

# How Important are Good Method Names in Neural Code Generation? A Model Robustness Perspective

GUANG YANG, YU ZHOU\*, and WENHUA YANG, Nanjing University of Aeronautics and Astronautics, China

TAO YUE, Simula Research Laboratory, Norway

XIANG CHEN, Nantong University, China

TAOLUE CHEN<sup>†</sup>, Birkbeck, University of London, UK

Pre-trained code generation models (PCGMs) have been widely applied in neural code generation which can generate executable code from functional descriptions in natural languages, possibly together with signatures. Despite substantial performance improvement of PCGMs, the role of method names in neural code generation has not been thoroughly investigated. In this paper, we study and demonstrate the potential of benefiting from method names to enhance the performance of PCGMs, from a model robustness perspective. Specifically, we propose a novel approach, named RADAR (neuRAL coDe generAtor Robustifier). RADAR consists of two components: RADAR-Attack and RADAR-Defense. The former attacks a PCGM by generating adversarial method names as part of the input, which are semantic and visual similar to the original input, but may trick the PCGM to generate completely unrelated code snippets. As a countermeasure to such attacks, RADAR-Defense synthesizes a new method name from the functional description and supplies it to the PCGM. Evaluation results show that RADAR-Attack can reduce the CodeBLEU of generated code by 19.72% to 38.74% in three state-of-the-art PCGMs (i.e., CodeGPT, PLBART, and CodeT5) in the fine-tuning code generation task, and reduce the Pass@1 of generated code by 32.28% to 44.42% in three state-of-the-art PCGMs (i.e., Replit, CodeGen, and CodeT5+) in the zero-shot code generation task. Moreover, RADAR-Defense is able to reinstate the performance of PCGMs with synthesized method names. These results highlight the importance of good method names in neural code generation and implicate the benefits of studying model robustness in software engineering.

CCS Concepts: • Software and its engineering; • Computing methodologies → Artificial intelligence;

Additional Key Words and Phrases: Code generation, Adversarial examples, Robustness, Passive defense, Pre-trained model

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\*Corresponding author.

<sup>†</sup>Corresponding author.

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Authors' addresses: Guang Yang, novelyg@outlook.com; Yu Zhou, zhousy@nuaa.edu.cn; Wenhua Yang, ywh@nuaa.edu.cn, Nanjing University of Aeronautics and Astronautics, Nanjing, China; Tao Yue, tao@simula.no, Simula Research Laboratory, Norway; Xiang Chen, xchencs@ntu.edu.cn, Nantong University, Nantong, China; Taolue Chen, t.chen@bbk.ac.uk, Birkbeck, University of London, London, UK.

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## 1 INTRODUCTION

2 *Context.* Neural code generation generally refers to the task of generating executable code from  
 3 functional descriptions in natural language using neural networks and it has the potential to reduce  
 4 the development pressure on programmers. While early studies on automatic code generation  
 5 mainly focus on domain-specific programming languages (e.g., card game code [53], Bash [52], and  
 6 regular expressions [56]), recent neural code generation for common programming languages takes  
 7 the inspiration from the impressive achievements of pre-trained deep learning models in natural  
 8 language processing, and has attracted a lot of attention recently [2, 14, 16, 19, 57, 67, 80, 88].

9 In literature, neural code generation typically focuses on method-level code generation, i.e.,  
 10 generating a method body by taking mainly two types of input: (1) functional description of the  
 11 intended code only [2, 57, 67, 88], henceforth denoted by FD; or (2) both the functional description  
 12 and the method signature (i.e., the combination of the method name and the parameter list [15, 18,  
 13 19, 34]), henceforth denoted by FD<sup>Sig</sup>. Furthermore, we categorize the existing benchmarks into two  
 14 groups based on their data size and the availability of test cases, i.e., fine-tuning code generation  
 15 tasks and zero-shot code generation tasks. For example, we classify Human-Eval [16] as a zero-shot  
 16 code generation task due to its limited dataset size (164 data items), which includes test cases. This  
 17 dataset is insufficient to adequately fine-tune the model. In contrast, CONCODE [42] is classified  
 18 as a fine-tuning code generation task. It consists of numerous data items without accompanying  
 19 test cases, thereby providing an extensive dataset for fine-tuning the model.

20 *Motivation.* Evidence from the literature has shown that taking signature information as input  
 21 can largely boost the performance of neural code generation, i.e., generating more syntactically  
 22 and semantically correct code [49, 50]. For example, the BLEU score of the PyMT5 model was  
 23 nearly doubled by taking signature information as input [19]. Our experiment results (Section 4.2)  
 24 also confirm this observation. However, a natural, scientifically intriguing question of engineering  
 25 importance is: what contribution does the additional signature information make so the FD<sup>Sig</sup>  
 26 approaches become more effective? Clearly, a thorough investigation of this question would be  
 27 very useful in further improving the performance of neural code generation. Considering that not  
 28 every code method contains the parameter list, we prioritize our research on the method names in  
 29 the signature. In this paper, we study the impact of method names through the lens of *robustness* of  
 30 the pre-trained deep learning models.

31 Robustness refers to the ability of a model to cope with erroneous inputs and errors that occurred  
 32 during its execution [20]. In particular, in deep learning, by adding minor perturbations to the  
 33 benign inputs of a neural network model, one can generate adversarial examples, which may  
 34 spoof the model, thereby causing significant derivations in the model output. A vast amount of  
 35 attention has been paid to studying the robustness of deep learning models, typically in domains  
 36 such as image classifications, computer vision, and natural language processing [13, 29, 83], where  
 37 adversarial examples often pose both safety and security concerns. We remark that, though, in the  
 38 context of neural code generation applications, adversarial examples of deep learning models may  
 39 not bring severe safety concerns, which is in stark contrast to other application domains such as  
 40 autonomous driving [22], studying and improving the robustness of pre-trained code generation  
 41 models (PCGMs), indeed, bring (previously-unexpected) benefits, as we will demonstrate in this  
 42 paper.

43 However, state-of-the-art PCGMs may *not* be robust. Fig. 1(a) presents an example (with the  
 44 code collected from the PyPi project.<sup>1</sup>) to illustrate the robustness challenge faced by the three  
 45 representative PCGMs (i.e., CodeGPT, PLBART, and CodeT5) in the fine-tuning code generation  
 46 task. After fine-tuning, we use the functional description and the signature as the input to each

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<sup>1</sup><https://pypi.org/project/fomoro-pyoneer/>

Reference	CodeGPT under Foo-Attack	PLBART under Foo-Attack	CodeT5 under Foo-Attack
<pre>def range_moments(minval, maxval):     """     Compute elementwise mean and variance from min and max values.     Args:         minval:A tensor of minimum values.         maxval:A tensor of maximum values.     Returns:         Tuple of (mean, variance).     """     mean = (maxval + minval) / 2     variance = tf.square((maxval - minval) / 2)     return mean, variance</pre>	<pre>def foo(minval, maxval):     """     Compute elementwise mean and variance from min and max values.     Args:         minval:A tensor of minimum values.         maxval:A tensor of maximum values.     Returns:         Tuple of (mean, variance).     """     mean = tf.gradients(foo,                         slice=foo.slices)     var = tf.reduce_sum(tf.abs(tf.stop_gradient(mean))) -           tf.squared_error(mean)     return minval, maxval</pre>	<pre>def foo(minval, maxval):     """     Compute elementwise mean and variance from min and max values.     Args:         minval:A tensor of minimum values.         maxval:A tensor of maximum values.     Returns:         Tuple of (mean, variance).     """     p = [minval, maxval]     if p:         mean = p[0]         variance = p[1]     else:         mean = maxval - minval         variance = minval - maxval     return mean, variance</pre>	<pre>def foo(minval, maxval):     """     Compute elementwise mean and variance from min and max values.     Args:         minval:A tensor of minimum values.         maxval:A tensor of maximum values.     Returns:         Tuple of (mean, variance).     """     mn = foo(minval)     mx = foo(maxval)     return mn, mx</pre>
			(a) Evaluation on the Python dataset

Reference	Replit under Foo-Attack	CodeGen under Foo-Attack	CodeT5+ under Foo-Attack
<pre>def greatest_common_divisor(a: int, b : int) -&gt; int:     """     Return a greatest common divisor of two integers a and b     """     while b:         a, b = b, a % b     return a</pre>	<pre>def foo(a: int, b: int) -&gt; int:     """     Return a greatest common divisor of two integers a and b     """     if a == 0:         return b     elif b == 0:         return a     elif a &lt; b:         return foo(b, a%b)     else:         return foo(a, b%a)</pre>	<pre>def foo(a: int, b: int) -&gt; int:     """     Return a greatest common divisor of two integers a and b     """     return gcd(a, b)</pre>	<pre>def foo(a: int, b: int) -&gt; int:     """     Return a greatest common divisor of two integers a and b     """     return a if a &lt; b else b</pre>
			(b) Evaluation on the Human-Eval dataset

Fig. 1. The motivating examples illustrating the non-robustness challenge faced by popular PCGMs

model (code highlighted in light grey in Fig. 1). The generated code snippets are exactly the same as the reference (the leftmost). However, if we simply replace the method name `range_moments` with `foo` and keep the functional description untouched, all three models generate totally incorrect code (highlighted in the dark grey). Fig. 1(b) presents an illustrative example, utilizing code collected from Human-Eval [16], to highlight the challenge of robustness encountered by three representative PCGMs (i.e., Replit [75], CodeGen [63] and CodeT5+ [87]) in the zero-shot code generation task. For each model, we input the functional description and the signature, resulting in generated code snippets that successfully pass the test cases, akin to the reference code shown on the leftmost side. However, when a simple substitution is made by replacing the method name `greatest_common_divisor` with `foo` while retaining the functional description, all three models produce completely incorrect code that fails to pass the test cases (highlighted in the dark grey). Note that `foo` is the most commonly used variable name in computer tutorial textbooks. This clearly shows that these models are not robust for the current input. Indeed, as shown in Section 4.2, poor robustness of PCGMs is commonly seen and greatly impacts their performance. For instance, our attack method can generate meaningful (adversarial) and natural method names that could reduce the CodeBLEU score of the generated code by 19.72%–38.74% in CodeGPT [57], PLBART [2] and CodeT5 [88] in the fine-tuning code generation task. In the zero-shot code generation task, our attack can reduce the Pass@1 score of the generated code by 32.28%–44.42% in Replit [75], CodeGen [63], and CodeT5+ [87]. Hence, we conclude that FD<sup>Sig</sup> approaches, albeit demonstrating a better performance, are fragile (hence less robust) as they heavily rely on the selection of the input method name. This is a serious matter, since developers (i.e., users of PCGMs) may select a low-quality name in coding practice (due to inexperience, carelessness, bad habits, or otherwise

69 just a typo), an ill-formed method name might largely degrade the performance of PCGMs, which  
 70 thus generate unwanted code.

---

```
Commit b31e5592bb65f3d91323f6fd2106026b154a91ca
- public static ButtonType guiConformationAlert(String aTitle, String aHeaderText, String aContentText){
+public static ButtonType guiConfirmationAlert(String aTitle, String aHeaderText, String aContentText){
```

---

```
Commit 0bdb66924dd9f076bd225f2930e2075d3a15974d
-fnd . -mindepth 1 -type d | wc -l
+fnd . -mindepth 1 -type d | wc -l
```

---

Fig. 2. Two typo fixes for code refactoring in Github

71 In a real-world software development context, it is often the case that developers refactor  
 72 their code simply due to typos. The study conducted by Liu et al. [54] shows that an important  
 73 code refactoring operation is due to simple typos (cf. Fig. 2. For instance, developers spelled  
 74 ‘Confirmation’ as ‘Conformation’ in a method name or spelled ‘l’ as ‘1’ in bash code). Meanwhile, a  
 75 study conducted by Murphy-Hill et al. [61] on activity from over 13,000 Java developers finds that  
 76 renaming methods was the most commonly used refactoring operation, accounting for 74.8% of all  
 77 refactoring operations. This indicates that existing naming guidelines make it difficult for developers,  
 78 especially novices, to come up with meaningful, concise, and compact method names [25]. Moreover,  
 79 developers might have different naming styles [12, 39]. It is also likely that a code generation system  
 80 fails due to different styles in method names. Previous works [27, 69, 85, 90] focus on studying  
 81 the impact of the method name quality on the readability and maintainability of source code.  
 82 However, the role of the method name quality for code automation tasks has not been thoroughly  
 83 investigated.

84 A possible approach to address the robustness challenge is to synthesize proper method names to  
 85 replace those provided by developers, by which the performance of FD<sup>Sig</sup> approaches can hopefully  
 86 be reinstated. Generating high-quality method names is an interesting task in its own right.

87 *Proposed solution.* In this paper, we propose a novel method, along with a tool suite, named RADAR  
 88 (*neuRAL coDe generAtor Robustifier*), of two major components: RADAR-Attack and RADAR-  
 89 Defense. Specifically, RADAR-Attack imitates the undesirable behavior (just like typos) of developers  
 90 mentioned above and then generates natural, visually, and semantically similar method names.  
 91 They serve as adversarial examples to reveal the robustness problem of PCGMs, but can also be  
 92 considered as a tool to assess the robustness of PCGMs. RADAR-Defense, on the other hand, aims  
 93 to reinstate the performance of PCGMs. One way is via adversarial training whereby we adapt the  
 94 ACCENT approach [104], leveraging the generated adversarial examples to retrain a model. The  
 95 other is to sanitize the input whereby we propose a passive and lightweight defense method, which  
 96 synthesizes meaningful and concise method names based on the given functional descriptions.  
 97 These method names are inputted into the PCGMs together with the functional descriptions and  
 98 other signature information (e.g., parameter lists).

99 To evaluate the effectiveness of RADAR, we consider six state-of-the-art, large-scale PCGMs (i.e.,  
 100 CodeGPT, PLBART, and CodeT5 in the fine-tuning code generation task and CodeGen, CodeT5+,  
 101 and Replit in the zero-shot code generation task). Experiment results show that RADAR-Attack  
 102 is effective in attacking these PCGMs, and RADAR-Defense can improve their robustness and  
 103 thus reinstate their performance by generating higher-quality method names. For instance, the  
 104 CodeT5 model has a CodeBLEU value of 46.09 when not being attacked on the Java dataset, which  
 105 drops to 31.58 under RADAR-Attack. Using the method names synthesized by RADAR-Defense, the  
 106 CodeBLEU value is back to 46.11.

107 *Contributions.*

- 108 • We devise RADAR-Attack to attack PCGMs based on functional descriptions and signatures,  
109 showing that their performance is susceptible to provided method names.
- 110 • We propose a defense method RADAR-Defense to recover the performance of the attacked  
111 PCGMs.
- 112 • As a byproduct, we provide novel approaches to automatically synthesize method names,  
113 which are meaningful in various contexts such as software refactoring.

114 *Key findings.* Based on our empirical study, we conclude that good names play a crucial role in neural  
115 code generation, and they can be synthesized from the functional description with a well-designed  
116 approach. In other words, functional description + parameter list + RADAR-Defense would provide a  
117 strong performance boost for state-of-the-art PCGMs. To the best of our knowledge, this represents  
118 one of the first works on studying the robustness of neural code generation models via adversarial  
119 examples. More importantly, at the methodological level, this paper promotes, with solid evidence,  
120 the importance of studying the robustness of deep learning models in neural code generation and  
121 even software engineering in general, where they are playing an increasingly important role.

122 To facilitate reproducibility and further research, source code, benchmarks, and experimental  
123 data are released at <https://github.com/NTDXYG/RADAR>.

124 *Structure.* The rest of the paper is organized as follows. Section 2 presents the related work. Section 3  
125 describes the framework and key approaches in RADAR. Section 4 provides the experiment results  
126 and their analysis. Section 5 discusses the quantitative study of the effectiveness of RADAR and the  
127 potential threats to the validity of our empirical study. Section 6 concludes this paper and discusses  
128 future work.

## 129 **2 RELATED WORK**

### 130 **2.1 Neural Code Generation**

131 Previous studies on code generation mainly focus on domain-specific languages [52, 53, 56]. Studies  
132 on code generation for general programming languages [60, 77] use sequence-to-sequence models,  
133 and they formalize code generation as text sequence generation based on the hypothesis of code  
134 naturalness [4, 38]. Some studies [79, 96] use tree-based models, by capturing the grammar of the  
135 natural language as a priori-knowledge to generate complex programs. Other studies [35, 36] use  
136 retrieval-enhanced models, i.e., benefiting from information retrieval to compensate for the lack of  
137 ability of neural networks to memorize large and complex structures.

138 In recent years, researchers have gradually utilized pre-trained models for neural code generation  
139 tasks, which can be classified into two types based on benchmark requirements: fine-tuning code  
140 generation tasks and zero-shot code generation tasks. Fine-tuning code generation tasks are typi-  
141 cally applied to benchmarks that lack test cases, such as CONCODE [42] and CoNaLa [95]. These  
142 benchmarks are divided into training, validation and test sets, with pre-trained models (often with  
143 parameter numbers less than a billion) fine-tuned on the training set to be adapted to the specific  
144 task. For example, models like CodeGPT [57], PLBART [2], and CodeT5 citewang2021codet5 lever-  
145 age the GPT, BART, and T5 architectures of language models pre-trained on code corpora. Extensive  
146 evaluations on the CONCODE benchmark have demonstrated their robust code generation capabili-  
147 ties. Moreover, models such as PyMT5 [19], CoTeXT [67], and NatGen [14] have also exhibited  
148 promising performance on code generation tasks, depending on the specific pre-training tasks.  
149 However, these models are more suitable for fine-tuning code generation tasks, as their parameter  
150 numbers are not large enough to demonstrate emergent capabilities in zero-shot scenarios.

151 With the development of neural networks, Hestness et al. [37] point out that the performance of  
152 Transformer-based models improved in a predictable way as the amount of computation or the

size of the network increased, and is called “scaling laws” [43]. When the model scales to a certain level, the phenomenon of “emergent capacity” [89] can occur. Building upon this understanding, researchers have increasingly employed large language models with over a billion parameters for zero-shot code generation tasks. These models have demonstrated substantial enhancements in the performance of code generation benchmarks, aligning with the aforementioned theory.

The zero-shot code generation task is typically applied to benchmarks that include test cases but often have limited data size due to the costly manual construction of test cases. In this context, Chen et al [16] first introduced and evaluated the capabilities of Codex, which is pre-trained on GitHub code with 12 billion model parameter. Subsequently, Li et al. [48] proposed AlphaCode with 1.1 billion parameters, and Chowdhery et al. [17] introduced PaLM-Coder, with 540 billion parameters. These models were evaluated for their performance on HumanEval. However, all of these models are of closed-source. For the open-source models, Fried et al. [24] proposed InCoder, which is trained for program synthesis (via left-to-right generation) and editing (via masking and infilling). Nijkamp et al. [62, 63] proposed CodeGen and CodeGen2, which are large language models for code with multi-turn program synthesis. Zheng et al. [103] proposed CodeGeeX, a multilingual model with 13 billion parameters for code generation. CodeGeeX is pre-trained on 850 billion tokens of 23 programming languages. Li et al. [47] proposed StarCoder, a 15.5 billion parameter model with an 8K context length, infilling capabilities, and fast large-batch inference enabled by multi-query attention. In addition, the Replit company proposed replit-code-v1-3b model [75], which is trained on a subset of the Stack Dedup v1.2 dataset, and the training mixture includes 20 different languages. Differing from the aforementioned decoder-only model, Wang et al. [87] introduced CodeT5+, a family of encoder-decoder LLMs for code-related tasks.

In contrast to the previous studies, our primary objective is to evaluate the influence of method names on neural code generation from the perspective of model robustness. We have observed a significant improvement in the performance of neural code generation when incorporating signature information as input. This observation has motivated us to further investigate the impact of method names, an essential component of signatures, on the code generation process. By examining the relationship between method names and code generation, we gain insights into the overall robustness and effectiveness of neural models in generating high-quality code. To achieve this objective, we have conducted empirical investigations on both fine-tuning code generation tasks and zero-shot code generation tasks.

## 184 2.2 Adversarial Attack on Code-related Models

185 Adversarial attacks on code can be divided into two categories: white-box adversarial attacks and  
 186 black-box adversarial attacks. These attack methods differ primarily in their underlying assumptions.  
 187 In the case of white-box attacks, the attacker assumes access to the internal structure of the victim  
 188 models and their training parameters. For instance, Yefet et al. [94] proposed the white-box attack  
 189 method DAMP, which leverages gradient information from the victim model to manipulate variables  
 190 in the code. However, white-box attacks are often less practical in real-world scenarios. This is  
 191 because victim models are typically deployed remotely, and obtaining model’s internal details can  
 192 be challenging or even impossible.

193 In contrast to white-box attacks, black-box attacks assume that the attacker has no knowledge  
 194 of the internal details of the victim models and can only interact with the model through its output.  
 195 For instance, Applis et al. [6] proposed LAMPION, a method that evaluates the robustness of the  
 196 CodeBERT model by generating new code snippets that are equivalent to the original test set. Zhang  
 197 et al. [100] proposed MHM, which utilizes Metropolis-Hastings sampling-based identifier renaming  
 198 to perform code obfuscation. Tian et al. [81] proposed QMDP, a Q-learning-based Markov decision  
 199 process, which enables semantically equivalent transformations on the structure of source code.

200 Rabin et al. [70] employed variable renaming to evaluate the generalizability of neural program  
201 analyzers for the task of method name prediction. Liguori et al. [49] explored the use of unseen  
202 synonyms and missing information to evaluate line-based code generation tasks. Zeng et al. [98]  
203 employed a wide range of NLP-based adversarial attack methods to evaluate pre-trained models  
204 and discovered that random attack methods can outperform carefully designed adversarial attack  
205 methods in most cases.

206 In recent research, there has been a growing focus on addressing the naturalness aspect of  
207 adversarial examples. Yang et al. [93] proposed a naturalness-aware attack called ALERT, which  
208 takes into account the natural semantics of generated examples. ALERT generates multiple natural  
209 candidates using the GraphCodeBERT model and the mask language model task in the CodeBERT  
210 model. It then calculates the cosine similarity to filter out natural and similar adversarial samples.  
211 Zhou et al. [104] proposed ACCENT, an identifier substitution approach for crafting adversarial  
212 code snippets in source code summarization. ACCENT aims to generate code snippets that are syn-  
213 tactically correct and semantically similar to the original code snippet. Zhang et al. [99] introduced  
214 CARROT, an optimization-based attack technique that assesses and improves the robustness of  
215 deep program processing models. Wang et al. [84] presented ReCode, a tool that provides over 30  
216 transformations specifically designed for code generation. These transformations cover various  
217 aspects such as document strings, function and variable names, code syntax, and code formatting.  
218 Notably, six of these transformations are dedicated to modifying function names.

219 Moreover, due to the extensive search space of adversarial examples, numerous attack meth-  
220 ods utilize optimization algorithms to enhance the efficiency of searching and thus improve the  
221 attack performance. In the field of natural language processing, commonly employed optimization  
222 algorithms include greedy algorithms [92], genetic algorithms [5], and particle swarm optimiza-  
223 tion algorithms [97]. These optimization algorithms are also widely applied in adversarial attack  
224 methods for code-related tasks.

225 In this paper, we present a novel black-box attack approach targeting code generation. Different  
226 from the previous studies, our focus is on real-world scenarios where neither users nor attackers  
227 have access to the internal structure of PCGMs. Our approach not only generates semantically  
228 equivalent adversarial examples but also considers typos and visual similarity, thereby expands the  
229 range of adversarial examples explored. To improve the efficiency of attacking PCGMs, we leverage  
230 genetic algorithms, which optimize the search process and enhance the effectiveness of our attacks.

### 231 **2.3 Adversarial Defense on Code-related Models**

232 Current studies on adversarial defense for code-related tasks mainly focus on active defense. Bielik  
233 et al. [9] attempted adversarial defense with the assistance of gradient-based adversarial training  
234 method [28]. They observed that relying solely on gradient-based adversarial training can provide  
235 insights into the model's robustness but may also lead to a decline in performance on the original  
236 task. Zhang et al. [100] and Yang et al. [93] proposed the adversarial training method, which uses  
237 adversarial examples for data augmentation to re-train the model. However, this approach is highly  
238 dependent on the quality of adversarial examples. Zhou et al. [104] and Zhang et al. [102] proposed  
239 a lightweight adversarial training method named mask training algorithm, which reduces the  
240 model's dependence on the non-robust features since any perturbations on these features may  
241 cause a large-scale change in the output.

242 In contrast to the previous studies, our defense method presents a novel passive approach to  
243 effectively restore the performance of PCGMs. This defense method is particularly advantageous  
244 in scenarios where PCGMs cannot undergo fine-tuning, such as zero-shot code generation tasks.  
245 By implementing this passive defense method, our goal is to improve the robustness of PCGMs,  
246 ensuring their effectiveness even in challenging zero-shot code generation scenarios.

### 247 3 APPROACH

248 We show an overview of RADAR in Fig. 3 and RADAR includes two main parts: RADAR-Attack and  
 249 RADAR-Defense. In particular, RADAR-Attack proposes a black-box, gradient-free optimization  
 250 attack algorithm and RADAR-Defense proposes a passive defense method based on retrieval-  
 251 enhanced prompt learning for passive defense.

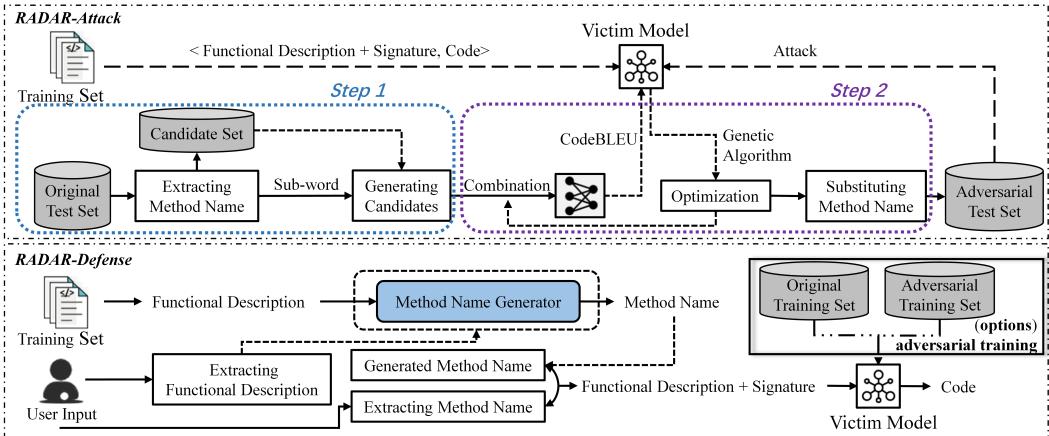


Fig. 3. The Framework of RADAR

#### 252 3.1 RADAR-Attack

253 In the fine-tuning code generation task, we commence by fine-tuning pre-trained code generation  
 254 models using a provided dataset. This process yields a model  $\mathcal{F}$ , which maps each pair  $x$  consisting  
 255 of functional description and signature to code  $y = \mathcal{F}(x)$ . In the zero-shot code generation task, we  
 256 directly load the weights of the pre-trained model, resulting in the model  $\mathcal{F}$ . For attacking model  $\mathcal{F}$ ,  
 257 our goal is to generate an adversarial example  $x_{adv}$  for a given  $x$ , which is visually and semantically  
 258 similar to  $x$ , but minimizes the CodeBLEU score between  $y$  and  $\mathcal{F}(x_{adv})$ . Recall that CodeBLEU is  
 259 a widely recognized automatic evaluation metric of code generation, which subsumes BLEU in the  
 260  $n$ -gram match and injects code syntax via abstract syntax trees (AST) and code semantics via data  
 261 flow analysis. In the absence of test cases, CodeBLEU offers a sensible surrogate for automated  
 262 evaluation. Given the expense of manual test case construction and the absence of corresponding  
 263 test cases in most datasets, we have utilized CodeBLEU as the optimization objective function for  
 264 both fine-tuning code generation tasks and zero-shot code generation tasks. Meanwhile, there is a  
 265 correlation between the metrics, as seen in Table 4, Table 3 and Table 6, when the CodeBLEU value  
 266 increases the BLEU metric also increases, so to some extent neither the choice of CodeBLEU nor  
 267 BLEU has much influence on the selection of the adversarial example. Formally, we aim to solve  
 268 the following problem:

$$x_{adv} = \arg \min_{x'} \text{CodeBLEU}(y, \mathcal{F}(x')) \quad (1)$$

269 Note that we only consider part of the input  $x$  when generating adversarial examples; we only  
 270 modify the method name in  $x$  (i.e., part of the signature), as parameters are optional for the signature.  
 271 We assume that the attacker is unaware of the model architecture, parameters, and training data,  
 272 and can only interact with the model through its output. Therefore, instead of utilizing the gradient-  
 273 based optimization, we adopt a gradient-free optimization attacking approach, based on a genetic  
 274 algorithm (GA) as shown in Algorithm 1.

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**Algorithm 1:** Adversarial Example Generation Algorithm

---

```

Input: Pre-trained Code Generation Model  $\mathcal{F}$ ;
Code Generation DataSet  $D$ , where  $(\mathbf{x}, \mathbf{y}) \in D$ 
Output: Adversarial DataSet  $D_{adv}$ ;
1 Initialize: Candidate Method Name Set  $V \leftarrow \emptyset$ , Adversarial DataSet  $D_{adv} \leftarrow \emptyset$ ;
2 for each  $(\mathbf{x}, \mathbf{y}) \in D$  do
3   Extract the method name in  $\mathbf{x}$ ;
4    $V \leftarrow V \cup \{M \mid M = <m_1, \dots, m_n>$  to represent the sequence of sub-words from the method name};
5 Training Method Name Embedding Embed via  $V$ ;
6 for each  $(\mathbf{x}, \mathbf{y}) \in D$  do
7   Extract the method name set  $M$  in  $\mathbf{x}$ ;
8   Adversarial method name set  $M' \leftarrow \emptyset$ ;
9   for each  $m \in M$  do
10    |  $M' \leftarrow L_m$  based on semantic and visual similarity via Embed in  $V$ ;
11    |  $t \leftarrow 0$ ;
12    Initial population generation  $\mathcal{P}^t$ ;
13    while  $t \leq max\_iterations$  do
14      | Calculate fitness value;
15      | Selection;
16      | Crossover;
17      | if  $mutation\_prob \geq random\_prob$  then
18        |   | Mutation;
19        |   |  $M' \leftarrow$  minimize evaluate fitness of  $\mathcal{P}^t$ ;
20        | if Minimum fitness value is not updated in  $n$  iters then
21          |   | Early stop;
22          |   |  $\mathcal{P}^{t+1} \leftarrow buildNewGeneration(\mathcal{P}^t)$ ;
23          |   |  $t \leftarrow t + 1$ ;
24        |  $D_{adv} \leftarrow D_{adv} \cup \{(\mathbf{x}.replace(M, M'), \mathbf{y})\}$ ;
25 return  $D_{adv}$ ;

```

---

275 In Algorithm 1, RADAR-Attack first extracts method names from all the signatures in the dataset  
276 and then tokenize each method name according to the method naming convention (e.g., the camel  
277 case or the snake case) to build a set of sub-words. RADAR-Attack then creates a candidate set  
278 for each sub-word. The candidates are selected based on their **visual similarity** (to model typos)  
279 and **semantics similarity** (to model programmers’ preferences of the use of English words).  
280 Finally, RADAR-Attack generates adversarial examples for method names by considering various  
281 combinations. It uses GA to generate the best replacement for the original method name by  
282 minimizing the CodeBLEU value [74]. We now elucidate these two main steps, i.e., *Step 1* candidates  
283 generation (the **blue** box in Fig. 3) and *Step 2* optimization with GA (the **purple** box in Fig. 3).

284 **3.1.1 Step 1. Candidates Generation.** The first step aims to generate high-quality candidate adversarial  
285 examples that have high visual and semantic similarity with the original words. According  
286 to previous studies [46, 73], the text semantic is likely to be retained or deduced after the user  
287 changes a few characters. Therefore, we make small-scale changes to the original words for human  
288 comprehension, which can help to generate visual similar candidates. Moreover, as method names  
289 often contain a variety of domain-specific acronyms, jargon, and their combinations, they are  
290 frequently outside the vocabulary of the word embedding model in the general domain. In this  
291 study, based on our previous work [104], we first train a general word2vec [59] model based on the

292 Wiki dataset and then continue to train it for a corpus of method names (Line 2-5 in Algorithm 1).  
 293 Finally, we select the *top 5* nearest candidate sub-words for each sub-word in the method name  
 294 based on the cosine similarity.

295 Based on these observations, we propose four operators to generate candidate samples (Line  
 296 9-10 in Algorithm 1):

- 297 • **Delete Operator:** Randomly delete a character of the sub-word.
- 298 • **Swap Operator:** Randomly swap two adjacent letters in the word.
- 299 • **Replace-vis Operator:** Replace characters with visually similar characters (e.g., replacing “l”  
 300 with “1”, “O” with “0”) or special coding styles words (e.g., replacing “2” with “to”, “4” with  
 301 “for”).
- 302 • **Replace-sem Operator:** Replace a sub-word in the method name with its most semantic  
 303 similar Top5 candidate sub-words in a high-dimensional vector space.

304 Notice the first two operators are designed to model that developers type carelessly. The **Replace-**  
 305 **vis** operator is designed to model the novice behaviors (e.g., copy the code from course materi-  
 306 als to their program tasks). An example in Fig. 4 illustrates the four operators. Method name  
 307 `decode_dict_to_str` can be divided into four sub-words (i.e., decode, dict, to, and str). Each oper-  
 308 ator generates multiple candidate sub-words, which form the discrete search space of the original  
 309 sub-words.

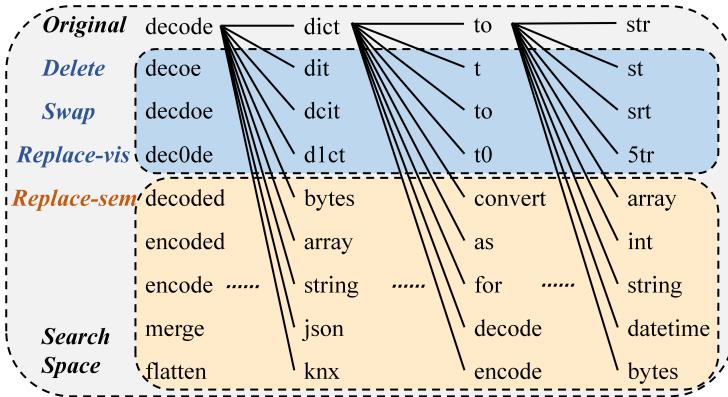


Fig. 4. An Example of permutations of candidate sub-words

310 3.1.2 *Step 2. Optimization with GA.* This step aims to find the most effective adversarial examples in  
 311 the discrete search space that can successfully fool the victim model, with GA. Let  $M = \langle m_1, \dots, m_n \rangle$   
 312 be the sequence of sub-words from the method name. The discrete search space can be represented  
 313 as  $M^k = \{ \langle m_1^k, \dots, m_n^k \rangle \mid m_i^k \in \mathbb{V}(m_i) \}$ , where  $k$  denotes the number of the generated candidate  
 314 sub-words,  $\mathbb{V}(m_i)$  is the set of candidates of  $m_i$ .

315 By Equation 1, the fitness function of RADAR-Attack can be formalized as

$$y_{goal} = \arg \min_{M'} \text{CodeBLEU}(y, \mathcal{F}(x.replace(M, M')))$$

316 where  $M'$  represents the set of solutions with  $n$  variables (i.e., the number of sub-words). Values of  
 317 each variable are in the range  $[0, k]$ , where  $k$  denotes the number of candidates.

318 We denote the initial population as the initial generation  $\mathcal{P}^0$  (Line 12 in Algorithm 1). The size  
 319 of the population is denoted as *size\_population*. To get a new generation (i.e., transiting from  
 320  $\mathcal{P}^t$  to  $\mathcal{P}^{t+1}$ ), the operations of selection, crossover (with *crossover\_prob*), and mutation (with

321 *mutation\_prob*) are performed (Line 14-18 in Algorithm 1). The termination condition is the maximum  
 322 number of generations, which is denoted as *max\_iterations*. To improve the computational  
 323 efficiency of GA, we refer to the early-stop strategy used by Garcia et al. [26]. The evolution ends  
 324 when the average fitness of the population does not improve above a certain threshold in the last *n*  
 325 generations (Line 20-21 in Algorithm 1). To avoid experimental bias due to the randomness of GA,  
 326 we repeat the run 30 times, taking the average values as the final result.

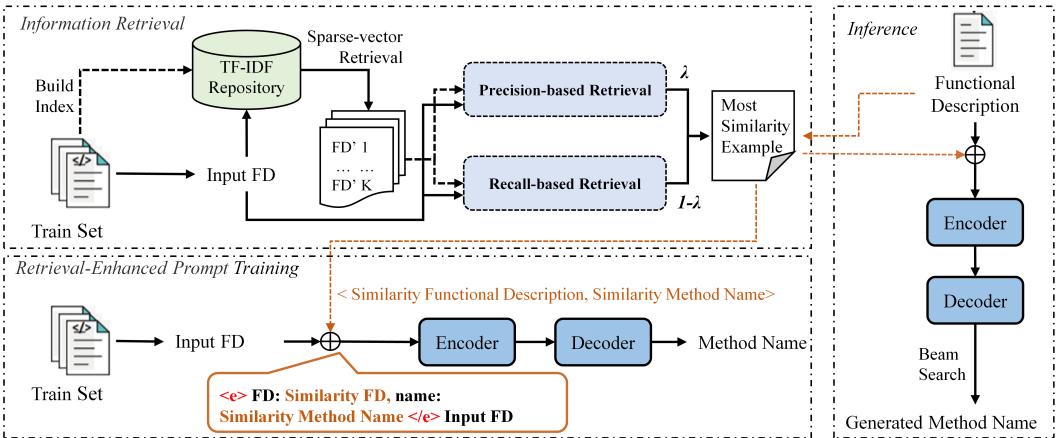


Fig. 5. Overview of RADAR-Defense

### 327 3.2 RADAR-Defense

328 RADAR-Defense can adapt the adversarial training approach which leverages generated adversarial  
 329 examples to retrain a model in the fine-tuning code generation task, but this is optional, as we  
 330 mentioned in RADAR-Attack the model black box assumption, we expect RADAR-Defense is able  
 331 to reinstate its performance without retraining PCGMs. Thus RADAR-Defense's main purpose  
 332 is to synthesize a new method name for a given functional description to replace the original  
 333 method name in the signature. As shown in Fig. 5, RADAR-Defense mainly consists of two steps: 1)  
 334 generating the most similar example via information retrieval, and 2) training the model with the  
 335 augmented function description via prompt training.

336 In general, we treat the training set as a corpus, from which a list of key-value pairs ( $\mathcal{T} = \{(c_i, m_i)\}$ )  
 337 can be constructed, with  $c_i$  and  $m_i$  denoting the functional description and the method  
 338 name, respectively. Given a functional description  $c$ , the retrieval model aims to retrieve the most  
 339 relevant example  $z = (c_r, m_r)$  from the corpus. To achieve this, we first retrieve top- $K$  similar  
 340 functional descriptions from the corpus based on the standard TF-IDF due to low computational  
 341 cost, out of which we further retrieve the most similar functional description based on lexical  
 342 similarity.

343 First, we adopt standard TF-IDF[3] and cosine distance; each functional description  $c$  is associated  
 344 with the semantic sparse-vector  $\text{TF-IDF}(c) \in \mathbb{R}^D$ , where  $D$  denotes the total number of words in  
 345 the corpus, and the similarity is defined as the cosine distance:

$$semantic(a, b) = \frac{\text{TF-IDF}(a) \cdot \text{TF-IDF}(b)}{\|\text{TF-IDF}(a)\| \cdot \|\text{TF-IDF}(b)\|}$$

346 Second, for lexical similarity, we utilize precision-based and recall-based retrieval methods. In  
 347 our study, we use two evaluation metrics, (i.e., BLEU [65] and ROUGE [51]), which measure the  
 348 similarity based on precision and recall, respectively.

349 For the given functional descriptions  $a$  and  $b$ , lexical similarity can be computed as:

$$\text{lexical}(a, b) = \lambda \text{BLEU}(a, b) + (1 - \lambda) \text{ROUGE}(a, b),$$

350 where  $\lambda$  is a hyper-parameter for allowing the flexible control of precision and recall in information  
 351 fusion.

352 In the next step, we resort to a retrieval-enhanced prompt training approach. This approach  
 353 is based on the observations [11, 30, 45, 66] that by granting a model access to external memory  
 354 via information retrieval techniques, more information can be obtained in the model generation  
 355 process and thus the uncertainty can be reduced. With retrieval-based models, knowledge can be  
 356 explicitly introduced through plug-and-play mechanisms, making them more scalable. Additionally,  
 357 compared to generating text from scratch, retrieval-enhanced approaches leverages reference  
 358 information obtained through retrieval, which can alleviate the difficulty of text generation to some  
 359 extent. This approach is similar to contextual learning of Large Language Models.

360 Recall that for the given functional description  $c$ , we obtain the most relevant sample  $z = (c_r, m_r)$   
 361 in the first step. We augment  $c$  to form a retrieval-enhanced functional description  $c'$ .

$$c' = \langle \mathbf{e} \rangle \mathbf{FD}:c_r, \mathbf{name}:m_r \langle / \mathbf{e} \rangle \oplus c$$

362 where,  $z$  is tagged and concatenated with  $c$ , such that the model can learn the most similar functional  
 363 description and method name information.

364 Our model is based on UniXcoder [32], a unified cross-modal pre-trained model which can  
 365 support both code-related understanding and generation tasks based on *Transformer* [82], and  
 366 utilizes mask attention matrices with prefix adapters to control the access to context for each token.

367 For the input  $c'$ , our model first tokenizes it to obtain an input sequence  $\{\mathbf{c}'_i\}_{i=1}^{|c'|}$ . We utilize  
 368 UniXcoder to encode the  $c'$  and decode it to synthesize the method name. Note that the parameters  
 369 of the encoder and decoder in UniXcoder are shared. The final decoder's output of the UniXcoder  
 370  $\mathbf{H}^t$  is sent to a fully connected neural network. This network can pass a softmax layer to predict  
 371 the probability of the next token, which can be defined as follows.

$$p(m_{t+1} | m_1, \dots, m_t) = \text{softmax}(\mathbf{H}^t \mathbf{W} + \mathbf{b})$$

372 In model training, we use the Incomplete-Trust (In-trust) [40] loss function, viz.,

$$\mathcal{L}_{\text{In-trust}}(\theta) = \alpha \mathcal{L}_{\text{CE}}(\theta) + \beta \mathcal{L}_{\text{DCE}}(\theta)$$

373 where  $\mathcal{L}_{\text{CE}}(\theta) = -\sum_{i=1}^{|m|} q \log p$  and  $\mathcal{L}_{\text{DCE}}(\theta) = -\sum_{i=1}^{|m|} p \log(\delta p + (1 - \delta) q)$ . Here  $\mathcal{L}_{\text{CE}}$  represents  
 374 the Cross-Entropy function which is not noise-tolerant but benefits the convergence of the model,  
 375  $\mathcal{L}_{\text{DCE}}$  represents the robust Distrust-Cross-Entropy and can effectively prevent the model from  
 376 overfitting noisy samples;  $p$  denotes the model's prediction distribution and  $q$  denotes the trust  
 377 label distribution.

## 378 4 EVALUATION

379 We aim to evaluate the effectiveness of our approach by answering the following three research  
 380 questions (RQs).

381 **RQ1** How effective is RADAR-Attack in degrading the performance of FD<sup>Sig</sup> by attacking method  
 382 names?

383 **RQ2** How effective is RADAR-Defense in reinstating the performance of FD<sup>Sig</sup>?

384 **RQ3** How effective is RADAR-Defense in terms of the method name generation?

385    **4.1 Experiment Design**

386    **4.1.1 Dataset.** In the fine-tuning code generation task, widely used open-source datasets include  
 387    CONCODE [42] for the Java language, and Django [64], CoNaLa [95], and Juice [1] for the Python  
 388    language.

Issues	NL	Code	Repository
Incomplete Function Description	{@inheritDoc}	<pre>public synchronized Map&lt;String, ByteString&gt; getTags() {     if (tags != null)         return Maps.newHashMap(tags);     else         return Maps.newHashMap(); }</pre>	Git: <a href="https://github.com/10000000000001/bitcoinj">https://github.com/10000000000001/bitcoinj</a> Path: core/src/main/java/org/bitcoinj/utils/BaseTaggableObject.java
Irregularity Method Name	Send a {@link #DEBUG_LEVEL} log message.	<pre>public static void d(Object obj) {     if (Log.DEBUG &gt; DEBUG_LEVEL) {         String tag = getClassName();         String msg = obj != null ? obj.toString() : "obj = " + null;         Log.d(tag, msg);     } }</pre>	Git: <a href="https://github.com/pranavlathigara/android-utils-1">https://github.com/pranavlathigara/android-utils-1</a> Path: src/com/ihongqiqu/util/LogUtils.java
URL Leakage	convert from from_currency to to_currency by requesting API	<pre>def convert_using_api(from_currency, to_currency):     convert_str = from_currency + '_' + to_currency     options = {'compact': 'ultra', 'q': convert_str}     api_url = 'https://free.currencyconverterapi.com/api/v5/convert'     result = requests.get(api_url, params=options).json()     return result[convert_str]</pre>	Git: <a href="https://github.com/NearHuscarl/py-currency">https://github.com/NearHuscarl/py-currency</a> Path: currency/currency.py

Fig. 6. Irregularity issues in the common fine-tuning code generation dataset

389    In our research, we have uncovered irregularity issues within specific datasets that can impact  
 390    the quality and reliability of the data. These issues are illustrated in Fig. 6, and we provide a detailed  
 391    description of each problem. For example, in the original CONCODE dataset, we have observed  
 392    instances of incomplete function descriptions and irregular method names. These inconsistencies  
 393    pose challenges and hinder the advancement of code generation tasks. To support our findings, we  
 394    present specific examples and indicate their sources within the dataset. Similarly, in the CodeSearch-  
 395    Net dataset [41], we have identified instances of URL leakage issues. These issues contribute to the  
 396    presence of low-quality data, further limiting the progress in code generation tasks. To illustrate  
 397    these concerns, we provide concrete examples along with relevant references. The presence of  
 398    irregularity issues and low-quality data in these datasets emphasizes the significance of addressing  
 399    data quality concerns in code generation research.

400    To evaluate our approaches in the fine-tuning code generation task, we need to construct  
 401    new high-quality datasets to avoid these issues and biases, which include functional descriptions,  
 402    signatures, and their corresponding code. To ensure the quality of our newly constructed datasets,  
 403    we designed six heuristic rules to filter out noisy data items by following previous study [42].

- 404    **H1** The code needs to be parsed through the AST tool to ensure that the syntax is correct.
- 405    **H2** The number of sub-words of the method name is no less than 2, and the length of each sub-word  
     is no more than 16.
- 407    **H3** The length of the functional description should be no more than 50 and no less than 4.
- 408    **H4** The length of the code should be no more than 256.
- 409    **H5** Remove annotation information, exception code, and URL information from the code.
- 410    **H6** Unify method names in Java data to hump naming rules and unify method names in Python  
     data to snake naming rules.

412    Our Java dataset is collected from the raw CONCODE [42] dataset, which is from Java projects  
 413    on GitHub, and our python dataset is collected from the raw PyTorrent [8] dataset, which is from  
 414    Python package libraries on PyPI and Anaconda.

415    In the context of the zero-shot code generation task, several popular open-source datasets are  
 416    available. For the Java language, the Aix-bench dataset [34] is commonly utilized. For the Python

language, widely evaluated datasets include Human-Eval [16], MBPP [7], and GSM8K-Python [17]. Among these datasets, Human-Eval is particularly prominent. However, we have observed that the functional descriptions in the Human-Eval dataset contain test case prompts that include method names. To mitigate the potential impact of these method names on the code generated by the model, we adopt an approach of removing the test case prompts from the functional descriptions. By eliminating the prompts related to the test cases, our aim is to minimize potential bias or influence that the method names in the prompts may have on the code generation process.

Descriptive statistics of our datasets, including their length distributions of functional description (FD), signature (Sig), method name (MD), and Code, are provided in Table 1. Following the previous work [42], we randomly select 100,000 examples for training, 2,000 examples for validation, and 2,000 examples for testing in the fine-tuning code generation task. For the zero-shot code generation task, the Human-Eval dataset consists of a total of 164 test data samples.

Table 1. Descriptive statistics of the datasets when tokenized by BPE algorithm

<b>FD</b>	Avg	Mode	Median	< 16	< 32	< 64
Java	14.25	8	11	69.52%	93.52%	99.99%
Python	17.88	8	13	58.45%	82.86%	99.85%
<b>Sig</b>	Avg	Mode	Median	< 8	< 16	< 32
Java	8.49	7	7	58.44%	93.94%	99.85%
Python	7.78	6	6	55.48%	96.92%	99.98%
<b>MD</b>	Avg	Mode	Median	< 4	< 8	< 16
Java	2.85	2	3	79.36%	99.58%	99.99%
Python	2.74	2	3	83.58%	99.92%	100%
<b>Code</b>	Avg	Mode	Median	< 64	< 128	< 256
Java	40.46	28	38	88.86%	99.99%	100%
Python	69.44	42	63	50.38%	92.54%	100%

429 **4.1.2 Victim Models.** The victim models (i.e., the target models under adversarial attacks) are based  
 430 on large-scale pre-trained language models for source code, which can represent state-of-the-art  
 431 research for the code generation task.

432 In the context of the fine-tuning code generation task, we selected CodeGPT, PLBART, and  
 433 CodeT5 as our models. These models have parameter sizes ranging from 100 million to 300 million.

- 434 • **CodeGPT** [57] is a Transformer-based decoder-only model inspired by GPT [71], following  
 435 similar pre-training tasks of GPT including the causal language model.
- 436 • **PLBART** [2] is a Transformer-based encoder-decoder model inspired by BART [44], following  
 437 similar pre-training tasks of BART, including token masking, token deletion, and token infilling.
- 438 • **CodeT5** [88] is a Transformer-based encoder-decoder model inspired by T5[72]. It proposes a  
 439 novel identifier-aware pre-training task to leverage code-specific structural information.

440 In the context of the zero-shot code generation task, we selected Replit, CodeGen, and CodeT5+  
 441 with the best performance within 3 billion parameters, based on the evaluation results of Gunasekar  
 442 et al. [31] and Wang et al. [87].

- 443 • **Replit** [57] is a Transformer-based decoder-only model [71], which uses Flash Attention [21]  
 444 for efficient training and inference, and incorporates AliBi positional embeddings [68] to handle  
 445 variable context length during inference.

- 446 • **CodeGen** [2] is a Transformer-based decoder-only model, which adopts rotary position em-  
447 bedding for improving the ability to handle long documents, and uses JAX [10] for training the  
448 model.  
449 • **CodeT5+** [88] is a Transformer-based encoder-decoder model, which employs a “shallow encoder  
450 and deep decoder” architecture [48], both encoder and decoder are initialized from pretrained  
451 checkpoints and connected by cross-attention layers.

452 **4.1.3 Baselines.** As for baselines, we select six attack methods to generate adversarial examples,  
453 one defense method to improve the robustness of PCGMs, as well as eight method name generation  
454 methods, which are described below.

455 **Baselines for adversarial attack and defense.** In terms of the baselines for the adversarial  
456 attack, we select Foo-Attack, Random-Attack, ALERT-Attack, Genetic-Attack, ReCODE-Attack, and  
457 ACCENT-Attack.

- 458 • **Foo-Attack** is the attack method we introduced in the motivation, involving the replacement of  
459 all method names with the term “foo”.  
460 • **Random-Attack** is a method proposed by Zeng et al. [98] that involves randomly substitut-  
461 ing method names. In their empirical study, Random-Attack demonstrates improved attack  
462 effectiveness compared to existing NLP-based adversarial attack algorithms.  
463 • **ALERT-Attack** is a method proposed by Yang et al. [93]. It utilizes CodeBERT and GraphCode-  
464 BERT to generate natural candidates and employs a combination of greedy search and genetic  
465 algorithm for optimization.  
466 • **Genetic-Attack** is a method proposed by Alzantot et al. [5]. It utilizes Glove and GoogleLM to  
467 generate candidates and employs a genetic algorithm for optimization.  
468 • **ReCODE-Attack** is a method proposed by Wang et al. [84]. It utilizes rule-based transformations  
469 to generate candidates and employs a greedy search for optimization.  
470 • **ACCENT-Attack** is a method proposed by Zhou et al. [104]. It first selects several of the most  
471 important tokens and then employs word2vec to generate candidates.

472 When addressing adversarial defense, several common defense methods can be employed, such as  
473 gradient-based adversarial training, data augmentation, and mask training (proposed by ACCENT-  
474 Defense). It is important to note that gradient-based adversarial training may lead to a decline  
475 in model performance, while the effectiveness of data augmentation relies on the quality of the  
476 adversarial samples. Among these defense methods, ACCENT-Defense stands out as a lightweight  
477 mask learning approach based on active defense. Its objective is to enhance both the robustness  
478 and performance of the model. Given its effectiveness and relevance to our research, we consider  
479 ACCENT-Defense as the primary baseline for our study.

480 **Baselines for method name generation.** We consider eight name generation methods, which  
481 are classified into three groups: information-retrieval (including BM25[76], NNGen [55], and  
482 CCGIR [91]), deep-learning (including RNN-Att-Copy [25], CodeBERT [23], and UniXcoder [32]),  
483 and retrieval-enhanced methods (including Rencos [101] and REINA [86]).

484 These methods are widely used in method name generation, text summarization, and code  
485 summarization. In this study, we train them with functional descriptions as the input and method  
486 names as the output, as per the individual model.

487 **4.1.4 Evaluation Metrics and Hyper-parameters.** To assess the effectiveness of adversarial attacks  
488 in the fine-tuning code generation task, we consider three evaluation metrics: BLEU [65], Code-  
489 BLEU [74], and Attack Success Rate (ASR [104]). Here, ASR is defined as the percentage of generated  
490 adversarial examples that successfully decrease the CodeBLEU score of the generated code. For the  
491 zero-shot code generation task, we consider four evaluation metrics: BLEU, CodeBLEU, Pass@1 [16],

Table 2. Hyper-parameters settings of RADAR

Category	Hyper-parameter Name	Hyper-parameter Value
RADAR-Attack	size_population	20
	max_iterations	50
	crossover_prob	0.9
	mutation_prob	0.001
	early_stop	3
RADAR-Defense	top-K in Java	9
	$\lambda$ in Java	0.6
	top-K in Python	3
	$\lambda$ in Python	0.1
	max_source_length	128
	max_target_length	24
	batch_size	64
	max_epoch	50
	learning_rate	4e-5
	early_stop	3

and Attack Success Rate (ASR). Here, ASR is defined as the percentage of generated adversarial examples that successfully reduce the Pass@1 score of the generated code. For method name generation, we use three evaluation metrics, i.e., Exact Match (EM) [25], BLEU and Edit Distance (ED) [25]. These performance measures have been widely used in previous studies for neural code generation and automatic method name generation [23, 25, 32, 33, 57, 88, 104]. Note that the scores of *BLEU*, *CodeBLEU*, *Pass@1*, *Exact Match*, and *Success rate* are in the range of [0,1]; the higher, the better. *Edit Distance* is measured in actual values; the smaller, the better.

The hyper-parameters are optimized according to actual performance and the values are summarized in Table 2. The first four rows mean the parameters of GA in RADAR-Attack and the following rows mean the parameters of model training and inference in RADAR-Defense. For the implementation of GA, we utilize the scikit-opt<sup>2</sup> library. For the implementation of RADAR-Defense, we utilize the Pytorch<sup>3</sup> and Transformers<sup>4</sup> libraries.

4.1.5 *Experiment Platform.* All the experiments were run on Intel(R) Xeon(R) Silver 4210 CPU and GeForce RTX3090 GPU with 24 GB memory. The operating system is Linux Debian.

## 4.2 Experimental Results

### RQ1: How effective is RADAR-Attack in degrading the performance of FD<sup>Sig</sup> by attacking method names?

We investigate whether the existing FD<sup>Sig</sup> PCGMs are vulnerable to method name attacks, and in case they are, whether our defense method can reinstate their performance. As discussed in Section 4.1.2, we include three PCGMs, namely CodeGPT, PLBART, and CodeT5, for the fine-tuning code generation task. For the zero-shot code generation task, we consider three PCGMs, namely Replit, CodeGen, and CodeT5+. Here we consider four performance measures (i.e., BLEU, CodeBLEU,

<sup>2</sup><https://github.com/guofei9987/scikit-opt>

<sup>3</sup><https://pytorch.org/>

<sup>4</sup><https://github.com/huggingface/transformers>

Table 3. Evaluation results of comparing RADAR and the baselines in terms of adversarial attack in the Java dataset

Model	Method	BLEU	CodeBELU	ASR
CodeGPT	FD	11.56	14.78	–
	FD <sup>Sig</sup>	23.18	26.33	–
	Foo-Attack	16.95 (↓ 26.88%)	20.09 (↓ 23.70%)	55.40%
	Random-Attack	15.52 (↓ 33.05%)	19.82 (↓ 24.72%)	58.25%
	ALERT-Attack	13.85 (↓ 40.25%)	17.24 (↓ 34.52%)	65.52%
	Genetic-Attack	14.25 (↓ 38.52%)	17.88 (↓ 32.09%)	60.48%
	ReCODE-Attack	15.11 (↓ 34.81%)	18.48 (↓ 29.81%)	59.58%
	ACCENT-Attack	14.31 (↓ 38.27%)	17.60 (↓ 33.16%)	61.05%
PLBART	FD	20.84	29.38	–
	FD <sup>Sig</sup>	35.19	43.71	–
	Foo-Attack	27.47 (↓ 21.94%)	36.32 (↓ 16.91%)	56.15%
	Random-Attack	25.22 (↓ 28.33%)	33.67 (↓ 22.97%)	58.85%
	ALERT-Attack	23.52 (↓ 33.16%)	32.62 (↓ 25.37%)	63.58%
	Genetic-Attack	22.85 (↓ 35.07%)	31.52 (↓ 27.89%)	67.20%
	ReCODE-Attack	24.59 (↓ 30.12%)	32.98 (↓ 24.55%)	62.48%
	ACCENT-Attack	23.34 (↓ 33.67%)	32.53 (↓ 25.58%)	64.40%
CodeT5	FD	20.53	30.43	–
	FD <sup>Sig</sup>	38.45	46.09	–
	Foo-Attack	31.21 (↓ 18.83%)	37.83 (↓ 17.92%)	54.15%
	Random-Attack	28.74 (↓ 25.25%)	36.39 (↓ 21.05%)	59.10%
	ALERT-Attack	26.40 (↓ 31.34%)	34.16 (↓ 25.88%)	64.88%
	Genetic-Attack	25.45 (↓ 33.81%)	33.66 (↓ 26.97%)	67.52%
	ReCODE-Attack	25.87 (↓ 32.72%)	33.95 (↓ 26.34%)	66.21%
	ACCENT-Attack	25.81 (↓ 32.87%)	33.38 (↓ 27.58%)	66.25%
RADAR	RADAR-Attack	<b>24.48 (↓ 36.33%)</b>	<b>31.58 (↓ 31.48%)</b>	<b>74.65%</b>

Pass@1, Attack Success rate), which have been widely used in previous studies of neural code generation [2, 14, 16, 19, 57, 67, 80, 88] and adversarial example generation [6, 49, 81, 93, 94, 98, 104].

Table 3 and Table 4 show the evaluation results of these three victim models before and after the attacks for fine-tuning code generation tasks, respectively. The second column gives the used method. Columns 3–5 in Table 3 show the performance metrics for the Java dataset while columns 3–5 in Table 4 show the counterparts for the Python dataset. The rows marked by FD and FD<sup>Sig</sup> show the performance of each PCGM when the signature is either excluded or included in the input. The following three rows show how the model performs under different adversarial attacks (i.e., with modified method names).

From this table, we can first observe that the performance of the code generation with FD<sup>Sig</sup> is consistently better than that with FD, in terms of all the metrics. For instance, for the CodeT5 model, on the Java dataset, in terms of both BLEU and CodeBELU, the code generation with FD<sup>Sig</sup> performs nearly 1.5 times better than with FD. On the Python dataset, the code generation with FD<sup>Sig</sup> performs nearly four times better than with FD in BLEU performance and nearly twice as

Table 4. Evaluation results of comparing RADAR and the baselines in terms of adversarial attack in the Python dataset

Model	Method	BLEU	CodeBLEU	ASR
CodeGPT	FD	5.06	18.77	–
	FD <sup>Sig</sup>	11.94	24.27	–
	Foo-Attack	9.02 (↓ 24.46%)	22.10 (↓ 8.94%)	56.05%
	Random-Attack	8.11 (↓ 32.08%)	20.88 (↓ 13.97%)	56.55%
	ALERT-Attack	7.94 (↓ 33.50%)	18.47 (↓ 23.90%)	61.20%
	Genetic-Attack	7.48 (↓ 37.35%)	18.32 (↓ 24.52%)	60.50%
	ReCODE-Attack	7.92 (↓ 33.67%)	19.12 (↓ 21.22%)	59.28%
	ACCENT-Attack	7.65 (↓ 35.93%)	18.58 (↓ 23.44%)	60.00%
PLBART	FD	7.85	20.60	–
	FD <sup>Sig</sup>	19.99	30.12	–
	Foo-Attack	16.93 (↓ 15.31%)	26.13 (↓ 13.25%)	56.15%
	Random-Attack	14.39 (↓ 28.01%)	25.89 (↓ 14.04%)	57.95%
	ALERT-Attack	14.21 (↓ 28.91%)	25.24 (↓ 16.20%)	60.55%
	Genetic-Attack	13.68 (↓ 31.57%)	24.98 (↓ 17.07%)	63.85%
	ReCODE-Attack	14.63 (↓ 26.81%)	25.85 (↓ 14.18%)	57.80%
	ACCENT-Attack	<b>13.00 (↓ 34.97%)</b>	24.61 (↓ 18.29%)	62.35%
CodeT5	FD	5.35	19.11	–
	FD <sup>Sig</sup>	21.69	33.26	–
	Foo-Attack	19.37 (↓ 10.70%)	29.23 (↓ 12.12%)	53.50%
	Random-Attack	15.11 (↓ 30.34%)	27.59 (↓ 17.05%)	58.95%
	ALERT-Attack	14.59 (↓ 32.73%)	26.53 (↓ 20.23%)	64.75%
	Genetic-Attack	13.84 (↓ 36.19%)	25.68 (↓ 22.79%)	69.50%
	ReCODE-Attack	14.21 (↓ 34.49%)	25.94 (↓ 22.01%)	68.50%
	ACCENT-Attack	13.57 (↓ 37.44%)	25.04 (↓ 24.71%)	71.00%
	RADAR-Attack	<b>13.23 (↓ 39.00%)</b>	<b>24.52 (↓ 26.28%)</b>	<b>72.80%</b>

well as in CodeBLEU performance. In short, the code generation with FD<sup>Sig</sup> performs nearly twice as well as with FD in most cases.

Furthermore, we observe that all the PCGMs are vulnerable to adversarial attacks in the fine-tuning code generation task, as their performance decreases largely when the method names are modified. However, the impact of adversarial attacks varies across these models. Among them, the simplest foo-Attack can cause 9%-27% performance degradation in code generation on the test set for all three models. In addition, well-designed attacks (such as ACCENT-Attack and RADAR-Attack) can have a more severe impact on the model performance.

Take the CodeT5 model as an example, RADAR-Attack degrades its BLEU and CodeBLEU performance on the Java dataset by 36.33% and 31.58% respectively, and can successfully attack 74.65% of the test set samples. On the Python dataset, the CodeT5's BLEU and CodeBLEU performance is degraded by 39.00% and 26.28% respectively, and RADAR-Attack can successfully attack 72.80% of the test set samples.

Table 5. Evaluation results of comparing RADAR and the baselines in terms of adversarial attack in the Human-Eval dataset

Model	Method	BLEU	CodeBELU	Pass@1	ASR
Replit	FD	–	–	–	–
	FD <sup>Sig</sup>	28.56	29.98	18.90	–
	Foo-Attack	<b>25.48 (↓ 10.78%)</b>	<b>27.73 (↓ 7.51%)</b>	15.85 (↓ 16.14%)	29.03%
	Random-Attack	26.26 (↓ 8.05%)	28.99 (↓ 3.30%)	16.46 (↓ 12.91%)	25.81%
	ALERT-Attack	26.24 (↓ 8.12%)	29.21 (↓ 2.57%)	14.02 (↓ 25.82%)	32.26%
	Genetic-Attack	26.50 (↓ 7.21%)	29.14 (↓ 2.80%)	15.24 (↓ 19.37%)	29.03%
	ReCODE-Attack	26.40 (↓ 7.56%)	28.62 (↓ 4.54%)	15.85 (↓ 16.14%)	25.81%
	ACCENT-Attack	25.90 (↓ 9.31%)	28.36 (↓ 5.40%)	13.41 (↓ 29.05%)	35.48%
CodeGen	FD	–	–	–	–
	FD <sup>Sig</sup>	30.18	33.01	21.34	–
	Foo-Attack	30.71 (↑ 1.76%)	32.48 (↓ 1.61%)	17.68 (↓ 17.15%)	25.71%
	Random-Attack	28.12 (↓ 6.83%)	31.80 (↓ 3.67%)	15.24 (↓ 28.58%)	42.86%
	ALERT-Attack	26.71 (↓ 11.50%)	29.75 (↓ 9.88%)	14.02 (↓ 34.30%)	45.71%
	Genetic-Attack	28.76 (↓ 4.71%)	30.89 (↓ 6.42%)	13.41 (↓ 37.16%)	37.14%
	ReCODE-Attack	28.90 (↓ 4.24%)	30.96 (↓ 6.21%)	18.90 (↓ 11.43%)	20.00%
	ACCENT-Attack	27.70 (↓ 8.22%)	30.19 (↓ 8.54%)	14.02 (↓ 34.30%)	42.86%
CodeT5+	FD	–	–	–	–
	FD <sup>Sig</sup>	27.21	30.92	21.95	–
	Foo-Attack	25.75 (↓ 5.37%)	29.10 (↓ 5.89%)	20.73 (↓ 5.56%)	25.00%
	Random-Attack	25.63 (↓ 5.81%)	29.31 (↓ 5.21%)	16.46 (↓ 25.01%)	36.11%
	ALERT-Attack	24.18 (↓ 11.14%)	26.88 (↓ 13.07%)	13.41 (↓ 38.91%)	44.44%
	Genetic-Attack	<b>23.35 (↓ 14.19%)</b>	<b>26.04 (↓ 15.78%)</b>	13.41 (↓ 38.91%)	44.44%
	ReCODE-Attack	24.89 (↓ 8.53%)	27.58 (↓ 10.80%)	18.29 (↓ 16.67%)	25.00%
	ACCENT-Attack	24.13 (↓ 11.32%)	26.63 (↓ 13.87%)	14.63 (↓ 33.35%)	47.22%
	RADAR-Attack	26.51 (↓ 2.57%)	28.48 (↓ 7.89%)	<b>12.20 (↓ 44.42%)</b>	<b>50.00%</b>

541 Table 5 presents the evaluation results of three victim models (Replit, CodeGen, and CodeT5+) before and after the attacks in the zero-shot code generation task. Similar to the findings in  
542 the fine-tuning code generation task, it is evident that all PCGMs are susceptible to adversarial  
543 attacks, resulting in significant performance degradation when method names are modified. In  
544 our experiments, we observed that in certain cases, the model generated incorrect code based  
545 on the original prompt but made correct predictions when presented with perturbed prompts,  
546 which aligns with the findings of Wang et al. [84]. To accurately evaluate the ASR, we computed  
547 the ratio of samples where the model correctly generated code based on the original prompt but  
548 made incorrect predictions on perturbed prompts, to the total number of samples where the model  
549 correctly generated code based on the original prompt. Using the CodeGen model as an example,  
550 the RADAR-Attack method leads to a reduction in BLEU and CodeBLEU performance by 12.16% and  
551 13.84%, respectively. Moreover, it successfully attacks 51.43% of the samples in the test set. These  
552 results highlight the vulnerability of PCGMs to adversarial attacks, emphasizing the importance of  
553 robust defense mechanisms in code generation tasks.

555 All the existing attack methods, including our proposed RADAR-Attack, have a detrimental  
556 impact on the performance of Replit, CodeGen, and CodeT5+ PCGMs, particularly in terms of the  
557 Pass@1 metric. However, in contrast to the PCGMs used in the fine-tuning code generation task,

Table 6. Evaluation results of comparing RADAR and the baselines in terms of attack and defense

Model	Method	Java		Python	
		BLEU	CodeBLEU	BLEU	CodeBLEU
CodeGPT	FD <sup>Sig</sup>	<b>23.18</b>	<b>26.33</b>	11.94	24.27
	RADAR-Attack	13.02	16.13	7.09	17.86
	ACCENT-Defense	17.95	20.90	9.20	21.61
	RADAR-Defense	22.15	25.45	<b>12.54</b>	<b>24.44</b>
PLBART	FD <sup>Sig</sup>	35.19	<b>43.71</b>	<b>19.99</b>	30.12
	RADAR-Attack	22.61	31.31	13.31	24.18
	ACCENT-Defense	27.57	36.24	14.49	26.52
	RADAR-Defense	<b>35.84</b>	43.61	19.64	<b>30.88</b>
CodeT5	FD <sup>Sig</sup>	38.45	46.09	<b>21.69</b>	<b>33.26</b>
	RADAR-Attack	24.28	31.58	13.23	24.52
	ACCENT-Defense	30.31	37.43	16.01	27.22
	RADAR-Defense	<b>39.29</b>	<b>46.11</b>	21.31	32.90

558 these models (Replit, CodeGen, and CodeT5+) do not exhibit significant differences in token-level  
 559 similarity metrics such as BLEU and CodeBLEU. The lack of substantial differentiation in token-  
 560 based similarity metrics can be attributed to the gap between these metrics and execution-based  
 561 metrics. As a result, the impact of RADAR-Attack on the CodeT5+ model, for example, only leads  
 562 to a modest degradation of 2.57% in BLEU and 7.89% in CodeBLEU. Nonetheless, RADAR-Attack  
 563 successfully attacks 50.00% of the samples in the test set. These findings highlight the limitations of  
 564 token-level similarity metrics when assessing the robustness of PCGMs and emphasize the need to  
 565 consider execution-based metrics for a comprehensive evaluation.

566 In general, we have observed that the ASR performance of RADAR-Attack is optimal across all  
 567 datasets and victim models. Specifically, on the Java dataset, the ASR performance of RADAR-Attack  
 568 is, on average, 4.40% higher than the second best baseline method. On the Python dataset, the  
 569 ASR performance of RADAR-Attack is, on average, 2.96% higher than the second best baseline  
 570 method. On the Human-Eval dataset, the ASR performance of RADAR-Attack is, on average, 17.73%  
 571 higher than the second best baseline method. It is worth mentioning that since the Java dataset  
 572 and the Python dataset do not support the calculation of the Pass@1 metric, we calculated the  
 573 ASRs on these two datasets by reducing the CodeBLEU value. However, this method may not be as  
 574 accurate as the Human-Eval dataset in terms of semantic consistency. Considering the significant  
 575 improvement in performance on the Human-Eval dataset, it can be concluded that RADAR-Attack  
 576 has a substantial impact on the ASR performance.

### Summary for RQ1

577 Existing PCGMs are generally vulnerable to adversarial attacks on method names both  
 578 in fine-tuning and zero-shot code generation tasks, which shows that the quality of the  
 579 method names in the signature is crucial for PCGMs. In general, RADAR-Attack is the most  
 580 effective method in attacking the models.

### 581 RQ2: How effective is RADAR-Defense in reinstating the performance of FD<sup>Sig</sup>?

Table 7. Evaluation results of comparing RADAR and the baselines in terms of attack and defense

Model	Method	BLEU	CodeBLEU	Pass@1
Replit	FD <sup>Sig</sup>	<b>28.56</b>	29.98	<b>18.90</b>
	RADAR-Attack	25.87	28.27	12.80
	ACCENT-Defense	-	-	-
	RADAR-Defense	28.51	<b>30.21</b>	18.29
CodeGen	FD <sup>Sig</sup>	<b>30.18</b>	<b>33.01</b>	21.34
	RADAR-Attack	26.51	28.44	12.20
	ACCENT-Defense	-	-	-
	RADAR-Defense	29.95	32.99	<b>21.95</b>
CodeT5+	FD <sup>Sig</sup>	<b>27.21</b>	<b>30.92</b>	<b>21.95</b>
	RADAR-Attack	26.51	28.48	12.20
	ACCENT-Defense	-	-	-
	RADAR-Defense	26.94	30.04	20.12

579 Table 6 summarizes evaluation results on the three victim models of the two defense strategies for  
 580 fine-tuning code generation task. Rows of FD<sup>Sig</sup> and RADAR-Attack recapitulate the performance  
 581 of PCGMs when the method name is unattacked or attacked respectively, followed by two rows  
 582 showing how the model performs under the two different defense strategies.

583 In terms of defense, we find that the mask training employed in ACCENT-Defense can indeed  
 584 resist some attack examples, mainly because the mask training masks the attacked method name  
 585 and lets the model learn the corresponding code generation after the mask. Compared to ACCENT-  
 586 Defense, RADAR-Defense is a passive defense method to sanitize the input, and the performance  
 587 of the defended model is almost the same as that of the original environment (e.g., CodeT5 has a  
 588 BLEU metric of 21.69 on the Python dataset, and the metric drops to 13.23 after being attacked  
 589 by RADAR-Attack, but after RADAR-Defense the metric reinstates to 21.31) Moreover, we are  
 590 surprised to observe that some models can slightly improve their code generation performance  
 591 after defending the method names in the signature. For instance, CodeT5’s performance measured  
 592 in BLEU and CodeBLEU is improved by 61.82% and 46.01% respectively, by RADAR-Defense on the  
 593 Java dataset, when compared with that of the attacked model. ACCENT-Defense, on the other hand,  
 594 only improved 24.84% of the BLEU performance and 18.52% of the CodeBLEU performance. These  
 595 results show that the defense of RADAR-Defense is superior. Indeed, RADAR-Defense even exceeds  
 596 the performance of the original methods on some combinations (e.g., CodeBLEU in Python using  
 597 CodeGPT, BLEU in Java and CodeBLEU in Python using PLBART, and both BLEU and CodeBLEU  
 598 in Java using CodeT5). It also indicates that the quality of method names in the signature is crucial  
 599 for the model to generate code.

600 In the zero-shot code generation task, since the PCGMs are not fine-tuned on the HumanEval  
 601 dataset, an approach based on active defense is not suitable for this scenario. Table 7 provides a  
 602 summary of the evaluation results for the three victim models under our defense method in the  
 603 zero-shot code generation task. Consistent with the findings from the fine-tuning code generation  
 604 task, the defended models exhibit performance that is nearly equivalent to the original environment.  
 605 Furthermore, we observe that some models can experience slight improvements in their code gen-  
 606 eration performance after defending the method names in the signatures. For example, CodeGen’s  
 607 Pass@1 metric increases from 21.34 in the original environment to 21.95 in the RADAR-Defense.

These results highlight the significance and advantages of employing well-chosen method names in neural code generation, both in the fine-tuning and zero-shot code generation tasks.

In general, we observe that our proposed RADAR-Defense method is a passive defense approach that ensures both clean performance and robustness of the model without the need for retraining. Therefore, our RADAR-Defense method provides a viable way that enhances model robustness without sacrificing clean performance. This passive defense approach has certain advantages over active defense methods, especially in scenarios with high costs and limitations in zero-shot scenarios.

### Summary for RQ2

RADAR-Defense, as a passive defense method, shows better defense performance and is capable of bringing the performance of FD<sup>Sig</sup> back. As well, it also shows that the quality of the method names in the signature is crucial for PCGMs.

616

### RQ3: How effective is our proposed RADAR-Defense in terms of method name generation?

Results of RQ1 and RQ2 demonstrate the importance of method names in neural code generation. In RQ3, we investigate whether our method can synthesize high-quality method names for programmers. Note that for our zero-shot evaluation in the Human-Eval task, we utilize the model trained by RADAR-Defense on the Python dataset that we collected in Section 4.1.1.

For the baselines with shared code (e.g., NNGen, CCGIR, CodeBERT, UniXcoder, Rencos, and REINA), we directly used their implementation to obtain the optimal values of parameters and trained the models. Otherwise (e.g., BM25 and RNN-Att-Copy), we replicated them according to the description of the original studies.

Table 8. Evaluation results of comparing RADAR-Defense with the baselines for the Java dataset

Type	Method	EM	BLEU	ED
Information Retrieval	BM25	22.00	42.24	9.39
	NNGen	23.65	45.93	8.93
	CCGIR	23.50	46.97	8.71
	<b>RADAR-IR</b>	24.10	46.66	8.70
Deep Learning	RNN-Att-Copy	22.20	47.99	8.37
	CodeBERT	40.95	63.76	6.13
	UniXcoder	43.35	65.66	5.99
IR-Enhanced	Rencos	27.75	53.53	7.39
	REINA	41.00	63.51	6.39
	<b>RADAR-Defense</b>	<b>47.60</b>	<b>68.86</b>	<b>5.28</b>

Table 8, Table 9, and Table 10 show the results of RADAR-Defense and the baselines for the Java, Python, and Human-Eval datasets respectively. The second column of the tables shows the considered baselines. Columns 3–5 show the results of the performance metrics.

First, when comparing RADAR-Defense with the information retrieval baselines, we observe that, since CCGIR uses dense vectors for retrieval while both BM25 and NNGen use sparse vectors for retrieval, CCGIR performs slightly better than BM25 and NNGen on both datasets. Then CodeBERT used by CCGIR for semantic vectorization representation will take more time, and our

Table 9. Evaluation results of comparing RADAR-Defense with the baselines for the Python dataset

Type	Method	EM	BLEU	ED
Information Retrieval	BM25	14.50	31.39	10.68
	NNGen	14.75	32.00	10.42
	CCGIR	15.20	32.62	10.34
	<b>RADAR-IR</b>	15.10	34.58	9.98
Deep Learning	RNN-Att-Copy	11.60	37.66	9.29
	CodeBERT	25.35	50.18	7.58
	UniXcoder	27.40	52.46	7.67
IR-Enhanced	Rencos	17.55	39.63	9.12
	REINA	25.35	49.98	7.93
	<b>RADAR-Defense</b>	<b>32.60</b>	<b>57.56</b>	<b>6.65</b>

Table 10. Evaluation results of comparing RADAR-Defense with the baselines for the Human-Eval dataset

Type	Method	EM	BLEU	ED
Information Retrieval	BM25	0.61	7.90	13.42
	NNGen	0.61	4.98	12.95
	CCGIR	0.00	4.66	12.84
	<b>RADAR-IR</b>	1.22	10.05	12.43
Deep Learning	RNN-Att-Copy	1.22	9.71	11.07
	CodeBERT	14.63	32.33	8.22
	UniXcoder	29.88	46.62	7.24
IR-Enhanced	Rencos	7.58	18.45	10.14
	REINA	22.81	42.60	8.19
	<b>RADAR-Defense</b>	<b>32.93</b>	<b>49.62</b>	<b>6.09</b>

proposed information retrieval method can achieve better performance in less time, showing that our proposed method's information retrieval part is effective.

Second, when comparing RADAR-Defense with the deep learning baselines, we find that among all the deep learning baselines, RADAR-Defense has the best performance.

Last, results of comparing the hybrid baselines with our method show that RADAR-Defense can largely improve the performance of the methods. More specifically, compared to the best-performing baseline UniXcoder, on the Java dataset, RADAR-Defense improves the EM, BLEU, and ED performances by 9.80%, 4.87%, and 11.85% respectively; on the Python dataset, RADAR-Defense improves the EM, BLEU, and ED performances by 18.98%, 9.72%, and 12.27%, respectively; on the Human-Eval dataset, RADAR-Defense improves the EM, BLEU, and ED performances by 9.26%, 6.44%, and 15.88%, respectively.

To further investigate the component setting rationality of our proposed method RADAR-Defense, we carry out an ablation study. We have considered five variants through permutations between components. The experimental results are given in Table 11 and show that the inclusion of each component is reasonable. The most significant impact on model performance among these three components is our proposed prompt method. With the same settings for the remaining two components, adding the prompt will give RADAR-Defense a more substantial performance boost.

Table 11. Ablation experiments between three components

Dataset	IR	Prompt	In_trust Loss	EM	BLEU	ED
Java	–	–	–	43.35	65.66	5.99
	–	–	✓	43.75	66.07	5.90
	✓	–	–	43.45	66.04	5.83
	✓	–	✓	43.55	66.27	5.83
	✓	✓	–	47.10	67.70	5.34
	✓	✓	✓	<b>47.60</b>	<b>68.86</b>	<b>5.28</b>
Python	–	–	–	27.40	52.46	7.67
	–	–	✓	28.30	52.77	7.52
	✓	–	–	27.60	53.05	7.23
	✓	–	✓	28.40	53.69	7.33
	✓	✓	–	<b>32.60</b>	56.74	6.76
	✓	✓	✓	<b>32.60</b>	<b>57.56</b>	<b>6.65</b>
Human-Eval	–	–	–	29.88	46.62	7.24
	–	–	✓	29.88	46.23	6.95
	✓	–	–	30.58	47.85	6.88
	✓	–	✓	31.05	48.11	6.56
	✓	✓	–	32.76	49.11	6.27
	✓	✓	✓	<b>32.93</b>	<b>49.62</b>	<b>6.09</b>

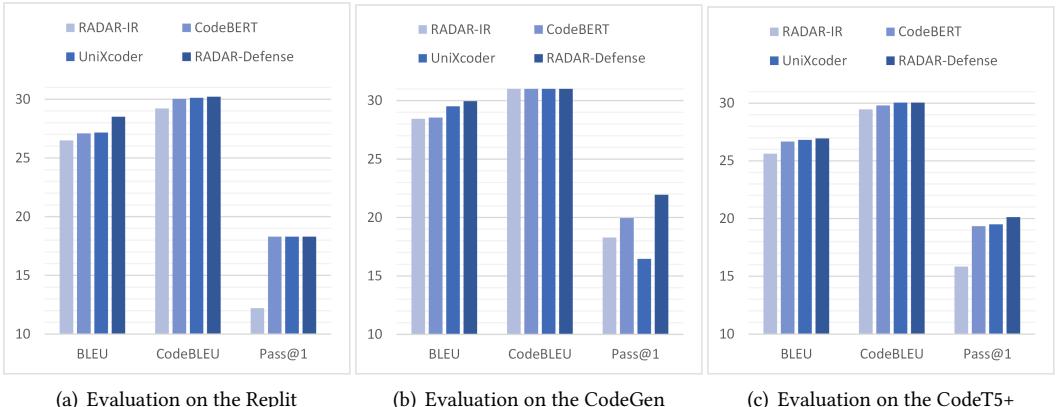


Fig. 7. The impact of the quality of generated method names on the robustness improvement of PCGMs

Furthermore, we conduct an investigation into the impact of data quality on the improvement of robustness. In the zero-shot code generation task, we generate method names using RADAR-IR, CodeBERT, UniXcoder, and RADAR-Defense. These methods for generating method names demonstrate increasing performance in the method name generation task. As depicted in Fig. 7, we observe a correlation between the quality of the generated data and the improvement in robustness. Across all three models, we notice that the BLEU and CodeBLEU metrics improve as the quality of the generated data increases. Moreover, in most cases, the Pass@1 metric also shows improvement

as the quality of the generated data increases. These experimental findings further highlight the importance of utilizing high-quality method names in neural code generation tasks.

In general, we observe that our proposed RADAR-Defense method is able to generate method names that are closer to the golden truth and the method names generated by RADAR-Defense can improve the accuracy of code generation by PCGMs. The success of RADAR-Defense can be attributed to the following factors: (1) the choice of the base model: UniXcoder. UniXcoder has demonstrated the best performance among existing baselines, making it a strong foundation for RADAR-Defense; (2) the retrieval-enhanced prompt learning method and the application of the In\\_trust loss, which are reflected in the ablation experiments presented in Table 11.

### Summary for RQ3

RADAR-Defense can achieve better performance than eight state-of-the-art baselines of three different types. In our ablation study, the prompt component demonstrates the most influence on the performance of the method. More importantly, the quality of the method names also impacts the robustness improvement.

666

## 5 DISCUSSION

### 5.1 Qualitative Analysis

	<i>Choose the most common item from the list, or the first item if all items are unique</i>		
<i>Reference</i>	<pre>def most_common_item(lst):     lst = [l for l in lst if l]     if lst:         return max(set(lst), key=lst.count)     else:         return None</pre>	<i>Helper method that returns the Uri to the directory pathname.</i>	
<i>Attack</i>	<pre>def forward_at_item(lst):     lst = [l for l in lst if l]     if len(lst) == 0:         return None     elif max(lst) == list(set(lst)):         return max(lst)     else:         left_item = lst[0]         right_item = lst[1]     return left_item, right_item</pre>	<pre>Uri getDirectoryPathname () {     Bundle data = mMMessage.getData();     return data.getParcelable(DIRECTORY_PATHNAME); }</pre>	
<i>Defense</i>	<pre>def mask(lst):     lst = [l for l in lst if l]     if lst:         return max(set(lst),                    key=lambda x: x[1][1])     else:         return None</pre>	<pre>Uri getDevicePathname () {     Bundle data = mMMessage.getData();     return data.getParcelable(DEVICE_PATHNAME); }</pre>	<pre>Uri gotDirectoryPathname () {     String directoryName =     directoryNameField.getText();     return Uri.fromFile(         directoryName); }</pre>
	<i>def msot_common_term(lst):</i>	<i>def most_common_term(lst):</i>	
	<pre>lst = [l for l in lst if l] if len(lst) == 0:     return None elif max(lst) == list(set(lst)):     return max(lst) else:     left_item = lst[0]     right_item = lst[1] return left_item, right_item</pre>	<pre>lst = [l for l in lst if l] if lst:     n = max(set(lst),             key=lst.count) else:     n = 0 return lst[n]</pre>	
	<i>def mask(lst):</i>	<i>def most_common_item(lst):</i>	
	<pre>lst = [l for l in lst if l] if lst:     return max(set(lst),                key=lambda x: x[1][1]) else:     return None</pre>	<pre>lst = [l for l in lst if l] if lst:     return max(set(lst),                key=lst.count) else:     return None</pre>	
			<i>Uri getDirectoryPathname () {     Bundle data = mMMessage.getData();     return     data.getParcelable(DIRECTORY_PATHNAME); }</i>
	<i>ACCENT</i>	<i>RADAR</i>	<i>ACCENT</i>

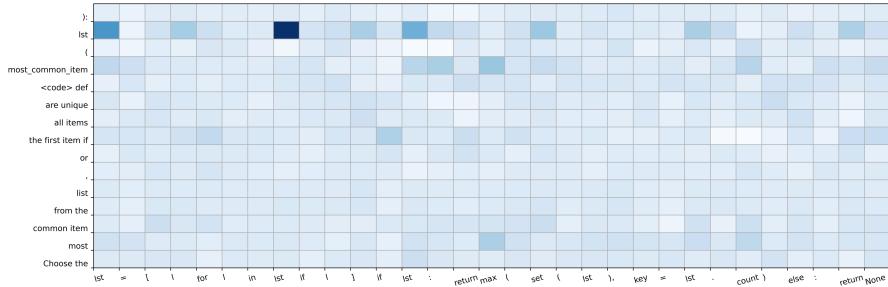
(a) An example in the Python Dataset

(b) An example in the Java Dataset

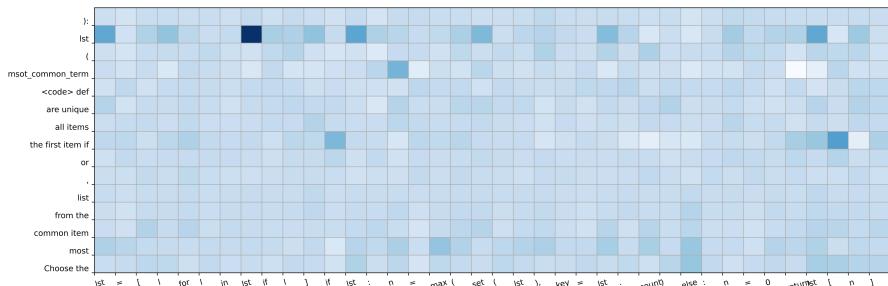
Fig. 8. Two examples of generated code by CodeT5 when attacked and defended by RADAR and ACCENT

In Section 4.2, we design three RQs to provide a quantitative study of the effectiveness of conducted performance comparisons between RADAR and baselines automatically in terms of performance measures. However, these performance measures may not truly reflect the semantic similarity [78]. To further demonstrate the effectiveness of RADAR, we conduct qualitative analysis. **Examples in Robustness of Pre-trained Code Generation.** For the fine-tuning code generation task, we give a Python example based on a real-world project<sup>5</sup> and a Java example based on a

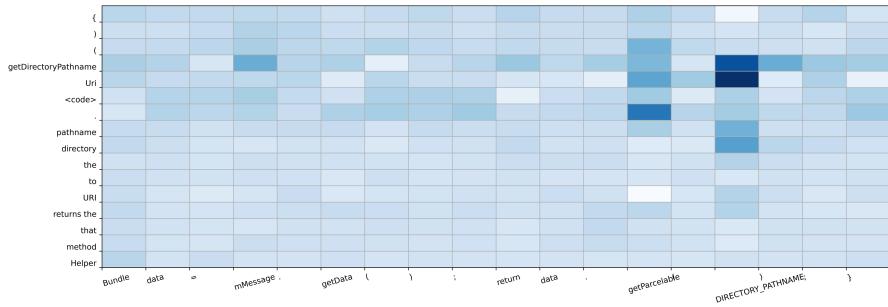
<sup>5</sup><https://pypi.org/project/spirit/2.1.1/>



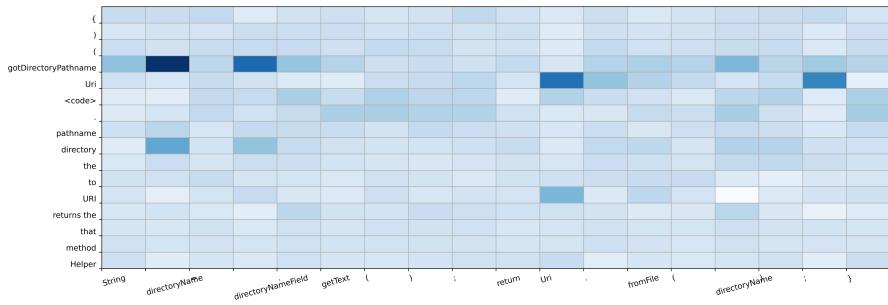
(a) Heat map before being attacked in Python example code



(b) Heat map after being attacked in Python example code



(c) Heat map before being attacked in Java example code



(d) Heat map after being attacked in Java example code

Fig. 9. Explore the effect of method names on the Python example code generated by CodeT5 before and after being attacked

real-world project<sup>6</sup> using the CodeT5 model. Fig. 8 shows these two examples of generated code by CodeT5 when attacked and defended by RADAR and ACCENT. The first row gives the functional description, signature, and reference code, where the generated code by CodeT5 is the same as the reference code. The second row shows adversarial examples generated by RADAR-Attack and ACCENT-Attack while the third row shows the effectiveness of two defensive methods.

From Fig. 8 (a), we can see that the original method name is `most_common_item`. The adversarial example `forward_at_item` generated by ACCENT-Attack is based on semantic similarity, which is not as natural as `msot_common_term` generated by RADAR-Attack, in which “msot” is generated by the **Swap** operator and “term” is generated by the **Replace-sem** operator.

From the Fig. 8(b), we can see that the original method name is `getDirectoryPathname`. ACCENT-Attack generates `getDevicePathname` as the adversarial method name based on semantic similarity, which is arguably not as natural as `gotDirectoryPathname` generated by RADAR-Attack, in which “got” is generated by the **Replace-sem** operator.

The code generated by RADAR-Attack in the above two examples can cause functional errors that can lead to the failure of PCGMs. This demonstrates the effectiveness of our RADAR-Attack, and that the robustness issue in PCGMs needs to be addressed properly.

We also explore the effectiveness of two defensive methods. ACCENT-Defense replaces the method name with `<mask>` and then feeds it into the mask learned model and generates the corresponding code. In contrast, RADAR-Defense synthesizes method names based on functional descriptions, replaces them in the adversarial examples, and then generates the corresponding code by the model. Two examples in Fig. 8 show that RADAR-Defense is capable of generating the correct method names, and the code generated by CodeT5 after being defended by RADAR-Defense can be reinstated to what it was before being attacked.

Moreover, in order to explore the effect of method names on the code generated by CodeT5 before and after being attacked, we visualize and analyze them with the SHAP tool.<sup>7</sup> In contrast to the work on model interpretation based on attention weight visualization, SHAP is based on game theory, which defines the additive feature attribution method and guarantees a unique solution. Research [58] shows that SHAP is similar to human intuition measurement and more effective.

Fig. 9 visualize the Python code and Java code in Fig. 8, as a way to analyze the effect of method names on the code generated by CodeT5 before and after being attacked. In Fig. 9(a), before being attacked, the normal method name “`most_common_item`” can lead CodeT5 to generate the correct code; from this heat map one can see that it has a greater impact on the tokens “`max`” and “`count`” of the code snippet. However, in Fig. 9(b), under the attack of “`msot_common_term`”, CodeT5 generates semantically incorrect code, and the heat map shows that this method name only has a large effect on token “`n`” in the code snippet. Likewise, in Fig. 9(c), before being attacked, the normal method name “`getDirectoryPathname`” can lead CodeT5 to generate the correct code and it has a greater impact on tokens “`getParcelable`” and “`DIRECTORY_PATHNAME`” of the code snippet. However, in Fig. 9(d), under the attack of “`gotDirectoryPathname`”, CodeT5 outputs incorrect code.

In the zero-shot code generation task, we provide an example from the Human-Eval dataset, specifically using the CodeGen model. In Fig. 10, we showcase the generated code snippets under various attacks as well as the RADAR-Defense approach. Additionally, we provide information on whether the generated code can be successfully compiled given the provided test cases. Initially, the original method name is `median`, and CodeGen can generate the correct code when this method name is utilized. However, when the method name is changed to `foo` or an adversarial method name generated by different attack methods, the code generated by CodeGen either fails the

<sup>6</sup><https://github.com/douglasraigschmidt/POSA-15>

<sup>7</sup><https://github.com/slundberg/shap>

Raw	Foo-Attack	Random-Attack
<pre>def median(l: list):     """Return median of elements in the     list l. """     l.sort()     n = len(l)     if n % 2 == 1:         return l[n//2]     else:         return (l[n//2-1] + l[n//2])/2</pre>	<pre>def foo(l: list):     """Return median of elements in the     list l. """     if len(l) % 2 == 0:         return (l[len(l) // 2 - 1]         + l[len(l) // 2]) / 2     else:         return l[len(l) // 2]</pre>	<pre>def decimal_to_binary(l: list):     """Return median of elements in the     list l. """     return median_of_three(l, 0,     len(l) - 1)</pre>
passed	failed	name 'median_of_three' is not defined
ALERT-Attack	Genetic-Attack	ReCODE-Attack
<pre>def Len(l: list):     """Return median of elements in the     list l. """     return median(l)</pre>	<pre>def incomes (l: list):     """Return median of elements in the     list l. """     return median(l)</pre>	<pre>def median(l: list):     """Return median of elements in the     list l. """     return sum(l) / len(l)</pre>
failed: name 'median' is not defined	name 'median' is not defined	failed
ACCENT-Attack	RADAR-Attack	RADAR-Defense
<pre>def variance(l: list):     """Return median of elements in the     list l. """     return median(l)</pre>	<pre>def media(l: list):     """Return median of elements in the     list l. """     return median(l)</pre>	<pre>def calculate_median(l: list):     """Return median of elements in the     list l. """     l.sort()     if len(l) % 2 == 0:         return (l[len(l) // 2] + l[len(l) /         2 - 1]) / 2     else:         return l[len(l) // 2]</pre>
name 'median' is not defined	name 'median' is not defined	passed

Fig. 10. One example of generated code by CodeGen under various attacks as well as the RADAR-Defense in Human-Eval dataset

720 test cases or contains syntax errors. In contrast, RADAR-Defense synthesizes the method name  
 721 `calculate_median` based on functional descriptions, replaces it in the adversarial examples, and  
 722 subsequently, CodeGen is able to generate the corresponding code that aligns with the desired  
 723 functionality.

724 **Examples in Method Name Generation.** To further explore the quality of the method names  
 725 synthesized by RADAR-Defense, we select three examples from the Java dataset, the Python  
 726 dataset, and the Human-Eval dataset respectively for analysis in Table 12. In these samples, we find  
 727 RADAR-Defense can synthesize more-accurate method names than baselines when compared with  
 728 human-written method names.

## 729 5.2 Threats to Validity

730 **Internal threats.** Internal threats refer to the potential defects in implementing our proposed  
 731 approach and baselines. To alleviate this, we double-checked and peer-reviewed our code to ensure  
 732 the fairness of the results. For all PCGMs, we used their publicly available models. For the attack  
 733 baselines and method name generation baselines, we ran their open-source code directly or re-  
 734 implemented them according to the original studies.

735 **External threats.** External threats refer to the choice of corpora and PCGMs. To alleviate this,  
 736 we collected two datasets based on well-maintained open-source projects with high reputations  
 737 according to the relevant heuristic rules for fine-tuning code generation tasks. For the zero-shot  
 738 code generation task, we select the Human-Eval dataset. To ensure a fair comparison, we follow  
 739 the settings from a previous study [42] when dividing the dataset. In terms of the choice of PCGMs,

Table 12. Examples of synthesized method name by RADAR-Defense and baselines in both Java and Python dataset

Case	Example
Java	Parse the string as a websocket request and return the value from WebSocket-Protocol header (See RFC 6455). Return empty string if not found.
	<b>BM25:</b> getClientWebSocketOrigin
	<b>NNGen:</b> getClientWebSocketOrigin
	<b>CCGIR:</b> getClientWebSocketOrigin
	<b>RNN-Att-Copy:</b> parseValue
	<b>CodeBert:</b> getWebsocketRequest
	<b>UniXcoder:</b> getWebsocketHeader
	<b>Rencos:</b> getClientWebSocketOrigin
	<b>REINA:</b> getProtocol
	<b>RADAR-Defense:</b> getClientWebSocketProtocol
Python	<b>Human Written:</b> getClientWebSocketProtocol
	Returns an * RGBA * tuple of 4 ints from 0 - 255
	<b>BM25:</b> to_rgb_255
	<b>NNGen:</b> to_rgb_255
	<b>CCGIR:</b> to_rgb_255
	<b>RNN-Att-Copy:</b> format_rgba
	<b>CodeBert:</b> to_rgb_255
	<b>UniXcoder:</b> to_rgb_255
	<b>Rencos:</b> to_rgb_255
	<b>REINA:</b> rgba4
Human-Eval	<b>RADAR-Defense:</b> to_rgba_255
	<b>Human Written:</b> to_rgba_255
	Check if in given list of numbers, are any two numbers closer to each other than given threshold.
	<b>BM25:</b> are_rooms_adjacent
	<b>NNGen:</b> connected_pair
	<b>CCGIR:</b> connected_pair
	<b>RNN-Att-Copy:</b> format_rgba
	<b>CodeBert:</b> is_numbers
	<b>UniXcoder:</b> are_adjacent
	<b>Rencos:</b> are_rooms_adjacent
	<b>REINA:</b> are_adjacent
	<b>RADAR-Defense:</b> is_closer
	<b>Human Written:</b> has_close_elements

<sup>740</sup> we select three state-of-the-art models (CodeGPT, PLBART, and CodeT5) for the fine-tuning code  
<sup>741</sup> generation task, and three state-of-the-art models (Replit, CodeGen, and CodeT5+) for the zero-shot  
<sup>742</sup> code generation task. For other models, such as CodePilot, they have not made models or API  
<sup>743</sup> interfaces publicly available, and can only be accessed through plugins, which is not suitable  
<sup>744</sup> for large-scale empirical research. While ChatGPT does offer an API interface, its output is not

deterministic, resulting in low reproducibility. As a result, these models were not included in our selection.

**Construct threats.** Construct threats concern the performance metrics used to evaluate RADAR and baselines. We use a set of metrics, which are also commonly used in similar studies. Due to the difference between natural languages and programming languages, we evaluated the quality primarily through CodeBLEU for fine-tuning code generation tasks. CodeBLEU has been widely used in the previous studies of code generation, which can not only consider the surface match similar to the original BLEU but also the grammatical correctness and the logic correctness, leveraging the abstract syntax tree and the data flow structure. For the zero-shot code generation task, we choose Pass@1 as the main metric.

## 755 6 CONCLUSION

We studied the role of method names in neural code generation from a robustness perspective. We showed that most PCGMs using both the functional description and method signature as input, albeit demonstrating impressive performance, are fragile with respect to the input method names, meaning that an ill-formed name may degrade their performance largely. We proposed approaches to synthesize method names from the functional description which can be utilized to reinstate the performance of PCGMs.

For future work, we plan to investigate the robustness of (now widely-adopted) deep learning models in software engineering systemically. This would shed light on, for instance, the performance and interpretability of these models in solving challenging SE tasks. We also plan to investigate the influence of natural language descriptions and parameter lists on the performance of PCGMs, and identify suitable defense mechanisms to enhance their robustness.

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