Lab3

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1 Introduction

In this lab, we are going to implement ResNet-18 and ResNet-50 with and without pretrain. I will explain the details of my implementation in Section 2. In addition, experiment setups, the best accuracy results, and the confusion matrix evaluation are describe in Section 3.

2 Method

In this section, I will introduce the basic of building block of ResNet, follow with the model inputs loader DataLoader, the main ResNet architecture, and finally the evaluation of confusion matrix. The pretrained part of this lab is using models from torchvision and replace the final fully connected layer to output five categories. The following of this section describes the non-pretrained part.

2.1 Residual Block

The structure of a residual block in shown in Figure 1. The original weight layer $\mathcal{F}(x)$ are added with itself x, which resulted in

$$\mathcal{H}(x) = \mathcal{F}(x) + x = (\mathcal{F} + I)(x)$$

, and its gradient will become

$$\frac{\partial \mathcal{H}}{\partial x} = x \left(I + \frac{\partial \mathcal{F}}{\partial x} \right)$$

. Even if the gradient of \mathcal{F} vanish to 0, the gradient of the residual block \mathcal{H} still holds good property whose gradient is close to an identity matrix. Therefore, using the residual block is a solution of vanishing gradients.

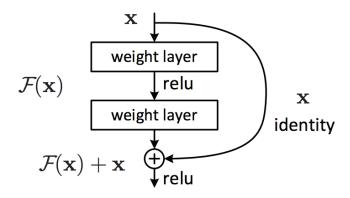


Figure 1: Residual Block

2.2 DataLoader

pytorch provides an interface (utils.data.DataLoader) to load data from utils.data.Dataset. The RetinopathyLoader not only needs to load images and labels, but also performs a series of transforms on the images. The image transforms could be defined using torchvision:

```
self.data_transform = {
        'train': transforms.Compose([
2
           transforms.RandomVerticalFlip(),
3
           transforms.RandomHorizontalFlip(),
4
           transforms.ToTensor(),
5
       ]),
       'test': transforms.Compose([
7
           transforms.ToTensor(),
       ]),
   }
10
```

During the training phase, images are augmented by random flipping; while in the training phase, the images only converts its format from (N, H, W, C) to (N, C, H, W) (transform.ToTensor()). In addition, in both phases images are normalized a constant (255) after these transforms.

2.3 ResNet

The overall structure in shown in Figure 2. Note that there are down sampling layers in conv3_1, conv4_1, and conv5_1 with stride 2. ResNet-18 uses BasicBlock as the residual block while ResNet-50 uses Bottlenck with

dimension expansion factor of 4 (ex: in $conv5_x$, $512 \times 4 = 2048$). Due to the similarity, the $conv2_x$ to $conv5_x$ could be written in ModuleList:

```
muls = [1] + [block.expansion] * 3
channels = [64, 64, 128, 256, 512]
strides = [1, 2, 2, 2]
self.convs = nn.ModuleList([
    get_conv(in_ * m, out_, layer, stride)
    for m, in_, out_, layer, stride in
        zip(muls, channels[:-1], channels[1:], layers, strides)
]
```

The output dimension is also related the block expansion factor:

```
self.flat_dim = 512 * block.expansion
self.classify = nn.Linear(in_features=self.flat_dim, out_features=5)
```

layer name	output size	18-layer	34-layer	50-layer	101-layer	152-layer
conv1	112×112	7×7, 64, stride 2				
	56×56	3×3 max pool, stride 2				
conv2_x		$\left[\begin{array}{c}3\times3,64\\3\times3,64\end{array}\right]\times2$	$\left[\begin{array}{c} 3\times3,64\\ 3\times3,64 \end{array}\right]\times3$	$ \begin{bmatrix} 1 \times 1, 64 \\ 3 \times 3, 64 \\ 1 \times 1, 256 \end{bmatrix} \times 3 $	$ \begin{bmatrix} 1 \times 1, 64 \\ 3 \times 3, 64 \\ 1 \times 1, 256 \end{bmatrix} \times 3 $	$ \begin{bmatrix} 1 \times 1, 64 \\ 3 \times 3, 64 \\ 1 \times 1, 256 \end{bmatrix} \times 3 $
conv3_x	28×28	$\left[\begin{array}{c} 3\times3, 128\\ 3\times3, 128 \end{array}\right] \times 2$	$ \left[\begin{array}{c} 3\times3, 128\\ 3\times3, 128 \end{array}\right] \times 4 $	$ \begin{bmatrix} 1 \times 1, 128 \\ 3 \times 3, 128 \\ 1 \times 1, 512 \end{bmatrix} \times 4 $	$ \begin{bmatrix} 1 \times 1, 128 \\ 3 \times 3, 128 \\ 1 \times 1, 512 \end{bmatrix} \times 4 $	$\begin{bmatrix} 1 \times 1, 128 \\ 3 \times 3, 128 \\ 1 \times 1, 512 \end{bmatrix} \times 8$
conv4_x	14×14	$\left[\begin{array}{c} 3\times3,256\\ 3\times3,256 \end{array}\right]\times2$	$ \begin{bmatrix} 3 \times 3, 256 \\ 3 \times 3, 256 \end{bmatrix} \times 6 $	$\begin{bmatrix} 1 \times 1, 256 \\ 3 \times 3, 256 \\ 1 \times 1, 1024 \end{bmatrix} \times 6$	$\begin{bmatrix} 1 \times 1, 256 \\ 3 \times 3, 256 \\ 1 \times 1, 1024 \end{bmatrix} \times 23$	$ \left[\begin{array}{c} 1 \times 1, 256 \\ 3 \times 3, 256 \\ 1 \times 1, 1024 \end{array}\right] \times 36 $
conv5_x	7×7	$\left[\begin{array}{c} 3\times3,512\\ 3\times3,512 \end{array}\right]\times2$	$ \begin{bmatrix} 3 \times 3, 512 \\ 3 \times 3, 512 \end{bmatrix} \times 3 $	$\begin{bmatrix} 1 \times 1, 512 \\ 3 \times 3, 512 \\ 1 \times 1, 2048 \end{bmatrix} \times 3$	$ \left[\begin{array}{c} 1 \times 1, 512 \\ 3 \times 3, 512 \\ 1 \times 1, 2048 \end{array}\right] \times 3 $	$ \begin{bmatrix} 1 \times 1, 512 \\ 3 \times 3, 512 \\ 1 \times 1, 2048 \end{bmatrix} \times 3 $
	1×1	average pool, 1000-d fc, softmax				
FLOPs		1.8×10^{9}	3.6×10^9	3.8×10^9	7.6×10^9	11.3×10 ⁹

Figure 2: ResNet Architecture

2.4 Confusion Matrix

The confusion matrix can be calculated during the evaluation with the package sklearn.

```
y_true = np.append(y_true, labels.cpu())
y_pred = np.append(y_pred, predicted.cpu())
```

After casting torch. Tensor to numpy.arrays, we simply needs to pass these two arrays into the function provided in the documentation of sklearn.

3 Result

In all experiments, I use the same setting: nn.CrossEntropyLoss as the loss function, and optim.SGD as the optimizer (with learning rate 1e-3, momentum 0.9 and weight decay 5e-4). The input batch size of ResNet-18 is 128 and ResNet-50 is 64 (limited by the memory).

Table 1 shows the best accuracy during testing.

	without-pretraining	with-pretraining
ResNet-18	73.54%	80.07%
ResNet-50	73.35%	81.14%

Table 1: (Best) Test Accuracy

The command to reproduce my experiments are: (with or without pretrained flag)

```
python lab3.py --net ResNet18 --batch_size 64 --weight_decay

→ 0.0005 --pretrained

python lab3.py --net ResNet50 --batch_size 128 --weight_decay

→ 0.0005 --pretrained
```

3.1 Comparison Figure

The comparison figure is shown in Figure 3. In both model without pretraining, both training and testing accuracy almost do not increase or decrease around 73%. In contrast, both pretrained models gradually increase their training and testing accuracy.

3.2 Confusion Matrix

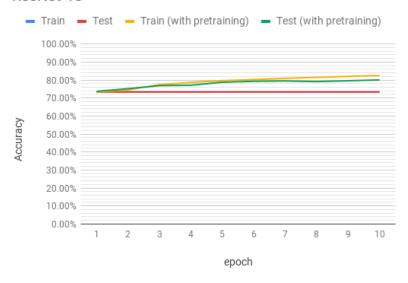
The confusion matrix in the final (10-th) epoch is shown in Figure 4. For both models without pretraining, it directly predicts class 0, and thus can explain the accuracy during training and testing, where class 0 has about 73% of samples shown in Figure 5. On the contrary, both pretrained models can predict the other classes more correctly, and therefore has a reasonable higher accuracy.

4 Discussion

In Figure 5, shows the problem of class imbalance. In addition, about 5% of input images are corrupted (no image, seriously distorted, etc.). Therefore,

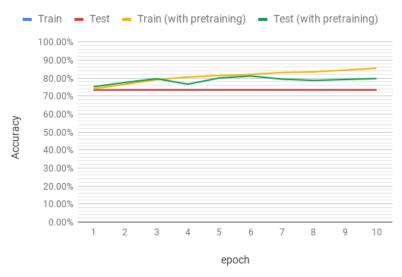
I think the key point to achieve higher accuracy is in the data augmentation. I think if I had more time, I would try more method to augment the input images.

ResNet-18



(a) ResNet-18 Accuracy

ResNet-50



(b) ResNet-50 Accuracy

Figure 3: Accuracy

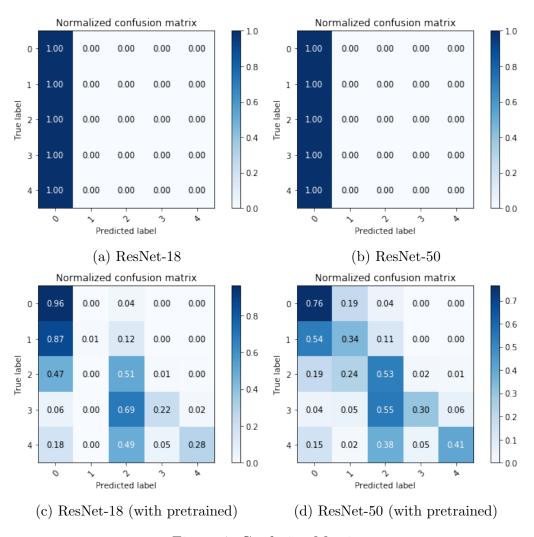


Figure 4: Confusion Matrix

Class Imbalance



Figure 5: Class Imbalance