

Tutorial 3: Analysis Techniques

1. Solve the following recurrences by the iteration method

1) $T(1) = 1$, and for $n \geq 2$, $T(n) = 3T(n-1) + 2$

2) $T(1) = 1$, and for $n \geq 2$, a power of 2, $T(n) = 2T(n/2) + 6n$

2. Solve the recurrences in Question 1 by the substitution method.

3. Solve the following recurrences by the master method.

1) $W(n) = W(n/3) + 5$

2) $T(n) = 2T(n/2) + n/4$

3) $W(n) = 2W(n/4) + \sqrt{n}^3$

4. Determine which of the following are linear homogeneous recurrence relations with constant coefficients. Also find the degree of those that are.

1) $a_n = 4a_{n-2} + 5a_{n-3}$ $x^3 = 4x + 5$

2) $a_n = 2na_{n-1} + a_{n-2}$ \Rightarrow non-homogeneous

3) $a_n = a_{n-1} + a_{n-4}$ $a^4 = a^3 + 1$

4) $a_n = a_{n-1}^2 + a_{n-2}$ \Rightarrow non-homogeneous

5) $a_n = a_{n-2} + n \Rightarrow$ non-homogeneous

5. Solve the following recurrence relations together with the initial conditions given.
(Due to time constraints, we may not cover every part in the tutorial class.)

1) $x^2 - 7x + 10 = 0$
 $\begin{matrix} 1 & x & -2 \\ & -5 & \end{matrix}$

$r=2, s=5$

$a_n = Cr^n + Ds^n$

$\begin{cases} a_0 = C + D = 1 \\ a_1 = 2C + 5D = 0 \end{cases}$

$\begin{cases} D = -\frac{2}{3} \\ C = \frac{5}{3} \end{cases}$

$\therefore a_n = \frac{5}{3} \times 2^n - \frac{2}{3} \times 5^n$

1) $a_n = 7a_{n-1} - 10a_{n-2}$ for $n \geq 2$, $a_0 = 1$, $a_1 = 0$

2) $a_n = 4a_{n-2}$ for $n \geq 2$, $a_0 = 6$, $a_1 = 8$

3) $a_n = 2a_{n-1} - a_{n-2}$ for all $n \geq 2$, $a_0 = 1$, $a_1 = 3$

(2) $t^2 = 4$

$r=2, s=-2$

$\begin{cases} a_0 = C + D = 6 \\ a_1 = 2C - 2D = 8 \end{cases}$

$\begin{cases} C = 5 \\ D = 1 \end{cases}$

$\therefore a_n = 5 \times 2^n + (-2)^n$

(3) $t^2 - 2t + 1 = 0$

$r=s=1$

$a_n = Cr^n + Dnr^n$

$\begin{cases} a_0 = C = 1 \\ a_1 = C \times 1 + D \times 1 \times 1 = 3 \end{cases}$

$\begin{cases} C = 1 \\ D = 2 \end{cases}$

$\therefore a_n = 1 + 2n$

Solve by iteration

$$(1) T(n) = 3T(n-2) + 2, T(1) = 1$$

$$\begin{aligned} T(n) &= 3(3T(n-2) + 2) + 2 = 9T(n-2) + 3 \times 2 + 2 \\ &= 9(3T(n-2) + 2) + 3 \times 2 + 2 = 27T(n-2) + 3^2 \times 2 + 2 \\ &= \dots 3^{n-1} T(1) + 3^{n-1} - 1 \\ &= 2 \times 3^{n-1} \leq 3^n \therefore O(3^n) \end{aligned}$$

$$(2) \text{ Let } n = 2^k, T(2^k) = 2T(2^{k-1}) + 6 \times 2^k, T(1) = 1$$

$$\begin{aligned} T(2^k) &= 2T(2^{k-1}) + 6 \times 2^k \\ &= 2 \cdot (2T(2^{k-2}) + 6 \times 2^{k-1}) + 6 \times 2^k = 2^2 T(2^{k-2}) + 2 \times 6 \times 2^k \\ &= 2^3 T(2^{k-3}) + 6 \times 2^{k+1} = 2^3 T(2^{k-3}) + 3 \times 6 \times 2^k \\ &= 2^k T(2^{k-k}) + k \times 6 \times 2^k \\ &= 2^k + k \times 6 \times 2^k \\ &= n + 6n \lg n \end{aligned}$$

Solve by substitution

$$\begin{aligned} (1) \text{ Hypothesis: } T(n) &\leq 3^n - 2 \\ (2) \text{ Base Case: } T(1) &= 1 \leq 3^1 - 2 \\ (3) \text{ Inductive steps: Assume for all } n \geq 2, \text{ Hypothesis true.} \\ T(n) &= 3T(n-1) + 2 \\ &\leq 3 \times (3^{n-1} - 2) + 2 \\ &\leq 3^n - 2 \therefore O(3^n) \end{aligned}$$

$$\begin{aligned} (1) \text{ Hypothesis: } T(n) &= O(n \lg n) \\ (2) \text{ Base Case: } T(1) &= 1 \leq C \times 1 \times \lg 1 \\ (3) \text{ Inductive Step: Assume for all } n \geq 2, \text{ Hypothesis true.} \\ T(2^k) &= 2T(2^{k-1}) + 6 \times 2^k \\ &\leq 2 \times C \times 2^{k-1} \times (k-1) + 6 \times 2^k \\ &= C \times 2^k \times (k-1) + 6 \times 2^k \end{aligned}$$

if $C \geq 7$.

$$\begin{aligned} T(2^k) &\leq 7 \times 2^k \times (k-1) + 6 \times 2^k \\ &= (7k-7+6) \times 2^k \\ &= (7k-1) \times 2^k \\ &\leq 7k \times 2^k \\ \therefore \text{Hypothesis True} \end{aligned}$$

3. Solve by the master method

$$(1) W(n) = W(\frac{n}{3}) + 5$$

$$a=1, b=3, f(n)=5$$

$$\log_b a = \log_3 1 = 0$$

$$n^{\log_b a} = n^0 = 1$$

$$f(n) = 5 = \Theta(1) = \Theta(f(n))$$

$$\therefore W(n) = \Theta(\lg n)$$

$$(2) T(n) = 2T(\frac{n}{2}) + \frac{n}{4}$$

$$a=2, b=2, f(n) = \frac{n}{4}$$

$$n^{\log_b a} = n^{\lg 2} = n^1$$

$$\therefore f(n) = \frac{n}{4} = \Theta(n^{\log_b a})$$

$$W(n) = \Theta(n \lg n)$$

$$\textcircled{2} 2 \times f(\frac{n}{4}) \leq f(n)$$

$$\text{if } C = \frac{3}{4}$$

$$(3) W(n) = 2W(\frac{n}{4}) + \sqrt{n}^3$$

$$a=2, b=4, f(n) = n^{\frac{3}{2}}$$

$$n^{\log_b a} = n^{\lg 4} = n^2$$

$$\textcircled{1} f(n) = n^{\frac{3}{2}} = \Omega(n^{\frac{1}{2}+1})$$

$$\textcircled{2} CW(n) = 2CW(\frac{n}{4}) + \sqrt{n}^3 = \frac{3}{2}W(\frac{n}{4}) + \frac{3\sqrt{n}^3}{4}$$

$$\textcircled{3} 2 \times W(\frac{n}{4}) = 4W(\frac{n}{16}) + \sqrt{\frac{n}{4}}^3$$

$$\textcircled{2} > \textcircled{3}$$

$$\therefore W(n) = \Theta(\sqrt{n}^3)$$

CX2101 Algorithm Design and Analysis

Tutorial 3 **Analysis Techniques** **(Week 9)**

Question 1 (1)

Solve the following recurrence by the iteration method

$$T(1) = 1, \text{ and for } n \geq 2, T(n) = 3T(n-1) + 2$$

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$$T(1) = 1, \text{ and for } n \geq 2, T(n) = 3T(n-1) + 2$$

$$\begin{aligned} T(n) &= 3T(n-1) + 2 \\ &= 3(3T(n-2) + 2) + 2 \\ &= 3^2T(n-2) + 3*2 + 2 \\ &= 3^2(3T(n-3) + 2) + 3*2 + 2 \\ &= 3^3T(n-3) + 3^2*2 + 3*2 + 2 \\ &= 3^{n-1}T(1) + 3^{n-2}*2 + \dots + 3^2*2 + 3*2 + 2 \end{aligned}$$

Question 1 (1)

$$= 3^{n-1} + 2(3^{n-2} + \dots + 3^2 + 3 + 1)$$

$$= 3^{n-1} + 2(3^{n-2} + \dots + 3^2 + 3 + 1)$$

$$= 3^{n-1} + 2(3^{n-1} - 1)/(3-1)$$

$$= 3^{n-1} + 3^{n-1} - 1$$

$$\leq 2 * 3^{n-1}$$

$$\leq 3^n$$

$$\text{So } T(n) = O(3^n)$$

Question 1 (2)

Solve the following recurrence by the iteration method

$$T(1) = 1, \text{ and for } n \geq 2, \text{ a power of 2, } T(n) = 2T(n/2) + 6n$$

Question 1 (2)

Solve the following recurrence by the iteration method

$T(1) = 1$, and for $n \geq 2$, a power of 2, $T(n) = 2T(n/2) + 6n$

$$\begin{aligned} T(n) &= 2T\left(\frac{n}{2}\right) + 6n \\ &= 2\left(2T\left(\frac{n}{2^2}\right) + 6\left(\frac{n}{2}\right)\right) + 6n \\ &= 2^2 T\left(\frac{n}{2^2}\right) + 6n + 6n \\ &= 2^2 \left(2T\left(\frac{n}{2^3}\right) + 6\left(\frac{n}{2^2}\right)\right) + 6n + 6n \\ &= 2^3 T\left(\frac{n}{2^3}\right) + 6n + 6n + 6n \\ &= 2^k T(1) + 6kn \quad \text{assume } n = 2^k \quad \text{so } k = \lg n \\ &= n + 6n \lg n \\ &= O(n \lg n) \end{aligned}$$

Question 2 (1)

Solve the following recurrence by the substitution method

$$T(1) = 1, \text{ and for } n \geq 2, T(n) = 3T(n-1) + 2$$

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Solve the following recurrence by the substitution method

$$T(1) = 1, \text{ and for } n \geq 2, T(n) = 3T(n-1) + 2$$

Guess that $T(n) = O(3^n)$

Proof: We will prove $T(n) \leq 3^n - 2$ for $n \geq 1$

(a) Base case: $T(1) = 1 \leq 3^1 - 2$.

(b) Inductive step: assume that $T(k) \leq 3^k - 2$, prove that $T(k+1) \leq 3^{k+1} - 2$.

$$T(k+1) = 3T(k) + 2$$

$$\leq 3(3^k - 2) + 2$$

$$\leq 3^{k+1} - 6 + 2$$

$$\leq 3^{k+1} - 2 \quad \text{Thus } T(n) = O(3^n - 2) = O(3^n)$$

Question 2 (2)

Solve the following recurrence by the substitution method

$$T(1) = 1, \text{ and for } n \geq 2, \text{ a power of 2, } T(n) = 2T(n/2) + 6n$$

Question 2 (2)

Solve the following recurrence by the substitution method

$T(1) = 1$, and for $n \geq 2$, a power of 2, $T(n) = 2T(n/2) + 6n$

Guess $T(n) = O(n \lg n)$

Proof: we show that $T(n) \leq 8 n \lg n$ for any $n \geq 2$

a) Base case:

$$T(2) = 2 \cdot 1 + 6 \cdot 2 = 14, 8n \lg n = 16,$$

so $T(n) \leq 8 n \lg n$ for $n=2$

b) Inductive step: Assume that $T(2^k) \leq 8 k 2^k$

Prove $T(2^{k+1}) \leq 8 (k+1) 2^{k+1}$

Question 2 (2)

$$\begin{aligned}T(2^{k+1}) &= 2 T(2^k) + 6 * 2^{k+1} \\&\leq 2 * 8 k 2^k + 6 * 2^{k+1} \\&= (8 k + 6) * 2^{k+1} \\&\leq 8 (k + 1) * 2^{k+1}\end{aligned}$$

$$T(n) = O(n \lg n)$$

Question 3(1)

Solve the following recurrence by the master method.

$$W(n) = W(n/3) + 5$$

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Solve the following recurrence by the master method.

$$W(n) = W(n/3) + 5$$

$$n^{\log_b a} = n^{\log_3 1} = n^0, \quad f(n) = 5 = \theta(1) = \theta(n^0)$$

So,

$$W(n) = \theta(n^0 \lg n)$$

Question 3(2)

Solve the following recurrence by the master method.

$$T(n) = 2T(n/2) + n/4$$

Question 3(2)

Solve the following recurrence by the master method.

$$T(n) = 2T(n/2) + n/4$$

$$n^{\log_b a} = n^{\log_2 2} = n^1, \quad f(n) = n/4 = \theta(n) = \theta(n^1)$$

So,

$$W(n) = \theta(n \lg n)$$

Question 3(3)

Solve the following recurrence by the master method.

$$W(n) = 2W(n/4) + \sqrt{n}^3$$

Question 3(3)

Solve the following recurrence by the master method.

$$W(n) = 2W(n/4) + \sqrt{n}^3$$

$$n^{\log_b a} = n^{\log_4 2} = n^{0.5}, \quad f(n) = \sqrt{n}^3 = n^{3/2} = \Omega(n^{0.5 + 0.1})$$

$$\text{And } a \cdot f(n/b) = 2f(n/4) = 2 \cdot (n/4)^{3/2} = 2 \cdot n^{3/2} (1/4)^{3/2}$$

$$= (1/4) \cdot n^{3/2} \leq (1/4) \cdot n^{3/2} = c \cdot f(n) \quad c = 1/4$$

So,

$$W(n) = \theta(n^{1.5})$$

Question 4

Determine which of the following are linear homogeneous recurrence relations with constant coefficients. Also find the degree of those that are.

1) $a_n = 4a_{n-2} + 5a_{n-3}$

Degree 3

2) $a_n = 2na_{n-1} + a_{n-2}$

Not constant coefficient

3) $a_n = a_{n-1} + a_{n-4}$

Degree 4

4) $a_n = a_{n-1}^2 + a_{n-2}$

Not linear

5) $a_n = a_{n-2} + n$

Not homogeneous

Question 5 (no need to cover all parts if running out of time)

(1) Solve the following recurrence relation together with the initial conditions given.

$$a_n = 7a_{n-1} - 10a_{n-2} \text{ for } n \geq 2, a_0 = 1, a_1 = 0$$

Question 5(1)

Solve the following recurrence relation together with the initial conditions given.

$$a_n = 7a_{n-1} - 10a_{n-2} \text{ for } n \geq 2, a_0 = 1, a_1 = 0$$

The characteristic equation:

$$t^2 - 7t + 10 = 0 \Rightarrow (t - 2)(t - 5) = 0$$

Solution: two distinct roots: $t_1 = 2, t_2 = 5$

Thus $a_n = 2^n C + 5^n D$

Question 5(1)

Substitute the initial conditions into $a_n = 2^n C + 5^n D$ to find C and D:

$$a_0 = 1 = C + D, \quad \Rightarrow \quad 2 = 2C + 2D$$

$$a_1 = 0 = 2C + 5D$$

Thus $2 = -3D$, i.e. $D = -2/3$ then $C = 5/3$

So we have $a_n = (5/3) * 2^n - (2/3) * 5^n$

Question 5(2)

Solve the following recurrence relation together with the initial conditions given.

$$a_n = 4a_{n-2} \text{ for } n \geq 2, a_0 = 6, a_1 = 8$$

Question 5(2)

Solve the following recurrence relation together with the initial conditions given.

$$a_n = 4a_{n-2} \text{ for } n \geq 2, a_0 = 6, a_1 = 8$$

The characteristic equation:

$$t^2 - 4 = 0 \Rightarrow (t)^2 = 4$$

Solution: two distinct roots: $t_1 = 2, t_2 = -2$

Thus $a_n = 2^n C + (-2)^n D$

Question 5(2)

Substitute the initial conditions into $a_n = 2^n C + (-2)^n D$ to find C and D:

$$a_0 = 6 = C + D, \quad \Rightarrow \quad 12 = 2C + 2D$$

$$a_1 = 8 = 2C - 2D$$

Thus $20 = 4C$, i.e. $C = 5$ then $D = 1$

So we have $a_n = 5 \cdot 2^n + (-2)^n$

Question 5(3)

Solve the following recurrence relations together with the initial conditions given.

$$a_n = 2a_{n-1} - a_{n-2} \text{ for all } n \geq 2, \quad a_0 = 1, \quad a_1 = 3$$

Question 5(3)

Solve the following recurrence relations together with the initial conditions given.

$$a_n = 2a_{n-1} - a_{n-2} \text{ for all } n \geq 2, \quad a_0 = 1, \quad a_1 = 3$$

The characteristic equation:

$$t^2 - 2t + 1 = 0 \Rightarrow (t-1)^2 = 0$$

Solution: single root: $t = 1$,

Thus $a_n = C + nD$, we have

$$1 = C, \quad D = 2$$

$$a_n = 1 + 2n$$