

Deep Learning based Recommender System

Chen Si

XIAMEN UNIVERSITY

sichen@stu.xmu.edu.cn

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1 DEEP LEARNING BASED RECOMMENDATION

- MLP based Recommendation
- Autoencoder based Recommendation
- CNN based Recommendation
- RNN based Recommendation
- RBM based Recommendation
- Neural Attention based Recommendation
- Neural AutoRegressive based Recommendation
- Deep Reinforcement Learning for Recommendation
- GAN based Recommendation

2 Summary

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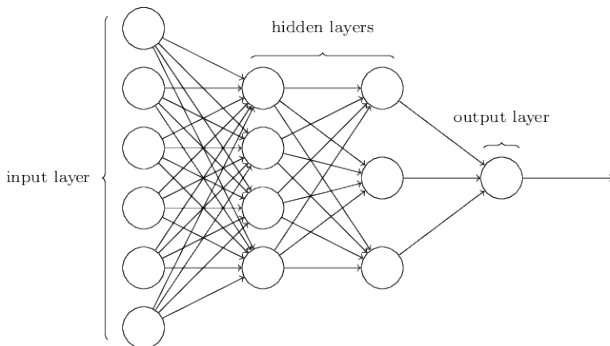
2 Summary

Multilayer Perceptron based Recommendation

- ① What is Multilayer Perceptron(MLP)
- ② Neural Extension of Traditional Recommendation Methods.
- ③ Feature Representation Learning with MLP

What is Multilayer Perceptron(MLP)

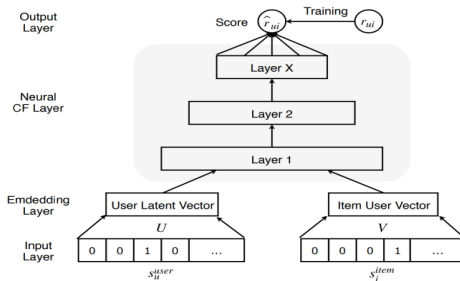
- MLP is a feed-forward neural network with multiple hidden layers



$$y_l = \phi(W_2 * \phi(W_1 * x))$$

Neural Extension of Traditional Methods

- Neural Collaborative Filtering (NCF)

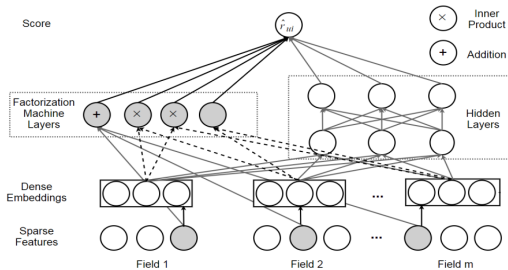


$$\hat{r}_{ui} = f(U^T \cdot s_u^{user}, V^T \cdot s_i^{item} | U, V, \theta)$$

Feature Representation

- Deep Factorization Machine(DeepFM)

- FM: linear and pairwise **low-order interactions** between features.
- MLP leverages the non-linear activations and deep structure to model the **high-order interactions**.



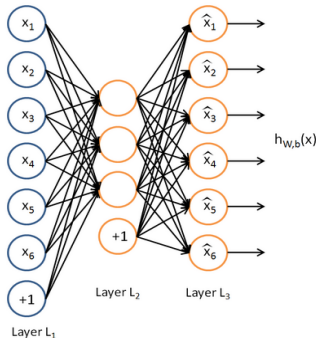
$$\hat{r}_{ui} = \sigma(y_{FM}(x) + y_{MLP}(x) + bias)$$

Autoencoder based Recommendation

- What is Autoencoder
- Autoencoder based Collaborative Filtering.
- Feature Representation Learning with Autoencoder

What is Autoencoder

- An autoencoder neural network is an **unsupervised learning** algorithm, trying to learn a function $h_{W,b}(x) \approx x$.

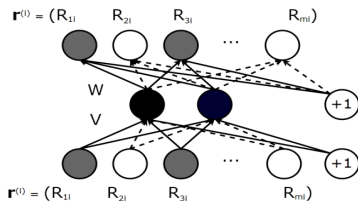


- filling the blanks of the interaction matrix** directly in the reconstruction layer.
- using autoencoder to learn **lower-dimensional feature representations** at the bottleneck layer;

Autoencoder based Collaborative Filtering.

- AutoRec

- takes user **partial vectors** $r^{(u)}$ or item partial vectors $r^{(i)}$ as input, and aims to **reconstruct** them in the output layer.
- Two variants: item-based AutoRec (I-AutoRec) and user-based AutoRec

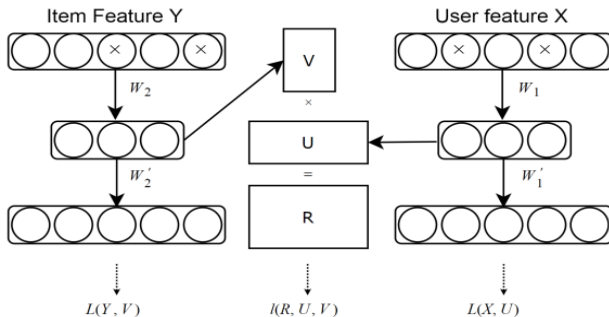


$$\operatorname{argmin}_{\theta} = \sum_{i=1}^N \|r^i - h(r^i; \theta)\|_O^2 + \lambda \times \operatorname{reg}$$

here $\|\cdot\|_O^2$ means that it only considers observed ratings

Feature Representation Learning with Autoencoder

- general framework to build hybrid collaborative models.



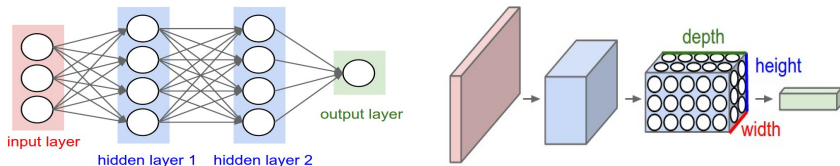
$$\arg_{U, V} \min I(R, U, V) + \beta(U^2 + V^2) + \gamma L(X, U) + \delta L(Y, V)$$

Convolutional Neural Networks based Recommendation

- What is Convolutional Neural Networks(CNN)
- Feature Representation Learning with CNNs.
- CNNs based Collaborative filtering.
- Graph CNNs for Recommendation.

What is Convolutional Neural Networks(CNN)

- a special kind of feed-forward neural network with convolution layers and pooling operations.



- 默认输入是图像，把特定的性质编码入网络结构，使前馈函数更加有效率，并减少了大量参数。
- CNNs are powerful in processing unstructured multimedia data with convolution and pool operations.

Feature Representation Learning with CNNs

- Most of the CNNs based recommendation models utilize CNNs for **feature extraction**.
 - Image Feature Extraction.
 - Text Feature Extraction
 - model user behaviors and item properties from review texts
 - alleviates the sparsity problem and enhances the model interpretability
 - Audio and Video Feature Extraction.
 - content based model can alleviate the cold start problem (music has not been consumed)

CNNs based Collaborative filtering

- ConvNCF

- use **outer product** instead of dot product to model the user item interaction patterns.
- CNNs are applied over the result of outer product and could capture the high-order correlations among embeddings dimensions.

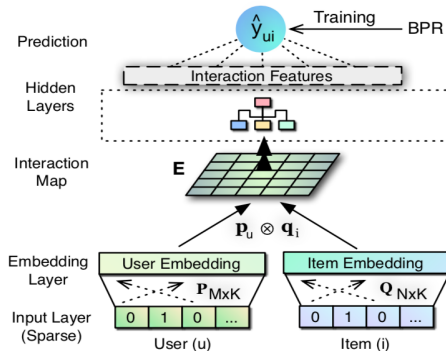


Figure 1: Outer Product-based NCF framework

CNNs based Collaborative filtering

● ConvNCF

- 外积：交互矩阵融合了每个维度下特征之间的关系（传统 CF：主对角线求和），能刻画特征维度之间的高阶关系。
- 卷积：后一层的每一个元素都是由前一层的 4 个元素计算得来的，可以认为是一个 4 阶关系的刻画。直到最后的输出层，降到 1×1 后，即包含了特征每一个维度之间的交互信息。CNN 比 MLP 更容易泛化和建立更深的网络。

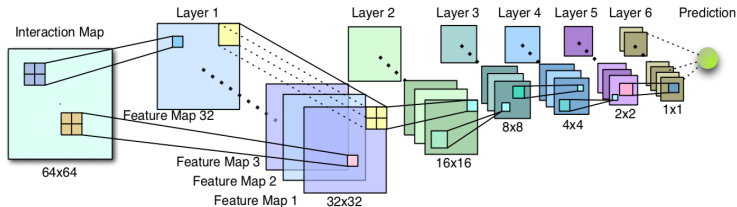
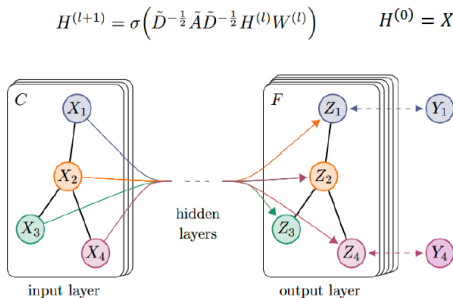


Figure 2: An example of the architecture of our ConvNCF model that has 6 convolution layers with embedding size 64.

Graph CNNs for Recommendation.

- Interactions in recommendation area can also be viewed as **bipartite graph**, thus the recommendation problem can be considered as a **link prediction** task with graph CNNs.
- GCN: Graph Convolution Network
 - Set $K = 2$ and view the center node as one of its neighbor, resulting in only **one free parameter** for each convolution filter
 - Offer an explanation of feature diffusion over graph

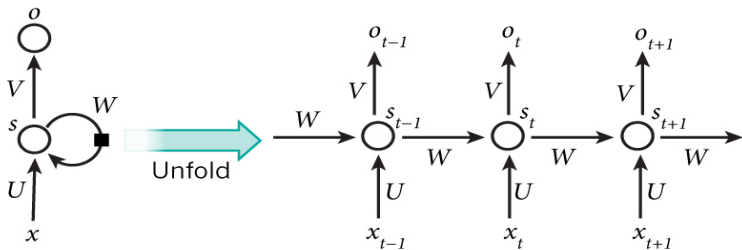


Recurrent Neural Networks based Recommendation

- What is Recurrent Neural Networks(RNN)
- Session-based Recommendation without User Identifier
- Sequential Recommendation with User Identifier
- Feature Representation Learning with RNNs

What is Recurrent Neural Networks(RNN)

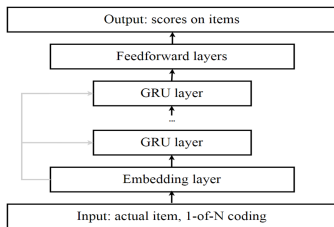
- RNN is suitable for modelling **sequential data**. Unlike feedforward neural network, there are **loops and memories** in RNN to remember former computations.
- RNN 的结构不同于 MLP，输入层与来自序列中上一元素隐层的信号共同作用到当前的隐藏层



Session-based Recommendation without User Identifier

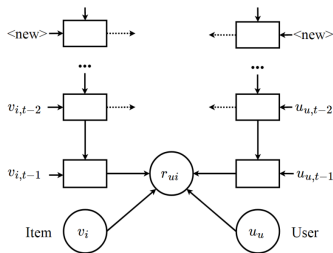
GRU4Rec

- ① 输入:用户的行为序列: $[x_1, x_2, x_3, \dots, x_N]$ (1-of-N encoding, 或者再过一个Embedding层)
- ② 过若干层的GRU(核心的序列化建模)
- ③ Feedforward网络转换
- ④ 对下一个目标 x_{N+1} 进行预测



Sequential Recommendation with User Identifier

- Recurrent Recommender Network (RRN)
 - modelling the seasonal evolution of items and changes of user preferences over time
 - uses two LSTM networks to model **dynamic user state** u_{ut} and item state v_{it} .
 - incorporates stationary latent attributes such as user **long-term interests** and item static features: u_u and v_i .



$$\hat{r}_{ui|t} = f(u_{ut}, v_{it}, u_u, v_i)$$

Feature Representation Learning with RNNs

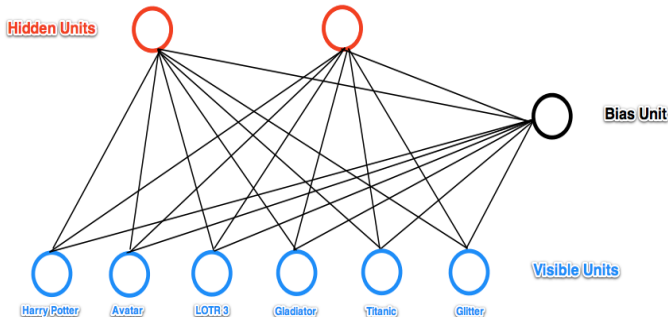
- For side information with sequential patterns
 - learn representations of evolution and co-evolution of user and item features.
 - encode the text sequences into latent factor model.
 - learn more expressive aggregation for user browsing history
 - predicting ratings as well as generating textual tips for users simultaneously
 - two sub-networks to modelling user static features (with MLP) and user temporal features (with RNNs).

Restricted Boltzmann Machine based Recommendation

- What is Restricted Boltzmann Machine(RBM)
- Restricted Boltzmann Machine based Recommendation

What is Restricted Boltzmann Machine(RBM)

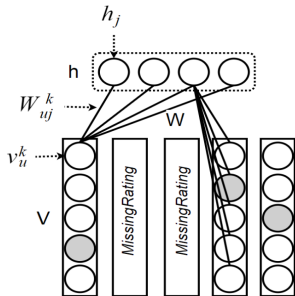
- RBM is a two layer neural network consisting of a visible layer and a hidden layer.
 - 限制在同一层的神经元之间不会相互连接，而不在同一层的神经元之间会相互连接，连接是双向的以及对称的。这意味着在网络进行训练以及使用时信息会在两个方向上流动，而且两个方向上的权值是相同的。
 - 可见变量和隐藏变量都是二元变量，亦即其状态取0,1



Restricted Boltzmann Machine based Recommendation

● RBM-CF

- the **first** recommendation model that built on neural networks.
- user/item-based RBM-CF :given user' s/item's rating is clamped on the visible layer.
- 假设有m个电影, 则使用m个softmax单元来作为可见单元来构造RBM.如果一个用户没有对第j个电影评分, 则该用户的RBM中不存在第j个softmax单元.



Algorithm 4.4 RBM - Making recommendations

Inputs: a user u , an movie i

Outputs: an estimation of $R(u, i)$

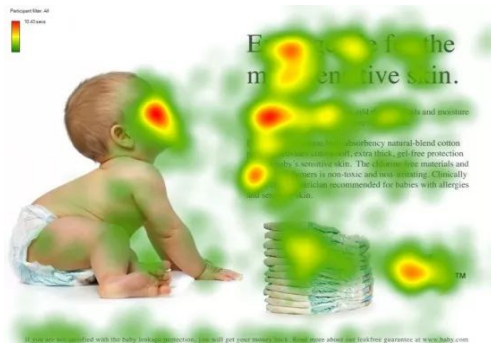
1. Clamp the ratings of u over the softmax units of the RBM.
 2. Compute $\hat{p}_j = p(h_j = 1|V)$ for all hidden units j .
 3. Compute $p(v_i^k = 1|\hat{p}) = \frac{\exp(b_i^k + \sum_{j=1}^F \hat{p}_j W_{ij}^k)}{\sum_{l=1}^K \exp(b_i^l + \sum_{j=1}^F \hat{p}_j W_{ij}^l)}$ for $k = 1, \dots, K$.
 4. Take the expectation as the prediction, i.e., $R(u, i) = \sum_{k=1}^K p(v_i^k = 1|\hat{p})k$.
-

Neural Attention based Recommendation

- What is Neural Attention.
- Recommendation with Vanilla Attention
- Recommendation with Co-Attention

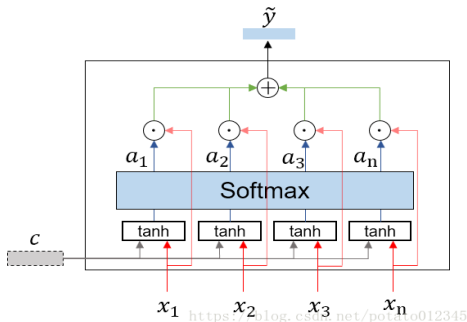
What is Neural Attention

- Attention mechanism is motivated by **human visual attention**.
- 核心目标:从众多信息中选择出对当前任务目标更**关键**的信息。



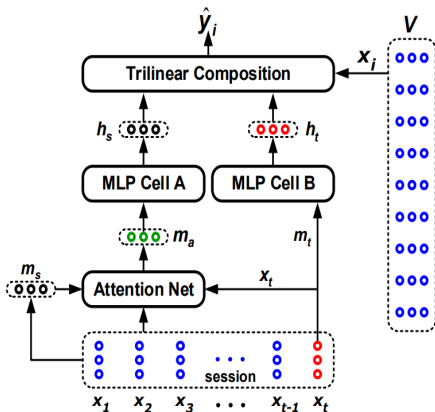
What is Neural Attention

- attention model learns to attend to the input with **attention scores** (the heart of neural attention models).



Recommendation with Vanilla Attention

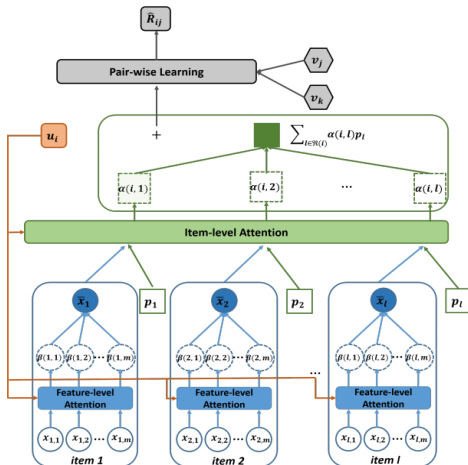
- 基于记忆优先级的短序列推荐
 - 对session内前n-1个全局商品用attention建模得到一个全局表达，并输入MLP



Recommendation with Vanilla Attention

- Attentive Collaborative Filtering(ACF)

- select items from **implicit** that are representative to user preferences and then aggregate them to characterize users.



- item-level attention

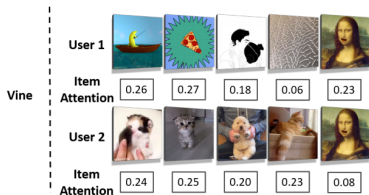
$$u_i + \sum_{l \in R(i)} \alpha(i, l) p_l$$

- component-level attention

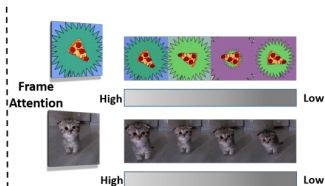
$$\bar{x}_l = \sum_{m=1}^{|\{x_{l*}\}|} \beta(i, l, m) \cdot x_{lm}$$

Recommendation with Vanilla Attention

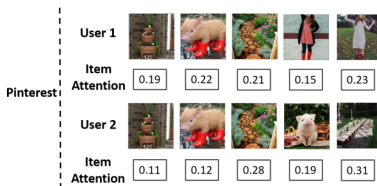
- Attentive Collaborative Filtering(ACF)
 - Attention Visualization



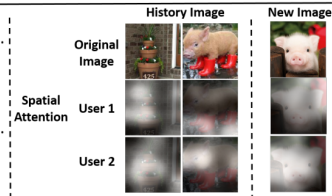
5(a)



5(c)



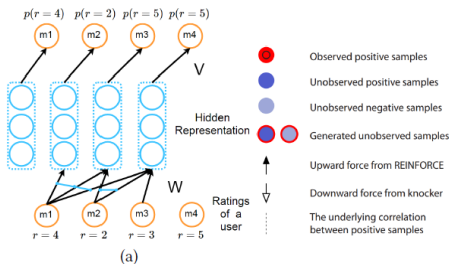
5(b)



5(d)

Neural AutoRegressive based Recommendation

- tractable的分布估计器，它是RBM的理想替代品
- NADE based collaborative filtering model (CF-NADE)
 - models the distribution of user ratings.
 - 有4部电影：m1（评分为4），m2（评分为2），m3（评分为3），m4（评分为5）。CF-NADE利用链式法则得到的评分向量r的联合概率



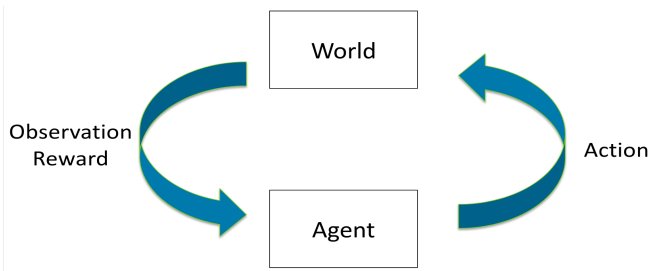
$$p(r) = \sum_{i=1}^4 p(r_{m_i} | r_{m_{<i}})$$

Deep Reinforcement Learning for Recommendation

- What is Deep Reinforcement Learning (DRL)
- Deep Reinforcement Learning for Recommendation

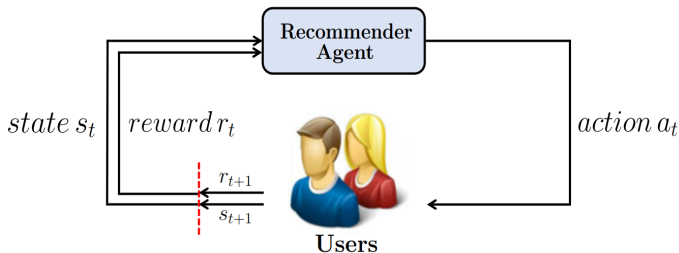
What is Deep Reinforcement Learning (DRL)

- Reinforcement Learning: Learn to make good **sequences of decisions**
 - Repeated Interactions with World
 - Reward for Sequence of Decisions
 - Repeated Interactions with World
- trial-and-error paradigm
- components: agents, environments, states, actions and rewards.



Deep Reinforcement Learning for Recommendation

- recommender agent (RA) interacts with environment E (or users) by sequentially choosing recommendation items over a sequence of time steps, so as to maximize its cumulative reward.



Adversarial Network based Recommendation

- What is Adversarial Network (AN)
- Adversarial Network based Recommendation

What is Adversarial Network (AN)

- Adversarial Networks (AN) is a generative neural network which consists of a discriminator and a generator.
- They trained simultaneously by competing with each other in a min-max game framework.

• IRGAN

- 在信息检索上有两个思维方式，即生成式检索和判别式检索：
- **Generative retrieval** assumes that there is an underlying generative process between documents and queries, and retrieval tasks can be achieved by generating relevant document given a query.
- **Discriminative retrieval** learns to predict the relevance score given labelled relevant query-document pairs.
- minimax game : generative retrieval aims to generate relevant documents similar to ground truth to fool the discriminative retrieval model.

- 1 DEEP LEARNING BASED RECOMMENDATION
- 2 Summary

Why Deep Neural Networks for Recommendation

- Nonlinear Transformation
 - 捕获非线性和非平凡的用户-物品关系
- Representation Learning
 - 捕获数据本身的复杂联系(上下文, 文本和视觉信息)
- Sequence Modelling
- Flexibility

The End