

MATH1064

Usyd Mingyuan Ba

November 18, 2023

1 Week1

(i) Definitions

- Propositions : A proposition is a sentence that is true or false but **not both**.
 - Variables and Compound Propositions : We use capital letters for compound propositions, which are made up from proposition variables (e.g. p, q,r, ...) and the symbols with \wedge , \vee , \neg and unambiguous parentheses.
 - Logical equivalence : Two compound propositions P and Q are logically equivalent:
 $P \equiv Q$
if they have identical truth values for every possible combination of truth values for their proposition variables.
 - Contradiction : A contradiction is a compound proposition which takes the value false **for all possible truth values (true/false in all combinations)** of its variables.
Examples: $p \wedge \neg p$, $(q \wedge p) \wedge \neg p$
 - Tautology : A tautology is a compound proposition which takes the value true **for all possible truth values (true/false in all combinations)** of its variables.
Examples: $p \vee \neg p$
-

- The conditional : Let p and q be proposition variables; the conditional from p to q , $p \rightarrow q$, is defined by the following truth table:

p	q	$p \rightarrow q$
T	T	T
T	F	F
F	T	T
F	F	T

p is the hypothesis and q is the conclusion.

Different ways of saying $p \rightarrow q$:

- 1 p implies q
- 2 if p , then q
- 3 q if p
- 4 p only if q
- 5 p is sufficient for q
- 6 q is necessary for p

$p \rightarrow q$ is false if and only if it describes a counterexample; that is, the hypothesis is true but the conclusion is false.

$$p \rightarrow q \equiv \neg p \vee q$$

- Converse : The converse of $p \rightarrow q$ is $q \rightarrow p$.
- Inverse : The inverse of $p \rightarrow q$ is $\neg p \rightarrow \neg q$.
- The biconditional : Let p and q be proposition variables; the biconditional from p to q , $p \Leftrightarrow q$, is defined by the following truth table:

p	q	$p \Leftrightarrow q$
T	T	T
T	F	F
F	T	F
F	F	T

$$p \Leftrightarrow q \equiv (p \rightarrow q) \wedge (q \rightarrow p) \equiv (\neg p \vee q) \wedge (\neg q \vee p)$$

- Satisfiability : A compound proposition is satisfiable if there is an assignment of truth values to its variables that makes it true. Otherwise it is unsatisfiable.

-
- Predicates : A predicate is a sentence that contains finitely many variables, and which becomes a proposition (aka statement) if the variables are given specific values.

Definition (Domain) : The domain of a variable in a predicate is the set of all possible values that may be assigned to it.

Definition (Truth set) : The truth set of a predicate $P(x)$ is the set of all values in the domain that, when assigned to x , make $P(x)$ a true statement.

(ii) Technological skills in Latex

- The conjunction symbol: $p \wedge q$
- The disjunction symbol: $p \vee q$
- The negation symbol: $\neg p$
- The Logical equivalence symbol: $p \equiv q$

(iii) Logical equivalence

- Commutative Laws
 - $p \wedge q = q \wedge p$
 - $p \vee q = q \vee p$
- Associative laws
 - $(p \wedge q) \wedge r = p \wedge (q \wedge r)$
 - $(p \vee q) \vee r = p \vee (q \vee r)$
- Distributive laws
 - $p \wedge (q \vee r) = (p \wedge q) \vee (p \wedge r)$
 - $p \vee (q \wedge r) = (p \vee q) \wedge (p \vee r)$

- De Morgan's laws
 - $\neg(p \wedge q) = \neg p \vee \neg q$
 - $\neg(p \vee q) = \neg p \wedge \neg q$

- Absorption laws
 - $p \vee (p \wedge q) = p$
 - $p \wedge (p \vee q) = p$
-

-
- Equivalences OF implies

- $p \rightarrow q = \neg p \vee q$

- \neg Prove: $(p \rightarrow r) \vee (q \rightarrow r) = (p \vee q) \rightarrow r:$
 $(p \rightarrow r) \wedge (q \rightarrow r) = (\neg p \vee r) \wedge (\neg q \vee r)$
 $= (\neg p \wedge \neg q) \vee r$ distributive laws
 $= \neg(p \vee q) \vee r$
 $= (p \vee q) \rightarrow r$

2 Week2

(i) Definitions

- The universal quantifier : \forall For all
Every real number is non-negative or non-positive
 \equiv For all $r \in \mathbb{R}$, $r \geq 0$ or $r \leq 0$
 $\equiv \forall r \in \mathbb{R}, r \geq 0 \text{ or } r \leq 0$
- The existential quantifier : \exists There exists
There is a real number whose square equals 2.
 $\equiv \exists r \in \mathbb{R} \text{ such that } r^2 = 2$
 $\equiv \exists r \in \mathbb{R} : r^2 = 2$
- Negation of quantified statements :
 - Universal statement : $\forall x \in D : Q(x)$
The negation of this statement is logically equivalent to: $\exists x \in D, \neg Q(x)$
 - Existential statement : $\exists x \in D : R(x)$
The negation of this statement is logically equivalent to: $\forall x \in D, \neg R(x)$
- Negating multiple quantifiers :
 $\exists x \in \mathbb{R} : \forall y \in \mathbb{R}, xy = 0$
The negation of this statement is logically equivalent to:
 $\forall x \in \mathbb{R} : \neg(\forall y \in \mathbb{R}, xy = 0)$
- Valid and invalid arguments : An argument form is valid if, whenever all of the premises are true, then the conclusion is true also.
Otherwise the argument form is invalid.
 - Valid
 - 1. $p \rightarrow q$ ——(premise)
 - 2. p ——(premise)
 - c.... q ——(conclusion)
 - Invalid (**converse error**)
 - 1. $p \rightarrow q$ ——(premise)
 - 2. q ——(premise)
 - c.... p ——(conclusion)
 - Invalid (**inverse error**)
 - 1. $p \rightarrow q$ ——(premise)
 - 2. $\neg p$ ——(premise)
 - c.... $\neg q$ ——(conclusion)

To be valid, in every row where the premises are all true , the conclusion must be true. It does not matter what happens in rows where some of the premises are false.

The argument form with premises p_1, \dots, p_k and conclusion c is valid if and only if $p_1 \wedge, \dots, \wedge p_k \implies c$ is a tautology

- Rules of inference :

- Modus ponens and Modus tollends(method of denying)

- * Modus Ponens :
If $p \rightarrow q$ and p
then q.

- * Modus Tollens :
If $p \rightarrow q$ and $\neg q$
then $\neg p$.

- Hypothetical Syllogism and Disjunctive Syllogism

- * Hypothetical Syllogism:
If $p \rightarrow q, q \rightarrow r$
then $p \rightarrow r$

- * Disjunctive Syllogism:
If $p \vee q$ and $\neg p$
then q.

- Addition (generalisation) and Simplification (specialisation):

- * Addition (generalisation) :
If P
then $P \vee Q$

- * Simplification (specialisation):
If $P \wedge Q$
then P

- resolution(uncompleted)

-
- Vacuous truth : A vacuous truth is a statement that asserts that all members of an empty set have a certain property. Because the set is empty, the statement is considered true regardless of the property being discussed. This might sound confusing at first, but it's a logical convention that has been accepted in mathematics and formal logic.

Example 1 :

For all real numbers r such that $r^2 = 1$, we have $r > r$.

There is **no real number** for which $r^2 = -1$.

This means that $r^2 = 1$ is always false, and so the conditional:

$(r^2 = -1) \rightarrow \text{anything}$ is always true.

In symbols:

$$\forall r \in \mathbb{R}, (r^2 = -1) \rightarrow (r > r)$$

is a true proposition.

There is no real number for which $r^2 = -1$, so the conditional is always true since its hypothesis is always false. Hence the conditional is a **tautology**. We call this **vacuous truth**.

Example 2 :

Premises : $(\neg R \vee P), (\neg J \rightarrow \neg P), (R \wedge \neg J)$

(1)	$\neg R \vee P$	(1)
(2)	$\neg J \rightarrow \neg P$	(2)
(3)	$R \wedge \neg J$	(3)
(4)	R	(Specialisation from (3))
(5)	$R \vee G$	(Generalisation from (4))
(6)	$\neg J$	(Specialisation from (3))
(7)	$\neg P$	(Modus ponens from (6) and (2))
(8)	$\neg R$	(Elimination from (7) and (1))
(9)	G	(Elimination from (8) and (5))

but

-
1. $\neg R \vee P$
 2. $\neg J \rightarrow \neg P$
 3. $R \wedge \neg J$
 4. R (Specialisation from (3))
 5. $R \vee \neg G$ (Generalisation from (4))
 6. $\neg J$ (Specialisation from (3))
 7. $\neg P$ (Modus ponens from (6) and (2))
 8. $\neg R$ (Elimination from (7) and (1))
 9. $\neg G$ (Elimination from (8) and (5))

Problem: The conjunction of the premises is a contradiction.

$$(\neg R \vee P) \wedge (\neg J \rightarrow \neg P) \wedge (R \wedge \neg J)$$

In other words: the premises are inconsistent.

We have seen that one can derive anything from this contradiction:

$$(\neg R \vee P) \wedge (\neg J \rightarrow \neg P) \wedge (R \wedge \neg J) \wedge p_4 \wedge \dots \wedge p_8$$

$\rightarrow X$, where X = your favourite statement.

- Implicit quantification:
Mathematicians often say things like:
If x is larger than 3, then x^2 is larger than 9.

This is not a statement, since we do not know the value of x . We are just being lazy: there is an implicit \forall in here.

$$\forall x \in \mathbb{R}, x > 3 \rightarrow x^2 > 9$$

- Methods of proof :

– **Direct Proof :**

To show that $P(x) \rightarrow Q(x)$, choose an arbitrary x from the domain for which $P(x)$ is true
and use logical inference to show that $Q(x)$ is true also.

– **Proof by contradiction :**

To show that p is true,
assume that p is false
and use logical inference to prove a contradiction.

Example :

Lemma : For all $n \in \mathbb{N}$, n is either even or odd.

Proof: Assume the lemma is false. Choose the **smallest** $n \in \mathbb{N}$ that is neither odd nor even.

If $n > 0$, then it follows that $n - 1$ is either odd or even.

(i) If $n - 1$ is odd,

then $n - 1 = 2k + 1$ for some $k \in \mathbb{Z}$.

Thus $n = 2k + 2 = 2(k + 1)$, and so n is even.

(ii) If $n - 1$ is even,

then $n - 1 = 2k$ for some $k \in \mathbb{Z}$.

Thus $n = 2k + 1$, and so n is odd.

In all cases, n is either odd or even, contradicting our choice of n . Therefore every $n \in \mathbb{N}$ is either odd or even.

- Proof by contraposition :

Key idea: To prove $\forall x, P(x) \rightarrow Q(x)$: Choose some arbitrary x for which $Q(x)$ is false, and argue by logical inference that $P(x)$ must be false also. (logically depends on $p \rightarrow q \equiv \neg q \rightarrow \neg p$)

Example :

Lemma, For all $n \in \mathbb{N}$, if n^2 is odd then n is odd.

Proof : choose any $n \in \mathbb{N}$ that is not odd, which means n is even.

Therefore, $n = 2k$ for some $k \in \mathbb{Z}$, and so $n^2 = (2k)^2 = 2 * (2k)^2$

Therefore, n^2 is even, which also indicates n is not odd.

So: if n^2 is not odd, then n is not odd. By the contrapositive, this means that if n^2 is odd then n is odd.

- Disproof by counterexample :

Key idea: To disprove a statement $\forall x, P(x)$ – that is, to show that the statement is false – we simply need to show one example of an x for which $P(x)$ is false. This x is called a counterexample.

• Prime and composite :

– prime : The natural number n is **prime** if and only if $n > 1$ and, for all $r, s \in \mathbb{N}$, if $n = r \cdot s$ then $r = 1$ or $s = 1$.

which is : $\forall r, s \in \mathbb{N}, (n = r * s) \rightarrow (r = 1 \vee s = 1)$

– composite:

The natural number n is **composite** if and only if $n > 1$ and $n = r \cdot s$ for some $r, s \in \mathbb{N}$ with $r \neq 1$ and $s \neq 1$.

which is $\exists r, s \in \mathbb{N}$ such that $n = r * s \wedge r \neq 1 \wedge s \neq 1$

If $n > 1$, then n is either prime or composite, but not both.

proof : $\neg(\forall r, s \in \mathbb{N}, (n = r * s) \rightarrow (r = 1 \vee s = 1))$

$$\begin{aligned}
&\equiv \exists r, s \in \mathbb{N}, \neg((n = r * s) \rightarrow (r = 1 \vee s = 1)) \text{ ——(negation of quantified statements)} \\
&\equiv \exists r, s \in \mathbb{N}, \neg(\neg(n = r * s) \vee (r = 1 \wedge s = 1)) \text{ ——(condition to disjunction)} \\
&\equiv \exists r, s \in \mathbb{N}, (n = r * s) \wedge \neg(r = 1 \vee s = 1) \text{ ——(De Morgan's laws)} \\
&\equiv \exists r, s \in \mathbb{N}, n = r * s \wedge r \neq 1 \wedge s \neq 1 \text{ ——(De Morgan's laws)}
\end{aligned}$$

- Prime factorisation :

Proof : Every natural number $n > 1$ can be written as a product of primes.

Suppose the theorem is false. Then there exists a natural number $n > 1$ that is not a product of primes.

Choose the smallest such number n . From the previous lemma, either n is **prime** or n is **composite**. We take cases:

If n is prime, then n is trivially a product of primes ($n = n$).

If n is composite, then $n = r \cdot s$ for natural numbers $r \neq 1$ and $s \neq 1$.

This implies that $1 < r < n$ and $1 < s < n$.

Because we chose n to be the smallest natural number that is not a product of primes, both r and s (which are smaller) must be products of primes. Therefore $n = r \cdot s$ is a product of primes also.

So, regardless of whether n is prime or composite, we find that n is a product of primes. This **contradicts** our choice of n .

Therefore every natural number $n > 1$ is a product of primes.

- Without loss of generality (WLOG) :

Key idea: Use symmetry in the statement to reduce the number of cases to consider.

WLOG means that no generality is lost by making a simplifying assumption: If the simple case is true then trivially all cases must be true.

Example :

For all $a, b \in \mathbb{Z}$, if ab and $a + b$ are even, then both a and b are even.

By contraposition suppose not both a and b are even.

Without loss of generality we may assume a is odd.

So $a = 2k + 1$ for some $k \in \mathbb{Z}$.

Case 1: b is even. Then $b = 2l$ for some $l \in \mathbb{Z}$. This gives

$$a + b = (2k + 1) + 2l = 2(k + l) + 1.$$

Hence $a + b$ is odd.

Case 2: b is odd. Then $b = 2l + 1$ for some $l \in \mathbb{Z}$. This gives

$$ab = (2k + 1)(2l + 1) = 2(2kl + k + l) + 1.$$

Hence ab is odd.

In each case, not both $a + b$ and ab are even.

This completes the proof by contraposition.

3 W3

(i) Defintion

- Set theory:

A set S is a collection of objects, which are called the elements of S . If x is in S , we write $x \in S$. If not, we write $x \notin S$.

We can list the elements of S with curly braces: $S = \{x_1, x_2, \dots\}$

Example1:

$$S = \{2, 3, 4, 5\} = \{5, 4, 3, 2\} = \{2, 2, 2, 2, 3, 4, 5\}$$

$3 \in S$, but $1 \notin S$

This is a finite set.

Example2:

$$\mathbb{N} = \{0, 1, 2, 3, \dots\}$$

This is a infinite set.

- Empty set

The empty set, written \emptyset , contains no elements at all: $\emptyset = \{\}$

Formally, when we say that \emptyset is the empty set, we mean:

$$\forall x, x \notin \emptyset$$

A one-element set $\{x\}$ is not the same as x : $2 \neq \{2\}$

- Union

For sets S and T , their union is written $S \cup T$. It contains all elements that belong to S or T (possibly both):

$$\forall x, x \in S \cup T \leftrightarrow (x \in S \vee x \in T)$$

- Intersection

For sets S and T , their intersection is written $S \cap T$. It contains all elements that belong to both S and T :

$$S \cap T = \{x | x \in S \wedge x \in T\}$$

- Subsets

For sets S and T , we say that S is a subset of T if every element of S belongs to T also.

We write this as $S \subseteq T$.

Formally: $S \subseteq T$ means $\forall x, x \in S \rightarrow x \in T$

- Sets of Sets

Sets can contain other sets: $\{1, \{2, 3\}, \{1, \{\{4\}\}\}\}$

What about: $S = \{x | x \notin x\}$

Is $S \in S$?

- If $S \in S$, then (by definition of S) we have $S \notin S$.
- If $S \notin S$, then (by definition of S) we have $S \in S$.
This is **Russell's paradox**

we can use “the” empty set \emptyset as a starting point. From here we can build other sets:

$$\{\emptyset\} = \{\{\}\}$$

$$\{\emptyset, \{\emptyset\}\} = \{\{\}, \{\{\}\}\}$$

$$\{\emptyset, \{\emptyset\}, \{\emptyset, \{\emptyset\}\}\}$$

... and so on

We can, if we like, give these sets names:

$$0 = \emptyset$$

$$1 = \{\emptyset\} = \{0\}$$

$$2 = \{\emptyset, \{\emptyset\}\} = \{0, 1\}$$

$$3 = \{\emptyset, \{\emptyset\}, \{\emptyset, \{\emptyset\}\}\} = \{0, 1, 2\}$$

To avoid problems such as Russell's paradox, we can attempt to define all sets recursively:

1. Base : \emptyset is a set

2. Recursion: We define several operations that build new sets from old
This is very delicate, and is another topic for another course. However, we will see some of these operations in a moment.

- Cardinality

If S is a finite set, then the cardinality of S is the number of distinct elements that S contains.

We write this as $|S|$.

If S is an infinite set, we often write $|S| = \aleph_0$. However, cardinality is more interesting and more subtle: there are many different infinities, and two infinite sets might not have the same cardinality.

For example, $|R| \neq |Z|$, even though both are infinite.

=====

For sets S and T, their difference is written $S \setminus T$ (or sometimes written $S \setminus\setminus T$).

It contains all elements that belong to S but not T:

$$S \setminus T = \{x | x \in S \wedge x \notin T\}$$

- Complement:

Let U be some universal set in which we are working.

For example, the universal set might be:

- R if we are doing calculus
- Z if we are doing number theory
- the set of all points on the plane if we are doing geometry

For any set $S \subseteq U$, the complement of S is written \bar{S} .

It contains all elements of U that do not belong to S:

$$\bar{S} = \{x \in U | x \notin S\}$$

- Summary: Relationships and operations

- $S \subseteq T \leftrightarrow (x \in S \rightarrow x \in T)$
- $S = T \leftrightarrow (x \in S \leftrightarrow x \in T)$
- $S = T \leftrightarrow (S \subseteq T \wedge T \subseteq S)$

- $S \cup T = \{x | x \in S \vee x \in T\}$
- $S \cap T = \{x | x \in S \wedge x \in T\}$
- $S \setminus T = \{x | x \in S \wedge x \notin T\}$
- $\bar{S} = \{x \in U | x \notin S\}$

- Venn diagrams

- Power Sets

For any set S, the power set of S is the set of all subsets of S. We write this as $P(S)$:

$$P(S) = \{X | X \subseteq S\}$$

If $S = \{3, 5\}$: $P(S) = \{\emptyset, \{3\}, \{5\}, \{3, 5\}\}$

- The cardinality of the power set

Suppose S is a finite set with n elements, i.e., $|S| = n$.

What is $|P(S)|$? — 2^n

- Cartesian product

For sets, order does not matter: $\{a, b\} = \{b, a\}$

We write (a, b) for an ordered pair.

Here order and repetition do matter:

$(a, b) = (c, d)$ if and only if $a = c$ and $b = d$.

Example: $(3, 5) \neq (5, 3)$

Example: $(3, 3) \neq 3$

The Cartesian product $A \times B$ of sets A and B is:

$$A \times B = \{(a, b) | a \in A, b \in B\}$$

Example: If $A = \{a, b\}$ and $B = \{2, 3\}$, then

$$A \times B = \{(a, 2), (a, 3), (b, 2), (b, 3)\}.$$

- Suppose X and Y are finite sets with $|X| = n$ and $|Y| = m$. What is $|X \times Y|?$

$$|X \times Y| = n \cdot m$$

– If $A = \emptyset$ or $B = \emptyset$, then $A \times B = \emptyset$

– $A \times B = B \times A$ if and only if $A = B$

- Function:

Let X and Y be sets. Then f is called a function from X to Y , written $f : X \rightarrow Y$, if it assigns to each $x \in X$ a unique element $y \in Y$. In mathematics, “unique” means “one and only one”. We write $y = f(x)$ and sometimes abbreviate this to $x \mapsto y$.

Examples:

– $f : \mathbb{R} \rightarrow \mathbb{R}$ defined by $f(x) = x^3$

– $g : \mathbb{N} \rightarrow \mathbb{N}$ defined by $n \mapsto 2^n$

– sin: $\mathbb{R} \rightarrow \mathbb{R}$

Are these functions?

❶ $f : \mathbb{R} \rightarrow \mathbb{R}$ where $f(x) = x^2$

❷ $f : \mathbb{N} \rightarrow \mathbb{N}$ where $f(n) = n!$

❸ $f : \mathbb{N} \rightarrow \mathbb{N}$ where $f(n) = \frac{(n+1)(n+3)}{3}$
No, since $f(n) \notin \mathbb{N}$ for some n .

❹ $f : \mathbb{N} \rightarrow \mathbb{N}$ where $f(n) = \frac{(n+1)(n+2)}{2}$

❺ $f : \mathbb{R} \rightarrow \mathbb{R}$ where $f(x) = 42$

❻ $f : \mathbb{Q} \rightarrow \mathbb{Z}$ where for all $m, n \in \mathbb{Z}$ with $n \neq 0$, $f(m/n) = m$
No, since each rational has many different expressions m/n .

❼ $f : \mathbb{R} \times \mathbb{R} \rightarrow \mathbb{R}$ where $f((x, y)) = |x - y|$

❽ $f : \mathbb{R} \rightarrow \mathbb{R}$ where $f(x)$ is the solution $z \in \mathbb{R}$ to $3x + 1 = z$

❾ $f : \mathbb{R} \rightarrow \mathbb{R}$ where $f(x)$ is the solution $z \in \mathbb{R}$ to $z^2 = x$
No, since $z^2 = x$ might have two solutions, or none.

- Formal definition of function

We can think of a function as a subset of a Cartesian product:

A function $f : X \rightarrow Y$ is a subset $\rhd \subseteq X \times Y$ such that, for each $x \in X$, there is a unique $y \in Y$ such that $(x, y) \in \rhd$. We write $f(x)$ to denote this unique y .

Example: For $f : \mathbb{N} \rightarrow \mathbb{N}$ defined by $f(x) = x^2$

$$\rhd = \{(1, 1), (2, 4), (3, 9), (4, 16), \dots\}$$

The subset :

$$\rhd = \{(x, y) | x \in X \wedge f(x) = y\} \subseteq X \times Y$$

is the graph of the function f . We have :

$$\rhd = \{(x, f(x)) | x \in X\} \subseteq X \times Y$$

If $f : X \rightarrow Y$ is a function, then:

- ① X is called the **domain** of f .
- ② Y is called the **co-domain** of f .
- ③ If $x \in X$, then $f(x)$ is called the **image** of x .
- ④ If $A \subseteq X$, then

$$f(A) = \{f(x) | x \in A\} = \{y | \exists x \in A : f(x) = y\} \subseteq Y$$

is called the **image** of A .

The entire set $f(X)$ is called the **range** of f .

- ⑤ If $y \in Y$, then $f^{-1}(y) = \{x \in X | f(x) = y\} \subseteq X$
is called the **preimage** of y .
- ⑥ If $B \subseteq Y$, then $f^{-1}(B) = \{x \in X | f(x) \in B\} \subseteq X$
is called the **preimage** of B .

Don't confuse f^{-1} with $\frac{1}{f}$.

4 W4

(i) Definitions

- Equality of functions:

Functions $f, g : X \rightarrow Y$ are equal, written $f = g$, if and only if:
 $f(x) = g(x), \forall x \in X$

Note: f denotes a function and $f(x)$ denotes an element of Y .

Example: \sin is a function; $\sin(x)$ is just some number.

- Floor :

Let $x \in \mathbb{R}$ be a real number. The floor of x , denoted $\lfloor x \rfloor$, is the unique integer n such that $n \leq x < n + 1$.

- Ceiling :

Let $x \in \mathbb{R}$ be a real number. The ceiling of x , denoted $\lceil x \rceil$, is the unique integer n such that $n - 1 < x \leq n$.

- Properties of functions

Let $f : X \rightarrow Y$. Then:

- Surjective(onto) : $\forall y \in Y, \exists x \text{ in } X \text{ such that } f(x) = y$
Every element of Y is the image of something.
- Injective(one - to- one): $\forall x_1, x_2 \in X, f(x_1) = f(x_2) \rightarrow x_1 = x_2$
Different elements of X have different images
- Bijective f is a one-to-one correspondence, or bijective, or a bijection, if f is both one-to-one and onto. The elements of X are “paired off” with the elements of Y

Proving that a function is onto

Let $f: \mathbb{Z} \rightarrow \mathbb{Z}$ be defined by $f(n) = \lfloor n/2 \rfloor$.

To show that f is onto, we need to show:

$$\forall y \in \mathbb{Z}, \exists x \in \mathbb{Z} \text{ such that } f(x) = y.$$

Proof: Consider any $y \in \mathbb{Z}$. Let $x = 2y$.

Then:

- $x \in \mathbb{Z}$; that is, x belongs to the domain;
- $\lfloor x/2 \rfloor = \lfloor 2y/2 \rfloor = \lfloor y \rfloor = y$, and so $f(x) = y$.

Therefore f is onto. □

Proving that a function is one-to-one

Let $f: \mathbb{N} \rightarrow \mathbb{N}$ be defined by $f(n) = n^2$.

Is f one-to-one? Yes.

We need to show: $\forall x_1, x_2 \in X, f(x_1) = f(x_2) \rightarrow x_1 = x_2$.

Proof: Suppose $n, m \in \mathbb{N}$ such that $f(n) = f(m)$.

Then $n^2 = m^2$.

This implies $n = m$ or $n = -m$.

But $-m \notin \mathbb{N}$ unless $m = 0$. So we make two cases:

Case 1: If $m \neq 0$, then $-m \notin \mathbb{N}$ and so $n = m$.

Case 2: If $m = 0$, then $n = 0$, and so $n = m$.

Since in either case $n = m$, it follows that f is one-to-one.

-
- Finite sets Suppose X and Y are finite sets

- If $|X| > |Y|$, then there is no injective function $X \rightarrow Y$.
- If $|X| < |Y|$, then there is no surjective function $X \rightarrow Y$.
- There is bijective function $X \rightarrow Y$ if and only if $|X| = |Y|$

Put differently:

- If $f : X \rightarrow Y$ is injective , then $|X| \leq |Y|$.
- If $f : X \rightarrow Y$ is surjective ,then $|X| \geq |Y|$.
- If $f : X \rightarrow Y$ is bijective ,then $|X| = |Y|$.

For finite sets with $|X| = |Y|$, the following statements are equivalent:

- $f : X \rightarrow Y$ is injective
- $f : X \rightarrow Y$ is bijective
- $f : X \rightarrow Y$ is surjective

- Composition of functions If $f : X \rightarrow Y$ and $g : Y \rightarrow Z$, then the composition :

$g \circ f : X \rightarrow Z$ is defined by:
 $(g \circ f)(x) = g(f(x)), \forall x \in X$

- Types of sequences Sequences can be finite:

5, 5, 20, 5, 5, 60 can be written as $(a_n)_{n=1}^6$, where $a_1 = 5, a_2 = 5, \dots, a_6 = 60$
or infinite:

0, 1, 3, 6, 10, 15, 21, can be written as $(g_n)_{n \geq 0}$ where $g_0 = 0, g_1 = 1, \dots$

The index (the subscript) does not need to start at 0, and does not need to be called n:

1, 5, 15, 35, 70, can be written as $(f_i)_{i \geq 4}$, where $f_4 = 1, f_5 = 5, f_6 = 15$
and so on

An alternating sequence alternates between positive and negative:

$$((-\frac{1}{2})^n)_{n \geq 0} = 1, -\frac{1}{2}, \frac{1}{4}, -\frac{1}{8}, \dots$$

- Define a sequence recursively

- Initial conditions, which directly specify one or more terms that begin the sequence:

$$F_0 = 0, F_1 = 1$$

- A recurrence relation, which defines every other term using earlier terms:

$$F_n = F_{n-1} + F_{n-2} \text{ for } n \geq 2$$

-
- Notation for sums: For a sequence (a_i) , we can add some or all of its terms:

$$\sum_{i=m}^n a_i = a_m + a_{m+1} + a_{m+2} + \dots + a_n$$

Dummy variables The i in $\sum_{i=m}^n$ is a dummy variable, like the x in $\forall x$

- You can use any letter here (as long as it is not already taken):
- The dummy variable is only relevant inside the sum, which means you can reuse it outside the sum:

$$\sum_{i=1}^3 i + \sum_{i=1}^4 i^2 = 1 + 2 + 3 + 1 + 4 + 9 + 16$$
- You can also perform a change of variable. If $k = i + 1$, then:

$$3! + 4! + 5! = \sum_{i=3}^5 i! = \sum_{k=4}^6 (k-1)!$$

- Arithmetic with finite sums

- Adding / subtracting over the same range:

$$\sum_{i=m}^n a_i \pm \sum_{i=m}^n b_i = \sum_{i=m}^n (a_i \pm b_i)$$
 - Taking out a common factor:

$$\sum_{i=m}^n c a_i = c \sum_{i=m}^n a_i$$
 - Combining consecutive indices:

$$\sum_{i=p}^q a_i + \sum_{i=q+1}^r a_i = \sum_{i=p}^r a_i, \text{ if } p \leq q \leq r$$
 - Index shift:

$$\sum_{i=m}^n a_i = \sum_{i=m+p}^{n+p} a_{i-p}$$
 - Telescoping sums:

$$\sum_{i=m}^n (a_i - a_{i+1}) = a_m - a_{n+1} \text{ if } m \leq n$$
- Divisibility
If $n, d \in \mathbb{Z}$, then n is divisible by d if and only if there exists some $k \in \mathbb{Z}$ such that $n = kd$
We write $d | n$. We also say ‘ d divides n ’ or ‘ d is a divisor of n ’.
 - Lemma (Bounds for divisors)
Let $n, d \in \mathbb{Z}$, If $|n| > 1$ and $d | n$, then $0 < |d| \leq |n|$.
Proof of lemma: Suppose $n, d \in \mathbb{Z}$ with $|n| \geq 1$ and $d | n$. Then there is some $k \in \mathbb{Z}$ such that $n = kd$.

The key steps in this proof were to:

- prove $0 < |d|$ by contradiction
 - prove $|d| \leq |n|$ in the special case where $n, d \in \mathbb{N}$
 - reduce the general case $n, d \in \mathbb{Z}$ to an instance of our special case.
-

-
- The Quotient-Remainder Theorem Given any integer n and positive integer d ,
there exist unique integers q and r such that:
 $n = q(\text{quotient}) * d(\text{divisor}) + r(\text{remainder})$ and $0 \leq r < d$
We call q the **quotient**, and r the **remainder**.

- Modular arithmetic:
If n and m leave the same remainder after division by d , we say that they are **congruent modulo** d .
We write: $n \equiv m \pmod{d}$
If $n \equiv m \pmod{d}$, then $m \equiv n \pmod{d}$. So the relationship is **symmetric**.

If $a \equiv b \pmod{d}$ and $n \equiv m \pmod{d}$, then

- $an \equiv bm \pmod{d}$
 - $a + n \equiv b + m \pmod{d}$
 - $a - n \equiv b - m \pmod{d}$
-

5 W5

- **Prime factorisation :**

The natural number $n \in \mathbb{N}$ is said to be written as a **product of primes** if there is a natural number $m \in \mathbb{N}$ and prime numbers p_1, \dots, p_m such that:

$$n = p_1 * p_2 * \dots * p_n = \prod_{k=1}^m p_k$$

every natural number $n > 1$ can be written as a product of primes.

- The fundamental Theorem of Arithmetic

Given any integer $n > 1$, there exists a natural number k , pairwise distinct prime numbers p_1, p_2, \dots, p_k , and natural numbers e_1, e_2, \dots, e_k such that:

$$n = p_1^{e_1} * p_2^{e_2} * \dots * p_k^{e_k} = \prod_{i=1}^k p_i^{e_i}$$

and any other expression for n as a product of prime numbers is identical to this except possibly for the order in which the factors are written.

- greatest common divisor of two integers a and b (**not both zero**) is the largest $d \in \mathbb{N}$ for which $d|a$ and $d|b$
- least common multiple of two **positive** integers a and b is the smallest $n \in \mathbb{N}, n > 0$, for which $a|n$ and $b|n$ (we exclude zero here because this is always a solution).
- compute gcd without prime factorisation:

$$\forall a, b \in \mathbb{Z}, \gcd(a, b) = \gcd(b, a - b). \text{ Why?}$$

- if $d|a$ and $d|b$, then $d|a - b$
- if $d|b$ and $d|a - b$, then $d|b + (a - b) = a$

So: the common divisors of a and b are the **same** as the common divisors of b and $a - b$.

In particular, the **greatest** common divisor of a and b is the same as the greatest common divisor of b and $a - b$.

Speed way: $\forall a, b \in \mathbb{Z}, \text{ if } a = bq + r, \text{ then } \gcd(a, b) = \gcd(b, r)$

- **The Euclidean algorithm**

To find $\gcd(a,b)$ where $a, b \in \mathbb{Z}$ and $a \geq b > 0$

- Write $a = qb + r$ as in the quotient-remainder theorem
- if $r=0$, then terminate with $\gcd(a,b) = b$
- otherwise, replace (a,b) by (b,r) and repeat

Notice that the gcd is the last non-zero remainder.

We've only discussed $a, b \geq 0$. What about arbitrary $a, b \in \mathbb{Z}$?

- If one or both of a, b are negative, then just ignore the negative signs:
 $\gcd(a, b) = \gcd(|a|, |b|)$
- if $a=0$ and $b=0$, then $\gcd(a,b)$ is not defined
- if $a \neq 0$ and $b=0$, then $\gcd(a,b) = |a|$.
- if $a = 0$ and $b \neq 0$, then $\gcd(a,b) = |b|$.

- Representation of integers(Base b expansion):

Let b be an integer greater than 1. Every positive integer n can be expressed uniquely in the form:

$$n = a_k b^k + a_{k-1} b^{k-1} + \dots + a_1 b + a_0$$

where k is a non-negative integer, a_0, \dots, a_k are non-negative integers less than b and $a_k \neq 0$

- O-notation:

O-notation is a mathematical notation that describes how quickly a function f grows compared to some function g when both their arguments tend towards infinity.

For a given g it assigns a (large) set of functions which all grow at roughly the same rate, or slower. The comparison is done by checking whether f is an element of this set.

Definition:

Let f and g be functions from a subset $A \subset \mathbb{R}$ to \mathbb{R} . Then $f(x)$ is in $O(g(x))$ if there exist constants C and k such that for all $x \in A, x \geq k$:

$$|f(x)| \leq C|g(x)|$$

For this to make sense f must have the following properties

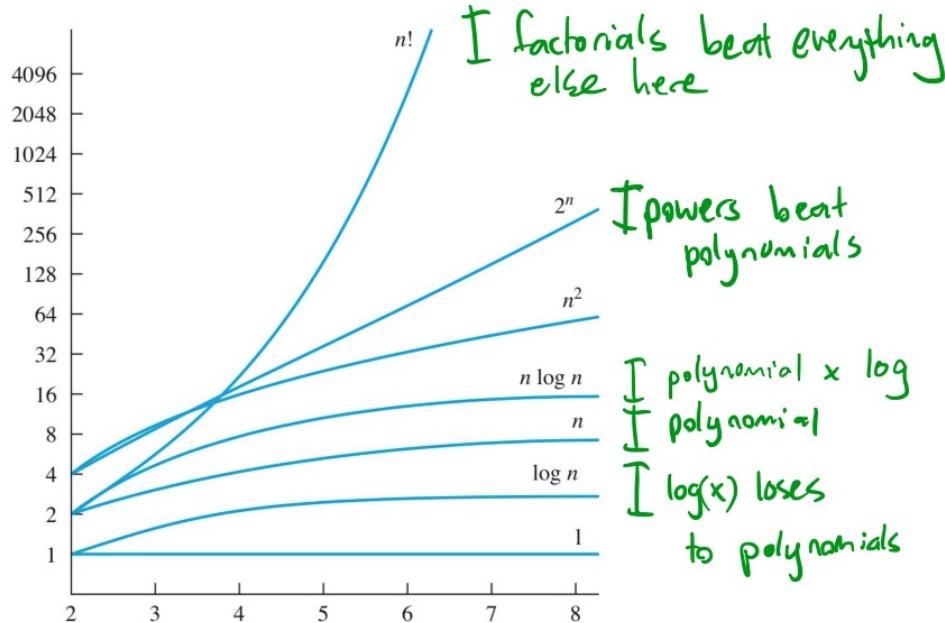
- $f, g : A \subset \mathbb{R} \rightarrow \mathbb{R}$
- A is unbounded(for all $k \in A$ there exist infinitely many $x \in A$ such that $x \geq k$)

Operations

- $f(n) \in O(f(n))$
- $O(c \cdot f(n)) = O(f(n))$ if c is a constant
- $O(f(n) + f(n)) = P(f(n))$
- $O(f(n)g(n)) = f(n) \cdot O(g(n))$

Note: Here, we use '=' to mean set equality

Important examples



-
- Lower bound and exact order

Definition [Ω – notation]: Let f and g be functions from a subset $A \in \mathbb{R} \text{to} \mathbb{R}$. Then $f(x)$ is in $\Omega(g(x))$ if there are positive constants C and k such that for all $x \geq k$:

$$|f(x)| \geq C|g(x)|$$

Definition [Θ – notation]: let f and g be functions from a subset $A \in \mathbb{R} \text{to} \mathbb{R}$. Then $f(x)$ is in $\Theta(g(x))$ if $f(x) \in O(g(x))$ and $f(x) \in \Omega(g(x))$.

$f(x)$ is $\Theta(g(x))$ means that $f(x)$ and $g(x)$ are of the same order (within a constant of each other).

6 W6

- Number of operations: Best-case, worst-case, average-case

Search integers a_1, \dots, a_n for presence of integer called **key**.

```
procedure LinearSearch(key, n: integer; a1, a2, a3, ..., an: integers)
begin
    i := 1                                {initializes the counter}
    while (i ≤ n and key ≠ ai) do
        i := i + 1
    if i ≤ n then location := i           {successful search}
    else location := 0                     {unsuccessful search}
end {location is the subscript of the first array entry that equals key;
      location is 0 if key is not found}
```

- Best-case scenario: $key = a_1$, complexity of algorithm is $O(1)$, $O(n)$ (in fact, $\Theta(nx)$).
- Worst-case scenario: $key = a_n$, complexity of algorithm is $O(n)$
- Average scenario : Assume key is in the list and equal to a_k with probability $p = 1/n$
 $f(n) = 1 * p + 2 * p + 3 * p + \dots + n * p = (n + 1)/2$

- Number of operations: Euclidean algorithm

```
1 def Euclidean(a,b):
2
3     if b == 0:
4         return a
5     else:
6         return Euclidean(b, a % b)
7
```

INPUT: 2 none negative integers

OUTPUT: gcd(a,b)

A call of Euclidean has

- 2 operations if $b = 0$ (check b , return a)
 - 3 operations if $b > 0$ (check b,a mod b ,return function output)
-

=====

How many steps are necessary until $b = 0$?
Idea: look at first argument every second step

- $r_i = k \cdot r_{i+1} + r_{i+2}$
- $k \geq 1$
- $r_i = k \cdot r_{i+1} + r_{i+2} \geq r_i = r_{i+1} + r_{i+2} > 2r_{i+2}$
- $r_{i+2} < r_i/2$

After at most $2\log_2(a)$ calls of Euclid, the arguments must be $\gcd(a, b)$ and 0.

Running time: $3(2\log_2(a)) - 1 \in O(\log(a))$.

- **Mathematical induction**

Let $P(n)$ be a predicate that is defined for all integers $n \geq a, a \in \mathbb{N}$,
Suppose:

- $P(a)$ is true
- For all integers $n \geq a, P(n) \rightarrow P(n + 1)$

Then $P(n)$ is true for all integers $n \geq a$ (We just assume $P(n)$, we never directly proof $P(n)$ is true)

- **How to use mathematical induction**

- Prove $P(a)$ (This is called basic step)
 - Prove that for all integers $n \geq a, P(n) \rightarrow P(n + 1)$
 - (i) Assume $P(n)$ is true for some particular but arbitrary $n \geq a$;
 - (ii) using this, show that $P(n + 1)$ is also true.
- This is called the **inductive step**. The assumption that $P(n)$ is true is called the **inductive hypothesis**.

7 W7

- Case1 : **Order matters, repetition allowed**

If a decision process can be broken down into a finite number of independent steps, and there are n_i possible outcomes for the i th step, then the entire process can be carried out in $\prod n_i$ possible ways.

In particular: for finite sets S_1, S_2, \dots, S_k ,

$$|S_1 * S_2 * \dots * S_k| = \prod_{i=1}^k |S_i|$$

- Case2 : **Order matters, repetition not allowed**

If you choose k elements from a set S with n elements, and order matters and repetition is not allowed, then there are:

n possibilities for the first choice, then $n - 1$ for the second, then $n - 2$ for the third, ... then $n - k + 1$ for the k th.

So the total number of choices is :

$$n \cdot (n - 1) \cdot (n - 2) \dots (n - k + 1) = \frac{n!}{(n-k)!}$$

- Case3: **Order does not matter, repetition not allowed**

$$\frac{n!}{k!(n-k)!}$$

- Case4 : **Order does not matter, repetition allowed**

Choosing k items from a set of n items such that order does not matter and repetition is allowed is the same as counting all possible arrangements of k crosses and $n - k$ bars, and this number is

$$\frac{(k+n-1)!}{k!(n-1)!}$$

8 W8

- **The inclusion-exclusion principle**

$$\begin{aligned}
 |A_1 \cup A_2 \cup \dots \cup A_n| &= \sum_{i=1}^n |A_i| \\
 &\quad - \sum_{1 \leq i < j \leq n} |A_i \cap A_j| \\
 &\quad + \sum_{1 \leq i < j < k \leq n} |A_i \cap A_j \cap A_k| \\
 &\quad \vdots \\
 &\quad + (-1)^{n+1} |A_1 \cap A_2 \cap \dots \cap A_n|,
 \end{aligned}$$

where A_1, A_2, \dots, A_n are the sets in question. The principle alternates between addition and subtraction to account for overcounting in the intersections of the sets.

Example: I have 10 students, and I wish to give back their marked quizzes. If I give the quizzes to students at random (without asking for student numbers, but ensuring that everybody receives a quiz), what is the probability that nobody receives their own quiz?

- Step1 Total permutations : $10!$
- Step2 Permutations of at least 1 student gets right quiz:
Assume A_i is the set of all permutations that i student(s) get right quizzes Where at least one student gets the right exam :
 $|A_1 \cup A_2 \cup \dots \cup A_{10}|$
- Probability: $\frac{10! - |A_1 \cup A_2 \cup \dots \cup A_{10}|}{10!}$ (using inclusion-exclusion principle to calculate)

- **The generalised pigeonhole principle**

If you have n pigeons sitting in k pigeonholes, and if $n > k \cdot m$, then at least one of the pigeonholes contains at least $m + 1$ pigeons.

Example:

Suppose we have $n = 101$ pigeons to fit into $k = 20$ holes. Then, using the generalised pigeonhole principle with $m = 5$, we can conclude that some hole contains ≥ 6 pigeons.

- **Balanced String**

String means a sequence of brackets where **order matters**

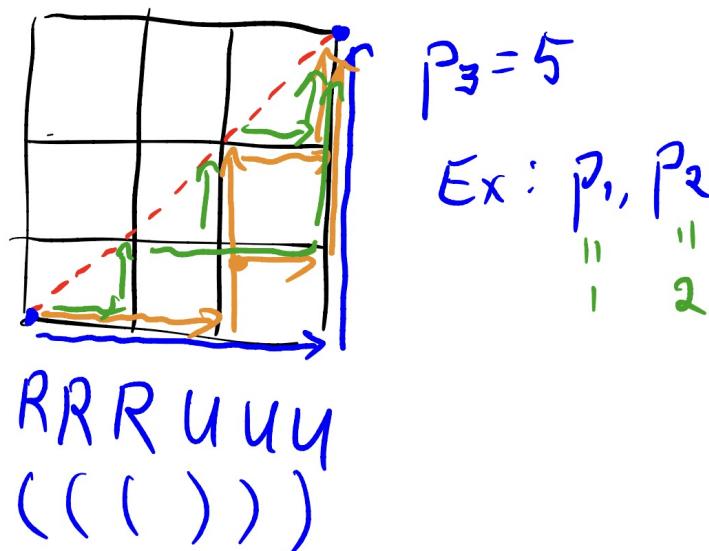
Balanced means that in each initial substring, there are no more ")" than there are "("

Examples: Let b_n be the number of balanced strings on n left-brackets and n right-brackets.

- $b_0 = 1$
- $b_1 = 1 ()$
- $b_2 = 1 ()(), ()()$
- $b_3 = 5(((())), ((())), ((())(), ()()), ()())()$

- **Monotonic lattice paths**

Let's count special paths on an $n \times n$ grid going from the bottom left corner to the top right corner. Namely, paths that only step right or up, and stay below the diagonal. Let p_n be the number of such paths.



Each monotonic lattice path is a sequence of n R's and n U's such that in each initial subsequence there are no more U's than R's (since otherwise the path would cross the diagonal). We therefore have a well-defined map from monotonic lattice paths to balanced strings defined by

$R \rightarrow ($ and $L \rightarrow)$

This map is bijective, with inverse defined by

$(\rightarrow R$ and $) \rightarrow U$

- **Catalan Number**

- Recurrence Relation: $C_0 = 1, C_{n+1} = \sum_{i=0}^n C_i \cdot C_{n-i}$ for $n \geq 0$
- Direct Formula: $C_n = \frac{1}{n+1} \binom{2n}{n} = \frac{(2n)!}{(n+1)!n!}$

- **Recurrences revisited**

Consider a linear homogeneous recurrence relation of order 2:

$$a_n = \alpha a_{n-1} + \beta a_{n-2}$$

method

- Step1 Factor $x^2 - \alpha x - \beta = (x - \alpha_1)(x - \beta_1)$
- Step2($\lambda_1 \neq \lambda_2$) then $a_n = A\lambda_1^n + B\lambda_2^n$
- Step2($\lambda_1 = \lambda_2$) then $a_n = C\lambda^n + Dn\lambda^n$

Solutions in (2a) and (2b) are the general solution of the rec. relation. Initial conditions (values of a_0 and a_1) determine constants A, B or C, D.

Example

Let $f : \mathbb{N} \rightarrow \mathbb{Z}$ be a function defined recursively by $f(0) = -1, f(1) = 5$ and for all $n \geq 2$ by

$$f(n) = 10f(n-1) - 25f(n-2)$$

- Step1 Factor $x^2 - 10x + 25 = 0$
- Step2($\lambda_1 = \lambda_2 = 5$) then $f_n = A5^n + B5^n$
- Step3 $f(0) = A + 0 = -1, f(1) = -5^1 + B5^1 = 5 \rightarrow B = 2$

linear non-homogeneous recurrence relation of order 2:

$$a_n = \alpha a_{n-1} + \lambda a_{n-2} + F(n)$$

method

- Step1 Find a particular solution $a_n^{(p)}$ by poking around
- Step2 Determine the general solution $a_n^{(h)}$ to the homogeneous equation

$$a_n = \alpha a_{n-1} + \lambda a_{n-2}$$

The general solution of the non-homogeneous recurrence relation is then given by a $a_n^{(p)} + a_n^{(h)}$

When we are given initial conditions, i.e. values of a_0 and a_1 , then these determine the constants A, B or C, D.

Example

$$a_n = 10a_{n-1} - 25a_{n-2} + 3^n$$

From before, the homogeneous equation $a_n = 10a_{n-1} - 25a_{n-2}$ has the general solution $a_n^{(h)} = C \cdot 5^n + D \cdot n5^n$

- Try $a_n^{(p)} = E3^n$
- $E3^n = 10E3^{n-1} - 25E3^{n-2} + 3^n$
- $E = \frac{9}{4}$

solution $a_h = a_n^{(h)} + a_n^{(p)} = C \cdot 5^n + Dn5^n + \frac{9}{4}3^n$

9 W9

- Setup

- Sample Space S (also called probability space). Here: $|S| < \infty$
- $x \in S$ is called an outcome, or an elementary event
- $E \subseteq S$ is called an event
- Set of events \mathbb{E} is a subset of the power set of S, so $\mathbb{E} \subseteq P(S)$
- For $x \in S. \{x\}$ is called an elementary event

- Random A random variable is a function $X : S \rightarrow R$ defined on the outcomes of a sample space.

Example:

S outcomes of the roll of two fair dice, so $|S| = 36$. $X : S \rightarrow R$, $X(s) =$ “sum of the values of the two dice in outcome $s \in S$ ” Then $X(S) = \{2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12\}$.

- Distribution of random variable

Set of all pairs $(r, p(X = r))$

X refers to random variable which is a function that assigns a real number to each outcome in a sample space.

- Conditional probability Let E and F be events with $p(F) > 0$. The conditional probability of E given F is

$$p(E|F) = \frac{p(E \cap F)}{p(F)}$$

Example

In your local juggling club, 1/3 of all members are computer scientists. Exactly 1/4 of all members pass clubs, 2/5 of these are computer scientists.
i) What is the percentage of computer scientists who pass clubs amongst all members?

$$p(E \cap F)$$

ii) What is the percentage of computer scientists who pass clubs amongst the computer scientists?

$$p(F|E)$$

- Independent: If any of the following hold, E and F are called independent. (The following are equivalent)

- $p(E|F) = p(E)$
- $p(E \cap F) = p(E)p(F)$

Bayes' theorem

We had:

$$p(E) = p(E | F) \cdot p(F) + p(E | \bar{F}) \cdot p(\bar{F})$$

$$p(F | E) = \frac{p(F)}{p(E)} \cdot p(E | F)$$

Hence:

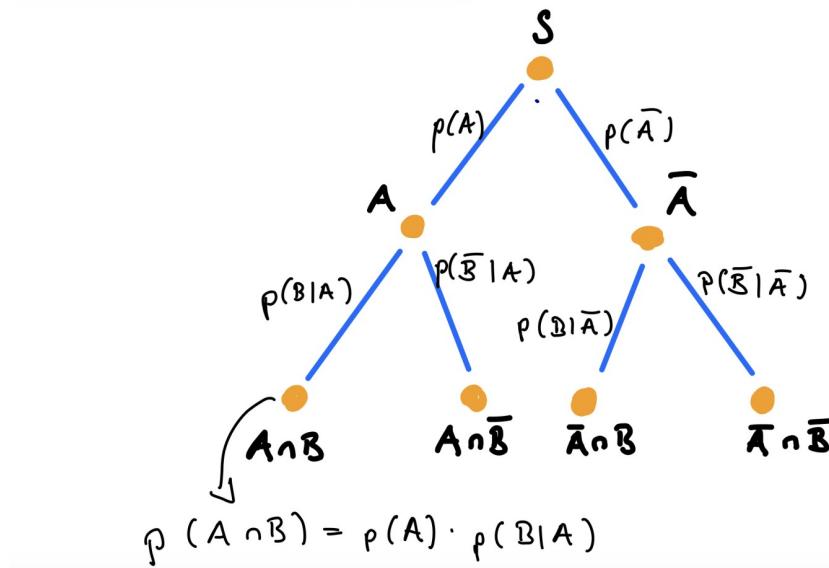
Bayes' theorem

Suppose E and F are events from a sample space S with $p(E) > 0$ and $p(F) > 0$. Then:

$$p(F | E) = \frac{p(F)}{p(E | F) \cdot p(F) + p(E | \bar{F}) \cdot p(\bar{F})} \cdot p(E | F)$$

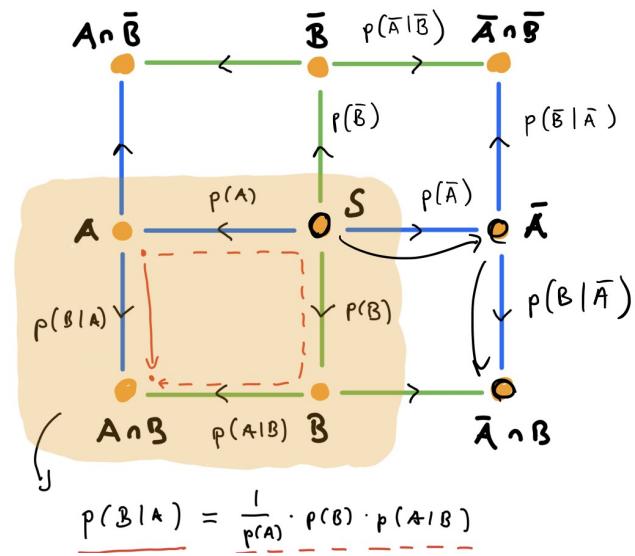
Independence and conditional probability

Decision tree for a pair of arbitrary events A and B



Independence and conditional probability

Bayes' theorem



10 W10

- Relation

- Let X and Y be sets. A relation R from X to Y is a subset of $X \times Y$
- We write $(x, y) \in R$ also as xRy and say that x is related to y.
- The complementary relation to R is $\bar{R} = (X \times Y) \setminus R$
- If $X = Y$ we say that R is a relation on X.

- Properties

Let R be a relation on the non-empty set X. Then:

- Reflexive : $\forall x \in X, (x, x) \in R$
- Symmetric : $(x, y) \in R \rightarrow (y, x) \in R$
- Transitive : $(x, y) \in R \wedge (y, z) \in R \rightarrow (x, z) \in R$

- Combining Relations The relations R_1 and R_2 from X to Y are subsets of $X \times Y$. We can use operations on subsets to create new relations:

- $R_1 \cup R_2$
- $R_1 \cap R_2$
- $R_1 \setminus R_2$

We can **compose** relations R from X to Y and S from Y to Z to get a new relation $S \circ R$ from X to Z by defining:

$$S \circ R = \{(a, c) | \exists b \in Y : aRb \wedge bSc \subseteq X \times Y\}$$

- Equivalence relation If the relation R on the non-empty set X is **reflexive, symmetric and transitive**, then R is an **equivalence relation** on X.
- Equivalence class
If R is an equivalence relation on X and $x \in X$ then the set

$$[x] = \{y \in X | (x, y) \in R\}$$

is the **equivalence class** of x.

Example

$(m, n) \in R$ if and only if $3|(m - n)$ these are 3 equivalence classes

- $\{..., -6, -3, 0, 3, 6, ...\}$
- $\{..., -5, -2, 1, 4, 7, ...\}$
- $\{..., -4, -1, 2, 5, 8, ...\}$

We can (if we like) call these [0], [1] and [2].

If R is an equivalence relation on the non-empty set X , then:

$$[x] \neq \emptyset \text{ for all } x \in X$$

$$X = \bigcup_{x \in X} [x]$$

$$[x] \cap [y] = \begin{cases} \emptyset & \text{if } (x, y) \notin R \\ [x] = [y] & \text{if } (x, y) \in R \end{cases}$$

A partition

- Partition

A set $\{S_1, S_2, \dots\}$ is a partition of S if:

- $S_i \neq \emptyset$
- $S = S_1 \cup S_2 \cup \dots \cup S_i$ The sets cover S
- $S_i \cap S_j = \emptyset$ whenever $i \neq j$ The sets are disjoint

An equivalence relation on X gives a partition of X
A partition of X gives an equivalence relation on X .

Suppose $\{X_1, X_2, \dots\}$ is a partition of X . Then the relation R defined by

$$xRy \leftrightarrow \exists i \text{ such that } x \in X_i \text{ and } y \in X_i$$

is an equivalence relation.

In other words : **If two elements belong to the same subset, then they are related.**

- Anti-symmetric

The relation R is anti-symmetric if and only if :

$$\forall a, b \in X, (a, b) \in R \text{ and } (b, a) \in R \text{ implies } a = b$$

- can not have both $(1,3)$ and $(3,1)$
- may not have either
- anti-symmetric \neq not symmetric

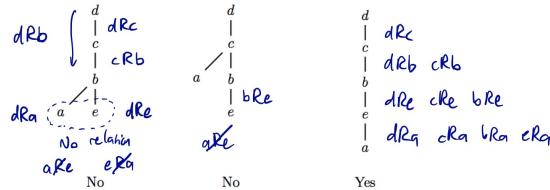
Example: symmetric and anti-symmetric $\{(1, 1), (2, 2), (3, 3)\}$

- Partial and total orders

A relation R on a set X which is **reflexive, transitive, and anti-symmetric** is called a partial order on X . If in addition, $\forall a, b \in X, aRb$ or bRa , then R is called a **total order** on X .

Partial vs. total order: *partial*

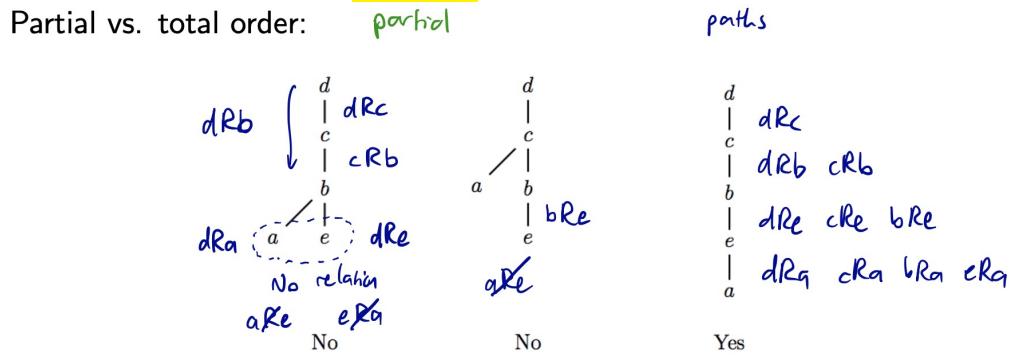
paths



- Reflexive closure

$$\text{ref}(R) = R \cup \{(x, x) | x \in X\} = R \cup \Delta$$

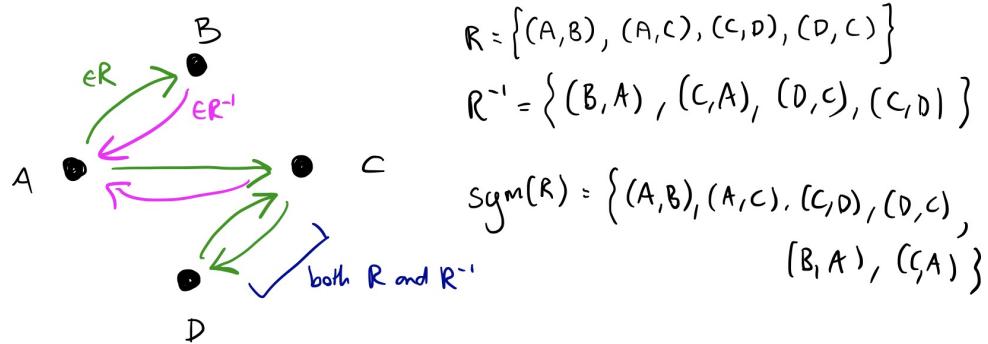
For a digraph representation: **add a loop** at each vertex



- Symmetric closure

$$R \cup \{(y, x) | (x, y) \in R\} = R \cup R^{-1}$$

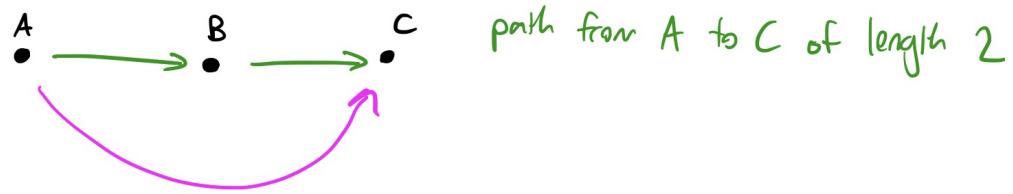
For a digraph representation: **Add edges in the opposite direction**



$$\text{sym}(R) = R \cup R^{-1}$$

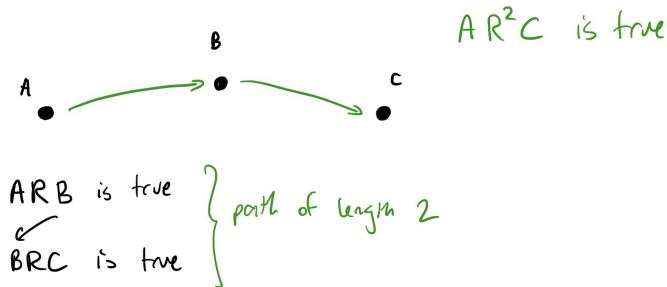
- Transitive closure

For a digraph representation: If there is a path from x to y , add an edge from x to y .



There is a path of length k from x to y in R if there are $x = x_0, \dots, x_k = y$ such that $xRx_1, x_0Rx_1, \dots, x_{k-1}Ry$.

There is a path of length k from x to y if and only if xR^ky .



connectivity closure:

$$R^* = \bigcup_{k=1}^{\infty} R^k$$

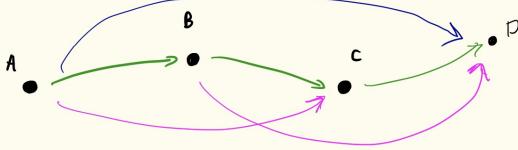
or

$$R \cup R^2 \cup R^3 \cup R^4 \dots$$

Short summary

- The connectivity closure R^* is the transitive closure $\text{tra}(R)$.
- If X has only n elements, then $R^* = \bigcup_{k=1}^n R^k$

Example



$$R = \{(A, B), (B, C), (C, D)\}$$

$$R^2 = \{(A, C), (B, D)\}$$

$$R^3 = \{(A, D)\}$$

$$\text{tra}(R) = R \cup R^2 \cup R^3$$

$$= \{(A, B), (B, C), (C, D), (A, C), (B, D), (A, D)\}$$

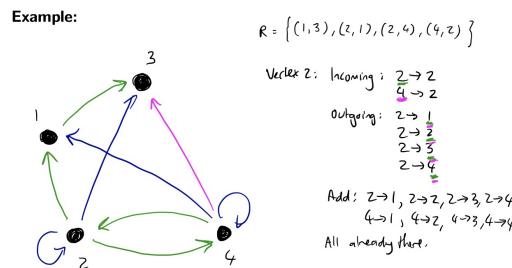
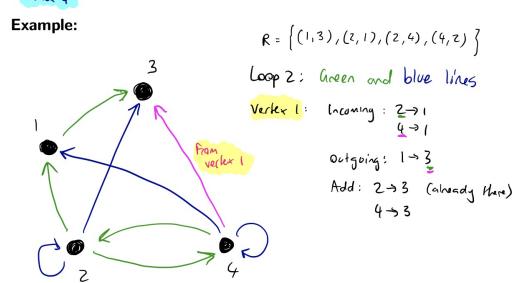
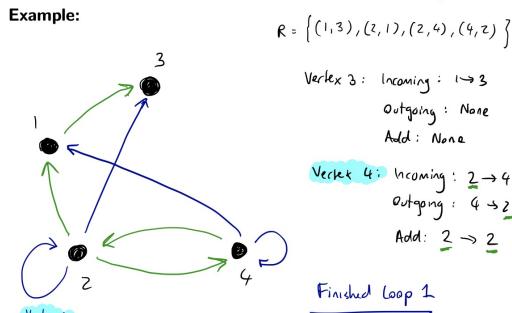
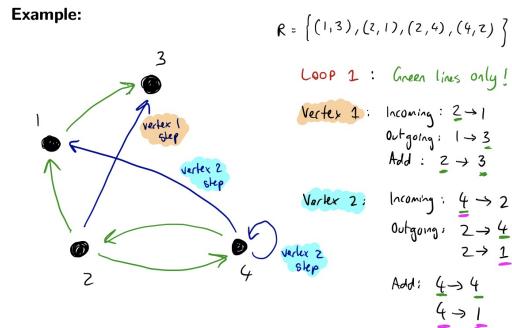
R R^2 R^3

- Warshall's algorithm Main idea: A path exists between vertices x and y if and only if:

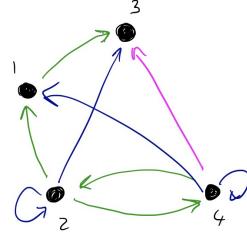
- there is an edge from x to y ; or
 - there is a path from x to y going through vertex 1; or
 - there is a path from x to y going through vertices 1 and/or 2; or
 - ...
 - there is a path from x to y going through any of the other $n - 2$ vertices.
-

=====

Example



Example:



Do one more loop: Nothing changes

$$R = \{(1,3), (2,1), (2,4), (4,2)\}$$

Vertex 3: Incoming: 1→3, 2→3
Outgoing: None

Vertex 4: Incoming: 2→4, 4→4
Outgoing: 4→1, 4→2,
4→3, 4→4

Add: 2→1, 2→2, 2→3, 2→4
4→1, 4→2, 4→3, 4→4
All already present

END LOOP

- Order of taking closures

- $\text{ref}(\text{sym}(R)) = \text{sym}(\text{ref}(R))$
 - $\text{ref}(\text{tra}(R)) = \text{tra}(\text{ref}(R))$
 - $\text{tra}(\text{sym}(R)) = \text{sym}(\text{tra}(R))$
-

11 W11

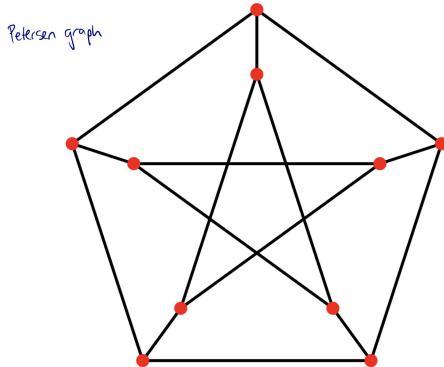
- Graph theory

A graph G consists of 2 finite sets:

- a non-empty set $V(G)$ of vertices
- a possibly empty set $E(G)$ of edges, where associated with a set $\{v, w\} \subseteq V(G)$
The vertices v and w are called the endpoints of the edge.
- A graph G is defined purely in terms of sets $V(G)$ and $E(G)$. The drawing is just a visual aid.
- An edge may have endpoints v, v
We call such an edge a loop
- 2 edges may have the same endpoints $\{v, w\}$. We call these parallel edges.
- A graph with no loops or parallel edges is called a simple graph.

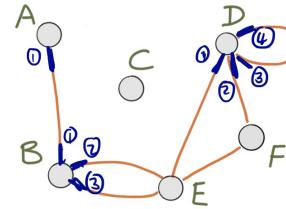
- Peterson Graph

most famous counter example



- Terminologies

- Incident** : For an edge e and a vertex v , v is an endpoint of e
- adjacent** : For vertices u, v , there is an edge with endpoints $\{u, v\}$
A vertex u is adjacent to itself if there is a **loop** with endpoints $\{u\}$.
- Degree** : the degree of vertex v is the number of edges incident with v , where we count each **loop twice** ,we write this as $\deg(v)$.



Informally, $\deg(v)$ counts the “ends of edges” that meet v .

$$\deg(A) = 1, \deg(B) = 3, \deg(D) = 4, \deg(C) = 0.$$

- Handshake Theorem**

Let G be a graph with n vertices $V(G) = \{v_1, v_2, \dots, v_n\}$, Then

$$\sum_{i=1}^n \deg(v_i) = \deg(v_1) + \dots + \deg(v_n) = 2 \cdot |E(G)|$$

In particular, in any graph, the sum of all vertex degrees must be even.

- Directed graphs

Let G be a digraph (directed graph), and $v \in V(G)$

- The in-degree $\deg^-(v)$ is the number of edges **terminating** in v.
- The out-degree $\deg^+(v)$ is the number of edges **starting** in v.

Let G be a directed graph with n vertices $V(G) = \{v_1, \dots, v_n\}$ Then:

$$\sum_{i=1}^n \deg^-(v_i) = \sum_{i=1}^n \deg^+(v_i) = |E(G)|$$

- Path**

Let G be a graph and let $x, y \in V(G)$. A **path** in G from x to y is an alternating sequence of vertices and edges:

$$v_0(\text{starting vertex}), e_1, v_1, e_2, \dots, v_{n-1}, e_n, v_n(\text{end vertex})$$

where $v_0 = x, v_n = y$, and each edge e_i has endpoints $\{v_{i-1}, v_i\}$

- More on path

- **Connected graph and Disconnected** : for a graph G , \forall vertices $x, y \in V(G)$, there is a path from x to y . Otherwise G is called disconnected.

- **Circuit**

A path is called a **circuit** if it **does not repeat any edge**, and it starts and ends at the **same vertices**.

- **Eulerian circuits**

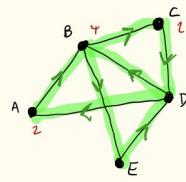
A Eulerian circuit is a **path** that starts and ends at the **same vertex**, and that uses **every edge exactly once**.

- If we ignore isolated vertices(degree = 0), the the remaining graph must be connected.
- Let G be a connected graph. Then G has a Eulerian circuit **if and only if** every vertex of G has **even degree** .

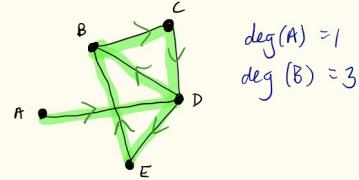
- **Eulerian trail**

A Eulerian trail is a **path** using **each edge exactly once**, but whose start and end vertices **can be different**.

Eulerian Circuit AND
Eulerian Path



Eulerian Path Only



$$\deg(A) = 1$$

$$\deg(B) = 3$$

NUMBER OF ODD
DEGREE VERTICES

0

TYPE OF PATH

Eulerian circuit (which is an Eulerian path)

2

Eulerian path only (start & end on odd degree vertices)

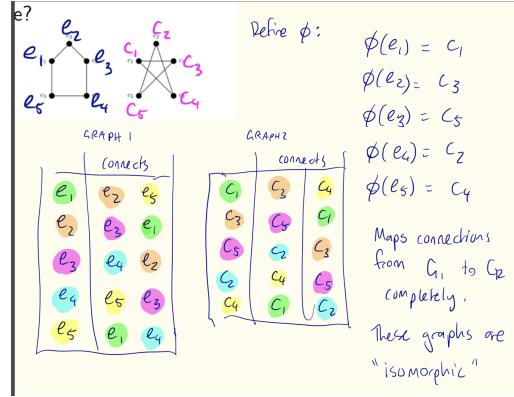
- Hamiltonian circuits

circuit using every **vertex exactly once**. (Except for start = end vertex, which must appear twice.)

- **Graph isomorphism**

Two graphs $G_1 = (V_1, E_1)$ and $G_2 = (V_2, E_2)$ are said to be **isomorphic** written $G_1 \cong G_2$, if there exists a bijective function $f : V_1 \rightarrow V_2$ such that:

$$f(E_1) = \{\{f(v_1), f(v_2)\} | \{v_1, v_2\} \in E_1\} = E_2$$

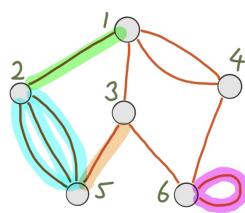


- **Representing graphs using Matrices**

Let G a graph with n vertices, and suppose we label these vertices $V(G) = \{1, 2, \dots, n\}$

The adjacency matrix of G is the $n \cdot n$ matrix $\mathbb{A} = (a_{i,j})$, where each entry $a_{i,j}$ is the **number of edges** with endpoints $\{i, j\}$ (counted with multiplicity)

(counted with multiplicity).



	1	2	3	4	5	6
1	0	1	1	2	0	0
2	1	0	0	0	3	0
3	1	0	0	0	1	1
4	2	0	0	0	0	1
5	0	3	1	0	0	0
6	0	0	1	1	0	2

Theorem

Let G be a graph with vertices $V(G) = \{1, 2, \dots, n\}$, and **adjacency matrix** \mathbb{A} .

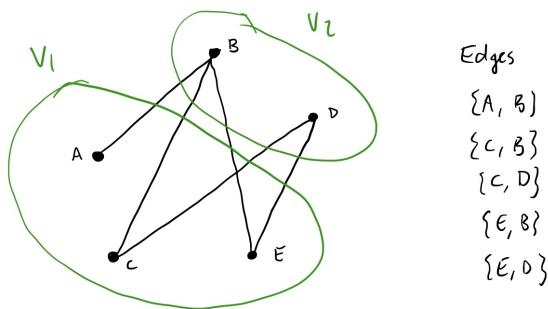
Then the **number of paths of length k** from vertex i to vertex j is the entry in row i , column j of the k th power $A_k = A \cdot A \cdot \dots \cdot A$.

12 W12

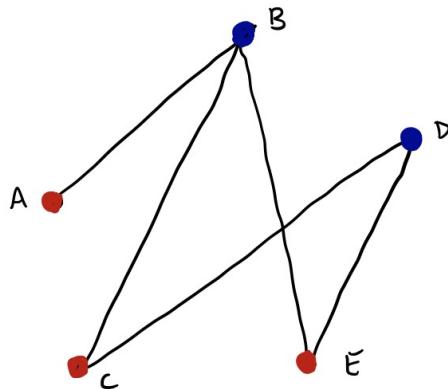
- **Bipartite graph**

The simple graph G is bipartite if it has at least 2 vertices and satisfies one (and hence all) of the following equivalent conditions:

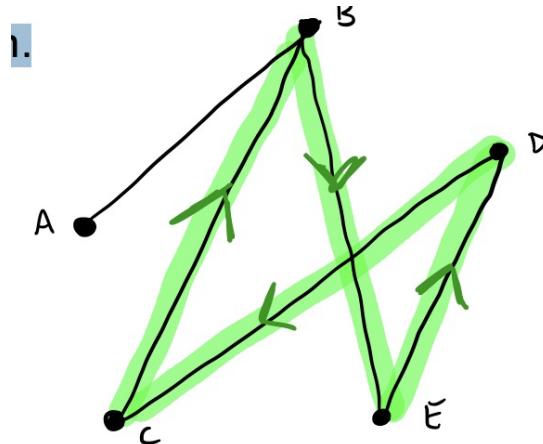
- The set of vertices $V(G)$ has a partition $\{V_1, V_2\}$ such that every edge is of the form $\{v_1, v_2\}$ where $v_k \in V_k$



- The vertices can be coloured with two colours such that no two adjacent vertices have the same colour



-
- Every circuit in G has even length.



Length = 4

- **Finite state machine (with output)**

A finite state machine (with output) $M = (S, I, O, f, g, s_0)$ consists of

- a finite set **S** of states
- a finite input alphabet **I**
- a finite output alphabet **O**
- transition function $f : S \times I \rightarrow S$
- an output function $g : S \times I \rightarrow O$
- an initial state s_0

- Representing finite state machines: State diagrams

A more visual representation of a finite state machine $M = (S, I, O, f, g, s_0)$, is via a digraph, with one vertex per state and one directed edge per transition, each decorated with input and output.

Example

- $S = \{s_0, s_1, s_2, s_3, s_4, s_5, s_6\}$
- $I = \{5, 10, 25, O, R\}$
- $O = \{n, 5, 10, 25, orange juice, apple juice\}$
- f
- g
- s_0

- Formal languages

Let **A** be a finite set called the alphabet.

A **formal language** **L** is set of strings with symbol in **A**.

The empty string is denoted λ .

Example

- $A = \{0, 1\}, L = \{0^n 1^m | m, n \in \mathbb{N}\}$
- $A = \{0, 1\}, L = \{0^n 1^n | n \in \mathbb{N}\}$
- $A = \{a, b, c, d, \dots, z\}, L = \text{all English words}$

- **Phrase-structure grammar**

A phrase-structure grammar $G = (V, T, S, P)$ consists of

- a vocabulary (or alphabet) V
- a subset $T \subseteq V$ of terminal symbols
- a start symbol $S \in V$
- a finite set of productions P

The non-terminal symbols are $N = V - T$. Every production in P must have at least one non-terminal symbol on its **left side**.

The **language generated by G** is the set $L(G)$ of all words in terminals that can be derived from S using the productions P .

The set of all sentences (or words) over V is V^* . So $L(G) \subseteq T^* \subseteq V^*$.

Example

$G = (V, T, S, P)$ where

$V = 0, 1, S, A$ (vocabulary or alphabet),

$T = 0, 1$ (terminal symbols),

$P = S \rightarrow 0A, S \rightarrow 0S, A \rightarrow 1A, A \rightarrow 1$ (productions)

So that

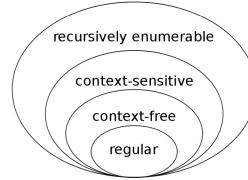
V^* is the set of all words in V , e.g. 01AAA1, SSA101, λ (empty string)

T^* is the set of all words in T . e.g. 001, 101, λ

$L(G) \subseteq T^* \subseteq V^*$.

=====

- Types of grammars: The Chomsky Hierarchy



Type 0: no restrictions

Type 1: context-sensitive

$L = \{0^n 1^n 2^n \mid n > 0\}$ has a context-sensitive grammar

Type 2: context-free

$L = \{0^n 1^n \mid n > 0\}$ has a context-free grammar

Type 3: regular

$L = \{0^m 1^n \mid m, n > 0\}$ has a regular grammar

T	characterisation	Restrictions on productions
0		No restrictions
1	context-sensitive	(a) $lAr \rightarrow lwr$, $A \in N$ non-terminal, $l, r, w \in V^*$ arbitrary words over V , $w \neq \lambda$; (b) $S \rightarrow \lambda$, S not RHS of any prod. rule
2	context-free	$A \rightarrow w$, $A \in N$ non-terminal, $w \in V^*$ arbitrary
3	regular	$A \rightarrow aB$ or $A \rightarrow a$, $A, B \in N$ non-terminal, $a \in T$ terminal; or $S \rightarrow \lambda$.

13 W13

- *

Finite state machine (without output) – Finite state automaton

A **finite state machine** (without output) $M = (S, I, f, s_0, F)$ consists of

- a finite set S of **states**,
- a finite **input alphabet** I ,
- a **transition function** $f: S \times I \rightarrow S$,
- an **initial state** s_0 ,
- a subset $F \subseteq S$ of **final** or **accepting states**. $\{s_0, s_3\}$

• No output function

Final states

- Extended transition function and Recognised language

Let $M = (S, I, f, s_0, F)$ be a finite state machine without output.

Extended transition function

Extend the transition function $f: S \times I \rightarrow S$ to

$$f: S \times I^* \rightarrow S$$

by defining $f(s, x)$ to be the state obtained by starting at s and reading x from left to right.

$x \in I^*$ is recognised (or accepted) by M if $f(s_0, x) \in F$.

Recognised language

The string $x \in I^*$ is recognised (or accepted) by M if $f(s_0, x) \in F$.

The language $L(M) = \{x \in I^* \mid f(s_0, x) \in F\}$ is the language recognised (or accepted) by M