

CE2101/ CZ2101: Algorithm Design and Analysis

Week 9

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- Quiz: Week 10 & Week 11
- Substitution Method
- Linear Homogeneous Recurrence Relation
- Dynamic Programming
- Extended Topics (not covered in the exam)

Please feel free to interrupt me if you have any questions :)

Substitution Method

Solving Recurrences – Substitution method

References for guess:

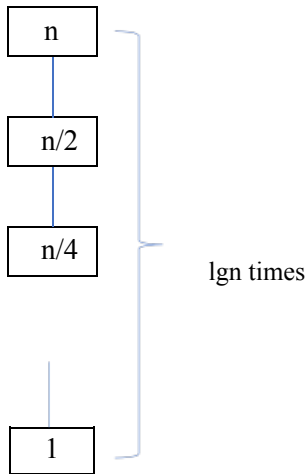
Binary search:

$$W(n) = W(n/2) + 1,$$

$$W(1) = 1$$

$$W(n) =$$

$$O(\lg n)$$



Solving Recurrences – Substitution method

References for guess:

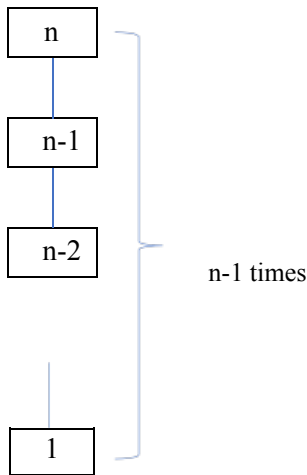
Insertion sort (best case)

$$W(n) = W(n-1) + 1,$$

$$W(1) = 0$$

$$W(n) =$$

$$O(n)$$



Solving Recurrences – Substitution method

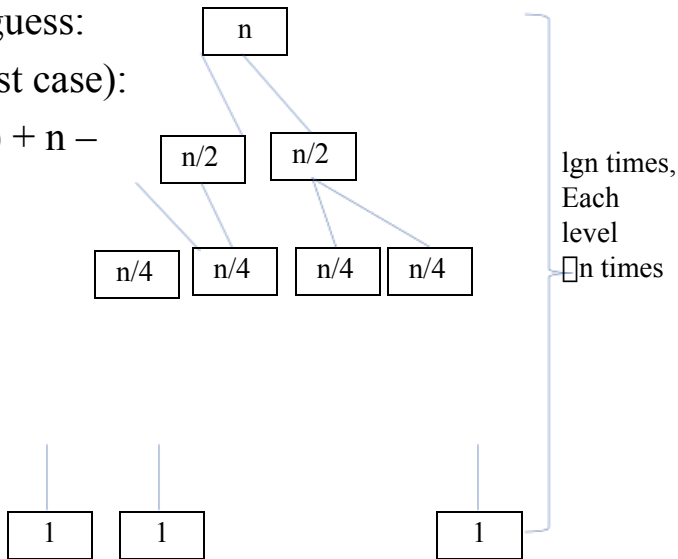
References for guess:

MergeSort (worst case):

$$W(n) = 2W(n/2) + n -$$

$$1, W(1) = 0$$

$$W(n) =$$
$$O(n \lg n)$$

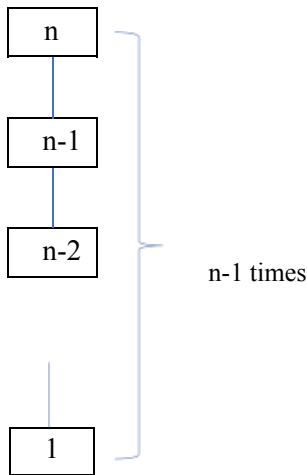


Solving Recurrences – Substitution method

References for guess:

QuickSort (worst case): $W(n) = W(n-1) + n - 1, W(1) = 0$

$W(n) =$
 $O(n^2)$



Solving Recurrences – Substitution method

References for guess:

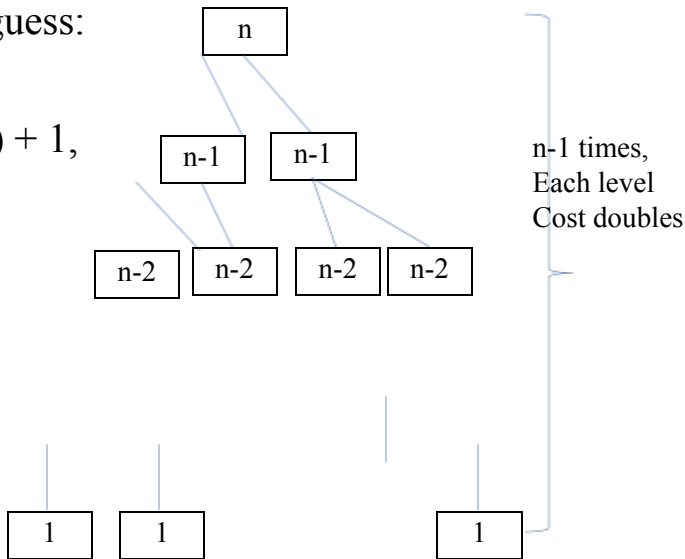
Tower of Hanoi

$$W(n) = 2W(n-1) + 1,$$

$$W(1) = 0$$

$$W(n) =$$

$$O(2n)$$



Linear Homogeneous Recurrence Relation

We consider a linear homogeneous recurrence relation of degree 2

$$a_n = Aa_{n-1} + Ba_{n-2} \text{ for all } n \geq 2$$

where A and B are real constants

The **characteristic equation**

$$t^2 - At - B = 0$$

may have

- 1) two distinct roots
- 2) a single root

Theorem 1 (Distinct Roots Theorem)

Suppose a sequence a_0, a_1, a_2, \dots satisfies a recurrence relation

$$a_n = Aa_{n-1} + Ba_{n-2} \text{ for all } n \geq 2$$

where A and B are real constants and $B \neq 0$. If the characteristic equation

$$t^2 - At - B = 0$$

has two distinct roots r and s , then a_0, a_1, a_2, \dots is given by the explicit formula

$$a_n = C r^n + D s^n$$

where C and D are determined by the values of a_0 and a_1 .

Theorem 2 (Single-Root Theorem)

Suppose a sequence a_0, a_1, a_2, \dots satisfies a recurrence relation

$$a_n = Aa_{n-1} + Ba_{n-2} \text{ for all } n \geq 2$$

where A and B are real constants and $B \neq 0$. If the characteristic equation

$$t^2 - At - B = 0$$

has a single (real) root, then a_0, a_1, a_2, \dots is given by the explicit formula

$$a_n = C r^n + D n r^n$$

where C and D are determined by the values of a_0 and any other known value of the sequence.

Dynamic Programming

Sudoku

	C1	C2	C3	C4	C5	C6	C7	C8	C9
R1	9	6					7		8
R2	8					4	3		
R3	1			5					
R4							1	7	6
R5	2				9	3			5
R6	7		8						
R7			7		3	2		4	
R8	3	8	2	1		5	6		
R9		4	1			9	5	2	

Dynamic Programming

Consider the [Virahanka](#) number $V(n)$ defined by the following equations:

$V(n) = 1$, when $n = 0$ or 1 ;

$V(n) = V(n-1) + V(\lfloor n/2 \rfloor)$, when $n > 1$;

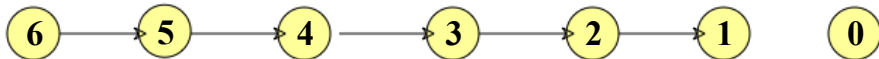
(here $\lfloor n/2 \rfloor$ is the floor function for $n/2$. E.g. $\lfloor 3/2 \rfloor = 1$, $\lfloor 2/2 \rfloor = 1$).

Dynamic programming (Bottom Up)

Subproblem graphs

For a recursive algorithm A, the subproblem graph for A is a directed graph whose vertices are the instances for this problem. The directed edges (I, J) for all pairs that indicate: if A is invoked on problem I, it makes a recursive call directly on instance J.

E.g. the subproblem graph for fib(6):



Dynamic programming (Bottom Up)

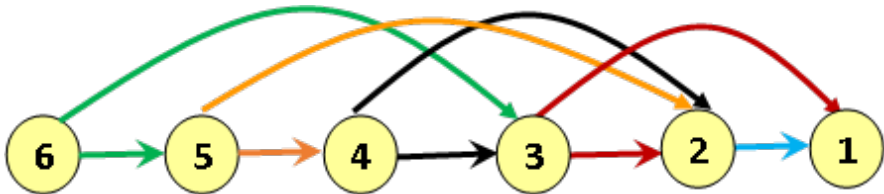
1. Formulate the problem P in terms of smaller versions of the problem (recursively), say, Q_1, Q_2, \dots
2. Turn this formulation into a recursive function to solve problem P
3. Draw the subproblem graph and find the dependencies among subproblems
4. Use a dictionary to store solutions to subproblems
5. In the iterative function to solve P
 - compute the solutions of subproblems of a problem first
 - The solution to P is computed based on the solutions to its subproblems and is stored into the dictionary

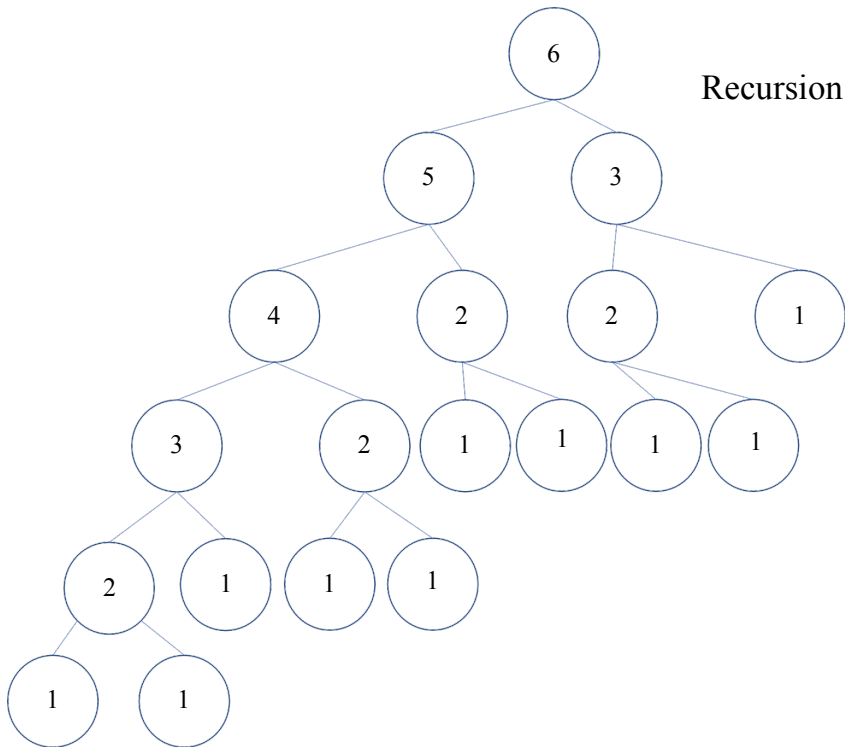
Dynamic Programming

$V(n) = 1$, when $n = 0$ or 1 ;

$V(n) = V(n-1) + V(\lfloor n/2 \rfloor)$, when $n > 1$;

(i) Draw the subproblem graph for $V(6)$.





Dynamic Programming

$V(n) = 1$, when $n = 0$ or 1 ;

$V(n) = V(n-1) + V(\lfloor n/2 \rfloor)$, when $n > 1$;

(ii) Give dynamic programming algorithm to compute $V(n)$ using the bottom up approach.

```
v[0] = v[1] = 1
For (j= 2 to n)
    v[j] = v[j-1] +
v[j/2]; return v[n];
```

Dynamic Programming

$V(n) = 1$, when $n = 0$ or 1 ;

$V(n) = V(n-1) + V(\lfloor n/2 \rfloor)$, when $n > 1$;

(iii) Give dynamic programming algorithm to compute $V(n)$ using the top down approach.

Dynamic programming (Top Down)

1. Formulate the problem P in terms of smaller versions of the problem (recursively), say, Q_1, Q_2, \dots
2. Turn this formulation into a recursive function to solve problem P
3. Use a dictionary to store solutions to subproblems
4. In the recursive function to solve P
 - Before any recursive call, say on subproblem Q_i , check the dictionary to see if a solution for Q_i has been stored
 - If no solution has been stored, make the recursive call
 - Otherwise, retrieve the stored solution
 - Just before returning the solution for P , store the solution in the dictionary - memorization

```
// v array initialized to all -1 DP_V(n)
{
If (n == 0 or n == 1) { v[n] = 1; return 1 }
If (v[n-1] == -1) v1 = DP_V(n-1) else v1 = v[n-1];
If (v[n/2] == -1) v2 = DP_V(n/2) else v2 = v[n/2];
v[n] = v1 + v2;
Return v[n];
}
```

Thanks!



Q & A