

## 课堂练习

**练习1: 证明**  $\langle 0|\hat{H}|2\bar{2}\rangle = \langle 1\bar{1}|2\bar{2}\rangle = K_{12}$ , 其中  $|0\rangle \equiv |1\bar{1}\rangle$

**证明:** 根据Slater-Condon规则, 我们有:

$$\langle 0|\hat{H}|2\bar{2}\rangle = \langle 1\bar{1}|\hat{H}|2\bar{2}\rangle = \langle 1\bar{1}|2\bar{2}\rangle = \langle 1\bar{1}|2\bar{2}\rangle - \langle 1\bar{1}|\bar{2}2\rangle = \langle 11|22\rangle$$

在空间轨道为实函数的情况下, 有  $\langle 0|\hat{H}|2\bar{2}\rangle = \langle 11|22\rangle = \langle 12|21\rangle = K_{12}$  (实际上, 即使空间轨道为复函数, 一样有交换积分为实数的结论, 从而有  $\langle 0|\hat{H}|2\bar{2}\rangle = \langle 11|22\rangle = K_{12}$ )

**练习2: 证明若采用Full CI, 则在H<sub>2</sub>解离极限下, 有  $E_0 \xrightarrow{R \rightarrow \infty} 2E_H$ , 相应的波函数为**

$$|\Psi_0\rangle \xrightarrow{R \rightarrow \infty} \frac{1}{2}[\phi_a(1)\phi_b(2) + \phi_b(1)\phi_a(2)][\alpha(1)\beta(2) - \alpha(2)\beta(1)]$$

**证明:** 由Full CI可得H<sub>2</sub>基态能量为

$$E_0 = E_0^{(\text{HF})} + E_{\text{corr}} = 2h_{11} + J_{11} + \Delta - \sqrt{\Delta^2 + K_{12}^2}$$

其中 $\Delta$ 被定义为

$$\Delta \equiv \frac{1}{2}\langle 2\bar{2}|\hat{H} - E_0|2\bar{2}\rangle = h_{22} - h_{11} + \frac{1}{2}(J_{22} - J_{11})$$

因此代入基态能量的表达式, 得

$$\begin{aligned} E_0 &= 2h_{11} + J_{11} + [h_{22} - h_{11} + \frac{1}{2}(J_{22} - J_{11})] - \sqrt{[h_{22} - h_{11} + \frac{1}{2}(J_{22} - J_{11})]^2 + K_{12}^2} \\ &= h_{11} + h_{22} + \frac{1}{2}(J_{11} + J_{22}) - \sqrt{[h_{22} - h_{11} + \frac{1}{2}(J_{22} - J_{11})]^2 + K_{12}^2} \end{aligned}$$

而  $\begin{cases} \psi_1(1) = [2(1+S)]^{-\frac{1}{2}}[\phi_a(1) + \phi_b(1)] \\ \psi_2(1) = [2(1-S)]^{-\frac{1}{2}}[\phi_a(1) - \phi_b(1)] \end{cases}$ , 当  $R \rightarrow \infty$  时, 有  $S = \int \phi_a^*(\mathbf{r}_1)\phi_b(\mathbf{r}_1)d\mathbf{r}_1 \rightarrow 0$ , 此时  $\psi_1(1) \rightarrow \frac{\phi_a(1)+\phi_b(1)}{\sqrt{2}}$ ,  $\psi_2(1) \rightarrow \frac{\phi_a(1)-\phi_b(1)}{\sqrt{2}}$ , 因此定义  $U = \int |\phi_a(\mathbf{r}_1)|^2 \mathbf{r}_{12}^{-1} |\phi_a(\mathbf{r}_2)|^2 d\mathbf{r}_1 d\mathbf{r}_2$  (由于同核的关系, 亦可写作  $U = \int |\phi_b(\mathbf{r}_1)|^2 \mathbf{r}_{12}^{-1} |\phi_b(\mathbf{r}_2)|^2 d\mathbf{r}_1 d\mathbf{r}_2$ ), 则 (利用重叠积分趋近于0, 以及两个氢原子相距无穷大的条件)

$$\begin{aligned} J_{11} &= \int \psi_1^*(\mathbf{r}_1)\psi_1^*(\mathbf{r}_2)\mathbf{r}_{12}^{-1}\psi_1(\mathbf{r}_1)\psi_1(\mathbf{r}_2)d\mathbf{r}_1 d\mathbf{r}_2 \\ &= \frac{1}{4} \int \frac{[\phi_a^*(\mathbf{r}_1) + \phi_b^*(\mathbf{r}_1)][\phi_a^*(\mathbf{r}_2) + \phi_b^*(\mathbf{r}_2)][\phi_a(\mathbf{r}_1) + \phi_b(\mathbf{r}_1)][\phi_a(\mathbf{r}_2) + \phi_b(\mathbf{r}_2)]}{\mathbf{r}_{12}} d\mathbf{r}_1 d\mathbf{r}_2 \\ &= \frac{1}{4} \int \frac{[\phi_a^*(\mathbf{r}_1)\phi_a(\mathbf{r}_1) + \phi_b^*(\mathbf{r}_1)\phi_b(\mathbf{r}_1)][\phi_a^*(\mathbf{r}_2)\phi_a(\mathbf{r}_2) + \phi_b^*(\mathbf{r}_2)\phi_b(\mathbf{r}_2)]}{\mathbf{r}_{12}} d\mathbf{r}_1 d\mathbf{r}_2 \\ &= \frac{1}{4} \int \frac{\phi_a^*(\mathbf{r}_1)\phi_a(\mathbf{r}_1)\phi_a^*(\mathbf{r}_2)\phi_a(\mathbf{r}_2) + \phi_b^*(\mathbf{r}_1)\phi_b(\mathbf{r}_1)\phi_b^*(\mathbf{r}_2)\phi_b(\mathbf{r}_2)}{\mathbf{r}_{12}} d\mathbf{r}_1 d\mathbf{r}_2 = \frac{U}{2} \end{aligned}$$

$$\begin{aligned}
J_{22} &= \int \psi_2^*(\mathbf{r}_1) \psi_2^*(\mathbf{r}_2) \mathbf{r}_{12}^{-1} \psi_2(\mathbf{r}_1) \psi_2(\mathbf{r}_2) d\mathbf{r}_1 d\mathbf{r}_2 \\
&= \frac{1}{4} \int \frac{[\phi_a^*(\mathbf{r}_1) - \phi_b^*(\mathbf{r}_1)][\phi_a^*(\mathbf{r}_2) - \phi_b^*(\mathbf{r}_2)][\phi_a(\mathbf{r}_1) - \phi_b(\mathbf{r}_1)][\phi_a(\mathbf{r}_2) - \phi_b(\mathbf{r}_2)]}{\mathbf{r}_{12}} d\mathbf{r}_1 d\mathbf{r}_2 \\
&= \frac{1}{4} \int \frac{[\phi_a^*(\mathbf{r}_1)\phi_a(\mathbf{r}_1) + \phi_b^*(\mathbf{r}_1)\phi_b(\mathbf{r}_1)][\phi_a^*(\mathbf{r}_2)\phi_a(\mathbf{r}_2) + \phi_b^*(\mathbf{r}_2)\phi_b(\mathbf{r}_2)]}{\mathbf{r}_{12}} d\mathbf{r}_1 d\mathbf{r}_2 \\
&= \frac{1}{4} \int \frac{\phi_a^*(\mathbf{r}_1)\phi_a(\mathbf{r}_1)\phi_a^*(\mathbf{r}_2)\phi_a(\mathbf{r}_2) + \phi_b^*(\mathbf{r}_1)\phi_b(\mathbf{r}_1)\phi_b^*(\mathbf{r}_2)\phi_b(\mathbf{r}_2)}{\mathbf{r}_{12}} d\mathbf{r}_1 d\mathbf{r}_2 = \frac{U}{2}
\end{aligned}$$

$$\begin{aligned}
K_{12} &= \int \psi_1^*(\mathbf{r}_1) \psi_2^*(\mathbf{r}_2) \mathbf{r}_{12}^{-1} \psi_2(\mathbf{r}_1) \psi_1(\mathbf{r}_2) d\mathbf{r}_1 d\mathbf{r}_2 \\
&= \frac{1}{4} \int \frac{[\phi_a^*(\mathbf{r}_1) + \phi_b^*(\mathbf{r}_1)][\phi_a^*(\mathbf{r}_2) - \phi_b^*(\mathbf{r}_2)][\phi_a(\mathbf{r}_1) - \phi_b(\mathbf{r}_1)][\phi_a(\mathbf{r}_2) + \phi_b(\mathbf{r}_2)]}{\mathbf{r}_{12}} d\mathbf{r}_1 d\mathbf{r}_2 \\
&= \frac{1}{4} \int \frac{[\phi_a^*(\mathbf{r}_1)\phi_a(\mathbf{r}_1) - \phi_b^*(\mathbf{r}_1)\phi_b(\mathbf{r}_1)][\phi_a^*(\mathbf{r}_2)\phi_a(\mathbf{r}_2) - \phi_b^*(\mathbf{r}_2)\phi_b(\mathbf{r}_2)]}{\mathbf{r}_{12}} d\mathbf{r}_1 d\mathbf{r}_2 \\
&= \frac{1}{4} \int \frac{\phi_a^*(\mathbf{r}_1)\phi_a(\mathbf{r}_1)\phi_a^*(\mathbf{r}_2)\phi_a(\mathbf{r}_2) + \phi_b^*(\mathbf{r}_1)\phi_b(\mathbf{r}_1)\phi_b^*(\mathbf{r}_2)\phi_b(\mathbf{r}_2)}{\mathbf{r}_{12}} d\mathbf{r}_1 d\mathbf{r}_2 = \frac{U}{2}
\end{aligned}$$

又知道单个氢原子的能量为  $E_H \equiv h_{11} = h_{22}$ , 故代入得  $E_0 = 2E_H$ ,  $E_{corr} = -K_{12}$ , 而Full CI下  $H_2$  的波函数为  $|\Psi\rangle = |1\bar{1}\rangle + c|2\bar{2}\rangle$ , 系数  $c$  满足  $c = \frac{E_{corr}}{K_{12}}$ , 故回代得  $c = -1$ , 从而在解离极限下,  $H_2$  的波函数为 (存疑)

$$\begin{aligned}
|\Psi\rangle &= |1\bar{1}\rangle - |2\bar{2}\rangle \\
&= \frac{1}{\sqrt{2!}} [\psi_1(\mathbf{r}_1)\alpha(s_1)\psi_1(\mathbf{r}_2)\beta(s_2) - \psi_1(\mathbf{r}_1)\beta(s_1)\psi_1(\mathbf{r}_2)\alpha(s_2)] \\
&\quad - \frac{1}{\sqrt{2!}} [\psi_2(\mathbf{r}_1)\alpha(s_1)\psi_2(\mathbf{r}_2)\beta(s_2) - \psi_2(\mathbf{r}_1)\beta(s_1)\psi_2(\mathbf{r}_2)\alpha(s_2)] \\
&= \frac{\psi_1(\mathbf{r}_1)\psi_1(\mathbf{r}_2)}{\sqrt{2}} [\alpha(s_1)\beta(s_2) - \beta(s_1)\alpha(s_2)] - \frac{\psi_2(\mathbf{r}_1)\psi_2(\mathbf{r}_2)}{\sqrt{2}} [\alpha(s_1)\beta(s_2) - \beta(s_1)\alpha(s_2)] \\
&= \frac{1}{\sqrt{2}} [\psi_1(\mathbf{r}_1)\psi_1(\mathbf{r}_2) - \psi_2(\mathbf{r}_1)\psi_2(\mathbf{r}_2)] [\alpha(s_1)\beta(s_2) - \beta(s_1)\alpha(s_2)] \\
&= \frac{1}{\sqrt{2}} \left[ \frac{\phi_a(\mathbf{r}_1) + \phi_b(\mathbf{r}_1)}{\sqrt{2}} \frac{\phi_a(\mathbf{r}_2) + \phi_b(\mathbf{r}_2)}{\sqrt{2}} - \frac{\phi_a(\mathbf{r}_1) - \phi_b(\mathbf{r}_1)}{\sqrt{2}} \frac{\phi_a(\mathbf{r}_2) - \phi_b(\mathbf{r}_2)}{\sqrt{2}} \right] [\alpha(s_1)\beta(s_2) - \beta(s_1)\alpha(s_2)] \\
&= \frac{1}{\sqrt{2}} [\phi_a(\mathbf{r}_1)\phi_b(\mathbf{r}_2) + \phi_b(\mathbf{r}_1)\phi_a(\mathbf{r}_2)] [\alpha(s_1)\beta(s_2) - \beta(s_1)\alpha(s_2)]
\end{aligned}$$

如果将该波函数重新归一化, 便得到本题待证明的等式, 证毕

**练习3: 推导CID方法中相关能的迭代式  $E_{corr} = \mathbf{b}^\dagger [E_{corr} \mathbf{1} - \mathbf{D}]^{-1} \mathbf{b}$**

解: 利用CID方法, 我们得到矩阵方程为  $\begin{pmatrix} 0 & \mathbf{b}^\dagger \\ \mathbf{b} & \mathbf{D} \end{pmatrix} \begin{pmatrix} 1 \\ \mathbf{c} \end{pmatrix} = E_{corr} \begin{pmatrix} 1 \\ \mathbf{c} \end{pmatrix}$ , 化成方程式形式为

$\begin{cases} \mathbf{b}^\dagger \mathbf{c} = E_{corr} \\ \mathbf{b} + \mathbf{D}\mathbf{c} = E_{corr} \mathbf{c} \end{cases}$ , 由第二个方程可得  $\mathbf{b} = (E_{corr} \mathbf{1} - \mathbf{D})\mathbf{c}$ , 即  $\mathbf{c} = [E_{corr} \mathbf{1} - \mathbf{D}]^{-1} \mathbf{b}$ , 代回第一个方程, 得  $E_{corr} = \mathbf{b}^\dagger \mathbf{c} = \mathbf{b}^\dagger [E_{corr} \mathbf{1} - \mathbf{D}]^{-1} \mathbf{b}$

**练习4: 在CID方法中, 相关能最终的表达式为**

$$E_{corr} = - \sum_{a < b, r < s} \frac{\langle \Phi_0 | \hat{H} | \Phi_{ab}^{rs} \rangle \langle \Phi_{ab}^{rs} | \hat{H} | \Phi_0 \rangle}{\langle \Phi_{ab}^{rs} | \hat{H} - E_0^{(HF)} | \Phi_{ab}^{rs} \rangle}$$

证明上式在一定的条件下可以近似为  $E_{corr} = \sum_{a < b, r < s} \frac{|\langle ab || rs \rangle|^2}{\varepsilon_a + \varepsilon_b - \varepsilon_r - \varepsilon_s}$

**证明：**首先对分子运用Slater-Condon规则，得 $\langle \Phi_0 | \hat{H} | \Phi_{ab}^{rs} \rangle = \langle ab || rs \rangle$ ， $\langle \Phi_{ab}^{rs} | \hat{H} | \Phi_0 \rangle = \langle rs || ab \rangle$ ，因此 $\langle \Phi_0 | \hat{H} | \Phi_{ab}^{rs} \rangle \langle \Phi_{ab}^{rs} | \hat{H} | \Phi_0 \rangle = |\langle ab || rs \rangle|^2$ ；接下来讨论分母这一项，展开后可以得到

$$\begin{aligned} \langle \Phi_{ab}^{rs} | \hat{H} - E_0^{(\text{HF})} | \Phi_{ab}^{rs} \rangle &= h_{rr} + h_{ss} - h_{aa} - h_{bb} + \frac{1}{2} \sum_{i,j \neq a,b} \langle ij || ij \rangle - \frac{1}{2} \sum_{i,j \neq r,s} \langle ij || ij \rangle \\ &= h_{rr} + h_{ss} - h_{aa} - h_{bb} + \frac{1}{2} \sum_{j \neq a,b} (\langle rj || rj \rangle + \langle sj || sj \rangle) + \frac{1}{2} \sum_{i \neq a,b} (\langle ir || ir \rangle + \langle is || is \rangle) \\ &\quad - \frac{1}{2} \sum_{j \neq r,s} (\langle aj || aj \rangle + \langle bj || bj \rangle) - \frac{1}{2} \sum_{i \neq r,s} (\langle ia || ia \rangle + \langle ib || ib \rangle) \end{aligned}$$

而对于任意轨道，有 $\varepsilon_i = h_{ii} + \sum_j \langle ij || ij \rangle$ ，因此可化简为

$$\begin{aligned} \langle \Phi_{ab}^{rs} | \hat{H} - E_0^{(\text{HF})} | \Phi_{ab}^{rs} \rangle &= (h_{rr} + \frac{1}{2} \sum_{j \neq a,b} \langle rj || rj \rangle + \frac{1}{2} \sum_{i \neq a,b} \langle ir || ir \rangle) + (h_{ss} + \frac{1}{2} \sum_{j \neq a,b} \langle sj || sj \rangle + \frac{1}{2} \sum_{i \neq a,b} \langle is || is \rangle) \\ &\quad - (h_{aa} + \frac{1}{2} \sum_{j \neq r,s} \langle aj || aj \rangle + \frac{1}{2} \sum_{i \neq r,s} \langle ia || ia \rangle) - (h_{bb} + \frac{1}{2} \sum_{j \neq r,s} \langle bj || bj \rangle + \frac{1}{2} \sum_{i \neq r,s} \langle ib || ib \rangle) \\ &= (h_{rr} + \sum_{j \neq a,b} \langle rj || rj \rangle) + (h_{ss} + \sum_{j \neq a,b} \langle sj || sj \rangle) - (h_{aa} + \sum_{j \neq r,s} \langle aj || aj \rangle) - (h_{bb} + \sum_{j \neq r,s} \langle bj || bj \rangle) \\ &= (h_{rr} + \sum_j \langle rj || rj \rangle) + (h_{ss} + \sum_j \langle sj || sj \rangle) - (h_{aa} + \sum_j \langle aj || aj \rangle) - (h_{bb} + \sum_j \langle bj || bj \rangle) \\ &\quad - (\langle ra || ra \rangle + \langle rb || rb \rangle) - (\langle sa || sa \rangle + \langle sb || sb \rangle) + (\langle ar || ar \rangle + \langle as || as \rangle) + (\langle br || br \rangle + \langle bs || bs \rangle) \\ &\approx \varepsilon_r + \varepsilon_s - \varepsilon_a - \varepsilon_b \quad (\text{后四项相互抵消}) \end{aligned}$$

最后代入，即可得 $E_{\text{corr}} = - \sum_{a < b, r < s} \frac{|\langle ab || rs \rangle|^2}{\varepsilon_r + \varepsilon_s - \varepsilon_a - \varepsilon_b} = \sum_{a < b, r < s} \frac{|\langle ab || rs \rangle|^2}{\varepsilon_a + \varepsilon_b - \varepsilon_r - \varepsilon_s}$

**练习5：在双氢分子模型中，记波函数为** $|\Phi_0\rangle = |1_1 \bar{1}_1 1_2 \bar{1}_2\rangle$ ，

$|\Phi_1\rangle = |\Phi_{1_2 \bar{1}_2}^{2_2 \bar{2}_2}\rangle = |1_1 \bar{1}_1 2_2 \bar{2}_2\rangle$ ， $|\Phi_2\rangle = |\Phi_{1_1 \bar{1}_1}^{2_1 \bar{2}_1}\rangle = |2_1 \bar{2}_1 1_2 \bar{1}_2\rangle$ ，试推导

$$\langle \Phi_0 | \hat{H} | \Phi_1 \rangle = \langle \Phi_0 | \hat{H} | \Phi_2 \rangle = K_{12},$$

$$\langle \Phi_1 | (\hat{H} - E_0^{(\text{HF})}) | \Phi_1 \rangle = \langle \Phi_2 | (\hat{H} - E_0^{(\text{HF})}) | \Phi_2 \rangle = 2\Delta \quad (\Delta \text{的定义见练习2})$$

**解：**根据Slater-Condon规则，我们有：

$$\begin{aligned} \langle \Phi_0 | \hat{H} | \Phi_1 \rangle &= \langle 1_1 \bar{1}_1 1_2 \bar{1}_2 | \hat{H} | 1_1 \bar{1}_1 2_2 \bar{2}_2 \rangle = \langle 1_1 \bar{1}_1 1_2 \bar{1}_2 | 1_1 \bar{1}_1 2_2 \bar{2}_2 \rangle = \langle 1_1 \bar{1}_1 1_2 \bar{1}_2 | 1_1 \bar{1}_1 2_2 \bar{2}_2 \rangle - \langle 1_1 \bar{1}_1 1_2 \bar{1}_2 | 1_1 \bar{1}_1 \bar{2}_2 2_2 \rangle \\ &= \langle 1_1 1_1 1_2 1_2 | 1_1 1_1 2_2 2_2 \rangle = K_{12} \end{aligned}$$

$$\begin{aligned} \langle \Phi_0 | \hat{H} | \Phi_2 \rangle &= \langle 1_1 \bar{1}_1 1_2 \bar{1}_2 | \hat{H} | 2_1 \bar{2}_1 1_2 \bar{1}_2 \rangle = \langle 1_1 \bar{1}_1 1_2 \bar{1}_2 | 2_1 \bar{2}_1 1_2 \bar{1}_2 \rangle = \langle 1_1 \bar{1}_1 1_2 \bar{1}_2 | 2_1 \bar{2}_1 1_2 \bar{1}_2 \rangle - \langle 1_1 \bar{1}_1 1_2 \bar{1}_2 | \bar{2}_1 2_1 1_2 \bar{1}_2 \rangle \\ &= \langle 1_1 1_1 1_2 1_2 | 2_1 2_1 1_2 1_2 \rangle = K_{12} \end{aligned}$$

同理

$$\begin{aligned} \langle \Phi_1 | (\hat{H} - E_0^{(\text{HF})}) | \Phi_1 \rangle &= \langle 1_1 \bar{1}_1 2_2 \bar{2}_2 | \hat{H} | 1_1 \bar{1}_1 2_2 \bar{2}_2 \rangle - E_0 = (2h_{11} + 2h_{22} + J_{11} + J_{22}) - (4h_{11} + 2J_{11}) \\ &= 2h_{22} - 2h_{11} + J_{22} - J_{11} = 2\Delta \end{aligned}$$

$$\begin{aligned} \langle \Phi_2 | (\hat{H} - E_0^{(\text{HF})}) | \Phi_2 \rangle &= \langle 2_1 \bar{2}_1 1_2 \bar{1}_2 | \hat{H} | 2_1 \bar{2}_1 1_2 \bar{1}_2 \rangle - E_0 = (2h_{11} + 2h_{22} + J_{11} + J_{22}) - (4h_{11} + 2J_{11}) \\ &= 2h_{22} - 2h_{11} + J_{22} - J_{11} = 2\Delta \end{aligned}$$

**练习6：对于有N个相距足够远（从而没有相互作用）的H<sub>2</sub>分子构成的复合体系，**

**在CID方法下，试证明其相关能为** $E_{\text{corr}}(N \text{ H}_2) = \Delta - \sqrt{\Delta^2 + NK_{12}^2}$

**证明：**在CID方法下，记波函数为 $|\Psi\rangle = |\Phi_0\rangle + \sum_{i=1}^N c_i |\Phi_i\rangle$ ，其中 $|\Phi_0\rangle = |1_1 \bar{1}_1 \dots 1_N \bar{1}_N\rangle$ ，

$|\Phi_i\rangle = |1_1 \bar{1}_1 \dots 2_i \bar{2}_i \dots 1_N \bar{1}_N\rangle$ ， $i = 1, 2, \dots, N$ ，则有

$$\begin{cases} \langle \Phi_0 | (\hat{H} - E_0^{(\text{HF})}) | \Phi_0 \rangle = 0 \\ \langle \Phi_0 | (\hat{H} - E_0^{(\text{HF})}) | \Phi_i \rangle = \langle \Phi_i | (\hat{H} - E_0^{(\text{HF})}) | \Phi_0 \rangle = K_{12} \quad (i = 1, 2, \dots, N) \\ \langle \Phi_i | (\hat{H} - E_0^{(\text{HF})}) | \Phi_i \rangle = 2\Delta \quad (i = 1, 2, \dots, N) \\ \langle \Phi_i | (\hat{H} - E_0^{(\text{HF})}) | \Phi_j \rangle = \langle \Phi_j | (\hat{H} - E_0^{(\text{HF})}) | \Phi_i \rangle = 0 \quad (i, j = 1, 2, \dots, N) \end{cases}$$

因此相应的矩阵方程为：

$$\begin{pmatrix} 0 & K_{12} & K_{12} & \dots & K_{12} \\ K_{12} & 2\Delta & 0 & \dots & 0 \\ K_{12} & 0 & 2\Delta & \dots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ K_{12} & 0 & 0 & \dots & 2\Delta \end{pmatrix} \begin{pmatrix} 1 \\ c_1 \\ c_2 \\ \vdots \\ c_N \end{pmatrix} = E_{\text{corr}} \begin{pmatrix} 1 \\ c_1 \\ c_2 \\ \vdots \\ c_N \end{pmatrix}$$

其对应的久期方程为：

$$\begin{vmatrix} -E_{\text{corr}} & K_{12} & K_{12} & \dots & K_{12} \\ K_{12} & 2\Delta - E_{\text{corr}} & 0 & \dots & 0 \\ K_{12} & 0 & 2\Delta - E_{\text{corr}} & \dots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ K_{12} & 0 & 0 & \dots & 2\Delta - E_{\text{corr}} \end{vmatrix} = 0$$

经化简可得  $[-E_{\text{corr}}(2\Delta - E_{\text{corr}}) - NK_{12}^2](2\Delta - E_{\text{corr}})^{N-1} = 0$ ，解得  $E_{\text{corr}} = 2\Delta$  或

$$E_{\text{corr}} = \Delta \pm \sqrt{\Delta^2 + NK_{12}^2}, \text{ 若 } E_{\text{corr}} = 2\Delta, \text{ 则代回矩阵方程, 得 } \begin{cases} -2\Delta + \sum_{i=1}^N K_{12} c_i = 0 \\ K_{12} = 0 \end{cases}, \text{ 而}$$

$K_{12}$  显然不为0，故该解舍去；若  $E_{\text{corr}} = \Delta + \sqrt{\Delta^2 + NK_{12}^2}$ ，则代回矩阵方程，得

$$\begin{cases} -\Delta - \sqrt{\Delta^2 + NK_{12}^2} + \sum_{i=1}^N c_i K_{12} = 0 \\ K_{12} + (\Delta - \sqrt{\Delta^2 + NK_{12}^2}) c_i = 0 \end{cases} \Rightarrow c_i = \frac{-K_{12}}{\Delta - \sqrt{\Delta^2 + NK_{12}^2}} = \frac{\Delta + \sqrt{\Delta^2 + NK_{12}^2}}{NK_{12}} \quad (i = 1, 2, \dots, N)$$

若  $E_{\text{corr}} = \Delta - \sqrt{\Delta^2 + NK_{12}^2}$ ，则代回矩阵方程，得

$$\begin{cases} -\Delta + \sqrt{\Delta^2 + NK_{12}^2} + \sum_{i=1}^N c_i K_{12} = 0 \\ K_{12} + (\Delta + \sqrt{\Delta^2 + NK_{12}^2}) c_i = 0 \end{cases} \Rightarrow c_i = \frac{-K_{12}}{\Delta + \sqrt{\Delta^2 + NK_{12}^2}} = \frac{\Delta - \sqrt{\Delta^2 + NK_{12}^2}}{NK_{12}} \quad (i = 1, 2, \dots, N)$$

对于后HF方法而言，由于HF采用变分法，其得到的能量高于真实能量，因此相关能必然为负，因此

$$E_{\text{corr}}(N \text{ H}_2) = \Delta - \sqrt{\Delta^2 + NK_{12}^2}, \text{ 原题得证}$$

### 练习7：试对双氢分子模型运用Full CI，推导出如下矩阵方程

$$\begin{pmatrix} 0 & K_{12} & K_{12} & 0 \\ K_{12} & 2\Delta & 0 & K_{12} \\ K_{12} & 0 & 2\Delta & K_{12} \\ 0 & K_{12} & K_{12} & 4\Delta \end{pmatrix} \begin{pmatrix} 1 \\ c_1 \\ c_2 \\ c_3 \end{pmatrix} = E_{\text{corr}} \begin{pmatrix} 1 \\ c_1 \\ c_2 \\ c_3 \end{pmatrix}$$

由此得到双氢分子的相关能和各个系数的表达式

$$E_{\text{corr}}(2\text{H}_2) = 2[\Delta - \sqrt{\Delta^2 + K_{12}^2}] = 2E_{\text{corr}}(\text{H}_2)$$

$$c_1 = c_2 = \frac{\Delta - \sqrt{\Delta^2 + K_{12}^2}}{K_{12}} \quad c_3 = c_1^2$$

解：设体系全波函数为 $|\Psi\rangle = |\Phi_0\rangle + c_1|\Phi_1\rangle + c_2|\Phi_2\rangle + c_3|\Phi_3\rangle$ ，其中 $|\Phi_0\rangle$ ， $|\Phi_1\rangle$ ， $|\Phi_2\rangle$ 的定义见练习5， $|\Phi_3\rangle = |\Phi_{1_1\bar{1}_12_2\bar{2}_2}^{2_1\bar{2}_12_2\bar{2}_2}\rangle = |2_1\bar{2}_12_2\bar{2}_2\rangle$ ，现在要考虑 $\langle\Phi_i|(\hat{H} - E_0^{(\text{HF})})|\Phi_3\rangle$ 和 $\langle\Phi_3|(\hat{H} - E_0^{(\text{HF})})|\Phi_i\rangle$ ，显然

$$\begin{aligned} \langle\Phi_0|(\hat{H} - E_0^{(\text{HF})})|\Phi_3\rangle &= \langle\Phi_3|(\hat{H} - E_0^{(\text{HF})})|\Phi_0\rangle = \langle\Phi_0|\hat{H}|\Phi_3\rangle = \langle\Phi_3|\hat{H}|\Phi_0\rangle = 0 \\ \langle\Phi_1|(\hat{H} - E_0^{(\text{HF})})|\Phi_3\rangle &= \langle\Phi_3|(\hat{H} - E_0^{(\text{HF})})|\Phi_1\rangle = \langle\Phi_1|\hat{H}|\Phi_3\rangle = \langle\Phi_3|\hat{H}|\Phi_1\rangle = \langle 1_1\bar{1}_12_2\bar{2}_2|\hat{H}|2_1\bar{2}_12_2\bar{2}_2\rangle \\ &= \langle 1_1\bar{1}_12_2\bar{2}_2||2_1\bar{2}_12_2\bar{2}_2\rangle = \langle 1_1\bar{1}_12_2\bar{2}_2|2_1\bar{2}_12_2\bar{2}_2\rangle - \langle 1_1\bar{1}_12_2\bar{2}_2|\bar{2}_12_12_2\bar{2}_2\rangle = K_{12} \\ \langle\Phi_1|(\hat{H} - E_0^{(\text{HF})})|\Phi_3\rangle &= \langle\Phi_3|(\hat{H} - E_0^{(\text{HF})})|\Phi_1\rangle = \langle\Phi_1|\hat{H}|\Phi_3\rangle = \langle\Phi_3|\hat{H}|\Phi_1\rangle = \langle 1_1\bar{1}_12_2\bar{2}_2|\hat{H}|2_1\bar{2}_12_2\bar{2}_2\rangle \\ &= \langle 1_1\bar{1}_12_2\bar{2}_2||2_1\bar{2}_12_2\bar{2}_2\rangle = \langle 1_1\bar{1}_12_2\bar{2}_2|2_1\bar{2}_12_2\bar{2}_2\rangle - \langle 1_1\bar{1}_12_2\bar{2}_2|\bar{2}_12_12_2\bar{2}_2\rangle = K_{12} \\ \langle\Phi_3|(\hat{H} - E_0^{(\text{HF})})|\Phi_3\rangle &= \langle\Phi_3|\hat{H}|\Phi_3\rangle - E_0^{(\text{HF})} = \langle 2_1\bar{2}_12_2\bar{2}_2|\hat{H}|2_1\bar{2}_12_2\bar{2}_2\rangle - E_0^{(\text{HF})} \\ &= 4h_{22} + 2J_{22} - 4h_{11} - 2J_{11} = 4\Delta \end{aligned}$$

因此对 $(\hat{H} - E_0^{(\text{HF})})|\Psi\rangle = E_{\text{corr}}|\Psi\rangle$ 分别左乘 $|\Phi_0\rangle$ ， $|\Phi_1\rangle$ ， $|\Phi_2\rangle$ ， $|\Phi_3\rangle$ ，结合练习5的结论，得如下矩阵方程

$$\begin{pmatrix} 0 & K_{12} & K_{12} & 0 \\ K_{12} & 2\Delta & 0 & K_{12} \\ K_{12} & 0 & 2\Delta & K_{12} \\ 0 & K_{12} & K_{12} & 4\Delta \end{pmatrix} \begin{pmatrix} 1 \\ c_1 \\ c_2 \\ c_3 \end{pmatrix} = E_{\text{corr}} \begin{pmatrix} 1 \\ c_1 \\ c_2 \\ c_3 \end{pmatrix}$$

相应的久期方程为

$$\begin{vmatrix} -E_{\text{corr}} & K_{12} & K_{12} & 0 \\ K_{12} & 2\Delta - E_{\text{corr}} & 0 & K_{12} \\ K_{12} & 0 & 2\Delta - E_{\text{corr}} & K_{12} \\ 0 & K_{12} & K_{12} & 4\Delta - E_{\text{corr}} \end{vmatrix} = 0$$

经化简可得 $(2\Delta - E_{\text{corr}})^2[E_{\text{corr}}^2 - (4\Delta)E_{\text{corr}} - 4K_{12}^2] = 0$ ，解得 $E_{\text{corr}} = 2\Delta$ 或

$$E_{\text{corr}} = 2\Delta \pm 2\sqrt{\Delta^2 + K_{12}^2}.$$

若 $E_{\text{corr}} = 2\Delta$ ，代入矩阵方程得 $\begin{cases} -2\Delta + K_{12}(c_1 + c_2) = 0 \\ K_{12} + K_{12}c_3 = 0 \\ K_{12}(c_1 + c_2) + (2\Delta)c_3 = 0 \end{cases}$ ，解得 $\begin{cases} c_1 + c_2 = \frac{2\Delta}{K_{12}} \\ c_3 = -1 \end{cases}$

若 $E_{\text{corr}} = 2\Delta + 2\sqrt{\Delta^2 + K_{12}^2}$ ，代入矩阵方程得

$$\begin{cases} -2\Delta - 2\sqrt{\Delta^2 + K_{12}^2} + K_{12}(c_1 + c_2) = 0 \\ K_{12} - 2\sqrt{\Delta^2 + K_{12}^2}c_1 + K_{12}c_3 = 0 \\ K_{12} - 2\sqrt{\Delta^2 + K_{12}^2}c_2 + K_{12}c_3 = 0 \\ K_{12}(c_1 + c_2) + (2\Delta - 2\sqrt{\Delta^2 + K_{12}^2})c_3 = 0 \end{cases}$$

$$\text{解得} \begin{cases} c_1 = c_2 = \frac{\Delta + \sqrt{\Delta^2 + K_{12}^2}}{K_{12}} \\ c_3 = \frac{\Delta + \sqrt{\Delta^2 + K_{12}^2}}{\Delta - \sqrt{\Delta^2 + K_{12}^2}} = -\frac{(\Delta + \sqrt{\Delta^2 + K_{12}^2})^2}{K_{12}^2} = -c_1^2 \end{cases}$$

若 $E_{\text{corr}} = 2\Delta - 2\sqrt{\Delta^2 + K_{12}^2}$ ，代入矩阵方程得

$$\begin{cases} -2\Delta + 2\sqrt{\Delta^2 + K_{12}^2} + K_{12}(c_1 + c_2) = 0 \\ K_{12} + 2\sqrt{\Delta^2 + K_{12}^2}c_1 + K_{12}c_3 = 0 \\ K_{12} + 2\sqrt{\Delta^2 + K_{12}^2}c_2 + K_{12}c_3 = 0 \\ K_{12}(c_1 + c_2) + (2\Delta + 2\sqrt{\Delta^2 + K_{12}^2})c_3 = 0 \end{cases}$$

$$\text{解得} \begin{cases} c_1 = c_2 = \frac{\Delta - \sqrt{\Delta^2 + K_{12}^2}}{K_{12}} \\ c_3 = -\frac{\Delta - \sqrt{\Delta^2 + K_{12}^2}}{\Delta + \sqrt{\Delta^2 + K_{12}^2}} = \frac{(\Delta - \sqrt{\Delta^2 + K_{12}^2})^2}{K_{12}^2} = c_1^2 \end{cases}$$

对于后HF方法而言，由于HF采用变分法，其得到的能量高于真实能量，因此相关能必然为负，从而符

合条件的解为  $E_{corr}(2H_2) = 2\Delta - 2\sqrt{\Delta^2 + K_{12}^2} = 2E_{corr}(H_2)$ ,  $c_1 = c_2 = \frac{\Delta - \sqrt{\Delta^2 + K_{12}^2}}{K_{12}}$ ,  $c_3 = c_1^2$

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