

Performance & Risk Update Summary for October - December (Quarter 2), 2020-2021

NO	Department	Total Risks	Updated Risks	Approved Risks	Total Activities	Updated Activities	Overall Status
1	Corporate Approvals	15	(4 / 15) ✗	(2 / 15) ✗	19	(3 / 19) ✗	✗
2	Corporate Communications	9	(9 / 9) ✓	(9 / 9) ✓	7	(7 / 7) ✓	✓
3	Finance	19	(19 / 19) ✓	(19 / 19) ✓	41	(41 / 41) ✓	✓
4	Human Capital and Administration	7	(7 / 7) ✓	(7 / 7) ✓	7	(7 / 7) ✓	✓
5	Internal Audit	7	(7 / 7) ✓	(7 / 7) ✓	14	(14 / 14) ✓	✓
6	Information Communication Technology	9	(9 / 9) ✓	(9 / 9) ✓	10	(10 / 10) ✓	✓
7	Investigations and Enforcement	21	(4 / 21) ✗	(0 / 21) ✗	8	(7 / 8) ✗	✗
8	Investor Education and Public Awareness	14	(14 / 14) ✓	(14 / 14) ✓	19	(19 / 19) ✓	✓
9	Issuer Governance	9	(0 / 9) ✗	(0 / 9) ✗	8	(0 / 8) ✗	✗
10	Legal Affairs and Corporation Secretary	8	(8 / 8) ✓	(8 / 8) ✓	5	(5 / 5) ✓	✓
11	Market Deepening	9	(9 / 9) ✓	(9 / 9) ✓	12	(12 / 12) ✓	✓
12	Market Supervision	13	(13 / 13) ✓	(13 / 13) ✓	50	(50 / 50) ✓	✓
13	Policy & Regulatory Framework	12	(12 / 12) ✓	(0 / 12) ✗	20	(20 / 20) ✓	✗
14	Procurement	7	(7 / 7) ✓	(7 / 7) ✓	6	(6 / 6) ✓	✓
15	Research and Analytics	5	(5 / 5) ✓	(5 / 5) ✓	11	(11 / 11) ✓	✓
16	Spot Commodities	2	(2 / 2) ✓	(2 / 2) ✓	3	(3 / 3) ✓	✓
17	Strategy, Risk and Projects	15	(15 / 15) ✓	(0 / 15) ✗	30	(30 / 30) ✓	✗
SUMMARY		Total Risks: 181	(144/181) risks have been updated. 37 risks are pending update	(111/144) updated risks have been approved. 33 are pending approval	Total Activities: 270	(245/270) activities have been updated. 25 are yet to be updated.	(12/17) departments finished updating