## 一、实验项目名称:

基于词袋模型的场景识别

## 二、实验原理:

本次实验主要使用了两种特征提取算法(Tiny images feature 和 Bag of sift)及两种分类算法(k-Nearest Neighbor 和 SVM)进行场景识别。接下来将分别介绍四种算法的原理:

### (1) 特征提取——Tiny images feature

Tiny images feature 方法首先将原始图片的大小调整,例如调整到 16\*16,接着将图片转换为向量并归一化处理得到一个目标图像特征向量,然后利用这个特征向量代表该类别以进行后面的分类操作。

### (2) 特征提取——Bag of sift

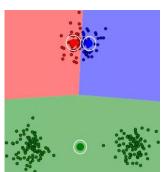
该算法分为以下三步:

- a) 利用 SIFT 算法提取图片特征点,得到视觉词汇
- b) 用 K-means 对 SIFT 特征点(视觉词汇)进行聚类,构造词典, 得到 k 个聚类中心,每个聚类中心看作一个用于计算的标准词 汇,k 个聚类中心组成词典
- c) 利用词汇字典的中标准词汇(聚类中心)表示图像。对于每个输入图像,利用 SIFT 算法从图像中提取多个特征点,将这些特征点用词汇字典中的标准词汇近似代替,通过统计词汇字典中每个标准词汇在图像中出现的次数,得到一个 k 维特征向量,该向量表征了图片中各标准词汇的出现次数。

以人脸,自行车,吉他三个目标类为例,首先从三个图像中利用 SIFT 算法提取出视觉词汇如下:



接着将所有视觉词汇集合在一起,利用 K-means 算法构造词汇字典:



再将输入图像用 SIFT 算法得到的特征点用词汇字典中近似词汇 代替,并统计词典中每个词汇出现的次数,得到一个 k 维特征向量。 如下:



### (3) 分类算法——k-Nearest Neighbor

kNN 是通过测量不同特征值之间的距离进行分类,如果一个样本在特征空间中的 k 个最邻近的样本中的大多数属于某一个类别,则该样本也划分为这个类别。在本实验中,计算输入图片的特征向量与训练集中所有图片特征的距离,筛选出距离最近的 k 个图片,他们中出现次数最多的类别作为图片的类别。

#### (4) 分类算法——SVM

SVM 即支持向量机,是一种 2 元分类器,通过最大化正负类之间分割线的距离得到分类方法。由于 svm 只能进行 2 元分类,因此对 n 个类别进行分类时需要训练 n 个分类器,用 n 个分类器判断样本属于该类别的概率,取概率最大值作为样本的最终类别。

## 三、实验目的:

图像识别。特征提取+分类器构建和使用

## 四、实验内容:

本次实验实现了 Tiny images feature 特征提取, Bag of SIFT 特征提取, k-Nearest Neighbor 图片分类, SVM 分类器四个部分模块及主函数模块。

- (1) Tiny images feature 特征提取由 get\_tiny\_images.m 实现
- (2) Bag of SIFT 特征提取 build\_vocabulary.m 实现词袋中标准词汇的选择 get\_bags\_of\_sifts.m 实现词袋模型的构建
- (3) k-Nearest Neighbor 图片分类由 nearest\_neighbor\_classify.m 实现
- (4) SVM 分类器由 svm\_classify.m 实现
- (5) 主函数 project3.m 实现每次实验不同算法的选取,实现了以下几种"特征提取+分类器"组合来实现图像识别
- a) Tiny + Nearest Neighbor
- b) Tiny + SVM

- c) Bags of SIFT + Nearest Neighbor
- d) Bags of SIFT+SVM

## 五、实验步骤:

以上几个模块的代码实现如下:

1) get\_tiny\_images.m

```
function image_feats = get_tiny_images(image_paths)

row = size(image_paths, 1);
image_feats = zeros(row, 16*16);
for i = 1 : row
    image = imread(image_paths{i});
    image_feats(i,:) = reshape(imresize(image, [16 16]), 1, 16*16);
    temp = image_feats(i,:) - mean(image_feats(i,:));
    image_feats(i,:) = temp./norm(temp);
end
```

2) build\_vocabulary.m

```
function vocab = build_vocabulary( image_paths, vocab_size )

row = size(image_paths, 1);
descriptors_num = 8;
descriptors = zeros(128, row * descriptors_num);
for i=1:row
    img = im2single(imread(image_paths{i}));
    [~,DESCRS] = vl_dsift(img);
    DESCRS = DESCRS(:,1:descriptors_num);
    descriptors(:,descriptors_num * (i-1) + 1 : descriptors_num * i) = DESCRS;
end

[C, ~] = vl_kmeans(descriptors, vocab_size);
vocab = single(C');
```

3) get\_bags\_of\_sifts.m

```
function image_feats = get_bags_of_sifts(image_paths)

load('vocab.mat')
vocab = vocab';
vocab_size = size(vocab, 2);
image_size=size(image_paths,1);
image_feats=zeros(image_size,vocab_size);
for i=1:1:image_size
    image=single(imread(image_paths{i}));
```

#### 4) nearest\_neighbor\_classify.m

```
predicted_categories
function
                                         nearest_neighbor_classify(train_image_feats,
train_labels, test_image_feats)
test_num = size(test_image_feats,1);
distances = vl_alldist2(train_image_feats', test_image_feats');
labels = unique(train_labels);
labels_num = size(labels, 1);
[\sim, indices] = sort(distances, 1);
labels_count = zeros(labels_num, test_num);
for i = 1:test_num
     for j = 1:labels_num
         max20_labels = train_labels(indices(1:20, i));
         labels_count(j,i) = sum(strcmp(labels(j), max20_labels));
     end
end
[\sim, I] = \max(labels\_count, [], 1);
predicted_categories = labels(I);
end
```

#### 5) svm\_classify.m

```
function predicted_categories = svm_classify(train_image_feats, train_labels,
test_image_feats)

train_num = size(train_image_feats, 1);
test_num = size(test_image_feats, 1);
categories = unique(train_labels);
categories_num = length(categories);
W1 = zeros(categories_num, size(test_image_feats, 2));
B2 = zeros(categories_num, 1);
for i=1:categories_num
    labels = ones(train_num, 1).*-1;
    labels(strcmp(categories{i}, train_labels)) = 1;
```

```
[W, B] = vl_svmtrain(train_image_feats', labels, 0.001);
W1(i,:) = W';
B2(i) = B;
end

confidences = W1*test_image_feats'+repmat(B2,1,test_num);
[~, indices] = max(confidences);
predicted_categories = categories(indices);
end
```

#### 6) project3.m

```
%FEATURE = 'tiny image';
FEATURE = 'bag of sift';
%FEATURE = 'placeholder';
CLASSIFIER = 'nearest neighbor';
%CLASSIFIER = 'support vector machine';
%CLASSIFIER = 'placeholder';
data_path = 'C:\Users\cy\Documents\Tencent Files\786678234\FileRecv\proj1-3
(1)\proj3\data\';
categories = {'Kitchen', 'Store', 'Bedroom', 'LivingRoom', 'Office', ...
        'Industrial', 'Suburb', 'InsideCity', 'TallBuilding', 'Street', ...
        'Highway', 'OpenCountry', 'Coast', 'Mountain', 'Forest'};
abbr_categories = {'Kit', 'Sto', 'Bed', 'Liv', 'Off', 'Ind', 'Sub', ...
     'Cty', 'Bld', 'St', 'HW', 'OC', 'Cst', 'Mnt', 'For'};
num_train_per_cat = 100;
fprintf('Getting paths and labels for all train and test data\n')
[train_image_paths, test_image_paths, train_labels, test_labels] = ...
     get_image_paths(data_path, categories, num_train_per_cat);
fprintf('Using %s representation for images\n', FEATURE)
switch lower(FEATURE)
     case 'tiny image'
         train_image_feats = get_tiny_images(train_image_paths);
         test_image_feats = get_tiny_images(test_image_paths);
     case 'bag of sift'
```

```
if exist('vocab.mat', 'file')
              fprintf('calculating train_image_feats\n');
              vocab_size=600;
              vocab=build_vocabulary(train_image_paths, vocab_size);
              save('vocab.mat','vocab');
         end
         train_image_feats = get_bags_of_sifts(train_image_paths);
         test_image_feats = get_bags_of_sifts(test_image_paths);
    case 'placeholder'
         train_image_feats = [];
         test_image_feats = [];
    otherwise
         error('Unknown feature type')
end
fprintf('Using %s classifier to predict test set categories\n', CLASSIFIER)
switch lower(CLASSIFIER)
    case 'nearest neighbor'
         predicted_categories
                                        nearest_neighbor_classify(train_image_feats,
train_labels, test_image_feats);
    case 'support vector machine'
         predicted_categories =
                                     svm_classify(train_image_feats,
                                                                        train_labels,
test_image_feats);
    case 'placeholder'
         random_permutation = randperm(length(test_labels));
         predicted_categories = test_labels(random_permutation);
    otherwise
         error('Unknown classifier type')
end
create_results_webpage( train_image_paths, ...
                            test_image_paths, ...
                            train_labels, ...
                            test_labels, ...
                            categories, ...
```

```
abbr_categories, ... predicted_categories)
```

#### 7) get\_image\_paths.m

```
function [train_image_paths, test_image_paths, train_labels, test_labels] = ...
    get_image_paths(data_path, categories, num_train_per_cat)
num_categories = length(categories);
train_image_paths = cell(num_categories * num_train_per_cat, 1);
test_image_paths = cell(num_categories * num_train_per_cat, 1);
train_labels = cell(num_categories * num_train_per_cat, 1);
test_labels = cell(num_categories * num_train_per_cat, 1);
for i=1:num_categories
   images = dir( fullfile(data_path, 'train', categories{i}, '*.jpg'));
   for j=1:num_train_per_cat
        train_image_paths{(i-1)*num_train_per_cat + j} = fullfile(data_path, 'train',
categories{i}, images(j).name);
        train_labels{(i-1)*num_train_per_cat + j} = categories{i};
   end
   images = dir( fullfile(data_path, 'test', categories{i}, '*.jpg'));
   for j=1:num_train_per_cat
        test_image_paths{(i-1)*num_train_per_cat + j} = fullfile(data_path, 'test',
categories{i}, images(j).name);
        test_labels{(i-1)*num_train_per_cat + j} = categories{i};
   end
end
```

#### 8) create\_results\_webpage.m

```
function create_results_webpage( train_image_paths, test_image_paths, train_labels, test_labels, categories, abbr_categories, predicted_categories)

fprintf('Creating results_webpage/index.html, thumbnails, and confusion matrix\n')

num_samples = 2;
thumbnail_height = 75; %pixels

delete('results_webpage/thumbnails/*.jpg')

[success,message,messageid] = mkdir('results_webpage');
[success,message,messageid] = mkdir('results_webpage/thumbnails');
fclose('all');
fid = fopen('results_webpage/index.html', 'w+t');
```

```
num_categories = length(categories);
confusion_matrix = zeros(num_categories, num_categories);
for i=1:length(predicted_categories)
     row = find(strcmp(test_labels{i}, categories));
     column = find(strcmp(predicted_categories{i}, categories));
     confusion_matrix(row, column) = confusion_matrix(row, column) + 1;
end
num_test_per_cat = length(test_labels) / num_categories;
confusion_matrix = confusion_matrix ./ num_test_per_cat;
accuracy = mean(diag(confusion_matrix));
             'Accuracy (mean of diagonal of confusion matrix) is %.3f\n', accuracy)
fprintf(
fig handle = figure;
imagesc(confusion_matrix, [0 1]);
set(fig_handle, 'Color', [.988, .988, .988])
axis_handle = get(fig_handle, 'CurrentAxes');
set(axis_handle, 'XTick', 1:15)
set(axis_handle, 'XTickLabel', abbr_categories)
set(axis_handle, 'YTick', 1:15)
set(axis_handle, 'YTickLabel', categories)
visualization_image = frame2im(getframe(fig_handle));
imwrite(visualization_image, 'results_webpage/confusion_matrix.png')
fprintf(fid,'<!DOCTYPE html>\n');
fprintf(fid,'<html>\n');
fprintf(fid,'<head>\n');
fprintf(fid,'<link
href="http://fonts.googleapis.com/css?family=Nunito:300|Crimson+Text|Droid+Sa
ns+Mono" rel="stylesheet" type="text/css">\n');
fprintf(fid,'<style type="text/css">\n');
fprintf(fid, body \{ \n' \};
fprintf(fid,' margin: 0px;\n');
fprintf(fid,' width: 100%%;\n');
fprintf(fid,' font-family: "Crimson Text", serif;\n');
fprintf(fid,' background: #fcfcfc;\n');
fprintf(fid,'}\n');
fprintf(fid,'table td {\n');
fprintf(fid,' text-align: center;\n');
```

```
fprintf(fid,' vertical-align: middle;\n');
fprintf(fid,'}\n');
fprintf(fid,'h1 {\n'});
fprintf(fid,' font-family: "Nunito", sans-serif;\n');
fprintf(fid,' font-weight: normal;\n');
fprintf(fid,' font-size: 28px;\n');
fprintf(fid,' margin: 25px 0px 0px 0px;\n');
fprintf(fid,' text-transform: lowercase;\n');
fprintf(fid,'}\n');
fprintf(fid,'.container {\n');
fprintf(fid,' margin: 0px auto 0px auto;\n');
fprintf(fid,' width: 1160px;\n');
fprintf(fid,'}\n');
fprintf(fid,'</style>\n');
fprintf(fid,'</head>\n');
fprintf(fid,'<body>\n\n');
fprintf(fid,'<div class="container">\n\n\n');
fprintf(fid,'<center>\n');
fprintf(fid,'<h1>CS 143 Project 3 results visualization</h1>\n');
fprintf(fid,'<img src="confusion_matrix.png">\n\n');
fprintf(fid,'<br>\n');
fprintf(fid,'Accuracy (mean of diagonal of confusion matrix) is %.3f\n', accuracy);
fprintf(fid,'\n\n');
fprintf(fid,'\n');
fprintf(fid,'\n');
fprintf(fid,'Category name\n');
fprintf(fid,'Accuracy\n');
fprintf(fid,'Sample training images\n', num_samples);
fprintf(fid,'Sample true positives\n', num_samples);
fprintf(fid,'False positives with true label\n', num_samples);
fprintf(fid,'False negatives with wrong predicted label\n',
num_samples);
fprintf(fid,'\n');
for i = 1:num_categories
    fprintf(fid,'\n');
    fprintf(fid,''); %category name
    fprintf(fid,'%s', categories{i});
    fprintf(fid,'\n');
```

```
fprintf(fid,''); %category accuracy
    fprintf(fid,'%.3f', confusion_matrix(i,i));
    fprintf(fid,'\n');
    %collect num_samples random paths to images of each type.
    %Training examples.
    train_examples = train_image_paths(strcmp(categories{i}, train_labels));
    %True positives. There might not be enough of these if the classifier
    %is bad
    true_positives = test_image_paths(strcmp(categories{i}, test_labels) & ...
                                            strcmp(categories{i},
predicted_categories));
    false_positive_inds = ~strcmp(categories{i}, test_labels) & ...
                               strcmp(categories{i}, predicted_categories);
    false_positives = test_image_paths(false_positive_inds);
    false_positive_labels = test_labels(false_positive_inds);
    false_negative_inds = strcmp(categories{i}, test_labels) & ...
                             ~strcmp(categories{i}, predicted_categories);
    false_negatives = test_image_paths( false_negative_inds );
    false_negative_labels = predicted_categories(false_negative_inds);
    %Randomize each list of files
    train_examples = train_examples( randperm(length(train_examples)));
    true_positives = true_positives( randperm(length(true_positives)));
    false_positive_shuffle = randperm(length(false_positives));
    false_positives = false_positives(false_positive_shuffle);
    false_positive_labels = false_positive_labels(false_positive_shuffle);
    false_negative_shuffle = randperm(length(false_negatives));
    false_negatives = false_negatives(false_negative_shuffle);
    false_negative_labels = false_negative_labels(false_negative_shuffle);
    train_examples
                                 train_examples(
                                                     1:min(length(train_examples),
num_samples));
    true_positives = true_positives( 1:min(length(true_positives), num_samples));
    false_positives = false_positives(1:min(length(false_positives),num_samples));
    false_positive_labels
false_positive_labels(1:min(length(false_positive_labels),num_samples));
    false_negatives = false_negatives(1:min(length(false_negatives),num_samples));
```

```
false_negative_labels
false_negative_labels(1:min(length(false_negative_labels),num_samples));
    for j=1:num_samples
        if(j <= length(train_examples))</pre>
             tmp = imread(train_examples{j});
             height = size(tmp,1);
             rescale_factor = thumbnail_height / height;
             tmp = imresize(tmp, rescale_factor);
             [height, width] = size(tmp);
             [pathstr,name, ext] = fileparts(train_examples{j});
             imwrite(tmp, ['results_webpage/thumbnails/' categories{i} '_' name
'.jpg'], 'quality', 100)
             fprintf(fid,'');
             fprintf(fid,'<img src="%s" width=%d height=%d>', ['thumbnails/'
categories{i} '_' name '.jpg'], width, height);
             fprintf(fid,'\n');
        else
             fprintf(fid,'');
             fprintf(fid,'\n');
        end
    end
    for j=1:num_samples
        if(j <= length(true_positives))</pre>
             tmp = imread(true_positives{j});
             height = size(tmp,1);
             rescale_factor = thumbnail_height / height;
             tmp = imresize(tmp, rescale_factor);
             [height, width] = size(tmp);
             [pathstr,name, ext] = fileparts(true_positives{j});
             imwrite(tmp, ['results_webpage/thumbnails/' categories{i} '_' name
'.jpg'], 'quality', 100)
             fprintf(fid,'');
             fprintf(fid,'<img src="%s" width=%d height=%d>', ['thumbnails/'
categories{i} '_' name '.jpg'], width, height);
             fprintf(fid,'\n');
        else
             fprintf(fid,'');
             fprintf(fid,'\n');
        end
    end
```

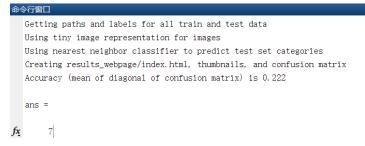
```
for j=1:num_samples
        if(j <= length(false_positives))</pre>
             tmp = imread(false_positives{j});
             height = size(tmp,1);
             rescale_factor = thumbnail_height / height;
             tmp = imresize(tmp, rescale_factor);
             [height, width] = size(tmp);
             [pathstr,name, ext] = fileparts(false_positives{j});
             imwrite(tmp, ['results_webpage/thumbnails/' false_positive_labels{j}
'_' name '.jpg'], 'quality', 100)
             fprintf(fid,'');
             fprintf(fid,'<img src="%s" width=%d height=%d>', ['thumbnails/'
false_positive_labels{j} '_' name '.jpg'], width, height);
             fprintf(fid,'<br><small>%s</small>', false_positive_labels{j});
             fprintf(fid,'\n');
        else
             fprintf(fid,'');
             fprintf(fid,'\n');
        end
    end
    for j=1:num_samples
        if(j <= length(false_negatives))</pre>
             tmp = imread(false_negatives{j});
             height = size(tmp,1);
             rescale_factor = thumbnail_height / height;
             tmp = imresize(tmp, rescale_factor);
             [height, width] = size(tmp);
             [pathstr,name, ext] = fileparts(false_negatives{j});
             imwrite(tmp, ['results_webpage/thumbnails/' categories{i} '_' name
'.jpg'], 'quality', 100)
             fprintf(fid,'');
             fprintf(fid,'<img src="%s" width=%d height=%d>', ['thumbnails/'
categories{i} '_' name '.ipg'], width, height);
             fprintf(fid,'<br><small>%s</small>', false_negative_labels{j});
             fprintf(fid,'\n');
        else
             fprintf(fid,'');
             fprintf(fid,'\n');
        end
    end
```

```
fprintf(fid,'\n');
end
fprintf(fid,'\n');
fprintf(fid,'Category name\n');
fprintf(fid,'Accuracy\n');
fprintf(fid,'Sample training images\n', num_samples);
fprintf(fid,'Sample true positives\n', num_samples);
fprintf(fid,'False positives with true label\n', num_samples);
fprintf(fid,'False negatives with wrong predicted label\n',
num_samples);
fprintf(fid,'\n');
fprintf(fid,'\n');
fprintf(fid,'</center>\n\n');
fprintf(fid,'</div>\n')
fprintf(fid,'</body>\n');
fprintf(fid,'</html>\n');
fclose(fid);
```

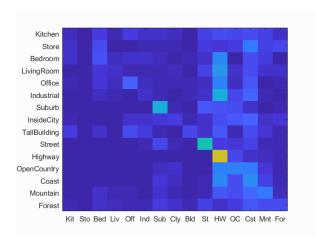
## 六、实验数据及结果分析:

(1) Tiny + Nearest Neighbor

命令行结果如下所示,可见精度为0.222



confusion matrix 结果如下:



## Webpage 结果如下:



## (2) Tiny + SVM

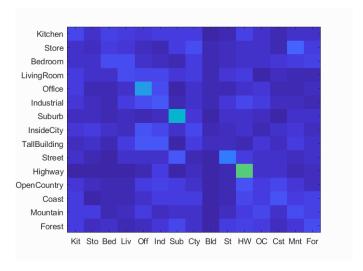
命令行结果如下所示,可见精度为0.196

#### 命令行窗口

>> proj3

Getting paths and labels for all train and test data
Using tiny image representation for images
Using support vector machine classifier to predict test set categories
Creating results\_webpage/index.html, thumbnails, and confusion matrix
Accuracy (mean of diagonal of confusion matrix) is 0.196

### confusion\_matrix 结果如下:

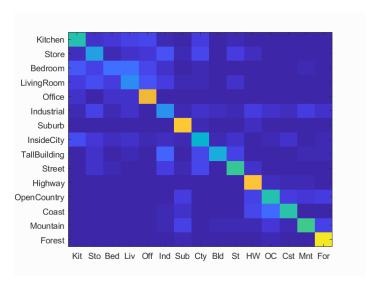


## Webpage 结果如下:



### (3) Bags of SIFT + Nearest Neighbor

当 k=20, step=5, vocab\_size=500 时,运行结果精度为 0.551。confusion\_matrix 结果如下:



## Webpage 结果如下:



a) 讨论 Bag of SIFT 中 vocal\_size 的选取对结果的影响 当 vocal\_size 分别取 400,500,600 时(控制 k=1,step=5),结果如下:

Vocab_size	400	500	600
精度	0.558	0.551	0.544
confusion_matrix	States Size Size Size Size Size Size Size Size	State Size Size Size Size Size Size Size Siz	States State

可见,随着 vocab\_size 的增大,精度不断下降。

## b) 讨论 SIFT 中 step 的选取对结果的影响

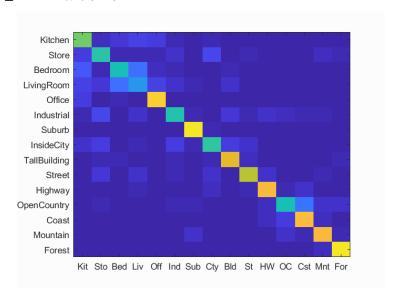
当 step 分别取 3,5,7 时(控制 vocab\_size=500,k=1), 结果如下:

Step	3	5	7
精度	0.547	0.551	0.519
confusion_matrix	States Store Store Other Indigities Other Indigities In	Makes Size Size Size Size Size Size Size Size	Sicher Sicher Unegführe OSta- Iskarlar

可见,随着 step 的增大,精度先增加后降低,因而应当会存在一个最优的 step 使得精度最高。

### (4) Bags of SIFT+SVM

当 lambda=0.0001 时运行结果精度为 0.693 confusion matrix 结果如下:

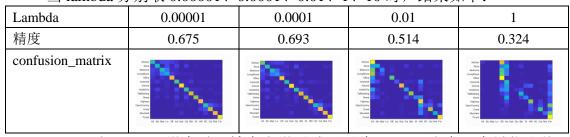


webpage 结果如下:



讨论 SVM 中 lambda 取值对结果的影响。

当 lambda 分别取 0.00001、0.0001、0.01、1、10 时,结果如下:



可见,当 lambda 增大时,精度先增后减,因此 lambda 应有一个最优取值。

# 七、实验结论:

由以上结果可见,本次实验完成了使用不同方法进行场景识别的目的。此外,通过控制变量法还能得到以下几个小结论:

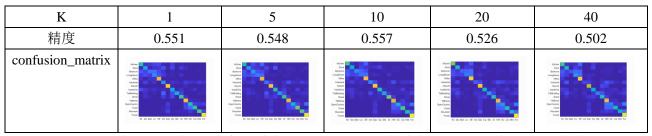
● Tiny images feature 算法无论是与 SVM 还是 k-Nearest Neighbor 结合效果都不好。

- Bag of sift 与 SVM 的结合效果最好,在最好情况精度达到了 0.693。
- 随着随着 vocab size 的增大,精度不断下降。
- 随着 step 的增大,精度先增加后降低。
- 随着 lambda 的增大,精度先增后减。

# 八、对本实验过程及方法的改进建议:

本次实验仅对 vocab\_size、step 和 lambda 进行了敏感性分析,除此之外还可以对 kNN 中的 k 取值进行敏感性分析。

利用 Bag of SIFT 和 kNN 进行图像识别, 当 k 取 1, 5, 10, 20, 40 时 (控制 vocab\_size=500,step=5), 实验结果如下:



可见,随着 k 的增加,精度先降低再上升后又逐步降低,因而这中间应当存在最优的 k 值使得结果精度最高。