



# EVOLUTIONARY NEURAL NETWORK

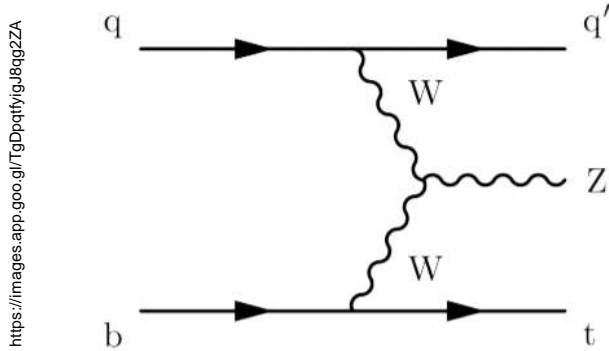
CHELSEA JOHN  
INTERNSHIP 2020  
UNIVERSITY OF BONN

# CONTENTS

- Introduction
- Grid Search For Deep Neural Network
  - With ADAM optimizer
  - With SGD optimizer
- Evolutionary Network
  - With max AUC
  - With min DeltaLoss
  - With both max AUC and Min DeltaLoss
  - Fine tuning
- Verifying the Best Genotype
- Conclusion

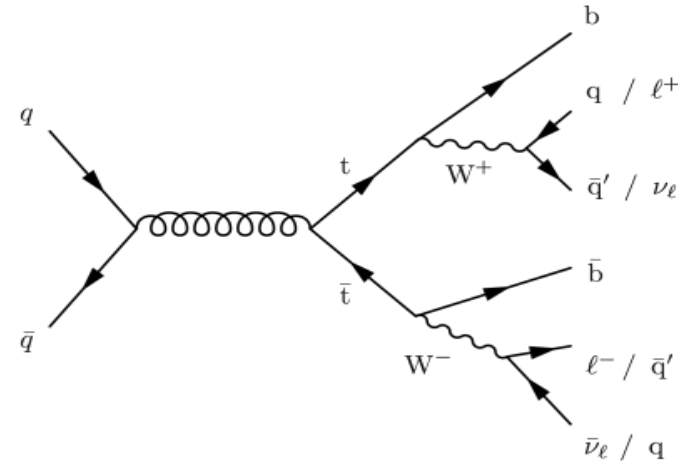
# AIM: Separate Signal From Background Using Neural Networks

Signal :  $tZq$



sFile: /cephfs/user/s6taholm/tHq/run/12-10-hadhad/out\_12-10-tZq.root

Background :  $t\bar{t}b\bar{b}$



bFile : /cephfs/user/s6taholm/tHq/run/12-10-hadhad/ttbar.root

# Variables Used For Distinguishing $tZq$ From $t\bar{t}b$ :

- $\eta_{jf}$  - Forward jet  $\eta$
- $p_{t,jf}$  - Forward jet transverse momentum
- $m_{jf}$  - Forward jet mass
- $\phi_{jf}$  - Forward jet  $\phi$
- $\eta_b$  -  $\eta$  of  $b$
- $p_{t,b}$  - Transverse momentum of  $b$
- $\phi_b$  -  $\phi$  of  $b$
- $HvisMass$  - Heavy Boson mass
- $M_{met}$  - Mass of missing transverse energy
- $Reco\_w\_mass\_1$  - Reconstructed  $W$ -1 mass
- $Reco\_w\_mass\_2$  - Reconstructed  $W$ -2 mass

# GRID SEARCH RESULTS

# DEEP NEURAL NETWORK

NETWORK STRUCTURE	
No: of nodes	[10 - 100]
Hidden layers	[1 – 4 ]
Epochs	[50 - 250]
Dropout	[0 - 0.9 ]
Batchsize	[10 - 1000]
activations	ReLu, ReLu, Sigmoid
Initialization	Lecun_normal

OPTIMIZER	
Optimizer	Adam , SGD
Learning rate	SGD : [0.01 -0.99]
Decay	0
Momentum	SGD: [0 – 0.8]
Nesterov	True
Loss	Binary cross entropy

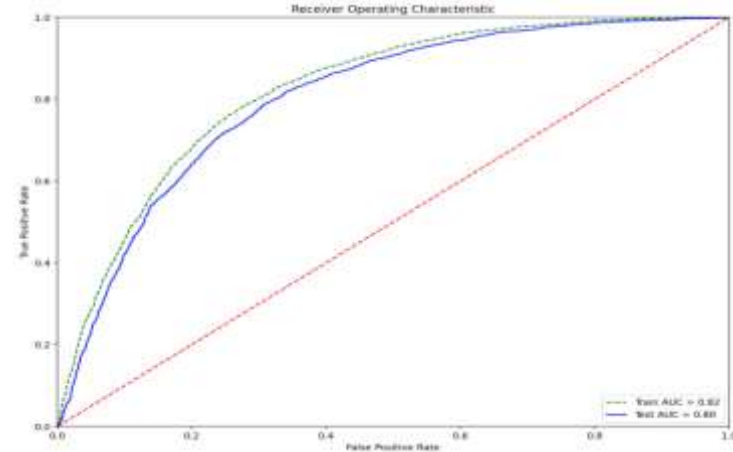
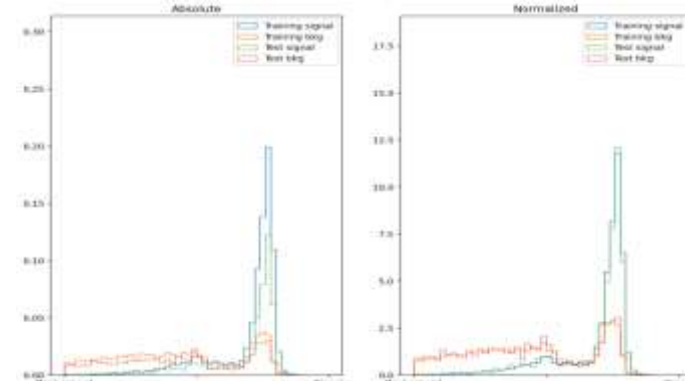
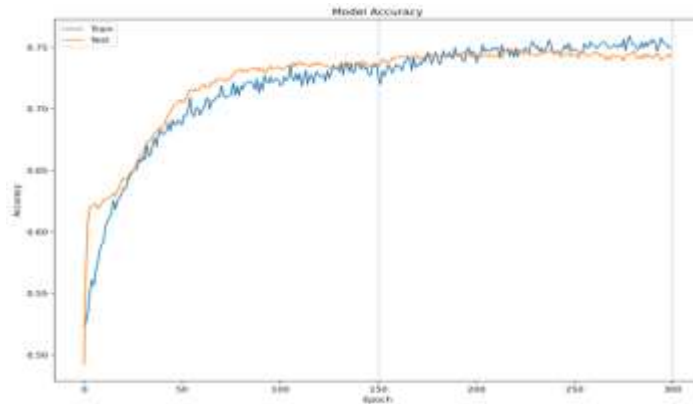
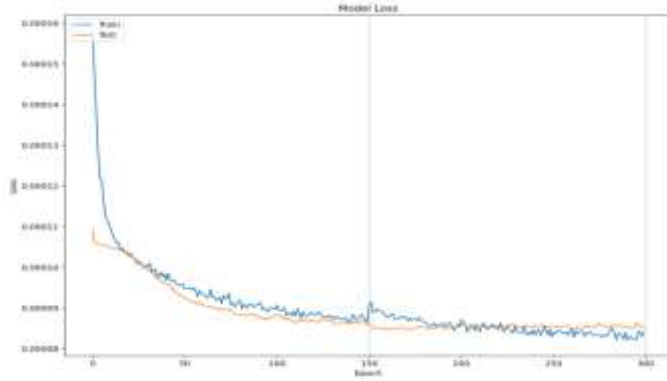
TRAINING SETUP	
K-fold splits	[1,2,3,4]
Features	conf/tZq_VarList_ckirfel.txt
Full Features	conf/tZq_VarList_ckirfel.txt
evFrac	0.25
UseBatchNorm	True
Estop	None

## Graphs Analyzed

- Model Accuracy (Accuracy vs Epoch)
- Model loss (Loss vs Epoch)
- Response (Signal – 1, Background – 0)
- Receiver Operating Characteristic(ROC)

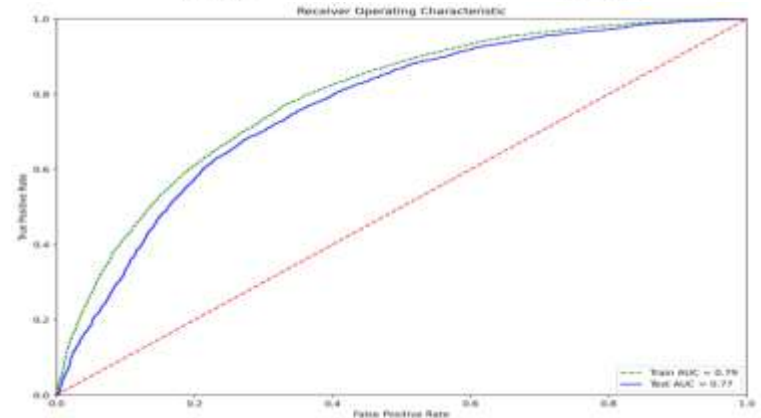
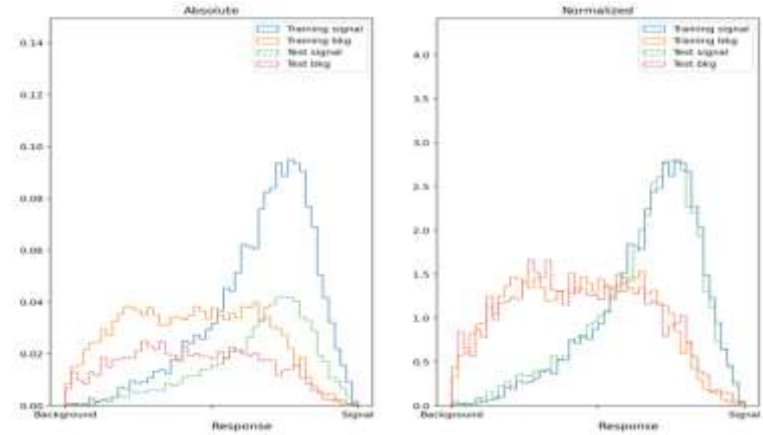
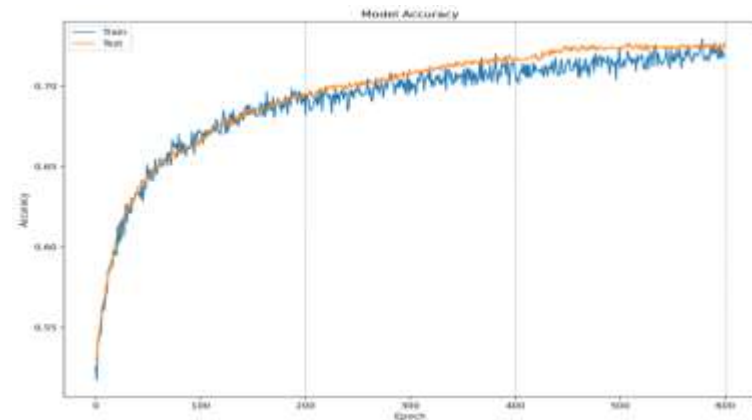
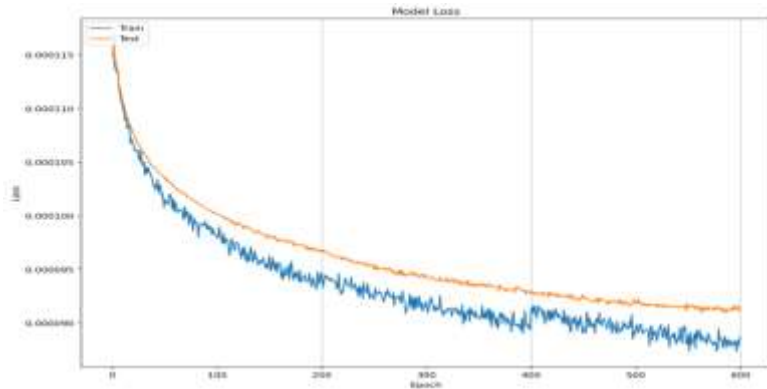
# OPTIMIZER: ADAM

Epoch [50,250]	Batch size [10,1000]	Hidden layers [1,4]	No of nodes [10,100]	K-fold splits [1,4]	Dropout [0,0.9]
150	200	2	50	2	0.8



# OPTIMIZER: SGD

Epoch [50,250]	Batch size [10,1000]	Hidden layers [1,4]	No of nodes[10,100]	K-fold [1,4]	Dropout [0,0.9]	Learning rate[0.01,0.99]	Momentum [0,0.8]
200	20	2	40	3	0	0.95	0.3





# EVOLUTIONARY NEURAL NETWORK

# EVOLUTIONARY NEURAL NETWORK

The general implementation is as follows

- Step One:
  - Generate the initial population of individuals/genotypes randomly. (First generation)
- Step Two: Repeat the following breeding steps until termination:
  - Evaluate the fitness of each genotype in the generation, i.e. here using the Loss, Accuracy, ROC and Response graphs
  - Select the fittest genotype for reproduction(Parents) [ selecting the best genotype]
  - Breed new genotypes through crossover /mixing and mutation operations to give birth to offspring.
  - Replace the least-fit genotypes of the generation with new genotypes

# VARIABLES

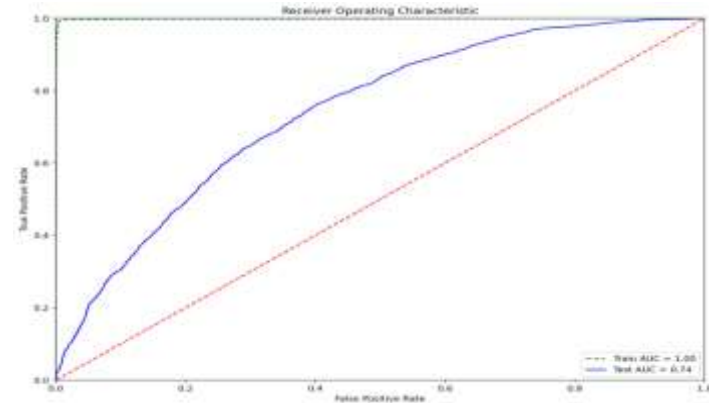
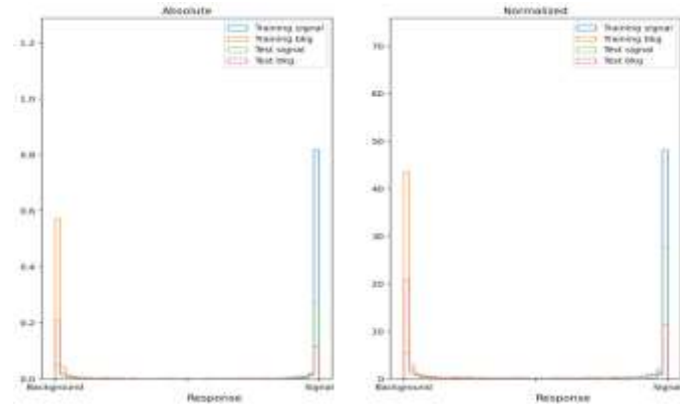
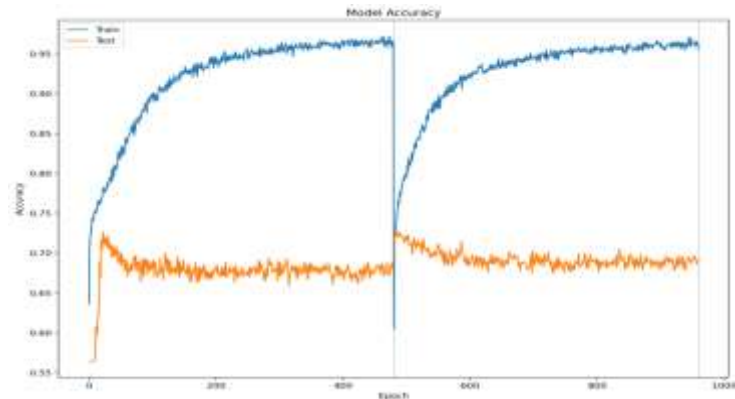
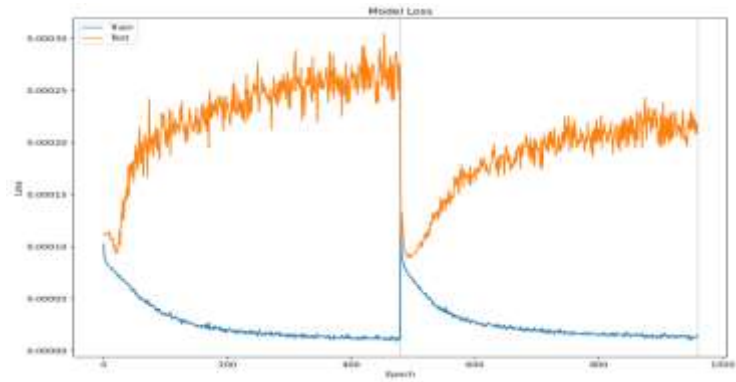
TRAINING SETUP	
Optimizer	Adam
Initialization	random_uniform
Nesterov	True
Loss	Binary cross entropy
Decay	0
Momentum	0.001
Features	conf/tZq_VarList_ckirfel.txt
Full Features	conf/tZq_VarList_ckirfel.txt
evFrac	0.25
UseBatchNorm	True
Estop	None

# HYPER PARAMETERS

Hyper parameter	No: of Selections	Start	Stop	Step
Layers[]	3	1	10	1
Nodes[]	3	1	100	5
Dropout[]	3	0	1	0.10
Learning rate[]	2	0.001	0.1	0.001
Epoch[]	2	50	500	50
Batch Size[]	2	100	2000	10
Activation function[]	2	['relu', 'elu']		

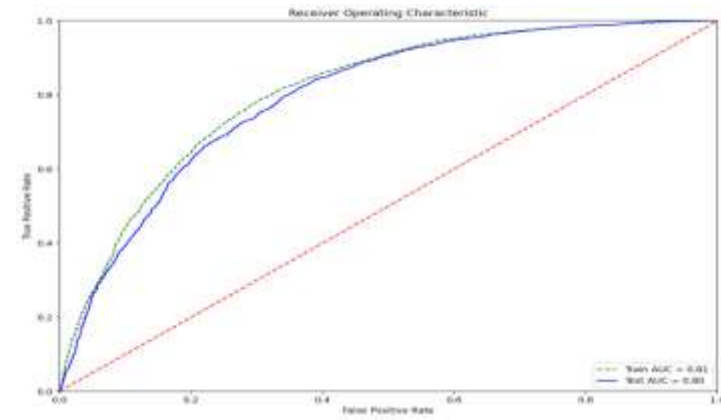
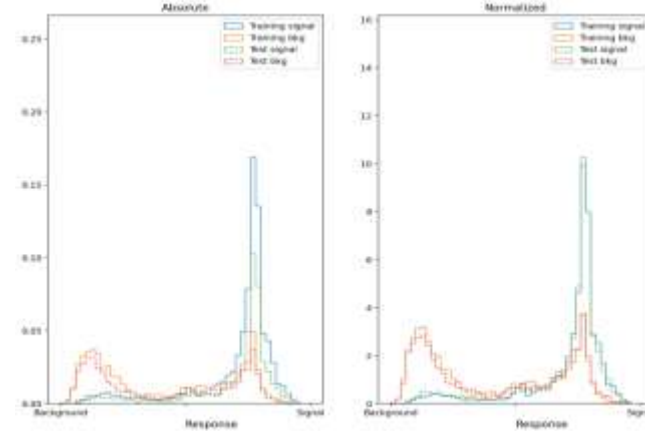
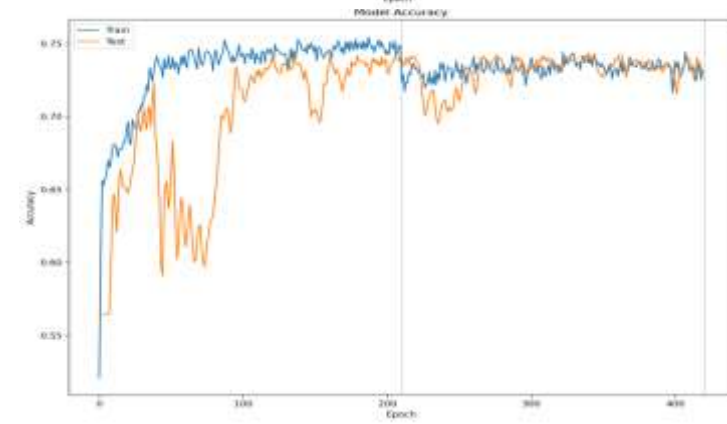
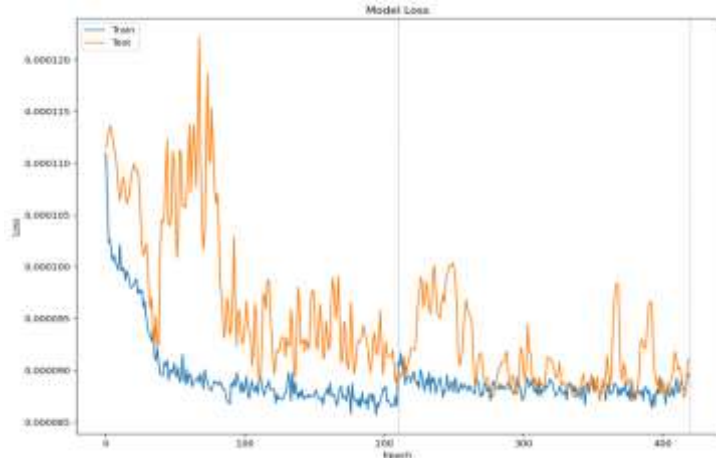
# SELECTING BEST GENOTYPE: WITH MAX AUC

Gen	Mixing	Mutation	Epoch [50,500]	Batch [100,2000]	Layers [1,10]	Nodes [1,100]	K-fold splits	Dropout [0,1]	Activation [elu,relu]
3	5	5	480	440	7	91	2	0.1	Relu



# SELECTING BEST GENOTYPE: WITH LEAST DELTALOSS

Gen	Mixing	Mutation	Epoch [50,500]	Batch [100,2000]	Layers [1,10]	Nodes [1,100]	K-fold splits	Dropout [0,1]	Activation [elu,relu]
3	5	5	210	1530	6	8	2	0.3	elu

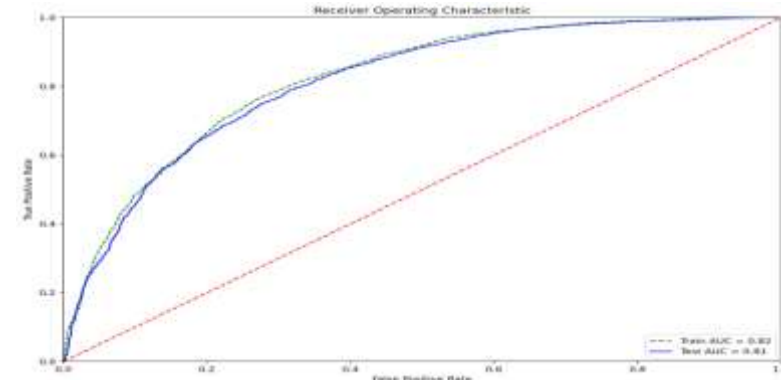
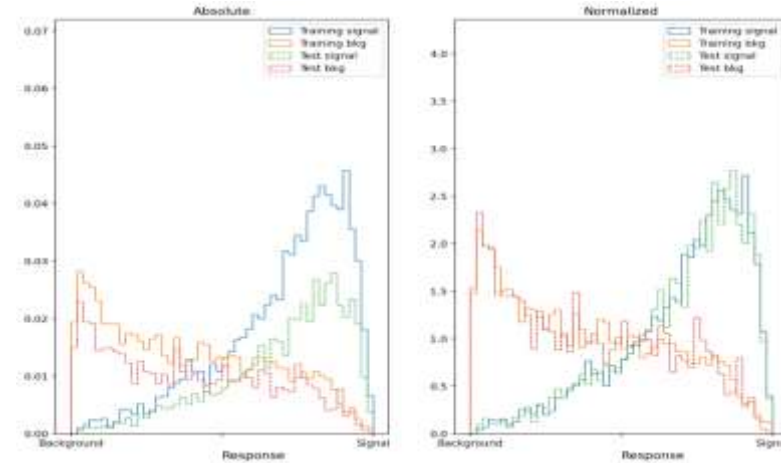
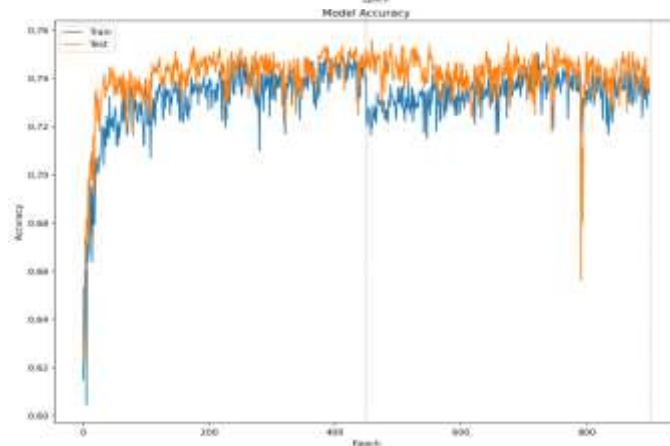
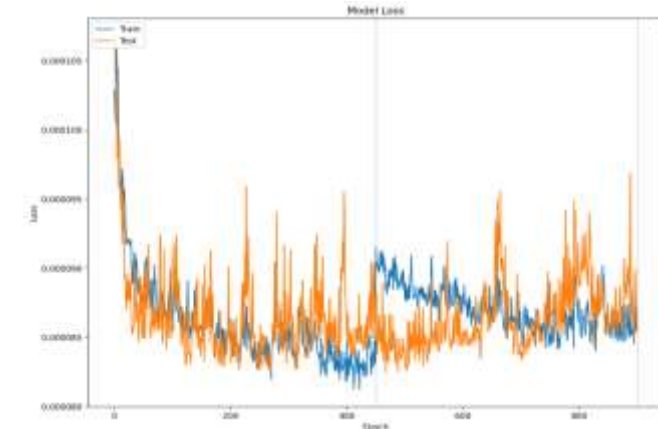


# SELECTING BEST GENOTYPE: USING AUC AND DELTALOSS

```
.....# sorting wrt to max AUC and min deltaLoss
.....genotypeSummary_1 = genotypeSummary.sort_values(by = 'AUC', ascending = False)
.....genotypeSummary_2 = genotypeSummary.sort_values(by = 'deltaLoss', ascending = True)
.....test1_index = genotypeSummary_1.index
.....test2_index = genotypeSummary_2.index
.....best_index = []
.....print('AUC index: ', test1_index, 'deltaLoss index: ', test2_index)
.....for i in range(int(len(test2_index)/2)):
.....|.....if test1_index[i] == test2_index[i]:
.....|.....|.....best_index.append(test2_index[i])
.....if (len(best_index) > 0 and len(best_index) < len(test2_index)):
.....|.....remaining_index = [elem for elem in test2_index if elem not in best_index]
.....|.....final_index = best_index + remaining_index
.....|.....print('final index:', final_index)
.....|.....genotypeSummary = pd.DataFrame(genotypeSummary, index = final_index)
.....elif (len(best_index) == len(test2_index)):
.....|.....genotypeSummary = pd.DataFrame(genotypeSummary, index = best_index)
.....else:
.....|.....genotypeSummary = genotypeSummary_2
```

# SELECTING BEST GENOTYPE: USING AUC AND DELTALOSS

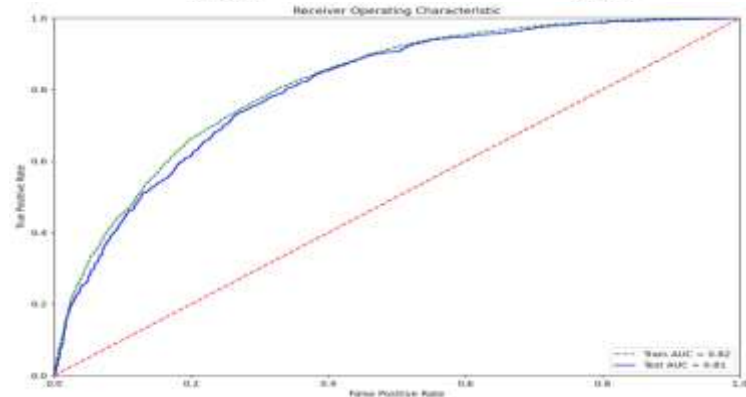
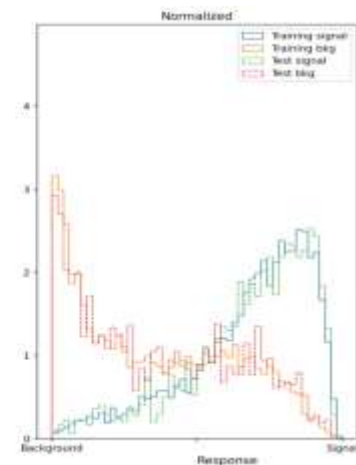
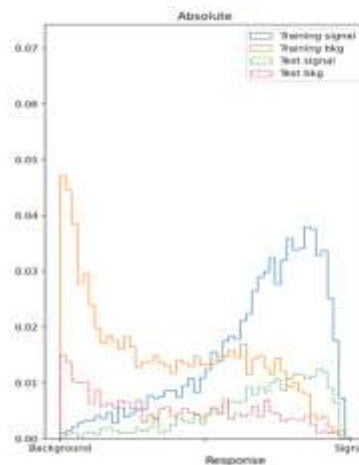
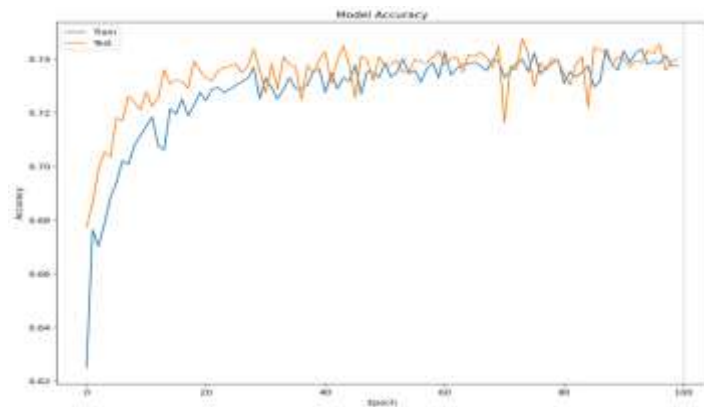
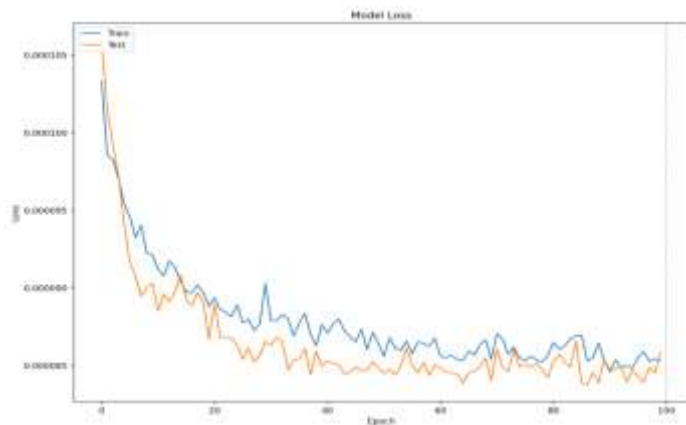
Gen	Mixing	Mutation	Epoch [50,500]	Batch [100,2000]	Layers [1,10]	Nodes [1,100]	K-fold splits	Dropout [0,1]	Activation [elu,relu]
3	5	5	450	780	1	59	2	0.6	elu





# FINE TUNING THE BEST GENOTYPE

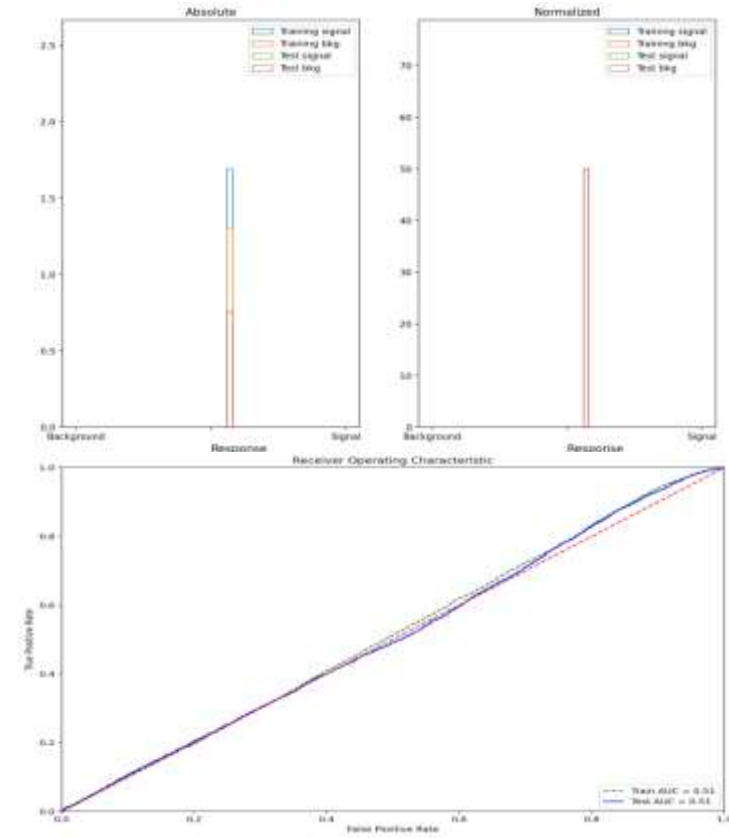
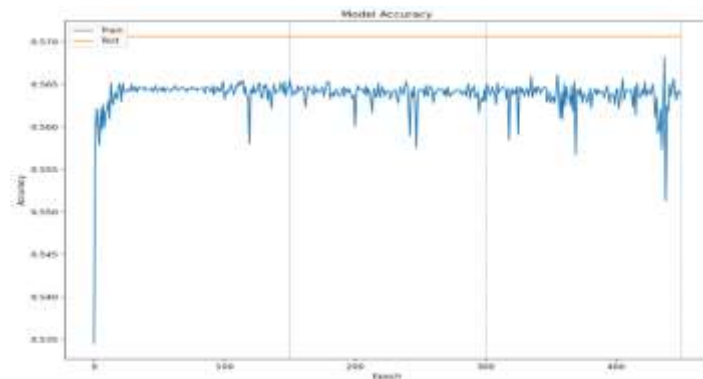
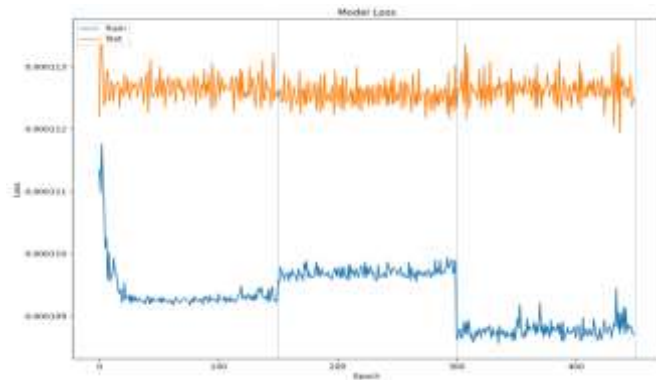
Epoch	Batch	Layers	Nodes	K-fold splits	Dropout	Activation
100	780	1	59	1	0.6	elu



# VERIFYING BEST GENOTYPE

Best:

Gen	Mixing	Mutation	Epoch	Batch	Layers	Nodes	K-fold splits	Dropout	Activation
3	3	3	150	920	5	16	3	0.9	elu



# VERIFYING BEST GENOTYPE

- Check the mutation, mixing, and best results

Mixing:

Generation	layers	nodes	dropout	lr	epoch	batchsize	activation
1	5	16	0.9	0.02	150	920	['elu', 'sigmoid']
1	3	56	0.9	0.02	150	920	['elu', 'sigmoid']
1	3	16	0.9	0.01	150	920	['elu', 'sigmoid']
2	5	16	0.9	0.01	150	920	['elu', 'sigmoid']
2	4	17	0.9	0.02	150	920	['elu', 'sigmoid']
2	3	16	0.9	0.01	150	920	['elu', 'sigmoid']
3	4	14	0.9	0.01	150	920	['elu', 'sigmoid']
3	3	17	0.9	0.02	150	920	['elu', 'sigmoid']
3	5	16	0.9	0.04	150	920	['elu', 'sigmoid']
4	1	14	0.9	0.02	150	920	['elu', 'sigmoid']
4	3	16	0.9	0.02	150	920	['elu', 'sigmoid']
4	5	17	0.9	0.04	150	920	['elu', 'sigmoid']

# VERIFYING BEST GENOTYPE

Mutation:

Generation	layers	nodes	dropout	lr	epoch	batchsize	activation
1	2	16	0.9	0.04	150	920	['elu', 'sigmoid']
1	4	55	0.9	0.01	150	920	['elu', 'sigmoid']
1	5	17	0.9	0.02	150	920	['elu', 'sigmoid']
2	1	14	0.9	0.04	150	920	['elu', 'sigmoid']
2	3	14	0.9	0.03	150	920	['elu', 'sigmoid']
2	5	17	0.9	0.01	150	920	['elu', 'sigmoid']
3	3	12	0.9	0.04	150	920	['elu', 'sigmoid']
3	2	17	0.9	0.03	150	920	['elu', 'sigmoid']
3	3	16	0.9	0.01	150	920	['elu', 'sigmoid']
4	1	16	0.9	0.02	150	920	['elu', 'sigmoid']
4	1	14	0.9	0.04	150	920	['elu', 'sigmoid']
4	4	14	0.9	0.01	150	920	['elu', 'sigmoid']

# SOLUTIONS :

- Increasing the number of generations and genotypes in mutations and mixing
- Broaden the value range of parameters to give sufficient variety in mixing and mutation combinations
- Add early stopping

# CONCLUSION:

- Evolutionary Neural Network can produce results faster than Grid Search
- Epoch, Batch size and Activation Function are added as input variables to the Evolutionary Neural Network(ENN)
- ENN results are improved by selecting Best Genotype using both AUC and deltaLoss values
- The genotypes created by mixing and mutation as well as the best genotype of each generation is written to a text file for later reference.
- ENN results can be improved further by increasing the number and phase space of genotypes created.
- It would be interesting to add EarlyStopping to ENN

## Reference:

Alejandro Martín, Raúl Lara-Cabrera, Félix Fuentes-Hurtado, Valery Naranjo, David Camacho, EvoDeep: A new evolutionary approach for automatic Deep Neural Networks parametrisation, Journal of Parallel and Distributed Computing,

<https://www.sciencedirect.com/science/article/abs/pii/S0743731517302605>