### 2.4 Personalised Treatment Effects

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## Personalised therapeutics

- estimate effect of a treatment/intervention on an individual patient
- Tutorial goal:
  - Estimating the effect of a treatment on an individual
    - Will a given treatment work for an individual patient?
      - Age, weight, blood, pressure into observational data (offline)
  - Answering such questions from observational data is tricky
    - Missing counterfactual and confounding

#### **Observational data**

- Collected from actual clinical practice
  - Patient features X
  - o Treatment assignment W
  - o Outcome Y
- This is not randomised
  - Depends on clinical practice
  - Based on observable characteristics
  - Propensity function
- Potential outcomes framework (Neyman-Rubin)
  - X: Each patient i has features

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- Two potential outcomes:
  - Treated outcome: treated
  - Control outcome: not treated
    - Will only observe factual outcomes not the counterfactual outcomes
- Conditional average treatment effect (CATE)/ Individualised treatment effect (ITE)
  - o <a href="http://www.personal.ceu.hu/staff/Robert Lieli/cate.pdf">http://www.personal.ceu.hu/staff/Robert Lieli/cate.pdf</a>

# Causal effect challenges

- No labels not supervised
- A solution:
  - Modelling potential outcome regressions
  - Data from treated
- Challenges:
  - Confounding -> covariate shift
    - Training distribution != testing distribution
    - Solutions:
      - Domain-adversarial training
      - Importance weighting
      - Not focus

- Unobserved labels: can target outcomes but not treatment effect
- Potential outcomes framework
  - Main assumptions:
    - no unmeasured confounders (ignorability)
      - E.g. smoking is not recorded but treatment was assigned based on smoking status
    - Common support
      - Some randomness across treatment and not treatment
      - Treatment can be deterministically assigned

# How to model individualized treatment effects? - potential outcome regression

- https://arxiv.org/abs/2106.03765
- Indirect learners: PO regression for CATE estimation
  - o T-learner
  - Fit two separate regression surfaces using any ML method and only data of each treatment group, then use difference
- PO-sepcific regression heads
- T-learner
- TARnet
- Implicit inductive biases in existing indirect learners

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# **CATE** estimation

- Soft, Hard, and flexible approach
- Soft:
  - Generic indirect learner loss function > change regularization scheme

### **Evaluation**

- Semi-synthetic simulation studies
- <a href="https://datasets-benchmarks-proceedings.neurips.cc/paper/2021/hash/2a79ea27c279e471f4d180b08d62b00a-Abstract-round2.html">https://datasets-benchmarks-proceedings.neurips.cc/paper/2021/hash/2a79ea27c279e471f4d180b08d62b00a-Abstract-round2.html</a>

#### Meta-learner

- Meta-learning != Meta-learner
- Two approaches
  - Indirect approach
    - For CATE: T-learner/ S-learner/ Hybrid S-learner
  - Direct approach

### **Key takeaways:**

#### What's next?

Handling unobserved confounding?
<a href="https://proceedings.mlr.press/v119/bica20a.html">https://proceedings.mlr.press/v119/bica20a.html</a>
Survival outcomes

Treatment outcome: multiple and continuous Longitudinal data

### **Additional notes:**

- Nonparametric Estimation of Heterogeneous Treatment Effects: From Theory to Learning Algorithms
  - https://arxiv.org/abs/2101.10943
- On Inductive Biases for Heterogeneous Treatment Effect Estimation
  - https://arxiv.org/abs/2106.03765
- Metalearners for estimating heterogeneous treatment effects using machine learning
  - o https://www.pnas.org/doi/10.1073/pnas.1804597116
- Towards optimal doubly robust estimation of heterogeneous causal effects
  - https://arxiv.org/abs/2004.14497
- Estimating individual treatment effect: generalization bounds and algorithms
  - https://arxiv.org/abs/1606.03976?context=stat
- CATENets: <a href="https://github.com/AliciaCurth/CATENets">https://github.com/AliciaCurth/CATENets</a>
- Learn more:
  - https://www.vanderschaar-lab.com/individualized-treatment-effect-inference/