

# Report: 构建两层神经网络分类器

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github repo 链接: <https://github.com/chenghengheng/CV-HW1> (<https://github.com/chenghengheng/CV-HW1>)

模型网盘下载地址: <https://pan.baidu.com/s/1bAbrUL2hiJo4A0ywlUG1mQ?pwd=w4h9>  
(<https://pan.baidu.com/s/1bAbrUL2hiJo4A0ywlUG1mQ?pwd=w4h9>)

## 模型训练

### 数据集

采用mnist数据集, 训练集大小 $60000 * 28 * 28$ , 测试集大小 $10000 * 28 * 28$ 。

为方便后续计算, 对原始数据作以下处理:

- Reshape: 将每个样本对应的 $28 * 28$ 的数据改写为 $784 * 1$ 格式
- Standardize: 对测试集进行归一化, 并用测试集的均值和方差处理训练集
- Expand: 训练过程中, 将测试集的分类扩展为矩阵形式, 矩阵的第 $i$ 行对应 $X_i$ 的分类, 第 $j$ 列为1对应真实标签, 其他列都为0

### 训练

按标准正态分布随机设置初始的权重和偏置, 通过随机梯度下降(SGD)方法更新参数。

设置100000次迭代, 每次随机选择一组 $\{X_i, y_i\}$ , 计算当前参数下的loss, 根据梯度更新 $w, b$

$$w = w - \text{stepsize} * \text{gradient}(w)$$

$$b = b - \text{stepsize} * \text{gradient}(b)$$

- 激活函数: 使用 $\tanh()$ 作为激活函数
- loss: 采用交叉熵损失函数

$$L = \frac{1}{N} \sum_i -\log(p(y_i))$$

- 反向传播: 输出层的误差项为 $2 * (\hat{y} - y)$ , 根据反向传播算法, 前一层的误差项为 $f'(z) \odot (W^T \delta)$
- 梯度计算: 上一层的激活值\*误差项
- 学习率下降策略: 学习率按余弦函数下降
- L2正则化: 为方便计算与编程, 将正则化部分设置在对参数的更新中, 在梯度项部分加上 $\lambda w$
- 保存模型: 应用 `numpy.savetxt` 函数将参数保存为txt文件, 应用模型时通过 `numpy.loadtxt` 导入参数

## 代码说明

para2.py 将训练过程封装为函数, 通过修改变量调整学习率, 隐藏层大小, 正则化强度。

具体函数说明详见代码注释部分。

本文件 parameter&test.ipynb 包括参数查找, 模型测试, 可视化训练及测试 Loss, 最终测试精度和对网络参数的可视化。

```
In [1]: from para2 import *
import tensorflow as tf
import numpy as np
import random
import math
```

```
In [2]: np.random.seed(1)
random.seed(1)
```

```
In [3]: mnist = tf.keras.datasets.mnist
(x_train, y_train), (x_test, y_test) = mnist.load_data()
```

```
In [4]: n, d = x_train.shape[0], x_train.shape[1]
        d = d * d
        x_train = x_train.reshape(n, d)

        t, dl = x_test.shape[0], x_test.shape[1]
        dl = dl * dl
        x_test = x_test.reshape(t, dl)
```

```
In [5]: nLabels = max(y_train) + 1
        yExpanded = binary(y_train, nLabels)
        ytExpanded = binary(y_test, nLabels)

        X, mu, sigma = standardize(x_train)
        Xtest = standardize(x_test, mu, sigma)
```

以上为数据处理部分

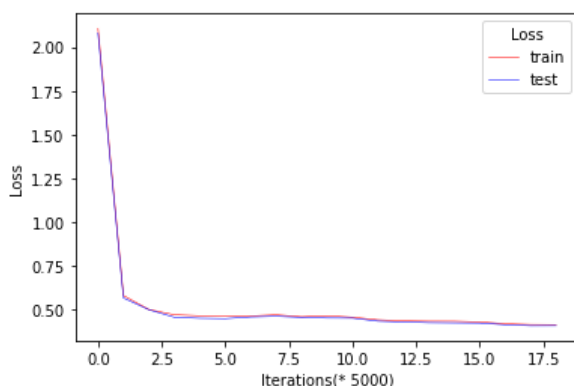
## 参数查找

```
In [6]: loss1, loss2, test = para(0.001, [50], 0.05, X, y_train, n, d, Xtest, y_test, t, nLabels, yExpanded, 1)
```

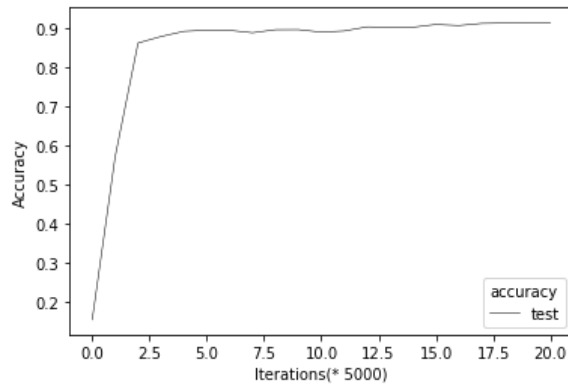
```
Train iteration = 0, training error = 0.846717, test error = 0.847000
Train iteration = 5000, training error = 0.432450, test error = 0.430100
Train iteration = 10000, training error = 0.143167, test error = 0.138800
Train iteration = 15000, training error = 0.121550, test error = 0.122200
Train iteration = 20000, training error = 0.115267, test error = 0.108900
Train iteration = 25000, training error = 0.110283, test error = 0.105800
Train iteration = 30000, training error = 0.110067, test error = 0.106400
Train iteration = 35000, training error = 0.112817, test error = 0.112500
Train iteration = 40000, training error = 0.109667, test error = 0.104700
Train iteration = 45000, training error = 0.104567, test error = 0.104500
Train iteration = 50000, training error = 0.113783, test error = 0.110900
Train iteration = 55000, training error = 0.108983, test error = 0.107900
Train iteration = 60000, training error = 0.101267, test error = 0.097400
Train iteration = 65000, training error = 0.099917, test error = 0.098300
Train iteration = 70000, training error = 0.097667, test error = 0.098400
Train iteration = 75000, training error = 0.094283, test error = 0.091300
Train iteration = 80000, training error = 0.095133, test error = 0.094000
Train iteration = 85000, training error = 0.091350, test error = 0.088600
Train iteration = 90000, training error = 0.089500, test error = 0.087800
Train iteration = 95000, training error = 0.086617, test error = 0.086100
Training error with final model = 0.085867
Test error with final model = 0.087300
```

```
In [7]: loss1 = np.array(loss1)
        loss2 = np.array(loss2)
        test = np.array(test)
```

```
In [8]: x = np.arange(19)
        plt.plot(x, loss1, color="red", label="train", linewidth=0.5)
        plt.plot(x, loss2, color="blue", label="test", linewidth=0.5)
        plt.legend(title="Loss")
        plt.xlabel('Iterations(* 5000)')
        plt.ylabel('Loss')
        plt.show()
```



```
In [9]: y = np.arange(21)
plt.plot(y, 1-test, color="black", label="test",linewidth=0.5)
plt.legend(title="accuracy")
plt.xlabel('Iterations(* 5000)')
plt.ylabel('Accuracy')
plt.show()
```



初始参数：初始学习率为0.001，隐藏层大小为50，正则化强度0.05。

Loss 及 accuracy 曲线如上图，收敛较快。

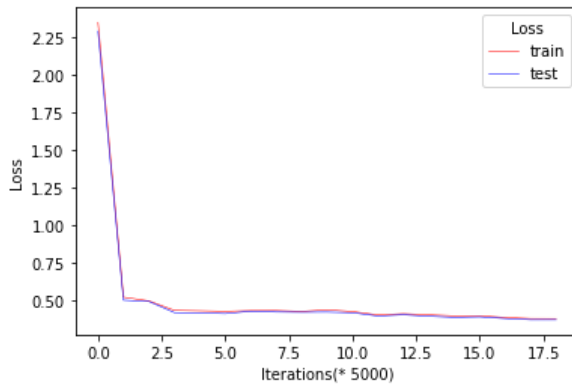
以下，对隐藏层大小作调整测试。

```
In [10]: loss1, loss2, test = para(0.001, [100], 0.05, X, y_train, n, d, Xtest, y_test, t, nLabels, yExpanded, 2)
```

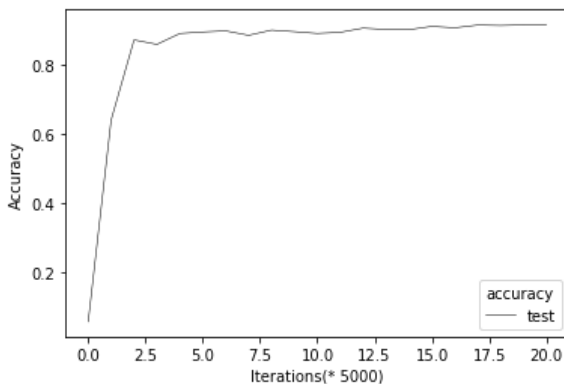
```
Train iteration = 0, training error = 0.941617, test error = 0.943400
Train iteration = 5000, training error = 0.365250, test error = 0.360300
Train iteration = 10000, training error = 0.135650, test error = 0.128800
Train iteration = 15000, training error = 0.139033, test error = 0.141600
Train iteration = 20000, training error = 0.113633, test error = 0.110200
Train iteration = 25000, training error = 0.111683, test error = 0.105800
Train iteration = 30000, training error = 0.108667, test error = 0.102700
Train iteration = 35000, training error = 0.115317, test error = 0.115400
Train iteration = 40000, training error = 0.106650, test error = 0.100500
Train iteration = 45000, training error = 0.105283, test error = 0.104900
Train iteration = 50000, training error = 0.113667, test error = 0.110000
Train iteration = 55000, training error = 0.108333, test error = 0.106800
Train iteration = 60000, training error = 0.098650, test error = 0.094700
Train iteration = 65000, training error = 0.101167, test error = 0.098500
Train iteration = 70000, training error = 0.098417, test error = 0.099100
Train iteration = 75000, training error = 0.092833, test error = 0.089600
Train iteration = 80000, training error = 0.095333, test error = 0.093700
Train iteration = 85000, training error = 0.088867, test error = 0.085900
Train iteration = 90000, training error = 0.088150, test error = 0.087000
Train iteration = 95000, training error = 0.085133, test error = 0.085600
Training error with final model = 0.084500
Test error with final model = 0.084000
```

```
In [11]: loss1 = np.array(loss1)
loss2 = np.array(loss2)
test = np.array(test)
```

```
In [12]: x = np.arange(19)
plt.plot(x, loss1, color="red", label="train",linewidth=0.5)
plt.plot(x, loss2, color="blue", label="test",linewidth=0.5)
plt.legend(title="Loss")
plt.xlabel('Iterations(* 5000)')
plt.ylabel('Loss')
plt.show()
```



```
In [13]: y = np.arange(21)
plt.plot(y, 1-test, color="black", label="test",linewidth=0.5)
plt.legend(title="accuracy")
plt.xlabel('Iterations(* 5000)')
plt.ylabel('Accuracy')
plt.show()
```



```
In [14]: loss1, loss2, test = para(0.001, [200], 0.05, X, y_train, n, d, Xtest, y_test, t, nLabels, yExpanded, 3)
```

```
Train iteration = 0, training error = 0.920183, test error = 0.917400
Train iteration = 5000, training error = 0.311400, test error = 0.302800
Train iteration = 10000, training error = 0.135833, test error = 0.131100
Train iteration = 15000, training error = 0.154800, test error = 0.153100
Train iteration = 20000, training error = 0.131200, test error = 0.127600
Train iteration = 25000, training error = 0.118850, test error = 0.112200
Train iteration = 30000, training error = 0.113500, test error = 0.111000
Train iteration = 35000, training error = 0.123300, test error = 0.122000
Train iteration = 40000, training error = 0.111333, test error = 0.105100
Train iteration = 45000, training error = 0.117817, test error = 0.115000
Train iteration = 50000, training error = 0.122550, test error = 0.118400
Train iteration = 55000, training error = 0.112517, test error = 0.109200
Train iteration = 60000, training error = 0.102733, test error = 0.097700
Train iteration = 65000, training error = 0.114917, test error = 0.108600
Train iteration = 70000, training error = 0.103900, test error = 0.102100
Train iteration = 75000, training error = 0.097100, test error = 0.093300
Train iteration = 80000, training error = 0.099533, test error = 0.097000
Train iteration = 85000, training error = 0.091417, test error = 0.089800
Train iteration = 90000, training error = 0.090067, test error = 0.087400
Train iteration = 95000, training error = 0.086850, test error = 0.088200
Training error with final model = 0.085617
Test error with final model = 0.085900
```

随着隐藏层大小从50增加到100，精度提升，隐藏层大小增加至200时，模型训练时长明显增加，但训练结果无改善。

Loss 及 accuracy 曲线如上图，收敛较快。

以下，对正则化强度作调整测试。

```
In [15]: ▶ loss1, loss2, test = para(0.001, [100], 0.1, X, y_train, n, d, Xtest, y_test, t, nLabels, yExpanded, 5)
```

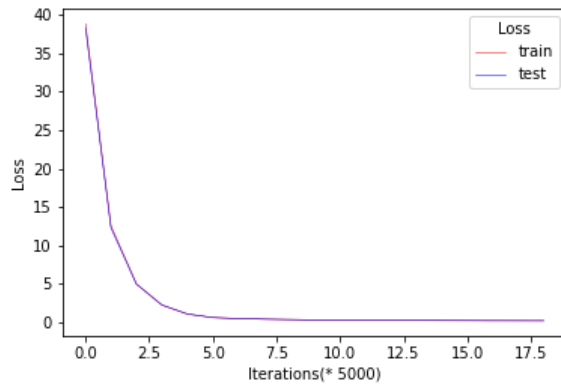
```
Train iteration = 0, training error = 0.941617, test error = 0.943400
Train iteration = 5000, training error = 0.163983, test error = 0.157100
Train iteration = 10000, training error = 0.144950, test error = 0.133700
Train iteration = 15000, training error = 0.172933, test error = 0.170700
Train iteration = 20000, training error = 0.138617, test error = 0.133500
Train iteration = 25000, training error = 0.132800, test error = 0.125800
Train iteration = 30000, training error = 0.124000, test error = 0.116900
Train iteration = 35000, training error = 0.153317, test error = 0.152600
Train iteration = 40000, training error = 0.128050, test error = 0.119300
Train iteration = 45000, training error = 0.125850, test error = 0.125500
Train iteration = 50000, training error = 0.134350, test error = 0.129300
Train iteration = 55000, training error = 0.130850, test error = 0.127500
Train iteration = 60000, training error = 0.119050, test error = 0.112800
Train iteration = 65000, training error = 0.122150, test error = 0.116200
Train iteration = 70000, training error = 0.117150, test error = 0.114100
Train iteration = 75000, training error = 0.110333, test error = 0.107900
Train iteration = 80000, training error = 0.115533, test error = 0.112700
Train iteration = 85000, training error = 0.106467, test error = 0.102800
Train iteration = 90000, training error = 0.105033, test error = 0.100600
Train iteration = 95000, training error = 0.102817, test error = 0.098600
Training error with final model = 0.101217
Test error with final model = 0.097300
```

```
In [16]: ▶ loss1, loss2, test = para(0.001, [100], 0.01, X, y_train, n, d, Xtest, y_test, t, nLabels, yExpanded, 6)
```

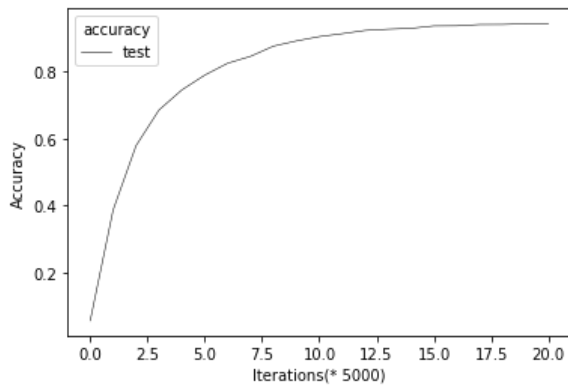
```
Train iteration = 0, training error = 0.941617, test error = 0.943400
Train iteration = 5000, training error = 0.625883, test error = 0.613000
Train iteration = 10000, training error = 0.432150, test error = 0.421300
Train iteration = 15000, training error = 0.325700, test error = 0.314900
Train iteration = 20000, training error = 0.256183, test error = 0.254900
Train iteration = 25000, training error = 0.210333, test error = 0.210700
Train iteration = 30000, training error = 0.173817, test error = 0.175300
Train iteration = 35000, training error = 0.149717, test error = 0.154600
Train iteration = 40000, training error = 0.124683, test error = 0.124200
Train iteration = 45000, training error = 0.103650, test error = 0.108700
Train iteration = 50000, training error = 0.095117, test error = 0.095800
Train iteration = 55000, training error = 0.082033, test error = 0.087100
Train iteration = 60000, training error = 0.075233, test error = 0.077400
Train iteration = 65000, training error = 0.070083, test error = 0.073700
Train iteration = 70000, training error = 0.067467, test error = 0.071100
Train iteration = 75000, training error = 0.062050, test error = 0.064000
Train iteration = 80000, training error = 0.060633, test error = 0.063600
Train iteration = 85000, training error = 0.057100, test error = 0.059800
Train iteration = 90000, training error = 0.056817, test error = 0.059600
Train iteration = 95000, training error = 0.053217, test error = 0.057700
Training error with final model = 0.052800
Test error with final model = 0.056500
```

```
In [17]: ▶ loss1 = np.array(loss1)
loss2 = np.array(loss2)
test = np.array(test)
```

```
In [18]: x = np.arange(19)
plt.plot(x, loss1, color="red", label="train",linewidth=0.5)
plt.plot(x, loss2, color="blue", label="test",linewidth=0.5)
plt.legend(title="Loss")
plt.xlabel('Iterations(* 5000)')
plt.ylabel('Loss')
plt.show()
```



```
In [19]: y = np.arange(21)
plt.plot(y, 1-test, color="black", label="test",linewidth=0.5)
plt.legend(title="accuracy")
plt.xlabel('Iterations(* 5000)')
plt.ylabel('Accuracy')
plt.show()
```



可以看出，本组参数在训练集的准确率有明显提升。

Loss 及 accuracy 曲线如上图，初始收敛较慢，但收敛结果更好。

最终测试错误率为0.056500.

以下，对初始步长（学习率）作调整测试。

```
In [20]: ▶ loss1, loss2, test = para(0.01, [100], 0.01, X, y_train, n, d, Xtest, y_test, t, nLabels, yExpanded, 7)
```

```
Train iteration = 0, training error = 0.941617, test error = 0.943400
Train iteration = 5000, training error = 0.312133, test error = 0.311500
Train iteration = 10000, training error = 0.281550, test error = 0.263700
Train iteration = 15000, training error = 0.298233, test error = 0.297400
Train iteration = 20000, training error = 0.327200, test error = 0.316400
Train iteration = 25000, training error = 0.260167, test error = 0.255800
Train iteration = 30000, training error = 0.404417, test error = 0.391100
Train iteration = 35000, training error = 0.279950, test error = 0.279200
Train iteration = 40000, training error = 0.236367, test error = 0.221700
Train iteration = 45000, training error = 0.221333, test error = 0.215400
Train iteration = 50000, training error = 0.255833, test error = 0.233400
Train iteration = 55000, training error = 0.215367, test error = 0.207700
Train iteration = 60000, training error = 0.217450, test error = 0.214700
Train iteration = 65000, training error = 0.205967, test error = 0.203700
Train iteration = 70000, training error = 0.169233, test error = 0.168100
Train iteration = 75000, training error = 0.165783, test error = 0.158200
Train iteration = 80000, training error = 0.147483, test error = 0.141200
Train iteration = 85000, training error = 0.109883, test error = 0.106000
Train iteration = 90000, training error = 0.092283, test error = 0.093100
Train iteration = 95000, training error = 0.068700, test error = 0.073100
Training error with final model = 0.057117
Test error with final model = 0.061200
```

```
In [21]: ▶ loss1, loss2, test = para(0.0001, [100], 0.01, X, y_train, n, d, Xtest, y_test, t, nLabels, yExpanded, 8)
```

```
Train iteration = 0, training error = 0.941617, test error = 0.943400
Train iteration = 5000, training error = 0.924150, test error = 0.924100
Train iteration = 10000, training error = 0.903517, test error = 0.900200
Train iteration = 15000, training error = 0.872533, test error = 0.871200
Train iteration = 20000, training error = 0.836667, test error = 0.831500
Train iteration = 25000, training error = 0.792467, test error = 0.786500
Train iteration = 30000, training error = 0.755300, test error = 0.750600
Train iteration = 35000, training error = 0.724417, test error = 0.717500
Train iteration = 40000, training error = 0.694567, test error = 0.686800
Train iteration = 45000, training error = 0.667750, test error = 0.659300
Train iteration = 50000, training error = 0.644600, test error = 0.638300
Train iteration = 55000, training error = 0.623333, test error = 0.617000
Train iteration = 60000, training error = 0.605567, test error = 0.598400
Train iteration = 65000, training error = 0.591033, test error = 0.583600
Train iteration = 70000, training error = 0.578733, test error = 0.570500
Train iteration = 75000, training error = 0.567633, test error = 0.560800
Train iteration = 80000, training error = 0.559933, test error = 0.554400
Train iteration = 85000, training error = 0.554117, test error = 0.548600
Train iteration = 90000, training error = 0.549750, test error = 0.545500
Train iteration = 95000, training error = 0.547200, test error = 0.543500
Training error with final model = 0.546083
Test error with final model = 0.542300
```

经参数查找，表现较好的一组参数是

初始学习率为0.001，隐藏层大小为100，正则化强度0.01。

## 测试

导入模型，用经过参数查找的模型进行测试，输出分类精度。

```
In [22]: ▶ np.random.seed(1)
random.seed(1)

nHidden = [100]

w = np.loadtxt("weights_100 hidden_0.001000 step_0.010000 lambda.txt") # 导入模型
b = np.loadtxt("bias_100 hidden_0.001000 step_0.010000 lambda.txt")

yhat1 = predict(w, b, X, nHidden, nLabels) # 测试模型
print("Training error with final model = %f" % (sum(yhat1 != y_train) / n))

yhat2 = predict(w, b, Xtest, nHidden, nLabels)
print("Test error with final model = %f" % (sum(yhat2 != y_test) / t))

acc = 1 - (sum(yhat2 != y_test) / t)
print("\nTest accuracy with final model = %f" % acc) # 测试精度
```

Training error with final model = 0.052800

Test error with final model = 0.056500

Test accuracy with final model = 0.943500

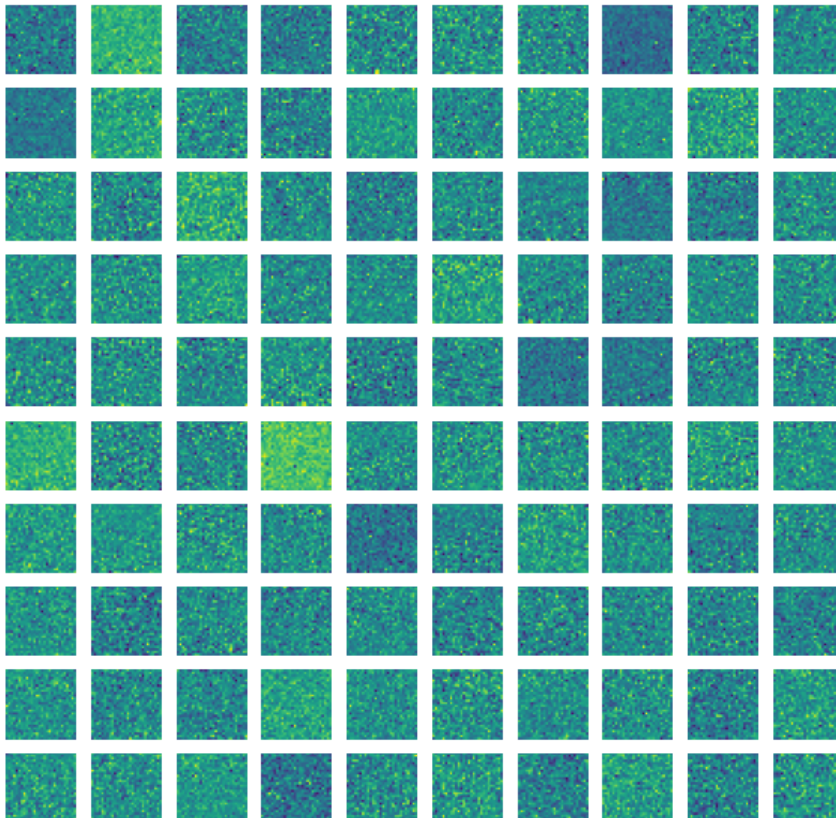
```
In [23]: ▶ import matplotlib.pyplot as plt

w = np.loadtxt("weights_100 hidden_0.001000 step_0.010000 lambda.txt")
w1 = w[:78400].reshape(100, 28, 28)
w2 = w[78400:].reshape(10, 10, 10)
```

## 网络参数可视化

对最优模型的参数进行可视化操作。

```
In [24]: ▶ plt.figure(figsize=(10, 10))
for x in range(100):
    plt.subplot(10, 10, x + 1)
    plt.imshow(w1[x, :, :])
    plt.axis("off")
```





```
In [25]: ▶ plt.figure(figsize=(2, 5))
for x in range(10):
    plt.subplot(2, 5, x + 1)
    plt.imshow(w2[x, :, :])
    plt.axis("off")
```

