

# $O(T \log T)$ Universal Turing Machine

- \* Suppose we want to simulate an arbitrary Turing machine  $M$  with its input string  $x$ .
- \* Assume that  $M$  has  $k$  tapes, its alphabet is  $\Gamma$  and its running time on input  $x$  is  $T$ .

A universal Turing machine  $\mathcal{U}$  is constructed as follows:

- \*  $\mathcal{U}$  use only one tape to simulate  $M$ 's tapes.
- \*  $\mathcal{U}$  only use constant more tapes to do other things, such as simulate  $M$  for one step
- \*  $\mathcal{U}$ 's alphabet is  $\Gamma^k$ .
  - \* So it would be convenient for  $\mathcal{U}$  to simulate  $k$  tapes.
  - \* Transform  $\Gamma^k$  to  $\{0,1\}$  only has  $\log |\Gamma^k|$  overhead, which could be seen as constant.

The problem now becomes:

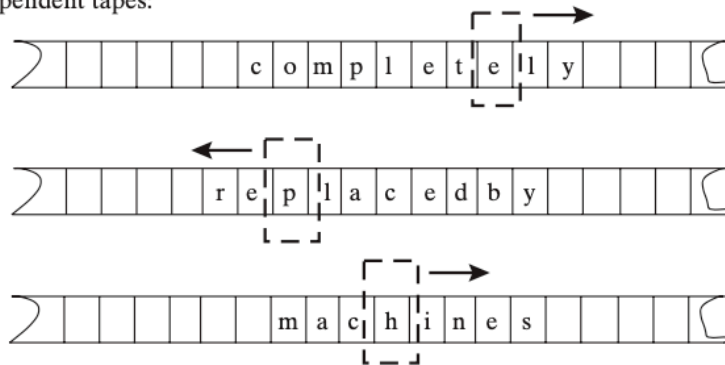
*How to simulate  $k$  tapes using only one tape.*

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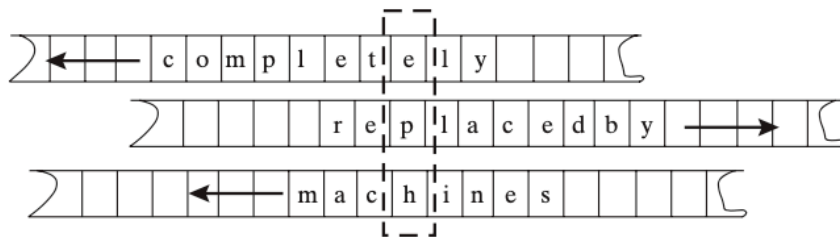
## A naive approach

- \* Let  $\mathcal{U}$ 's tape (the one to simulate  $M$ 's tapes) be indexed from  $-\infty$  to  $+\infty$
- \* Let  $\mathcal{U}$ 's read/write head always stays at point 0.
- \* The content on  $\mathcal{U}$ 's tape now becomes a tuple as  $(u_1, u_2, \dots, u_k)$  such that  $u_i$  represents the content on the  $i$ -th tape of  $M$ .

$M$ 's 3 independent tapes:



$\mathcal{U}$ 's 3 parallel tapes (i.e., one tape encoding 3 tapes)

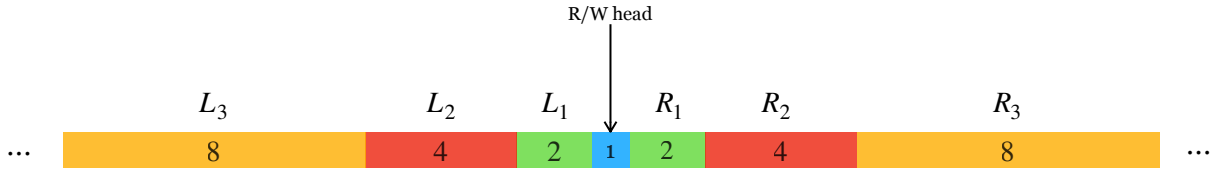


*In this approach, when one read/write head of  $M$  moves, all the contents on  $\mathcal{U}$ 's tape should be modified.*

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## A potential approach

- \* The main idea is to add a new symbol which represents "empty" to  $\Gamma$ .
- \* We add "empty spaces" between the original symbols, so that in the most cases, when a read/write head of  $M$  moves, we do not need to modify all the contents on  $\mathcal{U}$ 's tape.



The “empty spaces” is inserted as follow.

\* The cell on  $\mathcal{U}$ 's tape is split into several intervals.  $(L_1, R_1, L_2, R_2, \dots)$

\*  $|L_i| = |R_i| = 2^i$

\* In each operation, the following invariants are maintained:

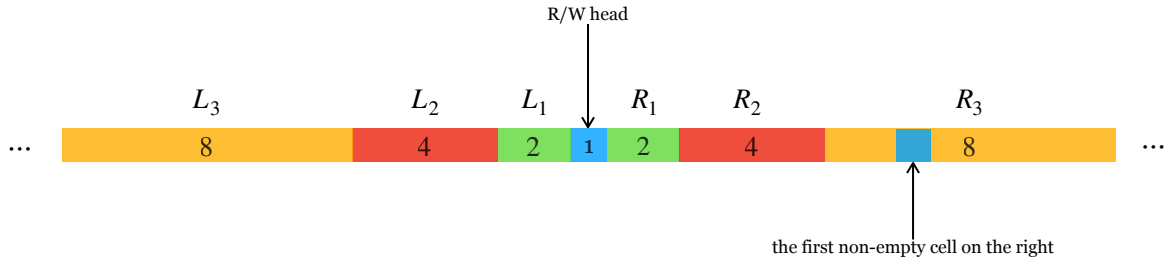
\* The number of non-empty cells in  $R_i$  or  $L_i$  could be  $\{0, 2^{i-1}, 2^i\}$ .

\* In any time, the number of non-empty cells in  $L_i$  and  $R_i$  should be exactly  $2^i$  in total.

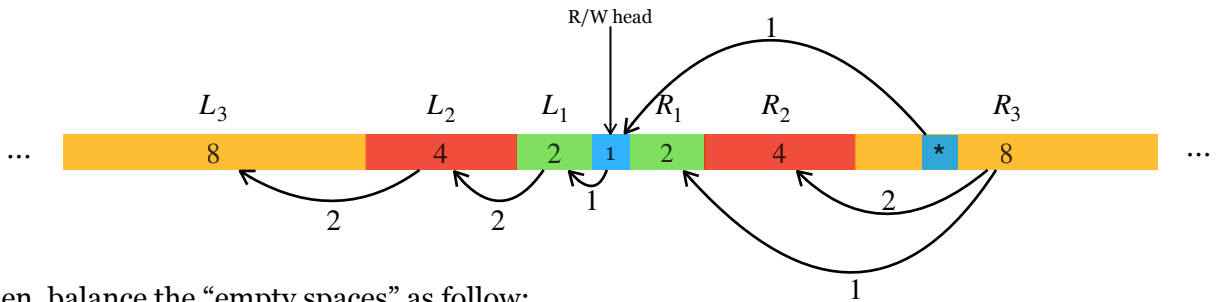
\* The cell under read/write head should not contain “empty space”.

\* In the beginning, we could insert all the “empty spaces” in  $\mathcal{O}(T)$ . Ensure the number of non-empty cells in  $L_i$  and  $R_i$  be exactly  $2^{i-1}$  and  $2^{i-1}$  respectively.

\* Then, when some read/write head of  $M$  moves one cell to the right, we maintain the invariants as follows:



First, find the first non-empty cell  $x$  on right. Suppose  $x \in R_i$ .



Then, balance the “empty spaces” as follow:

\*  $x \in R_i \Rightarrow \#\{\text{non-empty cells in } R_i\} \geq 2^{i-1} = 1/2 |R_i|$

\* move  $x$  to the cell under the read/write head.

\* move the other  $2^{i-1} - 1$  non-empty cells in  $R_i$  to  $R_1, R_2, \dots, R_{i-1}$  so that for any  $j \in [1, i-1]$ ,  $\#\{\text{non-empty cells in } R_j\}$  becomes  $1/2 |R_j|$ .

\* move the original cell under the read/write head to  $L_1$

\* for any  $j \in [1, i-1]$ , move all the non-empty cells of  $L_j$  to  $L_{j+1}$ . ( $R_j$  is empty  $\Rightarrow L_j$  is full)

Note that

\* If  $x \in R_i$ , then including the current move, there must be at least  $2^i$  moves till now.

\* If  $x \in R_i$ , then the cost of the simulation of the current  $\propto 2 \cdot 2^{i+1}$ .

\* If the total move of  $M$  is  $T$ , then there are at most  $T/2^i$  moves that could cost  $2 \cdot 2^{i+1}$  steps of simulation.

So, the total time cost is

$$\sum_{i=1}^{\log T} \frac{T}{2^i} \cdot 4 \cdot 2^i = \sum_{i=1}^{\log T} 4T = 4T \log T.$$

The  $\log T$  comes from the fact that  $T$  could be split in at most  $\log T$  intervals. So for any  $R_i, L_i$ , we have  $i \leq \log T$ .