

## Integral domains      12. (with no zero divisors)

Factoring in  $\mathbb{R}$ .

Why factorization useful?

Ex:  $\sqrt{2}$  irrational

Pf: If  $\sqrt{2} = \frac{p}{q}$ .       $\underbrace{(p \cdot q)}_{\text{coprime.}} = 1.$        $p, q \text{ integers.}$   
 $\text{largest common divisor.}$

$$2q^2 = p^2. \quad 2 \text{ prime.}$$

$$2 \mid p, \quad p = 2k.$$

$$q^2 = 4k^2 \Rightarrow 2 \mid q, \quad (\text{contradiction}).$$

$\mathbb{Z}, (p)$  prime give all. the maximal ideals.  
prime.

Terminology:

$$I = u u^{-1}.$$

①  $u$  is a unit  $(\Leftrightarrow (u) = (1) = R.$  Unit ideal.)

②  $a$  divides  $b$ , i.e.  $b = ac$  for some  $c$ .

$$a, b \neq 0 \quad (\Leftrightarrow (b) \subset (a)).$$

③  $a$  is a proper divisor of  $b$ .

i.e.  $b = ac$ , neither " $a$ " nor " $c$ " is  
 $a, b \neq 0$   
a unit.

( $\Leftarrow$ )  $(b) \subsetneq (a) \subsetneq (1)$

$c$  is not  $a$  not a unit.  
a unit.

$(b) = (a)$  means  $b = ac$ . a.s.  $c, d \in R$

$$a = b \cdot d.$$

$$b = b \cdot cd \Rightarrow cd = 1.$$

④  $a, b \neq 0$ .  $a \cdot b$  associates  $\Leftrightarrow (a) = (b)$ .

i.e.  $a = bc$

for some unit  $c$ .

⑤  $a \neq 0$ ,  $a$  irreducible if  $a$  is not a unit.  
 $a$  has no proper divisor.

( $\Leftarrow$ )  $(a) \subsetneq (1)$

No principal ideal  $(c)$

s.t.  $(a) \subsetneq (c) \subsetneq (1)$

$(a)$   $\neq (1)$  and  $(a)$  is maximal (longer inclusion)  
in principal ideals.

( $\theta$ )  $p$  is a prime element (not a unit)

if  $p$  divides  $ab$ , then  $p$  divides  
 $a$  or  $b$

( $\Leftarrow$ )  $ab \in (p) \Rightarrow a \in (p)$  or  $b \in (p)$

( $\Leftarrow$ )  $R/(p)$  is an integral domain.

( $\Leftarrow$ )  $(p)$  is prime ideal.

---

Defn (PID) Principal ideal domain  $R$ .

every ideal of  $R$  is a principal ideal ( $(a)$ )

Ex:

Recall that we proved  $\mathbb{Z}$ ,  $F[x]$  (where  $F$  field)  
are PID. I.I. deg.  $F$  field

we used DVR.

Defn : Euclidean domain  $R$ .

$R$  is an integral domain with size function  $\Gamma : R \setminus \{0\} \rightarrow \mathbb{Z}_{\geq 0}$ . Such that  $\forall a, b \in R, b \neq 0$ ,

$\exists q, r \in R$ , s.t.  $a = bq + r$   
 $r = 0$  or  $\Gamma(r) < \Gamma(b)$ .

Ex:  $\mathbb{Z}$ ,  $\Gamma(a) = |a|$ .

$F(x)$ ,  $F$  field.

$\Gamma(f) = \text{degree of } f(x)$

---

Thm: Euclidean domain is PID

Pf:  $I \neq \{0\}$ . ideal of  $R$ , (Euclidean domain)

Consider  $\{r(r) \mid r \in \mathbb{Z}, r \neq 0\}$ . has  
 a minimal value achieved by  $r(a)$ ,  $a \in \mathbb{Z}$ .  
 $\forall b \in \mathbb{Z}, b = aq + r$ .

(1)  $r = 0, b = aq$ .

(2)  $r \neq 0, r(r) < r(a)$

*contradiction.*

$$r = \frac{b - aq}{\underset{\mathbb{Z}}{\cancel{q}}} \in \mathbb{Z}.$$

Ex:  $\mathbb{Z}[i] = \{a+bi \mid a, b \in \mathbb{Z}\}$ .  $i^2 = -1$ .

$$r(a+bi) = |a|^2 + |b|^2 = |a+bi|^2.$$

Let  $z_1 = a+bi \neq 0, a \neq 0, b \neq 0$ .  
 $z_2 = c+di$

$$\underline{z_1 = z_1 \cdot q + r}$$

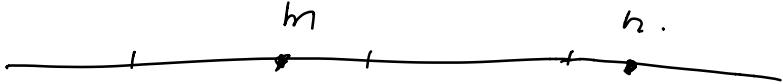
$(q$  should be "close" to  $\frac{z_2}{z_1})$

$\frac{z_2}{z_1}$  is a complex number

$$\frac{z_2}{z_1} = m + ni, \quad m, n \in \mathbb{Q}.$$

because  $\frac{z_2}{z_1} = (c+di) \cdot \frac{a-bi}{a^2+b^2}$ .

Choose  $m_0, n_0 \in \mathbb{Z}$  such that  $|m_0 - m| \leq \frac{1}{2}$ ,  
 $|n_0 - n| \leq \frac{1}{2}$ .



$$q = m_0 + n_0 i.$$

$$q - \frac{z_2}{z_1} = (m_0 - m) + (n_0 - n)i.$$

$$\left| q - \frac{z_2}{z_1} \right|^2 = (m_0 - m)^2 + (n_0 - n)^2 \leq \frac{1}{4} + \frac{1}{4} = \frac{1}{2}.$$

$\Rightarrow r \in \mathbb{Z}(i).$

$$\begin{aligned} |z_2 - q z_1|^2 &= \left| z_1 \left( \frac{z_2}{z_1} - q \right) \right|^2 \\ &= |z_1|^2 \cdot \left| \frac{z_2}{z_1} - q \right|^2 < |z_1|^2. \end{aligned}$$

$$z_2 = qz_1 + r, \quad \sigma(r) < \sigma(z_1)$$

$\mathbb{Z}[i]$  is PID

Defn (UFD) uniquely factorization domain.

① Factoring terminates.

$a \neq 0$ ,  $a$  irreducible or not irreducible.

if not.  $a = a_1 b_1$ ,  $a_1, b_1$  not units.

$$a_1 = c_1 d_1, \quad b_1 = c_2 d_2 \dots$$

After finite steps

$$a = a_1 a_2 a_3 \dots a_n.$$

$a_i$  are irreducible.

② If  $a = p_1 p_2 \dots p_m$   $p_i$  irreducible.

$$= q_1 q_2 \dots q_n \quad q_i \text{ irreducible.}$$

The irreducible factorization is unique

iff  $m = n$  and after rearranging

$q_1 \dots q_n$  suitably,  $q_i$  is an associate  
of  $p_i$ , i.e.  $q_i = p_i u_i$ ,  $u_i$  unit.

Example:  $10 = 2 \cdot 5$  — 1 unit in  $\mathbb{Z}$   
 $= (-5)(-2)$   
 $= (-2) \cdot (-5)$

$$\mathbb{Z}[i], \quad 5 = (1+2i)(1-2i)$$

$$= (2+i)(2-i)$$

$(2+i)$  and  $(1-2i)$  are associates.

$$(2+i)i = (1-2i)$$

$$i(i^3) = 1 \quad i \text{ is a unit.}$$

Goal: Euclidean domain  $\Rightarrow$  PID  $\stackrel{\text{then}}{\Rightarrow}$  UFD.

Theorem: If UFD,  $R[x]$  also UFD.

$\times$  Thm 1:

Lemma 1: If  $R$  integral domain, any prime element is irreducible.

Pf:  $p$  prime element. if  $p \nmid ab$ . then  
 $p \mid a$  or  $p \mid b$

if  $p = ab$ .  $\Rightarrow p \mid ab$ , then

$p \mid a$  or  $p \mid b$ .

assume  $p \mid a$ ,  $a = p \cdot c$ .

$p = p \cdot c \cdot b \Rightarrow cb = 1$ .  $b$  is a unit.

So  $a$  is not a proper divisor.

---

Lemma 2: If  $R$  is PID, then every irreducible element is a prime element.

Pf:  $p$  irreducible  $\Rightarrow (p)$  is maximal among principal ideals

$\Rightarrow (p)$  is maximal ideal.

$\Rightarrow R/(p)$  is a field

$\Rightarrow (p)$  is a prime ideal.

Pf:  $R/(p)$   $\xrightarrow[p \text{ irreducible prime element.}]{} R/(p)$  is a field.

Thm 1: i) Suppose factoring terminates in  $R$ , then  $R$  is UFD iff every irreducible element is a prime element.

ii) PID is UFD.

Pf: 1. "  $\Leftarrow$  "  $a = p_1 p_2 \dots p_m = q_1 q_2 \dots q_n$

Assume  $m \leq n$ , induction on  $n$ .

$$n=1, \quad a = p_1 = q_1$$

$n \geq 2$ ,  $q_1$  irreducible  $\Rightarrow q_1$  prime

$$\Rightarrow q_1(q_2 \cdots q_n) = p_1 \cdots p_m.$$

$$q_1 \mid P_1(p_2 \cdots p_m), \text{ and } q_1 \text{ prime}$$

$$\Rightarrow q_1 \text{ divides } p_i.$$

We can assume  $q_1$  divides  $p_i$ ,

and since  $p_i$  is irreducible,  $q_1$  is a unit  
or associates with  $p_i$ .

$$p_i, q_1 \xrightarrow{\text{are}} \text{associates}. \quad p_i = q_1 u_i.$$

$$a = p_1 \cdots p_m = q_1 u_i p_2 \cdots p_m$$

$$= q_1 q_2 \cdots q_n.$$

$$(u_i p_2 \cdots p_m) = q_2 \cdots q_n.$$

Induction on  $n \Rightarrow$  factorization is unique.

" $\Rightarrow$ "  $P$  irreducible.

If  $p = ab = \underline{p_1 \cdots p_m q_1 \cdots q_n}$ .

$a = p_1 \cdots p_m$  irreducible factorizations.

$b = q_1 \cdots q_n$

$m+n=1$ .  $a$  or  $b$  must be unit.

ii). We only need to prove factoring process terminates in PID.

If for some  $a_0 = a_1, b_1, \dots$

we have an infinite chain of factoring process

We get a chain of ideals.

$(a_0) \subsetneq (a_1) \subsetneq (a_2) \dots$

Consider  $\bigcup_{i=0}^{+\infty} (a_i) = I$ ,  $I$  is an ideal.

$I = (a)$ .  $a \in (a_n)$ . Then  $(a) \subset (a_n)$ .

$I \subset (\alpha_s)$ .  $(\alpha_s) = (\alpha_{s+1}) \dots$

PID  $\Rightarrow$  UFD.

---

Abn Ex:  $\mathbb{Z}[\sqrt{-5}] = \{m+n\sqrt{-5} \mid m, n \in \mathbb{Z}\}$   
subring of  $\mathbb{C}$   
is a UFD.

$$6 = 2 \cdot 3 = (1 + \sqrt{-5})(1 - \sqrt{-5}).$$

(aim:  $2, 3, 1 + \sqrt{-5}, 1 - \sqrt{-5}$  are irreducible.  
and the factorizations are different.)

---

Determine units in  $\mathbb{Z}[\sqrt{-5}]$ .

Trick  $| \cdot |^2$ . If  $z = m+n\sqrt{-5}$  is a unit.

$$z \cdot w = 1, \quad w = a+b\sqrt{-5}.$$

$$|z \cdot w|^2 = 1. \quad (m^2 + 5n^2)(a^2 + 5b^2) = 1.$$

$$m^2 + 5n^2 = 1, \Rightarrow n=0, m=\pm 1.$$

$\Rightarrow$  units in  $\mathbb{Z}[\sqrt{-5}]$  are  $\pm 1$ .

2 irreducible because.

$$z = t \cdot w, \quad t = m + n\sqrt{-5}$$

$$w = a + b\sqrt{-5}$$

$$z^2 = |t|^2 \cdot |w|^2 \Rightarrow x = \underbrace{(m^2 + 5n^2)}_{\text{units}} \underbrace{(a^2 + 5b^2)}_{\text{units}}$$

$$m^2 + 5n^2 = 1, 2, x.$$

$\Downarrow$

$$n=0, \quad m^2 = 1, \text{ or } x.$$

$$m = \pm 1, \text{ or } \pm 2.$$

$\Downarrow$                      $\perp$   
units                    associates to 2.

3 irreducible.  $1 + \sqrt{-5}, \quad 1 - \sqrt{-5}$  irreducible.

Factorizations are different.

Theorem 2:  $R, R[x]$   
 $\text{UFD} \Rightarrow \text{UFD}$