## CX2101 Algorithm Design and Analysis

Tutorial 3
Analysis Techniques
(Week 9)

#### Question 1 (1)

$$T(1) = 1$$
, and for  $n \ge 2$ ,  $T(n) = 3T(n-1) + 2$ 

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$$T(n) = 3T(n-1) + 2$$

$$= 3(3T(n-2) + 2) + 2$$

$$= 3^{2}T(n-2) + 3*2 + 2$$

$$= 3^{2}(3T(n-3) + 2) + 3*2 + 2$$

$$= 3^{3}T(n-3) + 3^{2}*2 + 3*2 + 2$$

$$= 3^{n-1}T(1) + 3^{n-2}*2 + ... + 3^{2}*2 + 3*2 + 2$$

#### Question 1 (1)

$$= 3^{n-1} + 2(3^{n-2} + ... + 3^{2} + 3 + 1)$$

$$= 3^{n-1} + 2(3^{n-2} + ... + 3^{2} + 3 + 1)$$

$$= 3^{n-1} + 2(3^{n-1} - 1)/(3-1)$$

$$= 3^{n-1} + 3^{n-1} - 1$$

$$\leq 2^{*} 3^{n-1}$$

$$\leq 3^{n}$$

So 
$$T(n) = O(3^n)$$

#### Question 1 (2)

Solve the following recurrence by the iteration method

T(1) = 1, and for  $n \ge 2$ , a power of 2, T(n) = 2T(n/2) + 6n

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$$T(n) = 2T(\frac{n}{2}) + 6n$$

$$= 2(2T(\frac{n}{2^2}) + 6(\frac{n}{2})) + 6n$$

$$= 2^2T(\frac{n}{2^2}) + 6n + 6n$$

$$= 2^2(2T(\frac{n}{2^3}) + 6(\frac{n}{2^2})) + 6n + 6n$$

$$= 2^3T(\frac{n}{2^3}) + 6n + 6n + 6n$$

$$= 2^kT(1) + 6kn \quad \text{assume } n = 2^k \quad \text{so } k = \lg n$$

$$= n + 6n\lg n$$

$$= O(n\lg n)$$

#### Question 2 (1)

Solve the following recurrence by the substitution method

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$$T(1) = 1$$
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Guess that  $T(n) = O(3^n)$ 

**Proof**: We will prove  $T(n) \le 3^n - 2$  for  $n \ge 1$ 

- (a) Base case:  $T(1) = 1 \le 3^1 2$ .
- (b) Inductive step: assume that  $T(k) \le 3^k 2$ , prove that  $T(k+1) \le 3^{k+1} 2$ .

$$T(k+1) = 3T(k) + 2$$
  
 $\leq 3(3^k - 2) + 2$   
 $\leq 3^{k+1} - 6 + 2$   
 $\leq 3^{k+1} - 2$  Thus  $T(n) = O(3^n - 2) = O(3^n)$ 

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$$T(1) = 1$$
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Guess  $T(n) = O(n \lg n)$ 

**Proof**: we show that  $T(n) \le 8 n \lg n$  for any  $n \ge 2$ 

a) Base case:

T(2) = 
$$2*1+6*2 = 14$$
,  $8n\lg n = 16$ ,  
so T(n)  $\le 8 n \lg n$  for n=2  
b) Inductive step: Assume that T( $2^k$ )  $\le 8 k 2^k$ 

Prove 
$$T(2^{k+1}) \le 8 (k+1) 2^{k+1}$$

#### Question 2 (2)

$$T(2^{k+1}) = 2 T(2^{k}) + 6* 2^{k+1}$$

$$\leq 2* 8 k 2^{k} + 6* 2^{k+1}$$

$$= (8 k + 6)* 2^{k+1}$$

$$\leq 8 (k + 1)* 2^{k+1}$$

$$T(n) = O(n \lg n)$$

#### Question 3(1)

$$W(n) = W(n/3) + 5$$

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$$W(n) = W(n/3) + 5$$

$$n^{log}b^a = n^{log}3^1 = n^0$$
,  $f(n) = 5 = \theta(1) = \theta(n^0)$   
So,  
 $W(n) = \theta(n^0|gn)$ 

#### Question 3(2)

$$T(n) = 2T(n/2) + n/4$$

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$$T(n) = 2T(n/2) + n/4$$

$$n^{log}b^a = n^{log}2^2 = n^1, \ f(n) = n/4 = \theta(n) = \theta(n^1)$$
 So, 
$$W(n) = \theta(n lgn)$$

#### Question 3(3)

$$W(n) = 2W(n/4) + \sqrt{n^3}$$

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$$W(n) = 2W(n/4) + \sqrt{n^3}$$

$$\begin{split} & n^{log}{_b}{^a} = n^{log}{_4}{^2} = n^{0.5}, \ f(n) = \forall n^3 = n^{3/2} = \Omega(n^{0.5+0.1}) \\ & \text{And a*}f(n/b) = 2f(n/4) = 2*(n/4)^{3/2} = 2*n^{3/2}(1/4)^{3/2} \\ & = (1/4)*n^{3/2} \leq (1/4)*n^{3/2} = c*f(n) \quad c = 1/4 \\ & \text{So,} \\ & \text{W(n)} = \theta(n^{1.5}) \end{split}$$

#### Question 4

Determine which of the following are linear homogeneous recurrence relations with constant coefficients. Also find the degree of those that are.

1) 
$$a_n = 4a_{n-2} + 5a_{n-3}$$

2) 
$$a_n = 2na_{n-1} + a_{n-2}$$

3) 
$$a_n = a_{n-1} + a_{n-4}$$

4) 
$$a_n = a_{n-1}^2 + a_{n-2}$$

5) 
$$a_n = a_{n-2} + n$$

Degree 3

Not constant coefficient

Degree 4

Not linear

Not homogeneous

# Question 5 (no need to cover all parts if running out of time)

(1) Solve the following recurrence relation together with the initial conditions given.

$$a_n = 7a_{n-1} - 10a_{n-2}$$
 for  $n \ge 2$ ,  $a_0 = 1$ ,  $a_1 = 0$ 

#### Question 5(1)

Solve the following recurrence relation together with the initial conditions given.

$$a_n = 7a_{n-1} - 10a_{n-2}$$
 for  $n \ge 2$ ,  $a_0 = 1$ ,  $a_1 = 0$ 

The characteristic equation:

$$t^2 - 7t + 10 = 0 = (t - 2)(t - 5) = 0$$

Solution: two distinct roots:  $t_1 = 2$ ,  $t_2 = 5$ 

Thus 
$$a_n = 2^nC + 5^nD$$

#### Question 5(1)

Substitute the initial conditions into  $a_n = 2^nC + 5^nD$  to find C and D:

$$a_0 = 1 = C + D$$
, => 2 = 2C + 2D

$$a_1 = 0 = 2C + 5D$$

Thus 
$$2 = -3D$$
, i.e.  $D = -2/3$  then  $C = 5/3$ 

So we have 
$$a_n = (5/3)*2^n - (2/3)*5^n$$

#### Question 5(2)

Solve the following recurrence relation together with the initial conditions given.

$$a_n = 4a_{n-2}$$
 for  $n \ge 2$ ,  $a_0 = 6$ ,  $a_1 = 8$ 

### Question 5(2)

Solve the following recurrence relation together with the initial conditions given.

$$a_n = 4a_{n-2}$$
 for  $n \ge 2$ ,  $a_0 = 6$ ,  $a_1 = 8$ 

The characteristic equation:

$$t^2 - 4 = 0 = (t)^2 = 4$$

Solution: two distinct roots:  $t_1 = 2$ ,  $t_2 = -2$ 

Thus 
$$a_n = 2^nC + (-2)^nD$$

#### Question 5(2)

Substitute the initial conditions into  $a_n = 2^nC + (-2)^nD$  to find C and D:

$$a_0 = 6 = C + D$$
, => 12 = 2C + 2D

$$a_1 = 8 = 2C - 2D$$

Thus 20 = 4C, i.e. C = 5 then D = 1

So we have  $a_n = 5*2^n + (-2)^n$ 

#### Question 5(3)

Solve the following recurrence relations together with the initial conditions given.

$$a_n = 2a_{n-1} - a_{n-2}$$
 for all  $n \ge 2$ ,  $a_0 = 1$ ,  $a_1 = 3$ 

#### Question 5(3)

Solve the following recurrence relations together with the initial conditions given.

$$a_n = 2a_{n-1} - a_{n-2}$$
 for all  $n \ge 2$ ,  $a_0 = 1$ ,  $a_1 = 3$ 

The characteristic equation:

$$t^2 - 2t + 1 = 0 = (t-1)^2 = 0$$

Solution: single root: t = 1,

Thus  $a_n = C + nD$ , we have

$$1 = C, D = 2$$

$$a_n = 1 + 2n$$