

# Exercícios 05

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## Dados para os exercícios

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santo_cov <- structure(c(0.00998001, 0.00237829932, 0.00783482733, 0.008280711,
0.0015909075, 0.00393182424, 0.0002669328, 0.00375220404, 0.00237829932,
0.00151321, 0.00233237398, 0.002366676, 0.00095305, 0.00224712852,
0.00052490104, 0.00206618906, 0.00783482733, 0.00233237398, 0.00737881,
0.006749163, 0.001569393, 0.00408061078, 0.00029838224, 0.00352408186,
0.008280711, 0.002366676, 0.006749163, 0.0081, 0.00163485, 0.00409446,
0.000823644, 0.002887092, 0.0015909075, 0.00095305, 0.001569393,
0.00163485, 0.001225, 0.002984618, 0.00123914, 0.001591044, 0.00393182424,
0.00224712852, 0.00408061078, 0.00409446, 0.002984618, 0.01119364,
0.00329342704, 0.00360712404, 0.0002669328, 0.00052490104, 0.00029838224,
0.000823644, 0.00123914, 0.00329342704, 0.00446224, 0.00128141104,
0.00375220404, 0.00206618906, 0.00352408186, 0.002887092, 0.001591044,
0.00360712404, 0.00128141104, 0.00649636), .Dim = c(8L, 8L), .Dimnames = list(
  c("Log.Mass", "Conspicuous.coloration", "Log.RMR", "Log.Scope",
    "Alkaloid.quantity", "Alkaloid.diversity", "Ant.Mite.Specialization",
    "log.Pre"), c("Log.Mass", "Conspicuous.coloration", "Log.RMR",
    "Log.Scope", "Alkaloid.quantity", "Alkaloid.diversity", "Ant.Mite.Specialization",
    "log.Pre")))
```

Alternativamente, em <https://gist.github.com/gustavobio/c71f411e161d3852def54efa326bd5c2>.

## Exercícios

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1. Reproduza o modelo da figura 3C do artigo utilizando os dados acima. O número de observações reportado no artigo foi 21.

## PNAS

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Complex phenotypes can be modeled as networks of component traits connected by genetic, developmental, or functional interactions. Aposematism, which has evolved multiple times in poison frogs (Dendrobatidae), links a warning signal to a chemical defense against predators. Other traits are involved in this complex phenotype. Most aposematic poison frogs are ant specialists, from which they sequester defensive alkaloids. We found that aposematic species have greater aerobic capacity, also related to diet specialization. To characterize the aposematic trait network more fully, we analyzed phylogenetic correlations among its hypothesized components: conspicuousness, chemical defense, diet specialization, body mass, active and resting metabolic rates, and aerobic scope. Conspicuous coloration was correlated with all components except resting metabolism. Structural equation modeling on the basis of trait correlations recovered “aposematism” as one of two latent variables in an integrated phenotypic network, the other being scaling with body mass and physiology (“scale”). Chemical defense and diet specialization were uniquely tied to aposematism whereas conspicuousness was related to scale. The phylogenetic distribution of the aposematic syndrome suggests two scenarios for its evolution: (i) chemical defense and conspicuousness preceded greater aerobic capacity, which supports the increased resource-gathering abilities required of ant-mite diet specialization; and (ii) assuming that prey are patchy, diet specialization and greater aerobic capacity evolved in tandem, and both traits subsequently facilitated the evolution of aposematism.

Species display an array of developmentally complex and recurrent phenotypes produced by common ancestry, natural selection, and genetic drift (1, 2). Phenotypic integration is evidenced by developmental, heritable, and functional relationships among different sets of traits that coevolve and give rise to complex phenotypes (1). One such phenotype is aposematism, defined as the co-occurrence of warning signals and defense mechanisms (3). However, other traits (e.g., diet specialization and gregarious behavior) may also contribute to the aposematic phenotype (4). Here, we model the aposematic syndrome in poison frogs as a phenotypic network integrating several traits: conspicuousness, alkaloid sequestration, diet specialization, body mass, and metabolic rates.

A. R. Wallace, who introduced the concept of aposematism, struggled to understand its evolution (5, 6). In aposematism, predators associate conspicuous prey with an unprofitable meal and refrain from attack (7). Thus, defended, the prey may expand its own foraging capabilities (8). The evolution of aposematism is complex for at least four reasons. First, some aposematic organisms synthesize or sequester defensive compounds (9). Second, conspicuousness (high contrast relative to background) signals to the predator that an attack is costly (10). Third, conspicuousness and defense originate in tandem or coevolve (11, 12). Finally, aposematism is at times associated with other traits (e.g., diet specialization) (3).

metric consequences for physiological processes (15). Therefore, metabolic rates may be related to the origin and maintenance of aposematism.

Two metabolic parameters commonly studied in ectotherms are resting and active metabolic rates (i.e., RMR and AMR). RMR is the minimal energetic cost to sustain life during inactivity, in controlled temperature, and in a postabsorptive status (16). In contrast, AMR is the upper energetic cost of physical activity by locomotor muscles at their aerobic maximum (17, 18). The difference between AMR and RMR is aerobic scope (hereafter, scope), which generally reflects physical activity and athletic prowess (16). However, most comparative studies use only RMRs (19).

Likewise, phenotypic integration, which we model as a network of dependent relationships among organismal traits, is rarely explored using phylogenetic methods (1). Poison frogs (Dendrobatidae) are perhaps unparalleled for this purpose because a well-supported phylogeny exists (20) and because preliminary data suggest that diverse traits related to aposematism are correlated (12, 21). For example, aposematism and diet specialization (e.g., on ants and mites) repeatedly coevolved in this clade of >290 diurnal species; thus inconspicuous/diet-generalist and aposematic/diet-specialist species are at times close relatives (12). Poison frogs obtain chemical defenses (mostly lipophilic alkaloids; *SI Text* and *Fig. S1*) from certain arthropod prey: indeed, frogs reared only on nontoxic prey are not chemically defended (22). Alkaloid-defended species thus tend to be diet specialists. In addition to unpalatability from alkaloid defense, conspicuousness of the frogs is relevant because the aposematic signal appears to be directed to close-range predators, mostly snakes and spiders (*SI Text*). Aposematism is also correlated with larger organism size, which in turn is related to metabolic rates (13). An association between metabolic rates and aposematism was suggested for poison frogs (23, 24), but physiological data were limited to seven species, and phylogenetic effects were not considered.

We had five objectives: first, to analyze RMR and AMR across Anura and compare these results with new metabolic data for 54 species of poison frogs; second, to estimate phylogeny and reconstruct ancestral states of component traits in the same species; third, to characterize phenotypic integration with a multivariate model of aposematism using phylogeny-based path analysis (25) and structural equation modeling (SEM) (26); fourth, to test this model against alternative models; and finally, we discuss phenotypic integration and propose two scenarios for the evolution of aposematism in dendrobatids.

The authors declare no conflict of interest.

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**Data deposition:** The sequences reported in this paper have been deposited in the GenBank database. Accession numbers are in [Tables S3](#) and [S4](#).

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## Results and Discussion

**Metabolic Characterization of Anurans and Poison Frogs.** The analysis of Anura included published data on AMR and RMR from 87 species (Fig. 1, Fig. S2, and Tables S1, S2, and S3). Our regressions of RMR and AMR vs. body mass agreed with published allometric coefficients for  $a$  (i.e., unit-mass oxygen consumption in  $\text{mL O}_2 \cdot \text{h}^{-1} \cdot \text{g}^{-1}$ ) and exponents for  $b$  (slope of oxygen consumption). Similar values were found using regressions with phylogenetically independent contrasts (PIC). We found that  $a$  showed an increase in oxygen consumption from 20 to 25 °C as indicated by the  $Q_{10}$  of 2.77 (RMR) and 4.25 (AMR). Additionally, our estimates of  $b$  for RMR were  $\sim 0.75$ , similar to the 3/4 allometric constant (27). In contrast, our estimates of  $b$  for AMR were  $\sim 1.0$ , indicating isometry as in endotherms (18). Thus, for frogs in general, temperature increases the allometric coefficient  $a$  (16), RMR scales as predicted for fractal oxygen transport (13, 27), and AMR scales isometrically as expected from targeted oxygen supply to skeletal muscles (17).

On the basis of our data from 54 poison frog species (Table S4), estimated allometric coefficients were similar to those of Anura (Fig. 1C and Table S1). At  $25 \pm 0.5$  °C,  $a$  was 0.169 for RMR and

1.148 for AMR. This result suggests a 5.7- to 6.8-fold increase in oxygen consumed per gram from resting (RMR) to non-sustainable exercise (AMR). In contrast,  $b$  for all rates was  $\sim 0.781$  under ordinary least squares (OLS). However,  $b$  from PIC regression was  $\sim 0.863$ , but after removing outlier contrasts (Fig. 1C), the exponents were similar to the OLS estimates. For poison frogs, RMR and AMR differ in allometric coefficients  $a$ , whereas  $b$  for both RMR and AMR is  $\sim 0.75$ . Therefore, poison frogs have a nearly constant rate of oxygen consumption per unit mass, regardless of the level of physical activity.

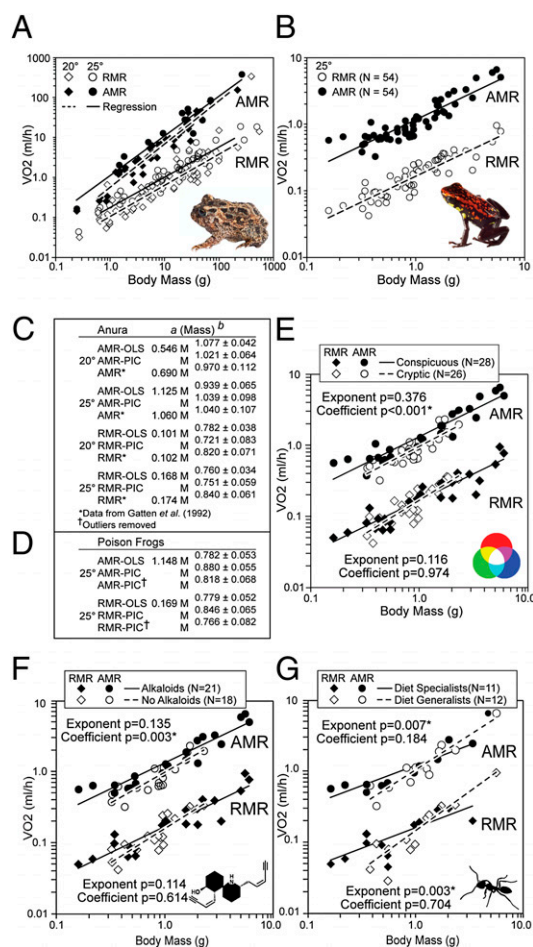
At least three hypotheses might explain the observed differences between Anura and poison frogs. First, poison frog species are small (0.1–10 g) compared with the species of Anura analyzed (0.1–500 g). A similar effect of body size was demonstrated by the analysis of subsamples of the Anura dataset matching the size range of poison frogs (Table S1). Second, the Anura clade encompasses diverse life histories and >250 Myr of evolutionary history (28). In contrast, poison frogs have a more restricted array of life histories (i.e., terrestrial habitats of the Neotropics) and <41 Myr of evolutionary history (20). Third, the Anura data vary in quality (mostly collected before 1985) (16). In contrast, we collected our data under standard conditions.

## Phylogenetic Analysis of Aposematism, Diet Specialization, Body Mass, and Metabolic Rates.

Our phylogenetic analysis detected six origins of conspicuousness, four of alkaloid sequestration, three of diet specialization, and six of large scope (Fig. 2). Two origins of conspicuousness occurred in the absence of alkaloid sequestration: *Allobates zaparo*, a Batesian mimic (29), and *Hyloxalus nexipus*. The remaining four origins of conspicuousness corresponded to aposematism: Clade D, *Hyloxalus azureiventris*, *Epipedobates*, and *Ameerega* clades. Three origins of aposematism were simultaneous with origins of diet specialization: Clade D, *Epipedobates* and *Ameerega* clades; the diet of *H. azureiventris* is unknown. Four origins of large scope co-occurred with all origins of aposematism and Batesian mimicry: *A. zaparo* (mimic), Clade D, *H. azureiventris*, and Clade C (containing *Epipedobates* and *Ameerega*). Therefore, Batesian mimicry and aposematism originated simultaneously or in tandem with greater scope.

Ancestral state reconstructions agreed with the correlation analyses (Table S2). Our results suggest that aposematism, diet specialization, body mass, metabolic rates, and scope covary with the phylogeny (all  $\lambda$ s > 0). Previous reports (21) of fewer species found that only percentage of ants was independent of phylogeny ( $\lambda = 0$ ). We found a significant phylogenetic effect of ant-mite specialization ( $\lambda = 0.605$ ), suggesting that phylogeny predicts diet specialization. In the pairwise analyses (Table S2), we found significant correlations ( $P < 0.01$ ) between aposematism, diet specialization, body mass, and two measures of aerobic capacity (AMR and scope). These results suggest that the appearance of a phylogenetic correlation between aposematism and aerobic capacity preceded enhanced resource gathering, which in turn promoted diet specialization (8). An alternative explanation is that diet specialization preceded the origin of higher aerobic capacity, assuming prey are a patchy resource (30), with aposematism evolving as a defense against predators. Alkaloid diversity and diet specialization were not associated with body mass or metabolic rates ( $P > 0.05$ ), suggesting that the diversity of alkaloids in prey is highly variable (22).

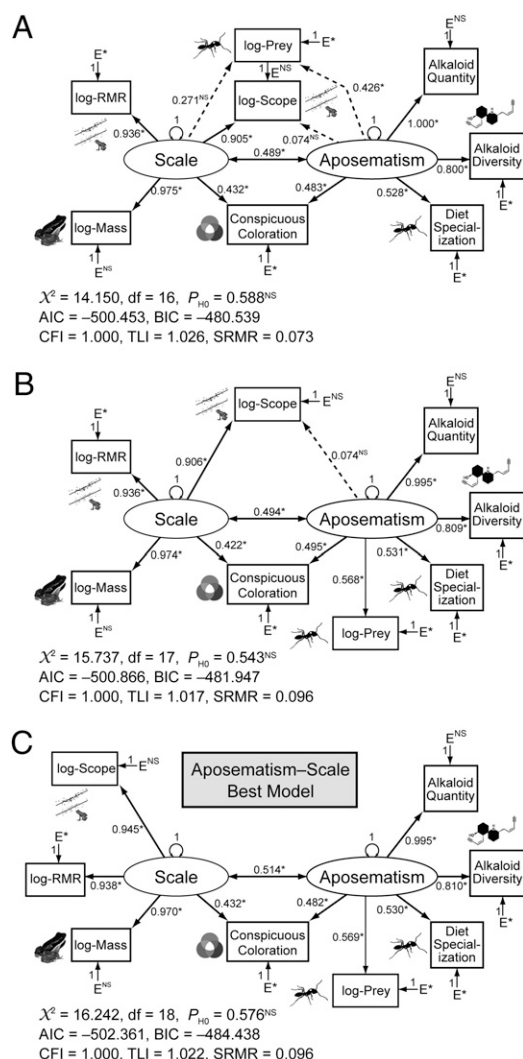
In the PIC allometric regressions, outlier contrasts (Figs. 1 and 2 and Table S1) are interpreted as grade shifts that support a change in the allometric coefficient  $a$ , but maintain the exponent  $b$ , in the context of novel life history traits (31). Five grade shifts are related to large scope in poison frogs: (i) three origins of aposematism (*Ameerega* and *Epipedobates* clades and *H. azureiventris*) and (ii) five- to sixfold increases in body mass in two aposematic species (*Allobates trivittatus* and *Dendrobates sylvaticus*) relative to their sister species. The absence of grade shift for the species-rich aposematic clade (Clade D: *Phyllobates* + *Dendrobates*) is puzzling. Two explanations are plausible: (i) species of Clade D are overrepresented and (ii) Clade B+C+D



**Fig. 1.** Active metabolic rate (AMR) and resting metabolic rate (RMR) as functions of body mass and binary states of phenotypes. (A) OLS regressions at 20 °C and 25 °C for Anura. (B) OLS regressions at  $25 \pm 0.5$  °C for poison frogs. (C and D) Metabolic rates in  $\text{mL O}_2 \cdot \text{h}^{-1}$  as a function of allometric coefficients  $a$  in  $\text{mL O}_2 \cdot \text{h}^{-1} \cdot \text{g}^{-1}$ , mass (grams), and allometric exponent  $b$  using OLS, phylogenetic independent contrasts (PIC), and PIC without outliers. Published values are AMR\* and RMR\*. (E–G) OLS allometric regressions of poison frogs grouped by binary state: (E) conspicuousness, (F) alkaloid sequestration, and (G) diet specialization. E–G include the probability values of the homogeneity tests for allometric coefficients and exponents (Table S1).







**Fig. 3.** Phenotypic network models of aposematism and scale integration in poison frogs. (A) Scope and mass-dependent diet (log-prey) as a co-dependent with aposematism and scale. (B) Scope as a codependent with the aposematism and scale. (C) Best model integrating aposematism and scale. Gray ellipses represent latent variables measured by indicator (observable) variables in open boxes. Connecting lines are path coefficients of regressions (single-headed arrows) and correlations (double-headed arrows). Hatched lines are nonsignificant paths. Direct effects are represented by connecting arrows between variables (e.g., scale on log-mass). Indirect effects are compound pathways that connect two variables through an intermediate variable (e.g., scale has an indirect effect on alkaloid quantity via aposematism). Variances of the emergent variables ( $\cup$ ) and regression coefficients of the measurement errors ( $E$ ) were set to 1, which allows a single model to be estimated. Statistics include  $\chi^2$  goodness of fit, Akaike information criterion (AIC), Bayesian information criterion (BIC), Bentler's comparative fit index (CFI;  $>0.95$  = good fit), Tucker–Lewis Index (TLI;  $>0.95$  = good fit), and standardized root mean-square residual (SRMR;  $<0.10$  = adequate fit). Model C is preferred because it estimates fewer parameters and extra paths (models A and B) are not significant.

tantly, our VCV matrix needs to be adjusted before the analyses for phylogenetic signal (33, 34). The starting SEM model is proposed on the basis of a priori information such as regression analyses, bivariate correlations, exploratory factor analysis, and theoretical background (32). For an introduction to phylogenetic SEM see *SI Text*.

Our starting model used the following a priori information. First, we used comparative analyses (Table S2) and allometric regressions (Table S1). Second, we performed phylogenetic factor

analysis (Table S5). We found two components: (i) scale (58.85% of variance) as measured by body mass, scope, and RMR and (ii) alkaloid sequestration (19.03% of variance) as measured by alkaloid profiles (quantity and diversity) and ant–mite specialization. However, conspicuous coloration and mean prey number per individual loaded on both components. Third, using background theory and prior knowledge, we identified these latent variables as aposematism and scale (Fig. 3). Specifically, aposematism is the co-occurrence of conspicuousness and defense (11, 12), which also is correlated with diet specialization (21, 24). Scale embodies the correlation between body mass and metabolic rates (35).

**Tests of Alternative Models.** Our estimated model (Fig. 3C) was supported against alternatives: (i) six variants with more fixed parameters (Fig. S3) and (ii) two variants with locomotor performance (scope) and prey number (log-prey) as codependent on aposematism and scale (Fig. 3A and B). We rejected the alternatives because the simpler models (Fig. S3) had significantly worse fit and the more parameterized models (Fig. 3A and B) did not fit significantly better than our model. Our best model (Fig. 3C) includes three types of variables: indicator, latent, and error. Indicator variables, which are measured directly, include metabolic parameters (scope and RMR), body mass, conspicuousness, diet specialization (prey number and ant–mite specialization), and alkaloid profiles (quantity and diversity). AMR was excluded due to collinearity with scope. Our model recovers two latent variables, interpreted as scale and aposematism. Latent variables are not directly measured and affect the indicators directly; we argue that these represent phenotypic integration of the indicator variables. Scale integrates the indicator variables body mass, metabolic parameters, and conspicuous coloration. Aposematism integrates conspicuous coloration, alkaloid profiles, and diet specialization. Error variables are unexplained variances: measurement error and effects of unaccounted latent variables.

The connecting paths characterize relationships among variables (Fig. 3C). Path coefficients are standardized measurements of relationship, and they range from  $-1$  to  $1$  (i.e., perfect multicollinearity). In our model, significant path coefficients ( $>0.40$ ) are indicated by \*. Nonsignificant path coefficients ( $\sim 0$ ) are uninformative and were excluded. Path connectivity represents direct and indirect interactions between variables and a directional representation of the relationship: bivariate correlations ( $\leftrightarrow$ ), path coefficients ( $\leftarrow$  or  $\rightarrow$ ), and fixed variances ( $\cup$ ). A direct path is a relationship between variables that is not explained by other variables. For example, the direct path of aposematism on alkaloid quantity (Fig. 3C) is a significant relationship (0.995) not explained by other variables. An indirect path unites direct paths between two variables using intermediates (26).

The interpretation of the indirect paths contrasts with some simpler bivariate correlations (Table S2). For example, scope and alkaloid quantity are highly correlated (Table S2,  $r_{IC} = 0.515$ ; and Table S5,  $r_{IC} = 0.519$ ). However, our model suggests a compound relationship: Scope  $\leftarrow$  scale  $\leftrightarrow$  aposematism  $\rightarrow$  alkaloid quantity. The interpretation of this compound path is as follows: (i) Scope has a direct relationship (path coefficient = 0.945) with scale; (ii) scale is correlated (0.514) with aposematism; and (iii) aposematism has a direct relationship (0.995) with alkaloid quantity. The strength of this path is the product of its path coefficients ( $0.945 \times 0.514 \times 0.995 = 0.483$ , similar to Table S2:  $r_{IC} = 0.515$ ). Therefore, the association between alkaloid quantity and scope is a manifestation of the emergent relationship between scale and aposematism. Other compound paths are between the indicators on the same latent variable. For example, alkaloid diversity and ant–mite specialization are positively associated ( $0.810 \times 0.530 = 0.429$ ) as evidenced by their bivariate correlation (Table S2,  $r_{IC} = 0.466$ ; and Table S5,  $r_{IC} = 0.466$ ). Thus, alkaloid diversity and ant–mite specialization manifest aposematism. An example of dual indirect–direct paths is evidenced by the relationship of conspicuous coloration and both latents, scale and aposematism. Conspicuous coloration is re-





plementary analysis for poison frogs, we included binary (dummy) variables for conspicuousness, alkaloid sequestration, or diet specialization in the model:  $\log(y) = a + (b_{\text{binary}} \times z) + (b \times x)$ , where  $y$  is AMR, RMR, or scope;  $a$  is the allometric coefficient;  $b_{\text{binary}}$  is the coefficient of binary variable;  $z$  is the binary variable;  $b$  is the allometric exponent; and  $x$  is  $\log$  (mass). For PIC regressions, we used the ML phylogenies with log-transformed standardized branch lengths (34) and we calculated PICs using PDAP:PTREE (42) in Mesquite (43). PIC regressions were fit through the origin and regression coefficients were tested at  $\alpha = 0.05$  (34). We detected outlier contrasts (grade shifts) in allometric regressions by comparing the regressions and excluding outlier residuals (31).

Ancestral states were estimated using the mk1 model in Mesquite (43); the probability of a state was reported as raw likelihood. We validated our reconstructions by estimating the mean posterior probability of each character state per node from 5,000 samples using BayesTraits (44). Because the results from both methods were similar, only those of Mesquite are reported. Pairwise correlations between traits were estimated using phylogenetic generalized least squares (44) and PIC. We analyzed the covariance of each trait and the phylogeny using  $\lambda$  in BayesTraits (44) and tested each  $\lambda$  against the hypothesis of phylogenetic independence ( $H_0: \lambda = 0$ ). We then calculated the phylogenetic pairwise correlation coefficients by including  $\lambda$  as a free parameter. Significance was determined against independence ( $H_0: r = 0$ ). These results were validated using PIC regressions in Mesquite.

**Phylogenetic SEM.** We used a multivariate phylogenetic method based on path analysis (25) and SEM (26). The species sample ( $n = 21$ , Table S4) has measurements for all variables. Our technique is summarized as follows: (i) multivariate normality was tested; (ii) the phylogeny-enhanced variance-covariance matrix (**S**) was estimated using the bivariate correlation matrix **R** (Table S5) and the SDs of the PICs were calculated using matrix algebra; (iii) phylogenetic principal component analysis (PPCA) and principal axis factoring (PPFA) with varimax orthogonal rotation (Table S5) were used to propose an initial SEM model; (iv) this model was fit to the **S** matrix in MPlus (45); (v) fit statistics included  $\chi^2$  goodness of fit and complementary fit indexes; (vi) SEM models were compared using their fit indexes and estimated parameters; and (vii) the interpretation of the best model was based on a priori information and network structure. For an extended introduction see *SI Text*.

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