

Math 221: LINEAR ALGEBRA

Chapter 6. Vector Spaces

§6-3. Linear Independence and Dimension

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Emory University, 2021 Spring

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¹Slides are adapted from those by Karen Seyffarth from University of Calgary.

Linear Algebra with Applications

Lecture Notes

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The Fundamental Theorem

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Linear Independence

Definition

Let V be a vector space and $S = \{\mathbf{u}_1, \mathbf{u}_2, \dots, \mathbf{u}_k\}$ a subset of V . The set S is **linearly independent** or simply **independent** if the following condition holds:

$$s_1 \mathbf{u}_1 + s_2 \mathbf{u}_2 + \cdots + s_k \mathbf{u}_k = \mathbf{0} \quad \Rightarrow \quad s_1 = s_2 = \cdots = s_k = 0$$

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i.e., the only linear combination that vanishes is the trivial one.

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i.e., the only linear combination that vanishes is the trivial one. If S is not linearly independent, then S is said to be **dependent**.

Example

The set $S = \left\{ \begin{bmatrix} -1 \\ 0 \\ 1 \end{bmatrix}, \begin{bmatrix} 1 \\ 1 \\ 1 \end{bmatrix}, \begin{bmatrix} 1 \\ 3 \\ 5 \end{bmatrix} \right\}$ is a **dependent** subset of \mathbb{R}^3

because

$$a \begin{bmatrix} -1 \\ 0 \\ 1 \end{bmatrix} + b \begin{bmatrix} 1 \\ 1 \\ 1 \end{bmatrix} + c \begin{bmatrix} 1 \\ 3 \\ 5 \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \\ 0 \end{bmatrix}$$

has nontrivial solutions, for example $a = 2$, $b = 3$ and $c = -1$.

Problem

Is the set $T = \{3x^2 - x + 2, x^2 + x - 1, x^2 - 3x + 4\}$ an independent subset of \mathcal{P}_2 ?

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Solution

Suppose $a(3x^2 - x + 2) + b(x^2 + x - 1) + c(x^2 - 3x + 4) = 0$, for some $a, b, c \in \mathbb{R}$. Then

$$x^2(3a + b + c) + x(-a + b - 3c) + (2a - b + 4c) = 0,$$

implying that

$$\begin{aligned} 3a + b + c &= 0 \\ -a + b - 3c &= 0 \\ 2a - b + 4c &= 0 \end{aligned}$$

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Solving this linear system of three equations in three variables

$$\left[\begin{array}{ccc|c} 3 & 1 & 1 & 0 \\ -1 & 1 & -3 & 0 \\ 2 & -1 & 4 & 0 \end{array} \right] \rightarrow \left[\begin{array}{ccc|c} 1 & 0 & 1 & 0 \\ 0 & 1 & -2 & 0 \\ 0 & 0 & 0 & 0 \end{array} \right].$$

Since there is nontrivial solution, T is a dependent subset of \mathcal{P}_2 . ■

Problem

Is $U = \left\{ \begin{bmatrix} 1 & 1 \\ 0 & 1 \end{bmatrix}, \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}, \begin{bmatrix} 1 & 0 \\ 1 & 1 \end{bmatrix} \right\}$ an independent subset of \mathbf{M}_{22} ?

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Solution

Suppose $a \begin{bmatrix} 1 & 1 \\ 0 & 1 \end{bmatrix} + b \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix} + c \begin{bmatrix} 1 & 0 \\ 1 & 1 \end{bmatrix} = \begin{bmatrix} 0 & 0 \\ 0 & 0 \end{bmatrix}$ for some $a, b, c \in \mathbb{R}$.

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\Downarrow

$$\begin{array}{rclcl} a + c & = & 0 & , & a + b & = & 0 & , \\ b + c & = & 0 & , & a + c & = & 0 & . \end{array}$$

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This system of four equations in three variables has **unique solution**
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\Downarrow

U is an independent subset of \mathbf{M}_{22} .



Example (An independent subset of \mathcal{P}_n)

Consider $\{1, x, x^2, \dots, x^n\}$, and suppose that

$$a_0 \cdot 1 + a_1x + a_2x^2 + \cdots + a_nx^n = 0$$

for some $a_0, a_1, \dots, a_n \in \mathbb{R}$. Then $a_0 = a_1 = \cdots = a_n = 0$, and thus $\{1, x, x^2, \dots, x^n\}$ is an independent subset of \mathcal{P}_n .

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Example (Polynomials with distinct degrees)

Any set of polynomials with DISTINCT degrees is independent.

For example,

$$\{2x^4 - x^3 + 5, \quad -3x^3 + 2x^2 + 2, \quad 4x^2 + x - 3, \quad 2x - 1, \quad 3\}$$

is an independent subset of \mathcal{P}_4 .

Example

As we saw earlier, $\{\vec{e}_1, \vec{e}_2, \dots, \vec{e}_n\}$ (the standard basis of \mathbb{R}^n) is an independent subset of \mathbb{R}^n .

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Example (An independent subset of \mathbf{M}_{mn})

In general, the set of mn $m \times n$ matrices that have a '1' in position (i,j) and zeros elsewhere, $1 \leq i \leq m$, $1 \leq j \leq n$, constitutes an independent subset of \mathbf{M}_{mn} .

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Proof. Suppose that $k\mathbf{v} = \mathbf{0}$ for some $k \in \mathbb{R}$. Since $\mathbf{v} \neq \mathbf{0}$, it must be that $k = 0$, and therefore $\{\mathbf{v}\}$ is an independent set. ■

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2. The zero vector of V , $\mathbf{0}$ is never an element of an independent subset of V .

Proof. Suppose $S = \{\mathbf{0}, \mathbf{v}_2, \mathbf{v}_3, \dots, \mathbf{v}_k\}$ is a subset of V . Then

$$1(\mathbf{0}) + 0(\mathbf{v}_2) + 0(\mathbf{v}_3) + \dots + 0(\mathbf{v}_k) = \mathbf{0}.$$

Since the coefficient of $\mathbf{0}$ (on the left-hand side) is ‘1’, we have a nontrivial vanishing linear combination of the vectors of S . Therefore, S is dependent. ■

Problem

Let V be a vector space and let $\{\mathbf{u}, \mathbf{v}, \mathbf{w}\}$ be an independent subset of V . Is

$$S = \{\mathbf{u} + \mathbf{v}, 2\mathbf{u} + \mathbf{w}, \mathbf{v} - 5\mathbf{w}\}$$

an independent subset of V ? Justify your answer.

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Solution

Suppose that a linear combination of the vectors of S is equal to zero, i.e.,


$$a(\mathbf{u} + \mathbf{v}) + b(2\mathbf{u} + \mathbf{w}) + c(\mathbf{v} - 5\mathbf{w}) = \mathbf{0}$$

for some $a, b, c \in \mathbb{R}$. Then $(a + 2b)\mathbf{u} + (a + c)\mathbf{v} + (b - 5c)\mathbf{w} = \mathbf{0}$. Since $\{\mathbf{u}, \mathbf{v}, \mathbf{w}\}$ is independent,

$$a + 2b = 0$$

$$a + c = 0$$

$$b - 5c = 0.$$

Solving for a, b and c , we find that the system has unique solution $a = b = c = 0$. Therefore, S is linearly independent. 

Problem

Suppose that A is an $n \times n$ matrix with the property that $A^k = \mathbf{0}$ but $A^{k-1} \neq \mathbf{0}$. Prove that

$$B = \{I, A, A^2, \dots, A^{k-1}\}$$

is an independent subset of \mathbf{M}_{nn} .

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Solution

We need to show that

$$r_0 I + r_1 A + r_2 A^2 + \dots + r_{k-1} A^{k-1} = \mathbf{0} \quad \xRightarrow{?} \quad r_0 = r_1 = \dots = r_{k-1} = 0.$$

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Multiply A^{k-1} on both sides:

$$r_0 A^{k-1} + r_1 A^k + r_2 A^{k+1} + \dots + r_{k-1} A^{2k-2} = \mathbf{0}$$

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Since $A^{k-1} \neq \mathbf{0}$, we see that $r_0 = 0$. Repeat the above processes to show that all $r_i = 0$ for $i = 0, 1, \dots, k-1$. ■

Theorem (Unique Representation Theorem)

Let V be a vector space and let $U = \{\mathbf{v}_1, \mathbf{v}_2, \dots, \mathbf{v}_k\} \subseteq V$ be an independent set. If \mathbf{v} is in $\text{span}(U)$, then \mathbf{v} has a unique representation as a linear combination of elements of U .

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Proof.

If a vector \mathbf{v} has two (ostensibly different) representations

$$\mathbf{v} = s_1 \mathbf{v}_1 + s_2 \mathbf{v}_2 + \cdots + s_n \mathbf{v}_n$$

$$\mathbf{v} = t_1 \mathbf{v}_1 + t_2 \mathbf{v}_2 + \cdots + t_n \mathbf{v}_n$$

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$$s_1 - t_1 = 0, \quad s_2 - t_2 = 0, \quad \cdots, \quad s_n - t_n = 0.$$

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$$(s_1 - t_1) \mathbf{v}_1 + (s_2 - t_2) \mathbf{v}_2 + \cdots + (s_n - t_n) \mathbf{v}_n = \mathbf{0}$$

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$$s_1 - t_1 = 0, \quad s_2 - t_2 = 0, \quad \cdots, \quad s_n - t_n = 0.$$

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The two representations are the same one.



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Linear Independence

The Fundamental Theorem

Bases and Dimension

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The Fundamental Theorem for \mathbb{R}^n generalizes to an arbitrary vector space.

Theorem (Fundamental Theorem)

Let V be a vector space that can be spanned by a set of n vectors, and suppose that V contains an independent subset of m vectors. Then $m \leq n$.

Proof.

Let $X = \{\mathbf{x}_1, \mathbf{x}_2, \dots, \mathbf{x}_n\}$ and let $Y = \{\mathbf{y}_1, \mathbf{y}_2, \dots, \mathbf{y}_m\}$. Suppose $V = \text{span}(X)$ and that Y is an independent subset of V . Each vector in Y can be written as a linear combination of vectors of X : for some $a_{ij} \in \mathbb{R}$, $1 \leq i \leq m$ and $1 \leq j \leq n$,

$$\begin{aligned}\mathbf{y}_1 &= a_{11}\mathbf{x}_1 + a_{12}\mathbf{x}_2 + \cdots + a_{1n}\mathbf{x}_n \\ \mathbf{y}_2 &= a_{21}\mathbf{x}_1 + a_{22}\mathbf{x}_2 + \cdots + a_{2n}\mathbf{x}_n \\ &\vdots \\ \mathbf{y}_m &= a_{m1}\mathbf{x}_1 + a_{m2}\mathbf{x}_2 + \cdots + a_{mn}\mathbf{x}_n.\end{aligned}$$

Proof. (continued)

Let $A = [a_{ij}]$, and suppose that $m > n$. Since $\text{rank}(A) = \dim(\text{row}(A)) \leq n$, it follows that the rows of A form a dependent subset of \mathbb{R}^n , and hence there is a nontrivial linear combination of the rows of A that is equal to the $1 \times n$ vector of all zeros, i.e., there exist $s_1, s_2, \dots, s_m \in \mathbb{R}$, not all equal to zero, such that

$$\begin{bmatrix} s_1 & s_2 & \cdots & s_m \end{bmatrix} A = \begin{bmatrix} 0 & 0 & \cdots & 0 \end{bmatrix} = \mathbf{0}_{1n}.$$

It follows that for each j , $1 \leq j \leq n$,

$$s_1 a_{1j} + s_2 a_{2j} + \cdots + s_m a_{mj} = 0. \tag{1}$$

Consider the (nontrivial) linear combination of vectors of Y :

$$s_1 \mathbf{y}_1 + s_2 \mathbf{y}_2 + \cdots + s_m \mathbf{y}_m.$$

Proof. (continued)

$$\begin{aligned}s_1\mathbf{y}_1 + s_2\mathbf{y}_2 + \cdots + s_m\mathbf{y}_m &= s_1(a_{11}\mathbf{x}_1 + a_{12}\mathbf{x}_2 + \cdots + a_{1n}\mathbf{x}_n) + \\ &\quad s_2(a_{21}\mathbf{x}_1 + a_{22}\mathbf{x}_2 + \cdots + a_{2n}\mathbf{x}_n) + \\ &\quad \vdots \\ &\quad s_m(a_{m1}\mathbf{x}_1 + a_{m2}\mathbf{x}_2 + \cdots + a_{mn}\mathbf{x}_n) \\ &= (s_1a_{11} + s_2a_{21} + \cdots + s_ma_{m1})\mathbf{x}_1 + \\ &\quad (s_1a_{12} + s_2a_{22} + \cdots + s_ma_{m2})\mathbf{x}_2 + \\ &\quad \vdots \\ &\quad (s_1a_{1n} + s_2a_{2n} + \cdots + s_ma_{mn})\mathbf{x}_n.\end{aligned}$$

By Equation (1), it follows that

$$s_1\mathbf{y}_1 + s_2\mathbf{y}_2 + \cdots + s_m\mathbf{y}_m = 0\mathbf{x}_1 + 0\mathbf{x}_2 + \cdots + 0\mathbf{x}_n = \mathbf{0}.$$

Therefore, $s_1\mathbf{y}_1 + s_2\mathbf{y}_2 + \cdots + s_m\mathbf{y}_m = \mathbf{0}$ is a nontrivial vanishing linear combination of the vectors of Y .

Proof. (continued)

$$\begin{aligned} s_1 \mathbf{y}_1 + s_2 \mathbf{y}_2 + \cdots + s_m \mathbf{y}_m &= s_1(a_{11}\mathbf{x}_1 + a_{12}\mathbf{x}_2 + \cdots + a_{1n}\mathbf{x}_n) + \\ &\quad s_2(a_{21}\mathbf{x}_1 + a_{22}\mathbf{x}_2 + \cdots + a_{2n}\mathbf{x}_n) + \\ &\quad \vdots \\ &\quad s_m(a_{m1}\mathbf{x}_1 + a_{m2}\mathbf{x}_2 + \cdots + a_{mn}\mathbf{x}_n) \\ &= (s_1 a_{11} + s_2 a_{21} + \cdots + s_m a_{m1})\mathbf{x}_1 + \\ &\quad (s_1 a_{12} + s_2 a_{22} + \cdots + s_m a_{m2})\mathbf{x}_2 + \\ &\quad \vdots \\ &\quad (s_1 a_{1n} + s_2 a_{2n} + \cdots + s_m a_{mn})\mathbf{x}_n. \end{aligned}$$

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Therefore, $s_1 \mathbf{y}_1 + s_2 \mathbf{y}_2 + \cdots + s_m \mathbf{y}_m = \mathbf{0}$ is a nontrivial vanishing linear combination of the vectors of Y . This contradicts the fact that Y is independent, and therefore $m \leq n$. ■

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The Fundamental Theorem

Bases and Dimension

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Definition

Let V be a vector space and let $B = \{\mathbf{b}_1, \mathbf{b}_2, \dots, \mathbf{b}_n\} \subseteq V$. We say B is a **basis** of V if

- (i) B is an independent subset of V and
- (ii) $\text{span}(B) = V$.

Bases and Dimension

Definition

Let V be a vector space and let $B = \{\mathbf{b}_1, \mathbf{b}_2, \dots, \mathbf{b}_n\} \subseteq V$. We say B is a **basis** of V if

- (i) B is an independent subset of V and
- (ii) $\text{span}(B) = V$.

Remark (Unique Representation Theorem)

Recall that if V is a vector space and B is a basis of V , then as seen earlier, any vector $\mathbf{u} \in V$ can be expressed uniquely as a linear combination of vectors of B .

Example

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Example (A basis of \mathcal{P}_n)

We've already seen that

$$\{1, x, x^2, \dots, x^n\}$$

spans \mathcal{P}_n and is an independent subset of \mathcal{P}_n , and is thus a basis of \mathcal{P}_n .

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Example (A basis of \mathbf{M}_{mn})

The set of mn $m \times n$ matrices that have a '1' in position (i, j) and zeros elsewhere, $1 \leq i \leq m$, $1 \leq j \leq n$, spans \mathbf{M}_{mn} and is an independent subset of \mathbf{M}_{mn} .

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Theorem (Invariance Theorem)

If V is a vector space with bases $\{\mathbf{b}_1, \mathbf{b}_2, \dots, \mathbf{b}_m\}$ and $\{\mathbf{f}_1, \mathbf{f}_2, \dots, \mathbf{f}_n\}$, then $m = n$.

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Definition (Dimension of a vector space)

Let V be a vector space and suppose $B = \{\mathbf{b}_1, \mathbf{b}_2, \dots, \mathbf{b}_n\}$ is a basis of V . The **dimension** of V is the number of vectors in B , and we write $\dim(V) = n$. By convention, $\dim(\{\mathbf{0}\}) := 0$.

Example

Let V be a vector space and \mathbf{u} a NONZERO vector of V . Then $U = \text{span}\{\mathbf{u}\}$ is spanned by $\{\mathbf{u}\}$. Since $\{\mathbf{u}\}$ is independent, $\{\mathbf{u}\}$ is a basis of U , and thus $\dim(U) = 1$.

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Example

$\dim(\mathbf{M}_{mn}) = mn$ since the standard basis of \mathbf{M}_{mn} consists of mn matrices.

Problem

Let $U = \left\{ A \in \mathbf{M}_{22} \mid A \begin{bmatrix} 1 & 0 \\ 1 & -1 \end{bmatrix} = \begin{bmatrix} 1 & 1 \\ 0 & -1 \end{bmatrix} A \right\}$. Then U is a subspace of \mathbf{M}_{22} . Find a basis of U , and hence $\dim(U)$.

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Solution

Let $A = \begin{bmatrix} a & b \\ c & d \end{bmatrix} \in \mathbf{M}_{22}$. Then

$$A \begin{bmatrix} 1 & 0 \\ 1 & -1 \end{bmatrix} = \begin{bmatrix} a & b \\ c & d \end{bmatrix} \begin{bmatrix} 1 & 0 \\ 1 & -1 \end{bmatrix} = \begin{bmatrix} a+b & -b \\ c+d & -d \end{bmatrix}$$

and

$$\begin{bmatrix} 1 & 1 \\ 0 & -1 \end{bmatrix} A = \begin{bmatrix} 1 & 1 \\ 0 & -1 \end{bmatrix} \begin{bmatrix} a & b \\ c & d \end{bmatrix} = \begin{bmatrix} a+c & b+d \\ -c & -d \end{bmatrix}.$$

If $A \in U$, then $\begin{bmatrix} a+b & -b \\ c+d & -d \end{bmatrix} = \begin{bmatrix} a+c & b+d \\ -c & -d \end{bmatrix}$.

Solution (continued)

Equating entries leads to a system of four equations in the four variables a, b, c and d .

$$\begin{array}{rcl} a + b & = & a + c \\ -b & = & b + d \\ c + d & = & -c \\ -d & = & -d \end{array} \quad \text{or} \quad \begin{array}{rcl} b - c & = & 0 \\ -2b - d & = & 0 \\ 2c + d & = & 0 \end{array} .$$

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The solution to this system is $a = s$, $b = -\frac{1}{2}t$, $c = -\frac{1}{2}t$, $d = t$ for any $s, t \in \mathbb{R}$, and thus $A = \begin{bmatrix} s & \frac{t}{2} \\ -\frac{t}{2} & t \end{bmatrix}$, $s, t \in \mathbb{R}$.

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The solution to this system is $a = s$, $b = -\frac{1}{2}t$, $c = -\frac{1}{2}t$, $d = t$ for any $s, t \in \mathbb{R}$, and thus $A = \begin{bmatrix} s & \frac{t}{2} \\ -\frac{t}{2} & t \end{bmatrix}$, $s, t \in \mathbb{R}$. Since $A \in U$ is arbitrary,

$$\begin{aligned} U &= \left\{ \begin{bmatrix} s & \frac{t}{2} \\ -\frac{t}{2} & t \end{bmatrix} \mid s, t \in \mathbb{R} \right\} \\ &= \left\{ s \begin{bmatrix} 1 & 0 \\ 0 & 0 \end{bmatrix} + t \begin{bmatrix} 0 & -\frac{1}{2} \\ -\frac{1}{2} & 1 \end{bmatrix} \mid s, t \in \mathbb{R} \right\} \\ &= \text{span} \left\{ \begin{bmatrix} 1 & 0 \\ 0 & 0 \end{bmatrix}, \begin{bmatrix} 0 & -\frac{1}{2} \\ -\frac{1}{2} & 1 \end{bmatrix} \right\} . \end{aligned}$$

Solution (continued)

Let

$$B = \left\{ \begin{bmatrix} 1 & 0 \\ 0 & 0 \end{bmatrix}, \begin{bmatrix} 0 & -\frac{1}{2} \\ -\frac{1}{2} & 1 \end{bmatrix} \right\}.$$

Then $\text{span}(B) = U$, and it is routine to verify that B is an independent subset of \mathbf{M}_{22} . Therefore, B is a basis of U , and $\dim(U) = 2$. 

Problem

Let $U = \{p(x) \in \mathcal{P}_2 \mid p(1) = 0\}$. Then U is a subspace of \mathcal{P}_2 . Find a basis of U , and hence $\dim(U)$.

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Solution

Final Answer $B = \{x - x^2, 1 - x^2\}$ is a basis of U and thus $\dim(U) = 2$. ■