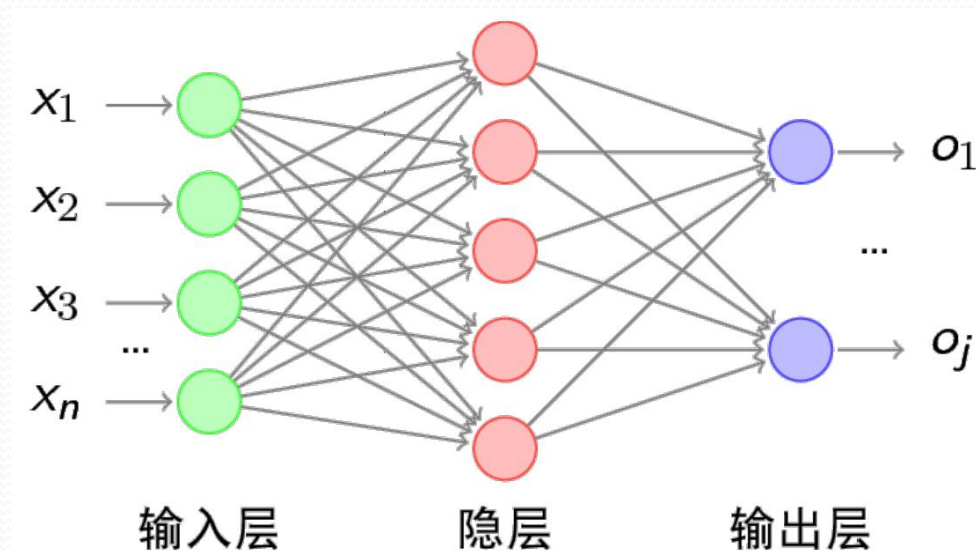
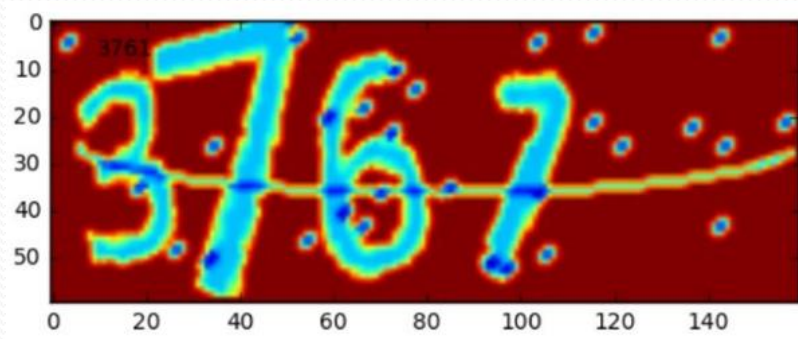
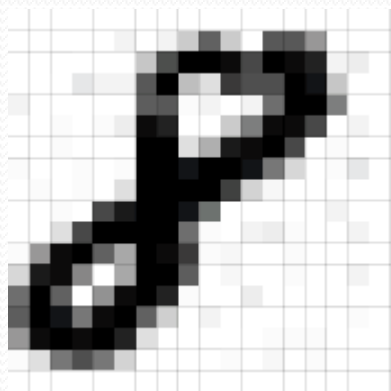


Lab4 基于RNN---LSTM+CTC 的注册码识别实践

实验大纲

- RNN原理
 - LSTM
 - CTC
- 实验验证
 - 环境依赖
 - 示例程序
- 编程练习

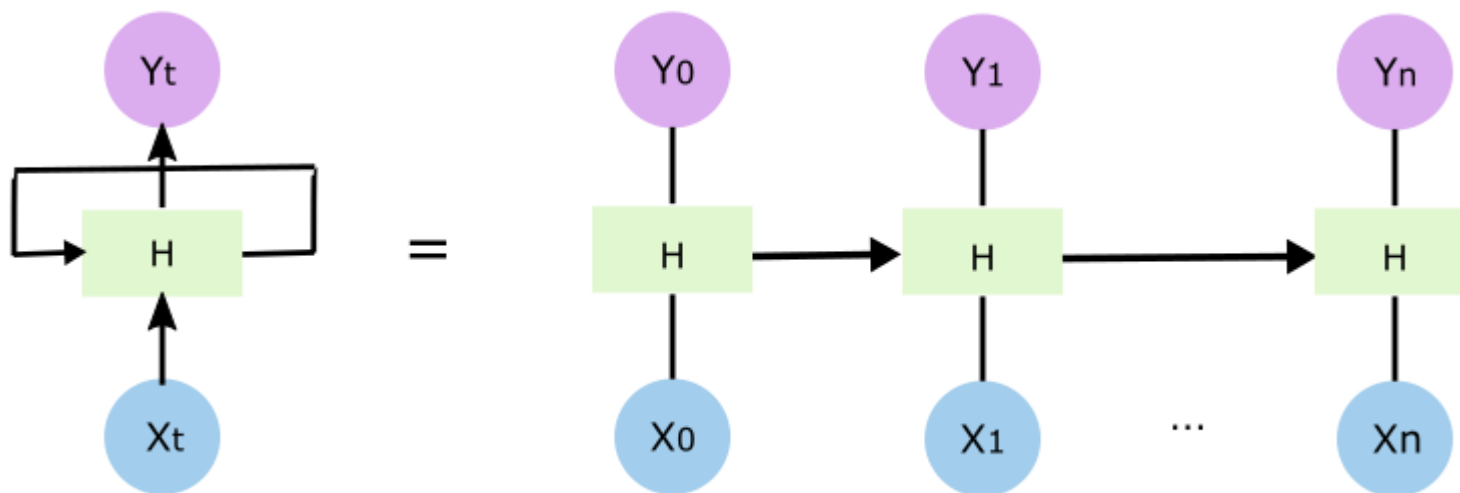
回顾实验一、实验二



每次识别的对象都是独立，不相关的

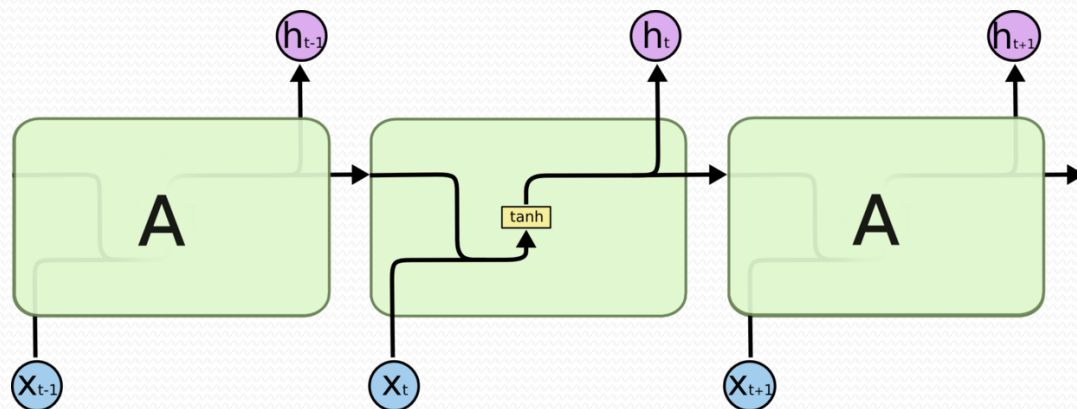
循环神经网络RNN(Recurrent neural network)

- 概念：
对时间序列上的变化进行建模的一种神经网络
- 优点：
基于之前的运行结果或者时间点，进行当前的预测

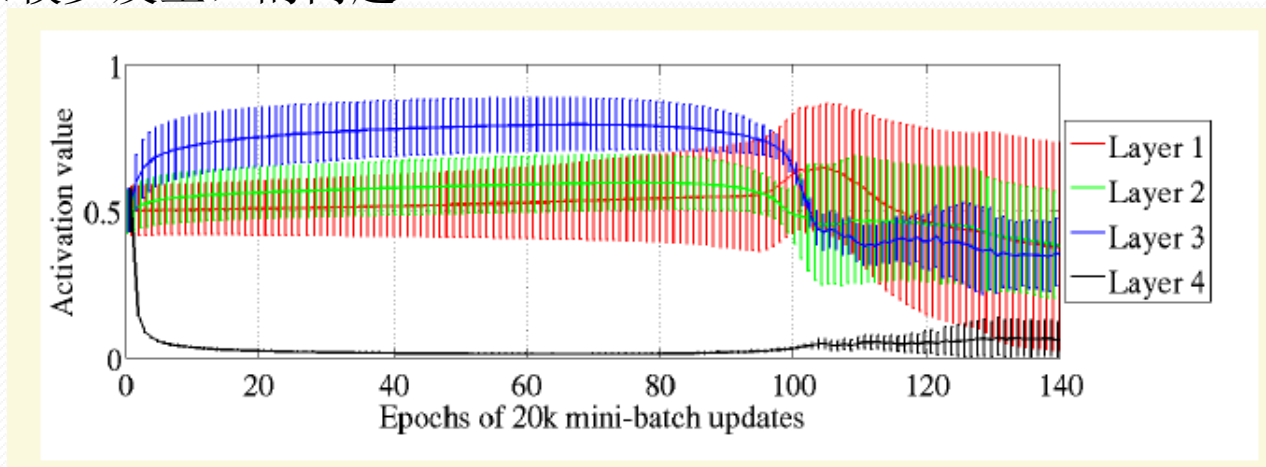


两个输出结果：一是当前层输出结果，二是参与下一层运算输出的中间结果

循环神经网络RNN



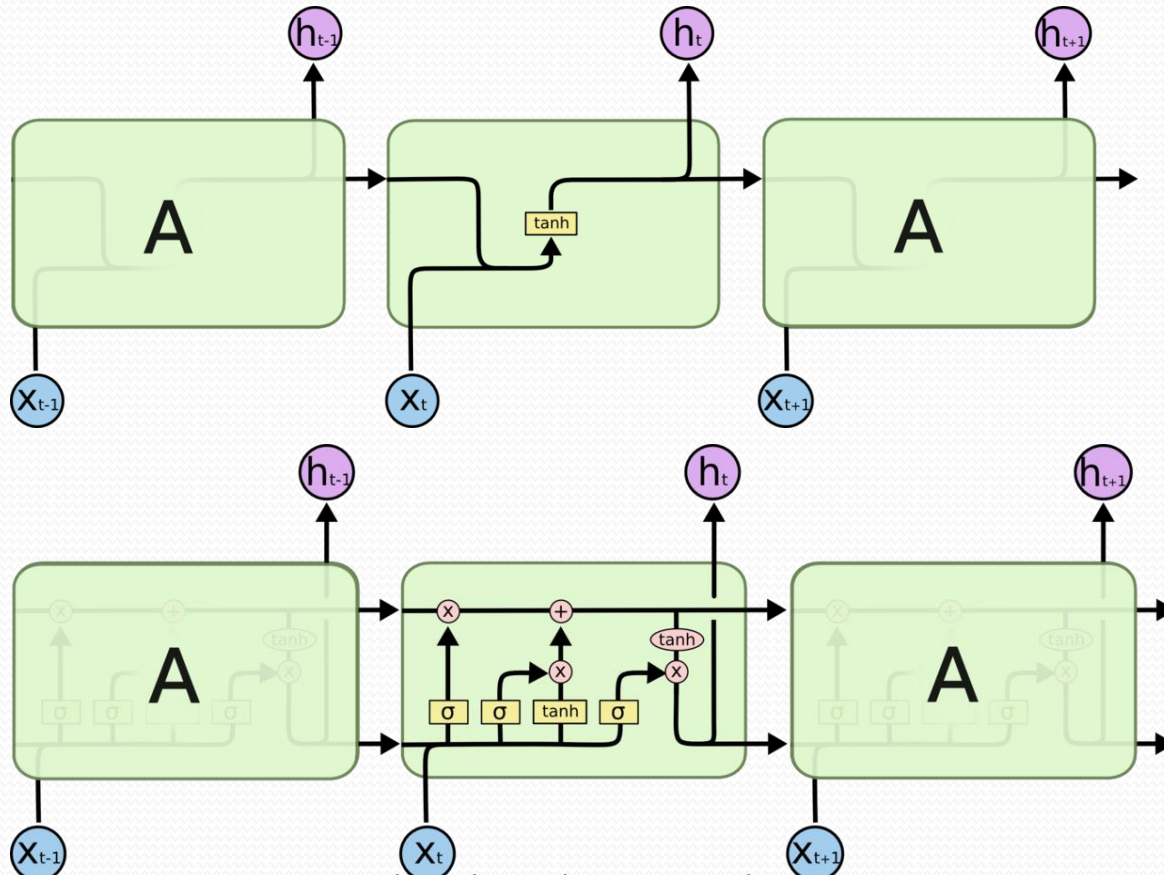
然而，RNN在处理长期依赖（时间序列上距离较远的节点）时，距离较远的节点之间的联系时会涉及雅可比矩阵的多次相乘，这会带来梯度消失（经常发生）或者梯度膨胀（较少发生）的问题



Glorot, Xavier, and YoshuaBengio. "Understanding the difficulty of training deep feed forward neural networks." International conference on artificial intelligence and statistics.

循环神经网络RNN

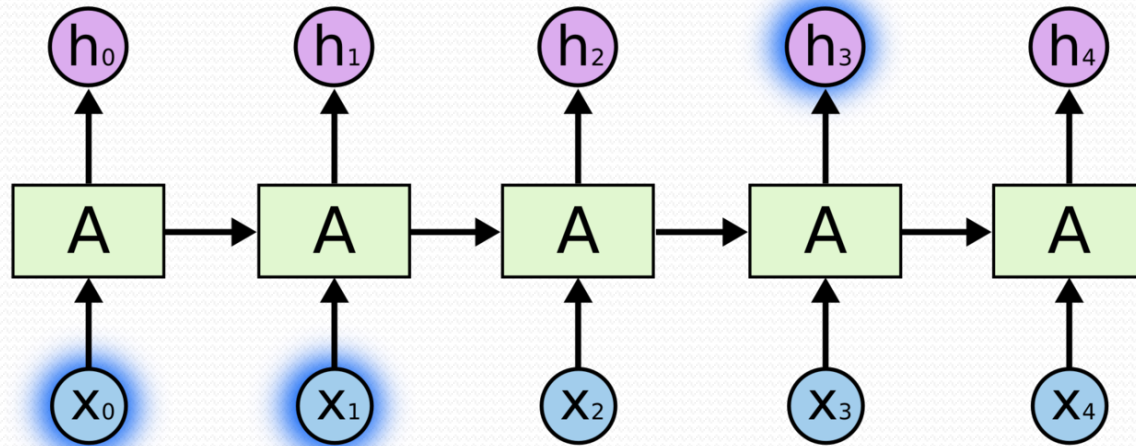
- LSTM <http://colah.github.io/posts/2015-08-Understanding-LSTMs/>
- LSTM算法全称为Long short-term memory，最早由 Sepp Hochreiter和Jürgen Schmidhuber于1997年提出，是一种特定形式的RNN



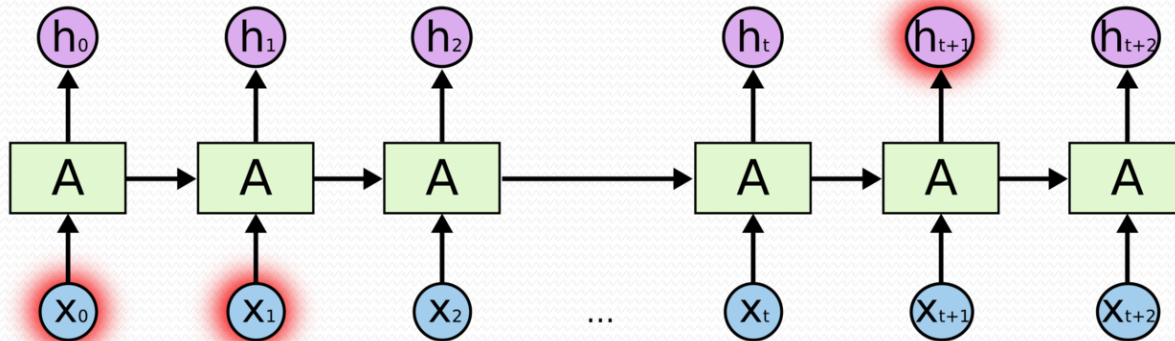
循环神经网络RNN

- LSTM

“the clouds are in the sky”

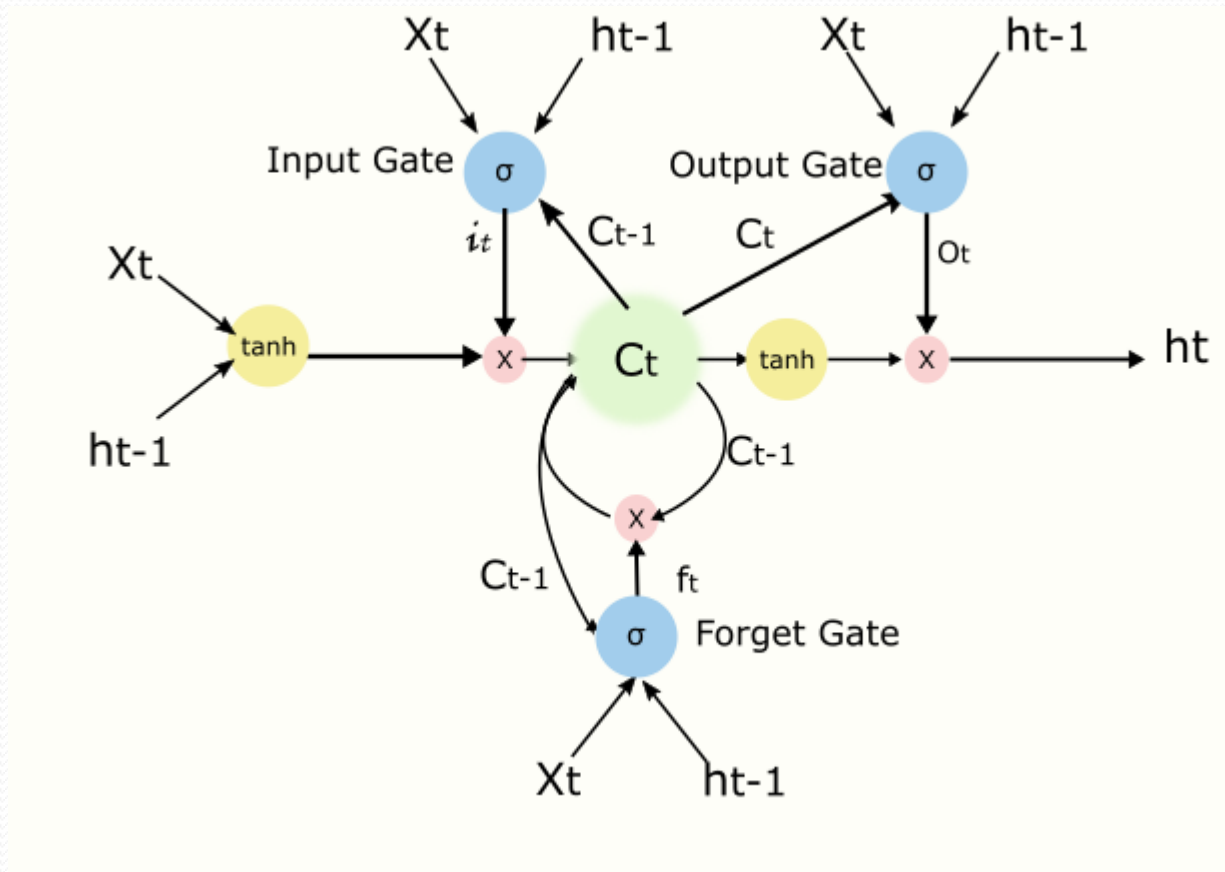


“I grew up in France... I speak fluent French”



循环神经网络RNN

- Peephole LSTM



循环神经网络RNN

- CTC

Connectionist Temporal Classifier 一般译为联结主义时间分类器 [1], 适合于输入特征和输出标签之间对齐关系不确定的时间序列问题, CTC可以自动端到端地同时优化模型参数和对齐切分的边界

DNN模型Softmax层的输出，获得了基于输入特征序列 \mathbf{ftr} 的每一个相互独立建模单元个体（包括“空白”节点在内）的类属概率分布。在此基础上，CTC进一步计算出基于 \mathbf{ftr} 的给定输出标签序列 \mathbf{lab} 的条件概率 $p(\mathbf{lab}|\mathbf{ftr})$ ，这是所有可能映射到 \mathbf{lab} 的子序列概率之

$$\begin{array}{c}
 P(_ _ T H _ _ _ E _ _ C _ _ A A A _ _ T T _ _ -) \\
 + \\
 \vdots \\
 + \\
 P(_ T _ _ H _ _ E E _ _ - C _ _ A A _ _ T _ _ _ -)
 \end{array}
 \left. \vphantom{\begin{array}{c} P(_ _ T H _ _ _ E _ _ C _ _ A A A _ _ T T _ _ -) \\ + \\ \vdots \\ + \\ P(_ T _ _ H _ _ E E _ _ - C _ _ A A _ _ T _ _ _ -) \end{array}} \right\} P(T H E - C A T -)$$

[1]A. Graves. Supervised Sequence Labelling with Recurrent Neural Networks. Textbook, chapter7, Studies in Computational Intelligence, Springer, 2012.

循环神经网络RNN

- CTC

Connectionist Temporal Classification: Labelling Unsegmented Sequence Data with Recurrent Neural Networks

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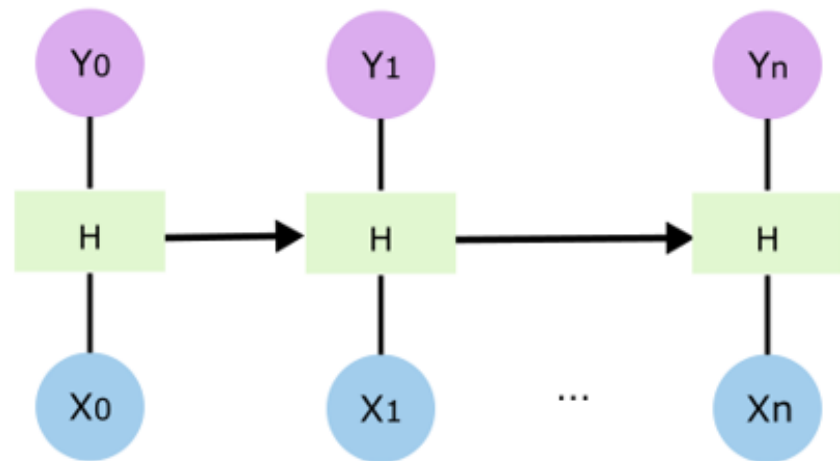
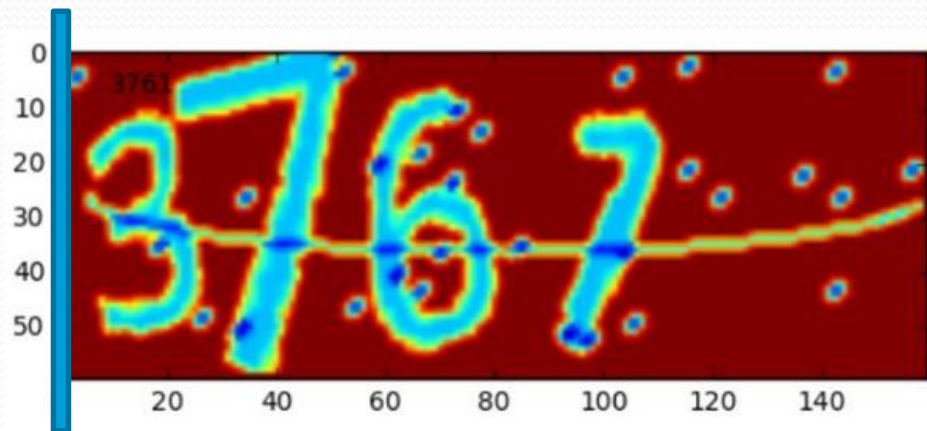
¹ Istituto Dalle Molle di Studi sull'Intelligenza Artificiale (IDSIA), Galleria 2, 6928 Manno-Lugano, Switzerland

² Technische Universität München (TUM), Boltzmannstr. 3, 85748 Garching, Munich, Germany

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循环神经网络RNN

- CTC



实验

- 环境依赖 选项1 **only linux** Python2.7+TensorFlow+freetype-py
 - 该次实验基于以下环境以及依赖包，需要在Anaconda中搭建新的虚拟环境
 - Python2.7
 - `conda install -n py27 python=2.7`
 - `conda activate py27`
 - Tensorflow
 - `conda install tensorflow` 或者 `conda install tensorflow-gpu`
 - freetype-py
 - `pip install freetype-py`
 - Numpy
 - `pip install numpy`
 - Opencv
 - `pip install opencv-python`
 - PIL
 - `pip install pillow`

实验

- 环境依赖 [选项2 for windows](#) Python3.6+TensorFlow+freetype-py
 - 该次实验基于以下环境以及依赖包，需要在Anaconda中搭建新的虚拟环境
 - Python3.6
 - `conda install -n py36 python=3.6`
 - `conda activate py36`
 - Tensorflow
 - `conda install tensorflow` 或者 `conda install tensorflow-gpu`
 - freetype-py
 - `pip install freetype-py`
 - Numpy
 - `pip install numpy`
 - Opencv
 - `pip install opencv-python`
 - PIL
 - `pip install pillow`

实验

- 本次实验内容参考
 - <https://www.jianshu.com/p/45828b18f133>
 - https://github.com/jimmyleaf/ocr_tensorflow_cnn
- Github使用
 - 代码获取:
 - 安装git
 - `git clone https://github.com/jimmyleaf/ocr_tensorflow_cnn.git`

实验

- github源码修改

- 图像生成语句修改

- 把文件tf_cnn_lstm_ctc.py的145行的obj.gen_image(True)里面的True删除

- 字符生成属性修改

- 把文件的genIDCard.py的120行的注释去掉，并把121行注释

Py3中语法兼容性修改:

1. xrange改成range;
2. genIdCard.py中，freetype.matrix()方法中参数 数字后缀L去掉;
3. Print 后加()

```
119 |         vecs = np.zeros((self.max_size - self.len))  
120 |         #size = random.randint(1, self.max_size)  
121 |         size = self.max_size
```



```
120 |         size = random.randint(1, self.max_size)  
121 |         #size = self.max_size
```


实验

- github源码修改
 - 启用训练
 - 把tf_cnn_lstm_ctc.py文件的main函数的train函数的注释去掉
 - 减少冗余(可选)
 - 把tf_cnn_lstm_ctc.py文件的第150行的print targets注释，否则每个batch都会打印一边batch产生的字符串
 - 添加训练停止条件语句
 - 如果想当达到一定的精度后返回，应该在哪里加？怎么加？
 - 添加模型参数保存语句
 - 没有保存模型文件的语句，应该在哪里加？

实验

● 参数定义

```
num_epochs = 10000

num_hidden = 64
num_layers = 1

obj = gen_id_card()

num_classes = obj.len + 1 + 1 # 10位数字 + blank + ctc blank
```

● LSTM模型定义

```
205 #定义LSTM网络
206 cell = tf.contrib.rnn.LSTMCell(num_hidden, state_is_tuple=True)
207 stack = tf.contrib.rnn.MultiRNNCell([cell] * num_layers, state_is_tuple=True)
208 outputs, _ = tf.nn.dynamic_rnn(cell, inputs, seq_len, dtype=tf.float32)
209
210 shape = tf.shape(inputs)
211 batch_s, max_timesteps = shape[0], shape[1]
212
213 outputs = tf.reshape(outputs, [-1, num_hidden])
214 W = tf.Variable(tf.truncated_normal([num_hidden,
215                                     num_classes],
216                                     stddev=0.1), name="W")
217 b = tf.Variable(tf.constant(0., shape=[num_classes]), name="b")
218
219 logits = tf.matmul(outputs, W) + b
220
221 logits = tf.reshape(logits, [batch_s, -1, num_classes])
222
223 logits = tf.transpose(logits, (1, 0, 2))
224
225 return logits, inputs, targets, seq_len, W, b
```

实验

• CTC Loss

```
236 loss = tf.nn.ctc_loss(labels=targets,inputs=logits, sequence_length=seq_len)
237 cost = tf.reduce_mean(loss)
238
239 #optimizer = tf.train.MomentumOptimizer(learning_rate=learning_rate,momentum=MOMENTUM).minimize(cost, global_step=global_step)
240 optimizer = tf.train.AdamOptimizer(learning_rate=learning_rate).minimize(loss,global_step=global_step)
241 decoded, log_prob = tf.nn.ctc_beam_search_decoder(logits, seq_len, merge_repeated=False)
242
```

• 训练

```
256 def do_batch():
257     train_inputs, train_targets, train_seq_len = get_next_batch(
        BATCH_SIZE)
258
259     feed = {inputs: train_inputs, targets: train_targets, seq_len:
        train_seq_len}
260
261     b_loss,b_targets, b_logits, b_seq_len,b_cost, steps, _ =
        session.run([loss, targets, logits, seq_len, cost, global_step,
        optimizer], feed)
262
```

18

实验

• CTC Loss

```
236 loss = tf.nn.ctc_loss(labels=targets,inputs=logits, sequence_length=seq_len)
237 cost = tf.reduce_mean(loss)
238
239 #optimizer = tf.train.MomentumOptimizer(learning_rate=learning_rate,momentum=MOMENTUM).minimize(cost, global_step=global_step)
240 optimizer = tf.train.AdamOptimizer(learning_rate=learning_rate).minimize(loss,global_step=global_step)
241 decoded, log_prob = tf.nn.ctc_beam_search_decoder(logits, seq_len, merge_repeated=False)
242
```

• 训练

```
256 def do_batch():
257     train_inputs, train_targets, train_seq_len = get_next_batch(
        BATCH_SIZE)
258
259     feed = {inputs: train_inputs, targets: train_targets, seq_len:
        train_seq_len}
260
261     b_loss,b_targets, b_logits, b_seq_len,b_cost, steps, _ =
        session.run([loss, targets, logits, seq_len, cost, global_step,
        optimizer], feed)
262
```

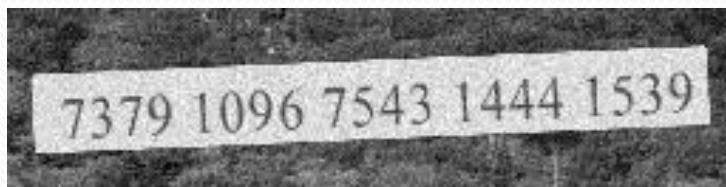
训练时：
用ctc_loss处理lstm的logits

• 预测

```
test_inputs,test_targets,test_seq_len = get_next_batch(
    BATCH_SIZE)
test_feed = {inputs: test_inputs,
             targets: test_targets,
             seq_len: test_seq_len}
dd, log_probs, accuracy = session.run([decoded[0], log_prob,
acc], test_feed)
```

预测时：
用ctc_beam_search_decoder
处理logits

实验结果示例



73791096754314441539

编程练习

- 使用Lab3中的captcha，或 FreeType 生成图片样本集，并通过LSTM+CTC 的网络结构完成识别
 - 要求
 - 字符个数不限(比如2-4个字符)
 - 选做附加题：生成数字+字母的组合序列码，进行识别



Thanks