

# **CE2101/ CZ2101: Algorithm Design and Analysis**

## **Week 8: Review Lecture**

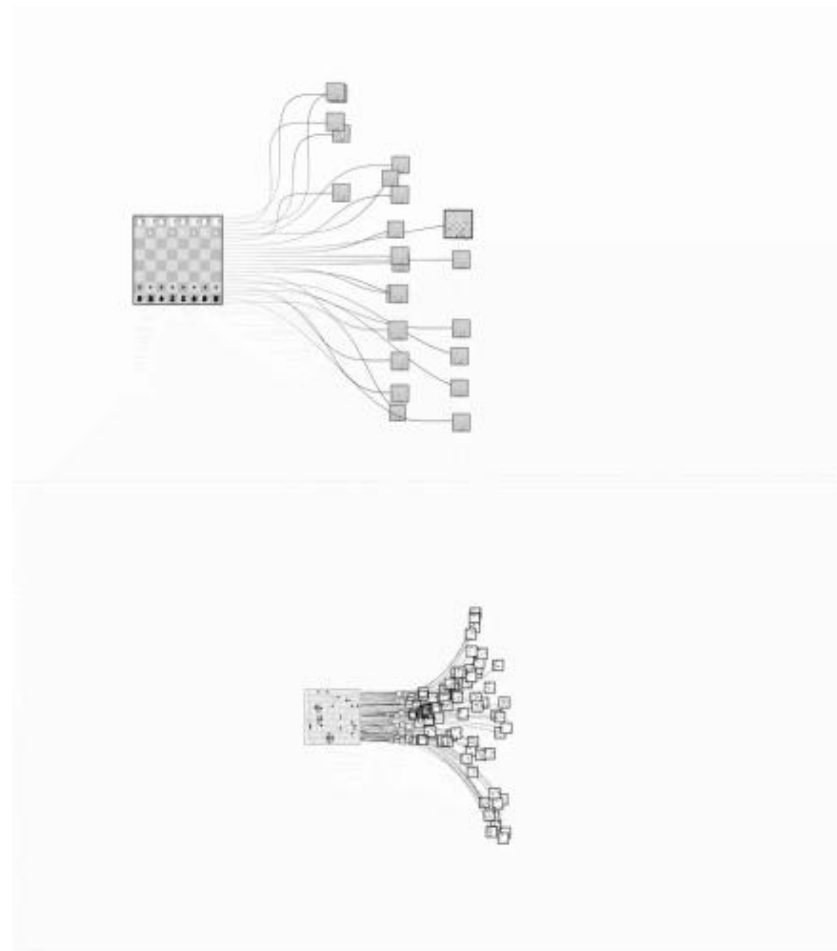
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- Complexity Analysis
- Solving Recurrences (covered in the exam)
  - Substitution Method
  - Iteration Method
  - Master Method
  - *Linear Homogeneous Recurrence Relation*
- Extended Topics (not covered in the exam)

Please feel free to interrupt me if you have any questions :)

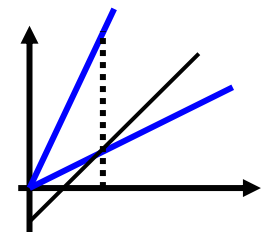
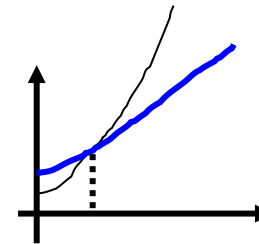
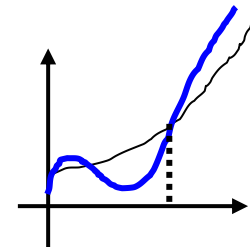


# Search Space



# Review of the big oh, big omega, big theta

- The idea of the  $O$ ,  $\Omega$  and  $\theta$  definitions is to establish a relative order among functions.
- We compare the relative rates of growth.
- If  $f(n) = O(g(n))$ ,  $g(n)$  gives the asymptotic upper bound
- If  $f(n) = \Omega(g(n))$ ,  $g(n)$  gives the asymptotic lower bound
- If  $f(n) = \theta(g(n))$ ,  $g(n)$  gives the asymptotic tight bound



# Revision of Complexity Analysis

- Complexity analysis expressed in big-O, big- $\theta$ , big- $\Omega$  gives the growth rate of a function compared with another function – the order of magnitude of increase in  $f(n)$  when  $n$  increases.  
E.g  $f(n) = O(n^2)$
- Two functions with the same complexity class may have very different running times.

# Revision of Complexity Analysis

- Arrange the following functions in increasing order of their big-O time complexity

$2n^2$ ,  $\log n$ ,  $n \log n$ ,  $n!$ ,  $2^n$ ,  $3^n$ ,  $\lg n$ ,  $10n$ ,  $100n^{1/2}$ ,  $5n^{2.5}$ ,  $\log(n^2)$ ,  $2^{2n}$ ,  $1000$ ,  $n^n$

$$1000 = O(1)$$

$$\log n, \lg n,$$

$$\log(n^2) = \theta(\log n) \quad 100n^{1/2}$$

$$10n$$

$$\log_{10}(x) = \ln(x) / \ln(10)$$

$$\ln(x) = x \log_{10}(x) / \log_{10}(e)$$

$$\log_{10}(e) \quad \text{That is,}$$

$$\log_a(x) = c * \log_b(x)$$

# Revision of Complexity Analysis

$n \lg n$

$2n^2$

$5n^2$

5

$2^n$

$3^n$

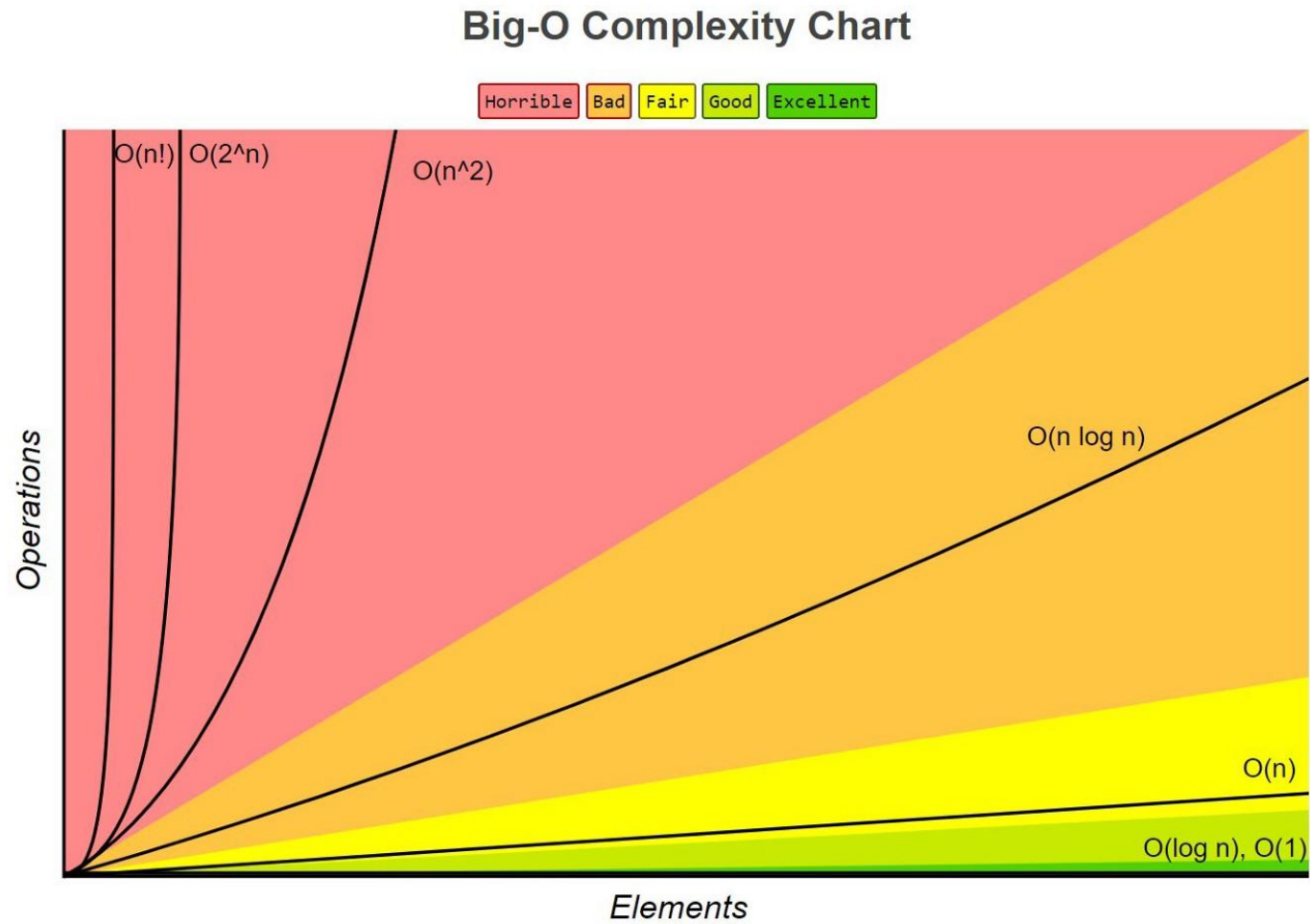
$2^{2n}$

$n!$

$n^n$



# Big-O Complexity



# Solving Recurrences

- 1) The substitution method - guess and check
- 2) The iteration method - expand (iterate) the recurrence
- 3) The master method – use the manual

# 1. The substitution method

- It is a “guess and check” strategy. First guess the form of the solution and then use mathematical induction to prove it.
- A powerful method because often it is easier to prove that a certain bound (in the form of the  $O$  notation) is valid than to compute the bound.
- **Mathematical Induction:** If  $p(a)$  is true and, for some integer  $k \geq a$ ,  $p(k+1)$  is true whenever  $p(k)$  is true, then  $p(n)$  is true for all  $n \geq a$ .
- Example: The worst case for merge sort ( $n = 2^k$ )

$$W(2) = 1$$

$$W(n) = 2 W(n/2) + n - 1$$

Guess  $W(n) = O(f(n))$  then prove it.

Show (i)  $W(2) \leq f(2)$  (ii) for some integer  $k \geq 2$ , assume  $W(n) = O(f(n))$  for  $n \leq 2^k$ , prove  $W(2n) \leq f(2n)$  then  $W(n) = O(f(n))$  for all  $n \geq 2$ .

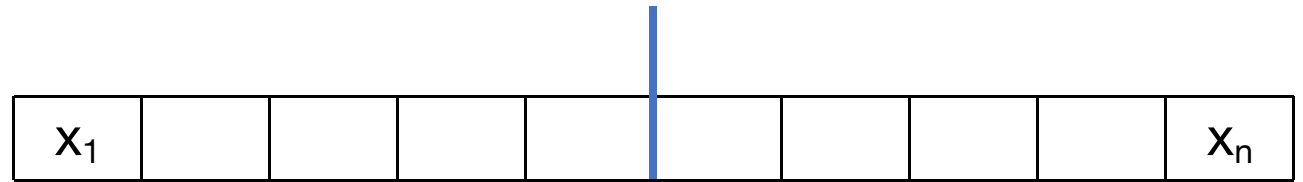
**Assume  $k$  ( $2^k$ ), Prove  $k+1$  ( $2^{k+1}$ )**

# Example of the substitution method

Recurrence for the best case of

mergesort:  $T(1) = 0$

$T(n) = 2T(n/2) + n/2$  Guess  $T(n) = O(n \lg n)$



Proof: consider  $n$  is a power of 2. (1)

$$T(1) = 0 \leq 1 \cdot \lg 1$$

# Example of the substitution method

(2) Assume that  $T(2^k) \leq k \cdot 2^k$ , prove that  $T(2^{k+1}) \leq (k+1) \cdot 2^{k+1}$ .

$$\begin{aligned} T(2^{k+1}) &= 2T(2^k) + 2^k \\ &\leq 2 \cdot k \cdot 2^k + 2^k \\ &\leq k \cdot 2^{k+1} + 2^k + 2^k \\ &= (k+1) \cdot 2^{k+1} \end{aligned}$$

Thus  $T(n) = O(n \lg n)$

## 2. The iteration method

- The idea is to expand (iterate) the recurrence and express it as a summation of terms depending only on  $n$  and the initial condition.
- Techniques for evaluating summations can then be used to provide bounds on the solution.
- The iteration method usually leads to lots of algebra.
- We should focus on how many times the recurrence needs to be iterated to reach the boundary condition.

**Expand, Reach Initial Condition, and Sum**

# Example of the iteration

## method

Suppose that, instead of using  $E[\text{middle}]$  as pivot, QuickSort also can use the median of  $E[\text{first}]$ ,  $E[(\text{first} + \text{last})/2]$  and  $E[\text{last}]$ . How many key comparisons will QuickSort do in the worst case to sort  $n$  elements? (Remember to count the comparisons done in choosing the pivot.)



After partition: (3 comparisons to get the median,  $n-3$  comparisons for partition)



$$T(1) =$$

0

$$T(n) = T(n-2) +$$

$n$

# Example of the iteration

method

$$T(n) = T(n-2) + n$$

$$= T(n-4) + n - 2 + n$$

$$= T(n-6) + n - 4 + n - 2 + n$$

$$= T(n-8) + n - 6 + n - 4 + n - 2 + n$$

If  $n = 2k$ (even)

$$T(n) = T(2) + (4 + 6 + 8 + \dots + n) \quad \text{// } k-1 \text{ terms}$$

$$\equiv 1 + \frac{k}{2} (4 + n)$$

$$O(n^2)$$

$$a_i = a_1 + (i-1)d$$

$$S_k = \frac{k}{2} (a_1 + a_k)$$



# Example of the iteration method

If  $n = 2k+1$  (odd)

$$\begin{aligned} T(n) &= T(1) + (3 + 5 + 7 + \dots // k \\ &\quad + 1) \text{ terms} \\ &= \frac{1}{2}(3 + n) \\ &= O(n^2) \end{aligned}$$

$$a_i = a_1 + (i-1)d$$

$$S_k = \frac{k}{2}(a_1 + a_k)$$

### 3. The master method

For  $W(n) = aW(n/b) + f(n)$   $a \geq 1$  and  $b > 1$

The manual:

1. If  $f(n) = O(n^{\log_b a - \varepsilon})$  for some constant  $\varepsilon > 0$ , then  $W(n) = \Theta(n^{\log_b a})$ .

2. If  $f(n) = \Theta(n^{\log_b a})$ , then  $W(n) = \Theta(n^{\log_b a} \log n)$ .

If  $f(n) = \Theta(n^{\log_b a} \log^k n)$ ,  $k \geq 0$ ,  
then  $W(n) = \Theta(n^{\log_b a} \log^{k+1} n)$

3. If  $f(n) = \Omega(n^{\log_b a + \varepsilon})$  for some constant  $\varepsilon > 0$ , and  
if  $a f(n/b) \leq c f(n)$  for some constant  $c < 1$  and all  
sufficiently large  $n$ , then  $W(n) = \Theta(f(n))$ .

**Compare  $f(n)$  and  $n^{\log_b a}$ , then Choose Condition**

# Example of the master method

Multiplying two  $n \times n$  matrices

$$W(n) = 7W(n/2) + 15n^2/4$$

$$n \log_b a = n \log_2 7 = n \ln 2 = n^{2.8075}$$

$$\begin{aligned} f(n) &= 15n^2/4 \\ &= O(n^{2.8075-0.5}) \end{aligned}$$

$$W(n) = \Theta(n^{2.8075})$$

$$\log_a x = \frac{\log_d x}{\log_d a}$$

**Thanks!**



**Q & A**