

edges

```
## # A tibble: 32,906 × 4
##
      application_number advice_date ego_examiner_id alter_examiner_id
##
      <chr>
                         <date>
                                               <dbl>
                                                                 <dbl>
## 1 09402488
                         2008-11-17
                                               84356
                                                                 66266
## 2 09402488
                         2008-11-17
                                               84356
                                                                 63519
## 3 09402488
                         2008-11-17
                                               84356
                                                                 98531
## 4 09445135
                         2008-08-21
                                               92953
                                                                 71313
## 5 09445135
                         2008-08-21
                                               92953
                                                                 93865
## 6 09445135
                         2008-08-21
                                               92953
                                                                 91818
## 7 09479304
                         2008-12-15
                                               61767
                                                                 69277
## 8 09479304
                         2008-12-15
                                               61767
                                                                 92446
## 9 09479304
                                                                 66805
                         2008-12-15
                                               61767
## 10 09479304
                         2008-12-15
                                               61767
                                                                 70919
## # ... with 32,896 more rows
```

### Get gender for examiners

We'll get gender based on the first name of the examiner, which is recorded in the field examiner\_name\_first . We'll use library gender for that, relying on a modified version of their own example.

Note that there are over 2 million records in the applications table – that's because there are many records for each examiner, as many as the number of applications that examiner worked on during this time frame. Our first step therefore is to get all *unique* names in a separate list examiner\_names. We will then guess gender for each one and will join this table back to the original dataset. So, let's get names without repetition:

```
library(gender)
#install_genderdata_package() # only run this line the first time you use the package, to get data for it
# get a list of first names without repetitions
examiner_names <- applications %>%
    distinct(examiner_name_first)
examiner_names
```

```
## # A tibble: 2,595 × 1
##
     examiner_name_first
##
     <chr>
## 1 JACQUELINE
## 2 BEKIR
## 3 CYNTHIA
## 4 MARY
## 5 MICHAEL
   6 LINDA
##
##
   7 KARA
## 8 VANESSA
## 9 TERESA
```

```
## 10 SUN
## # ... with 2,585 more rows
```

Now let's use function <code>gender()</code> as shown in the example for the package to attach a gender and probability to each name and put the results into the table <code>examiner\_names\_gender</code>

```
# get a table of names and gender
examiner_names_gender <- examiner_names %>%
   do(results = gender(.$examiner_name_first, method = "ssa")) %>%
   unnest(cols = c(results), keep_empty = TRUE) %>%
   select(
       examiner_name_first = name,
       gender,
       proportion_female
   )
examiner_names_gender
```

```
## # A tibble: 1,822 × 3
##
   examiner_name_first gender proportion_female
##
   <chr>
                      <chr>
                                       <dbl>
## 1 AARON
                                       0.0082
                     male
## 2 ABDEL
                     male
## 3 ABDOU
                     male
                                       0
## 4 ABDUL
                      male
                                       0
                    male
## 5 ABDULHAKIM
                                       0
                    male
## 6 ABDULLAH
                                       0
## 7 ABDULLAHI
                    male
                                       0
## 8 ABIGAIL
                      female
                                       0.998
## 9 ABIMBOLA
                     female
                                       0.944
## 10 ABRAHAM
                      male
                                       0.0031
## # ... with 1,812 more rows
```

Finally, let's join that table back to our original applications data and discard the temporary tables we have just created to reduce clutter in our environment.

```
# remove extra colums from the gender table
examiner_names_gender <- examiner_names_gender %>%
    select(examiner_name_first, gender)
# joining gender back to the dataset
applications <- applications %>%
    left_join(examiner_names_gender, by = "examiner_name_first")
# cleaning up
rm(examiner_names)
rm(examiner_names_gender)
gc()
```

```
## used (Mb) gc trigger (Mb) limit (Mb) max used (Mb)
## Ncells 4545782 242.8 7898177 421.9 NA 4872494 260.3
## Vcells 49589663 378.4 95518638 728.8 102400 79905204 609.7
```

### Guess the examiner's race

We'll now use package wru to estimate likely race of an examiner. Just like with gender, we'll get a list of unique names first, only now we are using surnames.

```
library(wru)
examiner_surnames <- applications %>%
  select(surname = examiner_name_last) %>%
  distinct()
examiner_surnames
## # A tibble: 3,806 × 1
##
     surname
##
     <chr>
## 1 HOWARD
## 2 YILDIRIM
## 3 HAMILTON
## 4 MOSHER
## 5 BARR
## 6 GRAY
## 7 MCMILLIAN
## 8 FORD
## 9 STRZELECKA
## 10 KIM
## # ... with 3,796 more rows
```

We'll follow the instructions for the package outlined here https://github.com/kosukeimai/wru.

```
examiner_race <- predict_race(voter.file = examiner_surnames, surname.only = T) %>%
    as_tibble()

## [1] "Proceeding with surname-only predictions..."

## Warning in merge_surnames(voter.file): Probabilities were imputed for 698
## surnames that could not be matched to Census list.
```

```
examiner_race
```

```
## # A tibble: 3,806 × 6
##
    surname pred.whi pred.bla pred.his pred.asi pred.oth
##
    <chr>
               <dbl> <dbl> <dbl> <dbl> <dbl>
## 1 HOWARD
               0.643 0.295
                             0.0237 0.005
                                             0.0333
## 2 YILDIRIM
               0.861 0.0271 0.0609 0.0135
                                             0.0372
## 3 HAMILTON 0.702 0.237 0.0245 0.0054
                                             0.0309
## 4 MOSHER 0.947 0.00410 0.0241 0.00640 0.0185
## 5 BARR
             0.827 0.117 0.0226 0.00590 0.0271
## 6 GRAY 0.687 0.251 0.0241 0.0054
                                             0.0324
## 7 MCMILLIAN 0.359 0.574 0.0189
                                     0.00260 0.0463
               0.620 0.32
## 8 FORD
                             0.0237
                                     0.0045
                                             0.0313
                      0.0853
## 9 STRZELECKA 0.666
                             0.137
                                     0.0797
                                             0.0318
## 10 KIM
               0.0252 0.00390 0.00650 0.945
                                             0.0198
## # ... with 3,796 more rows
```

As you can see, we get probabilities across five broad US Census categories: white, black, Hispanic, Asian and other. (Some of you may correctly point out that Hispanic is not a race category in the US Census, but these are the limitations of this package.)

Our final step here is to pick the race category that has the highest probability for each last name and then join the table back to the main applications table. See this example for comparing values across columns:

https://www.tidyverse.org/blog/2020/04/dplyr-1-0-0-rowwise/. And this one for case\_when() function: https://dplyr.tidyverse.org/reference/case\_when.html.

```
examiner_race <- examiner_race %>%
  mutate(max_race_p = pmax(pred.asi, pred.bla, pred.his, pred.oth, pred.whi)) %>%
  mutate(race = case_when(
    max_race_p == pred.asi ~ "Asian",
    max_race_p == pred.bla ~ "black",
    max_race_p == pred.his ~ "Hispanic",
    max_race_p == pred.oth ~ "other",
    max_race_p == pred.whi ~ "white",
    TRUE ~ NA_character_
    ))
  examiner_race
```

```
## # A tibble: 3,806 × 8
##
             pred.whi pred.bla pred.his pred.asi pred.oth max_race_p race
    surname
                                               <dbl>
                         <dbl>
                                         <dbl>
##
     <chr>
                <dbl>
                                                          <dbl> <chr>
## 1 HOWARD
                0.643 0.295
                               0.0237
                                      0.005
                                               0.0333
                                                          0.643 white
               0.861 0.0271 0.0609 0.0135
## 2 YILDIRIM
                                               0.0372
                                                          0.861 white
               0.702 0.237 0.0245 0.0054
                                               0.0309
## 3 HAMILTON
                                                          0.702 white
## 4 MOSHER
              0.947 0.00410 0.0241
                                       0.00640 0.0185
                                                          0.947 white
## 5 BARR
              0.827 0.117
                                       0.00590 0.0271
                                                          0.827 white
                              0.0226
              0.687 0.251
## 6 GRAY
                               0.0241
                                                          0.687 white
                                       0.0054
                                               0.0324
## 7 MCMILLIAN 0.359 0.574
                                       0.00260 0.0463
                               0.0189
                                                          0.574 black
                               0.0237
## 8 FORD
                0.620
                       0.32
                                       0.0045
                                               0.0313
                                                          0.620 white
## 9 STRZELECKA 0.666
                       0.0853
                               0.137
                                       0.0797
                                               0.0318
                                                          0.666 white
## 10 KIM
                0.0252 0.00390 0.00650 0.945
                                                0.0198
                                                          0.945 Asian
## # ... with 3,796 more rows
```

Let's join the data back to the applications table.

```
# removing extra columns
examiner_race <- examiner_race %>%
   select(surname, race)
applications <- applications %>%
   left_join(examiner_race, by = c("examiner_name_last" = "surname"))
rm(examiner_race)
rm(examiner_surnames)
gc()
```

```
## used (Mb) gc trigger (Mb) limit (Mb) max used (Mb)
## Ncells 4959097 264.9 7898177 421.9 NA 7898177 421.9
## Vcells 53387365 407.4 95518638 728.8 102400 94454929 720.7
```

### Examiner's tenure

To figure out the timespan for which we observe each examiner in the applications data, let's find the first and the last observed date for each examiner. We'll first get examiner IDs and application dates in a separate table, for ease of manipulation. We'll keep examiner ID (the field examiner\_id), and earliest and latest dates for each application (filing\_date and appl\_status\_date respectively). We'll use functions in package lubridate to work with date and time values.

```
library(lubridate) # to work with dates
examiner_dates <- applications %>%
  select(examiner_id, filing_date, appl_status_date)
examiner_dates
```

```
## # A tibble: 2,018,477 × 3
##
        examiner_id filing_date appl_status_date
##
                <dbl> <date>
                                        <chr>
## 1
                96082 2000-01-26 30jan2003 00:00:00
## 2
             87678 2000-10-11 27sep2010 00:00:00
## 4 73788 2001-07-20 07sep2009 00:00:00
## 5 77294 2000-04-10 19apr2001 00:00:00
## 6 68606 2000-04-28 16jul2001 00:00:00
## 7 89557 2004-01-26 15may2017 00:00:00
## 8 97543 2000-06-23 03apr2003 00:00:00
             63213 2000-05-17 30mar2009 00:00:00
            98714 2000-02-04 27nov2002 00:00:00
## 9
## 10
                65530 2002-02-20 23mar2009 00:00:00
## # ... with 2,018,467 more rows
```

The dates look inconsistent in terms of formatting. Let's make them consistent. We'll create new variables start\_date and end date.

```
examiner_dates <- examiner_dates %>%
  mutate(start_date = ymd(filing_date), end_date = as_date(dmy_hms(appl_status_date)))
```

Let's now identify the earliest and the latest date for each examiner and calculate the difference in days, which is their tenure in the organization.

```
examiner_dates <- examiner_dates %>%
  group_by(examiner_id) %>%
  summarise(
    earliest_date = min(start_date, na.rm = TRUE),
    latest_date = max(end_date, na.rm = TRUE),
    tenure_days = interval(earliest_date, latest_date) %/% days(1)
    ) %>%
  filter(year(latest_date)<2018)
examiner_dates</pre>
```

```
## # A tibble: 5,625 × 4
##
     examiner_id earliest_date latest_date tenure_days
##
          <dbl> <date>
                              <date>
                                                 <dbl>
## 1
         59012 2004-07-28
                               2015-07-24
                                                 4013
## 2
         59025 2009-10-26 2017-05-18
                                                 2761
## 3
           59030 2005-12-12
                               2017-05-22
                                                 4179
      59040 2007-09-11
59052 2001-08-21
59054 2000-11-10
## 4
                               2017-05-23
                                                 3542
## 5
                               2007-02-28
                                                 2017
## 6
                               2016-12-23
                                                 5887
        59055 2004-11-02
59056 2000-03-24
## 7
                               2007-12-26
                                                 1149
## 8
                               2017-05-22
                                                 6268
## 9
         59074 2000-01-31
                               2017-03-17
                                                 6255
## 10
           59081 2011-04-21
                               2017-05-19
                                                 2220
## # ... with 5,615 more rows
```

Joining back to the applications data.

## 2. Pick two workgroups to focus on

```
# before we begin, get the workgroup from art unit as rounding down to digit tenth.
applications <- applications %>%
   mutate(wg = (applications$examiner_art_unit%/%10) * 10)

# Find out which is the dominating workgroup an examiner handled the applications for.
library(plyr)
```

```
## ------
## You have loaded plyr after dplyr - this is likely to cause problems.
## If you need functions from both plyr and dplyr, please load plyr first, then dplyr:
## library(plyr); library(dplyr)

## -------

## Attaching package: 'plyr'

## The following objects are masked from 'package:dplyr':
## arrange, count, desc, failwith, id, mutate, rename, summarise,
## summarize

## The following object is masked from 'package:purrr':
## compact
```

```
library(dplyr)
library(lubridate)
applications <- mutate(</pre>
  applications,
  period = case when(
    filing_date<ymd("2007-01-01") ~ NA_character_,
    filing_date<ymd("2008-01-01") ~ "t0",
    filing_date<ymd("2009-01-01") ~ "t1",
    filing_date<ymd("2010-01-01") ~ "t2",
    filing_date<ymd("2011-01-01") ~ "t3",
    filing date<ymd("2012-01-01") \sim "t4",
    filing_date<ymd("2013-01-01") ~ "t5",
    filing_date<ymd("2014-01-01") ~ "t6",
    filing_date<ymd("2015-01-01") ~ "t7",
    filing_date<ymd("2016-01-01") ~ "t8",
    TRUE~ NA_character_)
  )
# get number of applications
```

```
library(plyr)
examiner_wg_napp <- ddply(applications, .(examiner_id, period, wg), nrow)
names(examiner_wg_napp) <- c("examiner_id","period", "wg", "n_applications")

# assume an examiner belong to the wg he/she most frequently handled applications for, if tie take the gradexaminer_wg_napp <- examiner_wg_napp[order(examiner_wg_napp$examiner_id, examiner_wg_napp$period, -(examiner_wg <- examiner_wg_napp [!duplicated(examiner_wg_napp[c(1,2)]),]
examiner_wg <- select(examiner_wg, c("examiner_id","wg","period"))
examiner_wg <- drop_na(examiner_wg)</pre>
```

```
# select the top two workgroups at t1 for our analysis, as advice dates are all in 2008
examiner_wg %>%
  filter(period == "t1") %>%
  count("wg") %>%
  arrange(desc(freq)) %>%
  head(2)
```

```
## wg freq
## 1 1620 160
## 2 1780 154
```

Hence, I am selecting work groups 2450 and 2480 under the same technology centre 2400 for further analysis.

```
applications_2450 = applications[applications$wg==2450,]
applications_2480 = applications[applications$wg==2480,]
```

# How do they compare on examiners' demographics? Show summary statistics and plots

```
summary(applications_2450)
```

```
examiner_name_last examiner_name_first
## application_number filing_date
## Length: 42247 Min. :2000-01-05 Length: 42247 Length: 42247
## Class:character 1st Ou.:2007-07-30 Class:character Class:character
## Mode :character Median :2010-11-19 Mode :character Mode :character
##
                    Mean :2010-05-11
##
                    3rd Qu.:2013-07-12
##
                    Max. :2017-02-13
##
## examiner_name_middle examiner_id
                                    examiner_art_unit uspc_class
## Length:42247 Min. :59108 Min. :2451 Length:42247
## Class :character
                      1st Qu.:66425    1st Qu.:2453
                                                   Class :character
## Mode :character
                      Median :75612 Median :2454
                                                    Mode :character
##
                      Mean :77992 Mean :2455
##
                      3rd Qu.:92464 3rd Qu.:2457
##
                      Max. :99949 Max. :2459
                      NA's :8
##
## uspc_subclass
                    patent_number
                                     patent_issue_date
## Length: 42247
                  Length: 42247
                                     Min. :2004-04-06
## Class :character Class :character
                                     1st 0u.:2011-10-04
## Mode :character Mode :character
                                     Median :2014-02-04
##
                                     Mean :2013-10-03
##
                                     3rd Ou.: 2015-10-27
##
                                     Max. :2017-06-20
                                     NA's :18111
```

```
##
                         disposal_type
                                             appl_status_code appl_status_date
    abandon_date
## Min.
          :2002-03-21
                         Length: 42247
                                             Min. : 19.0
                                                              Length: 42247
   1st Qu.:2010-02-18
                         Class :character
                                             1st Qu.:150.0
                                                              Class : character
##
   Median :2012-08-24
                         Mode :character
                                            Median :150.0
                                                              Mode :character
           :2012-09-09
                                             Mean :137.9
##
   Mean
##
    3rd Qu.:2015-03-04
                                             3rd Qu.:161.0
##
   Max.
           :2017-06-01
                                             Max.
                                                    :824.0
##
   NA's
           :32044
                                             NA's
                                                  :70
##
          tc
                      gender
                                           race
                                                          earliest_date
##
   Min.
           :2400
                   Length: 42247
                                       Length: 42247
                                                                 :2000-01-03
                                                          Min.
##
   1st Qu.:2400
                   Class :character
                                       Class :character
                                                          1st Qu.:2000-01-26
   Median :2400
                   Mode :character
                                      Mode :character
                                                          Median :2001-03-28
##
##
   Mean :2400
                                                          Mean
                                                                 :2002-11-22
##
   3rd Qu.:2400
                                                          3rd Qu.: 2003-09-15
##
   Max.
          :2400
                                                          Max.
                                                                 :2015-09-02
                                                          NA's
##
                                                                 : 8
##
    latest_date
                          tenure_days
                                                           period
                                               wq
##
           :2009-01-12
                         Min. : 160
                                         Min.
                                               :2450
                                                        Length: 42247
##
   1st 0u.:2017-05-18
                         1st Ou.:4995
                                         1st Ou.:2450
                                                        Class :character
                         Median:5888
                                         Median :2450
                                                        Mode :character
##
   Median :2017-05-19
##
   Mean
           :2017-05-04
                         Mean
                               :5276
                                         Mean
                                              :2450
##
    3rd Qu.:2017-05-22
                         3rd Qu.:6318
                                         3rd Qu.:2450
##
   Max.
           :2017-05-23
                         Max.
                                :6349
                                         Max.
                                                :2450
##
  NA's
           :8
                         NA's
                                :8
```

summary(applications\_2480)

```
application_number filing_date
                                             examiner_name_last examiner_name_first
    Length: 41019
                       Min.
                             :2000-01-24
                                            Length: 41019
                                                                Length: 41019
##
##
   Class :character
                       1st Qu.:2010-09-13
                                            Class :character
                                                                Class :character
                       Median :2012-11-07
##
   Mode :character
                                            Mode :character
                                                                Mode :character
                       Mean
                             :2012-06-01
##
##
                       3rd Qu.:2014-11-13
##
                              :2017-04-25
                       Max.
##
##
    examiner name middle examiner id
                                         examiner_art_unit uspc_class
   Length: 41019
                         Min. :59081
                                         Min. :2481
                                                            Length: 41019
##
                                                            Class :character
   Class :character
                         1st Qu.:67427
##
                                         1st Qu.:2483
##
   Mode :character
                         Median :77821
                                         Median :2485
                                                           Mode :character
##
                         Mean :78379
                                         Mean :2485
##
                         3rd Ou.:90738
                                         3rd Qu.:2487
##
                         Max.
                                :99239
                                         Max. :2489
##
                         NA's
                                :290
##
    uspc_subclass
                       patent_number
                                           patent_issue_date
    Length: 41019
##
                       Length:41019
                                          Min.
                                                :2004-05-11
##
    Class :character
                       Class :character
                                          1st Qu.:2013-10-01
##
   Mode :character
                       Mode :character
                                          Median :2015-03-17
##
                                          Mean :2014-12-23
##
                                           3rd Qu.:2016-06-07
##
                                          Max.
                                                 :2017-06-20
##
                                          NA's
                                                 :23379
##
     abandon_date
                         disposal_type
                                             appl_status_code appl_status_date
##
   Min.
          :2008-02-21
                         Length: 41019
                                            Min. : 17.0
                                                              Length: 41019
##
   1st Qu.:2012-12-21
                         Class :character
                                            1st Qu.: 71.0
                                                              Class :character
   Median :2014-07-25
                                            Median :150.0
                                                              Mode :character
##
                         Mode :character
##
   Mean
          :2014-04-26
                                            Mean :121.6
   3rd Qu.:2015-11-17
                                            3rd Qu.:161.0
           :2017-06-02
                                                   :311.0
##
   Max.
                                            Max.
##
   NA's
           :30857
                                            NA's
                                                   :199
                      gender
##
                                                          earliest_date
          tc
                                          race
   Min.
           :2400
                   Length: 41019
                                      Length: 41019
                                                          Min.
                                                                 :2000-01-14
   1st Ou.:2400
                   Class :character
                                      Class :character
                                                         1st 0u.:2002-03-11
```

```
## Median: 2400 Mode: character Mode: character Median: 2005-07-21
## Mean :2400
                                                  Mean :2005-10-07
## 3rd Qu.:2400
                                                  3rd Qu.:2009-04-01
## Max. :2400
                                                 Max. :2013-11-20
                                                       :516
##
                                                 NA's
##
   latest date
                     tenure_days
                                                  period
                                        wg
## Min. :2011-04-20 Min. :907 Min. :2480 Length:41019
## 1st Qu.:2017-05-19 1st Qu.:2973 1st Qu.:2480 Class :character
## Median :2017-05-20 Median :4324 Median :2480 Mode :character
## Mean :2017-05-09 Mean :4232 Mean :2480
## 3rd Qu.:2017-05-22 3rd Qu.:5547 3rd Qu.:2480
## Max. :2017-05-23 Max. :6325 Max. :2480
## NA's
        :516
                     NA's
                            :516
```

#### 5276 4232

```
# reference: https://sebastiansauer.github.io/percentage_plot_ggplot2_V2/
# compare gender
library(dplyr)
library(ggplot2)
library(tidyr)
library(scales)
```

```
##
## Attaching package: 'scales'

## The following object is masked from 'package:purrr':
##
## discard

## The following object is masked from 'package:readr':
##
## col_factor
```

```
library(gridExtra)
```

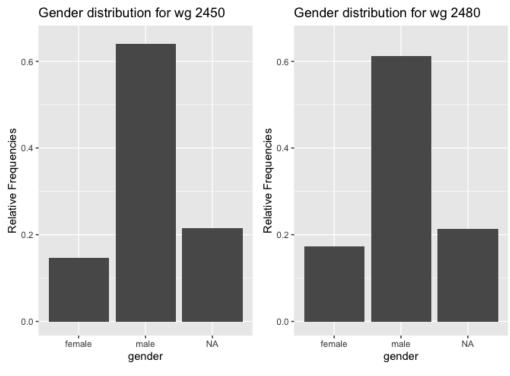
```
##
## Attaching package: 'gridExtra'
## The following object is masked from 'package:dplyr':
##
## combine
```

```
## Scale for 'y' is already present. Adding another scale for 'y', which will
## replace the existing scale.
```

```
scale_y_continuous(labels=scales::percent) +
ylim(0,0.65) +
ylab("Relative Frequencies") +
ggtitle("Gender distribution for wg 2480")
```

```
## Scale for 'y' is already present. Adding another scale for 'y', which will
## replace the existing scale.
```

```
grid.arrange(plot1,plot2,ncol=2, widths=c(1,1))
```

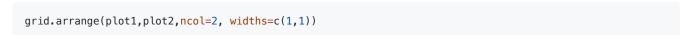


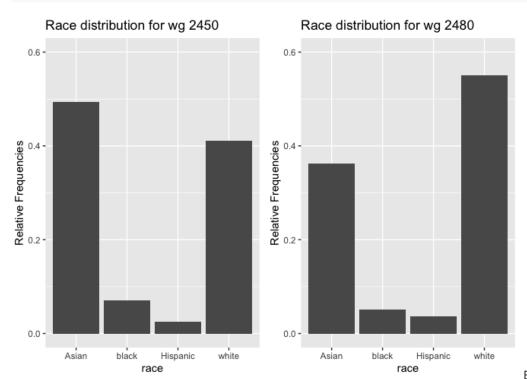
Both work groups are male-

dominating, with 2450 having slightly even higher imbalanced male-to-female gender ratio.

## Scale for 'y' is already present. Adding another scale for 'y', which will
## replace the existing scale.

## Scale for 'y' is already present. Adding another scale for 'y', which will
## replace the existing scale.





Both work groups are white

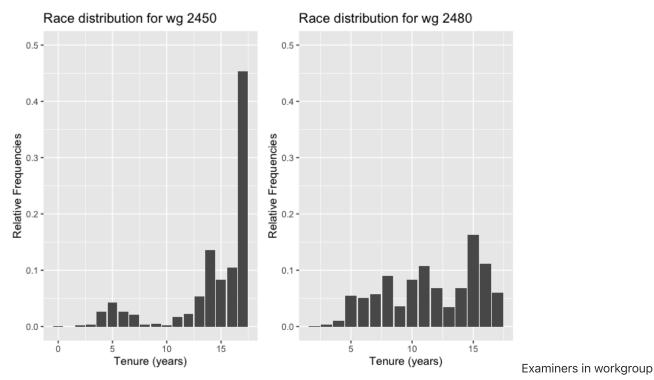
and asian dominating, with 2450 having more asian than white and 2480 the vice vesa.

## Scale for 'y' is already present. Adding another scale for 'y', which will
## replace the existing scale.

## Scale for 'y' is already present. Adding another scale for 'y', which will
## replace the existing scale.

```
grid.arrange(plot1,plot2,ncol=2, widths=c(1,1))
```

```
## Warning: Removed 8 rows containing non-finite values (stat_count).
## Warning: Removed 516 rows containing non-finite values (stat_count).
```



2450 are relatively older with USPTO with 50%+ of tenure 15+ years and a median of 16.1 years. Work group 2480 examiners are relatively younger with a median of 11.8 years in tenure.

# 3. Create advice networks and calculate centrality scores

```
# subset from applications examiners who belong to the two selected work groups
examiner_aus <- applications %>%
    filter(period == "t1") %>%
    arrange(desc(filing_date)) %>%
    filter(wg == 2450 | wg == 2480) %>%
    select(wg, examiner_art_unit, examiner_id) %>%
    distinct(examiner_id, .keep_all=TRUE) %>%
    drop_na() #255
```

```
# subset from edges examiners who belong to the two selected work groups
edges_aus <- edges %>%
    filter(ego_examiner_id %in% examiner_aus$examiner_id) %>%
    filter(alter_examiner_id %in% examiner_aus$examiner_id) %>%
    drop_na() #871

# merge work group information
network <- left_join(edges_aus, examiner_aus, by = c("ego_examiner_id" = "examiner_id"))
colnames(network)[5] <- "ego_examiner_wg"
colnames(network)[6] <- "ego_examiner_aus, by = c("alter_examiner_id" = "examiner_id"))
colnames(network)[7] <- "alter_examiner_wg"
colnames(network)[8] <- "alter_examiner_au" #871

# create edge list
edge_list <- select(network, c("ego_examiner_id","alter_examiner_id"))</pre>
```

```
# create node list
ego <- select(network, c("ego_examiner_id","ego_examiner_wg","ego_examiner_au")) %>%
    dplyr::rename(id=ego_examiner_id, wg=ego_examiner_wg, au=ego_examiner_au)
alter <- select(network, c("alter_examiner_id","alter_examiner_wg","alter_examiner_au")) %>%
    dplyr::rename(id=alter_examiner_id, wg=alter_examiner_wg, au=alter_examiner_au)
nodes <- rbind(ego, alter) %>%
    distinct() %>%
    drop_na() #112
nodes
```

```
## # A tibble: 112 × 3
##
        id
            wg
                   au
##
     <dbl> <dbl> <dbl>
## 1 85308 2450 2457
   2 88496 2450 2457
##
## 3 75919 2450 2451
## 4 75973 2450 2453
## 5 66685 2450 2457
## 6 84860 2450 2457
## 7 95938 2450 2454
## 8 99654 2450 2452
## 9 90049 2450 2456
## 10 65885 2450 2457
## # ... with 102 more rows
```

There are 112 distinct nodes / examiners involved in work group 2450 & 2480 applications.

```
library(igraph)
```

```
## Attaching package: 'igraph'
## The following objects are masked from 'package:lubridate':
##
       %--%, union
##
## The following objects are masked from 'package:dplyr':
##
       as_data_frame, groups, union
## The following objects are masked from 'package:purrr':
##
##
       compose, simplify
## The following object is masked from 'package:tidyr':
##
##
       crossing
## The following object is masked from 'package:tibble':
##
##
       as_data_frame
## The following objects are masked from 'package:stats':
##
##
       decompose, spectrum
## The following object is masked from 'package:base':
##
##
       union
```

```
advice_net = graph_from_data_frame(d=edge_list, vertices=nodes, directed=TRUE)
advice_net

## IGRAPH 69fb84f DN-- 112 871 --
## + attr: name (v/c), wg (v/n), au (v/n)
## + edges from 69fb84f (vertex names):
## [1] 85308->64243 88496->85308 75919->79585 75973->76536 66685->64243
## [6] 84860->92464 88496->88496 95938->67389 95938->79585 95938->70835
## [11] 99654->98738 90049->76536 99654->98738 88496->75919 95938->67389
## [16] 65885->70835 75973->76536 69501->67389 83122->67389 88496->92464
## [21] 75973->76536 75973->76536 60501->67389 83122->67389 63962->92464
## [26] 63962->70835 60501->67389 60501->87389 83122->67389 95938->67389
## [31] 84860->92464 66685->67389 60501->67389 96764->67389 60501->67389
## [36] 61111->92464 60501->67389 69116->85308 75973->76536 90049->67389
## 1... omitted several edges
```

## Different measure of centrality

Pick measure(s) of centrality you want to use and justify your choice

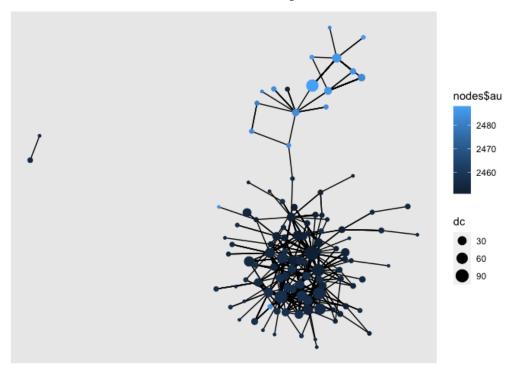
```
# Calculate Betweenness Centrality, which measures the extent to which a node lies on paths between other
V(advice_net)$dc <- degree(advice_net)</pre>
# Calculate Degree Centrality, a measure for a node in a network is just its degree, the number of edges (
V(advice_net)$bc <- betweenness(advice_net)</pre>
# Calculate Eigenvector Centrality, which awards a number of points proportional to the centrality scores
V(advice_net)$ec <- evcent(advice_net)</pre>
## Warning in vattrs[[name]][index] <- value: number of items to replace is not a
## multiple of replacement length
#unlist(V(advice_net)$ec[1])
#V(advice_net)$cc <- closeness(advice_net) # dropped since closeness centrality is not well-defined for d
data.frame(round(cbind(V(advice_net)$dc, V(advice_net)$bc, unlist(V(advice_net)$ec[1])),3))
                       Х3
##
          X1
                 X2
## 85308 28 3.333 0.000
## 88496 56 0.000 0.000
## 75919 47 11.500 0.000
## 75973 25 0.000 0.000
## 66685 24 10.785 0.000
## 84860
         3 0.000 0.000
## 95938 16 0.000 0.000
## 99654
          7 0.000 0.000
## 90049 29 24.589 0.000
## 65885
         36 3.000 0.000
## 96764
         22 15.011 0.000
## 60501 36 0.000 0.000
## 83122
          7 0.000 0.000
## 63962 22 9.000 0.000
```

```
## 61111 34 0.000 0.000
## 69116
         30
              0.000 0.000
## 65566
         10
              4.000 0.000
## 88565
           7
              0.000 0.000
## 93735
           2 0.000 0.000
## 67543
         24 16.853 0.000
## 64623
         11
              0.000 0.000
## 75216
         10
             0.000 0.000
## 97305
          7 0.500 0.000
## 76536
         79 32.000 0.000
## 94129
             8.000 0.000
## 73296
             8.158 0.000
         23
## 97146
           2
              0.000 0.000
## 69140
           3
              0.000 0.001
## 96926
          4
              0.000 0.001
## 70755
         28 0.000 0.000
## 69853
           3 0.000 0.000
## 90294
           4
              2.000 0.000
## 62658
           8
              0.000 0.000
## 67570
              0.000 0.000
           9
## 78057
         21
              0.000 0.000
## 99483
          22
              0.000 0.000
## 61103
           1
              0.000 0.000
## 91354
          4
             0.000 0.000
## 87031
         19
              3.000 0.004
## 64572
          1
              0.000 0.000
## 65993
         12
              0.000 0.000
## 68625
              0.000 0.000
           3
##
  73612
           1
              0.000 0.000
## 59108
          52
              0.000 0.000
## 83039
           9
              0.000 0.000
## 60682
           6 0.000 0.000
## 85457
           2
              0.000 0.010
## 95973
           5
             1.000 0.000
## 65251
         20
             0.000 0.000
## 62150
         76
              0.000 1.000
## 64272
          8
              0.000 0.030
## 97975
          24
              0.000 0.000
## 90336
         59
              0.000 0.000
## 64183
         46
              0.938 0.000
## 65071
           2
             0.000 0.000
## 71125
              0.000 0.000
## 94603
           3
              0.000 0.000
## 60691
         12
              0.000 0.000
## 66622
          28
              0.000 0.000
## 64454
              0.000 0.005
          1
## 94427
           4
              1.000 0.000
## 90633
           3 0.000 0.000
## 63182
           4
             0.000 0.000
## 60415
           2
             0.000 0.000
## 63129
         16
             0.000 0.011
## 95871
           3
              3.000 0.000
## 94793
           4
              0.000 0.000
## 96062
           3
              0.000 0.000
## 75865
           2 0.000 0.005
## 67965
           1 0.000 0.000
## 76356
           1
             0.000 0.000
## 97697
              0.000 0.000
           3
             0.000 0.000
## 96075
           5
## 68287
         28
             0.000 0.000
## 66055
          15
              2.333 0.000
## 95363
           6
              0.000 0.000
## 80925
           3
              2.000 0.000
## 96154
           4 0.000 0.000
## 68127
           2 0.000 0.000
```

```
## 69975 4 0.000 0.000
## 98221 4 0.000 0.000
## 94835 1 0.000 0.000
## 65569 1 0.000 0.000
## 78319 2 0.000 0.000
## 64847 43 0.000 0.000
## 68791
         5 0.000 0.000
## 75612
         3 0.000 0.001
## 61678 1 0.000 0.000
## 87664
        1 0.000 0.000
## 64243 20 0.000 0.000
## 79585 40 0.000 0.000
## 92464 77
            0.000 0.000
## 67389 117
            0.000 0.000
## 70835 85
            0.000 0.000
## 98738 29 0.000 0.000
## 59768
        6 0.000 0.000
## 93547
         1 0.000 0.000
## 66425 12 0.000 0.000
## 66839 16 0.000 0.016
## 74039
         1
            0.000 0.000
## 99753
          7
            0.000 0.000
## 91444
         7
            0.000 0.000
## 93423
         3 0.000 0.000
## 93800
         3 0.000 0.000
## 68762 31 0.000 0.311
## 87589
         1 0.000 0.000
## 84697 3 0.000 0.000
## 95623
         1 0.000 0.000
## 92994
         1 0.000 0.000
## 60130
         1 0.000 0.000
## 91812
         1 0.000 0.000
## 73271
         1 0.000 0.000
```

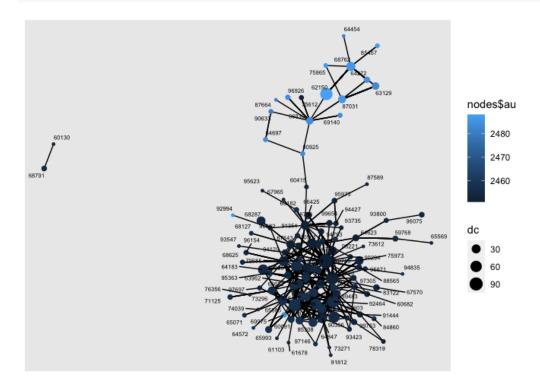
Between different centralities, I would choose degree Centrality, which is a measure for the number of edges connected to a node. This is because seeking patent application is directly from one examiner to another, without leading / facilitating group communications (measured by Betweenness Centrality), reliance on certain more important persons (measured by Eigenvector Centrality), or in close network and direct relationship with mean distance (measured by Closeness Centrality).

```
# reference: https://www.data-imaginist.com/2017/ggraph-introduction-layouts/
library(ggraph)
ggraph(advice_net, layout="kk") +
  geom_edge_link()+
  geom_node_point(aes(size=dc, color=nodes$au), show.legend=T)
```



```
# with labels of examiner id for further analysis
ggraph(advice_net, layout="kk") +
  geom_edge_link()+
  geom_node_point(aes(size=dc, color=nodes$au), show.legend=T) +
  geom_node_text(aes(label = nodes$id), repel=TRUE, size=2)
```

## Warning: ggrepel: 4 unlabeled data points (too many overlaps). Consider
## increasing max.overlaps



Characterize and discuss the relationship between centrality and other examiners' characteristics

From the above network graph, we can observe two clear clusters of work groups 2450 and 2480 represented by dark blue and light blue respectively. In general, examiners seek advice within their own work group. Among the light blue cluster of 2480, there is one node in dark blue (75612) from 2450; conversely, among the dark blue cluster of 2450, there are two light blue nodes (e.g. 92994) from 2480. Both clusters are connected by a dark blue node (60415) and a light blue node (80925), who potentially are the only coordinators between the two work groups. Also, there are two dark blue nodes (60130, 68791) in 2450 seeking advice by themselves not connecting to any other nodes.

Let's understand more about those examiners.

```
## # A tibble: 4 × 8
##
    application_number advice_date ego_examiner_id alter_examiner_... ego_examiner_wg
                                                                 <dh1>
## 1 10909039
                         2008-11-28
                                                96062
                                                                 92994
                                                                                   2450
## 2 11388438
                        2008-11-25
                                                75612
                                                                                   2450
                                                                 66839
## 3 11388681
                         2008-12-17
                                                75612
                                                                 66839
                                                                                   2450
## 4 11389676
                         2008-12-29
                                                75612
                                                                 66839
                                                                                   2450
## # ... with 3 more variables: ego_examiner_au <dbl>, alter_examiner_wg <dbl>,
## # alter_examiner_au <dbl>
```

```
applications %>%
  filter(examiner_id==75612) # asian male from 2001
```

```
## # A tibble: 298 × 23
##
     application_number filing_date examiner_name_last examiner_name_first
     <chr>
##
                         <date>
                                     <chr>
                                                        <chr>
## 1 10016529
                         2001-12-10 TRAN
                                                        MAM
## 2 10531791
                         2005-10-04 TRAN
                                                        MAM
## 3 10570437
                         2006-03-02 TRAN
                                                        MAM
## 4 11102355
                         2005-04-08 TRAN
                                                        MAM
                         2005-04-29 TRAN
## 5 11117851
                                                        MAM
## 6 11118154
                         2005-04-29 TRAN
                                                        NAM
##
   7 11210904
                         2005-08-25 TRAN
                                                        MAM
## 8 11257009
                         2005-10-25 TRAN
                                                        MAM
## 9 11291897
                         2005-12-02 TRAN
                                                        NAM
## 10 11305415
                         2005-12-16 TRAN
                                                        NAM
## # ... with 288 more rows, and 19 more variables: examiner name middle <chr>,
      examiner_id <dbl>, examiner_art_unit <dbl>, uspc_class <chr>,
## #
      uspc_subclass <chr>, patent_number <chr>, patent_issue_date <date>,
## #
      abandon_date <date>, disposal_type <chr>, appl_status_code <dbl>,
## #
      appl_status_date <chr>, tc <dbl>, gender <chr>, race <chr>,
## #
      earliest_date <date>, latest_date <date>, tenure_days <dbl>, wg <dbl>,
## #
      period <chr>
```

```
applications %>%
  filter(examiner_id==92994) # white male from 2006
```

```
## # A tibble: 16 × 23
##
     application_number filing_date examiner_name_last examiner_name_first
##
      <chr>
                         <date>
                                     <chr>
                                                         <chr>
  1 11511982
                         2006-08-28 LINDON
                                                         BRENT
##
  2 11512136
                         2006-08-30 LINDON
                                                         BRENT
##
## 3 11512287
                         2006-08-30 LINDON
                                                         BRENT
```

```
## 4 11512619
                        2006-08-29 LINDON
                                                       BRFNT
## 5 11513818
                        2006-08-30 LINDON
                                                       BRENT
## 6 11531955
                        2006-09-14 LINDON
                                                       BRENT
## 7 12111605
                        2008-04-29 LINDON
                                                       BRFNT
                        2008-04-29 LINDON
## 8 12111720
                                                       BRENT
## 9 12112397
                        2008-04-30 LINDON
                                                       BRENT
## 10 12113202
                        2008-04-30 LINDON
                                                       BRENT
## 11 12224080
                        2008-08-15 LINDON
                                                       BRFNT
## 12 12224239
                        2008-08-21 LINDON
                                                       BRENT
## 13 12312414
                        2009-05-08 LINDON
                                                       BRENT
## 14 12387154
                        2009-04-28 LINDON
                                                       BRENT
## 15 12387233
                        2009-04-29 LINDON
                                                       BRENT
                        2009-04-29 LINDON
## 16 12387234
                                                       BRENT
## # ... with 19 more variables: examiner_name_middle <chr>, examiner_id <dbl>,
## #
      examiner_art_unit <dbl>, uspc_class <chr>, uspc_subclass <chr>,
## #
      patent_number <chr>, patent_issue_date <date>, abandon_date <date>,
## #
     disposal_type <chr>, appl_status_code <dbl>, appl_status_date <chr>,
## # tc <dbl>, gender <chr>, race <chr>, earliest_date <date>,
## #
      latest_date <date>, tenure_days <dbl>, wg <dbl>, period <chr>
```

```
data.frame(cbind(nodes$id, nodes$wg, V(advice_net)$dc)) %>%
  dplyr::rename(id=X1,wg=X2,dc=X3) %>%
  filter(id==75612 | id==92994) #dc=3; dc=1
```

```
## id wg dc
## 1 75612 2450 3
## 2 92994 2480 1
```

We can see that those examiners providing advice from 2450 to 2480, but not vice versa or getting advice within their own work group. They're potentially the subject matter experts who joined the wrong art unit / work group.

```
# examiners connecting the two clusters (60415, 80925)
network %>% filter(ego_examiner_id == 60415 | alter_examiner_id == 60415 |
ego_examiner_id == 80925 | alter_examiner_id == 80925 )
```

```
## # A tibble: 4 × 8
    application_number advice_date ego_examiner_id alter_examiner_... ego_examiner_wg
##
     <chr>
                        <date>
                                               <dbl>
                                                                 <dbl>
                                                                                 <dbl>
## 1 10775833
                        2008-01-24
                                               60415
                                                                 80925
                                                                                   2450
## 2 10840437
                        2008-06-09
                                               60415
                                                                 98738
                                                                                   2450
## 3 11069967
                        2008-04-16
                                               80925
                                                                 66839
                                                                                   2480
## 4 11070656
                        2008-05-13
                                               80925
                                                                 84697
                                                                                   2480
## # ... with 3 more variables: ego_examiner_au <dbl>, alter_examiner_wg <dbl>,
## # alter_examiner_au <dbl>
```

```
applications %>%
filter(examiner_id==60415) # asian male from 2003
```

```
## # A tibble: 279 × 23
##
     application_number filing_date examiner_name_last examiner_name_first
     <chr>
                        <date>
                                                       <chr>
## 1 10582381
                        2006-06-09 NAJEE-ULLAH
                                                       TARIQ
## 2 10635587
                        2003-08-06 NAJEE-ULLAH
                                                       TARIQ
## 3 10655088
                        2003-09-03 NAJEE-ULLAH
                                                       TARIQ
##
   4 10655167
                        2003-09-04 NAJEE-ULLAH
                                                       TARIQ
## 5 10655324
                        2003-09-04 NAJEE-ULLAH
                                                       TARIO
```

```
## 6 10655371
                         2003-09-04 NAJEE-ULLAH
                                                        TARIQ
## 7 10719282
                         2003-11-20 NAJEE-ULLAH
                                                        TARIQ
## 8 10719375
                         2003-11-21 NAJEE-ULLAH
                                                        TARIO
## 9 10754631
                         2004-01-12 NAJEE-ULLAH
                                                        TARTO
                         2004-01-13 NAJEE-ULLAH
                                                        TARIQ
## 10 10755401
## # ... with 269 more rows, and 19 more variables: examiner name middle <chr>,
       examiner_id <dbl>, examiner_art_unit <dbl>, uspc_class <chr>,
## #
      uspc_subclass <chr>, patent_number <chr>, patent_issue_date <date>,
## #
      abandon_date <date>, disposal_type <chr>, appl_status_code <dbl>,
## #
      appl_status_date <chr>, tc <dbl>, gender <chr>, race <chr>,
## #
      earliest_date <date>, latest_date <date>, tenure_days <dbl>, wg <dbl>,
      period <chr>
## #
```

```
applications %>%
filter(examiner_id==80925) # asian male from 2002
```

```
## # A tibble: 387 × 23
##
     application_number filing_date examiner_name_last examiner_name_first
##
     <chr>
                        <date>
                                    <chr>
                                                        <chr>
## 1 10109575
                                                        SYED
                         2002-03-27 HASAN
   2 10129318
                         2002-05-06 HASAN
                                                        SYED
##
##
   3 10129878
                        2002-09-27 HASAN
                                                        SYFD
## 4 10133184
                        2002-04-26 HASAN
                                                        SYED
## 5 10174384
                        2002-06-18 HASAN
                                                        SYED
## 6 10432227
                        2003-05-22 HASAN
                                                        SYED
## 7 10445252
                        2003-05-27 HASAN
                                                        SYED
## 8 10446853
                        2003-05-29 HASAN
                                                        SYED
                        2005-02-02 HASAN
## 9 10500401
                                                        SYED
## 10 10501543
                        2004-11-12 HASAN
                                                        SYED
## # ... with 377 more rows, and 19 more variables: examiner_name_middle <chr>,
## #
      examiner_id <dbl>, examiner_art_unit <dbl>, uspc_class <chr>,
## #
      uspc_subclass <chr>, patent_number <chr>, patent_issue_date <date>,
      abandon date <date>, disposal type <chr>, appl status code <dbl>,
      appl_status_date <chr>, tc <dbl>, gender <chr>, race <chr>,
## #
## #
      earliest_date <date>, latest_date <date>, tenure_days <dbl>, wg <dbl>,
## #
      period <chr>
```

```
data.frame(cbind(nodes$id, nodes$wg, V(advice_net)$dc)) %>%
  dplyr::rename(id=X1,wg=X2,dc=X3) %>%
  filter(id==60415 | id==80925) #dc=2; dc=3
```

```
## id wg dc
## 1 60415 2450 2
## 2 80925 2480 3
```

The only edge joining the two work groups clusters would be formed by examiner 60415 in 2450 seeking advice from 80925 in 2480. Both of them are asian male and high tenure, relatively senior.

<dbl>

60130

<dbl>

2450

<dbl>

68791

<date>

2008-12-08

##

<chr>

## 1 11336266

```
## 2 11350055    2008-12-04    68791    68791    2450
## 3 11352315    2008-10-23    68791    68791    2450
## # ... with 3 more variables: ego_examiner_au <dbl>, alter_examiner_wg <dbl>,
## # alter_examiner_au <dbl>
```

```
applications %>%
  filter(examiner_id==60130) # black male from 2001, change to in 2007
```

```
## # A tibble: 647 × 23
     application number filing date examiner name last examiner name first
##
     <chr>
                         <date>
                                     <chr>
                                                        <chr>
## 1 09230640
                         2000-06-07 COBY
                                                        FRANTZ
## 2 09479668
                         2000-01-10 COBY
                                                        FRANTZ
## 3 09479669
                         2000-01-10 COBY
                                                        FRANTZ
## 4 09480190
                         2000-01-10 COBY
                                                        FRANTZ
## 5 09493435
                         2000-01-29 COBY
                                                        FRANTZ
## 6 09512125
                         2000-02-24 COBY
                                                        FRANTZ
## 7 09517131
                         2000-03-02 COBY
                                                        FRANTZ
## 8 09519016
                         2000-03-03 COBY
                                                        FRANT7
## 9 09522678
                         2000-03-10 COBY
                                                        FRANTZ
## 10 09523168
                         2000-03-10 COBY
                                                        FRANTZ
## # ... with 637 more rows, and 19 more variables: examiner_name_middle <chr>,
      examiner_id <dbl>, examiner_art_unit <dbl>, uspc_class <chr>,
      uspc_subclass <chr>, patent_number <chr>, patent_issue_date <date>,
## #
## #
      abandon_date <date>, disposal_type <chr>, appl_status_code <dbl>,
      appl_status_date <chr>, tc <dbl>, gender <chr>, race <chr>,
      earliest_date <date>, latest_date <date>, tenure_days <dbl>, wg <dbl>,
## #
## #
      period <chr>
```

```
applications %>%
filter(examiner_id==68791) # white male from 2009
```

```
## # A tibble: 152 × 23
##
     application_number filing_date examiner_name_last examiner_name_first
                                                        <chr>
##
     <chr>
                         <date>
                                    <chr>
## 1 11336223
                         2006-01-20 MURPHY
                                                        CHARLES
##
   2 11336266
                         2006-01-20 MURPHY
                                                        CHARLES
## 3 11336457
                         2006-01-20 MURPHY
                                                        CHARLES
## 4 11336878
                         2006-01-23 MURPHY
                                                        CHARLES
## 5 11338539
                         2006-01-23 MURPHY
                                                        CHARLES
## 6 11341833
                         2006-01-27 MURPHY
                                                        CHARLES
## 7 11341918
                         2006-01-27 MURPHY
                                                        CHARLES
## 8 11341934
                         2006-01-26 MURPHY
                                                        CHARLES
## 9 11342018
                         2006-01-27 MURPHY
                                                        CHARLES
## 10 11345415
                        2006-02-01 MURPHY
                                                        CHARLES
## # ... with 142 more rows, and 19 more variables: examiner_name_middle <chr>,
## # examiner_id <dbl>, examiner_art_unit <dbl>, uspc_class <chr>,
      uspc_subclass <chr>, patent_number <chr>, patent_issue_date <date>,
## #
      abandon_date <date>, disposal_type <chr>, appl_status_code <dbl>,
## #
      appl_status_date <chr>, tc <dbl>, gender <chr>, race <chr>,
## #
      earliest_date <date>, latest_date <date>, tenure_days <dbl>, wg <dbl>,
## #
      period <chr>
```

```
data.frame(cbind(nodes$id, nodes$wg, V(advice_net)$dc)) %>%
  dplyr::rename(id=X1,wg=X2,dc=X3) %>%
  filter(id==60130 | id==68791) #dc=1; dc=5
```

```
## id wg dc
## 1 68791 2450 5
## 2 60130 2450 1
```

Examiner 68791 in work group 2450 who is relatively new only seek advice within the work group, while 68791 who has joined USPTO since 2001 (more senior perhaps) does not seek any internal/external advice (marked only himself/herself).

Looking into the relationship between centrality and other examiners' characteristics, we can observe that the average degree centrality (denoted by the size of nodes) for work group 2450 is higher than that of work group 2480, which means examiners in work group 2450 are more connected / proactive reaching to more examiners within their work group in seeking advice on applications. This can be explained by the fact that work group 2480 has fewer examiners.

```
data.frame(cbind(nodes$id, nodes$wg, V(advice_net)$dc)) %>%
  dplyr::rename(id=X1,wg=X2,dc=X3) %>%
  group_by(wg) %>%
  dplyr::summarize(Mean=mean(dc))
## # A tibble: 2 × 2
      wg Mean
## <dbl> <dbl>
## 1 2450 16.3
## 2 2480 11.4
nodes %>%
  filter(wg==2450) %>%
  distinct(id) %>%
  nrow()
## [1] 95
nodes %>%
  filter(wg==2480) %>%
  distinct(id) %>%
  nrow()
## [1] 17
print(16.30526/95)
## [1] 0.1716343
print(11.35294/17)
## [1] 0.66782
```

Remarks: this approach dose not take into consideration the frequency of seeking advice or the intensity of edges but the spread of connections by distinct edges.