

课堂练习

练习1: 设有如下体系, 其哈密尔顿算符 $\hat{H} = \hat{H}_0 + \hat{H}'$, 其中非扰动项

$\hat{H}_0 = E_1|1\rangle\langle 1| + E_2|2\rangle\langle 2|$ ($E_1 < E_2$), 扰动项

$\hat{H}' = V(|1\rangle\langle 2| + |2\rangle\langle 1|)$ ($V \in \mathbb{R}$), 求其能量的一阶修正和二阶修正

解: 首先我们知道无扰动时, 记此时的态矢为 $|1^{(0)}\rangle$ 和 $|2^{(0)}\rangle$, 则基态能量为 $E_1^{(0)} = \langle 1|\hat{H}_0|1\rangle = E_1$,

$E_2^{(0)} = \langle 2|\hat{H}_0|2\rangle = E_2$, 能量的一阶修正分别为 $\delta E_1^{(1)} = \langle 1^{(0)}|\hat{H}'|1^{(0)}\rangle = 0$,

$\delta E_2^{(1)} = \langle 2^{(0)}|\hat{H}'|2^{(0)}\rangle = 0$, 而态矢的一阶修正为:

$$|\delta 1^{(1)}\rangle = (E_1^{(0)} - \hat{H}_0)^{-1} \hat{P}_1 \hat{H}' |1^{(0)}\rangle = \sum_{k \neq 1} |k^{(0)}\rangle \frac{H'_{k,1}}{E_1^{(0)} - E_k^{(0)}} = \frac{\langle 2^{(0)}|\hat{H}'|1^{(0)}\rangle}{E_1^{(0)} - E_2^{(0)}} |2^{(0)}\rangle = \frac{V}{E_1 - E_2} |2^{(0)}\rangle$$

$$|\delta 2^{(1)}\rangle = (E_2^{(0)} - \hat{H}_0)^{-1} \hat{P}_2 \hat{H}' |2^{(0)}\rangle = \sum_{k \neq 2} |k^{(0)}\rangle \frac{H'_{k,2}}{E_2^{(0)} - E_k^{(0)}} = \frac{\langle 1^{(0)}|\hat{H}'|2^{(0)}\rangle}{E_2^{(0)} - E_1^{(0)}} |1^{(0)}\rangle = \frac{V}{E_2 - E_1} |1^{(0)}\rangle$$

因此能量的二阶修正分别为 $\delta E_1^{(2)} = \langle 1^{(0)}|\hat{H}'|\delta 1^{(1)}\rangle = \frac{V}{E_1 - E_2} H'_{1,2} = \frac{V^2}{E_1 - E_2}$,

$$\delta E_2^{(2)} = \langle 1^{(0)}|\hat{H}'|\delta 2^{(1)}\rangle = \frac{V}{E_2 - E_1} H'_{2,1} = \frac{V^2}{E_2 - E_1}$$

练习2: 设体系的哈密尔顿算符与练习1相同, 但非扰动项中 $E_1 = E_2$, 求其能量的一阶修正和二阶修正 (注: 与助教讨论后, 发现不要求二阶修正)

解: 当非扰动项中 $E_1 = E_2$ (可均设为 E) 时, 整个体系变为简并态体系, 此时考虑求解如下方程

$(\mathbf{H}' - \delta E^{(1)} \mathbf{I}) \begin{bmatrix} a_1 \\ a_2 \end{bmatrix} = \mathbf{0}$, 其对应的久期方程为 $|\mathbf{H}' - \delta E^{(1)} \mathbf{I}| = 0$, 即:

$$\begin{vmatrix} H'_{11} - \delta E^{(1)} & H'_{12} \\ H'_{21} & H'_{22} - \delta E^{(1)} \end{vmatrix} = \begin{vmatrix} 0 - \delta E^{(1)} & V \\ V & 0 - \delta E^{(1)} \end{vmatrix} = 0$$

由以上可以得到 $\delta E^{(1)} = \pm V$, 相应的, 代回原方程, 可得 $\frac{(a_1)_+}{(a_2)_+} = 1$, $\frac{(a_1)_-}{(a_2)_-} = -1$, 设原态矢组合后的

态矢为 $|\phi_+\rangle = (a_1)_+|1\rangle + (a_2)_+|2\rangle$, $|\phi_-\rangle = (a_1)_-|1\rangle + (a_2)_-|2\rangle$, 则根据归一化性质

$$\begin{cases} \langle \phi_+ | \phi_+ \rangle = 1 \\ \langle \phi_- | \phi_- \rangle = 1 \end{cases}, \text{ 解得 } \begin{cases} (a_1)_+ = (a_2)_+ = \frac{1}{\sqrt{2}} \\ (a_1)_- = -(a_2)_- = \frac{1}{\sqrt{2}} \end{cases}, \text{ 从而受微扰后, 态矢变为 } |\phi_+\rangle = \frac{1}{\sqrt{2}}(|1\rangle + |2\rangle),$$

$$|\phi_-\rangle = \frac{1}{\sqrt{2}}(|1\rangle - |2\rangle).$$

练习3: (1) 用 $(2n+1)$ 定理推导 $E_n^{(3)}$; (2) 根据微扰理论, 直接求出考虑到三阶能量修正的结果, 并与 $(2n+1)$ 定理的结果做比较, 看是否相同

解: (1) 将受到微扰的态矢展开, 得 $|n\rangle \approx |n^{(p)}\rangle = |n^{(0)}\rangle + |\delta n^{(1)}\rangle + \dots + |\delta n^{(p)}\rangle$, 根据 $(2n+1)$

定理, 考虑到 $(2p+1)$ 阶能量修正的本征能量为 $E_n^{(2p+1)} = \frac{\langle n^{(p)} | (\hat{H}_0 + \hat{H}') | n^{(p)} \rangle}{\langle n^{(p)} | n^{(p)} \rangle}$, 因此当 $p=1$ 时, 代入得:

$$\begin{aligned} E_n^{(3)} &= \frac{\langle n^{(1)} | (\hat{H}_0 + \hat{H}') | n^{(1)} \rangle}{\langle n^{(1)} | n^{(1)} \rangle} = \frac{(\langle n^{(0)} | + \langle \delta n^{(1)} |)(\hat{H}_0 + \hat{H}')(|n^{(0)}\rangle + |\delta n^{(1)}\rangle)}{(\langle n^{(0)} | + \langle \delta n^{(1)} |)(|n^{(0)}\rangle + |\delta n^{(1)}\rangle)} \\ &= \frac{\langle n^{(0)} | (\hat{H}_0 + \hat{H}') | n^{(0)} \rangle + \langle \delta n^{(1)} | (\hat{H}_0 + \hat{H}') | n^{(0)} \rangle + \langle n^{(0)} | (\hat{H}_0 + \hat{H}') | \delta n^{(1)} \rangle + \langle \delta n^{(1)} | (\hat{H}_0 + \hat{H}') | \delta n^{(1)} \rangle}{\langle n^{(0)} | n^{(0)} \rangle + \langle \delta n^{(1)} | n^{(0)} \rangle + \langle n^{(0)} | \delta n^{(1)} \rangle + \langle \delta n^{(1)} | \delta n^{(1)} \rangle} \\ &= \frac{E_n^{(0)} + \delta E_n^{(1)} + 2\delta E_n^{(2)} + \langle \delta n^{(1)} | \hat{H}_0 | \delta n^{(1)} \rangle + \langle \delta n^{(1)} | \hat{H}' | \delta n^{(1)} \rangle}{1 + \langle \delta n^{(1)} | \delta n^{(1)} \rangle} \quad (\text{因 } \delta E_n^{(2)} = \langle n^{(0)} | \hat{H}' | \delta n^{(1)} \rangle \text{ 满足厄米性, 从而为实数}) \end{aligned}$$

对分母作泰勒展开，得：

$$\frac{1}{1 + \langle \delta n^{(1)} | \delta n^{(1)} \rangle} = \sum_{i=0}^{\infty} \frac{d^i (\frac{1}{x})}{dx^i} \frac{\langle \delta n^{(1)} | \delta n^{(1)} \rangle^i}{i!} = 1 - \langle \delta n^{(1)} | \delta n^{(1)} \rangle + \langle \delta n^{(1)} | \delta n^{(1)} \rangle^2 - \langle \delta n^{(1)} | \delta n^{(1)} \rangle^3 + \dots \approx 1 - \langle \delta n^{(1)} | \delta n^{(1)} \rangle$$

因此有：

$$\begin{aligned} E_n^{(3)} &\approx [E_n^{(0)} + \delta E_n^{(1)} + 2\delta E_n^{(2)} + \langle \delta n^{(1)} | \hat{H}_0 | \delta n^{(1)} \rangle + \langle \delta n^{(1)} | \hat{H}' | \delta n^{(1)} \rangle] (1 - \langle \delta n^{(1)} | \delta n^{(1)} \rangle) \\ &\approx E_n^{(0)} + \delta E_n^{(1)} + 2\delta E_n^{(2)} + \langle \delta n^{(1)} | \hat{H}_0 | \delta n^{(1)} \rangle + \langle \delta n^{(1)} | \hat{H}' | \delta n^{(1)} \rangle - E_n^{(0)} \langle \delta n^{(1)} | \delta n^{(1)} \rangle - \delta E_n^{(1)} \langle \delta n^{(1)} | \delta n^{(1)} \rangle \\ &= E_n^{(0)} + \delta E_n^{(1)} + (2\delta E_n^{(2)} + \langle \delta n^{(1)} | \hat{H}_0 | \delta n^{(1)} \rangle - E_n^{(0)} \langle \delta n^{(1)} | \delta n^{(1)} \rangle) + (\langle \delta n^{(1)} | \hat{H}' | \delta n^{(1)} \rangle - \delta E_n^{(1)} \langle \delta n^{(1)} | \delta n^{(1)} \rangle) \end{aligned}$$

又知道 $|\delta n^{(1)}\rangle = \sum_{k \neq n} |k^{(0)}\rangle \frac{H'_{kn}}{E_n^{(0)} - E_k^{(0)}}$ ，因此有：

$$\langle \delta n^{(1)} | \delta n^{(1)} \rangle = [\sum_{k_1 \neq n} \langle k_1^{(0)} | (\frac{H'_{k_1 n}}{E_n^{(0)} - E_{k_1}^{(0)}})^*] (\sum_{k_2 \neq n} |k_2^{(0)}\rangle \frac{H'_{k_2 n}}{E_n^{(0)} - E_{k_2}^{(0)}}) = \sum_{k_1 \neq n} \sum_{k_2 \neq n} \frac{\delta_{k_1 k_2} H'_{nk_1} H'_{k_2 n}}{(E_n^{(0)} - E_{k_1}^{(0)})(E_n^{(0)} - E_{k_2}^{(0)})} = \sum_{k_1 \neq n} \frac{|H'_{k_1 n}|^2}{(E_n^{(0)} - E_{k_1}^{(0)})^2}$$

此处利用到能量本征值为实数，且 \hat{H}' 为厄米算符的特点。而对 $\langle \delta n^{(1)} | \hat{H}_0 | \delta n^{(1)} \rangle$ ，有：

$$\begin{aligned} \langle \delta n^{(1)} | \hat{H}_0 | \delta n^{(1)} \rangle &= [\sum_{k_1 \neq n} \langle k_1^{(0)} | (\frac{H'_{k_1 n}}{E_n^{(0)} - E_{k_1}^{(0)}})^*] \hat{H}_0 (\sum_{k_2 \neq n} |k_2^{(0)}\rangle \frac{H'_{k_2 n}}{E_n^{(0)} - E_{k_2}^{(0)}}) = [\sum_{k_1 \neq n} \langle k_1^{(0)} | (\frac{H'_{k_1 n}}{E_n^{(0)} - E_{k_1}^{(0)}})^*] (\sum_{k_2 \neq n} |k_2^{(0)}\rangle \frac{E_{k_2}^{(0)} H'_{k_2 n}}{E_n^{(0)} - E_{k_2}^{(0)}}) \\ &= \sum_{k_1 \neq n} \sum_{k_2 \neq n} \frac{\delta_{k_1 k_2} E_{k_2}^{(0)} H'_{nk_1} H'_{k_2 n}}{(E_n^{(0)} - E_{k_1}^{(0)})(E_n^{(0)} - E_{k_2}^{(0)})} = \sum_{k_1 \neq n} \frac{E_{k_1}^{(0)} |H'_{k_1 n}|^2}{(E_n^{(0)} - E_{k_1}^{(0)})^2} \end{aligned}$$

从而有：

$$\begin{aligned} 2\delta E_n^{(2)} + \langle \delta n^{(1)} | \hat{H}_0 | \delta n^{(1)} \rangle - E_n^{(0)} \langle \delta n^{(1)} | \delta n^{(1)} \rangle &= 2\delta E_n^{(2)} + \sum_{k_1 \neq n} \frac{E_{k_1}^{(0)} |H'_{k_1 n}|^2}{(E_n^{(0)} - E_{k_1}^{(0)})^2} - E_n^{(0)} \sum_{k_1 \neq n} \frac{|H'_{k_1 n}|^2}{(E_n^{(0)} - E_{k_1}^{(0)})^2} \\ &= 2\delta E_n^{(2)} - \sum_{k_1 \neq n} \frac{(E_n^{(0)} - E_{k_1}^{(0)}) |H'_{k_1 n}|^2}{(E_n^{(0)} - E_{k_1}^{(0)})^2} = 2\delta E_n^{(2)} - \sum_{k_1 \neq n} \frac{|H'_{k_1 n}|^2}{E_n^{(0)} - E_{k_1}^{(0)}} = 2\delta E_n^{(2)} - \delta E_n^{(2)} = \delta E_n^{(2)} \end{aligned}$$

对 $\langle \delta n^{(1)} | \hat{H}' | \delta n^{(1)} \rangle$ ，有：

$$\langle \delta n^{(1)} | \hat{H}' | \delta n^{(1)} \rangle = [\sum_{k_1 \neq n} \langle k_1^{(0)} | (\frac{H'_{k_1 n}}{E_n^{(0)} - E_{k_1}^{(0)}})^*] \hat{H}' (\sum_{k_2 \neq n} |k_2^{(0)}\rangle \frac{H'_{k_2 n}}{E_n^{(0)} - E_{k_2}^{(0)}}) = \sum_{k_1 \neq n} \sum_{k_2 \neq n} \frac{H'_{nk_1} H'_{k_1 k_2} H'_{k_2 n}}{(E_n^{(0)} - E_{k_1}^{(0)})(E_n^{(0)} - E_{k_2}^{(0)})}$$

从而有：

$$\begin{aligned} \langle \delta n^{(1)} | \hat{H}' | \delta n^{(1)} \rangle - \delta E_n^{(1)} \langle \delta n^{(1)} | \delta n^{(1)} \rangle &= \sum_{k_1 \neq n} \sum_{k_2 \neq n} \frac{H'_{nk_1} H'_{k_1 k_2} H'_{k_2 n}}{(E_n^{(0)} - E_{k_1}^{(0)})(E_n^{(0)} - E_{k_2}^{(0)})} - \delta E_n^{(1)} \sum_{k_1 \neq n} \frac{|H'_{k_1 n}|^2}{(E_n^{(0)} - E_{k_1}^{(0)})^2} \\ &= \sum_{k_1 \neq n} \sum_{k_2 \neq n} \frac{H'_{nk_1} H'_{k_1 k_2} H'_{k_2 n}}{(E_n^{(0)} - E_{k_1}^{(0)})(E_n^{(0)} - E_{k_2}^{(0)})} - \sum_{k_1 \neq n} \frac{H'_{nn} |H'_{k_1 n}|^2}{(E_n^{(0)} - E_{k_1}^{(0)})^2} \equiv \delta E_n^{(3)} \end{aligned}$$

因此 $E_n^{(3)} = E_n^{(0)} + \delta E_n^{(1)} + \delta E_n^{(2)} + \delta E_n^{(3)}$

(2) 我们知道 $\delta E_n^{(1)} = \langle n^{(0)} | \hat{H}' | n^{(0)} \rangle = H'_{nn}$ ， $|\delta n^{(1)}\rangle = \sum_{k \neq n} |k^{(0)}\rangle \frac{H'_{kn}}{E_n^{(0)} - E_k^{(0)}}$ ，且

$\delta E_n^{(2)} = \sum_{k \neq n} \frac{|H'_{kn}|^2}{E_n^{(0)} - E_k^{(0)}}$ ，因此态矢的二阶修正为：

$$\begin{aligned}
|\delta n^{(2)}\rangle &= (E_n^{(0)} - \hat{H}_0)^{-1} \hat{P}_n (\hat{H}' - \delta E_n^{(1)}) |\delta n^{(1)}\rangle = (E_n^{(0)} - \hat{H}_0)^{-1} \hat{P}_n \hat{H}' |\delta n^{(1)}\rangle - (E_n^{(0)} - \hat{H}_0)^{-1} \hat{P}_n \delta E_n^{(1)} |\delta n^{(1)}\rangle \\
&= (E_n^{(0)} - \hat{H}_0)^{-1} \left(\sum_{k_1 \neq n} |k_1^{(0)}\rangle \langle k_1^{(0)}| \right) \hat{H}' \sum_{k_2 \neq n} |k_2^{(0)}\rangle \frac{H'_{k_2 n}}{E_n^{(0)} - E_{k_2}^{(0)}} - (E_n^{(0)} - \hat{H}_0)^{-1} \left(\sum_{k_1 \neq n} |k_1^{(0)}\rangle \langle k_1^{(0)}| \right) \delta E_n^{(1)} \sum_{k_2 \neq n} |k_2^{(0)}\rangle \frac{H'_{k_2 n}}{E_n^{(0)} - E_{k_2}^{(0)}} \\
&= (E_n^{(0)} - \hat{H}_0)^{-1} \sum_{k_1 \neq n} \sum_{k_2 \neq n} |k_1^{(0)}\rangle \frac{H'_{k_1 k_2} H'_{k_2 n}}{E_n^{(0)} - E_{k_2}^{(0)}} - (E_n^{(0)} - \hat{H}_0)^{-1} \sum_{k_2 \neq n} |k_2^{(0)}\rangle \frac{H'_{nn} H'_{k_2 n}}{E_n^{(0)} - E_{k_2}^{(0)}} \\
&= \sum_{k_1 \neq n} \sum_{k_2 \neq n} |k_1^{(0)}\rangle \frac{H'_{k_1 k_2} H'_{k_2 n}}{(E_n^{(0)} - E_{k_1}^{(0)})(E_n^{(0)} - E_{k_2}^{(0)})} - \sum_{k_2 \neq n} |k_2^{(0)}\rangle \frac{H'_{nn} H'_{k_2 n}}{(E_n^{(0)} - E_{k_2}^{(0)})^2}
\end{aligned}$$

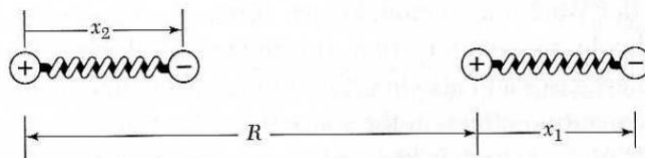
从而 $\delta E_n^{(3)} = \langle n^{(0)} | \hat{H}' | \delta n^{(2)} \rangle = \sum_{k_1 \neq n} \sum_{k_2 \neq n} \frac{H'_{nk_1} H'_{k_1 k_2} H'_{k_2 n}}{(E_n^{(0)} - E_{k_1}^{(0)})(E_n^{(0)} - E_{k_2}^{(0)})} - \sum_{k_2 \neq n} \frac{H'_{nn} |H'_{k_2 n}|^2}{(E_n^{(0)} - E_{k_2}^{(0)})^2}$, 故

$$E_n^{(3)} = E_n^{(0)} + \delta E_n^{(1)} + \delta E_n^{(2)} + \delta E_n^{(3)}$$

练习4：描述两个惰性气体原子之间的色散相互作用最简单的模型是用线性谐振子模型描述电子关于原子核的瞬时振荡。零阶的哈密顿算符对应于两个独立的谐振子哈密顿算符相加，而谐振子之间的静电相互作用对应于微扰项，采用微扰论方法推导出时原子之间的相互作用能表达式

解：如下图所示，设两个谐振子质量均为 m ，振动频率均为 ω_0 ，谐振子内正电荷与负电荷电量均为 e ，且分别相距 x_1, x_2 ，两个谐振子间正电荷相距 $R (R \gg x_1, x_2)$ ，则该体系哈密顿算符可分为两部分，第一部分为不受相互作用时谐振子各自能量之和，即 $\hat{H}_0 = (\frac{\hat{p}_1^2}{2m} + \frac{m\omega_0^2 \hat{x}_1^2}{2}) + (\frac{\hat{p}_2^2}{2m} + \frac{m\omega_0^2 \hat{x}_2^2}{2})$ ；第二部分则为因色散相互作用对体系能量的扰动，其具体的表达式为：

$$\begin{aligned}
\hat{H}' &= \frac{e^2}{4\pi\epsilon_0} \left(\frac{1}{R} + \frac{1}{R + \hat{x}_1 - \hat{x}_2} - \frac{1}{R - \hat{x}_2} - \frac{1}{R + \hat{x}_1} \right) = \frac{e^2}{4\pi\epsilon_0} \left[\frac{(R - \hat{x}_2) - R}{R(R - \hat{x}_2)} + \frac{(R + \hat{x}_1) - (R + \hat{x}_1 - \hat{x}_2)}{(R + \hat{x}_1 - \hat{x}_2)(R + \hat{x}_1)} \right] \\
&= \frac{e^2}{4\pi\epsilon_0} \left[\frac{-\hat{x}_2}{R(R - \hat{x}_2)} + \frac{\hat{x}_2}{(R + \hat{x}_1 - \hat{x}_2)(R + \hat{x}_1)} \right] = \frac{e^2 \hat{x}_2}{4\pi\epsilon_0} \left[\frac{-(R + \hat{x}_1 - \hat{x}_2)(R + \hat{x}_1) + R(R - \hat{x}_2)}{R(R - \hat{x}_2)(R + \hat{x}_1 - \hat{x}_2)(R + \hat{x}_1)} \right] \\
&= \frac{e^2 \hat{x}_2}{4\pi\epsilon_0} \left[\frac{(-2R - \hat{x}_1 + \hat{x}_2)\hat{x}_1}{R(R - \hat{x}_2)(R + \hat{x}_1 - \hat{x}_2)(R + \hat{x}_1)} \right] \approx -\frac{e^2 \hat{x}_1 \hat{x}_2}{2\pi\epsilon_0 R^3}
\end{aligned}$$



利用分离变量法，得零级本征态波函数和能量为：

$$\begin{cases} \psi_{n_1 n_2}^{(0)}(x_1, x_2) = \psi_{n_1}^{(0)}(x_1) \psi_{n_2}^{(0)}(x_2) \\ E_{n_1 n_2}^{(0)} = [(n_1 + \frac{1}{2}) + (n_2 + \frac{1}{2})] \hbar \omega_0 = (n_1 + n_2 + 1) \hbar \omega_0 \end{cases}$$

现在我们考虑扰动对基态能量的影响，容易得到一阶能量修正为：

$$\delta E_0^{(1)} = \langle \psi_{00}^{(0)} | \hat{H}' | \psi_{00}^{(0)} \rangle = -\frac{e^2}{2\pi\epsilon_0 R^3} \iint x_1 x_2 |\psi_{00}^{(0)}(x_1, x_2)|^2 dx_1 dx_2 = -\frac{e^2}{2\pi\epsilon_0 R^3} \int_{-\infty}^{+\infty} x_1 |\psi_0^{(0)}(x_1)|^2 dx_1 \int_{-\infty}^{+\infty} x_2 |\psi_0^{(0)}(x_2)|^2 dx_2$$

而 $\psi_0^{(0)}(x_1)$ 和 $\psi_0^{(0)}(x_2)$ 均具有 $\psi(x) = \frac{1}{\pi^{\frac{1}{4}} \sqrt{x_0}} e^{-\frac{x^2}{2x_0^2}}$ ($x_0 = \sqrt{\frac{\hbar}{m\omega_0}}$) 的形式，因此这两个波函数均为偶函数

(也是实函数)，其平方的模也为偶函数(也是实函数)，当它们乘上自变量后， $x_1 |\psi_0^{(0)}(x_1)|^2$ 和 $x_2 |\psi_0^{(0)}(x_2)|^2$ 就变成奇函数，它们在实数轴上的积分为零，从而 $\delta E_0^{(1)} = 0$ 。

再考虑二阶能量修正，此时有：

$$\begin{aligned}\delta E_0^{(2)} &= \sum_{n_1 \neq 0} \sum_{n_2 \neq 0} \frac{|\langle \psi_{n_1 n_2}^{(0)} | \hat{H} | \psi_0^{(0)} \rangle|^2}{E_{00}^{(0)} - E_{n_1 n_2}^{(0)}} = \frac{e^4}{4\pi^2 \varepsilon_0^2 R^6} \sum_{n_1 \neq 0} \sum_{n_2 \neq 0} \frac{|\langle \psi_{n_1 n_2}^{(0)} | \hat{x}_1 \hat{x}_2 | \psi_0^{(0)} \rangle|^2}{E_{00}^{(0)} - E_{n_1 n_2}^{(0)}} = \frac{e^4}{4\pi^2 \varepsilon_0^2 R^6} \sum_{n_1 \neq 0} \sum_{n_2 \neq 0} \frac{|\langle \psi_{n_1}^{(0)} | \hat{x}_1 | \psi_0^{(0)} \rangle \langle \psi_{n_2}^{(0)} | \hat{x}_2 | \psi_0^{(0)} \rangle|^2}{-(n_1 + n_2) \hbar \omega_0} \\ &= \frac{e^4}{4\pi^2 \varepsilon_0^2 R^6} \sum_{n_1 \neq 0} \sum_{n_2 \neq 0} \frac{\frac{\hbar}{2m\omega_0} \langle \psi_{n_1}^{(0)} | \psi_1^{(0)} \rangle \langle \psi_{n_2}^{(0)} | \psi_1^{(0)} \rangle^2}{-(n_1 + n_2) \hbar \omega_0} = \frac{e^4}{4\pi^2 \varepsilon_0^2 R^6} \cdot \frac{\hbar^2}{4m^2 \omega_0^2} \cdot \frac{1}{-2\hbar \omega_0} = -\frac{e^4 \hbar}{32\pi^2 \varepsilon_0^2 m^2 \omega_0^3} \frac{1}{R^6} \propto \frac{1}{R^6}\end{aligned}$$

练习5：通过线性变分得到的激发态能量是否满足变分原理（即 $\lambda_k > E_k$ ）？为什么？

解：通过线性变分得到的激发态能量可以满足变分原理，但其应用条件较为严苛。将第 k 个激发态的试探态矢 $|\tilde{k}\rangle$ 用试探基组 $\{|\chi_i\rangle\}$ 展开，即 $|\tilde{k}\rangle = \sum_i |\chi_i\rangle \langle \chi_i | \tilde{k} \rangle$ ，若已知基态和激发态真实态矢

$|0\rangle, |1\rangle, \dots, |k-1\rangle$ ，且这些态矢均与 $|\tilde{k}\rangle$ 正交，即 $\langle 0 | \tilde{k} \rangle = \langle 1 | \tilde{k} \rangle = \dots = \langle k-1 | \tilde{k} \rangle = 0$ ，则 $|\tilde{k}\rangle = \sum_{i'=k} |\chi_{i'}\rangle \langle \chi_{i'} | \tilde{k} \rangle = \sum_{i'=k} |\chi_{i'}\rangle \langle i' | \tilde{k} \rangle$ ，用该试探态矢 $|\tilde{k}\rangle$ 作线性变分，则有：

$$\langle \tilde{E}_k \rangle = \frac{\langle \tilde{k} | \hat{H} | \tilde{k} \rangle}{\langle \tilde{k} | \tilde{k} \rangle} = \frac{(\sum_{i'=k} \langle \tilde{k} | i' \rangle \langle i' | \hat{H} (\sum_{j'=k} |j'\rangle \langle j' | \tilde{k} \rangle))}{(\sum_{i'=k} \langle \tilde{k} | i' \rangle \langle i' |) (\sum_{j'=k} |j'\rangle \langle j' | \tilde{k} \rangle)} = \frac{\sum_{i'=k} |\langle i' | \tilde{k} \rangle|^2 E_{i'}}{\sum_{i'=k} |\langle i' | \tilde{k} \rangle|^2} = \frac{\sum_{i'=k} |\langle i' | \tilde{k} \rangle|^2 (E_{i'} - E_k)}{\sum_{i'=k} |\langle i' | \tilde{k} \rangle|^2} + E_k \geq E_k$$

因此通过线性变分得到的激发态能量可以满足变分原理。

但是，如何把“可以”变为“一定”呢？毕竟我们仍无法确定，经线性变分得到的态矢 $|\tilde{k}\rangle$ ，均能保证与基态和激发态真实态矢 $|0\rangle, |1\rangle, \dots, |k-1\rangle$ 正交。以下我们有简短的说明：

首先我们知道，若采用 $|\tilde{0}\rangle, |\tilde{1}\rangle, \dots, |\tilde{k}\rangle$ 作为近似基矢，其中 $\langle \tilde{i} | \tilde{j} \rangle = \delta_{ij}$ ， $\langle \tilde{i} | \hat{H} | \tilde{j} \rangle = \tilde{E}_i \delta_{ij}$ ，则对于任意 $|\tilde{f}\rangle \in \{|\tilde{k}\rangle\}$ ，有：

$$\frac{\langle \tilde{f} | \hat{H} | \tilde{f} \rangle}{\langle \tilde{f} | \tilde{f} \rangle} = \frac{(\sum_{i=0}^k \langle \tilde{f} | \tilde{i} \rangle \langle \tilde{i} | \hat{H} (\sum_{j'=0}^k |\tilde{j}\rangle \langle \tilde{j} | \tilde{f} \rangle))}{(\sum_{i=0}^k \langle \tilde{f} | \tilde{i} \rangle \langle \tilde{i} |) (\sum_{j'=0}^k |\tilde{j}\rangle \langle \tilde{j} | \tilde{f} \rangle)} = \frac{\sum_{i=0}^k |\langle \tilde{i} | \tilde{f} \rangle|^2 \tilde{E}_i}{\sum_{i=0}^k |\langle \tilde{i} | \tilde{f} \rangle|^2} \leq \frac{\sum_{i=0}^k |\langle \tilde{i} | \tilde{f} \rangle|^2 \tilde{E}_k}{\sum_{i=0}^k |\langle \tilde{i} | \tilde{f} \rangle|^2} = \tilde{E}_k$$

接下来，我们要证明，由能量小于等于 \tilde{E}_n 的实际态矢构成的线性空间 $\{|n\rangle\}$ ，其维度应不小于 \tilde{E}_n 对应的试探态矢空间 $\{|\tilde{n}\rangle\}$ 。

设投影算符 $\hat{P} = \sum_{E_j \leq \tilde{E}_n} |j\rangle \langle j|$ ，则 $\hat{P}|\tilde{k}\rangle = \sum_{E_j \leq \tilde{E}_n} |j\rangle \langle j | \tilde{k} \rangle \in \{|n\rangle\}$ 。现在我们证明 $\hat{P}|\tilde{0}\rangle, \hat{P}|\tilde{1}\rangle, \dots, \hat{P}|\tilde{n}\rangle$

线性无关，若不然，存在不全为零的系数 a_0, a_1, \dots, a_n ，使 $\sum_{i=0}^n a_i (\hat{P}|\tilde{i}\rangle) = 0$ ，则

$$\sum_{i=0}^n a_i |\tilde{i}\rangle = \sum_{i=0}^n a_i (\hat{I}|\tilde{i}\rangle) = \sum_{i=0}^n a_i [(\hat{I} - \hat{P})|\tilde{i}\rangle] = (\hat{I} - \hat{P}) \sum_{i=0}^n a_i |\tilde{i}\rangle, \text{ 另一方面，对 } \sum_{i=0}^n a_i |\tilde{i}\rangle \text{ 求能量}$$

（哈密尔顿量）平均值，得：

$$\begin{aligned}(\sum_{i=0}^n a_i^* \langle \tilde{i} | \hat{H} (\sum_{i=0}^n a_i |\tilde{i}\rangle)) &= [(\hat{I} - \hat{P}) \sum_{i=0}^n a_i^* \langle \tilde{i} | \hat{H} [(\hat{I} - \hat{P}) \sum_{i=0}^n a_i |\tilde{i}\rangle]] = [\sum_{i=0}^n a_i^* \sum_{E_j > \tilde{E}_n} \langle \tilde{i} | j \rangle \langle j | \hat{H} (\sum_{i=0}^n a_i |\tilde{i}\rangle) \sum_{E_j > \tilde{E}_n} |j\rangle \langle j | \tilde{i} \rangle] \\ &= \sum_{i=0}^n \sum_{E_j > \tilde{E}_n} |a_i|^2 |\langle \tilde{i} | j \rangle|^2 E_j > \sum_{i=0}^n \sum_{E_j > \tilde{E}_n} |a_i|^2 |\langle \tilde{i} | j \rangle|^2 \tilde{E}_n = \tilde{E}_n [(\hat{I} - \hat{P}) \sum_{i=0}^n a_i |\tilde{i}\rangle]^2 = \tilde{E}_n (\sum_{i=0}^n a_i^* \langle \tilde{i} |) (\sum_{i=0}^n a_i |\tilde{i}\rangle)\end{aligned}$$

即 $\frac{(\sum_{i=0}^n a_i^* \langle \tilde{i} | \hat{H} (\sum_{i=0}^n a_i |\tilde{i}\rangle))}{(\sum_{i=0}^n a_i^* \langle \tilde{i} |) (\sum_{i=0}^n a_i |\tilde{i}\rangle)} > \tilde{E}_n$ ，这与上式中 $\frac{\langle \tilde{f} | \hat{H} | \tilde{f} \rangle}{\langle \tilde{f} | \tilde{f} \rangle} \leq \tilde{E}_n$ 相矛盾（这是因为 $\sum_{i=0}^n a_i |\tilde{i}\rangle \in \{|\tilde{n}\rangle\}$ ），因此

$\hat{P}|\tilde{0}\rangle, \hat{P}|\tilde{1}\rangle, \dots, \hat{P}|\tilde{n}\rangle$ 线性无关，从而实际态矢构成的线性空间 $\{|n\rangle\}$ ，其维度应不小于试探态矢 $\{|\tilde{k}\rangle\}$ ，这等价于能量小于 \tilde{E}_n 的实际态矢有 $(n+1)$ 个（此处从 $|0\rangle$ 一直统计到 $|n\rangle$ ），从而 $E_n \leq \tilde{E}_n$ 。