case-ternaryvalue-tuple*  
*ternary-eval-def*)  
**done**

**lemmas** *matches-simps* = *matches-simp1 matches-simp11*

**lemmas** *matches-dest* = *matches-simp2 matches-simp22*

**lemma** *matches-iff-apply-f-generic*: *ternary-ternary-eval* (*map-match-tac*  $\beta \text{ } p \text{ } (f$   
 $(\beta, \alpha) \text{ } a \text{ } m)) = \text{ternary-ternary-eval } (\text{map-match-tac } \beta \text{ } p \text{ } m) \implies \text{matches } (\beta, \alpha) \text{ } (f$   
 $(\beta, \alpha) \text{ } a \text{ } m) \text{ } a \text{ } p \iff \text{matches } (\beta, \alpha) \text{ } m \text{ } a \text{ } p$   
**apply**(*simp split*: *ternaryvalue.split-asm ternaryvalue.split add*: *matches-case-ternaryvalue-tuple*)  
**done**

**lemma** *matches-iff-apply-f*: *ternary-ternary-eval* (*map-match-tac*  $\beta \text{ } p \text{ } (f \text{ } m)) =$   
*ternary-ternary-eval* (*map-match-tac*  $\beta \text{ } p \text{ } m) \implies \text{matches } (\beta, \alpha) \text{ } (f \text{ } m) \text{ } a \text{ } p \iff$   
 $\text{matches } (\beta, \alpha) \text{ } m \text{ } a \text{ } p$   
**apply**(*simp split*: *ternaryvalue.split-asm ternaryvalue.split add*: *matches-case-ternaryvalue-tuple*)  
**done**

Optimize away MatchAny matches

**fun** *opt-MatchAny-match-expr* :: '*a match-expr*  $\Rightarrow$  '*a match-expr* **where**  
*opt-MatchAny-match-expr* *MatchAny* = *MatchAny* |  
*opt-MatchAny-match-expr* (*Match* *a*) = (*Match* *a*) |  
*opt-MatchAny-match-expr* (*MatchNot* (*MatchNot* *m*)) = (*opt-MatchAny-match-expr*  
*m*) |  
*opt-MatchAny-match-expr* (*MatchNot* *m*) = *MatchNot* (*opt-MatchAny-match-expr*  
*m*) |  
*opt-MatchAny-match-expr* (*MatchAnd* *MatchAny* *MatchAny*) = *MatchAny* |  
*opt-MatchAny-match-expr* (*MatchAnd* *MatchAny* *m*) = *m* |  
*opt-MatchAny-match-expr* (*MatchAnd* *m* *MatchAny*) = *m* |  
*opt-MatchAny-match-expr* (*MatchAnd* *m* (*MatchNot* *MatchAny*)) = (*MatchNot*  
*MatchAny*) |  
*opt-MatchAny-match-expr* (*MatchAnd* (*MatchNot* *MatchAny*) *m*) = (*MatchNot*  
*MatchAny*) |



$opt\text{-}MatchAny\text{-}match\text{-}expr (MatchAnd\ m1\ m2) = MatchAnd (opt\text{-}MatchAny\text{-}match\text{-}expr\ m1) (opt\text{-}MatchAny\text{-}match\text{-}expr\ m2)$

need to apply multiple times until it stabelizes

**lemma** *opt-MatchAny-match-expr-correct*:  $matches\ \gamma\ (opt\text{-}MatchAny\text{-}match\text{-}expr\ m) = matches\ \gamma\ m$   
`apply(case-tac  $\gamma$ , rename-tac  $\beta\ \alpha$ , clarify)  
 apply(simp add: fun-eq-iff, clarify, rename-tac  $a\ p$ )  
 apply(rule-tac  $f = opt\text{-}MatchAny\text{-}match\text{-}expr$  in matches-iff-apply-f)  
 apply(simp)  
 apply(induction m rule: opt-MatchAny-match-expr.induct)  
 apply(simp-all add: eval-ternary-simps eval-ternary-idempotence-Not)  
done`

An  $'p\ unknown\text{-}match\text{-}tac$  is wf if it behaves equal for *Reject* and *Drop*

**definition** *wf-unknown-match-tac* ::  $'p\ unknown\text{-}match\text{-}tac \Rightarrow bool$  **where**  
 $wf\text{-}unknown\text{-}match\text{-}tac\ \alpha \equiv (\alpha\ Drop = \alpha\ Reject)$

**lemma** *wf-unknown-match-tacD-False1*:  $wf\text{-}unknown\text{-}match\text{-}tac\ \alpha \Longrightarrow \neg matches\ (\beta, \alpha)\ m\ Reject\ p \Longrightarrow matches\ (\beta, \alpha)\ m\ Drop\ p \Longrightarrow False$   
`apply(simp add: wf-unknown-match-tac-def)  
 apply(simp add: matches-def)  
 apply(case-tac (ternary-ternary-eval (map-match-tac  $\beta\ p\ m$ )))  
 apply(simp)  
 apply(simp)  
 apply(simp)  
done`

**lemma** *wf-unknown-match-tacD-False2*:  $wf\text{-}unknown\text{-}match\text{-}tac\ \alpha \Longrightarrow matches\ (\beta, \alpha)\ m\ Reject\ p \Longrightarrow \neg matches\ (\beta, \alpha)\ m\ Drop\ p \Longrightarrow False$   
`apply(simp add: wf-unknown-match-tac-def)  
 apply(simp add: matches-def)  
 apply(case-tac (ternary-ternary-eval (map-match-tac  $\beta\ p\ m$ )))  
 apply(simp)  
 apply(simp)  
 apply(simp)  
done`

**lemma** *bool-to-ternary-simp1*:  $bool\text{-}to\text{-}ternary\ X = TernaryTrue \longleftrightarrow X$   
**by** (metis bool-to-ternary.elims ternaryvalue.distinct(1))

**lemma** *bool-to-ternary-simp2*:  $bool\text{-}to\text{-}ternary\ Y = TernaryFalse \longleftrightarrow \neg Y$   
**by** (metis bool-to-ternary.elims ternaryvalue.distinct(1))

**lemma** *bool-to-ternary-simp3*:  $eval\text{-}ternary\text{-}Not\ (bool\text{-}to\text{-}ternary\ X) = Ternary\text{-}True \longleftrightarrow \neg X$

**by** (metis (full-types) bool-to-ternary-simp2 eval-ternary-Not.simps(1) eval-ternary-idempotence-Not)

**lemma** *bool-to-ternary-simp4*:  $eval\text{-}ternary\text{-}Not\ (bool\text{-}to\text{-}ternary\ X) = Ternary\text{-}$

```

False  $\longleftrightarrow$  X
by (metis bool-to-ternary-simp1 eval-ternary-Not.simps(1) eval-ternary-idempotence-Not)
lemma bool-to-ternary-simp5:  $\neg$  eval-ternary-Not (bool-to-ternary X) = TernaryUnknown
by (metis bool-to-ternary-Unknown eval-ternary-Not-UnknownD)
lemmas bool-to-ternary-simps = bool-to-ternary-simp1 bool-to-ternary-simp2 bool-to-ternary-simp3
bool-to-ternary-simp4 bool-to-ternary-simp5
hide-fact bool-to-ternary-simp1 bool-to-ternary-simp2 bool-to-ternary-simp3 bool-to-ternary-simp4
bool-to-ternary-simp5

end
theory Semantics-Ternary
imports Matching-Ternary Misc
begin

```

## 8 Embedded Ternary-Matching Big Step Semantics

```

lemma rules-singleton-rev-E:  $[Rule\ m\ a] = rs_1 @ rs_2 \implies (rs_1 = [Rule\ m\ a] \implies$ 
 $rs_2 = [] \implies P\ m\ a) \implies (rs_1 = [] \implies rs_2 = [Rule\ m\ a] \implies P\ m\ a) \implies P\ m\ a$ 
by (cases rs1) auto

```

```

inductive approximating-bigstep :: ('a, 'p) match-tac  $\Rightarrow$  'p  $\Rightarrow$  'a rule list  $\Rightarrow$  state
 $\Rightarrow$  state  $\Rightarrow$  bool
  ( $\neg$ ,  $\vdash$   $\langle \neg, - \rangle \Rightarrow_\alpha$  - [60,60,20,98,98] 89)
  for  $\gamma$  and  $p$  where
  skip:  $\gamma, p \vdash \langle [], t \rangle \Rightarrow_\alpha t$  |
  accept:  $\llbracket matches\ \gamma\ m\ Accept\ p \rrbracket \implies \gamma, p \vdash \langle [Rule\ m\ Accept], Undecided \rangle \Rightarrow_\alpha Decision\ FinalAllow$  |
  drop:  $\llbracket matches\ \gamma\ m\ Drop\ p \rrbracket \implies \gamma, p \vdash \langle [Rule\ m\ Drop], Undecided \rangle \Rightarrow_\alpha Decision\ FinalDeny$  |
  reject:  $\llbracket matches\ \gamma\ m\ Reject\ p \rrbracket \implies \gamma, p \vdash \langle [Rule\ m\ Reject], Undecided \rangle \Rightarrow_\alpha Decision\ FinalDeny$  |
  log:  $\llbracket matches\ \gamma\ m\ Log\ p \rrbracket \implies \gamma, p \vdash \langle [Rule\ m\ Log], Undecided \rangle \Rightarrow_\alpha Undecided$  |
  empty:  $\llbracket matches\ \gamma\ m\ Empty\ p \rrbracket \implies \gamma, p \vdash \langle [Rule\ m\ Empty], Undecided \rangle \Rightarrow_\alpha Undecided$  |
  nomatch:  $\llbracket \neg matches\ \gamma\ m\ a\ p \rrbracket \implies \gamma, p \vdash \langle [Rule\ m\ a], Undecided \rangle \Rightarrow_\alpha Undecided$  |
  decision:  $\gamma, p \vdash \langle rs, Decision\ X \rangle \Rightarrow_\alpha Decision\ X$  |
  seq:  $\llbracket \gamma, p \vdash \langle rs_1, Undecided \rangle \Rightarrow_\alpha t; \gamma, p \vdash \langle rs_2, t \rangle \Rightarrow_\alpha t' \rrbracket \implies \gamma, p \vdash \langle rs_1 @ rs_2, Undecided \rangle \Rightarrow_\alpha t'$ 

```

```

thm approximating-bigstep.induct[of  $\gamma\ p\ rs\ s\ t\ P$ ]

```

```

lemma approximating-bigstep-induct[case-names Skip Allow Deny Log Nomatch

```

*Decision Seq, induct pred: approximating-bigstep* :  $\gamma, p \vdash \langle rs, s \rangle \Rightarrow_{\alpha} t \Rightarrow$   
 $(\bigwedge t. P \square t) \Rightarrow$   
 $(\bigwedge m a. \text{matches } \gamma m a p \Rightarrow a = \text{Accept} \Rightarrow P [\text{Rule } m a] \text{ Undecided } (\text{Decision } \text{FinalAllow})) \Rightarrow$   
 $(\bigwedge m a. \text{matches } \gamma m a p \Rightarrow a = \text{Drop} \vee a = \text{Reject} \Rightarrow P [\text{Rule } m a] \text{ Undecided } (\text{Decision } \text{FinalDeny})) \Rightarrow$   
 $(\bigwedge m a. \text{matches } \gamma m a p \Rightarrow a = \text{Log} \vee a = \text{Empty} \Rightarrow P [\text{Rule } m a] \text{ Undecided } \text{Undecided}) \Rightarrow$   
 $(\bigwedge m a. \neg \text{matches } \gamma m a p \Rightarrow P [\text{Rule } m a] \text{ Undecided } \text{Undecided}) \Rightarrow$   
 $(\bigwedge rs X. P rs (\text{Decision } X) (\text{Decision } X)) \Rightarrow$   
 $(\bigwedge rs rs_1 rs_2 t t'. rs = rs_1 @ rs_2 \Rightarrow \gamma, p \vdash \langle rs_1, \text{Undecided} \rangle \Rightarrow_{\alpha} t \Rightarrow P rs_1 \text{ Undecided } t \Rightarrow \gamma, p \vdash \langle rs_2, t \rangle \Rightarrow_{\alpha} t' \Rightarrow P rs_2 t t' \Rightarrow P rs \text{ Undecided } t') \Rightarrow$   
 $\Rightarrow P rs s t$   
**by** (*induction rule: approximating-bigstep.induct*) (*simp-all*)

**lemma** *skipD*:  $\gamma, p \vdash \langle [], s \rangle \Rightarrow_{\alpha} t \Rightarrow s = t$   
**by** (*induction []::'a rule list s t rule: approximating-bigstep-induct*) (*simp-all*)

**lemma** *decisionD*:  $\gamma, p \vdash \langle rs, \text{Decision } X \rangle \Rightarrow_{\alpha} t \Rightarrow t = \text{Decision } X$   
**by** (*induction rs Decision X t rule: approximating-bigstep-induct*) (*simp-all*)

**lemma** *acceptD*:  $\gamma, p \vdash \langle [\text{Rule } m \text{ Accept}], \text{Undecided} \rangle \Rightarrow_{\alpha} t \Rightarrow \text{matches } \gamma m \text{ Accept } p \Rightarrow t = \text{Decision } \text{FinalAllow}$   
**apply** (*induction [Rule m Accept] Undecided t rule: approximating-bigstep-induct*)  
**apply** (*simp-all*)  
**by** (*metis list-app-singletonE skipD*)

**lemma** *dropD*:  $\gamma, p \vdash \langle [\text{Rule } m \text{ Drop}], \text{Undecided} \rangle \Rightarrow_{\alpha} t \Rightarrow \text{matches } \gamma m \text{ Drop } p \Rightarrow t = \text{Decision } \text{FinalDeny}$   
**apply** (*induction [Rule m Drop] Undecided t rule: approximating-bigstep-induct*)  
**by** (*auto dest: skipD elim!: rules-singleton-rev-E*)

**lemma** *rejectD*:  $\gamma, p \vdash \langle [\text{Rule } m \text{ Reject}], \text{Undecided} \rangle \Rightarrow_{\alpha} t \Rightarrow \text{matches } \gamma m \text{ Reject } p \Rightarrow t = \text{Decision } \text{FinalDeny}$   
**apply** (*induction [Rule m Reject] Undecided t rule: approximating-bigstep-induct*)  
**by** (*auto dest: skipD elim!: rules-singleton-rev-E*)

**lemma** *logD*:  $\gamma, p \vdash \langle [\text{Rule } m \text{ Log}], \text{Undecided} \rangle \Rightarrow_{\alpha} t \Rightarrow t = \text{Undecided}$   
**apply** (*induction [Rule m Log] Undecided t rule: approximating-bigstep-induct*)  
**by** (*auto dest: skipD elim!: rules-singleton-rev-E*)

**lemma** *emptyD*:  $\gamma, p \vdash \langle [\text{Rule } m \text{ Empty}], \text{Undecided} \rangle \Rightarrow_{\alpha} t \Rightarrow t = \text{Undecided}$   
**apply** (*induction [Rule m Empty] Undecided t rule: approximating-bigstep-induct*)  
**by** (*auto dest: skipD elim!: rules-singleton-rev-E*)

**lemma** *nomatchD*:  $\gamma, p \vdash \langle [\text{Rule } m a], \text{Undecided} \rangle \Rightarrow_{\alpha} t \Rightarrow \neg \text{matches } \gamma m a p \Rightarrow t = \text{Undecided}$   
**apply** (*induction [Rule m a] Undecided t rule: approximating-bigstep-induct*)

**by**(*auto dest: skipD elim!: rules-singleton-rev-E*)

**lemmas** *approximating-bigstepD = skipD acceptD dropD rejectD logD emptyD no-matchD decisionD*

**lemma** *approximating-bigstep-to-undecided:  $\gamma, p \vdash \langle rs, s \rangle \Rightarrow_\alpha \text{Undecided} \implies s = \text{Undecided}$*

**by** (*metis decisionD state.exhaust*)

**lemma** *approximating-bigstep-to-decision1:  $\gamma, p \vdash \langle rs, \text{Decision } Y \rangle \Rightarrow_\alpha \text{Decision } X \implies Y = X$*

**by** (*metis decisionD state.inject*)

**thm** *decisionD*

**lemma** *nomatch-fst:  $\neg \text{matches } \gamma \ m \ a \ p \implies \gamma, p \vdash \langle rs, s \rangle \Rightarrow_\alpha t \implies \gamma, p \vdash \langle \text{Rule } m \ a \ \# \ rs, s \rangle \Rightarrow_\alpha t$*

**apply**(*cases s*)

**apply**(*clarify*)

**apply**(*drule nomatch*)

**apply**(*drule(1) seq*)

**apply** (*simp*)

**apply**(*clarify*)

**apply**(*drule decisionD*)

**apply**(*clarify*)

**apply**(*simp-all add: decision*)

**done**

**lemma** *seq'*:

**assumes** *rs = rs<sub>1</sub> @ rs<sub>2</sub>  $\gamma, p \vdash \langle rs_1, s \rangle \Rightarrow_\alpha t \ \gamma, p \vdash \langle rs_2, t \rangle \Rightarrow_\alpha t'$*

**shows**  *$\gamma, p \vdash \langle rs, s \rangle \Rightarrow_\alpha t'$*

**using** *assms by (cases s) (auto intro: seq decision dest: decisionD)*

**lemma** *seq-split:*

**assumes**  *$\gamma, p \vdash \langle rs, s \rangle \Rightarrow_\alpha t \ rs = rs_1 @ rs_2$*

**obtains**  *$t'$  where  $\gamma, p \vdash \langle rs_1, s \rangle \Rightarrow_\alpha t' \ \gamma, p \vdash \langle rs_2, t' \rangle \Rightarrow_\alpha t$*

**using** *assms*

**proof** (*induction rs s t arbitrary: rs<sub>1</sub> rs<sub>2</sub> thesis rule: approximating-bigstep-induct*)

**case** *Allow thus ?case by (auto dest: skipD elim!: rules-singleton-rev-E intro: approximating-bigstep.intros)*

**next**

**case** *Deny thus ?case by (auto dest: skipD elim!: rules-singleton-rev-E intro: approximating-bigstep.intros)*

**next**

**case** *Log thus ?case by (auto dest: skipD elim!: rules-singleton-rev-E intro: approximating-bigstep.intros)*

**next**

**case** *Nomatch thus ?case by (auto dest: skipD elim!: rules-singleton-rev-E intro: approximating-bigstep.intros)*

**next**

```

case (Seq rs rsa rsb t t')
hence rs: rsa @ rsb = rs1 @ rs2 by simp
note List.append-eq-append-conv-if[simp]
from rs show ?case
  proof (cases rule: list-app-eq-cases)
    case longer
      with Seq have t1:  $\gamma, p \vdash \langle \text{take } (\text{length } \text{rsa}) \text{ rs}_1, \text{Undecided} \rangle \Rightarrow_{\alpha} t$ 
        by simp
      from Seq longer obtain t2
        where t2a:  $\gamma, p \vdash \langle \text{drop } (\text{length } \text{rsa}) \text{ rs}_1, t \rangle \Rightarrow_{\alpha} t2$ 
          and rs2-t2:  $\gamma, p \vdash \langle \text{rs}_2, t2 \rangle \Rightarrow_{\alpha} t'$ 
        by blast
      with t1 rs2-t2 have  $\gamma, p \vdash \langle \text{take } (\text{length } \text{rsa}) \text{ rs}_1 @ \text{drop } (\text{length } \text{rsa})$ 
rs1, Undecided  $\rangle \Rightarrow_{\alpha} t2$ 
        by (blast intro: approximating-bigstep.seq)
      with Seq rs2-t2 show ?thesis
        by simp
    next
      case shorter
        with rs have rsa': rsa = rs1 @ take (length rsa - length rs1) rs2
          by (metis append-eq-conv-conj length-drop)
        from shorter rs have rsb': rsb = drop (length rsa - length rs1) rs2
          by (metis append-eq-conv-conj length-drop)
        from Seq rsa' obtain t1
          where t1a:  $\gamma, p \vdash \langle \text{rs}_1, \text{Undecided} \rangle \Rightarrow_{\alpha} t1$ 
            and t1b:  $\gamma, p \vdash \langle \text{take } (\text{length } \text{rsa} - \text{length } \text{rs}_1) \text{ rs}_2, t1 \rangle \Rightarrow_{\alpha} t$ 
          by blast
        from rsb' Seq.hyps have t2:  $\gamma, p \vdash \langle \text{drop } (\text{length } \text{rsa} - \text{length } \text{rs}_1) \text{ rs}_2, t \rangle \Rightarrow_{\alpha}$ 
t'
          by blast
        with seq' t1b have  $\gamma, p \vdash \langle \text{rs}_2, t1 \rangle \Rightarrow_{\alpha} t'$  by (metis append-take-drop-id)
        with Seq t1a show ?thesis
          by fast
    qed
qed (auto intro: approximating-bigstep.intros)

```

**lemma** seqE-fst:

```

assumes  $\gamma, p \vdash \langle r \# \text{rs}, s \rangle \Rightarrow_{\alpha} t$ 
obtains t' where  $\gamma, p \vdash \langle [r], s \rangle \Rightarrow_{\alpha} t'$   $\gamma, p \vdash \langle \text{rs}, t' \rangle \Rightarrow_{\alpha} t$ 
using assms seq-split by (metis append-Cons append-Nil)

```

**lemma** seq-fst:  $\gamma, p \vdash \langle [r], s \rangle \Rightarrow_{\alpha} t \implies \gamma, p \vdash \langle \text{rs}, t \rangle \Rightarrow_{\alpha} t' \implies \gamma, p \vdash \langle r \# \text{rs}, s \rangle \Rightarrow_{\alpha} t'$

```

apply(cases s)
apply(simp)
using seq apply fastforce
apply(simp)
apply(drule decisionD)

```

```

apply(simp)
apply(drule decisionD)
apply(simp)
using decision by fast

```

```

fun approximating-bigstep-fun :: ('a, 'p) match-tac  $\Rightarrow$  'p  $\Rightarrow$  'a rule list  $\Rightarrow$  state  $\Rightarrow$ 
state where
  approximating-bigstep-fun  $\gamma$  p [] s = s |
  approximating-bigstep-fun  $\gamma$  p rs (Decision X) = (Decision X) |
  approximating-bigstep-fun  $\gamma$  p ((Rule m a)#rs) Undecided = (if
     $\neg$  matches  $\gamma$  m a p
  then
    approximating-bigstep-fun  $\gamma$  p rs Undecided
  else
    case a of Accept  $\Rightarrow$  Decision FinalAllow
      | Drop  $\Rightarrow$  Decision FinalDeny
      | Reject  $\Rightarrow$  Decision FinalDeny
      | Log  $\Rightarrow$  approximating-bigstep-fun  $\gamma$  p rs Undecided
      | Empty  $\Rightarrow$  approximating-bigstep-fun  $\gamma$  p rs Undecided
    (*unhandled cases*)
  )

```

```

thm approximating-bigstep-fun.induct[of P  $\gamma$  p rs s]

```

```

lemma approximating-bigstep-fun.induct[case-names Empty Decision Nomatch Match]
:
  ( $\bigwedge \gamma$  p s. P  $\gamma$  p [] s)  $\Rightarrow$ 
  ( $\bigwedge \gamma$  p r rs X. P  $\gamma$  p (r # rs) (Decision X))  $\Rightarrow$ 
  ( $\bigwedge \gamma$  p m a rs.
     $\neg$  matches  $\gamma$  m a p  $\Rightarrow$  P  $\gamma$  p rs Undecided  $\Rightarrow$  P  $\gamma$  p (Rule m a # rs)
    Undecided)  $\Rightarrow$ 
  ( $\bigwedge \gamma$  p m a rs.
    matches  $\gamma$  m a p  $\Rightarrow$  (a = Log  $\Rightarrow$  P  $\gamma$  p rs Undecided)  $\Rightarrow$  (a = Empty  $\Rightarrow$ 
    P  $\gamma$  p rs Undecided)  $\Rightarrow$  P  $\gamma$  p (Rule m a # rs) Undecided)  $\Rightarrow$ 
    P  $\gamma$  p rs s
  apply (rule approximating-bigstep-fun.induct[of P  $\gamma$  p rs s])
  apply (simp-all)
  by metis

```

```

lemma Decision-approximating-bigstep-fun: approximating-bigstep-fun  $\gamma$  p rs (Decision
X) = Decision X
  by (induction rs) (simp-all)

```

## 8.1 wf ruleset

A 'a rule list here is well-formed (for a packet) if

1. either the rules do not match
2. or the action is not *Call*, not *Return*, not *Unknown*

**definition** *wf-ruleset* :: ('a, 'p) *match-tac*  $\Rightarrow$  'p  $\Rightarrow$  'a *rule list*  $\Rightarrow$  bool **where**  
*wf-ruleset*  $\gamma$  p rs  $\equiv \forall r \in \text{set } rs.$   
 $(\neg \text{matches } \gamma (\text{get-match } r) (\text{get-action } r) p) \vee$   
 $(\neg (\exists \text{chain. get-action } r = \text{Call chain}) \wedge \text{get-action } r \neq \text{Return} \wedge \text{get-action } r \neq \text{Unknown})$

**lemma** *wf-ruleset-append*: *wf-ruleset*  $\gamma$  p (rs1@rs2)  $\longleftrightarrow$  *wf-ruleset*  $\gamma$  p rs1  $\wedge$  *wf-ruleset*  $\gamma$  p rs2

**by**(auto simp add: *wf-ruleset-def*)

**lemma** *wf-rulesetD*: **assumes** *wf-ruleset*  $\gamma$  p (r # rs) **shows** *wf-ruleset*  $\gamma$  p [r] **and** *wf-ruleset*  $\gamma$  p rs

**using** *assms* **by**(auto simp add: *wf-ruleset-def*)

**lemma** *wf-ruleset-fst*: *wf-ruleset*  $\gamma$  p (Rule m a # rs)  $\longleftrightarrow$  *wf-ruleset*  $\gamma$  p [Rule m a]  $\wedge$  *wf-ruleset*  $\gamma$  p rs

**using** *assms* **by**(auto simp add: *wf-ruleset-def*)

**lemma** *wf-ruleset-stripfst*: *wf-ruleset*  $\gamma$  p (r # rs)  $\Longrightarrow$  *wf-ruleset*  $\gamma$  p (rs)

**by**(simp add: *wf-ruleset-def*)

**lemma** *wf-ruleset-rest*: *wf-ruleset*  $\gamma$  p (Rule m a # rs)  $\Longrightarrow$  *wf-ruleset*  $\gamma$  p [Rule m a]

**by**(simp add: *wf-ruleset-def*)

**lemma** *approximating-bigstep-fun-induct-wf*[*case-names Empty Decision Nomatch MatchAccept MatchDrop MatchReject MatchLog MatchEmpty, consumes 1*]:

*wf-ruleset*  $\gamma$  p rs  $\Longrightarrow$

$(\bigwedge \gamma p s. P \gamma p [] s) \Longrightarrow$

$(\bigwedge \gamma p r rs X. P \gamma p (r \# rs) (\text{Decision } X)) \Longrightarrow$

$(\bigwedge \gamma p m a rs.$

$\neg \text{matches } \gamma m a p \Longrightarrow P \gamma p rs \text{ Undecided} \Longrightarrow P \gamma p (\text{Rule } m a \# rs) \text{ Undecided}) \Longrightarrow$

$(\bigwedge \gamma p m a rs.$

$\text{matches } \gamma m a p \Longrightarrow a = \text{Accept} \Longrightarrow P \gamma p (\text{Rule } m a \# rs) \text{ Undecided}) \Longrightarrow$

$(\bigwedge \gamma p m a rs.$

$\text{matches } \gamma m a p \Longrightarrow a = \text{Drop} \Longrightarrow P \gamma p (\text{Rule } m a \# rs) \text{ Undecided}) \Longrightarrow$

$(\bigwedge \gamma p m a rs.$

$\text{matches } \gamma m a p \Longrightarrow a = \text{Reject} \Longrightarrow P \gamma p (\text{Rule } m a \# rs) \text{ Undecided}) \Longrightarrow$

$(\bigwedge \gamma p m a rs.$

$\text{matches } \gamma m a p \Longrightarrow a = \text{Log} \Longrightarrow P \gamma p rs \text{ Undecided} \Longrightarrow P \gamma p (\text{Rule } m a \# rs) \text{ Undecided}) \Longrightarrow$

$P \gamma p rs s$

**apply**(induction  $\gamma p rs s$  rule: *approximating-bigstep-fun-induct*)

**apply** blast

**apply** blast

```

apply(auto dest:wf-rulesetD)[1]
apply(frule wf-rulesetD(1), drule wf-rulesetD(2))
apply(simp)
apply(case-tac a)
apply(simp-all)
apply(auto simp add: wf-ruleset-def)
done

```

### 8.1.1 Append, Prepend, Postpend, Composition

```

lemma approximating-bigstep-fun-seq-wf:  $\llbracket \text{wf-ruleset } \gamma \text{ } p \text{ } rs_1 \rrbracket \implies$ 
  approximating-bigstep-fun  $\gamma$   $p$  ( $rs_1 @ rs_2$ ) Undecided = approximating-bigstep-fun
 $\gamma$   $p$   $rs_2$  (approximating-bigstep-fun  $\gamma$   $p$   $rs_1$  Undecided)
  apply(induction rs1 arbitrary: )
  apply simp-all
  apply(rename-tac r rs1)
  apply(case-tac r, rename-tac x1 x2)
  apply(clarify)
  apply(case-tac  $\neg$  matches  $\gamma$   $x_1$   $x_2$   $p$ )
  apply(simp add: wf-ruleset-def)
  apply(simp add: wf-ruleset-def)
  apply(case-tac x2)
  apply simp-all
  apply(simp-all add: Decision-approximating-bigstep-fun)
  apply auto
  done

```

```

lemma approximating-bigstep-fun-seq-Undecided-wf:  $\llbracket \text{wf-ruleset } \gamma \text{ } p \text{ } (rs_1 @ rs_2) \rrbracket$ 
 $\implies$ 
  approximating-bigstep-fun  $\gamma$   $p$  ( $rs_1 @ rs_2$ ) Undecided = Undecided  $\longleftrightarrow$ 
  approximating-bigstep-fun  $\gamma$   $p$   $rs_1$  Undecided = Undecided  $\wedge$  approximating-bigstep-fun
 $\gamma$   $p$   $rs_2$  Undecided = Undecided
  apply(induction rs1 arbitrary:)
  apply(simp add: wf-ruleset-def)
  apply(rename-tac r rs1)
  apply(case-tac r, rename-tac x1 x2)
  apply(clarify)
  apply(case-tac  $\neg$  matches  $\gamma$   $x_1$   $x_2$   $p$ )
  apply(simp add: wf-ruleset-def)
  apply(simp add: wf-ruleset-def)
  apply(case-tac x2)
  apply simp-all
  apply auto
  done

```

```

lemma approximating-bigstep-fun-seq-Undecided-t-wf:  $\llbracket \text{wf-ruleset } \gamma \text{ } p \text{ } (rs_1 @ rs_2) \rrbracket$ 
 $\implies$ 
  approximating-bigstep-fun  $\gamma$   $p$  ( $rs_1 @ rs_2$ ) Undecided =  $t \longleftrightarrow$ 

```



```

    approximating-bigstep-fun  $\gamma$  p rs1 Undecided = Undecided  $\wedge$  approximating-bigstep-fun
 $\gamma$  p rs2 Undecided = t  $\vee$ 
    approximating-bigstep-fun  $\gamma$  p rs1 Undecided = t  $\wedge$  t  $\neq$  Undecided
    apply(induction rs1 arbitrary:)
    apply simp-all
    apply(case-tac t)
    apply(simp-all add: Decision-approximating-bigstep-fun)

    apply(rename-tac r rs1)
    apply(case-tac r, rename-tac x1 x2)
    apply(clarify)
    apply(case-tac  $\neg$  matches  $\gamma$  x1 x2 p)
    apply(simp add: wf-ruleset-def)
    apply(simp add: wf-ruleset-def)
    apply(case-tac x2)
    apply simp-all
    apply auto
    done

    lemma approximating-bigstep-fun-wf-postpend: wf-ruleset  $\gamma$  p rsA  $\implies$  wf-ruleset
 $\gamma$  p rsB  $\implies$ 
      approximating-bigstep-fun  $\gamma$  p rsA s = approximating-bigstep-fun  $\gamma$  p rsB s
 $\implies$ 
      approximating-bigstep-fun  $\gamma$  p (rsA@rsC) s = approximating-bigstep-fun  $\gamma$  p
(rsB@rsC) s
    apply(case-tac s)
    prefer 2
    apply(simp add: Decision-approximating-bigstep-fun)
    apply(simp)
    apply(thin-tac s = ?un)
    apply(induction  $\gamma$  p rsA Undecided rule: approximating-bigstep-fun-induct-wf)
    apply(simp-all)
    apply (metis approximating-bigstep-fun-seq-wf)
    apply (metis Decision-approximating-bigstep-fun approximating-bigstep-fun-seq-wf)+
    done

```

## 8.2 Equality with $\gamma, p \vdash \langle rs, s \rangle \Rightarrow_\alpha t$ semantics

```

    lemma approximating-bigstep-wf:  $\gamma, p \vdash \langle rs, Undecided \rangle \Rightarrow_\alpha Undecided \implies$  wf-ruleset
 $\gamma$  p rs
    unfolding wf-ruleset-def
    proof(induction rs Undecided Undecided rule: approximating-bigstep-induct)
      case Skip thus ?case by simp
      next
      case Log thus ?case by auto
      next
      case Nomatch thus ?case by simp
      next
      case (Seq rs rs1 rs2 t)

```

**from** *Seq approximating-bigstep-to-undecided* **have**  $t = \text{Undecided}$  **by** *fast*  
**from** *this Seq* **show** *?case* **by** *auto*  
**qed**

only valid actions appear in this ruleset

**definition** *good-ruleset* :: 'a rule list  $\Rightarrow$  bool **where**  
*good-ruleset*  $rs \equiv \forall r \in \text{set } rs. (\neg(\exists \text{chain}. \text{get-action } r = \text{Call chain}) \wedge \text{get-action } r \neq \text{Return} \wedge \text{get-action } r \neq \text{Unknown})$

**lemma**[code-unfold]: *good-ruleset*  $rs \equiv (\forall r \in \text{set } rs. (\text{case } \text{get-action } r \text{ of } \text{Call chain} \Rightarrow \text{False} \mid \text{Return} \Rightarrow \text{False} \mid \text{Unknown} \Rightarrow \text{False} \mid - \Rightarrow \text{True}))$   
**apply**(*induction*  $rs$ )  
**apply**(*simp add: good-ruleset-def*)  
**apply**(*simp add: good-ruleset-def*)  
**apply**(*thin-tac ?x = ?y*)  
**apply**(*rename-tac r rs*)  
**apply**(*case-tac get-action r*)  
**apply**(*simp-all*)  
**done**

**lemma** *good-ruleset-alt*: *good-ruleset*  $rs = (\forall r \in \text{set } rs. \text{get-action } r = \text{Accept} \vee \text{get-action } r = \text{Drop} \vee$   
 $\vee \text{get-action } r = \text{Empty})$   
**apply**(*simp add: good-ruleset-def*)  
**apply**(*rule iffI*)  
**apply**(*clarify*)  
**apply**(*case-tac get-action r*)  
**apply**(*simp-all*)  
**apply**(*clarify*)  
**apply**(*case-tac get-action r*)  
**apply**(*simp-all*)  
**apply**(*fastforce*)  
**done**

**lemma** *good-ruleset-append*: *good-ruleset*  $(rs_1 @ rs_2) \longleftrightarrow \text{good-ruleset } rs_1 \wedge \text{good-ruleset } rs_2$   
**by**(*simp add: good-ruleset-alt, blast*)

**lemma** *good-ruleset-fst*: *good-ruleset*  $(r \# rs) \Longrightarrow \text{good-ruleset } [r]$   
**by**(*simp add: good-ruleset-def*)

**lemma** *good-ruleset-tail*: *good-ruleset*  $(r \# rs) \Longrightarrow \text{good-ruleset } rs$   
**by**(*simp add: good-ruleset-def*)

*good-ruleset* is stricter than *wf-ruleset*. It can be easily checked with running code!

**lemma** *good-imp-wf-ruleset*: *good-ruleset*  $rs \Longrightarrow \text{wf-ruleset } \gamma \text{ } p \text{ } rs$  **by** (*metis good-ruleset-def wf-ruleset-def*)

```

definition simple-ruleset :: 'a rule list  $\Rightarrow$  bool where
  simple-ruleset rs  $\equiv \forall r \in \text{set } rs. \text{get-action } r = \text{Accept } (*\forall \text{get-action } r =$ 
  Reject*)  $\vee \text{get-action } r = \text{Drop}$ 
lemma simple-imp-good-ruleset: simple-ruleset rs  $\implies$  good-ruleset rs
  by(simp add: simple-ruleset-def good-ruleset-def, fastforce)

lemma simple-ruleset-append: simple-ruleset (rs1 @ rs2)  $\longleftrightarrow$  simple-ruleset rs1
 $\wedge$  simple-ruleset rs2
  by(simp add: simple-ruleset-def, blast)

lemma approximating-bigstep-fun-seq-semantics:  $\llbracket \gamma, p \vdash \langle rs_1, s \rangle \Rightarrow_\alpha t \rrbracket \implies$ 
  approximating-bigstep-fun  $\gamma$  p (rs1 @ rs2) s = approximating-bigstep-fun  $\gamma$  p
  rs2 t
  apply(induction rs1 s t arbitrary: rs2 rule: approximating-bigstep.induct)
  apply(simp-all add: Decision-approximating-bigstep-fun)
  done

lemma approximating-semantics-imp-fun:  $\gamma, p \vdash \langle rs, s \rangle \Rightarrow_\alpha t \implies$  approximating-bigstep-fun
 $\gamma$  p rs s = t
  apply(induction rs s t rule: approximating-bigstep-induct)
  apply(auto)[ $\gamma$ ]
  apply(case-tac rs)
  apply(simp-all)
  apply(simp add: approximating-bigstep-fun-seq-semantics)
  done

lemma approximating-fun-imp-semantics: assumes wf-ruleset  $\gamma$  p rs
  shows approximating-bigstep-fun  $\gamma$  p rs s = t  $\implies \gamma, p \vdash \langle rs, s \rangle \Rightarrow_\alpha t$ 
using assms proof(induction  $\gamma$  p rs s rule: approximating-bigstep-fun-induct-wf)
  case (Empty  $\gamma$  p s)
    thus  $\gamma, p \vdash \langle [], s \rangle \Rightarrow_\alpha t$  using skip by(simp)
  next
  case (Decision  $\gamma$  p r rs X)
    hence t = Decision X by simp
    thus  $\gamma, p \vdash \langle r \# rs, \text{Decision } X \rangle \Rightarrow_\alpha t$  using decision by fast
  next
  case (Nomatch  $\gamma$  p m a rs)
    thus  $\gamma, p \vdash \langle \text{Rule } m \ a \ \# \ rs, \text{Undecided} \rangle \Rightarrow_\alpha t$ 
    apply(rule-tac t=Undecided in seq-fst)
    apply(simp add: nomatch)
    apply(simp add: Nomatch.IH)
    done
  next
  case (MatchAccept  $\gamma$  p m a rs)
    hence t = Decision FinalAllow by simp
    thus ?case by (metis MatchAccept.hyps accept decision seq-fst)
  next
  case (MatchDrop  $\gamma$  p m a rs)

```

```

    hence  $t = \text{Decision FinalDeny}$  by simp
    thus ?case by (metis MatchDrop.hyps drop decision seq-fst)
  next
  case (MatchReject  $\gamma$   $p$   $m$   $a$   $rs$ )
    hence  $t = \text{Decision FinalDeny}$  by simp
    thus ?case by (metis MatchReject.hyps reject decision seq-fst)
  next
  case (MatchLog  $\gamma$   $p$   $m$   $a$   $rs$ )
    thus ?case
      apply(simp)
      apply(rule-tac  $t = \text{Undecided}$  in seq-fst)
      apply(simp add: log)
      apply(simp add: MatchLog.IH)
    done
  next
  case (MatchEmpty  $\gamma$   $p$   $m$   $a$   $rs$ )
    thus ?case
      apply(simp)
      apply(rule-tac  $t = \text{Undecided}$  in seq-fst)
      apply(simp add: empty)
      apply(simp add: MatchEmpty.IH)
    done
qed

```

Henceforth, we will use the *approximating-bigstep-fun* semantics, because they are easier. We show that they are equal.

**theorem** *approximating-semantics-iff-fun*:  $\text{wf-ruleset } \gamma \text{ } p \text{ } rs \implies$   
 $\gamma, p \vdash \langle rs, s \rangle \Rightarrow_{\alpha} t \iff \text{approximating-bigstep-fun } \gamma \text{ } p \text{ } rs \text{ } s = t$   
**by** (metis *approximating-fun-imp-semantics approximating-semantics-imp-fun*)

**corollary** *approximating-semantics-iff-fun-good-ruleset*:  $\text{good-ruleset } rs \implies$   
 $\gamma, p \vdash \langle rs, s \rangle \Rightarrow_{\alpha} t \iff \text{approximating-bigstep-fun } \gamma \text{ } p \text{ } rs \text{ } s = t$   
**by** (metis *approximating-semantics-iff-fun good-imp-wf-ruleset*)

**lemma** *approximating-bigstep-deterministic*:  $\llbracket \gamma, p \vdash \langle rs, s \rangle \Rightarrow_{\alpha} t; \gamma, p \vdash \langle rs, s \rangle \Rightarrow_{\alpha} t' \rrbracket \implies t = t'$   
**apply**(induction arbitrary:  $t'$  rule: *approximating-bigstep-induct*)  
**apply**(auto dest: *approximating-bigstepD*)[6]  
**by** (metis (hide-lams, mono-tags) *append-Nil2 approximating-bigstep-fun.simps(1) approximating-bigstep-fun-seq-semantics*)

The actions Log and Empty do not modify the packet processing in any way. They can be removed.

**fun** *rm-LogEmpty* :: 'a rule list  $\Rightarrow$  'a rule list **where**  
 $\text{rm-LogEmpty } [] = []$  |  
 $\text{rm-LogEmpty } ((\text{Rule } - \text{Empty}) \# rs) = \text{rm-LogEmpty } rs$  |  
 $\text{rm-LogEmpty } ((\text{Rule } - \text{Log}) \# rs) = \text{rm-LogEmpty } rs$  |  
 $\text{rm-LogEmpty } (r \# rs) = r \# \text{rm-LogEmpty } rs$

```

lemma rm-LogEmpty-fun-semantics:
  approximating-bigstep-fun  $\gamma$   $p$  (rm-LogEmpty  $rs$ )  $s$  = approximating-bigstep-fun
 $\gamma$   $p$   $rs$   $s$ 
  apply(induction  $rs$ )
  apply(simp-all)
  apply(rename-tac  $r$   $rs$ )
  apply(case-tac  $r$ )
  apply(rename-tac  $m$   $a$ )
  apply(simp)
  apply(case-tac  $a$ )
  apply(simp-all)
  apply(case-tac  $[\!|$ ]  $s$ )
  apply(simp-all)
  apply (metis Decision-approximating-bigstep-fun)
  by (metis Decision-approximating-bigstep-fun)

lemma rm-LogEmpty-seq: rm-LogEmpty ( $rs1 @ rs2$ ) = rm-LogEmpty  $rs1$  @ rm-LogEmpty
 $rs2$ 
  apply(induction  $rs1$ )
  apply(simp-all)
  apply(case-tac  $a$ )
  apply(simp-all)
  apply(case-tac  $x2$ )
  apply(simp-all)
  done

lemma rm-LogEmpty-semantics:  $\gamma, p \vdash \langle \text{rm-LogEmpty } rs, s \rangle \Rightarrow_{\alpha} t \iff \gamma, p \vdash \langle rs,$ 
 $s \rangle \Rightarrow_{\alpha} t$ 
apply(rule iffI)

apply(induction  $rs$  arbitrary:  $s$   $t$ )
apply(simp-all)
apply(case-tac  $a$ )
apply(simp)
apply(case-tac  $x2$ )
apply(simp-all)
apply(auto intro: approximating-bigstep.intros)
apply(erule seqE-fst, simp add: seq-fst)
apply(erule seqE-fst, simp add: seq-fst)
apply (metis decision log nomatch-fst seq-fst state.exhaust)
apply(erule seqE-fst, simp add: seq-fst)
apply(erule seqE-fst, simp add: seq-fst)
apply(erule seqE-fst, simp add: seq-fst)
apply (metis decision empty nomatch-fst seq-fst state.exhaust)
apply(erule seqE-fst, simp add: seq-fst)

apply(induction  $rs$   $s$   $t$  rule: approximating-bigstep-induct)

```

```

apply(auto intro: approximating-bigstep.intros)
apply(case-tac a)
apply(auto intro: approximating-bigstep.intros)
apply(drule-tac rs1=rm-LogEmpty rs1 and rs2=rm-LogEmpty rs2 in seq)
apply(simp-all)
using rm-LogEmpty-seq apply metis
done

```

```

lemma rm-LogEmpty-simple-but-Reject:
  good-ruleset rs  $\implies \forall r \in \text{set } (rm-LogEmpty rs). \text{get-action } r = \text{Accept} \vee \text{get-action}$ 
   $r = \text{Reject} \vee \text{get-action } r = \text{Drop}$ 
  apply(induction rs)
  apply(simp-all add: good-ruleset-def simple-ruleset-def)
  apply(clarify)
  apply(case-tac a)
  apply(simp)
  apply(case-tac x2)
  apply(simp-all)
  apply fastforce+
  done

```

Rewrite *Reject* actions to *Drop* actions

```

fun rw-Reject :: 'a rule list  $\Rightarrow$  'a rule list where
  rw-Reject [] = [] |
  rw-Reject ((Rule m Reject)#rs) = (Rule m Drop)#rw-Reject rs |
  rw-Reject (r#rs) = r # rw-Reject rs

```

```

lemma rw-Reject-fun-semantics:
  wf-unknown-match-tac  $\alpha \implies$ 
  (approximating-bigstep-fun ( $\beta$ ,  $\alpha$ ) p (rw-Reject rs) s = approximating-bigstep-fun
  ( $\beta$ ,  $\alpha$ ) p rs s)
  apply(induction rs)
  apply(simp-all)
  apply(rename-tac r rs)
  apply(case-tac r)
  apply(rename-tac m a)
  apply(simp)
  apply(case-tac a)
  apply(simp-all)

  apply(case-tac [!] s)
  apply(simp-all)
  apply(auto dest: wf-unknown-match-tacD-False1 wf-unknown-match-tacD-False2)
done

```

```

lemma good-ruleset rs  $\implies$  simple-ruleset (rw-Reject (rm-LogEmpty rs))

```

```

apply(drule rm-LogEmpty-simple-but-Reject)
apply(simp add: simple-ruleset-def)
apply(induction rs)
  apply(simp-all)
apply(rename-tac r rs)
apply(case-tac r)
apply(rename-tac m a)
apply(case-tac a)
  apply(simp-all)
done

```

**definition** *optimize-matches* :: ('a match-expr  $\Rightarrow$  'a match-expr)  $\Rightarrow$  'a rule list  $\Rightarrow$  'a rule list **where**  
*optimize-matches* f rs = map ( $\lambda r$ . Rule (f (get-match r)) (get-action r)) rs

**lemma** *optimize-matches*:  $\forall m$ . matches  $\gamma$  m = matches  $\gamma$  (f m)  $\implies$  approximating-bigstep-fun  $\gamma$  p (optimize-matches f rs) s = approximating-bigstep-fun  $\gamma$  p rs s  
**apply**(induction  $\gamma$  p rs s rule: approximating-bigstep-fun-induct)  
**apply**(simp add: optimize-matches-def)  
**apply**(simp add: optimize-matches-def)  
**apply**(simp add: optimize-matches-def)  
**apply**(simp add: optimize-matches-def)  
**apply**(case-tac a)  
**apply**(simp-all)  
**done**

**lemma** *optimize-matches-opt-MatchAny-match-expr*: approximating-bigstep-fun  $\gamma$  p (optimize-matches opt-MatchAny-match-expr rs) s = approximating-bigstep-fun  $\gamma$  p rs s  
**using** *optimize-matches opt-MatchAny-match-expr-correct* **by** metis

**definition** *optimize-matches-a* :: (action  $\Rightarrow$  'a match-expr  $\Rightarrow$  'a match-expr)  $\Rightarrow$  'a rule list  $\Rightarrow$  'a rule list **where**  
*optimize-matches-a* f rs = map ( $\lambda r$ . Rule (f (get-action r) (get-match r)) (get-action r)) rs

**lemma** *optimize-matches-a*:  $\forall a$  m. matches  $\gamma$  m a = matches  $\gamma$  (f a m) a  $\implies$  approximating-bigstep-fun  $\gamma$  p (optimize-matches-a f rs) s = approximating-bigstep-fun  $\gamma$  p rs s  
**apply**(induction  $\gamma$  p rs s rule: approximating-bigstep-fun-induct)  
**apply**(simp add: optimize-matches-a-def)  
**apply**(simp add: optimize-matches-a-def)  
**apply**(simp add: optimize-matches-a-def)  
**apply**(simp add: optimize-matches-a-def)  
**apply**(case-tac a)  
**apply**(simp-all)  
**done**

```

end
theory Unknown-Match-Tacs
imports Matching-Ternary
begin

```

## 9 Approximate Matching Tactics

in-doubt-tactics

```

fun in-doubt-allow :: 'packet unknown-match-tac where
  in-doubt-allow Accept - = True |
  in-doubt-allow Drop - = False |
  in-doubt-allow Reject - = False

```

```

lemma wf-in-doubt-allow: wf-unknown-match-tac in-doubt-allow
  unfolding wf-unknown-match-tac-def by(simp add: fun-eq-iff)

```

```

fun in-doubt-deny :: 'packet unknown-match-tac where
  in-doubt-deny Accept - = False |
  in-doubt-deny Drop - = True |
  in-doubt-deny Reject - = True

```

```

lemma wf-in-doubt-deny: wf-unknown-match-tac in-doubt-deny
  unfolding wf-unknown-match-tac-def by(simp add: fun-eq-iff)

```

```

end
theory Matching-Embeddings
imports Matching-Ternary Matching Unknown-Match-Tacs
begin

```

## 10 Boolean Matching vs. Ternary Matching

```

term Semantics.matches
term Matching-Ternary.matches

```



The two matching semantics are related. However, due to the ternary logic, we cannot directly translate one to the other. The problem are *MatchNot* expressions which evaluate to *TernaryUnknown* because *MatchNot TernaryUnknown* and *TernaryUnknown* are semantically equal!

**lemma**  $\exists m \beta \alpha a. \text{Matching-Ternary.matches } (\beta, \alpha) m a p \neq$   
 $\text{Semantics.matches } (\lambda atm p. \text{case } \beta atm p \text{ of TernaryTrue} \Rightarrow \text{True} \mid \text{TernaryFalse}$   
 $\Rightarrow \text{False} \mid \text{TernaryUnknown} \Rightarrow \alpha a p) m p$   
**apply**(rule-tac  $x = \text{MatchNot } (\text{Match } X)$  **in**  $exI$ ) — any  $X$   
**apply** (*simp split: ternaryvalue.split ternaryvalue.split-asm add: matches-case-ternaryvalue-tuple*  
*bunch-of-lemmata-about-matches*)  
**by** *fast*

the *the* in the next definition is always defined

**lemma**  $\forall m \in \{m. \text{approx } m p \neq \text{TernaryUnknown}\}. \text{ternary-to-bool } (\text{approx } m$   
 $p) \neq \text{None}$   
**by**(*simp add: ternary-to-bool-None*)

The Boolean and the ternary matcher agree (where the ternary matcher is defined)

**definition** *matcher-agree-on-exact-matches* ::  $('a, 'p) \text{matcher} \Rightarrow ('a \Rightarrow 'p \Rightarrow$   
 $\text{ternaryvalue}) \Rightarrow \text{bool}$  **where**  
*matcher-agree-on-exact-matches exact approx*  $\equiv \forall p m. \text{approx } m p \neq \text{TernaryUnknown} \longrightarrow \text{exact } m p = \text{the } (\text{ternary-to-bool } (\text{approx } m p))$

**lemma** *eval-ternary-Not-TrueD*:  $\text{eval-ternary-Not } m = \text{TernaryTrue} \Longrightarrow m =$   
 $\text{TernaryFalse}$   
**by** (*metis eval-ternary-Not.simps(1) eval-ternary-idempotence-Not*)

**lemma** *matches-comply-exact*:  $\text{ternary-ternary-eval } (\text{map-match-tac } \beta p m) \neq$   
 $\text{TernaryUnknown} \Longrightarrow$   
 $\text{matcher-agree-on-exact-matches } \gamma \beta \Longrightarrow$   
 $\text{Semantics.matches } \gamma m p = \text{Matching-Ternary.matches } (\beta, \alpha) m a p$   
**proof**(*unfold matches-case-ternaryvalue-tuple, induction m*)  
**case Match thus ?case**  
**by**(*simp split: ternaryvalue.split add: matcher-agree-on-exact-matches-def*)  
**next**  
**case (MatchNot m) thus ?case**  
**apply**(*simp split: ternaryvalue.split add: matcher-agree-on-exact-matches-def*)  
**apply**(*case-tac ternary-ternary-eval (map-match-tac  $\beta p m$ )*)  
**by**(*simp-all*)  
**next**  
**case (MatchAnd m1 m2)**  
**thus ?case**  
**apply**(*simp split: ternaryvalue.split-asm ternaryvalue.split*)  
**apply**(*case-tac ternary-ternary-eval (map-match-tac  $\beta p m1$ )*)  
**apply**(*case-tac [!] ternary-ternary-eval (map-match-tac  $\beta p m2$ )*)

```

      by(simp-all)
next
case MatchAny thus ?case by simp
qed

```

**lemma** *in-doubt-allow-allows-Accept:  $a = \text{Accept} \implies \text{matcher-agree-on-exact-matches } \gamma \beta \implies \text{Semantics.matches } \gamma \ m \ p \implies \text{Matching-Ternary.matches } (\beta, \text{in-doubt-allow}) \ m \ a \ p$*

```

  apply(case-tac ternary-ternary-eval (map-match-tac  $\beta \ p \ m$ )  $\neq$  TernaryUnknown)
  using matches-comply-exact apply fast
  apply(simp add: matches-case-ternaryvalue-tuple)
done

```

**lemma** *not-exact-match-in-doubt-allow-approx-match:  $\text{matcher-agree-on-exact-matches } \gamma \beta \implies a = \text{Accept} \vee a = \text{Reject} \vee a = \text{Drop} \implies \neg \text{Semantics.matches } \gamma \ m \ p \implies (a = \text{Accept} \wedge \text{Matching-Ternary.matches } (\beta, \text{in-doubt-allow}) \ m \ a \ p) \vee \neg \text{Matching-Ternary.matches } (\beta, \text{in-doubt-allow}) \ m \ a \ p$*

```

  apply(case-tac ternary-ternary-eval (map-match-tac  $\beta \ p \ m$ )  $\neq$  TernaryUnknown)
  apply(drule(1) matches-comply-exact[where  $\alpha = \text{in-doubt-allow}$  and  $a = a$ ])
  apply(rule disjI2)
  apply fast
  apply(simp)
  apply(clarify)
  apply(simp add: matches-case-ternaryvalue-tuple)
  apply(cases a)
    apply(simp-all)
done

```

**lemma** *in-doubt-deny-denies-DropReject:  $a = \text{Drop} \vee a = \text{Reject} \implies \text{matcher-agree-on-exact-matches } \gamma \beta \implies \text{Semantics.matches } \gamma \ m \ p \implies \text{Matching-Ternary.matches } (\beta, \text{in-doubt-deny}) \ m \ a \ p$*

```

  apply(case-tac ternary-ternary-eval (map-match-tac  $\beta \ p \ m$ )  $\neq$  TernaryUnknown)
  using matches-comply-exact apply fast
  apply(simp)
  apply(auto simp add: matches-case-ternaryvalue-tuple)
done

```

**lemma** *not-exact-match-in-doubt-deny-approx-match:  $\text{matcher-agree-on-exact-matches } \gamma \beta \implies a = \text{Accept} \vee a = \text{Reject} \vee a = \text{Drop} \implies \neg \text{Semantics.matches } \gamma \ m \ p \implies$*

```

  ((a = Drop  $\vee$  a = Reject)  $\wedge$  Matching-Ternary.matches ( $\beta$ , in-doubt-deny) m a
  p)  $\vee$   $\neg$  Matching-Ternary.matches ( $\beta$ , in-doubt-deny) m a p
  apply(case-tac ternary-ternary-eval (map-match-tac  $\beta$  p m)  $\neq$  TernaryUnknown)
    apply(drule(1) matches-comply-exact[where  $\alpha$ =in-doubt-deny and a=a])
    apply(rule disjI2)
    apply fast
    apply(simp)
    apply(clarify)
    apply(simp add: matches-case-ternaryvalue-tuple)
    apply(cases a)
      apply(simp-all)
    done

```

The ternary primitive matcher can return exactly the result of the Boolean primitive matcher

**definition**  $\beta_{magic} :: ('a, 'p)$  matcher  $\Rightarrow ('a \Rightarrow 'p \Rightarrow \text{ternaryvalue})$  **where**  
 $\beta_{magic} \gamma \equiv (\lambda a p. \text{if } \gamma a p \text{ then TernaryTrue else TernaryFalse})$

**lemma** matcher-agree-on-exact-matches  $\gamma$  ( $\beta_{magic} \gamma$ )  
 by(simp add: matcher-agree-on-exact-matches-def  $\beta_{magic}$ -def)

**lemma**  $\beta_{magic}$ -not-Unknown: ternary-ternary-eval (map-match-tac ( $\beta_{magic} \gamma$ ) p m)  $\neq$  TernaryUnknown  
**proof**(induction m)  
 case MatchNot **thus** ?case **using** eval-ternary-Not-UnknownD  $\beta_{magic}$ -def  
 by (simp) blast  
 case (MatchAnd m1 m2) **thus** ?case  
 apply(case-tac ternary-ternary-eval (map-match-tac ( $\beta_{magic} \gamma$ ) p m1))  
 apply(case-tac [!]) ternary-ternary-eval (map-match-tac ( $\beta_{magic} \gamma$ ) p m2))  
 by(simp-all add:  $\beta_{magic}$ -def)  
**qed** (simp-all add:  $\beta_{magic}$ -def)

**lemma**  $\beta_{magic}$ -matching: Matching-Ternary.matches (( $\beta_{magic} \gamma$ ),  $\alpha$ ) m a p  $\longleftrightarrow$  Semantics.matches  $\gamma$  m p  
**proof**(induction m)  
 case Match **thus** ?case  
 by(simp add:  $\beta_{magic}$ -def matches-case-ternaryvalue-tuple)  
 case MatchNot **thus** ?case  
 by(simp add: matches-case-ternaryvalue-tuple  $\beta_{magic}$ -not-Unknown split: ternary-value.split-asm)  
**qed** (simp-all add: matches-case-ternaryvalue-tuple split: ternaryvalue.split ternary-value.split-asm)

**end**  
**theory** Semantics-Embeddings  
**imports** Matching-Embeddings Semantics Semantics-Ternary

begin

## 11 Semantics Embedding

### 11.1 Tactic *in-doubt-allow*

**lemma** *iptables-bigstep-undecided-to-undecided-in-doubt-allow-approx: matcher-agree-on-exact-matches*  
 $\gamma \beta \implies$   
 $\text{good-ruleset } rs \implies$   
 $\Gamma, \gamma, p \vdash \langle rs, \text{Undecided} \rangle \Rightarrow \text{Undecided} \implies$   
 $(\beta, \text{in-doubt-allow}), p \vdash \langle rs, \text{Undecided} \rangle \Rightarrow_{\alpha} \text{Undecided} \vee (\beta, \text{in-doubt-allow}), p \vdash$   
 $\langle rs, \text{Undecided} \rangle \Rightarrow_{\alpha} \text{Decision FinalAllow}$   
**apply**(rotate-tac 2)  
**apply**(induction rs Undecided Undecided rule: iptables-bigstep-induct)  
**apply**(simp-all)  
**apply** (metis approximating-bigstep.skip)  
**apply** (metis approximating-bigstep.empty approximating-bigstep.log approximating-bigstep.nomatch)  
**apply**(case-tac a = Log)  
**apply** (metis approximating-bigstep.log approximating-bigstep.nomatch)  
**apply**(case-tac a = Empty)  
**apply** (metis approximating-bigstep.empty approximating-bigstep.nomatch)  
**apply**(drule-tac a=a in not-exact-match-in-doubt-allow-approx-match)  
**apply**(simp-all)  
**apply**(simp add: good-ruleset-alt)  
**apply** fast  
**apply** (metis approximating-bigstep.accept approximating-bigstep.nomatch)  
**apply**(frule iptables-bigstep-to-undecided)  
**apply**(simp)  
**apply**(simp add: good-ruleset-append)  
**apply** (metis (hide-lams, no-types) approximating-bigstep.decision Semantics-Ternary.seq')  
**apply**(simp add: good-ruleset-def)  
**apply**(simp add: good-ruleset-def)  
**done**

**lemma** *FinalAllow-approximating-in-doubt-allow: matcher-agree-on-exact-matches*  
 $\gamma \beta \implies$   
 $\text{good-ruleset } rs \implies$   
 $\Gamma, \gamma, p \vdash \langle rs, \text{Undecided} \rangle \Rightarrow \text{Decision FinalAllow} \implies (\beta, \text{in-doubt-allow}), p \vdash \langle rs,$   
 $\text{Undecided} \rangle \Rightarrow_{\alpha} \text{Decision FinalAllow}$   
**apply**(rotate-tac 2)  
**apply**(induction rs Undecided Decision FinalAllow rule: iptables-bigstep-induct)  
**apply**(simp-all)  
**apply** (metis approximating-bigstep.accept in-doubt-allow-allows-Accept)  
**apply**(case-tac t)  
**apply**(simp-all)  
**prefer** 2  
**apply**(simp add: good-ruleset-append)  
**apply** (metis approximating-bigstep.decision approximating-bigstep.seq Semantics.decisionD state.inject)  
**done**

```

apply(thin-tac False  $\implies$  ?x  $\implies$  ?y)
apply(simp add: good-ruleset-append, clarify)
apply(drule(2) iptables-bigstep-undecided-to-undecided-in-doubt-allow-approx)
apply(erule disjE)
apply (metis approximating-bigstep.seq)
apply (metis approximating-bigstep.decision Semantics-Ternary.seq')
apply(simp add: good-ruleset-alt)
done

```

**corollary** *FinalAllows-subseteq-in-doubt-allow: matcher-agree-on-exact-matches*  $\gamma$   
 $\beta \implies \text{good-ruleset } rs \implies$   
 $\{p. \Gamma, \gamma, p \vdash \langle rs, \text{Undecided} \rangle \Rightarrow \text{Decision FinalAllow}\} \subseteq \{p. (\beta, \text{in-doubt-allow}), p \vdash$   
 $\langle rs, \text{Undecided} \rangle \Rightarrow_{\alpha} \text{Decision FinalAllow}\}$   
**using** *FinalAllow-approximating-in-doubt-allow* **by** (metis (lifting, full-types) Collect-mono)

**lemma** *approximating-bigstep-undecided-to-undecided-in-doubt-allow-approx: matcher-agree-on-exact-matches*  
 $\gamma \beta \implies$   
 $\text{good-ruleset } rs \implies$   
 $(\beta, \text{in-doubt-allow}), p \vdash \langle rs, \text{Undecided} \rangle \Rightarrow_{\alpha} \text{Undecided} \implies \Gamma, \gamma, p \vdash \langle rs, \text{Un-}$   
 $\text{decided} \rangle \Rightarrow \text{Undecided} \vee \Gamma, \gamma, p \vdash \langle rs, \text{Undecided} \rangle \Rightarrow \text{Decision FinalDeny}$   
**apply**(rotate-tac 2)  
**apply**(induction rs Undecided Undecided rule: approximating-bigstep-induct)  
**apply**(simp-all)  
**apply** (metis iptables-bigstep.skip)  
**apply** (metis iptables-bigstep.empty iptables-bigstep.log iptables-bigstep.nomatch)  
**apply**(simp split: ternaryvalue.split-asm add: matches-case-ternaryvalue-tuple)  
**apply** (metis in-doubt-allow-allows-Accept iptables-bigstep.nomatch matches-casesE  
ternaryvalue.distinct(1) ternaryvalue.distinct(5))  
**apply**(case-tac a)  
**apply**(simp-all)  
**apply** (metis iptables-bigstep.drop iptables-bigstep.nomatch)  
**apply** (metis iptables-bigstep.log iptables-bigstep.nomatch)  
**apply** (metis iptables-bigstep.nomatch iptables-bigstep.reject)  
**apply**(simp add: good-ruleset-alt)  
**apply**(simp add: good-ruleset-alt)  
**apply** (metis iptables-bigstep.empty iptables-bigstep.nomatch)  
**apply**(simp add: good-ruleset-alt)  
**apply**(simp add: good-ruleset-append, clarify)  
**by** (metis approximating-bigstep-to-undecided iptables-bigstep.decision iptables-bigstep.seq)

**lemma** *FinalDeny-approximating-in-doubt-allow: matcher-agree-on-exact-matches*  
 $\gamma \beta \implies$   
 $\text{good-ruleset } rs \implies$   
 $(\beta, \text{in-doubt-allow}), p \vdash \langle rs, \text{Undecided} \rangle \Rightarrow_{\alpha} \text{Decision FinalDeny} \implies \Gamma, \gamma, p \vdash \langle rs,$   
 $\text{Undecided} \rangle \Rightarrow \text{Decision FinalDeny}$   
**apply**(rotate-tac 2)

```

apply(induction rs Undecided Decision FinalDeny rule: approximating-bigstep-induct)
  apply(simp-all)
apply (metis action.distinct(1) action.distinct(5) deny not-exact-match-in-doubt-allow-approx-match)

apply(simp add: good-ruleset-append, clarify)
apply(case-tac t)
  apply(simp)
  apply(drule(2) approximating-bigstep-undecided-to-undecided-in-doubt-allow-approx [where
 $\Gamma=\Gamma$ ])
    apply(erule disjE)
    apply (metis iptables-bigstep.seq)
    apply (metis iptables-bigstep.decision iptables-bigstep.seq)
  by (metis Decision-approximating-bigstep-fun approximating-semantics-imp-fun
iptables-bigstep.decision iptables-bigstep.seq)

```

**corollary** *FinalDenys-subseteq-in-doubt-allow: matcher-agree-on-exact-matches  $\gamma$*   
 $\beta \implies \text{good-ruleset } rs \implies$   
 $\{p. (\beta, \text{in-doubt-allow}), p \vdash \langle rs, \text{Undecided} \rangle \Rightarrow_{\alpha} \text{Decision FinalDeny}\} \subseteq \{p.$   
 $\Gamma, \gamma, p \vdash \langle rs, \text{Undecided} \rangle \Rightarrow \text{Decision FinalDeny}\}$   
**using** *FinalDeny-approximating-in-doubt-allow* **by** (*metis (lifting, full-types) Collect-mono*)

If our approximating firewall (the executable version) concludes that we deny a packet, the exact semantic agrees that this packet is definitely denied!

```

corollary matcher-agree-on-exact-matches  $\gamma \beta \implies \text{good-ruleset } rs \implies$   

approximating-bigstep-fun ( $\beta, \text{in-doubt-allow}$ ) p rs Undecided = (Decision FinalDeny)  $\implies \Gamma, \gamma, p \vdash \langle rs, \text{Undecided} \rangle \Rightarrow \text{Decision FinalDeny}$ 
apply(frule(1) FinalDeny-approximating-in-doubt-allow [where  $p=p$  and  $\Gamma=\Gamma$ ])
  apply(rule approximating-fun-imp-semantics)
  apply (metis good-imp-wf-ruleset)
  apply(simp-all)
done

```

## 11.2 Tactic *in-doubt-deny*

**lemma** *iptables-bigstep-undecided-to-undecided-in-doubt-deny-approx: matcher-agree-on-exact-matches  $\gamma \beta \implies$*   
 $\text{good-ruleset } rs \implies$   
 $\Gamma, \gamma, p \vdash \langle rs, \text{Undecided} \rangle \Rightarrow \text{Undecided} \implies$   
 $(\beta, \text{in-doubt-deny}), p \vdash \langle rs, \text{Undecided} \rangle \Rightarrow_{\alpha} \text{Undecided} \vee (\beta, \text{in-doubt-deny}), p \vdash$   
 $\langle rs, \text{Undecided} \rangle \Rightarrow_{\alpha} \text{Decision FinalDeny}$   
**apply**(*rotate-tac 2*)  
**apply**(*induction rs Undecided Undecided* rule: *iptables-bigstep-induct*)  
**apply**(*simp-all*)  
**apply** (*metis approximating-bigstep.skip*)  
**apply** (*metis approximating-bigstep.empty approximating-bigstep.log approximating-bigstep.nomatch*)  
**apply**(*case-tac a = Log*)  
**apply** (*metis approximating-bigstep.log approximating-bigstep.nomatch*)  
**apply**(*case-tac a = Empty*)

```

  apply (metis approximating-bigstep.empty approximating-bigstep.nomatch)
  apply (drule-tac a=a in not-exact-match-in-doubt-deny-approx-match)
  apply (simp-all)
  apply (simp add: good-ruleset-alt)
  apply fast
  apply (metis approximating-bigstep.drop approximating-bigstep.nomatch approximating-bigstep.reject)
  apply (frule iptables-bigstep-to-undecided)
  apply (simp)
  apply (simp add: good-ruleset-append)
  apply (metis (hide-lams, no-types) approximating-bigstep.decision Semantics-Ternary.seq')
  apply (simp add: good-ruleset-def)
  apply (simp add: good-ruleset-def)
done

```

**lemma** *FinalDeny-approximating-in-doubt-deny: matcher-agree-on-exact-matches*

```

 $\gamma \beta \implies$ 
  good-ruleset  $rs \implies$ 
     $\Gamma, \gamma, p \vdash \langle rs, Undecided \rangle \Rightarrow Decision\ FinalDeny \implies (\beta, in-doubt-deny), p \vdash \langle rs,$ 
     $Undecided \rangle \Rightarrow_{\alpha} Decision\ FinalDeny$ 
  apply (rotate-tac 2)
  apply (induction rs Undecided Decision FinalDeny rule: iptables-bigstep-induct)
  apply (simp-all)
  apply (metis approximating-bigstep.drop approximating-bigstep.reject in-doubt-deny-denies-DropReject)
  apply (case-tac t)
  apply (simp-all)
  prefer 2
  apply (simp add: good-ruleset-append)
  apply (thin-tac False  $\implies ?x$ )
  apply (metis approximating-bigstep.decision approximating-bigstep.seq Semantics.decisionD state.inject)
  apply (thin-tac False  $\implies ?x \implies ?y$ )
  apply (simp add: good-ruleset-append, clarify)

  apply (drule(2) iptables-bigstep-undecided-to-undecided-in-doubt-deny-approx)
  apply (erule disjE)
  apply (metis approximating-bigstep.seq)
  apply (metis approximating-bigstep.decision Semantics-Ternary.seq')
  apply (simp add: good-ruleset-alt)
done

```

**lemma** *approximating-bigstep-undecided-to-undecided-in-doubt-deny-approx: matcher-agree-on-exact-matches*

```

 $\gamma \beta \implies$ 
  good-ruleset  $rs \implies$ 
     $(\beta, in-doubt-deny), p \vdash \langle rs, Undecided \rangle \Rightarrow_{\alpha} Undecided \implies \Gamma, \gamma, p \vdash \langle rs, Unde-$ 
     $cided \rangle \Rightarrow Undecided \vee \Gamma, \gamma, p \vdash \langle rs, Undecided \rangle \Rightarrow Decision\ FinalAllow$ 

```

**apply**(rotate-tac 2)  
**apply**(induction rs Undecided Undecided rule: approximating-bigstep-induct)  
   **apply**(simp-all)  
     **apply** (metis iptables-bigstep.skip)  
     **apply** (metis iptables-bigstep.empty iptables-bigstep.log iptables-bigstep.nomatch)  
     **apply**(simp split: ternaryvalue.split-asm add: matches-case-ternaryvalue-tuple)  
     **apply** (metis in-doubt-allow-allows-Accept iptables-bigstep.nomatch matches-casesE  
 ternaryvalue.distinct(1) ternaryvalue.distinct(5))  
   **apply**(case-tac a)  
     **apply**(simp-all)  
       **apply** (metis iptables-bigstep.accept iptables-bigstep.nomatch)  
       **apply** (metis iptables-bigstep.log iptables-bigstep.nomatch)  
       **apply**(simp add: good-ruleset-alt)  
       **apply**(simp add: good-ruleset-alt)  
       **apply** (metis iptables-bigstep.empty iptables-bigstep.nomatch)  
       **apply**(simp add: good-ruleset-alt)  
     **apply**(simp add: good-ruleset-append,clarify)  
**by** (metis approximating-bigstep-to-undecided iptables-bigstep.decision iptables-bigstep.seq)

**lemma** FinalAllow-approximating-in-doubt-deny: matcher-agree-on-exact-matches

$\gamma \beta \implies$

  good-ruleset rs  $\implies$

$(\beta, \text{in-doubt-deny}), p \vdash \langle rs, \text{Undecided} \rangle \Rightarrow_{\alpha} \text{Decision FinalAllow} \implies \Gamma, \gamma, p \vdash \langle rs,$

Undecided  $\rangle \Rightarrow \text{Decision FinalAllow}$

**apply**(rotate-tac 2)

**apply**(induction rs Undecided Decision FinalAllow rule: approximating-bigstep-induct)

**apply**(simp-all)

**apply** (metis action.distinct(1) action.distinct(5) iptables-bigstep.accept not-exact-match-in-doubt-deny-approx)

**apply**(simp add: good-ruleset-append, clarify)

**apply**(case-tac t)

**apply**(simp)

**apply**(drule(2) approximating-bigstep-undecided-to-undecided-in-doubt-deny-approx[where  
 $\Gamma = \Gamma]$ )

**apply**(erule disjE)

**apply** (metis iptables-bigstep.seq)

**apply** (metis iptables-bigstep.decision iptables-bigstep.seq)

**by** (metis Decision-approximating-bigstep-fun approximating-semantics-imp-fun  
 iptables-bigstep.decision iptables-bigstep.seq)

**corollary** FinalAllows-subseteq-in-doubt-deny: matcher-agree-on-exact-matches  $\gamma$

$\beta \implies \text{good-ruleset rs} \implies$

$\{p. (\beta, \text{in-doubt-deny}), p \vdash \langle rs, \text{Undecided} \rangle \Rightarrow_{\alpha} \text{Decision FinalAllow}\} \subseteq \{p.$

$\Gamma, \gamma, p \vdash \langle rs, \text{Undecided} \rangle \Rightarrow \text{Decision FinalAllow}\}$

**using** FinalAllow-approximating-in-doubt-deny **by** (metis (lifting, full-types) Collect-mono)

### 11.3 Approximating Closures

**theorem** FinalAllowClosure:



**assumes** *matcher-agree-on-exact-matches*  $\gamma$   $\beta$  **and** *good-ruleset*  $rs$   
**shows**  $\{p. (\beta, \text{in-doubt-deny}), p \vdash \langle rs, \text{Undecided} \rangle \Rightarrow_{\alpha} \text{Decision FinalAllow}\} \subseteq$   
 $\{p. \Gamma, \gamma, p \vdash \langle rs, \text{Undecided} \rangle \Rightarrow \text{Decision FinalAllow}\}$   
**and**  $\{p. \Gamma, \gamma, p \vdash \langle rs, \text{Undecided} \rangle \Rightarrow \text{Decision FinalAllow}\} \subseteq \{p. (\beta, \text{in-doubt-allow}), p \vdash$   
 $\langle rs, \text{Undecided} \rangle \Rightarrow_{\alpha} \text{Decision FinalAllow}\}$   
**apply** (*metis FinalAllows-subseteq-in-doubt-deny* *assms*)  
**by** (*metis FinalAllows-subseteq-in-doubt-allow* *assms*)

**theorem** *FinalDenyClosure*:

**assumes** *matcher-agree-on-exact-matches*  $\gamma$   $\beta$  **and** *good-ruleset*  $rs$   
**shows**  $\{p. (\beta, \text{in-doubt-allow}), p \vdash \langle rs, \text{Undecided} \rangle \Rightarrow_{\alpha} \text{Decision FinalDeny}\} \subseteq$   
 $\{p. \Gamma, \gamma, p \vdash \langle rs, \text{Undecided} \rangle \Rightarrow \text{Decision FinalDeny}\}$   
**and**  $\{p. \Gamma, \gamma, p \vdash \langle rs, \text{Undecided} \rangle \Rightarrow \text{Decision FinalDeny}\} \subseteq \{p. (\beta, \text{in-doubt-deny}), p \vdash$   
 $\langle rs, \text{Undecided} \rangle \Rightarrow_{\alpha} \text{Decision FinalDeny}\}$   
**apply** (*metis FinalDenys-subseteq-in-doubt-allow* *assms*)  
**by** (*metis FinalDeny-approximating-in-doubt-deny* *assms* *mem-Collect-eq* *subsetI*)

## 11.4 Exact Embedding

**thm** *matcher-agree-on-exact-matches-def*[*of*  $\gamma$   $\beta$ ]

**lemma** *LukassLemma*:

*matcher-agree-on-exact-matches*  $\gamma$   $\beta \implies$   
 $(\forall r \in \text{set } rs. \text{ternary-ternary-eval } (\text{map-match-tac } \beta \text{ } p \text{ } (\text{get-match } r)) \neq \text{TernaryUnknown}) \implies$   
*good-ruleset*  $rs \implies$   
 $(\beta, \alpha), p \vdash \langle rs, s \rangle \Rightarrow_{\alpha} t \implies \Gamma, \gamma, p \vdash \langle rs, s \rangle \Rightarrow t$   
**apply**(*simp add: matcher-agree-on-exact-matches-def*)  
**apply**(*rotate-tac 3*)  
**apply**(*induction rs s t rule: approximating-bigstep-induct*)  
**apply**(*auto intro: approximating-bigstep.intros iptables-bigstep.intros dest: iptables-bigstepD*)  
**apply** (*metis iptables-bigstep.accept matcher-agree-on-exact-matches-def matches-comply-exact*)  
**apply** (*metis deny matcher-agree-on-exact-matches-def matches-comply-exact*)  
**apply** (*metis iptables-bigstep.reject matcher-agree-on-exact-matches-def matches-comply-exact*)  
**apply** (*metis iptables-bigstep.nomatch matcher-agree-on-exact-matches-def matches-comply-exact*)  
**by** (*metis good-ruleset-append iptables-bigstep.seq*)

For rulesets without *Calls*, the approximating ternary semantics can perfectly simulate the Boolean semantics.

**theorem**  $\beta_{\text{magic}}$ -*approximating-bigstep-iff-iptables-bigstep*:

**assumes**  $\forall r \in \text{set } rs. \forall c. \text{get-action } r \neq \text{Call } c$   
**shows**  $((\beta_{\text{magic}} \gamma), \alpha), p \vdash \langle rs, s \rangle \Rightarrow_{\alpha} t \iff \Gamma, \gamma, p \vdash \langle rs, s \rangle \Rightarrow t$   
**apply**(*rule iffI*)  
**apply**(*induction rs s t rule: approximating-bigstep-induct*)  
**apply**(*auto intro: iptables-bigstep.intros simp:  $\beta_{\text{magic}}$ -matching*)[7]  
**apply**(*insert assms*)  
**apply**(*induction rs s t rule: iptables-bigstep-induct*)  
**apply**(*auto intro: approximating-bigstep.intros simp:  $\beta_{\text{magic}}$ -matching*)  
**done**

```

corollary  $\beta_{magic}$ -approximating-bigstep-fun-iff-iptables-bigstep:
  assumes good-ruleset rs
  shows approximating-bigstep-fun ( $\beta_{magic} \gamma, \alpha$ )  $p$   $rs$   $s = t \longleftrightarrow \Gamma, \gamma, p \vdash \langle rs, s \rangle \Rightarrow$ 
 $t$ 
apply(subst approximating-semantics-iff-fun-good-ruleset[symmetric])
using assms apply simp
apply(subst  $\beta_{magic}$ -approximating-bigstep-iff-iptables-bigstep[where  $\Gamma = \Gamma$ ])
using assms apply (simp add: good-ruleset-def)
by simp

end
theory Fixed-Action
imports Semantics-Ternary
begin

```

## 12 Fixed Action

If firewall rules have the same action, we can focus on the matching only.

Applying a rule once or several times makes no difference.

```

lemma approximating-bigstep-fun-prepend-replicate:
   $n > 0 \implies \text{approximating-bigstep-fun } \gamma \ p \ (r \# rs) \text{ Undecided} = \text{approximating-bigstep-fun}$ 
 $\gamma \ p \ ((\text{replicate } n \ r) @ rs) \text{ Undecided}$ 
apply(induction n)
apply(simp)
apply(simp)
apply(case-tac r)
apply(rename-tac m a)
apply(simp split: action.split)
by fastforce

```

utility lemmas

```

lemma fixedaction-Log: approximating-bigstep-fun  $\gamma \ p \ (\text{map } (\lambda m. \text{Rule } m \text{ Log})$ 
 $ms) \text{ Undecided} = \text{Undecided}$ 
apply(induction ms, simp-all)
done
lemma fixedaction-Empty: approximating-bigstep-fun  $\gamma \ p \ (\text{map } (\lambda m. \text{Rule } m$ 
 $\text{Empty}) ms) \text{ Undecided} = \text{Undecided}$ 
apply(induction ms, simp-all)
done
lemma helperX1-Log: matches  $\gamma \ m' \text{ Log } p \implies$ 
 $\text{approximating-bigstep-fun } \gamma \ p \ (\text{map } ((\lambda m. \text{Rule } m \text{ Log}) \circ \text{MatchAnd } m'))$ 
 $m2' @ rs2) \text{ Undecided} =$ 
 $\text{approximating-bigstep-fun } \gamma \ p \ rs2 \text{ Undecided}$ 
apply(induction m2')
apply(simp-all split: action.split)

```

```

done
lemma helperX1-Empty: matches  $\gamma$   $m'$  Empty  $p \implies$ 
  approximating-bigstep-fun  $\gamma$   $p$  (map (( $\lambda m$ . Rule  $m$  Empty)  $\circ$  MatchAnd  $m'$ )
 $m2' @ rs2$ ) Undecided =
  approximating-bigstep-fun  $\gamma$   $p$   $rs2$  Undecided
apply(induction  $m2'$ )
apply(simp-all split: action.split)
done
lemma helperX3: matches  $\gamma$   $m'$   $a$   $p \implies$ 
  approximating-bigstep-fun  $\gamma$   $p$  (map (( $\lambda m$ . Rule  $m$   $a$ )  $\circ$  MatchAnd  $m'$ )  $m2'$ 
 $@ rs2$ ) Undecided =
  approximating-bigstep-fun  $\gamma$   $p$  (map ( $\lambda m$ . Rule  $m$   $a$ )  $m2' @ rs2$ ) Undecided
apply(induction  $m2'$ )
apply(simp)
apply(case-tac  $a$ )
apply(simp-all add: matches-simps)
done

lemmas fixed-action-simps = helperX1-Log helperX1-Empty helperX3
hide-fact helperX1-Log helperX1-Empty helperX3

```

```

lemma fixedaction-swap:
  approximating-bigstep-fun  $\gamma$   $p$  (map ( $\lambda m$ . Rule  $m$   $a$ ) ( $m1 @ m2$ ))  $s$  = approximating-bigstep-fun
 $\gamma$   $p$  (map ( $\lambda m$ . Rule  $m$   $a$ ) ( $m2 @ m1$ ))  $s$ 
proof(cases  $s$ )
case Decision thus approximating-bigstep-fun  $\gamma$   $p$  (map ( $\lambda m$ . Rule  $m$   $a$ ) ( $m1 @$ 
 $m2$ ))  $s$  = approximating-bigstep-fun  $\gamma$   $p$  (map ( $\lambda m$ . Rule  $m$   $a$ ) ( $m2 @ m1$ ))  $s$ 
  by(simp add: Decision-approximating-bigstep-fun)
next
case Undecided
  have approximating-bigstep-fun  $\gamma$   $p$  (map ( $\lambda m$ . Rule  $m$   $a$ )  $m1 @$  map ( $\lambda m$ . Rule
 $m$   $a$ )  $m2$ ) Undecided = approximating-bigstep-fun  $\gamma$   $p$  (map ( $\lambda m$ . Rule  $m$   $a$ )  $m2$ 
 $@$  map ( $\lambda m$ . Rule  $m$   $a$ )  $m1$ ) Undecided
  proof(induction  $m1$ )
  case Nil thus ?case by simp
  next
  case (Cons  $m$   $m1$ )
  { fix  $m$   $rs$ 
    have approximating-bigstep-fun  $\gamma$   $p$  ((map ( $\lambda m$ . Rule  $m$  Log)  $m$ )@ $rs$ )
    Undecided =
      approximating-bigstep-fun  $\gamma$   $p$   $rs$  Undecided
    by(induction  $m$ ) (simp-all)
  } note Log-helper=this
  { fix  $m$   $rs$ 
    have approximating-bigstep-fun  $\gamma$   $p$  ((map ( $\lambda m$ . Rule  $m$  Empty)  $m$ )@ $rs$ )
    Undecided =
      approximating-bigstep-fun  $\gamma$   $p$   $rs$  Undecided
    by(induction  $m$ ) (simp-all)
  }

```

```

} note Empty-helper=this

show ?case (is ?goal)
proof(cases matches  $\gamma$  m a p)
  case True
  thus ?goal
  proof(induction m2)
    case Nil thus ?case by simp
  next
  case Cons thus ?case
  apply(simp split:action.split action.split-asm)
  using Log-helper Empty-helper by fastforce+
  qed
next
case False
thus ?goal
apply(simp)
apply(simp add: Cons.IH)
apply(induction m2)
apply(simp-all)
apply(simp split:action.split action.split-asm)
apply fastforce
done
qed
qed
thus approximating-bigstep-fun  $\gamma$  p (map ( $\lambda m$ . Rule m a) (m1 @ m2)) s =
approximating-bigstep-fun  $\gamma$  p (map ( $\lambda m$ . Rule m a) (m2 @ m1)) s using Unde-
cided by simp
qed

corollary fixedaction-reorder: approximating-bigstep-fun  $\gamma$  p (map ( $\lambda m$ . Rule m
a) (m1 @ m2 @ m3)) s = approximating-bigstep-fun  $\gamma$  p (map ( $\lambda m$ . Rule m a)
(m2 @ m1 @ m3)) s
proof(cases s)
case Decision thus approximating-bigstep-fun  $\gamma$  p (map ( $\lambda m$ . Rule m a) (m1 @
m2 @ m3)) s = approximating-bigstep-fun  $\gamma$  p (map ( $\lambda m$ . Rule m a) (m2 @ m1
@ m3)) s
by(simp add: Decision-approximating-bigstep-fun)
next
case Undecided
have approximating-bigstep-fun  $\gamma$  p (map ( $\lambda m$ . Rule m a) (m1 @ m2 @ m3))
Undecided = approximating-bigstep-fun  $\gamma$  p (map ( $\lambda m$ . Rule m a) (m2 @ m1 @
m3)) Undecided
proof(induction m3)
case Nil thus ?case using fixedaction-swap by fastforce
next
case (Cons m3'1 m3)
have approximating-bigstep-fun  $\gamma$  p (map ( $\lambda m$ . Rule m a) ((m3'1 # m3)
@ m1 @ m2)) Undecided = approximating-bigstep-fun  $\gamma$  p (map ( $\lambda m$ . Rule m a)

```



```

next
case False
  from False have  $m \notin \text{set } m1$  .
  show ?goal
  proof (cases  $m \notin \text{set } m2$ )
    case True
      from True  $\langle m \notin \text{set } m1 \rangle$  Cons.premis have  $\text{set } m1 = \text{set } m2$  by auto
      from Cons.IH[OF this] show ?goal by (metis Cons.IH Cons.premis  $\langle \text{set } m1 = \text{set } m2 \rangle$ )
    next
    case False
      hence  $m \in \text{set } m2$  by simp

      have repl-filter-simp:  $(\text{replicate } (\text{length } [x \leftarrow m2 . x = m]) \ m) = [x \leftarrow m2 . x = m]$ 
      by (metis (lifting, full-types) filter-set member-filter replicate-length-same)

      from Cons.premis  $\langle m \notin \text{set } m1 \rangle$  have  $\text{set } m1 = \text{set } (\text{filter } (\lambda x. x \neq m) \ m2)$  by auto
      from Cons.IH[OF this] have approximating-bigstep-fun  $\gamma \ p \ (\text{map } (\lambda m. \text{Rule } m \ a) \ m1) \ \text{Undecided} = \text{approximating-bigstep-fun } \gamma \ p \ (\text{map } (\lambda m. \text{Rule } m \ a) \ [x \leftarrow m2 . x \neq m]) \ \text{Undecided}$  .
      from this have approximating-bigstep-fun  $\gamma \ p \ (\text{map } (\lambda m. \text{Rule } m \ a) \ (m \# m1)) \ \text{Undecided} = \text{approximating-bigstep-fun } \gamma \ p \ (\text{map } (\lambda m. \text{Rule } m \ a) \ (m \# [x \leftarrow m2 . x \neq m])) \ \text{Undecided}$ 
      apply (simp split: action.split)
      by fast
      also have  $\dots = \text{approximating-bigstep-fun } \gamma \ p \ (\text{map } (\lambda m. \text{Rule } m \ a) \ ([x \leftarrow m2 . x = m] @ [x \leftarrow m2 . x \neq m])) \ \text{Undecided}$ 
      apply (simp only: list.map)
      thm approximating-bigstep-fun-prepend-replicate[where  $n = \text{length } [x \leftarrow m2 . x = m]$ ]
      apply (subst approximating-bigstep-fun-prepend-replicate[where  $n = \text{length } [x \leftarrow m2 . x = m]$ ])
      apply (metis (full-types) False filter-empty-conv neq0-conv repl-filter-simp replicate-0)
      by (metis (lifting, no-types) map-append map-replicate repl-filter-simp)
      also have  $\dots = \text{approximating-bigstep-fun } \gamma \ p \ (\text{map } (\lambda m. \text{Rule } m \ a) \ m2) \ \text{Undecided}$ 
      proof(induction  $m2$ )
        case Nil thus ?case by simp
      next
        case (Cons  $m2'1 \ m2'$ )
          have approximating-bigstep-fun  $\gamma \ p \ (\text{map } (\lambda m. \text{Rule } m \ a) \ [x \leftarrow m2' . x = m] @ \text{Rule } m2'1 \ a \ \# \ \text{map } (\lambda m. \text{Rule } m \ a) \ [x \leftarrow m2' . x \neq m]) \ \text{Undecided} =$ 
             $\text{approximating-bigstep-fun } \gamma \ p \ (\text{map } (\lambda m. \text{Rule } m \ a) \ ([x \leftarrow m2' . x = m] @ [m2'1] @ [x \leftarrow m2' . x \neq m])) \ \text{Undecided}$  by fastforce
          also have  $\dots = \text{approximating-bigstep-fun } \gamma \ p \ (\text{map } (\lambda m. \text{Rule } m \ a) \ ([m2'1] @ [x \leftarrow m2' . x = m] @ [x \leftarrow m2' . x \neq m])) \ \text{Undecided}$ 

```

```

      using fixedaction-reorder by fast
      finally have XX: approximating-bigstep-fun  $\gamma$  p (map ( $\lambda m$ . Rule m
a) [ $x \leftarrow m2' . x = m$ ] @ Rule m2'1 a # map ( $\lambda m$ . Rule m a) [ $x \leftarrow m2' . x \neq m$ ])
Undecided =
      approximating-bigstep-fun  $\gamma$  p (Rule m2'1 a # (map ( $\lambda m$ . Rule m
a) [ $x \leftarrow m2' . x = m$ ] @ map ( $\lambda m$ . Rule m a) [ $x \leftarrow m2' . x \neq m$ ])) Undecided
      by fastforce
      from Cons show ?case
      apply(case-tac m2'1 = m)
      apply(simp split: action.split)
      apply fast
      apply(simp del: approximating-bigstep-fun.simps)
      apply(simp only: XX)
      apply(case-tac matches  $\gamma$  m2'1 a p)
      apply(simp)
      apply(simp split: action.split)
      apply(fast)
      apply(simp)
      done
    qed
  finally show ?goal .
qed
qed
qed
thus approximating-bigstep-fun  $\gamma$  p (map ( $\lambda m$ . Rule m a) m1) s = approximating-bigstep-fun
 $\gamma$  p (map ( $\lambda m$ . Rule m a) m2) s using Undecided m1m2-seteq by simp
qed

```

### 12.1 match-list

Reducing the firewall semantics to shortcircuit matching evaluation

```

fun match-list :: ('a, 'packet) match-tac  $\Rightarrow$  'a match-expr list  $\Rightarrow$  action  $\Rightarrow$  'packet
 $\Rightarrow$  bool where
  match-list  $\gamma$  [] a p = False |
  match-list  $\gamma$  (m#ms) a p = (if matches  $\gamma$  m a p then True else match-list  $\gamma$  ms
a p)

```

```

lemma match-list-True: match-list  $\gamma$  ms a p  $\implies$  approximating-bigstep-fun  $\gamma$  p
(map ( $\lambda m$ . Rule m a) ms) Undecided = (case a of Accept  $\Rightarrow$  Decision FinalAllow
| Drop  $\Rightarrow$  Decision FinalDeny
| Reject  $\Rightarrow$  Decision FinalDeny
| Log  $\Rightarrow$  Undecided
| Empty  $\Rightarrow$  Undecided
(*unhandled cases*)
)
apply(induction ms)
apply(simp)
apply(simp split: split-if-asm action.split)

```

```

    apply(simp add: fixedaction-Log fixedaction-Empty)
  done
lemma match-list-False:  $\neg \text{match-list } \gamma \text{ ms } a \text{ p} \implies \text{approximating-bigstep-fun } \gamma$ 
 $p \text{ (map } (\lambda m. \text{Rule } m \text{ a}) \text{ ms}) \text{ Undecided} = \text{Undecided}$ 
  apply(induction ms)
  apply(simp)
  apply(simp split: split-if-asm action.split)
done

lemma match-list-semantics:  $\text{match-list } \gamma \text{ ms1 } a \text{ p} \longleftrightarrow \text{match-list } \gamma \text{ ms2 } a \text{ p}$ 
 $\implies$ 
  approximating-bigstep-fun  $\gamma \text{ p (map } (\lambda m. \text{Rule } m \text{ a}) \text{ ms1}) \text{ s} = \text{approximating-bigstep-fun}$ 
 $\gamma \text{ p (map } (\lambda m. \text{Rule } m \text{ a}) \text{ ms2}) \text{ s}$ 
  apply(case-tac s)
  prefer 2
  apply(simp add: Decision-approximating-bigstep-fun)
  apply(simp)
  apply(thin-tac s = ?un)
  apply(induction ms2)
  apply(simp)
  apply(induction ms1)
  apply(simp)
  apply(simp split: split-if-asm)
  apply(rename-tac m ms2)
  apply(simp del: approximating-bigstep-fun.simps)
  apply(simp split: split-if-asm del: approximating-bigstep-fun.simps)
  apply(simp split: action.split add: match-list-True fixedaction-Log fixedaction-Empty)
  apply(simp)
done

lemma match-list-singleton:  $\text{match-list } \gamma [m] \text{ a p} \longleftrightarrow \text{matches } \gamma m \text{ a p by(simp)}$ 

lemma empty-concat:  $(\text{concat (map } (\lambda x. []) \text{ ms})) = []$ 
  apply(induction ms)
  by(simp-all)

lemma match-list-append:  $\text{match-list } \gamma (m1@m2) \text{ a p} \longleftrightarrow (\neg \text{match-list } \gamma m1$ 
 $a \text{ p} \longrightarrow \text{match-list } \gamma m2 \text{ a p})$ 
  apply(induction m1)
  apply(simp)
  apply(simp)
done

lemma match-list-helper1:  $\neg \text{matches } \gamma m2 \text{ a p} \implies \text{match-list } \gamma (\text{map } (\lambda x.$ 
 $\text{MatchAnd } x \text{ m2}) m1') \text{ a p} \implies \text{False}$ 
  apply(induction m1')
  apply(simp)
  apply(simp split: split-if-asm)
  by(auto dest: matches-dest)

```



```

lemma match-list-helper2:  $\neg \text{matches } \gamma \ m \ a \ p \implies \neg \text{match-list } \gamma \ (\text{map } (\text{MatchAnd } m) \ m2') \ a \ p$ 
  apply(induction m2')
  apply(simp)
  apply(simp split:split-if-asm)
  by(auto dest: matches-dest)

lemma match-list-helper3:  $\text{matches } \gamma \ m \ a \ p \implies \text{match-list } \gamma \ m2' \ a \ p \implies$ 
 $\text{match-list } \gamma \ (\text{map } (\text{MatchAnd } m) \ m2') \ a \ p$ 
  apply(induction m2')
  apply(simp)
  apply(simp split:split-if-asm)
  by (simp add: matches-simps)

lemma match-list-helper4:  $\neg \text{match-list } \gamma \ m2' \ a \ p \implies \neg \text{match-list } \gamma \ (\text{map } (\text{MatchAnd } aa) \ m2') \ a \ p$ 
  apply(induction m2')
  apply(simp)
  apply(simp split:split-if-asm)
  by(auto dest: matches-dest)

lemma match-list-helper5:  $\neg \text{match-list } \gamma \ m2' \ a \ p \implies \neg \text{match-list } \gamma \ (\text{concat } (\text{map } (\lambda x. \text{map } (\text{MatchAnd } x) \ m2') \ m1')) \ a \ p$ 
  apply(induction m2')
  apply(simp add:empty-concat)
  apply(simp split:split-if-asm)
  apply(induction m1')
  apply(simp)
  apply(simp add: match-list-append)
  by(auto dest: matches-dest)

lemma match-list-helper6:  $\neg \text{match-list } \gamma \ m1' \ a \ p \implies \neg \text{match-list } \gamma \ (\text{concat } (\text{map } (\lambda x. \text{map } (\text{MatchAnd } x) \ m2') \ m1')) \ a \ p$ 
  apply(induction m2')
  apply(simp add:empty-concat)
  apply(simp split:split-if-asm)
  apply(induction m1')
  apply(simp)
  apply(simp add: match-list-append split: split-if-asm)
  by(auto dest: matches-dest)

lemmas match-list-helper = match-list-helper1 match-list-helper2 match-list-helper3
match-list-helper4 match-list-helper5 match-list-helper6
hide-fact match-list-helper1 match-list-helper2 match-list-helper3 match-list-helper4
match-list-helper5 match-list-helper6

lemma match-list-map-And1:  $\text{matches } \gamma \ m1 \ a \ p = \text{match-list } \gamma \ m1' \ a \ p \implies$ 
 $\text{matches } \gamma \ (\text{MatchAnd } m1 \ m2) \ a \ p \longleftrightarrow \text{match-list } \gamma \ (\text{map } (\lambda x. \text{MatchAnd } x \ m2) \ m1') \ a \ p$ 
  apply(induction m1')
  apply(auto dest: matches-dest)[1]
  apply(simp split: split-if-asm)
  apply safe

```

```

apply(simp-all add: matches-simps)
apply(auto dest: match-list-helper(1))[1]
by(auto dest: matches-dest)

lemma matches-list-And-concat: matches  $\gamma$  m1 a p = match-list  $\gamma$  m1' a p  $\implies$ 
match-list  $\gamma$  m2 a p = match-list  $\gamma$  m2' a p  $\implies$ 
  matches  $\gamma$  (MatchAnd m1 m2) a p  $\longleftrightarrow$  match-list  $\gamma$  [MatchAnd x y. x
<- m1', y <- m2'] a p
apply(induction m1')
apply(auto dest: matches-dest)[1]
apply(simp split: split-if-asm)
prefer 2
apply(simp add: match-list-append)
apply(subgoal-tac  $\neg$  match-list  $\gamma$  (map (MatchAnd aa) m2') a p)
apply(simp)
apply safe
apply(simp-all add: matches-simps match-list-append match-list-helper)
done

lemma fixedaction-wf-ruleset: wf-ruleset  $\gamma$  p (map ( $\lambda m$ . Rule m a) ms)  $\longleftrightarrow$   $\neg$ 
match-list  $\gamma$  ms a p  $\vee$   $\neg$  ( $\exists$  chain. a = Call chain)  $\wedge$  a  $\neq$  Return  $\wedge$  a  $\neq$  Unknown
proof -
have helper:  $\bigwedge a b c. a \longleftrightarrow c \implies (a \longrightarrow b) = (c \longrightarrow b)$  by fast
show ?thesis
apply(simp add: wf-ruleset-def)
apply(rule helper)
apply(induction ms)
apply(simp)
apply(simp)
done
qed

lemma wf-ruleset-singleton: wf-ruleset  $\gamma$  p [Rule m a]  $\longleftrightarrow$   $\neg$  matches  $\gamma$  m a p  $\vee$ 
 $\neg$  ( $\exists$  chain. a = Call chain)  $\wedge$  a  $\neq$  Return  $\wedge$  a  $\neq$  Unknown
by(simp add: wf-ruleset-def)

```

## 13 Normalized (DNF) matches

simplify a match expression. The output is a list of match expressions, the semantics is  $\vee$  of the list elements.

```

fun normalize-match :: 'a match-expr  $\Rightarrow$  'a match-expr list where
  normalize-match (MatchAny) = [MatchAny] |
  normalize-match (Match m) = [Match m] |
  normalize-match (MatchAnd m1 m2) = [MatchAnd x y. x <- normalize-match
m1, y <- normalize-match m2](*[MatchAnd m1 m2]*)(*and-orlist (normalize-match
m1) (normalize-match m2)*) |
  normalize-match (MatchNot (MatchAnd m1 m2)) = normalize-match (MatchNot

```

```

m1) @ normalize-match (MatchNot m2) |
  normalize-match (MatchNot (MatchNot m)) = normalize-match m |
  normalize-match (MatchNot (MatchAny)) = [] |
  normalize-match (MatchNot (Match m)) = [MatchNot (Match m)]

```

**lemma** *match-list-normalize-match*:  $\text{match-list } \gamma [m] a p \longleftrightarrow \text{match-list } \gamma (\text{normalize-match } m) a p$

```

proof(induction m rule:normalize-match.induct)
case 1 thus ?case by(simp add: match-list-singleton)
next
case 2 thus ?case by(simp add: match-list-singleton)
next
case (3 m1 m2) thus ?case
  apply(simp-all add: match-list-singleton del: match-list.simps(2))
  apply(case-tac matches  $\gamma$  m1 a p)
  apply(rule matches-list-And-concat)
  apply(simp)
  apply(case-tac (normalize-match m1))
  apply simp
  apply (auto)[1]
  apply(simp add: bunch-of-lemmata-about-matches match-list-helper)
  done
next
case 4 thus ?case
  apply(simp-all add: match-list-singleton del: match-list.simps(2))
  apply(simp add: match-list-append)
  apply(safe)
  apply(simp-all add: matches-DeMorgan)
  done
next
case 5 thus ?case
  apply(simp-all add: match-list-singleton del: match-list.simps(2))
  apply (metis matches-not-idem)
  done
next
case 6 thus ?case
  apply(simp-all add: match-list-singleton del: match-list.simps(2))
  by (metis bunch-of-lemmata-about-matches(3))
next
case 7 thus ?case by(simp add: match-list-singleton)
qed

```

**thm** *match-list-normalize-match*[simplified match-list-singleton]

**theorem** *normalize-match-correct*:  $\text{approximating-bigstep-fun } \gamma p (\text{map } (\lambda m. \text{Rule } m a) (\text{normalize-match } m)) s = \text{approximating-bigstep-fun } \gamma p [\text{Rule } m a] s$

```

apply(rule match-list-semantics[of - - - [m], simplified])
using match-list-normalize-match by fastforce

```

```

lemma normalize-match-empty: normalize-match m = []  $\implies \neg \text{matches } \gamma \ m \ a \ p$ 
proof(induction m rule: normalize-match.induct)
  case 3 thus ?case by (simp) (metis ex-in-conv matches-simp2 matches-simp22 set-empty)
  next
  case 4 thus ?case using match-list-normalize-match by (metis match-list.simps)
  next
  case 5 thus ?case using matches-not-idem by fastforce
  next
  case 6 thus ?case by (metis bunch-of-lemmata-about-matches(3) matches-def matches-tuple)
qed(simp-all)

```

```

lemma matches-to-match-list-normalize: matches  $\gamma \ m \ a \ p = \text{match-list } \gamma \ (\text{normalize-match } m) \ a \ p$ 
using match-list-normalize-match[simplified match-list-singleton] .

```

```

lemma wf-ruleset-normalize-match: wf-ruleset  $\gamma \ p \ [(Rule \ m \ a)] \implies \text{wf-ruleset } \gamma \ p \ (\text{map } (\lambda m. Rule \ m \ a) \ (\text{normalize-match } m))$ 
proof(induction m rule: normalize-match.induct)
  case 1 thus ?case by simp
  next
  case 2 thus ?case by simp
  next
  case 3 thus ?case
    apply(simp add: fixedaction-wf-ruleset)
    apply(unfold wf-ruleset-singleton)
    apply(simp add: matches-to-match-list-normalize)
    done
  next
  case 4 thus ?case
    apply(simp add: wf-ruleset-append)
    apply(simp add: fixedaction-wf-ruleset)
    apply(unfold wf-ruleset-singleton)
    apply(safe)
    apply(simp-all add: matches-to-match-list-normalize)
    apply(simp-all add: match-list-append)
    done
  next
  case 5 thus ?case
    apply(unfold wf-ruleset-singleton)
    apply(simp add: matches-to-match-list-normalize)
    done
  next
  case 6 thus ?case by(simp add: wf-ruleset-def)
  next

```

```

case 7 thus ?case by(simp-all add: wf-ruleset-append)
qed

lemma normalize-match-wf-ruleset: wf-ruleset  $\gamma$  p (map ( $\lambda m.$  Rule m a) (normalize-match
m))  $\implies$  wf-ruleset  $\gamma$  p [Rule m a]
proof(induction m rule: normalize-match.induct)
  case 1 thus ?case by simp
  next
  case 2 thus ?case by simp
  next
  case 3 thus ?case
    apply(simp add: fixedaction-wf-ruleset )
    apply(unfold wf-ruleset-singleton)
    apply(simp add: matches-to-match-list-normalize)
    done
  next
  case 4 thus ?case
    apply(simp add: wf-ruleset-append)
    apply(simp add: fixedaction-wf-ruleset)
    apply(unfold wf-ruleset-singleton)
    apply(safe)
    apply(simp-all add: matches-to-match-list-normalize)
    apply(simp-all add: match-list-append)
    done
  next
  case 5 thus ?case
    apply(unfold wf-ruleset-singleton)
    apply(simp add: matches-to-match-list-normalize)
    done
  next
  case 6 thus ?case unfolding wf-ruleset-singleton using bunch-of-lemmata-about-matches(3)
by metis
  next
  case 7 thus ?case by(simp-all add: wf-ruleset-append)
qed

```

```

fun normalize-rules :: 'a rule list  $\Rightarrow$  'a rule list where
  normalize-rules [] = [] |
  normalize-rules ((Rule m a)#rs) = (map ( $\lambda m.$  Rule m a) (normalize-match
m))@(normalize-rules rs)

```

```

lemma normalize-rules-singleton: normalize-rules [Rule m a] = map ( $\lambda m.$  Rule m
a) (normalize-match m) by simp

```

```

lemma normalize-rules-fst: (normalize-rules (r # rs)) = (normalize-rules [r]) @

```

```

(normalize-rules rs)
  by(cases r) (simp)

```

```

lemma good-ruleset-normalize-match: good-ruleset [(Rule m a)]  $\implies$  good-ruleset
(map ( $\lambda m.$  Rule m a) (normalize-match m))
by(simp add: good-ruleset-def)

```

```

lemma wf-ruleset-normalize-rules: wf-ruleset  $\gamma$  p rs  $\implies$  wf-ruleset  $\gamma$  p (normalize-rules
rs)
  proof(induction rs)
  case Nil thus ?case by simp
  next
  case(Cons r rs)
  from Cons have IH: wf-ruleset  $\gamma$  p (normalize-rules rs) by(auto dest: wf-rulesetD)

    from Cons.prem1 have wf-ruleset  $\gamma$  p [r] by(auto dest: wf-rulesetD)
    hence wf-ruleset  $\gamma$  p (normalize-rules [r]) using wf-ruleset-normalize-match
by(cases r) simp
    with IH wf-ruleset-append have wf-ruleset  $\gamma$  p (normalize-rules [r] @ normalize-rules
rs) by fast
    thus ?case by(subst normalize-rules-fst)
  qed

```

```

lemma good-ruleset-normalize-rules: good-ruleset rs  $\implies$  good-ruleset (normalize-rules
rs)
  proof(induction rs)
  case Nil thus ?case by (simp add: good-ruleset-tail)
  next
  case(Cons r rs)
  from Cons have IH: good-ruleset (normalize-rules rs) using good-ruleset-tail
by blast
  from Cons.prem1 have good-ruleset [r] using good-ruleset-fst by fast
  hence good-ruleset (normalize-rules [r]) by(cases r) (simp add: good-ruleset-normalize-match)
  with IH good-ruleset-append have good-ruleset (normalize-rules [r] @ normalize-rules
rs) by blast
  thus ?case by(subst normalize-rules-fst)
  qed

```

```

lemma normalize-rules-correct: wf-ruleset  $\gamma$  p rs  $\implies$  approximating-bigstep-fun  $\gamma$ 
p (normalize-rules rs) s = approximating-bigstep-fun  $\gamma$  p rs s
  proof(induction rs)
  case Nil thus ?case by simp
  next
  case (Cons r rs)
  thus ?case (is ?goal)

```

```

proof(cases s)
case Decision thus ?goal
  by(simp add: Decision-approximating-bigstep-fun)
next
case Undecided
from Cons wf-rulesetD(2) have IH: approximating-bigstep-fun  $\gamma$  p (normalize-rules
rs) s = approximating-bigstep-fun  $\gamma$  p rs s by fast
from Cons.prems have wf-ruleset  $\gamma$  p [r] and wf-ruleset  $\gamma$  p (normalize-rules
[r])
  by(auto dest: wf-rulesetD simp: wf-ruleset-normalize-rules)
with IH Undecided have
  approximating-bigstep-fun  $\gamma$  p (normalize-rules rs) (approximating-bigstep-fun
 $\gamma$  p (normalize-rules [r]) Undecided) = approximating-bigstep-fun  $\gamma$  p (r # rs)
Undecided
  apply(case-tac r, rename-tac m a)
  apply(simp)
  apply(case-tac a)
  apply(simp-all add: normalize-match-correct Decision-approximating-bigstep-fun
wf-ruleset-singleton)
done
hence approximating-bigstep-fun  $\gamma$  p (normalize-rules [r] @ normalize-rules rs)
s = approximating-bigstep-fun  $\gamma$  p (r # rs) s
  using Undecided  $\langle$ wf-ruleset  $\gamma$  p [r] $\rangle$   $\langle$ wf-ruleset  $\gamma$  p (normalize-rules [r]) $\rangle$ 
  by(simp add: approximating-bigstep-fun-seq-wf)
thus ?goal using normalize-rules-fst by metis
qed
qed

```

```

fun normalized-match :: 'a match-expr  $\Rightarrow$  bool where
  normalized-match MatchAny = True |
  normalized-match (Match -) = True |
  normalized-match (MatchNot (Match -)) = True |
  normalized-match (MatchAnd m1 m2) = ((normalized-match m1)  $\wedge$  (normalized-match
m2)) |
  normalized-match - = False

```

Essentially, *normalized-match* checks for a negation normal form: Only AND is at toplevel, negation only occurs in front of literals. Since 'a *match-expr* does not support OR, the result is in conjunction normal form. Applying *normalize-match*, the result is a list. Essentially, this is the disjunctive normal form.

**lemma** *normalized-match-normalize-match*:  $\forall m' \in \text{set } (\text{normalize-match } m).$  *normalized-match* *m'*

```

proof(induction m arbitrary: rule: normalize-match.induct)
case 4 thus ?case by fastforce
qed (simp-all)

```

```

value normalize-match (MatchNot (MatchAnd (Match ip-src) (Match tcp))) =
[MatchNot (Match ip-src), MatchNot (Match tcp)]

```

```

end
theory Iptables-Semantics
imports Semantics-Embeddings Fixed-Action
begin

```

## 14 Normalizing Rulesets in the Boolean Big Step Semantics

**corollary** *normalize-rules-correct-BooleanSemantics:*

```

  assumes good-ruleset rs
  shows  $\Gamma, \gamma, p \vdash \langle \text{normalize-rules } rs, s \rangle \Rightarrow t \iff \Gamma, \gamma, p \vdash \langle rs, s \rangle \Rightarrow t$ 
proof –
  from assms have assm': good-ruleset (normalize-rules rs) by (metis good-ruleset-normalize-rules)

  from normalize-rules-correct assms good-imp-wf-ruleset have
     $\forall \beta \alpha. \text{approximating-bigstep-fun } (\beta, \alpha) \ p \ (\text{normalize-rules } rs) \ s = \text{approximating-bigstep-fun}$ 
     $(\beta, \alpha) \ p \ rs \ s$  by fast
  hence
     $\forall \alpha. \text{approximating-bigstep-fun } (\beta_{\text{magic}} \ \gamma, \alpha) \ p \ (\text{normalize-rules } rs) \ s = \text{approximating-bigstep-fun}$ 
     $(\beta_{\text{magic}} \ \gamma, \alpha) \ p \ rs \ s$  by fast
  with  $\beta_{\text{magic}}$ -approximating-bigstep-fun-iff-iptables-bigstep assms assm' show ?thesis
  by metis
qed

```

```

end
theory Optimizing
imports Semantics-Ternary
begin

```

## 15 Optimizing

### 15.1 Removing Shadowed Rules

```

fun rmshadow :: ('a, 'p) match-tac  $\Rightarrow$  'a rule list  $\Rightarrow$  'p set  $\Rightarrow$  'a rule list where
  rmshadow - [] - = [] |
  rmshadow  $\gamma$  ((Rule m a)#rs) P = (if ( $\forall p \in P. \neg \text{matches } \gamma \ m \ a \ p$ )
    then
      rmshadow  $\gamma$  rs P
    else
      (Rule m a) # (rmshadow  $\gamma$  rs {p  $\in$  P.  $\neg \text{matches } \gamma \ m \ a \ p$ }))

```



### 15.1.1 Soundness

**lemma** *rmshadow-sound*:

$simple\text{-}ruleset\ rs \implies p \in P \implies approximating\text{-}bigstep\text{-}fun\ \gamma\ p\ (rmshadow\ \gamma\ rs\ P) = approximating\text{-}bigstep\text{-}fun\ \gamma\ p\ rs$

**proof**(*induction rs arbitrary: P*)

**case** *Nil* **thus** *?case* **by** *simp*

**next**

**case** (*Cons r rs*)

**let** *?fw* = *approximating-bigstep-fun*  $\gamma$  — firewall semantics

**let** *?rm* = *rmshadow*  $\gamma$

**let** *?match* = *matches*  $\gamma$  (*get-match* *r*) (*get-action* *r*)

**let** *?set* =  $\{p \in P. \neg ?match\ p\}$

**from** *Cons.IH* *Cons.prem*s **have** *IH*:  $?fw\ p\ (?rm\ rs\ P) = ?fw\ p\ rs$  **by** (*simp add: simple-ruleset-def*)

**from** *Cons.IH* [*of ?set*] *Cons.prem*s **have** *IH'*:  $p \in ?set \implies ?fw\ p\ (?rm\ rs\ ?set) = ?fw\ p\ rs$  **by** (*simp add: simple-ruleset-def*)

**from** *Cons* **show** *?case*

**proof**(*cases*  $\forall p \in P. \neg ?match\ p$ ) — the if-condition of *rmshadow*

**case** *True*

**from** *True* **have** *1*:  $?rm\ (r \# rs)\ P = ?rm\ rs\ P$

**apply**(*cases* *r*)

**apply**(*rename-tac* *m* *a*)

**apply**(*clarify*)

**apply**(*simp*)

**done**

**from** *True* *Cons.prem*s **have**  $?fw\ p\ (r \# rs) = ?fw\ p\ rs$

**apply**(*cases* *r*)

**apply**(*rename-tac* *m* *a*)

**apply**(*simp add: fun-eq-iff*)

**apply**(*clarify*)

**apply**(*rename-tac* *s*)

**apply**(*case-tac* *s*)

**apply**(*simp*)

**apply**(*simp add: Decision-approximating-bigstep-fun*)

**done**

**from** *this IH* **have**  $?fw\ p\ (?rm\ rs\ P) = ?fw\ p\ (r \# rs)$  **by** *simp*

**thus**  $?fw\ p\ (?rm\ (r \# rs)\ P) = ?fw\ p\ (r \# rs)$  **using** *1* **by** *simp*

**next**

**case** *False* — *else*

**have**  $?fw\ p\ (r \# (?rm\ rs\ ?set)) = ?fw\ p\ (r \# rs)$

**proof**(*cases*  $p \in ?set$ )

**case** *True*

**from** *True IH'* **show**  $?fw\ p\ (r \# (?rm\ rs\ ?set)) = ?fw\ p\ (r \# rs)$

**apply**(*cases* *r*)

**apply**(*rename-tac* *m* *a*)

**apply**(*simp add: fun-eq-iff*)

**apply**(*clarify*)

**apply**(*rename-tac* *s*)

**apply**(*case-tac* *s*)

```

      apply(simp)
      apply(simp add: Decision-approximating-bigstep-fun)
    done
  next
  case False
    from False Cons.premis have ?match p by simp
    from Cons.premis have get-action r = Accept  $\vee$  get-action r = Drop
  by(simp add: simple-ruleset-def)
    from this (⟨?match p⟩show ?fw p (r # (?rm rs ?set))) = ?fw p (r#rs)
      apply(cases r)
      apply(rename-tac m a)
      apply(simp add: fun-eq-iff)
      apply(clarify)
      apply(rename-tac s)
      apply(case-tac s)
      apply(simp split:action.split)
      apply fast
      apply(simp add: Decision-approximating-bigstep-fun)
    done
  qed
from False this show ?thesis
  apply(cases r)
  apply(rename-tac m a)
  apply(simp add: fun-eq-iff)
  apply(clarify)
  apply(rename-tac s)
  apply(case-tac s)
  apply(simp)
  apply(simp add: Decision-approximating-bigstep-fun)
done
qed
qed

```

**fun** *rmMatchFalse* :: 'a rule list  $\Rightarrow$  'a rule list **where**  
*rmMatchFalse* [] = [] |  
*rmMatchFalse* ((Rule (MatchNot MatchAny) -)#rs) = *rmMatchFalse* rs |  
*rmMatchFalse* (r#rs) = r # *rmMatchFalse* rs

**lemma** *rmMatchFalse-helper*:  $m \neq \text{MatchNot MatchAny} \implies (\text{rmMatchFalse } (\text{Rule } m\ a\ \# rs)) = \text{Rule } m\ a\ \# (\text{rmMatchFalse } rs)$   
 apply(case-tac m)  
 apply(simp-all)  
 apply(rename-tac match-expr)  
 apply(case-tac match-expr)  
 apply(simp-all)

done

```

lemma rmMatchFalse-correct: approximating-bigstep-fun  $\gamma$  p (rmMatchFalse rs)
s = approximating-bigstep-fun  $\gamma$  p rs s
  apply(induction  $\gamma$  p rs s rule: approximating-bigstep-fun-induct)
    apply(simp)
    apply(metis Decision-approximating-bigstep-fun)
    apply(case-tac m = MatchNot MatchAny)
    apply(simp)
    apply(simp add: rmMatchFalse-helper)
    apply(subgoal-tac m  $\neq$  MatchNot MatchAny)
    apply(drule-tac a=a and rs=rs in rmMatchFalse-helper)
    apply(simp split:action.split)
    apply(thin-tac a = ?x  $\implies$  ?y)
    apply(thin-tac a = ?x  $\implies$  ?y)
    by (metis bunch-of-lemmata-about-matches(3) surj-pair)

```

```

end
theory Negation-Type
imports Main
begin

```

## 16 Negation Type

Only negated or non-negated literals

**datatype** *'a negation-type = Pos 'a | Neg 'a*

```

fun getPos :: 'a negation-type list  $\Rightarrow$  'a list where
  getPos [] = [] |
  getPos ((Pos x)#xs) = x#(getPos xs) |
  getPos (-#xs) = getPos xs

```

```

fun getNeg :: 'a negation-type list  $\Rightarrow$  'a list where
  getNeg [] = [] |
  getNeg ((Neg x)#xs) = x#(getNeg xs) |
  getNeg (-#xs) = getNeg xs

```

If there is *'a negation-type*, then apply a *map* only to *'a*. I.e. keep *Neg* and *Pos*

```

fun NegPos-map :: ('a  $\Rightarrow$  'b)  $\Rightarrow$  'a negation-type list  $\Rightarrow$  'b negation-type list where
  NegPos-map - [] = [] |
  NegPos-map f ((Pos a)#as) = (Pos (f a))#NegPos-map f as |
  NegPos-map f ((Neg a)#as) = (Neg (f a))#NegPos-map f as

```

Example

**lemma** *NegPos-map* ( $\lambda x::nat. x+1$ ) [*Pos* 0, *Neg* 1] = [*Pos* 1, *Neg* 2] **by** *eval*  
**lemma** *getPos-NegPos-map-simp*: (*getPos* (*NegPos-map* *X* (*map Pos src*))) = *map X src*  
**by**(*induction src*) (*simp-all*)  
**lemma** *getNeg-NegPos-map-simp*: (*getNeg* (*NegPos-map X* (*map Neg src*))) = *map X src*  
**by**(*induction src*) (*simp-all*)  
**lemma** *getNeg-Pos-empty*: (*getNeg* (*NegPos-map X* (*map Pos src*))) = []  
**by**(*induction src*) (*simp-all*)  
**lemma** *getNeg-Neg-empty*: (*getPos* (*NegPos-map X* (*map Neg src*))) = []  
**by**(*induction src*) (*simp-all*)  
**lemma** *getPos-NegPos-map-simp2*: (*getPos* (*NegPos-map X src*)) = *map X* (*getPos src*)  
**by**(*induction src rule: getPos.induct*) (*simp-all*)  
**lemma** *getNeg-NegPos-map-simp2*: (*getNeg* (*NegPos-map X src*)) = *map X* (*getNeg src*)  
**by**(*induction src rule: getPos.induct*) (*simp-all*)  
**lemma** *getPos-id*: (*getPos* (*map Pos* (*getPos src*))) = *getPos src*  
**by**(*induction src rule: getPos.induct*) (*simp-all*)  
**lemma** *getNeg-id*: (*getNeg* (*map Neg* (*getNeg src*))) = *getNeg src*  
**by**(*induction src rule: getNeg.induct*) (*simp-all*)  
**lemma** *getPos-empty2*: (*getPos* (*map Neg src*)) = []  
**by**(*induction src*) (*simp-all*)  
**lemma** *getNeg-empty2*: (*getNeg* (*map Pos src*)) = []  
**by**(*induction src*) (*simp-all*)  
**lemmas** *NegPos-map-simps* = *getPos-NegPos-map-simp getNeg-NegPos-map-simp*  
*getNeg-Pos-empty getNeg-Neg-empty getPos-NegPos-map-simp2*  
*getNeg-NegPos-map-simp2 getPos-id getNeg-id getPos-empty2*  
*getNeg-empty2*

**lemma** *getPos-set*: *Pos a*  $\in$  *set x*  $\longleftrightarrow$  *a*  $\in$  *set* (*getPos x*)  
**apply**(*induction x rule: getPos.induct*)  
**apply**(*auto*)  
**done**  
**lemma** *getNeg-set*: *Neg a*  $\in$  *set x*  $\longleftrightarrow$  *a*  $\in$  *set* (*getNeg x*)  
**apply**(*induction x rule: getPos.induct*)  
**apply**(*auto*)  
**done**  
**lemma** *getPosgetNeg-subset*: *set x*  $\subseteq$  *set x'*  $\longleftrightarrow$  *set* (*getPos x*)  $\subseteq$  *set* (*getPos x'*)  
 $\wedge$  *set* (*getNeg x*)  $\subseteq$  *set* (*getNeg x'*)  
**apply**(*induction x rule: getPos.induct*)  
**apply**(*simp*)  
**apply**(*simp add: getPos-set*)  
**apply**(*rule iffI*)  
**apply**(*simp-all add: getPos-set getNeg-set*)

```

done
lemma set-Pos-getPos-subset: Pos ' set (getPos x)  $\subseteq$  set x
  apply(induction x rule: getPos.induct)
  apply(simp-all)
  apply blast+
done
lemma set-Neg-getNeg-subset: Neg ' set (getNeg x)  $\subseteq$  set x
  apply(induction x rule: getNeg.induct)
  apply(simp-all)
  apply blast+
done
lemmas NegPos-set = getPos-set getNeg-set getPosgetNeg-subset set-Pos-getPos-subset
set-Neg-getNeg-subset
hide-fact getPos-set getNeg-set getPosgetNeg-subset set-Pos-getPos-subset set-Neg-getNeg-subset

end
theory Format-Ln
imports ../Fixed-Action Negation-Type ../Bitmagic/Numberwang-Ln IPspace-Syntax
../Bitmagic/IPv4Addr
begin

```

## 17 iptables LN formatting

Produce output as produced by the command: iptables -L -n

Example

```

Chain INPUT (policy ACCEPT)
target     prot opt source                destination
STATEFUL   all  --  0.0.0.0/0              0.0.0.0/0
ACCEPT     all  --  0.0.0.0/0              0.0.0.0/0
ACCEPT     icmp --  0.0.0.0/0              0.0.0.0/0          icmp type 3
...

```

```

datatype iptrule-match-Ln = FormattedMatch ipt-ipv4range negation-type ipt-ipv4range
negation-type ipt-protocol negation-type string negation-type list

```

```

datatype iptrule-match-Ln-uncompressed = UncompressedFormattedMatch
  ipt-ipv4range negation-type list
  ipt-ipv4range negation-type list
  ipt-protocol negation-type list
  string negation-type list

```

```

fun srclist-and :: ipt-ipv4range negation-type list  $\Rightarrow$  iptrule-match match-expr where

```

```

srclist-and [] = MatchAny |
srclist-and ((Pos e)#es) = MatchAnd (Match (Src e)) (srclist-and es) |
srclist-and ((Neg e)#es) = MatchAnd (MatchNot (Match (Src e))) (srclist-and
es)

```

```

fun dstlist-and :: ipt-ipv4range negation-type list ⇒ iptrule-match match-expr where
  dstlist-and [] = MatchAny |
  dstlist-and ((Pos e)#es) = MatchAnd (Match (Dst e)) (dstlist-and es) |
  dstlist-and ((Neg e)#es) = MatchAnd (MatchNot (Match (Dst e))) (dstlist-and
es)

```

```

fun protolist-and :: ipt-protocol negation-type list ⇒ iptrule-match match-expr where
  protolist-and [] = MatchAny |
  protolist-and ((Pos e)#es) = MatchAnd (Match (Prot e)) (protolist-and es) |
  protolist-and ((Neg e)#es) = MatchAnd (MatchNot (Match (Prot e))) (protolist-and
es)

```

```

fun extralist-and :: string negation-type list ⇒ iptrule-match match-expr where
  extralist-and [] = MatchAny |
  extralist-and ((Pos e)#es) = MatchAnd (Match (Extra e)) (extralist-and es) |
  extralist-and ((Neg e)#es) = MatchAnd (MatchNot (Match (Extra e))) (extralist-and
es)

```

We can express all those *src<sub>list</sub>-and* functions and similar in a simpler fashion!

```

fun alist-and :: 'a negation-type list ⇒ 'a match-expr where
  alist-and [] = MatchAny |
  alist-and ((Pos e)#es) = MatchAnd (Match e) (alist-and es) |
  alist-and ((Neg e)#es) = MatchAnd (MatchNot (Match e)) (alist-and es)

```

**lemma** list-and-simps1: *src<sub>list</sub>-and es = alist-and (NegPos-map Src es)*

**by**(*induction es rule: alist-and.induct*)(*simp-all*)

**lemma** list-and-simps2: *dst<sub>list</sub>-and es = alist-and (NegPos-map Dst es)*

**by**(*induction es rule: alist-and.induct*)(*simp-all*)

**lemma** list-and-simps3: *proto<sub>list</sub>-and es = alist-and (NegPos-map Prot es)*

**by**(*induction es rule: alist-and.induct*)(*simp-all*)

**lemma** list-and-simps4: *extra<sub>list</sub>-and es = alist-and (NegPos-map Extra es)*

**by**(*induction es rule: alist-and.induct*)(*simp-all*)

```

fun UncompressedFormattedMatch-to-match-expr :: iptrule-match-Ln-uncompressed
⇒ iptrule-match match-expr where

```

```

  UncompressedFormattedMatch-to-match-expr (UncompressedFormattedMatch src
dst proto extra) =

```

```

  MatchAnd (srclist-and src) (MatchAnd (dstlist-and dst) (MatchAnd (protolist-and
proto) (extralist-and extra)))

```

```

fun FormattedMatch-to-match-expr :: iptrule-match-Ln ⇒ iptrule-match match-expr

```

**where**

```

FormattedMatch-to-match-expr (FormattedMatch src dst proto extra) = Match-
hAnd
  (case src of Pos s ⇒ Match (Src s) | Neg s ⇒ MatchNot (Match (Src s)))
  (MatchAnd
    (case dst of Pos d ⇒ Match (Dst d) | Neg d ⇒ MatchNot (Match (Dst d)))
    (MatchAnd
      (case proto of Pos p ⇒ Match (Prot p) | Neg p ⇒ MatchNot (Match (Prot
p))))
    (extralist-and extra)
  )
)

```

```

fun iptrule-match-Ln-uncompressed-append :: iptrule-match-Ln-uncompressed ⇒
iptrule-match-Ln-uncompressed ⇒ iptrule-match-Ln-uncompressed where
  iptrule-match-Ln-uncompressed-append (UncompressedFormattedMatch src1 dst1
proto1 extra1) (UncompressedFormattedMatch src2 dst2 proto2 extra2) =
    UncompressedFormattedMatch (src1@src2) (dst1@dst2) (proto1@proto2)
(extra1@extra2)

```

```

fun iptrule-match-collect :: iptrule-match match-expr ⇒ iptrule-match-Ln-uncompressed
⇒ iptrule-match-Ln-uncompressed where
  iptrule-match-collect MatchAny accu = accu |
    iptrule-match-collect (Match (Src ip)) (UncompressedFormattedMatch src dst
proto extra) = UncompressedFormattedMatch ((Pos ip)#src) dst proto extra |
    iptrule-match-collect (Match (Dst ip)) (UncompressedFormattedMatch src dst
proto extra) = UncompressedFormattedMatch src ((Pos ip)#dst) proto extra |
    iptrule-match-collect (Match (Prot p)) (UncompressedFormattedMatch src dst
proto extra) = UncompressedFormattedMatch src dst ((Pos p)#proto) extra |
    iptrule-match-collect (Match (Extra e)) (UncompressedFormattedMatch src dst
proto extra) = UncompressedFormattedMatch src dst proto ((Pos e)#extra) |
    iptrule-match-collect (MatchNot (Match (Src ip))) (UncompressedFormattedMatch
src dst proto extra) = UncompressedFormattedMatch ((Neg ip)#src) dst proto extra
|
    iptrule-match-collect (MatchNot (Match (Dst ip))) (UncompressedFormattedMatch
src dst proto extra) = UncompressedFormattedMatch src ((Neg ip)#dst) proto extra
|
    iptrule-match-collect (MatchNot (Match (Prot p))) (UncompressedFormattedMatch
src dst proto extra) = UncompressedFormattedMatch src dst ((Neg p)#proto) extra
|
    iptrule-match-collect (MatchNot (Match (Extra e))) (UncompressedFormattedMatch
src dst proto extra) = UncompressedFormattedMatch src dst proto ((Neg e)#extra)
|
    iptrule-match-collect (MatchAnd m1 m2) fmt =
      iptrule-match-Ln-uncompressed-append (iptrule-match-collect m1 fmt)
(iptrule-match-Ln-uncompressed-append (iptrule-match-collect m2 fmt) fmt)

```

```

lemma ipv4range-set-from-bitmask (ipv4addr-of-dotteddecimal (0, 0, 0, 0)) 33 =
{0}
apply(simp add: ipv4addr-of-dotteddecimal.simps ipv4addr-of-nat-def)
apply(simp add: ipv4range-set-from-bitmask-def)
apply(simp add: ipv4range-set-from-netmask-def)
done

```

```

value(code) iptrule-match-collect (MatchAnd (Match (Src (Ip4AddrNetmask (0,
0, 0, 0) 8))) (Match (Prot ipt-protocol.ProtTCP))) (UncompressedFormattedMatch
[] [] [])

```

```

thm iptrule-match-collect.induct

```

```

lemma srclist-and-append: matches ( $\beta, \alpha$ ) (srclist-and (l1 @ l2)) a p  $\longleftrightarrow$  matches
( $\beta, \alpha$ ) (MatchAnd (srclist-and l1) (srclist-and l2)) a p
apply(induction l1)
apply(simp-all add: bunch-of-lemmata-about-matches)
apply(rename-tac l l1)
apply(case-tac l)
apply(simp-all add: bunch-of-lemmata-about-matches)
done

```

```

lemma dstlist-and-append: matches ( $\beta, \alpha$ ) (dstlist-and (l1 @ l2)) a p  $\longleftrightarrow$  matches
( $\beta, \alpha$ ) (MatchAnd (dstlist-and l1) (dstlist-and l2)) a p
apply(induction l1)
apply(simp-all add: bunch-of-lemmata-about-matches)
apply(rename-tac l l1)
apply(case-tac l)
apply(simp-all add: bunch-of-lemmata-about-matches)
done

```

```

lemma protolist-and-append: matches ( $\beta, \alpha$ ) (protolist-and (l1 @ l2)) a p  $\longleftrightarrow$ 
matches ( $\beta, \alpha$ ) (MatchAnd (protolist-and l1) (protolist-and l2)) a p
apply(induction l1)
apply(simp-all add: bunch-of-lemmata-about-matches)
apply(rename-tac l l1)
apply(case-tac l)
apply(simp-all add: bunch-of-lemmata-about-matches)
done

```

```

lemma extralist-and-append: matches ( $\beta, \alpha$ ) (extralist-and (l1 @ l2)) a p  $\longleftrightarrow$ 
matches ( $\beta, \alpha$ ) (MatchAnd (extralist-and l1) (extralist-and l2)) a p
apply(induction l1)
apply(simp-all add: bunch-of-lemmata-about-matches)
apply(rename-tac l l1)
apply(case-tac l)
apply(simp-all add: bunch-of-lemmata-about-matches)
done

```

```

lemma matches-iptrule-match-Ln-uncompressed-append: matches ( $\beta, \alpha$ ) (UncompressedFormattedMatch-to-m
(iptrule-match-Ln-uncompressed-append fmt1 fmt2)) a p  $\longleftrightarrow$ 

```



```

      matches  $(\beta, \alpha)$  (MatchAnd (UncompressedFormattedMatch-to-match-expr
fmt1) (UncompressedFormattedMatch-to-match-expr fmt2)) a p
    apply(case-tac fmt1)
    apply(case-tac fmt2)
    apply(clarify)
    apply(simp)
    apply(simp add: srclist-and-append dstlist-and-append protolist-and-append extralist-and-append
bunch-of-lemmata-about-matches)
  by fastforce

```

The empty matches always match

```

lemma matches  $(\beta, \alpha)$  (UncompressedFormattedMatch-to-match-expr (UncompressedFormattedMatch
[] [] [])) a p
  by(simp add: bunch-of-lemmata-about-matches)

```

```

lemma UncompressedFormattedMatch-to-match-expr-correct: assumes normalized-match
m shows

```

```

  matches  $(\beta, \alpha)$  (UncompressedFormattedMatch-to-match-expr accu) a p  $\implies$ 
    matches  $(\beta, \alpha)$  (UncompressedFormattedMatch-to-match-expr (iptrule-match-collect
m accu)) a p  $\longleftrightarrow$  matches  $(\beta, \alpha)$  m a p

```

```

using assms apply (induction m accu arbitrary: rule: iptrule-match-collect.induct)

```

```

  apply (simp add: eval-ternary-simps ip-in-ipv4range-set-from-bitmask-UNIV bunch-of-lemmata-about-matches)
  apply (simp add: eval-ternary-simps ip-in-ipv4range-set-from-bitmask-UNIV bunch-of-lemmata-about-matches)
  apply (simp add: eval-ternary-simps ip-in-ipv4range-set-from-bitmask-UNIV bunch-of-lemmata-about-matches)
  apply (simp add: eval-ternary-simps ip-in-ipv4range-set-from-bitmask-UNIV bunch-of-lemmata-about-matches)
  apply (simp add: eval-ternary-simps ip-in-ipv4range-set-from-bitmask-UNIV bunch-of-lemmata-about-matches)
  apply (simp add: eval-ternary-simps ip-in-ipv4range-set-from-bitmask-UNIV bunch-of-lemmata-about-matches)
  apply (simp add: eval-ternary-simps ip-in-ipv4range-set-from-bitmask-UNIV bunch-of-lemmata-about-matches)
  apply (simp add: eval-ternary-simps ip-in-ipv4range-set-from-bitmask-UNIV bunch-of-lemmata-about-matches)
  apply (simp add: eval-ternary-simps ip-in-ipv4range-set-from-bitmask-UNIV bunch-of-lemmata-about-matches)
  apply (simp add: eval-ternary-simps ip-in-ipv4range-set-from-bitmask-UNIV bunch-of-lemmata-about-matches)
  apply (simp add: matches-iptrule-match-Ln-uncompressed-append bunch-of-lemmata-about-matches)

```

```

  apply(simp-all) —  $\neg$  normalized-match
done

```

```

definition format-Ln-match :: iptrule-match match-expr  $\Rightarrow$  iptrule-match-Ln-uncompressed
where

```

```

  format-Ln-match m  $\equiv$  iptrule-match-collect m (UncompressedFormattedMatch []
[] [])

```

```

corollary format-Ln-match-correct: normalized-match m  $\implies$  matches  $(\beta, \alpha)$  (UncompressedFormattedMatch-to-match-expr
(format-Ln-match m)) a p  $\longleftrightarrow$  matches  $(\beta, \alpha)$  m a p

```

```

unfolding format-Ln-match-def

```

```

apply(rule UncompressedFormattedMatch-to-match-expr-correct)

```

```

apply(simp-all)

```

```

apply(simp add: bunch-of-lemmata-about-matches)

```

```

done

```

**lemma** *format-Ln-match-correct'*:  $\forall m' \in \text{set } ms. \text{normalized-match } m' \implies$   
 $\text{approximating-bigstep-fun } (\beta, \alpha) p (\text{map } (\lambda m. \text{Rule } m a) (\text{map } (\lambda m'. \text{UncompressedFormattedMatch-to-match} \\ (\text{format-Ln-match } m')) ms)) s =$   
 $\text{approximating-bigstep-fun } (\beta, \alpha) p (\text{map } (\lambda m. \text{Rule } m a) ms) s$   
**apply**(*rule match-list-semantics*)  
**apply**(*induction ms*)  
**apply**(*simp*)  
**apply**(*simp*)  
**by** (*metis format-Ln-match-correct*)

**lemma** *helper*:  $\forall m' \in \text{set } ms. \text{normalized-match } m' \implies$   
 $\text{approximating-bigstep-fun } (\beta, \alpha) p (\text{map } ((\lambda r. \text{Rule } (\text{UncompressedFormattedMatch-to-match-expr} \\ (\text{fst } r)) (\text{snd } r)) \circ (\lambda r. (\text{format-Ln-match } (\text{get-match } r), \text{get-action } r)) \circ (\lambda m. \text{Rule} \\ m a)) ms) \text{Undecided} =$   
 $\text{approximating-bigstep-fun } (\beta, \alpha) p (\text{map } (\lambda m. \text{Rule } m a) ms) \text{Undecided}$   
**apply**(*induction ms*)  
**apply**(*simp add: normalize-match-empty*)  
**apply**(*simp split: split-if-asm split-if*)  
**apply**(*safe*)  
**apply**(*simp-all add: format-Ln-match-correct*)  
**apply**(*simp split: action.split*)  
**by** *blast*  
**corollary** *helper'*:  $(\text{approximating-bigstep-fun } (\beta, \alpha) p (\text{map } ((\lambda r. \text{Rule } (\text{UncompressedFormattedMatch-to-match-expr} \\ (\text{fst } r)) (\text{snd } r)) \circ (\lambda r. (\text{format-Ln-match } (\text{get-match } r), \text{get-action } r)) \circ (\lambda m. \text{Rule} \\ m a)) (\text{normalize-match } m)) \text{Undecided}) =$   
 $(\text{approximating-bigstep-fun } (\beta, \alpha) p [\text{Rule } m a] \text{Undecided})$   
**apply**(*subst helper*)  
**apply** (*metis normalized-match-normalize-match*)  
**by** (*metis normalize-match-correct*)  
**hide-fact** *helper*

**lemma** *approximating-bigstep-fun-seq-wf-fst*:  $\text{wf-ruleset } \gamma p [\text{Rule } m a] \implies \text{approximating-bigstep-fun} \\ \gamma p (\text{Rule } m a \# rs_2) \text{Undecided} = \text{approximating-bigstep-fun } \gamma p rs_2 (\text{approximating-bigstep-fun} \\ \gamma p [\text{Rule } m a] \text{Undecided})$   
**using** *approximating-bigstep-fun-seq-wf* [**where**  $rs_1 = [\text{Rule } m a]$ ] **by** (*metis append-Cons*  
*append-Nil*)

**definition** *format-Ln-rules-uncompressed* :: *iptrule-match rule list*  $\Rightarrow$  (*iptrule-match-Ln-uncompressed*  
 $\times$  *action*) *list* **where**  
 $\text{format-Ln-rules-uncompressed } rs = [((\text{format-Ln-match } (\text{get-match } r)), (\text{get-action} \\ r)). r \leftarrow (\text{normalize-rules } rs)]$

**definition** *Ln-rules-to-rule* :: (*iptrule-match-Ln-uncompressed*  $\times$  *action*) *list*  $\Rightarrow$

*iptrule-match* rule list **where**

*Ln-rules-to-rule* rs = [Rule (UncompressedFormattedMatch-to-match-expr (fst r))  
(snd r). r  $\leftarrow$  rs]

**lemma** *Ln-rules-to-rule-head*: *Ln-rules-to-rule* (r#rs) = (Rule (UncompressedFormattedMatch-to-match-expr  
(fst r)) (snd r))#*Ln-rules-to-rule* rs  
**by**(simp add: *Ln-rules-to-rule-def*)

**lemma** *Ln-rules-to-rule-format-Ln-rules*: *Ln-rules-to-rule* (format-Ln-rules-uncompressed  
rs) = [Rule (UncompressedFormattedMatch-to-match-expr (format-Ln-match (get-match  
r))) (get-action r). r  $\leftarrow$  (normalize-rules rs)]  
**apply**(induction rs)  
**apply**(simp-all add: *Ln-rules-to-rule-def* format-Ln-rules-uncompressed-def)  
**done**

**lemma** *format-Ln-rules-uncompressed-correct*: good-ruleset rs  $\implies$   
approximating-bigstep-fun ( $\beta$ ,  $\alpha$ ) p (*Ln-rules-to-rule* (format-Ln-rules-uncompressed  
rs)) s =  
approximating-bigstep-fun ( $\beta$ ,  $\alpha$ ) p rs s  
**apply**(case-tac s)  
**prefer** 2  
**apply**(simp add: *Decision-approximating-bigstep-fun*)  
**apply**(clarify)  
**unfolding** *Ln-rules-to-rule-def* format-Ln-rules-uncompressed-def  
**apply**(induction rs)  
**apply**(simp)  
**apply**(simp)  
**apply**(subst normalize-rules-fst)  
**apply**(rename-tac r rs)  
**apply**(case-tac r, rename-tac m a)  
**apply**(clarify)  
**apply**(simp del: approximating-bigstep-fun.simps)  
**apply**(frule good-ruleset-fst)  
**apply**(drule good-ruleset-tail)  
**apply**(simp del: approximating-bigstep-fun.simps)  
**apply**(frule good-ruleset-normalize-match)  
**apply**(subst approximating-bigstep-fun-seq-wf)  
**defer**  
**apply**(subst helper')

**apply**(subst(2) approximating-bigstep-fun-seq-wf-fst)  
**apply**(simp add: good-imp-wf-ruleset)  
**apply**(case-tac (approximating-bigstep-fun ( $\beta$ ,  $\alpha$ ) p [Rule m a] Undecided))  
**apply**(simp)  
**apply** (metis *Decision-approximating-bigstep-fun*)

```

apply(thin-tac approximating-bigstep-fun ? $\gamma$  p ?rs1 Undecided = approximating-bigstep-fun
? $\gamma$  p ?rs2 Undecided)
apply(simp add: wf-ruleset-def)
apply(clarify)
apply(simp add: good-ruleset-alt)
apply blast
done

```

Isolating the matching semantics

```

fun nt-match-list :: ('a, 'packet) match-tac  $\Rightarrow$  action  $\Rightarrow$  'a negation-type
list  $\Rightarrow$  bool where
  nt-match-list - - - [] = True |
  nt-match-list  $\gamma$  a p ((Pos x)#xs)  $\longleftrightarrow$  matches  $\gamma$  (Match x) a p  $\wedge$  nt-match-list
 $\gamma$  a p xs |
  nt-match-list  $\gamma$  a p ((Neg x)#xs)  $\longleftrightarrow$  matches  $\gamma$  (MatchNot (Match x)) a p  $\wedge$ 
nt-match-list  $\gamma$  a p xs

```

```

lemma nt-match-list-matches: nt-match-list  $\gamma$  a p l  $\longleftrightarrow$  matches  $\gamma$  (alist-and l) a
p
apply(induction l rule: alist-and.induct)
apply(simp-all)
apply(case-tac [|]  $\gamma$ )
apply(simp-all add: bunch-of-lemmata-about-matches)
done

```

```

lemma nt-match-list-simp: nt-match-list  $\gamma$  a p ms  $\longleftrightarrow$ 
  ( $\forall m \in \text{set } (\text{getPos } ms). \text{matches } \gamma (\text{Match } m) \text{ } a p$ )  $\wedge$  ( $\forall m \in \text{set } (\text{getNeg } ms).$ 
matches  $\gamma$  (MatchNot (Match m)) a p)
apply(induction  $\gamma$  a p ms rule: nt-match-list.induct)
apply(simp-all)
by fastforce

```

```

lemma matches-alist-and: matches  $\gamma$  (alist-and l) a p  $\longleftrightarrow$  ( $\forall m \in \text{set } (\text{getPos } l).$ 
matches  $\gamma$  (Match m) a p)  $\wedge$  ( $\forall m \in \text{set } (\text{getNeg } l). \text{matches } \gamma (\text{MatchNot (Match
m)) a p)
by (metis (poly-guards-query) nt-match-list-matches nt-match-list-simp)$ 
```

```

fun Ln-uncompressed-matching :: (iptrule-match, 'packet) match-tac  $\Rightarrow$  action  $\Rightarrow$ 
'packet  $\Rightarrow$  iptrule-match-Ln-uncompressed  $\Rightarrow$  bool where
  Ln-uncompressed-matching  $\gamma$  a p (UncompressedFormattedMatch src dst proto
extra)  $\longleftrightarrow$ 
    (nt-match-list  $\gamma$  a p (NegPos-map Src src))  $\wedge$ 
    (nt-match-list  $\gamma$  a p (NegPos-map Dst dst))  $\wedge$ 
    (nt-match-list  $\gamma$  a p (NegPos-map Prot proto))  $\wedge$ 
    (nt-match-list  $\gamma$  a p (NegPos-map Extra extra))

```

**declare** *Ln-uncompressed-matching.simps*[*simp del*]

**lemma** *Ln-uncompressed-matching*: *Ln-uncompressed-matching*  $\gamma$  *a p m*  $\longleftrightarrow$  *matches*  $\gamma$  (*UncompressedFormattedMatch-to-match-expr m*) *a p*  
**apply**(*cases m*)  
**apply**(*simp*)  
**apply**(*simp add: list-and-simps1 list-and-simps2 list-and-simps3 list-and-simps4*)  
**apply**(*simp add: nt-match-list-matches Ln-uncompressed-matching.simps*)  
**by** (*metis matches-simp1 matches-simp2*)

**lemma** *Ln-uncompressed-matching-semantics-singleton*: *Ln-uncompressed-matching*  $\gamma$  *a p m1*  $\longleftrightarrow$  *Ln-uncompressed-matching*  $\gamma$  *a p m2*  
 $\implies$  *approximating-bigstep-fun*  $\gamma$  *p* (*Ln-rules-to-rule* [(*m1*, *a*))] *s* =  
*approximating-bigstep-fun*  $\gamma$  *p* (*Ln-rules-to-rule* [(*m2*, *a*))] *s*  
**apply**(*case-tac s*)  
**prefer** 2  
**apply**(*simp add: Decision-approximating-bigstep-fun*)  
**apply**(*clarify*)  
**apply**(*simp add: Ln-rules-to-rule-def*)  
**apply**(*simp split: action.split*)  
**apply**(*simp add: Ln-uncompressed-matching*)  
**apply**(*safe*)  
**done**

**definition** *intersect-netmask-empty* :: *nat*  $\times$  *nat*  $\times$  *nat*  $\times$  *nat*  $\Rightarrow$  *nat*  $\Rightarrow$  *nat*  $\times$  *nat*  $\times$  *nat*  $\times$  *nat*  $\Rightarrow$  *nat*  $\Rightarrow$  *bool* **where**  
*intersect-netmask-empty* *base1 m1 base2 m2*  $\equiv$   
*ipv4range-set-from-bitmask* (*ipv4addr-of-dotteddecimal base1*) *m1*  $\cap$  *ipv4range-set-from-bitmask* (*ipv4addr-of-dotteddecimal base2*) *m2* = {}

**thm** *ipv4range-set-from-bitmask-alt*

**fun** *ipv4range-set-from-bitmask-to-executable-ipv4range* :: *ipt-ipv4range*  $\Rightarrow$  *ipv4range*  
**where**

*ipv4range-set-from-bitmask-to-executable-ipv4range* (*Ip4AddrNetmask pre len*) =  
*IPv4Range* (((*ipv4addr-of-dotteddecimal pre*) *AND* ((*mask len*)  $<<$  (*32* - *len*))))  
((*ipv4addr-of-dotteddecimal pre*) *OR* (*mask* (*32* - *len*))) |  
*ipv4range-set-from-bitmask-to-executable-ipv4range* (*Ip4Addr ip*) = *IPv4Range* (*ipv4addr-of-dotteddecimal ip*) (*ipv4addr-of-dotteddecimal ip*)

**export-code** *ipv4range-set-from-bitmask-to-executable-ipv4range* *ipv4range-intersection* *ipv4range-empty* **in** *SML*

**definition** *intersect-netmask-empty-executable*  $\equiv (\lambda \text{ base1 } m1 \text{ base2 } m2. \text{ipv4range-empty}$   
 $($   
 $\quad \text{ipv4range-intersection}$   
 $\quad (\text{ipv4range-set-from-bitmask-to-executable-ipv4range } (\text{Ip4AddrNetmask } \text{base1}$   
 $m1))$   
 $\quad (\text{ipv4range-set-from-bitmask-to-executable-ipv4range } (\text{Ip4AddrNetmask } \text{base2}$   
 $m2))))$

**export-code** *intersect-netmask-empty-executable* **in** *SML*

**lemma** [code]: *intersect-netmask-empty* = *intersect-netmask-empty-executable*  
**apply** (rule ext)+  
**unfolding** *intersect-netmask-empty-def* *intersect-netmask-empty-executable-def*  
**apply**(simp only: *ipv4range-empty-set-eq* *ipv4range-intersection-set-eq*)  
**apply**(simp only: *ipv4range-set-from-bitmask-to-executable-ipv4range.simps* *ipv4range-set-from-bitmask-alt*)  
**by** force

**export-code** *intersect-netmask-empty* **in** *SML*

**definition** *subset-netmask* ::  $\text{nat} \times \text{nat} \times \text{nat} \times \text{nat} \Rightarrow \text{nat} \Rightarrow \text{nat} \times \text{nat} \times \text{nat}$   
 $\times \text{nat} \Rightarrow \text{nat} \Rightarrow \text{bool}$  **where**  
 $\text{subset-netmask } \text{base1 } m1 \text{ base2 } m2 \equiv$   
 $\text{ipv4range-set-from-bitmask } (\text{ipv4addr-of-dotteddecimal } \text{base1}) \text{ } m1 \subseteq \text{ipv4range-set-from-bitmask}$   
 $(\text{ipv4addr-of-dotteddecimal } \text{base2}) \text{ } m2$

**definition** *subset-netmask-executable* ::  $\text{nat} \times \text{nat} \times \text{nat} \times \text{nat} \Rightarrow \text{nat} \Rightarrow \text{nat} \times$   
 $\text{nat} \times \text{nat} \times \text{nat} \Rightarrow \text{nat} \Rightarrow \text{bool}$  **where**  
 $\text{subset-netmask-executable} \equiv (\lambda \text{ base1 } m1 \text{ base2 } m2. \text{ipv4range-subset}$   
 $(\text{ipv4range-set-from-bitmask-to-executable-ipv4range } (\text{Ip4AddrNetmask } \text{base1}$   
 $m1))$   
 $(\text{ipv4range-set-from-bitmask-to-executable-ipv4range } (\text{Ip4AddrNetmask } \text{base2}$   
 $m2))))$

**lemma** [code]: *subset-netmask* = *subset-netmask-executable*  
**apply**(simp only: fun-eq-iff, intro allI)  
**unfolding** *subset-netmask-def* *subset-netmask-executable-def*  
**apply**(simp only: *ipv4range-subset-set-eq*)  
**apply**(simp only: *ipv4range-set-from-bitmask-to-executable-ipv4range.simps* *ipv4range-set-from-bitmask-alt*)  
**by** force

**fun** *intersect-ips* ::  $\text{ipt-ipv4range} \Rightarrow \text{ipt-ipv4range} \Rightarrow \text{ipt-ipv4range option}$  **where**  
 $\text{intersect-ips } (\text{Ip4Addr } ip) (\text{Ip4AddrNetmask } \text{base } m) =$   
 $(\text{if } (\text{ipv4addr-of-dotteddecimal } ip) \in (\text{ipv4range-set-from-bitmask } (\text{ipv4addr-of-dotteddecimal}$   
 $\text{base}) \text{ } m)$   
 $\text{then}$   
 $\text{Some } (\text{Ip4Addr } ip)$

```

    else
      None) |
    intersect-ips (Ip4AddrNetmask base m) (Ip4Addr ip) =
      (if (ipv4addr-of-dotteddecimal ip) ∈ (ipv4range-set-from-bitmask (ipv4addr-of-dotteddecimal
base) m)
        then
          Some (Ip4Addr ip)
        else
          None) |
    intersect-ips (Ip4Addr ip1) (Ip4Addr ip2) =
      (if ipv4addr-of-dotteddecimal ip2 = ipv4addr-of-dotteddecimal ip1 (*there might
be overflows if someone uses values > 256*)
        then
          Some (Ip4Addr ip1)
        else
          None) |
    intersect-ips (Ip4AddrNetmask base1 m1) (Ip4AddrNetmask base2 m2) =
      (if (*ipv4range-set-from-bitmask (ipv4addr-of-dotteddecimal base1) m1 ∩ ipv4range-set-from-bitmask
(ipv4addr-of-dotteddecimal base2) m2 = { }*)
        intersect-netmask-empty base1 m1 base2 m2
        then
          None
        else if (*m1 ≥ m2*) (*maybe use executable subset check to make proofs
easier?*)
          subset-netmask base1 m1 base2 m2
        then
          Some (Ip4AddrNetmask base1 m1) (*andersrum?*)
        else if subset-netmask base2 m2 base1 m1 then
          Some (Ip4AddrNetmask base2 m2)
        else
          None (*cannot happen, one must be subset of each other*))

```

**export-code** intersect-ips **in** SML

**lemma** *ipv4-setinterval-inter-not-empty*:  $\{a::ipv4addr..b\} \cap \{c..d\} \neq \{\} \longleftrightarrow$

$$\begin{aligned}
& a \leq b \wedge c \leq d \wedge \\
& (a \geq c \wedge b \leq d \vee \\
& c \leq b \wedge a \leq c \vee \\
& a \leq d \wedge c \leq a)
\end{aligned}$$

**apply**(rule iffI)

**apply** force

**apply**(simp)

**apply**(clarify)

**apply**(elim disjE)

**apply** simp-all

**apply** fastforce+

**done**

```

lemma  $\neg \text{ipv4range-set-from-bitmask } b2 \ m2 \subseteq \text{ipv4range-set-from-bitmask } b1 \ m1$ 
 $\longrightarrow$ 
 $\neg \text{ipv4range-set-from-bitmask } b1 \ m1 \subseteq \text{ipv4range-set-from-bitmask } b2 \ m2 \longrightarrow$ 
 $\text{ipv4range-set-from-bitmask } b1 \ m1 \cap \text{ipv4range-set-from-bitmask } b2 \ m2 = \{\}$ 
using ipv4range-bitmask-intersect by auto

```

```

lemma intersect-ips-None:  $\text{intersect-ips } ip1 \ ip2 = \text{None} \longleftrightarrow (\text{ipv4s-to-set } ip1) \cap$ 
 $(\text{ipv4s-to-set } ip2) = \{\}$ 
apply (induction ip1 ip2 rule: intersect-ips.induct)
apply (simp-all add: intersect-netmask-empty-def)[3]
apply (simp add: intersect-netmask-empty-def)
by (metis subset-netmask-def ipv4range-bitmask-intersect)

```

```

lemma intersect-ips-Some:  $\text{intersect-ips } ip1 \ ip2 = \text{Some } X \implies (\text{ipv4s-to-set } ip1)$ 
 $\cap (\text{ipv4s-to-set } ip2) = \text{ipv4s-to-set } X$ 
apply (induction ip1 ip2 rule: intersect-ips.induct)
apply (simp-all)
apply (safe)[3]
apply (simp-all)
apply (case-tac [!] X)[9]
apply (simp-all)
apply (simp-all split: split-if-asm)[12]

```

```

apply (simp split: split-if-asm)
apply (simp-all add: intersect-netmask-empty-def subset-netmask-def)
apply (case-tac [!] X)
apply (simp-all)
apply blast

```

```

apply (blast)

```

**done**

The other direction does not directly hold. Someone might enter some invalid ips.

```

lemma intersect-ips-Some2:  $(\text{ipv4s-to-set } ip1) \cap (\text{ipv4s-to-set } ip2) = \text{ipv4s-to-set}$ 
 $X \implies \exists Y. \text{intersect-ips } ip1 \ ip2 = \text{Some } Y \wedge \text{ipv4s-to-set } X = \text{ipv4s-to-set } Y$ 
apply (subgoal-tac  $(\text{ipv4s-to-set } ip1) \cap (\text{ipv4s-to-set } ip2) \neq \{\}$ )
prefer 2
apply (simp add: ipv4s-to-set-nonempty)

```



```

apply(simp add: intersect-ips-None)
apply(subgoal-tac intersect-ips ip1 ip2 ≠ None)
prefer 2
apply(simp add: intersect-ips-None)
apply(simp)
apply(erule exE)
apply(rule-tac x=y in exI)
apply(simp)
by (metis intersect-ips-Some)

```

```

fun compress-pos-ips :: ipt-ipv4range list ⇒ ipt-ipv4range option where
  compress-pos-ips [] = Some (Ip4AddrNetmask (0,0,0,0) 0) |
  compress-pos-ips [ip] = Some ip |
  compress-pos-ips (a#b#cs) = (
    case intersect-ips a b of None ⇒ None
    | Some x ⇒ compress-pos-ips (x#cs)
  )

```

```

lemma compress-pos-ips-None: compress-pos-ips ips = None ⟷ ⋂ (ipv4s-to-set
  ‘set ips) = {}
apply(induction ips rule: compress-pos-ips.induct)
apply(simp)
apply(simp add: ipv4s-to-set-nonempty)
apply(simp)
apply(simp split: option.split)
apply(simp add: intersect-ips-None)
by (metis (hide-lams, no-types) inf-assoc inf-bot-left intersect-ips-Some)

```

```

lemma compress-pos-ips-Some: compress-pos-ips ips = Some X ⟹ ⋂ (ipv4s-to-set
  ‘set ips) = ipv4s-to-set X
apply(induction ips rule: compress-pos-ips.induct)
apply(simp)
apply(auto simp add: ipv4range-set-from-bitmask-0)[1]
apply(simp)
apply(simp)
apply(simp split: option.split-asm)
by (metis Int-assoc intersect-ips-Some)

```

```

fun collect-to-range :: ipt-ipv4range list ⇒ ipv4range where
  collect-to-range [] = IPv4Range 2 1 |
  collect-to-range (r#rs) = IPv4Union (ipv4range-set-from-bitmask-to-executable-ipv4range
    r) (collect-to-range rs)

```

```

end
theory IPspace-Matcher
imports ../Semantics-Ternary IPspace-Syntax ../Bitmagic/IPv4Addr ../Unknown-Match-Tacs
begin

```

## 17.1 Primitive Matchers: IP Space Matcher

```

fun simple-matcher :: (iptrule-match, packet) exact-match-tac where
  simple-matcher (Src (Ip4Addr ip)) p = bool-to-ternary (ipv4addr-of-dotteddecimal
ip = src-ip p) |
  simple-matcher (Src (Ip4AddrNetmask ip n)) p = bool-to-ternary (src-ip p ∈
ipv4range-set-from-bitmask (ipv4addr-of-dotteddecimal ip) n) |

  simple-matcher (Dst (Ip4Addr ip)) p = bool-to-ternary (ipv4addr-of-dotteddecimal
ip = dst-ip p) |
  simple-matcher (Dst (Ip4AddrNetmask ip n)) p = bool-to-ternary (dst-ip p ∈
ipv4range-set-from-bitmask (ipv4addr-of-dotteddecimal ip) n) |

  simple-matcher (Prot ProtAll) - = TernaryTrue |
  simple-matcher (Prot ipt-protocol.ProtTCP) p = bool-to-ternary (prot p = prot-
Packet.ProtTCP) |
  simple-matcher (Prot ipt-protocol.ProtUDP) p = bool-to-ternary (prot p = prot-
Packet.ProtUDP) |

  simple-matcher (Extra -) p = TernaryUnknown

```

Perform very basic optimizations

```

fun opt-simple-matcher :: iptrule-match match-expr ⇒ iptrule-match match-expr
where
  opt-simple-matcher (Match (Src (Ip4AddrNetmask (0,0,0,0) 0))) = MatchAny
|
  opt-simple-matcher (Match (Dst (Ip4AddrNetmask (0,0,0,0) 0))) = MatchAny
|
  opt-simple-matcher (Match (Prot ProtAll)) = MatchAny |
  opt-simple-matcher (Match m) = Match m |
  opt-simple-matcher (MatchNot m) = (MatchNot (opt-simple-matcher m)) |
  opt-simple-matcher (MatchAnd m1 m2) = MatchAnd (opt-simple-matcher m1)
(opt-simple-matcher m2) |
  opt-simple-matcher MatchAny = MatchAny

```

```

lemma opt-simple-matcher-correct-matchexpr: matches (simple-matcher, α) m =
matches (simple-matcher, α) (opt-simple-matcher m)
apply(simp add: fun-eq-iff, clarify, rename-tac a p)
apply(rule matches-iff-apply-f)
apply(simp)

```

```

apply(induction m rule: opt-simple-matcher.induct)
  apply(simp-all add: eval-ternary-simps ip-in-ipv4range-set-from-bitmask-UNIV)
done
corollary opt-simple-matcher-correct: approximating-bigstep-fun (simple-matcher,
α) p (optimize-matches opt-simple-matcher rs) s = approximating-bigstep-fun (simple-matcher,
α) p rs s
using optimize-matches opt-simple-matcher-correct-matchexpr by metis

remove Extra (i.e. TernaryUnknown) match expressions

fun opt-simple-matcher-in-doubt-allow-extra :: action ⇒ iptrule-match match-expr
⇒ iptrule-match match-expr where
  opt-simple-matcher-in-doubt-allow-extra - MatchAny = MatchAny |
  opt-simple-matcher-in-doubt-allow-extra Accept (Match (Extra -)) = MatchAny |
  opt-simple-matcher-in-doubt-allow-extra Reject (Match (Extra -)) = MatchNot
MatchAny |
  opt-simple-matcher-in-doubt-allow-extra Drop (Match (Extra -)) = MatchNot
MatchAny |
  opt-simple-matcher-in-doubt-allow-extra - (Match m) = Match m |
  opt-simple-matcher-in-doubt-allow-extra Accept (MatchNot (Match (Extra -))) =
MatchAny |
  opt-simple-matcher-in-doubt-allow-extra Drop (MatchNot (Match (Extra -))) =
MatchNot MatchAny |
  opt-simple-matcher-in-doubt-allow-extra Reject (MatchNot (Match (Extra -))) =
MatchNot MatchAny |
  opt-simple-matcher-in-doubt-allow-extra a (MatchNot (MatchNot m)) = opt-simple-matcher-in-doubt-allow-extra a m |

  — ¬ (a ∧ b) = ¬ b ∨ ¬ a and ¬ Unknown = Unknown
  opt-simple-matcher-in-doubt-allow-extra a (MatchNot (MatchAnd m1 m2)) =
    (if (opt-simple-matcher-in-doubt-allow-extra a (MatchNot m1)) = MatchAny ∨
      (opt-simple-matcher-in-doubt-allow-extra a (MatchNot m2)) = MatchAny
    then MatchAny else
      (if (opt-simple-matcher-in-doubt-allow-extra a (MatchNot m1)) = MatchNot
MatchAny then
        opt-simple-matcher-in-doubt-allow-extra a (MatchNot m2) else
        if (opt-simple-matcher-in-doubt-allow-extra a (MatchNot m2)) = MatchNot
MatchAny then
          opt-simple-matcher-in-doubt-allow-extra a (MatchNot m1) else
          MatchNot (MatchAnd m1 m2))
    ) |

  opt-simple-matcher-in-doubt-allow-extra - (MatchNot m) = MatchNot m |
  opt-simple-matcher-in-doubt-allow-extra a (MatchAnd m1 m2) = MatchAnd (opt-simple-matcher-in-doubt-allow-extra a m1) (opt-simple-matcher-in-doubt-allow-extra a m2)

lemma[code-unfold]: opt-simple-matcher-in-doubt-allow-extra a (MatchNot (MatchAnd
m1 m2)) =

```

```

    (let m1' = opt-simple-matcher-in-doubt-allow-extra a (MatchNot m1); m2' =
    opt-simple-matcher-in-doubt-allow-extra a (MatchNot m2) in
    (if m1' = MatchAny  $\vee$  m2' = MatchAny
    then MatchAny
    else
    if m1' = MatchNot MatchAny then m2' else
    if m2' = MatchNot MatchAny then m1'
    else
    MatchNot (MatchAnd m1 m2))
  )
by(simp)

```

```

lemma eval-ternary-And-UnknownTrue1: eval-ternary-And TernaryUnknown t  $\neq$ 
TernaryTrue
apply(cases t)
apply(simp-all)
done

```

```

lemma matches  $\gamma$  m1 a p = matches  $\gamma$  m2 a p  $\implies$  matches  $\gamma$  (MatchNot m1) a
p = matches  $\gamma$  (MatchNot m2) a p
apply(case-tac  $\gamma$ )
apply(simp add: matches-case-ternaryvalue-tuple split: )
— counterexample: m1 is unknown m2 is true default matches
oops

```

```

lemma opt-simple-matcher-in-doubt-allow-extra-correct-matchexpr: matches (simple-matcher,
in-doubt-allow) (opt-simple-matcher-in-doubt-allow-extra a m) a =
  matches (simple-matcher, in-doubt-allow) m a
apply(simp add: fun-eq-iff, clarify)
apply(rename-tac p)
apply(induction a m rule: opt-simple-matcher-in-doubt-allow-extra.induct)
  apply(simp-all add: bunch-of-lemmata-about-matches matches-DeMorgan)
apply(simp-all add: matches-case-ternaryvalue-tuple)

apply safe
apply(simp-all)
done

```

```

corollary opt-simple-matcher-in-doubt-allow-extra-correct: approximating-bigstep-fun
(simple-matcher, in-doubt-allow) p (optimize-matches-a opt-simple-matcher-in-doubt-allow-extra
rs) s = approximating-bigstep-fun (simple-matcher, in-doubt-allow) p rs s
using optimize-matches-a opt-simple-matcher-in-doubt-allow-extra-correct-matchexpr
by metis

```

```

fun opt-simple-matcher-in-doubt-deny-extra :: action  $\Rightarrow$  iptrule-match match-expr
 $\Rightarrow$  iptrule-match match-expr where
  opt-simple-matcher-in-doubt-deny-extra - MatchAny = MatchAny |
  opt-simple-matcher-in-doubt-deny-extra Accept (Match (Extra -)) = MatchNot
MatchAny |
  opt-simple-matcher-in-doubt-deny-extra Reject (Match (Extra -)) = MatchAny |
  opt-simple-matcher-in-doubt-deny-extra Drop (Match (Extra -)) = MatchAny |
  opt-simple-matcher-in-doubt-deny-extra - (Match m) = Match m |
  opt-simple-matcher-in-doubt-deny-extra Reject (MatchNot (Match (Extra -))) =
MatchAny |
  opt-simple-matcher-in-doubt-deny-extra Drop (MatchNot (Match (Extra -))) =
MatchAny |
  opt-simple-matcher-in-doubt-deny-extra Accept (MatchNot (Match (Extra -))) =
MatchNot MatchAny |
  opt-simple-matcher-in-doubt-deny-extra a (MatchNot (MatchNot m)) = opt-simple-matcher-in-doubt-deny-extra
a m |

  opt-simple-matcher-in-doubt-deny-extra a (MatchNot (MatchAnd m1 m2)) =
  (if (opt-simple-matcher-in-doubt-deny-extra a (MatchNot m1)) = MatchAny  $\vee$ 
  (opt-simple-matcher-in-doubt-deny-extra a (MatchNot m2)) = MatchAny
  then MatchAny else
  (if (opt-simple-matcher-in-doubt-deny-extra a (MatchNot m1)) = MatchNot
MatchAny then
    opt-simple-matcher-in-doubt-deny-extra a (MatchNot m2) else
    if (opt-simple-matcher-in-doubt-deny-extra a (MatchNot m2)) = MatchNot
MatchAny then
      opt-simple-matcher-in-doubt-deny-extra a (MatchNot m1) else
      MatchNot (MatchAnd m1 m2))
  ) |
  opt-simple-matcher-in-doubt-deny-extra - (MatchNot m) = MatchNot m |
  opt-simple-matcher-in-doubt-deny-extra a (MatchAnd m1 m2) = MatchAnd (opt-simple-matcher-in-doubt-deny-extra
a m1) (opt-simple-matcher-in-doubt-deny-extra a m2)

lemma opt-simple-matcher-in-doubt-deny-extra-correct-matchexpr: matches (simple-matcher,
in-doubt-deny) (opt-simple-matcher-in-doubt-deny-extra a m) a = matches (simple-matcher,
in-doubt-deny) m a
  apply(simp add: fun-eq-iff, clarify)
  apply(rename-tac p)
  apply(induction a m rule: opt-simple-matcher-in-doubt-deny-extra.induct)
    apply(simp-all add: bunch-of-lemmata-about-matches matches-DeMorgan)
    apply(simp-all add: matches-case-ternaryvalue-tuple)

  apply safe
  apply(simp-all)
done

corollary opt-simple-matcher-in-doubt-deny-extra-correct: approximating-bigstep-fun

```

```

(simple-matcher, in-doubt-deny) p (optimize-matches-a opt-simple-matcher-in-doubt-deny-extra
rs) s = approximating-bigstep-fun (simple-matcher, in-doubt-deny) p rs s
using optimize-matches-a opt-simple-matcher-in-doubt-deny-extra-correct-matchexpr
by metis

```

Lemmas when matching on *Src* or *Dst*

```

lemma simple-matcher-SrcDst-defined: simple-matcher (Src m) p ≠ TernaryUnknown
simple-matcher (Dst m) p ≠ TernaryUnknown
  apply(case-tac [!] m)
  apply(simp-all add: bool-to-ternary-Unknown)
  done
lemma simple-matcher-SrcDst-defined-simp:
  simple-matcher (Src x) p ≠ TernaryFalse ↔ simple-matcher (Src x) p =
TernaryTrue
  simple-matcher (Dst x) p ≠ TernaryFalse ↔ simple-matcher (Dst x) p =
TernaryTrue
apply (metis eval-ternary-Not.cases simple-matcher-SrcDst-defined(1) ternaryvalue.distinct(1))
apply (metis eval-ternary-Not.cases simple-matcher-SrcDst-defined(2) ternaryvalue.distinct(1))
done
lemma match-simplematcher-SrcDst:
  matches (simple-matcher,  $\alpha$ ) (Match (Src X)) a p ↔ src-ip p ∈ ipv4s-to-set
X
  matches (simple-matcher,  $\alpha$ ) (Match (Dst X)) a p ↔ dst-ip p ∈ ipv4s-to-set
X
  apply(simp-all add: matches-case-ternaryvalue-tuple split: ternaryvalue.split)
  apply(simp-all add: simple-matcher-SrcDst-defined)
  apply(case-tac [!] X)
  apply(simp-all add: bool-to-ternary-simps)
  done
lemma match-simplematcher-SrcDst-not:
  matches (simple-matcher,  $\alpha$ ) (MatchNot (Match (Src X))) a p ↔ src-ip p ∉
ipv4s-to-set X
  matches (simple-matcher,  $\alpha$ ) (MatchNot (Match (Dst X))) a p ↔ dst-ip p ∉
ipv4s-to-set X
  apply(simp-all add: matches-case-ternaryvalue-tuple split: ternaryvalue.split)
  apply(case-tac [!] X)
  apply(simp-all add: bool-to-ternary-simps)
  done
lemma simple-matcher-SrcDst-Inter:
  ( $\forall m \in \text{set } X. \text{ matches } (\text{simple-matcher}, \alpha) (\text{Match } (\text{Src } m)) a p$ ) ↔ src-ip p ∈
( $\bigcap x \in \text{set } X. \text{ ipv4s-to-set } x$ )
  ( $\forall m \in \text{set } X. \text{ matches } (\text{simple-matcher}, \alpha) (\text{Match } (\text{Dst } m)) a p$ ) ↔ dst-ip p ∈
( $\bigcap x \in \text{set } X. \text{ ipv4s-to-set } x$ )
  apply(simp-all)
  apply(simp-all add: matches-case-ternaryvalue-tuple split: ternaryvalue.split)
  apply(simp-all add: simple-matcher-SrcDst-defined simple-matcher-SrcDst-defined-simp)
  apply(rule iffI)
  apply(clarify)
  apply(erule-tac x=x and A=set X in ballE)

```

```

    apply(case-tac x)
    apply(simp-all add: bool-to-ternary-simps)
  apply(clarify)
  apply(erule-tac x=m and A=set X in ballE)
  apply(case-tac m)
  apply(simp)
  apply(simp)
  apply(simp) apply(rule iffI)
  apply(clarify)
  apply(erule-tac x=x and A=set X in ballE)
  apply(case-tac x)
  apply(simp-all add: bool-to-ternary-simps)
  apply(clarify)
  apply(erule-tac x=m and A=set X in ballE)
  apply(case-tac m)
  apply(simp)
  apply(simp)
  apply(simp)
done

```

```

end
theory IPspace-Format-Ln
imports Format-Ln IPspace-Matcher
begin

```

## 17.2 Formatting

```

lemma ( $\bigcap x \in \text{set } X. \text{ipv4s-to-set } x = \{\}$ )  $\implies \neg (\forall m \in \text{set } X. \text{matches } (\text{simple-matcher}, \alpha) (\text{Match } (\text{Src } m)) \text{ a } p)$ 
  using simple-matcher-SrcDst-Inter by blast

```

```

lemma compress-pos-ips-src-None-matching: compress-pos-ips src' = None  $\implies$ 
   $\neg \text{Ln-uncompressed-matching } (\text{simple-matcher}, \alpha) \text{ a } p (\text{UncompressedFormattedMatch } (\text{map Pos src'}) \text{ dst proto extra})$ 
  apply(simp add: compress-pos-ips-None)
  apply(unfold Ln-uncompressed-matching.simps)
  apply safe
  apply(thin-tac nt-match-list (simple-matcher,  $\alpha$ ) a p (NegPos-map Dst dst))
  apply(thin-tac nt-match-list (simple-matcher,  $\alpha$ ) a p (NegPos-map Prot proto))
  apply(thin-tac nt-match-list (simple-matcher,  $\alpha$ ) a p (NegPos-map Extra extra))
  apply(simp add: nt-match-list-simp)
  apply(simp add: getPos-NegPos-map-simp)
  using simple-matcher-SrcDst-Inter by blast
lemma compress-pos-ips-dst-None-matching: compress-pos-ips dst = None  $\implies$ 
   $\neg \text{Ln-uncompressed-matching } (\text{simple-matcher}, \alpha) \text{ a } p (\text{UncompressedFormattedMatch } \text{src } (\text{map Pos dst}) \text{ proto extra})$ 
  apply(simp add: compress-pos-ips-None)

```

```

apply(unfold Ln-uncompressed-matching.simps)
apply safe
apply(thin-tac nt-match-list (simple-matcher, α) a p (NegPos-map Src ?x))
apply(thin-tac nt-match-list (simple-matcher, α) a p (NegPos-map Prot proto))
apply(thin-tac nt-match-list (simple-matcher, α) a p (NegPos-map Extra extra))
apply(simp add: nt-match-list-simp)
apply(simp add: getPos-NegPos-map-simp)
using simple-matcher-SrcDst-Inter by blast

```

**lemma** *compress-pos-ips-src-Some-matching: compress-pos-ips src' = Some X  $\implies$*

```

matches (simple-matcher, α) (srclist-and [Pos X]) a p  $\longleftrightarrow$ 
matches (simple-matcher, α) (srclist-and (map Pos src')) a p
apply(drule compress-pos-ips-Some)
apply(simp only: list-and-simps1 nt-match-list-matches[symmetric])
apply safe
apply(simp add: nt-match-list-simp)
apply(simp add: getPos-NegPos-map-simp)
apply(rule conjI)
apply(simp add: simple-matcher-SrcDst-Inter)
apply(simp add: match-simplematcher-SrcDst)
apply(simp add: getNeg-Pos-empty)
apply(simp add: match-simplematcher-SrcDst)
apply(simp add: nt-match-list-simp)
apply(simp add: getPos-NegPos-map-simp)
apply(simp add: simple-matcher-SrcDst-Inter)
done

```

**lemma** *compress-pos-ips-dst-Some-matching: compress-pos-ips dst' = Some X  $\implies$*

```

matches (simple-matcher, α) (dstlist-and [Pos X]) a p  $\longleftrightarrow$ 
matches (simple-matcher, α) (dstlist-and (map Pos dst')) a p
apply(drule compress-pos-ips-Some)
apply(simp only: list-and-simps2 nt-match-list-matches[symmetric])
apply safe
apply(simp add: nt-match-list-simp)
apply(simp add: getPos-NegPos-map-simp)
apply(rule conjI)
apply(simp add: simple-matcher-SrcDst-Inter)
apply(simp add: match-simplematcher-SrcDst)
apply(simp add: getNeg-Pos-empty)
apply(simp add: match-simplematcher-SrcDst)
apply(simp add: nt-match-list-simp)
apply(simp add: getPos-NegPos-map-simp)
apply(simp add: simple-matcher-SrcDst-Inter)
done

```



```

fun compress-ips :: ipt-ipv4range negation-type list  $\Rightarrow$  ipt-ipv4range negation-type
list option where
  compress-ips l = (if (getPos l) = [] then Some l (*fix not to introduce (Ip4AddrNetmask
(0,0,0,0) 0), only return the negative list*)
    else
      (case compress-pos-ips (getPos l)
        of None  $\Rightarrow$  None
         | Some ip  $\Rightarrow$ 
            if ipv4range-empty (ipv4range-setminus (ipv4range-set-from-bitmask-to-executable-ipv4range
ip) (collect-to-range (getNeg l)))
              (*  $\bigcap pos - \bigcup neg = \{\}$ *)
              then
                None
              else Some (Pos ip # map Neg (getNeg l))
            ))

```

**export-code** compress-ips in SML

```

lemma ipv4range-set-from-bitmask-to-executable-ipv4range:
  ipv4range-to-set (ipv4range-set-from-bitmask-to-executable-ipv4range a) = ipv4s-to-set
a
apply(case-tac a)
apply(simp-all)
apply(simp add: ipv4range-set-from-bitmask-alt)
done

```

```

lemma ipv4range-to-set-collect-to-range: ipv4range-to-set (collect-to-range ips) =
( $\bigcup x \in \text{set } ips. \text{ipv4s-to-set } x$ )
apply(induction ips)
apply(simp)
apply(simp add: ipv4range-set-from-bitmask-to-executable-ipv4range)
done

```

```

lemma compress-ips-None: getPos ips  $\neq [] \Rightarrow$  compress-ips ips = None  $\longleftrightarrow$  ( $\bigcap$ 
(ipv4s-to-set ' set (getPos ips))) - ( $\bigcup$  (ipv4s-to-set ' set (getNeg ips))) = {}
apply(simp only: compress-ips.simps split: split-if)
apply(intro conjI impI)
apply(simp)

apply(simp split: option.split)
apply(intro conjI impI allI)
apply(simp add: compress-pos-ips-None)
apply(rename-tac a)
apply(frule compress-pos-ips-Some)
apply(case-tac a)
apply(simp add: ipv4range-to-set-collect-to-range)

```

```

  apply(simp add: ipv4range-set-from-bitmask-alt)
  apply(simp add: ipv4range-to-set-collect-to-range)
  apply(frule compress-pos-ips-Some)
  apply(rename-tac a)
  apply(case-tac a)
  apply(simp add: ipv4range-to-set-collect-to-range)
  apply(simp add: ipv4range-set-from-bitmask-alt)
  apply(simp add: ipv4range-to-set-collect-to-range)
done

```

```

lemma compress-ips-emptyPos: getPos ips = []  $\implies$  compress-ips ips = Some ips
 $\wedge$  ips = map Neg (getNeg ips)
  apply(simp only: compress-ips.simps split: split-if)
  apply(intro conjI impI)
  apply(simp-all)
  apply(induction ips)
  apply(simp-all)
  apply(case-tac a)
  apply(simp-all)
done

```

```

lemma Ln-uncompressed-matching-src-dst-subset: set (src')  $\subseteq$  set (src)  $\implies$ 
  Ln-uncompressed-matching (simple-matcher,  $\alpha$ ) a p (UncompressedFormattedMatch
src dst proto extra)  $\implies$ 
  Ln-uncompressed-matching (simple-matcher,  $\alpha$ ) a p (UncompressedFormattedMatch
src' dst proto extra)
  set (dst')  $\subseteq$  set (dst)  $\implies$ 
  Ln-uncompressed-matching (simple-matcher,  $\alpha$ ) a p (UncompressedFormattedMatch
src dst proto extra)  $\implies$ 
  Ln-uncompressed-matching (simple-matcher,  $\alpha$ ) a p (UncompressedFormattedMatch
src dst' proto extra)
  apply(simp-all only: Ln-uncompressed-matching.simps nt-match-list-matches)
  apply(safe)
  apply(thin-tac matches (simple-matcher,  $\alpha$ ) (alist-and (NegPos-map Dst ?x)) a
p)
  apply(thin-tac matches (simple-matcher,  $\alpha$ ) (alist-and (NegPos-map Prot ?x))
a p)
  apply(thin-tac matches (simple-matcher,  $\alpha$ ) (alist-and (NegPos-map Extra ?x))
a p)
  prefer 2
  apply(thin-tac matches (simple-matcher,  $\alpha$ ) (alist-and (NegPos-map Src ?x)) a
p)
  apply(thin-tac matches (simple-matcher,  $\alpha$ ) (alist-and (NegPos-map Prot ?x))
a p)

```

```

  apply(thin-tac matches (simple-matcher,  $\alpha$ ) (alist-and (NegPos-map Extra ?x))
a p)
  prefer 2
  apply(simp-all add: matches-alist-and)
  apply(simp-all add: NegPos-map-simps)
  apply(simp-all add: match-simplmatcher-SrcDst match-simplmatcher-SrcDst-not)
  apply(clarify)
  apply(simp-all add: NegPos-set)
  apply blast
  apply(clarify)
  apply(blast)
done

```

```

lemma compress-ips-src-None-matching: compress-ips src = None  $\implies \neg$  Ln-uncompressed-matching
(simple-matcher,  $\alpha$ ) a p (UncompressedFormattedMatch src dst proto extra)
  apply(case-tac getPos src = [])
  apply(simp)
  apply(simp split: option.split-asm)
  apply(drule-tac  $\alpha=\alpha$  and a=a and p=p and dst=dst and proto=proto and
extra=extra in compress-pos-ips-src-None-matching)
  apply(thin-tac getPos src  $\neq$  [])
  apply(erule HOL.rev-notE)
  apply(simp)
  apply(rule-tac src'=(map Pos (getPos src)) and src=src in Ln-uncompressed-matching-src-dst-subset(1))
  prefer 2 apply simp
  apply(simp)
  apply(simp add: NegPos-set)
  apply(simp split: split-if-asm)
  apply(drule compress-pos-ips-Some)
  apply(simp add: ipv4range-to-set-collect-to-range ipv4range-set-from-bitmask-to-executable-ipv4range)
  apply(simp add: Ln-uncompressed-matching.simps nt-match-list-matches)
  apply(clarify)
  apply(thin-tac matches (simple-matcher,  $\alpha$ ) (alist-and (NegPos-map Dst ?x)) a
p)
  apply(thin-tac matches (simple-matcher,  $\alpha$ ) (alist-and (NegPos-map Prot ?x))
a p)
  apply(thin-tac matches (simple-matcher,  $\alpha$ ) (alist-and (NegPos-map Extra ?x))
a p)
  apply(simp add: matches-alist-and)
  apply(simp add: NegPos-map-simps)
  apply(simp add: match-simplmatcher-SrcDst match-simplmatcher-SrcDst-not)
  apply(clarify)
by (metis (erased, hide-lams) INT-iff UN-iff subsetCE)
lemma compress-ips-dst-None-matching: compress-ips dst = None  $\implies \neg$  Ln-uncompressed-matching
(simple-matcher,  $\alpha$ ) a p (UncompressedFormattedMatch src dst proto extra)
  apply(case-tac getPos dst = [])
  apply(simp)
  apply(simp split: option.split-asm)

```

**apply**(*drule-tac*  $\alpha=\alpha$  **and**  $a=a$  **and**  $p=p$  **and**  $src=src$  **and**  $proto=proto$  **and**  $extra=extra$  **in** *compress-pos-ips-dst-None-matching*)  
**apply**(*thin-tac*  $getPos\ dst \neq []$ )  
**apply**(*erule* *HOL.rev-notE*)  
**apply**(*simp*)  
**apply**(*rule-tac*  $dst'=(map\ Pos\ (getPos\ dst))$  **and**  $dst=dst$  **in** *Ln-uncompressed-matching-src-dst-subset(2)*)  
**prefer** 2 **apply** *simp*  
**apply**(*simp*)  
**apply**(*simp* *add: NegPos-set*)  
**apply**(*simp* *split: split-if-asm*)  
**apply**(*drule* *compress-pos-ips-Some*)  
**apply**(*simp* *add: ipv4range-to-set-collect-to-range ipv4range-set-from-bitmask-to-executable-ipv4range*)  
**apply**(*simp* *add: Ln-uncompressed-matching.simps nt-match-list-matches*)  
**apply**(*clarify*)  
**apply**(*thin-tac* *matches* (*simple-matcher*,  $\alpha$ ) (*alist-and* (*NegPos-map Src ?x*))  $a$   
 $p$ )  
**apply**(*thin-tac* *matches* (*simple-matcher*,  $\alpha$ ) (*alist-and* (*NegPos-map Prot ?x*))  
 $a\ p$ )  
**apply**(*thin-tac* *matches* (*simple-matcher*,  $\alpha$ ) (*alist-and* (*NegPos-map Extra ?x*))  
 $a\ p$ )  
**apply**(*simp* *add: matches-alist-and*)  
**apply**(*simp* *add: NegPos-map-simps*)  
**apply**(*simp* *add: match-simplematcher-SrcDst match-simplematcher-SrcDst-not*)  
**apply**(*clarify*)  
**by** (*metis* (*erased*, *hide-lams*) *INT-iff UN-iff subsetCE*)

**lemma** *Ln-uncompressed-matching-src-eq: matches* (*simple-matcher*,  $\alpha$ ) (*srclist-and*  $X$ )  $a\ p \longleftrightarrow matches$  (*simple-matcher*,  $\alpha$ ) (*srclist-and*  $Y$ )  $a\ p \implies$   
 $Ln-uncompressed-matching$  (*simple-matcher*,  $\alpha$ )  $a\ p$  (*UncompressedFormattedMatch*  $X\ dst\ proto\ extra$ )  $\longleftrightarrow$   
 $Ln-uncompressed-matching$  (*simple-matcher*,  $\alpha$ )  $a\ p$  (*UncompressedFormattedMatch*  $Y\ dst\ proto\ extra$ )  
**apply**(*simp* *add: Ln-uncompressed-matching*)  
**by** (*metis* *matches-simp11 matches-simp22*)

**lemma** *Ln-uncompressed-matching-src-dst-eq: matches* (*simple-matcher*,  $\alpha$ ) (*srclist-and*  $X$ )  $a\ p \longleftrightarrow matches$  (*simple-matcher*,  $\alpha$ ) (*srclist-and*  $Y$ )  $a\ p \implies$   
 $matches$  (*simple-matcher*,  $\alpha$ ) (*dstlist-and*  $A$ )  $a\ p \longleftrightarrow matches$  (*simple-matcher*,  
 $\alpha$ ) (*dstlist-and*  $B$ )  $a\ p \implies$   
 $Ln-uncompressed-matching$  (*simple-matcher*,  $\alpha$ )  $a\ p$  (*UncompressedFormattedMatch*  $X\ A\ proto\ extra$ )  $\longleftrightarrow$   
 $Ln-uncompressed-matching$  (*simple-matcher*,  $\alpha$ )  $a\ p$  (*UncompressedFormattedMatch*  $Y\ B\ proto\ extra$ )  
**apply**(*simp* *add: Ln-uncompressed-matching*)  
**by** (*metis* *matches-simp11 matches-simp22*)

```

lemma matches-and-x-any: matches  $\gamma$  (MatchAnd (Match x) MatchAny) a p =
matches  $\gamma$  (Match x) a p
  apply(case-tac  $\gamma$ )
  by(simp add: matches-case-ternaryvalue-tuple split: ternaryvalue.split)

lemma compress-ips-src-Some-matching: compress-ips src = Some X  $\implies$ 
  matches (simple-matcher,  $\alpha$ ) (srclist-and X) a p  $\longleftrightarrow$  matches (simple-matcher,
 $\alpha$ ) (srclist-and src) a p
  apply(case-tac getPos src = [])
  apply(simp)
  apply(simp)
  apply(simp split: option.split-asm split-if-asm)
  apply(simp add: ipv4range-set-from-bitmask-to-executable-ipv4range ipv4range-to-set-collect-to-range)
  apply(drule-tac  $\alpha=\alpha$  and a=a and p=p in compress-pos-ips-src-Some-matching)
  apply(simp add: matches-and-x-any)
  apply(simp add: list-and-simps1 matches-alist-and NegPos-map-simps match-simplematcher-SrcDst
match-simplematcher-SrcDst-not)
  apply(safe)
  apply(simp-all add: NegPos-map-simps)
  done

lemma compress-ips-dst-Some-matching: compress-ips dst = Some X  $\implies$ 
  matches (simple-matcher,  $\alpha$ ) (dstlist-and X) a p  $\longleftrightarrow$  matches (simple-matcher,
 $\alpha$ ) (dstlist-and dst) a p
  apply(case-tac getPos dst = [])
  apply(simp)
  apply(simp)
  apply(simp split: option.split-asm split-if-asm)
  apply(simp add: ipv4range-set-from-bitmask-to-executable-ipv4range ipv4range-to-set-collect-to-range)
  apply(drule-tac  $\alpha=\alpha$  and a=a and p=p in compress-pos-ips-dst-Some-matching)
  apply(simp add: matches-and-x-any)
  apply(simp add: list-and-simps2 matches-alist-and NegPos-map-simps match-simplematcher-SrcDst
match-simplematcher-SrcDst-not)
  apply(safe)
  apply(simp-all add: NegPos-map-simps)
  done

fun compress-Ln-ips :: (iptrule-match-Ln-uncompressed  $\times$  action) list  $\Rightarrow$  (iptrule-match-Ln-uncompressed
 $\times$  action) list where
  compress-Ln-ips [] = [] |
  compress-Ln-ips (((UncompressedFormattedMatch src dst proto extra), a)#rs) =
    (case (compress-ips src, compress-ips dst) of
      (None, -)  $\Rightarrow$  compress-Ln-ips rs
    | (-, None)  $\Rightarrow$  compress-Ln-ips rs
    | (Some src', Some dst')  $\Rightarrow$  (UncompressedFormattedMatch src' dst' proto extra,
a)#(compress-Ln-ips rs)
    )

export-code compress-Ln-ips in SML

```

**fun** *compress-ports* :: *ipt-protocol negation-type list*  $\Rightarrow$  *ipt-protocol negation-type option* **where**

```

  compress-ports [] = Some (Pos ProtAll) |
  compress-ports ((Pos ProtAll)#ps) = compress-ports ps |
  compress-ports ((Neg ProtAll)#-) = None |
  compress-ports ( p # Pos ProtAll # ps) = compress-ports (p#ps)|
  compress-ports ( - # Neg ProtAll # -) = None |
  compress-ports ( Pos ProtTCP # Pos ProtUDP # -) = None|
  compress-ports ( Pos ProtUDP # Pos ProtTCP # -) = None

```

**lemma** *approximating-bigstep-fun-Ln-rules-to-rule-step-simultaneously*:

*approximating-bigstep-fun (simple-matcher,  $\alpha$ ) p (Ln-rules-to-rule (rs1)) Undecided* = *approximating-bigstep-fun (simple-matcher,  $\alpha$ ) p (Ln-rules-to-rule (rs2)) Undecided*  $\implies$

*matches (simple-matcher,  $\alpha$ ) (UncompressedFormattedMatch-to-match-expr r1) a*  $\longleftrightarrow$  *matches (simple-matcher,  $\alpha$ ) (UncompressedFormattedMatch-to-match-expr r2) a*  $p$

$\implies$

*approximating-bigstep-fun (simple-matcher,  $\alpha$ ) p (Ln-rules-to-rule ((r1, a)#rs1)) Undecided* =

*approximating-bigstep-fun (simple-matcher,  $\alpha$ ) p (Ln-rules-to-rule ((r2, a)#rs2)) Undecided*

**by**(*simp add: Ln-rules-to-rule-head split: action.split*)

**theorem** *compress-Ln-ips-correctness*: *approximating-bigstep-fun (simple-matcher,  $\alpha$ ) p (Ln-rules-to-rule (compress-Ln-ips rs1)) s* =

*approximating-bigstep-fun (simple-matcher,  $\alpha$ ) p (Ln-rules-to-rule rs1) s*

**apply**(*case-tac s*)

**prefer** 2

**apply**(*simp add: Decision-approximating-bigstep-fun*)

**apply**(*clarify, thin-tac s = Undecided*)

**apply**(*induction rs1*)

**apply**(*simp*)

**apply**(*rename-tac r rs*)

**apply**(*case-tac r, simp*)

**apply**(*rename-tac m action*)

**apply**(*case-tac m*)

**apply**(*rename-tac src dst proto extra*)

**apply**(*simp only:compress-Ln-ips.simps*)

**apply**(*simp del: compress-ips.simps split: option.split*)

**apply**(*safe*)

**apply**(*drule-tac  $\alpha=\alpha$  and  $p=p$  and  $proto=proto$  and  $extra=extra$  and  $dst=dst$  and  $a=action$  in compress-ips-src-None-matching*)

**apply**(*simp add: Ln-rules-to-rule-head Ln-uncompressed-matching*)

**apply**(*drule-tac  $\alpha=\alpha$  and  $p=p$  and  $proto=proto$  and  $extra=extra$  and  $src=src$* )

```

and  $a = \text{action}$  in compress-ips-dst-None-matching)
  apply(simp add: Ln-rules-to-rule-head Ln-uncompressed-matching)
apply(simp del: compress-ips.simps)
apply(drule-tac  $\alpha = \alpha$  and  $p = p$  and  $a = \text{action}$  in compress-ips-dst-Some-matching)

apply(drule-tac  $\alpha = \alpha$  and  $p = p$  and  $a = \text{action}$  in compress-ips-src-Some-matching)
apply(rule approximating-bigstep-fun-Ln-rules-to-rule-step-simultaneously, simp)
apply(rule Ln-uncompressed-matching-src-dst-eq[simplified Ln-uncompressed-matching])
apply(simp-all)
done

fun does-I-has-compressed-rules :: (iptrule-match-Ln-uncompressed  $\times$  action) list
 $\Rightarrow$  (iptrule-match-Ln-uncompressed  $\times$  action) list where
  does-I-has-compressed-rules [] = [] |
  does-I-has-compressed-rules (((UncompressedFormattedMatch [src] [dst] proto []),
  a)#rs) =
    does-I-has-compressed-rules rs |
  does-I-has-compressed-rules (((UncompressedFormattedMatch [] [dst] proto []),
  a)#rs) =
    does-I-has-compressed-rules rs |
  does-I-has-compressed-rules (((UncompressedFormattedMatch [src] [] proto []),
  a)#rs) =
    does-I-has-compressed-rules rs |
  does-I-has-compressed-rules (((UncompressedFormattedMatch [] [] proto []), a)#rs)
  =
    does-I-has-compressed-rules rs |
  does-I-has-compressed-rules (r#rs) =
    r # does-I-has-compressed-rules rs

fun does-I-has-compressed-prots :: (iptrule-match-Ln-uncompressed  $\times$  action) list
 $\Rightarrow$  (iptrule-match-Ln-uncompressed  $\times$  action) list where
  does-I-has-compressed-prots [] = [] |
  does-I-has-compressed-prots (((UncompressedFormattedMatch src dst [] []), a)#rs)
  =
    does-I-has-compressed-prots rs |
  does-I-has-compressed-prots (((UncompressedFormattedMatch src dst [proto] []),
  a)#rs) =
    does-I-has-compressed-prots rs |
  does-I-has-compressed-prots (r#rs) =
    r # does-I-has-compressed-prots rs

end
theory Analyze-TUM-Net-Firewall
imports Main ../.. / Primitive-Matchers / IPSpace-Format-Ln ../.. / Call-Return-Unfolding
../.. / Optimizing
~~ / src / HOL / Library / Code-Target-Nat
~~ / src / HOL / Library / Code-Target-Int

```

~~/src/HOL/Library/Code-Char  
begin

## 18 Example: Chair for Network Architectures and Services (TUM)

**definition** *unfold-ruleset-FORWARD* :: *iptrule-match ruleset*  $\Rightarrow$  *iptrule-match rule list* **where**

*unfold-ruleset-FORWARD* *rs* = ((*optimize-matches* *opt-MatchAny-match-expr*)  $^{\wedge}10$ )

(*optimize-matches* *opt-simple-matcher* (*rw-Reject* (*rm-LogEmpty* (((*process-call* *rs*)  $^{\wedge}5$ ) [*Rule MatchAny* (*Call "FORWARD"*)])]))))

**definition** *map-of-string* :: (*string*  $\times$  *iptrule-match rule list*) *list*  $\Rightarrow$  *string*  $\rightarrow$  *iptrule-match rule list* **where**

*map-of-string* *rs* = *map-of* *rs*

**definition** *upper-closure* :: *iptrule-match rule list*  $\Rightarrow$  *iptrule-match rule list* **where**

*upper-closure* *rs* == *rmMatchFalse* (((*optimize-matches* *opt-MatchAny-match-expr*)  $^{\wedge}2000$ ) (*optimize-matches-a* *opt-simple-matcher-in-doubt-allow-extra* *rs*))

**definition** *lower-closure* :: *iptrule-match rule list*  $\Rightarrow$  *iptrule-match rule list* **where**

*lower-closure* *rs* == *rmMatchFalse* (((*optimize-matches* *opt-MatchAny-match-expr*)  $^{\wedge}2000$ ) (*optimize-matches-a* *opt-simple-matcher-in-doubt-deny-extra* *rs*))

**definition** *bitmask-to-strange-inverse-cisco-mask*:: *nat*  $\Rightarrow$  (*nat*  $\times$  *nat*  $\times$  *nat*  $\times$  *nat*) **where**

*bitmask-to-strange-inverse-cisco-mask* *n*  $\equiv$  *dotteddecimal-of-ipv4addr* ( (*NOT* (((*mask* *n*::*ipv4addr*)  $<<$  (*32* - *n*))) ) )

**lemma** *bitmask-to-strange-inverse-cisco-mask* 16 = (0, 0, 255, 255) **by** *eval*

**lemma** *bitmask-to-strange-inverse-cisco-mask* 24 = (0, 0, 0, 255) **by** *eval*

**lemma** *bitmask-to-strange-inverse-cisco-mask* 8 = (0, 255, 255, 255) **by** *eval*

**lemma** *bitmask-to-strange-inverse-cisco-mask* 32 = (0, 0, 0, 0) **by** *eval*

**export-code** *unfold-ruleset-FORWARD* *map-of-string* *upper-closure* *lower-closure*  
*format-Ln-rules-uncompressed* *compress-Ln-ips* *does-I-has-compressed-rules*

*Rule*

*Accept Drop Log Reject Call Return Empty Unknown*

*Match MatchNot MatchAnd MatchAny*

*Ip4Addr Ip4AddrNetmask*

*ProtAll ProtTCP ProtUDP*

*Src Dst Prot Extra*



```

nat-of-integer integer-of-nat
UncompressedFormattedMatch Pos Neg
does-I-has-compressed-prots
bitmask-to-strange-inverse-cisco-mask
in SML module-name Test file unfold-code.ML

```

**ML-file** *unfold-code.ML*

**ML-file** *iptables-Ln-29.11.2013.ML*

```

ML⟨⟨
open Test;
⟩⟩
declare[[ML-print-depth=50]]
ML⟨⟨
val rules = unfold-ruleset-FORWARD (map-of-string firewall-chains)
⟩⟩
ML⟨⟨
length rules;
val upper = upper-closure rules;
length upper;⟩⟩
ML⟨⟨
val lower = lower-closure rules;
length lower;⟩⟩

```

How long does the unfolding take?

```

ML-val⟨⟨
val t0 = Time.now();
val - = unfold-ruleset-FORWARD (map-of-string firewall-chains);
val t1 = Time.now();
writeln(String.concat [It took , Time.toString(Time.-(t1,t0)), seconds])
⟩⟩

```

on my system, less than 1 second.

Time required for calculating both closures

```

ML-val⟨⟨
val t0 = Time.now();
val - = upper-closure rules;
val - = lower-closure rules;
val t1 = Time.now();

```

```
writeln(String.concat [It took , Time.toString(Time.-(t1,t0)), seconds])
>>
```

on my system, less than five seconds.

```
ML⟨⟨
fun dump-dotteddecimal-ip (a,(b,(c,d))) = ^ Int.toString (integer-of-nat a) ^ . ^ Int.toString
(integer-of-nat b) ^ . ^ Int.toString (integer-of-nat c) ^ . ^ Int.toString (integer-of-nat
d);
```

```
fun dump-ip (Ip4Addr ip) = (dump-dotteddecimal-ip ip) ^ / 32
  | dump-ip (Ip4AddrNetmask (ip, nm)) = (dump-dotteddecimal-ip ip) ^ . ^ Int.toString
(integer-of-nat nm);
```

```
fun dump-prot ProtAll = all
  | dump-prot ProtTCP = tcp
  | dump-prot ProtUDP = udp;
```

```
fun dump-protos [] = all
  | dump-protos [Pos p] = dump-prot p
  | dump-protos [Neg p] = ! ^ dump-prot p;
(*undefined otherwise*)
```

```
fun dump-extra [] = ;
```

```
fun dump-action Accept = ACCEPT
  | dump-action Drop = DROP
  | dump-action Log = LOG
  | dump-action Reject = REJECT
;
```

```
local
  fun dump-ip-list-hlp [] =
    | dump-ip-list-hlp ((Pos ip)::ips) = ((dump-ip ip) ^ dump-ip-list-hlp ips)
    | dump-ip-list-hlp ((Neg ip)::ips) = (! ^ (dump-ip ip) ^ dump-ip-list-hlp ips)
in
  fun dump-ip-list [] = 0.0.0.0/0
    | dump-ip-list rs = dump-ip-list-hlp rs
end;
```

```
fun dump-iptables [] = ()
  | dump-iptables ((UncompressedFormattedMatch (src, dst, proto, extra), a) :: rs)
=
  (writeln (dump-action a ^
    ^ dump-protos proto ^ -- ^
    ^ dump-ip-list src ^
    ^ dump-ip-list dst ^
    ^ dump-extra extra); dump-iptables rs);
```

```

fun dump-iptables-save [] = ()
  | dump-iptables-save ((UncompressedFormattedMatch (src, dst, proto, []), a) ::
rs) =
  (writeln (-A FORWARD ^
    (if List.length src = 1 then -s ^ dump-ip-list src ^ else if List.length
src > 1 then ERROR else ) ^
    (if List.length dst = 1 then -d ^ dump-ip-list dst ^ else if List.length
dst > 1 then ERROR else ) ^
    (if List.length proto = 1 then -p ^ dump-protos proto ^ else if
List.length proto > 1 then ERROR else ) ^
    ^ -j ^ dump-action a); dump-iptables-save rs);
  >>

```

```

ML-val<<
length (format-Ln-rules-uncompressed upper);
(format-Ln-rules-uncompressed upper);
>>
ML-val<<
(compress-Ln-ips (format-Ln-rules-uncompressed upper));
>>
ML-val<<
length (does-I-has-compressed-rules (compress-Ln-ips (format-Ln-rules-uncompressed
upper))));
does-I-has-compressed-rules (compress-Ln-ips (format-Ln-rules-uncompressed up-
per));
>>
ML-val<<
does-I-has-compressed-protos (compress-Ln-ips (format-Ln-rules-uncompressed up-
per));
>>

```

iptables -L -n

```

ML-val<<
writeln Chain INPUT (policy ACCEPT);
writeln target    prot opt source          destination;
writeln ;
writeln Chain FORWARD (policy ACCEPT);
writeln target    prot opt source          destination;
dump-iptables (compress-Ln-ips (format-Ln-rules-uncompressed upper));

writeln Chain OUTPUT (policy ACCEPT);
writeln target    prot opt source          destination
>>

```

iptables -L -n

```

ML-val<<
writeln Chain INPUT (policy ACCEPT);
writeln target    prot opt source          destination;
writeln ;

```

```
writeln Chain FORWARD (policy ACCEPT);
writeln target    prot opt source          destination;
dump-iptables (compress-Ln-ips (format-Ln-rules-uncompressed lower));

writeln Chain OUTPUT (policy ACCEPT);
writeln target    prot opt source          destination
>>
```

iptables-save

```
ML-val<<
writeln # Generated by iptables-save v1.4.21 on Wed Sep  3 18:02:01 2014;
writeln *filter;
writeln :INPUT ACCEPT [0:0];
writeln :FORWARD ACCEPT [0:0];
writeln :OUTPUT ACCEPT [0:0];
dump-iptables-save (compress-Ln-ips (format-Ln-rules-uncompressed upper));
writeln COMMIT;
writeln # Completed on Wed Sep  3 18:02:01 2014;
>>
```

Cisco

```
ML<<
fun dump-action-cisco Accept = permit
  | dump-action-cisco Drop = deny
;
```

```
fun dump-prot-cisco [] = ip
  | dump-prot-cisco [Pos ProtAll] = ip
  | dump-prot-cisco [Pos ProtTCP] = tcp
  | dump-prot-cisco [Pos ProtUDP] = udp;
```

local

```
fun dump-ip-cisco (Ip4Addr ip) = host ^ (dump-dotteddecimal-ip ip)
  | dump-ip-cisco (Ip4AddrNetmask (ip, nm)) = (dump-dotteddecimal-ip ip) ^
^ (dump-dotteddecimal-ip (bitmask-to-strange-inverse-cisco-mask nm));
in
fun dump-ip-list-cisco [] = any
  | dump-ip-list-cisco [Pos ip] = dump-ip-cisco ip
  | dump-ip-list-cisco [Neg ip] = TODO ^ dump-ip-cisco ip
end;
```

```
fun dump-cisco [] = ()
  | dump-cisco ((UncompressedFormattedMatch (src, dst, proto, []), a) :: rs) =
  (writeln (access-list 101 ^ dump-action-cisco a ^
  (if List.length proto <= 1 then ^ dump-prot-cisco proto ^ else
  ERROR) ^
```

```

        (dump-ip-list-cisco src) ^ ^ (dump-ip-list-cisco dst)); dump-cisco rs);
    >>

ML-val<<
    writeln interface fe0;
    writeln ip address 10.1.1.1 255.255.255.254;
    writeln ip access-group 101 in;
    writeln !;
    dump-cisco (compress-Ln-ips (format-Ln-rules-uncompressed upper));
    (*access-list 101 deny ip host 10.1.1.2 any
    access-list 101 permit tcp any host 192.168.5.10 eq 80
    access-list 101 permit tcp any host 192.168.5.11 eq 25
    access-list 101 deny any*)
    writeln !;
    writeln ! // need to give the end command;
    writeln end;

    >>

ML<<

    fun dump-action-flowtable Accept = flood
    | dump-action-flowtable Drop = drop
    ;

    local
        fun dump-ip-flowtable (Ip4Addr ip) = (dump-dotteddecimal-ip ip)
        | dump-ip-flowtable (Ip4AddrNetmask (ip, nm)) = (dump-dotteddecimal-ip
ip) ^ ^ Int.toString (integer-of-nat nm);
    in
        fun dump-ip-list-flowtable [] = *
        | dump-ip-list-flowtable [Pos ip] = dump-ip-flowtable ip
        | dump-ip-list-flowtable [Neg ip] = TODO ^ dump-ip-flowtable ip
    end;

    fun dump-flowtable [] = ()
    | dump-flowtable ((UncompressedFormattedMatch (src, dst, proto, []), a) :: rs) =
        (writeln ((if List.length proto <= 1 then ^ dump-prot-cisco proto ^ else
ERROR) ^
nw-src=^(dump-ip-list-flowtable src) ^ nw-dst=^(dump-ip-list-flowtable
dst) ^
priority=^Int.toString (List.length rs) ^
action=^dump-action-flowtable a
); dump-flowtable rs);

    >>
ML-val<<
    (*ip nw-src=10.0.0.1/32 nw-dst=* priority=30000 action=flood*)

```

```

dump-flowtable (compress-Ln-ips (format-Ln-rules-uncompressed upper));
>>

end
theory Analyze-SQRL-Shorewall
imports Main ../../Primitive-Matchers/IPSpace-Format-Ln ../../Call-Return-Unfolding
../../Optimizing
~~/src/HOL/Library/Code-Target-Nat
~~/src/HOL/Library/Code-Target-Int
~~/src/HOL/Library/Code-Char
begin

```

## 19 Example: SQRL Shorewall

**definition** *unfold-ruleset-FORWARD* :: *iptrule-match ruleset*  $\Rightarrow$  *iptrule-match rule list* **where**  
*unfold-ruleset-FORWARD* *rs* = (((*optimize-matches opt-MatchAny-match-expr*)<sup>10</sup>)  
(*optimize-matches opt-simple-matcher (rw-Reject (rm-LogEmpty (((process-call*  
*rs)*<sup>20</sup>) [Rule MatchAny (Call "FORWARD")]))))

**definition** *unfold-ruleset-OUTPUT* :: *iptrule-match ruleset*  $\Rightarrow$  *iptrule-match rule list* **where**  
*unfold-ruleset-OUTPUT* *rs* = (((*optimize-matches opt-MatchAny-match-expr*)<sup>10</sup>)  
(*optimize-matches opt-simple-matcher (rw-Reject (rm-LogEmpty (((process-call*  
*rs)*<sup>20</sup>) [Rule MatchAny (Call "OUTPUT")]))))

**definition** *map-of-string* :: (*string*  $\times$  *iptrule-match rule list*) *list*  $\Rightarrow$  *string*  $\rightarrow$   
*iptrule-match rule list* **where**  
*map-of-string* *rs* = *map-of* *rs*

**definition** *upper-closure* :: *iptrule-match rule list*  $\Rightarrow$  *iptrule-match rule list* **where**  
*upper-closure* *rs* == *rmMatchFalse* (((*optimize-matches opt-MatchAny-match-expr*)<sup>2000</sup>)  
(*optimize-matches-a opt-simple-matcher-in-doubt-allow-extra* *rs*))  
**definition** *lower-closure* :: *iptrule-match rule list*  $\Rightarrow$  *iptrule-match rule list* **where**  
*lower-closure* *rs* == *rmMatchFalse* (((*optimize-matches opt-MatchAny-match-expr*)<sup>2000</sup>)  
(*optimize-matches-a opt-simple-matcher-in-doubt-deny-extra* *rs*))

**export-code** *unfold-ruleset-OUTPUT map-of-string upper-closure lower-closure*  
*format-Ln-rules-uncompressed compress-Ln-ips does-I-has-compressed-rules*  
*Rule*  
*Accept Drop Log Reject Call Return Empty Unknown*  
*Match MatchNot MatchAnd MatchAny*

```

Ip4Addr Ip4AddrNetmask
ProtAll ProtTCP ProtUDP
Src Dst Prot Extra
nat-of-integer integer-of-nat
UncompressedFormattedMatch Pos Neg
does-I-has-compressed-prots
in SML module-name Test file unfold-code.ML

```

**ML-file** *unfold-code.ML*

**ML-file** *akachan-iptables-Ln.ML*

```

ML⟨⟨
  open Test;
  ⟩⟩
declare[[ML-print-depth=50]]
ML⟨⟨
  val rules = unfold-ruleset-OUTPUT (map-of-string firewall-chains)
  ⟩⟩
ML⟨⟨
  length rules;
  val upper = upper-closure rules;
  length upper;⟩⟩
ML⟨⟨
  val lower = lower-closure rules;
  length lower;⟩⟩

ML⟨⟨
  fun dump-ip (Ip4Addr (a,(b,(c,d)))) = ^ Int.toString (integer-of-nat a) ^ ^ Int.toString
    (integer-of-nat b) ^ ^ Int.toString (integer-of-nat c) ^ ^ Int.toString (integer-of-nat
    d) ^ /32
    | dump-ip (Ip4AddrNetmask ((a,(b,(c,d))), nm)) =
      ^ Int.toString (integer-of-nat a) ^ ^ Int.toString (integer-of-nat b) ^ ^ Int.toString
    (integer-of-nat c) ^ ^ Int.toString (integer-of-nat d) ^ / ^ Int.toString (integer-of-nat
    nm);

  fun dump-prot ProtAll = all
    | dump-prot ProtTCP = tcp
    | dump-prot ProtUDP = udp;

  fun dump-prots [] = all
    | dump-prots [Pos p] = dump-prot p
    | dump-prots [Neg p] = ! ^ dump-prot p;
    (*undefined otherwise*)

  fun dump-extra [] = ;

```

```

fun dump-action Accept = ACCEPT
  | dump-action Drop = DROP
  | dump-action Log = LOG
  | dump-action Reject = REJECT
;

local
  fun dump-ip-list-hlp [] =
    | dump-ip-list-hlp ((Pos ip)::ips) = ((dump-ip ip) ^ dump-ip-list-hlp ips)
    | dump-ip-list-hlp ((Neg ip)::ips) = (! ^ (dump-ip ip) ^ dump-ip-list-hlp ips)
  in
    fun dump-ip-list [] = 0.0.0.0/0
      | dump-ip-list rs = dump-ip-list-hlp rs
  end;

fun dump-iptables [] = ()
  | dump-iptables ((UncompressedFormattedMatch (src, dst, proto, extra), a) :: rs)
  =
    (writeln (dump-action a ^
      ^ dump-prots proto ^ -- ^
      ^ dump-ip-list src ^
      ^ dump-ip-list dst ^
      ^ dump-extra extra); dump-iptables rs);
  >>

ML-val<<
length (format-Ln-rules-uncompressed upper);
(format-Ln-rules-uncompressed upper);
>>
ML-val<<
(compress-Ln-ips (format-Ln-rules-uncompressed upper));
>>
ML-val<<
length (does-I-has-compressed-rules (compress-Ln-ips (format-Ln-rules-uncompressed
upper)));
does-I-has-compressed-rules (compress-Ln-ips (format-Ln-rules-uncompressed up-
per));
>>
ML-val<<
does-I-has-compressed-prots (compress-Ln-ips (format-Ln-rules-uncompressed up-
per));
>>
ML-val<<
dump-iptables (compress-Ln-ips (format-Ln-rules-uncompressed upper));
>>

ML-val<<

```



```

compress-Ln-ips (format-Ln-rules-uncompressed lower);
>>
ML-val<<
length (does-I-has-compressed-rules (compress-Ln-ips (format-Ln-rules-uncompressed
lower)));
does-I-has-compressed-rules (compress-Ln-ips (format-Ln-rules-uncompressed lower));
>>
ML-val<<
does-I-has-compressed-protos (compress-Ln-ips (format-Ln-rules-uncompressed lower));
>>

end
theory Analyze-Synology-Diskstation
imports iptables-Ln-tuned-parsed .././Primitive-Matchers/IPSpace-Format-Ln .././Call-Return-Unfolding
.././Optimizing
begin

```

## 20 Example: Synology Diskstation

we removed the established,related rule

```

definition example-ruleset == ["DOS-PROTECT" ↦ [Rule (MatchAnd (Match
(Src (Ip4AddrNetmask ((0,0,0,0)) (0)))) (MatchAnd (Match (Dst (Ip4AddrNetmask
((0,0,0,0)) (0)))) (MatchAnd (Match (Extra ("Prot icmp"))) (Match (Extra ("icmptype
8 limit: avg 1/sec burst 5'")))))) (Return),
Rule (MatchAnd (Match (Src (Ip4AddrNetmask ((0,0,0,0)) (0)))) (MatchAnd
(Match (Dst (Ip4AddrNetmask ((0,0,0,0)) (0)))) (MatchAnd (Match (Extra ("Prot
icmp"))) (Match (Extra ("icmptype 8'")))))) (Drop),
Rule (MatchAnd (Match (Src (Ip4AddrNetmask ((0,0,0,0)) (0)))) (MatchAnd
(Match (Dst (Ip4AddrNetmask ((0,0,0,0)) (0)))) (MatchAnd (Match (Prot (ProtTCP)))
(Match (Extra ("tcp flags:0x17/0x04 limit: avg 1/sec burst 5'"))))) (Return),
Rule (MatchAnd (Match (Src (Ip4AddrNetmask ((0,0,0,0)) (0)))) (MatchAnd
(Match (Dst (Ip4AddrNetmask ((0,0,0,0)) (0)))) (MatchAnd (Match (Prot (ProtTCP)))
(Match (Extra ("tcp flags:0x17/0x04'"))))) (Drop),
Rule (MatchAnd (Match (Src (Ip4AddrNetmask ((0,0,0,0)) (0)))) (MatchAnd
(Match (Dst (Ip4AddrNetmask ((0,0,0,0)) (0)))) (MatchAnd (Match (Prot (ProtTCP)))
(Match (Extra ("tcp flags:0x17/0x02 limit: avg 10000/sec burst 100'"))))) (Return),
Rule (MatchAnd (Match (Src (Ip4AddrNetmask ((0,0,0,0)) (0)))) (MatchAnd
(Match (Dst (Ip4AddrNetmask ((0,0,0,0)) (0)))) (MatchAnd (Match (Prot (ProtTCP)))
(Match (Extra ("tcp flags:0x17/0x02'"))))) (Drop)],
"INPUT" ↦ [Rule (MatchAnd (Match (Src (Ip4AddrNetmask ((0,0,0,0)) (0))))
(MatchAnd (Match (Dst (Ip4AddrNetmask ((0,0,0,0)) (0)))) (MatchAnd (Match
(Prot (ProtAll))) (MatchAny)))) (Call ("DOS-PROTECT")),
(* Rule (MatchAnd (Match (Src (Ip4AddrNetmask ((0,0,0,0)) (0)))) (MatchAnd
(Match (Dst (Ip4AddrNetmask ((0,0,0,0)) (0)))) (MatchAnd (Match (Prot (ProtAll)))
(Match (Extra ("state RELATED,ESTABLISHED"))))) (Accept), *)
Rule (MatchAnd (Match (Src (Ip4AddrNetmask ((0,0,0,0)) (0)))) (MatchAnd
(Match (Dst (Ip4AddrNetmask ((0,0,0,0)) (0)))) (MatchAnd (Match (Prot (ProtTCP)))

```

```

(Match (Extra ("tcp dpt:22")))) (Drop),
Rule (MatchAnd (Match (Src (Ip4AddrNetmask ((0,0,0,0)) (0)))) (MatchAnd
(Match (Dst (Ip4AddrNetmask ((0,0,0,0)) (0)))) (MatchAnd (Match (Prot (ProtTCP)))
(Match (Extra ("multiport dports 21,873,5005,5006,80,548,111,2049,892"))))
(Drop),
Rule (MatchAnd (Match (Src (Ip4AddrNetmask ((0,0,0,0)) (0)))) (MatchAnd
(Match (Dst (Ip4AddrNetmask ((0,0,0,0)) (0)))) (MatchAnd (Match (Prot (ProtUDP)))
(Match (Extra ("multiport dports 123,111,2049,892,5353")))) (Drop),
Rule (MatchAnd (Match (Src (Ip4AddrNetmask ((192,168,0,0)) (16)))) (MatchAnd
(Match (Dst (Ip4AddrNetmask ((0,0,0,0)) (0)))) (MatchAnd (Match (Prot (ProtAll)))
(MatchAny)))) (Accept),
Rule (MatchAnd (Match (Src (Ip4AddrNetmask ((0,0,0,0)) (0)))) (MatchAnd
(Match (Dst (Ip4AddrNetmask ((0,0,0,0)) (0)))) (MatchAnd (Match (Prot (ProtAll)))
(MatchAny)))) (Drop),
Rule (MatchAny) (Accept)],
"FORWARD" ↦ [Rule (MatchAny) (Accept)],
"OUTPUT" ↦ [Rule (MatchAny) (Accept)]

```

**abbreviation** *MatchAndInfix* :: 'a match-expr ⇒ 'a match-expr ⇒ 'a match-expr  
**(infixr MATCHAND 65)** **where** *MatchAndInfix* *m1 m2* ≡ *MatchAnd m1 m2*

**definition** *example-ruleset-simplified* = ((*optimize-matches opt-MatchAny-match-expr*) ^ 10)

```

(optimize-matches opt-simple-matcher (rw-Reject (rm-LogEmpty (((process-call
example-ruleset) ^ 2) [Rule MatchAny (Call "INPUT")]))))
value(code) example-ruleset-simplified

```

**lemma** *good-ruleset example-ruleset-simplified by eval*

**lemma** *simple-ruleset example-ruleset-simplified by eval*

packets from the local lan are allowed (in doubt)

**lemma** *approximating-bigstep-fun (simple-matcher, in-doubt-allow) (|src-ip=ipv4addr-of-dotteddecimal (192,168,3,5), dst-ip=0, prot=protPacket.ProtTCP|)*  
*example-ruleset-simplified*  
*Undecided = Decision FinalAllow by eval*

However, they might also be rate-limited, ... (we don't know about icmp)

**lemma** *approximating-bigstep-fun (simple-matcher, in-doubt-deny) (|src-ip=ipv4addr-of-dotteddecimal (192,168,3,5), dst-ip=0, prot=protPacket.ProtTCP|)*  
*example-ruleset-simplified*  
*Undecided = Decision FinalDeny by eval*

But we can guarantee that packets from the outside are blocked!

**lemma** *approximating-bigstep-fun (simple-matcher, in-doubt-allow) (|src-ip=ipv4addr-of-dotteddecimal (8,8,3,5), dst-ip=0, prot=protPacket.ProtTCP|)*  
*example-ruleset-simplified*  
*Undecided = Decision FinalDeny by eval*

**lemma** *wf-unknown-match-tac*  $\alpha \implies \text{approximating-bigstep-fun } (\text{simple-matcher}, \alpha)$  *p* *example-ruleset-simplified*  $s = \text{approximating-bigstep-fun } (\text{simple-matcher}, \alpha)$  *p*  $((\text{process-call example-ruleset})^{\wedge 2}) [\text{Rule MatchAny } (\text{Call "INPUT"})] s$   
**apply**(*simp add: example-ruleset-simplified-def*)  
**apply**(*simp add: optimize-matches-opt-MatchAny-match-expr*)  
**apply**(*simp add: opt-simple-matcher-correct*)  
**apply**(*simp add: rw-Reject-fun-semantics*)  
**apply**(*simp add: rm-LogEmpty-fun-semantics*)  
**done**

in doubt allow closure

**value** *rmMatchFalse*  $((\text{optimize-matches opt-MatchAny-match-expr})^{\wedge 10}) (\text{optimize-matches-a opt-simple-matcher-in-doubt-allow-extra example-ruleset-simplified})$

in doubt deny closure

**value** *rmMatchFalse*  $((\text{optimize-matches opt-MatchAny-match-expr})^{\wedge 10}) (\text{optimize-matches-a opt-simple-matcher-in-doubt-deny-extra example-ruleset-simplified})$

upper closure

**lemma** *rmshadow* (*simple-matcher*, *in-doubt-allow*) (*rmMatchFalse*  $((\text{optimize-matches opt-MatchAny-match-expr})^{\wedge 10}) (\text{optimize-matches-a opt-simple-matcher-in-doubt-allow-extra example-ruleset-simplified})$ )) *UNIV* =  
 [Rule (Match (Src (Ip4AddrNetmask (192, 168, 0, 0) 16))) Accept, Rule MatchAny Drop]  
**apply**(*subst tmp*)  
**apply**(*subst rmshadow.simps*)  
**apply**(*simp del: rmshadow.simps*)  
**apply**(*simp add: Matching-Ternary.matches-def*)  
**apply**(*intro conjI impI*)  
**apply**(*rule-tac x=(src-ip=ipv4addr-of-dotteddecimal (8,8,3,5), dst-ip=0, prot=protPacket.ProtTCP)*)  
**in** *exI*)  
**apply**(*simp add: ipv4addr-of-dotteddecimal.simps ipv4range-set-from-bitmask-def ipv4range-set-from-netmask-def Let-def ipv4addr-of-nat-def*)  
**apply**(*thin-tac*  $\exists p. ?x p$ )  
**apply**(*rule-tac x=(src-ip=ipv4addr-of-dotteddecimal (192,168,99,0), dst-ip=0, prot=protPacket.ProtTCP)*)  
**in** *exI*)  
**apply**(*simp add: ipv4addr-of-dotteddecimal.simps ipv4range-set-from-bitmask-def ipv4range-set-from-netmask-def Let-def ipv4addr-of-nat-def*)  
**done**

lower closure

**lemma** *rmshadow* (*simple-matcher*, *in-doubt-deny*) (*rmMatchFalse*  $((\text{optimize-matches opt-MatchAny-match-expr})^{\wedge 10}) (\text{optimize-matches-a opt-simple-matcher-in-doubt-deny-extra example-ruleset-simplified})$ )) *UNIV* =  
 [Rule MatchAny Drop]

```

apply(subst tmp')
apply(subst rmshadow.simps)
apply(simp del: rmshadow.simps)
apply(simp add: Matching-Ternary.matches-def)
done
hide-fact tmp

value format-Ln-rules-uncompressed [Rule (Match (Src (Ip4AddrNetmask (192,
168, 0, 0) 16))) Accept, Rule MatchAny Drop]

exact

value format-Ln-rules-uncompressed example-ruleset-simplified

value length (example-ruleset-simplified)

Wow, normalization has exponential?? blowup!!

value length (normalize-rules example-ruleset-simplified)
value length (format-Ln-rules-uncompressed example-ruleset-simplified)

thm format-Ln-rules-uncompressed-correct

upper closure

value format-Ln-rules-uncompressed (rmMatchFalse (((optimize-matches opt-MatchAny-match-expr) ^ 10)
(optimize-matches-a opt-simple-matcher-in-doubt-allow-extra example-ruleset-simplified)))

lower closure

value format-Ln-rules-uncompressed (rmMatchFalse (((optimize-matches opt-MatchAny-match-expr) ^ 10)
(optimize-matches-a opt-simple-matcher-in-doubt-deny-extra example-ruleset-simplified)))

end
theory Analyze-Ringofsaturn-com
imports
  ../.. / Call-Return-Unfolding
  ../.. / Optimizing
  ../.. / Primitive-Matchers / IPspace-Format-Ln
begin

```

## 21 Example: ringofsaturn.com

We have directly executable approximating semantics:  $wf\text{-ruleset } ?\gamma \text{ } ?p \text{ } ?rs \implies ?\gamma, ?p \vdash \langle ?rs, ?s \rangle \Rightarrow_{\alpha} ?t = (\text{approximating-bigstep-fun } ?\gamma \text{ } ?p \text{ } ?rs \text{ } ?s = ?t)$

```

value(code) approximating-bigstep-fun (simple-matcher, in-doubt-allow) (!src-ip=0,
dst-ip=0, prot=protPacket.ProtTCP)

```

```

      (process-call ["FORWARD" ↦ [Rule (Match (Src (Ip4Addr(192,168,0,0)
))) Drop, Rule MatchAny Accept], "foo" ↦ [] [Rule MatchAny (Call "FORWARD")])
      Undecided

```

```

definition example-ruleset == ["FORWARD" ↦ [Rule (Match (Src ((Ip4AddrNetmask
(192,168,0,0) 16)))) (Call "foo"), Rule MatchAny Drop],
      "foo" ↦ [Rule MatchAny Log, Rule (Match (Extra "foobar"))
Accept ]]

```

```

definition example-ruleset-simplified = rm-LogEmpty (((process-call example-ruleset) ^ 2)
[Rule MatchAny (Call "FORWARD")])
value example-ruleset-simplified

```

```

value good-ruleset example-ruleset-simplified
value simple-ruleset example-ruleset-simplified

```

```

value(code) approximating-bigstep-fun (simple-matcher, in-doubt-allow) (src-ip=ipv4addr-of-dotteddecimal
(192,168,3,5), dst-ip=0, prot=protPacket.ProtTCP)
      example-ruleset-simplified
      Undecided
hide-const example-ruleset-simplified example-ruleset

```

## 21.1 Example Ruleset 1

```

definition example-firewall ≡ ["STATEFUL" ↦ [Rule (MatchAnd (Match (Src
(Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match (Dst (Ip4AddrNetmask (0,0,0,0)
0))) (MatchAnd (Match (Prot ipt-protocol.ProtAll)) (Match (Extra "state RE-
LATED,ESTABLISHED"))))) (Accept),
      Rule (MatchAnd (Match (Src (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match
(Dst (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match (Prot ipt-protocol.ProtAll))
(Match (Extra "state NEW"))))) (Accept),
      Rule (MatchAnd (Match (Src (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match
(Dst (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match (Prot ipt-protocol.ProtAll))
(MatchAny)))) (Call "DUMP")],
      "DUMP" ↦ [Rule (MatchAnd (Match (Src (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd
(Match (Dst (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match (Prot ipt-protocol.ProtTCP))
(Match (Extra "LOG flags 0 level 4"))))) (Log),
      Rule (MatchAnd (Match (Src (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match
(Dst (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match (Prot ipt-protocol.ProtUDP))
(Match (Extra "LOG flags 0 level 4"))))) (Log),
      Rule (MatchAnd (Match (Src (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match
(Dst (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match (Prot ipt-protocol.ProtTCP))
(Match (Extra "reject-with tcp-reset"))))) (Reject),
      Rule (MatchAnd (Match (Src (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match
(Dst (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match (Prot ipt-protocol.ProtUDP))
(Match (Extra "reject-with icmp-port-unreachable"))))) (Reject),
      Rule (MatchAnd (Match (Src (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match
(Dst (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match (Prot ipt-protocol.ProtAll))
(MatchAny)))) (Drop)],

```

```

"INPUT"  $\mapsto$  [Rule (MatchAnd (Match (Src (Ip4AddrNetmask (0,0,0,0) 0)))
(MatchAnd (Match (Dst (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match (Prot
ipt-protocol.ProtAll)) (MatchAny)))) (Call "STATEFUL"),
Rule (MatchAnd (Match (Src (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match
(Dst (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match (Prot ipt-protocol.ProtAll))
(MatchAny)))) (Accept),
Rule (MatchAnd (Match (Src (Ip4AddrNetmask (0,0,0,0) 8))) (MatchAnd (Match
(Dst (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match (Prot ipt-protocol.ProtAll))
(MatchAny)))) (Call "DUMP"),
Rule (MatchAnd (Match (Src (Ip4AddrNetmask (10,0,0,0) 8))) (MatchAnd (Match
(Dst (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match (Prot ipt-protocol.ProtAll))
(MatchAny)))) (Call "DUMP"),
Rule (MatchAnd (Match (Src (Ip4AddrNetmask (127,0,0,0) 8))) (MatchAnd (Match
(Dst (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match (Prot ipt-protocol.ProtAll))
(MatchAny)))) (Call "DUMP"),
Rule (MatchAnd (Match (Src (Ip4AddrNetmask (169,254,0,0) 16))) (MatchAnd
(Match (Dst (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match (Prot ipt-protocol.ProtAll))
(MatchAny)))) (Call "DUMP"),
Rule (MatchAnd (Match (Src (Ip4AddrNetmask (172,16,0,0) 12))) (MatchAnd
(Match (Dst (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match (Prot ipt-protocol.ProtAll))
(MatchAny)))) (Call "DUMP"),
Rule (MatchAnd (Match (Src (Ip4AddrNetmask (224,0,0,0) 3))) (MatchAnd (Match
(Dst (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match (Prot ipt-protocol.ProtAll))
(MatchAny)))) (Call "DUMP"),
Rule (MatchAnd (Match (Src (Ip4AddrNetmask (240,0,0,0) 8))) (MatchAnd (Match
(Dst (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match (Prot ipt-protocol.ProtAll))
(MatchAny)))) (Call "DUMP"),
Rule (MatchAnd (Match (Src (Ip4AddrNetmask (160,86,0,0) 16))) (MatchAnd
(Match (Dst (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match (Prot ipt-protocol.ProtAll))
(MatchAny)))) (Accept),
Rule (MatchAnd (Match (Src (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match
(Dst (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match (Prot ipt-protocol.ProtAll))
(MatchAny)))) (Drop),
Rule (MatchAnd (Match (Src (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match
(Dst (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match (Extra "Prot icmp"))
(Match (Extra "icmptype 3"))))) (Accept),
Rule (MatchAnd (Match (Src (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match
(Dst (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match (Extra "Prot icmp"))
(Match (Extra "icmptype 11"))))) (Accept),
Rule (MatchAnd (Match (Src (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match
(Dst (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match (Extra "Prot icmp"))
(Match (Extra "icmptype 0"))))) (Accept),
Rule (MatchAnd (Match (Src (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match
(Dst (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match (Extra "Prot icmp"))
(Match (Extra "icmptype 8"))))) (Accept),
Rule (MatchAnd (Match (Src (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match
(Dst (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match (Prot ipt-protocol.ProtTCP))
(Match (Extra "tcp dpt:111"))))) (Drop),
Rule (MatchAnd (Match (Src (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match

```

(Dst (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match (Prot ipt-protocol.ProtTCP))  
 (Match (Extra "tcp dpt:113 reject-with tcp-reset")))) (Reject),  
 Rule (MatchAnd (Match (Src (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match  
 (Dst (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match (Prot ipt-protocol.ProtTCP))  
 (Match (Extra "tcp dpt:4")))) (Accept),  
 Rule (MatchAnd (Match (Src (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match  
 (Dst (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match (Prot ipt-protocol.ProtTCP))  
 (Match (Extra "tcp dpt:20")))) (Accept),  
 Rule (MatchAnd (Match (Src (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match  
 (Dst (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match (Prot ipt-protocol.ProtTCP))  
 (Match (Extra "tcp dpt:21")))) (Accept),  
 Rule (MatchAnd (Match (Src (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match  
 (Dst (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match (Prot ipt-protocol.ProtUDP))  
 (Match (Extra "udp dpt:20")))) (Accept),  
 Rule (MatchAnd (Match (Src (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match  
 (Dst (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match (Prot ipt-protocol.ProtUDP))  
 (Match (Extra "udp dpt:21")))) (Accept),  
 Rule (MatchAnd (Match (Src (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match  
 (Dst (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match (Prot ipt-protocol.ProtTCP))  
 (Match (Extra "tcp dpt:22")))) (Accept),  
 Rule (MatchAnd (Match (Src (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match  
 (Dst (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match (Prot ipt-protocol.ProtUDP))  
 (Match (Extra "udp dpt:22")))) (Accept),  
 Rule (MatchAnd (Match (Src (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match  
 (Dst (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match (Prot ipt-protocol.ProtTCP))  
 (Match (Extra "tcp dpt:80")))) (Accept),  
 Rule (MatchAnd (Match (Src (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match  
 (Dst (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match (Prot ipt-protocol.ProtUDP))  
 (Match (Extra "udp dpt:80")))) (Accept),  
 Rule (MatchAnd (Match (Src (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match  
 (Dst (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match (Prot ipt-protocol.ProtTCP))  
 (Match (Extra "tcp dpt:443")))) (Accept),  
 Rule (MatchAnd (Match (Src (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match  
 (Dst (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match (Prot ipt-protocol.ProtUDP))  
 (Match (Extra "udp dpt:443")))) (Accept),  
 Rule (MatchAnd (Match (Src (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match  
 (Dst (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match (Prot ipt-protocol.ProtUDP))  
 (Match (Extra "udp dpt:520 reject-with icmp-port-unreachable")))) (Reject),  
 Rule (MatchAnd (Match (Src (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match  
 (Dst (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match (Prot ipt-protocol.ProtTCP))  
 (Match (Extra "tcp dpts:137:139 reject-with icmp-port-unreachable")))) (Reject),  
 Rule (MatchAnd (Match (Src (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match  
 (Dst (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match (Prot ipt-protocol.ProtUDP))  
 (Match (Extra "udp dpts:137:139 reject-with icmp-port-unreachable")))) (Reject),  
 Rule (MatchAnd (Match (Src (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match  
 (Dst (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match (Prot ipt-protocol.ProtAll))  
 (MatchAny)))) (Call "DUMP"),  
 Rule MatchAny (Accept) ,  
 "FORWARD"  $\mapsto$  [Rule MatchAny (Accept)] ,

```

"OUTPUT"  $\mapsto$  [Rule (MatchAnd (Match (Src (Ip4AddrNetmask (0,0,0,0) 0)))
(MatchAnd (Match (Dst (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match (Prot
ipt-protocol.ProtAll)) (MatchAny)))) (Accept),
Rule MatchAny (Accept)] ]

```

**definition** *simple-example-firewall*  $\equiv$  (((optimize-matches opt-MatchAny-match-expr)  $^{\wedge}10$ )  
(optimize-matches opt-simple-matcher (rw-Reject (rm-LogEmpty (((process-call example-firewall)  $^{\wedge}3$ )  
[Rule MatchAny (Call "INPUT")])))))

It accepts everything n state RELATED,ESTABLISHED,NEW

```

value(code) simple-example-firewall
value good-ruleset simple-example-firewall
value simple-ruleset simple-example-firewall

```

**lemma** *approximating-bigstep-fun* (simple-matcher, in-doubt-allow) p simple-example-firewall  
s = approximating-bigstep-fun (simple-matcher, in-doubt-allow) p (((process-call  
example-firewall)  $^{\wedge}3$ ) [Rule MatchAny (Call "INPUT")]) s  
**apply**(simp add: simple-example-firewall-def)  
**apply**(simp add: optimize-matches-opt-MatchAny-match-expr)  
**apply**(simp add: opt-simple-matcher-correct)  
**apply**(simp add: rw-Reject-fun-semantics wf-in-doubt-allow)  
**apply**(simp add: rm-LogEmpty-fun-semantics)  
**done**

**value**(code) ((optimize-matches opt-MatchAny-match-expr)  $^{\wedge}10$ ) (optimize-matches-a  
opt-simple-matcher-in-doubt-allow-extra simple-example-firewall)

**lemma** *rmshadow* (simple-matcher, in-doubt-allow) (((optimize-matches opt-MatchAny-match-expr)  $^{\wedge}10$ )  
(optimize-matches-a opt-simple-matcher-in-doubt-allow-extra simple-example-firewall))  
UNIV =  
[Rule MatchAny Accept]  
**apply**(subst tmp)  
**apply**(subst rmshadow.simps)  
**apply**(simp del: rmshadow.simps)  
**apply**(simp add: Matching-Ternary.matches-def)  
**done**

```

value(code) approximating-bigstep-fun (simple-matcher, in-doubt-allow) ( $\lfloor$ src-ip=0,
dst-ip=0, prot=protPacket.ProtTCP $\rfloor$ )
    simple-example-firewall
    Undecided
value(code) approximating-bigstep-fun (simple-matcher, in-doubt-allow) ( $\lfloor$ src-ip=ipv4addr-of-dotteddecimal
(192,168,3,5), dst-ip=0, prot=protPacket.ProtTCP $\rfloor$ )
    simple-example-firewall

```



Undecided

We removed the first matches on state

**definition** *example-firewall2*  $\equiv$  ["STATEFUL"  $\mapsto$  [Rule (MatchAnd (Match (Src (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match (Dst (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match (Prot ipt-protocol.ProtAll)) (Match (Extra "state RE-LATED,ESTABLISHED"))))) (Accept),  
Rule (MatchAnd (Match (Src (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match (Dst (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match (Prot ipt-protocol.ProtAll)) (Match (Extra "state NEW"))))) (Accept),  
Rule (MatchAnd (Match (Src (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match (Dst (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match (Prot ipt-protocol.ProtAll)) (MatchAny)))) (Call "DUMP")],  
"DUMP"  $\mapsto$  [Rule (MatchAnd (Match (Src (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match (Dst (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match (Prot ipt-protocol.ProtTCP)) (Match (Extra "LOG flags 0 level 4"))))) (Log),  
Rule (MatchAnd (Match (Src (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match (Dst (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match (Prot ipt-protocol.ProtUDP)) (Match (Extra "LOG flags 0 level 4"))))) (Log),  
Rule (MatchAnd (Match (Src (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match (Dst (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match (Prot ipt-protocol.ProtTCP)) (Match (Extra "reject-with tcp-reset"))))) (Reject),  
Rule (MatchAnd (Match (Src (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match (Dst (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match (Prot ipt-protocol.ProtUDP)) (Match (Extra "reject-with icmp-port-unreachable"))))) (Reject),  
Rule (MatchAnd (Match (Src (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match (Dst (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match (Prot ipt-protocol.ProtAll)) (MatchAny)))) (Drop)],  
"INPUT"  $\mapsto$  [  
Rule (MatchAnd (Match (Src (Ip4AddrNetmask (0,0,0,0) 8))) (MatchAnd (Match (Dst (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match (Prot ipt-protocol.ProtAll)) (MatchAny)))) (Call "DUMP"),  
Rule (MatchAnd (Match (Src (Ip4AddrNetmask (10,0,0,0) 8))) (MatchAnd (Match (Dst (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match (Prot ipt-protocol.ProtAll)) (MatchAny)))) (Call "DUMP"),  
Rule (MatchAnd (Match (Src (Ip4AddrNetmask (127,0,0,0) 8))) (MatchAnd (Match (Dst (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match (Prot ipt-protocol.ProtAll)) (MatchAny)))) (Call "DUMP"),  
Rule (MatchAnd (Match (Src (Ip4AddrNetmask (169,254,0,0) 16))) (MatchAnd (Match (Dst (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match (Prot ipt-protocol.ProtAll)) (MatchAny)))) (Call "DUMP"),  
Rule (MatchAnd (Match (Src (Ip4AddrNetmask (172,16,0,0) 12))) (MatchAnd (Match (Dst (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match (Prot ipt-protocol.ProtAll)) (MatchAny)))) (Call "DUMP"),  
Rule (MatchAnd (Match (Src (Ip4AddrNetmask (224,0,0,0) 3))) (MatchAnd (Match (Dst (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match (Prot ipt-protocol.ProtAll)) (MatchAny)))) (Call "DUMP"),  
Rule (MatchAnd (Match (Src (Ip4AddrNetmask (240,0,0,0) 8))) (MatchAnd (Match (Dst (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match (Prot ipt-protocol.ProtAll)) (MatchAny))))]

```

(MatchAny)))) (Call "DUMP"),
Rule (MatchAnd (Match (Src (Ip4AddrNetmask (160,86,0,0) 16))) (MatchAnd
(Match (Dst (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match (Prot ipt-protocol.ProtAll))
(MatchAny)))) (Accept),
Rule (MatchAnd (Match (Src (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match
(Dst (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match (Prot ipt-protocol.ProtAll))
(MatchAny)))) (Drop),
Rule (MatchAnd (Match (Src (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match
(Dst (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match (Extra "Prot icmp"))
(Match (Extra "icmptype 3"))))) (Accept),
Rule (MatchAnd (Match (Src (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match
(Dst (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match (Extra "Prot icmp"))
(Match (Extra "icmptype 11"))))) (Accept),
Rule (MatchAnd (Match (Src (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match
(Dst (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match (Extra "Prot icmp"))
(Match (Extra "icmptype 0"))))) (Accept),
Rule (MatchAnd (Match (Src (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match
(Dst (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match (Extra "Prot icmp"))
(Match (Extra "icmptype 8"))))) (Accept),
Rule (MatchAnd (Match (Src (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match
(Dst (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match (Prot ipt-protocol.ProtTCP))
(Match (Extra "tcp dpt:111"))))) (Drop),
Rule (MatchAnd (Match (Src (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match
(Dst (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match (Prot ipt-protocol.ProtTCP))
(Match (Extra "tcp dpt:113 reject—with tcp—reset"))))) (Reject),
Rule (MatchAnd (Match (Src (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match
(Dst (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match (Prot ipt-protocol.ProtTCP))
(Match (Extra "tcp dpt:4"))))) (Accept),
Rule (MatchAnd (Match (Src (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match
(Dst (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match (Prot ipt-protocol.ProtTCP))
(Match (Extra "tcp dpt:20"))))) (Accept),
Rule (MatchAnd (Match (Src (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match
(Dst (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match (Prot ipt-protocol.ProtTCP))
(Match (Extra "tcp dpt:21"))))) (Accept),
Rule (MatchAnd (Match (Src (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match
(Dst (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match (Prot ipt-protocol.ProtUDP))
(Match (Extra "udp dpt:20"))))) (Accept),
Rule (MatchAnd (Match (Src (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match
(Dst (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match (Prot ipt-protocol.ProtUDP))
(Match (Extra "udp dpt:21"))))) (Accept),
Rule (MatchAnd (Match (Src (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match
(Dst (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match (Prot ipt-protocol.ProtTCP))
(Match (Extra "tcp dpt:22"))))) (Accept),
Rule (MatchAnd (Match (Src (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match
(Dst (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match (Prot ipt-protocol.ProtUDP))
(Match (Extra "udp dpt:22"))))) (Accept),
Rule (MatchAnd (Match (Src (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match
(Dst (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match (Prot ipt-protocol.ProtTCP))
(Match (Extra "tcp dpt:80"))))) (Accept),

```

```

Rule (MatchAnd (Match (Src (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match
(Dst (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match (Prot ipt-protocol.ProtUDP))
(Match (Extra "udp dpt:80")))) (Accept),
Rule (MatchAnd (Match (Src (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match
(Dst (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match (Prot ipt-protocol.ProtTCP))
(Match (Extra "tcp dpt:443")))) (Accept),
Rule (MatchAnd (Match (Src (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match
(Dst (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match (Prot ipt-protocol.ProtUDP))
(Match (Extra "udp dpt:443")))) (Accept),
Rule (MatchAnd (Match (Src (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match
(Dst (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match (Prot ipt-protocol.ProtUDP))
(Match (Extra "udp dpt:520 reject-with icmp-port-unreachable")))) (Reject),
Rule (MatchAnd (Match (Src (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match
(Dst (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match (Prot ipt-protocol.ProtTCP))
(Match (Extra "tcp dpts:137:139 reject-with icmp-port-unreachable")))) (Reject),
Rule (MatchAnd (Match (Src (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match
(Dst (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match (Prot ipt-protocol.ProtUDP))
(Match (Extra "udp dpts:137:139 reject-with icmp-port-unreachable")))) (Reject),
Rule (MatchAnd (Match (Src (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match
(Dst (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match (Prot ipt-protocol.ProtAll))
(MatchAny)))) (Call "DUMP"),
Rule MatchAny (Accept) ,
"FORWARD" ↦ [Rule MatchAny (Accept)] ,
"OUTPUT" ↦ [Rule (MatchAnd (Match (Src (Ip4AddrNetmask (0,0,0,0) 0)))
(MatchAnd (Match (Dst (Ip4AddrNetmask (0,0,0,0) 0))) (MatchAnd (Match (Prot
ipt-protocol.ProtAll)) (MatchAny)))) (Accept),
Rule MatchAny (Accept)] ]

```

**definition** *simple-example-firewall2*  $\equiv (((\text{optimize-matches opt-MatchAny-match-expr})^{\wedge 10})$   
 $(\text{optimize-matches opt-simple-matcher} (\text{rw-Reject} (\text{rm-LogEmpty} (((\text{process-call example-firewall2})^{\wedge 3})$   
 $[\text{Rule MatchAny (Call "INPUT")}])))$ )

**lemma** *wf-unknown-match-tac*  $\alpha \implies \text{approximating-bigstep-fun} (\text{simple-matcher},$   
 $\alpha) p \text{ simple-example-firewall2 } s = \text{approximating-bigstep-fun} (\text{simple-matcher}, \alpha)$   
 $p (((\text{process-call example-firewall2})^{\wedge 3}) [\text{Rule MatchAny (Call "INPUT")}] ) s$   
**apply**(simp add: *simple-example-firewall2-def*)  
**apply**(simp add: *optimize-matches-opt-MatchAny-match-expr*)  
**apply**(simp add: *opt-simple-matcher-correct*)  
**apply**(simp add: *rw-Reject-fun-semantics*)  
**apply**(simp add: *rm-LogEmpty-fun-semantics*)  
**done**

```

value(code) simple-example-firewall2
value good-ruleset simple-example-firewall2
value simple-ruleset simple-example-firewall2

```

in doubt allow closure

```

value(code) rmMatchFalse (((optimize-matches opt-MatchAny-match-expr)^10)
(optimize-matches-a opt-simple-matcher-in-doubt-allow-extra simple-example-firewall2))

```

in doubt deny closure

```
value(code) rmMatchFalse (((optimize-matches opt-MatchAny-match-expr) ^10)
(optimize-matches-a opt-simple-matcher-in-doubt-deny-extra simple-example-firewall2))
```

```
value(code) format-Ln-rules-uncompressed (rmMatchFalse (((optimize-matches opt-MatchAny-match-expr) ^
(optimize-matches-a opt-simple-matcher-in-doubt-allow-extra simple-example-firewall2)))
```

```
value(code) format-Ln-rules-uncompressed (rmMatchFalse (((optimize-matches opt-MatchAny-match-expr) ^
(optimize-matches-a opt-simple-matcher-in-doubt-deny-extra simple-example-firewall2)))
```

```
value(code) format-Ln-rules-uncompressed simple-example-firewall2
```

**end**