

## APPENDIX

### A. Proof of Theorem 4

*Proof.* This can be seen from Figure 9. Let us first define  $\overline{N}_P^*(v) = \overline{N}_P(v) - \{v\}$ , so  $|\overline{N}_P^*(v)| \leq k - 1$ . In Case (i) where  $(u, v) \notin E$ , any vertex  $w \in P$  can only fall in the following 3 scenarios: (1)  $w \in \overline{N}_P^*(u)$ , (2)  $w \in \overline{N}_P^*(v)$ , and (3)  $w \in N_P(u) \cap N_P(v)$ . Note that  $w$  may be in both (1) and (2). So we have:

$$\begin{aligned} |P| &= |N_P(u) \cap N_P(v)| + |\overline{N}_P^*(u) \cup \overline{N}_P^*(v)| \\ &\leq |N_P(u) \cap N_P(v)| + |\overline{N}_P^*(u)| + |\overline{N}_P^*(v)| \\ &\leq |N_P(u) \cap N_P(v)| + 2(k - 1) \\ &= |N_P(u) \cap N_P(v)| + 2k - 2, \end{aligned}$$

so  $|N_P(u) \cap N_P(v)| \geq |P| - 2k + 2 \geq q - 2k + 2$ .

In Case (ii) where  $(u, v) \in E$ , any vertex  $w \in P$  can only be in one of the following 4 scenarios: (1)  $w = u$ , (2)  $w = v$ , (3)  $w \in N_P(u) \cap N_P(v)$ , and (4)  $w \in \overline{N}_P(u) \cup \overline{N}_P(v)$ , so:

$$\begin{aligned} |P| &= 2 + |N_P(u) \cap N_P(v)| + |\overline{N}_P^*(u) \cup \overline{N}_P^*(v)| \\ &\leq 2 + |N_P(u) \cap N_P(v)| + |\overline{N}_P^*(u)| + |\overline{N}_P^*(v)| \\ &\leq 2 + |N_P(u) \cap N_P(v)| + 2(k - 1) \\ &= |N_P(u) \cap N_P(v)| + 2k, \end{aligned}$$

so  $|N_P(u) \cap N_P(v)| \geq |P| - 2k \geq q - 2k$ .  $\square$

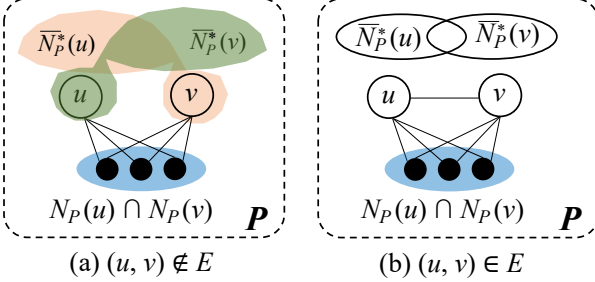


Fig. 9. Second-Order Pruning

### B. Proof of Theorem 6

*Proof.* We prove by contradiction. Assume that there exists  $K' = P_m \cap N_C(v_p)$  with  $|K'| > |K|$ . Also, let us denote by  $\psi = \{w_1, w_2, \dots, w_\ell\}$  the vertex ordering of  $w \in N_C(v_p)$  in Line 5 to create  $K$ , as illustrated in Figure 10.

Specifically, Figure 10 top illustrates the execution flow of Lines 4-8 in Algorithm 3, where  $w_1, w_3$  and  $w_4$  select their non-neighbor  $u_1$  as  $u_m$  in Line 5,  $w_2$  and  $w_5$  select  $u_2$  as  $u_m$ , and  $w_6$  selects  $u_3$  as  $u_m$ . We define  $\{w_1, w_3, w_4\}$  as  $u_1$ -group,  $\{w_2, w_5\}$  as  $u_2$ -group, and  $\{w_6\}$  as  $u_3$ -group.

Let us consider the update of  $\text{sup}_P(u_1)$ , whose initial value computed by Line 2 is assumed to be 2. When processing  $w_1$ , Line 7 decrements  $\text{sup}_P(u_1)$  as 1. Then,  $w_3$  decrements it as 0. When processing  $w_4$ , since Line 4 already finds that  $\text{sup}_P(u_1) = 0$ ,  $w_4$  is excluded from  $K$  (i.e., Line 8 does not add it to  $ub$ ). In a similar spirit,  $w_5 \notin K$  since  $\text{sup}_P(u_2) = 0$ , and  $w_6 \notin K$  since  $\text{sup}_P(u_3) = 0$ .

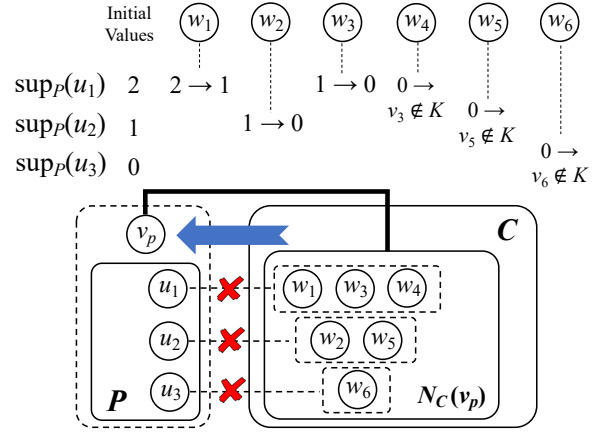


Fig. 10. Illustration of the Proof of Theorem 6

Note that since  $w_1, w_3$  and  $w_4$  cannot co-exist in a  $k$ -plex containing  $P \cup \{v_p\}$ , they cannot all belong to  $K'$ . In other words, if  $w_4 \notin K$  belongs to  $K'$ , then at least one of  $w_1$  and  $w_3$  is not in  $K'$ . In a similar spirit, if  $w_5 \notin K$  belongs to  $K'$ , then  $w_2 \notin K'$ . As for those  $u_i$  whose initial value of  $\text{sup}_P(u_i)$  is 0, we can show that any element in  $u_i$ -group can belong to neither  $K$  nor  $K'$ . See  $u_3$ -group =  $\{w_6\}$  in Figure 10 for example. This is because if  $w$  is added to  $P$ , then  $\overline{d}_P(u_i) > k$  so  $P$  cannot be a  $k$ -plex.  $\square$

In general, in each  $u_i$ -group where  $\text{sup}_P(u_i) \neq 0$ , if a vertex  $w \in K' - K$  exists (e.g.,  $w_4$  in Figure 10), then there must exist a different vertex  $w' \in K - K'$  in the  $u_i$ -group (e.g.,  $w_1$  or  $w_3$ ). This implies that  $|K - K'| \geq |K' - K|$ .

Therefore, we have

$$\begin{aligned} |K| &= |K - K'| + |K \cap K'| \\ &\geq |K' - K| + |K \cap K'| = |K'|, \end{aligned}$$

which contradicts with our assumption  $|K'| > |K|$ .  $\square$

### C. Proof of Lemma 1

*Proof.* To implement Algorithm 4, given a seed graph  $G_i = (V_i, E_i)$ , we maintain  $d_P(v)$  for every  $v \in V_i$ . These degrees  $d_P(\cdot)$  are incrementally updated; for example, when a vertex  $v_p$  is moved into  $P$ , we will increment  $\overline{d}_P(v)$  for every  $v \in N_{G_i}(v_p)$ . As a result, we can compute  $\overline{d}_P(v) = |P| - d_P(v)$  and  $\text{sup}_P(v) = k - \overline{d}_P(v)$  in  $O(1)$  time.

Moreover, we materialize  $\text{sup}_P(u)$  for each vertex  $u \in P$  in Line 2 so that in Line 5 we can access them directly to compute  $u_m$ , and Line 7 can be updated in  $O(1)$  time.

Since  $P$  is a  $k$ -plex of  $G_i$ ,  $|P|$  is bounded by  $O(D + k)$  by Theorem 5. Thus, Line 2 takes  $O(D + k)$  time.

Also,  $|N_C(v_p)|$  is bounded by  $O(D)$  since  $N_C(v_p) \subseteq C_S = N_{G_i}(v_i)$ , so the for-loop in Line 4 is executed for  $O(D)$  iterations. In each iteration, Line 5 takes  $O(k)$  time since  $|\overline{N}_P(w)| \leq k$ , so the entire for-loop in Line 4-8 takes  $O(kD)$ .

Putting them together, the time complexity of Algorithm 4 is  $O(D + k) + O(kD) = O(k + (k + 1)D) \approx O(D)$  as  $k$  is usually very small constant.  $\square$

#### D. Proof of Lemma 2

*Proof.* Since  $\eta = \{v_1, \dots, v_n\}$  is the degeneracy ordering of  $V$  and  $V_i \subseteq \{v_i, v_{i+1}, \dots, v_n\}$ , we have  $d_{G_i}(v_i) = |N_{G_i}(v_i)| \leq D$ .

To show that  $|N_{G_i}^2(v_i)| = O\left(\frac{D\Delta}{q-2k+2}\right)$ , consider  $E^* = \{(v, u) \mid v \in N_{G_i}(v_i) \wedge u \in N_{G_i}^2(v_i)\}$ , which are those edges between  $N_{G_i}(v_i)$  and  $N_{G_i}^2(v_i)$  in Figure 2. Since  $|N_{G_i}(v_i)| \leq D$ , and each  $v \in N_{G_i}(v_i)$  has at most  $\Delta$  neighbors in  $N_{G_i}^2(v_i)$ , we have  $|E^*| \leq D\Delta$ . Also, let us denote by  $E'$  all those edges  $(v, u) \in E^*$  that are valid (i.e.,  $v$  and  $u$  can appear in a  $k$ -plex  $P$  in  $G_i$  with  $|P| \geq q$ ), then  $|E'| \leq |E^*| \leq D\Delta$ .

Recall from Corollary 1 that if  $u \in N_{G_i}^2(v_i)$  belongs to a valid  $k$ -plex in  $G_i$ , then  $|N_{G_i}(u) \cap N_{G_i}(v_i)| \geq q - 2k + 2$ . This means that each valid  $u \in N_{G_i}^2(v_i)$  share with  $v_i$  at least  $(q - 2k + 2)$  common neighbors that are in  $N_{G_i}(v_i)$  (c.f., Figure 2), or equivalently,  $u$  is adjacent to (or, uses) at least  $(q - 2k + 2)$  edges  $(v, u)$  in  $E'$ . Therefore, the number of valid  $u \in N_{G_i}^2(v_i)$  is bounded by  $\frac{|E'|}{q-2k+2} \leq \frac{D\Delta}{q-2k+2}$ .

It may occur that  $\frac{D\Delta}{q-2k+2} > n$ , in which case we use  $|N_{G_i}^2(v_i)| = O(n)$  instead. Combining the above two cases, we have  $|N_{G_i}^2(v_i)| = O(r_1)$  where  $r_1 = \min\left\{\frac{D\Delta}{q-2k+2}, n\right\}$ .

Finally, the number of subsets  $S \subseteq N_{G_i}^2(v_i)$  ( $|S| \leq k - 1$ ) (c.f., Line 7 of Algorithm 2) is bounded by  $C_{r_1}^0 + C_{r_1}^1 + \dots + C_{r_1}^{k-1} \approx O(r_1^k)$ , since  $k$  is a small constant.  $\square$

#### E. Proof of Theorem 8

*Proof.* We just showed that the recursive body of Branch(.) takes time  $O(|P|(|C| + |X|))$ . Let us first bound  $|X|$ . Recall Algorithm 2, where by Line 9, vertices of  $X \subseteq X_S$  are from either  $V'_i$  or  $(N_{G_i}^2(v_i) - S)$ . Moreover, by Line 5, vertices of  $V'_i$  are from either  $N_G(v_i)$  or  $N_G^2(v_i)$ . Since  $(N_{G_i}^2(v_i) - S) \subseteq N_G^2(v_i)$ , vertices of  $X$  are from either  $N_G(v_i)$  or  $N_G^2(v_i)$ . Let us denote  $X_1 = X \cap N_G(v_i)$  and  $X_2 = X \cap N_G^2(v_i)$ .

We first bound  $X_2$ . Consider  $E^* = \{(u, v) \mid u \in N_G(v_i) \wedge v \in X_2\}$ . Since  $|N_G(v_i)| \leq \Delta$ , and each  $v \in N_G(v_i)$  has at most  $\Delta$  neighbors in  $N_G^2(v_i)$ , we have  $|E^*| \leq \Delta^2$ . Recall from Theorem 4 that if  $v \in X_2$  belongs to  $k$ -plex  $P$  with  $|P| \geq q$ , then  $|N_G(v) \cap N_G(v_i)| \geq q - 2k + 2$ . This means that each  $v \in X_2$  share with  $v_i$  at least  $(q - 2k + 2)$  common neighbors that are in  $N_G(v_i)$ , or equivalently,  $v$  is adjacent to (or, uses) at least  $(q - 2k + 2)$  edges  $(u, v)$  in  $E^*$ . Therefore, the number of  $v \in X_2$  is bounded by  $\frac{|E^*|}{q-2k+2} \leq \frac{\Delta^2}{q-2k+2}$ .

As for  $X_1 \subseteq N_G(v_i)$ , we have  $|X_1| \leq \Delta$ . In general, we do not set  $q$  to be too large in reality, or there would be no results, so  $(q - 2k + 2)$  is often much smaller than  $\Delta$ . Therefore,  $|X| = |X_1| + |X_2| = O\left(\frac{\Delta^2}{q-2k+2} + \Delta\right) \approx O\left(\frac{\Delta^2}{q-2k+2}\right)$ .

Since  $|P|$  is bounded by  $O(D + k) \approx O(D)$  by Theorem 5, and  $C \subseteq C_S$  so  $|C| \leq D$ , the recursive body of Branch(.) takes  $O(|P|(|C| + |X|)) \approx O\left(D\left(D + \frac{\Delta^2}{q-2k+2}\right)\right) \approx O\left(\frac{D\Delta^2}{q-2k+2}\right)$  time. This is because  $\frac{\Delta^2}{q-2k+2} > \Delta \geq D$ .

It may occur that  $\frac{\Delta^2}{q-2k+2} > n$ , in which case we use  $|X| = O(n)$  instead, so the recursive body of Branch(.) takes  $O(|P|(|C| + |X|)) \approx O(D(D + n)) = O(nD)$  time.

Combining the above two cases, the recursive body of Branch(.) takes  $O(r_2)$  time where  $r_2 = \min\left\{\frac{D\Delta^2}{q-2k+2}, nD\right\}$ .

By Lemma 3, Branch( $G_i, k, q, P_S, C_S, X_S$ ) in Line 10 of Algorithm 2 recursively calls the body of Algorithm 4 for  $O(\gamma_k^D)$  times, so the total time is  $O(r_2\gamma_k^D)$ .

Finally, we have at most  $O(n)$  initial task groups (c.f., Line 3 of Algorithm 2), and by Lemma 2, each initial task group with seed vertex  $v_i$  generates  $O(r_1^k)$  sub-tasks that call Branch( $G_i, k, q, P_S, C_S, X_S$ ). So, the total time cost of Algorithm 2 is  $O(nr_1^k r_2 \gamma_k^D)$ .  $\square$

#### F. Proof of Theorem 10

*Proof.* Assume that  $u_1 \in N_{G_i}^2(v_i)$  and  $u_2 \in N_{G_i}(v_i)$  co-occur in a  $k$ -plex  $P$  with  $|P| \geq q$ . Let us assume  $u_1 \in S$  and  $P^+ = P_S \cup \{u_2\}$ , then  $P^+ \subseteq P$ . As the proof of Theorem 9 has shown, we have  $|P_S| \leq k$ , so  $|P^+| \leq k + 1$ .

Applying Eq (4) in Lemma 4 with  $P = P^+$ ,  $u = u_1$  and  $v = u_2$ , we require

$$|P^+| + \sup_{P^+}(u_1) + \sup_{P^+}(u_2) + |N_{C_S^-}(u_1) \cap N_{C_S^-}(u_2)| \geq q,$$

or equivalently (recall that  $|P^+| \leq k + 1$ ),

$$|N_{C_S^-}(u_1) \cap N_{C_S^-}(u_2)| \geq q - (k + 1) - \sup_{P^+}(u_1) - \sup_{P^+}(u_2).$$

If  $(u_1, u_2) \in E_i$ , then  $\sup_{P^+}(u_1) \leq k - 2$  since  $v_i \in P_S$  is a non-neighbor of  $u_1$  besides  $u_1$  itself in  $P_S$ , and  $\sup_{P^+}(u_2) \leq k - 1$  since  $u_2$  is a non-neighbor of itself in  $P_S$ . Thus,

$$\begin{aligned} |N_{C_S^-}(u_1) \cap N_{C_S^-}(u_2)| &\geq q - (k + 1) - \max\{k - 2, 0\} \\ &\quad - (k - 1) \\ &= q - 2k - \max\{k - 2, 0\} \end{aligned}$$

While if  $(u_1, u_2) \notin E_i$ , then  $\sup_{P^+}(u_1) \leq k - 3$  since  $v_i \in P_S$  is a non-neighbor of  $u_1$  besides  $u_1, u_2 \in P^+$ , and  $\sup_{P^+}(u_2) \leq k - 2$  since  $u_1, u_2 \subseteq P^+$  are the non-neighbors of  $u_2$ . Thus, we have

$$\begin{aligned} |N_{C_S^-}(u_1) \cap N_{C_S^-}(u_2)| &\geq q - (k + 1) - \max\{k - 2, 0\} \\ &\quad - \max\{k - 3, 0\} \\ &= q - k - \max\{k - 2, 0\} \\ &\quad - \max\{k - 2, 1\} \end{aligned}$$

This completes our proof of Theorem 10.  $\square$

#### G. Proof of Theorem 11

*Proof.* Assume that  $u_1, u_2 \in N_{G_i}(v_i)$  co-occur in a  $k$ -plex  $P$  with  $|P| \geq q$ . Let us define  $P^+ = P_S \cup \{u_1, u_2\}$ , then  $P^+ \subseteq P$ . As the proof of Theorem 9 has shown, we have  $|P_S| \leq k$ , so  $|P^+| \leq k + 2$ .

Applying Eq (4) in Lemma 4 with  $P = P^+$ ,  $u = u_1$  and  $v = u_2$ , we require

$$|P^+| + \sup_{P^+}(u_1) + \sup_{P^+}(u_2) + |N_{C_S^-}(u_1) \cap N_{C_S^-}(u_2)| \geq q,$$

or equivalently (recall that  $|P^+| \leq k + 2$ ),

$$|N_{C_S^-}(u_1) \cap N_{C_S^-}(u_2)| \geq q - (k + 2) - \sup_{P^+}(u_1) - \sup_{P^+}(u_2).$$

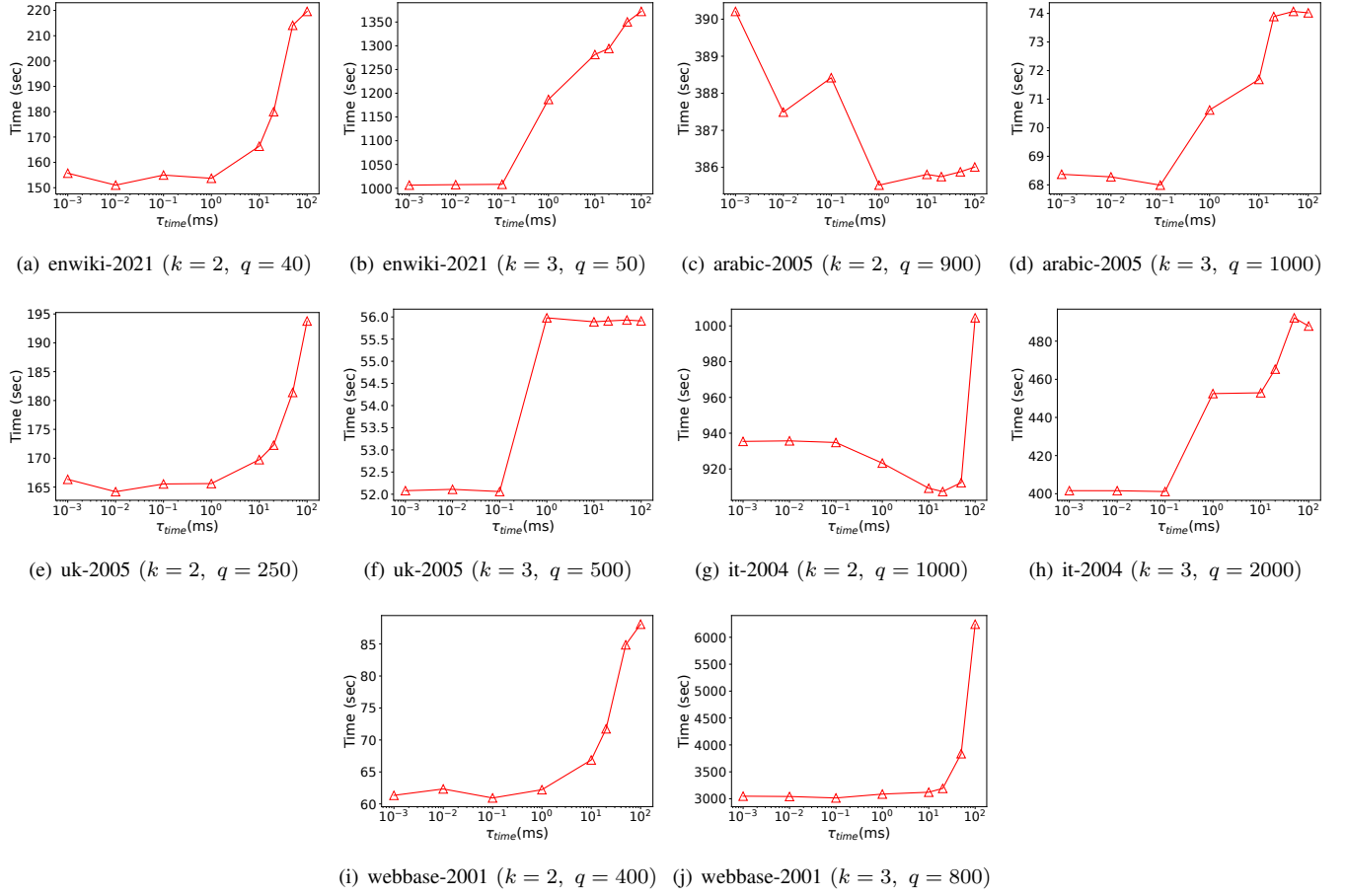


Fig. 11. The Running Time (sec) of Parallel Ours with Different  $\tau_{time}$  on Five Large Datasets

If  $(u_1, u_2) \in E_i$ , then  $\sup_{P^+}(u_1) \leq k-1$  (resp.  $\sup_{P^+}(u_2) \leq k-1$ ), since  $u_1$  (resp.  $u_2$ ) is a non-neighbor of itself in  $P^+$ . Thus,

$$|N_{C_S^-}(u_1) \cap N_{C_S^-}(u_2)| \geq q - (k+2) - 2 \cdot (k-1) = q - 3k.$$

While if  $(v_1, v_2) \notin E_i$ , then  $\sup_{P^+}(u_1) \leq k-2$  (resp.  $\sup_{P^+}(u_2) \leq k-2$ ), since  $u_1$  (resp.  $u_2$ ) is a non-neighbor of  $u_1, u_2 \in P^+$ . Thus,

$$\begin{aligned} |N_{C_S^-}(u_1) \cap N_{C_S^-}(u_2)| &\geq q - (k+2) - 2 \cdot \max\{k-2, 0\} \\ &= q - k - 2 \cdot \max\{k-1, 1\}. \end{aligned}$$

This completes our proof of Theorem 11.  $\square$

#### H. Effect of $\tau_{time}$

We vary  $\tau_{time}$  from  $10^{-3}$  to 100 and evaluate the running time of our parallel algorithm on five large datasets with the same parameters as Table III. The results are shown in Figure 11, where we can see that an inappropriate parameter  $\tau_{time}$  (e.g., one that is very long) can lead to a very slow performance. Note that without the timeout mechanism (as is the case in ListPlex and Ours), we are basically setting  $\tau_{time} = \infty$  so the running time is expected to be longer (e.g., than when  $\tau_{time} = 100$ ) due to poor load balancing.