



# **CE2101/ CZ2101: Algorithm Design and Analysis**

## **Introduction to Sorting**

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## Instructor's Information

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## Learning Objectives

At the end of this lecture, students should be able to:

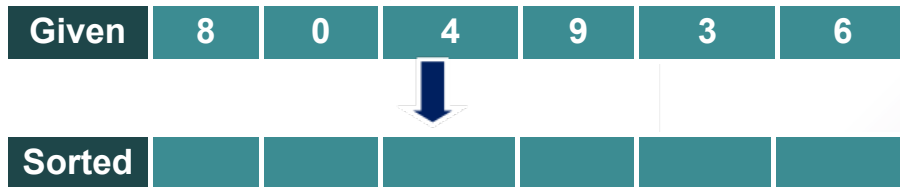
- Define what is sorting
- Explain why we learn sorting
- Analyse the objective and evaluation of sorting algorithms

# What is Sorting?

## Definition (sorting in ascending order):

- Given a set of records  $r_1, r_2, \dots, r_n$  with key values  $k_1, k_2, \dots, k_n$ , arrange records in order  $s$  such that records  $rs_1, rs_2, \dots, rs_n$  have keys with property  $ks_1 \leq ks_2 \leq \dots \leq ks_n$ .

## Example:




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## Example:


Given	8	0	4	9	3	6
						
Sorted	0					

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## Example:


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Sorted	0	3	4	6	8	

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## Example:

Given	8	0	4	9	3	6
						
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Spark  $10^{15}$

TeraSort  $10^{12}$

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# Why do we learn sorting?

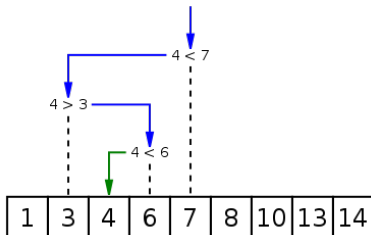
- Things must be kept in some order if we want to find them quickly.



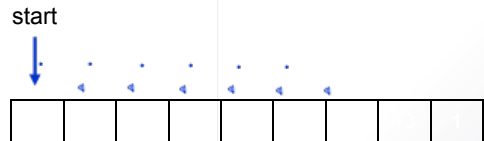
# Why do we learn sorting?

- Things must be kept in some order if we want to find them quickly.
- How to arrange things in order? Sorting algorithms.
- Sorting is a basic building block for many algorithms.

## Binary Search



## Sequential Search



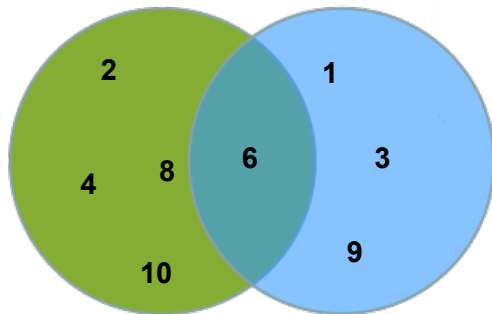
Reference: T. (2015, April 19). Binary search in a sorted array. Retrieved May 18, 2016, from [https://commons.wikimedia.org/wiki/File:Binary\\_search\\_into\\_array.png#/media/File:Binary\\_search\\_into\\_array.png](https://commons.wikimedia.org/wiki/File:Binary_search_into_array.png#/media/File:Binary_search_into_array.png).

## Why do we learn sorting?

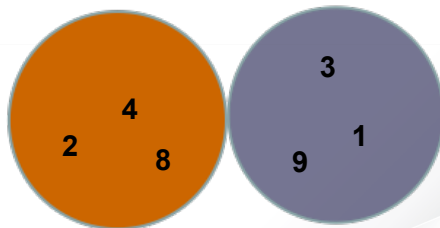
- Things must be kept in some order if we want to find them quickly.
- How to arrange things in order? Sorting algorithms.
- Sorting is a basic building block for many algorithms.
- Most thoroughly studied problem in Computer Science.
- To learn ideas in Algorithm Design derived from techniques in sorting.

## Example: Disjoint Sets

- **Problem:** Determine whether two sets (both of size  $n$ ) are disjoint.

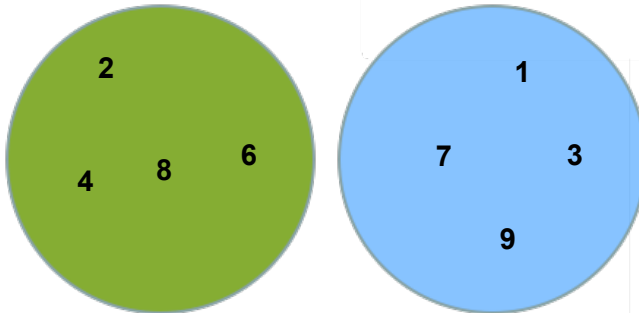


Disjoint



## Example: Disjoint Sets

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- **Solution 1:** Compare each element of the 1st set with each element of the 2nd set. That is,  $n^2$  comparisons.





## Example: Disjoint Sets

- **Problem:** Determine whether two sets (both of size  $n$ ) are disjoint.
  - **Solution 1:** Compare each element of the 1st set with each element of the 2nd set. That is,  $n^2$  comparisons.
  - **Solution 2:**
    - Step 1:** We first **sort the first set into ascending order**. This takes  $O(n \lg n)$  effort using Mergesort or Heapsort.
    - Step 2:** For each element in the 2nd set, we **use Binary Search** to find it in the 1st set. This takes  $O(n \lg n)$  time.

# Comparison of Performance

Solution 1:  $O(n^2)$

Solution 2:  $O(n \lg n)$

**Savings:**

<b><math>n</math></b>	<b>=</b>	<b>64</b>	<b>128</b>	<b>256</b>	<b>512</b>
<b><math>n^2</math></b>	<b>=</b>	<b>4,096</b>	<b>16,384</b>	<b>65,536</b>	<b>262,144</b>
<b><math>n \lg n</math></b>	<b>=</b>	<b>384</b>	<b>896</b>	<b>2,048</b>	<b>4,608</b>

## Comparison of Performance

### The data items to be sorted:

- Given a (very large) list of records.
- Each record has the following form: key; rest info of record:

```
class ALIST {  
    KeyType    key;  
    DataType   data;  
};
```

- Key domain is an ordered set.
- **Objective:** To arrange records in 'ascending' or 'descending' order.

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# Comparison of Performance

- Sorting can be classified into internal sorting and external sorting.  
*所有数据都在缓存里*  
*部分数据在磁盘.*  
*I/O case*
- We focus on internal sorting only,  
i.e., all records are in (high speed) main memory during sorting.
- **Sorting involves two basic actions:**
  - 1) key comparisons between two records  
*can't be avoided.*
  - 2) swapping records around
- **Goal:** Use minimum working space and do as few key comparisons as possible.  
*RAM*

## Summary

- Sorting is to arrange a set of records so that their key values are in ascending or descending order.
- It is important to learn sorting, because:
  - Sorting has important applications
  - Ideas of sorting can be used for other algorithms
- Objective is to design sorting algorithms with:
  - Minimum usage of memory
  - Minimum number of key comparisons or swaps