#### 课堂练习

练习1:证明 $(\hat{A}^{\dagger})^{\dagger}=\hat{A}$ 

**证明**: 首先要证明如下引理: 对于任意矢量 $|u\rangle,|v\rangle$ 均有 $\langle u|\hat{A}|v\rangle=\langle u|\hat{B}|v\rangle$ ,则 $\hat{A}=\hat{B}$ 。以下是可能的证明思路:

证明1:移项可得 $\langle u|(\hat{A}-\hat{B})|v\rangle=0$ ,将 $\langle u|$ 按共轭空间的基矢  $\{\langle a_i|\}$ 展开,得 $\langle u|=\sum_i\langle u|a_i\rangle\langle a_i|$ ,从而有  $\sum_i\langle u|a_i\rangle\langle a_i|(\hat{A}-\hat{B})|v\rangle=0$ ,该式对任意 $|u\rangle$ , $|v\rangle$ 均成立(因此可以取一个向量 $|u\rangle$ ,使得对任意的i都有 $\langle u|a_i\rangle\neq0$ ),这意味着 $(\hat{A}-\hat{B})|v\rangle$ 与共轭空间的所有基矢均正交,从而 $(\hat{A}-\hat{B})|v\rangle$ 只能为零向量(否则 $\langle u|(\hat{A}-\hat{B})|v\rangle=0$ 不成立),即 $|(\hat{A}-\hat{B})|v\rangle=0$ ,移项得 $\hat{A}|v\rangle=\hat{B}|v\rangle$ ,因此 $\hat{A}=\hat{B}$ ,证毕

证明2: 原式两边左乘任意不为零的矢量 $|w\rangle$ ,得 $|w\rangle\langle u|\hat{A}|v\rangle=|w\rangle\langle u|\hat{B}|v\rangle$ ,即  $(|w\rangle\langle u|\hat{A})\cdot|v\rangle=(|w\rangle\langle u|\hat{B})\cdot|v\rangle$ 。 根据算符相等的定义,有 $|w\rangle\langle u|\hat{A}=|w\rangle\langle u|\hat{B}$ ,然后再左乘相应的共轭矢量 $\langle w|$ ,得 $\langle w|w\rangle\langle u|\hat{A}=\langle w|w\rangle\langle u|\hat{B}$ ,消去 $\langle w|w\rangle\langle u|\hat{A}=\langle u|\hat{B}$ ,再根据算符相等的定义,得  $\hat{A}=\hat{B}$ 

现在回到本题。对于矢量 $|u\rangle,|v\rangle$ ,由算符的厄米共轭性质,得 $\langle u|\hat{A}|v\rangle=\langle v|\hat{A}^{\dagger}|u\rangle^*$ ,两边取复共轭,得 $\langle u|\hat{A}|v\rangle^*=\langle v|\hat{A}^{\dagger}|u\rangle$ ,另一方面,按照厄米共轭算符的定义, $\langle v|\hat{A}^{\dagger}|u\rangle=\langle u|(\hat{A}^{\dagger})^{\dagger}|v\rangle^*$ ,因此  $\langle u|\hat{A}|v\rangle^*=\langle u|(\hat{A}^{\dagger})^{\dagger}|v\rangle^*$ ,两边再取复共轭,得 $\langle u|\hat{A}|v\rangle=\langle u|(\hat{A}^{\dagger})^{\dagger}|v\rangle$ ,结合前面的引理,可得  $(\hat{A}^{\dagger})^{\dagger}=\hat{A}$ 

练习2: 试证明,如果对任意矢量|u
angle, $\langle u|\hat{A}|u
angle$ 都为实数,则算符 $\hat{A}$ 为厄米算符

**证明**: 因 $\langle u|\hat{A}|u\rangle$ 为实数,故有 $\langle u|\hat{A}|u\rangle=\langle u|\hat{A}|u\rangle^*$ 。又根据厄米共轭算符的定义,得  $\langle u|\hat{A}|u\rangle^*=\langle u|\hat{A}^\dagger|u\rangle$ ,故有 $\langle u|\hat{A}|u\rangle=\langle u|\hat{A}^\dagger|u\rangle$ ,根据练习1的引理,可得 $\hat{A}=\hat{A}^\dagger$ ,即算符 $\hat{A}$ 为厄米算符

练习3: 试证明,如果对任意矢量|u
angle, $\langle u|\hat{A}^{\dagger}\,\hat{A}|u
angle=\langle u|u
angle$ ,则算符 $\hat{A}$ 为幺正算符

**证明**:设有任意矢量 $|u\rangle,|v\rangle$ ,它们的其中一种线性组合为 $|w\rangle=|u\rangle+\lambda|v\rangle$ ,其中 $\lambda$ 为非零复数,对应共轭矢量为 $\langle w|=\langle u|+\lambda^*\langle v|$ ,则:

$$\langle w|w\rangle = (\langle u| + \lambda^*\langle v|)(|u\rangle + \lambda|v\rangle) = \langle u|u\rangle + \lambda\langle u|v\rangle + \lambda^*\langle v|u\rangle + \lambda\lambda^*\langle v|v\rangle$$

另一方面,用算符 $\hat{A}$ 对前述线性组合进行变换,得 $\hat{A}|w\rangle=\hat{A}|u\rangle+\lambda\hat{A}|v\rangle$  (根据分配律和数乘交换律) ,其对应的共轭矢量为 $\langle w|\hat{A}^\dagger=\langle u|\hat{A}^\dagger+\lambda^*\langle v|\hat{A}^\dagger$  ,因此:

 $\langle w|\hat{A}^{\dagger}\,\hat{A}|w\rangle = (\langle u|\hat{A}^{\dagger}\,+\lambda^{*}\langle v|\hat{A}^{\dagger})(\hat{A}|u\rangle + \lambda\hat{A}|v\rangle) = \langle u|\hat{A}^{\dagger}\,\hat{A}|u\rangle + \lambda\langle u|\hat{A}^{\dagger}\,\hat{A}|v\rangle + \lambda^{*}\langle v|\hat{A}^{\dagger}\,\hat{A}|u\rangle + \lambda\lambda^{*}\langle v|\hat{A}^{\dagger}\,\hat{A}|v\rangle$ 

结合题意,有 $\langle u|\hat{A}^{\dagger}\hat{A}|u\rangle = \langle u|u\rangle$ , $\langle v|\hat{A}^{\dagger}\hat{A}|v\rangle = \langle v|v\rangle$ , $\langle w|\hat{A}^{\dagger}\hat{A}|w\rangle = \langle w|w\rangle$ ,故联立并化简得:

$$\lambda \langle u|v
angle + \lambda^* \langle v|u
angle = \lambda \langle u|\hat{A}^\dagger \hat{A}|v
angle + \lambda^* \langle v|\hat{A}^\dagger \hat{A}|u
angle$$

又根据内积的性质,得 $\langle u|v\rangle^*=\langle v|u\rangle$ ,再根据厄米共轭算符的定义,得 $\langle u|\hat{A}^\dagger\,\hat{A}|v\rangle^*=\langle v|\hat{A}^\dagger\,\hat{A}|u\rangle$ ,因此有:

$$egin{aligned} &\lambda \langle u|v
angle + \lambda^* \langle u|v
angle^* &= \lambda \langle u|\hat{A}^\dagger \hat{A}|v
angle + \lambda^* \langle u|\hat{A}^\dagger \hat{A}|v
angle^* \ \Rightarrow &\lambda \langle u|v
angle + (\lambda \langle u|v
angle)^* &= \lambda \langle u|\hat{A}^\dagger \hat{A}|v
angle + (\lambda \langle u|\hat{A}^\dagger \hat{A}|v
angle)^* \ \Rightarrow &2\mathfrak{R}(\lambda \langle u|v
angle) &= 2\mathfrak{R}(\lambda \langle u|\hat{A}^\dagger \hat{A}|v
angle) \ \Rightarrow &\mathfrak{R}(\lambda \langle u|v
angle) &= \mathfrak{R}(\lambda \langle u|\hat{A}^\dagger \hat{A}|v
angle) \end{aligned}$$

取 $\lambda=1$ ,则有究 $(\langle u|v\rangle)=\mathfrak{R}(\langle u|\hat{A}^{\dagger}\,\hat{A}|v\rangle)$ ;取 $\lambda=-\mathrm{i}$ ,则有究 $(-\mathrm{i}\langle u|v\rangle)=\mathfrak{R}(-\mathrm{i}\langle u|\hat{A}^{\dagger}\,\hat{A}|v\rangle)$ ,即  $\mathfrak{I}(\langle u|v\rangle)=\mathfrak{I}(\langle u|\hat{A}^{\dagger}\,\hat{A}|v\rangle)$ 。从而得  $\langle u|\hat{A}^{\dagger}\,\hat{A}|v\rangle=\langle u|v\rangle=\langle u|\hat{I}\,|v\rangle$ ,根据练习1的引理,得 $\hat{A}^{\dagger}\,\hat{A}=\hat{I}$ ,即算符 $\hat{A}$ 为幺正算符

练习4: 如果 $\hat{A}|u\rangle=|v\rangle$ ,则显然有 $\hat{A}|u\rangle=|v\rangle\langle u|u\rangle=(|v\rangle\langle u|)\cdot|u\rangle$  (假定 $|u\rangle$ 是个归一化矢量) ,是否由此可以得出 $\hat{A}=|v\rangle\langle u|$ ?

解: 算符相等的定义为: 对于任意向量 $|u\rangle$ ,均有 $\hat{A}|u\rangle=\hat{B}|u\rangle$ ,则 $\hat{A}=\hat{B}$ 。显然对于题述情形,由于仅仅存在 $|u\rangle$ ,使得 $\hat{A}|u\rangle=(|v\rangle\langle u|)\cdot|u\rangle$ ,因此并不能说明 $\hat{A}=|v\rangle\langle u|$ 。事实上,取  $\hat{A}=|v\rangle(\langle u|+\lambda\langle w|)=|v\rangle\langle u|+\lambda|v\rangle\langle w|$ ,其中 $\lambda$ 为任意复数, $\langle w|$ 为满足 $\langle w|u\rangle=0$ 的任意向量,则:

$$\hat{A}|u
angle = |v
angle\langle u|u
angle + \lambda|v
angle\langle w|u
angle = |v
angle\langle u|u
angle = (|v
angle\langle u|)\cdot|u
angle$$

显然满足题意,但当 $\lambda \neq 0$ 时, $\hat{A} \neq |v\rangle\langle u|$ 

练习5: 在函数空间中, $\hat{d}_x$ 作用在右矢的定义是非常明确的, $\hat{d}_x|u\rangle=\frac{d}{dx}u(x)$ 。但根据前面的讨论,算符 $\hat{d}_x$ 也应该能作用于左矢,那么如何定义 $\langle u|\hat{d}_x$ ?

**解**:我们知道, $\frac{d}{dx}$ 是一个右结合的运算符,即波函数在坐标表象下表示时,形式上 $\frac{d}{dx}$ 只能作用在其右侧的波函数v(x),而不能作用在左侧的波函数u(x),因此考虑函数空间的如下内积 $\langle u|\hat{d}_x|v\rangle$ ,有:

$$\langle u|\hat{d}_x|v
angle = \int_0^a u^*(x)\hat{d}_xv(x)dx = \int_0^a u^*(x)rac{dv(x)}{dx}dx = \int_0^a u^*(x)dv(x)$$
 
$$= [u^*(x)v(x)]_0^a - \int_0^a du^*(x)v(x) \quad \text{(利用分部积分法)}$$
 
$$= -\int_0^a du^*(x)v(x) \quad \text{(利用波函数的边界条件,即波函数在边界的函数值为0}$$

比较 $\int_0^a u^*(x) \hat{d}_x v(x) dx$ 和 $-\int_0^a du^*(x) v(x)$ 得 $\langle u | \hat{d}_x = -\frac{d}{dx} u^*(x)$ 

练习6: 在 $L_2[0,a]$ 空间中证明:  $\hat{p}_x$ 是个厄米算符

证明: 易知:

$$\begin{split} \langle u | \hat{p}_x | v \rangle &= \int_0^a u^*(x) \hat{p}_x v(x) dx = \int_0^a u^*(x) [-\mathrm{i} \hbar \frac{dv(x)}{dx}] dx = -\mathrm{i} \hbar \int_0^a u^*(x) dv(x) \\ &= -\mathrm{i} \hbar \{ [u^*(x) v(x)]_0^a - \int_0^a du^*(x) v(x) \} = -\mathrm{i} \hbar \{ - \int_0^a du^*(x) v(x) \} \\ &= \int_0^a [\mathrm{i} \hbar \frac{du^*(x)}{dx}] v(x) dx = \int_0^a [\hat{p}_x u(x)]^* v(x) dx \\ &= [\int_0^a v^*(x) \hat{p}_x u(x) dx]^* = \langle v | \hat{p}_x | u \rangle^* \end{split}$$

因此根据定义, 得 $\hat{p}_x$ 是个厄米算符

#### 练习7:证明贝克-豪斯多夫公式

$$e^{\hat{A}}\hat{B}e^{-\hat{A}} = \hat{B} + [\hat{A}, \hat{B}] + \frac{1}{2!}[\hat{A}, [\hat{A}, \hat{B}]] + \frac{1}{3!}[\hat{A}, [\hat{A}, [\hat{A}, \hat{B}]]] + \dots$$

证明:根据 $\mathrm{e}^{\hat{A}}$ 和 $\mathrm{e}^{-\hat{A}}$ 的展开式(此处定义 $\hat{A}^0=\hat{I}$ )

$$\mathrm{e}^{\hat{A}} = \sum_{i=0}^{\infty} rac{1}{i!} \hat{A}^i \quad \mathrm{e}^{-\hat{A}} = \sum_{i=0}^{\infty} rac{(-1)^i}{i!} \hat{A}^i$$

以上公式可改写为:

$$\mathrm{e}^{\hat{A}}\hat{B}\mathrm{e}^{-\hat{A}} = (\sum_{i=0}^{\infty} \frac{1}{i!} \hat{A}^i) \hat{B} \Big[ \sum_{i=0}^{\infty} \frac{(-1)^j}{j!} \hat{A}^j \Big] = \sum_{i=0}^{\infty} \sum_{i=0}^{\infty} \frac{(-1)^j}{i!j!} \hat{A}^i \hat{B} \hat{A}^j = \sum_{k=0}^{\infty} \sum_{i=0}^k \frac{(-1)^{k-i}}{i!(k-i)!} \hat{A}^i \hat{B} \hat{A}^{k-i} \qquad ( \diamondsuit k = i+j) = 0$$

对比上式与贝克-豪斯多夫公式,我们可以猜想  $\sum_{i=0}^k \frac{(-1)^{k-i}}{i!(k-i)!} \hat{A}^i \hat{B} \hat{A}^{k-i} = \frac{1}{k!} \underbrace{[\hat{A},[\dots,[\hat{A},\hat{B}]]]}_{\cdot}$ ,特别的,当

k=0时,右式变为 $\hat{B}$ ,现在采用数学归纳法予以证明。

k=0时,左式为 $\frac{(-1)^0}{0!0!}\hat{A}^0\hat{B}\hat{A}^0=\hat{I}\,\hat{B}\hat{I}=\frac{1}{0!}\hat{B};\;k=1$ 时,左式为 $\frac{(-1)^1}{0!1!}\hat{A}^0\hat{B}\hat{A}^1+\frac{(-1)^0}{1!0!}\hat{A}^1\hat{B}\hat{A}^0=-\hat{I}\,\hat{B}\hat{A}+\hat{A}\hat{B}\hat{I}=\frac{1}{1!}[\hat{A},\hat{B}]$ 。两者均符合题意。设k=n时,原猜想 成立,则k=n+1时,有:

$$\begin{split} \sum_{i=0}^{n+1} \frac{(-1)^{n+1-i}}{i!(n+1-i)!} \hat{A}^i \hat{B} \hat{A}^{n+1-i} &= \sum_{i=0}^{n+1} \frac{(-1)^{n+1-i}}{(n+1)!} \mathbf{C}^i_{n+1} \hat{A}^i \hat{B} \hat{A}^{n+1-i} &= \sum_{i=0}^{n+1} \frac{(-1)^{n+1-i}}{(n+1)!} (\mathbf{C}^i_n + \mathbf{C}^{i-1}_n) \hat{A}^i \hat{B} \hat{A}^{n+1-i} \\ &= \sum_{i=0}^n \frac{(-1)^{n+1-i}}{(n+1)!} \mathbf{C}^i_n \hat{A}^i \hat{B} \hat{A}^{n+1-i} + \sum_{i=1}^{n+1} \frac{(-1)^{n+1-i}}{(n+1)!} \mathbf{C}^{i-1}_n \hat{A}^i \hat{B} \hat{A}^{n+1-i} \\ &= \sum_{i=0}^n \frac{(-1)^{n+1-i}}{i!(n-i)!(n+1)} \hat{A}^i \hat{B} \hat{A}^{n+1-i} + \sum_{i=1}^{n+1} \frac{(-1)^{n+1-i}}{(i-1)!(n-i+1)!(n-i+1)!(n+1)} \hat{A}^i \hat{B} \hat{A}^{n+1-i} \\ &= \frac{1}{n+1} \Big\{ - \Big[ \sum_{i=0}^n \frac{(-1)^{n-i}}{i!(n-i)!} \hat{A}^i \hat{B} \hat{A}^{n-i} \Big] \hat{A} + \hat{A} \Big[ \sum_{i'=0}^n \frac{(-1)^{n-i'}}{i'!(n-i')!} \hat{A}^{i'} \hat{B} \hat{A}^{n-i'} \Big] \Big\} \\ &= \frac{1}{n+1} \Big\{ - \frac{1}{n!} \underbrace{ \Big[ \hat{A}, [\dots, [\hat{A}, \hat{B}]] \Big] \cdot \hat{A} + \hat{A} \cdot \frac{1}{n!} \underbrace{ \Big[ \hat{A}, [\dots, [\hat{A}, \hat{B}]] \Big] }_{n \in \mathbb{N}} \Big\} \\ &= \frac{1}{n+1} \frac{1}{n!} \underbrace{ \Big[ \hat{A}, [\dots, [\hat{A}, \hat{B}]] \Big] \cdot \hat{A} + \hat{A} \cdot \frac{1}{n!} \underbrace{ \Big[ \hat{A}, [\dots, [\hat{A}, \hat{B}]] \Big] }_{(n+1) \in \mathbb{N}} \Big\} \hat{A}^{i} \hat{B} \hat{A}^{i} \Big] }_{(n+1) \in \mathbb{N}} \Big\}$$

因此根据数学归纳法,得猜想 $\sum_{i=0}^k \frac{(-1)^{k-i}}{i!(k-i)!} \hat{A}^i \hat{B} \hat{A}^{k-i} = \frac{1}{k!} \underbrace{[\hat{A},[\dots,[\hat{A},\hat{B}]]]}_{L_{n-1}}$ 成立,从而有:

$$\mathrm{e}^{\hat{A}}\hat{B}\mathrm{e}^{-\hat{A}} = \sum_{k=0}^{\infty} \sum_{i=0}^{k} rac{(-1)^{k-i}}{i!(k-i)!} \hat{A}^i \hat{B} \hat{A}^{k-i} = \sum_{k=0}^{\infty} rac{1}{k!} \underbrace{[\hat{A}, [\dots, [\hat{A}, \hat{B}]]]}_{k$$
Extra  $\hat{B}$   $\hat{B}$ 

故原题得证

练习8:证明 $\widetilde{\langle a|}\cdot\widetilde{|a
angle}=\mathbf{I}$  (即n imes n的单位矩阵)

证明: 易知
$$\widetilde{\langle a_1|}=egin{bmatrix} \langle a_2|\ dots\ \langle a_n| \end{bmatrix}$$
, $\widetilde{|a
angle}=[\,|a_1
angle\quad|a_2
angle\quad\dots\quad|a_n
angle\,]$ ,两个矩阵相乘,得:

$$\begin{split} \widetilde{\langle a|} \cdot \widetilde{|a\rangle} &= \begin{bmatrix} \langle a_1| \\ \langle a_2| \\ \vdots \\ \langle a_n| \end{bmatrix} \begin{bmatrix} |a_1\rangle & |a_2\rangle & \dots & |a_n\rangle \end{bmatrix} = \begin{bmatrix} \langle a_1|a_1\rangle & \langle a_1|a_2\rangle & \dots & \langle a_1|a_n\rangle \\ \langle a_2|a_1\rangle & \langle a_2|a_2\rangle & \dots & \langle a_2|a_n\rangle \\ \vdots & \vdots & \ddots & \vdots \\ \langle a_n|a_1\rangle & \langle a_1|a_2\rangle & \dots & \langle a_1|a_n\rangle \end{bmatrix} \\ &= \begin{bmatrix} \langle a_1|a_1\rangle & \langle a_1|a_2\rangle & \dots & \langle a_1|a_n\rangle \\ \langle a_2|a_1\rangle & \langle a_2|a_2\rangle & \dots & \langle a_2|a_n\rangle \\ \vdots & \vdots & \ddots & \vdots \\ \langle a_n|a_1\rangle & \langle a_n|a_2\rangle & \dots & \langle a_n|a_n\rangle \end{bmatrix} = \begin{bmatrix} \delta_{11} & \delta_{12} & \dots & \delta_{1n} \\ \delta_{21} & \delta_{22} & \dots & \delta_{2n} \\ \vdots & \vdots & \ddots & \vdots \\ \delta_{n1} & \delta_{n2} & \dots & \delta_{nn} \end{bmatrix} \\ &= \begin{bmatrix} 1 & 0 & \dots & 0 \\ 0 & 1 & \dots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \dots & 1 \end{bmatrix} = \mathbf{I} \end{split}$$

故原题得证

#### 练习9:证明任意幺正算符 $\hat{U}$ 的矩阵表示U是幺正矩阵

**证明**:将幺正算符 $\hat{U}$ 和相应的厄米共轭算符 $\hat{U}^{\dagger}$ 在正交归一的完备基组 $\{|a_i\rangle\}$ 展开,得:

$$\mathbf{U} = \begin{bmatrix} \langle a_1 | \hat{U} | a_1 \rangle & \langle a_1 | \hat{U} | a_2 \rangle & \dots & \langle a_1 | \hat{U} | a_n \rangle \\ \langle a_2 | \hat{U} | a_1 \rangle & \langle a_2 | \hat{U} | a_2 \rangle & \dots & \langle a_2 | \hat{U} | a_n \rangle \\ \vdots & \vdots & \ddots & \vdots \\ \langle a_n | \hat{U} | a_1 \rangle & \langle a_n | \hat{U} | a_2 \rangle & \dots & \langle a_n | \hat{U} | a_n \rangle \end{bmatrix} \quad \mathbf{U}^\dagger = \begin{bmatrix} \langle a_1 | \hat{U}^\dagger | a_1 \rangle & \langle a_1 | \hat{U}^\dagger | a_2 \rangle & \dots & \langle a_1 | \hat{U}^\dagger | a_n \rangle \\ \langle a_2 | \hat{U}^\dagger | a_1 \rangle & \langle a_2 | \hat{U}^\dagger | a_2 \rangle & \dots & \langle a_2 | \hat{U}^\dagger | a_n \rangle \\ \vdots & \vdots & \ddots & \vdots \\ \langle a_n | \hat{U}^\dagger | a_1 \rangle & \langle a_n | \hat{U}^\dagger | a_2 \rangle & \dots & \langle a_n | \hat{U}^\dagger | a_n \rangle \end{bmatrix}$$

将矩阵U与U<sup>†</sup>相乘,可得矩阵UU<sup>†</sup>的元素为:

$$\begin{split} (\mathbf{U}\mathbf{U}^\dagger)_{ij} &= \sum_{k=1}^n \langle a_i | \hat{U} | a_k \rangle \langle a_k | \hat{U}^\dagger | a_j \rangle = \sum_{k=1}^n \bigl[ (\langle a_i | \hat{U}) \cdot (|a_k \rangle \langle a_k |) \cdot (\hat{U}^\dagger | a_j \rangle) \bigr] = (\langle a_i | \hat{U}) \cdot (\sum_{k=1}^n |a_k \rangle \langle a_k |) \cdot (\hat{U}^\dagger | a_j \rangle) \\ &= (\langle a_i | \hat{U}) \cdot \hat{I} \cdot (\hat{U}^\dagger | a_j \rangle) = (\langle a_i | \hat{U}) \cdot (\hat{U}^\dagger | a_j \rangle) = \langle a_i | \hat{U} \hat{U}^\dagger | a_j \rangle = \langle a_i | a_j \rangle = \delta_{ij} \end{split} \tag{利用算符的 幺正性 } \end{split}$$

矩阵U<sup>†</sup>U的元素为:

$$\begin{split} (\mathbf{U}^{\dagger}\mathbf{U})_{ij} &= \sum_{k=1}^{n} \langle a_{i} | \hat{U}^{\dagger} | a_{k} \rangle \langle a_{k} | \hat{U} | a_{j} \rangle = \sum_{k=1}^{n} \left[ \left( \langle a_{i} | \hat{U}^{\dagger} \right) \cdot \left( | a_{k} \rangle \langle a_{k} | \right) \cdot \left( \hat{U} | a_{j} \rangle \right) \right] = \left( \langle a_{i} | \hat{U}^{\dagger} \right) \cdot \left( \sum_{k=1}^{n} | a_{k} \rangle \langle a_{k} | \right) \cdot \left( \hat{U} | a_{j} \rangle \right) \\ &= \left( \langle a_{i} | \hat{U}^{\dagger} \right) \cdot \hat{I} \cdot \left( \hat{U} | a_{j} \rangle \right) = \left( \langle a_{i} | \hat{U}^{\dagger} \right) \cdot \left( \hat{U} | a_{j} \rangle \right) = \langle a_{i} | \hat{U}^{\dagger} \hat{U} | a_{j} \rangle = \langle a_{i} | a_{j} \rangle = \delta_{ij} \end{split} \tag{3.15}$$

因此 $\mathbf{U}\mathbf{U}^{\dagger}=\mathbf{U}^{\dagger}\mathbf{U}=\mathbf{I}$ ,从而 $\mathbf{U}^{\dagger}=\mathbf{U}^{-1}$ ,即 $\mathbf{U}$ 是幺正矩阵

练习10: 证明基矢变换算符 $\hat{U}=\sum_i|b_i
angle\langle a_i|$ 是幺正算符,即 $\hat{U}^\dagger=\hat{U}^{-1}$ 

**证明**: 首先写出基矢变换算符 $\hat{U}$ 对应的厄米共轭算符 $\hat{U}^{\dagger} = \sum_i |a_i\rangle\langle b_i|$ ,则:

$$\hat{U}\hat{U}^\dagger = (\sum_i |b_i
angle\langle a_i|)\cdot (\sum_j |a_j
angle\langle b_j|) = \sum_i \sum_j |b_i
angle\langle a_i|a_j
angle\langle b_j| = \sum_i \sum_j \delta_{ij}|b_i
angle\langle b_j| = \sum_i |b_i
angle\langle b_i| = \hat{I}$$
 $\hat{U}^\dagger\hat{U} = (\sum_i |a_i
angle\langle b_i|)\cdot (\sum_i |b_j
angle\langle a_j|) = \sum_i \sum_j |a_i
angle\langle b_i|b_j
angle\langle a_j| = \sum_i \sum_j \delta_{ij}|a_i
angle\langle a_j| = \sum_i |a_i
angle\langle a_i| = \hat{I}$ 

因此对第一个等式左乘 $\hat{U}^{-1}$ ,或对第二个等式右乘 $\hat{U}^{-1}$ ,均有 $\hat{U}^{\dagger}=\hat{U}^{-1}$ ,即基矢变换算符 $\hat{U}$ 是幺正算符

#### 习题1.4

### 1.如何从 $|b_i angle=\hat{U}|a_i angle$ 推导 $\hat{U}=\sum\limits_i|b_i angle\langle a_i|$ ?

解:原式两边右乘 $\langle a_i|$ ,得 $|b_i\rangle\langle a_i|=\hat{U}|a_i\rangle\langle a_i|$ ,再按i求和得  $\sum_{i=1}^n|b_i\rangle\langle a_i|=\sum_{i=1}^n\hat{U}|a_i\rangle\langle a_i|=\hat{U}\sum_{i=1}^n|a_i\rangle\langle a_i|=\hat{U}\hat{I}=\hat{U}$ ,因此算符 $\hat{U}$ 的表达式可写作 $\hat{U}=\sum_{i=1}^n|b_i\rangle\langle a_i|$ 

## 2.已知二维空间中的一组正交归一基矢|i angle,|j angle,以此为基组写出另外一组正交归一的基矢

解:由题意可知 $\langle i|i\rangle=\langle j|j\rangle=1$ ,  $\langle i|j\rangle=\langle j|i\rangle=0$ , 因此有:

$$\begin{cases} (\langle i|+\langle j|)(|i\rangle+|j\rangle)=\langle i|i\rangle+\langle i|j\rangle+\langle j|i\rangle+\langle j|j\rangle=2\\ (\langle i|-\langle j|)(|i\rangle-|j\rangle)=\langle i|i\rangle-\langle i|j\rangle-\langle j|i\rangle+\langle j|j\rangle=2\\ (\langle i|+\langle j|)(|i\rangle-|j\rangle)=\langle i|i\rangle-\langle i|j\rangle+\langle j|i\rangle-\langle j|j\rangle=0\\ (\langle i|-\langle j|)(|i\rangle+|j\rangle)=\langle i|i\rangle+\langle i|j\rangle-\langle j|i\rangle-\langle j|j\rangle=0 \end{cases}$$

从而 $|i\rangle+|j\rangle$ 与 $|i\rangle-|j\rangle$ 正交,设 $|i'\rangle=rac{1}{\sqrt{2}}(|i\rangle+|j\rangle)$ , $|j'\rangle=rac{1}{\sqrt{2}}(|i\rangle-|j\rangle)$ ,则由上式知:

$$\begin{cases} \langle i^{'}|i^{'}\rangle = \frac{1}{2}(\langle i|+\langle j|)(|i\rangle+|j\rangle) = \frac{1}{2}\times 2 = 1 \\ \langle j^{'}|j^{'}\rangle = \frac{1}{2}(\langle i|-\langle j|)(|i\rangle-|j\rangle) = \frac{1}{2}\times 2 = 1 \\ \langle i^{'}|j^{'}\rangle = \frac{1}{2}(\langle i|+\langle j|)(|i\rangle-|j\rangle) = \frac{1}{2}\times 0 = 0 \\ \langle j^{'}|i^{'}\rangle = \frac{1}{2}(\langle i|-\langle j|)(|i\rangle+|j\rangle) = \frac{1}{2}\times 0 = 0 \end{cases}$$

故另外一组正交归一的基矢为 $|i^{'}
angle=rac{1}{\sqrt{2}}(|i
angle+|j
angle)$ , $|j^{'}
angle=rac{1}{\sqrt{2}}(|i
angle-|j
angle)$ 

# 3.求 $Tr(|a_i\rangle\langle a_j|)=?$ , $Tr(|a_i\rangle\langle b_j|)=?$ ,这里 $\{|a_i\rangle\}$ 和 $\{|b_i\rangle\}$ 分别是同一空间的两组完备的正交归一化基矢

解:根据算符的迹的定义,得:

$$Tr(|a_i
angle\langle a_j|) = \sum_k \langle a_k|\cdot (|a_i
angle\langle a_j|)\cdot |a_k
angle = \sum_k \langle a_k|a_i
angle\langle a_j|a_k
angle = \langle a_i|a_i
angle\langle a_j|a_i
angle = 1\cdot \delta_{ji} = \delta_{ji}$$

$$Tr(|a_i
angle\langle b_j|) = \sum_k \langle a_k|\cdot (|a_i
angle\langle b_j|)\cdot |a_k
angle = \sum_k \langle a_k|a_i
angle\langle b_j|a_k
angle = \langle a_i|a_i
angle\langle b_j|a_i
angle = 1\cdot \langle b_j|a_i
angle = \langle b_j|a_i
angle$$