Uniqueness and Rapid Mixing in the Bipartite Hardcore Model

Xiaoyu Chen

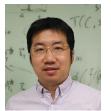


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based on joint work with



Jingcheng Liu



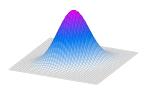
Yitong Yin

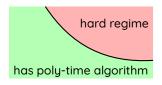
Sampling problem:

Draw (approximate) random samples from a distribution

Gibbs distribuiton:

- high-dimensional joint distribution
- described by few parameters and local interactions



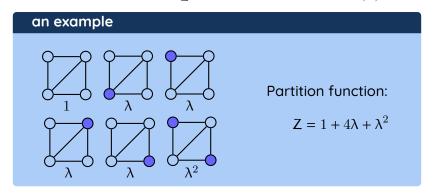


Computational phase transition: computational complexity of sampling problem changes sharply around certain parameter values

Hardcore model

- G = ([n], E) with n vertices and max degree Δ .
- Fugacity $\lambda > 0$ is a real number.
- ▶ $Ind(G) = \{S \subseteq [n] \mid S \text{ is an independent set}\}.$
- Gibbs distribution

$$\forall S \in Ind(G), \quad \mu(S) := \tfrac{\lambda^{|S|}}{Z}, \quad \text{where } Z_G(\lambda) := \textstyle \sum_{I \in Ind(G)} \lambda^{|I|}.$$



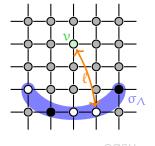
This model is self-reducible

Computational phase transition

On general graph with maximum degree Δ :

$$\lambda:0 \vdash \qquad \qquad \begin{matrix} \text{uniqueness} & \lambda_c(\Delta) & \text{non-uniqueness} \\ & & & & \end{matrix} \longrightarrow \infty$$

tree uniqueness threshold:
$$\lambda_c(\Delta) := (\Delta - 1)^{(\Delta - 1)}/(\Delta - 2)^{\Delta} \approx \frac{e}{\Delta}$$



Spatial mixing (SM)

 $\begin{array}{ll} \forall G, \nu, Pr_{S \sim \mu} \left[\nu \in S \mid \sigma_{\Lambda} \right] & \text{does} \\ \text{not depend on } \sigma_{\Lambda} \text{ as } \ell \rightarrow +\infty \end{array}$

$$\mathsf{SM} \Longleftrightarrow \lambda \leq \lambda_{\mathsf{c}}(\Delta)$$

 σ_{Λ} : fixed configuration in Λ

$$\lambda:0$$
 hard

Computational phase transition:

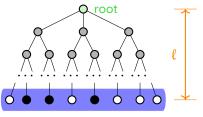
- $\lambda < \lambda_c$: poly-time algorithm for approx. sampling [Wei06]
- $\lambda > \lambda_c$: no poly-time algorithm unless NP = RP [Sly10]

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 σ : boundary condition on level ℓ

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 Δ -regular tree is the worst case [Wei06]

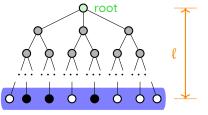
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It is easy: there is a poly-time algorithm to find a matximum independent set in the bipartite graph (Kőnig's theorem¹).

It is hard: many important problems are proved to be #BIS-equivalent or #BIS-hard under AP-reductions.

Selected examples

stable matchings

(Counting)

ferro. Potts model

- (parti. func.)
- ferro. Ising with mixed external fields (parti. func.)

[DGGJ04, GJ07, DGJ10, CGM12 DGJR12, GJ12a, BDG+13, LLZ14, GJ15, CGG+16, GŠVY16, GGY21,]

Conjecture[DGGJ04]:

#BIS represents an intermediate complexity class:

- ▶ it has no FPRAS in general
- ▶ it is easier than #SAT

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Previous algorithmic results

Non-uniqueness regime:

- ightharpoonup <u>\alpha-expander</u> bipartite graph:
 - $\lambda \geq (C_0 \Delta)^{4/\alpha}$, an $n^{O(\log \Delta)}$ time sampler [JKP20]
 - $\lambda \geq (C_1 \Delta)^{6/\alpha}$, an $O(n \log n)$ time sampler [CGG+21]
 - $\lambda \geq (C_2 \Delta)^{2/\alpha}$, an $n^{O(\log \Delta)}$ time sampler
- $ightharpoonup \Delta$ -regular α -expander bipartite graph:
 - $\lambda \geq \frac{f(\alpha)\log \Delta}{\Lambda^{1/4}}$, an $\mathfrak{n}^{O(\Delta)}$ time sampler [JPP22]
- ▶ $\underline{\text{random}} \Delta \underline{\text{-regular}}$ bipartite graph:

 - $ightharpoonup \Delta \geq \Delta_2, \lambda \geq \frac{100 \log \Delta}{\Delta}, \text{ an } O(n \log n) \text{ time sampler}$ [CGŠV22]
- unbalanced bipartite graph:

 - ▶ $3.4\Delta_L\Delta_R\lambda \leq (1+\lambda)^{\frac{\delta_R}{\Delta_L}}$, an $n^{O(\log(\Delta_L\Delta_R))}$ time sampler [FGKP23]

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Previous algorithmic results

Uniqueness regime:

- general graph: if $\lambda < \lambda_c(\Delta)$, there is an $O(n \log n)$ time sampler
- ▶ bipartite graph: if $\lambda = 1, \Delta_L \le 5$, an $O(n^2)$ time sampler

[LL15]

 $(\lambda=1\wedge\lambda<\lambda_c(\Delta) \Leftrightarrow \Delta\leq 5)$

$$\lambda_{c}(\Delta) = \frac{(\Delta - 1)^{\Delta - 1}}{(\Delta - 2)^{\Delta}}$$



Uniqueness regime when Δ_L is bounded:



Spatial mixing (SM)

bipartite graph G with degree bound Δ_L on left side, $\nu \in G$, $\Pr_{S \sim \mu} \left[\nu \in S \mid \sigma_\Lambda \right]$ does not depend on σ_Λ as $\ell \to +\infty$

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- general graph: if $\lambda < \lambda_c(\Delta)$, there is an $O(n \log n)$ time sampler
- bipartite graph: if $\lambda = 1, \Delta_L \le 5$, an $O(n^2)$ time sampler $(\lambda = 1 \land \lambda < \lambda_c(\Delta) \Leftrightarrow \Delta \le 5)$

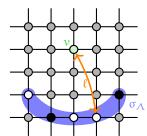
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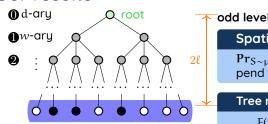


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 σ_{Λ} : fixed configuration in Λ

 $d = \Delta_I - 1$



odd level: right

even level: left

Spatial mixing

 $Pr_{S \sim \mu}$ [root $\in S \mid \sigma$] doesn't depend on σ as $\ell \to +\infty$

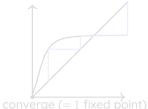
Tree recursion of (d, w)-ary tree

$$F(x) := \lambda (1 + \lambda (1 + x)^{-w})^{-d}$$

 σ : boundary condition

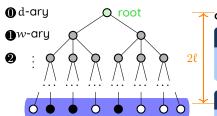
marginal ratio of v, $R_v := \Pr_{S \sim u} [v \in S] / \Pr_{S \sim u} [v \notin S]$





Let $\delta \in [0,1)$ be a real number. The pair $(\lambda, d) \in \mathbb{R}^2_{>0}$ is δ -unique if for any

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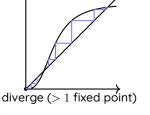
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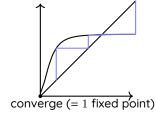
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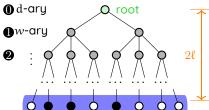




Definition

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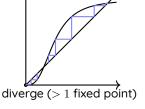
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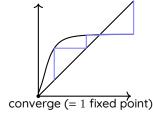
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Theorem

Fix any $\Delta=d+1\geq 3$ and any $\delta\in[0,1)$, the pair (λ,d) is $\frac{\delta}{10}$ -unique if

$$\lambda \le (1 - \delta)\lambda_{c}(\Delta) = (1 - \delta)\frac{(\Delta - 1)^{\Delta - 1}}{(\Delta - 2)^{\Delta}}.$$

Theorem

For bipartite graph $G=(L\cup R,E)$ with maximum degree $\Delta_L=d+1\geq 2$ on L and fugacity $\lambda>0$, let n=|L|, then for any $\delta\in(0,1)$, if (λ,d) is δ -unique, then we have a sampler for this hardcore model that runs in time

$$n\left(\frac{\Delta_L\log n}{\lambda}\right)^{O(C/\delta)}, \text{ where } \begin{cases} C=O(1), & \Delta_L\geq 3\\ C=(1+\lambda)^{10}, & \Delta_L=2 \end{cases}$$

- ▶ When $\Delta_{\rm I} = 1$, G is a forest.
- When $\Delta_L = 2$, this model becomes an Ising model.

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Glauber dynamics for Hardcore model:

start from an arbitrary independent set X₀; **for** t from 1 to T **do**:

- ▶ pick a vertex $v \in V$ uniformly at random;
- with prob. $\frac{\lambda}{1+\lambda}$, let $S = X_{t-1} \cup \{v\}$; with prob. $\frac{1}{1+\lambda}$, let $S = X_{t-1} \setminus \{v\}$;
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irreducible + aperiodic + reversible $\Longrightarrow X_t \sim \mu$ as $t \to \infty$

mixing time: essential running time of Glauber dynamics

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- with prob. $\frac{\lambda}{1+\lambda}$, let $S = X_{t-1} \cup \{v\}$; with prob. $\frac{1}{1+\lambda}$, let $S = X_{t-1} \setminus \{v\}$;
- if $S \in Ind(G)$ then $X_t = S$ else $X_t = X_{t-1}$;



Theorem

For bipartite graph $G=(L\cup R,E)$ with maximum degree $\Delta_L=d+1\geq 3$ on $L,\,\delta\in(0,1)$, and fugacity $\lambda\in(0,(1-\delta)\lambda_c(\Delta))$. Then the mixing time of the Glauber dynamics is bounded as

$$\mathsf{T}_{\mathsf{mix}} \leq \left(\frac{\Delta \log n}{\lambda}\right)^{O(C/\delta)} \cdot n^3 \cdot \log \frac{1+\lambda}{\min\left\{1,\lambda\right\}}.$$

- ▶ When $\Delta_L \geq 3$, then C = O(1).
- ▶ When $\Delta_L = 2$, (λ, d) is δ-unique, the bound holds with $C = (1 + \lambda)^{10}$.

Proof outline

Fast sampler Mixing of Glauber dynamics on $L \cup R$ Spectral independence δ -uniqueness

Let v be a distribution over $\Omega = \{-1, +1\}^n$. $\forall \sigma \in \Omega$, $\|\sigma\|_+ = |\{i \mid \sigma_i = 1\}|$

impose external field $\theta > 0$

 $\theta * \nu$: a distribution on Ω :

$$\forall \sigma$$
, $(\theta * \nu)(\sigma) \propto \nu(\sigma) \cdot \theta^{\|\sigma\|_{+}}$

flip the distribution

 $\overline{\nu}$: a distribution on Ω :

$$\forall \sigma$$
, $\overline{\nu}(\sigma) = \nu(-\sigma)$

▶ hardcore model: μ (fugacity λ) \Longrightarrow $\theta * \mu$ (fugacity $\theta \lambda$)

For $0 < \theta \neq 1$, Field dynamics $P_{\theta, \nu}^{\mathsf{FD}}$: Markov chain $(X_t)_{t \geq 0}$ on Ω :

 X_0 is an arbitrary vector in Ω and let $s \in \{-1, +1\}$ so that $\theta^s < 1$; for each t > 0:

- 1. generate $R \subseteq [n]$: for $i \in [n]$ with $X_{t-1}(i) = s$ add i to R with prob. $1 \theta^s$
- 2. **let** $X_t = \sigma$ with prob. $Pr_{\sigma \sim \theta * \gamma} [\sigma \mid \sigma_R = s]$

irreducible + aperiodic + reversible [CFYZ21] $\Longrightarrow~~X_t \sim \nu~~$ as $t \to \infty~~$



Let ν be a distribution over $\Omega = \{-1, +1\}^n$. $\forall \sigma \in \Omega$, $\|\sigma\|_+ = |\{i \mid \sigma_i = 1\}|$

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- 2. **let** $X_t = \sigma$ with prob. $Pr_{\sigma \sim \theta * v} [\sigma \mid \sigma_R = s]$

irreducible + aperiodic + reversible [CFYZ21] $\implies X_1 \sim v$ as $t \rightarrow \infty$



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irreducible + aperiodic + reversible [CFYZ21] $\implies X_t \sim v$ as $t \rightarrow \infty$



rapid mixing of $P_{\theta,\nu}^{FD}$ + sampler for $\theta * \nu$ = sampler for ν

Theorem ([CFYZ21, AJKPV22, CFYZ22, CE22])

Let $0 < \theta \neq 1$ and ν be a distribution over $\{-1, +1\}^n$ that

- 1. $\lambda * \nu$ is K-marginally stable for all λ between θ , 1,
- 2. $\lambda * \nu$ is η -spectrally independent for all λ between θ , 1,
- 3. the Glauber dynamics on $\theta * \nu$ mixes in time $\widetilde{O}(n)$, then

$$1 \wedge 2 \Rightarrow T_{\mathsf{mix}}(P_{\theta, \nu}^{\mathsf{FD}}) \approx \max{\{\theta, 1/\theta\}^{\eta \cdot \mathsf{poly}(K)}}.$$

 $1 \wedge 2 \wedge 3 \ \Rightarrow \text{sampler for } \nu \text{ in time } \widetilde{O}(\mathfrak{n}) \cdot \max{\{\theta, 1/\theta\}^{\eta \cdot \text{poly}(K)}}$

$$1 \wedge 2 \wedge 3 \stackrel{\text{Var}}{\Rightarrow} T_{\text{mix}}(P_{\nu}^{\text{GD}}) \approx \widetilde{O}(n) \cdot \underbrace{n \cdot \max{\{\theta, 1/\theta\}^{\eta \cdot \text{poly}(K)}}}_{}$$

relaxation time

Let ν be a distribution over $\{-1, +1\}^n$ and $X \sim \nu$ be a random vector.

$$\begin{aligned} & \text{Corr}(X) \in \mathbb{R}^{n \times n} \\ & \text{Corr}(X)_{ij} = \frac{\text{Cov}(X_i, X_j)}{\sqrt{\text{Var}(X_i)\text{Var}(X_j)}} \\ & \Psi_{\nu}(i, j) = \frac{\text{Cov}(X_i, X_j)}{\text{Var}(X_i)} \end{aligned}$$

 \blacktriangleright Ψ_{ν} is similar to Corr(X)

 η -spectral independence (in self-reducible models)

$$\lambda_{\max}\left(\Psi_{\mathcal{V}}\right) \leq \eta \Longleftarrow \|\Psi_{\mathcal{V}}\|_{\infty} \leq \eta$$

K-marginal stability

there is $\rho \in \{\nu, \overline{\nu}\}$ that for $i \in [n]$, $S \subseteq \Lambda \subseteq [n] \setminus \{i\}$, $\tau \in \Omega(\rho_{\Lambda})$

$$R_i^{\tau} \le K \cdot R_i^{\tau_S}$$
 and $\rho_i^{\tau}(-1) \ge K^{-1}$

▶ marginal ratio
$$R_i^{\tau} = \frac{\rho_i^{\tau}(+1)}{\rho_i^{\tau}(-1)}$$

Let ν be a distribution over $\{-1, +1\}^n$ and $X \sim \nu$ be a random vector.

influence matrix $\Psi_{\mathbf{v}} \in \mathbb{R}^{n \times n}$

$$\Psi_{\mathbf{v}}(i,j) := \begin{cases} 0, & \text{if } \mathbf{Pr_{\mathbf{v}}}\left[i\right] \in \{0,1\} \\ \mathbf{Pr_{\mathbf{v}}}\left[j \mid i\right] - \mathbf{Pr_{\mathbf{v}}}\left[j \mid \overline{i}\right] \end{cases}$$

$$i = \{X_i = +1\}, \bar{i} = \{X_i = -1\}$$

$Corr(X) \in \mathbb{R}^{n \times n}$

$$\mathsf{Corr}(X)_{\mathfrak{i}\mathfrak{j}} = \frac{\mathsf{Cov}(X_{\mathfrak{i}}, X_{\mathfrak{j}})}{\sqrt{\mathsf{Var}(X_{\mathfrak{i}})\mathsf{Var}(X_{\mathfrak{j}})}}$$

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$\text{Corr}(X) \in \mathbb{R}^{n \times n}$

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$$\Psi_{\nu}(i,j) = \frac{\text{Cov}(X_i, X_j)}{\text{Var}(X_i)}$$

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$$\lambda_{\max}(\Psi_{\mathcal{V}}) \leq \eta \iff \|\Psi_{\mathcal{V}}\|_{\infty} \leq \eta$$

K-marginal stability

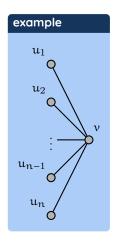
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Proof outline

Fast sampler Mixing of Glauber dynamics on $L \cup R$ Spectral independence δ -uniqueness



Let $\lambda=1$ be the fugacity μ : Gibbs distribution of the hardcore model

μ: Gibbs distribution of the not

$$ightharpoonup \forall i, \quad \left|\Psi_{\mu}(\nu, u_i)\right| = \frac{\lambda}{\lambda+1} = \frac{1}{2}$$

$$\|\Psi_{\mu}\|_{\infty} \geq \sum_{i} |\Psi_{\mu}(\nu, u_{i})| = \frac{n}{2}$$

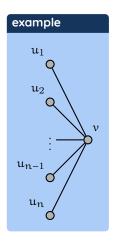
What could we do? 🤔

$$\left\|\Psi_{\mu_{L}}
ight\|_{\infty}$$
 is bounded

$$|\Psi_{\mu}(u_1, u_2)| = \frac{\lambda}{1+\lambda} - \frac{\lambda(1+\lambda)^{n-2}}{\lambda + (1+\lambda)^{n-1}} = \frac{1}{2^{n} + 2}$$

$$\|\Psi_{\mu_L}\|_{\infty} = \sum_{i \geq 2} |\Psi_{\mu}(u_1, u_i)| = O(1)$$

Maybe we could take $\nu = \mu_L$

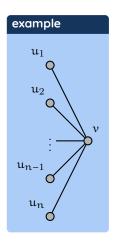


Let $\lambda=1$ be the fugacity μ : Gibbs distribution of the hardcore model

$$\begin{split} \left\|\Psi_{\mu}\right\|_{\infty} & \text{ is unbounded} \\ & \blacktriangleright \ \forall i, \quad \left|\Psi_{\mu}(\nu, u_i)\right| = \frac{\lambda}{\lambda+1} = \frac{1}{2} \\ & \blacktriangleright \ \left\|\Psi_{\mu}\right\|_{\infty} \geq \sum_{i} \left|\Psi_{\mu}(\nu, u_i)\right| = \frac{n}{2} \end{split}$$

What could we do? 🤔

Maybe we could take $v = \mu_I$.



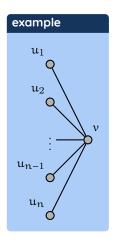
Let $\lambda=1$ be the fugacity $\mu\text{:}$ Gibbs distribution of the hardcore model

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$$\begin{split} & \left\| \Psi_{\mu_L} \right\|_{\infty} \text{ is bounded} \\ & \blacktriangleright \left| \Psi_{\mu}(u_1, u_2) \right| = \frac{\lambda}{1+\lambda} - \frac{\lambda(1+\lambda)^{n-2}}{\lambda + (1+\lambda)^{n-1}} = \frac{1}{2^{n} + 2} \\ & \blacktriangleright \left\| \Psi_{\mu_L} \right\|_{\infty} = \sum_{i \geq 2} \left| \Psi_{\mu}(u_1, u_i) \right| = O(1) \end{split}$$

Maybe we could take $v = \mu_T$.



Let $\lambda = 1$ be the fugacity μ: Gibbs distribution of the hardcore model

$\|\Psi_{\mu}\|_{\infty}$ is unbounded

- Ψ_{i} , $|\Psi_{\mu}(v, u_{i})| = \frac{\lambda}{\lambda+1} = \frac{1}{2}$
- $\|\Psi_{\mathfrak{u}}\|_{\infty} \geq \sum_{i} |\Psi_{\mathfrak{u}}(\mathfrak{v},\mathfrak{u}_{i})| = \frac{\mathfrak{n}}{2}$

What could we do?



$\|\Psi_{\mu_L}\|_{\infty}$ is bounded

- $|\Psi_{\mu}(u_1, u_2)| = \frac{\lambda}{1+\lambda} \frac{\lambda(1+\lambda)^{n-2}}{\lambda+(1+\lambda)^{n-1}} = \frac{1}{2^{n}+2}$
- $\|\Psi_{\mathfrak{u}_1}\|_{\infty} = \sum_{i>2} |\Psi_{\mathfrak{u}}(\mathfrak{u}_1,\mathfrak{u}_i)| = O(1)$

Maybe we could take $v = \mu_I$.

 μ is the Gibbs distribution of the hardcore model and ν is μ_L $O(1/\delta)\text{-spectrally independent} \qquad O(1)\text{-marginally stable}$

$$\begin{array}{ccc} \nu & & & & & & & & & \\ \text{BHC}(\lambda,\lambda) & & & & & & & \\ \text{P}_{\theta,\nu}^{\text{FD}} \text{ with } \theta = \Theta(\frac{\Delta \log n}{\lambda}) > 1 & & & \\ \text{BHC}(\theta\lambda,\lambda) & & & & & \\ \end{array}$$

Glauber dynamics mixes in $\widetilde{O}(n)$

- fast sampler for ν in time $n \cdot (\frac{\Delta \log n}{\lambda})^{O(1/\delta)}$ (\Rightarrow fast sampler for μ)
- Glauber dynamics on ν mixes in time $n^2 \cdot (\frac{\Delta \log n}{\lambda})^{O(1/\delta)}$

For $v = \mu_I$ on BHC(λ, α):

 δ -uniqueness $\Longrightarrow O(1/\delta)$ -spectral independence



 $\Delta_{L} = d + 1$ $\Xi(x) = \lambda (1 + \alpha (1 + x)^{-w})^{-\alpha}$



uniqueniss regime

Fix $a \ge 1$, the pair (λ, a, α) is unique if the point (λ, α) is on above of the following parametric curve for $w > d^{-1}$:

$$\alpha(w) = \frac{d^{w}(w+1)^{w+1}}{(dw-1)^{w+1}}$$

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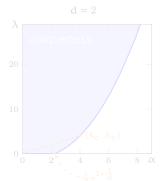
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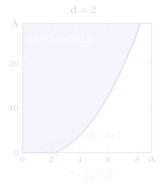
 μ is the Gibbs distribution of the hardcore model and ν is μ_I

This the Globs distribution of the indirectore model and
$$\nu$$
 is μ_L
$$\nu \xrightarrow[\theta,\nu]{O(1/\delta)\text{-spectrally independent}} \xrightarrow[\theta,\nu]{O(1)\text{-marginally stable}} v + \nu$$

$$\mathsf{BHC}(\lambda,\lambda) \qquad \mathsf{P}_{\theta,\nu}^{\mathsf{FD}} \text{ with } \theta = \Theta(\frac{\Delta \log n}{\lambda}) > 1 \qquad \mathsf{BHC}(\theta\lambda,\lambda)$$
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$$\begin{cases} \alpha(w) = \frac{d^{w}(w+1)^{w+1}}{(dw-1)^{w+1}} \end{cases}$$

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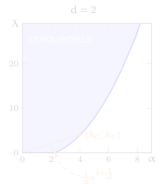
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 δ -uniqueness \Longrightarrow O(1/ δ)-spectral independence





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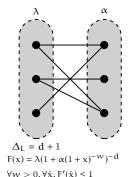
The GIBBS distribution of the naracore model and
$$\forall$$
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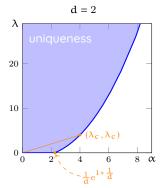
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$$\lambda(w) = \frac{w^{d}(d+1)^{d+1}}{(dw-1)^{d+1}}$$

Background

Proof outline

Fast sampler

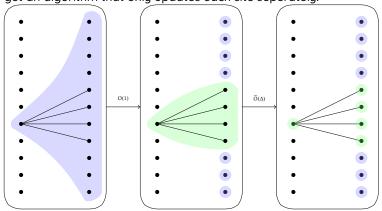
Mixing of Glauber dynamics on $L \cup R$

Spectral independence

Proof outline: mixing of GD on μ

Glauber dynamics on v mixes in time $n^2 \cdot (\frac{\Delta \log n}{\lambda})^{O(1/\delta)}$

To get an algorithm that only updates each site seperately:



- \blacktriangleright This algorithm also runs in $\mathfrak{n}^2 \cdot (\frac{\Delta \log \mathfrak{n}}{\lambda})^{O(1/\delta)}$ round
- A vertex $u \in R$ is updated with rate 1 in each round
- ▶ The Glauber dynamics on μ mixes in time $\mathfrak{n}^3 \cdot (\frac{\Delta \log \mathfrak{n}}{\lambda})^{O(1/\delta)}$

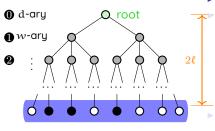
Could be implemented by block factorization [CMT15, CP20, CLV21].

Background

Proof outline

Fast sampler Mixing of Glauber dynamics on $L \cup R$ Spectral independence

δ-uniqueness



 $\nu = \mu_L$ for BHC(λ, α) that is δ -unique

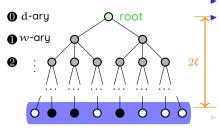
reduce the general case to the (d+1,w+1)-regular tree via the SAW tree [CLV21] and a special potential function [LL15]

$$\Phi(x) := \log(\log(1+x))$$

$$=: \phi(x) = \frac{1}{(1+x)\log(1+x)}$$

recursion on (d, w)-ary tree $F(x) = \lambda(1 + \alpha(1 + x)^{-w})^{-d}$

$$\begin{split} \sum_{\ell=1}^{+\infty} \left(\sum_{\nu \in L_{\tau}(2\ell)} \left| \Psi_{\mu}(\mathsf{root}, \nu) \right| \right) & \overset{\mathsf{ICLV2IJ}}{\leq} O(1) \cdot \sum_{\ell=1}^{+\infty} \left\{ \sup_{R} (\Phi \circ F \circ \Phi^{-1})'(\Phi(R)) \right\}^{\ell} \\ &= O(1) \cdot \sum_{\ell=1}^{+\infty} \left\{ \sup_{R} \frac{\varphi(F(R))}{\varphi(R)} F'(R) \right\}^{\ell} \\ & & \overset{\mathsf{Loc}}{\leq} O(1) \cdot \sum_{\ell=1}^{+\infty} (1 - \delta)^{\ell} = O(1/\delta). \end{split}$$



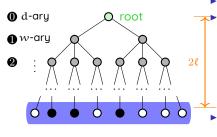
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$$\begin{split} \sum_{\ell=1}^{+\infty} & \left(\sum_{\nu \in L_{\Gamma}(2\ell)} \left| \Psi_{\mu}(\mathsf{root}, \nu) \right| \right)^{[\mathsf{CLV21}]} O(1) \cdot \sum_{\ell=1}^{+\infty} \left\{ \sup_{R} (\Phi \circ F \circ \Phi^{-1})'(\Phi(R)) \right\}^{\ell} \\ & = O(1) \cdot \sum_{\ell=1}^{+\infty} \left\{ \sup_{R} \frac{\varphi(F(R))}{\varphi(R)} F'(R) \right\}^{\ell} \\ & \qquad \qquad \otimes O(1) \cdot \sum_{\ell=1}^{+\infty} (1 - \delta)^{\ell} = O(1/\delta). \end{split}$$



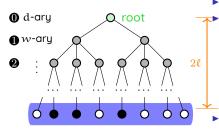
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recursion on (d, w)-ary tree $F(x) = \lambda(1 + \alpha(1 + x)^{-w})^{-d}$

$$\begin{split} \sum_{\ell=1}^{+\infty} \left(\sum_{\nu \in L_{\Gamma}(2\ell)} \left| \Psi_{\mu}(\mathsf{root}, \nu) \right| \right)^{\texttt{[CLV21]}} & O(1) \cdot \sum_{\ell=1}^{+\infty} \left\{ \sup_{R} (\Phi \circ F \circ \Phi^{-1})'(\Phi(R)) \right\}^{\ell} \\ &= O(1) \cdot \sum_{\ell=1}^{+\infty} \left\{ \sup_{R} \frac{\varphi(F(R))}{\varphi(R)} F'(R) \right\}^{\ell} \\ & & \\ & O(1) \cdot \sum_{\ell=1}^{+\infty} (1 - \delta)^{\ell} = O(1/\delta). \end{split}$$



 $\nu = \mu_L$ for BHC(λ , α) that is δ -unique reduce the general case to the (d + 1, w + 1)-regular tree via the SAW tree [CLV21] and a special potential function [LL15]

$$\Phi(x) := \log(\log(1+x))$$

$$\Phi'(x) =: \varphi(x) = \frac{1}{(1+x)\log(1+x)}$$

recursion on (d, w)-ary tree $F(x) = \lambda (1 + \alpha (1 + x)^{-w})^{-d}$

$$\begin{split} \sum_{\ell=1}^{+\infty} & \left(\sum_{\nu \in L_{\mathrm{F}}(2\ell)} \left| \Psi_{\mu}(\mathsf{root}, \nu) \right| \right)^{\left[\mathsf{CLV21}\right]} \underbrace{O(1)} \cdot \sum_{\ell=1}^{+\infty} \left\{ \sup_{R} (\Phi \circ F \circ \Phi^{-1})'(\Phi(R)) \right\}^{\ell} \\ &= O(1) \cdot \sum_{\ell=1}^{+\infty} \left\{ \sup_{R} \frac{\Phi(F(R))}{\Phi(R)} F'(R) \right\}^{\ell} \\ & \qquad \qquad \leq O(1) \cdot \sum_{\ell=1}^{+\infty} (1 - \delta)^{\ell} = O(1/\delta). \end{split}$$

δ -uniqueness

The tuple (λ, d, α) is δ -unique if $\forall w \in \mathbb{R}_{>0}$, and $\forall \hat{x}, F'(\hat{x}) \leq 1 - \delta$.

contraction

 $\begin{array}{l} \text{If } (\lambda,d,\alpha) \text{ is } \delta\text{-unique, } d \geq 1, \\ \sup_{x \geq 0} H(x) \coloneqq \sup_{x \geq 0} \frac{\varphi(F(x))}{\varphi(x)} F'(x) \leq 1 - \delta. \end{array}$

1. w could be eliminated by a change of variable: $z = 1 + \alpha(1 + x)^{-w}$ [LL15]

$$\sup_{x\geq 0} H(x) = \sup_{z\in [1,1+\alpha]} U(\lambda, d, \alpha; \\ H(x) = H(\lambda, d, \alpha, w; x)$$

- $\sup_{x} H(x)$ does not effected by w
- \triangleright $\partial_{\lambda} U \leq 0$ and $\partial_{\alpha} U \geq 0$
- 2. There are function $c_1(x)>0, c_2(x)>0$ (when x>0) that $H'(x)=c_1(x)\cdot (1-H(x))+c_2(x)\cdot (\alpha d-(x+1)^w(F(x)+1))$
- 3. Uniqueness regime



uniqueniss boundary

parametric curve:

$$\alpha(w) = \frac{d^{w}(w+1)^{w+1}}{(dw-1)^{w+1}}$$

$$\Lambda(w) = \frac{w^{d}(d+1)^{d+1}}{(dw-1)^{d+1}}$$

On the boundary (α, λ) :

$$\exists$$
 unique $w = w_c$:

$$\begin{cases} F'(\hat{x}) = 1 \\ \alpha d - (1 + \hat{x})^{w+1} = 0 \end{cases}$$

where \hat{x} is the unique fixpoint.

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uniqueniss boundary

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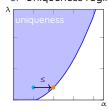
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- 3. Uniqueness regime



uniqueniss boundary

parametric curve:

$$\begin{cases}
\alpha(w) = \frac{d^{w}(w+1)^{w+1}}{(dw-1)^{w+1}} \\
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\end{cases}$$

On the boundary
$$(\alpha, \lambda)$$
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 \exists unique $w = w_c$:

$$\begin{cases} F'(\hat{x}) = 1 \\ \alpha d - (1 + \hat{x})^{w+1} = 0 \end{cases}$$

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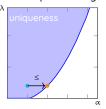
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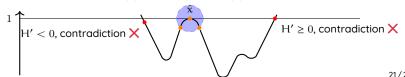
On the boundary (α, λ) : \exists unique $w = w_c$:

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where \hat{x} is the unique fixpoint.

4. Move (λ, α) to the boundary and let $w = w_c$ in $H(x) = \frac{\phi(F(x))}{\phi(x)} F'(x)$.

$$H(\hat{x}) = 1, H'(\hat{x}) = 0, H''(\hat{x}) < 0$$



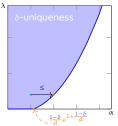
For simplicity, we assume $\delta = 0$. The $\delta > 0$ case could be handled by a similar high level idea.

- w could be eliminated · · ·
- 2. There are function $c_1(x) > 0$, $c_2(x) > 0$ (when x > 0) that

$$H'(x) = c_1(x) \cdot ((1 - \delta) - H(x)) + c_2(x) \cdot B_{\delta}(x)$$
, where

$$B_{\delta}(x) = w \log(x+1) \left(\alpha d \cdot \frac{x+1}{F(x)+1} - (x+1)^{w+1} \right) + \delta(x+1)(\alpha + (x+1)^{w})$$

3. Uniqueness regime



No parametric equation avaliable

On the boundary (α, λ) : \exists unique $w = w_c$:

$$\begin{cases} (1 - \delta)(\hat{x} + 1)(\alpha + (1 + \hat{x})^{w}) - \alpha dw \hat{x} = 0 \\ w \log(1 + \hat{x})(\alpha d - (1 + \hat{x})^{w+1}) + \delta(\hat{x} + 1)(\alpha + (\hat{x} + 1)^{w}) = 0 \end{cases}$$

$$\Leftrightarrow \begin{cases} F'(\hat{x}) = 1 - \delta \\ w \log(1 + \hat{x})(\alpha d - (1 + \hat{x})^{w+1}) + \delta(\hat{x} + 1)(\alpha + (\hat{x} + 1)^{w}) = 0 \end{cases}$$

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$$1 - \delta$$

$$H' < 0, contradiction X$$

$$H' \ge 0, contradiction X$$

Background

Proof outline

Fast sampler Mixing of Glauber dynamics on $L \cup R$ Spectral independence δ -uniqueness

$$F(x) = \lambda (1 + \alpha (1 + x)^{-w})^{-d}$$

δ -uniqueness

The tuple (λ, d, α) is δ -unique if $\forall w \in \mathbb{R}_{>0}$, and $\forall \hat{x}, F'(\hat{x}) \leq 1 - \delta$.

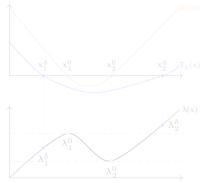
- The requiremnt on fixpoint is not easy to use <a>B.
- (\hat{x}, d, α, w) determines a unique λ : $\lambda(\hat{x}) = \hat{x}(1 + \alpha(1 + \hat{x})^{-w})^d$
- Change the coordinates: $(\lambda, d, \alpha, w) \leftrightarrow (\hat{x}, d, \alpha, w)$
- $F'(\hat{x}) \le 1 \delta \Leftrightarrow (1 \delta)(\hat{x} + 1)(\alpha + (1 + \hat{x})^{w}) \alpha dw \hat{x} \ge 0$



has more than one fixed points

 $\blacksquare \cup \blacksquare F'(\hat{x}) > 1 - \delta$ at some fixed point \hat{x}

Fix d, α, w , a typical case is



- ▶ as $w \to +\infty$, we have $\lambda_i^{\delta} \to 0$
- ▶ $\lambda \ge \lambda_{2,c}^{\delta}$ implies δ-uniqueness
- ► fix d, α, critical \hat{x} , w arise when

$$\begin{cases} \mathsf{T}_{\delta}(\mathbf{x}) = \mathbf{0} & \overset{\delta = \mathbf{0}}{\Rightarrow} \mathsf{parametric} \\ \mathsf{\partial}_{w} \lambda_{2}^{\delta}(w) = \mathbf{0} & \overset{\text{curve}}{\Rightarrow} \end{cases}$$

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δ -uniqueness

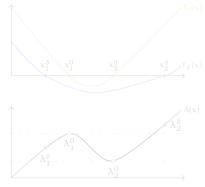
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- has more than one fixed points
- $U V F'(\hat{x}) > 1 \delta$ at some fixed point :

Fix d, α , w, a typical case is



- \blacktriangleright as $w \to +\infty$, we have $\lambda_i^{\delta} \to 0$
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$$\begin{cases} \mathsf{T}_{\delta}(\mathsf{x}) = 0 & \overset{\delta = 0}{\Rightarrow} \mathsf{parametric} \\ \mathsf{\partial}_{\mathcal{W}} \lambda_2^{\delta}(\mathcal{W}) = 0 & \overset{\delta = 0}{\Rightarrow} \mathsf{curve} \end{cases}$$

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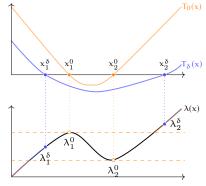
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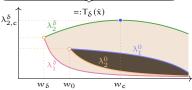
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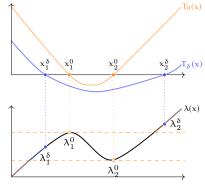
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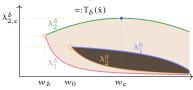
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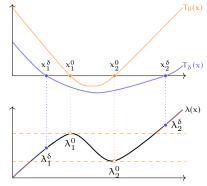
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Thank you arXiv:2305.00186

Summary

For $\delta \in (0,1)$, $\Delta_L \ge 3$, if $\lambda \le (1-\delta)\lambda_c(\Delta_L)$, then

- the system is in the uniqueness regime
- there is a sampler that runs in time

$$\mathsf{T} := \mathsf{n} \left(\frac{\Delta_{\mathsf{L}} \log \mathsf{n}}{\lambda} \right)^{\mathsf{O}(1/\delta)}$$

ightharpoonup the mixing time of Glauber dynamics is bounded by $O(n^2) \cdot T$

Open problems

- lacktriangle Remove the depedency on Δ_{L} in the running time of the sampler.
- Better mixing time for the Glauber dynamics.
- **Bipartite hardcore model for negative** λ .